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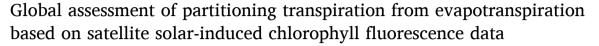
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# Research papers



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#### ABSTRACT

An accurate assessment of terrestrial ecosystem transpiration (T) is important to understand the vegetationatmosphere feedbacks under climate change. Solar-induced chlorophyll fluorescence (SIF) shows great potential to estimate T because of its mechanical linkage with photosynthesis and stomatal conductance. However, a global and spatially estimation of terrestrial T based on remotely sensed SIF remains unresolved and novel strategies are challenged to entail a precise partition of T from evapotranspiration (ET) across various biomes. Here, with far-red SIF from Sentinel-5 Precursor satellite and ground observations for a total of 30 sites encompassing ten primary plant functional types (PFTs), we extend a SIF-driven semi-mechanism canopy conductance (gc) model for different plant functional types (PFTs), and use the optimized Penman-Monteith model (PMont) to calculate T and T/ET. We reveal that the relationship between SIF and the product of g<sub>C</sub> and 0.5 power of vapor pressure deficit ( $g_c \times VPD^{0.5}$ ) is tighter than the relationship between SIF and ecosystem productivity. The SIF- $g_c \times VPD^{0.5}$  linear regressions show improved  $R^2$  and increased magnitude in slopes across PFTs when aggregating daily to 16-day. Our T/ET results show high correlations with the results of the Ball-Berry-Leuning model combined with  $PM_{opt}$  at the site scale ( $R^2 = 0.69$ ), and with the results calculated by leaf area index in a previous study at the PFT scale (0.70). We further determine the global mean T/ET (0.57  $\pm$ 0.14), close to the ensemble mean of global averaged T/ET (0.55), using 36 different methods. The global T estimated using the SIF-based approach is compared with two other remote sensing products. Our method provides a valuable tool for T and ET estimation using remote sensing data and is critical to understanding ecohydrological processes under climate change.

#### 1. Introduction

Terrestrial Evapotranspiration (ET), a fundamental component of the terrestrial ecosystem water cycle, substantially influences climate change, water availability, and land surface energy balance (Milly et al., 2005; Trenberth et al., 2009; Zeng et al., 2017). The proportion of global precipitation returning to the atmosphere via ET is close to 60%, higher in arid and semi-arid zones (Mu et al., 2011). Different components of ET -interception evaporation (I), evaporation (E) and transpiration (T) -react to climatic changes, atmospheric composition, and land use differently (Wei et al., 2017). T is the primary component in ET that

involves soil moisture uptake from the root and water vapor loss through plant stomates (Schlesinger and Jasechko, 2014). As T is directly linked to photosynthesis via stomatal conductance ( $g_s$ ), it has long been acknowledged that quantification of T plays a crucial role in water resource management, crop yield estimation, water cycle, and climate change. However, it is still challenging to partition ET into its subcomponents at the regional and global scales. Significant variations in the ratio of T to ET (T/ET) have been reported from 47%  $\pm$  10% in the Mediterranean shrubland with low Leaf Area Index (LAI) to 70%  $\pm$  14% in the Tropical rainforest with high LAI (Schlesinger and Jasechko, 2014; Wang et al., 2014). Global estimates of T/ET vary from  $\sim$  35% to

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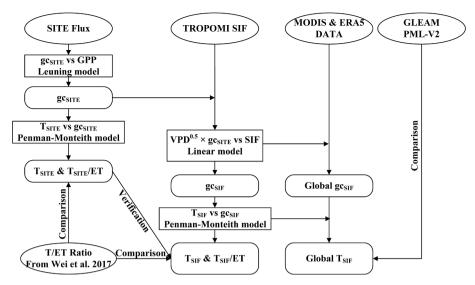


Fig. 1. The Workflow of this study.

 $\sim$  80% derived from different methods (Coenders-Gerrits et al., 2014). The high uncertainties of ET components partition hinder our understanding of ET subcomponents' authentic variation characteristics and their interactions in the carbon and water cycle of terrestrial ecosystems.

Several methods for partitioning T from ET have been used, including direct and indirect methods from the plot to the ecosystem scale (Kool et al., 2014; Stoy et al., 2019). At the plot scale, these mainly include the sap flow method, the gas-exchange chambers method, the micro-lysimeter method, and the isotopic method (Sun et al., 2019). These approaches can only determine the T/ET within a limited range and would create considerable uncertainty when extrapolating to ecosystem scale (Kool et al., 2014). Ways for partitioning E and T at the ecosystem scale generally combine ecosystem-scale observations with satellite-based algorithms, which can upscale E and T from ecosystem scale to regional or global scale. These approaches include empirical models based on the relationship between LAI and T/ET (Wang et al., 2014; Wei et al., 2017), thermal imaging (Marshall et al., 2016), wateruse efficiency (WUE) combined with optimality theory assumption (plants minimize water loss per unit carbon dioxide ( $CO_2$ ) gain) (Nelson et al., 2018; Scott and Biederman, 2017; Zhou et al., 2016), flux variance similarity (Scanlon and Kustas, 2010), and conditional eddy-covariance method (Zahn et al., 2022). The first two methods that use leaf attributes of the ecosystem from satellite observations, can capture the trend of T/ ET over all ecosystems. Nevertheless, there are still significant variations in T/ET among ecosystems when leaf attributes are comparable (Sun et al., 2019). The latter two methods, which rely on plant carbon-water coupling characteristics, can precisely partition T from ET across all ecosystems. However, they require a reliable estimation of vegetation productivity (GPP) or canopy conductance (g<sub>c</sub>), especially when applied at the regional or global scales in conjunction with remote sensing techniques (Nelson et al., 2020).

Remote sensing approaches have also been widely used in global ET estimation (Wang and Dickinson 2012). These approaches mainly contain (1) surface energy balance (SEB) based method, including single-source SEB model and dual-source SEB model, such as the operational Simplified Surface Energy Balance (SSEBop) developed by Senay et al. (2013); (2) water balance (WB) based method, including surface water balance and atmospheric water balance, such as the WB with Model Tree Ensemble (WB-MTE) developed by Zeng et al. (2014); (3) Penman-Monteith (PM) method, such as the Moderate Resolution Imaging Spectroradiometer (MODIS) ET product (PM-MOD) developed by Mu et al. (2011) and the Penman-Monteith-Leuning model (PML and PML-V2) developed by Zhang et al. (2016b, 2019b); (4) Priestley-Taylor (PT) method, such as the Global Land Evaporation Amsterdam Model

(GLEAM) developed by Martens et al. (2017); (5) Surface temperaturevegetation index space (Ts-VI) method, such as the Surface Energy Balance System (SEBS) developed by Su (2002); (6) maximum entropy production method (MEP) applied to global estimation by Huang et al. (2017); (7) empirical or machine learning (EML) method, such as the Gridded FLUXNET ET with Model Tree Ensemble (GFET-MTE) developed by Jung et al. (2010); and (8) Assimilation method, such as the North American Land Data Assimilation System (NLDAS) developed by Xia et al. (2012). The common disadvantage of SEB, WB, Ts-VI, and MEP is only available for clear-sky. The drawbacks of WB include its inability to compute gridded ET values directly and its poor spatiotemporal resolution. The simplification of physical processes is a restriction shared by both PT and EML. PM can overcome these flaws, after acquiring highquality meteorological forcing and improving the gc estimate (Zhang et al., 2016a). However, gc is closely coupled with photosynthesis, and improving estimations of gc needs optimizing GPP modelling.

Spaceborne solar-induced chlorophyll fluorescence (SIF) has emerged as an essential technique for optimizing GPP estimation (Joiner et al., 2011; Li et al., 2018; Sun et al., 2017). Satellite sensors used for SIF retrieval in terrestrial vegetation include the Meteorological Operational satellite - Global Ozone Monitoring Experiment-2 sensor; the Orbiting Carbon Observatory; the Sentinel-5 Precursor - TROPOspheric Monitoring Instrument (TROPOMI); and other sensors (Mohammed et al., 2019). TROPOMI observations offer an excellent spatial and temporal resolution, which improves global estimates of GPP over previous satellite SIF data (Zhang et al., 2019c). SIF has been used to estimate g<sub>c</sub> and T because it has a tight physical relationship with GPP (Damm et al., 2018; Lu et al., 2018; Maes et al., 2020; Pagan et al., 2019; Shan et al., 2019). The SIF-T connection is dominated by air temperature and intrinsic WUE (Maes et al., 2020) and also is affected by Photosynthetically Active Radiation (PAR), Vapor Pressure Deficit (VPD), and LAI (Lu et al., 2018). Moreover, SIF-based T retrieval models, including a WUE-based model and a conductance-based model, have been constructed from the standpoint of plant physiology (Feng et al., 2021; Shan et al., 2021). These SIF-based models perform well at the site scale. However, these SIF-based models are challenged to apply for quantifying T/ET and to employ in different ecosystems on the global scale.

The main objective of this study is to quantify T/ET on the global scale by using the SIF-constrained  $g_c$  model. Specifically, we aim to: (1) develop a plant functional type (PFT) specific SIF-driven semi-mechanism  $g_c$  model (denoted as  $g_c$ -SIF model); (2) partition ET across different PFTs worldwide combing the PM equation and SIF-constrained  $g_c$ ; (3) apply the  $g_c$ -SIF model to global T estimation in the 2018 growing season.

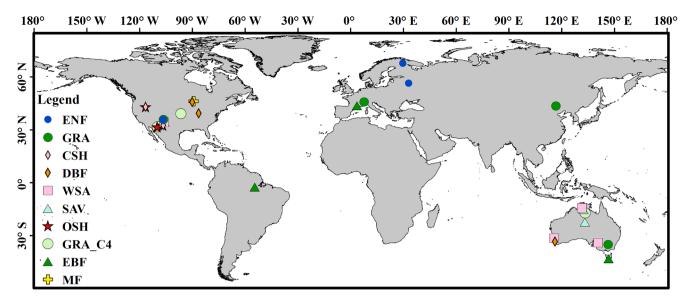


Fig. 2. The distribution of flux sites in this study.

#### 2. Materials and methods

#### 2.1. Workflow

First, we calculated  $g_c$  at 30 eddy-covariance (EC) flux tower sites using the Ball-Berry-Leuning (BBL) model (denoted as  $g_{cSITE}$ ) covering ten different PFTs (see 2.3.1 for details). Second,  $g_{cSITE}$  was used to calculate and validate the  $g_c$ -SIF model and simulate  $g_c$  based on TRO-POMI SIF data (denoted as  $g_{cSIF}$ ) (see 2.3.2 for details). Third,  $g_{cSITE}$  and  $g_{cSIF}$  combined with the optimized PM model (PMopt) were used to calculate T (denoted as  $T_{SITE}$  and  $T_{SIF}$ , respectively) for all sites (see 2.3.3 for details). Fourth, the results of  $T_{SIF}$  and  $T_{SIF}/ET$  were compared to previous studies at the ecosystem scale and on the global scale (see 2.3.4 for details). Fifth, a global and spatial estimation of terrestrial T was obtained using the  $g_c$ -SIF model and the PMopt as well as SIF and other relevant data at a 16-day temporal resolution, represented as daily global T estimation. Finally, the global T estimates were compared to current state-of-the-art T estimates such as GLEAM and PML-V2. A detailed flowchart for data processing is shown in Fig. 1.

# 2.2. Dataset

# 2.2.1. Far-red SIF data from TROPOMI

SIF from the Sentinel 5 Precursor satellite was retrieved by using a singular value decomposition technique in the window of  $743 \sim 758 \text{ nm}$ and normalized to the SIF at 740 nm (Köhler et al., 2018). It was almost daily continuous global coverage with a spatial resolution of 7 km imes 3.5km at the nadir and 7 km  $\times$  14.5 km at the edge of the swath. It was available from February 2018, and our study period ran from February 2018 to July 2019. To avoid cloud impacts, we first filtered out the original SIF with cloud fractions>0.2. Second, the instantaneous SIF was transformed to daily means using the day-length correction factor before our investigation (Frankenberg et al., 2011). The daily mean SIF for each site was determined as the average of all available observations within a 10 km radius buffer centered by the site location, which can well capture the footprint of EC flux (Fig. A1). The ungridded daily mean SIF was aggregated to  $0.1^{\circ} \times 0.1^{\circ}$  gridded daily SIF data to estimate global T during the 2018 growing season. The 16-day SIF was further aggregated by averaging daily mean SIF (ungridded and gridded) over the 16 days when data was available for at least five days.

# 2.2.2. EC flux dataset

We collected a total of 30 EC flux sites after checking the availability

of data to match the period of SIF distributed over America, Europe, Australia and China (Fig. 2 and Table A1), and all these sites have data records covering at least one entire growing season from February 2018 to July 2019. The growing season was defined as the five consecutive months with the highest ecosystem productivity in a year, as determined by a multi-year average of the recent five years. The 30 flux sites contain 10 different PFTs, comprising 3 evergreen needle forests (ENF) sites, 3 evergreen broadleaf forests (EBF) sites, 3 deciduous broadleaf forests (DBF) sites, 1 mixed forest (MF) site, 3 closed shrublands (CSH) sites, 4 open shrublands (OSH) sites, 3 woody savannas (WSA) sites, 3 savannas (SAV) sites, 4 C3 grasslands (GRA) sites, 3 C4 grasslands (GRA<sub>C4</sub>) sites.

Flux data and relevant auxiliary data were available at AmeriFlux (https://ameriflux.lbl.gov), European Eddy Fluxes Database Cluster (https://www.europe-fluxdata.eu/), OzFlux (https://data.ozflux.org.au/) and few collaborating researchers. Flux data included net ecosystem exchange flux (unit:  $\mu mol\ m^{-2}\ s^{-1}$ ), latent heat flux (LE, unit: W  $m^{-2}$ ), and ground heat flux (G) (or sensible heat flux) (unit: W  $m^{-2}$ ). Auxiliary data contained net radiation ( $R_n$ , unit: W  $m^{-2}$ ), surface pressure (P, unit: kPa), 2 m temperature ( $T_{air}$ , unit:  $^{\circ}$ C), soil temperature (unit:  $^{\circ}$ C), CO2 concentration ([CO2], unit: parts per million, ppm), friction velocity (u\*, unit: m s $^{-1}$ ), wind speed (u, unit: m s $^{-1}$ ), canopy height ( $h_c$ , unit: m), measurement height ( $h_m$ , unit: m), and air relative humidity (RH, unitless). If  $R_n$  was not directly available,  $R_n$  was calculated by the following formula:

$$R_n = R_{ns} + R_{nl} = R_s \downarrow -R_s \uparrow + R_l \downarrow -R_l \uparrow$$
 (1)

where  $R_{ns}$ ,  $R_{nl}$ ,  $R_s \downarrow$ ,  $R_s \uparrow$ ,  $R_l \downarrow$ ,  $R_l \uparrow$  were surface net solar radiation, surface net thermal radiation, downward solar radiation, upward solar radiation, downward thermal radiation, and upward thermal radiation in W m<sup>-2</sup>, respectively. VPD could substitute for RH, because RH and  $T_{air}$  were used to compute VPD by Tetens's formula:

$$VPD = e_{sat} \times (1 - RH) \tag{2}$$

$$e_{sat} = 0.61078 \times e^{\left(\frac{17.27 \times T_{air}}{T_{air} + 257.3}\right)}$$
 (3)

where VPD was in kPa, and e<sub>sat</sub> is saturated vapour pressure in kPa.

The following processes aimed to make a rigorous quality check to identify reliable half-hour flux observations. First, a standard processing was carried out for the original flux data, including u\* filtering, gap filling, and flux partitioning (Wutzler et al. 2018). Specifically, a day-time carbon flux partitioning algorithm was used for calculating GPP

**Table 1**The empirical parameters used in the BBL model.

Туре	m	$g_0$	Reference
GRA <sub>C4</sub>	4	0.04	Ran et al. (2017)
BF (EBF & DBF)	12	0.01	Sprintsin et al. (2012)
ENF	10	0.01	Sprintsin et al. (2012)
OSH	8	0.0011	Chen et al. (2012)
CSH	9	0.01	Ran et al. (2017)
GRA	9	0.01	Ran et al. (2017)
SAV	9	0.01	Ran et al. (2017)
WSA	9	0.01	Ran et al. (2017)
MF	11	0.01	Average of BF and ENF

(Lasslop et al., 2010). Second, measured data without gap-filling was employed in this study except for standard processing of flux data. Third, half-hourly data were averaged into hourly data to standardize the calculation process. Fourth, observations with negative  $R_{\rm n}$ , GPP, LE, and VPD were eliminated. Fifth, we used day-time observations from 6:00 to 18:00. Data availability after quality control is given in Table A2 at each site. In this study, daily values were computed only for days with at least 8 measured hour measurements, and 16-day values were calculated only for the 16-days with at least 3 recorded day measurements.

#### 2.2.3. ERA5-Land data

For the global T estimation, we used the fifth European Centre for Medium-Range Weather Forecasts (ECMWF) ReAnalysis for land (hourly dataset ERA5-Land) as auxiliary data, which is the latest global land-surface climate reanalysis dataset with  $0.1^{\circ} \times 0.1^{\circ}$  resolution (ECMWF, 2017). The uncertainty of ERA5-Land was defined by the ensemble of data assimilations system, which confirms that the data was reliable from February 2018 to July 2019. The hourly ERA5-Land variables used in this study included  $T_{air}$  (unit: K), 2 m dewpoint temperature ( $T_{dew}$ , unit: K),  $R_{ns}$  (unit: J m $^{-2}$ ),  $R_{nl}$  (unit: J m $^{-2}$ ), and P (unit: Pa). The units of all variables were converted to be consistent with the site flux dataset. The  $T_{dew}$  was used to calculate the VPD in kPa by the following equation:

$$RH = \frac{e_{sat,dew}}{e_{sat}} \tag{4}$$

$$VPD = e_{sat} \times \left(1 - \frac{e_{sat,dew}}{e_{sat}}\right) = e^{\left(\frac{17.27 \times T_{dir}}{T_{dir} + 237.3}\right)} - e^{\left(\frac{17.27 \times T_{dew}}{T_{dew} + 237.3}\right)}$$
(5)

where  $e_{sat,dew}$  is the saturated vapor pressure at dew point temperature in kPa.  $R_n$  was calculated by the Eq. (1). Data with negative  $R_n$  and VPD was excluded. The hourly data was aggregated to daily data by averaging day-time data from 6:00 to 18:00.

# 2.2.4. MODIS data

MODIS data were obtained from google earth engine (GEE) MODIS collection 6 products from February 2018 to July 2019. The MCD15A3H.006 LAI and fPAR (i.e., fraction of PAR absorbed by vegetation) dataset (Myneni et al., 2015), have a spatial resolution of 500 m and a temporal resolution of 4-day, which were used to calculate g<sub>cSITE</sub>. The MCD12Q1.006 land cover dataset at 500-m spatial resolution is annual land cover types (Friedl et al., 2010), and the year 2018 was used in this study. The international geosphere-biosphere programme classification scheme was used to provide PFT-specific information. The MOD16A2.006 ET dataset in 2018 was applied to magnify T/ET from PFT to global (Mu et al., 2011).

A Savitzky-Golay filter was utilized for each pixel to eliminate noise contaminations for LAI and fPAR data (Savitzky and Golay, 1964). The quality control was checked using the quality assurance layer, and pixels not contaminated by clouds and aerosols were selected as reliable observations. The LAI and fPAR around each flux tower site were extracted by averaging all available observations within a 1 km radius around the

site location. To estimate T for different PFTs, the land cover data was aggregated to  $0.1^{\circ} \times 0.1^{\circ}$  resolution by counting the proportions of different land cover types in each grid from the original 500 m resolution, and the dominant biome type was assigned to this  $0.1^{\circ} \times 0.1^{\circ}$  grid cell. Similarly, the LAI and fPAR datasets were also aggregated to  $0.1^{\circ} \times 0.1^{\circ}$  resolution to keep the spatial resolution consistent with all other datasets.

### 2.2.5. GLEAM data and PML-V2 data

GLEAM and PML-V2 are widely-used remote sensed products of ET retrieved by PT and PM, which have dataset of different ET components. When this study is in progress, GLEAM and PML-V2 are available from 2018 to 2019. Thus, the GLEAM v3.3b data (Miralles et al., 2011; Martens et al. 2017) and the PML-V2 data (Zhang et al., 2019a) were compared to validate our estimation of T in this study. The daily GLEAM data was available from https://www.gleam.eu/, and provided on a  $0.25^{\circ} \times 0.25^{\circ}$  latitude-longitude grid. The PML-V2 data was collected from GEE with a spatial resolution of 500 m and a temporal resolution of 8-day. The GLEAM data of each flux tower site was directly extracted by its location. The PML-V2 data of each flux tower site was extracted by the same strategies as MODIS LAI data. The GLEAM data were linearly interpolated to  $0.1^{\circ} \times 0.1^{\circ}$  resolution, and the PML-V2 data were averagely aggregated to  $0.1^{\circ} \times 0.1^{\circ}$  resolution to compare their spatial patterns with our results.

#### 2.3. Methods

#### 2.3.1. G<sub>c</sub> estimation

We used the BBL model, a modified version of the Ball-Berry model, to estimate hourly leaf  $g_s$ . And then  $g_c$  was obtained within a big leaf model framework (Sellers et al., 1992). The BBL model is a biochemical model characterizing plants carbon–water coupling processes, in which  $g_s$  is expressed as a function of environmental parameters and net assimilation rate ( $A_n$ ) (Leuning, 1995; Lohammer et al., 1980). The BBL model was given as follows:

$$g_s = 1.6 \times \left[ \frac{m \times A_n}{(C_s - \Gamma) \times (1 + D_s/D_0)} + g_0 \right]$$
 (6)

where  $g_s$  was in unit of m s<sup>-1</sup> or mol m<sup>-2</sup> s<sup>-1</sup>,  $A_n$  was in unit of  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>,  $C_s$  was [CO<sub>2</sub>] at the leaf surface (ppm),  $\Gamma$  was the CO<sub>2</sub> compensation point (ppm), which was set to 40 for C3 plants and 2 for C4 grassland,  $D_s$  was humidity deficit at the leaf surface (kPa),  $D_0$ , was set to 0.35 as an empirically fitted parameter representing the sensitivity of stomata to changes in  $D_s$  (kPa) (Leuning, 1995). m and  $g_0$  were the slope and minimum conductance calculated from empirical data provided in Table 1, respectively. The unit of  $g_s$  was converted from mol m<sup>-2</sup> s<sup>-1</sup> to m s<sup>-1</sup> after a multiplication of the coefficient  $V_m$ .  $V_m$  was calculated according to the following equation:

$$V_m = \frac{8.314 \times (T_{air} + 273.15)}{1000 \times P} \tag{7}$$

Finally, we replaced  $g_0$  with a multiplication of LAI and  $g_0$ , GPP with  $A_n$ , atmosphere [CO<sub>2</sub>] with  $C_s$ , and VPD with  $D_s$ , to upscale all parameters from leaf to canopy scale. Different  $g_c$  models have little influence on the results of  $g_c$  after we compared the daily mean stomatal conductance calculated by the BBL model and the Ball-Berry-Medlyn model (BBM, Fig. A2, Lin et al., 2015; Medlyn et al., 2011).

# 2.3.2. The $g_c$ -SIF model development and calibration

Shan et al. (2021) developed a SIF-driven semi-mechanism  $g_c$  model combining theories on the photosynthetic pathway and optimal stomatal behavior and validated by hourly canopy SIF and concurrent eddy covariance flux observations at both forest and crop ecosystems. The form of this model was expressed as follows:

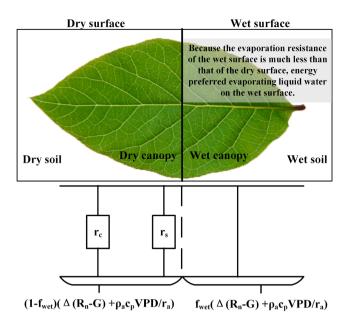


Fig. 3. Schematic plot of ET energy distribution in PM<sub>opt</sub> equation.

**Table 2** Model parameters and validation results of the g<sub>c</sub>-SIF model.

Туре	Model Slope	Model Intercept	RMSE, m s <sup>-1</sup> Pa <sup>0.5</sup>	MAE, m s <sup>-1</sup> Pa <sup>0.5</sup>	R <sup>2</sup>
GRA <sub>C4</sub>	0.0291	0.0015	0.0024	0.0019	0.88
GRA	0.0172	0.0026	0.0027	0.0023	0.50
OSH	0.0095	0.0014	0.0008	0.0007	0.41
SAV	0.023	0.0041	0.0015	0.0014	0.80
CSH	0.0361	0.0023	0.0025	0.0022	0.7
WSA	0.0223	0.0053	0.0024	0.0020	0.75
ENF +	0.0324	0.0042	0.0034	0.0030	0.81
MF					
DBF +	0.0254	0.0077	0.0062	0.0051	0.73
EBF					

$$g_c = VPD^{-0.5} \times (a \times SIF + b) \tag{8}$$

where SIF was in mW m $^{-2}$  nm $^{-1}$  sr $^{-1}$ , a and b were the slope and intercept, which provided the constraint for the relationships between  $g_c$  and SIF for each PFT. We extended this model to 10 different PFTs worldwide using least squares regression and repeated K-Fold cross-validation at the 16-day temporal resolution. We repeated 4 times K-Fold cross-validation for each PFT, with K value of 10. The results for each PFT, including model slope, model intercept, mean square error (MSE), root mean square error (RMSE), mean absolute error (MAE), and goodness of fit ( $R^2$ ), were computed by averaging the results of all the repeated K-Fold cross-validation.

#### 2.3.3. Transpiration estimation

The PM equation was used to estimate T. The original PM equation and relevant formulas were as follows:

$$LE = \frac{\Delta \times (R_n - G) + \rho_a \times c_p \times VPD/r_a}{\Delta + \gamma \times (1 + r_s/r_a)}$$
(9)

$$\Delta = 4098.17 \times e_{sat} / (T_{air} + 237.3)^2 \tag{10}$$

$$\rho_a = \frac{28.9654 \times (P - e_{sat,dew}) + 18.016 \times e_{sat,dew}}{8.314 \times (273.15 + T_{air})}$$
(11)

$$c_p = 1005 \times \left(1 - 0.622 \times \frac{e_{sat,dew}}{P}\right) + 1820 \times \frac{e_{sat,dew}}{P}$$
 (12)

$$\gamma = \frac{c_p \times P}{0.622 \times (-2.2 \times T_{air} + 2500)} \tag{13}$$

$$r_{a} = \frac{\ln\left(\frac{h_{m} - 2 \times h_{c}/3}{0.123 \times h_{c}}\right) \times \ln\left(\frac{h_{m} - 2 \times h_{c}/3}{0.0123 \times h_{c}}\right)}{k^{2} \times \mu}$$
(14)

$$r_s = \frac{1}{G_c} \tag{15}$$

where LE was in W m<sup>-2</sup>,  $\Delta$  was the slope of the saturation vapour pressure temperature relationship in kPa °C<sup>-1</sup>,  $\rho_a$  was the mean air density at constant pressure in kg m<sup>-3</sup>,  $c_p$  was the specific heat of the air in J kg<sup>-1</sup> °C<sup>-1</sup>,  $r_a$  was the aerodynamic resistance in s m<sup>-1</sup>,  $\gamma$  is the psychrometric constant in kPa °C<sup>-1</sup>,  $r_s$  was the surface resistance in s m<sup>-1</sup>, and  $G_s$  was the surface conductance in m s<sup>-1</sup> or mol m<sup>-2</sup> s<sup>-1</sup>. The PM equation was originally used to calculate ET, and was modified to determine T after considering energy distribution between dry and wet surfaces. Energy preferred evaporating liquid water on the wet surface, similar to the parallel circuit system, since the evaporation resistance of the wet surface was considerably lower than that of dry surface, as illustrated in Fig. 3. Moreover,  $G_s$  had to be substituted with  $g_c$  while calculating T. As a result, the PMopt equation for calculating T was as follows:

$$\lambda T = (1 - f_{wet}) \times \frac{\Delta \times (R_n - G) + \rho_a \times c_p \times VPD/r_a}{\Delta + \gamma \times [1 + 1/(g_c \times r_a)]}$$
(16)

$$f_{wet} = \begin{cases} 0.0RH < 70\% \\ RH^4 70\% \le RH \le 100\% \end{cases}$$
 (17)

where  $\lambda T$  was the latent heat flux from T in W m<sup>-2</sup>,  $\lambda$  was the latent heat of vaporization, 2.45 MJ kg<sup>-1</sup>,  $f_{wet}$  was the wet surface fraction from the Fisher et al. (2008) ET model (Fisher et al., 2008).

All abbreviations are listed in Supplemental Table B1.

# 2.3.4. Comparison with previous T/ET estimations

First, T/ET estimated from both the BBL model and the  $g_c$ -SIF model were evaluated using the Pearson correlation analysis at the site scale. Second, we used correlation analysis to examine the relationship between our  $T_{\rm SIF}$ /ET finding and prior multi-site T/ET results (collected by Wei et al. (2017)). Wei et al. (2017) collected the values of T, E, I, ET, and PFT type from 64 individual ground sties. Since these ground sites are different from the sites in our study, we can only compare our results with Wei's results at PFT scale. Third, to further explore the performance of our gc-SIF model, we estimated the global mean  $T_{\rm SIF}$ /ET of terrestrial ecosystem for the 2018 growing season using  $T_{\rm SIF}$ /ET values at the PFT scale and the method from Schlesinger and Jasechko (2014) combined with MODIS ecozone ET (Mu et al., 2011) and land cover product (Friedl et al., 2010, Table 3). Finally, we compared our global  $T_{\rm SIF}$ /ET result to T/ET values using other methodologies reported in the previous literature.

#### 3. Results

# 3.1. Calibration and validation of the gc-SIF model

We first examined the relationship between SIF and both GPP and  $g_{\text{CSITE}} \times \text{VPD}^{0.5}$  for all sites over the growing season at both daily and 16-day temporal scale (Fig. 4). In general, the linear relationship can be observed between daily SIF and GPP with R<sup>2</sup> of 0.50 (Fig. 4a). The SIF-GPP relationship presents an increasing linearity and an improvement after aggregating from daily to 16-days (R<sup>2</sup> increased to 0.56, Fig. 4b). For the majority of sites, SIF shows significantly positive correlations with GPP (p < 0.05, 21 out of 30 sites) at the 16-day temporal scale (Table A3), and the mean site-based R between SIF and GPP is 0.62. The correlation between  $g_{\text{CSITE}} \times \text{VPD}^{0.5}$  and SIF is less scattered and more

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Year	Method	Type of method	T/ET	SD
2005	Gerten et al., LPJ	Climate model	0.65	0.03
2006	Dirmeyer et al., GSWP-2	Climate model	0.48	0.03
2007	Lawrence et al., CLM3	Climate model	0.44	
2009	Alton et al., JULES	Climate model	0.38	0.09
2010	Cao et al., CLM3.5/CAM3.5	Climate model	0.41	0.03
2011	Lawrence et al., CLM3.5	Climate model	0.43	
2011	Lawrence et al., CLM4CN	Climate model	0.56	
2011	Lawrence et al., CLM4CNE	Climate model	0.56	
2011	Lawrence et al., CLM4SP	Climate model	0.48	
2012	Ito and Inatomi, VISIT/Sim-CYCLE	Climate model	0.24	
2014	Wang-Erlandsson et al., STEAM	Climate model	0.59	
2016	Maxwell and Condon, ParFlow-CLM	Climate model	0.62	0.12
2017	Fatichi et al. T&C	Climate model	0.7	0.09
2017	Wei et al. CMIP5	Climate model	0.43	0.12
2018	Lian et al., CMIP5	Climate model	0.41	0.11
2018	Lian et al., CMIP5 constrained	Climate model	0.62	0.06
2018	Yang et al., CLM4.5	Climate model	0.48	
2006	Yoshimura et al., Iso-Matsiro	Isotope	0.31	
2013	Jasechko et al.	Isotope	0.86	0.07
2014	Coenders-Gerrits et al.	Isotope	0.58	0.33
2015	Good et al.	Isotope	0.64	0.13
2014	Wang et al.	LAI-Based model	0.6	0.3
2017	Wei et al.	LAI-Based model	0.57	0.07
2011	Compo et al., NOAA 20CR	Reanalysis Data	0.39	
1998	Choudhury and DiGirolamo	Remote sensing model	0.52	
2011	Miralles et al., GLEAM	Remote sensing model	0.8	
2016	Miralles et al., GLEAM	Remote sensing model	0.76	
2016	Miralles et al., PM-MOD	Remote sensing model	0.24	
2016	Miralles et al., PT-JPL	Remote sensing model	0.56	
2016	Zhang et al., PML	Remote sensing model	0.65	0.04
2019	Mianabadi et al., Gerrits's model	Remote sensing model	0.71	
2019	Mianabadi et al., GLEAM v3.0a	Remote sensing model	0.71	
2019	Zhang et al., PML-V2 (computed by this study)	Remote sensing model	0.55	0.07
2014	Schlesinger and Jasechko	Site statistics	0.61	0.15
2016	Zhou et al.	WUE-based model	0.57	0.04
2019	Li et al.	WUE-based model	0.66	0.15
now	This study, site level SIF model	Site statistics	0.57	0.14

linear compared with the SIF-GPP relationship, with an improved  $R^2$  of 0.69 for daily scale (Fig. 4c) and  $R^2$  of 0.76 for 16-day temporal scales (Fig. 4d) for all sites. At the individual site, 23 of 30 sites demonstrate significant (p < 0.05) associations between SIF and  $g_{cSITE} \times VPD^{0.5}$  (Table A3). The mean site-based R between SIF and  $g_{cSITE} \times VPD^{0.5}$  is 0.48. Most of these sites (12/19 sites) show a stronger linear correlation between SIF and  $g_{cSITE} \times VPD^{0.5}$  than between SIF and GPP. In short, the relationship between SIF and  $g_{cSITE} \times VPD^{0.5}$  at the 16-day scale is strongest among these four relationships.

We further explored the performance of the SIF-g<sub>cSITE</sub>  $\times$  VPD<sup>0.5</sup> linear regressions at both daily and 16-day scales for each biome (Fig. 5). The regression models vary significantly across PFTs, and the R<sup>2</sup> ranges from 0.03 for OSH to 0.6 for GRA<sub>C4</sub> at daily scale. The regressions show improved R<sup>2</sup> (R<sup>2</sup> ranges from 0.28 for OSH to 0.83 for GRA<sub>C4</sub>) and increased magnitude in slopes across PFTs when aggregating daily to 16-day. Correlations between 16-day SIF and g<sub>cSITE</sub>  $\times$  VPD<sup>0.5</sup> are generally high (R > 0.75) for GRA<sub>C4</sub>, CSH, WSA, SAV, ENF + MF and DBF + EBF, but low (0.75 > R > 0.5) for GRA as well as OSH. According to the

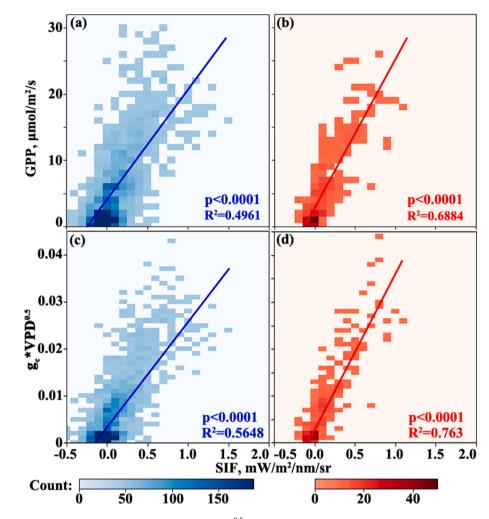


Fig. 4. The relationship between SIF and both GPP ((a) and (b)) and  $g_c \times VPD^{0.5}$  ((c) and (d)) at all sites from daily ((a) and (c)) and 16-day ((b) and (d)) data. All  $R^2$  are statistically significant (p < 0.0001).

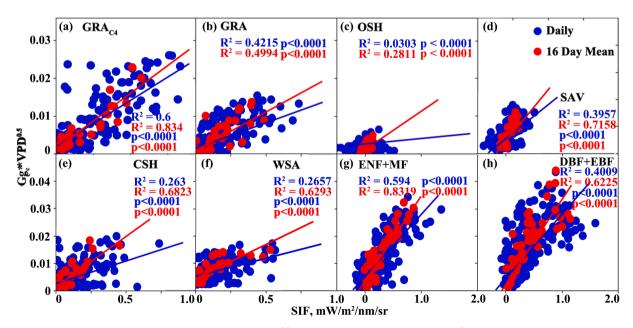


Fig. 5. The relationship between SIF and both GPP and  $g_{\text{cSITE}} \times \text{VPD}^{0.5}$  per PFT from daily and 16-day data. R<sup>2</sup> is calculated by simple correlation analysis.

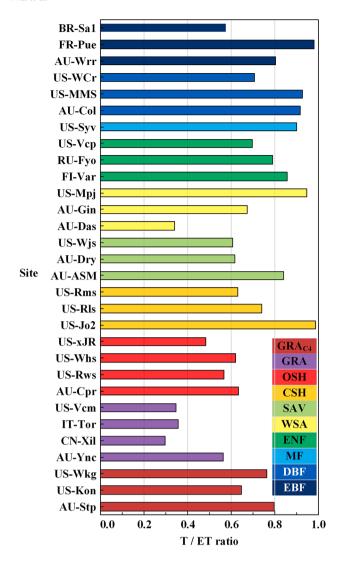


Fig. 6. The  $T_{SIF}/ET$  for each site calculated by the  $g_c$ -SIF model.

significant correlation between SIF and  $g_{cSITE} \times VPD^{0.5}$ , we calculated the slope and intercept per PFT in the  $g_c$ -SIF model (Table 2). The results show that the slopes of CSH and OSH are the greatest and lowest, respectively. The best performance of the model was observed for GRA<sub>C4</sub> ( $R^2 = 0.88$ ), while the worst was obtained for OSH ( $R^2 = 0.41$ ). Similar

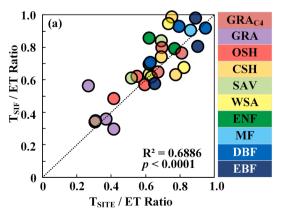
to  $R^2$  between SIF and  $g_{cSITE} \times VPD^{0.5}$ , the model slopes for GRA and OSH are lower than those for other PFTs. Finally, the values of a and b in the  $g_c$ -SIF model across different PFTs are obtained.

## 3.2. T/ET Comparation

T<sub>SIF</sub>/ET at the site scale was calculated using the simulated T<sub>SIF</sub> and the observed ET (Fig. 6). T<sub>SIF</sub>/ET ratio is the greatest for MF (0.90), which is limited to a single site. The lowest T<sub>SIE</sub>/ET ratio is for GRA (0.39). DBF is the PFT with the second greatest  $T_{SIF}/ET$  (0.85). For individual sites, the FR-Pue EBF site has the highest T<sub>SIF</sub>/ET (0.98) of all the sites, while the lowest T<sub>SIF</sub>/ET value (0.30) is seen at the CN-Xil GRA site. Interestingly, for evergreen forests, ENF's mean T<sub>SIE</sub>/ET (0.78) is close to that of EBF (0.78). Due to a high vegetation coverage for CSH, an average T<sub>SIF</sub>/ET ratio of 0.78 is observed. The mean T<sub>SIF</sub>/ET values in WSA, SAV and OSH, are 0.65, 0.69 and 0.57, respectively. Moreover, there is a substantial difference in the T<sub>SIF</sub>/ET for C3 grasslands and C4 grasslands with different CO<sub>2</sub> assimilation pathways, with GRA<sub>C3</sub> having a mean  $T_{SIF}/ET$  value of 0.39 and  $GRA_{C4}$  having a mean  $T_{SIF}/ET$  value of 0.73. Both the BBL model (Fig. A3) and the gc-SIF model provide comparable T/ET values for PFTs, but the ranking of T<sub>SITE</sub>/ET for PFTs with high vegetation coverage is not entirely consistent (MF > EBF > DBF >  $CSH > GRA_{C4} > ENF > WSA > SAV > OSH > GRA)$ .

 $T_{SITE}/ET$  is highly correlated with  $T_{SIF}/ET$  ( $R^2=0.69p<0.001$  Fig. 7a). In addition, our  $T_{SIF}/ET$  values were further compared with the T/ET values from Wei et al. (2017) using data from earlier research (Fig. 7b). The  $R^2$  between our PFT-mean  $T_{SIF}/ET$  values and PFT-mean T/ET from Wei et al. (2017) is 0.70, a significant correlation (p=0.037). The  $R^2$  for PFT-median value is 0.86 (p=0.08). These two comparative studies demonstrate the potential of the  $g_c$ -SIF model in  $T_{SIF}/ET$  estimation across a wide variety of PFTs. GRA has the lowest mean and median T/ET values, while forests, including ENF, DBF and EBF (The last two are referred to BF.), have the highest mean and median T/ET values. SAV, WSA, and shrublands (OSH and CSH), all have mean and median T/ET values around 0.6 with a large standard deviation. But pattern of the mean T/ET values for SAV, WSA, and shrublands are different in our results than in Wei's results. In a word, our  $T_{SIF}/ET$  values are well validated by  $T_{SITE}/ET$  and T/ET from Wei et al. (2017).

To further explore the performance of our  $g_c$ -SIF model, we estimated the global mean  $T_{SIF}/ET$  of terrestrial ecosystem for the 2018 growing season using  $T_{SIF}/ET$  values at the PFT scale and the method from Schlesinger and Jasechko (2014) combined with MODIS ET and landcover product (Table 3). Our growing season global mean  $T_{SIF}/ET$  value is 0.57  $\pm$  0.14. Global mean T/ET values calculated by different methods vary from 0.24 to 0.86, whereas our result falls within this range and close to their mean value. Global mean T/ET values computed



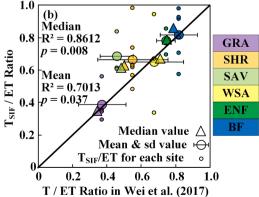


Fig. 7. (a) The correlation between T/ET calculated by the BBL model and by the  $g_c$ -SIF model at the site scale. (b) The correlation between T/ET selected by Wei et al. (2017) and calculated by the  $g_c$ -SIF model at the PFT scale. SHR is shrublands, including OSH and CSH. BF is broadleaf forests, including EBF and DBF. The small points in (b) represent the  $T_{SIF}$ /ET value of each site when the T/ET ratio in Wei et al. (2017) is the average value of each PFT.

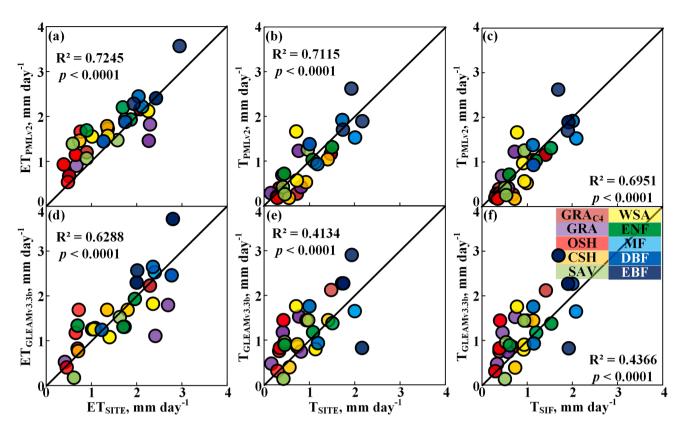


Fig. 8. Comparison of ET<sub>SITE</sub>, T<sub>SITE</sub> and T<sub>SIF</sub> with GLEAM and PML-V2 products. ET<sub>SITE</sub> is ET measured by flux tower. T<sub>SITE</sub> is T calculated by the BBL model. T<sub>SIF</sub> is T calculated by our g<sub>c</sub>-SIF model.

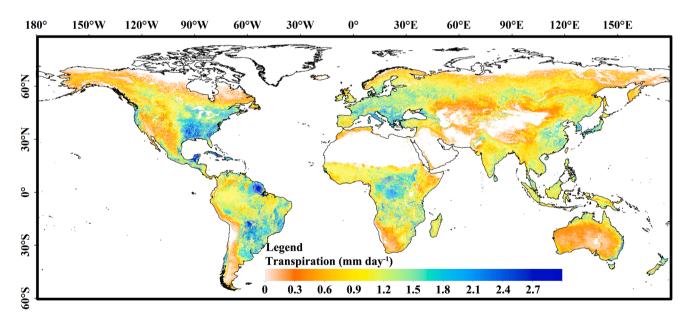


Fig. 9. The average daily T for the 2018 growing season worldwide calculated by our gc-SIF model as well as ERA5-land data and MODIS data.

using climate models, isotopes, LAI-based models, remote sensing models, and WUE-based models are 0.50, 0.60, 0.59, 0.61, and 0.62. The uncertainties (measured as standard deviation, SD) also vary widely across models ranging from 0.03 to 0.33, while our global  $T_{\rm SIF}/ET$  has an SD of 0.14. Moreover, we evaluated the global mean T/ET (0.55  $\pm$  0.07) for 2018 using PML-V2 data. Thus, our growing season global mean  $T_{\rm SIF}/ET$  value is comparable to the average of global mean T/ET values from other methods.

#### 3.3. Global T estimation with the g<sub>c</sub>-SIF model

Our T estimates based on the  $g_c$ -SIF model were compared to those from GLEAM and PML-V2 products at the site scale (Fig. 8). ET simulated by PML-V2 and GLEAM are strongly correlated with ET measured from EC flux tower, with the R values of 0.85 and 0.79. The correlations between the T from PML-V2 and both  $T_{SITE}$  and  $T_{SIF}$  are more significant than those between the ET from PML-V2 and the ET from the flux tower,

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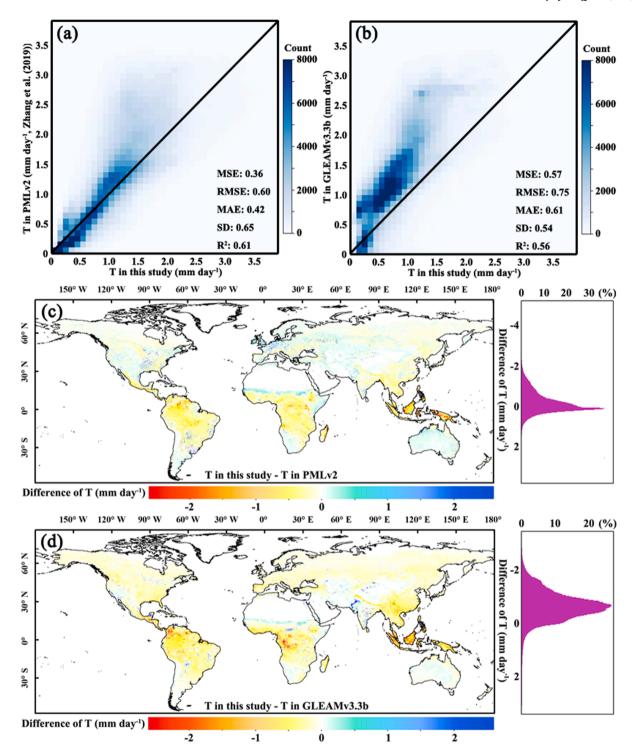


Fig. 10. The comparation of the global average daily T for the 2018 growing season between our result and both PML-V2 and GLEAM products.

while the correlations between the T from GLEAM and both  $T_{SITE}$  and  $T_{SIF}$  are less than those between the ET from GLEAM and the ET from the flux tower. Moreover, for most sites, the T estimated by GLEAM is higher than  $T_{SITE}$  and  $T_{SIF}$ .

The global spatial pattern of the average daily  $T_{SIF}$  for the 2018 growing season is shown in Fig. 9. The daily mean  $T_{SIF}$  value varies between 0 and 3 mm day $^{-1}$  globally (Fig. 9). The growing season mean  $T_{SIF}$  shows the high values ( $T_{SIF} > 1.5$  mm day-1) in the tropical rain forest area (including the Amazon basin and the Congo river basins) and the temperate broad-leaved forest area (including southeastern United

States, southern Europe, and southern China). The intermediate  $T_{SIF}$  values range from 0.8 mm day $^{-1}$  to 1.5 mm day $^{-1}$  in the crop area (e.g., central United States, Sahel area, central and eastern Europe, south Asia, and eastern China) and the ENF area (e.g., southern Canada, northern Europe and northeastern China). The low values  $(T_{SIF} < 0.8 \ \text{mm} \ \text{day}^{-1})$  are in the arid and semi-arid areas, as well as the areas with high latitude and altitude, which are characterized by sparse vegetation.

Our global  $T_{SIF}$  mapping can exhibit the spatial pattern of terrestrial ecosystem T globally, which is consistent with the current global T estimates. This consistency is simultaneously confirmed by the strong

Table A1

The basic information of FLUXNET sites used in this study. Characteristics of the sites include latitude (in degree), longitude (in degree),  $h_m$  (measurement height, in meters),  $h_c$  (canopy height, in meters), and international geosphere-biosphere programme (IGBP) plant functional type (PFT). EBF is evergreen broadleaf forests, ENF is evergreen needleleaf forests, DBF is deciduous broadleaf forests, MF is mixed forests, CSH is closed shrublands, OSH is open shrublands, WSA is woody savannas, SAV is savannas, GRA is C3 grasslands, and GRA<sub>C4</sub> is C4 grasslands.

Site	No.	PFT	Name	Latitude	Longitude	$h_{\rm m}$	$h_c$
AU-ASM	1	SAV	Alice Springs	-22.2828	133.2493	11.6	6.5
AU-Col	2	DBF	Collie	-33.4200	116.2370	35	10
AU-Cpr	3	OSH	Calperum	-34.0027	140.5877	10	2
AU-Das	4	WSA	Daly River Cleared	-14.1592	131.3881	23	16.4
AU-Dry	5	SAV	Dry River	-15.2588	132.3706	15	12.3
AU-Gin	6	WSA	Gingin	-31.3764	115.7139	14.8	6.8
AU-Stp	7	$GRA_{C4}$	Sturt Plains	-17.1507	133.3502	4.8	1.2
AU-Wrr	8	EBF	Warra	-43.0950	146.6545	81	55
AU-Ync	9	GRA	Australia Yanco site	-34.9893	146.2907	8	1.2
BR-Sa1	10	EBF	Santarem-Km67-Primary Forest	-2.85667	-54.95889	57.8	50
CN-Xil	11	GRA	Xilinhot	43.5500	116.6667	5	1.2
FI-Var	12	ENF	Varrio	67.7549	29.6100	16.6	8.61
FR-Pue	13	EBF	Puechabon	43.7413	3.5957	12.2	7
IT-Tor	14	GRA	Torgnon	45.8444	7.5781	2.5	0.5
RU-Fyo	15	ENF	Fyodorovskoye	56.4615	32.9221	31	17
US-Jo2	16	CSH	Jornada Experimental Range Mixed Shrubland	32.5849	-106.6032	7.1	1
US-Kon	17	$GRA_{C4}$	Konza Prairie LTER (KNZ)	39.0824	-96.5603	3	0.5
US-MMS	18	DBF	Morgan Monroe State Forest	39.3200	-86.4100	46	32.2
US-Mpj	19	WSA	Mountainair Pinyon-Juniper Woodland	34.4385	-106.2377	9.33	5
US-Rls	20	CSH	RCEW Low Sagebrush	43.1439	-116.7356	2.09	0.6
US-Rms	21	CSH	RCEW Mountain Big Sagebrush	43.0645	-116.7486	2.5	1.2
US-Rws	22	OSH	Reynolds Creek Wyoming big sagebrush	43.1675	-116.7132	2.05	0.6
US-Syv	23	MF	Sylvania Wilderness Area	46.2420	-89.3477	36	21.8
US-Vcm	24	GRA	Valles Caldera Mixed Conifer	35.8884	-106.5321	23.6	19.1
US-Vcp	25	ENF	Valles Caldera Ponderosa Pine	35.8642	-106.5967	23.8	21
US-WCr	26	DBF	Willow Creek	45.8059	-90.0799	29.6	18
US-Whs	27	OSH	Walnut Gulch Lucky Hills Shrub	31.7438	-110.0522	6.5	0.5
US-Wjs	28	SAV	Willard Juniper Savannah	34.4255	-105.8615	8	2
US-Wkg	29	$GRA_{C4}$	Walnut Gulch Kendall Grasslands	31.7365	-109.9419	6.4	0.3
US-xJR	30	OSH	NEON Jornada LTER (JORN)	32.5907	-106.8425	6.5	0.8

**Table A2**The data availability after quality control at all sites.

	-J 1 J		
Site ID	Site name	PFT	Number of hourly data
1	AU-ASM	SAV	1108
2	AU-Col	DBF	2297
3	AU-Cpr	OSH	3825
4	AU-Das	WSA	2291
5	AU-Dry	SAV	2427
6	AU-Gin	WSA	2782
7	AU-Stp	GRA <sub>C4</sub>	1490
8	AU-Wrr	EBF	1054
9	AU-Ync	GRA	3299
10	BR-Sa1	EBF	1679
11	CN-Xil	GRA	1764
12	FI-Var	ENF	1804
13	FR-Pue	EBF	1693
14	IT-Tor	GRA	3228
15	RU-Fyo	ENF	3501
16	US-Jo2	CSH	1135
17	US-Kon	GRA <sub>C4</sub>	1437
18	US-MMS	DBF	3052
19	US-Mpj	WSA	1837
20	US-Rls	CSH	1806
21	US-Rms	CSH	1781
22	US-Rws	OSH	933
23	US-Syv	MF	2818
24	US-Vcm	GRA	2427
25	US-Vcp	ENF	2316
26	US-WCr	DBF	3595
27	US-Whs	OSH	2891
28	US-Wjs	SAV	3512
29	US-Wkg	GRA <sub>C4</sub>	765
30	US-xJR	OSH	2173
	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29	1 AU-ASM 2 AU-Col 3 AU-Copr 4 AU-Das 5 AU-Dry 6 AU-Gin 7 AU-Stp 8 AU-Wrr 9 AU-Ync 10 BR-Sa1 11 CN-Xil 12 FI-Var 13 FR-Pue 14 IT-Tor 15 RU-Fyo 16 US-Jo2 17 US-Kon 18 US-MMS 19 US-Mpj 20 US-Rls 21 US-Rms 22 US-Rws 23 US-Syv 24 US-Vcm 25 US-Vcp 26 US-Wcr 27 US-Whs 28 US-Wjs 29 US-Wkg	1 AU-ASM SAV 2 AU-Col DBF 3 AU-Cpr OSH 4 AU-Das WSA 5 AU-Dry SAV 6 AU-Gin WSA 7 AU-Stp GRA <sub>C4</sub> 8 AU-Wrr EBF 9 AU-Yrc GRA 10 BR-Sa1 EBF 11 CN-Xil GRA 12 FI-Var ENF 13 FR-Pue EBF 14 IT-Tor GRA 15 RU-Fyo ENF 16 US-Jo2 CSH 17 US-Kon GRA <sub>C4</sub> 18 US-MMS DBF 19 US-Mpj WSA 20 US-RIS CSH 21 US-Rms CSH 22 US-Rws OSH 23 US-Syv MF 24 US-Vcm GRA 25 US-Wcr DBF 26 US-Wcr DBF 27 US-Whs OSH 28 US-Whs OSH 28 US-Whs OSH 29 US-Wgs SAV 29 US-Wgs GRA <sub>C4</sub>

correlation with the PML-V2 product ( $R^2=0.61$ ; RMSE = 0.60 mm day<sup>-1</sup>) and the GLEAM product ( $R^2=0.56$ ; RMSE = 0.75 mm day<sup>-1</sup>) on a per pixel basis (Fig. 10). In most places, the magnitudes of T from GLEAM products are higher than our results. The T of PML-V2 product exceeds our findings only in the areas with high T intensity (T > 1.5 mm day<sup>-1</sup>), mostly distributed in the tropics. In the arid and semi-arid areas, as well as some crop areas, the T of PML-V2 and GLEAM products is lower than our results.

#### 4. Discussion

Accurate assessment of the contribution of T to ET is critical for understanding terrestrial ecosystem carbon and water cycle (Good et al., 2015; Wei et al., 2018). This study constructed a  $g_c$ -SIF model to simulate  $g_c$  and T based on satellite SIF data. The model is used to estimate the global mean T/ET and T values for the growing season of the year 2018.

#### 4.1. Influencing factors of partitioning T from ET based on SIF

Our study shows that SIF links closely with GPP at different temporal scales (Fig. 4), consistent with previous findings (Guanter et al., 2014; Yang et al., 2015). The mechanic linkage between photosynthesis and SIF provides a valuable opportunity to estimate T, since GPP and T are coupled through stomatal function (Stoy et al., 2019). However, SIF cannot directly and exclusively estimate T, owing to the impact of confounding factors, such as micro-meteorological conditions, plant physiological characteristics, and canopy structure (Damm et al., 2018; Maes et al., 2020). A lucubration of the SIF-T relationship prompts researchers to develop two techniques for T retrieval via SIF, including the SIF-gc empirical relationship-based model (Shan et al., 2019) and the mechanism model (Feng et al., 2021; Shan et al., 2021). We adopt the mechanism framework proposed by Shan et al. (2021) to connect SIF

Table A3 The correlations between SIF<sub>TRO</sub> and both  $g_c \times \text{VPD}^{0.5}$  and GPP at all sites.  $R^2$  is the coefficient of determination. The count is the number of useful 16-day observations. R is the Pearson correlation coefficient. The red numbers in column R for  $g_c \times \text{VPD}^{0.5}$  indicate that R for  $g_c \times \text{VPD}^{0.5}$  is greater than R for GPP.

ID	Count	PFT	R for GPP	p value for GPP	R for g <sub>c</sub> ×	p-value for g <sub>c</sub> ×
				1	$\mathrm{VPD}^{0.5}$	$\mathrm{VPD}^{0.5}$
1	3	SAV	-0.3183	>0.05	-0.8535	< 0.05
2	28	DBF	-0.5499	< 0.05	0.0024	>0.05
3	32	OSH	-0.5223	< 0.05	-0.4066	< 0.05
4	20	WSA	0.4238	< 0.05	0.9281	< 0.01
5	23	SAV	0.178	>0.05	0.5255	< 0.05
6	29	WSA	0.1778	>0.05	0.1546	>0.05
7	25	$GRA_{C4}$	0.9963	< 0.01	0.8383	< 0.01
8	6	EBF	-0.8648	< 0.05	-0.8811	< 0.01
9	33	GRA	0.9295	< 0.01	0.9442	< 0.01
10	18	EBF	0.6955	< 0.01	-0.2214	>0.05
11	10	GRA	0.829	< 0.01	0.8345	< 0.01
12	14	ENF	0.907	>0.01	0.9272	< 0.01
13	11	EBF	0.3516	< 0.05	0.333	>0.05
14	16	GRA	0.5216	< 0.01	0.5868	< 0.05
15	30	ENF	0.8762	< 0.01	0.8818	< 0.01
16	15	CSH	0.5744	< 0.01	0.06	>0.05
17	15	$GRA_{C4}$	0.8318	< 0.01	0.8382	< 0.01
18	32	DBF	0.8501	< 0.01	0.8805	< 0.01
19	12	WSA	0.6981	< 0.05	0.7089	< 0.01
20	13	CSH	0.8122	< 0.01	0.8072	< 0.01
21	13	CSH	0.7494	< 0.01	0.784	< 0.01
22	12	OSH	0.7184	< 0.01	0.7317	< 0.01
23	29	MF	0.9003	< 0.01	0.9274	< 0.01
24	26	GRA	0.8585	< 0.01	0.7947	< 0.01
25	18	ENF	0.1245	>0.05	0.1838	>0.05
26	33	DBF	0.8161	< 0.01	0.858	< 0.01
27	32	OSH	0.3317	>0.05	0.1936	>0.05
28	33	SAV	0.4454	< 0.05	0.4823	< 0.05
29	8	$GRA_{C4}$	0.7285	< 0.01	0.7162	< 0.01
30	11	OSH	0.7718	< 0.01	0.801	< 0.01
Mean			0.4947		0.4787	

with  $g_c$  (Eq. (8)). This framework is a semi-mechanistic model for estimating  $g_c$  by combining theories on the photosynthetic pathway and optimal stomatal behavior. The results indicate that the relationship between SIF and  $g_c \times \text{VPD}^{0.5}$  has improved performance over that between SIF and GPP (Fig. 4), accounting for the enhancement of VPD on the correlation of SIF- $g_c$ . With an increase in VPD, the stomatal closure reduces the diffusion of  $CO_2$  into the mesophyll, causing imbalances between carboxylation and the harvest of light, then reduces GPP and SIF (Paul-Limoges et al., 2018). Other mechanism models suggest that VPD may also optimize T retrieval through SIF (Feng et al., 2021), since

VPD is a key parameter in the PM model and contributes to the explanation of large variability in the SIF-T relationship at the ecosystem scale (Jonard et al., 2020).

Considering that the SIF- $g_c \times VPD^{0.5}$  connection varies with PFTs (Shan et al., 2021), we calculated the model parameters a and b of the equation for each PFTs (Fig. 5 and Table 2). We find that the model slope of GRA<sub>C4</sub> is much greater than that of GRA, which might be attributed to different photosynthetic strategies of C3 and C4 plants (Table 2). This may be because the GPP of C4 plants is more sensitive to SIF than that of C3 plants (Li et al., 2018; Liu et al., 2017), and C3 plants are more likely

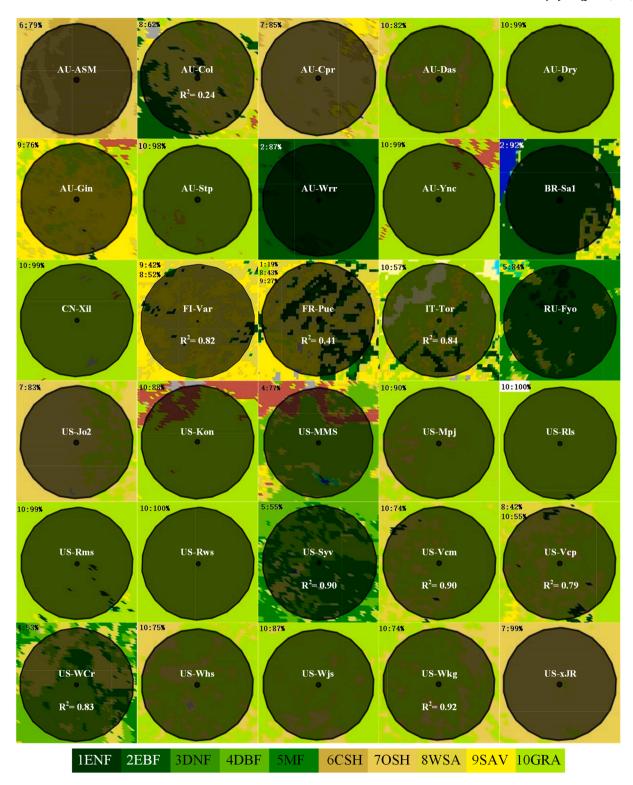


Fig. A1. Vegetation types of the 10-km buffer zone around each site. The first line in the upper left corner of each small plot represents the vegetation type of the site and its proportion within the 10-km buffer zone, and the other lines represent the proportion of other main vegetation types. When the vegetation type proportion of the site within the 10-km buffer is<75%, the correlation coefficient between LAI of the 1-km buffer and the 10-km buffer of the site are marked with white words below each site.

to exhibit a positive ET response than C4 plants (Massmann et al., 2019). Different PFTs within C3 plants differ in SIF, LAI and response to stress, and water stress stability in forests is much larger than in grasslands (Isbell et al., 2015; Zhang et al., 2019c). As a result, a calibration of the  $g_c$ -SIF model is also required for independent PFTs of C3 plants. Our results show that the SIF- $g_c \times \text{VPD}^{0.5}$  correlations are relatively weak in

GRA and OSH because GPP, SIF and VPD in these PFTs with low vegetation coverage, are generally more vulnerable to environmental factors. The lower model slopes in these PFTs are probably due to a lower m value in the BBL model (Table 1). The m value varied among PFTs, especially for forests, due to the possibility that the big-leaf model applied in forests with a high LAI generally underestimates GPP

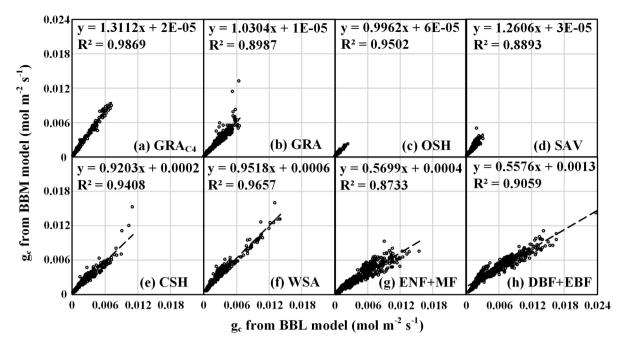


Fig. A2. The relationship of daily mean  $g_c$  between from the BBL model and from the BBM model. The fitted g0 and g1 values on the BBM model for different PFTs are from Lin et al. (2015), in which g0 is 0 for every PFTs, and g1 are 2.35 in ENF, 4.12 in EBF, 2.35 in DNF, 4.45 in DBF, 4.7 in Shrub, 5.25 in GRA, 1.62 in GRA<sub>C4</sub>. The fitted g1 values in Sav and WSA are 4.8 and 6.7.

#### (Sprintsin et al., 2012).

The precipitation interception process has an important effect on the accuracy of T partitioning from ET. The inaccurate description of the interception process is one of the major reasons for the general underestimate of T/ET by climate models (Lian et al., 2018). In addition, the large difference in T/ET values from two isotope methods reported are mainly due to the disparate interception evaporation fluxes they used (Good et al., 2015; Jasechko et al., 2013). As for PM-MOD model, previous studies indicate its interception evaporation is much greater than that from other global ET products (i.e., GLEAM and PT-JPL) (Miralles et al., 2016). This is because the  $r_s$  of the wet canopy is underestimated in the PM-MOD model (Yue et al., 2021; Zhang et al., 2019a). In this study, our treatment of *I* is partially consistent with the PM-MOD model by distinguishing wet and dry surfaces, but we simply remove the energy of interception evaporation, independent of the resistance, implying that our treatment of the interception process has less effect on the partitioning of T from ET.

Moreover, our results show that the correlation between SIF and  $g_c \times \text{VPD}^{0.5}$  is more robust at a coarser temporal scale (Figs. 4 & 5), which is similar to previous works on the more linear relationship between GPP and SIF from short to longer time scales (Frankenberg et al., 2011; Yang et al., 2017). This temporal aggregation effect is also observed in the SIF-T relationship in the temperate forest ecosystem (Lu et al., 2018), which suggests that both SIF and  $g_c \times \text{VPD}^{0.5}$  are sensitive to other environmental factors in different ways.

# 4.2. Comparison with other independent ET partitioning products

We compare T/ET across different PFTs at the site scale and find a trend toward a higher T/ET for PFTs with higher vegetation coverage (Figs. 6 & 7). This trend coincides with the nonlinear relationship between T/ET and LAI found by Wang et al. (2014) and Wei et al. (2017). However, this trend has been challenged in high LAI ecosystems, with a close T/ET value (0.78) for ENF and EBF (Figs. 6 & 7). The underlying mechanism is that more precipitation interception of broad-leaved forests results in an increased I than that of coniferous forests during the growing season (van Dijk et al., 2015). For grasslands, significant

differences in T/ET are observed between our study and Wei et al. (2017), primarily derived from photosynthetic pathways. The T/ET of GRA<sub>C3</sub> is much lower than that of GRA<sub>C4</sub> (Fig. 6). Compared with GRA<sub>C3</sub>,  $GRA_{C4}$  has a very low  $\Gamma$  and can sustain photosynthesis with very low  $g_c$ under conditions of high atmospheric water demand and limited water availability (Brooks and Farquhar, 1985). This may cause C4 plants to maintain low g<sub>c</sub> and T, and to continue emitting SIF under water stress. Our study and Wei et al. (2017) indicate that the T/ET for SAV, WSA and shrublands (OSH and CSH) is about 0.6 with a large standard deviation (Fig. 7). Statistically, the large standard deviation is due to the small sample size and the occurrence of outliers (Fig. 7). The large standard deviation causes the PFT-mean pattern of SAV, WSA and shrublands to be opposite to the PFT-median pattern, and the PFT-median pattern is in line with Wei et al. (2017). If using more sites in the future comparison, it is expected the discrepancy of PFT-mean pattern in T/ET ratio for SAV. WSA and shrublands between our and Wei's results may not exist. Ecologically, the large standard deviation may be because in SAV, WSA and shrublands, surface landscape heterogeneity affects the fraction of absorbed PAR by leaves and further eco-hydrological processes, particularly T (Kobayashi et al., 2012). Besides, the large standard deviation may also be due to the distinct patterns of carbon-water coupling between herbaceous and woody plants in SAV, WSA and shrublands (Wei et al., 2017). Moreover, SAV, WSA and shrublands are usually vegetated sparsely, which may result in a situation where E predominates over T.

We collected the results of global mean T/ET derived from 36 different methods (Table 3). The estimated global mean T/ET is>50% for most methods. Our global mean T/ET value of 0.57 closes to the ensemble mean of global T/ET (0.549) from these different methods. Climate models generally underestimate the global mean T/ET due to the inaccuracies in their representation of canopy light use and root water uptake processes (Lian et al., 2018). Hydrological processes-based climate models, such as ParFlow-CLM (Maxwell and Condon, 2016) and T&C (Fatichi and Pappas, 2017) generally calculate a greater T/ET than the other models. Global mean T/ET values derived from isotopes, LAI-based models, remote sensing models and WUE-based models are all around 0.6, which are slightly higher than our results. The lower value in our study may be because we compare T/ET on different timescales.

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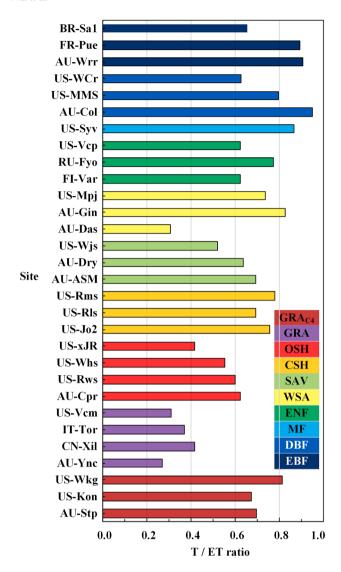


Fig. A3. The T/ET for each site calculated using the BBL model.

Growing season with sufficient precipitation generally leads to an increased *I* and hence a drop in T/ET, particularly for forests. The lower T/ET in our study may also be a consequence of the neglect of the impact of C4 plants and crops when upscaling T/ET to the global terrestrial ecosystem. They generally have a greater T/ET value than C3 plants. The greater T/ET achieved using isotope method may be due to the significant uncertainties of isotopic ratios under weak turbulence conditions (Wei et al., 2015) or the overestimation of T in cases of hydrologic decoupling (Brooks et al., 2010). The greater T/ET value derived using LAI-based model, especially the method of Wang et al. (2014), is most likely attributed to the inadequacy of the statistical method used to calculate global average, which is simply an arithmetic average of all observations. The global per-pixel calculation method (Wei et al., 2017) and the weighted average method (this study) (Schlesinger and Jasechko, 2014) are more acceptable. There are two possible explanations for the greater T/ET value calculated by Li et al. (2019) in the WUE-based model: the statistical method used and the omission of I.

When compared to remote sensing models, our method produces comparable global mean T/ET values as PT-JPT and PML-V2, whereas GLEAM, PML and Gerrits's model generate larger global mean T/ET values and PM-MOD has a lower global mean T/ET value. GLEAM and Gerrits's model have approximate estimation of T and ET (Mianabadi et al., 2019). A comparative study finds that PT-JPT is the closest to the

actual T/ET value, while GLEAM (PM-MOD) overestimates (underestimates) T/ET based on field observations (Talsma et al., 2018). Another reason for underestimating T/ET by PM-MOD is that it significantly overestimates I as shown in section 4.1. GLEAM substantially underestimates soil evaporation but slightly overestimates T, resulting in a high accuracy of ET estimates (Talsma et al., 2018). This perspective coincides with our findings that the T estimates in GLEAM are systematically greater than our global simulations (Figs. 8 & 10). The most possible reason why PML has a larger global mean T/ET value than our result is that PML overestimate T since the gc of PML is calculated through empirical equations based on LAI and PAR without considering water and heat stresses (Leuning et al., 2008). Thus, based on PML, the PML-V2 optimizes the original g<sub>c</sub> module into the Ball-Berry model with carbon-water coupling characteristics (Gan et al., 2018). The PML-V2 has been proved to perform better in estimating GPP and ET (Zhang et al., 2019b). The estimation of T illustrates that our result is consistent with PML-V2 at the site scale (Fig. 8). Nevertheless, when compared to PML-V2, our global patterns of T indicate that it is larger in the tropics and lower in the drylands (Fig. 10). The explanation may include the following aspects: 1) The relationship between SIF and the modeled GPP varies in these two regions; 2) We estimate T by treating C4 plants as C3 plants; 3) The available energy for T in our model is different from PML-

# 4.3. Implications and limitations

Our study may have important implications for assessing regional and global water flux under climate change. This new framework reveals that satellite SIF may be utilized to precisely estimate T/ET and monitor the spatio-temporal variations in terrestrial T at the regional and global scale combined with meteorological data (Figs. 7 & 9). The T/ET value calculated using SIF can help to resolve whether T/ET is constrained by vegetation characteristics and environmental factors (Fatichi and Pappas, 2017; Niu et al., 2019; Paschalis et al., 2018; Wei et al., 2017). In addition, previous empirical techniques employing vegetation indexes or LAI can produce T, but hardly capture the temporal variations of T since they are limited to environmental conditions and ecosystems (Zhang et al., 2016a). Our SIF-based approach is useful to resolve this shortcoming because SIF is more sensitive than other remotely sensed vegetation parameters to plant photosynthetic and water/heat stresses (Song et al., 2018; Yoshida et al., 2015). A remotely sensed ET model with better performance can be developed in association with appropriate remote sensing models for I and E. This could be used to improve the simulation accuracy of the global water and energy cycle.

However, there are still some limitations in this study. First, our method may be inapplicable in the condition of carbon-water decoupling. For example, forests may decouple photosynthesis and T in response to heat extremes and sufficient water availability (De Kauwe et al., 2019). The trade-off between leaf water potential regulation and stomatal behavior may influence the effect of VPD on the SIF-gc relationship (Martinez-Vilalta and Garcia-Forner, 2017). Second, the classification of PFTs is inadequate and needs further refined. The remote sensing methods for T retrieval, such as PM-MOD, generally divide the global ecosystem into 11 or more PFTs (Mu et al., 2011). Our model examines 10 PFTs and did not distinguish crops or wetlands. Moreover, we do not consider the proportion of C4 grasslands in our global T simulation due to lack of accurate global map of C4 plants, even though we have developed models for C4 grasslands. Third, the validation of our model may be limited by the source of validated data. While we validated our results from satellite SIF data using EC flux tower and other remote sensing products, the flux tower T/ET is achieved by simulation, not by independent field measurements such as the isotope method.

#### 5. Conclusion

Our results show that SIF has a stronger relationship with  $g_c \times VPD^{0.5}$ 

**Table B1**All abbreviations and their meanings in this study.

Abbreviation	Full name	Abbreviation	Full name	Abbreviation	Full name
a	slope for the linear relationships between $g_{\text{c}} \times \text{VPD}^{0.5}$ and SIF for each PFT	GLEAM	Global Land Evaporation Amsterdam Model	$R_1 \downarrow$	downward thermal radiation
$\mathbf{A_n}$	net assimilation rate or gross photosynthesis	GPP	vegetation productivity	$R_1\uparrow$	upward thermal radiation
	intercept for the linear relationships between $g_c \\ \times \text{VPD}^{0.5}$ and SIF for each PFT	GRA	C3 grasslands	RMSE	root mean square error
BBL	Ball-Berry-Leuning	GRA <sub>C4</sub>	C4 grasslands	$R_n$	net radiation
р	specific heat of the air	$G_s$	surface conductance	R <sub>nl</sub>	surface net thermal radiation
$\mathbf{O}_2$	carbon dioxide	$h_c$	canopy height	$R_{ns}$	surface net solar radiation
s s	[CO <sub>2</sub> ] at the leaf surface	$h_{m}$	measurement height	$R_s\downarrow$	downward solar radiation
$CO_2$	CO2 concentration	I	interception evaporation	$R_s\uparrow$	upward solar radiation
SH	closed shrublands	LAI	leaf area index	SAV	savannas
OBF	deciduous broadleaf forests	LE	latent heat flux	SIF	solar-induced chlorophyll fluorescence
00	an empirically fitted parameter representing the sensitivity of stomata to changes in $D_{\text{s}}$	m	slope in BBL model calculated from empirical data	T	transpiration
$O_s$	humidity deficit at the leaf surface	MAE	mean absolute error	Tair	2 m temperature
sat	saturated vapor pressure	MF	mixed forests	$T_{dew}$	2 m dewpoint temperature
sat,dew	e <sub>sat</sub> at dew point temperature	MODIS	moderate resolution imaging spectroradiometer	T <sub>SITE</sub>	T calculated using g <sub>cSITE</sub>
	evaporation	MSE	mean square error	$T_{SIF}$	T calculated using g <sub>cSIF</sub>
BF	evergreen broadleaf forests	OSH	open shrublands	T/ET	ratio of T to ET
CMWF	European Centre for Medium-Range Weather Forecasts	P	surface pressure	T <sub>SITE</sub> /ET	ratio of T <sub>SITE</sub> to ET
INF	evergreen needle forests	PAR	photosynthetically active radiation	T <sub>SIF</sub> /ET	ratio of T <sub>SIF</sub> to ET
RA5-Land	the 5th ECMWF reanalysis for land	PFT	plant functional type	TROPOMI	TROPOspheric Monitoring Instrument
T	evapotranspiration	PM	Penman-Monteith model	u	wind speed
PAR	fraction of PAR absorbed by vegetation	PML	Penman-Monteith-Leuning model	u*	friction velocity
wet	wet surface fraction	PML-V2	Penman-Monteith-Leuning- Version 2 model	$V_{m}$	coefficient when converting $g_s$ from $m^{-2}$ $s^{-1}$ to $m$ $s^{-1}$
50	minimum conductance in BBL model calculated from empirical data	$PM_{opt}$	optimized Penman-Monteith model	VPD	vapor pressure deficit
Sc.	canopy conductance	PM-MOD	MODIS evapotranspiration model	WSA	woody savannas
CESITE	g <sub>c</sub> calculated using BBL model	PT-JPL	Priestley-Taylor Jet Propulsion Laboratory model	WUE	water-use efficiency
SeSIF	$g_{\rm c}$ calculated using $g_{\rm c}\text{-SIF}$ model	$\mathbf{r_a}$	aerodynamic resistance	Δ	slope of the saturation vapour pressure temperature relationship
<sub>c</sub> -SIF model	PFT-specific SIF-driven semi-mechanism gc model	$\mathbf{r}_{s}$	surface resistance	Γ	CO <sub>2</sub> compensation point
s	stomatal conductance	R	Pearson's correlation coefficient	γ	psychrometric constant
3	ground heat flux	$\mathbb{R}^2$	goodness of fit	λ	latent heat of vaporization
GEE	google earth engine	RH	air relative humidity	$\rho_{\mathbf{a}}$	mean air density at constant press

than GPP, and the SIF- $g_c \times VPD^{0.5}$  linear regression at the 16-day scale are tighter and sharper than at the daily scale. Based on the regression, we developed the SIF-driven semi-mechanism g<sub>c</sub> model on various PFTs and use the  $PM_{opt}$  model to calculate T and T/ET. Correlations between T<sub>SIF</sub>/ET and T/ET values from other independent techniques are excellent at both site and global scale. After the implementation of our g<sub>c</sub>-SIF model, we estimate the global mean T/ET of the terrestrial ecosystem for growing season in 2018 (0.57  $\pm$  0.14) that is close to the mean T/ET value (0.55) of the current models from other 36 methods. Ultimately, we simulate global T for the 2018 growing season at the resolution of  $0.1^{\circ} \times 0.1^{\circ}$ and compare it to two commonly used remote sensing retrieval products. Our model provides a valuable complement to remote sensing-based T and ET retrieval, and has critical implications for assessing eco-hydrological processes under climate change. More consideration about condition of carbon-water decoupling, different PFTs, and source of validated data will be useful in future studies.

#### CRediT authorship contribution statement

**Yaojie Liu:** Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Writing – original draft, Visualization. **Yongguang Zhang:** Conceptualization, Resources, Writing – review & editing, Supervision, Project administration, Funding acquisition. **Nan** 

**Shan:** Methodology, Writing – review & editing. **Zhaoying Zhang:** Resources, Data curation, Writing – review & editing, Funding acquisition. **Zhongwang Wei:** Validation, Resources, Writing – review & editing.

# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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# Appendix A. . The information and supplementary results of the FLUXNET sites used in this study

### Appendix B. The abbreviations used in this study.

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