



OPEN Spin independence of the strongly enhanced effective mass in ultra-clean SiGe/Si/SiGe two-dimensional electron system

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The effective mass at the Fermi level is measured in the strongly interacting two-dimensional (2D) electron system in ultra-clean SiGe/Si/SiGe quantum wells in the low-temperature limit in tilted magnetic fields. At low electron densities, the effective mass is found to be strongly enhanced and independent of the degree of spin polarization, which indicates that the mass enhancement is not related to the electrons' spins. The observed effect turns out to be universal for silicon-based 2D electron systems, regardless of random potential, and cannot be explained by existing theories.

Much interest has been recently directed toward the behaviour of low-disorder, strongly interacting two-dimensional (2D) electron systems. The interaction strength is characterized by the ratio of the Coulomb and Fermi energies $r_s = g_v / (\pi n_s)^{1/2} a_B$ (here g_v is the valley degeneracy, n_s is the electron density and a_B is the Bohr radius in semiconductor). In the low-density limit ($r_s \gtrsim 35$), the electrons are expected to form a Wigner crystal^{1,2}. The Fermi liquid theory is applicable at relatively high electron densities ($r_s \lesssim 1$). Within this theory, the effective electron mass, m , and Landé g -factor are both enhanced due to spin exchange effects, with renormalization of the g -factor being dominant^{3–5}. Between the Fermi liquid and Wigner crystal, intermediate phases may exist (see, e.g., Refs.^{2,6–9}). A number of theories predict a diverging effective mass near the Wigner crystal or a precursor. Flattening of the single-particle spectrum at the Fermi level preceded by the diverging effective mass at low electron densities is predicted in Refs.^{8,9}. The effective mass divergence also follows from the renormalization-group theory of the 2D metal-insulator transition¹⁰. Other theoretical approaches envisioning the divergence of the effective mass at low electron densities utilize the analogy between a strongly interacting 2D electron system and He^{36,7}, Gutzwiller's variational method^{11,12}, or the dynamical mean-field theory¹³. Experimentally, a sharp increase of the effective mass and the spin susceptibility, which is proportional to the product gm , near the critical density for the metal-insulator transition has been observed in a variety of strongly interacting 2D electron systems: silicon metal-oxide-semiconductor field-effect transistors (MOSFETs)^{14–17}, GaAs/AlGaAs heterostructures^{18,19}, AlAs quantum wells²⁰, MgZnO/ZnO heterostructures^{21–23} and SiGe/Si/SiGe quantum wells²⁴, the effect being most pronounced in silicon-based structures. Particularly, the mass renormalization reaches a factor of about 4 in Si MOSFETs and a factor of about 2 in other 2D systems. The dramatic increase of the effective mass at the Fermi level with the tendency to diverge is likely to occur near the quantum Wigner crystal in Si MOSFETs²⁵ or the flat-band state in ultra-clean SiGe/Si/SiGe quantum wells²⁴.

The renormalization of the effective mass is expected to be strongly sensitive to the polarization of spins, according to the following theoretical approaches. The effective mass increase with the degree of spin polarization induced by a parallel magnetic field is predicted in Refs.^{6,7} due to the increase of the fraction of crystallites in the mixed state between the Fermi liquid and the Wigner crystal. In contrast, a decrease of the effective mass with spin polarization is expected from Gutzwiller's variational method, according to Refs.^{12,26}. Calculations of the magnetization within the ring-diagram approximation²⁷ predict an increase of the spin susceptibility with the magnetic field, whereas a decrease of the effective mass with spin polarization follows from numerical calculations going beyond the random-phase approximation²⁸. In measurements of the effective electron mass in

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a dilute two-valley 2D electron system in silicon MOSFETs in tilted magnetic fields, the enhanced value m was found, within the experimental uncertainty, to be independent of the degree of spin polarization²⁹, which is in disagreement with the above-mentioned theories. Measurements of the spin susceptibility in Si MOSFETs were reported in which non-monotonic 10% variations of the spin susceptibility with parallel magnetic field were observed^{30,31}; note that the observed weak change of the spin susceptibility can be due to the change of the g -factor with spin-independent mass. On the other hand, the application of the parallel magnetic field at low electron densities in AlAs quantum wells resulted in a decrease of the effective mass even below the band value in the single-valley case and an about 15% increase of the effective mass in the two-valley case^{20,32}. The contradictory experimental results call for experiments to be performed in alternative 2D electron systems.

In this paper, we report measurements of the effective mass at the Fermi level in the two-valley 2D electron system in ultra-clean SiGe/Si/SiGe quantum wells in tilted magnetic fields. This electron system is distinguished in that (i) the peak electron mobility is almost two orders of magnitude higher than that in the least-disordered Si MOSFETs (110 m²/Vs and 3 m²/Vs, correspondingly); and (ii) the behaviours of the effective mass at the Fermi level and the energy-averaged effective mass are qualitatively different at low electron densities, indicating the band flattening at the Fermi level^{8,9,24}. The effective mass is extracted from the temperature dependence of the weak-field Shubnikov-de Haas (SdH) oscillations in the low-temperature limit. It is enhanced by a factor of ≥ 2 at low electron densities, *i.e.*, in the strongly-interacting regime where the ratio between Coulomb and Fermi energies reaches a factor of about 20. We find that within the experimental uncertainty, the value of the effective mass does not depend on the degree of spin polarization, which points to a spin-independent origin of the effective mass enhancement. The observed effect turns out to be universal for silicon-based 2D electron systems, regardless of random potential, and is in contradiction with existing theories.

Typical SdH oscillations of the resistivity $\rho_{xx}(B)$ are shown in Fig. 1a, with the inset displaying a fragment of the weak-field (sinusoidal) oscillations. The experiments were demanding because at electron densities $\geq 10^{10}$ cm⁻², measuring $\rho_{xx}(B)$ oscillations required weak magnetic fields ~ 0.1 T and low temperatures $\lesssim 0.1$ K. To improve the signal-to-noise ratio, the traces $\rho_{xx}(B)$ were recorded up to 70 times and then averaged. Temperature dependence of the amplitude, A , of the weak-field SdH oscillations for the normalized resistance, R_{xx}/R_0 (where R_0 is the average resistance), is displayed in Fig. 1b. To determine the effective mass, we fit the data for $A(T)$ using the Lifshitz-Kosevich formula^{33,34}

$$A(T) = A_0 \frac{2\pi^2 k_B T / \hbar \omega_c}{\sinh(2\pi^2 k_B T / \hbar \omega_c)}, \quad A_0 = 4 \exp(-2\pi^2 k_B T_D / \hbar \omega_c), \quad (1)$$

where $\omega_c = eB_{\perp}/mc$ is the cyclotron frequency, $B_{\perp}$ is the perpendicular component of the magnetic field and T_D is the Dingle temperature related to the level width through the expression $T_D = \hbar/2\pi k_B \tau_q$ (here τ_q is the quantum scattering time). Damping of the SdH oscillations with temperature may be influenced by the temperature-dependence of τ_q . However, in our experiments, possible corrections to the effective mass due to the temperature dependence of τ_q are within the experimental uncertainty and do not exceed 10%; note that the temperature corrections to m and τ_q , calculated in Ref.³⁵, are small and can be ignored in our case. The amplitude of the SdH oscillations follows the calculated curve down to the lowest achieved temperatures, which confirms that the electrons were in good thermal contact with the bath and were not overheated.

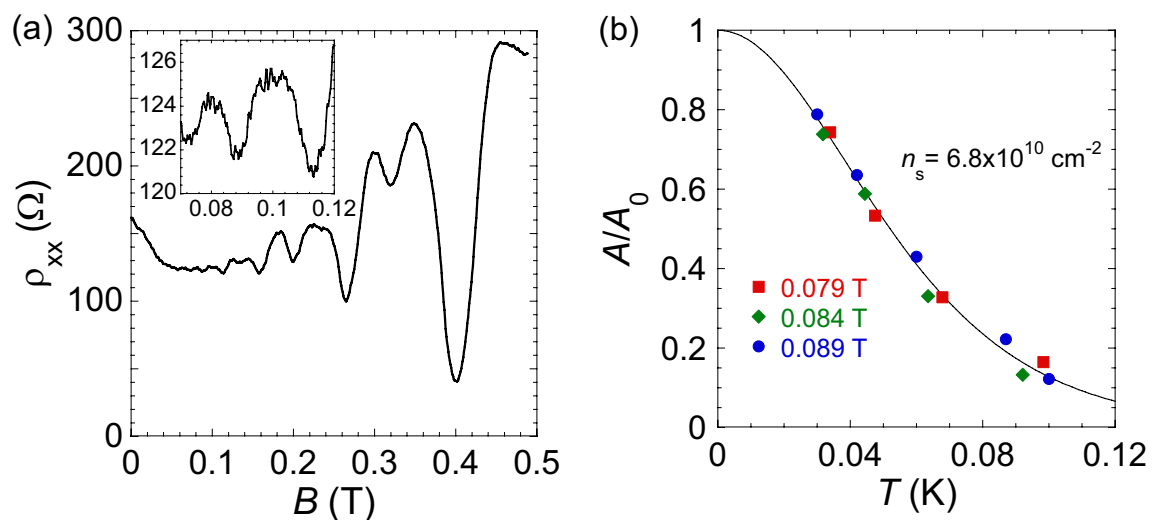


Figure 1. (a) Shubnikov-de Haas oscillations in perpendicular magnetic fields at $T = 30$ mK and $n_s = 3.97 \times 10^{10}$ cm⁻². A fragment of the weak-field SdH oscillations averaged over 32 traces is shown in the inset. (b) Change of the amplitude of the weak-field SdH oscillations in perpendicular magnetic fields with the temperature at $n_s = 6.8 \times 10^{10}$ cm⁻² and $B_1 = 0.079$ T (squares), $B_2 = 0.084$ T (diamonds) and $B_3 = 0.089$ T (circles). The values of T for the data obtained in B_1 and B_2 are multiplied by B_3/B_1 and B_3/B_2 , correspondingly. The solid line is a fit using Eq. (1).

In Fig. 2a, we show the effective mass, normalized by m_0 (where $m_0 = 0.19 m_e$ is the effective mass for noninteracting electrons and m_e is the free electron mass), as a function of the electron density determined by SdH oscillations in perpendicular magnetic fields; the r_s values have been calculated using the simple formula mentioned above (cf. Refs.^{36,37}). In measurements, appreciably lower values of n_s have been reached as compared to previous experiments on this electron system. The effective mass sharply increases with decreasing n_s , in agreement with previously obtained results²⁴. As seen from the inset to the figure, the inverse effective mass extrapolates linearly to zero at a density $n_s \approx 1.0 \times 10^{10} \text{ cm}^{-2}$. This is consistent with the results of measurements of the product gm at the Fermi level, in which case $g \approx g_0$ (where $g_0 = 2$ is the g -factor for noninteracting electrons)³⁶. It is worth noting that the similar dependence of the inverse effective mass on electron density in Si MOSFETs extrapolates to zero at a density $n_s \approx 8 \times 10^{10} \text{ cm}^{-2}$, and both critical densities in SiGe/Si/SiGe quantum wells and Si MOSFETs correspond to approximately the same r_s value, according to Ref.³⁶.

A strong enhancement of the effective mass at the Fermi level in the limit of low electron densities is theoretically expected to be related to spin effects, as mentioned above. To probe a possible influence of spins on the effective mass enhancement, we used tilted magnetic fields to produce a parallel magnetic field component, $B_{\parallel}$, that couples primarily to the electrons' spins; note that the orbital effects for $B_{\parallel}$ due to the finite thickness of the 2D electron system can be neglected in our samples in the range of magnetic fields studied³⁸.

The main result of the paper is shown in Fig. 2b: within the experimental uncertainty, the enhanced effective mass at the Fermi level does not depend on the degree of spin polarization, $p = (B_{\parallel}^2 + B_{\perp}^2)^{1/2} / B_c$ (where B_c is the parallel magnetic field of the complete spin polarization corresponding to the magnetoresistance saturation, determined as described in Ref.²⁴). This indicates that the origin of the mass enhancement in our samples has no relation to the spin exchange effects. This finding is in agreement with the results obtained in Si MOSFETs²⁹.

In Fig. 3, we plot the Dingle temperature, T_D , as a function of the electron density extracted from SdH oscillations in perpendicular magnetic fields. Within the experimental uncertainty, the Dingle temperature changes weakly with electron density at $n_s \gtrsim 4 \times 10^{10} \text{ cm}^{-2}$, while at lower electron densities, the value T_D drops with decreasing n_s . It is worth noting that a similar decrease of the Dingle temperature at low electron densities was observed in Si MOSFETs²⁹; in that electron system, the quantum scattering time τ_q and the transport scattering time, τ , determined from the electron mobility are approximately equal to each other, and the short-range random potential is realized. The decrease of T_D with decreasing electron density is in agreement with the narrowing of the cyclotron resonance line observed at low n_s in Si MOSFETs³⁹. In the inset to Fig. 3, we compare the density dependences of the corresponding quantum scattering time τ_q and the transport scattering time τ . The transport scattering time exceeds by far τ_q , indicating the dominant long-range random potential in the samples; at the same time, the presence of a short-range random potential and electron backscattering is inferred from the pronounced metallic temperature dependence of the resistance in zero magnetic field⁴⁰. In addition, both scattering times converge somewhat at low electron densities. One can indeed expect a decrease of the ratio τ/τ_q at low n_s because for the same transferred wavevector, the decrease of the Fermi wavevector with decreasing

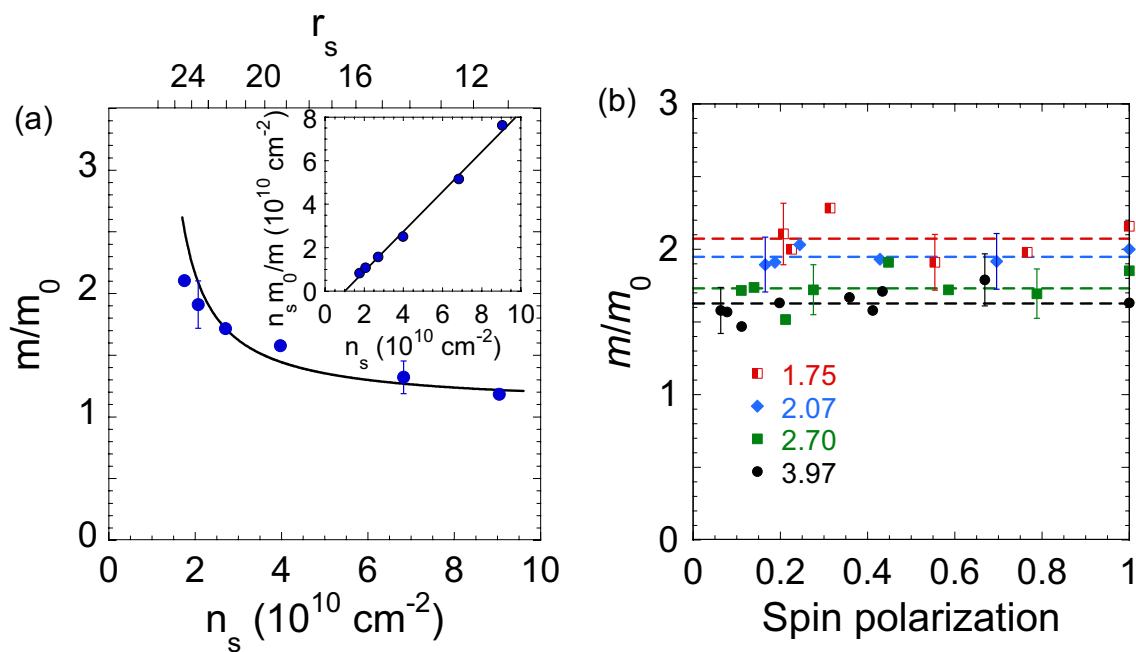


Figure 2. (a) The effective mass as a function of electron density determined by SdH oscillations in perpendicular magnetic fields. Also shown are the r_s values on the top axis. The solid line corresponds to the one in the inset. The inset shows the dependence $n_s m_0/m$ on the electron density. The solid line is a linear fit that extrapolates to zero at $n_s \approx 1.0 \times 10^{10} \text{ cm}^{-2}$. (b) The effective mass vs. the degree of spin polarization for the following electron densities in units of 10^{10} cm^{-2} : 1.75 (half-filled squares), 2.07 (diamonds), 2.70 (squares), and 3.97 (circles). The dashed horizontal lines are guides to the eye.

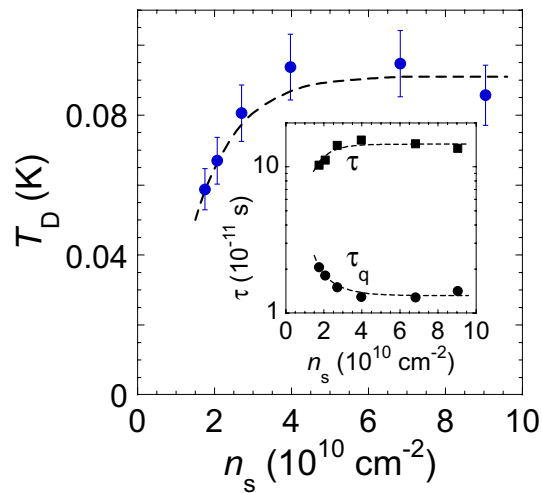


Figure 3. Dingle temperature as a function of the electron density extracted from SdH oscillations in perpendicular magnetic fields. The dashed line is a guide to the eye. The inset shows the density dependence of the corresponding quantum scattering time τ_q (circles) and the transport scattering time τ (squares) recalculated from the electron mobility. The dashed lines are guides to the eye.

electron density should lead to a larger-angle electron scattering and smaller ratios τ/τ_q ⁴¹. Both scattering times are expected to vanish in the insulating phase (for more on this, see Ref.¹⁴).

The independence of the strongly enhanced effective mass at the Fermi level of the degree of spin polarization, observed in ultra-clean SiGe/Si/SiGe quantum wells, is concurrent with the results obtained in Si MOSFETs, although the random potentials in these electron systems are very different. We arrive at a conclusion that the observed effect is universal for silicon-based 2D electron systems, regardless of random potential.

Although the theoretically predicted divergence of the effective mass at low densities due to the electron interaction effects^{6–13} agrees with the experiments, the observed spin polarization independence of the effective mass cannot be explained by existing theories. Particularly, within the models of Refs.^{6,7,27}, the effective mass is expected to increase with spin polarization, while the prediction of Refs.^{12,26,28} is the opposite. Note that in contrast to the irrelevance of spin effects in the observed behaviour of the effective mass, the exchange and correlation effects manifest themselves in the observed increase in the critical density for the metal-insulator transition in spin-polarizing magnetic fields (see, e.g., Refs.^{42,43}). Since two or more competing mechanisms or states are in play, the problem of the interaction-enhanced effective mass in spin-polarizing magnetic fields is very complicated and requires considerable theoretical efforts.

In summary, we have found that at low electron densities in the strongly interacting 2D electron system in ultra-clean SiGe/Si/SiGe quantum wells, the effective mass at the Fermi level is strongly enhanced and independent of the degree of spin polarization, which indicates that the mechanism underlying the effective mass enhancement is unrelated to the electrons' spins. The observed effect turns out to be universal for silicon-based 2D electron systems, regardless of random potential, and is not explained by existing theories.

Methods

The samples were double-gate ultra-high mobility SiGe/Si/SiGe quantum wells similar to those described in detail in Refs.^{38,40,44}. The approximately 15 nm wide silicon (001) quantum well is sandwiched between Si_{0.8}Ge_{0.2} potential barriers. The peak electron mobility in these samples reached 110 m²/Vs. The samples were patterned in Hall-bar shapes with the distance between the potential probes of 150 μ m and width of 50 μ m using standard photo-lithography. Measurements were carried out in an Oxford TLM-400 dilution refrigerator. Data were taken by a standard four-terminal lock-in technique in a frequency range 1–11 Hz at currents 0.03–4 nA in the linear regime of response.

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Author contributions

This project was conceived, planned and executed by M.Y.M., A.A.Shashkin and S.V.K. Data were taken by M.Y.M., A.A.Shakirov and A.A.Shashkin. The SiGe/Si/SiGe wafers were grown by S.-H.H. and C.W.L. and processed by M.Y.M. and A.A.Shashkin. The data analysis was made by M.Y.M., A.A.Shashkin and S.V.K. The manuscript was composed by A.A.Shashkin and S.V.K. All the authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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