# Solvent effects on catalytic activity and

## selectivity in amine-catalyzed D-fructose

### isomerization

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#### 4 Abstract

- 5 Rational catalyst design and optimal solvent selection are key to advancing
- 6 biorefining. Here, we explored the organocatalytic isomerization of D-fructose to a
- 7 valuable rare monosaccharide, D-allulose, as a function of solvent. The isomerization
- 8 of D-fructose to D-allulose competes with its isomerization to D-glucose, and sugar
- 9 degradation. In both water and DMF, the catalytic activity of amines towards D-
- 10 fructose is correlated with their basicity. Solvents impact the selectivity significantly
- by altering the tautomeric distribution of D-fructose. Our results suggest that the
- 12 furanose tautomer of D-fructose is isomerized to D-allulose, and the fractional
- 13 abundance of this tautomer increases as follows: water < MeOH < DMF ≈ DMF.
- Reaction rates are also higher in aprotic than in protic solvents, because .... The best
- D-allulose yield, 14 %, was obtained in DMF with 1,5,7-triazabicyclo[4.4.0]dec-5-ene
- 16 (TBD) as the catalyst. The reaction kinetics and mechanism were explored using
- 17 *operando* NMR spectroscopy, which showed that ....

- 19 Keywords: isomerization, D-fructose, D-allulose, D-glucose, amine, solvent effect,
- 20 NMR

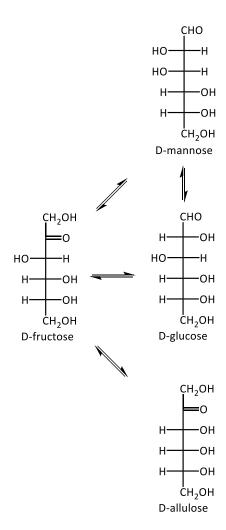
### 1. Introduction

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2 D-allulose (also called D-psicose) is a rare monosaccharide of considerable industrial 3 interest, particularly in the food industry where it serves as a low calorie sweetener 4 [1, 2]. As a C3 epimer of D-fructose, D-allulose exhibits nutritional properties similar 5 to common table sugar but with additional health benefits [1-3], such as a low 6 glycemic response [4, 5], anti-inflammatory, neuroprotective and blood glucose 7 suppressive effects [6]. In addition, their high chiral purity makes saccharides 8 attractive as starting materials in organic synthesis. Saccharides have traditionally 9 been used in the synthesis of fine chemicals [7, 8], but recent studies suggest they have 10 potential in the production of some bulk chemicals as well [9-12]. For example, D-11 allulose was reported to be the most effective starting monosaccharide for making 12 furanics by dehydration in methanol, resulting in higher yields than D-fructose, L-13 sorbose, or D-tagatose [5, 13]. 14 D-allulose was discovered in small amounts as a nonfermentable constituent of cane 15 molasses (where it as presumably formed by the Lobry de Bruyn Alberda van 16 Ekenstein transformation [14-18]). It is also found in wheat or *Itea* plants [19-21]. 17 Industrial production of D-allulose proceeds from readily accessible D-fructose via a 18 biotechnology route. The use of enzymes ensures high selectivity to D-allulose, but 19 comes with the drawbacks of high cost and low thermal stability for the enzymes [22]. 20 Some of the reported chemical routes to D-allulose include chain extension of D-21 ribose via a cyanohydrine reaction [23] or reaction with diazomethane [24], aldol

addition [25] of protected or unprotected [26] C3 saccharides, and selective oxidation 1 2 of protected D-fructose followed by reduction of an intermediate [27]. Synthetic 3 schemes with their corresponding D-allulose yields are shown in Fig. 1S (see the 4 electronic supplementary information, ESI). Costly substrates, numerous protection-5 deprotection steps, and/or long reaction times make these methods unattractive for 6 large-scale production of D-allulose. 7 Isomerization of readily-available monosaccharides is a more attractive and atom-8 efficient method for D-allulose synthesis. Starting from D-glucose gives D-allulose in 9 low yields of 0.2 to 5 % [28-32]. The transformation takes place via isomerization of 10 D-glucose to D-fructose, followed by epimerization of the latter to D-allulose. Base-11 catalyzed isomerization of D-fructose in the aqueous phase gives rise to D-allulose in 12 only slightly improved yields of 6-8 % [31-33]. These low yields can be explained by 13 the low stability of D-allulose under the reaction conditions, as well as co-production 14 of D-glucose and D-mannose (Fig. 1). Interestingly, higher yields of D-allulose (up to 15 10-12%) were reported for D-fructose isomerization in organic solvents such as 16 ethanol [34], methanol [14, 35, 36], or pyridine [14]. To the best of our knowledge, 17 the origin of this solvent effect has not been explained. In general, amine-catalyzed 18 D-fructose isomerization has attracted much less attention compared to that of D-19 glucose [30, 37-45]. For the latter, the role of the amine structure in structure-activity 20 [42] and structure-selectivity [43, 44] correlations have been reported. In addition,

- 1 the role of solvent on base-catalyzed isomerization of D-glucose to D-fructose has
- 2 been described [46-49].
- 3 In this work, we systematically explored the catalytic isomerization of D-fructose in
- 4 water, methanol, DMSO, and DMF in the presence of various amines as
- 5 organocatalysts. Ex situ and operando NMR studies provide insight into the catalytic
- 6 transformations of D-fructose.



8 **Figure 1.** Simplified reaction network for D-fructose isomerization.

9

#### 2. Experimental Section

2 *2.1. Chemicals* 

- 3 All chemicals were used as received, without further purification. D-fructose (> 99.5
- 4 %), ethylene diamine (99.5 %), morpholine (> 99 %), sodium hydrogen carbonate (>
- 5 99 %), and triethylamine (99.5 %) were obtained from Carl Roth. D-glucose (Ph.
- 6 Eur.), sulfuric acid (98 %), dimethyl sulfoxide (> 99 %), and N,N,N',N-
- 7 tetramethylguanidine (TMG, > 99 %) were purchased from Merck. 1,5,7-
- 8 Triazabicyclo[4.4.0]dec-5-ene (TBD, 98 %), 1,5-diazabicyclo[4.3.0]non-5-ene (DBU,
- 9 98 %), [1-13C]-D-glucose, Amberlyst® 15 in H+-form, Dowex66® free base, and
- pyrrolidine were supplied by Sigma-Aldrich. 1-(3-aminopropyl)imidazole (API, 98 %)
- and dicyclohexylamine (DCHA, 98 %) were obtained from Alfa Aesar. 7-Methyl-
- 12 1,5,7-triazabicyclo-[4.4.0]dec-5-ene (MTBD, > 95 %), 1,8-diazabicyclo[5.4.0]-7-
- undecene (DBU, > 98 %), N-ethylbutylamine (> 99 %) and dimethyl sulfoxide-de
- 14 (DMSO, 99.9 at% D) were purchased from TCI. Acetone (99.9 %) and methanol (99.9
- 15 %) were obtained from Chemsolute. Piperazine (99 %) was acquired from abcr. *n*-
- 16 Tetradecane (99.5 %) was purchased from J&K. *N,N*-dimethylformamide (DMF) was
- bought from ITW Reagents. [2-13C]-D-fructose (99 %) and [U-13C6]-D-fructose (99%)
- were obtained from Cambridge Isotopes. Deuterium oxide (99.95 %), methanol-d4
- 19 (99.8 %) and N,N-dimethyl-formamide-d (99.5 %) were acquired from Deutero. D-
- 20 allulose (> 98 %) was generously provided by SAVANNA Ingredients GmbH. All
- 21 solutions were prepared in completely desalinated water.

2 2.2. Isomerization reaction

- 3 Isomerization of D-fructose was conducted in Ace pressure tubes (volume 9 mL), each
- 4 equipped with a stirring bar. Stirring was conducted at 500 rpm. Typically, the
- 5 pressure tubes were charged with 0.00166, 0.00333, or 0.00495 mmol D-fructose, an
- 6 appropriate amount of catalyst, and 5.4 mL solvent. The pressure tubes were placed
- 7 in a preheated oil bath (usually 60 or 80 °C). After the desired reaction time (up to 4
- 8 h), the pressure tubes were removed from the oil bath and the reaction was quenched
- 9 by cooling in an ice bath.

10

- 2.3.Analysis of product mixtures
- 12 In general, analyses were performed as described previously [31]. Prior to analysis,
- samples were diluted 10-fold with distilled water and treated with two ion-exchange
- resins at room temperature to remove ionic species. Typically, Amberlyst®15 (H+-
- form, 400 mg) was added to the diluted solution (10 mL) and the mixture was shaken
- 16 for 0.5 h using a LAUDA Varioshake device. This ion-exchange resin was separated
- 17 by filtration using polyamide syringe filters (CHROMAFIL PA, medium polar, 0.25
- 18 μm), then the solution was shaken with Dowex66® free base (1000 mg) for 1 h. The
- 19 treatment with the ion-exchange resins was performed twice.
- 20 Quantification of organic products was performed by gas chromatography. Sugars
- 21 were first transformed to their isopropylidene derivatives, following a modification of

a literature procedure [50]. First, aliquots of 1 mL were removed from each sample, 1 2 frozen in liquid N2, then dried in a vacuum desiccator. n-Tetradecane was added to 3 the dry samples as an internal standard. The samples were shaken with H<sub>2</sub>SO<sub>4</sub> (3 wt% 4 in acetone, 2.5 mL) for 2.5 h at room temperature using a vortex shaker, then 5 neutralized by shaking with NaHCO3 for 1 h. The solid was filtered and analysis of 6 the supernatant was performed using a HP 6890 gas chromatograph, equipped with a 7 Machery-Nagel Optima 17-MS column (30 m x 0.25 µm) and an FID detector. The 8 oven temperature was ramped from 80 to 250 °C at 12 °C·min⁻¹. Concentrations of the 9 monosaccharides were calculated using the peak areas of the derivatives, referenced 10 to *n*-tetradecane as an internal standard. The *n*-tetradecane signal eluted at 6.3 min, 11 while D-allulose eluted at 9.3 min. Signals with retention times of 10.6 and 11.1 min 12 corresponded to D-glucose and D-mannose, respectively. D-fructose showed two 13 signals at 10.1 and 10.7 min, and its concentration was calculated by combining the 14 areas of both peaks.

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#### 2.4. NMR measurements

Typically, the <sup>13</sup>C-labeled saccharide (D-fructose or D-glucose, 0.06-0.08 mmol) was dissolved in a deuterated solvent (D<sub>2</sub>O, methanol-*d*<sub>4</sub>, DMSO-*d*<sub>6</sub>, or DMF-*d*<sub>7</sub>, 0.5-0.6 mL). Experiments with unlabelled saccharides used larger amounts (typically, 0.2 mmol). Samples containing a saccharide and TBD were prepared with cooled D<sub>2</sub>O (1 °C) or cooled DMF (-20 °C), and stored on ice upon measurement.

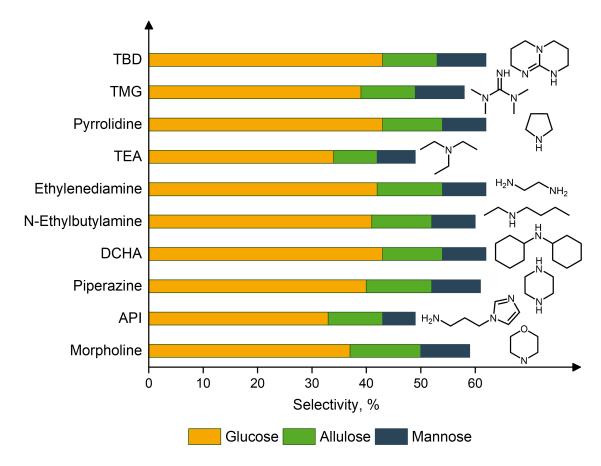
- 1 NMR spectra were recorded on a Bruker AV III 300 MHz, a Bruker AV III 400 MHz,
- 2 a Bruker AV NEO 600 MHz or a Bruker AV NEO 500 MHz instrument. Quantitative
- 3 13C NMR spectra were recorded using inverse-gated 1H decoupling, a 90 ° pulse, and a
- 4 recycle delay of 60 s. Kinetic experiments were conducted using power-gated <sup>1</sup>H
- 5 decoupling, a 30 ° pulse, and a recycle delay of 2 s.

7

#### 3. Results and discussion

- 8 3.1. Amine-catalyzed isomerization of D-fructose in water
- 9 The isomerization of D-fructose was studied in presence of various organic bases:
- morpholine (pK<sub>a</sub> 8.4), 1-(3-aminopropyl)imidazole (API, pK<sub>a</sub> 9.6), piperazine (pK<sub>a</sub>
- 11 9.8), dicyclohexylamine (DCHA, pK<sub>a</sub> 10.4), N-ethylbutylamine (pK<sub>a</sub> 10.7),
- 12 ethylenediamine (pK<sub>a</sub> 10.8), triethylamine (TEA, pK<sub>a</sub> 10.8), pyrrolidine (pK<sub>a</sub> 11.3),
- tetramethylguanidine (TMG, pKa 13.6), and 1,5,7-triazabicyclo[4.4.0]dec-5-en (TBD,
- 14  $pK_a$  14.5). Here,  $pK_a$  refers to the acidity constant of the conjugate acid of the amine.
- 15 Fig. 2 shows the structures of each of the primary, secondary, and tertiary amines.
- 16 Isomerization was conducted at 80 °C, since this temperature allowed the recording
- of time-resolved concentration profiles on a convenient time scale for all of the amines
- 18 tested as catalysts. During D-fructose conversion, D-allulose, D-glucose, and D-
- mannose were all observed by X. For each of the amine catalysts, aqueous-phase
- 20 transformation of D-fructose resulted preferentially in the formation of D-glucose,
- 21 with D-allulose and D-mannose being obtained in lower amounts. Thus, D-fructose

- 1 was converted to D-glucose at up to 28 % yield, while D-allulose and D-mannose
- 2 yields were limited to 7 and 6%, respectively. These observations are in line with
- 3 previous reports of aqueous-phase isomerization of D-fructose in the presence of
- 4 various inorganic bases, including NaOH, Ca(OH)2, and alkaline earth
- 5 metal(hydr)oxides [14, 31, 32].
- 6 Interestingly, all of the amine catalysts tests show comparable selectivity-conversion
- 7 plots (ESI Figure S2). The base-catalyzed isomerization of saccharides was previously
- 8 reported to exhibit an induction period (Fig S2) [31, 51-54]. It was explained as the
- 9 time needed for accumulate the enediolate anion before it reaches its steady-state
- 10 concentration [51]. Selectivity for D-allulose reached a maximum after the induction
- 11 period ended. At higher D-fructose conversions, the selectivity for D-allulose
- decreases due to the degradation of this highly reactive monosaccharide [29, 33]. Such
- degradation reactions give rise to acids such as lactic and glycolic acids [31], and/or
- oligomeric by-products [29, 31, 38, 55, 56], resulting in a mass balance below 100 %
- 15 (ESI Figure S3).
- 16 We observed similar selectivities toward D-glucose, D-allulose, and D-mannose in the
- 17 presence of all applied catalysts (Figure 3). While the highest selectivity towards D-
- 18 glucose was in the range 33-43%, selectivities towards D-allulose (8-13) % and D-
- 19 mannose (6-9) % were significantly lower.



**Figure 2.** Results of D-fructose isomerization catalyzed by amines in aqueous solution.

- 3 Reaction conditions: 80 °C, 4 mL H<sub>2</sub>O, 0.0022 mol D-fructose, 0.00025 mol catalyst,
- 4 0-240 min.

6 Similar selectivities in the presence of the various amines point to the same 7 catalytically active species, namely, OH- generated via eq 1.

$$R^{1}-N = R^{2} + H_{2}O = \frac{K_{b}}{R^{1}-N} + H + OH^{-}$$

$$R^{3} = R^{1}-N + OH^{-}$$

$$R^{3} = R^{3}$$
(1)

1 where the thermodynamic basicity constant  $K_b$  can be expressed as  $K_b$  =

2  $[NR_1R_2R_3H^+][OH^-]/[NR_1R_2R_3]$ . Carraher *et al.* also concluded that catalysis by OH-

3 was responsible for the aqueous-phase D-glucose-D-fructose isomerization, based on

4 the similar product selectivity and activation energy in the presence of both TEA and

5 NaOH [38]. The initial isomerization rate of D-fructose, *n*, can be expressed by eq 2:

6

$$r_0 = k_{\rm app} \frac{K_{\rm Fru}[OH^-]}{1 + K_{\rm Fru}[OH^-]} C_{\rm Fru}$$
 (2)

7

9

8 where  $k_{app}$  refers to the apparent pH-independent rate constant,  $K_{Fru}$  denotes the

thermodynamic ionization constant of D-fructose (13.8 at 80 °C [32]), and C<sub>Fru</sub> is the

10 initial concentration of D-fructose. The hydroxide concentration is  $[OH^-]$  =

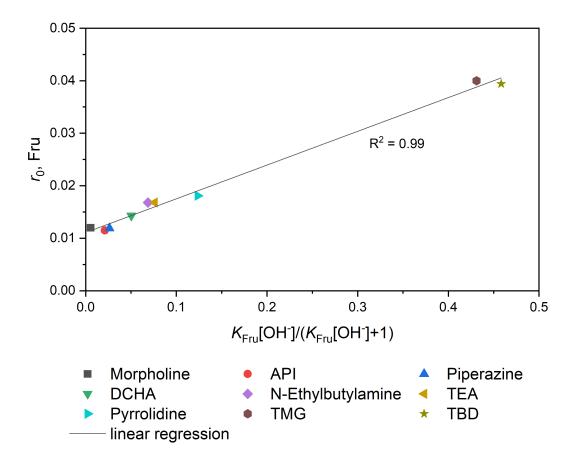
11  $\frac{\sqrt{K_b^2 + 4K_bC_{Amine}} - K_b}{2}$ , where  $C_{Amine}$  is the amine concentration (here, 0.0625 M) and  $K_b$  is

its basicity constant (eq 1).  $K_b$  can be calculated from the  $K_a$  value of the corresponding

13 conjugate acid as  $K_b = \frac{K_w}{K_a}$ , where  $K_w$  is the autoionization constant of water ( $pK_w =$ 

14 12.6 at 80 °C [32]). Figure 3 shows an excellent linear correlation between  $r_0$  and

15  $\frac{K_{Fru}[OH^-]}{1+K_{Fru}[OH^-]}$ , supporting catalysis *via* OH<sup>-</sup> generated by protonation of amines in water.



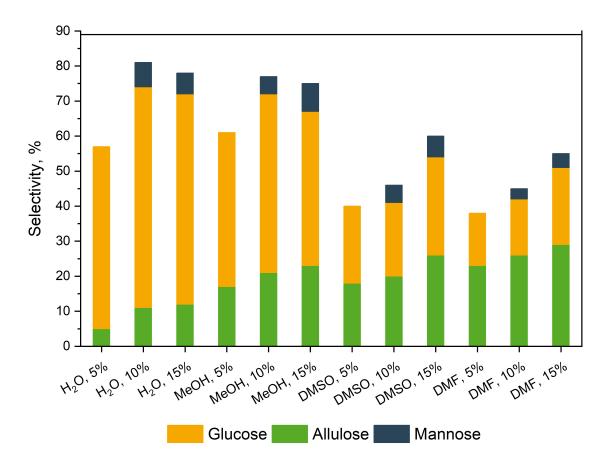
**Figure 3.** Initial rate of D-fructose consumption (*ro*) as a function of [OH<sup>-</sup>] for various amines in water. Reaction conditions: 80 °C, 4 mL H<sub>2</sub>O, 0.0022 mol D-fructose, 0.00025 mol catalyst, 0-240 min.

*3.2.Sel* 

3.2. Selectivity as a function of solvent and catalyst

The solvent-dependence of the selectivity was examined in MeOH, DMSO, and DMF, and compared to results obtained in water. TBD was chosen as the amine for this study, and reactions were conducted at 60 °C due to its higher catalytic activity in the organic solvents relative to water. It should be noted that D-fructose loadings as high as 15 wt.% in MeOH, DMSO, and DMF are apparently only possible in presence of amine, due to partial deprotonation of D-fructose by TBD.

Figure 4 shows the selectivities for the saccharide products at D-fructose conversions of 30-40%. The mass balance in the protic solvents water and methanol was significantly better than in the aprotic solvents DMSO and DMF (ESI Fig. S4-S11). In water and methanol, unidentified side-products accounted for 20-40%, whereas in DMSO and DMF the amount of side-products increased to 40-60%, based on ?. Increased formation of side-products in DMF compared to aqueous solution was confirmed by NMR (ESI Fig. S12). A change in the tautomer equilibria for the saccharides may explain the solvent-dependent stability of the saccharides. Table 1 shows the distribution of anomers for D-fructose, D-glucose, and D-allulose in various solvents. It is noteworthy that the fraction of the highly reactive open-chain forms, which are reported to be prone to degradation [57], increases significantly in DMSO and DMF compared to water and methanol, especially for D-fructose and D-allulose.



**Figure 4.** Selectivity in D-fructose isomerization catalyzed by TBD, as a function of solvent and initial D-fructose concentration. Reaction conditions: 60 °C, 5.4 mL solvent, 0.00165, 0.00333 or 0.00495 mol D-fructose, 0.00033 mol TBD, 0-240 min. Conversions between 30-40%.

Table 1. Tautomer equilibria for D-fructose, D-allulose and D-glucose in various
 solvents. Conditions: 25 °C, 0.6 mL solvent, 0.08 mmol [1-13C]-D-glucose, 0.056-0.008
 mmol [2-13C]-D-fructose, or 0.20 mmol D-allulose (not labeled).

Entry	Saccharide	Solvent		Tautomeric form	Tautomeric form, %	
			Open chain	Furanoses $(\alpha/\beta)$	Pyranoses $(\alpha/\beta)$	
1	D-fructose	D <sub>2</sub> O	0.7	28.1 (20/80)	71.2 (4/96)	

2		methanol-d4	1.1	42.1 (25/75)	56.8 (7/93)
3		DMSO-d <sub>6</sub>	3.0	67.4 (30/70)	29.5 (16/84)
4		DMF-d <sub>7</sub>	2.4	64.4 (30/70)	33.2 (19/81)
5	D-allulose	D <sub>2</sub> O	0.0	51.7 (71/29)	48.3 (51/49)
6		methanol-d4	0.0	49.4 (81/19)	50.6 (45/55)
7		DMSO-d <sub>6</sub>	3.8	48.2 (68/32)	48 (46/54)
8		DMF-d <sub>7</sub>	1.0	48.0 (73/27)	51 (45/55)
9	D-glucose	D <sub>2</sub> O	-	0.3 (67/33)	99.7 (38/62)
10		methanol-d4	-	0.3 (0/100)	99.7 (51/49)
11		DMSO-d <sub>6</sub>	-	0.5 (0/100)	99.5 (39/61)
12		DMF-d <sub>7</sub>	-	0.8 (0/100)	99.2 (48/52)

2 Interestingly, the selectivity towards D-allulose depends on the D-fructose 3 concentration (Figure 4). In water, the selectivity increases from 5 to 13% as the D-4 fructose concentration increases from 5 to 15 wt.%. Similar trends were observed in MeOH, DMSO, and DMF. The rise in D-allulose selectivity with increased initial D-6 fructose concentration can be explained by the changing substrate/catalyst ratio. Since 7 the same TBD concentration was present for all reactions, 5 wt.% D-fructose 8 corresponds to a substrate/catalyst ratio of 0.20. This ratio decreases to 0.066 when the 9 D-fructose concentration is 15 wt.%. The higher catalyst-substrate ratio results in 10 faster subsequent reactions, i.e., degradation of the saccharides. On the other hand, 11 selectivity for D-glucose shows only a weak dependence on the initial substrate concentration. This is in line with a higher stability of D-glucose compared to D-12

1

- 1 fructose [33], since the open-chain form of D-glucose was not detected even in aprotic
- 2 solvents.

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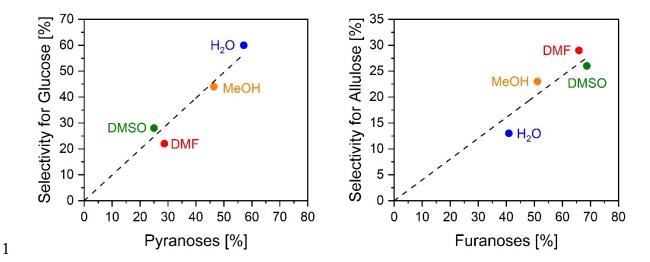
- 3 In sum, the isomerization of D-fructose to D-glucose and D-allulose occurs in
- 4 competition with its degradation. Conditions disfavoring open-chain structures, and
- 5 low concentrations of amine, limit side-reactions and improve the mass balance.
- 6 It is apparent from Figure 4 that the choice of solvent significantly affects the 7 selectivity. Whereas the selectivity towards D-allulose is restricted to 13% in H<sub>2</sub>O and 8 23% in MeOH, it rises to 26% in DMSO and 29% in DMF. Interestingly, the selectivity 9 towards D-glucose shows the opposite trend. The tautomeric equilibria of the 10 saccharides in Table 1 help to explain this observation. For D-fructose, the relative 11 amounts of less energetically stable furanosides and more energetically stable 12 pyranosides are solvent-dependent. In water, fructofuranosides represent ca. one-13 quarter of all fructose; in methanol, their share increases to ca. 40% and rises further 14 to >60% in DMSO and DMF. Interestingly, the tautomeric equilibria of D-allulose and 15 D-glucose are less influenced by solvent. Thus, the furanoside contribution is *ca.* 50% 16 for D-allulose in all solvents, whereas D-glucose is present only in its pyranoside 17 forms. Considering the high furanoside population for D-allulose along with the 18 dominance of pyranosides for D-glucose, we hypothesize that D-fructose in its 19 furanose forms isomerizes to D-allulose, whereas D-fructose in its pyranose forms

gives rise to D-glucose. This hypothesis is based on the expectation that a smooth

connection between substrate and product exists in the intrinsic reaction coordinate

1 [58]. Figure 5 illustrates these transformations, consistent with the generally accepted 2 base-catalyzed mechanism for isomerization of saccharides [51]. Figure 6 reveals that 3 the yields of D-allulose and D-glucose are indeed correlated with the relative amounts of furnanoses and pyranoses, respectively, explaining the observed effect of solvent 4 5 on product selectivity. A similar solvent effect was previously reported for the 6 dehydration of D-fructose to 5-hydroxymethylfurfural, which was more selective in 7 solvents favoring fructofuranoside conformations [59-61]. It is worth noting that the 8 tautomeric equilibria data in Table 2 were determined at room temperature. However, 9 no significant changes in tautomeric distribution of D-fructose were detected at the 10 reaction temperature (60 °C), although the contributions of the open-chain form and 11 the furanosides increased somewhat, as expected [7].

- 2 Figure 5. Proposed mechanism of D-fructopyranose isomerization to D-glucose
- 3 (upper) and D-fructofuranose isomerization to D-allulose (lower).



**Figure 6**: Selectivity for D-glucose (left) and D-allulose (right), depending on the tautomer contributions of fructopyranoses and fructofuranoses, respectively. Reaction conditions: 60 °C, 5.4 mL solvent, 0.00495 mol D-fructose, 0.00033 mol TBD, 0-240 min, conversions between 30-40%.

The influence of catalyst on selectivity was explored using various amidines (DBN and DBU) and guanidines (TMG, MTBD, and TBD) in DMF. Table 3 shows that selectivity for D-allulose (18-27 %) is higher than for D-glucose (9-12 %), with D-mannose formed in minor amounts. These selectivities are fairly independent of the amine structure, although the isomerization rate is correlated with amine basicity. The maximum yield of D-allulose is 14 %.

**Table 2.** Selectivity towards D-glucose, D-allulose, and D-mannose and their initial formation rates, during D-fructose isomerization catalyzed by amines.  $pK_a$  refers to

- 1 the acidity constant of the conjugate acid. Reaction conditions: 60 °C, 5.4 mL DMF,
- 2 0.00333 mol D-fructose, 0.00033 mol catalyst, 0-240 min.

Entr	Catalys	Structur	$pK_{a}^{a}$	Selectivity, % <sup>b</sup>		Initia	l formati	on rate,	
y	t	e					$mmol{\cdot}L^{\text{-}1}{\cdot}min^{\text{-}1}$		
				Glc	Allu	Man	Glc	Allu	Man
1	TMG	NH NH	23.3°	12	21	0	0.2	0.3	0
2	DBN	$\bigcup_{N}$	23.8 <sup>d</sup>	10	23	0	0.2	0.4	0
3	DBU	$\bigvee_{N}$	24.3°	9	18	0	0.3	0.5	0
4	MTBD		25.5°	12	19	2	1.0	1.6	0.2
5	TBD		26.0°	12	27	0	3.8	6.3	0.4

<sup>3</sup> an MeCN; b For 30-40 % conversion; cpKa values from Ref. [62]; cpKa values from

4 Ref. [63].

5

- 6 3.3. Solvent dependence of the reaction rate
- 7 Solvent selection clearly influences the isomerization rate. Comparison of the rates in
- 8 different solvents was achieved using a kinetic solvent parameter (Equation 3) [64].

9

$$\sigma^P = \log_{10} \frac{k_{org}^P}{k_{H20}^P} \tag{3}$$

1 Here, *P* specifies one of the products, e.g., D-allulose, D-glucose, or D-mannose, while  $k_{org}^{P}$  is the rate constant for formation of that product in a particular organic solvent 2 3 (MeOH, DMSO, or DMF). The product formation rate constant in water is designated  $k_{\rm H2O}^P$ . If  $\sigma^P > 0$ , the specific product is formed faster than in pure water, while  $\sigma^P < 0$ 4 5 indicates the opposite. Rate constants for D-allulose formation were normalized by 6 the initial D-fructofuranoside concentration, while those for D-glucose and D-7 mannose formation were normalized by the D-fructopyranoside concentration (ESI 8 Equations S6-S8). We assumed that D-fructopyranosides isomerize to D-mannose, 9 since the latter adopts mainly pyranoside structures [65]. 10 Table 3 summarizes the kinetic solvent parameters for each of the solvents 11 investigated here. The product-specific isomerization rates in methanol are very close 12 to the rates in water, whereas they increase by factors of 2 to 8 in DMF and DMSO. 13 This result may be due to better stabilization of the catalytically active species (hydroxide in water and, most likely, methoxide in methanol) compared to TBD in 14 the polar aprotic solvents DMSO and DMF [66]. 15

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**Table 3:** Kinetic solvent parameters ( $\sigma^{P}$ ) for isomerization of D-fructose to D-

18 glucose, D-allulose, and D-mannose. Reaction conditions: 60 °C, 5.4 mL solvent,

19 0.0033 mol D-fructose, 0.00033 mol TBD, 0-240 min.

Entry	Solvent	$\sigma^{ m Glc}$	$\sigma^{A ext{llu}}$	$\sigma^{ ext{Man}}$
1	H <sub>2</sub> O	0	0	0

2	CH <sub>3</sub> OH	-0.07	0.16	-0.08
3	DMSO	0.26	0.61	0.62
4	DMF	0.43	0.88	0.58

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2 3.4. Operando NMR analysis of fructos isomerization

3 Operando NMR spectroscopy is a powerful tool enabling insights into the kinetics and 4 mechanisms of saccharide transformations. The isomerization of [2-13C]-D-fructose catalyzed by TBD was explored in DMF-d7 and D2O using operando 13C NMR, Figure 6 7. In DMF, the signals of D-fructose and D-allulose are initially so broad that they are hardly observed during the first 2.5 h of the reaction. After 2.5 h, the catalyst 8 deactivates (Figure S10) and the signals for fructo- and allulofuranoses sharpen. The 9 corresponding pyranose signals also exhibit broadening, although to a lesser extent 10 than for the furanose tautomers. This reflects the relative rates of tautomerization, which are reported to be significantly higher for furanoses than for pyranoses [67]. 12 Formation of D-glucose and D-mannose, both of which are present in pyranose forms, 13 is observed. Their signals are significantly sharper than those of D-fructose and D-14 allulose. The <sup>13</sup>C NMR line broadening observed for the different saccharides agrees well with the <sup>1</sup>H NMR data (Figure S13). 16 While this peak broadening hinders a fuller analysis of the operando NMR data, a semi-quantitative comparison of the results in DMF-d7 and in D2O suggests that the 18 reaction is slower in aqueous medium, in agreement with the kinetic study described above. Interestingly, deuterium incorporation at the C2 position of D-glucose was

1 observed in D2O, consistent with proton/deuteron exchange via an 1,2-enediol

2 intermediate [16]. The 2-13C peaks of glucose appear as triplets due to 1/J13C2H coupling,

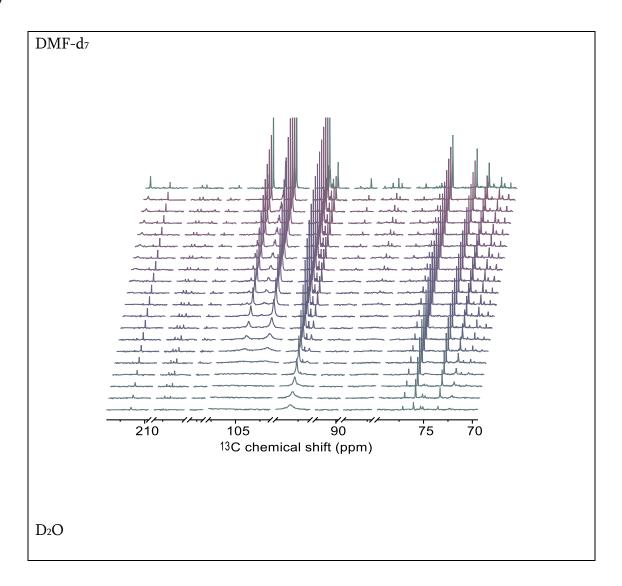
3 while in the <sup>1</sup>H NMR spectrum, splitting of the peaks assigned to protons at the C1

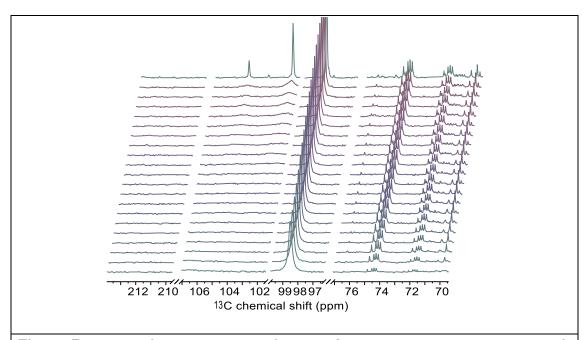
4 position caused by <sup>3</sup>/<sub>HH</sub> coupling disappears. In DMF-d<sub>7</sub>, no deuterium incorporation

5 was observed, as expected. Our current work focuses on optimization of NMR

methods to obtain quantitative kinetic information.

7





**Figure 7**. Operando NMR spectra showing fructose isomerization in DMF-d<sup>7</sup> (upper) and D<sub>2</sub>O (lower). Reaction conditions: [2-<sup>13</sup>C]-labelled D-fructose, 0.1 equiv. TBD as catalyst, 60 °C.

2 4. Conclusion

1

- 3 This work reveals the importance of two major contributions to the amine-catalyzed
- 4 isomerization of D-fructose to D-allulose. The basicity of the amine is key in
- 5 determining its catalytic activity. Interestingly, the solvent plays a dual role,
- 6 regulating both *catalytic activity* by stabilizing/destabilizing the catalytically active
- 7 species *and selectivity* by influencing the tautomeric equilibrium of D-fructose.
- 8 This understanding is essential for the rational development of methods for the
- 9 chemocatalytic production of D-allulose.

10

11

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