

1                   **THEMIS observations of magnetosheath-origin foreshock ions**

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7                   **Key points**

8                   1. Using observations of bow shock crossings by THEMIS, we investigate the magnetosheath-  
9                   origin foreshock ions.

10                  2. Foreshock ion density, velocity, phase space density, and distribution shape are consistent with  
11                  non-adiabatic magnetosheath leakage.

12                  3. Magnetosheath ion field-aligned anisotropy could cause leakage to become a dominant source  
13                  of foreshock ions.

14                  **Abstract**

15                  The ion foreshock, filled with backstreaming foreshock ions, is very dynamic with many  
16                  transient structures that disturb the bow shock and the magnetosphere-ionosphere system. It has  
17                  been shown that foreshock ions can be generated through either solar wind reflection at the bow  
18                  shock or leakage from the magnetosheath. While solar wind reflection is widely believed to be the  
19                  dominant generation process, our investigation using THEMIS observations reveals that the  
20                  relative importance of magnetosheath leakage has been underestimated. We show from case  
21                  studies that when the magnetosheath ions exhibit field-aligned anisotropy, a large fraction of them  
22                  attains sufficient field-aligned speed to escape upstream, resulting in very high foreshock ion

23 density. The observed foreshock ion density, velocity, phase space density, and distribution  
24 function shape are consistent with such an escape or leakage process. Our results suggest that  
25 magnetosheath leakage could be a significant contributor to the formation of the ion foreshock.  
26 Further characterization of the magnetosheath leakage process is a critical step towards building  
27 predictive models of the ion foreshock, a necessary step to better forecast foreshock-driven space  
28 weather effects.

29 **1. Introduction**

30 The ion foreshock is the region filled with backstreaming ions, upstream of the bow shock  
31 (see review by Eastwood et al., 2005). Because of the interaction between foreshock ions and solar  
32 wind ions, the ion foreshock is very dynamic and replete with many types of waves and transient  
33 structures (see review by Wilson, 2016; Zhang et al., 2022). These foreshock waves and foreshock  
34 transients can disturb the bow shock, magnetopause, and magnetosphere-ionosphere system. For  
35 example, they can drive magnetospheric ultra-low frequency waves, field-line resonances, and  
36 field-aligned currents that result in ionospheric traveling convection vortices and auroral  
37 brightening (e.g., Troitskaya et al., 1971; Sitar and Clauer, 1998; Fillingim et al., 2011; Eastwood  
38 et al., 2011; Hartinger et al., 2013; Wang B. et al., 2018, 2019, 2020, 2021; Liu et al., 2022a).  
39 However, these foreshock-driven disturbances are still not predictable. In order to forecast them,  
40 a predictive model of foreshock ions is necessary. To build such a model we need to first  
41 understand how the foreshock ions are generated.

42 The ion foreshock arises when the angle between the interplanetary magnetic field (IMF) and  
43 the bow shock normal,  $\theta_{Bn}$ , is less than  $\sim 70^\circ$  (see review by Burgess et al., 2012). One of the  
44 origins of foreshock ions is the solar wind reflection at the bow shock. Sonnerup (1969) derived  
45 the velocity of reflected solar wind ions at the bow shock in general situations. If the first adiabatic

46 invariant is conserved, i.e., if reflection is adiabatic (e.g., Schwartz et al., 1983), the field-aligned  
47 solar wind ions in the de Hoffman Teller frame (HTF) reverse their parallel velocity at the shock.  
48 Transforming to the shock normal incidence frame (NIF) (around the bow shock nose, this would  
49 be close to the spacecraft rest frame), the solar wind ions gain two times the de Hoffman Teller  
50 (HT) velocity ( $V_{HT}$ ; the velocity of the HTF relative to the NIF) after the reflection. This energy  
51 gain in the NIF comes from gradient drift at the shock surface along the convection electric field,  
52 i.e., from shock drift acceleration (see review by Burgess et al., 2012).

53 Another origin of the foreshock ions is magnetosheath leakage (Edmiston et al., 1982). Due  
54 to the very large thermal speed of magnetosheath ions, some of them might be fast enough to  
55 escape upstream. In the leakage model by Edmiston et al. (1982), the first adiabatic invariant is  
56 assumed to be conserved, whereas in the model by Schwartz et al. (1983) leakage along the shock  
57 normal direction was also included. Schwartz and Burgess (1984) showed that both leakage and  
58 reflection mechanisms exist in observations. However, hybrid simulations and test particle  
59 simulations (e.g., Burgess and Luhmann, 1986; Burgess, 1987; Oka et al., 2005) showed that  
60 reflection is more likely the dominant origin.

61 In the models and simulations, the magnetosheath ions are considered to be isotropic or  
62 symmetric along the field-aligned direction. Using the Time History of Events and Macroscale  
63 Interactions during Substorms mission (THEMIS), we examine more than 500 bow shock  
64 crossings that are associated with foreshock ions. We show that the magnetosheath ions frequently  
65 exhibit field-aligned anisotropy towards the upstream direction which enhances the magnetosheath  
66 leakage. Thus, the role of magnetosheath leakage could have been underestimated. In Section 2,  
67 we introduce the THEMIS dataset. We present two case studies with and without field-aligned

68 anisotropy and describe a non-adiabatic leakage process based on the observations in Section 3.  
69 We discuss our results in Section 4 and summarize in Section 5.

70 **2. Data**

71 We use data from the THEMIS mission (TH-A, TH-D, and TH-E spacecraft; Angelopoulos,  
72 2008) while it was in fast survey mode (i.e., with distribution functions at maximum time  
73 resolution,  $\sim 3$ s) from 2016 to 2019. We analyze plasma data from electrostatic analyzer (ESA)  
74 (McFadden et al., 2008) and DC magnetic field data from fluxgate magnetometer (Auster et al.,  
75 2008). OMNI data is used for the pristine solar wind data. We calculate foreshock ion moments  
76 by removing solar wind ions from ion distribution functions by setting up a velocity radius around  
77 the solar wind (see detailed method in Liu et al. (2017)).

78 For each of  $\sim 500$  bow shock crossings that are associated with foreshock ions (by examining  
79 the ion energy spectra), we manually select downstream time intervals (listed in the supporting  
80 information). To quantify the anisotropy of magnetosheath ions in the field-aligned direction, we  
81 calculate the heat flux along the field-aligned direction. We select two events for case studies in  
82 the next section, which have similar upstream parameters but very different magnetosheath  
83 anisotropy, leading to very different foreshock ion densities. Detailed statistical results can be  
84 found in the accompanying paper (Liu et al., 2023 submitted to JGR).

85 **3. Results**

86 **3.1 Event 1**

87 Figure 1 shows an overview of bow shock crossing by TH-D at  $[12.3, 2.2, 3.4]$   $R_E$  in  
88 Geocentric Solar Ecliptic coordinates (GSE). The bow shock normal is  $[0.93, 0.17, 0.31]$  in GSE,  
89 calculated using the coplanarity method with both time-averaged magnetic field and velocity data

90 (Schwartz, 1998), which is consistent with [0.97, 0.13, 0.18] in GSE from the Merka et al. (2005)  
91 model. The Alfvén Mach number is quite large,  $\sim 19$ , due to the very low IMF strength ( $\sim 2.5$  nT)  
92 calculated using solar wind speed from OMNI ( $\sim 350$  km/s). In the later calculation, we ignore the  
93 bow shock normal velocity, because it was very small ( $\sim 15$  km/s earthward from conservation of  
94 mass flux (Schwartz, 1998)) compared to the foreshock ion velocity. Additionally, because the  
95 bow shock normal velocity was not constant and switched direction (the spacecraft crossed back  
96 into the magnetosheath  $\sim 10$  min later, i.e., the spacecraft was close to the bow shock for a long  
97 period), the obtained velocity from one crossing cannot represent the entire time interval. Figures  
98 1d and 1e show the parallel and anti-parallel energy flux of ions, those with pitch angles smaller  
99 than  $80^\circ$  and larger than  $100^\circ$ , respectively. Although the solar wind (the intense, narrow energy  
100 band flux at  $\sim 500$  eV in Figure 1e) was in the anti-parallel direction, the magnetosheath energy flux  
101 was overall stronger in the parallel direction. Upstream of the bow shock, the ions with energy  
102 higher than the solar wind ions were the foreshock ions (the broad energy band above 500 eV).  
103 Their energy flux was very close to that of the magnetosheath ions with high energy.

104 In this event, the bow shock was oblique with  $\theta_{Bn}$  oscillating between  $45^\circ$  and  $60^\circ$  (Figure  
105 2c). Corresponding to the  $\theta_{Bn}$  oscillation, the foreshock ion density, velocity, and energy flux were  
106 also oscillating (Figures 2b, 2e-g). For the ions to stream upstream away from the bow shock, their  
107 parallel velocity projection along the bow-shock-normal component must be faster than this  
108 component of  $E \times B$  velocity, i.e.,  $\mathbf{V}_{\parallel} \cdot \mathbf{n} + \mathbf{V}_{E \times B} \cdot \mathbf{n} > 0$ . Figure 2d shows this minimum required  
109 parallel velocity in the spacecraft frame (nearly NIF). The oscillation of this minimum parallel  
110 velocity (caused by  $\theta_{Bn}$  oscillation) can partially explain the observed foreshock ion velocity  
111 oscillation (Figure 2e). The observed foreshock ion parallel speed (Figure 2f) and foreshock ion  
112 density (Figure 2g) show very strong correlation (note that the parallel speed axis is reversed).

113 These results suggest a possibility that the foreshock ions could be leaked magnetosheath ions with  
114 parallel speed exceeding a threshold for leakage, such that a larger speed threshold due to a larger  
115  $\theta_{Bn}$  may cause fewer ions to escape.

116 To further investigate whether and how the leakage may have occurred, we analyze the ion  
117 distributions. Figures 3a and 3b show the magnetosheath ion distributions in the BV plane, where  
118 the horizontal axis is along the magnetic field and the plane contains the ion bulk velocity, and in  
119 the BE plane, where the plane contains the convection electric field instead. The distributions are  
120 averaged over the time interval corresponding to the orange shaded region in Figure 2 (also see  
121 similar ion distributions during other time intervals in Figure S1 in the supporting information).  
122 We chose 1-min time windows in order to smooth out the large field fluctuations. The  
123 magnetosheath ions show very strong anisotropy in the parallel direction, leading to parallel heat  
124 flux of  $\sim 7.2 \times 10^{10}$  eV/cm<sup>2</sup>/s (heat flux from 1-count noise is  $\sim 1 \times 10^9$  eV/cm<sup>2</sup>/s). This strong  
125 anisotropy is also seen from the parallel and anti-parallel energy spectra in Figure 1.

126 In the upstream region corresponding to the yellow shaded region in Figure 2, the foreshock  
127 ions were agyrotropic, and their parallel speeds depend on their gyrophases and pitch angles  
128 (Figures 3c and 3d). However, if we simply use the magnetosheath coordinates (identical to those  
129 in Figures 3a and 3b) to plot the foreshock ion distributions, the foreshock ions become quite  
130 “field-aligned” and “gyrotropic” (Figures 3e and 3f), with only a slight asymmetry. The shape and  
131 phase space density of the foreshock ion distributions were almost the same as the parallel  
132 population of the magnetosheath ions.

133 Such a comparison suggests a non-adiabatic leakage process. When some magnetosheath ions  
134 had field-aligned velocity projection along the bow-shock-normal component faster than this  
135 component of E×B velocity (vertical line #1 in Figure 3, average value from the orange shaded

136 region), they can reach the bow shock and may be able to escape. Such a speed cutoff was  
137 consistent with the foreshock ion distributions in Figures 3e and 3f at small gyrovelocity. When  
138 these ions crossed the bow shock, their average motion direction only had a small change and was  
139 still roughly along the magnetosheath magnetic field rather than the IMF, causing partial gyration.  
140 Not all of them were able to stream far away from the bow shock, depending on their new  
141 gyrovelocity and parallel speed (vertical line #2 is from Figure 2d averaged over the yellow shaded  
142 region).

143 The reason why the leakage process is non-adiabatic is probably the very low field strength.  
144 Although the magnetosheath field strengths due to large-amplitude waves reached up to 20 nT  
145 (Figure 2a), the magnitude of average magnetic field vector  $|\langle \mathbf{B} \rangle|$  was only  $\sim 5.1$  nT, whereas the  
146 IMF strength was  $\sim 2.5$  nT. As a result, the gyroradii of leaked ions can be 1000s of km when they  
147 crossed the bow shock, a large value compared to the shock thickness (100s of km).

148 To confirm the leakage more quantitatively, we calculate the partial density of magnetosheath  
149 ions which were faster than a speed threshold and compare it with the foreshock ion density.  
150 Ideally, we need to calculate the partial density beyond vertical line #1 in Figure 3a. However,  
151 because we do not have simultaneous magnetosheath observations to calculate this speed threshold,  
152 we use the parallel speed in Figure 2d as a proxy (corresponding to vertical line #2). (Theoretically,  
153 the two speed thresholds, in the spacecraft and NIF frame, can be calculated from  $\mathbf{V}_{HT}$  along the  
154 upstream and downstream magnetic field, but because the coplanarity of magnetic field was poorly  
155 satisfied, such a calculation cannot be used.) In Figure 2h, the calculated partial magnetosheath  
156 density from an averaged magnetosheath ion distribution shows a variability that is similar to the  
157 observed foreshock ion density (Figure 2g), and their magnitudes also roughly match. This

158 similarity is consistent with the distribution function comparison in Figure 3 and further confirms  
159 the non-adiabatic leakage process.

160 Below we discuss some other processes that could happen during the leakage. For adiabatic  
161 leakage, magnetosheath ions perform magnetic gradient drift along the convection electric field  
162 and gain energy. In this event, however, the average motion of leaked ions changed only slightly  
163 across the bow shock indicating that such acceleration barely worked on average. For individual  
164 ions, on the other hand, the velocity direction can vary considerably across the bow shock. If the  
165 velocity direction variation in the HTF decreased (increased) the angle between the ion velocity  
166 and  $V_{HT}$ , the ion energy increased (decreased) across the bow shock in the NIF. This means that  
167 the maximum possible speed of leaked ions in the NIF can be calculated by adding  $V_{HT}$  (~200-  
168 400 km/s) to the maximum magnetosheath ion speed in the HTF (~1000 km/s based on  
169 perpendicular speed in Figure 3b). Shown as the black line in Figure 2b, the energy corresponding  
170 to this maximum speed roughly matches the maximum energy of the ion spectrum.

171 Another important process is the cross-shock potential, which can accelerate leaked ions  
172 across the bow shock. The cross-shock potential is typically tens to several hundred Volts (e.g.,  
173 Schwartz et al., 2021), which determines the minimum speed of leaked ions in the HTF (e.g.,  
174 Schwartz et al., 1983). Based on the electron temperature increase across the bow shock (e.g.,  
175 Schwartz et al., 1988), the cross-shock potential is estimated as ~20 V. Thus, its effect could be  
176 too weak to be seen for those keV ions.

177 The solar wind reflection and magnetosheath leakage are not necessarily exclusive. The  
178 vertical line #3 indicates the parallel speed of adiabatic reflection. It lies within the foreshock ion  
179 distributions, suggesting that the solar wind reflection might also contribute simultaneously.

180 Additionally, due to the very low field strength, it is also possible that the reflection process was  
181 non-adiabatic.

182 In summary, this case study suggests that due to the strong anisotropy of the magnetosheath  
183 ions in the parallel direction towards the upstream region, a large fraction of magnetosheath ions  
184 were moving fast enough to escape upstream, causing the ratio of foreshock ion density to solar  
185 wind density to be unusually high (more than 20%). After they leaked out, their average motion  
186 was still roughly following the magnetosheath field-aligned direction, meaning a non-adiabatic  
187 process likely due to the very low field strength and thus very large ion gyroradii.

188 The magnetosheath field-aligned anisotropy is not rare. Three more examples can be found  
189 in the supporting information (Figures S2-S4). In Figure S3, both the leakage population caused  
190 by the magnetosheath anisotropy and the diffuse foreshock population that traveled back to the  
191 magnetosheath can be seen. Likely related to the ion gyroradii, the leaked ions were more aligned  
192 with the IMF than with the magnetosheath field line in two examples (Figures S3 and S4),  
193 suggesting a more adiabatic process (the IMF strength was  $\sim 8$  nT and 5 nT in these two cases,  
194 respectively). Statistical study in the accompanying paper (Liu et al., 2023 submitted to JGR)  
195 shows that most of events have field-aligned heat flux towards the upstream direction and  $\sim 56\%$   
196 of them are more than  $5 \times 10^{10}$  eV/cm<sup>2</sup>/s. The possible causes of this anisotropy are discussed in  
197 Section 4.

198 **3.2 Event 2**

199 As a comparison, we present an example with isotropic magnetosheath ions, observed by TH-  
200 A at [11.9, -3.5, 4.9] R<sub>E</sub> in GSE. The bow shock normal from the mixed-mode coplanarity method,  
201 [0.93, -0.19, 0.28] in GSE, is consistent with [0.95, -0.13, 0.27] in GSE from the Merka et al. (2015)  
202 model. Figures 4d and 4e show that the magnetosheath ion parallel and anti-parallel flux were

similar, suggesting rather isotropic distributions (the parallel heat flux was only  $\sim 1.6 \times 10^{10}$  eV/cm<sup>2</sup>/s). Likely due to the isotropic distributions, there was weaker wave activity in this event compared to Event 1 and the average magnetic field on the two sides of the bow shock satisfied the coplanarity property much better than in Event 1. As shown in Figures 4a-c, the solar wind field strength, density, velocity, and the calculated Alfvén Mach number ( $\sim 18$ ) were all very close to those in Event 1. Although  $\theta_{Bn}$  was also within  $45^\circ - 60^\circ$  (Figure 5c) like in Event 1, the foreshock ion density (Figure 5g) was  $\sim 10$  times smaller than that in Event 1. This indicates that the magnetosheath field-aligned anisotropy indeed significantly enhanced the foreshock ion density.

Without the anisotropy, the magnetosheath leakage would be weak but might still occur. Similar to Event 1, the calculated minimum parallel speed needed to stream away from the bow shock (Figure 5d) partially explains the observed foreshock ion velocity variation (Figure 5e). The observed foreshock ion parallel speed (Figure 5f) was correlated with the observed foreshock ion density (Figure 5g). The calculated partial magnetosheath density (Figure 5h), using the cutoff speed from Figure 5d, shows similar variation as the observed foreshock ion density. The calculated partial density overestimates the observed density because the cutoff speed from Figure 5d underestimates the magnetosheath escape speed (see vertical lines #1 and #2 in Figure 6).

Figure 6 compares the ion distributions in the magnetosheath and in the foreshock. Similarly, the foreshock ion distribution in the BE plane (Figures 6d and 6f) was more symmetric along the magnetosheath magnetic field than along the IMF. Additionally, the distribution in the BV plane (Figures 6c and 6e) was likely following a direction between the magnetosheath magnetic field and the IMF. This suggests the process was non-adiabatic but was closer to an adiabatic process than that in Event 1, probably due to the smaller ion gyroradii at the bow shock (although the IMF

strength was similar,  $\sim 2.2$  nT, the magnetosheath  $|\langle \mathbf{B} \rangle|$  was  $\sim 10.1$  nT). The maximum possible speed of leaked ions in the NIF was the maximum magnetosheath ion speed in the HTF ( $\sim 900$  km/s based on Figure 6b) plus  $V_{HT}$  ( $\sim 300$ - $500$  km/s). Shown as the black line in Figure 5b, this maximum energy roughly matches the spectrum. These results suggest that the magnetosheath leakage might still contribute to the foreshock ions in this event, although much weaker than event 1.

The distribution function shape of foreshock ions was much more curved and broadened in the BE plane than in the BV plane, meaning very strong agyrotropy (Figures 6c and 6d). The magnetosheath ions were also more broadened along the convection electric field direction (Figure 6b) than along the  $E \times B$  direction (Figure 6a). It is possible that due to their larger gyrovelocity in the BE plane, more magnetosheath ions on that plane can escape upstream than those in the BV plane. This preference likely amplified the agyrotropy of foreshock ions. Further work including simulations is needed to fully explain the distribution function shape beyond the qualitative analysis presented here.

The velocity of adiabatic reflection (vertical line #3) lies within the foreshock ion distributions. Because only a small fraction of magnetosheath ions is expected to leak out, the solar wind reflection could have a more significant impact than in Event 1. However, it is very difficult for observations to determine what is the relative contribution of reflection and leakage. Separating the two contributions in the future requires simulations.

### 3.3 Leakage process summary

We briefly summarize the magnetosheath leakage process (see sketch in Figure 7). In the HTF, the magnetosheath ion bulk velocity is along the magnetosheath field line, in the downstream direction (purple arrow). Due to their very large thermal speeds, some ions can have velocities

249 directed upstream. When they cross the bow shock, they can be further accelerated by the cross-  
250 shock potential and scattered by the shock structures. Likely depending on the ion gyroradii at the  
251 bow shock relative to the shock thickness, the bulk motion of leaked ions (orange arrow) is  
252 between the magnetosheath field line direction (“fully” non-adiabatic) and IMF direction  
253 (adiabatic). Transforming to the NIF or spacecraft frame around the bow shock nose, the leaked  
254 ions can gain an additional field-aligned speed (and  $E \times B$  speed) from  $V_{HT}$ .

255 After the leakage, the leaked ions project their initial velocity along the IMF direction and  
256 become agyrotropic. As the magnetosheath field line direction is titled further away from the shock  
257 normal than the IMF direction, the projection from the magnetosheath field-aligned velocity into  
258 the perpendicular direction is always towards the bow shock. Due to this new gyrovelocity  
259 direction, the new field-aligned velocity has a minimum threshold for the leaked ions to stream  
260 away before they gyrate back to the bow shock (without gyrovelocity, this threshold is zero in the  
261 HTF). Additionally, depending on gyrophase, the projection from initial gyrovelocity can  
262 contribute negative field-aligned velocity, which could make the new field-aligned velocity  
263 towards the downstream direction. Thus, depending on their new gyrovelocity and field-aligned  
264 velocity in the upstream region, not all the leaked ions can stream far away from the bow shock  
265 along the IMF to contribute to the ion foreshock. For example, only the ions beyond at least the  
266 vertical line #2 in Figures 3c and 3d could stream far upstream. Thus, if there was a spacecraft  
267 further upstream (more than one foreshock ion gyroradius away), it would observe more field-  
268 aligned and more gyrotropic foreshock ions.

269 There is no reason for the magnetosheath leakage and solar wind reflection not to occur  
270 simultaneously. In the HTF, the maximum parallel speed of leaked ions is nearly the maximum  
271 speed of magnetosheath ions (and the minimum parallel speed is determined by the cross-shock

272 potential), whereas the parallel speed of adiabatic reflection is the solar wind parallel speed in the  
273 HTF (green arrows),  $V_{SW_{NIF}} / \cos \theta_{Bn}$  (Schwartz et al., 1983). For  $\theta_{Bn} < 60^\circ$ , the adiabatic  
274 reflection speed ( $< 2V_{SW_{NIF}}$ ) is very likely smaller than or comparable to the maximum  
275 magnetosheath ion speed in the HTF. At small  $\theta_{Bn}$ , the reflected ions can become very diffuse,  
276 resulting in their bulk speed becoming comparable to the minimum parallel speed of the leaked  
277 ions. This means that the reflected ion contribution usually overlaps that of the leaked ions, and  
278 the two populations are very difficult to separate in observations. Nevertheless, when the  
279 magnetosheath ions exhibit strong field-aligned anisotropy towards the upstream, the leakage is  
280 expected to play a more important role.

281 The above considerations assumed a steady bow shock. When the bow shock is unstable and  
282 moves back-and-forth rapidly, the magnetosheath ions may not respond immediately. Assuming  
283 roughly the same magnetosheath ion distributions, under such rapid bow shock variations the  
284 relative speed between the magnetosheath ions and the bow shock also oscillates. Such oscillation  
285 could cause the magnetosheath ions to leak out more easily or less easily at the same periodicity.

286 **4. Discussion**

287 The field-aligned anisotropy of magnetosheath ions occurs frequently near the bow shock and  
288 has a strong preference towards the upstream direction. This means that there could be an ion  
289 source from further downstream. One possible source could be magnetospheric leakage (e.g.,  
290 Anagnostopoulos et al., 1986, 2000; Sibeck et al., 1988). However, the IMF  $B_z$  was northward in  
291 Event 1, so at least magnetopause reconnection was unlikely. Another possible source arises as the  
292 field lines in the magnetosheath approach the magnetopause: they pile up and wrap around  
293 resulting in mirror force. Some of the fully heated magnetosheath ions could stream back towards

294 the bow shock and mix with the newly heated magnetosheath ions leading to anisotropy. The case  
295 studies and statistical studies such as by Liu et al. (2023 submitted to JGR) support the latter  
296 possibility. Global hybrid simulations and multi-point observations could be conducted to further  
297 investigate the reason for the anisotropy in the future.

298 In order to forecast the foreshock-driven space weather effects, we need to establish  
299 predictive models of foreshock ions as a function of solar wind parameters. However, with almost  
300 the same shock parameters, the foreshock ion properties can be very different; this is how the two  
301 events are found. As discussed above, the cause of this difference is likely associated with the  
302 curved shape of the bow shock and magnetopause. Compared to ideal planar shocks, the  
303 downstream boundary of the sheath region, the magnetopause, could provide effects that propagate  
304 against the subsonic sheath flow towards the shock (e.g., local ion foreshock caused by a flux  
305 transfer event (Pfau-Kempf et al., 2016)). In other words, the simulations and theoretical models  
306 based on planar shocks could be insufficient to describe the Earth's bow shock and foreshock.  
307 More global models are needed.

308 When strong leakage occurs, the very high density of leaked ions could significantly modify  
309 the upstream conditions and strongly violate the MHD shock description. For example, the  
310 coplanarity of magnetic field across the bow shock in Event 1 was poorly satisfied. How to  
311 describe the shock jump conditions self-consistently under this situation is not trivial and requires  
312 future investigation.

313 **5. Summary**

314 Using THEMIS observations, we conduct two case studies to understand the origin of  
315 foreshock ions. We show that magnetosheath leakage could be a dominant source of foreshock

316 ions when the magnetosheath ions exhibit strong field-aligned anisotropy in the upstream direction.  
317 The observations suggest a non-adiabatic leakage process in which the bulk motion of leaked ions  
318 deviates from the IMF direction, preferentially towards the magnetosheath field direction. Such a  
319 non-adiabatic process is likely caused by the very low field strength and thus the large ion gyroradii.

320 Our observations show that, due to the field-aligned anisotropy of magnetosheath ions, the  
321 foreshock ion density can be unusually large. Large foreshock ion density is a favorable condition  
322 for the occurrence and fast expansion of foreshock transients (Liu et al., 2022b, 2023; Vu et al.,  
323 2023). Therefore, the role of foreshock-driven perturbations (e.g., Wang B. et al., 2018, 2019) and  
324 particle acceleration (e.g., Liu et al., 2019; Turner et al., 2018) could have been underestimated by  
325 the use of planar shock models in prior investigations.

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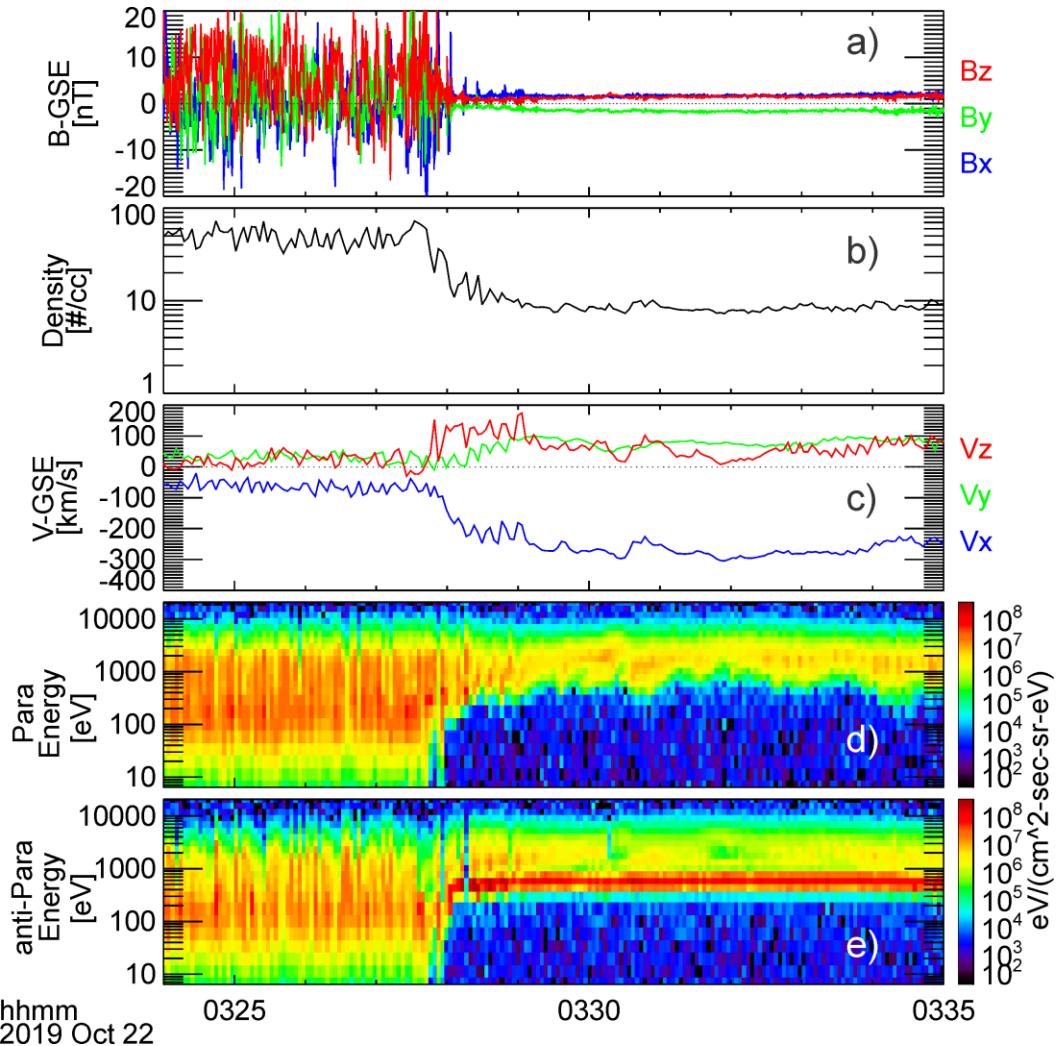
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337 **Data availability statement**

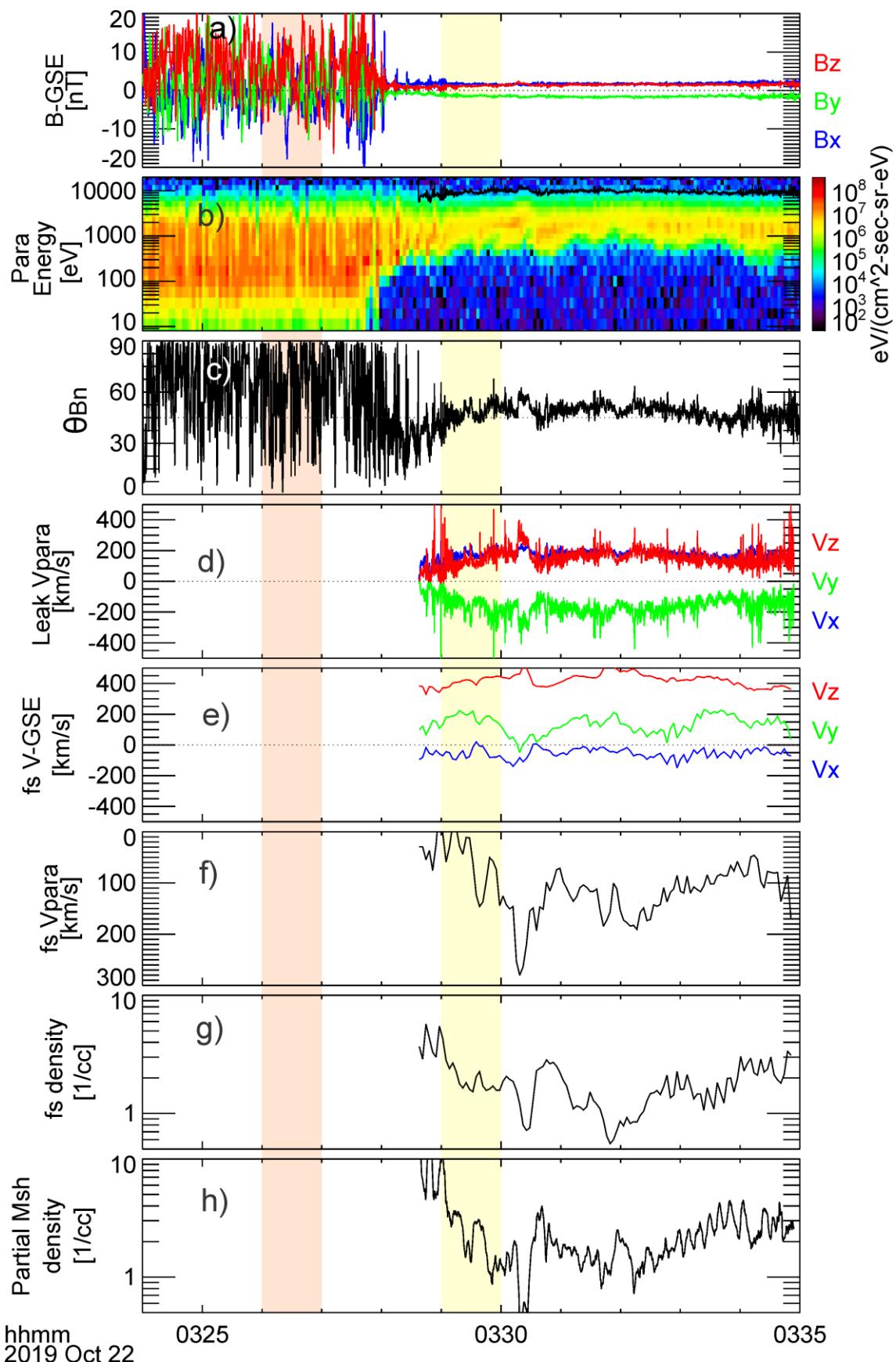
338       THEMIS dataset are available at NASA's Coordinated Data Analysis Web (CDAWeb,  
339       <http://cdaweb.gsfc.nasa.gov/>). The SPEDAS software (see Angelopoulos et al. (2019)) is available  
340       at <http://themis.ssl.berkeley.edu>. The event list can be found in Table S1 in the supporting  
341       information.

342

343

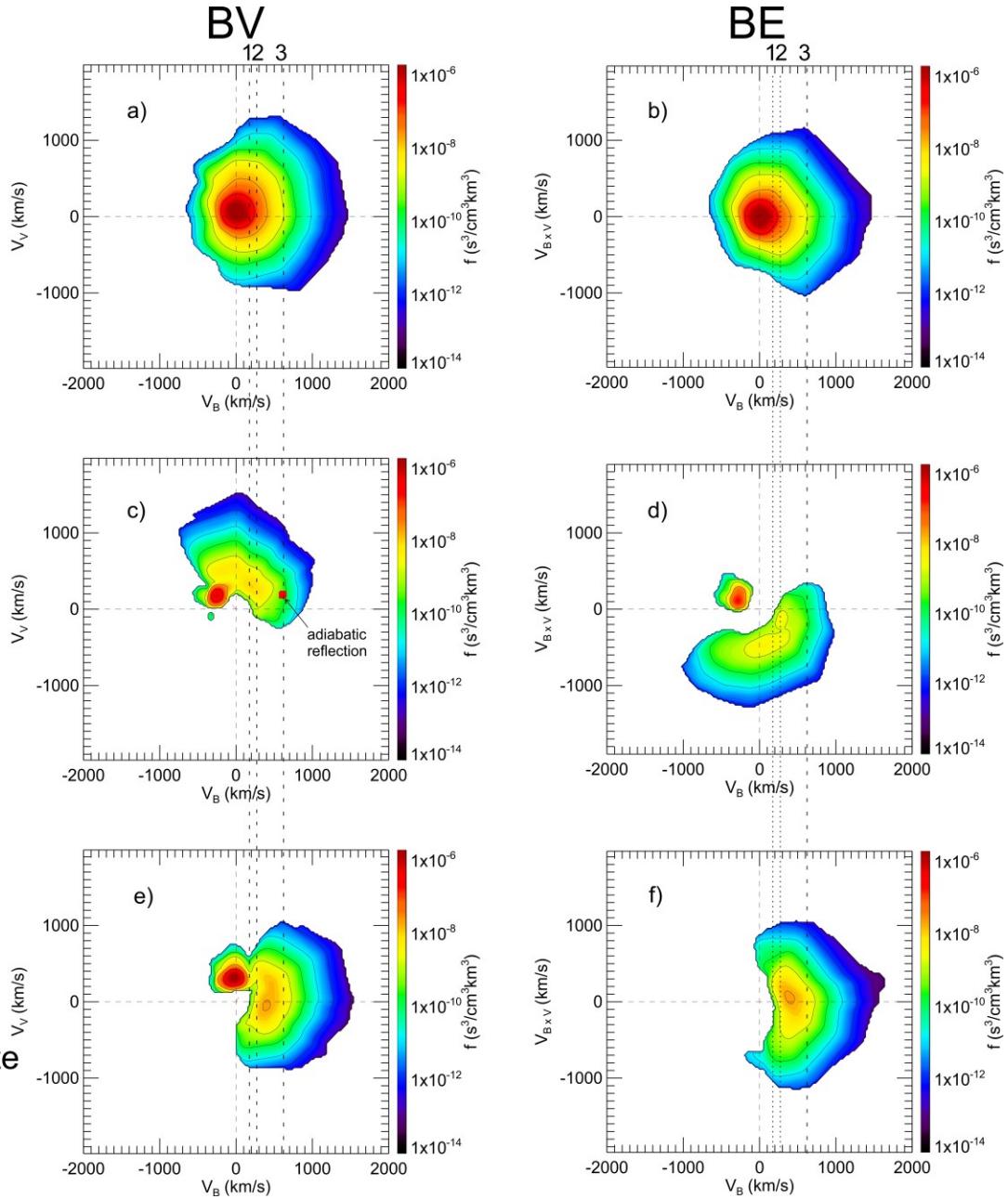


346 **Figure 1.** Overview plot of Event 1. From top to bottom: (a) magnetic field, (b) density, (c) ion  
 347 bulk velocity, (d) ion parallel energy flux with pitch angle smaller than  $80^\circ$ , and (e) ion anti-parallel  
 348 energy flux with pitch angle larger than  $100^\circ$ .



350 **Figure 2.** Continuation of Figure 1, showing derived products for Event 1. Panels (a) and (b) are  
351 repeats of panels (a) and (d) from Figure 1. For the rest panels from top to bottom: (c)  $\theta_{Bn}$ , (d) the  
352 minimum parallel speed needed to stream away from the bow shock, (e) the observed foreshock  
353 ion bulk velocity, (f) the observed foreshock ion parallel speed (with vertical axis reversed), (g)  
354 the observed foreshock ion density, (h) the calculated partial density of magnetosheath ions with  
355 cutoff velocity from panel (d) and averaged ion distributions during 03:26:06 to 03:27:19 UT. The  
356 orange and yellow shaded regions indicate the time interval of ion distributions in Figure 3.

Msh

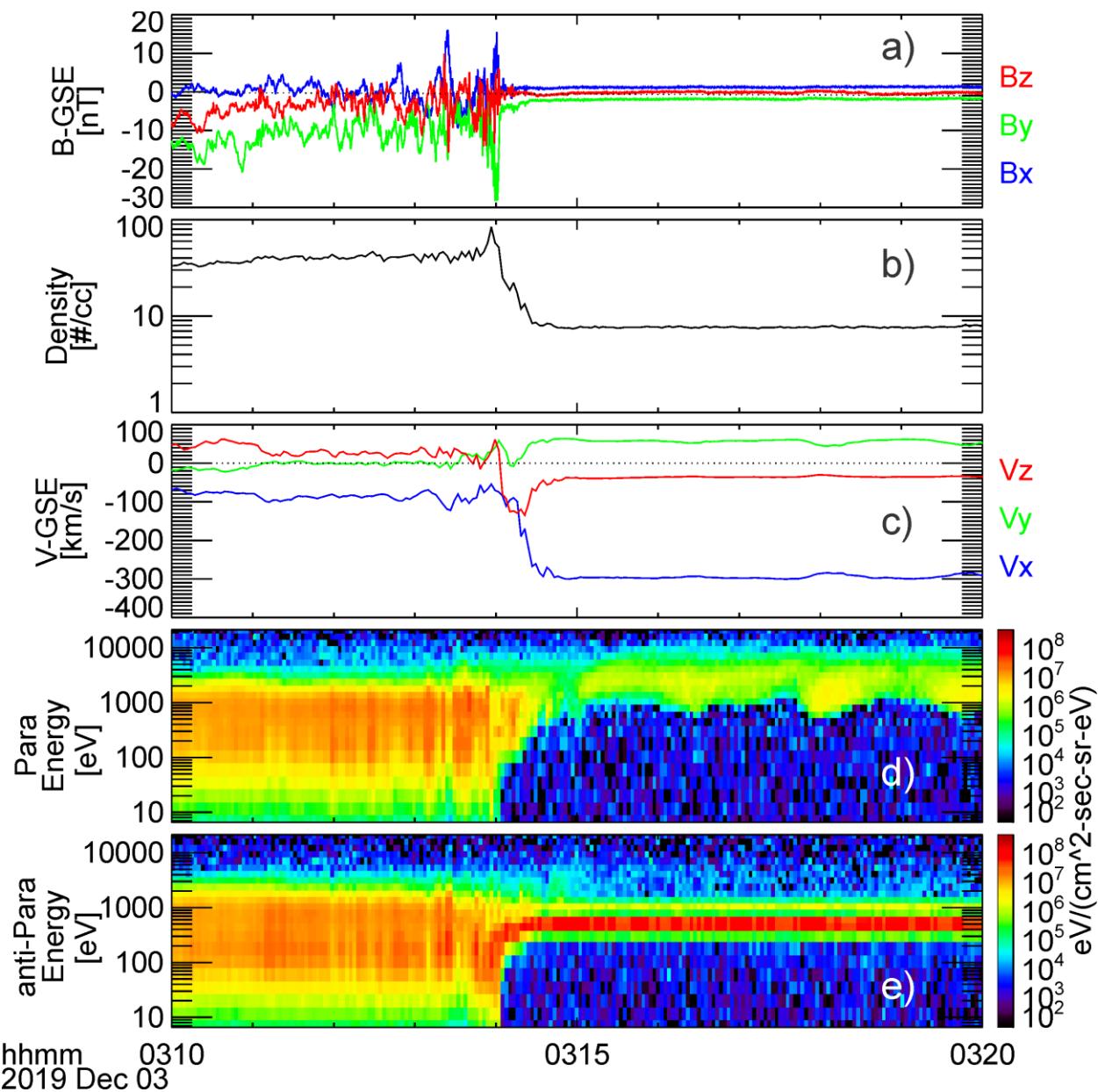


357

358 **Figure 3.** The ion distributions in the magnetosheath (Msh) and in the foreshock (fs) corresponding  
 359 to the shaded regions in Figure 2. (a) Magnetosheath ion distribution in the BV plane where the  
 360 horizontal axis is along the magnetic field and the plane contains the bulk velocity. (b)  
 361 Magnetosheath ion distribution in the BE plane where the plane contains the convection electric  
 362 field. (c) and (d) are the foreshock ion distributions in BV and BE planes. (e) and (f) are the same  
 363 foreshock ion distributions but in the magnetosheath coordinates same as those in (a) and (b). The

364 vertical line #1 is the minimum magnetosheath parallel speed needed to reach the bow shock. The  
365 vertical line #2 is the minimum foreshock ion parallel speed needed to stream away from the bow  
366 shock. The vertical line #3 is the parallel speed of solar wind adiabatic reflection.

367

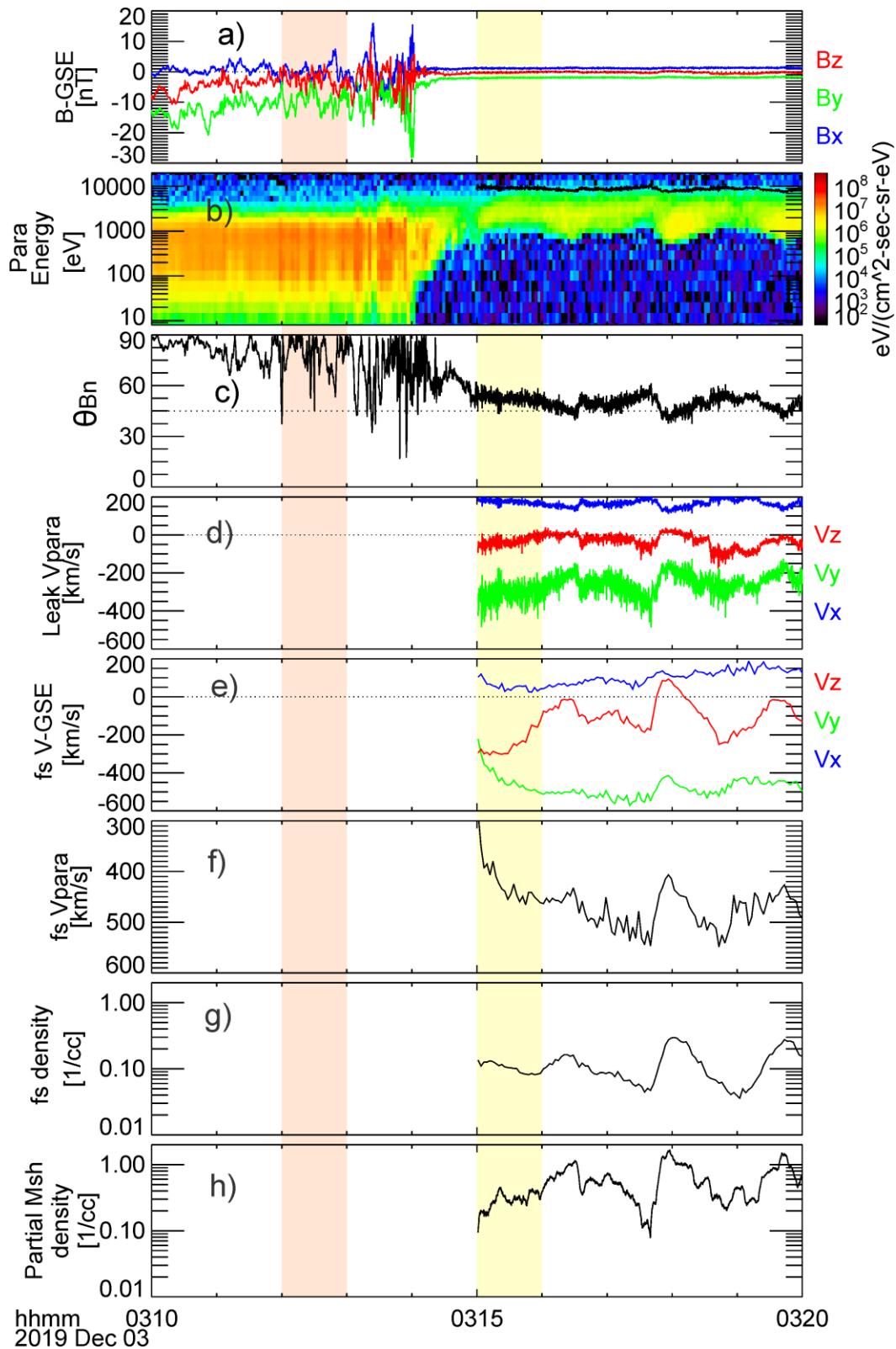


369 hhmm 0310  
2019 Dec 03

0315

0320

370 **Figure 4.** The overview of Event 2, same format as Figure 1.



372

hhmm 0310 0315 0320  
2019 Dec 03

373 **Figure 5.** Continuation of Figure 4, showing derived products for Event 2, same format as Figure

374 2.

Msh

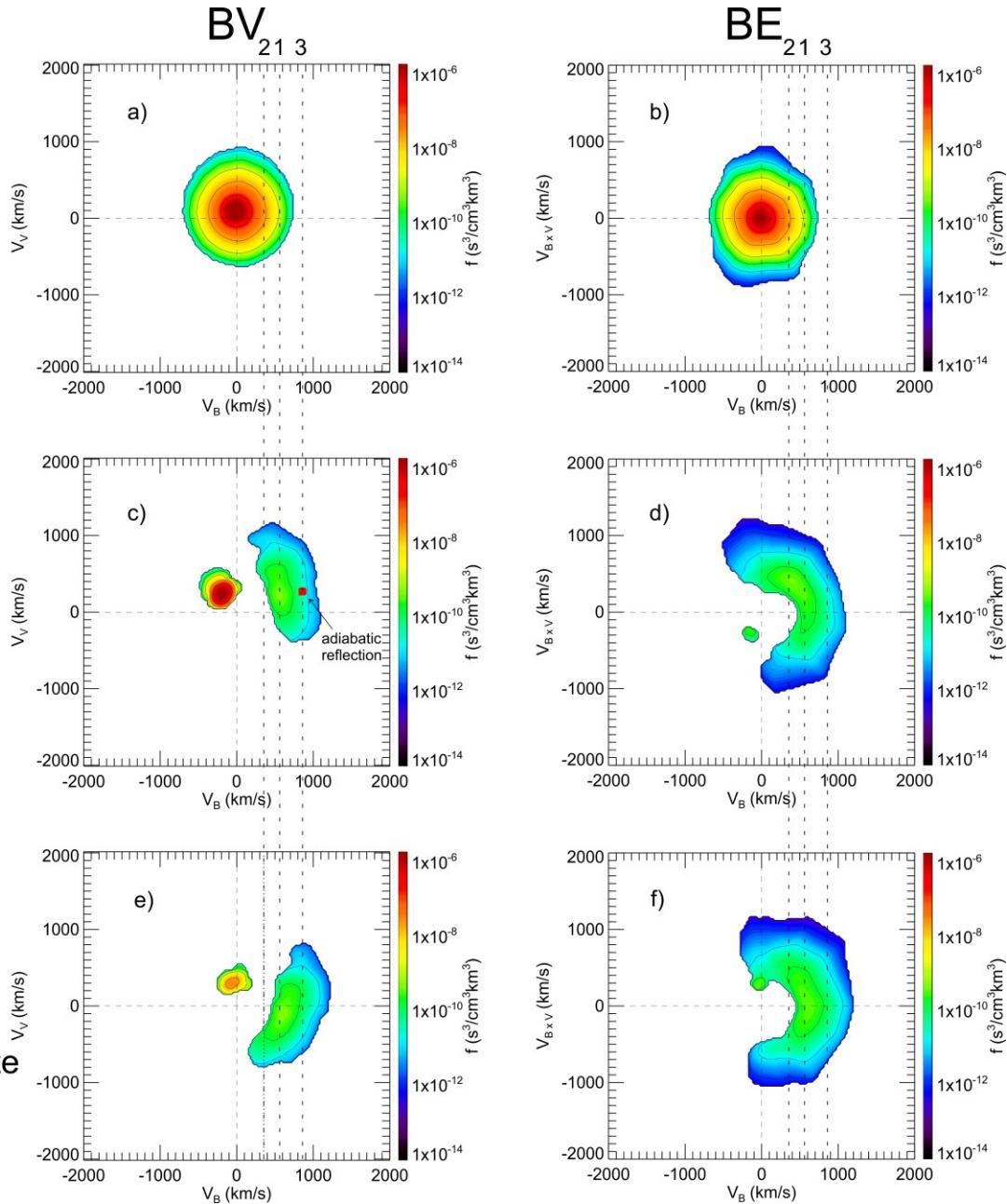
fs

fs  
with Msh  
coordinate

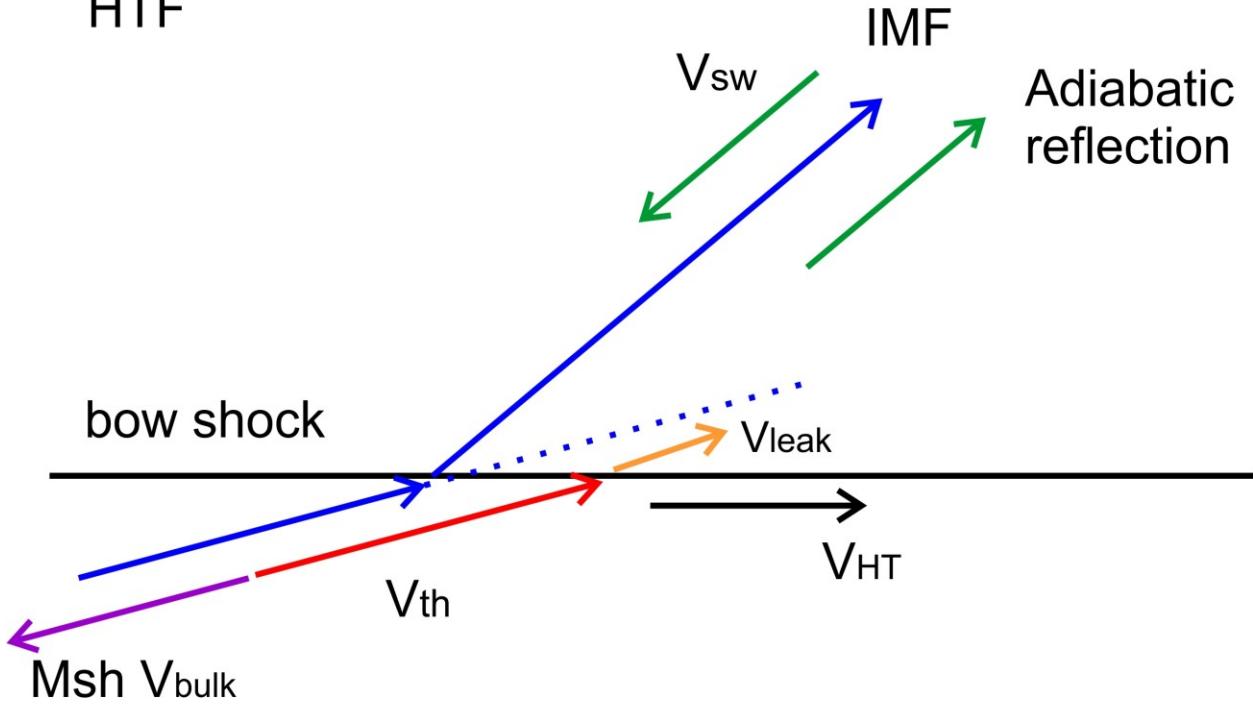
375

376 **Figure 6.** Ion distributions in Event 2, same format as Figure 3. Note that the minimum parallel  
377 speed for magnetosheath ions to reach the bow shock (vertical line #1) is larger than the minimum  
378 parallel speed for leaked ions to stream away from the bow shock (vertical line #2). This is different  
379 from event 1 because the coplanarity of magnetic field was poorly satisfied in Event 1.

380



HTF



381

$Msh V_{bulk}$

382

**Figure 7.** Sketch indicates the magnetosheath leakage process in the HTF. Magnetosheath ions with thermal speed (red arrows) outrunning the bulk velocity (purple arrows) can stream back to the solar wind by crossing the bow shock. After the crossing, depending on how non-adiabatic they are, the velocity of leaked ions (orange arrow) can be between the IMF and the magnetosheath field line (blue). Green lines indicate the solar wind velocity and the adiabatic reflection velocity.

387

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