

# **The effects of extreme heat events on all-cause mortality: A case study in Ahmedabad city of India, 2002-2018**

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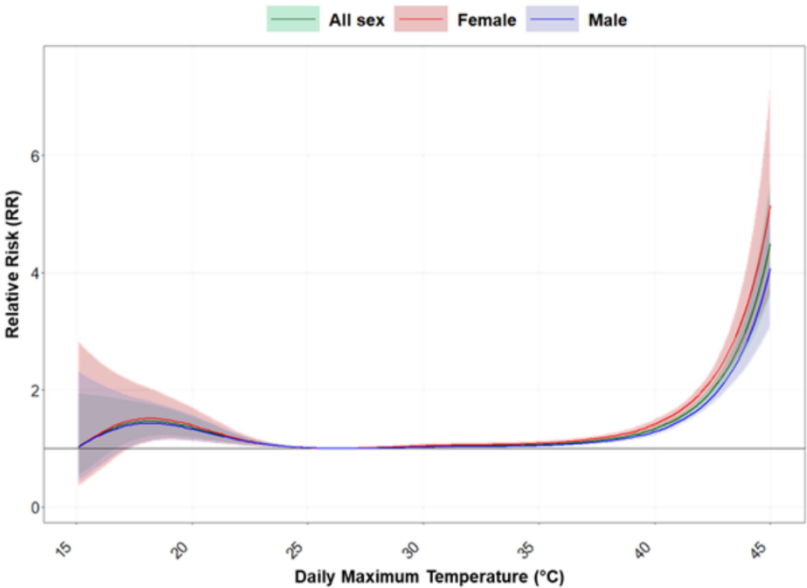
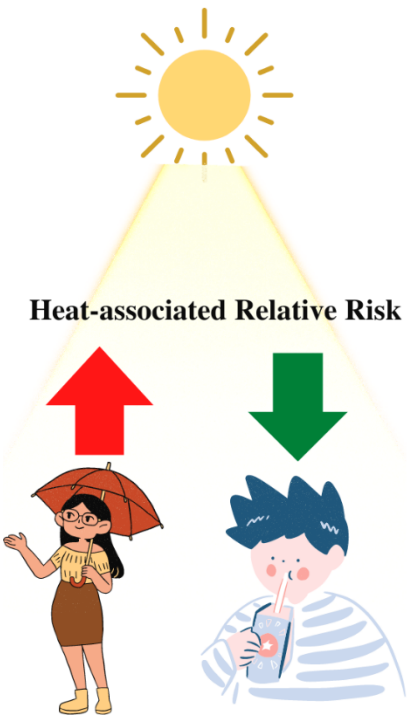
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## Highlights

- The exposure-response curve revealed a typical 'J' shaped, with a minimum mortality temperature (MMT) of 26°C.
- A single-day absolute  $T_{\max}$  above 40°C as the cutoff threshold resulted in a 34% increase in mortality risk (RR:1.34; 95% CI: 1.29-1.39).
- Females are more vulnerable to extreme temperatures than males.
- Lag-specific effect identified mortality risk is highest during the day of exposure (lag 0), it tends to persist for longer for more intense extreme heat events (EHEs).

# Is extreme heat an indiscriminate killer?



## Abstract

Background: Extreme heat event (EHE) related mortalities have been on rise in India in recent years, yet there is a paucity of data regarding how specific thresholds impact the health risk.

Methods: We used Distributed Lag Non-Linear Model to investigate the association between extreme heat events, calculated using different thresholds, and mortality risk in Ahmedabad city of India, during 2002-2018.

Results: We observed a typical 'J' shape exposure-response curve, with a minimum mortality temperature (MMT) of 26°C for Ahmedabad. The temperature-mortality relationship showed a higher risk of all-cause mortality for  $T_{\max} > 35^{\circ}\text{C}$  and  $T_{\max} < 25^{\circ}\text{C}$ . EHE determined using a cut-off threshold of  $T_{\max} \geq 40^{\circ}\text{C}$  leads to 34% increase in all-cause mortality (Relative Risk (RR): 1.34, 95% Confidence Interval (95% CI): 1.29-1.39), while considerably higher mortality risk for  $T_{\max} \geq 45^{\circ}\text{C}$  (RR: 4.50, 95% CI: 3.63-5.58). Gender-stratified analysis showed that females are at higher risk of EHE-related deaths, irrespective of the intensity and highest mortality risk was identified during same day of exposure which tends to persist for longer for more intense EHE.

Conclusion: The activation of heat action plans for Ahmedabad needs to account for the significantly higher risk of mortality below the current threshold ( $\sim 40^{\circ}\text{C}$ ) and the sustained risk for high-intensity EHE.

**Keywords:** Extreme heat events (EHEs); Mortality; Exposure-Response; Minimum Mortality Temperature (MMT); DLNM; Ahmedabad

## 1. Introduction

The most recent Intergovernmental Panel on Climate Change (IPCC) report concluded that extreme heat events (EHEs) have become more frequent, intense, and long-lasting across the globe [1]. Many studies worldwide have evaluated the temperature-mortality association and identified a higher mortality risk associated with extreme temperatures [2–7]. Others have reported excess heat-associated mortality during the heatwave period [8–10]. For instance, the 2003 heat waves in France resulted in 15,000 excess mortality [11]. Similarly, the 2006 heatwaves in California caused around 16,166 excess emergency department visits and 1,182 excess hospitalizations across the state [10]. However, limited evidence on temperature-associated health risks is available from low-and-middle-income countries (LMICs).

Cities and urban areas in LMICs are more vulnerable to EHEs due to Urban Heat Island (UHI) effects [12]. In LMICs, rapid urbanization development has converted the open areas into concrete and heat-trapping roofs resulting in higher UHI effects [13]. Historical evidence suggests that the population's vulnerability to EHE is considerably higher in urban areas [14]. For instance, recent studies from India show that heat wave events increased all-cause mortality by up to 17% in the City of Hyderabad during 2006-2015 [15] and up to 40% in Nagpur during the 2010-2014 period [7], while evidence from rural areas are rarely reported. Likewise, Ahmedabad city of India where South Asia's first heat action plan (HAP) was implemented also experienced heat-associated excess mortalities [13,16,17].

However, how the impact of EHE differs across geographic regions rely on several factors, like access to air conditioning, demographic compositions [14], and thermal acclimatization of the local population, which can be delineated via minimum mortality temperature (MMT) [18]. However, the majority of studies from the region have reported excess heat-associated mortalities [6,13,19], and there is a paucity of data regarding how changes in specific thresholds used to define EHE impact the observed association between heat waves and mortality in India. The lack of such location-specific data has resulted in Indian Meteorological Department (IMD) establishing two absolute thresholds to define heat waves for the entire nation (single-day maximum temperature above 40°C for plains and 30°C for hilly regions) [20], which may not accurately capture the underlying population risk. Prior studies have

suggested that definitions of heatwaves may have implications for human health impacts and, thus, must be explored locally [21].

Therefore, in this study, we have focussed on quantifying the risk of mortality with varying temperature thresholds to quantify the impact of EHE on mortality in India. We have also assessed the temperature exposure-response curve for Ahmedabad (reflecting MMT) along with threshold-specific mortality risk. The region-specific exposure-response curve help to understand human heat exchange ability, thermoregulation limits and adaptation to heat of the population at a local level [22]. Additionally, since various factors influence population response to heat, potential effects of gender and time-varying (lagged) exposures were also evaluated.

## **2. Methodology**

### **2.1 Study area**

Ahmedabad city of India, is located on the bank of the seasonal river Sabarmati in the western state of Gujarat. The city's weather is hot and dry, with  $T_{\max}$  reaching above 45°C in the summers. In recent years, the city has experienced rapid expansion and development in urban boundaries, thereby making the environment conducive to UHI effects. In retrospect, the city of Ahmedabad is facing frequent heat-associated deaths; a major heatwave event in May 2010 resulted in 1,344 additional deaths [13]. The absolute  $T_{\max}$  cutoffs are used to classify the heatwave events in Ahmedabad, where IMD weather stations' single-day  $T_{\max} \geq 40^{\circ}\text{C}$  for plains and  $\geq 30^{\circ}\text{C}$  for hilly regions are characterized as heatwaves [23].

In response, the city's government developed a Heat Action Plan (HAP) in 2013 [24,25] to facilitate heatwave awareness and adaptation strategies among the population. HAP of Ahmedabad city has aided in avoiding an estimated 1,190 (95%CI 162–2,218) average annualized deaths [16]. Therefore, Ahmedabad is an ideal location to outline the effects of EHEs on all-cause mortality to support existing HAP in the city.

### **2.2 Data sources**

We obtained daily all-cause mortality data (including accidental deaths) from the Ahmedabad Municipal Corporation (AMC) office of the Registrar of Births and Deaths from 2002 to 2018. The

census population for the respective period was obtained from the Office of the Registrar General Census, Indian Census Bureau [26]. The daily temperature records for the same period, including maximum temperature ( $T_{\max}$ ), minimum temperature ( $T_{\min}$ ), and average temperature ( $T_{\text{avg}}$ ), were retrieved from ERA5 reanalysis (ECMWF Reanalysis 5th Generation) products. ERA5 provides hourly estimates of climate variables, and the data cover the earth on a 30km grid and resolve the atmosphere using 137 levels from the surface up to a height of 80km. Detailed information on the ERA5 reanalysis product is available at <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>. In addition, monthly weather station data of Ahmedabad city was also obtained from the Indian Institute of Tropical Meteorology (IITM), Pune, to cross-check the accuracy of the ERA5 reanalysis product. Due to the limited data availability of daily Ahmedabad meteorological records, we used the ERA5 reanalysis product as a substitute for the weather station.

We identified that monthly  $T_{\max}$  in the ERA5 dataset is comparable to weather station observations, and gridded spatial continuity of ERA5 can have advantages over in-situ measurements for regional modeling applications [27]. The study identified that ERA5 reanalysis product data could adequately report daily weather recordings for Ahmedabad city; a strong  $R^2$  coefficient (0.85) along with a low RMSE (5.44) was identified between the two datasets (*Supplementary fig.1*).

### 2.3 Statistical analysis

Mann Kendall test (at a 95% confidence interval) was used to understand the data trend over time, and the significance of the trend was estimated using the *Kendal* package in R software (version 3.4.0). We used Distributed Lag Non-Linear Model (DLNM) to assess the temperature-associated mortality risk available through the *DLNM* package of R software [28]. A maximum lag of 21 days was applied to the daily data matrix to identify  $T_{\max}$ 's non-linear and delayed effects on mortality. The lag days on the daily data matrix were selected based on the literature [29–31]. The model equation is described below:

$$\text{Log}[Y] \sim \text{cb}(T, \text{lag}) + \text{ns}(\text{date}, 7 \text{ per year}) + \text{DOW} + \text{Holiday} + \log(\text{Population})$$

Here,  $Y$  is the daily all-cause mortality or deaths;  $T$  is the exposure variable of  $T_{\max}$  and  $T_{\min}$ , and  $\text{lag}$  is the lag days. In cross-basis (cb) matrix, basic spline (bs) function with seven degrees of freedom ( $df$ )

was used for daily  $T_{\max}$ , with the three internal knots placed at equal intervals on the log scale. A natural cubic spline ( $ns$ ) with seven  $df$  was used to control the seasonal effects. The dummy variables of days of the week ( $DOW$ ) and holidays were also included in the model. Additionally, the population log was used as an offset variable to control population effects over mortalities. Cumulative temperature-mortality associations were then identified for lags of up to 21 days. The sensitivity analysis was conducted to confirm the robustness of the model, and the lag range of up to 21 days was examined. Four to seven  $df$  values were tested for temperature's long-term effects. The lowest Akaike Information Criterion (AIC) values of models were used as the selection criteria.

## 2.4 Classification of Extreme Heat Events

We investigated how different thresholds of EHEs impact the observed relationship between  $T_{\max}$  and mortality in Ahmedabad city from 2002 to 2018. We included the IMD definition of single-day absolute temperature above 40°C as a comparison since it is currently used to activate Ahmedabad city's heat action plan. Based on the existing literature, relative temperature cut-offs of 85<sup>th</sup> to 99.5<sup>th</sup> percentiles were utilized as relative thresholds. The study considered the EHEs classification based on low (i.e., 85<sup>th</sup> to 95<sup>th</sup> percentiles) and high intensities (i.e., 97<sup>th</sup> to 99.5<sup>th</sup> percentiles) of  $T_{\max}$  [32,33]. While for absolute temperature thresholds, cut-offs of 40°C, 41°C, and 45°C were considered. The number of EHEs observed during 2002-2018 based on respective absolute and relative thresholds are shown in *Table 1*.

## 3. Results

In total, 684,142 deaths were registered from 2002 to 2018 associated with all-cause mortality in Ahmedabad city of India. Overall, 60% of total deaths were reported among males (*Table 2*), with an overall increasing mortality trend among both gender during the study period (*Fig.1*). The results of the Mann-Kendall test confirmed a significant positive mortality trend ( $k\text{-tau} = 0.59$ ,  $p\text{-value} < 0.001$ ) in the city over the years.

During the study period, the daily  $T_{\max}$  in Ahmedabad city ranged from 15°C to 45°C (*Table 2*). The trend of monthly  $T_{\max}$ ,  $T_{\min}$  and  $T_{\text{avg}}$  from 2002-2018 is depicted in *Fig.2*. A steep rise in the slope



of  $T_{\min}$  was identified compared to  $T_{\max}$  and  $T_{\text{avg}}$ , which may be a result of severe UHI in the city. The exposure-response curve of the all-age and all-sex population obtained using the DLNM model revealed a typical 'J' shape with elevated mortality risk on both sides of the temperature distribution, compared to the MMT of 26°C (**Fig.3**). MMT represents the temperature at which mortality reaches its minimum value. Thus, mortality attributable to heat is represented on the right side of MMT (red-colored), while cold-attributable mortality is represented to the left (blue-colored).

We further investigated lag and gender-specific temperature-mortality association, and a *minimum mortality risk* temperature of 26°C was set as the centering value for exposure-response curves (**Fig.4**). The temperature mortality relationship showed a higher relative risk of all-cause mortality with  $T_{\max} > 35^{\circ}\text{C}$ , and a less pronounced effect for  $T_{\max} < 25^{\circ}\text{C}$ . The all-sex mortality risk varied drastically between the lower temperature range of 17°C (RR: 1.40; 95% CI: 1.06-1.83) and the higher temperature range of 45°C (RR:4.50; 95% CI: 3.63-5.58). **Table 3** presents gender-stratified mortality risks for relative and absolute  $T_{\max}$  thresholds to provide comparative evidence. The findings suggested that mortality risk for all-age-sex-specific populations increased by 16% when using the 85<sup>th</sup> percentile temperature threshold (RR:1.16; 95% CI: 1.13-1.19). Further, the mortality risk increased to RR:1.22 (95% CI: 1.18-1.26) and RR:1.92 (95% CI: 1.83-2.01) when using the extreme temperature threshold of 90<sup>th</sup> and 99.5<sup>th</sup> percentile, respectively. Likewise, using a single-day absolute  $T_{\max}$  above 40°C as the cut-off threshold resulted in a 34% increase in mortality risk (RR:1.34; 95% CI: 1.29-1.39). As expected, the highest risk was observed when we used 45°C as the threshold (RR:4.50; 95% CI: 3.63-5.58).

Analysis stratified by gender showed higher EHE-related mortality risk among females than males (**Table 3**). For example, when  $T_{\max}$  exceeded 40°C, mortality risk among males increased by 29% (RR:1.29; 95% CI: 1.33-1.35), compared to a 44% increase in risk among females (RR:1.41; 95% CI: 1.33-1.50). Additionally, the mortality risk during severe heatwave events ( $T_{\max} \geq 45^{\circ}\text{C}$ ) was considerably higher among females (RR: 5.15; 95% CI: 3.68-7.21) compared to males (RR:4.08; 95% CI: 3.09-5.39). Lag-specific analysis (**Fig.5**) showed the mortality risk to be highest during the day of exposure (lag 0), with the risk decreasing with increasing lag time. Significant increases in mortality

risks were identified up to a lag of two days at lower percentiles of  $T_{\max}$ . However, higher thresholds (relative and absolute) resulted in a more sustained lag effect. For example, 40°C as a cut-off threshold resulted in increased mortality risk at lag0 and lag1, while using 45°C as a threshold resulted in increased mortality risk from lag 0 through lag 7 days. A significantly increased mortality risk was also identified after a lag of 16 days with 45°C as a threshold, which may be an effect of long-term and continuous exposure to extreme heat days.

### 3 Discussion

Previous studies have extensively documented the impact of extreme heat exposure on mortality and morbidity. However, several important factors are less understood, such as how the local population adapts to these extreme heat exposure, how different thresholds used to define these extreme events impact observed association, and whether or not the timing of exposure has an implication on observed health burden. Using long historical records (2002-2018) of daily mortality data (684k deaths) recorded in Ahmedabad city, we showed that higher thresholds correspond to a stronger association between EHEs and mortality. More interestingly, we observed that higher thresholds resulted in more sustained risk, lasting up to seven days after the exposure compared to the day observed for lower thresholds. Irrespective of the thresholds used, females appeared to be at higher risk of death from exposure to EHE.

Even though a greater risk was identified to higher intensities of EHEs, low intensities also considerably increased the mortality risk among our study population. Several regional studies have established a higher mortality risk during heatwave periods [6,13]. However, such studies have not quantified the effect of different intensities of EHEs. Moreover, our study identified that a lower temperature range (i.e.,  $T_{\max} < 17^{\circ}\text{C}$ ) also significantly increases all-cause mortality, which can have significant implications during wintertime.

We also observed higher EHE-related mortality risk among women compared to men. This finding is consistent with other studies of heatwave-related deaths that have reported higher risk among females than males [34–37]. Similarly, a previous study focusing on Ahmedabad's May 2010 Heatwave noted higher female death rates [13]. Previous studies have hypothesized that females' sweat glands are

224 activated at higher temperatures than males causing less heat exchange between the body and  
225 environment, which may put women at a higher risk of heat-related mortality [38–40]. Besides, social  
226 and cultural norms, including the higher burden of household activities and culturally influenced  
227 dressing practices resulting in a higher exposure propensity among women, may explain some of the  
228 higher risks [41].

229 While one might think heat is an indiscriminate killer, however our study confirms women are at  
230 higher risk of heat-associated deaths. These findings demand attention particularly for women in  
231 developing nations where poverty, social and cultural norms and gender inequity is deeply rooted. The  
232 socio-cultural practice, as in many developing countries expect women to stay indoors for household  
233 chores which may increase their exposure to indoor heating. To a considerable extent, cooking areas in  
234 many Indian households are poorly ventilated [42] and women spend much of their time indoors in  
235 cooking areas which exposes them to higher heat effects. Thus, these findings strongly suggest  
236 policymakers in developing countries must consider gender-specific heatwave prevention plans.  
237 Moreover, low cost household cooling strategies can be lifesaving [43].

238 From the lag exposure standpoint, the highest risk in Ahmedabad City was observed on the day  
239 of exposure, consistent with what has been reported for Varanasi, India [34]. The lag-specific analysis  
240 suggests that the impact of more intense heat exposure in Ahmedabad persists for up to seven days after  
241 exposure. Public health preparedness and response activities need to account for these subtleties.

242 Even though this study addressed crucial information about temperature-associated mortality risk,  
243 several shortcomings are noted. For instance, the study did not consider individual temperature  
244 exposure for evaluation. Likewise, we did not estimate age-specific effects due to data limitations. The  
245 mortality rates calculated in the study assumed that population growth remained stagnant for 10 years  
246 and utilized census-based population data, updated once per decade, as the denominator. All-cause  
247 mortality data that we used in this study (including accidental deaths) for heat-health analysis are  
248 generally reliable. We did not use cause-specific mortality data because this information was not  
249 available and for India per se, there are several potential issues with cause-specific mortality data;  
250 firstly, reporting conventions are not uniform across the country making comparisons between different

cities difficult, and secondly, in some regions, the causes of death are not accurately reported if it is case sensitive. In addition, some of the deaths may go unreported, resulting in an underestimation. Moreover, underlying comorbidities could not be accounted for, as such information was unavailable. Likewise, we did not account for other environmental exposures, including air pollution. Finally, while the agreement between ERA5 data and weather stations were very good, the ERA5 data tended to underestimate the maximum temperature slightly. However this did not differ between heat wave vs non heat wave period, so the potential exposure misclassification is non-differential.

Despite these potential concerns, applying these results is crucial to fill the knowledge gap in heat alert systems and policymaking. Identifying local MMT or an optimum temperature threshold can intensify heatwave prevention measures. With the increasing frequency, duration and intensity of extreme weather events, future heat action plans and management guidelines require consideration of EHEs to minimize the societal and life losses associated with temperature rise. The findings of this study can benefit local government, the health sector, and urban planners to develop effective area-specific integrated risk management measures for reducing heat-associated fatalities. Moreover, the health risk of various temperature intensities or EHEs can facilitate a cost-effective heatwave early warning system.

## **Conclusion**

There is an urgent need to develop local-level heat action plans and early warning systems to enhance community resilience to the threats of climate change-driven extreme heat events. An in-depth understanding of location-specific minimum mortality temperature and the implication of using different thresholds on actual disease burden will help inform appropriate heat action plans and early warning systems. Our data show that magnitude of the risk is related to the scientific threshold used to identify extreme heat events. Likewise, higher threshold results in more sustained risk that exceeds the day of exposure. Additional studies are needed to understand cause-specific death associated with high vs. low-intensity extreme heat events and sustained elevated risk.

## **Conflict of interest**

The authors declare no conflicts of interest.

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## List of Figures

### The effects of extreme heat events on all-cause mortality: A case study in Ahmedabad city of India, 2002-2018

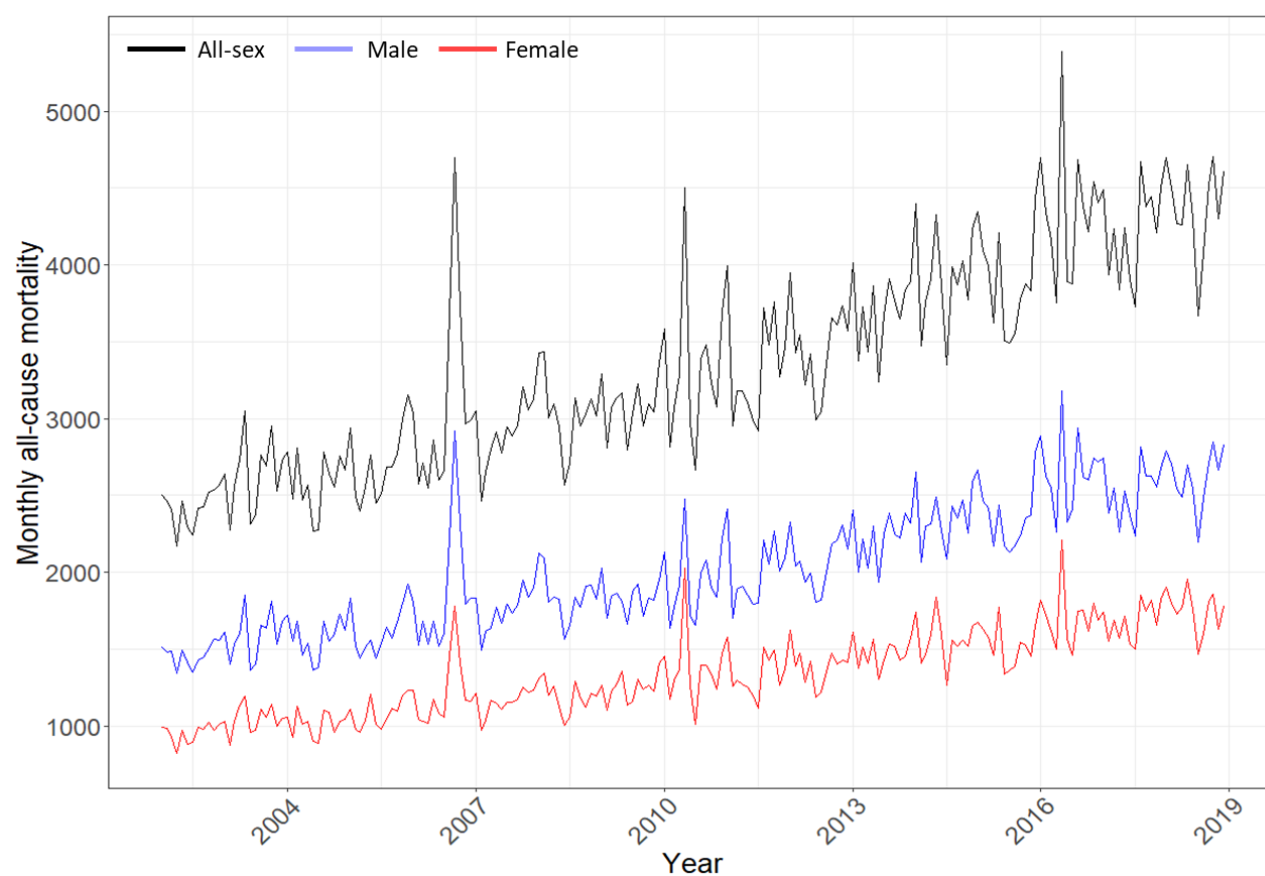


Fig.1 Trend of monthly gender-stratified all-cause mortality from 2002-2018 in Ahmedabad, India

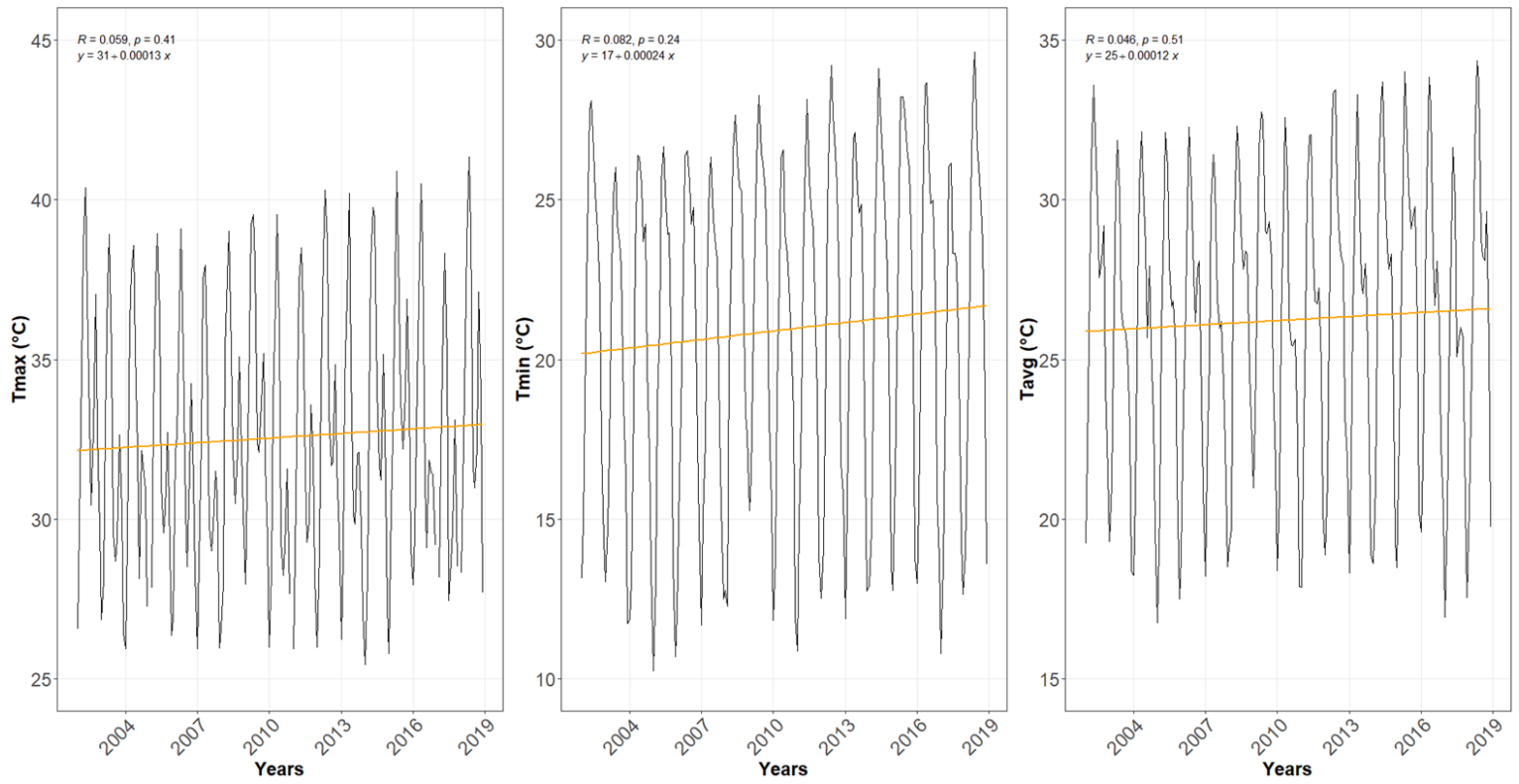


Fig.2 Trends of average monthly (a) Maximum Temperature ( $T_{max}$ ) (b) Minimum Temperature ( $T_{min}$ ) (c) Average Temperature ( $T_{avg}$ ) in Ahmedabad, India, from 2002-2018

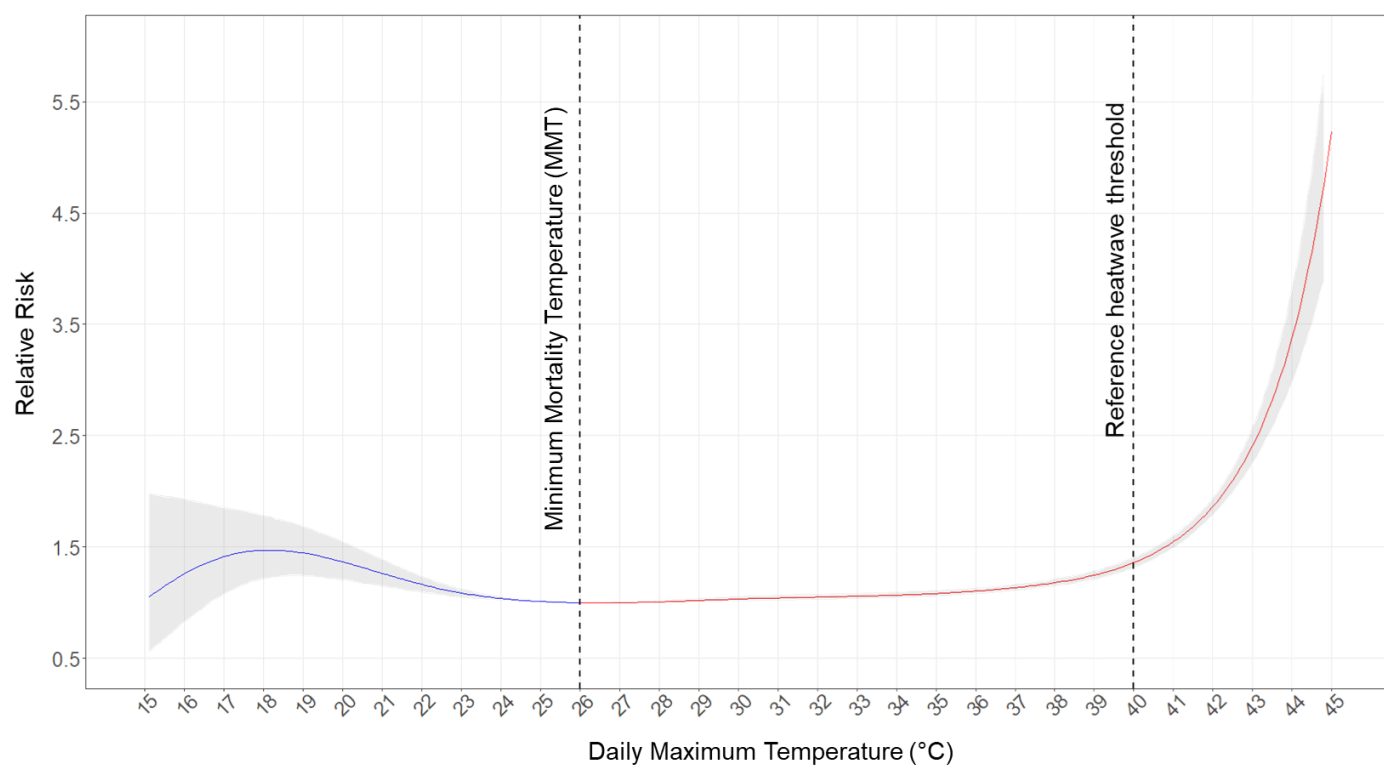


Fig.3 Temperature–mortality relationship for lag 0–21 days from 2002-2018. Dotted vertical line shows the minimum mortality temperature (MMT) and reference heatwave threshold for Ahmedabad.

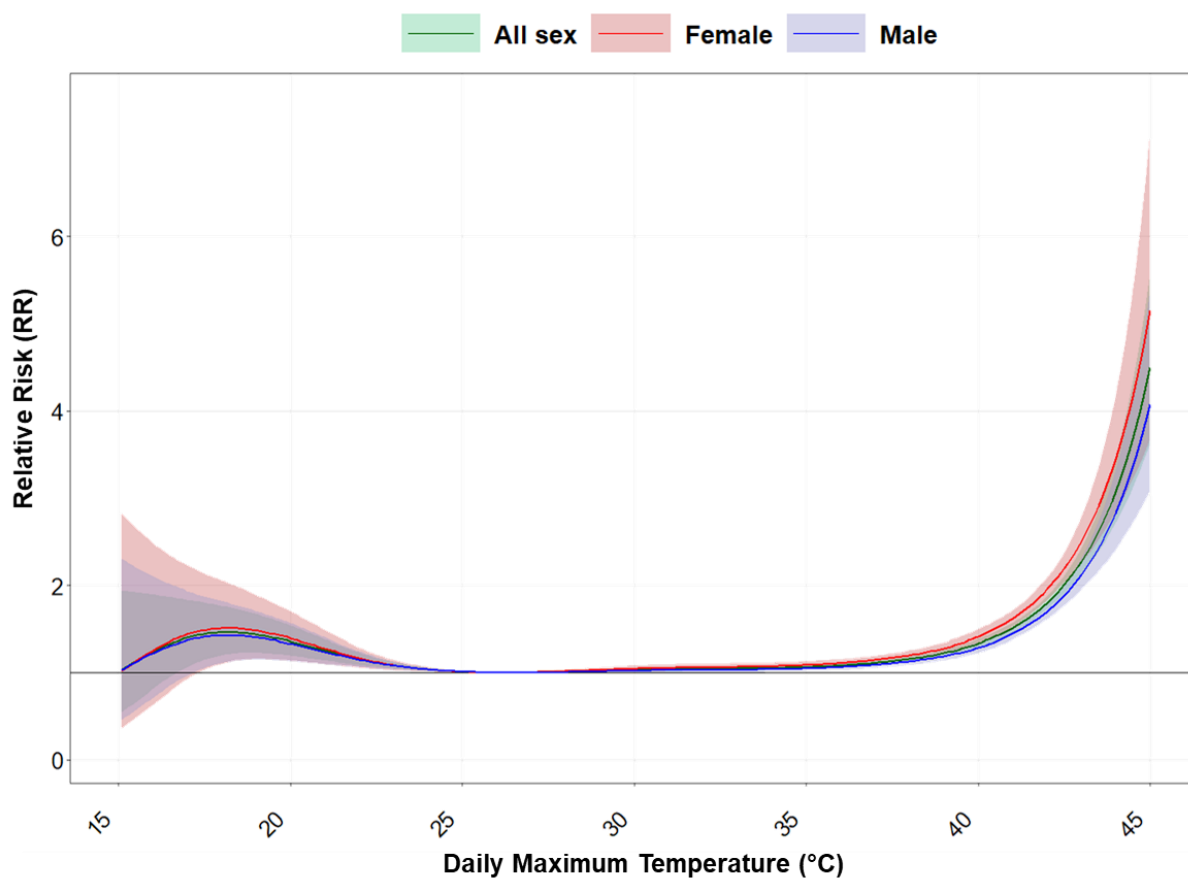


Fig. 4 Exposure-response relationship between  $T_{\max}$  and all-cause mortality by gender (with 95% empirical confidence interval, shaded) over a lag of 21 days in Ahmedabad from 2002-2018.

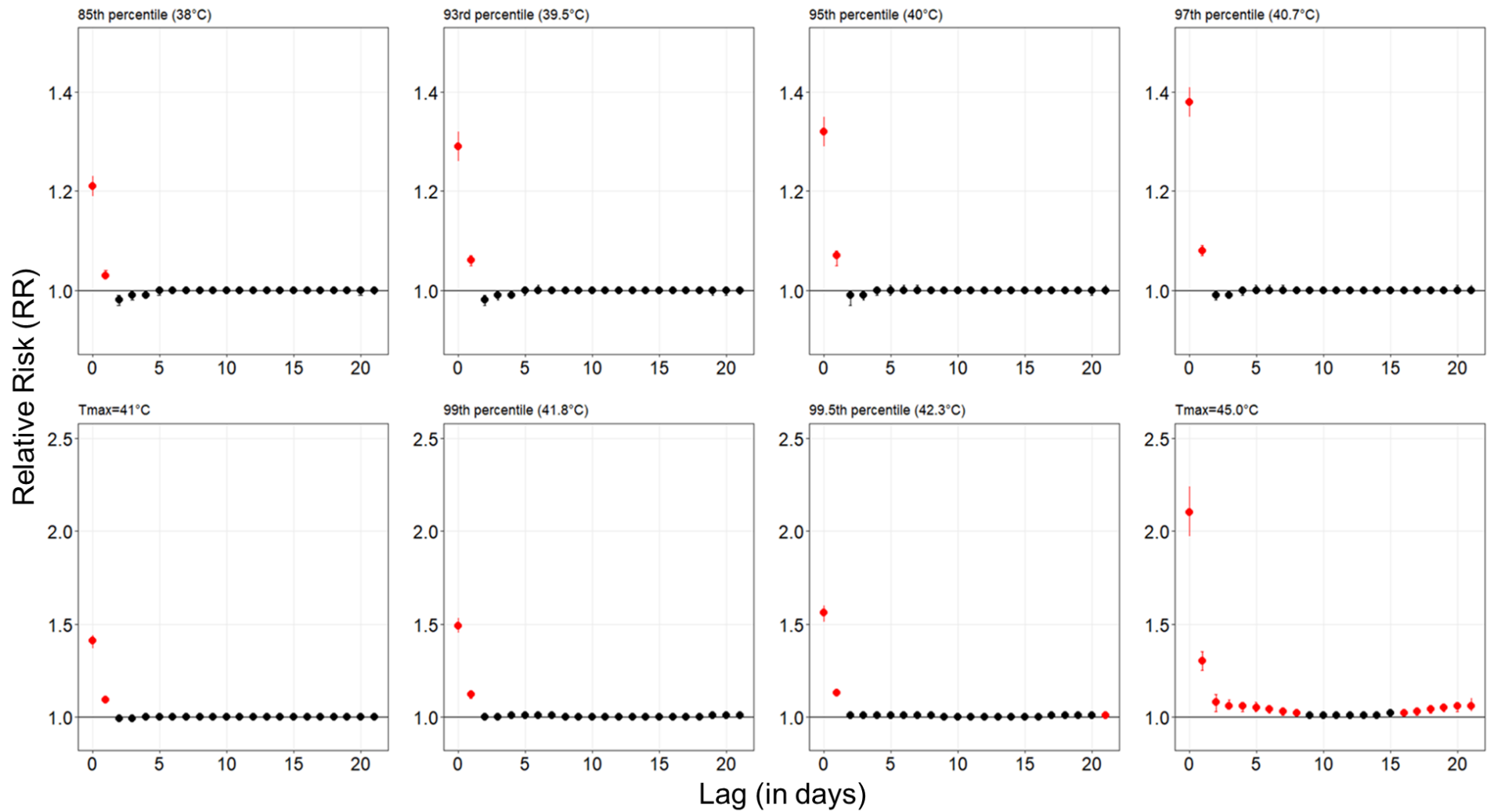


Fig. 5 Lag-specific effect on the relative risk (RR) (at 95% CI) of all-cause mortality at different temperature thresholds in Ahmedabad from 2002-2018 [Red colored error bars represent significant positive RR, while the black represents non-significant RR]

## List of Tables

### The effects of extreme heat events on all-cause mortality: A case study in Ahmedabad city of India, 2002-2018

Table 1- Threshold based classification of different extreme heat events in Ahmedabad city of, India, from 2002 to 2018

EHEs threshold	EHEs intensity	EHEs definition	No. of days
Absolute		$T_{\max} \geq 40^{\circ}\text{C}$	322
		$T_{\max} \geq 41^{\circ}\text{C}$	164
		$T_{\max} \geq 45^{\circ}\text{C}$	2
Relative	Low	$T_{\max} \geq \text{P85}$	952
		$T_{\max} \geq \text{P90}$	652
		$T_{\max} \geq \text{P93}$	449
	High	$T_{\max} \geq \text{P95}$	322
		$T_{\max} \geq \text{P97}$	198
		$T_{\max} \geq \text{P99}$	77
		$T_{\max} \geq \text{P99.5}$	33

Note: P85 to P99.5 represent the 85<sup>th</sup> to 99.5<sup>th</sup> percentile values

Table 2- Daily number of all-cause mortality and weather variables from 2002-2018 in Ahmedabad, India

	<b>Total Deaths (%)</b>	<b>Average daily deaths/100,000</b>	<b>Mean</b>	<b>Min</b>	<b>P50</b>	<b>P95</b>	<b>Max</b>
Total deaths	684,142 (100)	2.19	111	49	107	156	315
Male deaths	411,749 (60)	1.32	67	25	64	96	176
Female deaths	272,349 (40)	0.87	44	13	43	64	141
<b>Weather Variables</b>							
T <sub>max</sub> (°C)	-	-	32	15	32	40	45
T <sub>min</sub> (°C)	-	-	21	6	23	28	32
T <sub>avg</sub> (°C)	-	-	26	13	27	33	37

Note: P50 and P95 represent the 50<sup>th</sup> and 95<sup>th</sup> percentile values

Table 3- Relative risk (at 95% CI) of all-cause mortality at different temperature thresholds in Ahmedabad, India, from 2002-2018

<b>Temperature thresholds</b>	<b>All-sex RR (95% CI)</b>	<b>Male RR (95% CI)</b>	<b>Female RR (95% CI)</b>
T <sub>max</sub> =40°C	1.34 (1.29, 1.39)	1.29 (1.33, 1.35)	1.41 (1.33, 1.50)
T <sub>max</sub> =41°C	1.51 (1.46, 1.57)	1.45 (1.38, 1.52)	1.62 (1.53, 1.72)
T <sub>max</sub> =45°C	4.50 (3.63, 5.58)	4.08 (3.09, 5.39)	5.15 (3.68, 7.21)
P85 (38.0°C)	1.16 (1.13, 1.19)	1.13 (1.09, 1.17)	1.20 (1.15, 1.26)
P90 (38.9°C)	1.22 (1.18, 1.26)	1.18 (1.14, 1.23)	1.27 (1.21, 1.34)
P93 (39.5°C)	1.28 (1.23, 1.32)	1.23 (1.18, 1.29)	1.34 (1.27, 1.42)
P95 (40.0°C)	1.34 (1.29, 1.39)	1.29 (1.33, 1.35)	1.41 (1.33, 1.50)
P97 (40.7°C)	1.45 (1.40, 1.51)	1.39 (1.33, 1.46)	1.55 (1.46, 1.64)
P99 (41.8°C)	1.73 (1.67, 1.80)	1.64 (1.56, 1.73)	1.88 (1.76, 2.00)
P99.5 (42.3°C)	1.92 (1.83, 2.01)	1.81 (1.70, 1.92)	2.09 (1.95, 2.25)



**Declaration of interests**

☒ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

1     **CRedit author statement**

- 2     Ayushi Sharma and Yu-Chun Wang: Conceptualization; Priya Dutta, Priyanka Shah, Hao He and Veena  
3     Iyer: Data curation; Yu-Chun Wang, Amir Sapkota, and Chuansi Gao: Funding acquisition; Ayushi  
4     Sharma: Formal analysis; Ayushi Sharma and Yu-Chun Wang: Writing - Original Draft; Ayushi  
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6     Writing – Review & Editing  
7     All authors read and approved the final version of the manuscript.