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Abstract

Leclerc and Zelevinsky, motivated by the study of quasi-commuting quantum flag minors, introduced the notions of *strongly separated* and *weakly separated* collections. These notions are closely related to the theory of *cluster algebras*, to the combinatorics of the *double Bruhat cells*, and to the *totally positive Grassmannian*.

A key feature, called the *purity phenomenon*, is that every maximal by inclusion strongly (resp., weakly) separated collection of subsets in $[n]$ has the same cardinality.

In this paper, we extend these notions and define $\mathcal{M}$ -*separated collections* for any oriented matroid $\mathcal{M}$.

We show that maximal by size $\mathcal{M}$ -separated collections are in bijection with fine zonotopal tilings (if $\mathcal{M}$ is a realizable oriented matroid), or with one-element liftings of $\mathcal{M}$ in general position (for an arbitrary oriented matroid).

We introduce the class of *pure oriented matroids* for which the purity phenomenon holds: an oriented matroid $\mathcal{M}$ is pure if $\mathcal{M}$ -separated collections form a pure simplicial complex, i.e., any maximal by inclusion $\mathcal{M}$ -separated collection is also maximal by size.

We pay closer attention to several special classes of oriented matroids: oriented matroids of rank 3, graphical oriented matroids, and uniform oriented matroids. We classify pure oriented matroids in these cases. An oriented matroid of rank 3 is pure if and only if it is a *positroid* (up to reorienting and relabeling its ground set). A graphical oriented matroid is pure if and only if its underlying graph is an *outerplanar graph*, that is, a subgraph of a triangulation of an n -gon.

We give a simple conjectural characterization of pure oriented matroids by forbidden minors and prove it for the above classes of matroids (rank 3, graphical, uniform).

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CHAPTER 1

Introduction

In 1998, Leclerc and Zelevinsky [LZ98] defined *strongly separated* and *weakly separated* collections. Several variations of these notions were studied in [DKK10, DKK14, OPS15, FG16, Gal16]. The main goal of the present paper is to introduce the notion of $\mathcal{M}$ -separation in the general framework of *oriented matroids*, which extends the previous cases, and study its properties and its relationship with *zonotopal tilings*.

The notions of strongly and weakly separated collections originally appeared in [LZ98] motivated by the study of the q -deformation $\mathbf{Q}_q[\mathcal{F}]$ of the coordinate ring of the flag variety $\mathcal{F}$. They also appeared in the study [Sco05, Sco06] of the *cluster algebra* [FZ02, FZ03a, BFZ05, FZ07] structure on the Grassmannian. They are closely related to the combinatorics of the *totally positive Grassmannian* and *plabic graphs*, see [Pos06, OPS15].

The study of zonotopal tilings is a popular topic in combinatorics. The celebrated Bohne-Dress theorem [Boh92] gives a correspondence between zonotopal tilings and one-element liftings of oriented matroids. Fine zonotopal tilings of the $2n$ -gon (also known as *rhombus tilings*) correspond to commutation classes of reduced decompositions of the longest element in the symmetric group S_n . More generally, Ziegler [Zie93] proved that fine zonotopal tilings of cyclic zonotopes correspond to elements of Manin-Shekhtman's *higher Bruhat orders* [MS86, VK91]. Zonotopal tilings were studied in the context of the *generalized Baues problem* for cubes, see [BKS94, Rei99, Boh92] and [BLVS⁺99, Section 7.2], which was recently answered in the negative by Liu [Liu16].

In this paper, we connect these two areas of research.

Let I and J be two subsets of the set $[n] := \{1, 2, \dots, n\}$. Leclerc and Zelevinsky [LZ98] proved that two quantum flag minors $[I]$ and $[J]$ in $\mathbf{Q}_q[\mathcal{F}]$ quasi-commute if and only if I and J are weakly separated, and that the product $[I][J]$ is invariant under the involution on $\mathbf{Q}_q[\mathcal{F}]$ that sends q to q^{-1} if and only if I and J are strongly separated. Scott [Sco05, Sco06] showed that two sets I and J of the same cardinality are weakly separated if and only if the corresponding Plücker coordinates can appear together in the same cluster in the cluster algebra of the Grassmannian.

Leclerc and Zelevinsky showed that any maximal *by inclusion* strongly separated collection of subsets of $[n]$ has size

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2}$$

and conjectured the same for weakly separated collections. This *purity conjecture* was proved independently in [DKK10] and [OPS15].

Another related purity result is that, for fixed $k \leq n$, any maximal *by inclusion* weakly separated collection of k -element subsets of $[n]$ has size

$$k(n - k) + 1.$$

It was shown in [OPS15] that maximal by inclusion collections of weakly separated k -element subsets of $[n]$ are in bijection with *plabic graphs* from [Pos06] associated with parametrizations of the top cell of the totally positive Grassmannian.

Several other similar purity phenomena have been recently discovered, see [DKK14, FG16, Gal16]. In [Gal16], the notion of *chord separation* related to that of weak separation was introduced. It was shown in [Gal16] that any maximal *by inclusion* chord separated collection has size

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \binom{n}{3}$$

and is associated with the set of vertices of a fine zonotopal tiling of the 3-dimensional cyclic zonotope $\mathcal{Z}_{C^{n,3}}$.

In this paper, we extend these various versions of separation and purity to oriented matroids. For any oriented matroid $\mathcal{M}$, we define the notion of $\mathcal{M}$ -*separation* for collections of subsets of the ground set of $\mathcal{M}$. For an oriented matroid associated with a vector configuration $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$, where $\mathbf{v}_1, \dots, \mathbf{v}_n \in \mathbb{R}^d$, we call this notion $\mathbf{V}$ -*separation*.

For example, for *alternating* oriented matroids of rank 2 and 3 (associated with cyclic vector configurations in $\mathbb{R}^2$ and $\mathbb{R}^3$), the notion of $\mathcal{M}$ -separation is equivalent, respectively, to strong separation from [LZ98] and chord separation from [Gal16].

Let $\mathcal{Z}_{\mathbf{V}}$ be the *zonotope* associated with a vector configuration $\mathbf{V}$, defined as the Minkowski sum of the line intervals $[0, \mathbf{v}_1], \dots, [0, \mathbf{v}_n]$. A *fine zonotopal tiling* of $\mathcal{Z}_{\mathbf{V}}$ is a subdivision of the zonotope into parallelotopes. Each fine zonotopal tiling $\mathfrak{T}$ is naturally equipped with a family $\text{Vert}(\mathfrak{T})$ of subsets of $[n]$ that label the vertices of the tiling.

We prove that maximal *by size* $\mathbf{V}$ -separated collections are in bijection with fine zonotopal tilings $\mathfrak{T}$ of $\mathcal{Z}_{\mathbf{V}}$; namely, they are precisely the collections $\text{Vert}(\mathfrak{T})$ of vertex labels of tilings. The size of such a $\mathbf{V}$ -separated collection equals the number of *independent sets* of the associated oriented matroid.

If all maximal *by inclusion* $\mathcal{M}$ -separated collections have the same cardinality, we call the oriented matroid $\mathcal{M}$ *pure*. We give a complete description of pure oriented matroids in the following cases:

- (1) oriented matroids of rank 3,
- (2) *graphical* oriented matroids, and
- (3) *uniform* oriented matroids.

For the first class, the pure oriented matroids are precisely all oriented matroids obtained from *positroids* of rank 3 by relabeling and reorienting the ground set.

For the second class, we show that an undirected graph G gives rise to a pure oriented matroid if and only if G is *outerplanar*.

For the third class, we show that all pure uniform vector configurations either have *rank* at most 3 or *corank* at most 1.

For an arbitrary oriented matroid $\mathcal{M}$, we give a conjectural criterion for purity in terms of forbidden minors of $\mathcal{M}$.

Here is the general outline of the paper. In Chapter 2, we define the notion of *separation* for vector configurations and oriented matroids and discuss its relationship with zonotopal tilings and one-element liftings. In Chapter 3, we describe some known motivating examples of the purity phenomenon. We then recall several simple operations on oriented matroids in Section 4. In Chapter 5, we state our main results regarding the purity phenomenon for vector configurations and oriented matroids. Next, we give some technical background on zonotopal tilings and oriented matroids in Chapter 6. The rest of the paper is mainly concerned with proving theorems from Chapter 5. In Chapter 7, we prove the results regarding maximal *by size* $\mathbf{V}$ -separated collections, including Theorem 2.7 that gives a simple bijection between maximal *by size* $\mathbf{V}$ -separated collections and vertex label collections of fine zonotopal tilings of the zonotope corresponding to $\mathbf{V}$. Next, we concentrate on pure vector configurations/oriented matroids. In Chapter 8, we show that the property of being a pure oriented matroid is preserved under various oriented matroid operations. We prove the purity phenomenon for outerplanar graphs in Chapter 9, where we also give enumerative results on the number of maximal G -separated collections. We then proceed to showing the purity of totally nonnegative rank 3 vector configurations in Chapter 10. Finally, we give the remaining proofs of our various classification results for pure oriented matroids in Chapter 11.

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CHAPTER 2

Separation, purity, and zonotopal tilings

In this chapter, we introduce the notion of separation and purity for vector configurations and oriented matroids and formulate some of our results.

Let $[n] := \{1, \dots, n\}$. Denote by 2^E the set of all subsets of a set E .

2.1. Separation for vector configurations

DEFINITION 2.1. Let $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$ be a finite configuration of vectors $\mathbf{v}_1, \dots, \mathbf{v}_n \in \mathbb{R}^d$.

Two subsets $I, J \subset [n]$ are called **$\mathbf{V}$ -separated** if there exists a linear function $h : \mathbb{R}^d \rightarrow \mathbb{R}$ such that $h(\mathbf{v}_i) > 0$ for $i \in I \setminus J$, and $h(\mathbf{v}_j) < 0$ for $j \in J \setminus I$.

A collection $\mathcal{S} \subset 2^{[n]}$ of subsets of $[n]$ is called a **$\mathbf{V}$ -separated collection** if any two of its elements are $\mathbf{V}$ -separated.

2.2. Separation for oriented matroids

The above notion of $\mathbf{V}$ -separation depends only on the oriented matroid associated with a vector configuration $\mathbf{V}$. One can extend this notion to any oriented matroid as follows.

First, recall the definition of oriented matroids; see Section 6.3 for more details. A *signed subset* X of a set E is a pair $X = (X^+, X^-)$ of disjoint subsets X^+, X^- of E . The *support* $\underline{X}$ of X is the (usual) set $\underline{X} := X^+ \sqcup X^-$. The empty signed subset is $\emptyset = (\emptyset, \emptyset)$. For a signed subset $X = (X^+, X^-)$, let $-X := (X^-, X^+)$.

DEFINITION 2.2 ([BLVS⁺99, Definition 3.2.1]). An *oriented matroid* $\mathcal{M}$ is a pair $\mathcal{M} = (E, \mathcal{C})$, where E is a set, called the *ground set*, and $\mathcal{C}$ is a collection of signed subsets of E , called *circuits*, that satisfy the following axioms:

- (C0) $\emptyset \notin \mathcal{C}$.
- (C1) For all $X \in \mathcal{C}$, we have $-X \in \mathcal{C}$.
- (C2) For all $X, Y \in \mathcal{C}$, if $\underline{X} \subset \underline{Y}$, then $X = Y$ or $X = -Y$.
- (C3) For all $X, Y \in \mathcal{C}$, $X \neq -Y$, and $e \in X^+ \cap Y^-$, there is a $Z \in \mathcal{C}$ such that

$$Z^+ \subset (X^+ \cup Y^+) \setminus \{e\} \quad \text{and} \quad Z^- \subset (X^- \cup Y^-) \setminus \{e\}.$$

A subset $I \subset E$ is called *independent* if there is no circuit $X \in \mathcal{C}$ such that $\underline{X} \subset I$. The *rank* of $\mathcal{M}$, denoted $\text{rank}(\mathcal{M})$, is the maximal size of an independent subset.

A vector configuration $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$, defines the *associated oriented matroid* $\mathcal{M}_{\mathbf{V}}$ on the ground set $E = [n]$ such that a nonempty signed subset X of $[n]$ is a circuit of $\mathcal{M}_{\mathbf{V}}$ if and only if there exists a linear dependence $\sum_{i \in \underline{X}} c_i \mathbf{v}_i = 0$ with $c_i > 0$, for all $i \in X^+$, and $c_j < 0$, for all $j \in X^-$, and any proper subset of vectors $\mathbf{v}_i$, $i \in \underline{X}$, is linearly independent.

DEFINITION 2.3. For an oriented matroid $\mathcal{M} = (E, \mathcal{C})$, we say that two sets $I, J \subset E$ are $\mathcal{M}$ -separated if there is no circuit $X \in \mathcal{C}$ such that $X^+ \subset I \setminus J$ and $X^- \subset J \setminus I$.

A collection $\mathcal{S} \subset 2^E$ of subsets of E is called an $\mathcal{M}$ -separated collection if any two of its elements are $\mathcal{M}$ -separated.

The following lemma is an easy exercise for the reader.

LEMMA 2.4. Let $\mathcal{M} = \mathcal{M}_{\mathbf{V}}$ be the oriented matroid associated with a vector configuration $\mathbf{V}$. Then a collection $\mathcal{S} \subset 2^E$ is $\mathbf{V}$ -separated if and only if it is $\mathcal{M}$ -separated.

2.3. Zonotopal tilings

Recall that the *Minkowski sum* of two (or more) sets $A, B \subset \mathbb{R}^d$ is the set $A + B := \{a + b \mid a \in A, b \in B\}$.

For a vector configuration $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$, the corresponding *zonotope* $\mathcal{Z}_{\mathbf{V}}$ is defined as the Minkowski sum of the line segments $[0, \mathbf{v}_i]$:

$$\mathcal{Z}_{\mathbf{V}} := [0, \mathbf{v}_1] + \dots + [0, \mathbf{v}_n].$$

Equivalently, the zonotope $\mathcal{Z}_{\mathbf{V}}$ is the image $p(\square_n)$ of the standard n -hypercube

$$\square_n := [0, e_1] + \dots + [0, e_n] \subset \mathbb{R}^n$$

under the projection

$$p : \mathbb{R}^n \rightarrow \mathbb{R}^d \quad \text{such that } p(e_i) = \mathbf{v}_i, \text{ for } i = 1, \dots, n,$$

where $e_1, \dots, e_n$ are the standard coordinate vectors in $\mathbb{R}^n$.

DEFINITION 2.5. A *fine zonotopal tiling* of $\mathcal{Z}_{\mathbf{V}}$ is a cubical subcomplex $\mathfrak{T}$ of the n -hypercube $\square_n$, i.e., a collection of faces F of $\square_n$ closed under taking subfaces, such that the projection p induces a homeomorphism between $\bigcup_{F \in \mathfrak{T}} F$ and the zonotope $\mathcal{Z}_{\mathbf{V}}$.

A fine zonotopal tiling $\mathfrak{T}$ gives a polyhedral subdivision $p(\mathfrak{T})$ of the zonotope $\mathcal{Z}_{\mathbf{V}}$ with faces $p(F)$, for $F \in \mathfrak{T}$. Each face $p(F)$ of the subdivision $p(\mathfrak{T})$ is a parallelotope whose edges are parallel translations of some vectors $\mathbf{v}_i$.

Faces $F = \square_X$ of the hypercube $\square_n$ can be labeled by signed subsets $X = (X^+, X^-)$ of $[n]$ as follows:

$$\square_X := \sum_{i \in X^+} e_i + \sum_{j \in [n] \setminus \underline{X}} [0, e_j].$$

Thus every face $p(\square_X)$ of the subdivision $p(\mathfrak{T})$ of the zonotope $\mathcal{Z}_{\mathbf{V}}$ is naturally labeled by the signed subset X of $[n]$. In what follows, we identify a face $F = \square_X$ of the cube with the signed subset X that labels it.

Clearly, $\dim \square_X = \dim p(\square_X) = n - |\underline{X}|$.

REMARK 2.6. According to our definition, a fine zonotopal tiling $\mathfrak{T}$ contains more information than the polyhedral subdivision $p(\mathfrak{T})$ of the zonotope $\mathcal{Z}_{\mathbf{V}}$. Namely, it also includes the labeling of the faces of the subdivision $p(\mathfrak{T})$ by signed sets X . It is possible that two different tilings $\mathfrak{T}_1$ and $\mathfrak{T}_2$ produce the same subdivision $p(\mathfrak{T}_1) = p(\mathfrak{T}_2)$ of the zonotope.

However, if the vectors $\mathbf{v}_1, \dots, \mathbf{v}_n$ of the configuration $\mathbf{V}$ are non-zero and not collinear to each other, it is not hard to show (an exercise for the reader) that the subdivision $p(\mathfrak{T})$ of $\mathcal{Z}_{\mathbf{V}}$ uniquely defines the labeling of its faces by signed sets. In this case, we can identify a fine zonotopal tiling $\mathfrak{T}$ with the corresponding polyhedral subdivision $p(\mathfrak{T})$ of $\mathcal{Z}_{\mathbf{V}}$.

Clearly, a face $\square_X$ of the hypercube $\square_n$ is a vertex if and only if $X = [n]$. So we can label vertices of $\square_n$ by usual subsets $I = X^+ \subset [n]$.

For a fine zonotopal tiling $\mathfrak{T}$ of $\mathcal{Z}_{\mathbf{V}}$, let $\text{Vert}(\mathfrak{T}) \subset 2^{[n]}$ be the collection of labels I of vertices of $\mathfrak{T}$, i.e.,

$$(2.1) \quad \text{Vert}(\mathfrak{T}) := \{I \in 2^{[n]} \mid \square_{(I, [n] \setminus I)} \in \mathfrak{T}\}.$$

We say that a $\mathbf{V}$ -separated collection $\mathcal{S}$ is *maximal by size* if its cardinality $|\mathcal{S}|$ is maximal among all $\mathbf{V}$ -separated collections.

Our first main result on $\mathbf{V}$ -separation identifies maximal by size $\mathbf{V}$ -separated collections with fine zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$.

THEOREM 2.7. *Let $\mathbf{V} \subset \mathbb{R}^d$ be a vector configuration. Then the map $\mathfrak{T} \mapsto \text{Vert}(\mathfrak{T})$ is a bijection between fine zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$ and maximal by size $\mathbf{V}$ -separated collections of subsets of $[n]$.*

Any such collection has size $|\text{Ind}(\mathbf{V})|$, where $\text{Ind}(\mathbf{V})$ denotes the collection of linearly independent subsets of $\mathbf{V}$.

Actually, we will prove a stronger result, Theorem 7.2, concerning an arbitrary oriented matroid $\mathcal{M}$. According to the Bohné-Dress theorem (see Theorem 6.7), fine zonotopal tilings of the zonotope $\mathcal{Z}_{\mathbf{V}}$ are canonically identified with *one-element liftings of the oriented matroid $\mathcal{M}_{\mathbf{V}}$ in general position*. Thus one can view the notion of “one-element liftings in general position” as an extension of the notion of “fine zonotopal tilings” to an arbitrary oriented matroid $\mathcal{M}$. Theorem 7.2 gives a bijection between these objects and maximal *by size* $\mathcal{M}$ -separated collections.

2.4. Pure oriented matroids

We will distinguish between two different notions of maximality of $\mathcal{M}$ - or $\mathbf{V}$ -separated collections: maximal *by size* (appearing in the previous theorem) and maximal *by inclusion*.

DEFINITION 2.8. For an oriented matroid $\mathcal{M}$, an $\mathcal{M}$ -separated collection $\mathcal{S}$ is called *maximal by inclusion* if $\mathcal{S}$ is not properly contained in any other $\mathcal{M}$ -separated collection. Similarly, for a vector configuration $\mathbf{V}$, we define *maximal by inclusion* $\mathbf{V}$ -separated collections.

Clearly, all $\mathcal{M}$ -separated collections in 2^E form an abstract simplicial complex, i.e., any subset of an $\mathcal{M}$ -separated collection is also $\mathcal{M}$ -separated.

Recall that a simplicial complex is called *pure* if any simplex in it is a face of a top-dimensional simplex in this simplicial complex.

DEFINITION 2.9. We say that an oriented matroid $\mathcal{M}$ is *pure* if any maximal *by inclusion* $\mathcal{M}$ -separated collection is also maximal *by size*. Equivalently, an oriented matroid $\mathcal{M}$ is pure if all $\mathcal{M}$ -separated collections form a pure simplicial complex.

We also say that a vector configuration $\mathbf{V}$ is *pure* if the associated oriented matroid $\mathcal{M}_{\mathbf{V}}$ is pure.

Clearly, if $\mathbf{V}$ is pure then we can replace the phrase “maximal *by size*” in Theorem 2.7 with “maximal *by inclusion*”.

2.5. Mutation-closed domains

DEFINITION 2.10. The *mutation graph* of an oriented matroid $\mathcal{M}$ is a simple undirected graph on the vertex set 2^E such that two subsets $I, J \subset E$ are connected by an edge if and only if the signed set $(I \setminus J, J \setminus I)$ is a circuit of $\mathcal{M}$. See Figure 7.2 for an example of an oriented matroid for which the connected components of the mutation graph are the 1-skeleta of the icosahedron and the dodecahedron.

We say that a subset $\mathcal{D} \subset 2^E$ is a *mutation-closed domain* for $\mathcal{M}$ if $\mathcal{D}$ is a union of connected components of the mutation graph of $\mathcal{M}$.

We say that a mutation-closed domain $\mathcal{D} \subset 2^E$ is $\mathcal{M}$ -*pure* if all $\mathcal{M}$ -separated collections $\mathcal{S} \subset \mathcal{D}$ form a pure simplicial complex. In other words, $\mathcal{D}$ is $\mathcal{M}$ -pure if and only if any $\mathcal{M}$ -separated collection $\mathcal{S} \subset \mathcal{D}$, which is maximal *by inclusion* among all $\mathcal{M}$ -separated collections that belong to $\mathcal{D}$, is also maximal *by size* among all such collections.

See Section 7.1 for more details related to this definition. Note that a concept equivalent to the mutation graph appeared in [Gio07, Section 4].

REMARK 2.11. Both $\mathcal{M}$ -separated collections $\mathcal{S}$ and mutation-closed domains $\mathcal{D}$ are subsets of 2^E . Strictly speaking, both terms “collection” and “domain” mean a “set of subsets” of E . However, we usually use the term *collection* when we talk about $\mathcal{M}$ -separated collections. On the other hand, *domains* need not be $\mathcal{M}$ -separated. Typically, we will fix a domain $\mathcal{D}$ and study all $\mathcal{M}$ -separated collections $\mathcal{S}$ inside $\mathcal{D}$. The same convention is used in [DKK14].

CONJECTURE 2.12. *If $\mathcal{M}$ is a pure oriented matroid then any mutation-closed domain $\mathcal{D}$ for $\mathcal{M}$ is an $\mathcal{M}$ -pure domain.*

We will prove this conjecture in two important cases.

There are local transformations of fine zonotopal tilings of $\mathcal{M}_{\mathbf{V}}$ called *flips*. Using the Bohne-Dress correspondence between zonotopal tilings and liftings, one can extend the definition of flips to one-element liftings in general position of any oriented matroid $\mathcal{M}$. An oriented matroid $\mathcal{M}$ is called *flip-connected* if any two one-element liftings of $\mathcal{M}$ in general position are connected by a sequence of flips. See Section 7.1 and Definition 7.10 for more details.

PROPOSITION 2.13. *Conjecture 2.12 is true for graphical oriented matroids and also for flip-connected oriented matroids.*

The graphical case is proved in Section 9. The case of flip-connected matroids is proved in Section 7.1.

CHAPTER 3

Motivating examples

In this chapter, we describe several results about strong, weak, and chord separation that have been proved in [LZ98, OPS15, Gal16, DKK10, DKK14].

3.1. Alternating oriented matroids

A *cyclic vector configuration* is a vector configuration $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$ such that all maximal $d \times d$ minors of the $d \times n$ matrix with columns $\mathbf{v}_1, \dots, \mathbf{v}_n$ are strictly positive. For example, for the *moment curve*

$$\mathbf{v}(t) = (1, t, t^2, \dots, t^{d-1}) \in \mathbb{R}^d, \quad t \in \mathbb{R},$$

the vector configuration $(\mathbf{v}(t_1), \dots, \mathbf{v}(t_n))$, for $0 < t_1 < \dots < t_n$, is cyclic. (In this case, the maximal minors are given by positive Vandermonde determinants.)

REMARK 3.1. A *cyclic polytope* is the convex hull of the endpoints of vectors $\mathbf{v}_i$ in a cyclic vector configuration. According to [Pos06], for fixed n and d , cyclic vector configurations represent points of the *totally positive Grassmannian* $Gr_{d,n}^{>0}$.

It is not hard to see that all cyclic configurations of n vectors in $\mathbb{R}^d$ define the same oriented matroid, called the *alternating oriented matroid* $C^{n,d}$.

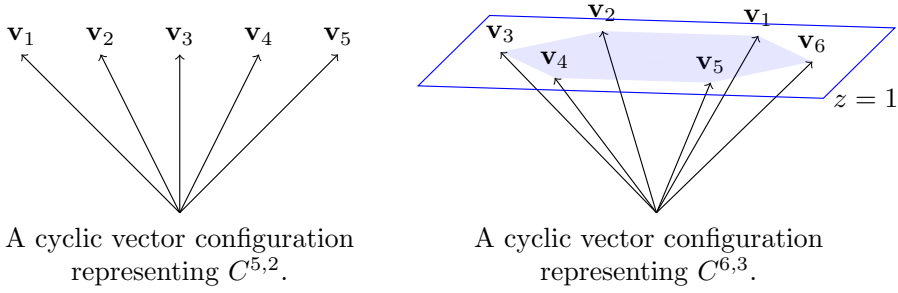
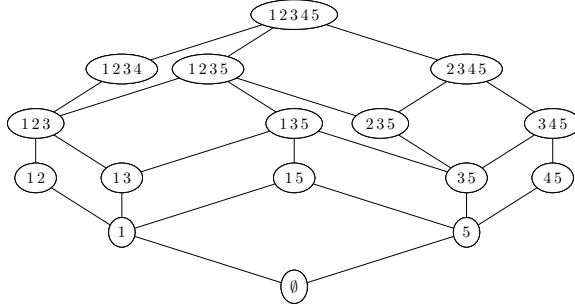
We will call a zonotope $\mathcal{Z}_{\mathbf{V}}$ associated with a cyclic vector configuration $\mathbf{V}$ of n vectors in $\mathbb{R}^d$ a *cyclic zonotope* and denote it by $\mathcal{Z}_{C^{n,d}}$. The combinatorial structure of cyclic polytopes and cyclic zonotopes depends only on n and d and is independent of the choice of vectors in a cyclic vector configuration.

The following description of circuits of alternating oriented matroids is well known and not hard to prove. It explains why these oriented matroids are called “alternating”.

LEMMA 3.2. *The circuits of the alternating oriented matroid $C^{n,d}$ are exactly all signed subsets of $[n]$ of the form $(I^{\text{odd}}, I^{\text{even}})$ or $(I^{\text{even}}, I^{\text{odd}})$, where $I = \{i_1 < i_2 < \dots < i_{d+1}\}$ is any $(d+1)$ -element subset of $[n]$, $I^{\text{odd}} := \{i_1, i_3, i_5, \dots\}$, and $I^{\text{even}} := \{i_2, i_4, i_6, \dots\}$.*

THEOREM 3.3 ([Zie93, Theorem 4.1(G)]). *All alternating oriented matroids $C^{n,d}$ are flip-connected.*

REMARK 3.4. Ziegler [Zie93] identified fine zonotopal tilings of cyclic zonotopes with elements of the *higher Bruhat orders* [MS86, VK91]. The flip connectedness of $C^{n,d}$ is equivalent to the connectedness of the corresponding higher Bruhat order poset.

FIGURE 3.1. Cyclic vector configurations in $\mathbb{R}^2$ and $\mathbb{R}^3$.FIGURE 3.2. Vertex labels of a rhombus tiling of a convex 2×5 -gon form a maximal by inclusion (and by size) strongly separated collection.

3.2. Strong separation

Leclerc and Zelevinsky [LZ98] defined *strong separation* as follows.

DEFINITION 3.5. Two sets $I, J \subset [n]$ are called *strongly separated* if there are no three elements $i < j < k \in [n]$ such that $i, k \in I \setminus J$ and $j \in J \setminus I$, or vice versa.

A collection $\mathcal{S} \subset 2^{[n]}$ of subsets of $[n]$ is *strongly separated* if any two of its sets are strongly separated from each other. Such a collection is called *maximal by inclusion* if it is not properly contained in any other strongly separated collection.

THEOREM 3.6 ([LZ98, Theorem 1.6]). *Any maximal by inclusion strongly separated collection $\mathcal{S} \subset 2^{[n]}$ is also maximal by size:*

$$|\mathcal{S}| = \binom{n}{0} + \binom{n}{1} + \binom{n}{2}.$$

Such collections are in bijection with rhombus tilings of a convex $2n$ -gon, see Figure 3.2.

For example, Figure 3.2 shows a maximal by inclusion strongly separated collection with $\binom{5}{0} + \binom{5}{1} + \binom{5}{2} = 16$ elements.

It follows directly from the definitions and Lemma 3.2 that strong separation is equivalent to $\mathcal{M}$ -separation for the rank 2 alternating oriented matroid $\mathcal{M} = C^{n,2}$.

LEMMA 3.7. *A collection $\mathcal{S} \subset 2^{[n]}$ is strongly separated if and only if $\mathcal{S}$ is $C^{n,2}$ -separated.*

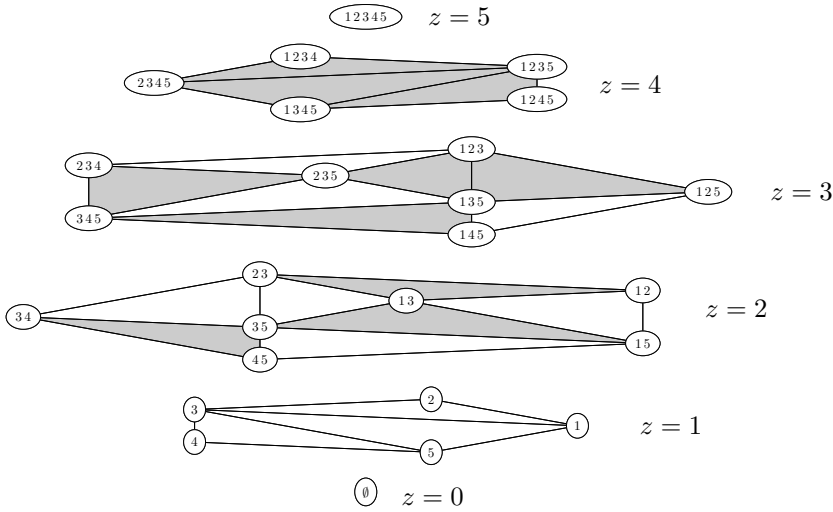


FIGURE 3.3. The horizontal sections of a zonotopal tiling of $\mathcal{Z}_{C^{5,3}}$ by planes $z = k$, for $k = 0, 1, \dots, 5$, are dual to trivalent planar graphs.

In this case, the cyclic zonotope $\mathcal{Z}_{C^{n,2}}$ is a centrally symmetric $2n$ -gon. Fine zonotopal tilings of this zonotope are exactly rhombus tilings of the $2n$ -gon.

Notice that the number of independent sets of the rank 2 alternating oriented matroid $C^{n,2}$, which are all subsets of $[n]$ with at most 2 elements, is exactly $\binom{n}{0} + \binom{n}{1} + \binom{n}{2}$.

3.3. Chord separation

Before we discuss weak separation, let us first talk about a related notion of *chord separation*, which was recently defined in [Gal16] as follows.

DEFINITION 3.8. Two sets $I, J \subset [n]$ are *chord separated* if and only if there do not exist numbers $1 \leq i < j < k < l \leq n$ such that $i, k \in I \setminus J$ and $j, l \in J \setminus I$, or vice versa.

THEOREM 3.9 ([Gal16, Theorem 1.2]). *Any maximal by inclusion chord separated collection $\mathcal{S} \subset 2^{[n]}$ has size*

$$\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \binom{n}{3}.$$

Such collections are in bijection with fine zonotopal tilings of the three-dimensional cyclic zonotope $\mathcal{Z}_{C^{n,3}}$.

Figure 3.3 shows an example of a zonotopal tiling of $\mathcal{Z}_{\mathbf{V}}$ whose vertex labels form a maximal by inclusion chord separated collection $\mathcal{S} \subset 2^{[n]}$ for $n = 5$. This collection has size $\binom{5}{0} + \binom{5}{1} + \binom{5}{2} + \binom{5}{3} = 26$, as predicted by Theorem 3.9.

It follows directly from the definitions and Lemma 3.2 that chord separation is equivalent to $\mathcal{M}$ -separation for the rank 3 alternating oriented matroid $\mathcal{M} = C^{n,3}$:

LEMMA 3.10. *A collection $\mathcal{S} \subset 2^{[n]}$ is chord separated if and only if $\mathcal{S}$ is $C^{n,3}$ -separated.*

Notice that the number of independent sets in $C^{n,3}$, which are all subsets of $[n]$ with at most 3 elements, is exactly $\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \binom{n}{3}$.

Using our matroidal terminology, Theorems 3.6 and 3.9 imply the following result.

COROLLARY 3.11. *The rank 2 and 3 alternating oriented matroids $C^{n,2}$ and $C^{n,3}$ are pure.*

3.4. Weak separation

Leclerc and Zelevinsky [LZ98] also introduced *weak separation*, which is a more subtle notion than strong separation.

For two sets $I, J \subset [n]$, we say that I *surrounds* J if their set-theoretic difference $I \setminus J$ can be partitioned as a disjoint union of two sets I_1 and I_2 so that $I_1 \prec (J \setminus I) \prec I_2$. Here, for two sets A and B of integers, the notation $A \prec B$ means that any element of A is less than any element of B .

DEFINITION 3.12 ([LZ98]). Two sets $I, J \subset [n]$ are *weakly separated* if

- (1) $|I| \leq |J|$ and I surrounds J , or
- (2) $|J| \leq |I|$ and J surrounds I .

Clearly, if $|I| = |J|$ then I and J are weakly separated if and only if they are chord separated. However, sets I and J of different cardinalities can be chord separated but not weakly separated.

Leclerc-Zelevinsky's purity conjecture [LZ98, Conjecture 1.5] for weak separation was independently proved in [DKK10, Theorem A] and [OPS15, Theorem 1.3] (in a more general version for *positroids*). Let us formulate two special cases of this result.

Let $\binom{[n]}{k}$ be the set of all k -element subsets of $[n]$. Thus $2^{[n]}$ is the disjoint union of the sets $\binom{[n]}{k}$, $k = 0, 1, \dots, n$.

THEOREM 3.13 ([OPS15, Theorem 1.3], [DKK10, Theorem A]).

- (1) *Every maximal by inclusion weakly separated collection $\mathcal{S} \subset \binom{[n]}{k}$ of k -element subsets of $[n]$ is also maximal by size:*

$$|\mathcal{S}| = k(n - k) + 1.$$

- (2) *Every maximal by inclusion weakly separated collection $\mathcal{S} \subset 2^{[n]}$ is also maximal by size:*

$$|\mathcal{S}| = \binom{n}{0} + \binom{n}{1} + \binom{n}{2}.$$

This theorem was proved in [OPS15] by constructing a bijection between maximal by inclusion weakly separated collections $\mathcal{S} \subset \binom{[n]}{k}$ and *reduced plabic graphs* introduced in [Pos06] in the study of the totally nonnegative Grassmannian.

Figure 3.4 shows an example of a weakly separated collection of k -element subsets of $[n]$, for $k = 3$ and $n = 6$:

$$\mathcal{S} = \{123, 126, 156, 236, 136, 146, 346, 234, 345, 456\} \subset \binom{[6]}{3}.$$

It consists of $|\mathcal{S}| = k(n - k) + 1 = 3 \times 3 + 1 = 10$ elements. Here we abbreviate a subset $\{a, b, c\} \subset [n]$ by abc .

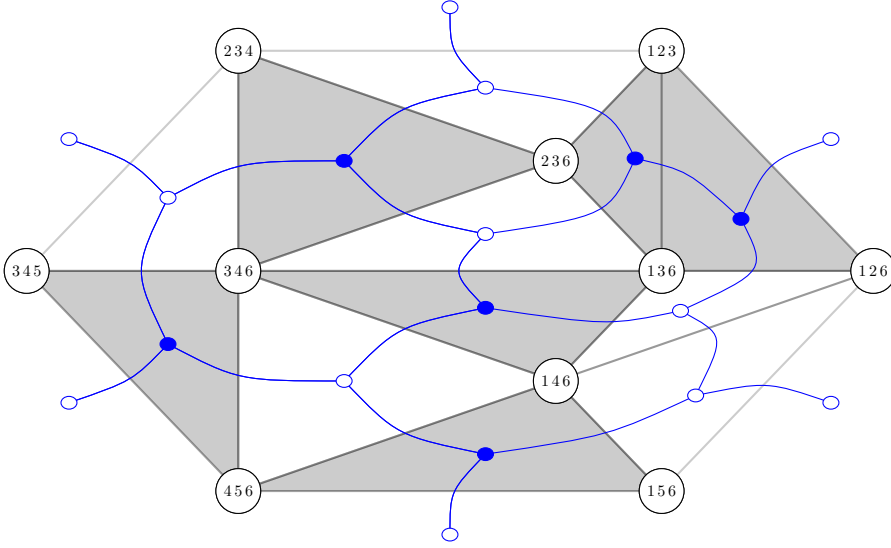


FIGURE 3.4. A (trivalent) *plabic graph* is shown in blue. The face labels form a maximal by inclusion weakly separated collection of k -element subsets of $[n]$, for $k = 3$ and $n = 6$.

REMARK 3.14. Part (2) of Theorem 3.13, concerning weakly separated collections $\mathcal{S} \subset 2^{[n]}$ of subsets of various cardinalities, was deduced in [OPS15] from part (1), concerning collections of subsets of the same cardinality, using a simple *padding construction* as follows.

Let $\text{pad} : 2^{[n]} \rightarrow \binom{[2n]}{n}$ be the injective map given by $\text{pad}(I) = I \cup \{2n, 2n - 1, \dots, n + |I| + 1\}$, for $I \subset [n]$. It is easy to see [OPS15, Lemma 12.7] that $\mathcal{S}$ is a weakly separated collection in $2^{[n]}$ if and only if its image $\text{pad}(\mathcal{S})$ is a weakly separated collection in $\binom{[2n]}{n}$. Moreover, according to [OPS15], maximal by inclusion weakly separated collections $\mathcal{S} \subset 2^{[n]}$ correspond to maximal by inclusion weakly separated collections $\tilde{\mathcal{S}} \subset \binom{[2n]}{n}$ of n -element subsets in $[2n]$ that contain some fixed collection $\hat{\mathcal{S}}_0$. The correspondence is given explicitly by $\mathcal{S} \mapsto \text{pad}(\mathcal{S}) \sqcup \mathcal{S}_0$ (disjoint union) for a slightly smaller fixed collection $\mathcal{S}_0 \subset \hat{\mathcal{S}}_0$. One can take, for example,

$$\begin{aligned} \mathcal{S}_0 &:= \{[a, n] \cup [b, c] \mid 0 < a \leq n < b \leq c < 2n, |[a, n] \cup [b, c]| = n\}; \\ \hat{\mathcal{S}}_0 &:= \{[a, n] \cup [b, c] \mid 0 < a \leq n < b \leq c \leq 2n, |[a, n] \cup [b, c]| = n\}. \end{aligned}$$

This shows that the original Leclerc-Zelevinsky's notion of weak separation essentially reduces to the notion of weak separation (equivalently, chord separation) for collections of subsets of the same cardinality.

Let us show how weakly separated collections $\mathcal{S} \subset \binom{[n]}{k}$ fit into our general setup of oriented matroids.

Observe that $\binom{[n]}{k}$ is a mutation-closed domain for the alternating matroid $\mathcal{M} = C^{n,3}$ of rank 3. Theorem 3.13 implies that this is an $\mathcal{M}$ -pure domain.

COROLLARY 3.15. *Let $\mathcal{M} = C^{n,3}$ be the alternating oriented matroid of rank 3. The mutation-closed domain $\binom{[n]}{k}$, for $k = 0, 1, \dots, n$, is an $\mathcal{M}$ -pure domain.*

Note that, in view of Proposition 2.13 and Theorem 3.3, Corollary 3.15 formally follows from Corollary 3.11. However, the proof of purity of $C^{n,3}$ given in [Gal16] relies on the $C^{n,3}$ -purity of all domains $\binom{[n]}{k}$ that was proven in [OPS15, DKK10].

CHAPTER 4

Simple operations on oriented matroids

There are several simple operations on oriented matroids that do not affect purity.

4.1. Relabeling and adding/removing loops and coloops

Clearly, an oriented matroid obtained from a pure oriented matroid by relabeling the elements of the ground set is again pure.

The following lemma is straightforward. See Chapter 6 for the definitions of loops and coloops and Chapter 8 for the proof.

LEMMA 4.1. *Let $\mathcal{M}$ be a pure oriented matroid. Then any oriented matroid obtained from $\mathcal{M}$ by adding (or removing) loops and coloops is pure.*

4.2. Adding/removing parallel elements

There is another simple operation on oriented matroids $\mathcal{M}$, the operation of adding parallel elements. Let $e \in E$ be an element of the ground set of $\mathcal{M}$. Let $\mathcal{M}'$ be the oriented matroid on the ground set $E' = E \cup \{e'\}$ (where $e' \notin E$) whose set of circuits contains exactly all circuits of $\mathcal{M}$, all circuits of $\mathcal{M}$ with the element e replaced by e' , and also the circuits given by the signed sets $(\{e\}, \{e'\})$ and $(\{e'\}, \{e\})$. If $\mathcal{M}$ is an oriented matroid associated with a vector configuration $\mathbf{V}$, this operation means that we add an extra copy of some vector $\mathbf{v}_i$ to $\mathbf{V}$. We say that an oriented matroid is *obtained by adding parallel elements* from $\mathcal{M}$ if it is obtained by a sequence of such operations.

The following result is easy to formulate but (surprisingly) hard to prove, see Lemma 8.2.

LEMMA 4.2. *Let $\mathcal{M}$ be an oriented matroid and $\mathcal{M}'$ be any oriented matroid obtained from $\mathcal{M}$ by adding parallel elements. Then $\mathcal{M}$ is pure if and only if $\mathcal{M}'$ is pure.*

4.3. Reorientations

Let us also describe the operation of *reorientation*, defined on signed sets and on oriented matroids as follows.

For a signed subset $X = (X^+, X^-)$ of E and an element $f \in E$, we write

$$(4.1) \quad X_f = \begin{cases} 1, & \text{if } f \in X^+, \\ -1, & \text{if } f \in X^-, \\ 0, & \text{if } f \in E \setminus \underline{X}. \end{cases}$$

For $e \in E$, let ${}_e X = X'$ be the signed subset of E such that $X'_e = -X_e$, and $X'_f = X_f$, for $f \neq e$.

For an oriented matroid $\mathcal{M} = (E, \mathcal{C})$, let ${}_{-e}\mathcal{M}$ be the oriented matroid on the same ground set E with circuits ${}_{-e}X$, for $X \in \mathcal{C}$.

If $\mathcal{M}$ is the oriented matroid associated with a vector configuration $\mathbf{V} = (\mathbf{v}_1, \dots, \mathbf{v}_n)$, then ${}_{-i}\mathcal{M}$ is the oriented matroid associated with the vector configuration $(\mathbf{v}_1, \dots, \mathbf{v}_{i-1}, -\mathbf{v}_i, \mathbf{v}_{i+1}, \dots, \mathbf{v}_n)$.

Let us define related operations on $\mathcal{M}$ -separated collections. For a usual subset $I \subset E$, let

$$(4.2) \quad {}_{-e}I := \begin{cases} I \setminus \{e\}, & \text{if } e \in I, \\ I \cup \{e\}, & \text{if } e \notin I. \end{cases}$$

For a collection $\mathcal{S} \subset 2^E$, let ${}_{-e}\mathcal{S}$ be the collection of subsets ${}_{-e}I$, for $I \in \mathcal{S}$.

The following lemma follows directly from the definitions.

LEMMA 4.3. *Let $\mathcal{M} = (E, \mathcal{C})$ be an oriented matroid, and let $e \in E$. Then $\mathcal{S}$ is an $\mathcal{M}$ -separated collection if and only if ${}_{-e}\mathcal{S}$ is an ${}_{-e}\mathcal{M}$ -separated collection.*

Thus $\mathcal{M}$ is pure if and only if ${}_{-e}\mathcal{M}$ is pure.

Let us say that two oriented matroids $\mathcal{M}$ and $\mathcal{M}'$ are *isomorphic* if they can be obtained from each other by a sequence of reorientations followed by a relabeling of the ground set. Lemma 4.3 implies that, for two isomorphic oriented matroids $\mathcal{M}$ and $\mathcal{M}'$, there is a natural (inclusion- and cardinality-preserving) one-to-one correspondence between $\mathcal{M}$ -separated collections and $\mathcal{M}'$ -separated collections. In particular, we get the following result.

PROPOSITION 4.4. *For two isomorphic oriented matroids $\mathcal{M}$ and $\mathcal{M}'$, $\mathcal{M}$ is pure if and only if $\mathcal{M}'$ is pure.*

CHAPTER 5

Main results on purity

5.1. Purity of matroids of rank 2 or corank 1

We prove the following easy claim in Chapter 11.

PROPOSITION 5.1. *Any oriented matroid $\mathcal{M}$ such that $\text{rank}(\mathcal{M}) \leq 2$ or $\text{corank}(\mathcal{M}) \leq 1$ is pure.*

5.2. Purity of rank 3 oriented matroids

DEFINITION 5.2 (cf. [Pos06]). An oriented matroid $\mathcal{M}$ of rank d on the ground set $[n]$ is a *positroid* if it can be represented by the columns of a $d \times n$ matrix of rank d all of whose $d \times d$ minors are nonnegative.¹

According to [Pos06], full rank $d \times n$ matrices with nonnegative $d \times d$ minors represent points of the *totally nonnegative Grassmannian* $Gr_{d,n}^{\geq 0}$. It comes equipped with a CW decomposition into cells labeled by positroids.

The following result generalizes Theorem 3.9.

THEOREM 5.3. *Let $\mathcal{M}$ be an oriented matroid of rank 3. Then the following are equivalent:*

- (1) $\mathcal{M}$ is pure.
- (2) $\mathcal{M}$ is isomorphic to a positroid.
- (3) $\mathcal{M}$ is represented by a vector configuration $\mathbf{V}$ such that, after a suitable rescaling of vectors (by nonzero scalars) and removing zero vectors, the endpoints of vectors in $\mathbf{V}$ lie in the same affine plane and belong to the boundary of a convex m -gon for some $3 \leq m \leq |\mathbf{V}|$.

REMARK 5.4. We distinguish between being “a positroid” and “isomorphic to a positroid”. The property of being a positroid depends on the ordering of the elements of the ground set and is not invariant under reorientations. On the other hand, purity is invariant under relabeling and reorienting the ground set of $\mathcal{M}$.

The equivalence of conditions (2) and (3) in Theorem 5.3 is a simple well known fact. We prove that (1) implies (2) in Theorem 10.2, and the converse is shown in Chapter 11.

5.3. Graphical oriented matroids

Let $\vec{G}$ be a directed graph² with vertex set $[d]$ and with n edges, then the corresponding vector configuration $\mathbf{V}_{\vec{G}} \subset \mathbb{R}^d$ consists of vectors $e_i - e_j$, where

¹In [Pos06], positroids were defined as (unoriented) matroids. But they can be naturally endowed with a structure of an oriented matroid.

²We denote directed graphs by $\vec{G}$ and undirected graphs by G .

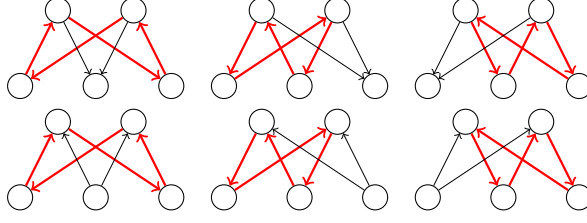


FIGURE 5.1. A $K_{2,3}$ -separated collection of total orientations that is maximal *by inclusion* but not *by size*. For each total orientation, the unique cycle that it orients is shown in red.

$i \rightarrow j$ is an edge of $\vec{G}$, and the vectors e_i , $1 \leq i \leq d$, are the standard coordinate vectors in $\mathbb{R}^d$.

The *graphical oriented matroid* $\mathcal{M}_{\vec{G}}$ is the oriented matroid associated with the vector configuration $\mathbf{V}_{\vec{G}}$.

According to Lemma 4.3 on reorientations, the property of $\mathcal{M}_{\vec{G}}$ being pure does not depend on the orientation of the edges of $\vec{G}$. We briefly explain how our definitions translate to undirected graphs.

Consider an undirected graph G without loops or parallel edges. We say that two total orientations O_1 and O_2 of G are *G -separated* if there does not exist a cycle C of G such that C is directed in both O_1 and O_2 but in the opposite ways. In particular, acyclic orientations of G are G -separated from all other total orientations of G . We say that G is *pure* if the size of any maximal *by inclusion* collection of pairwise G -separated total orientations of G equals the number of *forests* of G .

DEFINITION 5.5 ([CH67]). An undirected graph G is called *outerplanar* if G can be drawn in the plane without self-intersections and so that every vertex is incident to the exterior face of G .

THEOREM 5.6 ([CH67]). *Given an undirected graph G , the following conditions are equivalent:*

- (1) G is outerplanar;
- (2) G is a subgraph of the 1-skeleton of a triangulation of a convex m -gon;
- (3) G does not contain K_4 or $K_{2,3}$ as a minor.

Here K_4 denotes the complete graph with 4 vertices and $K_{2,3}$ denotes the complete bipartite graph with $2 + 3$ vertices. The last condition in Theorem 5.6 is analogous to the celebrated theorems of Kuratowski [Kur30] and Wagner [Wag37] for planar graphs.

Here is our main result on the purity of graphical oriented matroids, which is proved in Section 9.

THEOREM 5.7. *An undirected graph G is pure (i.e., the graphical oriented matroid $\mathcal{M}_{\vec{G}}$ is pure for any orientation $\vec{G}$ of G) if and only if G is outerplanar.*

Note that the zonotope associated with the vector configuration $\mathbf{V}_{\vec{K}_4}$ for the directed graph $\vec{K}_4$ with edge set $\{i \rightarrow j \mid 1 \leq i < j \leq 4\}$ is the three-dimensional permutohedron. Figures 5.1 and 5.2 show that the graphs $K_{2,3}$ and K_4 are not pure. This is explained in more detail in Section 9.

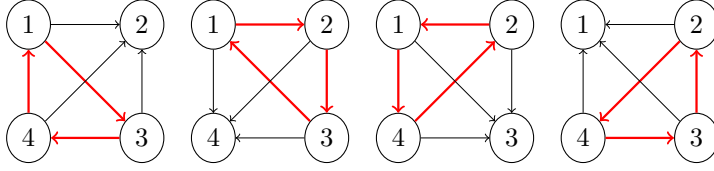


FIGURE 5.2. A K_4 -separated collection of total orientations that is not contained in any maximal *by size* K_4 -separated collection.

5.4. Uniform oriented matroids

An oriented matroid $\mathcal{M}$ of rank d is called *uniform* if

$$\{\underline{X} \mid X \text{ if a circuit of } \mathcal{M}\} = \binom{[n]}{d+1}.$$

If $\mathcal{M}$ is associated with a vector configuration $\mathbf{V}$, uniformity means that the vectors in $\mathbf{V}$ are in general position.

The following theorem gives a complete characterization of pure uniform oriented matroids.

THEOREM 5.8. *Suppose $\mathcal{M}$ is a uniform oriented matroid. Then $\mathcal{M}$ is pure if and only if*

- (1) $\text{rank}(\mathcal{M}) \leq 2$, or
- (2) $\text{corank}(\mathcal{M}) \leq 1$, or
- (3) $\text{rank}(\mathcal{M}) = 3$ and $\mathcal{M}$ is isomorphic to the alternating matroid $C^{n,3}$.

This result is proved in Chapter 11. It implies in particular that there are no pure uniform oriented matroids $\mathcal{M}$ with $\text{rank}(\mathcal{M}) \geq 4$ and $\text{corank}(\mathcal{M}) \geq 2$.

5.5. Arbitrary oriented matroids

Let us give a general conjecture that, according to our computer experiments, characterizes the class of pure oriented matroids.

CONJECTURE 5.9. *An oriented matroid $\mathcal{M}$ is pure if and only if all of its six-element minors are pure. Explicitly, it is pure if and only if one cannot obtain the graphical oriented matroids $\mathcal{M}_{\vec{K}_4}$ and $\mathcal{M}_{\vec{K}_{2,3}}$ from $\mathcal{M}$ by taking minors and rank-preserving weak maps.*

In particular, if $\mathcal{M}_1 \rightsquigarrow \mathcal{M}_2$ is a rank-preserving weak map and $\mathcal{M}_1$ is a pure oriented matroid then $\mathcal{M}_2$ is a pure oriented matroid as well.

We refer the reader to Chapter 6 for the definition of a rank-preserving weak map.

A simple corollary to Theorem 5.3 and Proposition 5.1 is that Conjecture 5.9 holds when $\text{rank}(\mathcal{M}_1) \leq 3$, since a weak map image of a positroid of rank 3 is again a positroid (see Lemma 10.1). As an illustration to Conjecture 5.9, we list all pure and non-pure oriented matroids on 6 elements of rank 4 and corank 2 in Figure 11.8. Using the *oriented matroid database* [Fin01], we have also computationally verified Conjecture 5.9 for all oriented matroids with at most 8 elements.

In Proposition 8.3, we prove one part of Conjecture 5.9, namely, that a minor of a pure oriented matroid is again pure.

In the three classes of oriented matroids that we discussed above (rank 3, graphical, uniform), Conjecture 5.9 agrees with Theorems 5.3, 5.7, and 5.8.

REMARK 5.10. We have already mentioned that maximal *by size* strongly and weakly separated collections correspond to clusters in certain cluster algebras. When $\mathcal{M}$ is a uniform oriented matroid, one can define *mutations* on maximal by size $\mathcal{M}$ -separated collections in a way similar to how they are defined in the case of strong and weak separation. A natural question arises: for which uniform oriented matroids $\mathcal{M}$ do maximal *by size* $\mathcal{M}$ -separated collections form clusters in a cluster algebra? We do not know the answer to this question, but Theorem 5.8 implies that strong and weak separation are essentially the only two cases where the corresponding oriented matroid is both uniform and pure. However, mutation-closed domains for uniform oriented matroids provide more possibilities for purity, see, e.g., Example 7.7.

CHAPTER 6

Background on zonotopal tilings and oriented matroids

In this chapter, we fix notation and recall some notions from [BLVS⁺99].

6.1. Sets and signed vectors

From now on, we denote the set-theoretic difference of two sets S, T by $S - T$ rather than $S \setminus T$, following the conventions of [LZ98, DKK10]. For a set S and an element $e \notin S$, we denote $Se = S \cup \{e\}$. In particular, the use of Se indicates that $e \notin S$. On the other hand, we denote $S \cup e := S \cup \{e\}$ and $S - e := S - \{e\}$ regardless of whether e belongs to S or not.

Generalizing (4.2), for two sets $S, T \subset E$, denote by ${}_TS$ their *symmetric difference*:

$${}_TS := (S - T) \cup (T - S).$$

We abbreviate $+1$ and -1 by $+$ and $-$ respectively. Let $X = (X^+, X^-)$ be a signed subset of the *ground set* E . The *zero set* X^0 of X is the complement of its support, $X^0 = E - \underline{X}$. We denote the collection of all signed subsets of E by $\{+, -, 0\}^E$ and recall that for each element $f \in E$, $X_f \in \{+, -, 0\}$ is defined by (4.1).

We say that two signed sets $X, Y \in \{+, -, 0\}^E$ are *orthogonal* if either of the following holds:

- there exist two elements $e, f \in E$ such that $X_e = Y_f = +$ and $X_f = Y_e = -$, or
- for every $e \in E$, either $X_e = 0$ or $Y_e = 0$.

In this case we write $X \perp Y$.

We introduce a partial order $\leq$ on $\{+, -, 0\}^E$ by $0 < +$ and $0 < -$, while leaving $+$ and $-$ incomparable. This induces an order on $\{+, -, 0\}^E$: for $X, Y \in \{+, -, 0\}^E$, we write $X \leq Y$ if for all $e \in E$, $X_e \leq Y_e$.

For two signed sets $X, Y \in \{+, -, 0\}^E$, their *composition* $X \circ Y \in \{+, -, 0\}^E$ is defined by

$$(X \circ Y)_e = \begin{cases} X_e, & \text{if } X_e \neq 0; \\ Y_e, & \text{otherwise.} \end{cases}$$

6.2. Zonotopal tilings

A *vector configuration* $\mathbf{V} = (\mathbf{v}_e)_{e \in E}$ is a finite subset of $\mathbb{R}^d$ indexed by the elements of some *ground set* E .

For a signed set $X \in \{+, -, 0\}^E$, we denote by $\tau_X = p(\square_X)$ the following zonotope:

$$\tau_X := \sum_{e \in E} \begin{cases} \mathbf{v}_e, & \text{if } e \in X^+; \\ 0, & \text{if } e \in X^-; \\ [0, \mathbf{v}_e], & \text{otherwise.} \end{cases}$$

Let us now give an alternative definition of a zonotopal tiling in a slightly different language.

DEFINITION 6.1. A collection $\mathfrak{T}$ of signed subsets of E is called a *zonotopal tiling* of $\mathcal{Z}_{\mathbf{V}}$ if and only if the following conditions hold:

- $\mathcal{Z}_{\mathbf{V}} = \bigcup_{X \in \mathfrak{T}} \tau_X$;
- for any $X \in \mathfrak{T}$ and any $Z \geq X$, we have $Z \in \mathfrak{T}$;
- for any two $X, Y \in \mathfrak{T}$, either the intersection $\tau_X \cap \tau_Y$ is empty or there exists $Z \in \mathfrak{T}$ such that $Z \leq X, Y$ (i.e., τ_Z is a proper face of τ_X and τ_Y) and

$$\tau_X \cap \tau_Y = \tau_Z.$$

A zonotopal tiling $\mathfrak{T}$ is called *fine* if for every $X \in \mathfrak{T}$, the vectors $\mathbf{v}_e$, $e \in X^0$, are linearly independent. In particular, all the top-dimensional tiles of $\mathfrak{T}$ must be parallelotopes. It is easy to see that this definition is equivalent to Definition 2.5. Indeed, every cubical subcomplex of $\square_{|E|}$ from Definition 2.5 satisfies the above properties. Conversely, given a collection $\mathfrak{T}$ of signed subsets of E satisfying the three properties above, it is easy to show that it also defines a cubical subcomplex satisfying Definition 2.5. To see that, note that we get a continuous bijection from the subcomplex $\bigcup_X \square_X$ of $\square_{|E|}$ to $\mathcal{Z}_{\mathbf{V}}$, and any such bijection is a homeomorphism since it maps a compact space to a Hausdorff space.

For a fine zonotopal tiling $\mathfrak{T}$, its *set of vertices* is defined as

$$\text{Vert}(\mathfrak{T}) := \{X^+ \mid X \in \mathfrak{T} \text{ such that } \underline{X} = E\} \subset 2^E.$$

This is a slight modification of (2.1).

6.3. Oriented matroids

An oriented matroid is a notion that has several cryptomorphic descriptions; for example, see Definition 2.2. The axiom (C3) is called the *weak elimination axiom*. The set E is called the *ground set* of $\mathcal{M}$ and throughout the text we denote the ground set of $\mathcal{M}$ by E unless told otherwise. Given an oriented matroid $\mathcal{M}$ with circuits $\mathcal{C}(\mathcal{M})$, define its collection $\mathcal{L}(\mathcal{M})$ of *covectors* by

$$\mathcal{L}(\mathcal{M}) = \{X \in \{+, -, 0\}^E \mid X \perp Y \quad \forall Y \in \mathcal{C}\}.$$

The collection $\mathcal{C}^*(\mathcal{M})$ of *cocircuits* of $\mathcal{M}$ is the set of minimal non-zero elements of $\mathcal{L}(\mathcal{M})$ with respect to the $\leq$ order from Section 6.1. Next, $\mathcal{T}(\mathcal{M})$ denotes the collection of all maximal elements of $\mathcal{L}(\mathcal{M})$. Such elements are called *maximal covectors* or *topes*. The *dual matroid* $\mathcal{M}^*$ of $\mathcal{M}$ is the oriented matroid whose set of circuits equals $\mathcal{C}^*(\mathcal{M})$.

We denote by $\text{Ind}(\mathcal{M})$ the collection of *independent sets* of $\mathcal{M}$, where a set is *independent* if it does not contain the support of any circuit of $\mathcal{M}$. The maximal by inclusion independent sets are called *bases* of $\mathcal{M}$ and the collection of all bases of $\mathcal{M}$ is denoted $\mathcal{B}(\mathcal{M})$. They all have the same size, which we call the *rank* of $\mathcal{M}$.

and denote $\text{rank}(\mathcal{M})$. If every $\text{rank}(\mathcal{M})$ -element subset of E is a basis then $\mathcal{M}$ is called *uniform*. The *corank* of $\mathcal{M}$ is $\text{corank}(\mathcal{M}) := |E| - \text{rank}(\mathcal{M})$.

Every vector configuration $\mathbf{V} \subset \mathbb{R}^r$ determines an oriented matroid $\mathcal{M}_{\mathbf{V}}$ whose circuits are the sign vectors of minimal linear dependencies of $\mathbf{V}$. We call $\mathcal{M}_{\mathbf{V}}$ *the oriented matroid of linear dependencies of $\mathbf{V}$* (also called *the oriented matroid associated with $\mathbf{V}$* in the earlier sections).

Recall that, for a directed graph $\vec{G}$, the *graphical* oriented matroid $\mathcal{M}_{\vec{G}}$ is the oriented matroid of linear dependencies of the vector configuration $\mathbf{V}_{\vec{G}}$ defined in Section 2.

Another structure that defines an oriented matroid is a *chirotope*. Given an oriented matroid $\mathcal{M}$ of rank r , its *chirotope* is a certain mapping $\chi : E^r \rightarrow \{+, -, 0\}$ which can be obtained from $\mathcal{C}(\mathcal{M})$ and vice versa using [BLVS⁺99, Theorem 3.5.5]. If $\mathcal{M}$ is an oriented matroid associated to a vector configuration $\mathbf{V}$ then $\chi(i_1, \dots, i_r)$ is equal to 0 unless the vectors $\mathbf{v}_{i_1}, \dots, \mathbf{v}_{i_r}$ form a basis of $\mathbb{R}^r$, in which case the sign of $\chi(i_1, \dots, i_r)$ equals the sign of the determinant of the matrix with rows $\mathbf{v}_{i_1}, \dots, \mathbf{v}_{i_r}$. An oriented matroid is called *positively oriented* if there is a total order $\prec$ on E such that for any $i_1 \prec i_2 \prec \dots \prec i_r \in E$, $\chi(i_1, \dots, i_r) \in \{0, +\}$. One example of a positively oriented matroid is the *alternating matroid* $C^{n,r}$, see Section 3.1. In this case, we have $\chi(i_1, \dots, i_r) = +$ for any $i_1 < i_2 < \dots < i_r \in [n]$.

REMARK 6.2. A closely related notion is that of a *positroid* which is a matroid coming from a totally nonnegative matrix of [Pos06]. Positroids have been introduced in [Pos06], and it was shown in [ARW13] that every positively oriented matroid is realizable. Thus these objects are essentially the same.

Given an oriented matroid $\mathcal{M}$ and a set $A \subset E$, the *reorientation* $-_A \mathcal{M}$ of $\mathcal{M}$ on A is another oriented matroid whose set of circuits is given by

$$\mathcal{C}(-_A \mathcal{M}) = \{(-_{(A \cap \underline{X})}(X^+), -_{(A \cap \underline{X})}(X^-)) \mid X \in \mathcal{C}(\mathcal{M})\}.$$

Two oriented matroids that differ by a reorientation are called *reorientation equivalent*. Two oriented matroids $\mathcal{M}_1$ and $\mathcal{M}_2$ on ground sets E_1 and E_2 are called *isomorphic* if there is a bijection $\phi : E_1 \rightarrow E_2$ and a subset $A \subset E_2$ such that the oriented matroids $\phi(\mathcal{M}_1)$ and $-_A \mathcal{M}_2$ are equal (i.e., have the same collections of circuits). In this case, we write $\mathcal{M}_1 \cong \mathcal{M}_2$.

An element $e \in E$ is called a *loop* of $\mathcal{M}$ if $\{e\} \in \mathcal{C}(\mathcal{M})$. It is called a *coloop* of $\mathcal{M}$ if $\{e\} \in \mathcal{C}^*(\mathcal{M})$.

An oriented matroid is called *acyclic* if $(E, \emptyset) \in \mathcal{T}(\mathcal{M})$, that is, if it has a positive covector. Clearly, every loopless oriented matroid is isomorphic to an acyclic oriented matroid.

Two elements $e, f \in E$ are called *parallel* (resp., *antiparallel*) if $(\{e\}, \{f\}) \in \mathcal{C}(\mathcal{M})$ (resp., $(\{e, f\}, \emptyset) \in \mathcal{C}(\mathcal{M})$). An oriented matroid is called *simple* if it has no loops and parallel or antiparallel elements.

If an element $e \in E$ is not a coloop of $\mathcal{M}$ then the oriented matroid $\mathcal{M} - e$ is defined by

$$(6.1) \quad \mathcal{C}(\mathcal{M} - e) = \{(X^+, X^-) \mid X \in \mathcal{C}(\mathcal{M}) : e \notin \underline{X}\}.$$

Similarly, if $e \in E$ is not a loop of $\mathcal{M}$ then the oriented matroid $\mathcal{M}/e$ is defined by

$$(6.2) \quad \mathcal{C}(\mathcal{M}/e) = \min_{<} \{(X^+ - e, X^- - e) \mid X \in \mathcal{C}(\mathcal{M})\},$$

where $\min_{<}$ denotes the collection of all minimal signed sets with respect to the order $<$ from Section 6.1. The *restriction* $\mathcal{M}|_A$ of $\mathcal{M}$ to $A \subset E$ is defined as

$\mathcal{M} - (E - A)$. The *rank* and *nullity* of $A \subset E$ are defined as the rank and corank of $\mathcal{M}|_A$.

For two oriented matroids $\mathcal{M}_1$ and $\mathcal{M}_2$ of the same rank, we say that there is a *rank-preserving weak map* $\mathcal{M}_1 \rightsquigarrow \mathcal{M}_2$ if for every signed circuit X of $\mathcal{M}_1$, there exists a signed circuit Y of $\mathcal{M}_2$ such that $Y \leq X$ (see [BLVS⁺99, Proposition 7.7.5] for other equivalent formulations).

DEFINITION 6.3. Given an oriented matroid $\mathcal{M}$, its *one-element lifting* $\widetilde{\mathcal{M}}$ is another oriented matroid on the ground set Eg such that $\widetilde{\mathcal{M}}/g = \mathcal{M}$. A result due to Las Vergnas (see [BLVS⁺99, Proposition 7.1.4] or [LV78]) shows that for each one-element lifting of $\mathcal{M}$ there is a unique function

$$\sigma : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -, 0\}$$

such that for every circuit Y of $\mathcal{M}$, $(Y, \sigma(Y)) \in \mathcal{C}(\widetilde{\mathcal{M}})$. Here $(Y, \sigma(Y))$ denotes the signed set $Y = (Y^+, Y^-)$ with g added to Y^+ if $\sigma(Y) = +$ and to Y^- if $\sigma(Y) = -$. We call such a function σ a *colocalization*, and if the image of σ lies in $\{+, -\}$ then we say that σ is a *colocalization in general position*. In this case, we also say that $\widetilde{\mathcal{M}}$ is a *one-element lifting of $\mathcal{M}$ in general position*.

We next review a theorem of Las Vergnas [LV78] that gives a characterization of one-element liftings.

DEFINITION 6.4. Consider an oriented matroid $\mathcal{M}$ and a map $\sigma : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -, 0\}$. For any subset $A \subset E$ of nullity 2, the restriction of $\mathcal{M}$ to A is isomorphic (up to removing parallel elements of the dual matroid) to the alternating matroid $C^{m, m-2}$. The $2m$ circuits of $C^{m, m-2}$ have a natural cyclic order on them (see Lemma 7.14). Using [BLVS⁺99, Figure 7.1.6], we say that the restriction of σ to the circuits of $\mathcal{M}|_A$ is

- of *Type I* if its values on the circuits of $C^{m, m-2}$ are all zeroes;
- of *Type II* if its values on the circuits of $C^{m, m-2}$, up to a cyclic shift, are $(+, \dots, +, 0, -, \dots, -, 0)$, where the number of plus signs equals the number of minus signs and equals $m - 1$;
- of *Type III* if its values on the circuits of $C^{m, m-2}$, up to a cyclic shift, are m plus signs followed by m minus signs.

See Lemma 7.14 for a detailed description of the circuits of $C^{m, m-2}$.

THEOREM 6.5 ([LV78], [BLVS⁺99, Theorem 7.1.8]).

- Given a map $\sigma : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -\}$, its restriction to every nullity 2 subset of E is of *Type III* if and only if σ is a *colocalization in general position*.
- Given a map $\sigma : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -, 0\}$, its restriction to every nullity 2 subset of E is of *Type I, II, or III* if and only if σ is a *colocalization, not necessarily in general position*.

PROPOSITION 6.6 ([BLVS⁺99, Proposition 7.1.4]). Given a *colocalization* σ of $\mathcal{M}$, the collection of circuits of the corresponding one-element lifting $\widetilde{\mathcal{M}}$ is described by

$$\mathcal{C}(\widetilde{\mathcal{M}}) = \{(Y, \sigma(Y)) : Y \in \mathcal{C}(\mathcal{M})\} \cup \{Y^1 \circ Y^2\},$$

where the second set runs over all pairs $Y^1, Y^2 \in \mathcal{C}(\mathcal{M})$ such that

- $\sigma(Y^1) = -\sigma(Y^2) \neq 0$;
- $Y^1, Y^2 < Y^1 \circ Y^2$;

- $\text{rank}^*(Y^1 \circ Y^2) = 2$, where $\text{rank}^*(A) = |A| - \text{rank}(\mathcal{M}) + \text{rank}(A)$ denotes the rank of $A \subset E$ in $\mathcal{M}^*$.

For the rest of this paper, we assume that all colocalizations and one-element liftings are in general position unless told otherwise.

We now review the Bohné-Dress theorem that gives a connection between zonotopal tilings and oriented matroid liftings.

THEOREM 6.7 ([**Boh92**],[**BLVS⁺99**, Theorem 2.2.13]). *Let $\mathbf{V}$ be a vector configuration and let $\mathcal{Z}_{\mathbf{V}}$ be the associated zonotope. Let $\mathcal{M}_{\mathbf{V}}$ be the oriented matroid of linear dependencies of $\mathbf{V}$. Then there is a canonical bijection between fine zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$ and one-element liftings of $\mathcal{M}_{\mathbf{V}}$ in general position.*

This bijection can be described explicitly in terms of covectors of the lifting of $\mathcal{M}_{\mathbf{V}}$, we refer the reader to [**BLVS⁺99**] for the details.

CHAPTER 7

Maximal by size $\mathcal{M}$ -separated collections

In this chapter, we develop some initial properties of maximal *by size* $\mathcal{M}$ -separated collections and use them to prove a strengthening of Theorem 2.7, see Theorem 7.2 below.

Recall that a collection $\mathcal{S} \subset 2^E$ is called $\mathcal{M}$ -separated if any two subsets $S, T \in \mathcal{S}$ are $\mathcal{M}$ -separated, i.e., there is no circuit $C = (C^+, C^-) \in \mathcal{C}(\mathcal{M})$ such that $C^+ \subset S - T$ and $C^- \subset T - S$. For an $\mathcal{M}$ -separated collection $\mathcal{S}$, we define a map $\sigma_{\mathcal{S}} : \mathcal{C} \rightarrow \{+, -, 0\}$ as follows: for every circuit $Y \in \mathcal{C}$, we set $\sigma_{\mathcal{S}}(Y) := 0$ unless there is a set $S \in \mathcal{S}$ satisfying

$$Y^+ \subset S; \quad Y^- \subset (E - S)$$

or the other way around:

$$Y^- \subset S; \quad Y^+ \subset (E - S).$$

In the first case (resp., in the second case) we set $\sigma_{\mathcal{S}}(Y) := +$ (resp., $\sigma_{\mathcal{S}}(Y) := -$) and we say that S *orients* Y *positively* (resp., *negatively*). Thus if a collection $\mathcal{S} \subset 2^E$ is $\mathcal{M}$ -separated then $\sigma_{\mathcal{S}}(Y)$ is well defined for all $Y \in \mathcal{C}$.

DEFINITION 7.1. Given a colocalization $\sigma : \mathcal{C} \rightarrow \{+, -\}$, we define $\mathcal{S}(\sigma) \subset 2^E$ to be the collection of all subsets $S \subset E$ such that for every circuit $Y \in \mathcal{C}$ that S orients positively (resp., negatively), we have $\sigma(Y) = +$ (resp., $\sigma(Y) = -$).

We now restate Theorem 2.7 in the oriented matroid language:

THEOREM 7.2. *Let $\mathcal{M}$ be any oriented matroid. Then the map $\mathcal{S} \mapsto \sigma_{\mathcal{S}}$ is a bijection (with inverse $\sigma \mapsto \mathcal{S}(\sigma)$) between maximal by size $\mathcal{M}$ -separated collections of subsets of E and one-element liftings of $\mathcal{M}$ in general position. Every such collection has size $|\text{Ind}(\mathcal{M})|$.*

The fact that Theorem 7.2 generalizes Theorem 2.7 follows from the Bohné-Dress theorem (Theorem 6.7).

DEFINITION 7.3. Suppose we are given an oriented matroid $\mathcal{M}$, a collection $\mathcal{S} \subset 2^E$, and an element $e \in E$. Define collections $\mathcal{S} - e$ and $\mathcal{S}/e$ of subsets of $E - e$ as follows:

$$(7.1) \quad \begin{aligned} \mathcal{S} - e &= \{S \subset (E - e) \mid S \in \mathcal{S} \text{ or } (S \cup e) \in \mathcal{S}\} = \{S - e \mid S \in \mathcal{S}\}; \\ \mathcal{S}/e &= \{S \subset (E - e) \mid S \in \mathcal{S} \text{ and } (S \cup e) \in \mathcal{S}\}. \end{aligned}$$

REMARK 7.4. After reading the first version of this manuscript, Steven Karp pointed out to us that some of our constructions are very similar to those related to the notion of *VC-dimension* studied in the early seventies in the extremal combinatorics literature, see [She72, Sau72, VC71]. Later, a bijective correspondence between uniform oriented matroids and collections of sets of maximal possible size with a fixed VC-dimension was given by Gärtner and Welzl in [GW94].

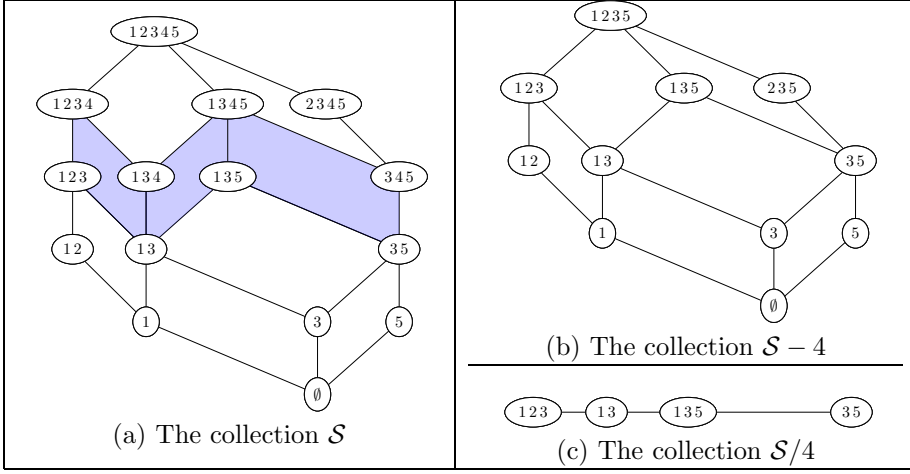


FIGURE 7.1. Deletion-contraction recurrence for maximal *by size* $\mathcal{M}$ -separated collections.

In particular, our Definition 7.3 can be found in [GW94, Definition 5] and the idea of the proof of our Theorem 7.2 is quite similar to the one used in the proof of [GW94, Theorem 18]. The authors of [GW94] are giving a characterization to possible collections of topes of a uniform oriented matroid in this language. However, we are giving a characterization to one-element liftings of a *fixed* oriented matroid $\mathcal{M}$. Thus we do not see a way to deduce any of our results from the results of Gärtner-Welzl or vice versa. We are extremely grateful to Steven for bringing these papers to our attention.

PROPOSITION 7.5. *Let $\mathcal{M}$ be an oriented matroid and suppose that a collection $\mathcal{S} \subset 2^E$ is $\mathcal{M}$ -separated. Then for any $e \in E$, the collection $\mathcal{S} - e$ is an $(\mathcal{M} - e)$ -separated collection (if e is not a coloop) and the collection $\mathcal{S}/e$ is an $(\mathcal{M}/e)$ -separated collection (if e is not a loop).*

PROOF. We start with $\mathcal{S} - e$. We need to check that every circuit $C = (C^+, C^-)$ of $\mathcal{M} - e$ is not oriented in the opposite directions by $\mathcal{S} - e$. Recall from (6.1) that $C \in \mathcal{C}(\mathcal{M})$ is a circuit of $\mathcal{M} - e$ if and only if $e \notin \underline{C}$. Thus if $S \in \mathcal{S}$ is such that $S - e$ orients C in $\mathcal{M} - e$ then S orients C in $\mathcal{M}$ as well, so it is impossible to have another set $T \in \mathcal{S}$ orienting C the opposite way.

The proof for $\mathcal{S}/e$ will be a bit harder. Recall from (6.2) that if C is a circuit of $\mathcal{M}/e$ then there is a circuit C_1 of $\mathcal{M}$ such that $C = C_1 - e$ (i.e., C is the restriction of C_1 to $E - e$). Assume moreover that there are sets $S, T \in \mathcal{S}/e$ orienting C in the opposite directions:

$$C^+ \subset S, C^- \cap S = \emptyset, \quad C^- \subset T, C^+ \cap T = \emptyset.$$

Note that by the definition of $\mathcal{S}/e$, we have $S, S \cup e, T, T \cup e \in \mathcal{S}$.

If $e \notin \underline{C_1}$, that is, if $C_1 = C$, then S and T are not $\mathcal{M}$ -separated, so let us assume without loss of generality that $C_1^- = C^- \cup e, C_1^+ = C^+$. But then it is clear that the sets S and $T \cup e$ are not $\mathcal{M}$ -separated. We get a contradiction again and thus we have shown that $\mathcal{S} - e$ (resp., $\mathcal{S}/e$) is an $(\mathcal{M} - e)$ -separated (resp., $(\mathcal{M}/e)$ -separated) collection of sets. $\square$

PROPOSITION 7.6. *Let $\mathcal{M}$ be an oriented matroid and suppose that $e \in E$ is neither a loop nor a coloop in $\mathcal{M}$. Then for any $\mathcal{M}$ -separated collection $\mathcal{S}$, we have*

$$(7.2) \quad |\mathcal{S}| = |\mathcal{S} - e| + |\mathcal{S}/e|.$$

PROOF. This is obvious from Definition 7.3. $\square$

7.1. Mutation-closed domains

Given a set $S \subset E$ and a circuit $C \in \mathcal{C}(\mathcal{M})$ of an oriented matroid $\mathcal{M}$ such that S orients C , we define a new set

$$\mu_C(S) := -_C S$$

to be the symmetric difference of S and $\underline{C}$. We call $\mu_C(S)$ the *mutation* of S along C . Thus for example if S orients C positively then

$$\mu_C(S) = (S \setminus C^+) \cup C^-.$$

Recall that we introduced the *mutation graph* of $\mathcal{M}$ in Definition 2.10 with vertex set 2^E and an edge from S to $\mu_C(S)$ for any circuit C oriented by S . We denote this undirected graph by $\mathcal{G}_\mu(\mathcal{M})$.

Recall the definition of a *mutation-closed domain* from Section 2.5. For example, 2^E and $\emptyset$ are always mutation-closed domains. If $\mathcal{M}$ is *balanced*, i.e., for every circuit $C = (C^+, C^-)$ of $\mathcal{M}$ we have $|C^+| = |C^-|$, then clearly $\binom{E}{k}$ is a mutation-closed domain for any $k \leq |E|$.

EXAMPLE 7.7. Suppose that $\mathcal{M}$ is the oriented matroid associated with the vector configuration shown in Figure 7.2 (top). In terms of *affine point configurations*, we have $\mathcal{M} = \text{IC}(6, 3, 1)$ in Figure 11.2. The ground set for $\mathcal{M}$ is $E = \{1, 2, 3, 4, 5, 6\}$. Thus $\mathcal{G}_\mu(\mathcal{M})$ has 64 vertices. It turns out that 32 of them are isolated, and the other 32 of them form two connected components $\mathcal{D}_i$ and $\mathcal{D}_d$ that are 1-skeleta of the icosahedron and the dodecahedron respectively, see Figure 7.2 (bottom). The mutation-closed domain $\mathcal{D}_i$ consisting of the vertex labels of the icosahedron is $\mathcal{M}$ -pure: $\mathcal{M}$ -separated collections inside $\mathcal{D}_i$ form a pure 2-dimensional simplicial complex which is, coincidentally, again the boundary of an icosahedron (this complex is dual to the *cluster complex* of [FZ03b]). However, the mutation-closed domain $\mathcal{D}_d$ corresponding to the dodecahedron connected component is not $\mathcal{M}$ -pure.

CONJECTURE 7.8. *Let $\mathcal{S}$ be a maximal by size $\mathcal{M}$ -separated collection inside 2^E and let $\mathcal{D}$ be a mutation-closed domain. Then $\mathcal{S} \cap \mathcal{D}$ is a maximal by size $\mathcal{M}$ -separated collection inside $\mathcal{D}$.*

For the case when $\mathcal{M}$ is a graphical oriented matroid, we prove Conjecture 7.8 in Chapter 9. We also show in Proposition 9.14 that the connected components of $\mathcal{G}_\mu(\mathcal{M})$ are 1-skeleta of polytopes just as in Example 7.7. Note however that for $\mathcal{M} = \text{IC}(6, 3, 15)$ in Figure 11.1, one of the components of $\mathcal{G}_\mu(\mathcal{M})$ is not a 1-skeleton of a polytope.

PROPOSITION 7.9. *Conjecture 7.8 implies Conjecture 2.12.*

PROOF. Let $\mathcal{M}$ be a pure oriented matroid, $\mathcal{D}$ be a mutation-closed domain, and $\mathcal{S}$ be a maximal *by inclusion* $\mathcal{M}$ -separated collection inside $\mathcal{D}$. Since $\mathcal{M}$ is pure, $\mathcal{S}$ is contained in some maximal *by inclusion* (and thus, *by size*) $\mathcal{M}$ -separated collection $\mathcal{S}'$ inside 2^E so the result follows. $\square$

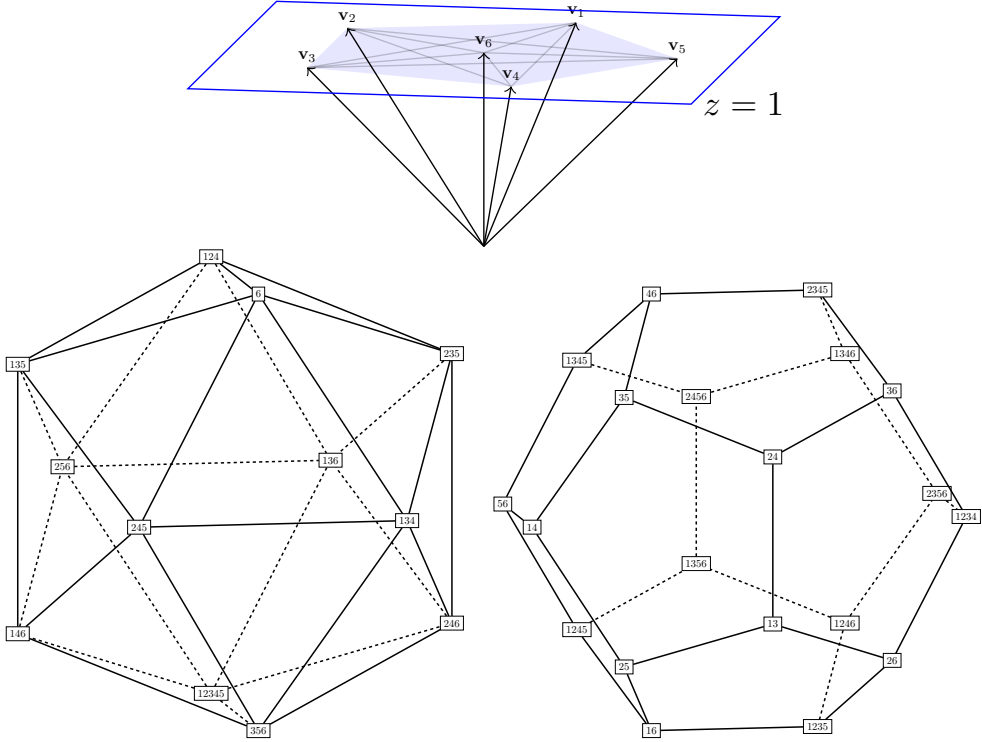


FIGURE 7.2. A vector configuration realizing the oriented matroid $\mathcal{M}$ from Example 7.7 (top). Two non-trivial connected components of $\mathcal{G}_\mu(\mathcal{M})$ (bottom). Here we abbreviate the set $\{1, 2, 3, 5\}$ as 1235, etc.

Note that Conjecture 7.8 is much more general than Conjecture 2.12 as it applies to *all*, not necessarily pure, oriented matroids. We also include a proof of Conjecture 7.8 in an important special case.

DEFINITION 7.10. Consider an oriented matroid $\mathcal{M}$. We say that two colocalizations $\sigma, \sigma' : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -\}$ of $\mathcal{M}$ in general position *differ by a flip* if there exists $W \in \mathcal{C}(\mathcal{M})$ such that

$$\sigma(W) = -\sigma'(W), \quad \text{and} \quad \sigma(T) = \sigma'(T) \quad \text{for all } T \neq \pm W.$$

We say that $\mathcal{M}$ is *flip-connected* if any two colocalizations of $\mathcal{M}$ in general position can be connected to each other by a sequence of flips.¹

We now give a proof of the “flip-connected” part of Proposition 2.13. In the proof of Proposition 7.11 and Lemma 7.12 below, we rely on the result of Theorem 7.2 which will be proven later in Section 7.4. (Proposition 7.11 and Lemma 7.12 are not used in the rest of the paper.)

PROPOSITION 7.11. *Suppose that an oriented matroid $\mathcal{M}$ is pure and flip-connected. Then Conjecture 7.8 is valid for $\mathcal{M}$. In particular, any mutation-closed domain $\mathcal{D} \subset 2^E$ is $\mathcal{M}$ -pure.*

¹This is equivalent to the *extension space* of $\mathcal{M}^*$ being connected.

PROOF. Let $\mathcal{S}$ be a maximal *by size* $\mathcal{M}$ -separated collection inside 2^E and let $\mathcal{D}$ be a mutation-closed domain. We need to show that $\mathcal{S}_0 := \mathcal{S} \cap \mathcal{D}$ is a maximal *by size* $\mathcal{M}$ -separated collection inside $\mathcal{D}$. Suppose that this is not the case and thus there exists an $\mathcal{M}$ -separated collection $\mathcal{S}_1$ inside $\mathcal{D}$ satisfying $|\mathcal{S}_1| > |\mathcal{S}_0|$. Since $\mathcal{M}$ is pure, $\mathcal{S}_1$ is contained in a maximal *by size* $\mathcal{M}$ -separated collection $\mathcal{S}_2$ inside 2^E . Let σ and σ_2 be the colocalizations in general positions that correspond (via Theorem 7.2) to $\mathcal{S}$ and $\mathcal{S}_2$ respectively. We know that $\mathcal{S} \neq \mathcal{S}_2$ and thus $\sigma \neq \sigma_2$. Using the flip-connectedness of $\mathcal{M}$, we get that σ can be connected to σ_2 by a sequence of flips. It remains to show that if two colocalizations σ and σ' differ by a flip then $|\mathcal{S}(\sigma) \cap \mathcal{D}| = |\mathcal{S}(\sigma') \cap \mathcal{D}|$ for any mutation-closed domain $\mathcal{D}$. The result will follow almost immediately from the following lemma.

LEMMA 7.12. *Suppose that σ and σ' differ by a flip and let $W \in \mathcal{C}(\mathcal{M})$ be such that $\sigma(W) = +$ and $\sigma'(W) = -$. Then the collections $\mathcal{S}(\sigma)$ and $\mathcal{S}(\sigma')$ are related as follows:*

$$(7.3) \quad \begin{aligned} \mathcal{S}(\sigma) \cap \mathcal{S}(\sigma') &= \{S \in \mathcal{S}(\sigma) \mid S \text{ does not orient } W\}; \\ \mathcal{S}(\sigma') - \mathcal{S}(\sigma) &= \{\mu_W(S) \mid S \in \mathcal{S}(\sigma) \text{ orients } W \text{ positively}\}. \end{aligned}$$

PROOF OF THE LEMMA. Let us define a collection $\mathcal{S}'$ by (7.3), i.e.,

$$\mathcal{S}' := \{S \in \mathcal{S}(\sigma) \mid S \text{ does not orient } W\} \sqcup \{\mu_W(S) \mid S \in \mathcal{S}(\sigma) \text{ orients } W \text{ positively}\}.$$

We claim that $\mathcal{S}' = \mathcal{S}(\sigma')$, and it suffices to show that any element of $\mathcal{S}'$ orients every circuit of $\mathcal{M}$ in accordance with σ' , because the size of $\mathcal{S}'$ is already equal to the size of $\mathcal{S}(\sigma)$ (by Theorem 7.2). So suppose that there exists a set $T' \in \mathcal{S}'$ and a circuit $C \in \mathcal{C}(\mathcal{M})$ such that $\sigma'(C) = \sigma(C) = +$ but T' orients C negatively (we are using here that clearly $C \neq \pm W$). It must be the case that $T' = \mu_W(T)$ for some $T \in \mathcal{S}(\sigma)$. In particular, we may assume that $W^+ \subset T$ and $W^- \cap T = \emptyset$. After reorienting all the elements of $E - T$ in $\mathcal{M}$, we may assume that $T = E$ and $W \in \{0, +\}^E$.

Let $\widetilde{\mathcal{M}}$ and $\widetilde{\mathcal{M}}'$ be the one-element liftings of $\mathcal{M}$ corresponding to σ and σ' respectively. Thus $(W, -)$ and $(C, +)$ are circuits of $\widetilde{\mathcal{M}}'$. As usual, we will denote the ground sets of $\widetilde{\mathcal{M}}$ and $\widetilde{\mathcal{M}}'$ by Eg .

We denote $R := W^0$ and $S := E - R$. Thus $W(R) = 0^R$ and $W(S) = +^S$. Here $W(R)$ is the restriction of W to R , $+^S$ is the signed vector $(S, \emptyset)$, etc. Since C is oriented negatively by $T' = T - S$, we have

$$C(S) \in \{+, 0\}^S; \quad C(R) \in \{-, 0\}^R.$$

We know that $\sigma'(C) = \sigma(C) = +$, so in particular $C \neq \pm W$. We are going to describe a certain algorithm. As an input, it takes a circuit $X \in \mathcal{C}(\mathcal{M})$ such that

- $X^+ \neq \emptyset$,
- $X \neq \pm W$,
- $\sigma(X) = \sigma'(X) = +$, and
- $X(R) \in \{-, 0\}^R$.

As an output, it produces a circuit $Y \in \mathcal{C}(\mathcal{M})$ such that

- $Y \neq \pm W$,
- $\sigma(Y) = \sigma'(Y) = +$,
- $Y(R) \in \{-, 0\}^R$, and

- $Y^+ \subsetneq X^+$.

Note that one can iteratively apply this algorithm starting with $X = C$ until eventually we have $Y^+ = \emptyset$. This leads to a contradiction since Y is then oriented negatively by $T = E \in \mathcal{S}$ even though $\sigma(Y) = +$.

Let us describe the steps of the algorithm.

- (1) Choose an element $s \in E$ such that $X_s = +$. Since $X(R) \in \{-, 0\}^R$, we have $s \in S$ and thus $W_s = +$.
- (2) Since $\sigma'(W) = -$, we have that both $(X, +)$ and $(-W, +)$ are circuits of $\widetilde{\mathcal{M}'}$. In particular, $X_s = +$ and $-W_s = -$, so apply Axiom (C3) to produce a circuit $(Z, \epsilon) \in \mathcal{C}(\widetilde{\mathcal{M}'})$ for some $\epsilon \in \{+, 0\}$ such that

$$Z_s = 0; \quad Z^+ \subset X^+; \quad Z(R) \in \{-, 0\}^R.$$

- (3) If $\epsilon = +$ then output $Y := Z$. Because $W_s = +$ and $Z_s = 0$, we have $Z \neq \pm W$, thus in particular $\sigma(Z) = \sigma'(Z) = +$.
- (4) If $\epsilon = 0$ then by Proposition 6.6, there exists a pair of circuits Y, Y' of $\mathcal{M}$ such that $\sigma'(Y) = +$, $\sigma'(Y') = -$, and $Y, Y' \leq Y \circ Y' = Z$. The latter implies that $Y_s = 0$ so $Y^+ \subsetneq X^+$. Since $Y_s = 0$, we get $Y \neq \pm W$ and so $\sigma(Y) = \sigma'(Y) = +$. Finally, since $Y \leq Z$, we get $Y(R) \in \{-, 0\}^R$.

We have constructed the desired algorithm which, as we explained earlier, contradicts the existence of C . This finishes the proof of the lemma. $\square$

Using Lemma 7.12, it is now easy to deduce Proposition 7.11. Recall that the only thing left to show was that if σ and σ' differ by a flip then $|\mathcal{S}(\sigma) \cap \mathcal{D}| = |\mathcal{S}(\sigma') \cap \mathcal{D}|$ for any mutation-closed domain $\mathcal{D}$. Indeed, the collections $\mathcal{S}(\sigma)$ and $\mathcal{S}(\sigma')$ are related by (7.3) which gives an obvious bijection

$$T \mapsto \begin{cases} \mu_W(T), & \text{if } T \text{ orients } W \text{ positively;} \\ T, & \text{otherwise} \end{cases}$$

between the sets $\mathcal{S}(\sigma) \cap \mathcal{D}$ and $\mathcal{S}(\sigma') \cap \mathcal{D}$. We are done with the proof of Proposition 7.11. $\square$

7.2. The structure of the alternating matroid of corank 2

In this section, we describe explicitly the circuits, colocalizations, and the mutation graph of the alternating matroid $C^{n,n-2}$ of corank 2. It is more convenient to describe another oriented matroid $(C^{n,2})^*$. It is easy to see that $(C^{n,2})^*$ is isomorphic to the alternating matroid $C^{n,n-2}$. We leave the following lemma as an exercise for the reader.

LEMMA 7.13. *The oriented matroid $(C^{n,d})^*$ is isomorphic to $C^{n,n-d}$. They are obtained from each other by reorienting the set $\{2, 4, \dots\} \subset [n]$.*

Recall that $[n]$ denotes the set $\{1, 2, \dots, n\}$. For two integers i and j , we define $[i, j] \subset \mathbb{Z}$ to be the set of all $k \in \mathbb{Z}$ satisfying $i \leq k \leq j$. In particular, $[i, j] = \emptyset$ if $i > j$.

For $1 \leq k \leq n$, let C_k be the signed set given by

$$(7.4) \quad C_k := ([1, k-1], [k+1, n]).$$

LEMMA 7.14. *The circuits of $(C^{n,2})^*$ are $C_1, C_2, \dots, C_n, -C_1, -C_2, \dots, -C_n$. The only pairs (I, J) of non $(C^{n,2})^*$ -separated sets are $([1, l], [m+1, n])$ for $l, m = 0, \dots, n$ with $|l - m| \leq 1$.*

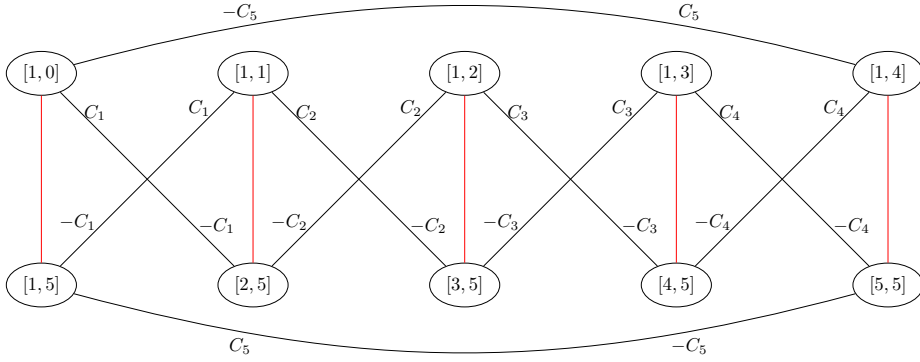


FIGURE 7.3. Two subsets S and T are connected by an edge in this graph if and only if they are not $(C^{n,2})^*$ -separated for $n = 5$. They are connected by a black edge labeled by $\pm C_k$ if and only if $T = \mu_{C_k}(S)$. The red edges do not belong to $\mathcal{G}_\mu((C^{n,2})^*)$.

PROOF. The circuits of $(C^{n,2})^*$ are the cocircuits of $C^{n,2}$ which are clearly given by (7.4) (see Figure 3.1).

Thus two sets $I, J \subset [n]$ are *not* $(C^{n,2})^*$ -separated if and only if there exists $k \in [n]$ such that they orient C_k in the opposite ways. If, say, I orients C_k positively and J orients C_k negatively then we have

$$I - J \preceq \{k\} \preceq J - I, \quad \text{and} \quad (I - J) \cup \{k\} \cup (J - I) = [n].$$

Here $S \preceq T$ means that every element of S is less than or equal to every element of T . Thus a subset I of $[n]$ is $(C^{n,2})^*$ -separated from all other subsets of $[n]$ unless it has the form $[k]$ or $[k+1, n]$ for some $k \in [n]$. The empty set induces a positive orientation on C_1 and a negative orientation on C_n , the set $[n]$ induces a negative orientation of C_1 and a positive orientation of C_n . For $k \in [n-1]$, the set $[k]$ (resp., $[k+1, n]$) induces a positive (resp., negative) orientation on C_k and C_{k+1} . Thus all pairs (I, J) of non- $(C^{n,2})^*$ -separated subsets of $[n]$ are exactly the pairs listed in the statement. $\square$

See Figure 7.3 for an example for $n = 5$.

DEFINITION 7.15. Let $\mathcal{S} \subset 2^{[n]}$ be an $(C^{n,2})^*$ -separated collection. Define $\epsilon(\mathcal{S}) = (\epsilon_1, \epsilon_2, \dots, \epsilon_n) \in \{+, -, 0\}^{[n]}$ by

$$\epsilon_k = \begin{cases} +, & \text{if } [1, k-1] \in \mathcal{S}; \\ -, & \text{if } [k, n] \in \mathcal{S}; \\ 0, & \text{otherwise.} \end{cases}$$

Since $\mathcal{S}$ is $(C^{n,2})^*$ -separated, we have $\epsilon_k = 0$ if and only if $\mathcal{S}$ contains neither $[1, k-1]$ nor $[k, n]$.

LEMMA 7.16. Take any $(C^{n,2})^*$ -separated collection $\mathcal{S}$ and consider the signed vector $\epsilon(\mathcal{S}) = (\epsilon_1, \epsilon_2, \dots, \epsilon_n)$. For any $k = 1, 2, \dots, n-1$, if $\epsilon_k = -\epsilon_{k+1}$ then $\epsilon_k = \epsilon_{k+1} = 0$. The same holds for ϵ_1 and $-\epsilon_n$, that is, if $\epsilon_1 = \epsilon_n$ then $\epsilon_1 = \epsilon_n = 0$.

PROOF. This also follows by inspection from Lemma 7.14. $\square$

Lemma 7.16 implies that $\epsilon(\mathcal{S})$ has at least one zero. We say that $\epsilon(\mathcal{S})$ is *maximal* if it has exactly one zero.

THEOREM 7.17. *For any maximal by size $(C^{n,2})^*$ -separated collection $\mathcal{S}$, $\sigma_{\mathcal{S}}$ is a colocalization of $(C^{n,2})^*$ in general position.*

PROOF. One easily observes that if $\mathcal{S}$ is maximal *by size* then $\epsilon(\mathcal{S})$ is maximal, which, in turn, implies that $\sigma_{\mathcal{S}}$ has Type III (cf. Theorem 6.5). $\square$

7.3. Colocalizations and complete collections

An $\mathcal{M}$ -separated collection $\mathcal{S}$ is called *complete* if the image of $\sigma_{\mathcal{S}}$ lies in $\{+, -\}$ (that is, for every circuit, there is a set in $\mathcal{S}$ that orients it). We collect the properties of complete collections in the following proposition:

PROPOSITION 7.18. *Let $\mathcal{M}$ be an oriented matroid on the ground set E and suppose that $\mathcal{S}$ is an $\mathcal{M}$ -separated collection. Then:*

- (1) *if $\mathcal{S}$ is complete then for every $e \in E$, $\mathcal{S} - e$ is a complete $(\mathcal{M} - e)$ -separated collection,²*
- (2) *if $\mathcal{S}$ is complete and $\text{rank}(\mathcal{M}) \leq 3$ then $\sigma_{\mathcal{S}}$ is a colocalization in general position.*

PROOF. The claim (1) is obvious from the definitions of complete, $\mathcal{S} - e$, and $\mathcal{M} - e$. To show (2), note that any nullity 2 subset $A \subset E$ contains at most $n \leq 5$ elements. To check that $\sigma_{\mathcal{S}}$ is a colocalization, we need to consider all possible nullity 2 subsets and restrict $\sigma_{\mathcal{S}}$ to the circuits of the corresponding alternating matroids isomorphic to $(C^{n,2})^*$ (where $n \leq 5$). By Lemmas 7.14 and 7.16, we can describe the restriction $\mathcal{S}(A)$ of $\mathcal{S}$ to A by a sequence $\epsilon(\mathcal{S}(A)) = (\epsilon_1, \dots, \epsilon_n) \in \{+, -, 0\}^n$. Let $\epsilon^{\oplus 2}(\mathcal{S}(A)) := (\epsilon_1, \dots, \epsilon_n, -\epsilon_1, \dots, -\epsilon_n) \in \{+, -, 0\}^{2n}$. By Lemma 7.16, no two adjacent nonzero entries of $\epsilon^{\oplus 2}(\mathcal{S}(A))$ have opposite signs. If $\epsilon^{\oplus 2}(\mathcal{S}(A))$ contains two consecutive zeros then $\mathcal{S}(A)$ is not complete, which by (1) implies that $\mathcal{S}$ is not complete. Let $\bar{\epsilon}_1 := \epsilon_1$, $\bar{\epsilon}_{2n} := \epsilon_{2n}$, and for $1 < k < 2n$, let $\bar{\epsilon}_k$ be defined as follows: if $\epsilon_{k-1} = \epsilon_{k+1} \neq 0$ and $\epsilon_k = 0$ then we set $\bar{\epsilon}_k := \epsilon_{k-1}$, otherwise we set $\bar{\epsilon}_k := \epsilon_k$. Thus if $\bar{\epsilon}_k = 0$ for some $1 < k < 2n$ then $\epsilon_{k-1}, \epsilon_{k+1}$ must be nonzero and have opposite signs. Since $n \leq 5$, it follows that $\bar{\epsilon}(\mathcal{S}(A)) := (\bar{\epsilon}_1, \dots, \bar{\epsilon}_{2n})$ has the form $(+^{k-1}, 0, -^{n-k})$ or $(-^{k-1}, 0, +^{n-k})$ for some $k \in [n]$. Indeed, the smallest example when $\bar{\epsilon}(\mathcal{S}(A))$ satisfies all the listed properties but does not have the desired form happens for $n = 6$ where we can have $\bar{\epsilon}(\mathcal{S}(A)) = (+, 0, -, 0, +, 0)$. Since $\epsilon(\mathcal{S}(A))$ and $\bar{\epsilon}(\mathcal{S}(A))$ give rise to the same orientation of the circuits of $\mathcal{M}|_A$, we find that $\sigma_{\mathcal{S}}$ is a colocalization in general position. $\square$

We now formulate a basic fact on existence of colocalizations in general position:

LEMMA 7.19 ([BLVS⁺99, Proposition 7.2.2]). *Let $\mathcal{M}$ be an oriented matroid.*

- (i) *For any colocalization σ of $\mathcal{M}$, there exists a colocalization σ' of $\mathcal{M}$ in general position satisfying $\sigma \leq \sigma'$.*
- (ii) *In particular, there exists at least one colocalization of $\mathcal{M}$ in general position.* $\square$

We now give an alternative description of $\mathcal{S}(\sigma)$ in terms of the one-element lifting defined by σ .

²In contrast, $\mathcal{S}/e$ may even be empty for a complete $\mathcal{M}$ -separated collection $\mathcal{S}$.

LEMMA 7.20. *Given a colocalization $\sigma : \mathcal{C} \rightarrow \{+, -, 0\}$ (not necessarily in general position) corresponding to a one-element lifting $\widetilde{\mathcal{M}}$ of $\mathcal{M}$, we have*

$$(7.5) \quad \mathcal{S}(\sigma) = \{S \subset E \mid (S - L, (Eg - S) - L) \in \mathcal{T}(\widetilde{\mathcal{M}})\},$$

where $L \subset Eg$ denotes the set of loops of $\widetilde{\mathcal{M}}$.

PROOF. We denote the right hand side of (7.5) by $\mathcal{S}'$. We first show $\mathcal{S}(\sigma) \subset \mathcal{S}'$. Let $S \in \mathcal{S}(\sigma)$ be a set. We need to show that the signed vector $T = (S - L, (Eg - S) - L)$ is a tope of $\widetilde{\mathcal{M}}$. Note that the support of T is $Eg - L$ and thus it suffices to prove that T is a covector of $\widetilde{\mathcal{M}}$. This is equivalent to saying that T is orthogonal to every circuit of $\widetilde{\mathcal{M}}$, so let $X \in \mathcal{C}(\widetilde{\mathcal{M}})$ be such a circuit. By Proposition 6.6, either X has the form $(Y, \sigma(Y))$ for some $Y \in \mathcal{C}(\mathcal{M})$ or we have $X = Y^1 \circ Y^2$ for some $Y^1, Y^2 \in \mathcal{C}(\mathcal{M})$ such that $\sigma(Y^1) = -\sigma(Y^2) \neq 0$. It is clear that $T \perp X$ if X is a loop of $\widetilde{\mathcal{M}}$ so suppose that $\underline{X} \cap L = \emptyset$. Assume that X has the form $(Y, \sigma(Y))$ for some $Y \in \mathcal{C}(\mathcal{M})$. If S does not orient Y then $(S, E - S)$ is orthogonal to Y and thus T is orthogonal to $(Y, \sigma(Y))$. Otherwise, if S orients Y , say, positively, then $\sigma(Y) = +$ so $X = (Y^+, Y^-)$ is easily checked to be orthogonal to T . The case $\sigma(Y) = -$ is completely analogous and we are left with the case $X = Y^1 \circ Y^2$ so that $\sigma(Y^1) = -\sigma(Y^2) \neq 0$. Recall from Proposition 6.6 that we have $Y^1, Y^2 < X$. If S does not orient Y^i for either $i = 1$ or $i = 2$ then clearly T is orthogonal to X . On the other hand, if S orients both of them then we may assume that it orients Y^1 positively and Y^2 negatively which also implies that T is orthogonal to X . We have shown the inclusion $\mathcal{S}(\sigma) \subset \mathcal{S}'$.

To show $\mathcal{S}(\sigma) \supset \mathcal{S}'$, suppose that $S \in \mathcal{S}'$ and thus $T = (S - L, (Eg - S) - L)$ is a tope of $\widetilde{\mathcal{M}}$. Let $C \in \mathcal{C}(\mathcal{M})$ be a circuit that is oriented by S , say, positively. This means that $C^+ \subset S$ and $C^- \subset E - S$ so the only way for $(C, \sigma(C))$ to be orthogonal to T is if $\sigma(C) = +$. The case when S orients C negatively is again analogous so we are done with the proof. $\square$

LEMMA 7.21. *Let $\mathcal{M}$ be an oriented matroid and suppose that σ is a colocalization of $\mathcal{M}$ in general position. Then*

- (1) *the collection $\mathcal{S}(\sigma)$ is a complete $\mathcal{M}$ -separated collection;*
- (2) *if $\mathcal{S}$ is any $\mathcal{M}$ -separated collection satisfying $\sigma_{\mathcal{S}} \leq \sigma$ then $\mathcal{S} \subset \mathcal{S}(\sigma)$.*

PROOF. By definition, $\mathcal{S}(\sigma)$ contains all sets $S \subset E$ that orient each circuit $C \in \mathcal{C}$ in accordance with σ . Since $\sigma_{\mathcal{S}} \leq \sigma$, this happens for all sets in $\mathcal{S}$, and thus $\mathcal{S} \subset \mathcal{S}(\sigma)$, which proves (2). Since the topes orient all the circuits by [BLVS⁺99, Proposition 3.8.2], we get that $\mathcal{S}(\sigma)$ is complete which proves (1). $\square$

7.4. Proof of Theorem 7.2

Before we proceed to the proof, we recall some basic facts about Tutte polynomials of unoriented matroids, see, e.g., [Cra69].

PROPOSITION 7.22. *Let $\mathcal{M}$ be an oriented matroid, and $\underline{\mathcal{M}}$ its underlying matroid with Tutte polynomial $T_{\underline{\mathcal{M}}}(x, y)$. Then we have $|\text{Ind}(\mathcal{M})| = T_{\underline{\mathcal{M}}}(2, 1)$ and $|\mathcal{B}(\mathcal{M})| = T_{\underline{\mathcal{M}}}(1, 1)$. Moreover, for every element $e \in E$ which is neither a loop nor a coloop, we have*

$$T_{\underline{\mathcal{M}}}(x, y) = T_{\underline{\mathcal{M}}-e}(x, y) + T_{\underline{\mathcal{M}}/e}(x, y).$$

In particular,

$$\begin{aligned} |\text{Ind}(\mathcal{M})| &= |\text{Ind}(\mathcal{M} - e)| + |\text{Ind}(\mathcal{M}/e)|, \text{ and} \\ |\mathcal{B}(\mathcal{M})| &= |\mathcal{B}(\mathcal{M} - e)| + |\mathcal{B}(\mathcal{M}/e)|. \end{aligned} \quad \square$$

PROOF OF THEOREM 7.2. We are going to show three statements that will together imply the theorem.

- (a) If $\sigma : \mathcal{C} \rightarrow \{+, -\}$ is a colocalization in general position then $|\mathcal{S}(\sigma)| = |\text{Ind}(\mathcal{M})|$.
- (b) For any $\mathcal{M}$ -separated collection $\mathcal{S}$, $|\mathcal{S}| \leq |\text{Ind}(\mathcal{M})|$.
- (c) If $|\mathcal{S}| = |\text{Ind}(\mathcal{M})|$ then $\sigma_{\mathcal{S}}$ is a colocalization in general position.

To show (a), note that $|\mathcal{S}(\sigma)| = |\mathcal{T}(\widetilde{\mathcal{M}})|/2$ by (7.5) so we need to show $|\mathcal{T}(\widetilde{\mathcal{M}})|/2 = |\text{Ind}(\mathcal{M})|$. As it follows from the discussion before [BLVS⁺99, Proposition 3.8.3], if e is neither a loop nor a coloop in some *simple* oriented matroid $\mathcal{M}'$ then

$$|\mathcal{T}(\mathcal{M}')| = |\mathcal{T}(\mathcal{M}' - e)| + |\mathcal{T}(\mathcal{M}'/e)|.$$

Since this formula only works for simple oriented matroids $\mathcal{M}'$, the number $|\mathcal{T}(\mathcal{M}')|$ is not an evaluation of the Tutte polynomial. However, note that $\widetilde{\mathcal{M}}$ is a lifting of $\mathcal{M}$ in general position so $\widetilde{\mathcal{M}}$ is indeed simple. Therefore we can choose some element $e \in E$ that is neither a loop nor a coloop in $\mathcal{M}$ and apply the deletion-contraction recurrence to $\widetilde{\mathcal{M}}$. Clearly $\widetilde{\mathcal{M}}/e$ (resp., $\widetilde{\mathcal{M}} - e$) will be a lifting in general position of $\mathcal{M}/e$ (resp., of $\mathcal{M} - e$). Thus it suffices to check the equality $|\mathcal{T}(\widetilde{\mathcal{M}})|/2 = |\text{Ind}(\mathcal{M})|$ only for oriented matroids $\mathcal{M}$ that consist of loops and coloops. Suppose that there are a loops and b coloops in $\mathcal{M}$. Then $|\text{Ind}(\mathcal{M})| = 2^b$. On the other hand, σ maps every loop of $\mathcal{M}$ to either a $+$ or a $-$, and $\mathcal{S}(\sigma)$ consists of the 2^b sets whose restriction to the set of loops is fixed and determined by σ . We are done with the base case and therefore with (a).

Now we prove part (b) by induction on $|E|$. The base of induction is the case when every element of $\mathcal{M}$ is either a loop or a coloop, and in this case the statement of the theorem holds by an argument similar to the one above. To do the induction step, take any $\mathcal{M}$ -separated collection $\mathcal{S}$ and consider any element $e \in E$ that is neither a loop nor a coloop. By the induction hypothesis combined with Propositions 7.6 and 7.22, we have

$$\begin{aligned} (7.6) \quad & |\mathcal{S} - e| \leq |\text{Ind}(\mathcal{M} - e)|; \\ & |\mathcal{S}/e| \leq |\text{Ind}(\mathcal{M}/e)|; \\ & |\mathcal{S}| = |\mathcal{S} - e| + |\mathcal{S}/e|; \\ & |\text{Ind}(\mathcal{M})| = |\text{Ind}(\mathcal{M} - e)| + |\text{Ind}(\mathcal{M}/e)|. \end{aligned}$$

The fact that $|\mathcal{S}| \leq |\text{Ind}(\mathcal{M})|$ follows.

Finally, we prove (c) by induction on $|E|$. The base of induction is the empty oriented matroid $\mathcal{M}$ with $E = \emptyset$. It has exactly one maximal by size $\mathcal{M}$ -separated collection $\mathcal{S} = \{\emptyset\}$ which corresponds to the “empty” colocalization of $\mathcal{M}$ via $\mathcal{S} \mapsto \sigma_{\mathcal{S}}$ and vice versa.

Now we do the induction step. Let $\mathcal{M}$ be any oriented matroid and let $\mathcal{S}$ be any maximal *by size* $\mathcal{M}$ -separated collection. Suppose e is a loop of $\mathcal{M}$. Then either all elements of $\mathcal{S}$ contain e or all elements of $\mathcal{S}$ do not contain e . In other words, maximal *by size* $\mathcal{M}$ -separated collections are in two-to-one correspondence with maximal *by size* $(\mathcal{M} - e)$ -separated collections. Similarly, each colocalization

σ of $\mathcal{M}$ in general position either sends e to $+$ or to $-$, and induces a colocalization of $\mathcal{M} - e$. Thus in the case when e is a loop, the induction step is clear.

If e is a coloop of $\mathcal{M}$ then it is not contained in any circuit so for all $S \in \mathcal{S}$ we have $S - e, S \cup e \in \mathcal{S}$. The induction step is clear here as well, and we are left with the case when $\mathcal{M}$ has no loops and no coloops.

Let $\mathcal{S}$ be an $\mathcal{M}$ -separated collection satisfying $|\mathcal{S}| = |\text{Ind}(\mathcal{M})|$, and let e be any element of $\mathcal{M}$ (it is neither a loop nor a coloop). It follows that $\mathcal{S}$ (resp., $\mathcal{S} - e$ and $\mathcal{S}/e$) is a maximal *by size* $\mathcal{M}$ -separated (resp., $(\mathcal{M} - e)$ -separated and $(\mathcal{M}/e)$ -separated) collection. Therefore by the induction hypothesis, both $\mathcal{S} - e$ and $\mathcal{S}/e$ correspond to colocalizations in general position of the corresponding oriented matroids.

We would like to show that every circuit $C = (C^+, C^-)$ of $\mathcal{M}$ is oriented by $\mathcal{S}$. Suppose first that there is an element $e \in E - \underline{C}$. Then we know that $\mathcal{S} - e$ orients C by part (1) of Lemma 7.21. Thus the same is true for $\mathcal{S}$. Otherwise we have $\underline{C} = E$ which by the incomparability axiom (C2) in Definition 2.2 implies that C and $-C$ are the only circuits of $\mathcal{M}$. In this case obviously $\mathcal{S}$ orients C so we are done.

Since $\mathcal{S}$ orients every circuit of $\mathcal{M}$, the image of $\sigma_{\mathcal{S}}$ lies in $\{+, -\}$. In this case it is clear that the maps $\mathcal{S} \mapsto \sigma_{\mathcal{S}}$ and $\sigma \mapsto \mathcal{S}(\sigma)$ are inverse to each other. Since the image of $\sigma_{\mathcal{S}}$ lies in $\{+, -\}$, it is in general position, but we still need to show that it is a colocalization.

Assume that $\sigma_{\mathcal{S}}$ is not a colocalization. It means that there is a subset $A \subset E$ of nullity 2 for which the restriction of $\sigma_{\mathcal{S}}$ is not of Type III. If $A \subsetneq E$ then we can delete any element in $E - A$ and get a contradiction with the induction hypothesis. Thus we may assume that $\mathcal{M}$ has corank 2, and hence is realizable by [BLVS⁺99, Corollary 8.2.3]. We contract some elements until $\mathcal{M}^*$ has no pairs of parallel elements while preserving the fact that $\sigma_{\mathcal{S}}$ is not a colocalization. After that, $\mathcal{M}$ must be isomorphic $(C^{n,2})^*$ (where $n = |E|$). By Theorem 7.17, $\sigma_{\mathcal{S}}$ is a colocalization of $(C^{n,2})^*$ in general position because $\mathcal{S}$ is a maximal *by size* $(C^{n,2})^*$ -separated collection. This shows (c).

Now we explain how Theorem 7.2 follows from (a), (b), and (c). Take any oriented matroid $\mathcal{M}$. By Lemma 7.19, there exists a colocalization σ of $\mathcal{M}$ in general position. By (a), the size of $\mathcal{S}(\sigma)$ is $|\text{Ind}(\mathcal{M})|$. By (b), we get that the maximal size of an $\mathcal{M}$ -separated collection is exactly equal to $|\text{Ind}(\mathcal{M})|$. Finally, by (c), any $\mathcal{M}$ -separated collection with $|\text{Ind}(\mathcal{M})|$ elements corresponds to a colocalization of $\mathcal{M}$ in general position. We are done with the proof of Theorem 7.2. $\square$

As a corollary, we get that a maximal *by size* $\mathcal{M}$ -separated collection orients every circuit of $\mathcal{M}$:

COROLLARY 7.23. *Let $\mathcal{S}$ be a maximal by size $\mathcal{M}$ -separated collection. Then $\mathcal{S}$ is complete.*

7.5. Zonotopal tilings for oriented matroids

The Bohne-Dress theorem (Theorem 6.7) provides a bijection between zonotopal tilings of a zonotope and one-element liftings of the corresponding realizable oriented matroid $\mathcal{M}$. Unlike zonotopal tilings, the notion of one-element liftings easily generalizes to the non-realizable case. The goal of this section is to define and develop some basic properties of zonotopal tilings for arbitrary oriented matroids.

Since these tilings turn out to be identical to the covectors of one-element liftings of $\mathcal{M}$, we give only brief proofs, and view this section as the basement for the proof of Lemma 4.2.

DEFINITION 7.24. Any nonempty boolean interval $\tau \subset 2^E$ is called a *tile*. Equivalently, given a signed vector $X \in \{+, -, 0\}^E$, the corresponding *tile* denoted $\tau_X \subset 2^E$ is defined by

$$\tau_X := \{S \subset E \mid X^+ \subset S, X^- \cap S = \emptyset\}.$$

The elements of τ are called its *vertices*. The set X^0 is called the *spanning set* of τ and denoted $\text{sp}(\tau)$, and X is called the *signed vector* of τ . The *dimension* of τ is

$$\dim(\tau) := \text{rank}(\text{sp}(\tau)),$$

and given an oriented matroid $\mathcal{M}$, τ is called *top-dimensional* if $\dim(\tau) = \text{rank}(\mathcal{M})$.

For an element $e \in E$ and a tile $\tau \subset 2^E$, define another tile $\tau - e \subset 2^{E-e}$ by

$$\tau - e := \{S - e \mid S \in \tau\}.$$

We define fine zonotopal tilings for an oriented matroid $\mathcal{M}$ as collections of tiles coming from a maximal *by size* $\mathcal{M}$ -separated collection.

DEFINITION 7.25. Given a maximal *by size* $\mathcal{M}$ -separated collection $\mathcal{S}$, the corresponding *fine zonotopal tiling* $\mathfrak{T}(\mathcal{S})$ is defined to be the collection of all tiles τ all of whose vertices belong to $\mathcal{S}$:

$$\mathfrak{T}(\mathcal{S}) = \{\tau \subset \mathcal{S} \mid \tau \text{ is a tile}\}.$$

The following is a simple extension of [BLVS⁺99, Proposition 2.2.11] to the non-realizable case.

PROPOSITION 7.26. *Let $\mathcal{S}$ be a maximal by size $\mathcal{M}$ -separated collection, and let $\widetilde{\mathcal{M}}$ be the one-element lifting of $\mathcal{M}$ in general position corresponding to $\mathcal{S}$ via Theorem 7.2. Then the map $\tau_X \mapsto X$ is a bijection from $\mathfrak{T}(\mathcal{S})$ to $\mathcal{L}_g^-(\widetilde{\mathcal{M}})$ defined by*

$$\mathcal{L}_g^-(\widetilde{\mathcal{M}}) := \{X = (X^+, X^-) \in \{+, -, 0\}^E \mid (X^+, X^-g) \in \mathcal{L}(\widetilde{\mathcal{M}})\}.$$

PROOF. Fix a tile $\tau \in \mathfrak{T}(\mathcal{S})$ and let $X \in \{+, -, 0\}^E$ be such that $\tau = \tau_X$. We need to show that $(X^+, X^-g) \in \mathcal{L}(\widetilde{\mathcal{M}})$. Suppose that this is not the case, and therefore there exists a circuit Y of $\widetilde{\mathcal{M}}$ not orthogonal to (X^+, X^-g) .

Proposition 6.6 suggests considering two cases for Y . Suppose that $Y = (C, \sigma(C))$ for some circuit $C = (C^+, C^-) \in \mathcal{C}(\mathcal{M})$. In this case, we may assume that $\sigma(C) = -$ and thus $C^+ \subset X^+ \cup X^0$, $C^- \subset X^- \cup X^0$. It is easy to see that there is a set $S \in \tau$ such that $C^+ \subset S$ and $C^- \cap S = \emptyset$. But then $\sigma(C) = +$, because it cannot happen that $\underline{C} \subset X^0$ by property (1) in Theorem 7.27 below since X^0 is necessarily an independent set of $\mathcal{M}$. This gives a contradiction.

The second case is $Y = Y_1 \circ Y_2$ where Y_1 and Y_2 satisfy the assumptions of Proposition 6.6. We get that $Y_1^+, Y_2^+ \subset X^+ \cup X^0$, $Y_1^-, Y_2^- \subset X^- \cup X^0$. Similarly to the above, this implies $\sigma(Y_1) = \sigma(Y_2) = +$ which contradicts the assumption $\sigma(Y_1) \neq \sigma(Y_2)$ from Proposition 6.6. Thus we have shown that if $\tau_X \in \mathfrak{T}(\mathcal{S})$ then $(X^+, X^-g) \in \mathcal{L}_g^-(\widetilde{\mathcal{M}})$.

Conversely, suppose $(X^+, X^-g) \in \mathcal{L}_g^-(\widetilde{\mathcal{M}})$ for some $X = (X^+, X^-) \in \{+, -, 0\}^E$. We claim that for every $J \subset X^0$, $X^+ \cup J \in \mathcal{S}$. Suppose that this is not the case, and

thus for some J , $X^+ \cup J \notin \mathcal{S}$. Since $\mathcal{S}$ is maximal *by size*, there must be a circuit $C = (C^+, C^-) \in \mathcal{C}(\mathcal{M})$ with $\sigma(C) = -$ and $C^+ \subset X^+ \cup J$, $C^- \subset X^- \cup X^0$. But then $(C, \sigma(C)) = (C^+, C^-g) \in \mathcal{C}(\widetilde{\mathcal{M}})$ is not orthogonal to $(X^+, X^-g) \in \mathcal{L}(\widetilde{\mathcal{M}})$. $\square$

It is easy to see that for realizable $\mathcal{M}$, our notion of $\mathfrak{T}(\mathcal{S})$ coincides with the notion of a fine zonotopal tiling from Definition 6.1.

Define the *tile graph* $\mathcal{G}(\mathcal{S})$ to be an undirected graph with vertex set $\mathcal{S}$ and two vertices S and T connected by an edge in $\mathcal{G}(\mathcal{S})$ if and only if $S = -_e T$ for some $e \in E$.

The following theorem summarizes some basic properties of fine zonotopal tilings for oriented matroids:

THEOREM 7.27. *Let $\mathcal{M}$ be an oriented matroid and consider any maximal by size $\mathcal{M}$ -separated collection $\mathcal{S}$.*

- (1) *For any tile $\tau \in \mathfrak{T}(\mathcal{S})$, the set $\text{sp}(\tau)$ is independent:*

$$\text{sp}(\tau) \in \text{Ind}(\mathcal{M}).$$

- (2) *For any $I \in \text{Ind}(\mathcal{M})$, there is a tile $\tau \in \mathfrak{T}(\mathcal{S})$ with $\text{sp}(\tau) = I$.*

- (3) *For any basis $B \in \mathcal{B}(\mathcal{M})$, there is a unique tile $\tau \in \mathfrak{T}(\mathcal{S})$ with $\text{sp}(\tau) = B$. Thus the map $\tau \mapsto \text{sp}(\tau)$ provides a bijection between top-dimensional tiles of $\mathfrak{T}(\mathcal{S})$ and bases of $\mathcal{M}$.*

- (4) *Every tile in $\mathfrak{T}(\mathcal{S})$ is contained in a top-dimensional tile.*

- (5) *For any two sets $S, T \in \mathcal{S}$, the graph distance between the corresponding vertices in $\mathcal{G}(\mathcal{S})$ equals the size of their symmetric difference, that is, $|S - T| + |T - S|$. Thus the graph $\mathcal{G}(\mathcal{S})$ is embedded isometrically into the cube $[0, 1]^E = \square_{|E|}$.*

- (6) *If $e \in E$ is not a loop then*

$$\mathfrak{T}(\mathcal{S}/e) = \{\tau - e \mid \tau \in \mathfrak{T}(\mathcal{S}) : e \in \text{sp}(\tau)\}.$$

- (7) *If $e \in E$ is not a coloop then*

$$\mathfrak{T}(\mathcal{S} - e) = \{\tau - e \mid \tau \in \mathfrak{T}(\mathcal{S})\}.$$

PROOF. We deduce most of the properties from their known counterparts in the language of covectors of $\widetilde{\mathcal{M}}$.

Proof of (1): This is obvious: after some reorientation we may assume that τ is just the family of all subsets of $\text{sp}(\tau)$, and if $\text{sp}(\tau)$ contains a circuit $C = (C^+, C^-)$ then $\mathcal{S}$ is not $\mathcal{M}$ -separated because both C^+ and C^- belong to it.

Proof of (2): This follows from part (3) below.

Proof of (3): For every basis B of $\mathcal{M}$, there is a unique pair of opposite cocircuits $\pm C = \pm(C^+, C^-) \in \mathcal{C}^*(\widetilde{\mathcal{M}})$ such that $C^0 = B$. Here $\widetilde{\mathcal{M}}$ is the one-element lifting in general position corresponding to $\mathcal{S}$. We are done by Proposition 7.26.

Proof of (4): This follows from the fact that the order complex of the *big face lattice* $\mathcal{F}_{\text{big}}(\mathcal{M})^{\text{op}}$ is isomorphic to the face lattice of a PL regular cell decomposition of the $(r - 1)$ -sphere, see [BLVS⁺99, Corollary 4.3.4]. This implies that this complex is pure, and it is easy to see that the subcomplex consisting of $\mathcal{L}_g^-(\widetilde{\mathcal{M}})$ is pure as well.

Proof of (5): By Proposition 7.26, $\mathcal{G}(\mathcal{S})$ is just a subgraph (in fact, a *halfspace*) of the *tope graph* of $\widetilde{\mathcal{M}}$, see [BLVS⁺99, Definition 4.2.1]. Thus the result follows immediately from [BLVS⁺99, Proposition 4.2.3]. Note that since we are working

with colocalizations *in general position* only, the corresponding one-element lifting $\widetilde{\mathcal{M}}$ will be a *simple* oriented matroid and thus [BLVS⁺99, Section 4.2] applies directly.

Proof of (6): This is completely obvious from the definitions: $\mathfrak{T}(\mathcal{S})$ contains a tile τ with $e \in \text{sp}(\tau)$ if and only if $\mathfrak{T}(\mathcal{S}/e)$ contains a tile $\tau - e$.

Proof of (7): Follows from Proposition 7.26 and the deletion formula for covectors [BLVS⁺99, Proposition 3.7.11].

□

CHAPTER 8

Pure oriented matroids

The rest of the paper will be concerned with the question of which oriented matroids $\mathcal{M}$ are *pure*, that is, have the property that every maximal *by inclusion* $\mathcal{M}$ -separated collection is also maximal *by size*.

Let us start by proving a slight strengthening of Lemma 4.1:

LEMMA 8.1. *Let $\mathcal{M}$ be an oriented matroid and suppose that an element e belongs to the ground set E of $\mathcal{M}$.*

- (1) *If e is a loop then $\mathcal{M}$ is pure if and only if $\mathcal{M} - e$ is pure.*
- (2) *If e is a coloop then $\mathcal{M}$ is pure if and only if $\mathcal{M}/e$ is pure.*

PROOF. Let $\mathcal{S} \subset 2^E$ be a maximal *by inclusion* $\mathcal{M}$ -separated collection. If e is a loop then $(\{e\}, \emptyset) \in \mathcal{C}(\mathcal{M})$ so either all elements of $\mathcal{S}$ contain e or all elements of $\mathcal{S}$ do not contain e . And then $\mathcal{S}$ is a maximal *by inclusion* $\mathcal{M}$ -separated collection if and only if $\mathcal{S} - e$ is a maximal *by inclusion* $(\mathcal{M} - e)$ -separated collection. Thus the first claim follows.

Assume now that e is a coloop of $\mathcal{M}$, so none of the circuits of $\mathcal{M}$ contain e . In this case, for every $S \subset E - e$, we have $S \in \mathcal{S}$ if and only if $Se \in \mathcal{S}$. And then $\mathcal{S}$ is a maximal *by inclusion* $\mathcal{M}$ -separated collection if and only if $\mathcal{S}/e$ is a maximal *by inclusion* $(\mathcal{M}/e)$ -separated collection. This finishes the proof of the lemma. $\square$

In order to reduce to simple oriented matroids, we need to exclude parallel elements as well. We reformulate Lemma 4.2 as follows.

LEMMA 8.2. *Let $\mathcal{M}$ be an oriented matroid. Suppose that $e, f \in E$ are parallel to each other. Then*

$$\mathcal{M} \text{ is pure} \Leftrightarrow \mathcal{M} - e \text{ is pure} \Leftrightarrow \mathcal{M} - f \text{ is pure.}$$

We are not going to use this result in what follows and postpone its proof until Section 8.1.

It turns out that the property of being pure is preserved under taking minors:

PROPOSITION 8.3. *Suppose an oriented matroid $\mathcal{M}$ is pure, and let $e \in E$ belong to its ground set. Then*

- *if e is not a coloop then $\mathcal{M} - e$ is pure;*
- *if e is not a loop then $\mathcal{M}/e$ is pure.*

PROOF. Suppose that e is not a coloop and let $\mathcal{S} \subset 2^{E-e}$ be any maximal *by inclusion* $(\mathcal{M}-e)$ -separated collection. Let us view $\mathcal{S}$ as a collection of subsets of E rather than $E - e$. Then $\mathcal{S}$ is still clearly an $\mathcal{M}$ -separated collection. Let $\mathcal{S}'$ be any maximal *by inclusion* $\mathcal{M}$ -separated collection that contains $\mathcal{S}$. Since $\mathcal{M}$ is pure, $\mathcal{S}'$ is maximal *by size* as well and thus by Theorem 7.2 has size $|\text{Ind}(\mathcal{M})|$. But then by (7.6), $\mathcal{S}' - e$ must have size $|\text{Ind}(\mathcal{M} - e)|$. On the other hand, it contains $\mathcal{S}$ so

$\mathcal{S}$ is contained in a maximal by size $(\mathcal{M} - e)$ -separated collection $\mathcal{S}' - e$, so $\mathcal{M} - e$ is pure.

Now assume that e is not a loop and let $\mathcal{S} \subset 2^{E-e}$ be any maximal *by inclusion* $(\mathcal{M}/e)$ -separated collection. Consider a collection $\mathcal{S}' \subset 2^E$ defined by

$$\mathcal{S}' := \{S \mid S \in \mathcal{S}\} \cup \{Se \mid S \in \mathcal{S}\}.$$

By the definition of $\mathcal{M}/e$, it is clear that $\mathcal{S}'$ is an $\mathcal{M}$ -separated collection: if $C \in \mathcal{C}$ is a circuit of $\mathcal{M}$ then $C - e$ contains a circuit of $\mathcal{M}/e$ and thus if two sets S, T are not $\mathcal{M}$ -separated then $S - e$ and $T - e$ are not $(\mathcal{M}/e)$ -separated. But now we can again extend $\mathcal{S}'$ to a maximal *by inclusion* $\mathcal{M}$ -separated collection $\mathcal{S}''$ which is therefore maximal *by size*. Again, by (7.6), the collection $\mathcal{S}''/e$ has size $|\text{Ind}(\mathcal{M}/e)|$ and since it contains $\mathcal{S}$, we get that $\mathcal{M}/e$ is pure. $\square$

8.1. Proof of Lemma 8.2

PROPOSITION 8.4. *Let $\mathcal{S}$ be a maximal by size $\mathcal{M}$ -separated collection, and let $S \in \mathcal{S}$ and $e \in E$ be such that ${}_eS \notin \mathcal{S}$. Then there exists a unique tile $\tau \in \mathfrak{T}(\mathcal{S})$ such that*

- (a) $S \in \tau \subset \mathcal{S}$, and
- (b) $(Ie \cap Se, I - S)$ is a circuit of $\mathcal{M}$, where $I := \text{sp}(\tau)$.

In particular, the set ${}_IS \in \mathcal{S}$ is not $\mathcal{M}$ -separated from Se .

PROOF. After a suitable reorientation we may assume that $S = \emptyset$. In this case, we need to show that there exists a unique independent set I such that all of its subsets belong to $\mathcal{S}$ and $(I, \{e\})$ is a circuit of $\mathcal{M}$.

First we show uniqueness. This is clear: if $(I, \{e\})$ and $(J, \{e\})$ are both circuits of $\mathcal{M}$ then by the weak elimination axiom (C3), there is a circuit (I', J') of $\mathcal{M}$ with $I' \subset I$ and $J' \subset J$. But this is impossible because both I' and J' belong to $\mathcal{S}$. We have shown that if such I exists, it is unique.

Now we show existence by induction on $|E|$. If $|E| \leq 1$ then the statement holds trivially. Let $k \in E$ be any element such that $\{k\} \in \mathcal{S}$ (so k is not a loop). Such k exists by Theorem 7.27, part (4). We know that $\{e\} \notin \mathcal{S}$ and thus $\mathcal{S}/k$ does not contain $\{e\}$ but it clearly contains $\emptyset$. By the induction hypothesis, there is a tile $\tau' \in \mathfrak{T}(\mathcal{S}/k)$ that consists of all subsets of some set $I' \in \text{Ind}(\mathcal{M}/k)$ such that $(I', \{e\})$ is a circuit of $\mathcal{M}/k$. Therefore either one of $(I', \{e\})$, $(I'k, \{e\})$, $(I', \{e, k\})$ is a circuit of $\mathcal{M}$. By Theorem 7.27, part (6), $\mathcal{S}$ contains all subsets of $I'k$. And thus if $(I', \{e\})$ or $(I'k, \{e\})$ is a circuit of $\mathcal{M}$, we are done. The only case left is when $(I', \{e, k\})$ is a circuit of $\mathcal{M}$.

Consider now $\mathcal{S} - k$ instead. By the induction hypothesis, there is a tile $\tau'' \in \mathfrak{T}(\mathcal{S} - k)$ that consists of all subsets of some set $I'' \in \text{Ind}(\mathcal{M} - k)$ such that $(I'', \{e\})$ is a circuit of $\mathcal{M} - k$. But then $(I'', \{e\})$ is a circuit of $\mathcal{M}$ as well. By Theorem 7.27, part (7), there is a tile $\tau \in \mathfrak{T}(\mathcal{S})$ such that $\tau - k = \tau''$. If $\emptyset \in \tau$ then we are done. Otherwise, all subsets in τ have to contain k , and so $\text{sp}(\tau) = I''$.

So far we have the following information:

- $(I', \{e, k\})$ is a circuit of $\mathcal{M}$;
- $(I'', \{e\})$ is a circuit of $\mathcal{M}$;
- all subsets of I' belong to $\mathcal{S}$;
- all subsets of $I''k$ that contain k belong to $\mathcal{S}$.

These four pieces lead to a contradiction: apply the circuit elimination axiom to the two circuits to get a circuit C of $\mathcal{M}$ with $C^+ \subset I'$ and $C^- \subset I''k$, and then we have $C^+ \in \mathcal{S}$ and $C^- \cup k \in \mathcal{S}$, but these two sets are not $\mathcal{M}$ -separated. This contradicts the assertion that all subsets in τ contain k and thus we are done with the proof of the proposition. $\square$

LEMMA 8.5. *Let $\mathcal{M}$ be an oriented matroid on the ground set Eg such that $\mathcal{M} - g$ is pure, and let $\mathcal{S}$ be a maximal by size $\mathcal{M}$ -separated collection. Define*

$$\mathcal{S}^{\supset g} := \{T \in \mathcal{S} : g \in T\}; \quad \mathcal{S}^{\not\supset g} := \{T \in \mathcal{S} : g \notin T\}.$$

Let $S \subset E$ be any subset.

- *Assume $S \notin \mathcal{S}^{\not\supset g}$. Then S is $\mathcal{M}$ -separated from $\mathcal{S}^{\not\supset g}$ if and only if Sg is;*
- *Assume $Sg \notin \mathcal{S}^{\supset g}$. Then S is $\mathcal{M}$ -separated from $\mathcal{S}^{\supset g}$ if and only if Sg is.*

PROOF. Since replacing each set by its complement preserves the notion of $\mathcal{M}$ -separation, we only need to show the first claim. Moreover, if Sg is $\mathcal{M}$ -separated from $\mathcal{S}^{\not\supset g}$ then obviously the same is true for S , because if a circuit is oriented differently by S and $\mathcal{S}^{\not\supset g}$ then it is also oriented differently by Sg and $\mathcal{S}^{\not\supset g}$. So suppose that S is $\mathcal{M}$ -separated from $\mathcal{S}^{\not\supset g}$, but Sg is not, and thus there is a signed set $X = (X^+, X^-)$ such that the circuit $C = (X^+g, X^-)$ of $\mathcal{M}$ is oriented negatively by $\mathcal{S}^{\not\supset g}$ and positively by Sg . Let $T \in \mathcal{S}^{\not\supset g}$ be any set that orients C negatively. We have

$$X^- \subset T - Sg, \quad X^+g \subset Sg - T.$$

By Theorem 7.27, part (2), there exists a set $R \in \mathcal{S}$ that orients X positively, because $\underline{X}$ is an independent set of $\mathcal{M}$. If $g \in R$ then $\mathcal{S}$ would not be $\mathcal{M}$ -separated, because R would orient C positively, and we know that $T \in \mathcal{S}$ orients C negatively. Thus $g \notin R$ and by definition, $R \in \mathcal{S}^{\not\supset g}$.

Since S is $\mathcal{M}$ -separated from $\mathcal{S}^{\not\supset g}$, it is also $(\mathcal{M} - g)$ -separated from $\mathcal{S}^{\not\supset g}$. And because of the assumption that $\mathcal{M} - g$ is pure, $\mathcal{S}^{\not\supset g} \cup \{S\}$ is contained in a maximal by size $(\mathcal{M} - g)$ -separated collection, which we denote $\mathcal{S}_0$. By Theorem 7.27, part (5), the subgraph of $\mathcal{G}(\mathcal{S}_0)$ induced on all vertices that orient X positively is connected. We want to show that the path

$$R = R_0, R_1, \dots, R_t = S$$

in this subgraph that connects R and S passes through the *boundary* of $\mathcal{S}^{\not\supset g}$, that is, contains at least one set from $\mathcal{S}/g \subset \mathcal{S}^{\not\supset g}$. We know that $R_0 = R \notin \mathcal{S}/g$ because otherwise Rg would belong to $\mathcal{S}$ so it would orient C positively. We claim that for every set U from $\mathcal{S}^{\not\supset g}$ that does not belong $\mathcal{S}/g$, the set of edges adjacent to U in $\mathcal{G}(\mathcal{S}_0)$ is the same as the set of edges adjacent to U in $\mathcal{G}(\mathcal{S})$. If we manage to do so then the only way to get connected to $S \notin \mathcal{S}^{\not\supset g}$ would be to pass through the boundary $\mathcal{S}/g$ of $\mathcal{S}^{\not\supset g}$. And the way to show our claim is to consider the collection $\mathcal{S} - g$. By Theorem 7.27, part (7), there are no tiles containing U in $\mathfrak{T}(\mathcal{S} - g)$ other than the ones from $\mathfrak{T}(\mathcal{S})$. And then Proposition 8.4 applied to $\mathcal{S} - g$ and U immediately shows that there can be no other edges from U in any tiling that contains all tiles adjacent to U , in particular, in $\mathfrak{T}(\mathcal{S}_0)$. Thus there will be some set R_i that belongs to the boundary of $\mathcal{S}^{\not\supset g}$, that is, to $\mathcal{S}/g$, and thus $R_i g$ belongs to $\mathcal{S}$ but it orients C positively while we started with the set $T \in \mathcal{S}$ that orients C negatively. We are done with the proof of the lemma and we are now ready to apply this technical result to prove Lemma 8.2. $\square$

PROOF OF LEMMA 8.2. If $\mathcal{M}$ is pure then by Proposition 8.3, $\mathcal{M} - f$ is pure.

Suppose now $\mathcal{M} - f \cong \mathcal{M} - e$ is pure. Since e and f are parallel, $(\{e\}, \{f\}) \in \mathcal{C}(\mathcal{M})$ and no other circuit contains both e and f in its support. Moreover, for any circuit (C^+e, C^-) , (C^+f, C^-) is also a circuit and vice versa. Let Eef be the ground set of $\mathcal{M}$.

Let $\mathcal{S} \subset 2^{Eef}$ be a maximal *by inclusion* $\mathcal{M}$ -separated collection. Then for any two sets $S, T \in \mathcal{S}$, it is not the case that $e \in S - T, f \in T - S$. Thus without loss of generality we may assume that for all $S \in \mathcal{S}$, if $f \in S$ then $e \in S$.

We would like to show that $\mathcal{S}$ is maximal *by size*, and we are going to do so by considering collections $\mathcal{S} - e$ and $\mathcal{S} - f$. Since the oriented matroids $\mathcal{M} - e$ and $\mathcal{M} - f$ are isomorphic, we introduce another oriented matroid $\mathcal{M}'$ on the ground set Eg that is isomorphic to both of them. Define the maps $\phi : Ee \rightarrow Eg$ and $\psi : Ef \rightarrow Eg$ that send e and f to g respectively and restrict to the identity map on E . Define collections $\mathcal{S}_0, \mathcal{S}_1, \mathcal{S}_2 \subset 2^E$ as follows:

$$\begin{aligned}\mathcal{S}_0 &= \{S \in \mathcal{S} \mid e, f \notin S\}; & \mathcal{S}_1 &= \{S - e \mid S \in \mathcal{S} : e \in S, f \notin S\}; \\ \mathcal{S}_2 &= \{S - \{e, f\} \mid S \in \mathcal{S} : e, f \in S\}.\end{aligned}$$

Then

$$\mathcal{S} = \mathcal{S}_0 \sqcup \mathcal{S}_1e \sqcup \mathcal{S}_2ef,$$

where by definition $\mathcal{S}_1e := \{Se \mid S \in \mathcal{S}_1\}$ and $\mathcal{S}_2ef := \{Sef \mid S \in \mathcal{S}_2\}$.

Denote $\mathcal{S}^{\setminus f} := \phi(\mathcal{S} - f)$ and $\mathcal{S}^{\setminus e} := \psi(\mathcal{S} - e)$. Then

$$\mathcal{S}^{\setminus f} = \mathcal{S}_0 \cup \mathcal{S}_1g \cup \mathcal{S}_2g; \quad \mathcal{S}^{\setminus e} = \mathcal{S}_0 \cup \mathcal{S}_1 \cup \mathcal{S}_2g.$$

Our temporary goal is to prove that both collections $\mathcal{S}^{\setminus e}$ and $\mathcal{S}^{\setminus f}$ are maximal *by inclusion* $\mathcal{M}'$ -separated collections.

Suppose first that $\mathcal{S}^{\setminus f} = \mathcal{S}_0 \cup \mathcal{S}_1g \cup \mathcal{S}_2g$ is not maximal *by inclusion*, and consider a set $S \notin \mathcal{S}^{\setminus f}$ which is $\mathcal{M}'$ -separated from $\mathcal{S}^{\setminus f}$. If $g \notin S$ then we claim that S is in fact $\mathcal{M}$ -separated from $\mathcal{S}$. Indeed, clearly S is $\mathcal{M}$ -separated from all subsets in $\mathcal{S}_0$ and $\mathcal{S}_1e$. Thus we only need to show that S is $\mathcal{M}$ -separated from all subsets in $\mathcal{S}_2ef$. Let $Tef \in \mathcal{S}_2ef$ be such a subset, so $T \in \mathcal{S}_2$. Since $Tg \in \mathcal{S}_2g$ is $\mathcal{M}'$ -separated from S , we see that both Te and Tf are $\mathcal{M}$ -separated from S . But then Tef must be $\mathcal{M}$ -separated from S as well. We have shown that if $S \notin \mathcal{S}^{\setminus f}$ is $\mathcal{M}'$ -separated from $\mathcal{S}^{\setminus f}$ then $g \in S$.

Let $\mathcal{S}' \supset \mathcal{S}^{\setminus f}$ be a maximal *by inclusion* $\mathcal{M}'$ -separated collection of subsets that contains $\mathcal{S}^{\setminus f}$. Since $\mathcal{M}'$ is assumed to be pure, it means that $\mathcal{S}'$ is complete and maximal *by size*. By the previous observation, $\mathcal{S}'$ differs from $\mathcal{S}^{\setminus f}$ only in subsets that contain g . In particular, $(\mathcal{S}')^{\neq g} = (\mathcal{S}^{\setminus f})^{\neq g} = \mathcal{S}_0$. Using Lemma 8.5, we get the following:

CLAIM. Assume $S \notin \mathcal{S}_0$. Then S is $\mathcal{M}'$ -separated from $\mathcal{S}_0$ if and only if Sg is.

A completely analogous argument applied to $\mathcal{S}^{\setminus e}$ shows the following:

CLAIM. Assume $S \notin \mathcal{S}_2$. Then S is $\mathcal{M}'$ -separated from $\mathcal{S}_2g$ if and only if Sg is.

Let us now return to the collections $\mathcal{S}' \supset \mathcal{S}^{\setminus f}$. We know that $\mathcal{S}'$ may contain some extra subsets, but all of them have to contain g . Let Sg be such a subset, so $Sg \notin \mathcal{S}^{\setminus f}$ but Sg is $\mathcal{M}'$ -separated from $\mathcal{S}^{\setminus f}$. Recall that $\mathcal{S}^{\setminus f} = \mathcal{S}_0 \cup \mathcal{S}_1g \cup \mathcal{S}_2g$. Therefore $S \notin \mathcal{S}_2$ and Sg is $\mathcal{M}'$ -separated from $\mathcal{S}_2g$. By the second claim, S is $\mathcal{M}'$ -separated from $\mathcal{S}_2g$. Also, since Sg is $\mathcal{M}'$ -separated from $\mathcal{S}_1g$, it must be true

that S is $\mathcal{M}'$ -separated from $\mathcal{S}_1$. Finally, if Sg is $\mathcal{M}'$ -separated from $\mathcal{S}_0$ then of course so is S . To sum up, S is $\mathcal{M}'$ -separated from $\mathcal{S}_0 \cup \mathcal{S}_1 \cup \mathcal{S}_2g = \mathcal{S}^{\setminus e}$. Then we claim that Se is $\mathcal{M}$ -separated from our initial collection $\mathcal{S}$. Indeed:

- Se is $\mathcal{M}$ -separated from $\mathcal{S}_0$ because Sg is $\mathcal{M}'$ -separated from $\mathcal{S}_0 \subset \mathcal{S}^{\setminus f}$;
- Se is $\mathcal{M}$ -separated from $\mathcal{S}_1e$ because S is $\mathcal{M}'$ -separated from $\mathcal{S}_1 \subset \mathcal{S}^{\setminus e}$;
- Se is $\mathcal{M}$ -separated from $\mathcal{S}_2ef$ because S is $\mathcal{M}'$ -separated from $\mathcal{S}_2g \subset \mathcal{S}^{\setminus e}$.

Thus $Se \in \mathcal{S}$ which contradicts the fact that $Sg \notin \mathcal{S}^{\setminus f}$. It follows that $\mathcal{S}^{\setminus f}$ is maximal *by inclusion*. Since replacing each set in $\mathcal{S}$ with its complement swaps the roles of e and f , we find that $\mathcal{S}^{\setminus e}$ is also maximal *by inclusion*. More precisely, ${}_{-Eef}\mathcal{S}$ is a maximal *by inclusion* $\mathcal{M}$ -separated collection with the roles of e and f swapped. By what we have just shown, $({}_{-Eef}\mathcal{S})^{\setminus e} = {}_{-E}\mathcal{S}_0g \sqcup {}_{-E}\mathcal{S}_1g \sqcup {}_{-E}\mathcal{S}_2$ is a maximal *by inclusion* $\mathcal{M}'$ -separated collection. Applying the map ${}_{-Eg}(\cdot)$ to this collection, we obtain $\mathcal{S}^{\setminus e}$.

We now have the following situation. The collections $\mathcal{S}^{\setminus f}$ and $\mathcal{S}^{\setminus e}$ are maximal *by inclusion* $\mathcal{M}'$ -separated, and since $\mathcal{M}'$ is assumed to be pure, both of them are maximal *by size* and complete (see Corollary 7.23). We would like to show that $\mathcal{S}$ is a complete $\mathcal{M}$ -separated collection. It is clear that it orients all circuits of $\mathcal{M}$ except for possibly $C = (\{e\}, \{f\})$. If $\mathcal{S}$ orients C then it is complete, so assume $\sigma_{\mathcal{S}}(C) = 0$. We are going to extend the map $\sigma_{\mathcal{S}}$ to a map σ by setting $\sigma(C) = +$. To check that σ is still a colocalization, we need to see why σ has Type III for all possible subsets $A \subset Eef$ of nullity 2. If A does not contain either e or f then we are done because in this case on A , we have $\sigma = \sigma_{\mathcal{S}}$. If A contains both e and f then C is a cocircuit of the oriented matroid $(\mathcal{M} \upharpoonright_A)^*$ of rank 2. A simple case analysis shows that A can be partitioned into three subsets $A = S \sqcup T \sqcup \{e, f\}$ so that the cocircuits of $(\mathcal{M} \upharpoonright_A)^*$ written in the cyclic order are

$$(\{e\}, \{f\}), (Se, T), (Sf, T), (\{f\}, \{e\}), (T, Se), (T, Sf),$$

or

$$(\{e\}, \{f\}), (S, T), (\{f\}, \{e\}), (T, S).$$

In the second case, the Type III assumption holds regardless of the value $\sigma((S, T))$. In the first case however, we want to show that the corresponding values of σ are not equal to $(+, -, +, -, +, -)$. In other words, we need to see why if $\sigma((Se, T)) = -$ then $\sigma((Sf, T)) = -$. But this is clear because if $R \in \mathcal{S}$ orients (Se, T) negatively then $T \subset R$ and $Se \cap R = \emptyset$, so, in particular, $e \notin R$ and thus $f \notin R$, so R orients the circuit (Sf, T) negatively as well. This shows that σ is a colocalization, and thus $\mathcal{S}$ is contained in a complete collection by Lemma 7.21, part (2). This complete collection has to be maximal *by size* by Theorem 7.2. We are done with the proof of Lemmas 4.2 and 8.2. $\square$

CHAPTER 9

The graphical case

9.1. Pure graphs

In this chapter, we prove Theorem 5.7 by investigating which undirected graphs are pure.

Let G be an undirected graph (possibly with loops or parallel edges). Fix some total orientation O of G . We let $\vec{G}_O$ be the directed graph where each edge of G is oriented according to O . We say that G is *pure* if the oriented matroid $\mathcal{M}_{\vec{G}_O}$ is pure, and since for different orientations O of G , the oriented matroids $\mathcal{M}_{\vec{G}_O}$ differ by a reorientation, the property of G being pure does not depend on the choice of O . In Section 5, we explained how to translate the notion of $\mathcal{M}_{\vec{G}_O}$ -separation to the language of total orientations of G , and we start now by proving that this is indeed the case.

The ground set of $\mathcal{M}_{\vec{G}_O}$ is precisely the set E of edges of G . There is a simple bijection α between total orientations O' of G and subsets of E , namely, for a total orientation O' of G , $\alpha(O')$ is the set of edges of G where O and O' disagree.

We let $\text{Cyc}(G)$ denote the set of cycles of G , and by a *cycle* we always mean a non-self-intersecting undirected cycle of G viewed as a subset of edges of G . For each cycle C of G , there are two orientations of C that make it into a directed cycle which we denote $O_+(C)$ and $O_-(C)$. For example, if G is planar then $O_+(C)$ and $O_-(C)$ can denote the clockwise and counterclockwise orientations of C . For general graphs G , there is no canonical way to decide which of the two orientations is positive and which is negative so we just fix some arbitrary choice of $O_+(C)$ and $O_-(C)$ for all elements $C \in \text{Cyc}(G)$.

Recall the definition of G -separation from Chapter 5:

DEFINITION 9.1. We say that two total orientations O_1 and O_2 of G are *G -separated* if there does not exist a cycle C of G such that the restrictions of O_1 and O_2 on G are $O_+(C)$ and $O_-(C)$ or vice versa.

PROPOSITION 9.2. *Two total orientations O_1 and O_2 of G are G -separated if and only if the sets $\alpha(O_1)$ and $\alpha(O_2)$ are $\mathcal{M}_{\vec{G}_O}$ -separated.*

PROOF. Note that the circuits of $\mathcal{M}_{\vec{G}_O}$ correspond to the cycles of G . More precisely, let C^+ and C^- be subsets of the edges of C defined as follows: an edge e of C belongs to $C^\pm$ if and only if the reference orientation O agrees with $O_\pm(C)$ on e . Then (C^+, C^-) is a circuit of $\mathcal{M}_{\vec{G}_O}$. Moreover, given a total orientation O' of G , the set $\alpha(O')$ orients (C^+, C^-) positively (resp., negatively) if and only if O' agrees with $O_-(C)$ (resp., with $O_+(C)$) on C . This shows that O_1 and O_2 are G -separated if and only if there is no circuit of $\mathcal{M}_{\vec{G}_O}$ that they orient in the opposite ways so the result follows. $\square$

It also follows from the description of the circuits of $\mathcal{M}_{\vec{G}_O}$ that the independent subsets of $\mathcal{M}_{\vec{G}_O}$ correspond to *forests* of G , that is, to subsets of the edges of G without cycles.

PROOF OF THEOREM 5.7. We first show that the graphs K_4 and $K_{2,3}$ are not pure. The graph $K_{2,3}$ contains 54 forests but just 46 acyclic total orientations. Thus every maximal *by size* $K_{2,3}$ -separated collection contains these 46 acyclic orientations together with 8 other orientations. Figure 5.1 contains 6 orientations of $K_{2,3}$ that are $K_{2,3}$ -separated from each other but there is no other orientation $K_{2,3}$ -separated from all of them that would not be acyclic. This shows that $K_{2,3}$ is not pure.

Now let us concentrate on K_4 . By Corollary 7.23, in a pure oriented matroid $\mathcal{M}$, any $\mathcal{M}$ -separated collection $\mathcal{S}$ is contained in a complete $\mathcal{M}$ -separated collection. Equivalently, there is a colocalization σ of $\mathcal{M}$ in general position satisfying $\sigma \geq \sigma_{\mathcal{S}}$. We will construct a collection $\mathcal{S}$ for K_4 such that there does not exist a colocalization σ satisfying $\sigma \geq \sigma_{\mathcal{S}}$.

Let us start with translating the notion of a colocalization to undirected outerplanar graphs.

DEFINITION 9.3. Given an outerplanar graph G , a G -colocalization $\gamma : \text{Cyc}(G) \rightarrow \{+, -\}$ is a map that assigns an orientation to each cycle of G so that an extra condition below is satisfied. Consider any cycle C such that there is an edge e of G that is not in C but connects two vertices of C . Then the union of C and e contains two more cycles which we denote C_1 and C_2 . Let $O_+(C)$, $O_+(C_1)$, and $O_+(C_2)$ be chosen so that they all agree on the edges of C . Then the condition on γ is that either $\gamma(C) = \gamma(C_1)$ or $\gamma(C) = \gamma(C_2)$ (or both).

It is easy to see that for an outerplanar graph G , γ is a G -colocalization if and only if the corresponding map $\sigma : \mathcal{C}(\mathcal{M}_{\vec{G}_O}) \rightarrow \{+, -\}$ defined by $\sigma((C^+, C^-)) = \gamma(C)$ is a colocalization of $\mathcal{M}_{\vec{G}_O}$ in general position. Indeed, the non-trivial nullity 2 subsets of the edges of G correspond to connected subgraphs G' of G with $|E(G')| = |V(G')| + 1$, where $E(G')$ and $V(G')$ are the sets of edges and vertices of G' , respectively. After removing leaves (which are coloops of the corresponding oriented matroid), we get that G' is a union of three paths with the same start and the same end and non-overlapping interiors. If each path has at least two edges then G is not outerplanar, so we may assume that one of the paths is a single edge e , and so G' is a union of a cycle and e . And then the condition of σ being of Type III is precisely the extra condition on γ in Definition 9.3.

We are now ready to prove that the (outerplanar) graph K_4 is not pure. Consider the collection $\mathcal{S}$ of four total orientations of K_4 in Figure 5.2.

We claim that $\mathcal{S}$ is K_4 -separated but does not belong to any maximal *by size* K_4 -separated collection. It is clear that $\mathcal{S}$ is K_4 -separated because for each cycle of K_4 , there is at most one orientation in $\mathcal{S}$ that orients it.

Suppose that there exists a maximal *by size* K_4 -separated collection containing $\mathcal{S}$. Thus there must be a K_4 -colocalization γ satisfying $\gamma \geq \sigma_{\mathcal{S}}$. Let C be the cycle with vertices $(1, 2, 3, 4)$ listed in this order, and let $O_+(C) = (1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow 1)$ and $O_-(C) = (1 \rightarrow 4 \rightarrow 3 \rightarrow 2 \rightarrow 1)$ be its two possible orientations. There are two edges in K_4 that do not belong to C , namely, $(1, 3)$ and $(2, 4)$. Applying Definition 9.3 to the union of C and $(1, 3)$ yields that $\gamma(C)$ has to be positive while

applying Definition 9.3 to the union of C and $(2, 4)$ yields that $\gamma(C)$ has to be negative. We get a contradiction and thus K_4 is not pure.

Recall from Section 5.3 that G is assumed to be *simple*, i.e., to have no loops or parallel edges. (Non-simple graphs may be easily treated using Lemmas 8.1 and 8.2.) By Theorem 5.6, outerplanar graphs are exactly the graphs that do not contain K_4 and $K_{2,3}$ as minors. Therefore by Proposition 8.3, if a graph G is not outerplanar then it is not pure. It remains to show purity for outerplanar graphs. By Theorem 5.6 again, every such graph is a subgraph of a triangulation of an n -gon, so again by Proposition 8.3 it suffices to show purity for triangulations.

DEFINITION 9.4. Let G be the 1-skeleton of a triangulation of an n -gon. Construct a plane tree $T = T(G)$ as follows: put a vertex of T inside each triangular face of G and connect two of them by an edge in T if and only if the corresponding triangular faces share a diagonal. Define $\text{Conn}(T)$ to be the set of all connected induced subgraphs of T . There is an obvious bijective correspondence τ between $\text{Conn}(T)$ and $\text{Cyc}(G)$, see Figure 9.1.

Given two subgraphs $T_1, T_3 \in \text{Conn}(T)$, we call them *vertex-disjoint* if their vertex sets $V(T_1), V(T_3)$ are disjoint, in which case their *union* is the induced subgraph of T on $V(T_1) \sqcup V(T_3)$.

DEFINITION 9.5. For two vertex-disjoint connected subgraphs $T_1, T_3 \in \text{Conn}(T)$ whose union T_2 is also connected, we say that (T_1, T_2, T_3) is a *Las Vergnas triple*. Given a map $\tilde{\gamma} : \text{Conn}(T) \rightarrow \{+, -, 0\}$ and a Las Vergnas triple (T_1, T_2, T_3) , we say that (T_1, T_2, T_3) is a *bad triple* for $\tilde{\gamma}$ if

$$\tilde{\gamma}(T_2) \neq 0, \quad \tilde{\gamma}(T_2) \neq \tilde{\gamma}(T_1), \quad \tilde{\gamma}(T_2) \neq \tilde{\gamma}(T_3).$$

Let us explain the motivation for this terminology. For each cycle C of G , we choose $O_+(C)$ and $O_-(C)$ so that $O_+(C)$ is oriented clockwise. Therefore a G -colocalization γ becomes a function $\tilde{\gamma} : \text{Conn}(T) \rightarrow \{+, -\}$ (defined by $\tilde{\gamma} = \gamma \circ \tau$) such that there are no bad triples for $\tilde{\gamma}$. This is true because every Las Vergnas triple comes precisely from a union of a cycle of G and an edge connecting two of its vertices as in Definition 9.3.

Now consider any G -separated collection $\mathcal{S}$ of total orientations of G and let $\gamma_{\mathcal{S}} : \text{Cyc}(G) \rightarrow \{+, -, 0\}$ be the corresponding cycle signature. Let $\tilde{\gamma}_{\mathcal{S}} = \gamma_{\mathcal{S}} \circ \tau : \text{Conn}(T) \rightarrow \{+, -, 0\}$. We would like to show that there is a G -colocalization $\gamma \geq \gamma_{\mathcal{S}}$, or equivalently, that there is a map $\tilde{\gamma} : \text{Conn}(T) \rightarrow \{+, -\}$ that has no bad triples and satisfies $\tilde{\gamma} \geq \tilde{\gamma}_{\mathcal{S}}$. Since $\mathcal{S}$ is G -separated, there are no bad triples for $\tilde{\gamma}_{\mathcal{S}}$. Indeed, suppose that (T_1, T_2, T_3) is a bad triple for $\tilde{\gamma}_{\mathcal{S}}$. Then $\tilde{\gamma}_{\mathcal{S}}(T_2) \neq 0$ which means that there is a total orientation $O' \in \mathcal{S}$ that orients $\tau(T_2)$. There is an edge e of G such that the other two cycles of $\tau(T_2) \cup e$ are precisely $\tau(T_1)$ and $\tau(T_3)$. Since O' has to orient e , it follows that it orients either $\tau(T_1)$ or $\tau(T_3)$ with the same sign as $\tau(T_2)$. Thus $\tilde{\gamma}_{\mathcal{S}}$ has no bad triples.

Let $\tilde{\gamma} : \text{Conn}(T) \rightarrow \{+, -, 0\}$ be the maximal with respect to the $\geq$ order map such that $\tilde{\gamma} \geq \tilde{\gamma}_{\mathcal{S}}$ and such that there are no bad triples for $\tilde{\gamma}$. We claim that the image of $\tilde{\gamma}$ lies in $\{+, -\}$, which is the same as saying that it is a G -colocalization in general position. Suppose that this is not the case and choose the minimal by inclusion connected subgraph T' of T such that $\tilde{\gamma}(T') = 0$. We would like to show that there is $\epsilon \in \{+, -\}$ such that setting $\tilde{\gamma}(T') := \epsilon$ introduces no bad triples. First of all, regardless of ϵ , we can get no bad triples of the form (T', T_2, T_3) . This is true

because if $\tilde{\gamma}(T_2) \neq 0$ then we necessarily have $\tilde{\gamma}(T_2) = \tilde{\gamma}(T_3)$, otherwise (T', T_2, T_3) would be a bad triple for $\tilde{\gamma}$. Thus we need to only make sure that we will get no bad triples of the form (T_1, T', T_3) after setting $\tilde{\gamma}(T') := \epsilon$. Since T' is minimal, we have $\tilde{\gamma}(T_1), \tilde{\gamma}(T_3) \neq 0$. If $\tilde{\gamma}(T_1) \neq \tilde{\gamma}(T_3)$ then (T_1, T', T_3) cannot be a bad triple for $\tilde{\gamma}$ after we set $\tilde{\gamma}(T') = \epsilon$. Thus the only possible restrictions on ϵ come from triples (T_1, T', T_3) such that $\tilde{\gamma}(T_1) = \tilde{\gamma}(T_3) \neq 0$. If for all such triples the sign of $\tilde{\gamma}(T_1) = \tilde{\gamma}(T_3)$ is the same then we can just choose ϵ to be this sign. Otherwise there must exist two triples (T_1, T', T_3) and (T_5, T', T_7) such that

$$(9.1) \quad \tilde{\gamma}(T_1) = \tilde{\gamma}(T_3) = +, \quad \tilde{\gamma}(T_5) = \tilde{\gamma}(T_7) = -.$$

We need to show that this is impossible. Note that T_1 and T_3 are obtained from T' by removing some edge e . Similarly, T_5 and T_7 are obtained from T' by removing some other edge f . If we remove e and f from T' , it will split into three connected components, and after a possible switching of indices we may assume that one of them is T_1 and the other one is T_7 . Let us denote the remaining connected component T_4 . Thus $T_1 \cup T_4 = T_5$ and $T_4 \cup T_7 = T_3$ and T_1, T_4, T_7 are vertex-disjoint. By (9.1) and since (T_1, T_5, T_4) and (T_4, T_3, T_7) do not form bad triples for $\tilde{\gamma}$, we must have $\tilde{\gamma}(T_3) = \tilde{\gamma}(T_4) = \tilde{\gamma}(T_5)$ which yields a contradiction. We are done with the proof of Theorem 5.7. $\square$

9.2. Mutation-closed domains for graphical oriented matroids

In this section, we investigate the mutation graph $\mathcal{G}_\mu(\mathcal{M}_{\vec{G}_O})$ and prove Conjecture 7.8 in the graphical case. We also point out the relationship with the results of Gioan [Gio07, Gio08] as well as recent developments of Backman-Baker-Yuen [BBY17] on the Jacobian group of a graph.

Recall that for an oriented matroid $\mathcal{M}$, two sets $S, T \subset E$ are *related by a mutation* if there exists a circuit C of $\mathcal{M}$ oriented by S and such that $T = (S - C^+) \cup C^-$ in which case we write $T = \mu_C(S)$ and have an edge between S and T in the *mutation graph* $\mathcal{G}_\mu(\mathcal{M})$ of $\mathcal{M}$. Translating this to the language of total orientations of an undirected graph G yields the following notion which is due to Gioan [Gio07].

DEFINITION 9.6. We say that two orientations O_1 and O_2 of G are related by a *cycle reversal* if there is an undirected cycle C of G such that O_1 and O_2 orient it in the opposite ways and agree on all other edges of G . We let $\mathcal{G}_\mu(G)$ be a simple graph whose vertices are total orientations of G and two such orientations are connected by an edge if and only if they are related by a cycle reversal.

DEFINITION 9.7. Let V be the vertex set of G and consider a total orientation O of G . We define the *indegree sequence* of O to be a map $\text{indeg}_O : V \rightarrow \mathbb{Z}$ associating to each vertex $v \in V$ the number $\text{indeg}_O(v)$ of edges pointing towards v in O .

We recall some of the results of Gioan:

PROPOSITION 9.8 ([Gio07, Proposition 4.10]). *Two orientations O_1 and O_2 of G belong to the same connected component of $\mathcal{G}_\mu(G)$ if and only if $\text{indeg}_{O_1}(v) = \text{indeg}_{O_2}(v)$ for all $v \in V$.* $\square$

PROPOSITION 9.9. *Let G be an undirected graph. The following quantities are equal:*

- the number of connected components of $\mathcal{G}_\mu(G)$;
- the number of forests of G ;
- the number of independent sets of $\mathcal{M}_{\bar{G}_O}$;
- the size of a maximal by size G -separated collection;
- the value $T_G(2, 1)$ where T_G is the Tutte polynomial of G .

PROOF. The fact that the number of indegree sequences equals $T_G(2, 1)$ is given in [Gio07, Corollary 4.11] and the rest follows from various known interpretations of $T_G(2, 1)$ combined with our results from Section 7. $\square$

Our next result follows from the proof of [Gio07, Proposition 4.10]:

PROPOSITION 9.10. *If two orientations O_1 and O_2 of G belong to the same connected component of $\mathcal{G}_\mu(G)$ then O_1 and O_2 are not G -separated.*

PROOF. Indeed, as Gioan shows in the proof of [Gio07, Proposition 4.10], if O_1 and O_2 have the same indegree sequence then there is a cycle in G that they orient in the opposite ways. This finishes the proof. $\square$

Thus we have the following situation: every maximal by size G -separated collection $\mathcal{S}$ of total orientations has $T_G(2, 1)$ elements, there are $T_G(2, 1)$ connected components in $\mathcal{G}_\mu(G)$ and each connected component contains at most one element of $\mathcal{S}$. Thus each component contains exactly one element of $\mathcal{S}$.

COROLLARY 9.11. *Conjecture 7.8 is valid for graphical oriented matroids.*

PROOF. Indeed, for any mutation-closed domain $\mathcal{D}$ consisting of k connected components of $\mathcal{G}_\mu(G)$, any G -separated collection inside $\mathcal{D}$ contains at most k elements, but the restrictions of maximal by size G -separated collections inside 2^E to $\mathcal{D}$ contain exactly k elements. $\square$

COROLLARY 9.12. *An undirected graph G is outerplanar if and only if for any mutation-closed domain $\mathcal{D}$, every maximal by inclusion G -separated collection of total orientations is also maximal by size.*

REMARK 9.13. Several of our results for the graphical case can be found in [BBY17]. For example, Proposition 9.10 is analogous to [BBY17, Proposition 3.3.3]. One major difference is that in [BBY17], the authors consider only *coherent* colocalizations (see the next section for the definition) which correspond to *regular* zonotopal tilings. Another difference is that the main focus of [BBY17] as well as [Gio07] are *cycle-cocycle reversal* classes rather than cycle reversal classes that we consider. The latter correspond to the vertices of the zonotopal tiling while the former correspond to the top-dimensional tiles of the same tiling.

We now would like to show that the connected components of $\mathcal{G}_\mu(G)$ are actually 1-skeleta of polytopes. Choose some reference orientation O of G . To every total orientation O' of G we now associate a vector $x = x_{O'} \in \mathbb{R}^E$ as follows. For every edge $e \in E$, we put

$$(9.2) \quad \begin{cases} x(e) = +1, & \text{if } O \text{ and } O' \text{ agree on } e; \\ x(e) = -1, & \text{otherwise.} \end{cases}$$

For a collection $\mathcal{D}$ of total orientations of G , we define $P(\mathcal{D}) \subset \mathbb{R}^E$ to be the convex hull of $x_{O'}$ for all $O' \in \mathcal{D}$. Since this polytope is contained in the cube

$\text{Conv}(\{+1, -1\}^E) \subset \mathbb{R}^E$ and for any total orientation O' , $x_{O'}$ is a vertex of this cube, it follows that for every orientation $O' \in \mathcal{D}$, $x_{O'}$ is a vertex of $P(\mathcal{D})$.

PROPOSITION 9.14. *Let $\mathcal{D}$ be a single connected component of $\mathcal{G}_\mu(G)$. Then two orientations $O_1, O_2 \in \mathcal{D}$ are connected by a cycle reversal if and only if x_{O_1} and x_{O_2} form an edge of $P(\mathcal{D})$. Thus the restriction of $\mathcal{G}_\mu(G)$ to $\mathcal{D}$ is the 1-skeleton of $P(\mathcal{D})$.*

PROOF. Note that $P(\mathcal{D})$ lies in the affine subspace W of $\mathbb{R}^E$ consisting of total orientations with fixed indegree. More precisely, define the linear map $\phi : \mathbb{R}^E \rightarrow \mathbb{R}^V$ by $\phi(e) = y_v$ where e is directed in O towards v and $(y_u)_{u \in V}$ is a basis of $\mathbb{R}^V$. Then fixing the indegree corresponds to taking the preimage of a single point under ϕ which yields an affine subspace of $\mathbb{R}^E$. Now, we claim that every edge of $\mathcal{G}_\mu(G)$ restricted to $\mathcal{D}$ is a one-dimensional face of $P(\mathcal{D})$ and vice versa. Take any edge of $\mathcal{G}_\mu(G)$ connecting two orientations O_1 and O_2 . Then there is a unique cycle C of G where O_1 and O_2 disagree. One easily observes that the line segment

$$[x_{O_1}, x_{O_2}] := \{tx_{O_1} + (1-t)x_{O_2} \mid 0 \leq t \leq 1\}$$

maximizes the linear function λ on $\mathbb{R}^E$ defined as follows: for $e \in E$, put $\lambda(e) \in \{+1, 0, -1\}$ to be

$$\lambda(e) = \begin{cases} +1, & \text{if } x_{O_1}(e) = x_{O_2}(e) = +1; \\ -1, & \text{if } x_{O_1}(e) = x_{O_2}(e) = -1; \\ 0, & \text{otherwise.} \end{cases}$$

This shows that every edge of $\mathcal{G}_\mu(G)$ is an edge of $P(\mathcal{D})$. To show the converse, suppose that $O_1, O_2 \in \mathcal{D}$ are such that the line segment $[x_{O_1}, x_{O_2}]$ is an edge of $P(\mathcal{D})$. Since the indegrees of O_1 and O_2 are the same, the set of edges of G where they disagree is a union of several cycles $C_1, C_2, \dots, C_k$. If it consists of just one cycle then we are done, and otherwise we get that $[x_{O_1}, x_{O_2}]$ belongs to a k -dimensional face of G spanned by the edges of $P(\mathcal{D})$ corresponding to reversing just a single cycle C_i for $1 \leq i \leq k$. This finishes the proof. $\square$

9.3. Enumerating maximal G -separated collections

In this section, we show that for some outerplanar graphs G , the total number of maximal (by size or by inclusion) G -separated collections is given by a simple multiplicative formula.

Let G be a triangulation of an $(n+2)$ -gon. Recall from Definition 9.4 that for each such graph G there is an associated tree $T = T(G)$ on n vertices such that the cycles of G correspond to connected subgraphs of T . The former set is denoted $\text{Cyc}(G)$ and the latter set is denoted $\text{Conn}(T)$.

For each $a, b \geq 0$, define the tree $T_{a,b}$ with $a+b+2$ vertices to be the path of length $a+b+1$ on the vertices $(-a, -a+1, \dots, -1, 0, 1, \dots, b-1, b)$ with an extra leaf ℓ attached to 0. An example of $T_{2,5}$ (also known as the Dynkin diagram of affine type $\hat{E}_8$) together with the corresponding outerplanar graph G is shown in Figure 9.1. When $a = 0$ or $b = 0$ then $T_{a,b}$ is just a path. Note that this family of trees includes all ADE Dynkin diagrams of finite type as well as some other graphs.

The motivation for the following definition will be clear later, see Proposition 9.19.

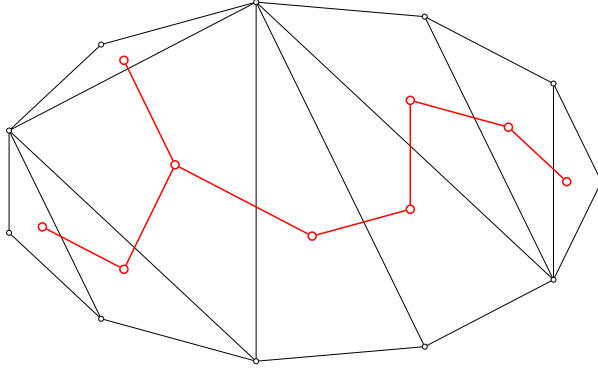


FIGURE 9.1. A triangulation G of an 11-gon is shown in black. The corresponding tree $T(G) = T_{2,5} = \hat{E}_8$ is shown in red.

DEFINITION 9.15. We say that G is *all-coherent* if there exist $a, b \geq 0$ such that $T(G) = T_{a,b}$.

The main result of this section is the following enumeration of maximal G -separated collections:

THEOREM 9.16. Let G be a triangulation of an $n + 2$ -gon and suppose that G is all-coherent: $T(G) = T_{a,b}$ for some $a, b \geq 0$ with $n = a + b + 2$. Then the number of maximal (by size = by inclusion) G -separated collections equals

$$(9.3) \quad 2(n+1) \frac{n!}{(n-a)!} \frac{n!}{(n-b)!}.$$

EXAMPLE 9.17. Taking G to be a triangulation of a square yields $T(G) = T_{a,b}$ for $a = b = 0$ and $n = 2$. Thus $T_{a,b}$ consists of two vertices and there are eight possible maps $\text{Conn}(T) \rightarrow \{+, -\}$. Six of them give G -colocalizations while the other two have a bad triple. Each of the six G -colocalizations corresponds to a maximal G -separated collection, in agreement with (9.3).

PROOF. We will show that if G is all-coherent then the number of maximal G -separated collections equals to the number of regions of a certain *hyperplane arrangement* (see [Sta07] for the background) called the *secondary arrangement*.

DEFINITION 9.18. Let $\mathcal{M}$ be an oriented matroid with ground set E and let $\sigma : \mathcal{C}(\mathcal{M}) \rightarrow \{+, -, 0\}^E$ be a colocalization in general position. We say that σ is *coherent* if there exists a function $\lambda : E \rightarrow \mathbb{R}$ such that for any circuit $C = (C^+, C^-)$ of $\mathcal{M}$, we have $\lambda(C) \neq 0$ and $\sigma(C) = +$ if and only if $\lambda(C) > 0$. Here

$$\lambda(C) = \sum_{e \in C^+} \lambda(e) - \sum_{e \in C^-} \lambda(e).$$

Let $\mathcal{A}(\mathcal{M})$ be the arrangement of hyperplanes in $\mathbb{R}^E = \{\lambda : E \rightarrow \mathbb{R}\}$ given by

$$\sum_{e \in C^+} \lambda(e) = \sum_{e \in C^-} \lambda(e),$$

where $C = (C^+, C^-)$ runs over the set of all circuits of $\mathcal{M}$.

Thus coherent colocalizations of $\mathcal{M}$ correspond to the regions of $\mathcal{A}(\mathcal{M})$. If $\mathcal{M}$ is realized by some vector configuration $\mathbf{V}$ then one can define the *secondary arrangement* $\mathcal{A}'(\mathbf{V})$ as follows: for any circuit $C = (C^+, C^-)$ of $\mathcal{M}$, there are unique (up to a multiplication by a common positive scalar) positive real numbers α_e , $e \in \underline{C}$ such that

$$\sum_{e \in C^+} \alpha_e \mathbf{v}_e = \sum_{e \in C^-} \alpha_e \mathbf{v}_e.$$

The arrangement $\mathcal{A}'(\mathcal{M})$ in $\mathbb{R}^E = \{\lambda : E \rightarrow \mathbb{R}\}$ consists of the hyperplanes

$$\sum_{e \in C^+} \alpha_e \lambda(e) = \sum_{e \in C^-} \alpha_e \lambda(e).$$

The colocalizations of $\mathcal{M}$ in general position correspond to zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$ by the Bohne-Dress theorem and the coherent colocalizations correspond to the regions of $\mathcal{A}(\mathcal{M})$. The regions of the secondary arrangement $\mathcal{A}'(\mathcal{M})$ correspond to the *coherent* (or *regular*) zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$. Note that when $\mathcal{M}$ is graphical, we have $\alpha_e = 1$ for all e so the arrangements $\mathcal{A}(\mathcal{M})$ and $\mathcal{A}'(\mathcal{M})$ actually coincide. Secondary arrangements are closely related to *secondary polytopes* of Gel'fand-Kapranov-Zelevinsky [GKZ94].

PROPOSITION 9.19. *Let G be a triangulation of an $n + 2$ -gon. Then G is all-coherent (i.e., $T(G) = T_{a,b}$) if and only if every G -colocalization is coherent.*

Before we prove the proposition, let us show how it implies Theorem 9.16. Let $V := \{-a, -a+1, \dots, b-1, b, \ell\}$ be the vertex set of $T(G)$, and let $x_{-a}, x_{-a+1}, \dots, x_b, z$ be real numbers. Define the following hyperplane arrangement $\mathcal{A}_{a,b}$ in $\mathbb{R}^V$:

$$(9.4) \quad \begin{aligned} \mathcal{A}_{a,b} = & \{z = 0\} \cup \{x_i = 0 \mid -a \leq i \leq b\} \\ & \cup \{x_j - x_i = 0 \mid -a \leq i < j \leq b\} \\ & \cup \{x_j - x_i + z = 0 \mid -a \leq i \leq 0 \leq j \leq b\}. \end{aligned}$$

The arrangement $\mathcal{A}_{a,b}$ is related to $\mathcal{A}(\mathcal{M}_{\vec{G}_O})$ via a simple change of coordinates, as we now explain. Choose an orientation $\vec{G}_O$ of G such that the boundary of the $n + 2$ -gon is oriented clockwise (and the remaining edges are oriented arbitrarily). We introduce a linear map $\phi : \mathbb{R}^E \rightarrow \mathbb{R}^V$, where E is the ground set of $\mathcal{M}_{\vec{G}_O}$. For each $v \in V$ and $e \in E$, the (e, v) -th entry of the matrix of ϕ is zero unless e is an edge of the triangle of G around v . If e is oriented clockwise around the boundary of this triangle, the matrix entry is $+1$, otherwise it is -1 . One easily checks that each hyperplane in $\mathcal{A}(\mathcal{M}_{\vec{G}_O})$ contains the kernel of ϕ , thus $\phi(\mathcal{A}(\mathcal{M}_{\vec{G}_O}))$ yields a hyperplane arrangement inside $\mathbb{R}^V$ with the same number of regions. It is also straightforward to see that the hyperplane arrangements $\mathcal{A}_{a,b}$ and $\phi(\mathcal{A}(\mathcal{M}_{\vec{G}_O}))$ are related by another coordinate change $(x_{-a}, \dots, x_b, z) \mapsto \lambda$, where $\lambda : V \rightarrow \mathbb{R}$ is given by

$$\lambda(i) = x_i - x_{i-1}, \quad -a \leq i \leq b, \quad \text{and} \quad \lambda(\ell) = z.$$

Here we put $x_{-a-1} := 0$. Thus the regions of $\mathcal{A}_{a,b}$ correspond precisely to coherent G -colocalizations σ . Since by the above proposition, every G -colocalization is coherent, the number of them (which is the same as the number of maximal G -separated collections) equals the number of regions of $\mathcal{A}_{a,b}$. The formula (9.3) then follows from [Ath96, Theorem 3.4] since $\mathcal{A}_{a,b}$ is a *cone* over a *graphical Shi arrangement*. The only thing left to do is to prove Proposition 9.19.

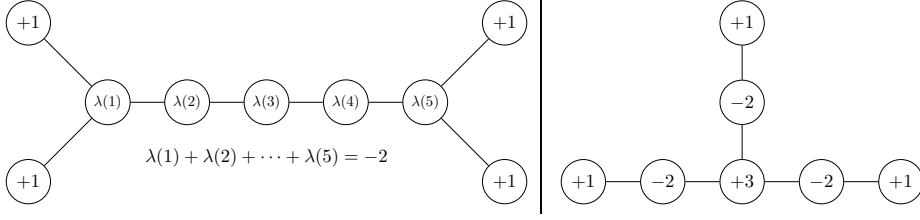


FIGURE 9.2. Dynkin diagrams of affine types $\hat{D}_n$ (for $n = 8$) and $\hat{E}_6$ together with the choice of λ .

PROOF OF PROPOSITION 9.19. We start by showing that if G is not all-coherent then there is a non-coherent G -colocalization. Since a colocalization of a subgraph of G can be extended to a G -colocalization (for example, by Theorem 5.7), it suffices to construct non-coherent G -colocalizations for the case when $T(G)$ is a Dynkin diagram of type either $\hat{D}_n$, $n \geq 5$, or $\hat{E}_6$, shown in Figure 9.2.¹ The construction is similar to the one used in [GP16, Example 3.9].

Let $T(G)$ be either $\hat{D}_n$ or $\hat{E}_6$. To each vertex v of $T(G)$ we will assign a real number $\lambda(v)$. For $\hat{E}_6$, the values of $\lambda(v)$ are shown in Figure 9.2 (thus λ is obtained from Vinberg's *additive function* [Vin71] by changing signs of all vertices of the same color). For $\hat{D}_n$, denote by L the set of leaves of $\hat{D}_n$ and by U the set of all other vertices, so we have $|L| = 4$ and $|U| = n - 3$. We put $\lambda(v) = 1$ for all $v \in L$ and for $v \in U$, we choose $\lambda(v)$ to be generic real numbers such that

$$\sum_{v \in U} \lambda(v) = -2.$$

For example, if $n = 4$ then U consists of just one vertex v so we must have $\lambda(v) = -2$ which again recovers Vinberg's additive function.

Now that we have constructed λ , we will define $\sigma : \text{Conn}(T(G)) \rightarrow \{+, -, 0\}$ as follows: for each subtree $T' \in \text{Conn}(T(G))$, we put $\sigma(T')$ to be the sign of $\sum_{v \in T'} \lambda(v)$, so we put $\sigma(T') = 0$ if this sum is zero, in which case we call T' a *zero subtree*. We give a complete description of zero subtrees for each of the cases. For $\hat{D}_n$, denote $L = \{a, b, c, d\}$ so that a, b have a common neighbor and c, d have a common neighbor. For $i \neq j \in L$, denote by iUj the subtree of $\hat{D}_n$ with vertex set $\{i, j\} \cup U$.

- For $T(G) = \hat{D}_n, n \geq 5$, there are six zero subtrees:
 $aUc, aUd, bUc, bUd, aUb, cUd$.
- For $T(G) = \hat{E}_6$, there are seven zero subtrees: all the six paths of length 4 together with $T(G)$ itself.

We are going to specify a *non-coherent perturbation* of σ by assigning some carefully chosen signs to the zero subtrees of $T(G)$ in such a way that σ would be a colocalization but not a coherent one. First note that no matter how we assign the signs to these subtrees, the result will be a colocalization (i.e., have no *bad triples* as in Definition 9.5). Indeed, in any bad triple (T_1, T_2, T_3) , only one of the trees can satisfy $\sigma(T_i) = 0$ as it follows from the description above. If $\sigma(T_2) = 0$

¹Since G is a triangulation, we do not consider the affine Dynkin diagram $\hat{D}_4$ since $T(G)$ can only have vertices of degree at most 3.

then $\lambda(T_2) = 0$ but since $\lambda(T_2) = \lambda(T_1) + \lambda(T_3)$, we have $\sigma(T_1) = -\sigma(T_3) \neq 0$. If instead $\sigma(T_1) = 0$ then $\lambda(T_1) = 0$ and thus $\lambda(T_2) = \lambda(T_3)$ so $\sigma(T_2) = \sigma(T_3) \neq 0$. This shows that after we choose the signs for the zero subtrees of σ , it becomes a colocalization for G in general position.

Suppose that $T(G) = \hat{D}_n$. Choose the signs for the four zero subtrees as follows:

$$\sigma(aUc) = \sigma(bUd) = +, \quad \sigma(aUd) = \sigma(bUc) = -.$$

Choose the remaining two signs $\sigma(aUb), \sigma(cUd)$ arbitrarily. Then σ cannot be coherent because if it was defined by some labeling μ of the vertices of $T(G)$ by real numbers then we would have

$$\mu(aUc) + \mu(bUd) = \mu(a) + \mu(b) + \mu(c) + \mu(d) + 2\mu(U) = \mu(aUd) + \mu(bUc),$$

but on the other hand, the left hand side has to be positive and the right hand side has to be negative.

We deal with the case $T(G) = \hat{E}_6$ in an analogous fashion. There are six paths $T_1, T_2, \dots, T_6$ of length 4 in $\hat{E}_6$, we order them so that for any $i = 1, 2, \dots, 6$, T_i and T_{i+1} have 3 vertices in common. Here the indices are taken modulo 6. We put

$$(9.5) \quad \sigma(T_1) = \sigma(T_3) = \sigma(T_5) = +; \quad \sigma(T_2) = \sigma(T_4) = \sigma(T_6) = -,$$

and for the remaining zero subtree which coincides with $T(G)$, we choose $\sigma(T(G))$ to be arbitrary, say, $\sigma(T(G)) = +$. Again, summing up both sides of (9.5) shows that σ is non-coherent. Thus both $\hat{D}_n$ and $\hat{E}_6$ admit non-coherent colocalizations, and therefore if all G -colocalizations are coherent then $T(G)$ does not contain either of these two trees as a minor and so G must be all-coherent. We have shown one direction of Proposition 9.19. Note that the same method of proof does not work for the affine Dynkin diagrams $\hat{E}_7$ and $\hat{E}_8$, in fact, these graphs are all-coherent.

So let G be an all-coherent graph and thus $T(G) = T_{a,b}$ for some a, b with $a + b + 2 = n$. We would like to show that every G -colocalization $\sigma : \text{Conn}(T) \rightarrow \{+, -\}$ is coherent. Our first goal is to explain that the only possible counterexamples to this are the ones of the form above. More precisely, by [BBY17, Lemma 2.3.1], σ is coherent if and only if for every $C_1, C_2, \dots, C_k \in \text{Cyc}(G)$, there is no k -tuple $(a_1, \dots, a_k)$ of integers such that $\sigma(C_i) = \text{sign}(a_i)$ and $\sum_{i=1}^k a_i x_{C_i} = 0$, where $x_{C_i} \in \mathbb{R}^E$ is defined by linearity via (9.2). If we allow repetitions, we may assume that $a_i = \pm 1$ for all $i = 1, 2, \dots, k$. Let $T_1, T_2, \dots, T_k \in \text{Conn}(T(G))$ be the subtrees of $T(G)$ corresponding to $C_1, \dots, C_k$. Thus showing the result amounts to showing the following:

LEMMA 9.20. *Suppose that we are given two multisets*

$$\mathcal{T}^+, \mathcal{T}^- \subset \text{Conn}(T_{a,b})$$

that satisfy $\sigma(T) = +$ for all $T \in \mathcal{T}^+$ and $\sigma(T) = -$ for all $T \in \mathcal{T}^-$. Suppose in addition that every vertex of $T_{a,b}$ appears in $\mathcal{T}^+$ and in $\mathcal{T}^-$ the same number of times. Then we have $\mathcal{T}^+ = \emptyset$ and $\mathcal{T}^- = \emptyset$.

PROOF. We assume that the result of the lemma is true for all smaller values of a and b by induction (the base case being trivial). We also assume that the collections $\mathcal{T}^+, \mathcal{T}^-$ are minimal by size satisfying the above conditions. For $i \leq j$, denote by $[i, j] \subset \mathbb{Z}$ the set $[i, j] = \{i, i+1, \dots, j\}$. Recall that the vertices of $T_{a,b}$ are the numbers in $[-a, b]$ together with an extra vertex ℓ . For $-a \leq i \leq 0 \leq j \leq b$, we denote by $[i, \ell, j] := [i, j] \cup \{\ell\}$ the corresponding subtree of $T_{a,b}$. Thus the set

$\text{Conn}(T_{a,b})$ consists of all sets that are either of the form $[i, j]$ for $-a \leq i \leq j \leq b$ or of the form $[i, \ell, j]$ for $-a \leq i \leq 0 \leq j \leq b$, together with one extra subtree $\{\ell\}$. We call the subtrees in $\mathcal{T}^+$ *positive* and the subtrees in $\mathcal{T}^-$ *negative*. We also assume that ℓ appears in at least one subtree, otherwise we can remove it and designate some other vertex to be ℓ . We split $\mathcal{T}^+ \cup \mathcal{T}^-$ into two multisets A, B as follows:

$$A = \{\text{positive subtrees containing } \ell\} \cup \{\text{negative subtrees not containing } \ell\};$$

$$B = \{\text{positive subtrees not containing } \ell\} \cup \{\text{negative subtrees containing } \ell\}.$$

It is clear that both sets are nonempty because we assumed that ℓ appears in at least one (positive or negative) subtree. We will show that if A is nonempty then we must have $\sigma(\{\ell\}) = +$ and if B is nonempty then we must have $\sigma(\{\ell\}) = -$. This will immediately lead to a contradiction. Moreover, by symmetry, we only need to prove the first of these two claims.

Suppose that A is nonempty. We are going to construct a certain directed graph $D(A)$ with vertex set $[-a, b]$ and with arrows colored red and blue. For each positive subtree $[i, \ell, j]$ (with necessarily $i \leq 0 \leq j$), draw a red arrow $i \rightarrow j$ in $D(A)$. For each negative subtree $[i, j]$ with $i \leq 0 \leq j$, draw a blue arrow $j \rightarrow i$ in $D(A)$. We claim that for every red arrow $i \rightarrow j$ in $D(A)$, there is also a blue arrow $j \rightarrow q$ in $D(A)$, and vice versa, for any blue arrow $j \rightarrow i$ in $D(A)$, there is a red arrow $i \rightarrow q$ in $D(A)$.

Indeed, suppose that $i \rightarrow j$ is a red arrow in $D(A)$, that is, the subtree $[i, \ell, j]$ appears in $\mathcal{T}^+$. We will consider two cases: $j = b$ and $j < b$. If $j = b$ then there must be some negative subtree $T^- \in \mathcal{T}^-$ containing b . It has the form either $[q, b]$ for some $q \in [-a, b]$ or $[q, \ell, b]$ for some $q \in [-a, 0]$. The latter case is impossible since then we will either have $[q, \ell, b] \subset [i, \ell, b]$ or $[q, \ell, b] \supset [i, \ell, b]$, and in any case we can replace these two subsets by their difference which also belongs to $\text{Conn}(T_{a,b})$. This would contradict the minimality of $(\mathcal{T}^+, \mathcal{T}^-)$. The former case is impossible by the same reasoning unless $q \leq 0$. Thus for the case $j = b$ we have established a blue arrow from j to $q \leq 0$. Suppose now that $0 \leq j < b$. Then the rest of $(\mathcal{T}^+, \mathcal{T}^-)$ contributes more to $j + 1$ than to j . There cannot be any positive subtree of the form $[j + 1, q]$ because otherwise $[i, \ell, j] \cup [j + 1, q]$ would be a connected subtree which again is a contradiction since $(\mathcal{T}^+, \mathcal{T}^-)$ is minimal. Thus there must be a negative subtree of the form either $[q, j]$ or $[q, \ell, j]$. By the same reasoning, the latter gives a contradiction, and for the former, we must have $q \leq 0$, otherwise the difference $[i, \ell, j] - [q, j]$ would be connected. We have found a blue arrow from j in $D(A)$.

A similar argument shows that for every blue arrow $j \rightarrow i$, there exists a red arrow $i \rightarrow q$ in $D(A)$. Hence we can find a directed cycle C in $D(A)$ that alternates between red and blue arrows. We want to show that $\sigma(\{\ell\}) = +$. Suppose that we have $\sigma(\{\ell\}) = -$ instead. Then for every positive subtree $[i, \ell, j]$, we know that $\sigma([i, j]) = +$ because otherwise $([i, j], [i, \ell, j], \{\ell\})$ would be a bad triple for σ . Now, let us remove ℓ from all the subtrees appearing in C . This will give a linear combination of subtrees of $T_{a,b} - \{\ell\}$ that sums up to zero at every vertex which cannot exist by the induction hypothesis. We get a contradiction thus finishing the proof of the lemma. As a consequence, this also finishes the proofs of Proposition 9.19 and Theorem 9.16. $\square$

9.4. Regular matroids

In this section, we briefly describe how to generalize our results to the class of *regular* (unoriented) matroids. We refer the reader to [Oxl11] for the background on (unoriented) matroids.

DEFINITION 9.21. We say that a matroid M is *regular* if it can be realized by a *totally unimodular matrix*, that is, a matrix with all maximal minors equal to either $+1, 0$, or -1 .

We refer the reader to the discussion before [BLVS⁺99, Proposition 7.9.3] for other equivalent definitions of regular matroids. Note that regular matroids are always orientable in an essentially unique realizable way:

PROPOSITION 9.22 ([BLVS⁺99, Corollary 7.9.4]). *If M is a regular matroid then all orientations of M are realizable. They differ only by reorientation.*

The class of regular matroids is closed under taking duals and contains the class of graphical (as well as *cographical*) matroids. Moreover, graphical and regular matroids admit the following nice characterizations by forbidden minors. Let F_7 denote the famous *Fano matroid* on 7 elements, and for a graph G , denote by $M(G)$ the associated *graphical matroid*. Let $U_{2,4}$ denote the uniform matroid of rank 2 on 4 elements and let M^* denote the dual matroid of M .

PROPOSITION 9.23 ([Tut59] and [Tut58]).

- (1) *A matroid M is regular if and only if it does not contain $U_{2,4}$, F_7 , and F_7^* as minors.*
- (2) *A matroid M is graphical if and only if it does not contain $U_{2,4}$, F_7 , F_7^* , $M^*(K_{3,3})$, and $M^*(K_5)$ as minors.*

THEOREM 9.24. *Let $\mathcal{M}$ be an orientation of a regular matroid M . Then $\mathcal{M}$ is pure if and only if $M = M(G)$ is a graphical matroid where G is an outerplanar graph.*

PROOF. Suppose that M is regular but not graphical. Then by Proposition 9.23, it contains either $M^*(K_{3,3})$ or $M^*(K_5)$ as a minor. By Proposition 9.22, $\mathcal{M}$ contains $\mathcal{M}_{K_{3,3}}^*$ or $\mathcal{M}_{K_5}^*$ as a minor. One easily checks that both $K_{3,3}$ and K_5 contain K_4 as a minor and since K_4 is self-dual and not pure, we are done by Proposition 8.3. $\square$

CHAPTER 10

The rank 3 case

The goal of this chapter is to prove one direction of Theorem 5.3, namely, that all positively oriented matroids of rank 3 are pure. The case of the rank 3 *uniform* positively oriented matroid $C^{n,3}$ is done in Corollary 3.11.

The following lemma is well known, see, e.g., [Pos06] or [ARW13, Example 3.3].

LEMMA 10.1. *Suppose that a simple oriented matroid $\mathcal{M}$ of rank 3 is isomorphic to a positively oriented matroid. Then $\mathcal{M}$ is isomorphic to $\mathcal{M}_{\mathbf{V}}$ where the endpoints of vectors of $\mathbf{V}$ all belong to the boundary of a convex n -gon lying in the plane $z = 1$. $\square$*

We call such vector configurations $\mathbf{V}$ *totally nonnegative*. If all endpoints of $\mathbf{V}$ are vertices of that convex n -gon then we say that $\mathbf{V}$ is *totally positive*. Thus, Corollary 3.11 shows that all three-dimensional totally positive vector configurations are pure and our goal is to generalize this result to totally nonnegative vector configurations:

THEOREM 10.2. *Let $\mathbf{V} \subset \mathbb{R}^3$ be a totally nonnegative vector configuration. Then the map $\mathfrak{T} \mapsto \text{Vert}(\mathfrak{T})$ is a bijection between fine zonotopal tilings of $\mathcal{Z}_{\mathbf{V}}$ and maximal by inclusion $\mathbf{V}$ -separated collections of subsets.*

PROOF. Let $[n]$ be the ground set of $\mathbf{V}$. Since all endpoints of the vectors of $\mathbf{V}$ belong to the plane $z = 1$, we can restrict our attention to this plane. Moreover, by Lemma 8.2, we can assume that the oriented matroid $\mathcal{M}_{\mathbf{V}}$ is simple. We let $\mathbf{A} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n) \subset \mathbb{R}^2$ be the *affine point configuration* corresponding to $\mathbf{V}$, that is, the points of $\mathbf{A}$ are the endpoints of the vectors in $\mathbf{V}$. Two subsets $S, T \subset [n]$ are $\mathbf{A}$ -*separated* if and only if

$$\text{Conv}(S - T) \cap \text{Conv}(T - S) = \emptyset,$$

where $\text{Conv}(R)$ denotes the convex hull of a set $\{\mathbf{x}_i \mid i \in R\} \subset \mathbf{A}$. It is clear two subsets are $\mathbf{A}$ -separated if and only if they are $\mathbf{V}$ -separated.

As we have already mentioned, Corollary 3.11 implies the result for the case when the points of $\mathbf{A}$ are the vertices of a convex n -gon P , and we need to show purity for the case when the points of $\mathbf{A}$ are either the vertices of P or belong to the edges of P .

We proceed by induction on the number of points in $\mathbf{A}$, and for each fixed number of points we proceed by “reverse induction” on the number of sides of P : we start with the polygon with $|\mathbf{A}|$ sides, for which we already know the result, and then do the induction step from polygons with p sides to polygons with $p - 1$ sides.

Assume that $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n$ are cyclically ordered on the boundary of P which is a polygon with $p - 1 < n$ sides. Assume also that one of the sides contains the

points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_r$ for some $r > 2$ but does not contain any other points. This is always achievable by a cyclic shift of vertex indices. Let

$$\mathbf{x}'_1 := \frac{1}{2}(\mathbf{x}_1 + \mathbf{x}_n),$$

thus we have a non-degenerate triangle with vertices $\mathbf{x}'_1, \mathbf{x}_2, \mathbf{x}_n$. Define $\mathbf{A}' := \{\mathbf{x}'_1, \mathbf{x}_2, \dots, \mathbf{x}_n\}$. Then it is clear that $\text{Conv}(\mathbf{A}')$ is a polygon with p sides, so by the induction hypothesis, any maximal *by inclusion* $\mathbf{A}'$ -separated collection corresponds to a zonotopal tiling of $\mathcal{Z}_{\mathbf{V}'}$, where $\mathbf{V}'$ is the vector configuration corresponding to $\mathbf{A}'$.

Let $\mathcal{S} \subset 2^{[n]}$ be any maximal *by inclusion* $\mathbf{A}$ -separated collection. If $\mathcal{S}$ is complete then by Proposition 7.18, part (2), $\sigma_{\mathcal{S}}$ is a colocalization in general position, and thus by Theorem 7.2, $\mathcal{S}$ is maximal *by size* so we are done. Thus assume that $\mathcal{S}$ is not complete, in which case $\sigma_{\mathcal{S}}$ has some zeroes in the image so we cannot conclude that it is a colocalization. Let $\mathcal{M}$ and $\mathcal{M}'$ be the oriented matroids on the ground set $[n]$ corresponding to $\mathbf{A}$ and $\mathbf{A}'$ respectively with circuits $\mathcal{C}$ and $\mathcal{C}'$, respectively. It is apparent from the definition that there is a weak map $\mathcal{M}' \rightsquigarrow \mathcal{M}$, which implies the first part of the following lemma.

LEMMA 10.3.

- (1) *If any two sets are $\mathcal{M}$ -separated then they are $\mathcal{M}'$ -separated.*
- (2) *If S, T are $\mathcal{M}'$ -separated but not $\mathcal{M}$ -separated then there is a circuit $X \in \mathcal{C}$ such that $1 \in \underline{X} \subset [r]$, $X^+ \subset T - S$ and $X^- \subset S - T$.*
- (3) *Conversely, all circuits that satisfy $X^+ \subset T - S$ and $X^- \subset S - T$ must also satisfy $1 \in \underline{X} \subset [r]$.*

PROOF. The first claim is obvious, the second and the third claims follow from the construction of $\mathbf{A}'$, since $\mathcal{C} - \mathcal{C}'$ consists exactly of all circuits of $\mathcal{M}$ containing 1 whose support belongs to $[r]$. $\square$

LEMMA 10.4. *For any $C \in \mathcal{C}$, we have*

$$(10.1) \quad C^+ \subset [r] \iff C^- \subset [r].$$

PROOF. Follows from the fact that $[r]$ is a flat of $\mathcal{M}$. $\square$

LEMMA 10.5. *The restriction $\mathcal{S}([r]) := \{S \cap [r] \mid S \in \mathcal{S}\}$ is a maximal *by size* $\mathcal{M}|_{[r]}$ -separated collection. Moreover, $\mathcal{S}$ contains*

$$\mathcal{S}([r]) \cup \{T \sqcup [r+1, n] \mid T \in \mathcal{S}([r])\}.$$

PROOF. Since the rank of $\mathcal{M}|_{[r]}$ is 2, it is pure by Corollary 3.11, so let $\mathcal{S}_r$ be a maximal *by inclusion* (and *by size*) $\mathcal{M}|_{[r]}$ -separated collection. Let $T \in \mathcal{S}_r$. We claim that both T and $T' := T \sqcup [r+1, n]$ are $\mathcal{M}$ -separated from $\mathcal{S}$. Indeed, suppose that $S \in \mathcal{S}$ is not $\mathcal{M}$ -separated from T . Let $X \in \mathcal{C}$ be such that $X^+ \subset S - T$ and $X^- \subset T - S$. Then $X^- \subset [r]$, and therefore by (10.1) we must have $X^+ \subset [r]$. Thus T is not $\mathcal{M}|_{[r]}$ -separated from $S \cap [r]$, a contradiction. Similarly, suppose that $S \in \mathcal{S}$ is not $\mathcal{M}$ -separated from T' . Let $X \in \mathcal{C}$ be such that $X^+ \subset S - T'$ and $X^- \subset T' - S$. Then $X^+ \subset [r]$, and therefore by (10.1) we must have $X^- \subset [r]$, which again leads to a contradiction. $\square$

Let $\mathcal{S}'$ be a maximal *by inclusion* $\mathcal{M}'$ -separated collection that contains $\mathcal{S}$. Then by the induction hypothesis we know that $\mathcal{S}'$ is complete and that $\sigma_{\mathcal{S}'}$ is a colocalization in general position. Our goal is to show that $\mathcal{S}$ is also complete.

Suppose otherwise that $\sigma_S(C) = 0$ for some $C \in \mathcal{C}$. We may assume that $\sigma_{S'}(C) = +$, thus let $S \in \mathcal{S}'$ be a set orienting C positively. By Lemma 10.3, we see that $\underline{C} \not\subset [r]$, thus by (10.1), we get

$$(10.2) \quad C^+ \not\subset [r] \quad \text{and} \quad C^- \not\subset [r].$$

Since $S \notin \mathcal{S}'$, there exists a set $T \in \mathcal{S}$ that is not $\mathcal{M}$ -separated from S . By Lemma 10.3, there exists a circuit $X \in \mathcal{C}$ satisfying

$$1 \in \underline{X} \subset [r], \quad X^+ \subset T - S, \quad \text{and} \quad X^- \subset S - T.$$

By Lemma 10.5, we may replace T with $T \cap [r]$. Thus we have $T \subset [r]$ and denote $T' := T \sqcup [r+1, n]$.

Let $\underline{X} = \{1, i, j\}$ for some $1 < i < j \leq r$. We consider two cases: $X = (\{1, j\}, \{i\})$ and $X = (\{i\}, \{1, j\})$. Assume first that $X = (\{1, j\}, \{i\})$. Thus $1, j \in T - S$ and $i \in S - T$. By (10.2), there exists $k \in C^+ \setminus [r]$. Since S orients C positively and $T \subset [r]$, we get $k \in S - T$. Since $(\{1, j\}, \{i, k\}) \in \mathcal{C}'$, we find that S and T are not $\mathcal{M}'$ -separated, which is a contradiction. Assume now that $X = (\{i\}, \{1, j\})$. Thus $i \in T - S$ and $1, j \in S - T$. By (10.2), there exists $k \in C^- \setminus [r]$. Since S orients C positively and $T' = T \sqcup [r+1, n]$, we get $k \in S - T'$. Since $(\{1, j\}, \{i, k\}) \in \mathcal{C}'$, we find that S and T' are not $\mathcal{M}'$ -separated, which again yields a contradiction.

We have shown that $\mathcal{S}$ is complete. As explained above, this finishes the proof of Theorem 10.2. $\square$

CHAPTER 11

Classification results

In this chapter, we prove the remaining parts of Theorems 5.8 and 5.3, as well as some other results that we announced in the earlier sections. We start by showing Proposition 5.1:

PROPOSITION 11.1. *All simple oriented matroids of rank at most 2 or corank at most 1 are pure.*

PROOF. If $\mathcal{M}$ has corank 1 then it has just one pair of opposite circuits $\pm C = \pm(C^+, C^-)$ supported on the whole ground set. Thus any two subsets of E are $\mathcal{M}$ -separated from each other except for C^+ and C^- . The purity of $\mathcal{M}$ follows.

If $\mathcal{M}$ has corank 0 then there are no circuits so any two subsets of E are $\mathcal{M}$ -separated from each other.

If $\mathcal{M}$ (note that it is simple) has rank 2 then it is isomorphic to the alternating matroid $C^{n,2}$ for some n . As we have noted before, in this case $\mathcal{M}$ -separation is the same thing as strong separation and thus the purity of $\mathcal{M}$ is a special case of Theorem 3.6.

If $\mathcal{M}$ has rank 0 or 1 and is simple, it means that it has at most one element and the result is trivial. $\square$

Next, we analyze which of the six-element oriented matroids are pure. Note that for an oriented matroid $\mathcal{M}$ with $|E| = 6$ elements, if $\text{rank}(\mathcal{M}) = 0, 1, 2, 5$, or 6 then $\mathcal{M}$ is pure by the above proposition. Also, for $\text{rank}(\mathcal{M}) \geq 4$ we only care about the case of $\mathcal{M}$ being uniform. As we will see later in Lemma 11.3, all uniform oriented matroids of rank 4 and corank 2 are isomorphic to $C^{6,4}$.

- LEMMA 11.2.** (1) *The alternating matroid $C^{6,4}$ is non-pure;*
 (2) *There are 17 isomorphism classes of simple oriented matroids of rank 3 with 6 elements. Eight of them (Figure 11.1) are positively oriented and therefore are pure. The other nine of them (Figure 11.2) are not pure.*

PROOF. The first claim has already been mentioned in the end of the proof of Proposition 7.18. Recall that $(C^{n,2})^*$ defines an oriented matroid isomorphic to $C^{n,n-2}$. Take $\mathcal{S} = \{\emptyset, [4], [6] - [2]\} \subset 2^{[6]}$. It is complete but it does not define a colocalization of $(C^{6,2})^*$ because it does not have Type III so it is not contained in any maximal by size $(C^{6,2})^*$ -separated collection.

The second claim is a computational fact. It is easy to list all the totally nonnegative point configurations, see Figure 11.1, and then for each of the remaining nine oriented matroids one needs to construct an $\mathcal{M}$ -separated collection that is not contained in any complete $\mathcal{M}$ -separated collection. We list this data in Figure 11.2. Namely, for each of the nine oriented matroids $\mathcal{M}$ that are not positively oriented, we specify a *bad collection* $\mathcal{S}_0$ and a *bad circuit* $C \in \mathcal{C}(\mathcal{M})$ with the following property: any maximal *by inclusion* $\mathcal{M}$ -separated collection containing the bad

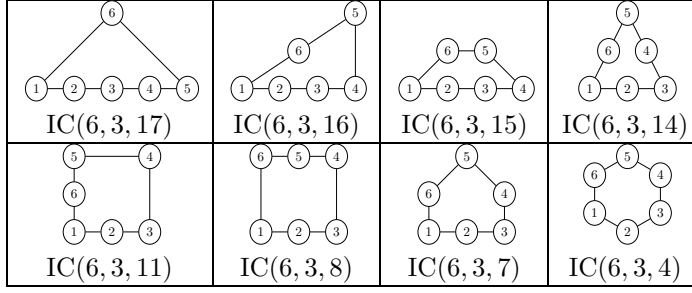


FIGURE 11.1. Affine diagrams of the eight (isomorphism classes of) positively oriented matroids of rank 3 with 6 elements and their names from [Fin01].

collection $\mathcal{S}_0$ cannot orient the bad circuit C either negatively or positively. Let us give an example. Take $\mathcal{M} = \text{IC}(6, 3, 13)$ from Figure 11.2. Then the bad circuit is $C = (6, 124)$ and the bad collection is

$$\mathcal{S}_0 = \{456, 1356, 2345, 12346\}.$$

First, note that $\mathcal{S}_0$ is $\mathcal{M}$ -separated, e.g., the sets 1356 and 2345 are $\mathcal{M}$ -separated because the segments 16 and 24 do not intersect each other in Figure 11.2.

Now, there are four subsets of $[6]$ that orient C positively, namely, 6, 36, 56, 356. Similarly, there are four subsets of $[6]$ that orient C negatively: 124, 1234, 1245, 12345. One easily checks that for each such set S , there is at least one subset $T \in \mathcal{S}_0$ that is *not* $\mathcal{M}$ -separated from S :

S	6	36	56	356	124	1234	1245	12345
T	2345	2345	12346	12346	1356	456	1356	456

This shows that the oriented matroid $\text{IC}(6, 3, 13)$ is not pure. The same argument applied to the other eight oriented matroids in Figure 11.2 finishes the proof of Lemma 11.2. $\square$

LEMMA 11.3. *If $\mathcal{M}$ is a uniform oriented matroid with $\text{rank}(\mathcal{M}) \geq 4$ and $\text{corank}(\mathcal{M}) \geq 2$ then $\mathcal{M}$ contains a minor isomorphic to $C^{6,4}$.*

PROOF. We show this by induction on $\text{corank}(\mathcal{M})$. Suppose $\text{corank}(\mathcal{M}) = 2$. Since $\mathcal{M}$ is uniform, its dual is a uniform oriented matroid of rank 2 with at least 6 elements. Any such oriented matroid (they are all isomorphic) contains $C^{6,2}$ as a minor, and therefore its dual contains a minor isomorphic to $C^{6,4}$. Now let $\text{corank}(\mathcal{M}) > 2$. If every element $e \in E$ is a coloop then $\text{corank}(\mathcal{M})$ would be zero, so suppose $e \in E$ is not a coloop. Then removing e from $\mathcal{M}$ preserves its rank but decreases its corank by 1. Therefore $\mathcal{M} - e$ contains $C^{6,4}$ as a minor by the induction hypothesis. We are done with the proof of the lemma. $\square$

This lemma finishes the proof of parts (1) and (2) of Theorem 5.8. We already have shown one direction of Theorem 5.3, namely, that every positively oriented matroid of rank 3 is pure (see Theorem 10.2). We also have shown the converse for $|E| = 6$ in Lemma 11.2. (For $|E| \leq 5$, each rank 3 oriented matroid is already isomorphic to a positively oriented matroid, so there is nothing to prove.) It remains to show that if $|E| \geq 7$ then $\mathcal{M}$ is either isomorphic to a positively oriented

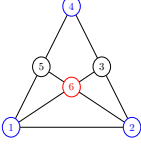
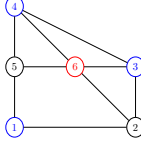
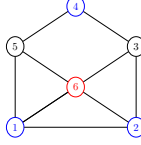
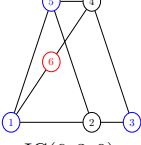
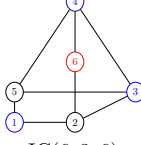
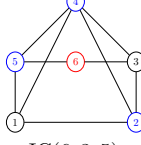
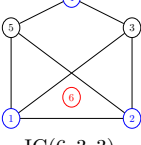
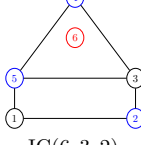
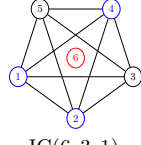
Name in [Fin01] Bad circuit Bad collection	 IC(6, 3, 13) (6, 124) 456, 1356, 2345, 12346	 IC(6, 3, 12) (6, 134) 13, 126, 1245, 2356	 IC(6, 3, 10) (6, 124) 24, 346, 2356, 1345
Name in [Fin01] Bad circuit Bad collection	 IC(6, 3, 9) (6, 135) 35, 346, 1234, 2456	 IC(6, 3, 6) (6, 134) 13, 126, 2356, 1245	 IC(6, 3, 5) (6, 245) 25, 126, 1356, 1234
Name in [Fin01] Bad circuit Bad collection	 IC(6, 3, 3) (6, 124) 14, 456, 125, 1356	 IC(6, 3, 2) (6, 245) 56, 24, 345, 1346	 IC(6, 3, 1) (6, 124) 14, 26, 2345, 1356

FIGURE 11.2. Affine diagrams of the remaining nine (isomorphism classes of) oriented matroids of rank 3 with 6 elements. The red and blue vertices form a bad circuit.

matroid or contains one of the six-element non-pure oriented matroids as a minor. In other words, *we need to prove that a rank 3 oriented matroid is isomorphic to a positively oriented matroid if and only if it does not contain an oriented matroid from Figure 11.2 as a minor.*

DEFINITION 11.4. An oriented matroid $\mathcal{M}$ of rank 3 is called *almost positively oriented* if for every element $e \in E$, $\mathcal{M} - e$ is isomorphic to a positively oriented matroid.

The rest of the paper is devoted to the proof of the theorem below which clearly implies Theorem 5.3 as well as Theorem 5.8, part (3).

THEOREM 11.5. *Suppose that $\mathcal{M}$ is an almost positively oriented matroid with at least 7 elements. Then $\mathcal{M}$ is isomorphic to a positively oriented matroid.*

We prove this theorem via a series of lemmas. Throughout the proof, all oriented matroids are assumed to be simple and to have rank 3.

DEFINITION 11.6. Let $\mathcal{M}$ be an oriented matroid of rank 3. Then any maximal *by inclusion* subset $P \subset E$ of rank 2 is called a *line*. A line P is called *non-trivial* if $|P| > 2$.

We will mostly work with affine diagrams of rank 3 oriented matroids (such as the ones in Figures 11.1 and 11.2) where the above defined lines can be represented by lines in the affine diagram.

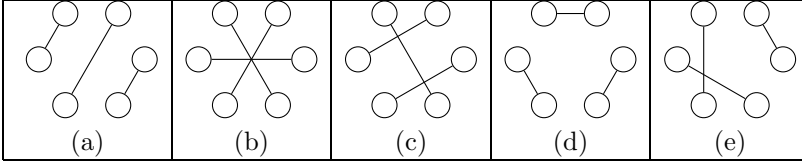


FIGURE 11.3. The five possible combinatorial types of matchings of six points on a circle.

Recall from Lemma 10.1 that a positively oriented matroid $\mathcal{M}$ of rank 3 can be realized by an affine diagram where all the points belong to the boundary of a convex polygon. The number of vertices of this polygon is called *the number of vertices of $\mathcal{M}$* and denoted $\text{vert}(\mathcal{M})$. The following corollary is immediate.

COROLLARY 11.7. *Suppose $\mathcal{M}$ is isomorphic to a positively oriented matroid, then*

$$\text{vert}(\mathcal{M}) = |E| - \sum_{P \text{ is a line of } \mathcal{M}} (|P| - 2).$$

□

We will repeatedly use another well known fact.

PROPOSITION 11.8 ([BLVS⁺99, Theorem 8.2.4] or [GP80]). *Any oriented matroid of rank 3 on at most 8 elements is realizable.* □

LEMMA 11.9. *Let $\mathcal{M}$ be an oriented matroid, and let $P_1 \neq P_2$ be any two lines in $\mathcal{M}$. Then*

$$|P_1 \cap P_2| \leq 1.$$

PROOF. Since the rank function of $\mathcal{M}$ is submodular, we get $\text{rank}(P_1 \cap P_2) \leq 1$. Since $\mathcal{M}$ is assumed to be simple, this gives the result. □

LEMMA 11.10. *Let $\mathcal{M}$ be an almost positively oriented matroid. Then any element of $\mathcal{M}$ belongs to at most two non-trivial lines in $\mathcal{M}$.*

PROOF. Let $E = \{a_1, a_2, \dots, a_n\}$ and suppose that P_1, P_2, P_3 are three non-trivial lines in $\mathcal{M}$ that all contain a_1 . By Lemma 11.9, the sets $P_i - a_1$ are disjoint for $i = 1, 2, 3$, and each of them contains at least two elements by non-triviality, so without loss of generality we have

$$a_2, a_3 \in P_1, \quad a_4, a_5 \in P_2, \quad a_6, a_7 \in P_3.$$

Consider the restriction $\mathcal{M}'$ of $\mathcal{M}$ to $\{a_1, \dots, a_7\}$. By Proposition 11.8, $\mathcal{M}'$ is a realizable almost positively oriented matroid. Thus $\mathcal{M}' - a_1$ is isomorphic to a positively oriented matroid, so reorient the elements $a_2, a_3, \dots, a_7$ so that $\mathcal{M}' - a_1$ would be just positively oriented. We know that the points of $\mathcal{M}' - a_1$ belong to the boundary of some convex polygon, and then a_1 just belongs to the intersection of the three lines (a_2a_3) , (a_4a_5) , and (a_6a_7) , where $(a_i a_j)$ is the line passing through the points a_i and a_j in the affine diagram of $\mathcal{M}'$. There is a natural cyclic order $\mathcal{O}$ on the points $a_2, a_3, \dots, a_7$ since they belong to the boundary of a convex polygon. Draw these points on the circle according to $\mathcal{O}$ and then draw the matching $\{(a_2, a_3), (a_4, a_5), (a_6, a_7)\}$. Up to rotation and reflection, we will get one of the five matchings in Figure 11.3.

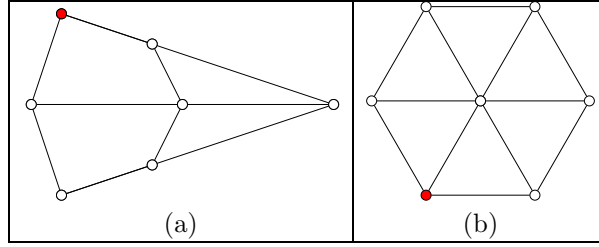


FIGURE 11.4. Two possibilities for $\mathcal{M}'$. Removing the red point produces a forbidden minor.

It is clear that for the matchings (c), (d), or (e) in Figure 11.3, the three lines will not intersect at the same point. For (a) or (b) this is possible and we clearly get only two possibilities for $\mathcal{M}$, shown in Figure 11.4. If we remove the red point in (a), we will get the oriented matroid $\text{IC}(6, 3, 9)$ from Figure 11.2. If we remove the red point in (b), we will get $\text{IC}(6, 3, 10)$ from Figure 11.2. Both of them are not isomorphic to a positively oriented matroid, and thus $\mathcal{M}$ is not almost positively oriented, and we are done with the proof of the lemma. $\square$

DEFINITION 11.11. We say that an oriented matroid $\mathcal{M}$ *contains a pentagon* if there is a 5-element subset $\Pi \subset E$ such that the restriction of $\mathcal{M}$ to Π is a uniform oriented matroid of rank 3.

Recall that all uniform oriented matroids on five elements are isomorphic, because their duals are uniform oriented matroids of rank 2 with five elements.

DEFINITION 11.12. We say that an oriented matroid $\mathcal{M}$ *is contained in two lines* if there are two lines P_1 and P_2 of $\mathcal{M}$ whose union is E .

LEMMA 11.13. *Let $\mathcal{M}$ be an almost positively oriented matroid with at least 7 elements. Then either $\mathcal{M}$ contains a pentagon or $\mathcal{M} - e$ is contained in two lines for some $e \in E$.*

PROOF. Assume that $\mathcal{M} - e$ is not contained in two lines for any $e \in E$. Fix some element $e \in E$ and consider the positively oriented matroid $\mathcal{M} - e$. If $\text{vert}(\mathcal{M} - e) \geq 5$ then we are done because the vertices of course form a uniform oriented matroid. Suppose now that $\text{vert}(\mathcal{M} - e) = 4$, that is, the points of $\mathcal{M} - e$ belong to the boundary of some quadrilateral. Since $\mathcal{M} - e$ is not contained in two lines, we get that there are two sides of this quadrilateral such that they share a vertex and both of them contain points of $\mathcal{M} - e$ in their interior. But then removing their shared vertex increases the number of vertices, so we are done with this case as well.

The only case left is when $\text{vert}(\mathcal{M} - e) = 3$ for all $e \in E$. Thus $\mathcal{M} - e$ is a triangle with at least one point in the interior of each side (otherwise $\mathcal{M} - e$ is contained in two lines). If the interior of one of the sides (say, connecting the vertices f and g) contains at least two points then $\text{vert}(\mathcal{M} - \{e, f, g\}) = 5$ so $\mathcal{M} - e$ contains a pentagon. Thus each side of the triangle contains exactly one point in its interior, and therefore $|E| = 7$. We will show that this is impossible.

We see that $\mathcal{M} - e$ is isomorphic to $\text{IC}(6, 3, 14)$ from Figure 11.1 for every e . Let $f \in E$ be a vertex of this triangle. It belongs to at least 2 non-trivial lines in $\mathcal{M}$

and by Lemma 11.10 f belongs to exactly 2 non-trivial lines in $\mathcal{M}$, denoted P_1 and P_2 . For $i = 1, 2$, P_i has to contain exactly three points. Indeed, by non-triviality it contains at least three points, and if it contained at least four points then we would remove some other element $g \notin P_i$ and get an oriented matroid $\mathcal{M} - g$ with a line containing at least 4 elements, but $\mathcal{M} - g$ has to be isomorphic to $\text{IC}(6, 3, 14)$ which does not have such a line.

Observe that each P_i contains at least two elements of $\mathcal{M} - f$. Now, P_i cannot contain a vertex of $\mathcal{M} - f$ because otherwise this vertex would be contained in three non-trivial lines, contradicting Lemma 11.10. We find that each of P_1 and P_2 contains at least two points which are not among the vertices of $\mathcal{M} - f$. Since $\mathcal{M} - f$ contains three such points in total, one of them has to be common to P_1 and P_2 . On the other hand, the only point in $\mathcal{M}$ belonging to both P_1 and P_2 is f , a contradiction. $\square$

REMARK 11.14. Note that both the property of containing a pentagon and of being contained in two lines can be stated for unoriented matroids, and then an example of a matroid that has neither of these two properties is the Fano plane. Moreover, removing any point from the Fano plane gives an unoriented matroid isomorphic to $\text{IC}(6, 3, 14)$, the underlying matroid of $\text{IC}(6, 3, 14)$. In particular, this implies that the Fano matroid is non-orientable.

We would like to restrict our attention to only almost positively oriented matroids that contain a pentagon. In order to do so, we need to eliminate the other option from Lemma 11.13.

LEMMA 11.15. *Let $\mathcal{M}$ be an almost positively oriented matroid with $|E| \geq 7$ that does not contain a pentagon. Then $\mathcal{M}$ is isomorphic to a positively oriented matroid.*

PROOF. We will split the proof into three cases:

- (1) $\mathcal{M}$ is contained in two lines;
- (2) there is a line P of $\mathcal{M}$ with $|P| \geq |E| - 3$;
- (3) for some $e \in E$, there are two lines P_1 and P_2 of $\mathcal{M}$ whose union is $E - e$.

The proof in Case (2) will depend on Case (1) while the proof in Case (3) will depend on Cases (1) and (2).

Consider the first case. If $E = P_1 \cup P_2$ is a union of two lines then $\mathcal{M}$ has no other non-trivial lines, because any such line would intersect either P_1 or P_2 by at least two elements (cf. Lemma 11.9). Consider the cocircuit $C^{(1)}$ of $\mathcal{M}$ with zero set P_1 and reorient all elements of P_2 so that $C^{(1)}$ would be a positive cocircuit. Now consider the cocircuit $C^{(2)}$ of $\mathcal{M}$ with zero set P_2 and reorient all elements of P_1 so that $C^{(2)}$ would be a positive cocircuit. Since the restriction of $\mathcal{M}$ to P_i is a simple rank 2 oriented matroid, we can assume that $P_1 = \{a_1, a_2, \dots, a_n\}$ and $P_2 = \{b_1, b_2, \dots, b_m\}$ are ordered in such a way that the circuits of $\mathcal{M}|_{P_1}$ are $(\{a_i, a_k\}, \{a_j\})$ for all $1 \leq i < j < k \leq n$ and the circuits of $\mathcal{M}|_{P_2}$ are $(\{b_i, b_k\}, \{b_j\})$ for all $1 \leq i < j < k \leq m$.

Assume first that $P_1 \cap P_2 = \emptyset$. Consider the circuit X with $\underline{X} = \{a_1, a_n, b_1, b_m\}$. Since X has to be orthogonal to $C^{(1)}$ and $C^{(2)}$, we have $X_{a_1} \neq X_{a_n}$ and $X_{b_1} \neq X_{b_m}$. Thus after a possible reversal of the order of a_i 's, we have

$$X_{a_1} = +; \quad X_{a_n} = -; \quad X_{b_1} = -; \quad X_{b_m} = +.$$

Now choose any $1 \leq i \leq n$ and $1 \leq j \leq m$. Let C^{ij} be the cocircuit with zero set $\{i, j\}$. Then for any $k < i$, the sign of $C_{a_k}^{ij}$ has to be the same, and for any $k > i$, $C_{a_k}^{ij}$ has to have the opposite sign, and similarly for b_k 's. Since C^{ij} is orthogonal to X , we must have

$$C_{a_k}^{ij} = \begin{cases} +, & \text{if } k < i; \\ -, & \text{if } k > i; \end{cases}; \quad C_{b_k}^{ij} = \begin{cases} +, & \text{if } k < j; \\ -, & \text{if } k > j; \end{cases}.$$

Consider a four-gon with vertices a_1, a_n, b_m, b_1 in clockwise order and points $a_1, \dots, a_n$ on one side and points $b_m, b_{m-1}, \dots, b_1$ on the opposite side. We claim that the associated oriented matroid $\mathcal{M}'$ coincides with $\mathcal{M}$. It is clear that the underlying matroids are the same. Clearly they also have the same oriented cocircuits so we have finished dealing with the case $P_1 \cap P_2 = \emptyset$. The case $|P_1 \cap P_2| = 1$ is handled similarly, so we are done with Case (1).

Now consider Case (2): there is a line P of $\mathcal{M}$ with $|P| \geq |E| - 3$, and in particular $|P| \geq 4$. If $|P| > |E| - 3$ then we are done by Case (1), thus $|P| = |E| - 3$, so let $E - P = \{e, f, g\}$. Since every other line can intersect P by at most one element, there are at most 3 other non-trivial lines of $\mathcal{M}$, and thus there is an element $h \in P$ not belonging to any of them. If there is another such element h' then h, h', e, f, g form a pentagon and we are done, so assume that h is the only such element. In order to have $|P| \geq 4$ while only one element in P not in any other line, we must have $|E| = 7$ and for any two elements from $\{e, f, g\}$ there is a non-trivial line containing them and one other element in P . But then we have “too many lines”: consider $\mathcal{M} - h$, which is isomorphic to a positively oriented matroid on 6 elements. Using Corollary 11.7, we can count that

$$\text{vert}(\mathcal{M} - h) = 6 - 1 - 1 - 1 - 1 = 2,$$

which means that $\mathcal{M} - h$ is contained in a line even though we know it is not. This finishes Case (2).

Consider Case (3). We must have that $|P_1 - P_2| \geq 3$ and $|P_2 - P_1| \geq 3$, otherwise we would arrive at Case (2). Moreover, we may assume that e does not belong to either P_1 or P_2 , otherwise we would arrive at Case (1). There are at most two non-trivial lines through e , each of them intersects P_i in at most one element for $i = 1, 2$, and there are no other non-trivial lines in $\mathcal{M}$. Thus there is at least one element e_i in each of P_i , $i = 1, 2$, that is not contained in any other non-trivial line of $\mathcal{M}$. Let $f_i \neq e_i$ be an element of $P_i - P_{3-i}$ ($i = 1, 2$) such that e, f_1, f_2 do not lie on the same line. We get that e_1, e_2, f_1, f_2, e form a pentagon so we are done with the proof of the lemma. $\square$

There is a reason why we care about $\mathcal{M}$ containing a pentagon.

LEMMA 11.16. *Suppose that a positively oriented matroid $\mathcal{M}$ contains a pentagon. Then the only reorientation of $\mathcal{M}$ that is also positively oriented is ${}_{-E}\mathcal{M}$, that is, there are no non-trivial positively oriented reorientations of $\mathcal{M}$.*

PROOF. We prove this by induction on $|E|$. Let $|E| = 5$. Then $\mathcal{M}$ is itself a pentagon, and now suppose that ${}_{-A}\mathcal{M}$ is also positively oriented. We may assume $1 \leq |A| \leq 2$. Note that for every circuit C of $\mathcal{M}$, A has to contain an even number of elements from $\underline{C}$. It is clear that for every one- or two-element subset of E , there is a circuit of $\mathcal{M}$ that contains exactly one element of A , thus we are done with the base case. To show the induction step, consider any positively oriented matroid

$\mathcal{M}$ that contains a pentagon Π and suppose that ${}_{-A}\mathcal{M}$ is also positively oriented for some proper subset A of E . Choose an element $e \in E - \Pi$. By the induction hypothesis, the intersection of A with $E - e$ has to be either empty or equal to $E - e$. In any of these cases, one can easily find a circuit C that contains e and three other elements from $E - e$. For this circuit, the intersection $A \cap \underline{C}$ would have an odd number of elements, so we get a contradiction which finishes the proof of the lemma. $\square$

Recall that the map $\chi_{\mathcal{M}} : E^r \rightarrow \{+, -, 0\}$ is the *chirotope* of $\mathcal{M}$ defined in Section 6.3.

LEMMA 11.17. *Let $\mathcal{M}$ be an almost positively oriented matroid with $|E| \geq 7$ that contains a pentagon. Then $\mathcal{M}$ is positively oriented if and only if there is a cyclic order $\mathcal{O}^*$ on E such that for any $a, b, c \in E$ ordered in accordance with $\mathcal{O}^*$, we have $\chi_{\mathcal{M}}(a, b, c) \geq 0$. Moreover, if such $\mathcal{O}^*$ exists, it is unique.*

PROOF. Obviously, if $\mathcal{M}$ is positively oriented then $\mathcal{O}^*$ exists and, by Lemma 11.16, is unique. Conversely, if $\mathcal{M}$ admits such an order then it is positively oriented by definition (see Section 6.3), and thus by the previous claim such $\mathcal{O}^*$ is unique. $\square$

Our second to last step in proving Theorem 11.5 is to reduce it to oriented matroids with at most 8 elements.

LEMMA 11.18. *Suppose that any almost positively oriented matroid with at most 8 elements is isomorphic to a positively oriented matroid. Then the same statement holds for almost positively oriented matroids with arbitrary number of elements.*

PROOF. Suppose $\mathcal{M}$ is an almost positively oriented matroid with $|E| > 8$. By the above discussion, we are assuming that $\mathcal{M}$ contains a pentagon Π . Choose any two distinct elements $e, f \in E - \Pi$. By Lemma 11.16, there is an essentially unique reorientation of $\mathcal{M} - \{e, f\}$ that makes it positively oriented. We claim that there is a unique reorientation of $\mathcal{M}$ such that every element from $E - e$ is oriented the same way in $\mathcal{M}$ and in the positive reorientation of $\mathcal{M} - e$, and every element from $E - f$ is oriented the same way in $\mathcal{M}$ and in the positive reorientation of $\mathcal{M} - f$. Such an orientation is clearly unique, but the fact that it exists is a consequence of the fact that there is only one reorientation of $\mathcal{M} - \{e, f\}$ that makes it positively oriented, and this orientation has to agree with the corresponding unique orientations that make $\mathcal{M} - e$ and $\mathcal{M} - f$ into positively oriented matroids. Let us explain this in more detail.

Let $\mathcal{O}$ be the cyclic order on the elements of $\mathcal{M} - \{e, f\}$ that comes from the boundary of the convex polygon that realizes $\mathcal{M} - \{e, f\}$. In other words, $\mathcal{O}$ is the unique cyclic order on $E - \{e, f\}$ such that for any three elements a, b, c ordered in accordance with $\mathcal{O}$, we have $\chi_{\mathcal{M}}(a, b, c) \geq 0$ (see Section 6.3). The order $\mathcal{O}$ can be extended to a cyclic order $\mathcal{O}'$ on $E - e$ (resp., $\mathcal{O}''$ on $E - f$) such that for any $a, b, c \in E - e$ (resp., $a, b, c \in E - f$) ordered in accordance with $\mathcal{O}'$ (resp., with $\mathcal{O}''$), we have $\chi_{\mathcal{M}}(a, b, c) \geq 0$. Since the cyclic orders $\mathcal{O}'$ and $\mathcal{O}''$ agree on $E - \{e, f\}$, there is a cyclic order $\mathcal{O}^*$ on E such that removing e from $\mathcal{O}^*$ results in $\mathcal{O}'$ and removing f from $\mathcal{O}^*$ results in $\mathcal{O}''$. If e and f are not adjacent in $\mathcal{O}^*$ then such order is unique, otherwise it is unique up to a transposition of e and f . What we would like to show is that for any $a, b, c \in E$ ordered in accordance with $\mathcal{O}^*$, we have $\chi(a, b, c) \geq 0$. Clearly, this holds for any a, b, c such that $\{e, f\} \not\subset \{a, b, c\}$. But note that if $\mathcal{O}^*$ is not unique (i.e., if e and f are adjacent in $\mathcal{O}^*$) then we can

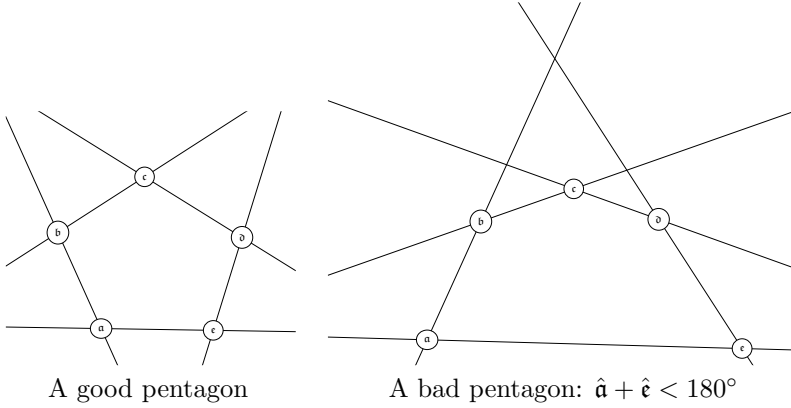


FIGURE 11.5. Examples of good and bad pentagons. Here $\hat{a}$ denotes the angle at vertex a and so on.

choose an element $g \in \Pi$ such that $\{e, f, g\}$ is a basis, because $\text{rank}(\Pi e f) > 2$, and then the sign of $\chi(e, f, g)$ will determine $\mathcal{O}^*$ uniquely. Thus we have found a unique possible candidate for $\mathcal{O}^*$ from Lemma 11.17.

Assume that we have some element $g \in E$ such that e, f, g is ordered in accord with $\mathcal{O}^*$ and we are trying to show that $\chi(e, f, g) \geq 0$. Let $\mathcal{M}'$ be the restriction of $\mathcal{M}$ to $Q := \Pi \cup \{e, f, g\}$. Our goal is to show that $\chi_{\mathcal{M}'}(e, f, g) \geq 0$. Since $|Q| \leq 8$ and $\mathcal{M}'$ is still almost positively oriented, by the assumption of the lemma we know that $\mathcal{M}'$ is isomorphic to a positively oriented matroid. By the above discussion, the unique cyclic order of $\mathcal{M}'$ from Lemma 11.17 has to coincide with the restriction of $\mathcal{O}^*$ to Q , in which case we clearly get $\chi_{\mathcal{M}'}(e, f, g) \geq 0$. This finishes the proof of the lemma. $\square$

The following lemma combined with Lemmas 11.18 and 11.15 completes the proof of Theorem 11.5.

LEMMA 11.19. *If $\mathcal{M}$ is an almost positively oriented matroid on at most 8 elements that contains a pentagon Π then $\mathcal{M}$ is isomorphic to a positively oriented matroid.*

PROOF. By Proposition 11.8, $\mathcal{M}$ is realizable, so it comes from some vector configuration $\mathbf{V} \subset \mathbb{R}^3$. Reorient Π in the unique way such that $\mathcal{M}|_{\Pi}$ is positively oriented. We know that $\mathcal{M}|_{\Pi}$ is then acyclic, and let $H \subset \mathbb{R}^3$ be any (affine) plane such that each vector from Π belongs to H after some rescaling by a positive real number. The endpoints of vectors from Π form a convex pentagon. Let us say that a convex pentagon is *good* if the sum of any two adjacent angles is greater than 180° . Otherwise, call such a pentagon *bad*. See Figure 11.5 for an illustration.

We would like to choose an affine plane H so that the vectors from Π would form a good convex pentagon. Our first goal is to show that it is always possible.

CLAIM. For every 5 vectors Π in $\mathbb{R}^3$ that form a positively oriented uniform matroid, there exists an affine plane H such that each vector of Π belongs to H after some rescaling by a positive real number, and moreover, the endpoints of rescaled vectors form a good pentagon in H .

PROOF OF THE CLAIM. Let $\vec{a}, \vec{b}, \vec{c}, \vec{d}, \vec{e}$ be the elements of Π listed in cyclic order, and let a, b, c, d, e be the rays spanned by the corresponding vectors. By $P(x, y)$ denote the plane through the origin spanned by the vectors $\vec{x}$ and $\vec{y}$. Choose any point $\mathbf{a}$ on a . Consider the lines $\ell_1 = P(a, b) \cap P(d, e)$ and $\ell_2 = P(a, e) \cap P(b, c)$. Both of these lines pass through the origin and it is clear that the cone W spanned by $\vec{a}, \vec{b}, \vec{c}, \vec{d}, \vec{e}$ intersects the plane $P(\ell_1, \ell_2)$ spanned by ℓ_1 and ℓ_2 only in the origin. Let H' be the affine plane through $\mathbf{a}$ parallel to $P(\ell_1, \ell_2)$. Then H' intersects W by a convex pentagon, so let $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}$ be the intersection points of a, b, c, d, e with H' respectively. By the choice of H' , the lines $\mathbf{ab}$ and $\mathbf{de}$ are parallel. Moreover, the lines $\mathbf{ac}$ and $\mathbf{be}$ are parallel. Let $\alpha := \hat{\mathbf{a}}$ be the angle of $\mathbf{abcde}$ at vertex $\mathbf{a}$, so we have $0^\circ < \alpha < 180^\circ$. Thus we have

$$\hat{\mathbf{b}} = \hat{\mathbf{e}} = 180^\circ - \alpha, \quad \hat{\mathbf{c}} + \hat{\mathbf{d}} = 180^\circ + \alpha.$$

Since $\hat{\mathbf{c}}, \hat{\mathbf{d}} < 180^\circ$, we get that $\alpha < \hat{\mathbf{c}}, \hat{\mathbf{d}}$. Therefore

$$\hat{\mathbf{a}} + \hat{\mathbf{b}} = \hat{\mathbf{a}} + \hat{\mathbf{e}} = 180^\circ; \quad \hat{\mathbf{b}} + \hat{\mathbf{c}}, \hat{\mathbf{c}} + \hat{\mathbf{d}}, \hat{\mathbf{d}} + \hat{\mathbf{e}} > 180^\circ.$$

So the pentagon $\mathbf{abcde}$ is almost good. We would like to find a slight perturbation H of H' around $\mathbf{a}$ so that we would get $\hat{\mathbf{a}} + \hat{\mathbf{b}}, \hat{\mathbf{a}} + \hat{\mathbf{e}} > 180^\circ$ without violating the remaining three inequalities. To do so, observe that the plane H' is parallel to the lines ℓ_1 and ℓ_2 by construction. Orient ℓ_1 (resp., ℓ_2) in the direction of the vector $\mathbf{a} - \mathbf{b}$ (resp., $\mathbf{a} - \mathbf{e}$). Choose a point X_i on ℓ_i far away in the positive direction for $i = 1, 2$. Let H be the plane through $\mathbf{a}, X_1, X_2$. Then H is indeed a slight perturbation of H' and therefore the strict inequalities are still satisfied, provided that X_1 and X_2 are far enough. Letting $\mathbf{abcde}$ denote the corresponding pentagon inside H , we see that the points $X_1, \mathbf{a}, \mathbf{b}$ lie on a common line (in this order), and the same is true for $X_1, \mathbf{d}, \mathbf{e}$, as well as for $X_2, \mathbf{a}, \mathbf{e}$ and $X_2, \mathbf{b}, \mathbf{c}$. Thus the new pentagon $\mathbf{abcde}$ is good. $\square$

So now we are assuming that the intersection of the cone spanned by Π with H forms a good pentagon. Reorient $E - \Pi$ in a unique way so that all vectors in $\mathbf{V}$ would belong to H after a positive rescaling. We claim that now $\mathcal{M}$ is oriented “the correct way” meaning that for any element $e \in E - \Pi$, $\mathcal{M} - e$ is already a positively oriented matroid. Suppose this is not the case, that is, for some $e \in E - \Pi$, $\mathcal{M}' := \mathcal{M} - e$ is not positively oriented but $_{-A}\mathcal{M}'$ is positively oriented for some proper subset A of $E - e$. By Lemma 11.16, we may assume that $A \cap \Pi = \emptyset$. Let $f \in A$ be any element. Since f is represented by a point in H , one easily checks (using the fact that Π is a good pentagon) that there is a circuit C of $\mathcal{M}'$ that involves f and three elements from Π , denote them a, b, c , so that $C^+ = \{f, a\}$ and $C^- = \{b, c\}$. Thus after reorienting by A , our circuit C will contain an odd number of plus signs which contradicts the fact that $_{-A}\mathcal{M}'$ is positively oriented. This shows that $\mathcal{M} - e$ is positively oriented for any $e \in E - \Pi$. From this we can deduce some information about possible locations of points from $E - \Pi$ in H .

CLAIM. Suppose that the good pentagon Π has vertices $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}$ as in Figure 11.6. Then every point from $E - \Pi$ belongs to one of the shaded areas (including their boundary) in Figure 11.6.

PROOF OF THE CLAIM. It is easy to see that if $e \in E - \Pi$ belongs to any other area then removing some other element $f \in E - \Pi$ (which exists due to $|E| \geq 7$) from $\mathcal{M}$ would result in a non-positively oriented matroid which contradicts the above discussion. $\square$

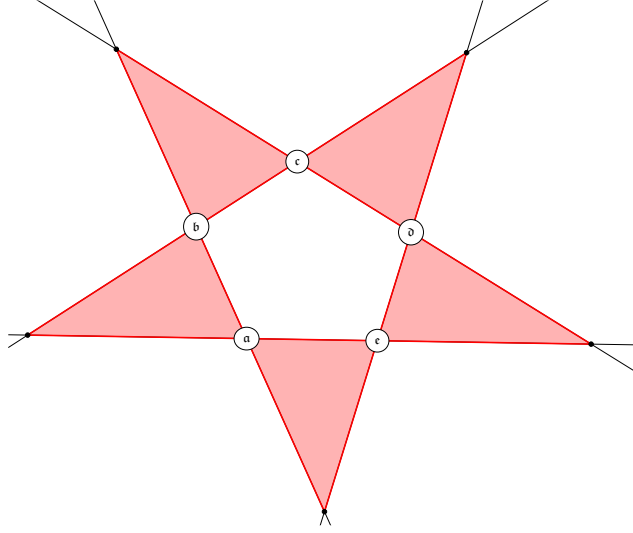


FIGURE 11.6. The areas of H that are allowed to contain elements from $E - \Pi$ are the five (closed) triangles shaded in red.

Before we continue the proof, let us revisit the oriented matroids on six elements (of rank 3). By Lemma 11.2, we know exactly which of them are pure: the ones in Figure 11.1 are pure and the ones in Figure 11.2 are not. An important observation is that for every oriented matroid $\mathcal{M}$ in Figure 11.2, there is a rank-preserving weak map $\mathcal{M} \rightsquigarrow \text{IC}(6, 3, 13)$. The conclusion is that *an oriented matroid $\mathcal{M}$ of rank 3 with six elements is pure if and only if there is no rank-preserving weak map $\mathcal{M} \rightsquigarrow \text{IC}(6, 3, 13)$* . This illustrates the power of Conjecture 5.9 in the rank 3 case.

CLAIM. Let $e, f \in E - \Pi$ be any two distinct points.

- (a) If e and f belong to the same shaded area in Figure 11.6 then the line through them does not intersect the interior of the pentagon $\mathbf{abcde}$.
- (b) If they belong to different shaded areas then the segment $[e, f]$ intersects the closure of the pentagon $\mathbf{abcde}$.

PROOF OF THE CLAIM. For two points X and Y , by (XY) we denote the line that passes through them and by $[X, Y]$ denote the line segment that connects them.

First, if one of (a) or (b) is violated then one can easily check that the restriction of $\mathcal{M}$ to $\Pi e f$ is not isomorphic to a positively oriented matroid. Thus we may assume that $E = \Pi e f$.

Let $[a, b]$ be the side of the pentagon adjacent to the shaded area that contains e . We are first going to prove both (a) and (b) when e is not the intersection point of lines (bc) and (ae) (we call this intersection point the *outside vertex* of the shaded area containing e). For example, assume that $e \notin (bc)$. Then $\mathcal{M} - e$ contains a pentagon. Clearly, if one of (a) or (b) is violated then $\mathcal{M} - e$ is not positively oriented. Moreover, the same is true for ${}_f\mathcal{M} - e$, so $\mathcal{M} - e$ cannot be isomorphic to a positively oriented matroid either because any reorientation would be trivial on the pentagon $\Pi e - e$, and thus no matter how we orient f , we do not get a convex polygon. This leads to a contradiction.

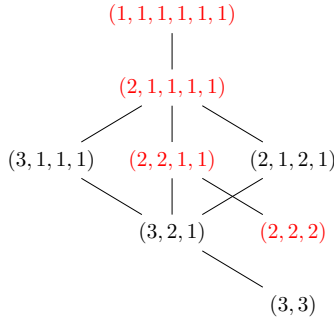


FIGURE 11.8. The 8 simple oriented matroids of rank 4 and corank 2 ordered by weak maps. Four of them (colored red) are not pure, the other four (colored black) are pure.

We are done with the proof of Lemma 11.19 which, as we showed earlier, implies Theorem 11.5. $\square$

11.1. Oriented matroids of rank 4 and corank 2

The smallest case not covered by Theorem 5.3 and Proposition 5.1 is when $\mathcal{M}$ is an oriented matroid with $\text{rank}(\mathcal{M}) = 4$ and $\text{corank}(\mathcal{M}) = 2$. In this case, $\mathcal{M}^*$ is of rank 2. Moreover, $\mathcal{M}$ is simple if and only if $\mathcal{M}^*$ has no coloops and no *coparallel* elements. Each oriented matroid of rank 2 can be reoriented in an acyclic way, and then we can just record it in a composition $\alpha = (\alpha_1, \dots, \alpha_k)$ of 6, where α_i is the size of the i -th parallelism class, and they are ordered according to the way they appear on an affine line. For example, the alternating matroid $C^{6,4}$ corresponds to the composition $(1, 1, 1, 1, 1, 1)$, while the oriented matroid $\vec{K}_{2,3}$ corresponds to the composition $(2, 2, 2)$. There is a rank-preserving weak map between two oriented matroids of rank 2 if and only if their corresponding compositions are refinements of each other, up to a cyclic shift. Having no coloops and coparallel elements translates into $\alpha_i \leq 3$ for all i . The 8 possible compositions that we can get from $\mathcal{M}^*$ are depicted in Figure 11.8, ordered by rank-preserving weak maps. According to our computations, the four oriented matroids labeled by $(1, 1, 1, 1, 1, 1)$, $(2, 1, 1, 1, 1)$, $(2, 2, 1, 1)$, and $(2, 2, 2)$ are not pure, and the other four labeled by $(3, 1, 1, 1)$, $(2, 1, 2, 1)$, $(3, 2, 1)$, and $(3, 3)$ are pure. This further supports Conjecture 5.9.

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