

**Breaking electron pairs in the pseudogap regime of  $\text{SmTiO}_3$ - $\text{SrTiO}_3$ - $\text{SmTiO}_3$  quantum wells**Xinyi Wu,<sup>1,2</sup> Lu Chen,<sup>1,2</sup> Arthur Li<sup>1,2</sup>,,<sup>1,2</sup> Megan Briggeman,<sup>1,2</sup> Patrick B. Marshall,<sup>3</sup> Susanne Stemmer,<sup>3</sup> Patrick Irvin,<sup>1,2</sup> and Jeremy Levy<sup>1,2,\*</sup><sup>1</sup>*Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh, Pennsylvania 15260, USA*<sup>2</sup>*Pittsburgh Quantum Institute, Pittsburgh, Pennsylvania 15260, USA*<sup>3</sup>*Materials Department, University of California, Santa Barbara, California 93106-5050, USA*

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The strongly correlated two-dimensional electron liquid within  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  quantum well structures exhibits a pseudogap phase when the quantum well width is sufficiently narrow. Using low-temperature transport and optical experiments that drive the quantum-well system out of equilibrium, we find evidence of mobile, long-lived, negatively charged quasiparticles, consistent with the idea that the pseudogap phase arises from strongly bound electron pairs. These experimental results establish a connection between quantum confinement and enhanced electron pairing, which may provide a pathway to higher-temperature superconductors.

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**Introduction.** The pseudogap phase is an unusual state of electronic matter which is primarily associated with unconventional superconductivity [1–5]. It is characterized by a reduction in spectral weight near the Fermi energy and generally appears above the superconducting transition temperature  $T_c$  in the low-doped regime. Understanding the pseudogap state has been the subject of intense focus for cuprate superconductors as it provides unique insights into correlated electron physics. Apart from the cuprates, pseudogap behavior has also been found in iron-based superconductors [6], heavy-fermion compounds [7], charge-density-wave systems [8], rare-earth nickelates [9], ultracold atomic gas systems [10,11], and  $\text{SrTiO}_3$ -based heterostructures [12] and quantum wells [13].

A long-standing question for pseudogap phenomena in superconductors concerns its physical origin, specifically whether it arises to preformed Cooper pairs or some other mechanism [14]. Many theoretical descriptions of the pseudogap phase take place within the BCS-BEC crossover picture [15]. Alternative explanations involve proximity to competing phases, which are prevalent in cuprate superconductors [16].

$\text{SrTiO}_3$  is one of a few semiconductors known to exhibit superconducting behavior [17] with a dome-like shape of  $T_c$  as a function of electron density [18]. It is considered to be an unconventional superconductor with an unknown pairing mechanism. Recent advances in understanding the nature of superconductivity in  $\text{SrTiO}_3$  arose from  $\text{SrTiO}_3$ -based heterostructures [19], in particular  $\text{LaAlO}_3/\text{SrTiO}_3$  [20,21], which exhibit gate-tunable superconductivity through a quantum critical point, and pseudogap behavior past the low-density boundary of the superconducting dome [12]. Low-dimensional structures formed at the  $\text{LaAlO}_3/\text{SrTiO}_3$  interface show direct evidence for preformed electron pairs

in confined single-electron transistor geometries [22,23] and extended electron waveguide geometries [24,25].

The hybrid molecular beam epitaxy (hybrid-MBE) growth technique has enabled new families of  $\text{SrTiO}_3$ -based quantum wells to be designed with high doping density and ultranarrow widths. Quantum well systems containing rare-earth (Re) titanate  $\text{ReTiO}_3/\text{SrTiO}_3$  interfaces demonstrate a variety of emerging properties that can be tuned by the quantum well width, including metal-to-insulator transition [26], magnetism [27], quantum critical behavior [28,29] and a separation of transport and Hall scattering rates [29]. Narrow  $\text{SrTiO}_3$  quantum wells surrounded by antiferromagnetic  $\text{SmTiO}_3$  barriers possess quantum well electron densities as high as  $n_{2D} = 7 \times 10^{14} \text{ cm}^{-2}$ . The narrowest quantum well structures show evidence of non-Fermi-liquid behavior [30,31], and tunneling experiments yield telltale characteristics of a pseudogap phase [32]. Pseudogap behavior is observed with antiferromagnetic  $\text{SmTiO}_3$  barriers and not ferrimagnetic  $\text{GdTiO}_3$  barriers, which provide some evidence for a spin-density-wave phase. A metal-insulator transition has also been observed at single  $\text{SmTiO}_3/\text{SrTiO}_3$  heterointerfaces at a temperature  $T \approx 110 \text{ K}$  [33]. Recent angle-resolved photoemission experiments have correlated the pseudogap regime in ultranarrow  $\text{SmTiO}_3$  quantum wells with a change in Fermi surface topology, i.e., a Lifshitz transition. A similar connection is known to exist between the Lifshitz transition in the  $\text{LaAlO}_3/\text{SrTiO}_3$  system [34]. Quantum confinement is exceptionally strong in the narrowest  $\text{SmTiO}_3$  quantum wells, which could lead to a significant increase in pairing strength or stabilization of some competing phase.

**Experiments.** Our aim is to explore a possible connection between low-temperature pseudogap behavior in  $\text{LaAlO}_3/\text{SrTiO}_3$  and higher-temperature pseudogap behavior in  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  quantum wells. The hypothesis is that the pseudogap phase in  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  arises due to an electron pairing mechanism that already exists in  $\text{SrTiO}_3$  and is somehow enhanced by quantum confinement.

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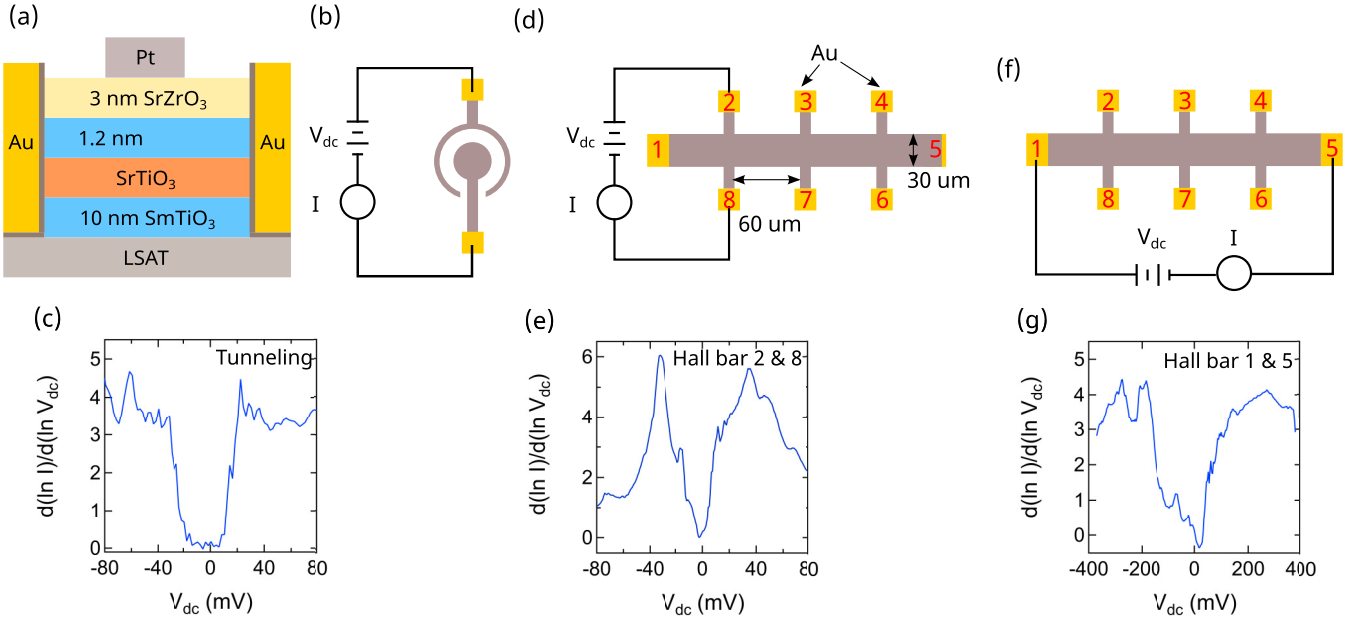


FIG. 1. (a) Cross-sectional schematic of the SmTiO<sub>3</sub>/SrTiO<sub>3</sub>/SmTiO<sub>3</sub> quantum well structure. The SrTiO<sub>3</sub> quantum well contains two SrO layers. Ti (gray) and Au (yellow) contacts are made to be in direct contact with the conductive SrTiO<sub>3</sub> layer. (b) Schematic of the tunneling device with the experimental setup for two-terminal  $I$ - $V$  measurements between the top and interface electrodes, separated by a radial distance of 60 μm. (c) Normalized conductance spectra [ $d(\ln I)/d(\ln V)$  vs  $V$ ] of the tunneling device measured at  $T = 2$  K. A pseudogap feature with a characteristic onset voltage of  $V_T = 27$  mV. (d) Schematic of SmTiO<sub>3</sub>/SrTiO<sub>3</sub>/SmTiO<sub>3</sub> channel device configured for two-terminal current-voltage measurements between electrodes 2 and 8, separated at a distance of 90 μm. (e) Normalized conductance spectra shows a reduction of conductance near zero voltage and a characteristic onset at  $V_T = 40$  mV. (f) Schematic of SmTiO<sub>3</sub>/SrTiO<sub>3</sub>/SmTiO<sub>3</sub> channel device and two-terminal current-voltage measurements between electrodes 1 and 5, separated at a distance of 240 μm. (g) Normalized conductance spectra shows a reduction of conductance near zero voltage and a characteristic onset at  $V_T = 120$  mV.

To test this hypothesis, we have designed low-temperature transport and optical experiments designed to break down the pseudogap phase, i.e., break electron pairs. Specifically, we investigate the transport behavior of SrTiO<sub>3</sub> quantum well when the system is driven out of equilibrium using two types of disturbances: (1) voltages exceeding the pseudogap energy and (2) optical excitation.

Figure 1(a) shows a schematic cross section of the quantum well structure. SrZrO<sub>3</sub>/SmTiO<sub>3</sub>/SrTiO<sub>3</sub>/SmTiO<sub>3</sub> films are grown on (La<sub>0.3</sub>Sr<sub>0.7</sub>)(Al<sub>0.65</sub>Ta<sub>0.35</sub>)O<sub>3</sub> substrates using hybrid MBE, as detailed in Moetakef *et al.* [27], Zhang *et al.* [28]. SrZrO<sub>3</sub> is deposited between the top SmTiO<sub>3</sub> layer and Pt top-gate electrodes as a tunneling barrier for top-gated tunneling devices. Electrical contact to the SrTiO<sub>3</sub> is achieved by Ar-ion etching (60 nm) followed by Ti/Au deposition (4 nm/50 nm). Both SrTiO<sub>3</sub>/SmTiO<sub>3</sub> interfaces contribute  $\sim 3.4 \times 10^{14} \text{ cm}^{-2}$  electrons to the quantum well, leading to an expected total doping density of  $\sim 7 \times 10^{14} \text{ cm}^{-2}$  [27,29].

TABLE I. Characteristic threshold voltage  $V_T$  as a function of separation distance  $d$  between electrodes.

Type	$d$ (μm)	$V_T$ (mV)	$V_g/d$ (mV/μm)
Tunneling	60	27	0.45
Channel	90	40	0.44
Channel	270	120	0.44

In order to probe transport properties, the quantum well heterostructure is patterned into vertical and lateral transport structures [Figs. 1(b) and 1(d)]. Current-voltage ( $I$ - $V$ ) measurements are performed between several pairs of contacts in channels in a dilution refrigerator capable of measuring electrical properties at temperatures ranging from 50 mK to 300 K. Differential conductance spectra  $dI/dV$  vs  $V$  and normalized conductance  $d(\ln I)/d(\ln V) = (dI/dV)(I/V)^{-1}$  [35] are obtained via numerical differentiation.

In both vertical and horizontal geometries, the normalized conductance at  $T = 50$  mK [Figs. 1(c), 1(e), and 1(g)] shows

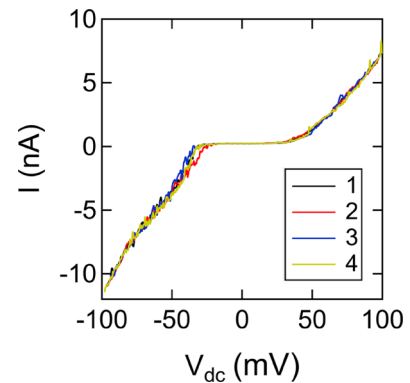


FIG. 2. Four  $I$ - $V$  curves taken consecutively under the same configuration shown in Fig. 1(d). Fluctuations in and around the threshold for conduction are apparent.

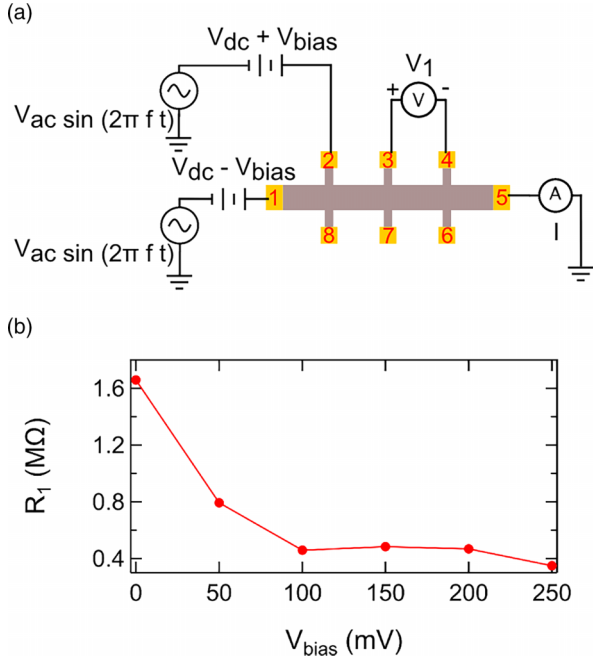


FIG. 3. (a) Set up for the dc voltage excitation experiments. A voltage bias  $V_{\text{bias}}$  is applied between two contacts on contacts labeled 1 and 2 while four-terminal lock-in measurements are performed between contacts 3 and 4. (b) Four-terminal resistance  $R_1 = V_1/I$  as a function of  $V_{\text{bias}}$ .

a suppression around zero bias, consistent with previous reports of pseudogap behavior [32]. Here we use the local maxima of the coherence peaks in the vertical geometry to estimate a pseudogap energy  $\Delta = eV_T = 27$  meV, based on Fig. 1(c). In both vertical and lateral geometries, there are portions of the circuit in which carriers move in the plane, which complicates the analysis. Generally, the measured pseudogap is expected to overestimate the intrinsic gap due to voltage drops in the plane. Table I calculates the measured pseudogap voltage  $V_g$  normalized by the characteristic length of the device  $d$ . For the channel geometry,  $V_T$  increases approximately linearly with the separation distance between two contacts. Other reported signatures, e.g., current fluctuations near the threshold for conduction (Fig. 2), are also observed. Similar potential fluctuations were reported by Hardy *et al.* [36]. Nonlocal nonequilibrium four-terminal conductance measurements are conducted using the setup shown in Fig. 3(a). At one of the channels, a combination of differentially applied dc voltage  $V_{\text{bias}}$  and common-mode dc+ac voltage  $V_{\text{dc}} + V_{\text{ac}}\sin(2\pi ft)$  is applied, while the resulting ac voltage  $V_1$  and current  $I$ . The resistance  $R_1 = dV_1/dI$  [Figs. 3(b)] for  $V_{\text{ac}} = 20$  mV, frequency  $f = 13$  Hz, and  $V_{\text{dc}} = -40$  mV.  $R_1$  decreases by a factor of four as  $V_{\text{bias}}$  is increased from 0 to 100 mV and is relative stable for  $100 \text{ mV} < V_{\text{bias}}$ . This characteristic voltage is comparable to the characteristic pseudogap voltage  $V_T$  measured in Figs. 1(f) and 1(g). The quantum

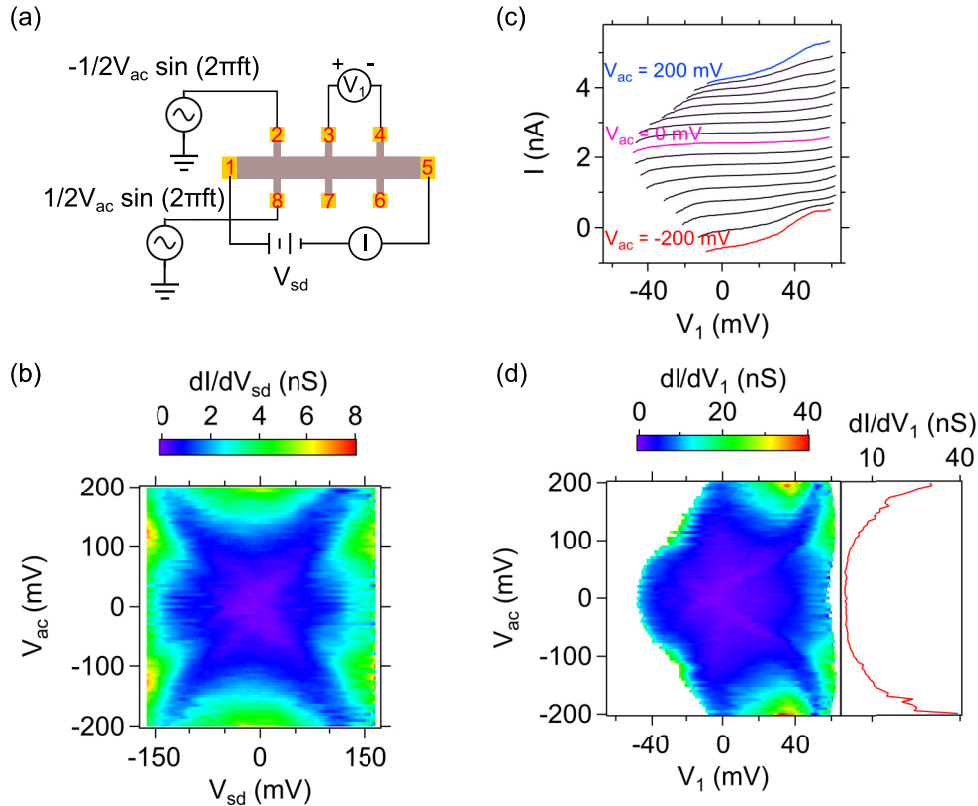


FIG. 4. Transport measurements under nonequilibrium excitation. (a) Experimental setup. The ac driving with magnitude  $\frac{1}{2}V_{\text{ac}}$  and frequency  $f = 1000$  Hz are applied differentially to two electrodes labeled 2 and 8 on the left-hand side of the channel device. A quasi-dc voltage  $V_{\text{sd}}$  is applied between electrodes 1 and 5, swept between  $-160$  and  $160$  mV. (b) Intensity plot of two-terminal conductance versus  $V_{\text{sd}}$  and  $V_{\text{ac}}$ . (c) Measured four-terminal conductance in the region between electrodes 3 and 4 for various  $V_{\text{ac}}$ . Curves are offset for clarity. (d) Four-terminal conductance intensity map versus  $V_1$  and  $V_{\text{ac}}$ . (e) Linecut of four-terminal conductance at  $V_{\text{sd}} = 0$  V.

well system is driven out of equilibrium by a differentially applied voltage  $V_{\text{bias}}$ , which induces a current between the two electrodes, while the resulting change in resistance along the main channel is measured “downstream” by driving with the combination of a sinusoidal voltage source  $V_{\text{ac}}$  and a low dc bias  $V_{\text{dc}}$  and monitoring the resulting current  $I$  at electrode 5 and the voltage drop  $V_1$  between electrodes 3 and 4. The measurements are nonlocal because changes in four-terminal conductance are influenced by an excitation in a region outside of where  $V_1$  is measured. We expand our transport measurements in the channel structure using an experimental setup illustrated in Fig. 4(a).

The left side of the channel (electrodes 2 and 8) is driven driven differentially with amplitude  $\frac{1}{2}V_{\text{ac}}$  and frequency  $f = 1000$  Hz, resulting in a net voltage driving across the channel with amplitude  $V_{\text{ac}}$ . At the same time, a quasi-dc voltage  $V_{\text{sd}}$  is applied between electrodes 1 and 5, with current  $I$  measured at electrode 5 and voltage  $V_1$  measured between electrodes 3 and 4 ( $V_1$ ). The two-terminal conductance  $dI/dV_{\text{sd}}$  and four-terminal conductance  $dI/dV_1$  on the right side of the device are calculated by numerical differentiation as a function of  $V_{\text{ac}}$  excitation on the left side of the device.

The four-terminal  $I$ - $V_1$  curves are shown in Fig. 4(b) for various values of  $V_{\text{ac}}$ . Curves are offset for clarity. A noticeable asymmetry exists in  $I(V_1)$  with respect to  $V_1$ , in that the magnitude of the current at negative  $V_1$  exceeds the value at positive  $V_1$ , i.e.,  $|I(-V_1 < 0)| > |I(V_1 > 0)|$ . This asymmetry increases as  $|V_{\text{ac}}|$  is increased. The two-terminal and four-terminal differential conductances  $dI/dV_{\text{sd}}$  [Figs. 4(c) and 4(d)] also show some notable similarities and differences. The parameter range  $V_{\text{sd}} \approx 0$ ;  $V_{\text{ac}} \approx 0$  exhibits a low conductance, consistent with the pseudogap behavior shown in Fig. 1(f). The voltage threshold for conductance  $dI/dV_{\text{sd}}$  initially decreases monotonically with  $V_{\text{ac}}$  and then begins to increase again. The resulting threshold voltage contour is approximately ellipsoidal with characteristic lobes of decreased conductance when  $V_{\text{ac}}$  and  $V_{\text{sd}}$  coincide in magnitude.

The four-terminal conductance  $dI/dV_1$  [Fig. 4(d)] is decidedly asymmetric, showing a much larger threshold for conduction when  $V_1 > 0$  than when  $V_1 < 0$ . The asymmetry becomes more pronounced when  $V_{\text{ac}}$  increases. For example, when  $V_{\text{ac}} = 100$  mV, the positive threshold for conduction is approximately  $V_1 = 50$  mV, while the negative threshold is approximately  $V_1 = -20$  mV.

We now examine the effect of optical illumination on transport properties of the  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  channel. Photoinduced current changes in the  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  quantum wells are investigated by illuminating the channel with light from a continuous-wave HeNe laser ( $\lambda = 632.8$  nm, intensity  $I = 1 \times 10^6$  W/m<sup>2</sup>), modulated at frequency  $f = 247$  Hz using a mechanical chopper [Fig. 5(a)]. Photoinduced current changes  $\Delta I$  are measured versus the dc bias across the channel  $V_{\text{dc}}$  [Fig. 5(b)]. The sample temperature is controlled by an optical cryostat which enables measurements to be conducted between  $T = 5.2$  K and  $T = 80$  K. Non-negligible photocurrent is observed above a voltage threshold of approximately 300 mV and for temperatures  $T < 30$  K [Fig. 5(c)].

**Discussion.** The nonequilibrium transport measurements probe transport under the presence of various electrical

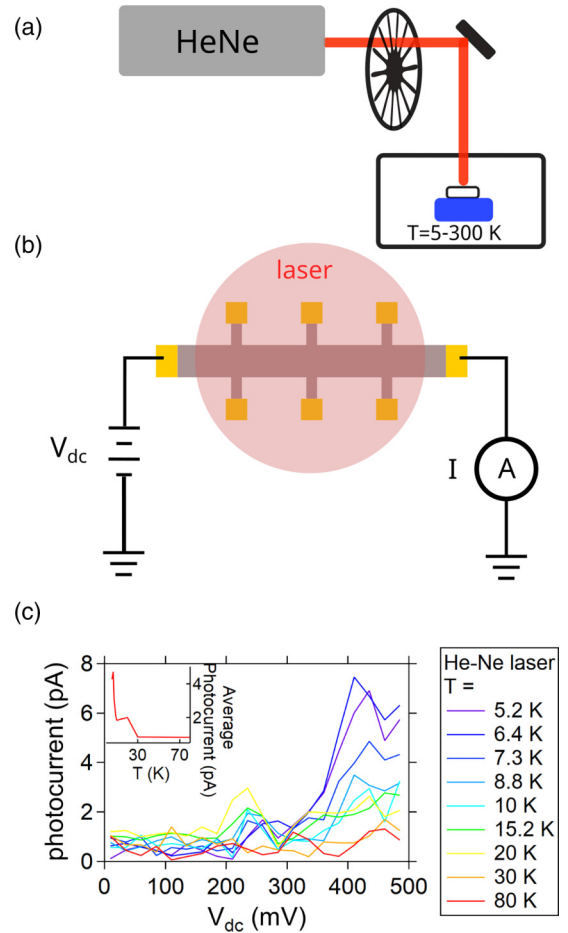


FIG. 5. (a) Optical setup for the two-terminal photocurrent measurements. (b) Photo-induced current changes  $\Delta I$  are measured as a function of  $V_{\text{dc}}$  and temperature. Photocurrent is observed when  $V_{\text{dc}}$  exceeds a threshold  $\approx 300$  mV and for temperatures  $T < 30$  K. Inset graph shows average photocurrent over  $V_{\text{dc}} = 400$ – $500$  mV.

disturbances. The ac voltage driving on the left side of the channel [Fig. 4(a)] produces a nonequilibrium condition that affects transport characteristics on the right side of the channel. The increase in conductance in the region sampled by  $V_1$ , brought about by increasing values of  $V_{\text{ac}}$ , can be interpreted as resulting from quasiparticles excited by  $V_{\text{ac}}$  that drift into the area between electrodes 3 and 4. Furthermore, the asymmetry of  $I(V_1)$  yields insight into the charge of these carriers. For values of  $V_{\text{ac}}$  exceeding 100 mV, the conductance for  $V_1 < 0$  sharply exceeds that of its mirror opposite  $V_1 > 0$ . The implication is that the conductance is larger when negatively charged carriers drift from the left side of the channel to the right side of the channel.

The photocurrent experiments demonstrate the ability of light to produce mobile charge carriers in the pseudogap phase. The optical experiments can be interpreted in a consistent fashion, where the source of the pair breaking arises from light rather than electrical gating. The onset of this photoconductive state coincides in temperature with other transport signatures of the pseudogap phase, and it seems clear that photoexcitation is creating quasiparticles that are



immobilized by the pseudogap and that these carriers live long enough to contribute excess current under suitable voltage bias conditions. The threshold under which photocurrent is observed ( $V_{dc} \approx 300$  mV) is comparable to the threshold voltage measured in dc transport [Fig. 1(g)], consistent with a scenario that mobile carriers are first photoexcited and subsequently inhibited from recombining by the applied dc bias. The temperature dependence of the photocurrent provides a signature that the origin of the mobile carriers is linked to the pseudogap phase.

Collectively, the electrical and optical experiments demonstrate that the insulating pseudogap phase is unstable to perturbations that can produce long-lived negatively charged carriers. An obvious interpretation is that these carriers are indeed preformed electron pairs, similarly to those that have been reported for  $\text{LaAlO}_3/\text{SrTiO}_3$  heterostructures and nanostructures [12,22,24]. It is therefore plausible that  $\text{SrTiO}_3$ -based quantum well pseudogap behavior arises from electron pairing in the  $\text{SmTiO}_3/\text{SrTiO}_3/\text{SmTiO}_3$  quantum wells. What is unusual is the energy scale, which is at least

an order of magnitude larger for the narrow  $\text{SrTiO}_3$  quantum well.

The experiments described here do not directly point to electron pairing as the origin of the pseudogap phase. They are consistent with this scenario and suggest follow-up experiments that can more definitively identify the origin of the pseudogap behavior. For example, mesoscopic devices such as single-electron transistors may be able to discern the charge of carriers in the paired and unpaired state. Being able to confirm electron pairing as the origin of the pseudogap in this system would be a remarkable finding because of the strong connection between quantum confinement and pseudogap energy. Electron pairing at high energies, combined with phase coherence, can potentially lead to the development of engineered structures with unprecedented superconducting transition temperatures.

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