



# Nano to macro-scale elastic and plastic characteristics of calcium metal and implications for rechargeable battery applications

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## ABSTRACT

Multivalent metals (Ca, Mg, Al, etc.) are promising for anodes of rechargeable batteries owing to their high theoretical capacity that stems from multiple electron transfer per redox center. Among these multivalent metals, calcium exhibits a low electrochemical potential of  $-2.87$  V (relative to the standard hydrogen electrode) and is the fifth most abundant element in the earth's crust. In addition to resolving electrochemical issues, prior to practical use it remains critical to fully understand calcium's mechanical properties to mitigate any potential degradation and failure mechanisms. To this end, we have conducted mechanical testing of Ca at the nano- and macro-scale through nanoindentation and bulk compression testing. Nanoindentation tests indicate an elastic modulus that ranges from  $25.2$  to  $21.7$  GPa and a hardness that ranges from  $0.88$  to  $0.46$  GPa as the indentation depth increases from  $0.25$  to  $10$   $\mu\text{m}$ . Bulk compression tests show a yield strength of  $107 \pm 4.6$  MPa (average  $\pm$  standard deviation). These tests demonstrate a minimal sensitivity of calcium's mechanical properties to strain rate or "size effects", which differs from previous studies of alkali metal anodes, likely stemming from Ca's relatively high melting point compared to the alkali metals. We conclude the manuscript by discussing the implications of these measured mechanical properties in the context of energy storage applications.

## 1. Introduction

Multivalent rechargeable battery systems have been gaining attention to meet the requirements of high energy and power density energy storage systems. Since each multivalent ion can combine with multiple electrons, batteries based on multivalent chemistries can achieve relatively high energy densities [1–7]. Furthermore, multivalent metals (Mg, Al, Ca, Zn) are abundant on earth and are less reactive than alkali metals in ambient air. Among them, calcium metal is promising for battery applications as it is the fifth most abundant element in the earth's crust and has a low standard reduction potential of  $-2.87$  V, which is far lower than that of Mg ( $-2.37$  V), Al ( $-1.68$  V), and Zn ( $-0.76$  V) [8–10]. Additionally, calcium metal anodes have theoretical capacities of  $1337$  mAh/g and  $2073$  mAh/cm<sup>3</sup>, which are several times larger than graphite-based lithium-ion batteries ( $372$  mAh/g and  $800$  mAh/cm<sup>3</sup>, respectively) [11–14]. Additionally, due to calcium's relatively high stiffness and hardness, calcium-based batteries may find applications as "structural batteries" [15]. Overall, multivalent Ca metal is highly attractive as a potential next-generation energy storage system due to its two-electron redox chemistry, large theoretical capacity, earth

abundance, and demonstrated reversible plating/stripping properties [12,16].

During electrochemical cycling, significant stresses can be generated in a battery electrode of varying signs (compression or tension) depending on the loading conditions [17,18]. For example, Zhang et al. reported that compressive stresses on the order of  $400$ – $500$  MPa during lithiation of a thin film  $\text{V}_2\text{O}_5$  cathode on a current collecting substrate [19]. Pharr et al. measured a compressive stress of up to  $1.2$  GPa upon lithiation of a silicon anode [18]. They reported that the lithiated silicon anode flows plastically after a concentration of  $\sim\text{Li}_{0.4}\text{Si}$ ; as such, during delithiation, large tensile stresses develop. In a separate study, Pharr et al. found that compressive stresses of  $800$ – $900$  MPa developed during lithiation of a germanium anode [20]. Likewise, in calcium-based batteries, compressive stresses have been observed during intercalation into tin [8,9,21]. Large stresses can readily produce nano/micro cracks, which can compromise the overall performance of the battery (e.g., capacity and cyclic performance). Moreover, during plating and stripping, calcium metal undergoes so-called "infinite volume change" [21], which may induce large stresses and plastic deformation. Calcium metal has also been reported to display a lesser propensity to form dendrites

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than that of lithium and sodium metal [22,23]. However, when calcium does form dendrites, they have been shown to exhibit higher yield strengths than many other metallic dendrites [24,25], e.g., as compared to lithium and sodium [12,26]. Given the relatively high strength of calcium, its dendrites may readily penetrate the (liquid or solid) electrolyte and eventually produce a short circuit in the battery. As such, prior to commercialization, we must gain a detailed understanding of the mechanical properties of calcium metal from the nano to bulk scales as to gain insight into preventing potential degradation mechanisms and safety hazards and increasing cycle life.

Obtaining a detailed knowledge of the mechanical properties of calcium metal in various geometries and under various loading conditions is critical in designing robust batteries. However, surprisingly little is known regarding the mechanical properties of calcium metal, particularly at small length scales [27–29]. Shaw et al. found that Ca metal has yield strength of  $\sim 50$ – $70$  MPa and an ultimate tensile strength of  $\sim 70$  MPa at room temperature and a yield strength of  $\sim 80$  MPa and an ultimate tensile strength of  $\sim 95$  MPa at 77 K [29]. However, these measurements are bulk measurements, and most electrochemical deposits of Ca exist at much smaller length scales, e.g., at the nanometer scale in dendritic deposits of Ca. As such, it is important to fully characterize the mechanical properties of Ca at the nanoscale as well. Likewise, it is critical to determine the sensitivity of Ca to loading at various strains rates to assess whether Ca will respond differently when subjected to various loading rates, i.e., different charging rates during electrochemical cycling.

In this paper, we report measurements of the mechanical properties of calcium at the nano, micro, and bulk scales at room temperature. Namely, we measured the nano-scale hardness and elastic modulus of Ca metal through nanoindentation, characterized the micro-scale hardness through micro-hardness testing, and gathered stress-strain data from bulk compression tests performed at different (engineering) strain rates. We conclude the manuscript by discussing the implications of these experimental measurements in the context of battery applications.

## 2. Materials and methods

### 2.1. Sample preparation

Calcium shot was purchased from Alfa Aesar with purity of 99% (product number: 10126). The condition of as-purchased Ca metal is unsuitable for mechanical testing due to its irregular shape. As such, the samples were carefully polished with sandpaper under mineral oil (Sonneborn PD-28) and were shaped into rectangular parallelepipeds with widths, lengths, and heights of approximately  $0.5\text{ cm} \times 0.5\text{ cm} \times 1\text{ cm}$  for bulk compression testing. The dimensions of each sample were measured with calipers, and an average from multiple measurements was taken as the representative dimension. For nanoindentation, Ca samples were embedded in epoxy and then ground and polished using a sequence of  $9\text{ }\mu\text{m}$ ,  $3\text{ }\mu\text{m}$ , and  $1\text{ }\mu\text{m}$  steps. To prevent undesired chemical reactions in air, the samples were periodically quenched in liquid nitrogen and polished while still cold. Once the polishing was complete, they were transferred to a DC sputter coater and a protective layer of gold, approximately  $10\text{ nm}$  in thickness, was deposited on the polished surface. To measure the grain size, the Ca sample was polished carefully under mineral oil, and the surface was imaged by optical microscope with polarized light (Olympus, DXS 500, RRID:SCR\_022202).

### 2.2. Bulk compression testing

For bulk compression tests, Ca samples were shaped into rectangular parallelepiped ( $\sim 0.5\text{ cm} \times 0.5\text{ cm} \times 1\text{ cm}$ ) by polishing under mineral oil, as described in the previous section. Likewise, specimens for nanoindentation testing had a height-to-width ratio of  $\sim 2.0$ . Then, the sample was loaded into the mechanical testing system (Instron 3367, 2530 static load cell  $\pm 10\text{ kN}$ ). Bulk compression tests were conducted

with mineral oil applied to the sample surface to mitigate the effects of chemical reactions with air and to prevent barreling by reducing friction between the sample and the compression platens. Three different strain rates of  $0.1$ ,  $0.01$ , and  $0.001\text{ 1/s}$  were used for compression testing, and the experiments were stopped when the strain reached  $\sim 30\%$ . We note that the strain rates imposed in bulk compression testing are engineering strain rates. The testing results were collected at  $50\text{ Hz}$ . Immediately after testing, no changes in color of the sample were observed (thus suggesting minimal surface contamination during testing), and we did not observe any significant effects of barreling.

### 2.3. Microhardness testing

The Ca samples were embedded in epoxy resin (Buehler, EpoxiCure 2) and carefully polished in mineral oil to prevent undesirable chemical reactions in air. After polishing, petroleum jelly was applied to the surface of the sample to further mitigate any potential effects from air exposure during the microhardness testing. Microhardness testing (Phase II, 900–390 Series) was performed with a Vickers tip at maximum load of  $1.96\text{ N}$ ,  $2.94\text{ N}$ ,  $4.9\text{ N}$ , and  $9.8\text{ N}$  with a dwell time of  $10\text{ s}$  at the maximum load. Optical microscope images were taken to measure the contact area of the indents. ImageJ (National Institutes of Health) software was used to estimate the projected contact area, and the presented microhardness ( $H$ ) was calculated as  $H = P/A_{\text{projected}}$ , where  $P$  is load and  $A_{\text{projected}}$  is the projected contact area (normal to the load). All microhardness tests were conducted in air at room temperature. Immediately after testing, no change in the color of the sample was observed, thus suggesting minimal surface contamination during testing.

### 2.4. Nanoindentation

Prior to nanoindentation testing, the Ca samples were thoroughly polished (as mentioned in Sample Preparation section above), and a  $10\text{ nm}$  protective layer of gold was deposited onto each sample to mitigate undesirable chemical reactions during the tests. Immediately thereafter, nanoindentation experiments (KLA iMicro nanoindentation system with InForce 1000) were carried out to measure the hardness,  $H$ , and elastic modulus,  $E$ , at room temperature. The continuous stiffness measurement mode (CSM) was used with a Berkovich tip for nanoindentation. Nanoindentation depths ranging from  $30\text{ nm}$  to  $10\text{ }\mu\text{m}$  were implemented at a constant  $\dot{p}/p = 0.05\text{ (1/s)}$ , and the elastic modulus and hardness were determined by the Oliver–Pharr method [30]. Frame stiffness and area function calibration was conducted with fused silica. The dynamic phase angles were corrected based on Hay and Herbert's model to eliminate contributions from the measurement system itself. The parameters for the indenter column – stiffness, damping, and mass – were provided by KLA [31,32]. According to previous studies, in case of CSM test mode, a high dynamic phase angle may occur from details of the dynamics of the actuator and/or plasticity error of the test specimen [33,34]. Large dynamic phase angles (of nominally,  $>10^\circ$ ) indicate the potential for error in the estimated stiffness — and as a result, we only present modulus and hardness measurements when the corresponding corrected dynamic phase angle is smaller than  $10^\circ$  [33].

## 3. Results

The shape of the as-received calcium metal was irregular and possesses significant surface roughness. To address this issue, the as-received calcium was carefully polished using sandpaper under oil to produce rectangular parallelepipeds with widths, lengths, and heights of approximately  $0.5\text{ cm} \times 0.5\text{ cm} \times 1\text{ cm}$ . Additional sample preparation and testing methods are provided in the Experimental Section. Optical imaging of polished Ca specimens provided an estimate of the grain size as approximately  $10$ – $40\text{ }\mu\text{m}$ .

**Table 1**  
Mechanical properties of Ca metal reported from various references.

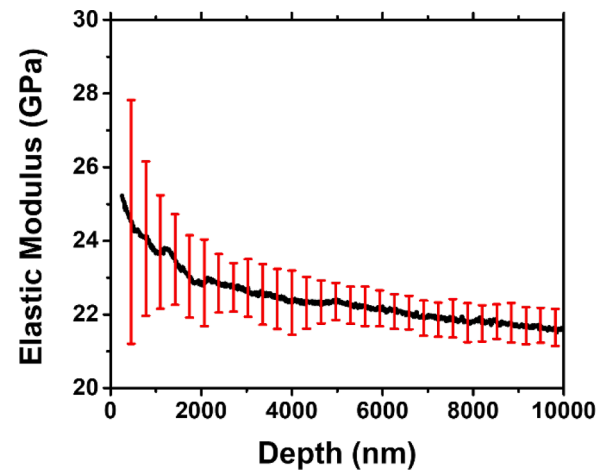
| References                          | Elastic modulus (GPa)              | Yield strength (MPa) | Temperature (K)          |
|-------------------------------------|------------------------------------|----------------------|--------------------------|
| Our data                            | $21.7 \pm 2.7$<br>$-25.2 \pm 0.43$ | $107 \pm 4.6$        | RT (room temperature)    |
| Shaw et al. [35]                    | –                                  | 48.7 & 81.2          | 295 & 77                 |
| Hampel et al. [36]                  | –                                  | 84.8                 | RT                       |
| Compare Metals (Online Source) [37] | 20                                 | –                    | Not reported (likely RT) |
| AZO Materials (Online Source) [38]  | 23.4                               | –                    | Not report (likely RT)   |

### 3.1. Elastic modulus

Fig. 1 shows the elastic modulus versus indentation depth measured from nanoindentation experiments. The average of seven tests are presented, and the error bars indicate one standard deviation from the mean. We note that nanoindentation of calcium metal was conducted within one hour after sample preparation. In the continuous stiffness measurement mode (CSM) of nanoindentation measurements, the dynamic phase angle refers to a phase lag between the applied oscillatory load and the resulting oscillatory displacement of the sample, which can provide insights into the viscoelastic (or viscoplastic) behavior of the material. In Figure S2, the measured and corrected dynamic phase angle are presented, and the measured dynamic phase angle is found to be slightly larger than the corrected dynamic phase angle at a given depth. This discrepancy arises because the raw measured dynamic phase angle has contributions from not only the test specimen but also from that of the measurement system itself. To remove artifacts from the test measurement system itself, we computed the dynamic phase angles based on Hay and Herbert's model. The corrected dynamic phase angle (Figure S2b) becomes constant (flattens out) as the indentation depth becomes larger than  $\sim 0.25 \mu\text{m}$ . Furthermore, the data accuracy of CSM mode relies on the various factors — i.e., instrument factors, such as the physics of the force actuator and displacement measurement, as well as plasticity errors of the test specimen. At the nanoindentation depths  $< 1 \mu\text{m}$ , the dynamic phase angles reported here as  $> 10^\circ$  are most likely related to the dynamics of the actuator [31–33]. Additionally, Merle et al. reported that, for materials with a high E/H ratio, a high dynamic phase angle ( $\sim 10^\circ$  or higher) may reflect so-called “plasticity errors” in the dynamic displacement oscillation when it is used for continuous stiffness measurements [34]. In this sense, we note that the corrected dynamic phase angle of Ca metal becomes less than  $10^\circ$  at depths larger than  $\sim 0.25 \mu\text{m}$ . Furthermore, given that a key factor that can induce plasticity error is related to the velocity in relation to the drive frequency (here 220 Hz) and oscillation, we also present the loading rate (dimensionally,  $\dot{p}/p$ ) and the dynamic displacement with respect to depth in Figure S3. For comparative purposes, we have also included data from literature in Table 1. In terms of the elastic modulus, reference 37 (Compare Metals) [37] reports 20 GPa and reference 38 (AZO Materials) [38] reports 23.4 GPa for the modulus of Ca metal.

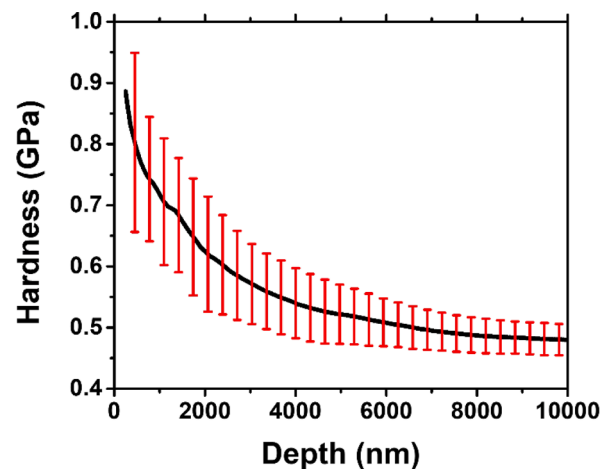
### 3.2. Nanoindentation hardness

Fig. 2 shows the nanoindentation hardness of Ca metal as a function of indentation depth. The plot shows an average of seven tests, and the error bars span one standard deviation from the mean. Individual indentation tests were implemented at various (random) positions on the sample surface, and each indentation was sufficiently spaced (much more than the diameter of the indents) to eliminate both its history dependency and any potential plastic zone effects from nearby indentations.



**Fig. 1.** Nanoindentation test results of the elastic modulus as a function of depth in Ca metal at room temperature. The tests were performed with a constant  $\dot{p}/p = 0.05$  (1/s) to a depth of  $10 \mu\text{m}$ . The data (black line) represents the average of seven individual indents, and the error bars (in red) indicate one standard deviation from the average. We note that the region where the corrected dynamic phase angle is larger than  $10^\circ$  represents one in which large “plasticity errors” occurred; as such, we have omitted data below  $\sim 0.25 \mu\text{m}$  in reporting the elastic modulus. The individual nanoindentation curves can be found in the Supporting Information Figure S1(a).

A slight decrease in hardness with depth is found up to a depth of  $\sim 5 \mu\text{m}$ , and the hardness remains nearly constant at larger depths. Previous studies on lithium and sodium metal report significant decreases in hardness with nanoindentation depth by more than a factor of  $\sim 6$  for lithium and more than a factor of  $\sim 12$  for sodium metal across a similar depth range as reported here [39,40]. Here, the nanoindentation hardness of calcium decreases from approximately 0.88 GPa to 0.48 GPa in depths spanning from 250 nm to  $10 \mu\text{m}$ . We should note that a 10-nm layer of gold was deposited on these samples prior to nanoindentation to help mitigate potential effects of surface contamination (e.g., due to chemical reactions with the surrounding environment) affecting our measured mechanical properties during nanoindentation.



**Fig. 2.** The measured nanoindentation hardness of calcium metal at room temperature. The data (black line) represents the average of seven individual indents, and the error bars (in red) indicate one standard deviation from the average. We note that the region where the dynamic corrected phase angle is larger than  $10^\circ$  represents one in which large “plasticity errors” occurred; as such, we have omitted data below  $\sim 0.25 \mu\text{m}$  in reporting the hardness here. The individual nanoindentation curves can be found in the Supporting Information Figure S1(b). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Despite this protective layer, we did observe a slight change in color of the sample after nanoindentation. However, the hardness approaches a constant value with depth; as such, the influence of any potential surface film likely has little effect on our reported hardness data, particularly at larger indentation depths. Likewise, the nanoindentation elastic modulus decreases from  $\sim 25.2$  GPa and gradually approaches a nearly constant value of 21.7 GPa (the values differ by only  $\sim 13\%$  over the depth range from 250 nm to 10  $\mu\text{m}$ ). We should note that the elastic modulus is most likely to be determined by atomic bonding, and it is unlikely to be affected by the length scale (i.e., it is unlikely to have a “size effect”). However, the nanoindentation modulus appears to be slightly higher than expected at small depths, perhaps due to the presence of thin surface film during the nanoindentation. Still, as the depth increases, these potential contributions diminish, and the modulus approaches a constant value, again suggesting that the effects of surface contamination on our reported values are likely minimal.

### 3.3. Microhardness testing

Microhardness testing was performed with a Vickers diamond indenter five times at each load with a dwell time of 10 s as shown in Fig. 3. The microhardness ( $H$ ) at loads of 1.96 N, 2.94 N, 4.9 N, and 9.8 N were  $370.6 \pm 30.3$  MPa,  $364.1 \pm 14.6$  MPa,  $368.4 \pm 15.0$  MPa, and  $338.2 \pm 18.1$  MPa (average  $\pm$  standard deviation), respectively. Assuming that the indenter area function is ideal, the corresponding indentation depths would be  $14.7 \pm 0.7$   $\mu\text{m}$ ,  $18.2 \pm 0.4$   $\mu\text{m}$ ,  $23.3 \pm 0.7$   $\mu\text{m}$ , and  $34.4 \pm 1.3$   $\mu\text{m}$ , respectively.

### 3.4. Bulk compression tests

Fig. 4 shows engineering stress–strain curves for compression of calcium metal. The stresses were measured at various strain rates of  $10^{-2}$ ,  $10^{-3}$ , and  $10^{-4}$  /s; at a strain of 0.08, the measured flow stresses were 112.0 MPa, 103.1 MPa, and 106.1 MPa, respectively. Note that here we use strain values of 0.08 for comparison, as per the Tabor relation: under uniaxial loading, the stress at a strain of 0.08 has been found to correlate well with the measured (nanoindentation and micro-) hardness as  $\sigma_f(\epsilon_p = 0.08) \approx H/3$ . The Tabor model was used to relate nanoindentation and microhardness measurements to bulk compression test results (see the Discussion section). Although some fluctuations occur at the lower values of strain (likely due to the slight misalignment

of the sample relative to the compression platen), the stress increases quite linearly when the strain is larger than 0.07. We observe no significant strain rate dependence.

## 4. Discussion

### 4.1. Elastic properties of Ca metal

A few experimental studies have been conducted to assess the elastic properties of Ca metal, which are presented in Table 1. Shaw et al. measured mechanical properties of Ca metal under tension at room temperature and at 77 K [35]. Mechanical properties of Ca have been reported by Everts and Bagley [41] as well as Hampel and Kolodney [36], but those were limited to calcium samples of relatively low purity (Everts and Bagley did not report the purity, and Hampel and Kolodney used 94%–96% pure Ca metal). Additionally, we have found a few

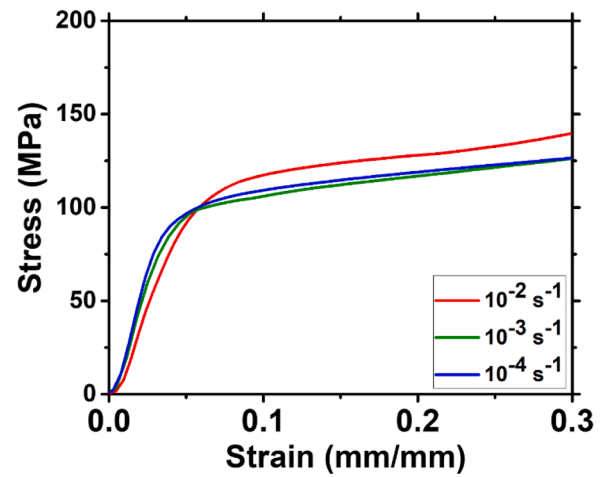


Fig. 4. Engineering stress–strain curves for bulk Ca metal under compression at room temperature. Three tests were conducted at each strain rate, and the averages of each strain rate are shown in the plot. The different colored lines indicate different strain rates: red for  $10^{-2}$  1/s, green for  $10^{-3}$  1/s, and blue for  $10^{-4}$  1/s. The individual curves at each strain rate can be found in the Supporting Information Figure S4.

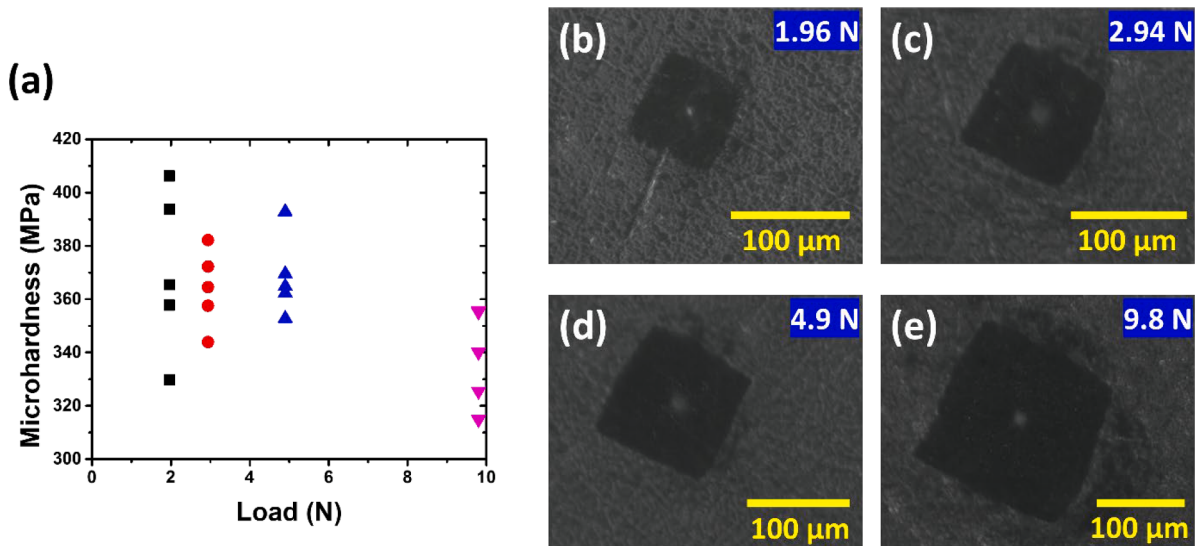


Fig. 3. (a) Microhardness values at different indentation loads. (b)–(e) Optical microscope images of indents at loads of (b) 1.96 N, (c) 2.94 N, (d) 4.9 N, and (e) 9.8 N. Microhardness testing was conducted at room temperature under mineral oil, with a dwell time of 10 s.

online databases of the mechanical properties of Ca, e.g., for Compare Metals [37] and AZO Materials [38], but information related to their measurement methods was not included. Our nanoindentation studies demonstrate an elastic modulus of  $\sim 21.7\text{--}25.2$  GPa, which is indeed comparable to previous studies (Table 1).

#### 4.2. Plastic properties of Ca metal

In Fig. 2, the hardness of Ca metal varies by less than a factor of two over the range of indentation depths (the hardness is 0.88 GPa at a depth of 250 nm, and the hardness is 0.48 GPa at a depth of 10  $\mu\text{m}$ ). Furthermore, the microhardness of Ca metal was found to be 0.33 GPa on average (over all tested samples), as indicated in Fig. 3. Although Ca seems to exhibit a slight size dependence of its hardness (varies by a factor of 1.8 over indentation depths from 250 nm to 10  $\mu\text{m}$ ), this dependence is relatively small as compared to Na and Li, whose hardness varies by a factor of approximately 12 and 6, respectively, as the indentation depth increase from 250 nm to 10  $\mu\text{m}$ .

The different size dependency (so-called “indentation size effect”) of Li, Na, and Ca can be rationalized by considering microstructural details. From a microscopic perspective, dislocations may be lacking at very small scales and result in a “dislocation starvation state”, which would thus require a higher stress to cause the metal to flow. Indeed, the dislocation density [42]  $\rho$  can be estimated by knowledge of the Burgers vector  $b$ , shear modulus  $G$ , and steady-state flow stress  $\sigma_{ss}$ :  $\rho = (\sigma_{ss}/Gb)^2$ . We should note that this relation may not be strictly applicable to Ca metal because it is generally accepted for metals that undergo steady-state power-law creep [42,43]. However, even if steady-state power-law creep is not likely to be dominant deformation mechanism for Ca metal at room temperature (due to its relatively high melting point), we still utilize this relation for estimation purposes for Ca since steady-state flow stresses in metals are on the same order as their yield strengths [44]. For instance, the steady-state flow stress is typically only a few times less than the yield strength, e.g., a factor of  $\sim 3$  for Cu, a factor of  $\sim 5$  for Fe, a factor of  $\sim 5$  for bronze, a factor of  $\sim 2$  for stainless steel, etc. [45,46]. For an isotropic material, the shear modulus  $G$  can be related to elastic modulus  $E$ :  $G = \frac{E}{2(1+\nu)}$ , where  $\nu$  is Poisson’s ratio. Although Poisson’s ratio of Ca has not measured in this study, we take 0.3 as a representative value for a metal. The Burgers vector  $b$  is taken as 0.394 nm (for FCC calcium metal whose unit cell length is 558.8 pm); the elastic modulus is taken as 25.2 GPa as measured here; and  $\sigma_{ss}$  is the steady-state flow stress is estimated as the yield strength of Ca measured here, which is 107 MPa (the average in our tests with strain rates ranging from  $10^{-2}$  to  $10^{-4}$  s $^{-1}$ ). With these values, the dislocation density of Ca can thus be estimated as  $8.5 \times 10^{14}$  m $^{-2}$ . By comparison, the dislocation densities of Li and Na can be estimated as  $2.7 \times 10^{11}$  m $^{-2}$  and  $3.2 \times 10^{10}$  m $^{-2}$ , respectively (values for these calculations can be found in Table S1). If the dislocations are distributed relatively uniformly through the sample, the average distance ( $d$ ) between them is on the order of the square root of the reciprocal of the dislocation density [47],  $d = \sqrt{1/\rho}$ . Through this approximation, the corresponding dislocation spacing ( $d$ ) in Ca is estimated as  $\sim 30$  nm, whereas for Li and Na, it is much larger with values of  $\sim 2$   $\mu\text{m}$  and  $\sim 3$   $\mu\text{m}$ , respectively. The estimated dislocation spacings in Li and Na are thus larger by a factor of 50 than that of Ca! We note that these dislocation spacings for Li, Na, and Ca metals represent *rough estimations* for comparative purposes rather than precise values.

Still, we should note that we do observe a slight size effect. Although this effect is not very substantial (e.g., as compared to such size effects that have been observed in Li and Na metal), it is still interesting as to hypothesize to its origin. One hypothesis is that the observed size effect may stem from the presence of other inhomogeneities within the Ca metal, such as grain boundaries, voids, and impurities. Namely, when the indentation depth (referred to here as the “length scale”) is comparable to the representative size of these inhomogeneities (e.g., the

grain size), the mechanical properties will in part reflect the characteristics of these inhomogeneities rather than those of the bulk material. For instance, here we have reported the grain size of the Ca sample as around 10–40  $\mu\text{m}$ . Although these reported grain sizes are slightly larger than the range of the studied nanoindentation depths, it is important to note that the representative size of deformed zone under the indenter is typically several times larger than the indentation depth itself. As such, it is possible that the presence of grain boundaries at large indentation depths (and lack thereof at small indentation depths) contributes to the observed mechanical response during indentation and thus could produce some apparent size effects in Ca. In addition, apart from size effects originating from the material itself, the presence of an imperfect (rounded) indenter tip could lead to an overestimation of hardness, which may result in data that looks like a “size effect”. Nonetheless, our estimation of the dislocation spacing provides insight into a likely microstructural explanation as to why Ca exhibits a relatively limited “size effect” as compared to Li and Na.

To connect these values to nanoindentation testing, it is instructive to compare the size of the highly deformed region during the indentation process to the representative dislocation spacing. When the size of the highly deformed region created under an indent (i.e., the plastic zone size) is smaller than the dislocation spacing, on average no dislocations will be involved (activated) in the deformation process. However, if the highly deformed region created under an indent (i.e., the plastic zone size) is much larger the dislocation spacing, numerous dislocations will be involved in the deformation process, and we would expect that the mechanical behavior would approach that of a bulk-sized sample [48]. We note here that the size of this highly deformed region (plastic zone size) during indentation is on the order of (a few times) the indentation depth [39,40]. As such, we suspect that during nanoindentation of Ca, even at relatively small depths (100 nm or so), numerous dislocations are activated and thus contribute to its measured mechanical behavior. By contrast, at small indentation depths (on the order of 100 nm or so), very few or even no dislocations may be activated during nanoindentation of Li and Na, due to the relatively large dislocation spacing estimated in these materials. However, at larger depths (on the order of 1  $\mu\text{m}$  or so), dislocations can indeed be activated during nanoindentation of Li and Na. Upon activation of these dislocations, the strength of Li and Na will be reduced (a lower stress is required to move dislocations), leading to a pronounced size effect, i.e., a reduction in strength with increasing nanoindentation depth. Overall, this analysis provides insight into the microstructural reasons as to why calcium metal exhibits a minimal size effect compared to that of lithium and sodium metal.

From Fig. 4, the strain-stress curves of Ca appear to be insensitive to the strain rate. Previous studies have reported that both lithium and sodium have a significant strain rate dependence of the strength under bulk testing, with strain-rate sensitivity exponents of  $m = 0.15$  and  $0.2$  for lithium and sodium, respectively (to the relationship  $\sigma = K\dot{\epsilon}^m$ , where  $\sigma$  is flow stress,  $\dot{\epsilon}$  is strain rate,  $m$  is strain-rate sensitivity exponent, and  $K$  is a constant) [39,40]. However, calcium metal is nearly insensitive to the applied strain rate at room temperature, i.e., the strain-rate sensitivity exponent at room temperature is approximately 0. This discrepancy is primarily due to the relatively high melting point of Ca (842  $^{\circ}\text{C}$ ) as compared to Li (180  $^{\circ}\text{C}$ ) and Na (98  $^{\circ}\text{C}$ ); the corresponding homologous temperatures at room temperature ( $T/T_m$ ) are 0.27 for Ca, 0.65 for Li, and 0.8 for Na. At high homologous temperatures, materials tend to exhibit prevalent creep-type behavior. For instance, in Li and Na, power-law creep appears to be readily activated at room temperature and tends to be a dominant mechanism for the deformation [39,40]. However, creep (of any type) is not likely to be dominant deformation mechanism for Ca due to its low homologous temperature at room temperature.

To compare our measured bulk properties to our measured nanoindentation and microhardness results, we take the Tabor relation

( $\sigma_f$  at  $\epsilon_p = 0.08$ ) =  $H/3$ ), as to determine whether similar properties are observed at the bulk scale and nanoscale [49]. We compare the flow stress at the strain of 8% ( $\epsilon_p = 0.08$ ) from the bulk testing and  $H/3$  (a third of hardness) from microhardness and nanoindentation (at 10  $\mu\text{m}$ ). As shown in Fig. 5, although  $H/3$  from nanoindentation testing does not exactly equal the measured bulk compressive yield strength, it is in a similar range. Upon closer examination,  $H/3$  from nanoindentation and microhardness testing at similar length scales (i.e., at  $10^4$  nm) lie within a similar range, albeit with a variation of  $\sim 23\%$ . This variation could stem from several factors, including the involved different indentation depths (10  $\mu\text{m}$  for nanoindentation vs. 14.7  $\mu\text{m}$  for microhardness testing), the different geometries of the indenter tips (Berkovich for nanoindentation vs. Vickers for microhardness), slight disparities in data processing methodologies employed, etc. We also note that the hardness measured from microhardness testing is quite similar to that of bulk testing.

#### 4.3. Implications for battery applications

Compared with monovalent metals, multivalent metals such as calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), and aluminum ( $\text{Al}^{3+}$ ), have an intrinsic advantage in theoretical capacity by having multiple electron transfer per redox center. Of these multivalent metals, metallic calcium stands out a promising anode material owing to its relatively low standard potential of  $-2.87$  V, large theoretical capacity of 1337 mAh/g and 2072 mAh/cm<sup>3</sup>, and its relative abundance in the earth's crust (5<sup>th</sup> most abundant element in the Earth's crust) [12]. Additionally, since calcium can form alloys with several other materials, the selection of electrode materials could in principle be quite diverse [26]. For instance, Ca can form several intermediate compounds with Sn, Zn, and Li to  $\text{Ca}_2\text{Sn}$  [50],  $\text{Ca}_3\text{Zn}$  [51] and  $\text{CaLi}_2$  [52], respectively. Finally, along with  $\text{Ca}^{2+}$  ions having a smaller polarization strength than multivalent  $\text{Mg}^{2+}$  and  $\text{Al}^{3+}$  ions (10.4 for  $\text{Ca}^{2+}$  vs 14.7 for  $\text{Mg}^{2+}$  and 24 for  $\text{Al}^{3+}$ ), Ca ions typically have higher mobility than that of magnesium and aluminum, which has key implications in the kinetics (rate) of electrochemical reactions in battery systems [53,54].

In terms of mechanical properties, compared to Li and Na metal, which are well-known anode materials of rechargeable batteries, Ca metal exhibits a much higher yield strength; here we identified an average yield strength of  $107 \pm 4.6$  MPa (in our tests with strain rates ranging from  $10^{-2}$  to  $10^{-4} \text{ s}^{-1}$ ). Indeed, lithium and sodium are very soft

and demonstrate extremely low yield strengths; at room temperature, the yield strength of Li has been reported as 0.57 to 1.26 MPa for strain rates from  $5 \times 10^{-4} \text{ s}^{-1}$  to  $5 \times 10^{-1} \text{ s}^{-1}$  and that of Na has been reported as 0.15 to 0.29 MPa for strain rates from  $10^{-2}$  to  $10^{-1} \text{ s}^{-1}$  [39,40]. This soft nature of Li and Na provides some benefits. For instance, for applications in all-solid-state batteries, facile flow provides a potential advantage in maintaining interfacial contact, aka “interfacial wettability”. Namely, when external pressure is applied to a battery stack, Li and Na can readily deform and flow owing to their soft nature, which can “fill in” voids at interfaces between the solid electrolyte and the metallic anode, thereby reducing electrical (contact) resistance [55–59]. However, since calcium demonstrates a relatively much larger yield/flow stress, it likely will not provide this advantage of being easily deformed to maintain interfacial contact with a solid electrolyte.

As potential advantages, calcium's relatively high yield strength and its insensitivity to the applied strain rate (i.e., the charging rate) are beneficial in that calcium will likely not significantly creep during operation. More specifically, electrodes are often subjected to static loads during electrochemical cycles, e.g., stack pressures, as well as dynamically varying loads induced by intercalation/de-intercalation or plating/stripping. Electrode materials with low yield strength are prone to deform in undesirable ways (e.g., form surface cracks, suffer large volume changes), which leads to capacity loss and even failure. However, Ca metal's stiff nature may surmount such obstacles in battery systems owing to its higher yield strength (as compared to Li and Na). Furthermore, for intercalation batteries, a concentration gradient of the mobile species often occurs inside the electrode material (in brief, nearby and far from the electrolyte) due to the limited diffusivity, which causes stresses to be generated in the electrode [60–62]. These stresses may cause the electrode to deform irregularly and lose capacity, but calcium may be more resilient to internal stress formation owing to its relatively high strength.

Fig. 2 indicates that Ca metal exhibits a relatively small size effect (hardness varies by a factor of less than two over our large measured range of indentation depths) compared to several other candidates for metallic anodes. For instance, Fincher et al. reported that the hardness of Na metal during room temperature nanoindentation test differs by a factor of  $\sim 12$  as the indentation depth increased from 250 nm to 10  $\mu\text{m}$  [39]. Also, Fincher et al. found that the hardness of Li varies by a factor of  $\sim 6$  as the indentation depth increased from 250 nm to 10  $\mu\text{m}$  at room temperature [40]. However, the hardness of Ca metal varies by a factor of only  $\sim 1.8$  over a range of depths of 250 nm to 10  $\mu\text{m}$ . This comparatively small size effect may have certain simplifying advantages in modeling, predicting, and thus potentially preventing dendrite growth and other failure mechanisms. For instance, the mechanical behavior of Na and Li dendrites is difficult to model in the sense that their dendrites are relatively hard/strong when they begin to grow at the small (nano) scale, but may become significantly softer/weaker after they grow to a significant size. Several theoretical models have suggested that the strength of the metal itself can significantly affect the dendrite growth process, particularly in all-solid-state-batteries [63–65]. In brief, if the metal deposit has a larger strength, it can place larger stresses on the surrounding materials (e.g., the solid electrolyte). These stresses can lead to the growth of defects in the surrounding materials (e.g., the solid electrolyte), opening a path into which the metal deposit can flow [66,67]. These defective paths can ultimately percolate through the surrounding materials (e.g., solid electrolyte), oftentimes all the way to the cathode, thereby short-circuiting the electrochemical cell. If the strength of the metal itself is unknown or changing as it grows (as is the case in Li and Na metal), it becomes much more difficult to model. By comparison, since we have shown that Ca metal has a relatively small dependence of its properties on the size of the material (here represented by the indentation depth), it will be much more straightforward to model and accurately predict the mechanical response of Ca, e.g., during the formation and growth of a dendrite.

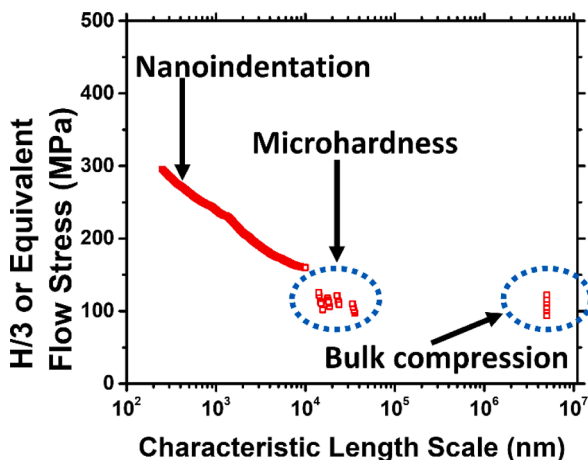


Fig. 5. The flow stress,  $\sigma_f$ , or the hardness divided by 3, ( $H/3$ ), plotted as a function of a representative length scale. It should be noted that for nanoindentation and microhardness tests, the characteristic length scales are defined by the indentation depths, while for bulk compression tests, the width of the samples is used.

Additionally, we have shown that Ca is relatively insensitive to the applied strain rate. By comparison Li and Na have been shown to be highly sensitive to the applied strain rate. The main source of the discrepancy between the significant strain-rate sensitivity observed in Li and Na and the negligible strain-rate sensitivity observed in Ca stems from the homologous temperature during operation. Since Ca metal has a low homologous temperature at room temperature ( $T/T_m = 0.26$  at room temperature), thermally activated processes, such as diffusion and creep-type processes, are relatively limited in calcium, thereby leading to a negligible sensitivity to the applied strain rate. By comparison, Li and Na operate at high homologous temperatures ( $T/T_m = 0.65$  for Li and  $T/T_m = 0.80$  for Na at room temperature), thus leading to a large sensitivity to the applied strain rate. During battery operation, the charging rate is connected to the strain rate. That is, if the charging rate is high, the battery generally experiences higher strain rates during operation. However, since calcium's mechanical properties are essentially independent of the strain rate, changing the charging rate will not have a direct influence on the strength (and thus stresses generated) in Ca.

Finally, we would like to note that Ca has some desirable properties for potential future applications as a structural battery. A structural battery is a battery that provides the function of both energy storage and mechanical rigidity/support. For example, a mechanically robust battery can be used as a support for building components, car doors, aircraft wings, etc., as well as for energy storage. For such applications, a material that exhibits a relatively high yield strength is required. We have shown that calcium metal is quite strong with a yield strength of  $107 \pm 4.6$  MPa and thus may find potential use as a structural battery. For instance, instead of simply adding batteries to an existing vehicle (thus adding volume/weight), calcium-containing structural batteries could instead replace existing components of the car (e.g., the door), thus reducing the total mass (and volume) of the vehicle.

## 5. Conclusions

In this study, we have quantified elastic and plastic properties of calcium metal at room temperature using bulk compression tests and nanoindentation. Overall, Ca metal shows a relatively high yield strength of  $107 \pm 4.6$  MPa, is quite insensitive to the applied strain-rate, and exhibits only a small dependence of its mechanical properties on its size (i.e., it does not display a significant “size effect”). Nanoindentation tests also revealed an elastic modulus of  $\sim 21.7$ – $25.2$  GPa, which is several times larger than that of lithium and sodium metal. Calcium's mechanical response is largely insensitive to the applied strain rate due to its relatively high melting point. As such, calcium will likely not exhibit creep-type deformation during electrochemical operation, and changing the charging rate will likely not have a direct influence the strength (and thus stresses generated) in Ca. Additionally, the relatively limited “size effects” of calcium will render it much more straightforward to model from a mechanical perspective than its alkali metal counterparts (e.g., Li and Na), e.g., in accurately predicting its mechanical response during the formation and growth of a dendrite. Perhaps more importantly, Ca's relatively high yield strength (e.g., compared to Li and Na) may lead to applications as structural batteries that provide both energy storage capabilities as well as mechanical rigidity and support. Overall, we have quantified key mechanical properties of Ca metal from the nano to the bulk scale, which we hope will provide guidance for the design of various battery architectures that remain robust during electrochemical operation.

## CRediT authorship contribution statement

**Jungho Shin:** Conceptualization, Software, Validation, Formal analysis, Investigation, Data curation, Writing – original draft. **Cole D. Fincher:** Conceptualization, Software, Validation, Formal analysis. **Matt Pharr:** Conceptualization, Writing – review & editing,

Supervision, Project administration, Funding acquisition.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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## Appendix A. Supplementary data

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.eml.2023.102081>.

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