High Sensitivity and High Throughput Magnetic Flow CMOS Cytometers with 2D Oscillator Array and Inter-Sensor Spectrogram Cross-correlation

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Abstract—In the paper, we present an integrated flow cytometer with a 2D array of magnetic sensors based on dualfrequency oscillators in a 65-nm CMOS process, with the chip packaged with microfluidic controls. The sensor architecture and the presented array signal processing allows uninhibited flow of the sample for high throughput without the need for hydrodynamic focusing to a single sensor. To overcome the challenge of sensitivity and specificity that comes as a trade off with high throughout, we perform two levels of signal processing. First, utilizing the fact that a magnetically tagged cell is expected to excite sequentially an array of sensors in a time-delayed fashion, we perform inter-site cross-correlation of the sensor spectrograms that allows us to suppress the probability of false detection drastically, allowing theoretical sensitivity reaching towards subppM levels that is needed for rare cell or circulating tumor cell detection. In addition, we implement two distinct methods to suppress correlated low frequency drifts of singular sensors one with an on-chip sensor reference and one that utilizes the frequency dependence of the susceptibility of super-paramagnetic magnetic beads that we deploy as tags. We demonstrate these techniques on a 7×7 sensor array in 65 nm CMOS technology packaged with microfluidics with magnetically tagged dielectric particles and cultu lymphoma cancer cells.

Index Terms—Biosensor, magnetic flow cytometer, flow cytometry, LC-tank oscillator, frequency tracking, microfluidics, superparamagnetic, point-of-care diagnostics.

I. INTRODUCTION

Low cytometers (FC) allow detection and measurement of biophysical and biochemical properties of a population of cells. It is an essential technology for cell counting, cell

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sorting, determining cell characteristics and function, detection of microorganisms, bio-markers and diagnosis of health disorders such as blood cancers. Considering the importance of FCs, there has been research efforts for large-scale integration and enabling multi-functionalities focusing on both label-free and labeled methods for detection. Label-free method focusing on distinguishing features in the physical properties of cells, such as size, mass or electrical impedance has been demonstrated with laser scattering [1], electrochemical impedance spectroscopy(EIS) [2]-[6], buoyant mass spectroscopy [7], and for sorting using acoustic waves [8], and inertial flow [9]. However, cells can be highly heterogeneous which makes it extremely challenging to distinguish among multiple classes of cells with single a physical parameter [10]. On the other hand, fluorescent or magnetic labels added to the cell samples, based on highly specific antigen-antibody interactions, allows remarkable specificity that is critical for isolation and identification of rare cells [11]-[18]. The use of fluorescent molecules in the traditional FC requires elaborate sample preparation such as lysing of red blood cells (RBCs) to prevent autofluorescence from RBCS, and interfering with the measurement [19]. On the other hand, the non-magnetic nature of biological cells allow detection of magnetically labeled cells in whole blood samples without the need for RBCs lysis [20].

The core component of the MFC is the magnetic sensor that converts the external magnetic field variation into a measurable electrical signal, such as resistance, voltage or impedance [21]. A majority of the prior works on high sensitivity magnetic sensing have based on utilizing giant magneto-resistance (GMR) [22]-[26] or magneto-impedance GMI [27], [28], and Hall effect [29], [30]. This is summarized in Fig. 1. As magnetic particles (MPs) pass over the GMR spin-valve sensor, their fringe fields flip the magnetization direction of the free layer, thereby changing the DC resistance of the sensor. For GMI sensors, the fringe fields modify the magnetic permeability of the GMI sensor, thereby changing the skin depth of AC current inside the GMI, which can be readout as AC impedance. On the other hand, when MPs pass over a µHall sensor, the extra vertical magnetic field induced by the MPs will deflect extra charged carriers to the measurement terminals, thereby changing the output DC voltage (Fig. 1). All of these methods measure the strength of the extra magnetic field generated by the MPs, which is determined by the degree of magnetization of MPs. In order

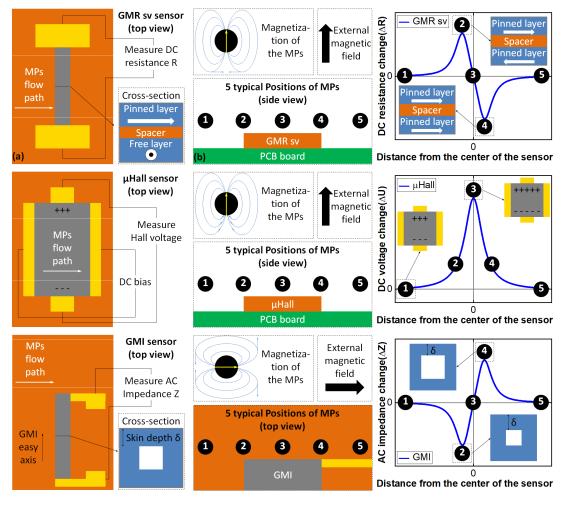


Fig. 1. Principle of operation of prior works in magnetic flow cytometers utilizing (a) Giant magneto resistance [22], (b) Hall effect [29], [30] and (c) giant magneto impedance [27], [28].

to extract measurable signal-to-noise ratio (SNR), the MPs are required to be in full spontaneous magnetization state. As an example, 2.8 μ m Dynabeads requires an approximately 140 mT external magnetic field, typically generated by an external magnet [31]. In addition to the requirements of external magnets, integration of GMR and GMI sensors are non-compatible with current CMOS fabrication processes.

To allow compatibility with CMOS based platforms, prior works have also demonstrated magnetic sensing based on spiral coils [19], [32]-[35]. In [19], for example, the cytometer measures the changes in magnetic flux density in the spiral coil as the MPs pass over the sensor. This does require an external magnet to magnetize the MPs and the singular sensor (on which the sample is focused to flow on) limits the achievable throughput. In [36], oscillator-based reactance sensors were employed for label-free single-cell analysis using dielectric spectroscopy at microwave frequencies. The samples had to be hydro-focused to one channel to allow careful positioning of the cells, limiting the achievable throughput. In [32]-[34], magnetic sensing was achieved with integrated LC-oscillators that respond to the magnetization change in the presence of MPs. Employing drift cancellation with reference oscillators, bio-molecular sensing with hybridization assays on chip was

demonstrated. In high throughput flow cytometry, however, the dynamic nature of the flows puts additional constraints on long term averaging, and requires co-design with microfluidics and specific signal processing techniques to reduce false positives and negatives below the rare cell concentrations.

In this paper, we present an approach for high throughput and high sensitivity assays with a 2D array of oscillator-based sensors integrated in a CMOS chip [37]. To allow the high throughput we do not focus the flow over a single sensor, but let the sample flow uninhibited through a microfluidic channel packaged on the surface of the chip allowing multisite detection. In addition, to achieve high specificity and reduce false positives/negatives compared to a single sensor, we exploit cross-correlation of the spectrogram of the neighboring pixel sensors. A flowing magnetically-tagged cell is expected to excite the sensor arrays in a time-delayed fashion (depending on flow velocity), and this is reflected in a peak in the multi-dimensional cross-correlation space at the respective time delay. Single sensor false positives/negatives can therefore be eliminated through a thresholding of the crosscorrelation output. The time delay in the cross-correlation output also effectively gives us a method to perform on-chip measurement of the flow rate without employing any external

velocity measurement sensor. In summary, moving from a single sensor to a sensor array can allow us a higher throughput eliminating the need for sample focusing, allow us trackign capabilities and higher sensitivity due to repeated time-delayed measurements over the spatial distribution of the sensors as the sample flows. We demonstrate the functionality of the array with magnetic bead flows and detection of lymphoma cancer cells with Maritriptase, and Anti-maritriptase monoclonal antibody with magnetic bead complex. The paper is organized as follows. Section II discusses the sensor architecture and the spectrogram cross-correlation approach for high specificity and high throughput. The sensor design is elaborated in Section III. Measurement results are presented in Section IV followed by Conclusions.

II. 2D MAGNETIC SENSOR ARRAY ARCHITECTURE WITH INTER-SITE SPECTROGRAM CROSS-CORRELATION

The performance metrics for a FC is highly application specific. However, a few guidelines can be formulated to guide the development of the sensor array.

- High specificity: Firstly, the sensor must allow low false detection rate. This is critically important to detect circulating tumor cells (CTCs) where 1-10 CTCs might be in circulation amidst 1-10 million white blood cells. Therefore, the false detection rate should be at least at sub-the ppm level.
- High throughput: CTCs need to be detected before cell lyses. Therefore, the flow rate should allow more than one million cells to be detected with high sensitivity and specificity within a few hours.
- Miniaturization and low cost: A scalable, integrated and low-cost diagnosis platform is key. Therefore, minimizing external components and full CMOS compatibility is preferred.

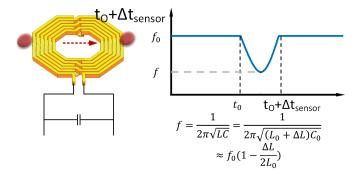


Fig. 2. Ideal dynamic response of oscillator spectrogram output due to the passage of magnetically-labeled cell.

The operation of a single sensor is easy to understand and illustrated in Fig. 4. When the magnetic particles labeled biosamples pass through the sensor core area, it introduces a dynamic change in the local magnetic field. The effective inductance of the LC-tank changes from L to L + Δ L, resulting in a change in oscillator frequency from f $_0$ to f $_0$ – Δ f \approx f $_0(1-\frac{\Delta L}{2\,L})$. This frequency change happens over a time period (Δt_{sensor}) as the particle passes over the sensor

area with a flow velocity. In reality, the sensor suffers from several non-idealities including high frequency noise and long term drifts that result in false detections. In addition, a single sensor limits the throughput needed to achieve in practical FCs.

The deployment of the array architecture and inter-site cross-correlation is precisely to address the limitations of sensitivity and throughput of a single sensor. The concept is illustrated in Fig. 3. The chip is interfaced with a microfluidic channel and the sample is flown over the surface of the chip. A thin PDMS surface separates the chip from the channel to allow the MPS be in the magnetic near-field of the sensors. As shown in the figure, the sensor array is arranged into a honeycomb lattice to prevent missing samples. Now, consider a single MP passing over an array of oscillator sensors sequentially (OSC01, OSC08, OSC14, OSC21, OSC28, OSC36, OSC43), and locally disturbing the magnetic fields. An example spectrogram of the sensor array is shown in the figure for illustrative purposes. The flowing MP creates a spike down in the spectrogram corresponding to oscillator (OSC01) at time T_1 . After time Δt , as the MP passes over the next sensor (OSC08), it creates a similar downward spike in the corresponding sensor output as expected. This continues sequentially with other sensors as well with the final spike at T₆ in OSC36. However, there can be false spikes in sensors and missing detection. As an example, OSC01, OSC14 and OSC28 display false positives, while OSC14 completely misses the detection (false negative). In addition, each sensor might display a long term drift, which can be correlated across the chip, along with a reference sensor. Here, by false positive, we imply if a sensor responds positively even when no magnetically labeled cells have passed over it. Loose magnetic beads floating in the sample can also lead to false positives, and this needs to be taken care of during sample preparation.

To overcome the sensitivity and specificity challenges of a single sensor, we carefully look at all the spectograms together and provide an intuitive insight into the array processing. A MP flowing with the flow velocity of v_{flow} will excite two neighboring sensors after a time difference of $\Delta t \approx \Delta d/v_{flow}$, where Δd is the distance between the two sensors as shown in the zoomed version in Fig. 3. Therefore, a genuine response of a passing MP in a single sensor will sequentially excite the neighboring sensors with a time difference of Δt . On the other hand, a false positive response in a sensor will not generate the sequential responses in the neighboring sensors, and therefore, can be disregarded, as shown in the figure. In a similar fashion, a false negative can also be disregarded, if that is the only missing sensor response admits other sequential responses, as elaborated in Fig. 3. Therefore, intuitively, through crosscorrelation of the sensor spectrogram, only genuine responses can be extracted out, while false positives and false negatives can be filtered.

To quantify this process, we represent the spectrogram outputs of the ith sensor as $s_{freq,i}(t)$, where i=1,...,N. The multi-dimensional cross-correlation of the spectrogram outputs can be represented as $\mathbb{P}(s_{freq,1}(t_1),s_{freq,2}(t_2),...s_{freq,N}(t_N))$. In the ideal

negative frequencies.

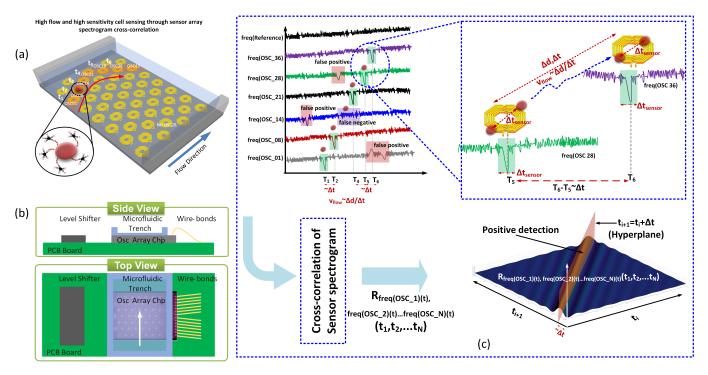


Fig. 3. High-throughput magnetic flow cytometer in CMOS with 2D array sensor processing (without focusing to a single sensor) and reduction of false positives/negatives with inter-site cross-correlation approach. (a) Illustration of a flow cell exciting sequentially an array of sensors in the 2D array chip. (b) Microfluidic packaging of the CMOS cytometer as the sample flows through a trench on the CMOS chip with a thin PDMS substrate separating the sample from the chip. (c) The spectrogram outputs of the sensors demonstrating expected downward frequency shifts as the magnetically tagged cell passes over the corresponding sensor $(T_1, T_2, T_4, T_5, T_6)$, The example also shows illustrations of false positives, false negatives, high frequency oscillator noise and long term drifts. (d) As the spectrogram outputs are processed through a cross-correlator, the ideal output will demonstrate a peak at the hyperplane $t_{N-1} + \Delta t = t_{N-2} + 2\Delta t = ... = t_1 + (N-1)\Delta t$, where Δt is the delay between the sensor outputs as the cell moves from one sensor to the next. The multi-dimensional output when projected on the 2D plane of (t_1, t_{1+1}) , it will peak at $t_{1+1} = t_1 + \Delta t$.

Challenges of high sensitivity detection with a single noisy sensor

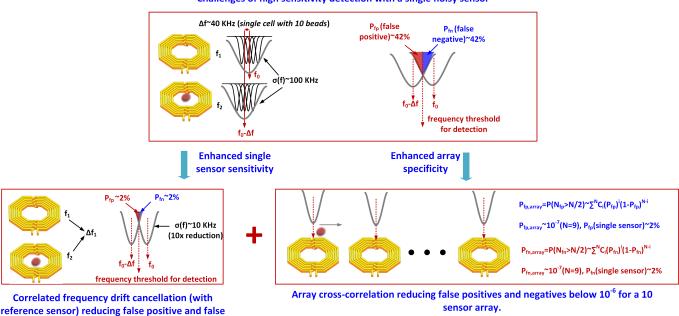


Fig. 4. Reduction of false and missing detection with 1) reduction of frequency variation through suppression of correlated drifts with a reference sensor (or inter-site suppression through dual frequency excitation) and 2) spectrogram cross-correlation and majority voting.

case, where a flowing MP excites a series of frequency Δt , $\mathbb{P}(s_{freq,1}(t_1), s_{freq,2}(t_2), ...s_{freq,N}(t_N))$ will spike in pulses in the sensors sequentially with a time delay of the hyperplane $t_N = t_{N-1} + \Delta t = t_{N-2} + 2\Delta t = ... = 1$

 $t_1 + (N-1)\Delta t$. As illustrative purpose, when projected across the two dimension (t_i,t_{i+1}) , the cross-correlation will peak at the hyperplane $t_{i+1} = t_i + \Delta t$, as shown in Fig. 3. Expectedly, the other spectrogram responses representing false positives and negatives will appear as small perturbations in the cross-correlation graph outside the plane, and therefore, needs to be neglected.

Evidently, the performance in array specificity through inter-site cross-correlation and rejection of false detections will improve with larger arrays. The principle is that larger arrays allow us to spatially sample the flowing array of MPs, observing more independent spectral outputs, thereby enabling further suppression of false responses. The sensor array also enables us to suppress low-frequency drifts of the sensors exploiting their correlation across the chip. This is illustrated in Fig. 4. As we will show in measurements later, the standard deviation of the frequency of the oscillators when measured over a 50-second timeframe shows a drift range of approximately 100 kHz. This fundamentally determines the sensitivity of a single sensor, as the frequency change due to a cell attached with ten 2.8 μ m beads is approximately $\Delta f =$ 40 kHz. A decision boundary of a deviation of 20 kHz at the center, as illustrated in the figure, will result in false positives (P_{fp}) and negatives (P_{fn}) to be around an unacceptable range of 42%.

To overcome low-frequency drifts, we first use a reference oscillator to suppress correlated drifts [34]. Doing so, as we will demonstrate in measurements, squeezes the frequency drift standard deviation by an order of magnitude to approximately 10 kHz. This exponentially enhances the sensitivity by reducing the overlap area beyond the frequency threshold, and the false positive/negatives reduces to 2%. While this is an impressive reduction, this comes nowhere close to detecting rare cells or CTCs that requires specificity in the order of 1 parts per million. The inter-site cross correlation then utilizes the outputs of the drift-suppressed sensors to process them into a highly specific sensory output. The decision boundary in the spectrogram cross-correlation output sets the limits of achievability of false positives and false negatives. Consider false positive of a single sensor being P_{fp} ≈ 2%. Setting the cross-correlation threshold that is crossed only when all the N-sensors demonstrate a positive response reduces the false positive in the array sensing to $(P_{fp,array} = P^N)$. For N=8, the chances of false positives reduces near \$\frac{1}{2}ero $(P_{fp,array} \approx 2 \times 10^{-14})$. However, this comes at a cost of false negatives, since we discard all responses until all the sensors have responded to the MP. The false negative stays at a single sensor level of P_{fn} = 2%. Through a majority voting, both false positives and negatives can be reduced to the acceptable levels $P_{fp,array} \approx P_{N=N/2+1} \times C_i (P_{fp})^i (1 - P_{fp})^{N-i}$ and $P_{fn,array} \approx P_{N=N/2+1} N C_i (P_{fn})^i (1 - P_{fn})^{N-i}$. For N=8, $P_{fp} \approx 2\%$, $P_{fn} \approx 2\%$, $P_{fp,array} = P_{fn,array} \approx 1.6 \times 10_{-7}$. This is illustrated in Table I.

III. SENSOR ARCHITECTURE, CIRCUITS AND DESIGN CONSIDERATIONS

In this section, we will discuss multiple drift cancellation mechanisms to reduce the standard deviation of the frequency

TABLE I

TABLE SHOWS THE CORRESPONDING FALSE NEGATIVE RATE AND FALSE
POSITIVE RATE V.S. THE NUMBER OF RESPONSE OF 9 SENSORS THAT NEED
TO BE POSITIVE FOR POSITIVE OUTCOME

Number of	False Negative Rate of 9	False Positive Rate of 9		
Response	Sensors	Sensors		
= 9	1.66 × 10 ⁻¹	5.12 × 10 ⁻¹⁶		
≥8	1.31 × 10 ⁻²	2.26 × 10 ⁻¹³		
≥7	6.13 × 10 ⁻⁴	4.45 × 10 ⁻¹¹		
≥6	1.86 × 10 ⁻⁵	5.10 × 10 ⁻⁹		
≥5	3.78 × 10 ⁻⁷	3.78 × 10 ⁻⁷		
≥4	5.10 × 10 ⁻⁹	1.86 × 10 ⁻⁵		
≥3	4.45 × 10 ⁻¹¹	6.13 × 10 ⁻⁴		
≥2	2.26 × 10 ⁻¹³	1.31 × 10 ⁻²		
≥1	5.12 × 10 ⁻¹⁶	1.66 × 10 ⁻¹		

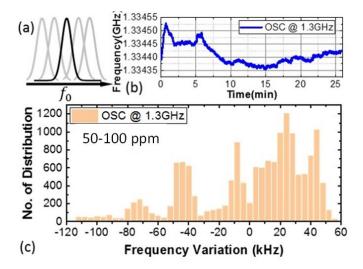


Fig. 5. Measured sensor oscillator frequency with time. (a) Representation of spectral drift. (b) Measured oscillator frequency output measured over 26 minutes of one of the sensor oscillators set at a frequency of 1.3 GHz. (c) Histogram of the frequency output showing a frequency variation range of 60-120 kHz (\approx 50-100 ppm) when measured over a 50 second time period.

uncertainly, as illustrated earlier in Fig. 4. We will also discuss design of the single oscillator for enhance sensitivity and the 2D sensor architecture.

A. Drift Cancellation Mechanisms

We employ two separate methods for drift cancellation in the sensor array, one that uses a reference sensor and one that does not.

1) Drift cancellation with a reference sensor: As discussed previously, we utilize correlated drifts with a reference oscillator (shielded from the MPs) to cancel long term drifts [38]. It is expected that low frequency variations, such as that due to temperature changes, will have correlated drifts. Assuming a flow velocity of 1mm/s and a sensor size of 200 μ m, a MP will pass through the sensor in 0.2 seconds. Therefore, close in phase noise and a low frequency drift above 50 Hz is important to consider. We will discuss the sensor design and the chip later, but Fig. 5 shows the measured frequency of oscillation of the implemented on-chip oscillator sensor (at 1.3 GHz) over a time period of 50 seconds. While the measured

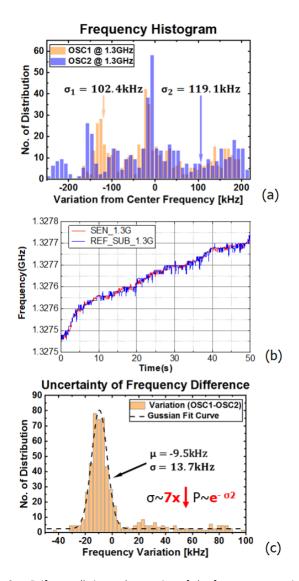


Fig. 6. Drift cancellation and squeezing of the frequency uncertainty in measurement with a reference sensor on-chip. (a) Frequency histogram of a sensor and a reference oscillator measured over 50 seconds showing standard deviations of the frequency variation of 102.4 kHz and 119.1 kHz respectively. (b) Measured spectral peaks of the sensor and reference oscillator showing highly correlated low-frequency drifts. (c) Reduction in the frequency uncertainty after suppression of correlated drifts. The standard deviation $\sigma(f)$ reduces by almost $7\times$, and the probability of false positives reduces exponentially.

timeframe is much longer than the passage of a MP over a sensor, the measurement gives us an estimate of the standard deviation of the frequency when measured for substantially long time periods. As shown in the measurement, the $\sigma(f)$ of a single sensor can vary between 60-110 kHz (50-100 ppm) depending on the length of the measurement time.

Fig. 6 shows the measurement results with a sensor oscillator and a reference oscillator demonstrating their variations, and correlation. As can be seen in Fig. 6(a), $\sigma(f)$ of both reference and sensor oscillator are reasonably close to being 102.4 and 119.1 kHz. Fig. 6(b) demonstrates their measured frequency of oscillation up to 50 seconds, when the frequency of oscillations are normalized to the same value at t=0. As expected, the drifts are highly correlated. When their

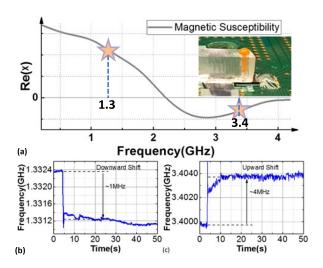


Fig. 7. Drift cancellation utilizing frequency dependence of the magnetic susceptibility of the superparamagnetic beads. (a) Magnetic susceptibility of the super-paramagnetic beads demonstrating $\chi \geq 0$ below 2.2 GHz and $\chi \leq 0$ between 2.2 and 4 GHz. (b) Measured sensor response to the beads showing a downward shift for sensors oscillating at 1.3 GHz and an increase in frequency for sensors operating at 3.4 GHz.

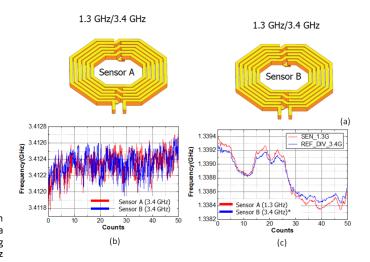


Fig. 8. Dual-frequency oscillatory sensors. (a) Two sensor sites with two independent oscillation frequencies at each sire. (b) Measured correlation of the drifts for reference and sensor oscillating at 3.4 GHz (c) High correlation of low-frequency drift is also observed when sensor is set at 1.3 GHz and reference is set at 3.4 GHz. Here, the reference sensor at 3.4 GHz is normalized to reflect the correlated drifts across the two frequencies.

correlated drifts are suppressed, the measured variation of their frequency difference narrows by a approximately $7\times$ to $\sigma(f)\approx 13.7 kHz$. This leads to an exponential reduction in the false positives and negatives, as elaborated in Section II, as $P_{f\,p,f\,n}$ 2 $e^{-\sigma^2}$. The non-zero mean implies that one oscillator drifts with a higher bias than the other, potentially due to a temperature gradient on the chip. However, this does not affect the measurement uncertainty range.

2) Drift Cancellation with Dual-frequency Design: In addition to drift suppression with a separate reference sensor, we also implement inter-sensor drift compensation with a dual-frequency sensor design that utilizes the frequency dependence of the magnetic susceptibility of the biomarker [34]. The two

methods for drift cancellation allow us flexibility in designing system packaging and microfluidics and utilize one or the other, as the application demands. For example, with certain packaging it may not be possible to have the reference sensor shielded from the sample. In such cases, the inter-sensor drift cancellation will be useful.

Here, we use superparamagnetic beads as the biomarker called Dynabeads M-280 (Bangslabs PMC3HP) [31]. Superparamagnetic beads are made of polymer sphere that embeds nanometer-scale ferromagnetic particles, and their effective magnetic susceptibility is much larger than paramagnetic beads around zero DC magnetic field bias. In addition, the magnetic susceptibility of superparamagnetic beads has a frequency dependent response which is related to Neel relaxation time, as shown in Fig. 7(a) [39].

As can be seen from the figure, below 2GHz, the beads demonstrate a positive susceptibility ($\chi \geq 0$), as expected from a superparamagnetic bead. In this frequency range, a MP over a sensor leads to reduction in frequency of oscillation. However, as the oscillation time-period approaches the Neel relaxation time-period, the magnetic moments within the bead develops a lag with respect to the external oscillating field leading to a reduction of the susceptibility till it reaches value of zero at 2.2 GHz. When exited at this frequency, the beads essentially behaves as non-magnetic. Beyond 2.2 GHz, the susceptibility turns negative ($\chi \leq 0$). Utilizing this frequencydependent effect, one can realize dual-frequency oscillators that switches between two frequencies in these two ranges (eg. 1.3 GHz and 3.4 GHz chosen in this design). In the presence of a MP, if the oscillator is switched rapidly between these two frequency of oscillations, the output spectrum will experience spectral shifts of $-\Delta f_1$ and Δf_2 , while sharing the common drift. This allows a single sensor to suppress the long-term drift, through rapid switching between these two states.

The measured results of drift correlation at 3.4 GHz between a sensor and a reference oscillator is shown in Fig. 8. The dual frequency design not only allows us to suppress drift within a single sensor, it also allows the same with a reference sensor, with the latter operating at the higher frequency (3.4 GHz), while the sensor operates at the lower range (1.3 GHz). The drifts in the oscillation frequencies of the two sites are also highly correlated as shown in Fig. 8(b) and (c). This flexibility allows us to operate the reference at a different frequency than the sensor, thereby avoiding any spurious coupling to the sensor measurements and reducing sensitivity.

B. Dual-frequency Oscillator Design

The circuit schematic of the dual-frequency oscillator is shown in Fig. 9. The frequency is chosen to be 1.3 GHz and 3.4 GHz to utilize the positive and negative susceptibilities of the MP (Fig. 7), while allowing a single inductor to serve in the oscillator tank. An inductor of value 3.85 nH is realized with a 164.5 μm diameter spiral geometry. The inductor diameter, trace widths and spacing were optimized simultaneously for quality factor (\approx 10) and increasing sensor area. A switchable capacitor bank of 3.2 pF capacitor is introduced into the oscillator to switch between the 1.3 and 3.4 GHz oscillation

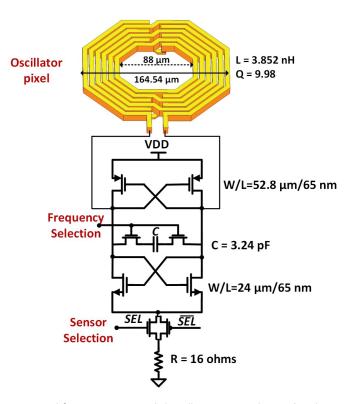


Fig. 9. Dual-frequency cross coupled oscillator circuit with a single inductor optimized for quality factor and enhance sensing area and a switchable capacitor bank.

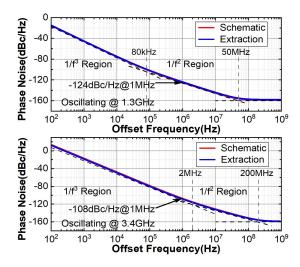


Fig. 10. Simulated phase noise for the dual-frequency oscillator at 1.3GHz and 3.4GHz.

states. The simulated phase noise is for the two oscillation frequencies are -124dBc/Hz and -108dBc/Hz at 1 MHz offset. The reduction in phase noise at the higher frequencies is due to the switch losses of the capacitor bank.

In the presence of MP, the oscillator experiences a frequency shift of Δf , and the SNR can be defined as

$$SNR = \frac{\frac{\Delta f}{f_0}}{\sigma_{\frac{\Delta f}{f_0}}} \stackrel{?}{=} \frac{\frac{\Delta L}{L_0}}{\sigma_{\frac{\Delta f}{f_0}}}$$
(1)

where Δf is the sensor response due to an effective change

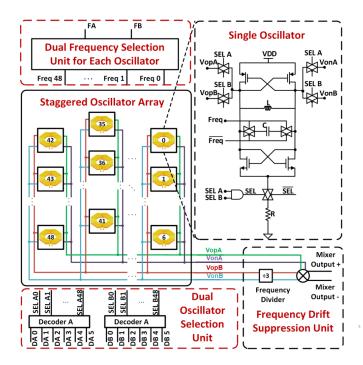


Fig. 11. The 2D sensor array architecture showing the honeycomb staggered sensor positions to capture all flowing MPs, dual-frequency selection unit, dual-oscillator selection unit and frequency drift suppression unit.

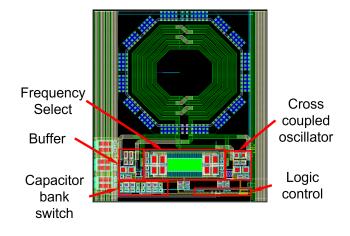


Fig. 12. The layout of a single sensor including the cross-coupled oscillator, buffer, the logic control unit, the frequency selection unit and the capacitor bank switch for frequency control.

of inductance (ΔL), and $\sigma_{\frac{\Delta f}{f_0}}$ represents the standard deviation due to noise and drift. The sensor noise floor $\sigma_{\frac{\Delta f}{f_0}}$ can be evaluated from the phase noise and is a function of the measurement time T_c . While longer measurement times can overcome the white noise portion of the phase noise, the contributions of $1/f^2$ and the $1/f^3$ due to integration during the measurement window T_c can be evaluated as [38]

$$\sigma_{\frac{\Delta f}{f_0}}^2 = \frac{(\Delta f)^2}{(f_0)^2} = \frac{(\Delta T)^2}{(T_c)^2} = \sigma_{\frac{\Delta T}{T_c}}^2$$
 (2)

$$\sigma_{\frac{\Delta f}{f_0}}^2 = \frac{Z}{\pi^2 f_0^2 T_c^2} \qquad S_{\phi}(f) \cdot \sin^2(\pi f T_c) df \qquad (3)$$

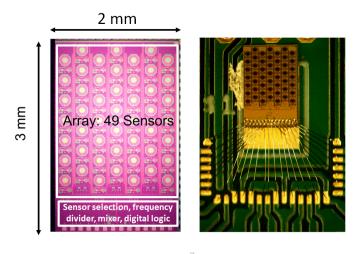


Fig. 13. Chip micrograph and wirebonding to interface with the microfluidics.

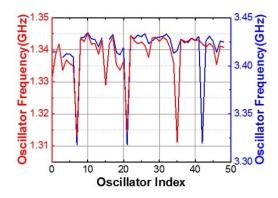


Fig. 14. Measured oscillation frequency of the 49 sensors set at 1.3 GHz and 3.4 GHz showing a sensor-to-sensor variation of 30 MHz and 100 MHz respectively at the two states.

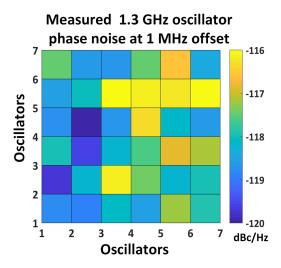
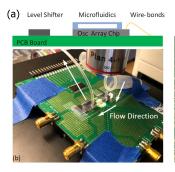
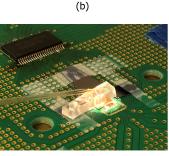


Fig. 15. Measured phase noise measured at 1 MHz offset for the 49 sensors set at 1.3 GHz.

This formulation allow us to estimate the effective SNR due to a change of inductance $\Delta\,L$ in presence of magnetic particles.





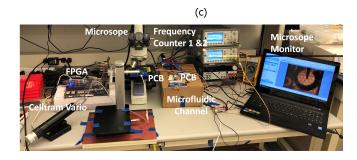
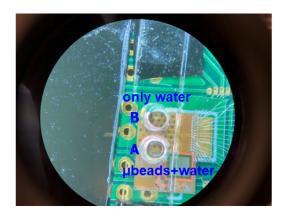


Fig. 16. Microfludic interface in our setup: (a) Side view of the integration of the CMOS and microfluidic channel; (b) Close-up view of the CMOS+fluidics set up. (c) Entire experimental set-up aided by real-time microscope imaging for ground truth showing velocities betwee 0.1-1 mm/s.

C. CMOS Microfluidic Integration and Sample Measurements

Fig. 2 shows picture of the testing platform and the packaging of the chip with the microfluidic channel. To ensure that the magnetic particles stay close to the sensor surface, the bottom PDMS substrate of the microfluidic channel is fabricated to be 30 μm thin. The microscope takes real-time images to allow for ground truth validation for cytometer measurements.



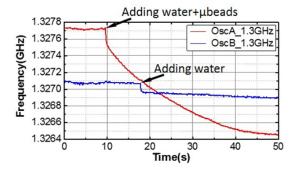


Fig. 17. Measured response of the sensor and reference oscillator when measured with plain water and water+super-paramagnetic beads demonstrating a clear downward frequency shift of the sensor frequency due to the presence of the magnetic beads.

 $\sigma_{\frac{\Delta f}{f_0}}^2$ is the frequency measurement uncertainty, $S_{\phi}(f)$ is the phase noise profile, T_c is total measurement time determined by the amount of time the cell tagged with MPs remain in the sensor area ($\Delta t_{sensor} \approx 0.2s$ for v_{flow} 21 mm/s) (Fig. 3). Based on the simulation of the phase noise in Fig. 10, $\sigma(f) \approx 10$ kHz. However, this does not take into consideration

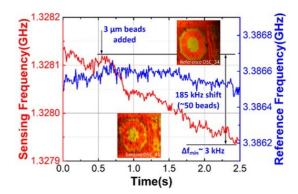


Fig. 18. Measurement of sensor sensitivity with respect to magnetic beads demonstrating approximately $\Delta\,f\,$ of 3.7 kHz in the presence of one bead when the sensor is set at 1.3 GHz state.

low-frequency drifts due to temperature shifts, accounting for which increases $\sigma(f)$ to approximately 100-120 kHz that can be reduced further down to 10-15 kHz after suppression of correlated drift with a reference sensor, as shown in Fig. 4 and Fig. 6.

On the other hand, for a single 2.8 μ m superparamagnetic Dynabeads ($\chi_{ext} \approx 0.11$ at 1.3GHz), a sensing inductor L \approx 3.85 nH, and h \approx 30 μ m between the sensor and the MP, the simulated frequency shift Δf is approximately 4kHz in vacuum ($\Delta f \approx 3$ kHz in PBS). Therefore, while a single bead cannot be detected, a cell tagged with 10 beads results in a f=40 kHz (Fig. 4), that can be detected with a SNR \approx 4. While all the beads tagged on the cell will not be near the bottom of the channel, this provides as a first order calculation of the expected frequency change. The sensitivity of the sensor decreases with distance as the near field falls as $1/r^3$ and $1/r^4$ from the surface [40].

D. Architecture of the Sensing Array Chip

The chip has four logical sections, shown in Fig. 11. Array section has 49 cross-coupled oscillators. Frequency control section can set the oscillating frequency of each individual sensor at either 1.3GHz or 3.4GHz. The decoder section helps us select one or two pixels work at each probing period. Mixer section allow us canceling the background frequency drift by using two pixels. With this architecture, we can select any one

or two pixels and set their oscillating frequency individually at 1.3 or 3.4 GHz.

The 2D sensor array has the 49 oscillators laid out in a honeycomb fashion to avoid missing any passing MP (Fig. 11). The oscillator outputs are buffered to allow to two simultaneous sensor readout at independently selected frequency states (1.3 or 3.4 GHz). The architecture includes another path where the output of the oscillators (sensor and reference) are directly mixed together to suppress correlated noise and then read out. If the reference and the sensor are set in a state of two different frequencies, then the higher frequency output (3.4 GHz) is frequency divided by 3 and then mixed with the other output. The architecture and layout of a single core is shown in Fig. 11 and Fig. 12 respectively. The sensors are enough such that spurious coupling through substrate or through air does not impact an frequency pulling.

IV. MEASUREMENT RESULTS

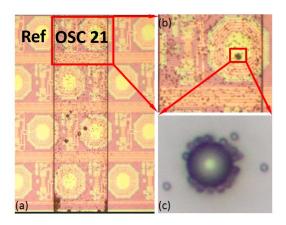


Fig. 19. Flowing test and magnetic cell model with a 16 μ m polymer sphere-shaped particles (Spherotech TPX-150-5 Biotin Coated Polystyrene), each tagged with 10-20 with magnetic beads. (a) Microscopic image of the single pixel flowing test. (b) Zoomed image of the sensing pixel. (c) Zoomed image of the cell model.

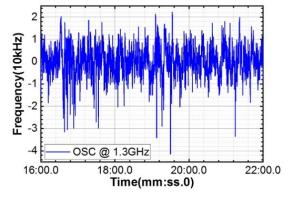


Fig. 20. Dynamic response of the single pixel (after drift suppression) in the flow test

The chip was fabricated in a 65-nm CMOS process. The chip measures 2 mm \times 3 mm as shown in Fig. 13. As can be seen, the wire-bonding is done on one side to place the microfluidic channel on top of the CMOS chip.

A. Sensor Characterization

Fig. 14 shows the measured oscillation frequencies of all the sensors when set at 1.3 and 3.4 GHz. As can be seen, the total frequency differences across the sensor operation frequency (due to process variations and mismatches) at the two frequency states of 1.3 and 3.4 GHz are around 30 MHz and 100 MHz respectively. The measured phase noise of the sensors at their 1.3 GHz oscillation state is shown in Fig. 15. The average phase noise at 1 MHz offset is around -120 dBc/Hz with approximately 4 dB difference across the sensors (Fig. 15). The difference can arise due to process variations, mismatches and the DC voltage distribution difference across the chip.

We perform preliminary measurements with sensor verification with magnetic beads as shown in Fig. 17. In this test, we focus on two sensors (OscA and OscB in Fig. 17) in the same chip operating in two separate chambers. We add water plus high concentration 3µm super parametric magnetic beads (ProMag 3 HP) to the OscA and only water to the OscB. As can be seen from the figure, there is a clear frequency difference between the two sensors due to the addition of the samples that is induced by the magnetic beads.

To quantify the sensitivity, we characterize the sensor response to 3 μ m super parametric magnetic beads with respect to a reference sensor. Upon addition of the solution, the measured sensor and reference response show the gradual frequency drift as all the beads settle on the surface over a period of 2 seconds. The measured frequency shift of 185 kHz for around 50 such beads leads to a frequency change of the expected shift of 3.7 kHz for one bead as shown in Fig. 18, very close to the simulated value.

B. Flowing Test - Dynamic Measurements

We perform experiments with flow samples with cells tagged with magnetic particles. First, to quantify the frequency shift, we perform experiments with 16 µm polymer sphere-shaped particles (Spherotech TPX-150-5 Biotin Coated Polystyrene), each tagged with 10-20 with magnetic beads. This allows us to emulate flow of lymphoma cancer cells (with usual diameter in the range of 15-20 µm). Here, we use 2.8 µm superparamagnetic beads (M-280 streptavidin coated Dynabeads) as the magnetic label. First, we conduct a flowing test on a single pixel. In this experiment, oscillator 23 operates as the sensing pixel and the oscillator 21 operates as the reference pixel, shown in Fig. 19(a) and (b). The zoom picture of the sphere tagged with multiple magnetic cells is shown in Fig. 19(c). As the sample flows over the sensor, the dynamic spectrogram signal output (after drift cancellation) for a 6 minute period is shown in Fig. 20.

Fig. 21 shows the dynamic responses of single, double, triple and quadra cell models. The inset picture shows the passing of the cell model near the center of the sensor. As can be seen, each sensor response lasts for an estimated time of Δt_{sensor} $\ensuremath{\mbox{2}}$ 0.2s, as estimated in previous discussion. The exact nature of the curve (including magnitude and time span) depends on the nature fo the cluster of the MPs, and their position relative to the sensing area. The magnitude of the

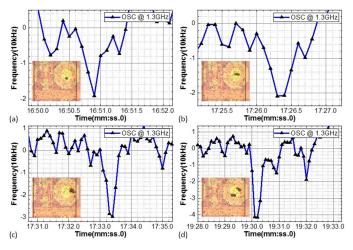


Fig. 21. Measured singe pixel response in the flow test to difference cell models representing varying sizes of the magnetic bead clusters. (a) Measured response of the single cell model, (b) double cell models, (c) triple cell model, and (d) quadra cell models.

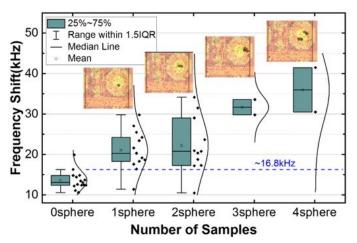


Fig. 22. Measured distributions of the sensing pixel frequency shift response corresponding to varying sizes of the magnetic bead clusters representing different sized cell models.

frequency shift increases with larger clusters and represented in the distribution in Fig. 22. While there is somewhat of a larger variation (due to low sample points), there is a clear shift in the average frequency shift as the cluster sizes increase from single to multi-cell models.

We measure the sensor response with cultured lympohoma cancer cells that are tagged with the 2.8 µm superparamagnetic Dynabeads (M-280) with an antimatriptase monoclonal antibody (M69) and an IgG-magnetic bead assembly (Fig. 23). While the single sensor response shows the ability to detect cells, the cross-correlation across multiple sensor sites is key to enabling cell tracking and reducing false positives/negatives. The measured sensor response when a cancer cell is placed with a celltram vario (Eppendorf) is shown in Fig. 23(b). We show real-time cell tracking ability across multiple sensors by allowing the cells to pass over the chip sensor area through microfluidic channels controlled with the celltram vario. An example is illustrated in Fig. 23(c),

as the cell cluster passes through Sensor1 to Sensor2 and back to Sensor 1 sequentially, without going to Sensor 3. As expected, we see a downward frequency shift corresponding to the passage of the cell cluster over the particular sensor at the time periods $t_1, t_2, t-3, t_4$ without affecting the response from Sensor 3. The two peaks that we see in the blue curve could have happened as we added the sample to the chip, and this might have caused some disturbance in the chip and the interconnects.

We demonstrate the effect of suppression of false positives and negatives through the example illustrated in Fig. 24. Here, the cell cluster passes through multiple neighboring sensors (OSC02, OSC16, OSC30 and OSC44). Fig. 24(a) shows four time delayed signals on four pixels when one cell passes over them. We see the expected reduction in frequency at the exact time when the cell passes over the array. From these delayed signals, we can calculate the velocity of the cell model passing over the sensors (2 0.486 mm/s). This is a perfect detection case, where there are no false or missing detections. Fig. 24(b) and (c) show the results of the experiment repeated multiple times demonstrating false negatives. We see two cases of false negatives in Fig. 24(b), the sensor (OSC16 misses detection), and in Fig. 24(c) (OSC 02 misses detection). However, through cross-correlation of the other sensor responses and setting the threshold appropriately as elaborated in Section II, one can exponentially reduce false positives or negatives. This example with four sensors demonstrated the key proof-of-concept of the multi-sensor array processing, which when expanded to the entire array (with more than 10 sensors) can allow allow highly sensitive and highly specific real-time 2D cell tracking, extraction of flow velocities, and multiple-site detections while maintaining very low false positives and negatives in a high throughput flow.

The comparisons with other state-of-the-art cyclometers are presented in Table II. As can be seen, this is the first CMOS-based magnetic flow cytometer with multi-sensor array, requiring no external magnets, and allowing sensor output correlation for high sensitivity and specificity.

V. CONCLUSION

In this paper, we demonstrate a high throughput magnetic flow cytometer realizes with a microfluidics-packaged CMOS chip with a 2D staggered oscillator based magnetic sensor array. To overcome the noise issues of singular sensors, we implement drift suppression through the dual-frequency design and a reference oscillator, one of which or both may be used depending on the application. More importantly, to enhance sensitivity to allow for rare cell detection, we perform cross-correlation of the sensor spectrogram outputs that peaks at a temporal hyerplane depending on the velocity flow. By setting the threshold for cross-correlation detection, the probability of false and missing detection can be reduced to ppM levels. We demonstrate the chip functionality including response magnetic beads, magnetically-tagged cells and multisite tracking and false/missing detection suppression with cultured lymphoma cancer cells.

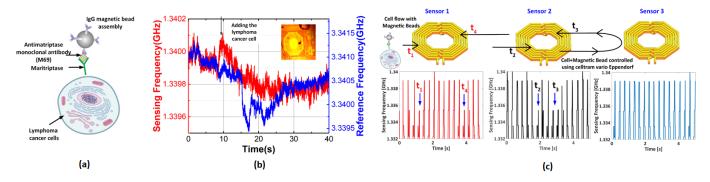


Fig. 23. Measured sensor with tagged lymphoma cancer cells. (a) Cultured lympohoma cancer cells that are tagged with the 2.8 μm super-paramagnetic Dynabeads (M-280) with an antimatriptase monoclonal antibody (M69) and an IgG-magnetic bead assembly. (b) Measured response when lymphoma cancer cell cluster with magnetic bead is added to the system. (c) Multi-site racking with sequential frequency perturbation as the cancer cell transits across the chip allowing real-time tracking and reduced false positive detections.

TABLE II
COMPARISON WITH PRIOR MINIATURIZED MAGNETIC FLOW CYTOMETERS (MFCs)

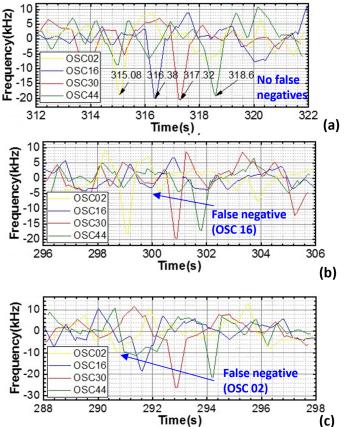
	[29]	[41]	[19]	[42]	[22]	This work
Sensing Principle	μHall Detector (PHEMT GaAs)	GMR Spin-valve	Spiral Transformer in 65nm CMOS	GMR Spin-valve	Multi-stripe GMR Spin-valve	LC Oscillator-based Frequency Shift in 65nm CMOS
Sensing Pixels	2×4	1×1	1×2	1×2	1×8	7×7
Operating Frequency	Baseband pulse	Baseband pulse	0.9/2.6GHz	Baseband pulse	Baseband pulse	1.3/3.4GHz
Readout Principle	Amplitude	Amplitude	Amplitude	Amplitude	Amplitude	Frequency Shift
Magnetic Field (mT)	500	100-170	10	100	60	None needed
Magnetic Labels	10-16 nm Self-synthesized Beads	200 nm nano- screenMAG/G- Biotin	0.8-1 μm Beads (OceanNanotech)	500 nm Bio-MasterBead Streptavidin	200 nm Bio-Adembeads Streptavitin	2.8 µm M-280 Streptavidin
Cell Model	MDA-MB-468 Cells	12 μm Polystyrene Beads	SKBR-3 Cells	SW480 Cells	10 μm Polystyrene Beads	Lymphoma cancer cells and 16 µm Polystyrene Beads
Flow Focusing Technique	Hydrodynamic Focusing & Chevron Pattern	Magnetophoretic & Mechanical	-	3D Hydrodynamic Focusing	Hydrodynamic focusing	No focusing needed for the 2D array
Sensing Medium	1×PBS	1×PBS	1×PBS	1×PBS	0.1×PBS	1×PBS
Signal Processing	Thresholding	Time of flight	Dual-frequency, thresholding & phase data	Time of flight	Matched Filtering, cross-correlation	Correlated noise suppression, dual frequencies, and inter-site cross-correlation (for false detection reduction)
SNR for Single Cell/Model	25 dB	>5 dB	11 dB	17 dB	>14 dB	21.5 dB
Measurement time	0.02 ms	40 ms	10 ms	2 1 ms	10nL/s	10 ms
Typical Accuracy	96%	N/A	>87%	N/A	95.26%	99.3%

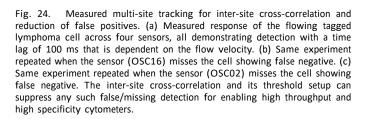
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In 2017, he was recipient of the Translational Medicine and Therapeutics Award by the American Society for Clinical Pharmacology and Therapeutics for his group's work in point of care diagnostic tools for assessing patient response to cancer therapies. He has received various awards as a Principal Investigator from the National Science Foundation, National Institutes of Health, DARPA, and the PhRMA foundation to support his research. He was awarded the National Science Foundation CAREER Award for young faculty in 2019 and the DARPA Young Faculty Award in 2020. In 2018, he cofounded RizLab Health, a startup company dedicated to enabling point-of-care hematology analysis.



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