



# A finite element implementation of finite deformation surface and bulk poroelasticity

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Received: 16 May 2023 / Accepted: 15 September 2023  
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## Abstract

We present a theoretical and computational model for the behavior of a porous solid undergoing two interdependent processes: the finite deformation of a solid and species migration through the solid, which are distinct in bulk and on surface. Existing theories allow us to systematically study porous solids in a wide range of applications, delivery, biomaterial design, fundamental study of biomechanics and mechanobiology, design of sensors and actuators. As we aim to understand the physical phenomena at a smaller length scale, towards comprehending fundamental biological processes, miniaturization of devices, surface effect becomes more pertinent. Although existing methodologies provide tools to study coupled bulk effects for deformation and diffusion, very little is known about fully coupled bulk and surface poroelasticity at finite strain. Here we develop a thermodynamically consistent formulation for surface poroelasticity, specialized for soft hydrated solids, along with a corresponding finite element implementation in a three-field weak form. Our approach captures the interplay between competing multiphysical processes of deformation and species diffusion, accounting for surface kinematics and surface transport, and provides invaluable insights into the effects that are important.

**Keywords** Surface diffusion · Surface energy · Hydrogels

## 1 Introduction

Poroelasticity is the theory that describes the coupling of the deformation of a continuum body with diffusive processes in the past few decades as the study of hydrated biomaterials, deformations of a continuum body with diffusive processes in the past few decades as the study of hydrated biomaterials, solvent through the body itself due to its permeable nature. The theory of linear poroelasticity as pioneered by Biot [8–10] has been applied to describe hydrogels and hydrated biological tissues [3, 40], but in many cases, nonlinear theories of poroelasticity have provided a more comprehensive understanding of the rich observed material responses. However, the majority of these studies have been limited to the macroscale, where surface effect can often be neglected as bulk energetic contributions dominate the response of the system. But, as we aim towards miniaturization of devices and also aim to probe and fundamentally understand the effects that dominate the material response at smaller length scales, surface effect becomes more relevant. When the length scales that are probed are sufficiently small, one can expect the coupling of elasticity and solvent diffusion to be significantly influenced by surface contributions toward all aspects of these multiphysical processes.

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nonlinear complex constitutive responses [2, 13, 16, 42, 59]. These nonlinear theories have been highly relevant in the past few decades as the study of hydrated biomaterials, deformations of a continuum body with diffusive processes in the past few decades as the study of hydrated biomaterials, solvent through the body itself due to its permeable nature. The theory of linear poroelasticity as pioneered by Biot [8–10] has been applied to describe hydrogels and hydrated biological tissues [3, 40], but in many cases, nonlinear theories of poroelasticity have provided a more comprehensive understanding of the rich observed material responses. However, the majority of these studies have been limited to the macroscale, where surface effect can often be neglected as bulk energetic contributions dominate the response of the system. But, as we aim towards miniaturization of devices and also aim to probe and fundamentally understand the effects that dominate the material response at smaller length scales, surface effect becomes more relevant. When the length scales that are probed are sufficiently small, one can expect the coupling of elasticity and solvent diffusion to be significantly influenced by surface contributions toward all aspects of these multiphysical processes.

Following free energy-based phenomenological approaches for the bulk response of materials, similar

approaches can be developed to study the surface and numerical instabilities that are known to arise due to the v interface response of materials and material systems. The system of the Ladyzhenskaya-Babus̃ka-Brezzi (LBB) condition pioneering works of Gurtin [25, 26] established a system [3, 6, 14, 51]. For different variants of nonlinear poroe- framework to derive kinematics and balance principles, a variety of approaches has been proposed [2, 13, 16, 18, 20, 31, 41, 47, 48, 60]. Although the existing surfaces that focused on the thermodynamics of porous media, the methodologies provide the necessary tools to study coupled bulk effects for deformation and diffusion, to the best of th- tic systems. Further, this framework was extended by methodologies provide the necessary tools to study coupled bulk effects for deformation and diffusion, to the best of th- to account for thermal effects and mass transport. In particu- bulk effects for deformation and diffusion, to the best of th- larized for surfaces [23]. Many studies have followed authors' knowledge an implementation of the finite element since exploring the mechanics of surfaces and interfaces for that fully couples bulk and surface poroelasticity continua involving purely mechanical as well as coupled strain has not been previously presented. Motivated cesses [18, 28, 37, 44, 54]. the theoretical work of McBride et al. [50] considered

The competition of bulk and surface energetics is coupled thermomechanics with species diffusion accounting scale dependence for elastic solids with shear modulus  $\mu$  for individual surface energetic contributions from elastic- and surface energy. A relevant length scale is the elastocapillary length  $\lambda = \sqrt{\gamma/\mu}$  [55]. Generally speaking, if the elastocapillary length  $\lambda$  is much larger than the characteristic dimension of surface and bulk poroelasticity. In our previous work [2], we considered the surface elasticity coupled with poroelasticity in bulk, not accounting for surface diffusion. If the characteristic dimension is much smaller than the elastocapillary length  $\lambda$ , surface effects are dominant. The ultimate goal of this paper is the development of a nanofinite element framework for soft solids, work from Style et al. [55] and Bico et al. [7] focused on the implication of surface bulk poroelasticity specialized for soft hydrated solids, also focusing on the mechanical behavior of this class of materials, development of a corresponding finite element framework looking at the subtle relationships between surface stresses and the bulk response. Surface and interface energetics in hydrogels, we discover a set of numerical complications that have been further explored in the context of soft solids that are not evident when the general theoretical framework is applied to their implications to adhesion, fracture, instabilities, and the design of composites. of surface and bulk poroelasticity, as well as the nearly

Specialized boundary value problems allow for the development of analytical solutions in this context [2, 12]. However, finite element implementation that accounts for surface effects is necessary to tackle general problems. Javili and Steinmann [34] developed the finite element framework for finite deformation accounting for surface energetics. In Sect. 3, the free energies are specialized for poroelasticity. Steinmann and co-authors [36, 50] also developed a finite element scheme to study several classes of problems, including mass transport and thermomechanics. These types of frameworks allowed the study of morphogenesis and wound healing in microtissue systems, problems corresponding to free-standing response of a curved "active" surface and bulk effects were shown to emerge from the cellular activity of the extracellular matrix [38, 39, 49]. Focused on drug delivery applications, Boulbion et al. [2] investigated the mechanical surface effects in the context of finite deformation poroelasticity, underlining the importance of such effects on the transient response of swelling hydrogel microspheres of the porous solids coupled with solvent diffusion using a mixed finite element formulation. More recently, Dortdivanlioglu and co-authors [52] proposed a computational formulation that allows the consideration of curvature-dependent surface energies using isogeometric analysis. In this section, we present a brief overview of key concepts of differential geometry towards describing the kinematics of mechanical equilibrium and mass balance, and obtain the constitutive relationships for the constitutive relations for the material surface responses. It is noteworthy that a surface qua-

## A continuum multiphysics approach for bulk and surface poroelasticity

In this section, we present a brief overview of key concepts of differential geometry towards describing the kinematics of mechanical equilibrium and mass balance, and obtain the constitutive relationships for the constitutive relations for the material surface responses. It is noteworthy that a surface qua-

There is an extensive body of work on the development of mixed finite element frameworks for linear poroelasticity, including stabilization approaches for the treatment of surface responses. It is noteworthy that a surface qua-

tity to be introduced is not necessarily the same as the identity tensor and the mixed Kronecker delta, respectively. Let  $V$  be a fixed reference configuration of a continuum body  $B$ , where material points in the reference configuration are tracked through the vector  $X \in V$ .

**Remark 1 (Notation)** The dyad ( $\otimes$ ) and dot ( $\cdot$ ) denote the tensor and dot products, respectively. The double dot ( $\cdot\cdot$ ) denotes the double contraction over two second-order tensors; e.g.  $A : B = A_{ij}B_{ij}$ . For convenience, we adopt the convention that other operations involving repeated indices will not be indicated by a specific symbol, specifically meaning for  $v = Au$  ( $v_i = A_{ij}u_j$ ) and  $A = BC$  ( $A_{ij} = B_{ik}C_{kj}$ ), we do not write  $v = A \cdot u$  and  $A = B \cdot C$ , respectively.

Throughout the text, we use the Einstein summation convention: when an index variable appears twice in an expression, it implies summation of that variable over all values of the index. Latin indices take the value 1, 2, 3, and are used in variables describing the ambient, three-dimensional, Euclidean space. Greek indices take the value 1, 2, and are used in variables in the embedded, two-dimensional, surface.  $\{\cdot\}$  and  $\{\check{\cdot}\}$  denote bulk and surface quantities, respectively, for a body occupying volume  $V$  bounded by outer surface denoted as  $S$ .

The overhat  $\{\hat{\cdot}\}$  denotes normalized quantities, and the superposed dot  $\dot{\cdot}$  denotes the material time derivative. We write  $A^{-1} = (A)^{-1}$  and  $\text{tr}(A)$  for the inverse and trace of a tensor  $A$ . The double-bracket ( $[[\hat{\cdot}]]$ ) represents the average and jump of a surface quantity ( $\{\hat{\cdot}\}$ ) over the interface  $C$ , e.g.  $[[\hat{W} \cdot \hat{N}]] = \hat{W}^+ \cdot \hat{N}^+ + \hat{W}^- \cdot \hat{N}^- = \hat{W}^+ - \hat{W}^- \cdot \hat{N}^+ = \hat{W}^+ - \hat{W}^- \cdot \hat{N}$  where the superscripts  $\pm$  and  $\hat{\cdot}$  denote the surface quantity on the outward and inward of the interface  $C$ , respectively, and we define the surface outward normal vector  $\hat{N} := \hat{N}^+$  over the interface  $C$ .

## 2.1 Key concepts of differential geometry

We briefly review the key concepts of differential geometry required to describe the kinematics of the bulk and surface. Further details on differential geometry can be found in books and papers, for example, Gurtin [25], Green [23], Steinmann [37, 54] and Do Carmo [19].

We first focus on the bulk description. Let  $r$  be an arbitrary vector expressed in terms of the individual components of the curvilinear coordinate system,  $\xi r = r(\xi^1, \xi^2, \xi^3)$ . We can always associate two new triplets of vectors ( $\check{a}$  and  $\check{b}$ ) with the general curvilinear coordinate [28].

$$a_i = \frac{\partial r}{\partial \xi^i} \quad \text{and} \quad a^i = \frac{\partial \xi^i}{\partial r} \quad (1a)$$

$$I = \delta_j^i a_i \otimes a^j \quad \text{where} \quad \delta_j^i = a^i \cdot a_j \quad (1b)$$

where  $a_i$  and  $a^i$  are referred to as covariant and contravariant basis vectors, respectively, and  $\delta$  are the mixed-variant

identity tensor and the mixed Kronecker delta, respectively. Note that  $\delta_{ij} = 1$  for  $i = j$ , and  $\delta_{ij} = 0$  for  $i \neq j$  ( $i, j = 1, 2, 3$ ). The covariant and contravariant basis vectors are not necessarily orthogonal to each other but linearly independent requiring  $(a_i \otimes a^j) \cdot a^k = 0$  (the vectors do not lie in a plane) [29]. The vectors  $a_i$  and  $a^i$  are connected to metric tensors

$$a_i = a_j a^j \quad \text{with} \quad a_j = a_{ji} = a \cdot a_j \quad (2a)$$

$$a^i = a^j a_j \quad \text{with} \quad a^j = a^{ji} = a^j \cdot a^i \quad (2b)$$

where two tensors are inverse to each other, i.e., a metric tensor with the identical indices represents the squares of the lengths; for example,  $a_i \cdot a_i = |a|^2$ , whereas the metric tensors with different indices represent the product of the lengths and the cosine of the angle  $\theta$ ; for example,  $a_i \cdot a_j = |a_i||a_j| \cos \theta(a_i, a_j)$ .

If a quantity  $\{\cdot\}$  is a vector field defined throughout volume  $V$  which is bounded by a closed surface  $S$ , then bulk gradient and divergence operators in 3D general curvilinear coordinates are defined as follows:

$$\nabla \times \{\cdot\} = \epsilon^{ijk} \frac{\partial}{\partial \xi^j} \otimes a^k \quad (3a)$$

$$\nabla \cdot \{\cdot\} = \frac{\partial}{\partial \xi^i} \cdot a^i = \nabla \times \{\cdot\} : I \quad (3b)$$

With the above equations, the divergence theorem is [23]

$$\int_V \nabla \cdot \{\cdot\} dV = \int_S \{\cdot\} N dS \quad (4)$$

where  $N$  is a unit outward normal vector on the surface  $S$ .

We now move our attention to the surface description. Let  $\check{a}_r$  denote an arbitrary vector expressed in terms of the individual components of the general surface curvilinear coordinate system, i.e.,  $\check{a}_r = \check{a}_r(\check{\xi}^1, \check{\xi}^2)$ . We can always associate two new doublets of vectors ( $\check{a}$  and  $\check{b}$ ) with the general curvilinear coordinate by coordinate transformations [23].

$$\check{a}_\alpha = \frac{\partial \check{a}_r}{\partial \check{\xi}^\alpha} \quad \text{and} \quad \check{a}^\alpha = \frac{\partial \check{\xi}^\alpha}{\partial \check{a}_r} \quad (5a)$$

$$I = \delta_\beta^\alpha \check{a}_\alpha \otimes \check{a}^\beta \quad \text{where} \quad \delta_\beta^\alpha = \check{a}^\alpha \cdot \check{a}_\beta \quad (5b)$$

where  $\check{a}_\alpha$  and  $\check{a}^\alpha$  are referred to as covariant and contravariant surface basis vectors, respectively, and  $\delta$  are the mixed-variant surface identity tensor and the mixed Kronecker delta, respectively ( $\alpha, \beta = 1, 2$ ). Note that the surface identity tensor also can be expressed by  $N \otimes N$ .

The vectors  $\check{a}_\alpha$  and  $\check{a}^\alpha$  are connected with the geometrical characteristics

$$\check{a}_\alpha = \check{a}_\beta \check{a}^\beta \quad \text{with} \quad \check{a}_\alpha \check{a}_\beta = \check{a}_\beta \check{a}_\alpha = \check{a}_\alpha \cdot \check{a}_\beta \quad (6a)$$

$$\check{A}^{\alpha} = \check{A}^{\alpha\beta} \check{A}_{\beta} \quad \text{with} \quad \check{A}^{\alpha\beta} = \check{A}^{\beta\alpha} = \check{A}^{\alpha} \cdot \check{A}^{\beta} \quad (6b)$$

where  $\check{A}^{\alpha}$  and  $\check{A}_{\beta}$  are surface metric tensors, and these mappings are inverse to each other, i.e.  $\check{A}^{\alpha} \cdot \check{A}_{\beta} = \delta^{\alpha}_{\beta}$ .

If a quantity  $\{\check{A}^{\bullet}\}$  is a surface vector field defined throughout a surface  $S$ , which is bounded by a closed curve  $L$ , then the surface gradient and divergence operators in a 2D general curvilinear coordinates are defined as follows:

$$\check{\nabla}_{\check{X}} \{\check{A}^{\bullet}\} = \frac{\partial \{\check{A}^{\bullet}\}}{\partial \check{x}^{\alpha}} \otimes \check{A}^{\alpha} \quad (7a)$$

$$\check{\nabla}_{\check{X}} \cdot \{\check{A}^{\bullet}\} = \frac{\partial \{\check{A}^{\bullet}\}}{\partial \check{x}^{\alpha}} \cdot \check{A}^{\alpha} = \check{\nabla}_{\check{X}} \cdot \{\check{A}^{\bullet}\} : \quad (7b)$$

With the above equations, we introduce the surface divergence theorem as follows [54]:

$$\begin{aligned} \int_S \check{\nabla}_{\check{X}} \cdot \{\check{A}^{\bullet}\} dS \\ = \int_L \{\check{A}^{\bullet}\} \cdot \check{N} dL - \int_S \check{A}^{\check{K}} \{\check{A}^{\bullet}\}_{\check{K}} dS \end{aligned} \quad (8)$$

where  $\check{N}$  is the unit outward bi-normal vector to the boundary curve  $L$  (see Fig. 1), and  $\check{A}^{\check{K}} = \check{N}$  is the total curvature (twice the mean surface curvature) [25].

## 2.2 Kinematics

We use the notation  $\chi : V \rightarrow R$  for the deformation of body

$B$ . A motion  $\chi$  is the vector field of the mapping  $x = \chi(X, t)$  of a material point in the reference configuration  $X \in V$  to a position in the deformed configuration  $x \in v$ . The kinematics of a typical particle are described by the displacement vector field in the spatial description,  $u(X, t) = x(X, t) - X$ . The kinematics of an infinitesimal bulk element are described by

$$F(X, t) = \frac{\partial \chi(X, t)}{\partial X} = \nabla_X \chi(X, t) \quad (9a)$$

$$F^{-1}(x, t) = \frac{\partial \chi^{-1}(x, t)}{\partial x} = \nabla_x \chi(x, t) \quad (9b)$$

where  $F(X, t)$  and  $F^{-1}(x, t)$  are the deformation gradient and inverse deformation gradient, respectively. Note that  $J(X, t) = dv/dV = \det F(X, t) > 0$  is the Jacobian determinant of the deformation gradient defining the ratio of a volume element between the material and spatial configurations.

Assuming conformal mapping, the surface displacement  $u_s(X, t)$  can be determined by  $u_s(X, t) = \chi(X, t) - X$ . The motion of an arbitrary differential vector element  $dX$  can be mapped by the deformation gradient  $F$  to a vector  $dx$  in the deformed configuration. However, a unit normal vector  $N$  in the material

configuration cannot be transformed into a unit normal vector  $n$  in the spatial configuration using solely the deformation gradient [29, 54]. This motivates us to follow the kinematics of an infinitesimal surface element [38, 39].

$$F(X, t) = \frac{\partial \chi(X, t)}{\partial X} \cdot \check{A} = \check{\nabla}_{\check{X}} \chi(X, t) \quad (10a)$$

$$F^{-1}(\check{A}X, t) = \frac{\partial \chi^{-1}(\check{A}X, t)}{\partial \check{A}X} \cdot \check{A} = \check{\nabla}_{\check{A}X} \chi(\check{A}X, t) \quad (10b)$$

where  $F(X, t)$  and  $F^{-1}(\check{A}X, t)$  are the surface deformation gradient and inverse surface deformation gradient, respectively. Note that  $F$  is a mapping from the bulk (3D) to the surface (2D), so it is rank deficient (it has rank 2, whereas full-rank would be 3). The  $\check{A} = I - N \otimes N$  and  $\check{a} = i - n \otimes n$  are the mixed surface unit tensors with the outward unit normal vectors  $N$  and  $n$  where  $\check{e}$  and  $\check{a}$  act as a surface (idempotent) projection tensor in material and spatial configurations, respectively. Note that  $\check{J}(X, t) = da/dA = \det F(X, t) > 0$  is the Jacobian determinant of the surface deformation gradient defining the ratio of a surface element between material and spatial configurations.

We introduce  $C$  and the right Cauchy-Green tensors in the bulk and on the surface, respectively, as

$$C = F^T F \quad (11a)$$

$$\check{C} = F^T \check{F} \quad (11b)$$

and  $\text{tr}(C)$  is the first principal invariant. The detailed derivation for the surface kinematics can be found in Do Carmo [39], Green and Zerna [23], Steinmann [54]. Note that we cannot perform the inverse of the surface right Cauchy-Green tensor due to its rank deficiency. Nevertheless, we still obtain its inverse form in the generalized sense,

$$\check{C}^{-1} = \check{A} C^{-1} \check{A} \quad (12)$$

which will be utilized in the forthcoming developments for defining the surface kinetic law.

## 2.3 Mechanical equilibrium

Mechanical equilibrium is assumed to be maintained at all times during the motion. The strong form of the corresponding governing equation is

$$\nabla_X \cdot P + B = 0 \quad \text{in } V \quad (13a)$$

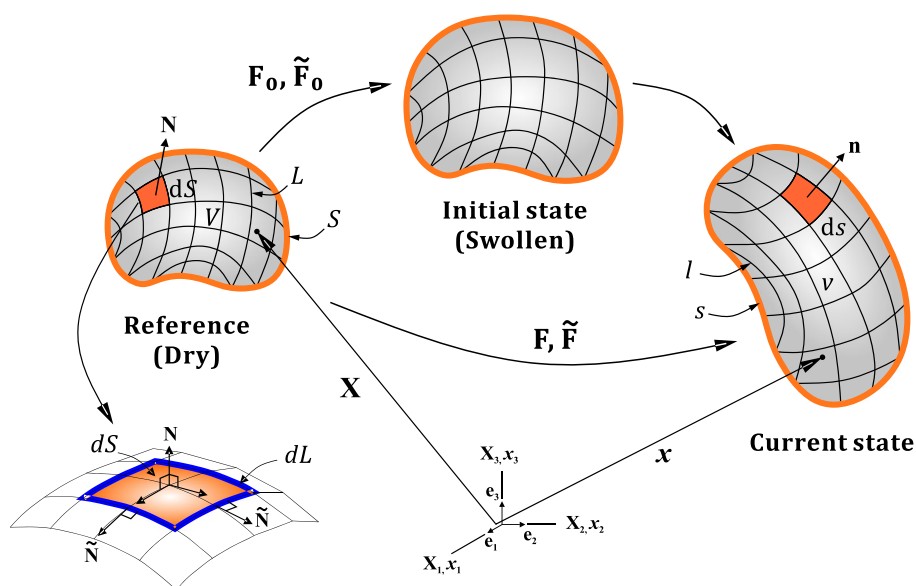
$$P N - \check{\nabla}_{\check{X}} \cdot \check{P} = T \quad \text{on } S_T \quad (13b)$$

$$u = u_p \quad \text{on } S_u \quad (13c)$$

$$[[P N]] = 0 \quad \text{on } L \quad (13d)$$



Fig. 1 Schematic illustration of the reference, initial, and current state of a continuum body. The initial state is assumed to be isotropically scaled from the reference state. The reference volume and surface, and boundary are denoted by  $V$  and  $S$ , and  $L$  respectively. The normal vector to the surface in the reference and current configuration ( $N$  and  $n$ ) and the bi-normal vector to the boundary ( $\tilde{N}$  and  $\tilde{n}$ ) are shown, where the over-tilde indicates surface quantities



where  $P$  and  $\tilde{P}$  are the first Piola-Kirchhoff stresses in bulk and initial conditions, on surface,  $B$  and  $T$  are the body force and the traction vector, and  $\tilde{p}$  is the prescribed displacement. Note that a Neumann type boundary condition is also defined on boundary curves that  $[[\cdot]]$  indicates summation over surfaces intersecting on boundary curves [54].

## 2.4 Species balance

Through species (or mass) balance, the strong form for the corresponding governing equation is [50]:

$$\dot{C} + \nabla_X \cdot J = r \quad \text{in } V \quad (14a)$$

$$\dot{\tilde{C}} + \tilde{\nabla}_X \cdot \tilde{J} - J \cdot N = i \quad \text{on } S \quad (14b)$$

$$\tilde{J} = \tilde{J}_p \quad \text{on } S \quad (14c)$$

$$[[\tilde{J} \cdot \tilde{N}]] = 0 \quad \text{on } L \quad (14d)$$

where  $C$  is the bulk nominal concentration (the number of species per unit reference volume),  $\tilde{C}$  is the surface nominal concentration (the number of species per unit reference area),  $J$  is the bulk nominal flux (the number of species per unit time per unit area),  $\tilde{J}$  is the surface nominal flux (the number of species per unit time per unit length). The  $r$  and  $i$  are the source/sink terms for the number of species injected into the reference volume and area per unit time, and the prescribed surface equation (14a) is the standard species balance equation prescribed for the bulk in the reference configuration. Equation (14b) describes the species balance on the surface, where the third term on the left hand is similar to the source  $i$ , but describes the outward normal flux from the bulk to the surface; note that species can get to the surface either from the exterior (environment) or from the interior (bulk). Additionally, Eq. (14) are supplemented

where  $C_0$  and  $\tilde{C}_0$  are the initial species concentration in the bulk and on the surface at time zero. Note that we assume homogeneous initial conditions, this choice will become apparent as we discuss the general solution process for the corresponding boundary value problems in Sect. 5.

## 2.5 Thermodynamic considerations

In addition to the free energy density in the bulk, we consider the free energy density on the surface. We will denote the bulk and surface free energy densities as

$$\tilde{A}(F, C) \quad \text{and} \quad \tilde{A}(F, \tilde{C}) \quad (16)$$

where we assume that they are functions of the deformation gradient and nominal concentration in the bulk and on the surface, respectively.

Considering a system that includes an elastic and porous solid component coupled with species that are free to migrate in the porous network, the rate of change of the system's energy  $G$  has to account for several effects [2, 13, 27, 29]. This can be expressed as

$$\dot{G} = \int_V \dot{B} dx + \int_{S_A} \dot{A} dS - \int_V \mu_r dx - \int_S \mu_i dS \quad (17)$$

where the third and fourth terms are the rate of mechanical work by the body force  $\mathbf{B}$  and traction vector  $\mathbf{T}$ , and the fifth and sixth terms are the rate of chemical work by the chemical potential  $\mu$  and the surface chemical potential  $\bar{\mu}$ . Note that thermodynamics dictate that the free energy of the system should not increase, i.e.,

Substituting Eqs. (13) and (14) into Eq. (17), the rate of change of the free energy of the system can be expressed as follows,

$$\begin{aligned} \dot{G} = & \int_V \dot{\bar{A}} dV + \int_S \dot{\bar{A}} dS - \int_V \mathbf{P} : \dot{\mathbf{F}} dV \\ & - \int_S \dot{\bar{\mathbf{P}}} : \dot{\bar{\mathbf{F}}} dS \\ & - \int_V \mu \dot{C} dV - \int_S \bar{\mu} \dot{\bar{C}} dS \\ & + \int_S (\bar{\mu} - \mu) \cdot \mathbf{N} dS \\ & + \int_V \mathbf{J} \cdot \nabla_X \mu dV \\ & + \int_S \bar{\mathbf{J}} \cdot \nabla_X \bar{\mu} dS \leq 0 \end{aligned} \quad (18)$$

Using the chain-rule, the rate of bulk and surface free energy densities can be expressed as

$$\dot{\bar{A}} = \frac{\partial \bar{A}}{\partial \mathbf{F}} : \dot{\mathbf{F}} + \frac{\partial \bar{A}}{\partial C} \dot{C} \quad (19a)$$

$$\dot{\bar{A}} = \frac{\partial \bar{A}}{\partial \bar{\mathbf{F}}} : \dot{\bar{\mathbf{F}}} + \frac{\partial \bar{A}}{\partial \bar{C}} \dot{\bar{C}} \quad (19b)$$

By substituting Eq. (19) into Eq. (18), and rearranging terms yields

$$\begin{aligned} \dot{G} = & \int_V \frac{\partial \bar{A}}{\partial \mathbf{F}} : \dot{\mathbf{F}} - \mathbf{P} : \dot{\mathbf{F}} dV \\ & + \int_S \frac{\partial \bar{A}}{\partial \bar{\mathbf{F}}} : \dot{\bar{\mathbf{F}}} - \bar{\mathbf{P}} : \dot{\bar{\mathbf{F}}} dS \\ & + \int_V \frac{\partial \bar{A}}{\partial C} - \mu \dot{C} dV \\ & + \int_S \frac{\partial \bar{A}}{\partial \bar{C}} - \bar{\mu} \dot{\bar{C}} dS \\ & + \int_S (\bar{\mu} - \mu) \cdot \mathbf{N} dS + \int_V \mathbf{J} \cdot \nabla_X \mu dV \\ & + \int_S \bar{\mathbf{J}} \cdot \nabla_X \bar{\mu} dS \leq 0 \end{aligned} \quad (20)$$

where each integrand presents a distinct mechanism of energy dissipation associated with mechanical and chemical works. The inequality must hold at every point of the continuum body and at all times during a thermodynamic

process. To satisfy the constraint, the Coleman-Noll procedure states that each integrand in Eq. (20) to be either negative or equal to zero. From the first four terms in Eq. (20) we obtain the following constitutive relations

$$\dot{\bar{\mathbf{P}}} = \frac{\partial \bar{A}(\mathbf{F}, C)}{\partial \mathbf{F}} \quad \text{and} \quad \mu = \frac{\partial \bar{A}(\mathbf{F}, C)}{\partial C} \quad (21a)$$

$$\dot{\bar{\mathbf{P}}} = \frac{\partial \bar{A}(\bar{\mathbf{F}}, \bar{C})}{\partial \bar{\mathbf{F}}} \quad \text{and} \quad \bar{\mu} = \frac{\partial \bar{A}(\bar{\mathbf{F}}, \bar{C})}{\partial \bar{C}} \quad (21b)$$

for the bulk and surface first Piola–Kirchhoff stresses tensor and the bulk and surface chemical potentials, respectively.

For the fifth term in Eq. (20), the most obvious way to guarantee the dissipation inequality on surface is to impose the condition [50],

$$\mu(\mathbf{X}, t) = \bar{\mu}(\bar{\mathbf{X}}, t) \quad \text{on } S \quad (22)$$

which prescribes local chemical equilibrium between the surface and the bulk. This point is discussed in McBride et al. [50], where also the possibility of an alternate strategy to satisfy that constraint is highlighted.

Finally, to maintain that the last two terms in Eq. (20) are non-negative, we adopt kinetic laws for diffusion in bulk and on surface. This allows us to maintain negative semi-definiteness and describes the constant species diffusion that is driven by gradients of chemical potential:

$$\mathbf{J} = -M \nabla_X \mu \quad (23a)$$

$$\bar{\mathbf{J}} = -\bar{M} \nabla_X \bar{\mu} \quad (23b)$$

where  $\mathbf{M}$  and  $\bar{\mathbf{M}}$  are the bulk and surface mobility tensors. In the upcoming section, we will specialize the symmetric and positive definite mobility tensor  $\mathbf{M}$  and fully define the constitutive laws for the fluxes.

### 3 Specific considerations for hydrogels

In this work, we will focus on a coupled bulk and surface poroelastic framework for hydrogels. We have to specialize our choices for the surface and bulk free energy densities, corresponding constitutive laws, and definition of mobility tensors for our theory to be complete and to be able to proceed to the development of the numerical solution scheme.

#### 3.1 Particularizing the surface and bulk free energy densities

For the free energy densities of polymer in the bulk, we adopt the Flory-Huggins model [22, 31, 57], assuming

the following additive decomposition into elastic and mixing contributions

$$\tilde{A}(F, C) = \tilde{A}_e(F) + \tilde{A}_m(C). \quad (24)$$

These can be individually specialized to

$$\tilde{A}_e(F) = \frac{1}{2} N k_B T (I_1 - 3 - 2 \ln J) \quad (25a)$$

$$\tilde{A}_m(C) = -\frac{k_B T}{\tilde{A}} \tilde{A} C \ln \frac{1 + \tilde{A} C}{\tilde{A} C} + \frac{\chi}{1 + \tilde{A} C} \quad (25b)$$

where  $\tilde{A}$  is the volume of a solvent molecule,  $\chi$  is a dimensionless parameter of polymer-solvent mixing,  $N$  is the number of polymer chains per reference volume,  $k_B$  is Boltzmann's constant, and  $T$  is the absolute temperature. Note that the first and second terms (25b) represent the entropy and enthalpy of mixing, respectively [31].

Following [2, 12, 13, 31, 32], we assume that the polymer chains and the diffusion species are individually incompressible. Furthermore, the gel is a condensed matter with negligible void space, so any volume change of the hydrogel is due to species diffusion, thus

$$1 + \tilde{A} C = J \Rightarrow C = \frac{J - 1}{\tilde{A}}. \quad (26)$$

Similar to Eq. (24), we assume that the surface free energy density of the hydrogel is also decomposed into elastic and mixing contributions as (see Remark 2))

$$\tilde{A}(\tilde{F}, \tilde{C}) = \tilde{A}_e(\tilde{F}, \tilde{C}) + \tilde{A}_m(\tilde{C}) \quad (27)$$

which can be individually expressed as

$$\tilde{A}_e(\tilde{F}, \tilde{C}) = \frac{\tilde{A} K \tilde{A}}{2} \tilde{J} - 1 - \tilde{A} \tilde{C}^2 + \tilde{A} \tilde{Y} \quad (28a)$$

$$\tilde{A}_m(\tilde{C}) = -\frac{k_B T}{\tilde{A}} \tilde{A} \tilde{C} \ln \frac{1 + \tilde{A} \tilde{C}}{\tilde{A} \tilde{C}} + \frac{\chi}{1 + \tilde{A} \tilde{C}} \quad (28b)$$

In a microscopic description, length scales of polymer chains and solvent molecules are very different. Using  $\mu = k_B T \ln \frac{\tilde{A} C}{1 + \tilde{A} C} + \frac{1}{1 + \tilde{A} C} + \frac{\chi}{(1 + \tilde{A} C)^2}$  on the surface, where the interaction of polymer chains and solvent molecules is crucial, and more specifically the finite thickness of the surface zone, here we consider the idealization of a constant number of layers  $\tilde{A}$  that solvent molecules can occupy in the surface zone. Here,  $\tilde{A}$  is the area that a solvent molecule occupies on the surface,  $\chi$  is a dimensionless parameter of polymer-solvent mixing. In the second term of the right hand side of Eq. (28a), we consider a constant surface energy per unit curvature  $\tilde{A} \tilde{Y}$  [J/m], which leads to a fluid-like response for the hydrogel. Additionally, it is not realistic to enforce the equilibrium

of existing compressibility for the surface, as one cannot constrain the number of layers of species on the surface, even though the constituents are individually incompressible. Thus, we introduce a penalty term to loosely introduce a constraint for species concentration in the surface connected to the area change of the boundary, with a penalty coefficient  $\tilde{A} K$  [J/mol/m].

**Remark 2** (The application of Flory-Huggins model to surface poroelasticity) In the Flory-Huggins model [22, 33], the solvent and polymer molecules are considered to be arranged in the 3D lattice sites such that each site may be occupied either by a solvent molecule or by a segment of the polymer chain, and the equations of entropy and enthalpy of mixing are derived in the statistical thermodynamics approach (see Appendix 7 of [57] for the details). Without loss of generality, the Flory-Huggins model can be also considered on the 2D lattice model, where the probability of encountering a site occupied by the solvent and polymer molecules is the function of the area. Although the number of adjacent sites would be different in 2D and 3D models, the Flory parameters  $\chi$  and their dependence on the dimensionality is to be fitted with experimental data. We introduce the parameter  $\tilde{A}$  in the mixing part of surface free energy to account for the number of 2D lattice layers that arise due to the size difference of solvent molecules and polymer chains considering the surface zone, but we do not consider the interaction energy between the layers. Note that the elastic part of surface free energy  $\tilde{A}_e$  does not include the number of layer parameter, which we assume to be a constant.

### 3.2 Constitutive relations

Using Equation (21), (25) and (28), the specific constitutive relations are obtained as follows:

$$P = N k_B T \tilde{F} + \alpha_1 \tilde{F}^{-T} \quad \text{with} \quad \alpha_1 = -\frac{1}{\tilde{A}} + \frac{1}{N \tilde{A}} \frac{1}{J} + \ln \frac{J - 1}{J} + \frac{\chi}{J^2} \quad (29a)$$

$$\mu = k_B T \ln \frac{\tilde{A} C}{1 + \tilde{A} C} + \frac{1}{1 + \tilde{A} C} + \frac{\chi}{(1 + \tilde{A} C)^2} \quad (29b)$$

$$\tilde{A} \tilde{C} - 1 - \tilde{A} \tilde{C}^2 + \tilde{A} \tilde{Y} \tilde{F}^{-T} \quad (29c)$$

$$-\tilde{A} K \tilde{J} - 1 - \tilde{A} \tilde{C}^2 \Rightarrow \tilde{C} = \tilde{C}(\tilde{A} \mu \tilde{F}) \quad (29d)$$

which are the specific forms of the constitutive relations for the hydrogel. The first Piola-Kirchhoff stresses and the chemical potential are equivalent on the surface. Note that we do not use the

constitutive relation of Eq. (29b), but the incompressibility (proportional to the gradient of chemical potential), condition of Eq. (26) to determine the bulk concentration is convenient to use the chemical potential (instead of solvent concentration) as an independent variable in the finite element formulation. Additionally, this allows us to avoid the constitutive relation (29d) to determine the surface concentration requirements [13]. For this purpose, we rewrite the free energy densities as a function of the deformation gradient  $\mathbf{F}$  and the chemical potential  $\mu$  instead of the concentration  $C$ . However, there is no closed-form solution for the surface concentration in Eq. (29d), so we need to solve the constitutive relation numerically.

### 3.3 Bulk and surface diffusion

Particularizing the expression for the bulk mobility, following [31], and prescribing an equivalent definition for surface mobility

$$M = \frac{C D}{k_B T} C^{-1} \quad (30a)$$

$$\tilde{M} = \frac{\tilde{C} \tilde{D}}{k_B T} \tilde{C}^{-1} \quad (30b)$$

where  $k_B$  is the Boltzmann's constant,  $T$  is an absolute temperature. The coefficient of diffusion of the solvent molecules in the bulk and on the surface are assumed to be isotropic and independent of the deformation and concentration as the simplest approximation [31]. Note that the current concentrations are related to the nominal concentrations as  $C = C_0/J$  and  $\tilde{C} = \tilde{C}_0/\tilde{J}$ . It is important to note that Eqs. (30a) and (30b) are formulated to consider the change of the porosity of the solid due to the finite deformations, as the mobility tensors are inversely proportional to the Green deformation tensors.

$$\tilde{A}(\mathbf{F}, \mu) = \tilde{A}(\mathbf{F}, C) - \mu C \quad (31a)$$

$$\tilde{A}(\tilde{\mathbf{F}}, \tilde{\mu}) = \tilde{A}(\tilde{\mathbf{F}}, \tilde{C}) - \tilde{\mu} \tilde{C} \quad (31b)$$

After the Legendre transform, similar to Equation (21), the constitutive relations can be rewritten as follows:

$$\mathbf{P} = \frac{\partial \tilde{A}(\mathbf{F}, \mu)}{\partial \mathbf{F}} \quad \text{and} \quad C = -\frac{\partial \tilde{A}(\mathbf{F}, \mu)}{\partial \mu} \quad (32a)$$

$$\tilde{\mathbf{P}} = \frac{\partial \tilde{A}(\tilde{\mathbf{F}}, \tilde{\mu})}{\partial \tilde{\mathbf{F}}} \quad \text{and} \quad \tilde{C} = -\frac{\partial \tilde{A}(\tilde{\mathbf{F}}, \tilde{\mu})}{\partial \tilde{\mu}} \quad (32b)$$

which yields the same constitutive relation for the surface Piola-Kirchhoff stress, but the bulk first Piola-Kirchhoff stress  $\mathbf{P}$  should be modified because the incompressibility condition was enforced by substituting the  $C$  into Eq. (25) to eliminate the bulk concentration  $C$  [32]:

$$\mathbf{P} = N k_B T \mathbf{F} + \alpha \mathbf{J} \mathbf{F}^{-T} \quad \text{with} \quad \alpha_2 = -\frac{\beta}{J} + \frac{\beta}{N \tilde{A}} \frac{1}{J} + \ln \frac{J-1}{J} + \frac{\chi}{J^2} - \frac{\mu}{k_B T} \quad (33)$$

## 4 Mixed finite element formulation

This section presents a finite element formulation based on the nonlinear theory in Sects. 2 and 3. The main aim here is to provide an accessible open-source implementation that will be utilized by the research community, and thus, we choose FEniCS [1, 46] for the implementation; a choice which also affects the set-up of the mixed finite element formulation. The formulation starts with the strong form of the governing equations and initial and boundary conditions. We first introduce the weak form of the problem and subsequently describe the normalization, discretization, solution steps, and some implementation details for FEniCS. As the main focus here is the coupled mechanics between diffusion and deformation in bulk and on surface, we here-on neglect the source terms, i.e.,  $r = 0$ .

### 4.1 Three-field weak form

Since the chemical boundary conditions for hydrogels are often specified in terms of chemical potential

Note that the bulk concentration  $C$  can still be obtained by the incompressibility condition of Eq. (26), but the surface concentration is now given implicitly by solving a nonlinear algebraic equation in Eq. (29d). Keeping into account the inability of the FEniCS framework to solve nonlinear equations at the Gauss-point level, we proceed to solve Eq. (29d) directly with the mixed finite element method. The weak form of the problem is obtained by using a set of test functions, which satisfy the necessary integrability conditions. By multiplying Eqs. (13a), (14a) and (29d) with the test functions  $\delta u$ ,  $\delta \mu$ , and integrating over the domain respectively, then we obtain that

$$\int_V \mathbf{P} : \nabla_X \delta u \, dV + \int_S \tilde{\mathbf{P}} : \nabla_{\tilde{X}} \delta u \, dS = 0 \quad (34a)$$

$$\int_V \dot{C} \delta \mu \, dV - \int_V \mathbf{J} \cdot \nabla_X \delta \mu \, dV + \int_S \dot{\tilde{C}} \delta \mu \, dS - \int_S \tilde{\mathbf{J}} \cdot \nabla_{\tilde{X}} \delta \mu \, dS = 0 \quad (34b)$$



$$\begin{aligned} & \int_V \delta \mu - k_B T \ln \frac{\bar{c}}{1 + \bar{c}} + \frac{1}{1 + \bar{c}} + \frac{\bar{\chi}}{1 + \bar{c}} \bar{c}^2 \\ & - \bar{\chi} \bar{c} \bar{f} - 1 - \bar{c} \bar{c} \bar{c} dS = 0 \end{aligned} \quad (34c)$$

where the derivation of Eq. (34b) can be found in Appendix A. The statement of the weak form is to find the trial functions,  $\mu$ ,  $\mu$  and  $\bar{c}$ , such that the integrals in Eq. (34) are satisfied for any permissible test functions,  $\delta \bar{c}$ ,  $\delta \mu$  and  $\delta \bar{c}$ .

## 4.2 Normalization

For the finite element simulations in the following section, all variables and parameters are normalized, as denoted by  $\{\bar{\cdot}\}$ .

All lengths are normalized by a characteristic dimension,  $H$  (e.g. the length of the edge of a cube in the reference configuration). The chemical potential and stresses are normalized as follows,

$$\bar{\mu} = \frac{\mu}{k_B T}, \quad \bar{p} = \frac{P}{N k_B T}, \quad \bar{\sigma} = \frac{\sigma}{N k_B T H} \quad (35)$$

Following the normalization of the surface energy and penalty coefficient should be normalized in the same way,

$$\bar{\gamma} = \frac{\gamma}{N k_B T H}, \quad \bar{\kappa} = \frac{\kappa}{N k_B T H} \quad (36)$$

Solvent concentration and time are normalized as follows,

$$\bar{c} = \bar{c}, \quad \bar{c} = \bar{c}, \quad \bar{t} = \frac{t}{\tau} \quad (37)$$

where  $\tau = \eta/D$  is the characteristic time scale of diffusion.

Recall that the shear modulus linearized about the undeformed dry state ( $F = I$ ) is  $G = N k_B T$  [12]. In a homogeneously swollen stress-free state, the shear modulus gradient takes the form  $G = \lambda G_0$  with  $\lambda$  the swelling stretch. The shear modulus about that state is defined as follows [12],

$$G_0 = \frac{N k_B T}{\lambda_0} \quad (38)$$

Considering again a homogeneously swollen state, the elastocapillary length scale is defined by  $\lambda_0$  for constant surface free energy per unit current surface area. Taking into account the characteristic dimension  $H$  the normalized elastocapillary length scale is given as

$$\bar{\lambda} = \frac{\lambda}{H} = \bar{\gamma} \bar{\lambda} \quad (39)$$

By substituting the normalized variables into the weak forms in Equation (34), we can obtain the normalized weak forms,

for the bulk and the surface.

$$\begin{aligned} & \int_V \delta \mu - \bar{p} : \nabla_{\bar{x}} \bar{u} + \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dV \\ & + \int_S \delta \mu - \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dS = 0 \end{aligned} \quad (40a)$$

$$\begin{aligned} & \int_V \delta \mu - \bar{p} : \nabla_{\bar{x}} \bar{u} + \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dV \\ & + \int_S \delta \mu - \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dS = 0 \end{aligned} \quad (40b)$$

$$\begin{aligned} & \int_V \delta \mu - \bar{p} : \nabla_{\bar{x}} \bar{u} + \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dV \\ & + \int_S \delta \mu - \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dS = 0 \end{aligned} \quad (40c)$$

where  $N k_B T$  and  $N k_B T H$  are the dimensionless parameters, and the normalized fluxes in bulk and on surface are obtained as follows,

$$\bar{j} = -\bar{c}^{-1} \cdot \nabla_{\bar{x}} \bar{\mu} \quad (41a)$$

$$\bar{j} = -\bar{c}^{-1} \cdot \bar{\nabla}_{\bar{x}} \bar{\mu} \quad (41b)$$

where  $D$  is the ratio of diffusivity between in bulk and on surface.

## 4.3 Temporal discretization

The backward Euler scheme is used to integrate Eq. (40) over time:

$$\begin{aligned} & \int_V \delta \mu - \bar{p} : \nabla_{\bar{x}} \bar{u} + \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dV \\ & + \int_S \delta \mu - \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dS = 0 \end{aligned} \quad (42)$$

where the superscripts indicate the time step, at the current time step ( $t + \Delta t$ ) or the previous time step ( $t$ ). Eqs. (40a), (40c) and (42) as

$$\begin{aligned} & \int_V \delta \mu - \bar{p} : \nabla_{\bar{x}} \bar{u} + \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dV \\ & + \int_S \delta \mu - \bar{p} : \bar{\nabla}_{\bar{x}} \bar{u} dS = 0 \end{aligned} \quad (43)$$

where the superscript  $(t)$  is omitted for all the terms at the current time step and  $c^f$  are the species concentrations known from previous time step). The residual of nonlinear equations in iteration step  $i$  is given by  $r = f - N(d)$ , which can be solved using the Newton-Raphson method. In particular, the procedure requires the calculation of the tangent Jacobian matrix at each iteration, namely,

#### 4.4 Spatial discretization

A mixed finite element method is utilized to solve for the normalized displacement, chemical potential and surface concentration fields concurrently. To avoid the numerical instability with the mixed method, we should employ proper spatial discretization techniques [13]. We utilize a Taylor-Hood element [16] for the bulk quantities (displacement and chemical potential) where the interpolation order for the chemical potential (linear) is one-order lower than for the displacement (quadratic). We note that a linear interpolation is utilized for the surface concentration field.

The normalized displacement and chemical potential are interpolated through the domain of interest as

$$\tilde{u} = H_A \tilde{u}_A, \quad \tilde{\mu} = H_A \tilde{\mu}_A, \quad \tilde{c} = H^s \tilde{c}^n \quad (44)$$

where  $H_A$ ,  $H^s$  and  $H^c$  are the shape functions and  $\tilde{u}_A$ ,  $\tilde{\mu}_A$  and  $\tilde{c}^n$  are the nodal values of the normalized displacement, chemical potential and surface concentration respectively. Note that the shape function is only defined on surface elements. The test functions are discretized in the same way

$$\delta \tilde{u} = H_A \delta \tilde{u}_A, \quad \delta \tilde{\mu} = H_A \delta \tilde{\mu}_A, \quad \delta \tilde{c} = H^s \delta \tilde{c}^n \quad (45)$$

The stresses, concentrations and fluxes are evaluated at integration points, depending on the gradients of the displacement and chemical potential via the constitutive relations. Taking the gradient of Eq. (44), we obtain that

$$\nabla_{\mathbf{x}} \tilde{u} = \nabla_{\mathbf{x}} H_A \tilde{u}_A = B_A^u \tilde{u}_A \quad (46a)$$

$$\nabla_{\mathbf{x}} \tilde{\mu} = \nabla_{\mathbf{x}} H_A \tilde{\mu}_A = B_A^{\mu} \tilde{\mu}_A \quad (46b)$$

$$\nabla_{\mathbf{x}} \tilde{c} = \nabla_{\mathbf{x}} H^s \tilde{c}^n = B^c \tilde{c}^n \quad (46c)$$

where  $B_A^u$  and  $B_A^{\mu}$  are the gradients of the shape function in the bulk, and  $B^c$  is the one on the surface.

#### 4.5 Nonlinear solution

The weak form in Eq. (43) can be expressed as a system of nonlinear equations,

$$N(d) = f \quad \text{with } d = \begin{pmatrix} \tilde{u} \\ \tilde{\mu} \\ \tilde{c} \end{pmatrix}^T \quad (47)$$

Note that  $N(d)$  denotes the part of the weak form that is not known at current time step and we take all the known

$$\frac{\partial N}{\partial d} = \begin{bmatrix} K_{\tilde{u}\tilde{u}} & K_{\tilde{u}\tilde{\mu}} & K_{\tilde{u}\tilde{c}} \\ K_{\tilde{\mu}\tilde{u}} & K_{\tilde{\mu}\tilde{\mu}} & K_{\tilde{\mu}\tilde{c}} \\ K_{\tilde{c}\tilde{u}} & K_{\tilde{c}\tilde{\mu}} & K_{\tilde{c}\tilde{c}} \end{bmatrix} \quad (48)$$

FEniCS version 2019.2.0 [4] is used to numerically solve the coupled non-linear equations via the Portable Extensible Toolkit for Scientific Computation (PETSc) Scalable Nonlinear Equations Solvers (SNES) interface [4]. This process repeats until a level of convergence specified within the SNES solver. At each iteration, the block Jacobian matrices are set up using multiphenics [5], a python library that also facilitates the definition of boundary restricted variables within FEniCS, a feature necessary for dealing in our case with the surface concentration. We note that the surface chemical potential is tied with the definition of the corresponding bulk quantity, but the surface concentration is not.

#### 4.6 FEniCS implementation details

Although many documents [4, 14, 15] provide FEniCS implementation details, it is still not trivial to deal with surface kinematics using FEniCS as several surface quantities have a rank deficiency. For educational purposes, we review a few important mathematical definitions of surface quantities and then we provide their corresponding FEniCS definitions. The surface unit tensor is defined by  $N$ , which can be interpreted as surface (idempotent) projection tensor, and surface deformation gradient can be obtained from surface projection of bulk deformation gradient. Similarly, the surface gradient is obtained by surface projection of the bulk gradient  $\nabla_{\mathbf{x}} \mu = \nabla_{\mathbf{x}} \mu$ .

```
1 N = FacetNormal ( mesh )
2 I_bulk = Identity ( 3 )
3 I_surf = I_bulk - outer ( N , N )
4 F_surf = dot ( F_bulk , I_surf )
5 grad_mu_surf = dot ( grad ( mu ) , I_surf )
```

Note that the surface unit tensor is absent from the (principal) normal component  $N \otimes N$ ; as a result, surface projection always leads to a rank deficiency, and hence, cannot perform the inverse operations for the surface quantities. Nevertheless, they possess an inverse in the general sense, i.e.,  $\tilde{c}^{-1} := F^{-1}$  and  $\tilde{c}^{-1} := C^{-1}$ .

```
6 F_surf_inv = dot ( I_surf , inv ( F_bulk ) )
7 C_surf_inv = dot ( I_surf , dot ( C_bulk ,
8 I_surf ) )
```

In addition, we cannot perform the determinant of the decomposition. While it is possible to decompose the deformation gradient using  $F_0$  for a direct relationship between the initial and current states representing the deformation gradient of the current state relative to the free swelling state [32], the deformation at the free swelling state (initial state) is chosen based on a homogeneous stretch  $\lambda_0$  and from that point the deformation ensues.

```
8 A_surf = dot ( cofac ( F_bulk ) , N )
9 J_surf = sqrt ( dot ( A_surf , A_surf ) )
```

## 5 Numerical examples

To study the performance of the suggested framework, we analyze the transient responses for two initial boundary value problems involving bulk and surface poroelasticity of hydrogels: (1) free contraction of a cube with smooth edges and (2) uniaxial tension of a cube with sharp edges. In both cases the edge length of the cubes is 10. In the following numerical study, we take the initial swelling ratio  $\lambda_0 = 1.5$  and the Flory interaction parameter  $\chi = 0.2$ . At room temperature  $kT = 4 \times 10^{-21}$  J/mol, and the representative value of the volume and area per molecule are  $v_A = 10^{-28}$  m<sup>3</sup> and  $\bar{A} = 10^{-19}$  m<sup>2</sup>. In the absence of solvent molecules, the dry network has shear modulus of  $\mu = 4 \times 10^4$  N/m<sup>2</sup>, which gives  $N\bar{A} = 10$  and  $NH = 10^3$  [2]. The characteristic dimension and time scales are set as  $L = 10$  and  $\tau = 1.0$  sec. Without loss of generality we prescribe the following values for the parameters:  $\bar{\gamma} = 1.0$ ,  $\bar{\kappa} = 10^3$  and  $\bar{D}/D = 1.0$ .

For robustness of the numerical procedure we follow a two-stage process. We initialize from a homogeneously swollen state, with initial homogeneous swelling stretch  $\lambda$ , neglecting elastic surface energy contributions. In the first stage, we ramp the surface energy linearly, from zero to its prescribed value, for the time interval  $t/\tau \in [0.0, 1.0]$ . This time interval is significantly smaller compared to the time the system needs for equilibration. Then in the second stage, we exponentially increase the time steps  $\Delta t/\tau$  until equilibrium is attained, while holding the value of the surface energy fixed.

In Fig. 1, we illustrate the distinction between the dry network as the reference state (stress-free configuration) and the free-swollen network as the initial state. In cases of homogeneous swelling at the initial state, this initial configuration can be characterized by the initial deformation gradients  $F_0$  and  $\bar{F}_0$ , which arise due to the initial free-swelling with a swelling ratio of  $\lambda$

$$F_0 = \lambda_0 I \quad \text{and} \quad \bar{F}_0 = \lambda_0 \bar{I} \quad (49)$$

It is important to emphasize that our approach does not matrices). It is worth noting that this type of oscillations for employ a decomposition of the deformation gradient in the bulk poroelasticity problem, stemming from the inf-sup manner similar to elastoplasticity, where separate constitutive problem are known to be alleviated by several approaches. In this work, the choice of Taylor-Hood spaces (as shown for

of the decomposition. While it is possible to decompose the deformation gradient using  $F_0$  for a direct relationship between the initial and current states representing the deformation gradient of the current state relative to the free swelling state [32], the deformation at the free swelling state (initial state) is chosen based on a homogeneous stretch  $\lambda_0$  and from that point the deformation ensues.

The initial chemical potential  $\mu_0$  is derived by equating the stress expression from (3) to zero using the free swelling stretch  $\lambda_0$  as outlined in previous works [31, 32]. This approach allows us to establish the initial chemical potential under the conditions of stress-free swelling,

$$N\bar{A} \left( \frac{1}{\lambda_0^3} + \ln 1 - \frac{1}{\lambda_0^3} + \frac{1}{\lambda_0^3} + \frac{\chi}{\lambda_0^6} \right) = \bar{\mu}_0 \quad (50)$$

We can obtain the initial free-swelling stretch  $\lambda_0$  by setting the immersed condition  $\bar{\mu}_0 = 0$  [31]. We can also obtain the initial normalized surface concentration by numerically solving the normalized constitutive relation of Eq. (29d), which yields the initial value 0.3673. It is important to note that the boundary of swollen hydrogel is assumed to be impermeable for the cases studies here, which is formulated by the flux boundary condition (see Eq. 14). That is, the species on the surface are not allowed to migrate into the exterior (environment). We note that for immersed conditions, we would need to assume local equilibrium instantaneously on the surface which would override the surface diffusion mechanism.

To investigate the numerical stability when time step is small ( $\Delta t \rightarrow 0$ ), the block Jacobian matrix in Eq. (48) can be reduced to

$$\begin{bmatrix} K_{\bar{\gamma}\bar{\gamma}} & K_{\bar{\gamma}\bar{\mu}} & K_{\bar{\gamma}\bar{\kappa}} \\ K_{\bar{\mu}\bar{\gamma}} & K_{\bar{\mu}\bar{\mu}} & K_{\bar{\mu}\bar{\kappa}} \\ K_{\bar{\kappa}\bar{\gamma}} & K_{\bar{\kappa}\bar{\mu}} & K_{\bar{\kappa}\bar{\kappa}} \end{bmatrix} \quad (51)$$

where  $K_{\bar{\gamma}\bar{\mu}}$  is proportional to time step ( $\Delta t$ ), and it approaches zero in the short time limit. This indicates the saddle point problem structure inherently known to lead to numerical oscillations; however, as the time progresses in the transient process, the parabolic nature of the diffusion equations regularizes the problem (see [58] Chapter 3 for the details of the saddle point problem of  $3 \times 3$  block matrices). It is worth noting that this type of oscillations for the bulk poroelasticity problem, stemming from the inf-sup manner similar to elastoplasticity, where separate constitutive problem are known to be alleviated by several approaches. In this work, the choice of Taylor-Hood spaces (as shown for

Fig. 2 Temporal sequence of the chemical potential during the free contraction of cubes

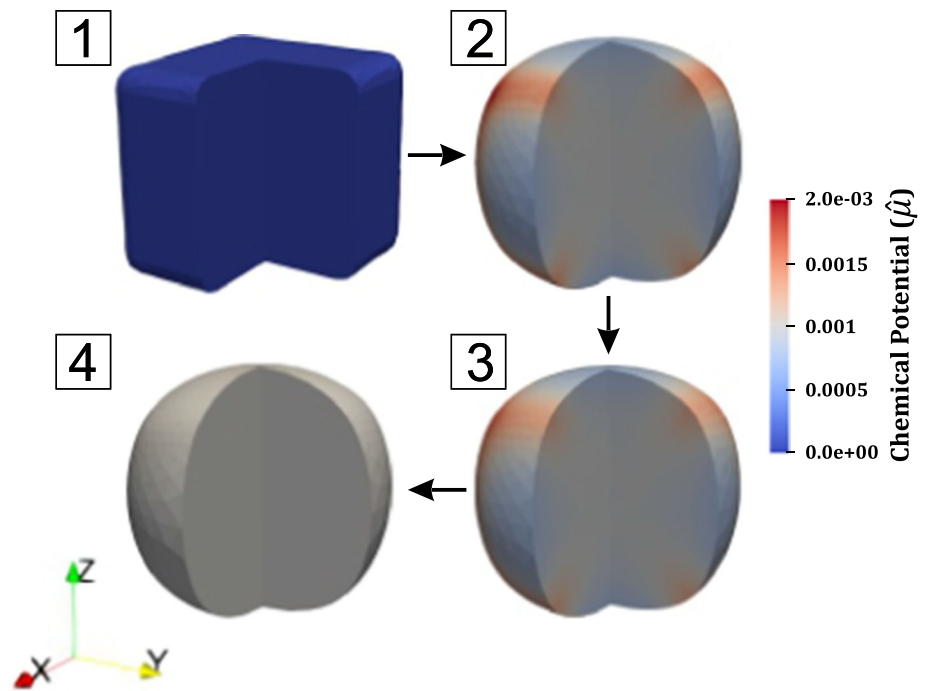
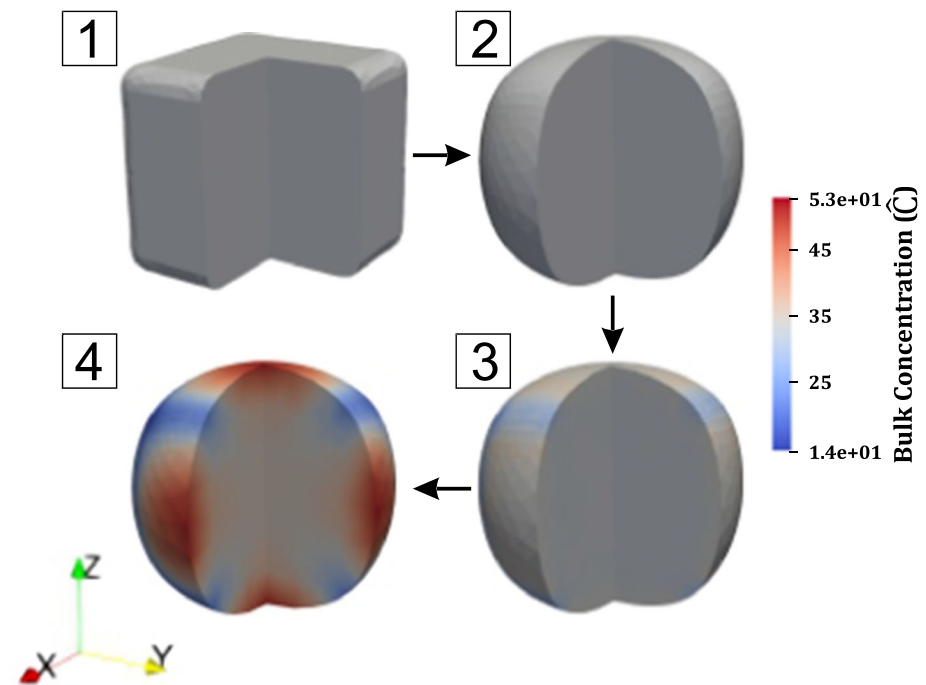


Fig. 3 Temporal sequence of the bulk contraction during the free contraction of cubes



the nonlinear problem in [40], we have also chosen to be cast in a fully swollen state and then released a Taylor–Hood space between the displacement and concentration fields (i.e., in an environment in which it is free to deform but with no solvent exchange,  $\nabla \cdot \mathbf{u} = 0$ ). For the finite element implementation of the free contraction of cubes, we use 3063 tetrahedral elements. The two-stage solution procedure detailed above is followed here.

### 5.1 Free contraction of a cube with smooth edges

We plot the temporal sequence of the finite element simulation for the chemical potential, bulk concentration, surface poroelastic effects on a hydrogel cube. The cube is considered in a fully swollen state and then released in a Taylor–Hood space between the displacement and concentration fields (i.e., in an environment in which it is free to deform but with no solvent exchange,  $\nabla \cdot \mathbf{u} = 0$ ). For the finite element implementation of the free contraction of cubes, we use 3063 tetrahedral elements. The two-stage solution procedure detailed above is followed here.

Fig. 4 Temporal sequence of the surface concentration during the free contraction of cubes

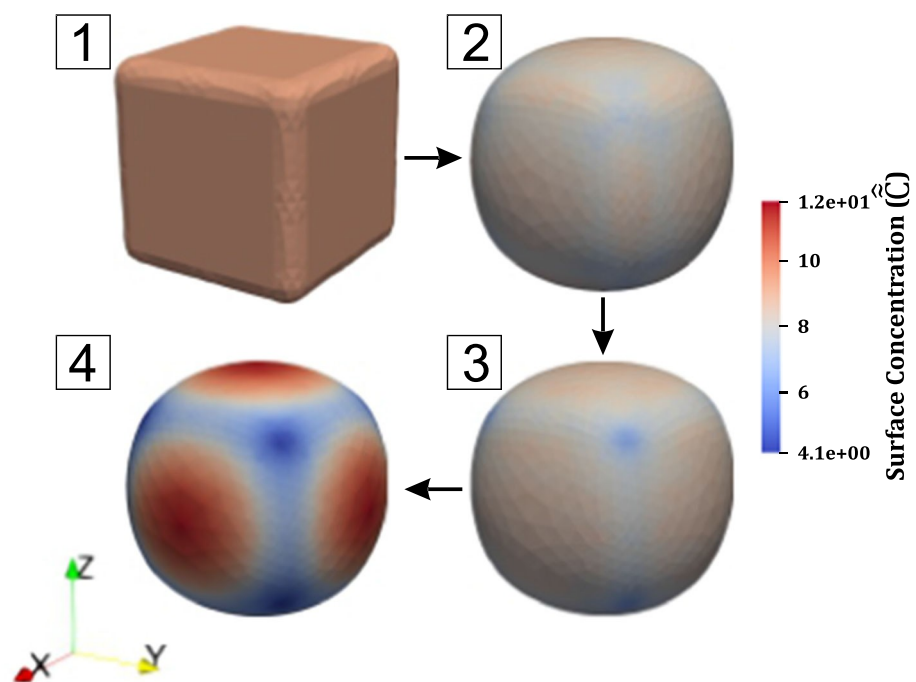
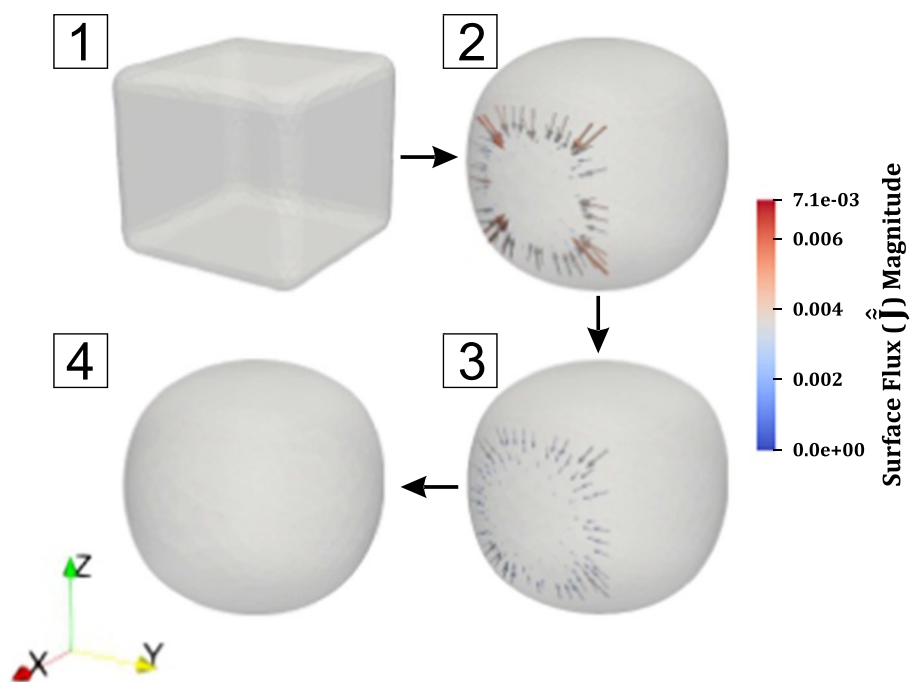


Fig. 5 Temporal sequence of the surface flux during the free contraction of cubes



tively, where all the values are normalized. We remove a quarter of the domain from the images of chemical potential in Fig. 2 and bulk concentration in Fig. 3 to show the smooth edges (a case with sharp edges will be discussed in the following example). The surface flux in Fig. 5 is shown on Step 2 of Figs. 2 to 5 corresponding to the time steps that are linearly ramping of the surface energy. Images are taken at normalized time  $t/\tau = 0.0, 1.0, 8.4, 47.8 \times 10^{-3}$ . This is for time  $t/\tau = 1.0$  which is significantly smaller (4 orders of magnitude) compared to the equilibration time. In Step 1 of Figs. 2 to 5, the cube is at the initial state by a homogeneous swelling stretch,



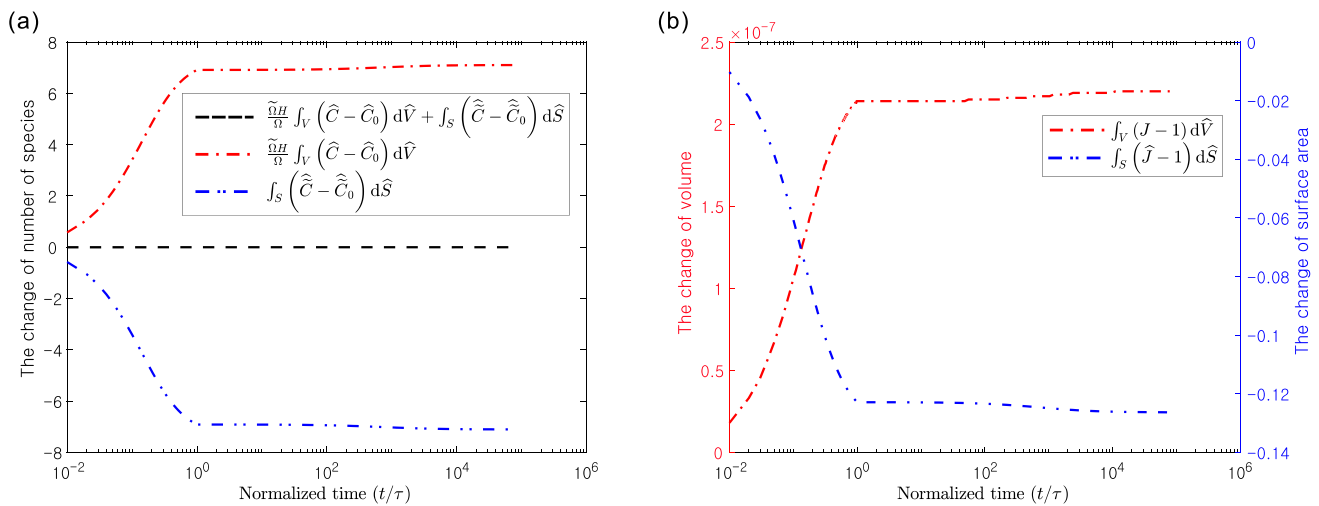


Fig. 6 Surface energy drives the species migration between the bulk and the surface. a The numbers of species in bulk and on surface are tracked. At equilibrium ( $t/\tau \sim 1.5$ ), there is no migration with respect to the normalized time. b The change of volume between the bulk and the surface is constant at all times, and the volume increase of bulk is identical to the surface area decrease of surface. Note that the total number of species is constant at all times, and the volume increase of bulk is identical to the surface area decrease of surface. While surface energy is being fixed (boundary condition of Eq. (26)), the bulk is gaining the species equal to the sum of the volume of species molecules moving into the bulk and the  $\int_V (J - 1) d\hat{V} = \int_V \frac{\partial \hat{C}}{\partial t} d\hat{V}$  due to the incompressibility condition of Eq. (26)

results if the ramping time is significantly shorter, the species migration between  $t/\tau = 0.0$  and  $1.8 \times 10^{-1}$  to the need for the development of more advanced state the total number of species is conserved. In Step 2 of Fig. 2, the species migration is not significant. This pathology might be the surface concentration is high near the smoothed vertex. As a consequence of the three-field formulation, the surface of the cube because the deformation at that location is high energy increases, the elastocapillary length is larger compared to the facet size, which subsequently leads to higher surface energy. As a result, the surface potential and species migration. In Step 2 of Fig. 2, the effects become dominant that the geometry becomes spherical. In Step 2 of Fig. 2, the chemical potential is not uniform on the facet. The direction of the surface diffusion is not uniform due to the deformation driven by surface energy. The direction of the surface diffusion is governed by the surface deformation and chemical potential gradient. In Step 2 of Figs. 3 and 4, the distribution of the bulk concentration is not uniform (see Fig. 4). The distribution on boundary is distinct from the surface concentration. In Step 2, and for the rest of the transient response, the surface concentration is not uniform. This is owing to the fact the surface energy is maintained at the same level, and has not evolved as rapidly. The species migration in bulk is slower than the migration on the surface, and over time, the surface concentration exponentially ramp the time steps towards equilibrium. The deformation of the cubes is small beyond the initial stage. The deformation of the cubes is small beyond the initial stage. However, during the surface energy ramping, but the concentration (especially near the vertex) significantly changes. In Step 4 of Figs. 3 and 4, the bulk concentration is not uniform by gradients of the chemical potential. In Step 3 of Figs. 3 and 4, we can see the difference between the diffusion in bulk and on surface, and the species migration between the surface and the bulk. In Step 4 of Fig. 2, the simulation reaches the equilibrium state, while the species exchange between bulk and surface is easily confirmed by the homogeneous chemical potential. The distribution of the bulk concentration on the boundary is not uniform. The distribution of the bulk concentration on the boundary is not uniform. The distribution of the bulk concentration on the boundary is not uniform.

On top of surface and bulk diffusion, in this system, the diffusion in bulk and on surface, and the species migration is an exchange of species between the surface and the bulk. In Step 4 of Fig. 2, the simulation reaches the equilibrium state, while the species exchange between bulk and surface is easily confirmed by the homogeneous chemical potential. The distribution of the bulk concentration on the boundary is not uniform. The distribution of the bulk concentration on the boundary is not uniform. The distribution of the bulk concentration on the boundary is not uniform. In Fig. 6, we can observe the significant migration between bulk and surface during ramping the surface energy ( $t/\tau < 1$ ), but the migration between bulk and surface is negligible with surface energy being fixed over the bulk and the surface according to Eq. (26). We can observe ( $1 < t/\tau \sim 7.8 \times 10^{-1}$ )

Fig. 7 Temporal sequence of the chemical potential during the tension of cubes

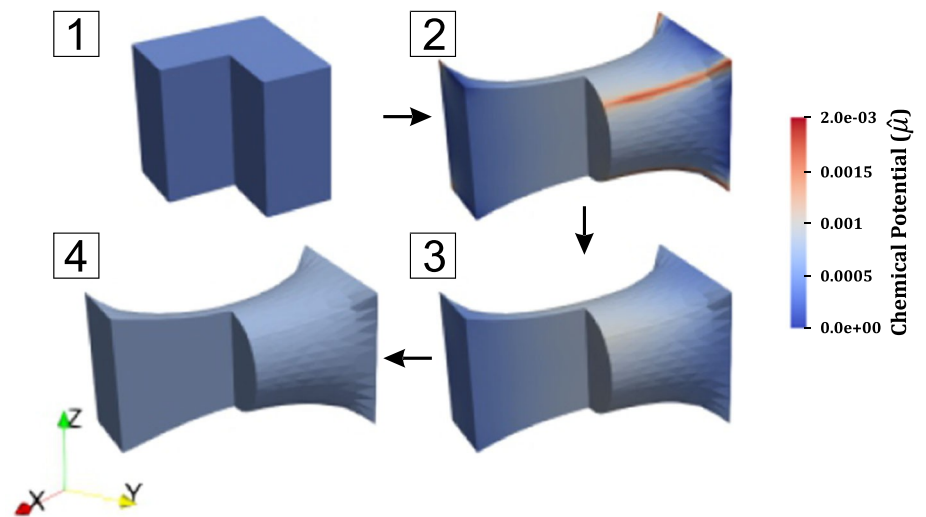


Fig. 8 Temporal sequence of the bulk contraction during the tension of cubes

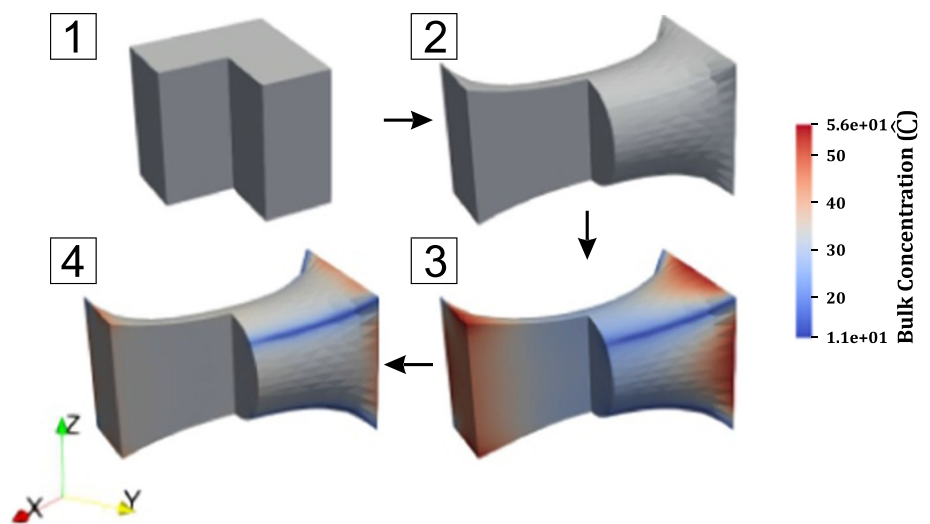


Fig. 9 Temporal sequence of the surface concentration during the tension of cubes

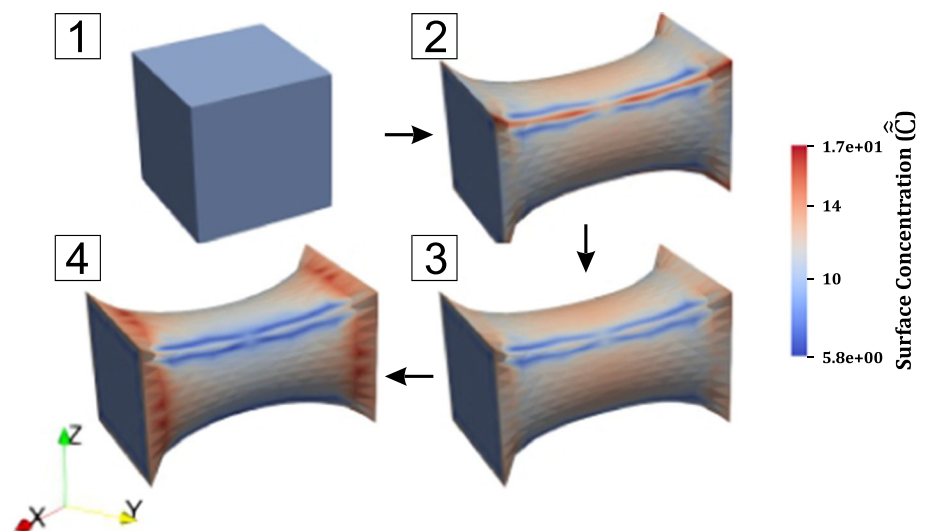
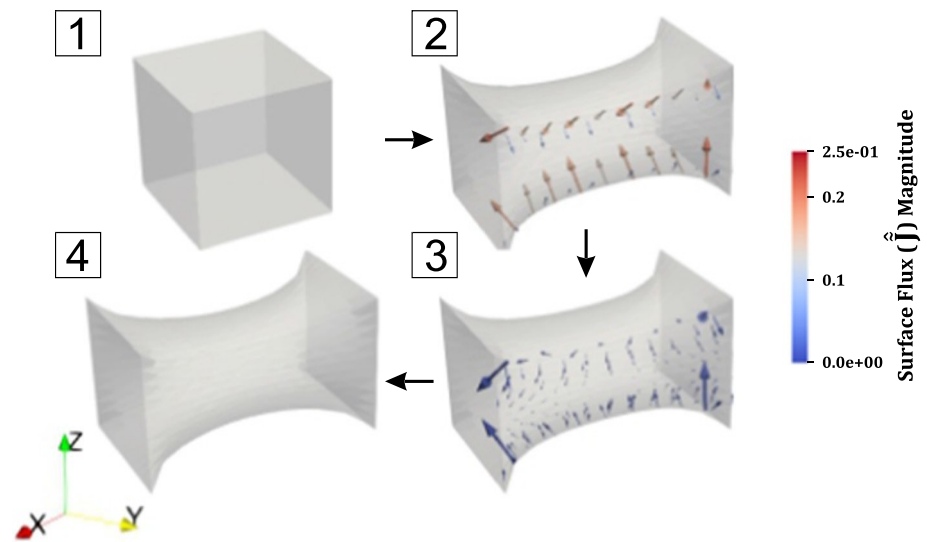


Fig. 10 Temporal sequence of the surface flux during the tension of cubes



## 5.2 Uniaxial tension of a cube with sharp edges between $t/\tau = 0.0$ and $1.0$

In this example, we first investigate the surface and bulk poroelastic effects on a hydrogel subject to external load. Similar to the previous example the cube is considered to be cast in a fully swollen state, and released in an environment with which it cannot exchange solvent. In this case it is subject to displacement controlled loading. The two-stage solution procedure detailed above is followed as well. The lateral surfaces at  $x(0) = \pm H/2$  are the clamped boundaries, and the others are free. For the implementation of the tension of cubes, we use tetrahedral elements. In this case, the displacement boundary conditions at lateral surfaces, are ramped linearly from zero in the same time frame as the ramping of the surface energy takes place.

In this case external mechanical loading along with surface and bulk energy contributions together dictate the response of the system. The other conditions are the same as in the previous example of Sect. 5.1. We note that for this example, we have taken a cube geometry with sharp corners. We plot the temporal sequence of finite element simulations for the chemical potential, bulk concentration, surface concentration, and surface flux in Figs. 7 to 10, respectively, imposing discontinuous chemical potential boundary conditions where all the values are normalized. The surface flux in Fig. 10 is shown on only one face of the cube for visualization purposes. Images are taken at normalized time  $t/\tau = 0.0, 1.0, 5.4 \times 10^{-1}, 1.0$  on the clockwise, which are denoted by Step 1, 2, 3 and 4 in the following discussion.

In Step 1 of Figs. 7 to 10, the cubes are at the initial state by a homogeneous swelling stretch  $\lambda = 1.5$ . In Step 2 of

Figs. 7 to 10, the cubes are deformed by the surface energy and stretch, after the initial load and surface energy ramping. The cubes are initially clamped at lateral sides, and we linearly ramp the surface energy and stretch  $\epsilon = 68.9\%$ .

body have been presented, and the general constitutive relations are derived in a thermodynamically-consistent manner, which is subsequently specialized for hydrogels. The proposed nonlinear theory is numerically solved through a finite element method by employing the open-source finite element framework FEniCS. In addition, we provide FEniCS implementation details that could be important for problems where surface kinematics are of interest. In our study, we assumed that the curve and vertex effects and corresponding thermodynamic contributions [50], such as curve elasticity and diffusion in this context, are considered to be negligible compared to the bulk and surface effects. However, in cases involving contact, such as multiple hydrogel contacts, interfacial effects and curve thermodynamics would become important and should be considered for accurate analyses, as demonstrated in previous works [53, 54]. For the specific scenarios we investigated, the coupling of bulk and surface poroelasticity was the primary focus, allowing us to gain valuable insights into the multiphysics phenomena without the added complexity of considering curve and vertex thermodynamics contributions.

Two numerical examples are investigated to understand the effect of coupling bulk and surface poroelasticity. In the first example, where a cube with smoothened geometric features is investigated (see Figs. 2 to 6), we probe the change of concentration, surface flux and change of volume and surface area along with the chemical potential, while accounting for a fluid-like surface energy for the soft solid. As a result of the transient procedure and species exchange between bulk and surface, a smooth cube-like object is gradually transformed into an almost spherical one. From this observation, one may expect that the volume of the cube is decreased. Interestingly, the result is the opposite, as shown in Fig. 6. It implies that the species collectively migrate from the surface into the bulk because the volume increase is identical to the volume of total species moving into the bulk; consequently, the volume of the cube rather increases in spite of the surface area decreasing. This result indicates that the multiphysical complication could play an important role in understanding the responses of soft length scales that surface effects dominate.

In the second example (see Figs. 7 to 10), we further investigate the response of a cube with sharp features, under an external load, while still accounting for a fluid-like surface energy. Although the distributions of species concentration in bulk and on the surface are similar on the boundary in the first example (see Figs. 3 and 4), the second example shows that the pathways of species migrations can be significantly different (see Figs. 8 and 9). In this example, we choose the same mobility constant in bulk and on the surface as the simplest case, but this result still provides an important insight into hydrogel-based applications at small scales. For example, we may use the distinct migration mechanism for the advanced design of hydrogel-based sensors and actuators. Even though we focus on hydrogels in this work, the general framework and finite element implementation developed here can allow studying several problems for solids as well as mechanobiology, where diffusion processes in the bulk and on the surface are coupled with the elastic response. One of our numerical framework's different constitutive relations must be specialized for studying other materials.

As a specific example that the authors plan to pursue is the modeling of morphogenic processes in tissue mechanics and modeling of contractile microtissues [38, 39, 48], where cells apply forces and contract the extracellular matrix (ECM), but at the same time can diffuse within the bulk of the ECM but also on its periphery, having significant implications in problems like wound healing. In this paper, we report numerical issues that can arise due to several reasons and propose approaches to alleviate them. For future work, it would also be interesting to extend our model to consider growth mechanisms to account for surface morphology in evolving natural systems, such as during tumor growth.

**Acknowledgements** MS and NB acknowledge the support by the National Science Foundation under grant CMMB2129776. CYH acknowledges the support by the National Science Foundation under grant CMMI-1903308. FB thanks the project "Numerical modeling of flows in porous media" funded by Università Cattolica del Sacro Cuore, and the INDAM-GNCS project "Metodi numerici per lo studio di strutture geometriche parametriche complesse" (CUP\_E53C22001930001, P.I. Maria Strazzullo).

## Declarations

**Conflicts of interest** The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Appendix A: Derivation of weak form of species balance

Starting from Eq. (14a),

$$\int_V \mathbf{c} \, dV + \int_V (\nabla \cdot \mathbf{J}) \delta \mu \, dV = 0 \quad (\text{A1})$$

By applying the product rule and divergence theorem [29] and then substituting Eq. (14b) into Eq. (A1),

$$\int_V \mathbf{c} \, dV - \int_V \mathbf{J} \cdot \nabla \delta \mu \, dV + \int_S \mathbf{c} \, \delta \mu \, dS + \int_S \mathbf{J} \cdot \mathbf{n} \, \delta \mu \, dS = 0 \quad (\text{A2})$$

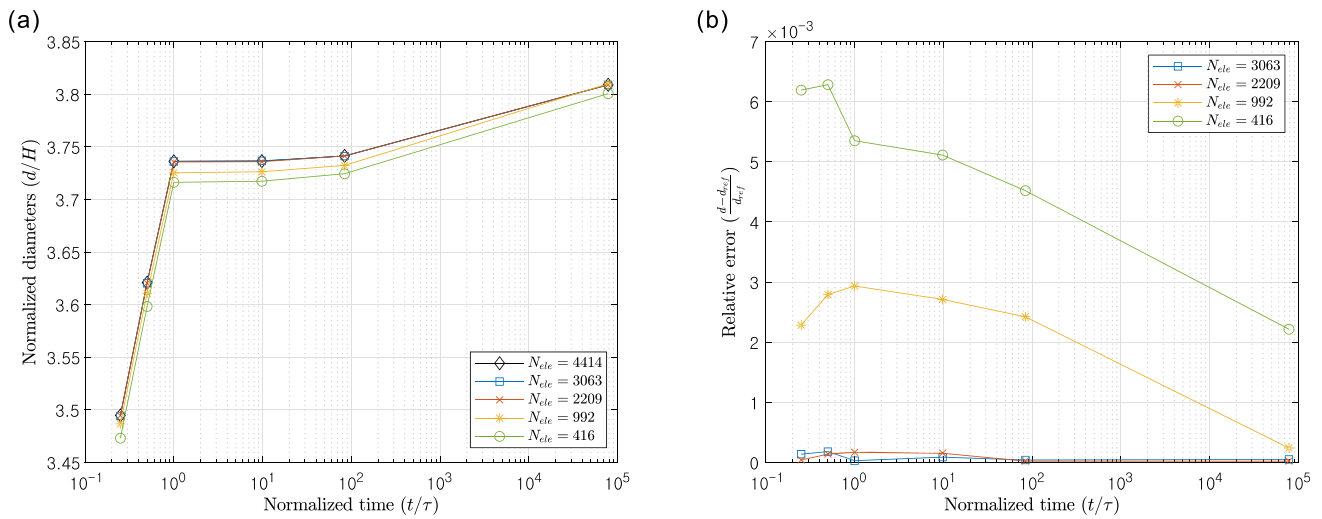


Fig. 11 The convergence study was performed with respect to different numbers of elements. The relative numbers of elements ( $N_{ele}$ ) and normalized time for cube-contracting example with reference values obtained from the simulation using  $N_{ele} = 4414$  elements

where the last term in Eq. (A2) can be rewritten by divergence rule and surface divergence theorem,

$$\int_V \nabla \cdot \mathbf{J} \delta \mu dV = - \int_S \mathbf{J} \cdot \mathbf{n} \delta \mu dS + \int_L \mathbf{J} \cdot \mathbf{n} \delta \mu dL \quad (A3)$$

where the second term in the right-hand side of Eq. (A3) is assumed to be zero. By substituting Eq. (A3) into Eq. (A2),

$$\int_V \dot{C} \delta \mu dV - \int_V \mathbf{J} \cdot \nabla \chi \delta \mu dV + \int_S \dot{C} \delta \mu dS - \int_S \mathbf{J} \cdot \mathbf{n} \delta \mu dS = 0 \quad (A4)$$

This equation corresponds to Eq. (34b) in the manuscript.

## Appendix B: Convergence study on cube-contracting example

We perform the convergence study to demonstrate the simulation results and mesh resolution (see Fig. 11) on cube-contracting example in Sect. 5.1. During the surface energy ramping phase ( $t/\tau \sim 10^{-1}$  to  $10^0$ ), the bulk gains species, leading to a rapid increase in normalized diameters. While the surface energy is fixed ( $t/\tau \sim 10^0$  to  $10^5$ ), the species continue to migrate from the surface into the bulk due to the chemical potential resulting in a gradual increase in normalized diameter. The diameters stop increasing once the chemical potentials reach equilibrium ( $t/\tau \sim 1.6 \times 10^5$ ). It is important to note that the normalized diameters at multiple normalized times approach the reference values. Here we confirm a convergence of the solution following a global deformation metric.

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