

Hamiltonian reconstruction as metric for variational studies

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Variational approaches are among the most powerful techniques to approximately solve quantum many-body problems. These encompass both variational states based on tensor or neural networks, and parameterized quantum circuits in variational quantum eigensolvers. However, self-consistent evaluation of the quality of variational wavefunctions is a notoriously hard task. Using a recently developed Hamiltonian reconstruction method, we propose a multi-faceted approach to evaluating the quality of neural-network based wavefunctions. Specifically, we consider convolutional neural network (CNN) and restricted Boltzmann machine (RBM) states trained on a square lattice spin-1/2 J_1 - J_2 Heisenberg model. We find that the reconstructed Hamiltonians are typically less frustrated, and have easy-axis anisotropy near the high frustration point. In addition, the reconstructed Hamiltonians suppress quantum fluctuations in the large J_2 limit. Our results highlight the critical importance of the wavefunction’s symmetry. Moreover, the multi-faceted insight from the Hamiltonian reconstruction reveals that a variational wave function can fail to capture the true ground state through suppression of quantum fluctuations.

Introduction – The Hamiltonian is the defining object that governs the dynamics of a physical system. For a quantum mechanical system, it defines the Schrödinger equation to be solved to obtain the energy spectrum and the wavefunction. However, the approach of “exact diagonalization” is constrained to small system sizes due to the exponential growth of the Hilbert space upon increasing the system size. An alternative to exact diagonalization is the Quantum Monte Carlo technique using a stochastic approach to model the probability distribution associated with the thermal density matrix associated with a given Hamiltonian. These approaches, however, suffer from the sign-problem [1], which limits their applicability to a restricted class of Hamiltonians, or to high temperature properties only. These challenges motivated variational wavefunction approaches to start from many-body wave functions that are parameterized within a given functional form. In variational approaches, the Hamiltonian is referenced for optimizing the wavefunction within the chosen functional form (see the blue arrow in Figure 1). Since the resulting best wavefunction is constrained to lie within limited variational spaces such as tensor network states [2], neural network states [3, 4], and parameterized quantum circuits [5, 6] (see Figure 1), significant effort has been put into having sufficiently general variational classes that can capture the actual ground state. However, assessing how close a given variational parameterization is to the target ground state is, in general, a hard task.

At present, the standard metrics for assessing the quality of a wavefunction that cut across different variational forms are the energy and the energy variance. Reliance on these measurements, however, leaves the comparison between constructions a case-by-case trial exercise. Much needed are alternative metrics to assess the quality

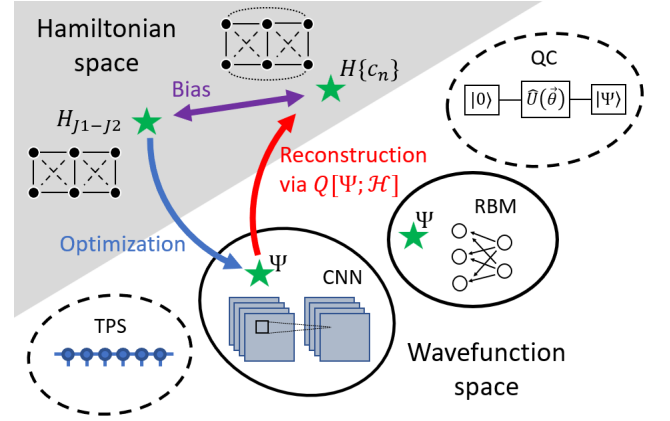


FIG. 1: In a typical variational algorithm, a wavefunction is obtained through variational optimization within a given variational form such as CNN, RBM, tensor product state (TPS), or a parameterized quantum circuit (QC). In this work, we study CNN and RBM quantum states, marked with green stars. A blue arrow is shown to represent variational optimization of the CNN construction as an example. The Hamiltonian reconstruction works in the opposite direction to map a variational wavefunction to a Hamiltonian $H[\{c_n\}]$ (red arrow). The bias between the original Hamiltonian and the reconstructed Hamiltonian (purple arrow) provides insight into the nature of the variational wavefunction.

of a given variational state. Interestingly, recent works have proposed methods to reconstruct Hamiltonians from wavefunctions using measurements of correlators [7–12] or single operator measurements [13, 14] (see the red arrow in Figure 1). These reconstruction processes have been tested on Hamiltonians with known exact solutions, but their applicability to challenging open problems has yet to be demonstrated.

In this Letter, we employ Hamiltonian reconstruction to investigate how frustration affects the bias (Figure 1) between reconstructed and target Hamiltonians for neural-network wavefunctions. We search for energy-minimizing wavefunctions in the space of convolutional neural network (CNN) and restricted Boltzmann machine (RBM) architectures, with the spin-1/2 J_1 - J_2 Heisenberg model on a square lattice [4] as a target Hamiltonian. On this poster-child frustrated spin model, deep neural network-based wavefunctions have obtained highly accurate results for the J_1 - J_2 model away from the high frustration point, showing the potential of these variational constructions. However, these same states showed limitations near $J_2/J_1 = 0.5$, which is the point of high frustration [4]. To probe features of these wavefunctions, we construct subspaces of Hamiltonians that accommodate different “deformations” of the target Hamiltonian. For each subspace, we use the reconstruction method to retrieve the Hamiltonian that best fits the trained wavefunction. We then discuss insights from the reconstruction.

Hamiltonian reconstruction – For our goal of assessing variational wavefunctions, we chose to implement the approach of [7, 8]. The procedure starts with the wavefunction of interest Ψ , which is energy-optimized within a given variational form. We then define the Hamiltonian subspace to be searched by a spanning set of operators $\mathcal{O} \equiv \{O_i\}$. Any Hamiltonian that is an element of this subspace, i.e., $H \in \mathcal{H}$, can be expressed in the form

$$H[\{c_n\}] = \sum_n^{\dim(\{O_n\})} c_n O_n, \quad (1)$$

where c_n ’s are real parameters. The aim of reconstruction is to find the $\dim(\{O_n\})$ -dimensional vector $\{c_n\}$ such that the wavefunction of interest $|\Psi\rangle$ is most nearly an eigenstate of the corresponding Hamiltonian $H[\{c_n\}]$. For this, we construct the quantum covariance matrix Q associated with the wavefunction and the Hamiltonian subspace

$$Q[\Psi; \mathcal{H}]_{nm} = \frac{1}{2} (\langle O_n O_m \rangle + \langle O_m O_n \rangle) - \langle O_n \rangle \langle O_m \rangle, \quad (2)$$

which is a $\dim(\{O_n\}) \times \dim(\{O_n\})$ positive semi-definite matrix where expectation values are evaluated with respect to the wavefunction $|\Psi\rangle$ (also see Figure 1). **The number of expectation values to be measured for Q** is quadratic in the number of operators $\dim(\{O_n\})$, and therefore quadratic in the system size [46].

Hamiltonians that correspond to eigenvectors of $Q[\Psi; \mathcal{H}]$ with small eigenvalues would all accept $|\Psi\rangle$ as an approximate eigenstate. To see this, note that the variance of the Hamiltonian $H[\{c_n\}]$ in the state $|\Psi\rangle$ is

given by

$$\begin{aligned} \langle (\Delta H[\{c_n\}])^2 \rangle &= \langle H[\{c_n\}]^2 \rangle - \langle H[\{c_n\}] \rangle^2 \\ &= \sum_{nm} c_n c_m (\langle O_n O_m \rangle - \langle O_n \rangle \langle O_m \rangle) \\ &= \tilde{c}^T Q[\Psi; \mathcal{H}] \tilde{c}. \end{aligned} \quad (3)$$

By diagonalizing $Q[\Psi; \mathcal{H}]$, the Hamiltonians $H[\{c_n\}]$ which have the lowest variance under $|\Psi\rangle$ can be found, and the associated eigenvalues will be the variances of those Hamiltonians. If $|\Psi\rangle$ is an exact ground state of the original parent Hamiltonian H^* , and H^* is within the Hamiltonian search space $\mathcal{H}[\mathcal{O}]$, then H^* will lie in the nullspace of $Q[\Psi; \mathcal{H}]$.

The expectation values of many-body operators in Eq. (2) need to be evaluated by performing high-dimensional integrals. Typically, these integrals can be approximated via Monte Carlo (MC) sampling, but we found that the Hamiltonian reconstruction is sensitive to noise in the correlation functions (see Supplemental Material II.B). This sensitivity restricts the procedure to systems where the correlation functions can be evaluated accurately. Indeed, previous applications of Hamiltonian reconstruction [8, 15] have only treated well-understood states in which correlation functions can be evaluated exactly. In our case, this restricted our study to small system sizes in which the correlation functions could be evaluated explicitly.

The antiferromagnetic J_1 - J_2 model for spin 1/2 [16, 17] is defined by the following Hamiltonian

$$H_{J_1 J_2} \equiv J_1 \sum_{\langle ij \rangle} \tilde{S}_i \cdot \tilde{S}_j + J_2 \sum_{\langle\langle ij \rangle\rangle} \tilde{S}_i \cdot \tilde{S}_j, \quad (4)$$

where $\langle ij \rangle$ and $\langle\langle ij \rangle\rangle$ denote nearest and next-nearest neighbours respectively. We set $J_1 = 1$ and consider antiferromagnetic interactions $J_2 \geq 0$ for the 4×4 periodic 2D square lattice. The exact ground states of the Hamiltonian in the limits $J_2 \ll J_1$ and $J_2 \gg J_1$ are well understood, since geometric frustration is absent in both limits: the ground state is a Néel antiferromagnet for $J_2 \ll J_1$ and a stripe antiferromagnet for $J_2 \gg J_1$. However, the nature of the ground state in the vicinity of the maximally frustrated point of $J_2/J_1 = 0.5$ is the subject of much debate [18–27].

Hamiltonian space and wavefunction space – We consider three Hamiltonian subspaces that allow the reconstructed Hamiltonian to deviate from the target Hamiltonian Eq. (4) in physically meaningful ways. We chose the three two-operator parametrizations

$$\begin{aligned} H[\alpha] &= H_{J_1 J_2} + \alpha \left(\sum_{\langle ij \rangle} S_i^z S_j^z + \frac{J_2}{J_1} \sum_{\langle\langle ij \rangle\rangle} S_i^z S_j^z \right), \\ H[\delta J_2] &= H_{J_1 J_2} + \delta J_2 \sum_{\langle\langle ij \rangle\rangle} \tilde{S}_i \cdot \tilde{S}_j \\ H[J_3] &= H_{J_1 J_2} + J_3 \sum_{\langle ij, j_3 \rangle} \tilde{S}_i \cdot \tilde{S}_j \end{aligned} \quad (5)$$

where α represents easy-axis anisotropy, and δJ_2 and J_3 modify the next-nearest neighbor and longer range spin couplings. The coefficients of the original J_1 - J_2 Hamiltonian are normalized to 1. **For each possible two-dimensional Hamiltonian space, we constructed the matrix Q independently, allowing us to study effects of individual perturbations. However, we found the results of higher-dimensional reconstructions allowing simultaneous perturbations of α and δJ_2 to be consistent with those of individual reconstructions; see Results below. We specify technical details and metrics of the reconstruction quality in SM II.**

Seeking further understanding of the challenges underlying the maximally frustrated point, we focus on neural network based wavefunctions that outperformed (i.e., had lower energy than) leading variational constructions, away from the high frustration point [4]. Neural networks can be universal approximators of complex functions [28, 29] and thus have the potential to allow more efficient exploration of the wavefunction space compared to traditional constructions [30]. The initial proposal of using restricted Boltzmann machines (RBM) to represent many-body wavefunctions [3] generated much excitement and spurred extensive investigations of RBM-based wavefunctions and their variants [31–42]. More recently, Levine *et al.* [43] showed that the more expressive convolutional neural network (CNN) architecture can encode volume-law entangled states more efficiently. Indeed, CNN wavefunctions improved on energy compared to state-of-the-art methods for the J_1 - J_2 model, but only in the parameter regime away from the high frustration point of $J_2/J_1 = 0.5$ [4].

In this work, we examine CNN and RBM many-body wavefunctions. Both architectures preserve the translational invariance of the system, and the wavefunctions were further symmetrized to respect time reversal and point group symmetries, i.e., translation and rotation. The wavefunctions were not symmetrized with respect to the $SU(2)$ spin rotation symmetry of the Hamiltonian. Our restriction to the total $S_z = 0$ sector entails a residual $U(1)$ in-plane rotation symmetry, permitting easy-axis anisotropy in our trained wavefunctions. We trained wavefunctions for values of J_2 ranging between 0 and 2, and their optimization was done using the NetKet package [44]. For implementation, training, and symmetrization details, see SM I.

Results – The conventional measure for a wavefunction’s quality is its variational energy. The energies of our trained wavefunctions, compared to the exact ground state energies, are shown in Figure 2(a); the high frustration region around $J_2 = 0.5$ is marked by a sharp peak in energy difference. The energy difference also remains large in the $J_2 > 0.5$ regime. The non-trivial dependence of the energies on the J_2/J_1 ratio implies multiple tendencies at play, yet no information is revealed about which factors affect the wavefunctions’ performance for specific

regions of parameter space. We therefore compare reconstruction results shown in Figure 2(b-d) to the variational energy to gain much needed insight.

The comparison between the reconstructed anisotropy α and the energy difference reveals two important features. The anisotropy is sharply peaked near $J_2/J_1 = 0.5$, indicating that anisotropy may hold the key to solving the high frustration point. This reinforces the importance of enforcing spin rotation symmetry on the wavefunctions, as was suggested by the performance of $SU(2)$ symmetric RBM wavefunctions for the 1D Heisenberg model [45]. However, the errors in energy away from the vicinity of $J_2/J_1 = 0.5$ arise from different sources. Also, despite significant energy differences between the RBM and CNN wavefunctions at the peak, they are on par with each other in terms of anisotropy. While α is consistent with a conventional measure of correlator anisotropy (see SM II.D), and is seemingly the most important barrier against solving the high frustration point, the comparison reveals that other factors might also be important.

The reconstructed interaction strengths δJ_2 and J_3 present complementary information. They show deviations from the target Hamiltonian in two regions: near the high frustration point $J_2/J_1 = 0.5$, and the large J_2 region (see Figure 2(c-d)). In the vicinity of the high frustration point, δJ_2 tends to avoid $J_2/J_1 = 0.5$. This is shown by negative δJ_2 below the high frustration point. In the large J_2 region, both δJ_2 and J_3 imply strengthening of the stripe order and reduction of quantum fluctuations. Specifically, positive δJ_2 and negative J_3 would both favor classical stripe order as we show explicitly in SM II.E. Successful implementation of $SU(2)$ symmetry would likely resolve these issues by reflecting the true quantum fluctuations in the state.

Finally, we present results for a multi-dimensional reconstruction space in Figure 3 that shows agreement with the two-dimensional reconstructions. This space is defined by

$$H[\delta J_2, \alpha] = H_{J_1 J_2} + \alpha \left(\sum_{\langle i, j \rangle} S_i^z S_j^z + \frac{J_2}{J_1} \sum_{\langle\langle i, j \rangle\rangle} S_i^z S_j^z \right) + \delta J_2 \sum_{\langle\langle i, j \rangle\rangle} \vec{S}_i \cdot \vec{S}_j. \quad (6)$$

Here we are allowing δJ_2 and α to vary simultaneously [47]. Due to the larger dimensionality, the reconstructed Hamiltonians from this space will have lower variance than those obtained in two-dimensional reconstructions, and are therefore better parent Hamiltonians. Still, we find that the results in Figure 3 are consistent with our results from individual two-dimensional spaces.

We have compiled the results for **the two-dimensional reconstructions of δJ_2 and J_3** into one plot, Figure 4, to present a birds-eye view of the results. This demonstrates the tendency of the reconstructions to “push away from” the high frustration point, as well as suppress quantum

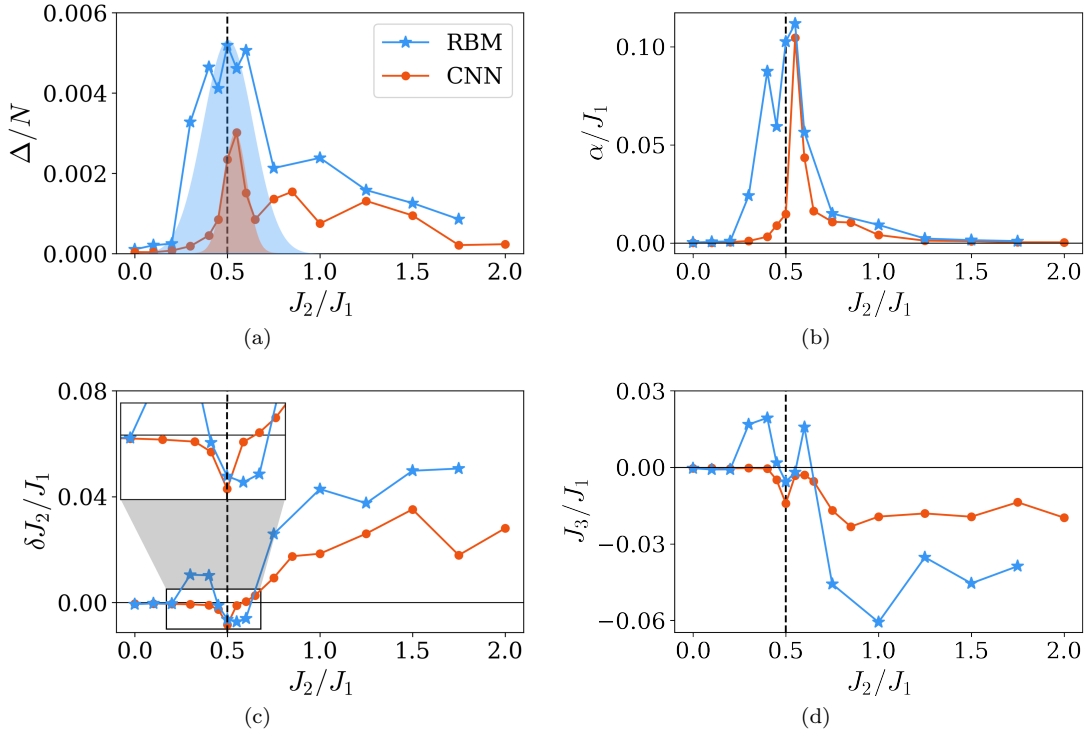


FIG. 2: Various metrics for the CNN and RBM wavefunctions. The vertical broken line marks $J_2/J_1 = 0.5$, which is the high frustration point. a) Ground state energy difference (relative to exact ground state) per site. The shaded area is a guide to the eye that outlines the range in which the energy difference is attributable to the wavefunction anisotropy (see panel b). The unshaded energy difference in the large J_2 regime is associated with errors in the reconstructed spin couplings, panels c and d. b) The reconstructed easy-axis anisotropy α/J_1 , which is peaked at the classical high frustration point and tapers off in the small J_2 and large J_2 limits. c) The reconstructed difference in the nearest-neighbor coupling, $\delta J_2/J_1$. The reconstruction deviates from 0 around $J_2 = 0.5$, as well as in the large J_2 regime. d) The reconstructed longer-range interaction parameter J_3/J_1 . Together with $\delta J_2/J_1$, these parameters are associated with the energy differences for large J_2 .

fluctuations via ferromagnetic J_3 at large J_2 . In other words, the reconstructions explaining the large energy differences for large J_2 (Figure 2(a)) can be summarized as a general tendency to suppress quantum fluctuations.

Conclusions – We have proposed Hamiltonian reconstruction as a method to probe many-body variational wavefunctions beyond their energies. Taking on the $J_1 - J_2$ model and two neural network variational wavefunctions, RBM and CNN, we investigated the Hamiltonian spaces parametrized by three channels of deviations from the target model: α , δJ_2 , and J_3 . Our results dissect the J_2/J_1 parameter space into two regimes: the regime dominated by frustration ($J_2/J_1 \approx 0.5$) and the regime dominated by classical stripe order ($J_2/J_1 > 0.5$). We found the anisotropy α to be the dominant cause of error near the high-frustration point. Moreover, we found δJ_2 and J_3 reconstruction to both indicate suppression of quantum fluctuation through artificial enhancement of classical order in the large J_2 regime. Overall, the Hamiltonian reconstruction revealed multiple ways for a variational wavefunction to fail in capturing highly frustrated ground states steeped in quantum fluctuations.

Looking ahead, we expect that Hamiltonian reconstruction can be an effective means to refine variational constructions in both classical and quantum (such as variational quantum eigensolver) platforms. With this method, specific areas of improvement for variational wavefunctions can be identified, informing future selection of variational constructions. Exploring reconstructions from wavefunctions of larger systems might also reveal new insights. Further, our results concerning the J_1 - J_2 model may serve as guidelines for designing future neural network wavefunctions of similar frustrated spin systems.

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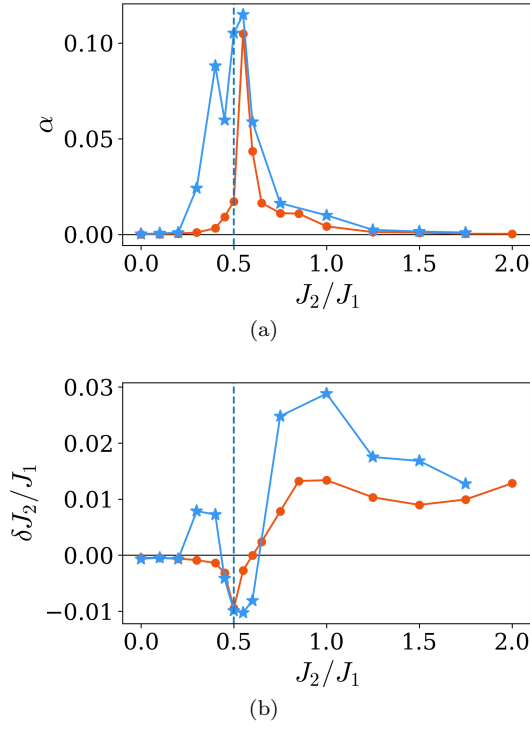


FIG. 3: Results of the multidimensional reconstruction defined by Equation 6, with (a) reconstructed easy-axis anisotropy α/J_1 and (b) difference in the nearest-neighbor coupling, $\delta J_2/J_1$. The trends in the parameters are consistent with our one-dimensional reconstructions in Figure 2.

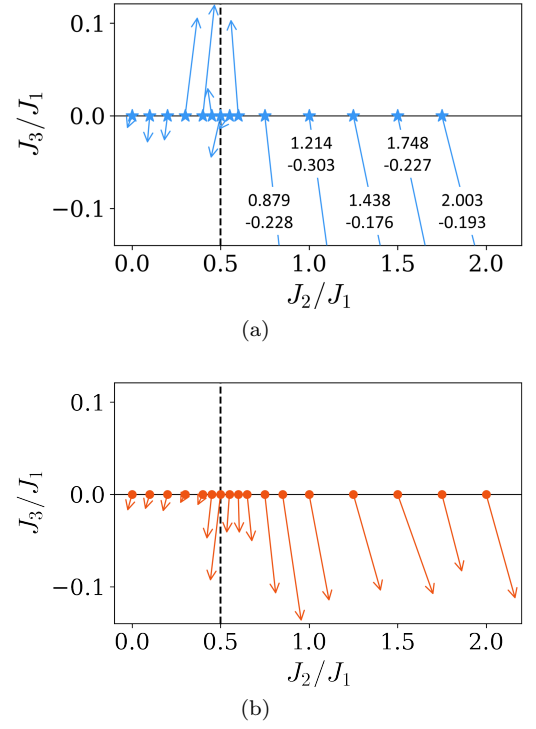


FIG. 4: Schematic summary of δJ_2 and J_3 reconstructions of (a) RBM and (b) CNN wavefunctions. The two reconstructions were performed separately, but here we have combined the two sets of results into one figure. The markers represent initial J_2/J_1 parameters for which we trained variational wavefunctions. The tips of the arrows show the *reconstructed* parameters, i.e., $(\delta J_2 + J_2)/J_1$ and J_3/J_1 , with the deviations magnified by a factor of 5 for clarity. The annotations beside clipped arrows describe the locations of the arrowheads (upper: J_2/J_1 , lower: J_3/J_1).

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 - [46] The number of local operators is linear in the system size, so the number of evaluated expectation values is quadratic. Also, the computational cost remains the same when assuming translation invariance and summing operators over the system, since $\dim(\{O_n\})$ is smaller, but the total number of terms in the product $\langle O_n O_m \rangle$ is larger to compensate.
 - [47] Including a J_3 term resulted in large reconstructed values of the parameters that are inconsistent with the reconstructed Hamiltonian being near the target Hamiltonian. In other words, the reconstructed parameters could not be interpreted as small perturbations. Hence, we did not include J_3 in the reconstruction.