

**Thermal Decomposition Mechanism of Tetraethylsilane by Flash Pyrolysis**

**Vacuum Ultraviolet Photoionization Mass Spectrometry and DFT Calculations:**

**The Competition Between  $\beta$ -hydride Elimination and Bond Homolysis**

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**Abstract**

Thermal decomposition of tetraethylsilane was investigated at temperatures up to 1330 K using flash pyrolysis vacuum ultraviolet photoionization mass spectrometry. Density functional theory and transition state theory calculations were performed to corroborate the experimental observations. Both experimental and theoretical evidence showed that the pyrolysis of tetraethylsilane was initiated by Si-C bond fission to the primary reaction products, triethylsilyl ( $\text{SiEt}_3$ ) and ethyl radicals. In the secondary reactions of the triethylsilyl radical, at lower temperatures,  $\beta$ -hydride elimination pathway (producing  $\text{HSiEt}_2$ ) was found to be more favored than its competing reaction channel, Si-C bond fission (producing  $\text{SiEt}_2$ ); as the temperature further increased, the Si-C bond fission reaction became significant. Other important secondary reaction products, such as  $\text{EtHSi=CH}_2$  ( $m/z = 72$ ),  $\text{H}_2\text{SiEt}$  ( $m/z = 59$ ), and  $\text{SiH}_3$  ( $m/z = 31$ ) were identified, and their formation mechanisms were also proposed.

**Key words:** mass spectrometry; density functional theory; pyrolysis; tetraethylsilane

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## Introduction

Silicon carbide (SiC) thin film, due to its excellent performance in physical, chemical, and electronic properties, has been widely applied in the electronic industry.<sup>1-4</sup> Using organosilanes as a single source precursor for the SiC thin film production in chemical vapor deposition (CVD) has become an improvement initiative.<sup>5-10</sup> Compared to using separate carbon and silicon precursors, using single source organosilanes precursor, as the Si-C bond already exists, could avoid high temperature which is required for the formation of Si-C bond in gas phase between the carbon and silicon precursors, and therefore, reduce the mismatches between Si and SiC in lattice constants and thermal expansion coefficients.<sup>8, 11</sup> A number of organosilanes have been regarded as good candidates for CVD production of SiC;<sup>12</sup> among them, tetraethylsilane (SiEt<sub>4</sub>) has been considered as a popular precursor in various investigations of SiC thin film productions using the CVD method.<sup>12-19</sup>

Amjoud et al. studied the metal-organic CVD of tetraethylsilane at 500 – 1000 °C in a classical horizontal CVD reactor interfaced with gas-phase chromatograph.<sup>16</sup> It was found that thermal decomposition of tetraethylsilane started at 845 °C in helium carrier gas and C<sub>2</sub>H<sub>4</sub> was a major product in the gas-phase reactions. However, a detailed gas-phase reaction mechanism was not proposed. Pola et al. explored ArF laser photolysis of tetraethylsilane at 193.3 nm for its suitability for use in CVD of Si/C materials and examined the products using FTIR spectroscopy.<sup>20</sup> It was reported that the only initiation pathway in the photolytic decomposition of tetraethylsilane is  $\beta$ -hydride elimination of ethylene (reaction (1)), and the produced HSiEt<sub>3</sub> would further undergo stepwise ethylene elimination reactions until the formation of SiH<sub>4</sub> (reaction (2)). However, it was also noted that the presumed key intermediates H<sub>2</sub>SiEt<sub>2</sub>, H<sub>3</sub>SiEt, and SiH<sub>4</sub> were not detected.





The  $\beta$ -hydride elimination mechanism was also found in the pyrolysis of ethylsilane ( $\text{H}_3\text{SiEt}$ ), a simple homolog of tetraethylsilane ( $\text{SiEt}_4$ ). The thermal decomposition mechanism of ethylsilane ( $\text{H}_3\text{SiEt}$ ) has been investigated by several researchers.<sup>21-24</sup> Ring et al. and Rickborn et al. studied the thermal decomposition of  $\text{H}_3\text{SiEt}$  using a single-pulse shock tube at temperatures between 1080 K and 1245 K.<sup>21,22</sup> They proposed that the thermal decomposition of  $\text{H}_3\text{SiEt}$  did not undergo the direct  $\beta$ -hydride elimination of ethylsilane (reaction (3)); instead, it was primarily initiated by an  $\text{H}_2$  elimination channel (reaction (4)). The produced reactive intermediate,  $\text{HSiEt}$ , further dissociated via a  $\beta$ -hydride elimination channel to  $\text{SiH}_2$  and  $\text{C}_2\text{H}_4$  (reaction (5)). Jardine et al. studied the thermal decomposition of ethylsilane in a static cell,<sup>23,25</sup> and Sela et al. later revisited the thermal decomposition of ethylsilane using a single-pulse shock tube,<sup>24</sup> their results were mostly in agreement with the initiation reaction of  $\text{H}_3\text{SiEt}$  and its subsequent dissociation reactions proposed by Ring et al. and Rickborn et al.<sup>21,22</sup> The gas-phase pyrolysis study on other ethylsilanes, diethylsilane, triethylsilane, and tetraethylsilane, have been limited. The  $\beta$ -hydride elimination reaction of the surface  $\text{SiEt}$  group producing ethylene and  $\text{SiH}$  on the surface (reaction (6)) is a tool to quantitatively explore the adsorption and decomposition of ethylsilanes ( $\text{H}_x\text{SiEt}_{4-x}$ ) on the surface.<sup>12, 26-29</sup> Nevertheless, the fundamentals of the  $\beta$ -hydride elimination mechanism of the  $\text{SiEt}$  group is less studied in the gas phase. Tetraethylsilane would be an ideal candidate to investigate the  $\beta$ -hydride elimination reaction of the  $\text{SiEt}$  group in the gas phase, as it does not possess an active Si-H bond and the initial reaction mechanism could be less complicated.<sup>12</sup>





To further explore the gas-phase decomposition mechanism of tetraethylsilane and its adequacy for CVD, and to better understand the  $\beta$ -hydride elimination mechanism of the SiEt group in the gas phase, flash pyrolysis of tetraethylsilane (SiEt<sub>4</sub>) was studied using vacuum ultraviolet photoionization time-of-flight mass spectrometry (VUV-PI-TOF-MS) in this work. Density functional theory (DFT) calculations of the energetics of the reactants, transition states, and products were carried out to identify the competing reaction pathways. Furthermore, transition state theory (TST)/variational transition state theory (VTST) calculations were performed, and the rate constants of various unimolecular decomposition pathways were compared to illustrate the competitions between the  $\beta$ -hydride elimination and the bond homolysis reactions.

## Experimental and computational methods

The thermal decomposition study of tetraethylsilane was conducted using a home-made flash pyrolysis vacuum ultraviolet photoionization time-of-flight mass spectrometer (VUV-PI-TOFMS), which has been described previously.<sup>30-35</sup> The design of the flash pyrolysis microreactor was similar to that reported by Chen and co-workers.<sup>36</sup> The tetraethylsilane sample was purchased from Sigma Aldrich (99 %). The precursor was introduced in the apparatus by bubbling helium carrier gas through the liquid tetraethylsilane sample; the total backing pressure of the gas mixture was around 950 torr, while the precursor was diluted to  $\sim 0.5$  % in the gas phase. The concentration of the tetraethylsilane precursor in the gas mixture was calculated based on the assumption that the vaporization and condensation of the precursor were in equilibrium over the liquid phase. The

gas mixture then expanded into a SiC microreactor (Carborundum, 2 mm o.d., 1 mm i.d.) after passing thorough a pulse valve. The pyrolysis of the precursor took place in the heated region (10 mm length) of the SiC microreactor which was heated resistively by electric currents that flew through. The temperature was monitored by a type C thermocouple which was attached to the outside surface of the microreactor and was calibrated to the inside temperature of the microreactor. According to Guan et al. and Zagidullin et al., the residence time within the heated region was estimated to be less than 100  $\mu$ s in this work.<sup>37, 38</sup> Based on Zagidullin et al., the average pressure within the heated region in the microreactor was estimated to be 10 torr.<sup>38</sup> The reaction conditions within the microreactor significantly favored unimolecular reactions, while bimolecular reactions and wall reactions were reduced.<sup>35, 37-39</sup> After exiting the nozzle, the gas mixture, which contained the products, reactive intermediates, and unreacted reactants, supersonically expanded into the main chamber. After being selected by a skimmer, the isolated molecular beam was intercepted by 118 nm VUV radiation in the photoionization region. The 118 nm radiation was generated by tripling the 355 nm radiation from an Nd:YAG laser in a xenon cell (with  $\sim$ 18 torr of xenon). The reactive intermediates, products, and unreacted reactants in the molecular beam were photoionized. The ion signals were then detected and recorded using a digital oscilloscope (Tektronix TDS3032) after averaging over 512 laser shots at each SiC microreactor temperature.

Density functional theory (DFT) calculations on the geometries and energetics of corresponding transition states, intermediates, products, and reactants were performed using the Gaussian 16 package.<sup>40</sup> All geometries including transition states were optimized using the UB3LYP method under 6-31+G(d,p) basis sets. This method was chosen as it has been reported that the B3LYP method provides good results in geometry optimization while maintaining computational efficiency, and the unrestricted method was employed to ensure the consistency

between the open-shell and closed-shell calculations.<sup>41,42</sup> A scaling factor of 0.964 was applied to all the zero-point energies, as recommended by the Computational Chemistry Comparison and Benchmark DataBase (CCCBDB). The single-point energy for each species were calculated at the UM06-2X/6-311++G(3df, 2pd) level of theory.<sup>43</sup> The M06-2X method could produce good thermal chemistry calculation results for main group compounds with a reasonable computational cost.<sup>44</sup> Transition states with only one imaginary frequency were tested using intrinsic reaction coordinate (IRC) calculations at the UB3LYP/6-31+G(d,p) level. The geometries of the species involved could be located in the Supporting Information (SI). All results are presented as relative energies at 0 Kelvin ( $\Delta E_{0K}$ ).

Unimolecular reaction rate constants of the tetraethylsilane ( $\text{SiEt}_4$ ) dissociation channels, and the secondary dissociation channels of the triethylsilyl radical ( $\text{SiEt}_3$ ) were calculated using transition state theory (TST) and variational transition state theory (VTST). For the unimolecular dissociation reaction with a conventional transition state, the rate constant was calculated using TST with Wigner tunneling correction at various temperatures.<sup>45-48</sup> The frequency analysis of reactants and transition states were evaluated at the UB3LYP/6-31+G(d,p) level of theory with a recommended scaling factor,<sup>49</sup> while the single point energy calculations were carried out at the UM06-2X/6-311++G(3df, 2pd) level of theory as described earlier. For the barrierless bond fission reactions, VTST with Wigner tunneling correction was used.<sup>45-48, 50</sup> A series of constrained optimizations along the reaction path were carried out, and at each optimized geometry (“trial transition state”), the potential energy and vibrational frequencies were calculated. The dividing surface for the barrierless reactions at different temperatures were determined by finding the maximum Gibbs free energy change  $\Delta G^\circ(T)$  of the “trial transition state” along the reaction pathway at various temperatures.<sup>50, 51</sup> The geometries and vibrational frequencies of the reactants,

transition states, and selected “trial transition state” are summarized in SI. All rate constant calculations were performed utilizing the KISTHELP package.<sup>47, 52, 53</sup>

## Results and discussions

The mass spectra of the tetraethylsilane pyrolysis at temperatures between 300 K and 1330 K are displayed in Figure 1. At 300 K, the signal of  $m/z = 144$  and 145 represented the parent molecule tetraethylsilane ( $\text{SiEt}_4$ ). The relative abundance for  $^{28}\text{Si}$ ,  $^{29}\text{Si}$ , and  $^{30}\text{Si}$  are 92.2 %, 4.7 %, and 3.1 %, respectively.<sup>54</sup> The relative abundance of  $^{12}\text{C}$  and  $^{13}\text{C}$  are 98.9 % and 1.01 %. The ratio of peak area of  $m/z = 145$  to  $m/z = 144$  was determined to be 0.19 in Figure 1, close to the theoretical value of 0.14. Other peaks at 300 K, such as  $m/z = 115$  and 116 ( $\text{SiEt}_3$ ),  $m/z = 87$  ( $\text{HSiEt}_2$ ), and  $m/z = 141$  were caused by dissociative photoionization of the parent molecule.

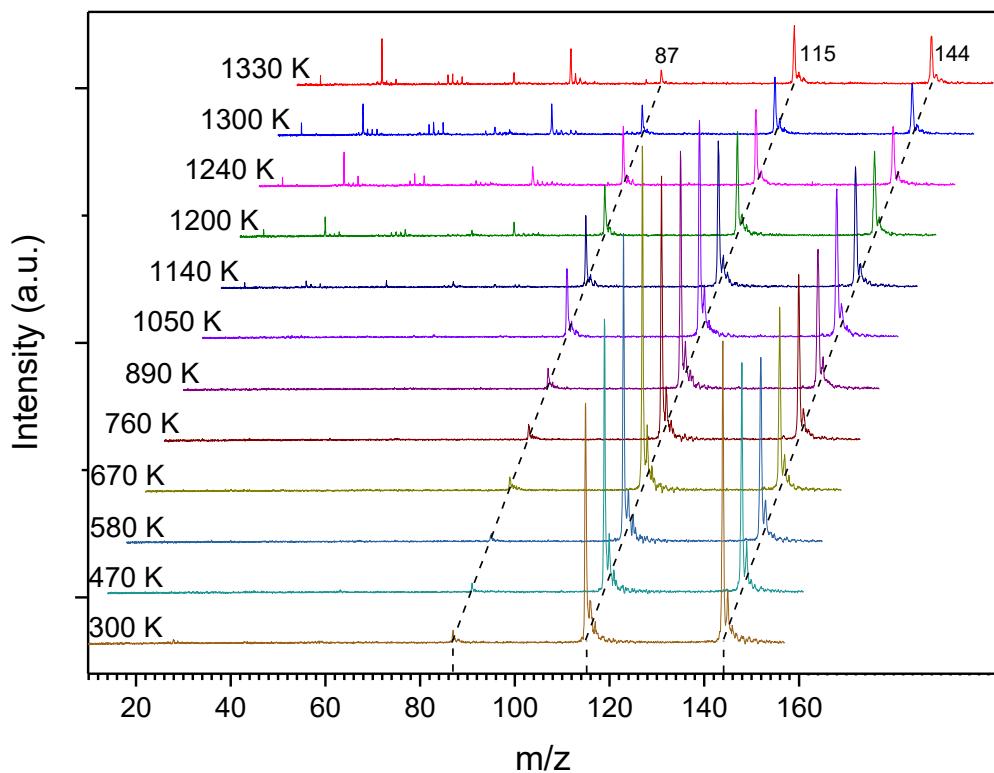


Figure 1. Mass spectra of the tetraethylsilane pyrolysis at 300 K to 1330 K. The mass spectra are offset horizontally and vertically for clarity.

## 1. The initiation step of the tetraethylsilane pyrolysis.

Similar to the thermal decomposition of the related organosilane molecule tetramethylsilane ( $\text{SiMe}_4$ ),<sup>33, 55</sup> the Si-C bond fission (reaction (7)) was considered the initiation step in the tetraethylsilane pyrolysis. As shown in Figure 1 and Figure 2, the signal of  $m/z = 115$ , which corresponds to  $\text{SiEt}_3$ , was observed at 300 K as a dissociative photoionization peak of the parent molecule. As the temperature increased, its peak intensity increased and then started to decrease above 1050 K. Both dissociative photoionization and thermal decomposition of the parent molecule can contribute to the peak area of the fragment peak. At low temperatures, the fragment peak was only due to the dissociative photoionization; as the temperature increased beyond the decomposition onset temperature, the thermal decomposition started to contribute to the fragment peak. Consequently, as shown in Li et al. and Shao et al.,<sup>34, 55</sup> plotting the ratio of the fragment peak

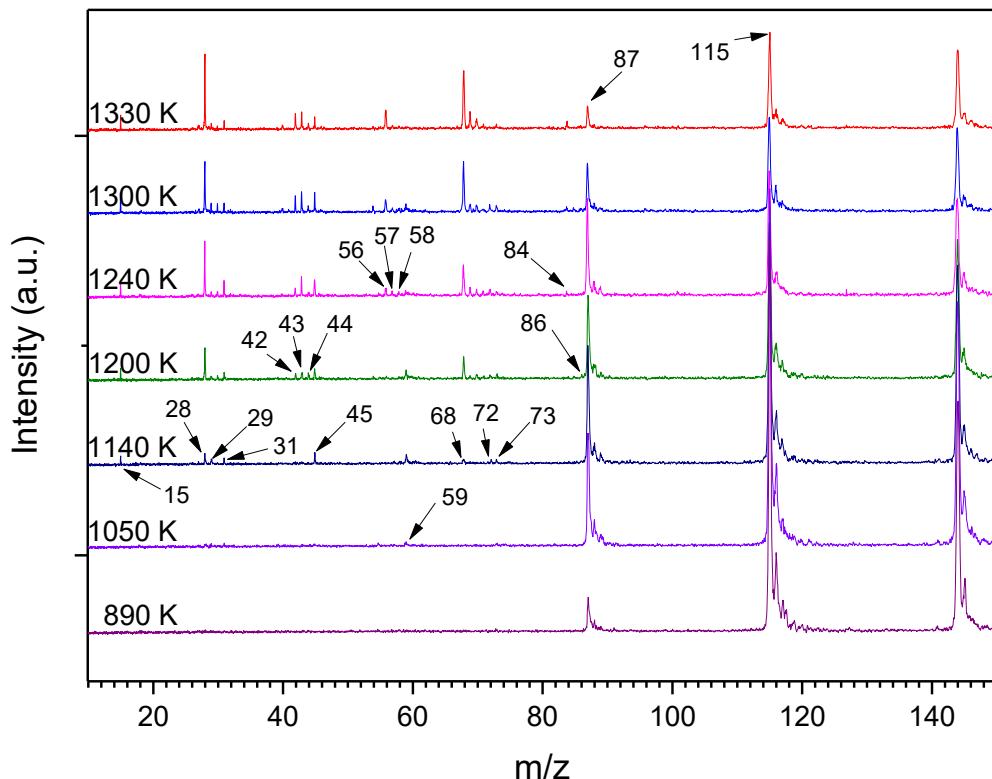


Figure 2. Enlarged mass spectra of the tetraethylsilane pyrolysis from 890 K to 1330 K. The mass spectra are offset vertically for clarity.

area to the parent peak area is a useful method to determine the temperature at which the thermal decomposition reaction starts. However, the peak area ratio of  $m/z$  115 vs.  $m/z$  144 was 0.86 at 300 K, which was large due to the prominent contribution to  $m/z$  115 from dissociative photoionization of the parent molecule and hindered the determination of the additional contribution from thermal decomposition at elevated temperatures.<sup>34</sup> Instead, the peak area ratio of  $m/z$  87 vs.  $m/z$  144 is plotted in Figure 3 to determine the onset temperature for reaction (7).  $\text{SiEt}_3$  was the product of the primary reaction (7), and, as will be discussed later, it could promptly decompose into  $\text{HSiEt}_2$  ( $m/z$  = 87) and  $\text{C}_2\text{H}_4$  via a two-step mechanism (reaction (8)). The production of  $\text{HSiEt}_2$  ( $m/z$  = 87), as the immediate secondary decomposition product of  $\text{SiEt}_3$ , could suggest the upper limit of the onset temperature of reaction (7). According to Figure 3, the peak area ratio of  $m/z$  87 vs.  $m/z$  144 increased initially around 890 K and then significantly around 1050 K, and the ratio dropped when it reached its maximum at 1240 K. The co-product of  $\text{SiEt}_3$  in

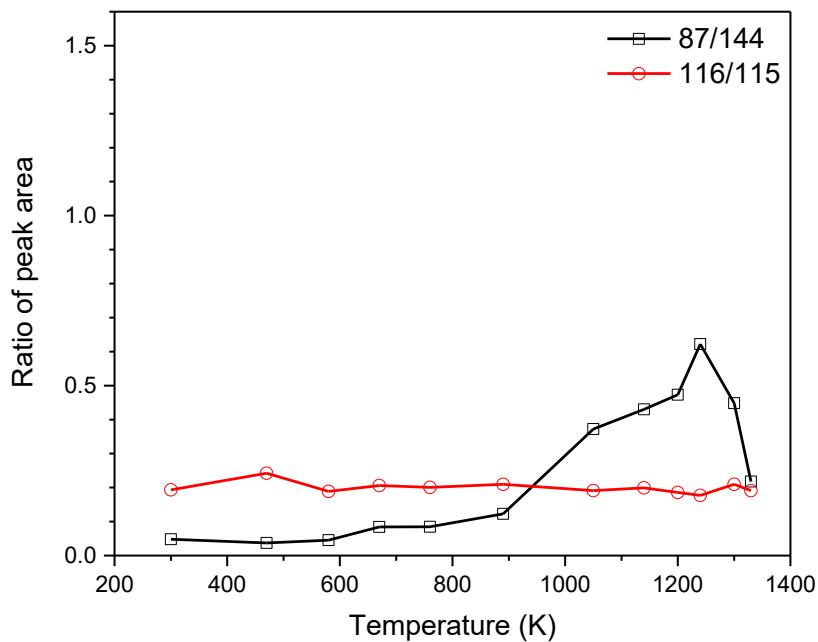


Figure 3. The plot of the  $m/z$  = 87 peak area over  $m/z$  = 144 peak area, and the  $m/z$  = 116 peak area over  $m/z$  = 115 peak area in the temperature range from 300 K to 1330 K.

reaction (7),  $\text{C}_2\text{H}_5$  ( $m/z = 29$ ), was first detected at 1050 K. Its peak intensity increased with increasing temperature until 1300 K, and then it started to decrease. These could serve as direct evidence that reaction (7) was initiated around 1050 K. From the discussions above, it is considered that the onset temperature for the Si-C bond homolysis reaction (reaction (7)) was around 1050 K.



The  $\beta$ -hydride elimination mechanism of tetraethylsilane (reaction (9)) was not experimentally observed in the initiation step. The possible products of reaction (9) are triethylsilane ( $\text{HSiEt}_3$ ) and ethylene ( $\text{C}_2\text{H}_4$ ). In Figure 1 and 2, the signal of  $\text{HSiEt}_3$  ( $m/z = 116$ ) overlapped with one of the isotopic signals of  $\text{SiEt}_3$ . The peak area ratio of  $m/z$  116 vs.  $m/z$  115 is plotted in Figure 3 in order to identify the production of  $\text{HSiEt}_3$ . However, the curve remained flat as the temperature increased, reflecting the peak area ratio of the  $m/z$  116 and  $m/z$  115 isotope peaks in  $\text{SiEt}_3$  and indicating little or no production of  $\text{HSiEt}_3$  from thermal decomposition at all the temperatures. The signal of  $\text{C}_2\text{H}_4$ , the possible co-product of  $\text{HSiEt}_3$  in reaction (9), was first observed at 1140 K as shown in Figure 2; however, it could be produced from the fast H-loss reaction of  $\text{C}_2\text{H}_5$  (produced in reaction (7)) or reaction (8) instead.<sup>56, 57</sup>



The  $\beta$ -hydride elimination mechanism of tetraethylsilane was also not supported by the theoretical calculations. The quantum chemistry calculations regarding the energetics of the possible initiation channels are displayed in Figure 4 (the geometry of the species involved could be found in the SI Section 1 and 2). The calculated energy threshold for the Si-C bond homolysis

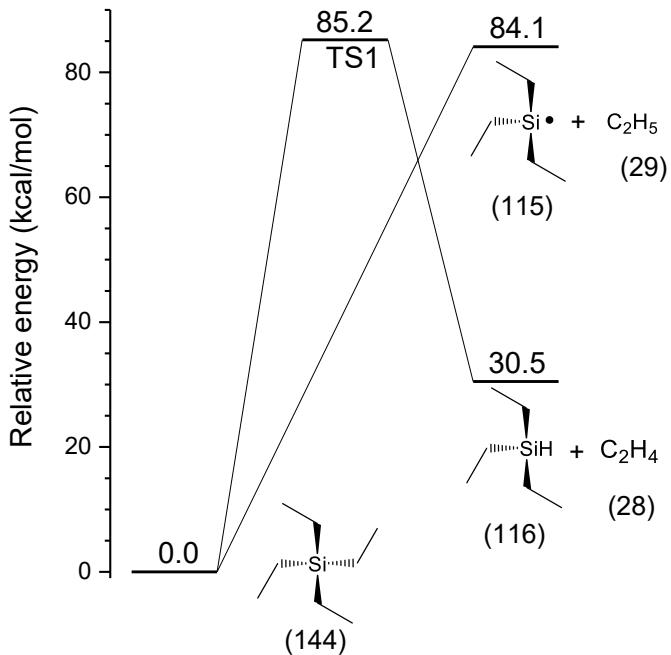


Figure 4. Energetics (0 K) of the possible initiation channels for the pyrolysis of tetraethylsilane at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

reaction (7) was 84.1 kcal/mol, while the calculated energy barrier for the  $\beta$ -hydride elimination channel via TS1 was 85.2 kcal/mol. The Si-C bond homolysis reaction is energetically slightly favored than the  $\beta$ -hydride elimination channel, and furthermore it has a loose transition state than the tight transition state of the molecular elimination pathway. Kinetic analysis was performed by the VTST calculation for the bond homolysis channel and the TST calculation for the molecular elimination via TS1. The unimolecular reaction rate constant calculations for the initiation channels are summarized in Figure 5 (the details for the VTST/TST calculations, such as the frequencies of the transition states, could be found in the SI Section 3 and 4). It shows that the rate constant for the Si-C bond fission channel is much larger than that for the  $\beta$ -hydride elimination channel. For example, at the onset temperature of reaction (7), 1050 K, the calculated unimolecular rate constant of reaction (7) is  $0.13 \text{ s}^{-1}$ , while that of reaction (9) is  $9.2 \times 10^{-7} \text{ s}^{-1}$ . This rate constant

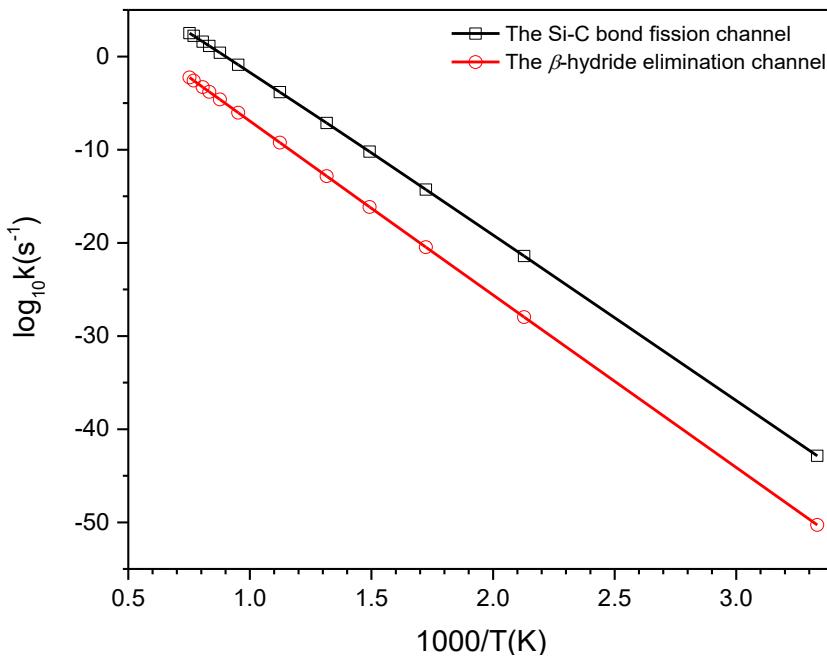


Figure 5. Unimolecular rate constant calculations of the initiation reactions with the TST/VTST method. The results are displayed in the form of  $\log_{10} k$  vs  $1000/T$ .

comparison demonstrates that the Si-C bond fission reaction is much more competitive than the  $\beta$ -hydride elimination reaction in the initiation step.

## 2. Secondary reactions of the triethylsilyl radical.

The secondary reaction of the trimethylsilyl radical, which was the predominant product in the initiation step, was mostly advanced by the  $\beta$ -hydride elimination mechanism. As mentioned earlier, the appearance of the  $m/z = 87$  peak ( $\text{HSiEt}_2$ ) was used as the evidence to identify the production of the triethylsilyl radical. In Figure 1 and 2, the signal of the  $m/z = 87$  peak started to increase significantly at around 1050 K. The signal of the counterpart of  $\text{HSiEt}_2$  in reaction (8),  $\text{C}_2\text{H}_4$  ( $m/z = 28$ ), was first found at 1140 K, and its intensity kept increasing with the temperature.  $\text{C}_2\text{H}_4$  ( $m/z = 28$ ) was not observed simultaneously at 1050 K, possible because its ionization

potential (10.51 eV<sup>58</sup>) is slightly higher than the VUV photon energy (10.49 eV) which made the detection of the C<sub>2</sub>H<sub>4</sub> signal difficult.<sup>32</sup> Figure 3 also shows the trend of the peak ratio of m/z 87 vs. m/z 144 as a function of temperature, indicating that thermal decomposition contribution to the m/z = 87 peak became significant at around 1050 K. On the other hand, the Si-C bond homolysis of the triethylsilyl radical (reaction (10)) was found to be insignificant. As shown in Figure 1 and 2, the signal of :SiEt<sub>2</sub> (m/z = 86) first appeared at 1200 K with a very small intensity, and as the temperature further increased, the m/z = 86 signal disappeared. In the mass spectra (Figure 1 and 2), m/z = 100 and 114 peaks were not observed at all the elevated temperature, indicating the absence of  $\beta$ -sicssion pathways of SiEt<sub>3</sub>, Et<sub>2</sub>Si=CH<sub>2</sub> (m/z 100) + CH<sub>3</sub> and Et<sub>2</sub>Si=CHCH<sub>3</sub> (m/z = 114) + H. This was consistent with the fact that the formation of the Si=C bond (in both Et<sub>2</sub>Si=CH<sub>2</sub> and Et<sub>2</sub>Si=CHCH<sub>3</sub>) is energetically less favorable than the formation of the C=C bond (in C<sub>2</sub>H<sub>4</sub> in the main pathway, reaction (8)).



To illuminate the  $\beta$ -hydride elimination mechanism of the triethylsilyl radical, and compare the energetics of several competing reaction channels, quantum chemistry calculations regarding dissociations of SiEt<sub>3</sub> were carried out, and the results are displayed in Figure 6. The theoretical calculations indicated that the  $\beta$ -hydride elimination reaction of SiEt<sub>3</sub> proceeds via a two-step pathway. SiEt<sub>3</sub> first isomerizes to an intermediate (HSiEt<sub>2</sub>C<sub>2</sub>H<sub>4</sub>) via TS2 with an energy barrier of 37.5 kcal/mol. Then it readily decomposes into HSiEt<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> via TS3, with an energy barrier of 24.9 kcal/mol with respect to HSiEt<sub>2</sub>C<sub>2</sub>H<sub>4</sub> (reaction (8)). The overall energy barrier for the  $\beta$ -hydride elimination of SiEt<sub>3</sub> is 37.5 kcal/mol. The energy threshold for its competing reaction channel, the Si-C bond cleavage producing :SiEt<sub>2</sub> and C<sub>2</sub>H<sub>5</sub>, is calculated to be 60.8 kcal/mol. It

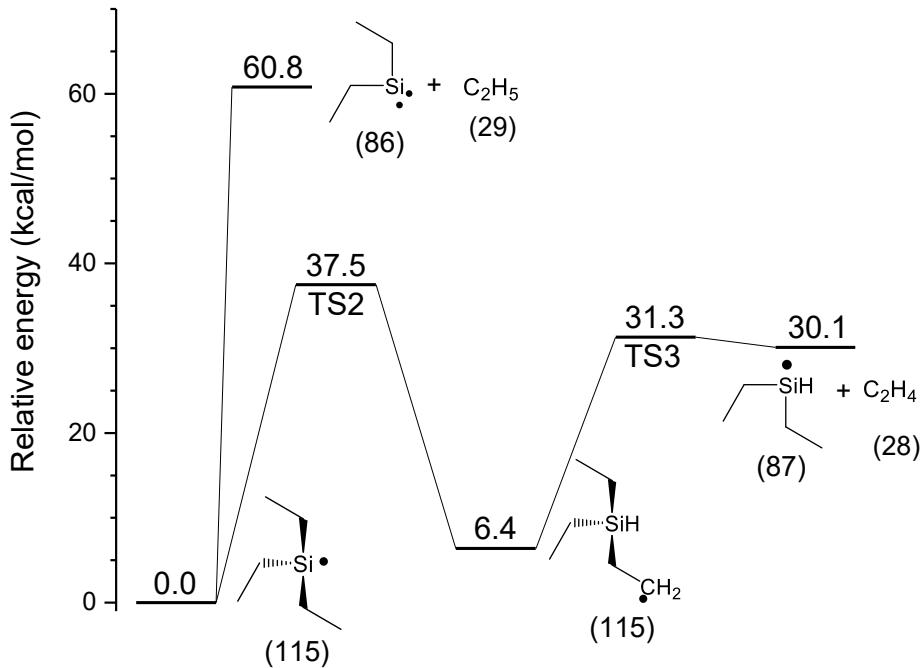


Figure 6. Energetics (0 K) of the dissociation channels of  $\text{SiEt}_3$  at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

shows that the  $\beta$ -hydride elimination reaction of  $\text{SiEt}_3$  is energetically favored. The TST and VTST methods were applied to calculate the unimolecular rate constants of these two competing reaction pathways at different temperatures. As shown in Figure 7, the unimolecular rate constant for the  $\beta$ -hydride elimination channel (energetically favored via a tight TS) is larger than that of the  $\text{C}_2\text{H}_5$  loss channel (energetically less favored via a loose TS). For example, at 1050 K, the rate constant for the  $\beta$ -hydride elimination channel is  $1.8 \times 10^5 \text{ s}^{-1}$ , more than 1 order of magnitude higher than that of the  $\text{C}_2\text{H}_5$  loss channel ( $7.6 \times 10^3 \text{ s}^{-1}$ ). As the temperature further increased, the difference between the two rate constants became smaller. When the temperature reached 1330 K, the calculated rate constant for the  $\beta$ -hydride elimination channel of  $\text{SiEt}_3$  ( $9.1 \times 10^6 \text{ s}^{-1}$ ) is larger than that of the  $\text{C}_2\text{H}_5$  loss channel ( $2.0 \times 10^6 \text{ s}^{-1}$ ) by a factor of 4.5. It is then concluded that, around the onset temperature, thermal dissociation of  $\text{SiEt}_3$  proceeded predominantly via the  $\beta$ -hydride elimination channel, and at higher temperatures, the  $\text{C}_2\text{H}_5$  loss channel became significant. This

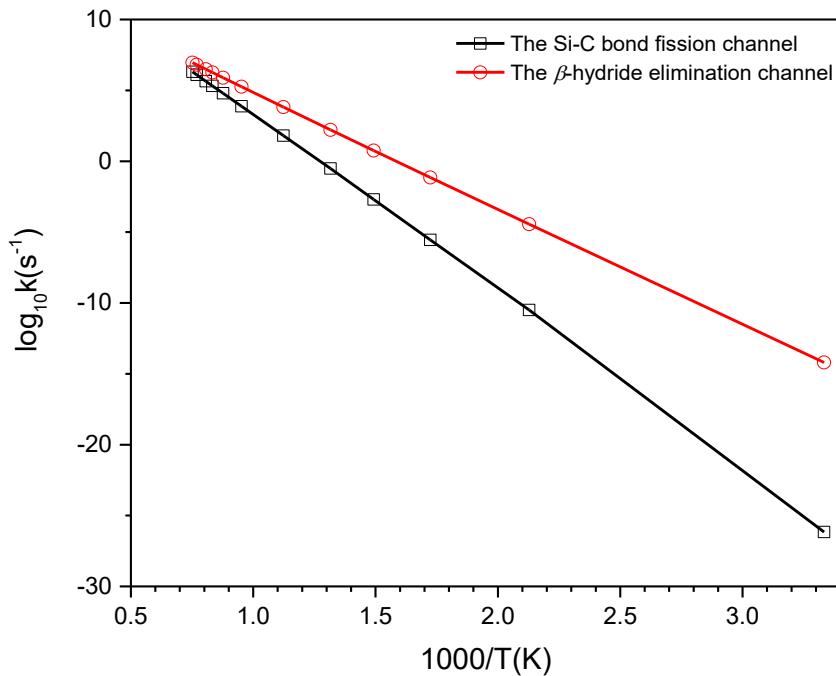


Figure 7. Unimolecular rate constant calculations of the triethylsilyl radical ( $\text{SiEt}_3$ ) using the TST/VTST method. The results are displayed in the form of  $\log_{10}k$  vs  $1000/T$ .

could explain the first appearance of the  $m/z = 86$  signal at 1200 K, since its bond homolysis rate constant ( $2.1 \times 10^5 \text{ s}^{-1}$ ) is only an order magnitude smaller than that of the  $\beta$ -hydride elimination channel ( $1.8 \times 10^6 \text{ s}^{-1}$ ).

### 3. Other important secondary reactions in the tetraethylsilane pyrolysis system.

#### (1) The secondary reactions of $\text{HSiEt}_2$ .

Evidence for the appearance of other secondary reactions were also identified. As shown in Figure 2, the signal of  $m/z = 59$  ( $\text{H}_2\text{SiEt}$ ) was first observed at 1050 K, and its intensity started to increase until the temperature reached 1200 K, then gradually disappeared as the temperature further increased. Based on the earlier discussion, because the production of  $\text{HSiEt}_2$  ( $m/z = 87$ ) became significant at 1050 K and the appearance of the  $m/z = 59$  peak was prior to the signals of

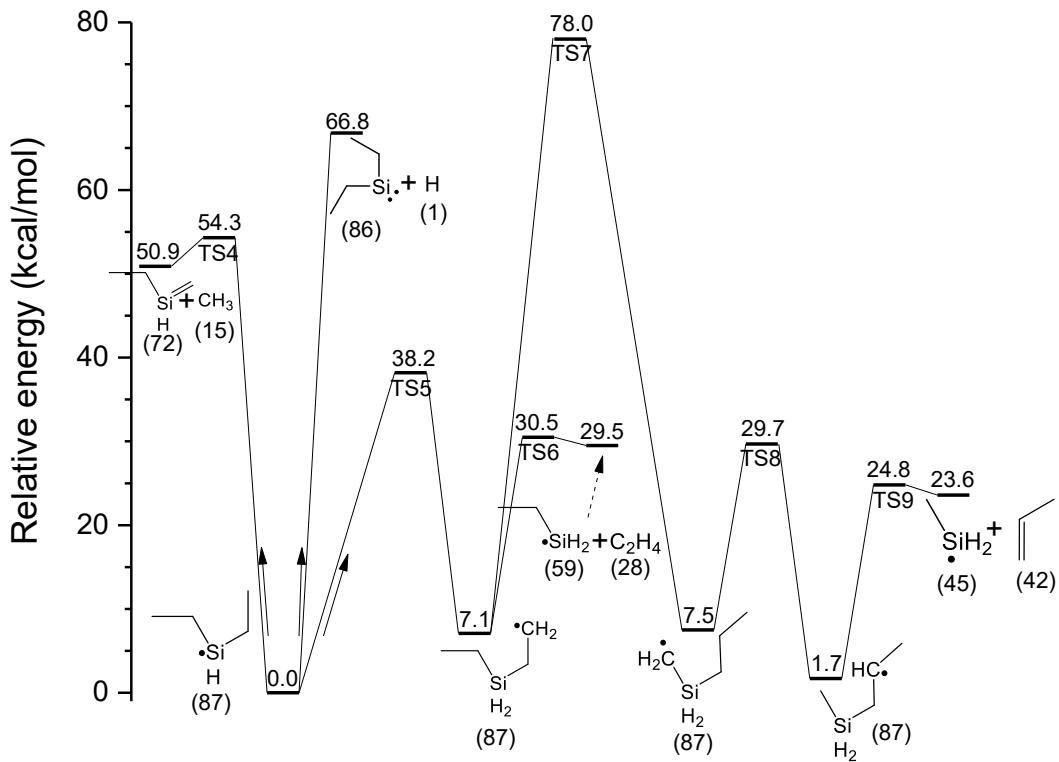


Figure 8. Energetics (0 K) of the possible decomposition channel of  $\text{HSiEt}_2$  at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

other fragment peaks, it is postulated that  $\text{H}_2\text{SiEt}$  was produced from secondary dissociation of  $\text{HSiEt}_2$ . Theoretical calculation regarding the energetics of the dissociation channels of  $\text{HSiEt}_2$  is shown in Figure 8. As suggested by the DFT calculations, the dissociation of  $\text{HSiEt}_2$  leading to the production of  $\text{H}_2\text{SiEt}$  (reaction (11)) was similar to the production of  $\text{HSiEt}_2$  from  $\text{SiEt}_3$  (reaction (8)) via the  $\beta$ -hydride elimination mechanism.  $\text{HSiEt}_2$  first isomerized to  $\text{H}_2\text{SiEtC}_2\text{H}_4$  with an energy barrier (TS5) of 38.2 kcal/mol, followed by further decomposition to  $\text{H}_2\text{SiEt}$  and  $\text{C}_2\text{H}_4$  via TS6 with an energy barrier of 23.4 kcal/mol (with respect to  $\text{H}_2\text{SiEtC}_2\text{H}_4$ ).

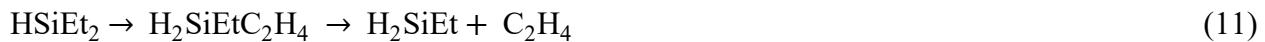


Figure 8 also indicated a direct decomposition pathway of  $\text{HSiEt}_2$  that produces  $\text{EtHSi=CH}_2$  and  $\text{CH}_3$  (reaction (12)) via TS4, with an energy barrier of 54.3 kcal/mol. This possible reaction pathway was supported by the experimental observations. At 1140 K, the signal of  $\text{m/z} = 72$ , and  $\text{m/z} = 68$  ( $\text{SiC}_3\text{H}_4$ ) was first observed. When the temperature further increased, the signal of the  $\text{m/z} = 72$  peak remained nearly constant, while the signal intensity of the  $\text{m/z} = 68$  peak kept increasing. Earlier studies have established that the detection of the  $\text{m/z} = 68$  signals signifies the formation of dimethylsilene ( $\text{Me}_2\text{Si=CH}_2$ ) ( $\text{m/z} = 72$ ) and its isomers such as  $\text{EtHSi=CH}_2$ , as dimethylsilene ( $\text{Me}_2\text{Si=CH}_2$ ) can readily undergo thermal decomposition to the neutral  $\text{m/z} = 68$  species under the comparable reaction conditions.<sup>33, 34, 39, 59</sup> It is noted that the dimerization of  $\text{Me}_2\text{Si=CH}_2$ , which could lead to the production of 1,1,3,3-tetramethyl-1,3-disilacyclobutane ( $\text{m/z} = 144$ ) as reported by Tong et al.,<sup>60</sup> could not be confirmed in this study due to overlap of the resulting signal with that of the parent molecule  $\text{SiEt}_4$ ; and this dimerization process was unlikely under the current experimental conditions (very short residence and time and low concentrations). Furthermore, as the co-product of  $\text{EtHSi=CH}_2$ , the signal of the methyl radical ( $\text{m/z} = 15$ ) was also first observed at 1140 K. Therefore, it is argued that the secondary reaction of  $\text{HSiEt}_2$  led to the production of  $\text{EtHSi=CH}_2$  and  $\text{CH}_3$  from around 1140 K and on. The signal of  $\text{m/z} = 73$  ( $\text{SiC}_3\text{H}_9$ ) also first appeared at 1140 K, and the origin of this peak was unclear. As previous studies inferred that the ionization cross section of the  $\text{m/z} = 73$  species is large,<sup>34, 39, 61</sup> its barely detectable peak intensity suggested that the  $\text{m/z} = 73$  species was negligible in the system. Also, quantum chemistry calculation did not demonstrate any possible unimolecular dissociation channel that could explain the appearance of the  $\text{m/z} = 73$  signal.



Theoretical calculations may provide a plausible explanation for the  $\text{H}_2\text{SiCH}_3$  ( $\text{m/z} = 45$ ) production in the secondary reactions of  $\text{HSiEt}_2$ . According to Figure 2, the  $\text{m/z} = 45$  signal was first detected at 1140 K, and as the temperature further increased, its peak intensity kept increasing until 1300 K, and then started to decrease. The DFT calculations indicated that the formation of the  $\text{m/z} = 45$  species involved a series of isomerization of  $\text{HSiEt}_2$ , followed by a Si-C homolysis. As shown in Figure 8,  $\text{HSiEt}_2$  first isomerizes to  $\text{H}_2\text{Si}(\text{C}_3\text{H}_6)\text{CH}_3$  via two transition states, TS7 and TS8, and their energy barriers were determined to be 70.9 kcal/mol and 22.2 kcal/mol. Finally,  $\text{H}_2\text{Si}(\text{C}_3\text{H}_6)\text{CH}_3$  overcomes an energy barrier of 23.1 kcal/mol via TS9, leading to the formation of  $\text{H}_2\text{SiCH}_3$  ( $\text{m/z} = 45$ ) and  $\text{C}_3\text{H}_6$  ( $\text{m/z} = 42$ ). The signal for the counterpart of  $\text{H}_2\text{SiCH}_3$  in this reaction ( $\text{C}_3\text{H}_6$   $\text{m/z} = 42$ ), however, was not observed simultaneously at 1140 K. The ionization potential of  $\text{C}_3\text{H}_6$  is 9.73 eV,<sup>62</sup> which is lower than the VUV photon energy used in this work. Therefore, the missing of the  $\text{m/z} = 42$  signal indicated the absence of the  $\text{C}_3\text{H}_6$  species at 1140 K, and thus, it could not support the proposed mechanism. The relatively high energy barrier for TS7 (78.0 kcal/mol relative to  $\text{HSiEt}_2$ ) also suggests that there might be an alternative route for the formation of the  $\text{m/z} = 45$  signal, which has not been identified yet.

Besides, as shown in Figure 8, the H-loss of  $\text{HSiEt}_2$  may contribute to the formation of the  $\text{m/z} = 86$  ( $:\text{SiEt}_2$ ) signal, with an energy threshold of 66.8 kcal/mol. As discussed above,  $:\text{SiEt}_2$  could be produced from reaction (10) directly at around 1200 K. Alternatively the H-loss channel of  $\text{HSiEt}_2$  may offer another possible reaction route for the formation of the  $\text{m/z} = 86$  species.

## **(2) The secondary reactions of $:\text{SiEt}_2$ .**

The possible secondary reactions of  $:\text{SiEt}_2$  ( $\text{m/z} = 86$ ) were also discovered in this work.  $:\text{SiEt}_2$  may undergo a series of isomerization reactions and lead to the production of the  $\text{m/z}$

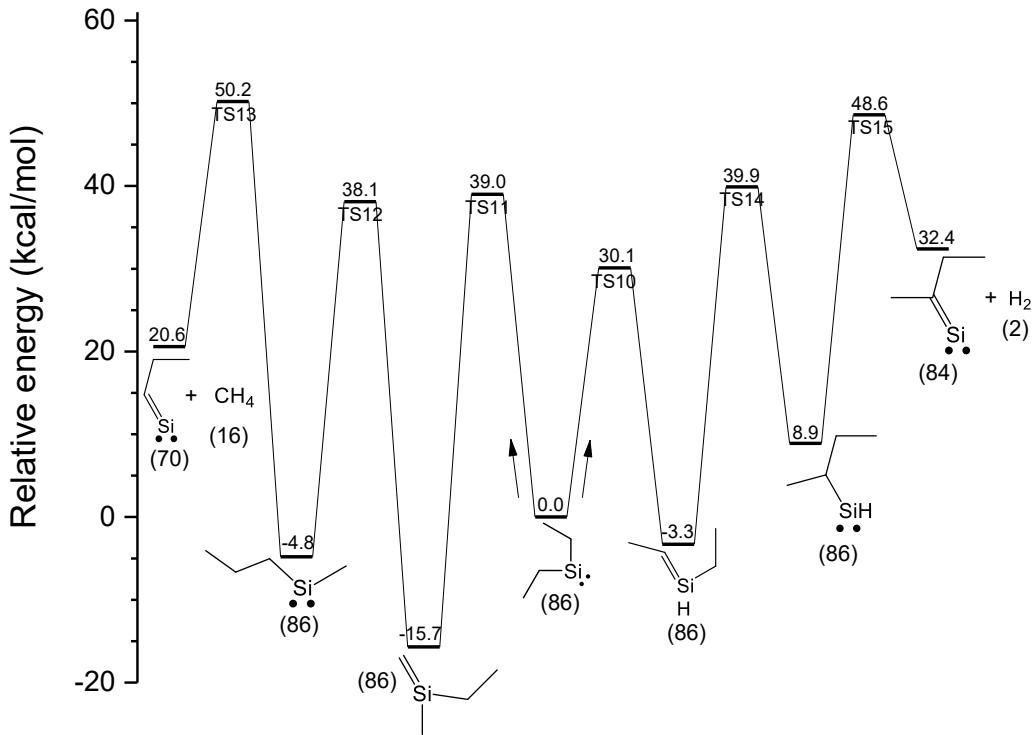
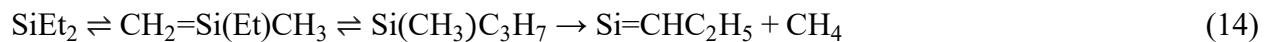
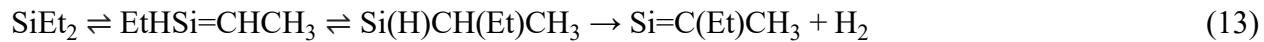


Figure 9. Energetics (0 K) of the possible decomposition channel of :SiEt<sub>2</sub> at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

= 84 species. As discussed earlier, :SiEt<sub>2</sub> could be one of the products of the secondary reactions of SiEt<sub>3</sub>, and the reaction rate constant calculations suggests that this reaction pathway became significant at high temperatures. After the first appearance of the m/z = 86 peak at 1200 K, the signal of the m/z = 84 peak was first observed at 1240 K. As the temperature further increased, the signal of the m/z = 84 peak remained nearly unchanged until 1330 K. Theoretical calculations regarding a possible two-step mechanism of its formation were carried out, and the energy diagram is shown in Figure 9. According to Figure 9, it was suggested that :SiEt<sub>2</sub> first went through an isomerization pathway (TS10) leading to the production of EtHSi=CHCH<sub>3</sub> with an energy barrier of 30.1 kcal/mol, followed by another isomerization reaction via TS14 forming :Si(H)CH(Et)CH<sub>3</sub> with a threshold energy of 43.2 kcal/mol. Finally, :Si(H)CH(Et)CH<sub>3</sub> went through a H<sub>2</sub> elimination

mechanism (TS15) to produce :Si=C(Et)CH<sub>3</sub> (m/z = 84), with a calculated energy barrier of 39.7 kcal/mol with respect to :Si(H)CH(Et)CH<sub>3</sub> (reaction (13)).

Theoretical calculations also revealed other possible dissociation channels of :SiEt<sub>2</sub>. As shown in Figure 9, :SiEt<sub>2</sub> could undergo a two-step isomerization reaction through an intermediate CH<sub>2</sub>=Si(Et)CH<sub>3</sub> to :Si(CH<sub>3</sub>)C<sub>3</sub>H<sub>7</sub> via TS11 and TS12, and the overall energy barrier was determined to be 39.0 kcal/mol. Then it could further lose one CH<sub>4</sub> to form :Si=CHC<sub>2</sub>H<sub>5</sub> (m/z = 70) over an energy barrier of 55.0 kcal/mol (reaction (14)). This mechanism is consistent with the experimental observations, since at 1240 K the signal of m/z = 70 was found, although, as discussed earlier, the appearance of the m/z = 70 peak could be evolved from the secondary reactions of the m/z = 72 species. As the overall energy barrier of reaction (14) with respect to :SiEt<sub>2</sub> (50.2 kcal/mol) is close to that for reaction (13) (48.6 kcal/mol), the contribution for m/z = 70 signal from the secondary reactions of :SiEt<sub>2</sub> may not be neglected.



### (3) The further dissociation reactions of H<sub>2</sub>SiEt.

Several possible dissociation channels for H<sub>2</sub>SiEt were found in this work. The signal of SiH<sub>3</sub> (m/z = 31) were first found at 1140 K, and its peak intensity kept nearly constant until the temperature reached 1330 K. This was believed to be a secondary reaction product of H<sub>2</sub>SiEt. The DFT calculations for the possible dissociation channels is summarized in Figure 10. The reaction pathway that leads to the production of the m/z = 31 peak was the most energetically favored. In this pathway, H<sub>2</sub>SiEt first isomerizes to H<sub>3</sub>SiC<sub>2</sub>H<sub>4</sub> via TS16 with an energy barrier of 38.7 kcal/mol.

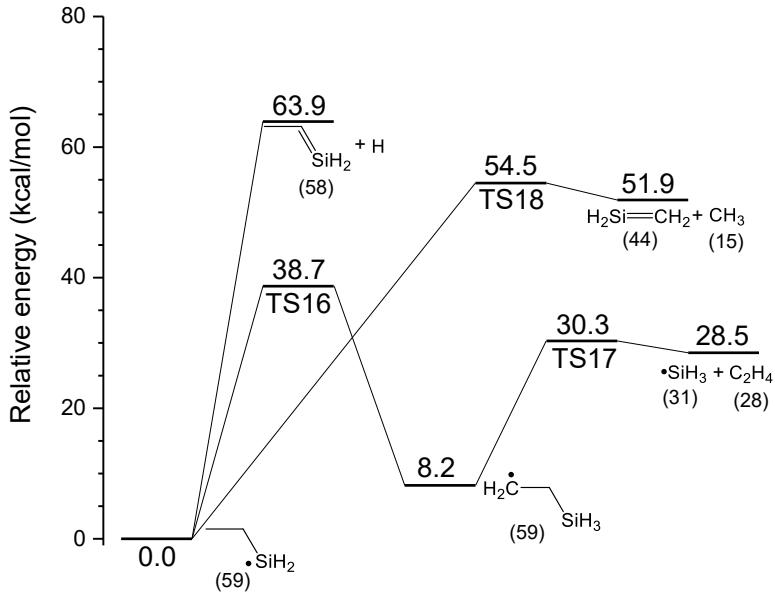


Figure 10. Energetics (0 K) of the possible decomposition channel of  $\text{H}_2\text{SiEt}$  at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

Then  $\text{H}_3\text{SiC}_2\text{H}_4$  decomposes to  $\text{SiH}_3$  and  $\text{C}_2\text{H}_4$  via TS17 with an energy barrier of 22.1 kcal/mol (relative to  $\text{H}_3\text{SiC}_2\text{H}_4$ ).

Theoretical calculations also indicates that  $\text{H}_2\text{SiEt}$  could further decompose into  $\text{H}_2\text{Si}=\text{CH}_2$  and  $\text{CH}_3$  via TS18, with an energy barrier of 54.5 kcal/mol. The experimental observations are consistent with this proposed mechanism as the signal of the  $\text{m/z} = 44$  species was first detected at 1200 K. Its later appearance than the  $\text{m/z} = 31$  is also consistent with the theoretical calculations that the energy barrier for TS18 is higher than that for TS16.

$\text{H}_2\text{SiEt}$  could further lose a hydrogen atom forming  $\text{H}_2\text{Si}=\text{CHCH}_3$  ( $\text{m/z} = 58$ ). As shown in Figure 2, the  $\text{m/z} = 58$  signal first showed up at 1240 K and remained nearly constant when the temperature further increased. One explanation for this peak is the H-loss reaction of  $\text{H}_2\text{SiEt}$ . Figure 10 displays that the energy threshold for this reaction is 63.9 kcal/mol, which is higher than the other two reaction pathways of  $\text{H}_2\text{SiEt}$ . Experimental observations agreed with this calculation

results, since the temperature for the first appearance of  $m/z = 58$  is higher than those of other two reaction pathways.

#### (4) Other possible secondary reaction channels.

When the pyrolysis temperature further increased, more fragment signals appeared, and the fragment signal from  $m/z = 54 - 57$  were possibly yielded from the secondary reactions of the  $m/z = 58$  species ( $\text{H}_2\text{Si}=\text{CHCH}_3$ ). For example, as shown in Figure 2, the signal of the  $m/z = 57$  and 56 species first showed up at 1240 K, and these two peaks were possibly the dissociation reaction products of the  $m/z = 58$  species discussed earlier. Their possible dissociation reaction energetics were calculated theoretically and are displayed in Figure 11. Figure 11 suggests that there may be

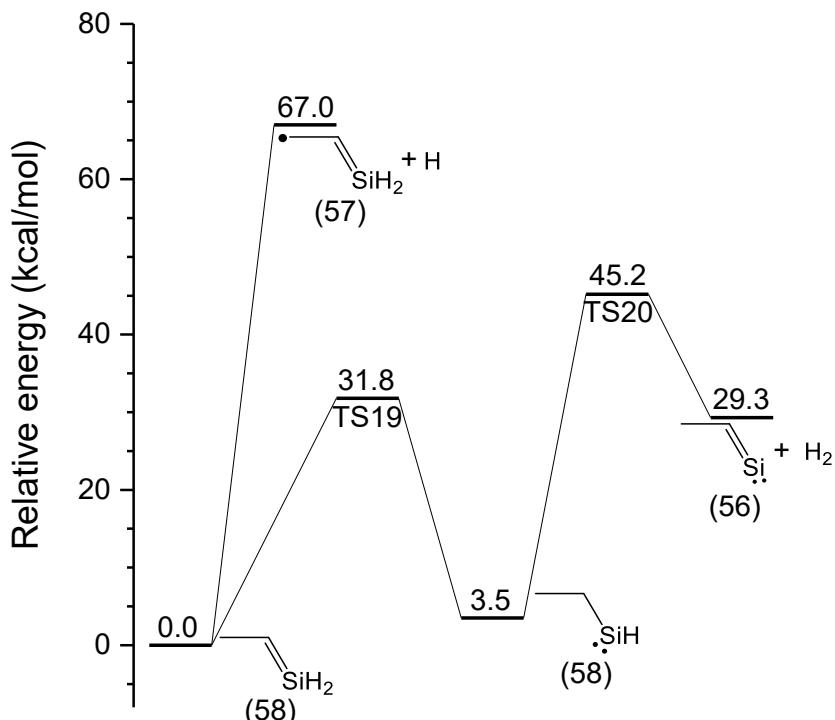


Figure 11. Energetics (0 K) of the possible decomposition channel of the  $m/z = 58$  species at the UM06-2X/6-311++G(3df, 2pd)//UB3LYP/6-31+G(d,p) level.

an isomerization reaction channel between  $\text{H}_2\text{Si}=\text{CHCH}_3$  and  $:\text{SiHET}$  via TS19 with an energy barrier of 31.8 kcal/mol. The  $\text{m/z} = 56$  species ( $:\text{Si}=\text{CHCH}_3$ ) was possibly caused by  $\text{H}_2$  elimination reaction of  $:\text{SiHET}$ , via TS20 with an energy barrier of 41.7 kcal/mol. As the temperature increased to 1300 K, the  $\text{m/z} = 54$  signal first appeared; it might correspond to  $:\text{Si}=\text{C}=\text{CH}_2$ , possibly produced from further  $\text{H}_2$  elimination reactions of  $:\text{Si}=\text{CHCH}_3$ . The  $\text{m/z} = 57$  species were probably produced from the H-loss reaction of  $\text{H}_2\text{Si}=\text{CHCH}_3$ , with an energy threshold of 67.0 kcal/mol.

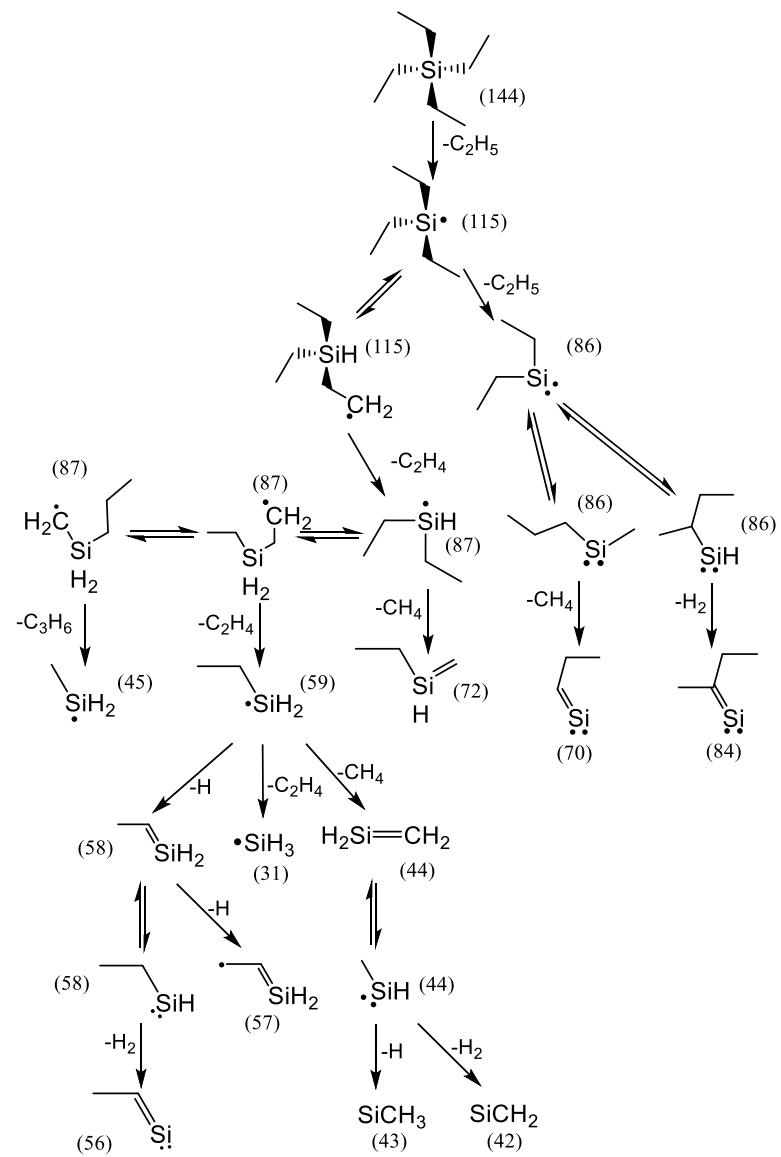
The  $\text{m/z} = 43$  and 42 signal were also captured in this work. When the temperature reached 1200 K, the  $\text{m/z} = 43$  and 42 signal first appeared. Unlike the  $\text{m/z} = 44$  signal, the intensity for the  $\text{m/z} = 43$  and 42 signal kept increasing when the temperature further increased. It was possibly caused by further dissociations of the  $\text{m/z} = 44$  species. Previous studies have discussed the secondary reactions of the  $\text{m/z} = 44$  species in detail; the  $\text{m/z} = 44$  species could lose H or  $\text{H}_2$ , and lead to the production of the  $\text{SiCH}_3$  ( $\text{m/z} = 43$ ) or  $\text{SiCH}_2$  ( $\text{m/z} = 42$ ) species.<sup>39</sup> Also, the  $\text{m/z} = 44 - 42$  signals could correspond to signals of hydrocarbons ( $\text{C}_3\text{H}_8 - \text{C}_3\text{H}_6$ ) from multiple secondary reactions, and it could be further identified by structural studies in the future.

## Conclusion

The thermal decomposition of tetraethylsilane was investigated experimentally by using flash pyrolysis vacuum ultraviolet mass spectrometry. DFT calculations regarding the energetics of the initiation reactions and important secondary reactions were performed. In the initiation step of the tetraethylsilane pyrolysis, the Si-C bond homolysis was found to be the predominant reaction pathway, with  $\text{SiEt}_3$  as the primary dissociation product, instead of the direct  $\beta$ -hydride elimination pathway. The unimolecular rate constant calculations with the TST and VTST methods revealed that the rate constant of the Si-C bond fission channel was 5 orders magnitude higher than the  $\beta$ -

hydride elimination pathway. In the secondary dissociation pathways of  $\text{SiEt}_3$ , however, the  $\beta$ -hydride elimination pathway producing  $\text{HSiEt}_2$  was predominant at lower temperatures than the Si-C bond fission (producing  $:\text{SiEt}_2$ ); while at higher temperatures, the Si-C bond homolysis became more significant.

Several secondary reactions were identified in the tetraethylsilane pyrolysis. The further dissociation reaction of  $\text{HSiEt}_2$  was believed to be associated with the appearance of the  $m/z = 59$  species ( $\text{H}_2\text{SiEt}$ ); also, possible dissociation channels of  $\text{HSiEt}_2$  leading to the productions of the  $m/z = 72$  species and the  $m/z = 45$  species were proposed. The secondary reactions of  $:\text{SiEt}_2$  that led to the formation of the  $m/z = 84$  species and  $m/z = 70$  species were described. Other possible secondary reaction channels that involved in this work, such as the production of the  $m/z = 54 - 57$  species and  $m/z = 44 - 42$  species, were also discussed. The main thermal decomposition mechanism of tetraethylsilane, including the initiation reaction channels and several important secondary reaction pathways, are summarized in Scheme 1.



Scheme 1. Main thermal decomposition mechanism of the pyrolysis of tetraethylsilane.

## **Supporting Information**

Additional computational details, including geometries of the reactant, transition states, reactive intermediates, and products involved in the SiEt<sub>4</sub> system; geometries and frequencies of species involved in the TST/VTST unimolecular reaction rate constant calculations; and the calculated unimolecular rate constants for the relevant reaction channels at various temperatures.

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## **Declaration of Conflicting Interests Statement**

The Authors declare that there is no conflict of interest.

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## TOC Graphic

