



# On Segre Products, $F$ -regularity, and Finite Frobenius Representation Type

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*Dedicated to Ngo Viet Trung on the occasion of his 70th birthday, in celebration of his many contributions to commutative algebra*

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## Abstract

We study the behavior of various properties of commutative Noetherian rings under Segre products, with a special focus on properties in positive prime characteristic defined using the Frobenius endomorphism. Specifically, we construct normal graded rings of finite Frobenius representation type that are not Cohen-Macaulay.

**Keywords** Noetherian rings · Segre products · Frobenius endomorphism

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## 1 Introduction

We study the behavior of various properties of commutative Noetherian rings under Segre products, with a special focus on properties in positive prime characteristic defined using the Frobenius endomorphism. Segre products of rings arise rather naturally in the context of projective varieties: while the product of affine spaces  $\mathbb{A}^m$  and  $\mathbb{A}^n$  is readily identified with

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$\mathbb{A}^{m+n}$ , it is the *Segre embedding* that gives the product of projective spaces  $\mathbb{P}^m$  and  $\mathbb{P}^n$  the structure of a projective variety:

$$\mathbb{P}^m \times \mathbb{P}^n \rightarrow \mathbb{P}^{m+n+mn}, \quad ((a_0, \dots, a_m), (b_0, \dots, b_n)) \mapsto (a_0b_0, a_0b_1, \dots, a_mb_n).$$

At the level of homogeneous coordinate rings, this corresponds to

$$\mathbb{P}^m \times \mathbb{P}^n = \text{Proj } \mathbb{F}[x_0y_0, x_0y_1, \dots, x_my_n],$$

where  $\mathbb{P}^m := \text{Proj } \mathbb{F}[x_0, \dots, x_m]$  and  $\mathbb{P}^n := \text{Proj } \mathbb{F}[y_0, \dots, y_n]$ .

More generally, for  $\mathbb{N}$ -graded rings  $R = \bigoplus_{n \geq 0} R_n$  and  $S = \bigoplus_{n \geq 0} S_n$ , finitely generated over a field  $R_0 = \mathbb{F} = S_0$ , the *Segre product* of  $R$  and  $S$  is the  $\mathbb{N}$ -graded ring

$$R \# S := \bigoplus_{n \geq 0} R_n \otimes_{\mathbb{F}} S_n.$$

It is readily seen that  $R \# S$  is a subring of the tensor product  $R \otimes_{\mathbb{F}} S$ ; moreover,  $R \# S$  is a direct summand of  $R \otimes_{\mathbb{F}} S$  as an  $R \# S$ -module, equivalently the inclusion of rings

$$R \# S \hookrightarrow R \otimes_{\mathbb{F}} S$$

is pure; it follows from this that if  $\mathbb{F}$  is a field of positive characteristic, and  $R$  and  $S$  are  $F$ -pure or  $F$ -regular, then the same is also true for  $R \# S$ . What is perhaps surprising is that the converse also holds, provided that the  $\mathbb{N}$ -grading on each of the rings  $R$  and  $S$  is irredundant; this is proved here as Theorem 3.1, see also [10, Theorem 5.2]. The additional hypothesis on the grading is indeed required in view of Example 3.2.

While the properties  $F$ -purity and  $F$ -regularity are inherited by pure subrings, the property of being  $F$ -rational is not, as established by the second author in [32]. Nonetheless, we show that if  $R$  and  $S$  are  $F$ -rational rings of positive prime characteristic, then  $R \# S$  is also  $F$ -rational, Theorem 4.1. The converse to this is false, see Example 4.2.

Lastly, we turn to the property of finite Frobenius representation type (FFRT); the notion is due to Smith and Van den Bergh [27], and it follows readily from their results that if  $R$  and  $S$  are  $\mathbb{N}$ -graded reduced rings, finitely generated over a perfect field  $R_0 = \mathbb{F} = S_0$  of positive characteristic, then  $R \# S$  has FFRT. We use this to construct normal graded rings that are not Cohen-Macaulay, but have the FFRT property.

The observation that Segre products readily yield large families of normal graded rings that are not Cohen-Macaulay goes back at least to Chow [2], who established necessary and sufficient conditions for the Segre product of Cohen-Macaulay rings to be Cohen-Macaulay; Hochster and Roberts [15, §14] observed that under mild hypotheses, Chow's results may be recovered via the Künneth formula for sheaf cohomology. Subsequently, Goto and Watanabe [6] established a more general Künneth formula for local cohomology that extends this circle of ideas; this and other ingredients are summarized next.

## 2 Preliminaries

We first record the Künneth formula for local cohomology [6, Theorem 4.1.5]:

**Theorem 2.1** (Goto-Watanabe) *Let  $R$  and  $S$  be normal  $\mathbb{N}$ -graded rings, finitely generated over a field  $R_0 = \mathbb{F} = S_0$ . Set  $\mathfrak{m}_R$ ,  $\mathfrak{m}_S$ , and  $\mathfrak{m}$  to be the homogeneous maximal ideals of the rings  $R$ ,  $S$ , and  $R \# S$  respectively. Suppose  $M$  and  $N$  are finitely generated  $\mathbb{Z}$ -graded modules over  $R$  and  $S$  respectively, such that  $H_{\mathfrak{m}_R}^k(M) = 0 = H_{\mathfrak{m}_S}^k(N)$  for  $k = 0, 1$ .*

Then, for each  $k \geq 0$ , the local cohomology of the  $\mathbb{Z}$ -graded  $R \# S$ -module

$$M \# N := \bigoplus_{n \in \mathbb{Z}} M_n \otimes_{\mathbb{F}} N_n$$

is given by

$$H_{\mathfrak{m}}^k(M \# N) = \left( M \# H_{\mathfrak{m}_S}^k(N) \right) \oplus \left( H_{\mathfrak{m}_R}^k(M) \# N \right) \oplus \bigoplus_{i+j=k+1} \left( H_{\mathfrak{m}_R}^i(M) \# H_{\mathfrak{m}_S}^j(N) \right).$$

Our proof of Theorem 3.1 uses the description of normal graded rings in terms of  $\mathbb{Q}$ -divisors, due to Dolgachev [4], Pinkham [20], and Demazure [3], that we review next. A  $\mathbb{Q}$ -divisor on a normal projective variety  $X$  is a  $\mathbb{Q}$ -linear combination of codimension one irreducible subvarieties of  $X$ . Let  $D = \sum n_i V_i$  be a  $\mathbb{Q}$ -divisor, where  $n_i \in \mathbb{Q}$ , and the subvarieties  $V_i$  are distinct. Set

$$\lfloor D \rfloor := \sum \lfloor n_i \rfloor V_i,$$

where  $\lfloor n \rfloor$  is the greatest integer less than or equal to  $n$ . We define

$$\mathcal{O}_X(D) := \mathcal{O}_X(\lfloor D \rfloor).$$

Let  $K(X)$  denote the field of rational functions on  $X$ . Each  $g \in K(X)$  defines a Weil divisor  $\text{div}(g)$  by considering the zeros and poles of  $g$  with appropriate multiplicity. As these multiplicities are integers, it follows that for a  $\mathbb{Q}$ -divisor  $D$  one has

$$\begin{aligned} H^0(X, \mathcal{O}_X(\lfloor D \rfloor)) &= \{g \in K(X) \mid \text{div}(g) + \lfloor D \rfloor \geq 0\} \\ &= \{g \in K(X) \mid \text{div}(g) + D \geq 0\} = H^0(X, \mathcal{O}_X(D)). \end{aligned}$$

A  $\mathbb{Q}$ -divisor  $D$  is *ample* if  $ND$  is an ample Cartier divisor for some  $N \in \mathbb{N}$ . In this case, the *generalized section ring*  $\Gamma_*(X, D)$  is the  $\mathbb{N}$ -graded ring

$$\Gamma_*(X, D) := \bigoplus_{n \geq 0} H^0(X, \mathcal{O}_X(nD)) T^n,$$

where  $T$  is an element of degree 1, transcendental over  $K(X)$ . The following is [3, 3.5]:

**Theorem 2.2** (Demazure) *Let  $R$  be an  $\mathbb{N}$ -graded normal domain that is finitely generated over a field  $R_0$ . Let  $T$  be a homogeneous element of degree 1 in the fraction field of  $R$ . Then there exists a unique ample  $\mathbb{Q}$ -divisor  $D$  on  $X := \text{Proj } R$  such that*

$$R_n = H^0(X, \mathcal{O}_X(nD)) T^n \quad \text{for each } n \geq 0.$$

Let  $D = \sum (s_i/t_i) V_i$  be a  $\mathbb{Q}$ -divisor where the  $V_i$  are distinct,  $s_i$  and  $t_i$  are relatively prime integers, and  $t_i > 0$ . Following [29, Theorem 2.8], the *fractional part* of  $D$  is

$$D' := \sum \frac{t_i - 1}{t_i} V_i.$$

This definition is motivated by the fact that one then has

$$-\lfloor -nD \rfloor = \lfloor D' + nD \rfloor$$

for each integer  $n$ , so that taking the graded dual of

$$[H_{\mathfrak{m}}^{\dim R}(R)]_{-n} = H^{\dim X}(X, \mathcal{O}_X(-nD))$$

yields

$$[\omega_R]_n = H^0(X, \mathcal{O}_X(K_X + D' + nD)),$$

where  $\omega_R$  is the graded canonical module of  $R := \Gamma_*(X, D)$ , and  $K_X$  is the canonical divisor of  $X$ . The following is [31, Theorem 3.3]; note that

$$H^{\dim X}(X, \mathcal{O}_X(K_X + D')) = H^{\dim X}(X, \mathcal{O}_X(K_X))$$

is the rank one vector space  $[H_m^{\dim R}(\omega_R)]_0$ .

**Theorem 2.3** (Watanabe) *Let  $X$  be a normal projective variety of characteristic  $p > 0$ , and  $K_X$  its canonical divisor. Let  $D$  be an ample  $\mathbb{Q}$ -divisor, and set  $R := \Gamma_*(X, D)$ . Then:*

(i) *The ring  $R$  is  $F$ -pure if and only if the Frobenius map below is injective:*

$$F: H^{\dim X}(X, \mathcal{O}_X(K_X + D')) \rightarrow H^{\dim X}(X, \mathcal{O}_X(pK_X + pD')).$$

(ii) *Let  $\eta$  be a nonzero element of  $H^{\dim X}(X, \mathcal{O}_X(K_X + D'))$ . Then the ring  $R$  is  $F$ -regular if and only if for each integer  $n > 0$  and each nonzero element  $c$  of  $H^0(X, \mathcal{O}_X(nD))$ , there exists an integer  $e > 0$  such that  $cF^e(\eta)$  is a nonzero element of*

$$H^{\dim X}(X, \mathcal{O}_X(p^e(K_X + D') + nD)).$$

### 3 $F$ -regularity and $F$ -purity

The theory of tight closure was introduced by Hochster and Huneke in [12], and further developed in the graded context in [13]. A ring  $R$  of positive prime characteristic is *weakly  $F$ -regular* if each ideal of  $R$  equals its tight closure, while  $R$  is  *$F$ -regular* if each localization of  $R$  is weakly  $F$ -regular. Following [11, p. 166], a ring  $R$  of positive prime characteristic is *strongly  $F$ -regular* if  $N_M^* = N$  for each pair of  $R$ -modules  $N \subseteq M$ . When  $R$  is an  $\mathbb{N}$ -graded ring that is finitely generated over a field  $R_0$  of positive characteristic, as is the case in the present paper, the properties of weak  $F$ -regularity,  $F$ -regularity, and strong  $F$ -regularity coincide by [17, Corollary 3.3].

The following theorem may be viewed as an extension of [10, Theorem 5.2], where it was proved under the hypothesis that the rings contain homogeneous elements of degree 1:

**Theorem 3.1** *Let  $R$  and  $S$  be normal  $\mathbb{N}$ -graded rings, finitely generated over a perfect field  $R_0 = \mathbb{F} = S_0$  of positive characteristic. Suppose that the fraction fields of  $R$  as well as  $S$  contain homogeneous elements of degree 1.*

*Then the Segre product  $R \# S$  is  $F$ -regular (respectively,  $F$ -pure) if and only if  $R$  and  $S$  are  $F$ -regular (respectively,  $F$ -pure).*

**Proof** If the rings  $R$  and  $S$  are  $F$ -regular or  $F$ -pure, then the same holds for their tensor product  $R \otimes_{\mathbb{F}} S$ , see for example the proof of  $2 \implies 3$  in [10, Theorem 5.2]. The property, then, is inherited by the pure subring  $R \# S$ ; it is only the converse that requires the additional hypothesis on the grading:

Let  $D_X$  and  $D_Y$  be ample  $\mathbb{Q}$ -divisors on  $X := \text{Proj } R$  and  $Y := \text{Proj } S$  respectively, such that  $R = \Gamma_*(X, D_X)$  and  $S = \Gamma_*(Y, D_Y)$ . Set  $Z := X \times Y$ , and let  $\pi_1: Z \rightarrow X$  and  $\pi_2: Z \rightarrow Y$  be the respective projection morphisms. For each integer  $n \geq 0$  one has

$$H^0(Z, \mathcal{O}_Z(\pi_1^*(nD_X) + \pi_2^*(nD_Y))) = H^0(X, \mathcal{O}_X(nD_X)) \otimes H^0(Y, \mathcal{O}_Y(nD_Y)),$$

from which it follows that

$$R \# S = \Gamma_*(Z, \pi_1^*(D_X) + \pi_2^*(D_Y)).$$

Setting  $D_Z := \pi_1^*(D_X) + \pi_2^*(D_Y)$ , one has

$$D'_Z = \pi_1^*(D'_X) + \pi_2^*(D'_Y),$$

so the Frobenius map  $F$  as in Theorem 2.3 (i) takes the form

$$\begin{array}{ccc} H^{d_1+d_2}(Z, \mathcal{O}_Z(K_Z + D'_Z)) & \xrightarrow{\cong} & H^{d_1}(X, \mathcal{O}_X(K_X + D'_X)) \otimes H^{d_2}(Y, \mathcal{O}_Y(K_Y + D'_Y)) \\ F \downarrow & & F \downarrow \\ H^{d_1+d_2}(Z, \mathcal{O}_Z(pK_Z + pD'_Z)) & \xrightarrow{\cong} & H^{d_1}(X, \mathcal{O}_X(pK_X + pD'_X)) \otimes H^{d_2}(Y, \mathcal{O}_Y(pK_Y + pD'_Y)) \end{array}$$

where  $d_1 := \dim X$  and  $d_2 := \dim Y$ . Let  $\eta_1$  and  $\eta_2$  be nonzero elements of the rank one vector spaces  $H^{d_1}(X, \mathcal{O}_X(K_X + D'_X))$  and  $H^{d_2}(Y, \mathcal{O}_Y(K_Y + D'_Y))$  respectively.

If  $R \# S$  is  $F$ -pure, the injectivity of the vertical arrows in the diagram displayed above implies that  $F(\eta_1 \otimes \eta_2) = F(\eta_1) \otimes F(\eta_2)$  is nonzero, and hence that the maps

$$H^{d_1}(X, \mathcal{O}_X(K_X + D'_X)) \xrightarrow{F} H^{d_1}(X, \mathcal{O}_X(pK_X + pD'_X))$$

and

$$H^{d_2}(Y, \mathcal{O}_Y(K_Y + D'_Y)) \xrightarrow{F} H^{d_2}(Y, \mathcal{O}_Y(pK_Y + pD'_Y))$$

are injective; it follows that the rings  $R$  and  $S$  are  $F$ -pure.

Next, assume that  $R \# S$  is  $F$ -regular. Fix  $n > 0$ , and consider nonzero elements

$$c_1 \in H^0(X, \mathcal{O}_X(nD_X)) \quad \text{and} \quad c_2 \in H^0(Y, \mathcal{O}_Y(nD_Y)).$$

Then  $c_1 \otimes c_2$  is a nonzero element of  $H^0(Z, \mathcal{O}_Z(nD_Z))$ , so the  $F$ -regularity of  $R \# S$  implies that there exists  $e > 0$  such that

$$(c_1 \otimes c_2)F^e(\eta_1 \otimes \eta_2) = c_1 F^e(\eta_1) \otimes c_2 F^e(\eta_2)$$

is a nonzero element of

$$\begin{aligned} & H^{d_1+d_2}(Z, \mathcal{O}_Z(p^e(K_Z + D'_Z) + nD_Z)) \\ & \cong H^{d_1}(X, \mathcal{O}_X(p^e(K_X + D'_X) + nD_X)) \otimes H^{d_2}(Y, \mathcal{O}_Y(p^e(K_Y + D'_Y) + nD_Y)). \end{aligned}$$

But then the elements

$$c_1 F^e(\eta_1) \in H^{d_1}(X, \mathcal{O}_X(p^e(K_X + D'_X) + nD_X))$$

and

$$c_2 F^e(\eta_2) \in H^{d_2}(Y, \mathcal{O}_Y(p^e(K_Y + D'_Y) + nD_Y))$$

are nonzero, implying that the rings  $R$  and  $S$  are  $F$ -regular.  $\square$

The hypothesis that the  $\mathbb{N}$ -grading on  $R$  and  $S$  is irredundant is indeed required:

**Example 3.2** Consider the hypersurface  $R := \mathbb{F}_2[x, y, z]/(x^2 + y^3 + z^3)$  where  $x, y, z$  have degrees 3, 2, 2, respectively, and  $S := \mathbb{F}_2[u, v]$  where  $u$  and  $v$  have degree 2. The ring

$R$  is not  $F$ -pure or  $F$ -regular since the element  $x$  belongs to the Frobenius closure of the ideal  $(y, z)R$ . However, since the ring  $S$  is supported only in even degrees, one has

$$R \# S = R^{(2)} \# S = \mathbb{F}_2[y, z] \# \mathbb{F}_2[u, v] = \mathbb{F}_2[uy, uz, vy, vz],$$

which is  $F$ -regular. Note that while the fraction field of  $R$  contains homogeneous elements of degree 1, the fraction field of  $S$  does not.

## 4 $F$ -rationality

Following [11, p. 125], a local ring of positive prime characteristic is  $F$ -rational if it is a homomorphic image of a Cohen-Macaulay ring, and each ideal generated by a system of parameters is tightly closed; a Noetherian ring of positive prime characteristic is  $F$ -rational if its localization at each maximal ideal (equivalently, at each prime ideal) is  $F$ -rational. With this definition, an  $F$ -rational ring is normal and Cohen-Macaulay.

For the case of interest in this paper, let  $R$  be an  $\mathbb{N}$ -graded normal domain that is a finitely generated algebra over a field  $R_0$  of positive characteristic. Then  $R$  is  $F$ -rational if and only if the ideal generated by some (equivalently, any) homogeneous system of parameters for  $R$  is tightly closed; see [13, Theorem 4.7] and the preceding remark.

Smith [25] proved that  $F$ -rational rings have rational singularities; the converse, more precisely the theorem that rings with rational singularities have  $F$ -rational type, is due independently to Hara [7] and to Mehta and Srinivas [19].

Let  $R$  be a finitely generated algebra over a field of characteristic zero; Boutot's theorem states that if  $R$  has rational singularities, then so does each pure subring of  $R$  [1]. The corresponding statement for  $F$ -rational rings turns out to be false: in [32] the second author constructed an example of an  $F$ -rational ring with a pure subring that is not  $F$ -rational. Nonetheless, we have:

**Theorem 4.1** *Suppose  $R$  and  $S$  are  $F$ -rational  $\mathbb{N}$ -graded rings, finitely generated over a perfect field  $R_0 = \mathbb{F} = S_0$  of positive characteristic. Then  $R \# S$  is  $F$ -rational.*

**Proof** Note that  $R$  and  $S$  are Cohen-Macaulay; it suffices to assume that they have positive dimension, in which case  $a(R) < 0$  and  $a(S) < 0$  by [5, Satz 3.1] or [30, Theorem 2.2]. Using this, the Künneth formula shows that  $R \# S$  is Cohen-Macaulay and that

$$H_{\mathfrak{m}}^d(R \# S) = H_{\mathfrak{m}_R}^{\dim R}(R) \# H_{\mathfrak{m}_S}^{\dim S}(S), \quad (4.1.1)$$

where  $d := \dim(R \# S)$ , and  $\mathfrak{m}_R$ ,  $\mathfrak{m}_S$ , and  $\mathfrak{m}$  are the homogeneous maximal ideals of the rings  $R$ ,  $S$ , and  $R \# S$  respectively. The hypothesis that  $\mathbb{F}$  is perfect ensures that the ring  $R \# S$  is normal. By [9, Corollary 6.8], the ring  $R \otimes_{\mathbb{F}} S$  is  $F$ -rational.

It suffices to show that the zero submodule of (4.1.1) is tightly closed. Suppose, to the contrary, that  $c$  and  $\eta$  are nonzero homogenous elements of  $R \# S$  and  $H_{\mathfrak{m}}^d(R \# S)$  respectively, with  $cF^e(\eta) = 0$  in  $H_{\mathfrak{m}}^d(R \# S)$  for  $e \gg 0$ . It follows that  $cF^e(\eta)$  is also zero for  $e \gg 0$ , when regarded as an element of

$$H_{\mathfrak{m}_R}^{\dim R}(R) \otimes_{\mathbb{F}} H_{\mathfrak{m}_S}^{\dim S}(S).$$

But then  $\eta$ , regarded as an element of the module above, is in the tight closure of zero; this contradicts the  $F$ -rationality of  $R \otimes_{\mathbb{F}} S$ .  $\square$

In contrast with Theorem 3.1,  $R \# S$  may be  $F$ -rational even when  $R$  and  $S$  are not:

**Example 4.2** Let  $\mathbb{F}$  be a field of positive characteristic, and consider the hypersurfaces

$$R := \mathbb{F}[x, y, z]/(x^2 + y^3 + z^7) \quad \text{and} \quad S := \mathbb{F}[u, v, w]/(u^4 + v^5 + w^5),$$

with  $x, y, z$  having degrees 21, 14, 6 respectively, and  $u, v, w$  having degrees 5, 4, 4 respectively. Then  $a(R) = 1$  and  $a(S) = 7$ , so  $R$  and  $S$  are not  $F$ -rational. Note that the gradings are irredundant, i.e., as in the hypotheses of Theorem 3.1, the fraction fields of  $R$  as well as  $S$  contain homogeneous elements of degree 1.

Since  $[H_{\mathfrak{m}_R}^2(R)]_{\geq 0}$  is supported only in degree 1, and  $[H_{\mathfrak{m}_S}^2(S)]_{\geq 0}$  in degrees 2, 3, and 7, the Künneth formula shows that  $R \# S$  is Cohen-Macaulay, and also that  $a(R \# S) = -5$ . Suppose that the characteristic of  $\mathbb{F}$  is at least 7. Then the Frobenius action on each of

$$[H_{\mathfrak{m}_R}^2(R)]_{\leq -5} \quad \text{and} \quad [H_{\mathfrak{m}_S}^2(S)]_{\leq -5}$$

and hence on  $H_{\mathfrak{m}_R}^2(R) \# H_{\mathfrak{m}_S}^2(S)$  is injective. Moreover, we claim that  $R \# S$  has an isolated non  $F$ -regular point: to see this, let  $r \otimes s$  be a nonzero homogeneous element of  $R \# S$  of positive degree; then the ring

$$(R \# S)_{r \otimes s} = R_r \# S_s$$

is a pure subring of the regular ring  $R_r \otimes_{\mathbb{F}} S_s$ , and is hence  $F$ -regular. It follows that  $R \# S$  is  $F$ -rational by [13, Theorem 7.1].

## 5 Finite Frobenius Representation Type

The notion of rings of finite Frobenius representation type (FFRT) is due to Smith and Van den Bergh; it is an essential ingredient in their proof of the following remarkable theorem: If  $R$  is a graded direct summand of a polynomial ring over a perfect field  $\mathbb{F}$  of positive characteristic, then the ring of  $\mathbb{F}$ -linear differential operators on  $R$  is a simple ring, see [27, Theorem 1.3]. This is striking in that the corresponding statement is not known for polynomial rings over fields of characteristic zero.

Subsequently, the FFRT property has found several other applications: Seibert [23] proved that over rings with FFRT, the Hilbert-Kunz multiplicity is rational; tight closure commutes with localization for rings with FFRT by Yao [33]; if  $R$  is a Gorenstein ring with FFRT, Takagi and Takahashi [28] proved that each local cohomology module of the form  $H_{\mathfrak{a}}^k(R)$  has finitely many associated primes; the Gorenstein hypothesis may be removed, as proved subsequently by Hochster and Núñez-Betancourt [14].

A reduced ring  $R$  of positive prime characteristic  $p$ , satisfying the Krull-Schmidt theorem, is said to have *finite Frobenius representation type* if there exists a finite set  $S$  of  $R$ -modules such that for each  $q = p^e$ , each indecomposable summand of  $R^{1/q}$  is isomorphic to an element of  $S$ . When  $R$  is Cohen-Macaulay, each indecomposable summand of  $R^{1/q}$  is a maximal Cohen-Macaulay  $R$ -module; thus, Cohen-Macaulay rings of finite representation type have FFRT, though the latter property is much weaker: e.g., in the graded setting, the FFRT property is inherited by direct summands [27, Proposition 3.1.6].

Key examples of rings with FFRT include those that are graded direct summands of polynomial rings; such rings are also  $F$ -regular, and hence Cohen-Macaulay. Recent work on the FFRT property includes that of Hara and Ohkawa [8], where they study the property for 2-dimensional normal graded rings in terms of  $\mathbb{Q}$ -divisors, and [21, 22] where Raedschelders, Špenko, and Van den Bergh prove that over an algebraically closed field of characteristic

$p \geq \max\{n-2, 3\}$ , the Plücker homogeneous coordinate ring of the Grassmannian  $G(2, n)$  has FFRT.

Our goal here is to construct normal rings with FFRT that are not Cohen-Macaulay. Note that a Stanley-Reisner ring over a perfect field has FFRT by [16, Example 2.36], though such a ring need not be Cohen-Macaulay. Our interest here, however, is primarily in normal domains. We first record:

**Lemma 5.1** *Let  $\mathbb{F}$  be a perfect field of positive characteristic, and let  $R$  and  $S$  be reduced rings that are finitely generated  $\mathbb{F}$ -algebras. Suppose, moreover, that  $R$ ,  $S$ , and  $R \otimes_{\mathbb{F}} S$  satisfy the Krull-Schmidt theorem. Then, if  $R$  and  $S$  have FFRT, so does  $R \otimes_{\mathbb{F}} S$ .*

**Proof** If  $R$  and  $S$  have FFRT, there exist indecomposable  $R$ -modules  $M_1, \dots, M_m$ , and indecomposable  $S$ -modules  $N_1, \dots, N_n$  such that for each  $q = p^e$ , one has

$$R^{1/q} \cong \bigoplus M_i \quad \text{and} \quad S^{1/q} \cong \bigoplus N_j,$$

where, in each case, the index set depends on  $q$ , and modules may be repeated within the direct sum. Set  $T := R \otimes_{\mathbb{F}} S$ . Then

$$T^{1/q} \cong R^{1/q} \otimes_{\mathbb{F}} S^{1/q} \cong \left( \bigoplus M_i \right) \otimes_{\mathbb{F}} \left( \bigoplus N_j \right) \cong \bigoplus (M_i \otimes_{\mathbb{F}} N_j).$$

Each of the  $mn$  modules of the form  $M_i \otimes_{\mathbb{F}} N_j$  is a direct sum of finitely many indecomposable  $T$ -modules. This provides a finite set of indecomposable  $T$ -modules that contains an isomorphic copy of each indecomposable summand of  $T^{1/q}$  for each  $q = p^e$ .  $\square$

**Proposition 5.2** *Let  $R$  and  $S$  be  $\mathbb{N}$ -graded reduced rings, finitely generated over a perfect field  $R_0 = \mathbb{F} = S_0$  of positive characteristic. If  $R$  and  $S$  have FFRT, then the rings  $R \otimes_{\mathbb{F}} S$  and  $R \# S$  also have FFRT.*

**Proof** The statement regarding the tensor product follows immediately from the lemma, bearing in mind that the Krull-Schmidt theorem holds for  $\mathbb{N}$ -graded rings  $A$  with  $A_0$  a field.

The assertion about the Segre product follows from [27, Proposition 3.1.6], since  $R \# S$  is a graded direct summand of the tensor product  $R \otimes_{\mathbb{F}} S$ .  $\square$

**Example 5.3** Let  $\mathbb{F}$  be a perfect field of characteristic  $p \geq 7$ , and consider the hypersurface  $R := \mathbb{F}[x, y, z]/(x^2 + y^3 - z^p)$ , with  $x, y, z$  having degrees  $3p, 2p, 6$  respectively. Note that the ring  $R$  is sandwiched between  $A := \mathbb{F}[x, y]$  and  $A^{1/p} = \mathbb{F}[x^{1/p}, y^{1/p}]$ , since

$$z = x^{2/p} + y^{3/p}.$$

As  $A$  is a polynomial ring, and hence has finite representation type, it follows that  $R$  has FFRT by [24, Observation 3.7, Theorem 3.10]. Set  $S := \mathbb{F}[u, v]$ , where  $u$  and  $v$  are indeterminates with degree 1. Then the ring  $R \# S$  has FFRT by Proposition 5.2. However, since  $a(R) = p - 6 > 0$ , the Künneth formula shows that  $R \# S$  is not Cohen-Macaulay.

**Remark 5.4** The examples above are characteristic-specific: to illustrate, let  $p \geq 7$  be a prime integer, and let  $\mathbb{F}$  now be an arbitrary field. Set  $\mathbb{P}^1 := \text{Proj } \mathbb{F}[u, v]$ , with points of  $\mathbb{P}^1$  parametrized by  $u/v$ . If  $p = 6k + 1$ , consider the  $\mathbb{Q}$ -divisor

$$D := \frac{1}{2}(0) - \frac{1}{3}(\infty) - \frac{k}{p}(-1). \quad (5.4.1)$$



Then  $\Gamma_*(\mathbb{P}^1, D) := \bigoplus H^0(\mathbb{P}^1, nD)T^n$  is the  $\mathbb{F}$ -algebra generated by

$$z := \frac{v^2(u+v)}{u^3}T^6, \quad y := \frac{v^{4k+1}(u+v)^{2k}}{u^{6k+1}}T^{2p}, \quad x := \frac{v^{6k+1}(u+v)^{3k}}{u^{9k+1}}T^{3p},$$

where  $T$  is an indeterminate of degree one. It is readily seen that  $\Gamma_*(\mathbb{P}^1, D)$  is a hypersurface with defining equation  $z^p = x^2 + y^3$ .

If  $p = 6k - 1$ , consider instead the  $\mathbb{Q}$ -divisor

$$D := \frac{1}{3}(\infty) + \frac{k}{p}(-1) - \frac{1}{2}(0). \quad (5.4.2)$$

In this case,  $\Gamma_*(\mathbb{P}^1, D)$  is the  $\mathbb{F}$ -algebra generated by

$$z := \frac{u^3}{v^2(u+v)}T^6, \quad y := \frac{u^{6k-1}}{v^{4k-1}(u+v)^{2k}}T^{2p}, \quad x := \frac{u^{9k-1}}{v^{6k-1}(u+v)^{3k}}T^{3p}.$$

Once again,  $\Gamma_*(\mathbb{P}^1, D)$  is a hypersurface with defining equation  $z^p = x^2 + y^3$ .

Note that the denominators occurring in the  $\mathbb{Q}$ -divisor  $D$  in (5.4.1) and (5.4.2) are 2, 3, and  $p$ . It follows from [8, Theorem 7.2] that if the characteristic of  $\mathbb{F}$  is not 2, 3, or  $p$ , then the hypersurface  $\mathbb{F}[x, y, z]/(x^2 + y^3 - z^p)$  does not have FFRT.

This raises the question:

**Question 5.5** Let  $R$  be a normal graded domain, finitely generated over a field of characteristic zero. If  $R$  has dense FFRT type, i.e., there exists a dense set of prime integers  $p$  for which the mod  $p$  reductions  $R_p$  have FFRT, then is  $R$  a Cohen-Macaulay ring?

A related question is the following; see also [18, Question 9.1].

**Question 5.6** Let  $R$  be a normal graded domain, finitely generated over a field of characteristic zero. If  $R$  has dense FFRT type, then is  $R$  an  $F$ -regular ring?

The converse is false: [26, Theorem 5.1] provides an example of an  $F$ -regular hypersurface  $R$ , over a field of characteristic zero, for which each mod  $p$  reduction  $R_p$  has a local cohomology module of the form  $H_I^3(R_p)$  that has infinitely many associated prime ideals; it follows from [28, Theorem 3.9] or [14, Theorem 5.7] that, for each prime integer  $p$ , the mod  $p$  reduction  $R_p$  does not have FFRT.

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