

# NONSOLVABLE GROUPS HAVE A LARGE PROPORTION OF VANISHING ELEMENTS

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ABSTRACT. We prove that if  $G$  is a nonsolvable group, then the proportion of vanishing elements of  $G$  is at least  $1067/1260$  (and this lower bound is optimal). This confirms a conjecture of Dolfi, Pacifici, and Sanus [7].

## 1. INTRODUCTION

Zeros of characters of finite groups have been a subject of considerable interest in the last couple of decades. We refer the reader to [7] for an exposition of the research in this area. Following [12], we say that if  $G$  is a finite group an element  $g \in G$  is **nonvanishing** if  $\chi(g) \neq 0$  for every irreducible character  $\chi \in \text{Irr}(G)$ . Otherwise, we say that  $g$  is **vanishing**. Recently, it has been observed that nonsolvable groups tend to have many zeros in the character table. For instance, M. Larsen and A. Miller proved in [14] that the probability that a random entry in the character table of a simple group of Lie type is 0 goes to 1 when the rank of the group goes to infinity.

On the other hand, it was conjectured by S. Dolfi, E. Pacifici and L. Sanus in [7] that the proportion of vanishing elements in a nonsolvable group is at least  $1067/1260$  (note that this is the proportion of vanishing elements in  $A_7$ ). Given a finite group, we write  $\mathcal{P}_v(G)$  to denote the proportion of vanishing elements of  $G$ . In other words,

$$\mathcal{P}_v(G) = \frac{|\{g \in G \mid \chi(g) = 0 \text{ for some } \chi \in \text{Irr}(G)\}|}{|G|}.$$

It has been proven in [18] that if  $\mathcal{P}_v(G) \leq 2/3$  then  $G$  is solvable. Our goal in this note is to settle the conjecture of Dolfi, Pacifici and Sanus.

**Theorem A.** *Let  $G$  be a finite group. If  $\mathcal{P}_v(G) < \mathcal{P}_v(A_7) = 1067/1260$ , then  $G$  is solvable.*

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*Date:* April 18, 2024.

*2010 Mathematics Subject Classification.* Primary 20C15.

*Key words and phrases.* irreducible character, almost simple group, zero of a character.

Research of the first author is supported by Ministerio de Ciencia e Innovación PID-2019-103854GB-I00, FEDER funds and Generalitat Valenciana AICO/2020/298. The second author gratefully acknowledges the support of the NSF (grants DMS-1840702 and DMS-2200850), the Joshua Barlaz Chair in Mathematics, and the Charles Simonyi Endowment at the Institute for Advanced Study (Princeton).

In the proof of Theorem A we use the following result, that is perhaps worth pointing out.

**Theorem B.** *Let  $G$  be an almost simple group with socle  $S$ . Then all the elements in  $G \setminus S$  are vanishing.*

Our proof of Theorem B relies on the Deligne-Lusztig theory of characters of groups of Lie type by means of Theorem 2.1 below (and Theorem 2.3 of [5]). In Section 2, we prove Theorem B assuming Theorem 2.1. Next, we prove Theorem A in Section 3 and we present the proof of Theorem 2.1 in Section 4.

## 2. PROOF OF THEOREM B

In this section, we will prove Theorem B assuming the following result, which is a version for 2-elements of Theorem 2.3 of [5] and which will be proved in §4. Recall that given a group  $G$ ,  $\mathbf{F}(G)$  is the Fitting subgroup of  $G$ , i.e., the largest normal nilpotent subgroup of  $G$ .

**Theorem 2.1.** *Let  $S$  be a nonabelian simple group. Suppose that  $S \leq G \leq \text{Aut}(S)$ . Let  $xs \in \mathbf{F}(G/S)$  be an element of order 2. Then there exists  $\psi \in \text{Irr}(S)$  such that  $x$  does not fix any  $G$ -conjugate of  $\psi$ .*

The following is an immediate consequence of Theorem 2.1. As usual, if  $G$  is a finite group,  $N \trianglelefteq G$  and  $\psi \in \text{Irr}(N)$  we write  $\text{Irr}(G|\psi)$  to denote the set of irreducible characters of  $G$  that lie over  $\psi$ .

**Corollary 2.2.** *Let  $S$  be a nonabelian simple group. Suppose that  $S \leq G \leq \text{Aut}(S)$ . Let  $xs \in \mathbf{F}(G/S)$  be a nontrivial 2-element. Then there exists  $\psi \in \text{Irr}(S)$  such that  $x$  does not fix any  $G$ -conjugate of  $\psi$ . In particular, if  $\chi \in \text{Irr}(G|\psi)$  then  $\chi(x) = 0$ .*

*Proof.* Apply Theorem 2.1 to  $(xs)^{o(xs)/2}$ . The claim that  $\chi(x) = 0$  follows from Clifford's theory and the formula for the induced character.  $\square$

We will use several times the following elementary fact.

**Lemma 2.3.** *Let  $G$  be a finite group and let  $L \leq K$  be two normal subgroups of  $G$ . If  $\varphi \in \text{Irr}(K)$  vanishes on  $K \setminus L$  and  $\chi \in \text{Irr}(G|\varphi)$ , then  $\chi$  vanishes on  $K \setminus L$ .*

*Proof.* It suffices to note that by Clifford's theorem (Theorem 6.2 of [11])  $\chi_K$  is a sum of conjugates of  $\varphi$  and use the fact that  $K \setminus L$  is a normal subset.  $\square$

The following is a consequence of the well-known structure of the outer automorphism group of simple groups.

**Corollary 2.4.** *Let  $S$  be a nonabelian simple group. Let  $O = \text{Out}(S)$ . Then the elements in  $O \setminus \mathbf{F}(O)$  are vanishing*

*Proof.* By [9, Theorem 2.5.12], we know that  $O/\mathbf{F}(O)$  is abelian. Now the result follows from the proof of Lemma 18.1 of [16], for instance.  $\square$

Next, we prove a slightly strengthened form of Theorem B.

**Theorem 2.5.** *Let  $S$  be a nonabelian simple group. Suppose that  $S \leq G \leq \text{Aut}(S)$ . Let  $x \in G \setminus S$ . Then there exists  $\chi \in \text{Irr}(G)$  such that  $\chi(x) = 0$ . Furthermore, if  $xS \in \mathbf{F}(G/S)$  then there exists  $\psi \in \text{Irr}(S)$  such that  $xS$  does not fix any  $G$ -conjugate of  $\psi$ .*

*Proof.* Write  $O = G/S$ . By Corollary 2.4, we may assume that  $xS \in \mathbf{F}(O)$ . By Corollary 2.2, we may assume that  $o(xS)$  is not a 2-power. Let  $p$  be an odd prime divisor of  $o(xS)$  and let  $yS$  be the  $p$ -part of  $xS$ . By Theorem 2.3 of [5], there exists  $\psi \in \text{Irr}(S)$  such that  $yS$  does not fix any  $G$ -conjugate of  $\psi$ . Since  $yS$  is a power of  $xS$ , we conclude that  $xS$  does not fix any  $G$ -conjugate of  $\psi$ . Hence, if  $\chi \in \text{Irr}(G|\psi)$  then  $\chi(x) = 0$ , as wanted.  $\square$

### 3. PROOF OF THEOREM A

Following [4], if  $\Omega$  is a set and  $n$  is a positive integer, we write  $P_n(\Omega)$  to denote the set of  $(n+1)$ -tuples of subsets of  $\Omega$  that form a partition of  $\Omega$ .

**Lemma 3.1.** *Let  $G$  be a solvable permutation group on a set  $\Omega$ . Then  $G$  has a regular orbit on  $P_4(\Omega)$ .*

*Proof.* This follows from Corollary 6 of [4].  $\square$

Suppose that  $H$  is a subgroup of a finite group  $G$  and let  $x \in H$ . In the next results, we will need to distinguish between being vanishing as an element of  $H$  or as an element of  $G$ . We will say that  $x$  is vanishing in  $G$  or  $x$  is vanishing as an element of  $G$  if there exists  $\chi \in \text{Irr}(G)$  such that  $\chi(x) = 0$ .

We write

$$\begin{aligned} \mathcal{L}_2 = & \{M_{12}, M_{22}, M_{24}, J_2, HS, Suz, Ru, Co_1, Co_3, B\} \\ & \cup \{A_n \mid n \neq 2m^2 + m \text{ and } n \neq 2m^2 + m + 2 \text{ for any integer } m\} \end{aligned}$$

and

$$\mathcal{L}_3 = \{Suz, Co_3\} \cup \left\{ A_n \mid \begin{array}{l} 3n+1 = m^2 r \text{ for some } r \text{ square-free and divisible} \\ \text{by some prime } q \equiv 2 \pmod{3} \end{array} \right\}.$$

By Corollary 1 of [10],  $\mathcal{L}_p$  is the list of non-abelian simple groups that do not possess an irreducible character of  $p$ -defect zero for  $p \in \{2, 3\}$  and every non-abelian simple group has some irreducible character of  $p$ -defect zero for every prime  $p \geq 5$ .

**Lemma 3.2.** *Let  $N = S_1 \times \cdots \times S_n$  be a minimal normal subgroup of a finite group  $G$ , with  $S_i \cong S$  non-abelian simple for every  $i$ , and let  $1 \neq x \in N$ . Then*

- (i) *If  $o(x)$  is divisible by some prime  $p \geq 5$ , then  $x$  is a vanishing element of  $G$ .*
- (ii) *If  $S \notin \mathcal{L}_2 \cup \mathcal{L}_3$ , then  $x$  is a vanishing element of  $G$ .*
- (iii) *If  $S \neq A_6$  is an alternating group, then the proportion of elements in  $N$  that are vanishing elements of  $G$  is at least  $1067/1260$ .*

- (iv) If  $S \neq M_{24}$  is one of the sporadic groups in  $\mathcal{L}_2 \cup \mathcal{L}_3$  or  $S = A_6$ , then all the nontrivial elements in  $N$  are vanishing in  $G$ .
- (v) If  $S = M_{24}$  then the proportion of elements in  $N$  that are vanishing elements of  $G$  is at least  $1067/1260$ .

*Proof.* By Corollary 1 of [10], we know that  $S$  has some irreducible character  $\varphi$  of  $p$ -defect zero. Take  $\psi = \varphi \times \cdots \times \varphi \in \text{Irr}(N)$ . If  $\chi \in \text{Irr}(G)$  lies over  $\psi$ , then it follows from Clifford's theorem that  $\chi_N$  is a sum of  $G$ -conjugates of  $\psi$ . Since  $\psi^g$  has  $p$ -defect zero for every  $g \in G$ , we deduce from Theorem 8.17 of [11] that  $\chi(x) = 0$ . This proves (i). The second part can be proved analogously.

Now, we want to prove (iii). Let  $x = (x_1, \dots, x_n) \in N$ . Note that  $x$  is a vanishing element of  $N$  if and only if  $x_i$  is a vanishing element of  $S_i$  for some  $i$ . Since the proportion of elements in  $S_1$  that are vanishing is at least  $1067/1260$  (by Theorem 1.5 of [18]), it suffices to see that if  $y \in S_1$  is vanishing in  $S_1$ , then  $y$  is a vanishing element of  $G$ . Let  $\theta \in \text{Irr}(S_1)$  such that  $\theta(y) = 0$ . Since  $S_1$  is subnormal in  $G$ , it follows from Clifford's theorem that if  $\chi \in \text{Irr}(G)$  lies over  $\theta \times \cdots \times \theta \in \text{Irr}(N)$  then  $\chi_{S_1}$  is a sum of  $\text{Aut}(S_1)$ -conjugates of  $\theta$ . By the proof of Lemma 3.1 of [18], all the  $\text{Aut}(S_1)$ -conjugates of  $\theta$  vanish at  $y$ . We deduce that  $\chi(y) = 0$ . Therefore,  $y$  is a vanishing element of  $G$ , as desired.

Now, assume that  $S$  is one of the sporadic groups in  $\mathcal{L}_2 \cup \mathcal{L}_3$  or  $A_6$ . If  $S = M_{24}$ , it can be checked in the Atlas [2] that all the elements except for those in classes 1A and 2A are vanishing elements. If  $S \neq M_{24}$  then we can see in [2] that any nontrivial element in  $S$  is vanishing in  $H$  for any almost simple group  $H$  with socle  $S$ . Parts (iv) and (v) follow the same reasoning as in (iii). (Note that in part (v) we have a proportion of vanishing elements that is much larger than stated.)  $\square$

Now, we can complete the proof of Theorem A.

*Proof of Theorem A.* Let  $G$  be a minimal counterexample. Let  $N$  be a minimal normal subgroup of  $G$ . By Lemma 2.3 of [18],  $\mathcal{P}_v(G/N) \leq \mathcal{P}_v(G) < \mathcal{P}_v(A_7)$ . By the minimality of  $G$ ,  $G/N$  is solvable. We deduce that  $N = S_1 \times \cdots \times S_n$ , where  $S_i \cong S$  for some non-abelian simple group  $S$  and every  $i$ . Furthermore,  $G/N$  is solvable. We also have that  $N$  is the unique minimal normal subgroup of  $G$ . Hence,  $G$  is isomorphic to a subgroup of  $\text{Aut}(N) = \text{Aut}(S) \wr S_n$ . Write  $K = G \cap \text{Aut}(S)^n$ , so that  $N \leq K \leq \text{Aut}(S)^n$  and  $G/K$  is isomorphic to a solvable permutation group on  $\Omega = \{S_1, \dots, S_n\}$ .

By Lemma 3.1,  $G/K$  has a regular orbit on  $P_4(\Omega)$ . Hence, there exists a partition  $\Gamma_0, \dots, \Gamma_4$  of  $\Omega$  such that  $\bigcap_{i=0}^4 \text{stab}_{G/K}(\Gamma_i) = K/K$ . Since  $S$  is simple nonabelian,  $S$  has at least 5 different irreducible characters  $\gamma_0, \dots, \gamma_4$ . Let  $\gamma \in \text{Irr}(N)$  be the character whose factor corresponding to the direct factors in  $\Gamma_i$  is  $\gamma_i$  for every  $i$ . By the choice of  $\gamma$ ,  $I_G(\gamma) \leq K$ . Hence, if  $\chi \in \text{Irr}(G|\gamma)$  then  $\chi$  vanishes on  $G \setminus K$ .

Put  $F/N = \mathbf{F}(K/N)$ . By Corollary 2.4 and Lemma 2.3, the elements in  $K \setminus F$  are also vanishing.

Next, using arguments from [5], we will see that the elements in  $F \setminus N$  are vanishing. Let  $x \in F \setminus N$ . Since  $x \in K$ ,  $x$  normalizes  $S_i$  for every  $i = 1, \dots, n$ . In fact,  $K$  normalizes  $S_i$  for every  $i$ . By way of contradiction, suppose that  $x$  is nonvanishing. We will show first that  $x \in S_i \mathbf{C}_G(S_i)$  for all  $i = 1, \dots, n$ . Without loss of generality, we will show this for  $i = 1$ . Let  $\theta \in \text{Irr}(S)$ , and let  $\psi = \theta_1 \times \dots \times \theta_n$ , where  $\theta_i$  is identified with  $\theta$  for every  $i$ . By Lemma 2.3 of [12],  $x$  fixes  $\psi^g$  for some  $g \in G$ . Write

$$S_i^{g^{-1}} = S_{\sigma(i)} = S_1^{g_{\sigma(i)}}.$$

Hence,

$$S_1^{g_{\sigma(i)}g} = S_i.$$

Then

$$\psi^g = \theta_1^{g_{\sigma(1)}g} \times \dots \times \theta_1^{g_{\sigma(n)}g}.$$

Since  $x$  fixes  $\psi^g$ , we have that it fixes each of the factors of  $\psi^g$ . Hence,

$$\theta_1^{g_{\sigma(1)}g^x} = \theta_1^{g_{\sigma(1)}g}$$

and therefore  $\theta_1^{uxu^{-1}} = \theta_1$ , where  $u = g_{\sigma(1)}g \in \mathbf{N}_G(S_1)$ . In other words,  $x$  fixes some  $\mathbf{N}_G(S_1)/\mathbf{C}_G(S_1)$ -conjugate of  $\theta_1$  for every  $\theta_1 \in \text{Irr}(S_1 \mathbf{C}_G(S_1)/\mathbf{C}_G(S_1))$ . Recall that  $xN$  lies in a nilpotent normal subgroup of  $K/N \leq \mathbf{N}_G(S_1)/N$ . Hence,  $xS_1 \mathbf{C}_G(S_1)$  lies in a nilpotent normal subgroup of  $\mathbf{N}_G(S_1)/S_1 \mathbf{C}_G(S_1)$ . Applying Theorem 2.5 with  $\mathbf{N}_G(S_1)/\mathbf{C}_G(S_1)$  in the place of  $G$  and  $S_1 \mathbf{C}_G(S_1)/\mathbf{C}_G(S_1)$  in the place of  $S$ , we deduce that  $x \in S_1 \mathbf{C}_G(S_1)$ , as wanted.

Now, for all  $i = 1, \dots, n$ , write  $x = s_i c_i$  with  $s_i \in S_i$  and  $c_i \in \mathbf{C}_G(S_i)$ . On the other hand, we can certainly write  $x = s_1 s_2 \dots s_n y$  for some  $y \in G$ , and we work to show that  $y = 1$ . We get  $s_1 c_1 = s_1 (s_2 \dots s_n) y$ , whence  $y = (s_2 \dots s_n)^{-1} c_1 \in \mathbf{C}_G(S_1)$ . Analogously, we see that  $y \in \mathbf{C}_G(S_i)$  for every  $i$  and therefore  $y \in \mathbf{C}_G(N) = 1$ . We conclude that  $x = s_1 \dots s_n \in N$ , as desired.

We have thus seen that all the elements in  $G \setminus N$  are vanishing. By Lemma 3.2, the proportion of elements of  $N$  that are vanishing in  $G$  is at least  $1067/1260$ . It follows that  $\mathcal{P}_v(G) \geq 1067/1260$ , which is a contradiction. This completes the proof.  $\square$

In [12], M. Isaacs, G. Navarro and T. Wolf conjectured that in a solvable group  $G$  every element in  $G \setminus \mathbf{F}(G)$  is vanishing. This conjecture remains open. In [5] it was proved that for any finite group  $G$  all elements of order coprime to 6 in  $G \setminus \mathbf{F}(G)$  are vanishing, and the coprimeness hypothesis is necessary. As mentioned in [5], it is tempting to conjecture that all the elements in  $G \setminus \mathbf{F}^*(G)$  are vanishing but  $2^{11} \rtimes M_{24}$  is a counterexample. Our proof of Theorem A suggests that, perhaps, all the elements outside the generalized Fitting subgroup are vanishing for groups with trivial Fitting subgroup. Note that Theorem B is a particular case of this conjecture.

## 4. ALMOST SIMPLE GROUPS

In this section we prove Theorem 2.1, which we restate.

**Theorem 4.1.** *Let  $S$  be a nonabelian simple group. Suppose that  $S \leq G \leq \text{Aut}(S)$ . Let  $xS \in \mathbf{F}(G/S)$  be an element of order 2. Then there exists  $\psi \in \text{Irr}(S)$  such that  $x$  does not fix any  $G$ -conjugate of  $\psi$ . In particular, if  $\chi \in \text{Irr}(G|\psi)$  then  $\chi(x) = 0$ .*

Note that the assumption  $xS \in \mathbf{F}(G/S)$  is essential; otherwise  $G = \text{Aut}(\Omega_8^+(2))$  would be a counterexample.

*Proof.* We will assume that  $x \notin S$  and aim to produce a  $G$ -orbit  $\mathcal{O}$  on  $\text{Irr}(S)$  such that  $x$  **moves** every character in  $\mathcal{O}$ . Consider the subgroup  $J := \langle xS \rangle$  in  $G/S \leq \text{Out}(S)$ .

(i) First we show that the theorem holds in the case  $J \triangleleft G/S$ . Indeed, [8, Theorem C] shows that, in the action of  $J$  on the conjugacy classes of  $S$ , there is some orbit of length  $> 1$ . Since  $J$  is cyclic, this action of  $J$  is permutationally isomorphic to its action on  $\text{Irr}(S)$ . In particular,  $J$  has an orbit  $\mathcal{O}_1$  of length  $> 1$  on  $\text{Irr}(S)$ . Now let  $\mathcal{O}$  be the  $G$ -orbit on  $\text{Irr}(S)$  that contains  $\mathcal{O}_1$ . Since  $J \triangleleft A$ ,  $J$  acts semi-transitively on  $\mathcal{O}$ , i.e. all  $J$ -orbits on  $\mathcal{O}$  have the same length. Hence we are done as  $|\mathcal{O}_1| > 1$ .

(ii) By the result of (i), we are done if  $G/S$  or  $\text{Out}(S)$  is abelian; in particular, if  $S$  is an alternating group or a sporadic simple group. The rest of the proof is to deal with simple groups of Lie type, for which the structure of  $\text{Out}(S)$  is described for instance in [9, Theorem 2.5.12]. Since  $xS \in \mathbf{F}(G/S)$  has order 2,  $\mathbf{O}_2(G/S) \neq 1$ . From now on we may assume that  $G/S$  is non-abelian and that  $\mathbf{O}_2(G/S)$  is non-cyclic (because otherwise  $J \text{ char } \mathbf{O}_2(G/S) \triangleleft G/S$ , and we are done again); in particular, the Sylow 2-subgroups of  $\text{Out}(S)$  are non-cyclic.

Thus we are left with the cases, where  $S = \text{PSL}_n^\epsilon(q)$  with  $n \geq 3$  (and  $2|n$  if  $\epsilon = -$ , since  $\text{Out}(\text{PSU}_n(q))$  have cyclic Sylow 2-subgroups when  $2 \nmid n$ ),  $P\Omega_{2n}^\epsilon(q)$  with odd  $q$  and  $n \geq 4$ , or  $E_6(q)$ , or  $P\Omega_8^+(q)$  and  $2|q$ . Here,  $q = p^f$ , and  $\epsilon = +$  in the untwisted case and  $\epsilon = -$  in the twisted case.

In the fourth case,  $\text{Out}(S) = \Phi \times \Gamma$  with  $\Phi \cong \mathbf{C}_f$  and  $\Gamma \cong \mathbf{S}_3$ . Then  $J \not\leq \Phi$  as otherwise it is central in  $G/S$ . Hence we can write  $xS = ab$  with  $a \in \Phi$  and  $b \in \Gamma$  with  $o(b) = 2$ , and  $\mathbf{O}_2(((G/S)\Phi)/\Phi) \neq 1$ . As  $\mathbf{O}_2(\mathbf{S}_3) = 1$ , we have  $(G/S)\Phi \neq \Gamma$ , whence  $G/S \leq \Phi\langle b \rangle$  and  $J$  is again central in  $G/S$ . We will now deal with the first three cases.

(iii) We can find a simple, simply connected, algebraic group  $\mathcal{G}$  in characteristic  $p$  and a Frobenius endomorphism  $F$  on  $\mathcal{G}$  such that  $S = L/\mathbf{Z}(L)$  for  $L := \mathcal{G}^F$ . We will also consider the pair  $(\mathcal{G}^*, F^*)$  dual to  $(\mathcal{G}, F)$  and the dual group  $H := (\mathcal{G}^*)^{F^*}$ , cf. [1]. We will use the Deligne-Lusztig theory (cf. [13], [1], [3]).

Here we consider the case where  $x$  induces an inner-diagonal automorphism of  $S$ , i.e.  $xS \in I := \text{Outdiag}(S)$  in the notation of [9]. If in addition  $I$  is cyclic, then  $J \text{ char } I \triangleleft \text{Out}(S)$ , and so we are done again. We may therefore assume that  $I$  is elementary abelian of order 4, and so  $S \cong P\Omega_{2n}^+(q)$  with  $2|n \geq 4$  and  $2 \nmid q$ . Suppose

$n = 4$ . Then, as shown in the proof of [19, Proposition 5.11],  $\text{Aut}(S)$  has an orbit  $\mathcal{O}$  of length 4 on  $\text{Irr}(S)$ , on which  $I$  acts regularly, and hence we are done. Next assume that  $n \geq 6$ , and choose  $\epsilon = \pm 1$  such that  $q^{n/2} \equiv \epsilon \pmod{4}$ . According to [9, Table 4.5.1],  $H = (\text{PCO}^\circ)_{2n}^+(q)$  has a unique conjugacy class that contains an involution  $t$  (denoted by  $t_{n/2}$  or  $t'_{n/2}$  therein) with the properties that  $t \in [H, H]$  and  $|\mathbf{C}_H(t)| = 4|\text{SO}_n^\epsilon(q) \times \text{SO}_n^\epsilon(q)|$ . Since  $|\mathbf{Z}(L)| = 4 = |H/[H, H]|$ , [20, Lemma 4.4] shows that the rational series corresponding to  $t$  contains four irreducible characters of  $L$  of degree

$$D := [\text{SO}_{2n}^+(q) : \text{SO}_n^\epsilon(q) \times \text{SO}_n^\epsilon(q)]_{p'}/4.$$

If  $\psi$  denotes any of them, then  $\psi$  is trivial on  $\mathbf{Z}(L)$ , so can be viewed as a character of  $S$ . Moreover, this set  $\mathcal{O}$  of four characters is  $\text{Aut}(S)$ -invariant, by the uniqueness of the conjugacy class  $t^H$ . Note that  $S = [H, H]$ . Let  $\chi \in \text{Irr}(H)$  lie above  $\psi$ . It suffices to show that  $\chi(1) = 4D$ . (Indeed, since  $|H/S| = 4$  and  $x \in H$ , in this case  $H/S \cong \mathbf{C}_2^2$  acts regularly on the four irreducible constituents  $\psi_1 = \psi, \psi_2, \psi_3, \psi_4$  of  $\chi_S$ . It follows that  $\{\psi_1, \dots, \psi_4\}$  is precisely  $\mathcal{O}$ , the set  $\mathcal{O}$  is a single  $\text{Aut}(S)$ -orbit, and  $x$  does not fix any  $\psi_i$ .) Since  $|H/S| = 4$ , we have  $\chi(1) = \kappa D$  with  $\kappa \in \{1, 2, 4\}$ ; furthermore, as  $H = \mathcal{G}^{*F^*}$  and  $\mathcal{G}^*$  has trivial center,  $\chi = \chi_s$  for some semisimple element  $s \in L \cong H^*$  with  $|\mathbf{C}_L(s)| = (4/\kappa)|\text{SO}_n^\epsilon(q)|^2$ . Furthermore,  $\mathbf{C}_L(s) = \mathbf{C}_{\mathcal{G}}(s)^F$ , where  $\mathbf{C}_{\mathcal{G}}(s)$  is a connected reductive algebraic group (see e.g. Theorems 3.5.4 and 3.5.6 of [1]), whose simple factors are of type  $A$  or  $D$ , and in fact  $|\mathbf{C}_L(s)|$  is a product of factors of the form  $|\text{SL}_a^\pm(q^b)|$  or  $|\text{SO}_{2c}^\pm(q)|$ . The number  $e$  of these factors is at most the number  $e'$  of irreducible summands of the image of  $\mathbf{C}_L(s)$  in  $\Omega_{2n}^+(q)$ , in its action on the natural module  $\mathbb{F}_q^{2n}$  for  $\text{SO}_{2n}^+(q)$ . When  $\epsilon = -$ , using the divisibility of  $|\mathbf{C}_L(s)|$  by  $(q^{n/2} + 1)^2$ , one sees that  $e \leq e' \leq 2$ . When  $\epsilon = +$ , using the divisibility of  $|\mathbf{C}_L(s)|$  by  $(q^{n-2} - 1)^2(q^{n/2} - 1)^2$ , one can also check that  $e \leq 2$ . Now one readily checks that  $\kappa \neq 2, 4$ , and thus  $\chi(1) = 4D$  as desired.

Suppose now that, modulo the inner-diagonal and field automorphisms of  $S$ ,  $x$  induces a graph automorphism of order 2, and moreover  $S = P\Omega_{2n}^+(q)$ . Then [15, Theorem 2.5] explicitly describes two unipotent characters of  $S$  such that they are permuted by a graph automorphisms of order 2 of  $S$ , but each of them is fixed by every diagonal or field automorphism of  $S$ . Now we can just choose  $\mathcal{O}$  to be any such pair.

(iv) In the remaining cases,  $x$  induces an automorphism  $\sigma$  of order 2 which is outside of  $\text{Inndiag}(S)$ . We aim to find a semisimple element  $s \in H$  such that  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected,  $s \in [H, H]$ , but the conjugacy class  $s^H$  of  $s$  in  $H$  is not  $\sigma$ -invariant. The first two conditions imply that the semisimple character  $\chi = \chi_s$  of  $L$  is irreducible and trivial at  $\mathbf{Z}(L)$ , hence can be viewed as an irreducible character  $\chi \in \text{Irr}(S)$ , see e.g. [20, Lemma 4.4]. Notice that, in the cases under consideration, the inner-diagonal automorphisms of  $S$  are induced by conjugation using elements in  $H$  (when we embed  $S$  in  $H$ ), and so they preserve  $s^H$ ; also, we may write  $H = \text{Inndiag}(S)$ . As a result,

$H$  fixes  $\chi$ , cf. [21, §2]. Since  $\sigma$  moves  $s^H$ , [21, Corollary 2.4] and the disjointness of Lusztig series imply that  $\chi^\sigma \neq \chi$  and so  $\chi^x \neq \chi$ . As shown in the proof of [6, Lemma 2.5], in the case  $\sigma$  is induced by a Frobenius endomorphism  $\sigma^*$  of  $\mathcal{G}^*$ , then

$$(4.1) \quad |s| \text{ does not divide } |(\mathcal{G}^*)^{\sigma^*}|$$

implies that  $s^H$  is not  $\sigma$ -invariant.

Now let  $\mathcal{O}$  be the  $G$ -orbit of  $\chi$ . Observe that, in our cases,  $\text{Out}(S)/H$  is either abelian, or  $\mathbf{C}_f \times \mathbf{S}_3$ , where the latter case occurs only when  $S = P\Omega_8^+(q)$  (and  $q = p^f$ ). Unless we are in the latter case,  $\langle xH \rangle$  is a normal subgroup of  $\text{Out}(S)/H$ , and so  $\langle x(G \cap H) \rangle \triangleleft G/(G \cap H)$ . Recall that  $G \cap H$  fixes  $\chi$ . Now arguing as in (i), we see that  $x$  moves every character in  $\mathcal{O}$ , and so we are done. Suppose we are in the latter case. Then  $H$  still fixes every member of the  $G$ -orbit  $\mathcal{O}$  of  $\chi$ , and  $\text{Out}(S)/H = \Phi \times \Gamma \cong \mathbf{C}_f \times \mathbf{S}_3$ . Now, the arguments in the last paragraph of (ii), but applied to the image of  $GH/H$  in  $\text{Out}(S)/H$ , acting on  $\mathcal{O}$ , and using the assumption that  $JH/H$  moves  $\chi$ , yield the result.

The rest of the proof is to construct the desired element  $s$ . This construction will follow some arguments given in [17]. In what follows, once the prime  $\ell$  is chosen, we will fix  $\alpha \in \overline{\mathbb{F}}_q^\times$  of order  $\ell$ .

(v) Let  $S = \text{PSL}_n(q)$  with  $n \geq 3$ . Then  $H = \text{PGL}_n(q)$ . Modulo  $H$ , we may assume that  $\sigma$  is induced by one of the following three maps on  $GL_n(q)$ :

$$(4.2) \quad X := (a_{ij}) \mapsto X^{(r)} := (a_{ij}^r), \text{ or } X \mapsto {}^tX^{-1}, \text{ or } X \mapsto {}^t(X^{(r)})^{-1},$$

where  $r := p^{f/2}$ , and  $2 \nmid f$  whenever  $r$  is in discussion. We may assume  $q > 2$  as otherwise  $\text{Out}(S)$  is abelian and we are done. Hence, by [22] there is a **primitive prime divisor** (ppd for short)  $\ell$  of  $p^{nf} - 1$ , that is, a prime divisor of  $p^{nf} - 1$  which does not divide  $\prod_{j=1}^{nf-1} (p^j - 1)$ .

Assume in addition that  $2 \nmid n$  if  $\sigma(X) = {}^tX^{-1}$ . Then choose  $s \in GL_n(q)$  represented by the diagonal matrix  $\text{diag}(\alpha, \alpha^q, \dots, \alpha^{q^{n-1}})$  over  $\overline{\mathbb{F}}_q$ . Abusing the notation, we will denote the image of  $s$  in  $H$  also by  $s$  (and we will do the same in subsequent parts of the proof). Notice that  $\ell \geq nf + 1$ , and so  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$  (as  $o(s)$  is coprime to  $|\mathbf{Z}(\mathcal{G})|$  and  $|H/[H, H]|$ ). It remains to show that  $s$  and  $s^\sigma$  are not conjugate in  $H$ . According as  $\sigma$  is one of the three maps described in (4.2), at least one of the eigenvalues of  $s^\sigma$  over  $\overline{\mathbb{F}}_q$  is  $\alpha^r$ ,  $\alpha^{-1}$ , or  $\alpha^{-r}$ . Hence it suffices to show that there is no  $\lambda \in \overline{\mathbb{F}}_q^\times$  and  $0 \leq j \leq n - 1$  such that

$$\lambda \alpha^{q^j} = \alpha^r, \text{ or } \alpha^{-1}, \text{ or } \alpha^{-r},$$

according as  $\sigma$  is one of the three maps described in (4.2). Assume the contrary. Since  $o(\alpha) = \ell$  is coprime to  $q - 1$ , we must have  $\lambda = 1$ . Now, if  $j = 0$ , then  $\ell$  divides  $p^{f/2} \mp 1$  or  $2$ , which is a contradiction, as  $\ell$  is a ppd of  $p^{nf} - 1$ . If  $j > 0$ , then  $\ell$  divides  $p^{jf \mp f/2} - 1$  or  $p^{jf} + 1$ . In the former case, the primitivity of  $\ell$  implies that  $nf$  divides

$(j \mp 1/2)f$ , i.e.  $2n$  divides  $2j \mp 1$ , again a contradiction. In the latter case, we have  $2 \nmid n$  and  $nf \mid 2jf$ , whence  $n \mid j$ , which is impossible as  $0 < j < n$ .

Now assume that  $n \geq 4$  is even and  $\sigma(X) = {}^tX^{-1}$ . Since  $\text{Out}(\text{SL}_4(2))$  is abelian, we may assume that  $(n, q) \neq (4, 2)$ , whence there exists a **ppd**  $\ell$  of  $p^{(n-1)f} - 1$ . Next, choose  $s \in \text{GL}_n(q)$  represented by the matrix  $\text{diag}(1, \alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{n-2}})$  over  $\overline{\mathbb{F}}_q$ . Then  $\ell \geq (n-1)f + 1$ , and so  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$ . Arguing as above, we see that the eigenvalue  $\alpha^{-1}$  of  $s^\sigma$  is not among the eigenvalues of  $s$ , whence  $s$  and  $s^\sigma$  are not conjugate in  $H$ .

(vi) Consider the case  $S = \text{PSU}_n(q)$  and  $n \geq 3$ , whence  $H = \text{PGU}_n(q)$ . As mentioned in (ii), we may assume  $n \geq 4$  is even. Since  $\text{Out}(\text{SU}_4(2))$  is abelian, we may assume that  $(n, q) \neq (4, 2)$ , whence there exists a **ppd**  $\ell$  of  $p^{2(n-1)f} - 1$ . Next, choose  $s \in \text{GU}_n(q)$  represented by the matrix  $\text{diag}(1, \alpha, \alpha^{-q}, \alpha^{q^2}, \dots, \alpha^{q^{n-2}})$  over  $\overline{\mathbb{F}}_q$ . Then  $\ell \geq (n+3)f + 1$ , and so  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$ . Arguing as above, we see that  $s$  and  $s^\sigma$  are not conjugate in  $H$ .

(vii) Next assume that  $S = P\Omega_{2n}^+(q)$  with  $n \geq 4$  and  $2 \nmid q$ ; in particular, there exists a **ppd**  $\ell$  of  $p^{2(n-1)f} - 1$ . By the considerations in (iii), we may assume that  $\sigma(X) = X^{(r)}$  with  $r := p^{f/2}$  as in (4.2). Next, choose  $s \in \text{GO}_{2n}^+(q)$  represented by the matrix  $\text{diag}(1, 1, \alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{2n-3}})$  over  $\overline{\mathbb{F}}_q$ . Again,  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$ . Arguing as above, we see that the eigenvalue  $\alpha^r$  of  $s^\sigma$  is not among the eigenvalues of  $\lambda s$  for any  $\lambda \in \mathbb{F}_q^\times$ , whence  $s$  and  $s^\sigma$  are not conjugate in  $H$ .

Assume  $S = P\Omega_{2n}^-(q)$  and  $n \geq 4$ . Since  $\text{Out}(S)$  is non-abelian, we must have that  $2 \nmid n$  and  $4 \mid (q+1)$ . Here we choose  $\ell$  to be a **ppd** of  $p^{2nf} - 1$ . Next, choose  $s \in \text{GO}_{2n}^-(q)$  of order  $\ell$ . Since  $\ell$  is odd,  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$ . Note that, by choosing a suitable matrix realization of  $\text{GO}_{2n}^-(q)$  over  $\mathbb{F}_{q^2}$ , we may assume that  $\sigma(X) = X^{(r)}$  with  $r := q$ , and thus  $\sigma$  is induced by the  $q^{\text{th}}$  Frobenius endomorphism  $\sigma^*$ . Now, if  $s^H$  is  $\sigma$ -invariant, then  $\ell$  divides  $|(\mathcal{G}^*)^{\sigma^*}| = (\text{PCO}^\circ)_{2n}^+(q)$  by (4.1), contrary to the choice of  $\ell$ .

(viii) Finally, we consider the case  $S = E_6(q)$ . Then  $\sigma$  is induced by  $\sigma_q\tau$ ,  $\sigma_r$ , or  $\sigma_r\tau$ , where  $\tau$  is a graph automorphism of  $\mathcal{G}$ ,  $\sigma_q$  is the  $q^{\text{th}}$  Frobenius endomorphism (which acts trivially on  $L$ ), and, if  $2 \mid f$  then  $\sigma_r$  is the  $r^{\text{th}}$  Frobenius endomorphism, with  $r := p^{f/2}$ . We choose to replace  $\tau$  by  $\sigma_q\tau$  to make sure that the corresponding map on  $\mathcal{G}$  is a Frobenius endomorphism. Accordingly, choose  $s \in H$  to be of order  $\ell$ , where  $\ell$  is a **ppd** of  $p^{9f} - 1$ ,  $p^{9f} - 1$ , or  $p^{12f} - 1$ . This ensures that  $\mathbf{C}_{\mathcal{G}^*}(s)$  is connected and  $s \in [H, H]$ . Now, if  $s^H$  is  $\sigma$ -invariant, then  $\ell$  divides  $|(\mathcal{G}^*)^{\sigma^*}| = {}^2E_6(q)_{\text{ad}}$ ,  $E_6(r)_{\text{ad}}$ , or  ${}^2E_6(r)_{\text{ad}}$  by (4.1), contrary to the choice of  $\ell$ .  $\square$

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