

# The Convex Uncertain Voronoi Diagram for Safe Multi-Robot Multi-Target Tracking Under Localization Uncertainty

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## Abstract

Accurately detecting, localizing, and tracking an unknown and time-varying number of dynamic targets using a team of mobile robots is a challenging problem that requires robots to reason about the uncertainties in their collected measurements. The problem is made more challenging when robots are uncertain about their own states, as this makes it difficult to both collectively localize targets and avoid collisions with one another. In this paper, we introduce the convex uncertain Voronoi (CUV) diagram, a generalization of the standard Voronoi diagram that accounts for the uncertain pose of each individual robot. We then use the CUV diagram to develop distributed multi-target tracking and coverage control algorithms that enable teams of mobile robots to account for bounded uncertainty in the location of each robot. Our algorithms are capable of safely driving mobile robots towards areas of high information distribution while maintaining coverage of the whole area of interest. We demonstrate the efficacy of these algorithms via a series of simulated and hardware tests, and compare the results to our previous work which assumes perfect localization.

**Keywords:** Multi-robot Systems; Multi-target Tracking; Distributed Sensing Networks; Coverage Control; Sensor-based Control

## 1 Introduction

Multi-robot multi-target tracking (MR-MTT) has been studied for decades due to its broad applications to problems in surveillance, security, smart cities, and more. There are two parts to the MR-MTT problem: estimation and control. On the one hand, robots must be able to estimate multiple target states online overtime using noisy sensor measurements. On the other hand, a team of robots must be controlled to simultaneously search for new targets and track existing ones. In this

paper, we link the control to the estimation so that the robots are able to move to acquire the best detection of targets based on the instant estimated target states.

### 1.1 Multi-Target Tracking

Multi-target tracking (MTT) is the problem of simultaneously estimating both the number of objects within an area of interest as well as the state of each individual object. Here the state of an object can consist of its pose, velocity, semantic

label, or any other state of interest. One significant challenge of multiple target tracking (MTT), compared with single-target tracking, is data association, *i.e.*, matching multiple measurements to target tracks.

Many MTT techniques have been introduced over the years, each of which addresses the data association problem in a different manner. Global nearest neighbor (GNN) [1] attempts to find and to propagate the single most likely hypothesis at each time step. Joint probabilistic data association (JPDA) [2] associates the measurements in each time frame with existing targets using a joint probabilistic score. Multiple hypothesis tracking (MHT) [3] associates each measurement with one of the existing tracks, or forms a new track from the measurement. Sequential Monte Carlo (SMC) based multiple target tracking methods, such as particle filtering [4], jointly solve the tracking and data association problems by estimating the posterior distribution using SMC methods. In this paper we use another method, the probability hypothesis density (PHD) filter [5], which requires no explicit data association. The PHD filter recursively propagates the first order moment of target distribution density instead of the full posterior to account for target birth and disappearance, and measurement false alarm. As a result, this is best suited to situations where it is not required for each target to have a unique identity, *e.g.*, a rescue robot only needs to know where all of the people are located but does not need to know the unique identity of each person.

## 1.2 Coverage Control

Once we have an algorithm to effectively estimate the locations of targets, the next problem is how to control a team of robots to simultaneously search for new targets and track existing ones. One common approach is to utilize coverage control, which is the problem of a sensing network moving to acquire an optimal total sensing capability over the entire area of interest [6]. In a dynamic setting, this involves reactively adjusting the distribution of sensors over the mission space as new information is collected. This problem has been widely studied by roboticists in robot surveillance [7], deployment [8], multi-target search and tracking [9, 10], and other contexts. For example, consider a team of drones tasked with tracking the spread

of a forest fire in a mountainous area. Here the team must trade off between remaining in areas with known fires to collect information about the current conditions and maintaining surveillance of the whole area to detect the birth of new fires. While they do this, the robots must simultaneously account for the uncertainty in their positions to properly track the fire and to maintain a safe distance between robots at all times to avoid collisions.

Both centralized and distributed methods have been considered to solve such problems. A number of authors have studied coverage control strategies. Hussein et al. [11] proposed a centralized cooperative coverage control strategy with guaranteed collision avoidance to achieve a desired effective coverage level of each point in the search domain. While events happening at each point may be detected with some level of confidence, they assume that the probability density of events happening is known a priori instead of being detected online by sensors. Distributed algorithms often scale better to large networks and over large geographic regions than centralized approaches, leading to a rising amount of research interest. Others have proposed gradient-based distributed coverage control schemes to maximize the probability of detecting randomly occurring events in a mission space using a team of mobile sensors [8, 12]. However there is no guarantee of collision avoidance since sensor dimension were not taken into consideration.

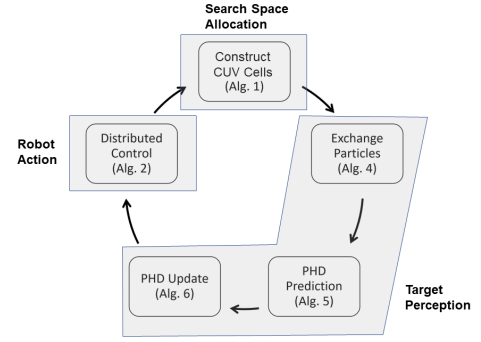
Voronoi-based methods [13] are among the most popular choices to solve distributed coverage control problems in recent years. Lloyd's algorithm iteratively drives each sensor in a convex environment towards the weighted centroid of its local Voronoi cell where the sensor detection probability is optimal [6, 14]. Collision avoidance is guaranteed for point sensors since cells never overlap, and each sensor only moves in its own cell. This can be extended to sensors with finite size using buffered Voronoi cells, which shrink each cell to ensure collision avoidance [15]. Heterogeneity of agents can be taken into account through variants of Voronoi diagrams such as the weighted Voronoi diagram [16]. In this paper, we assume that each robot is able to communicate with all neighbors, though coverage control problem with limited communication ranges is addressed

in recent works [17–19]. By encoding the information distribution, which is a time-varying density function, as the importance weighting function in Lloyd’s algorithm, sensors are able to reach their optimized location for detection. One example of an information density function could be the probability density function of target positions the sensors aimed at tracking over the area of interest. Schwager et al. [20] extended Lloyd’s algorithm and derived a control law enabling sensors to approximate the information density function from measurements while maintaining or seeking a near-optimal sensing configuration. Schwager et al. [21] later proposed a controller using an adaptive control architecture for sensors to learn a parameterized model of that measured distribution in the environment. Dames [9] used the probability hypothesis density (PHD) as the weighting function in Lloyd’s algorithm to guide a team of sensors towards areas of high target density detected by on board sensors.

All of the above-mentioned coverage control strategies assume that the locations of the mobile sensors are perfectly known. This is a strong assumption which is not true in practice. To account for uncertainty in the positions of points, researchers have recently proposed the uncertain Voronoi (UV) diagram, or fuzzy Voronoi diagram, an extended Voronoi partitioning strategy that divides uncertain spatial databases by using a Gaussian distribution to model the uncertainty [22–24]. However, none of these works have been applied to the task of collision avoidance or decentralized control. Most recently, two variants of the buffered Voronoi diagram were proposed for multi-agent collision avoidance with localization uncertainty, the buffered uncertainty-aware Voronoi cell (B-UAVC) [25] and the probabilistic buffered Voronoi cell (PBVC) [26]. Neither of these methods makes any guarantees for coverage during a search task.

### 1.3 Contributions

This paper presents the first end-to-end solution for multi-robot target search and tracking that accounts for uncertainty in the localization of each robot. To accomplish this we create the convex uncertain Voronoi (CUV) diagram, a new decomposition of the environment that serves as



**Fig. 1** Diagram of actions robots take recursively for distributed estimation and tracking.

the basis for our distributed estimation and control algorithms and allows us to guarantee both collision avoidance and full coverage of the environment. Figure 1 outlines our overall strategy and shows how the algorithms we present in the remainder of the paper interconnect.

The work presented in this paper builds upon two previous conference papers [27, 28]. In [27], we introduced the concept of the CUV diagram and used it to develop a collision avoidance algorithm during MR-MTT (we summarize this work in Section 3). We validated these ideas through a series of simulated experiments (summarized in Section 5.1). In [28], we use the CUV diagram to create a new formulation of the distributed PHD filter [9], which originally assumed perfect knowledge of robot pose (summarized in Section 4). We validated the performance of this new distributed PHD filter through a series of simulated experiments (summarized in Section 5.2). In this submission we have added new comparisons against our original algorithm [9] to the results in Sections 5.1 and 5.2.

In addition to summarizing our previous work, this paper presents new hardware experiments to validate the efficacy of our distributed MR-MTT solution. In these tests the robots navigate without a global navigation satellite system (GNSS), *i.e.*, in a GNSS-denied environment, and rely only on onboard sensors for localization, which introduces non-negligible localization errors. This differs from most recent distributed multi-robot hardware tests [16, 17, 20, 25, 29–34], which rely on external positioning systems, such as motion capture systems and GNSS, to provide global information about robot poses. Additionally, we

**Table 1** List of Important Variables

Variables	Description
$E$	Task Environment
$x$	Location in Environment
$Y$	Target Set
$y$	Target
$m$	Number of Targets
$R$	Robot Set
$r$	Robot
$n$	Number of Robots
$Q$	Set of True Robot Poses
$q$	True Robot Pose
$\hat{Q}$	Set of Estimated Robot Poses
$\hat{q}$	Estimated Robot Pose
$q^*$	Robot Temporary Goal
$\mathcal{N}$	Set of Neighbors
$Z_r$	Measurement Set of Robot $r$
$\mathcal{W}$	Set of Environment Partitions
$W$	Environment Partition
$V$	Voronoi Cell
$U$	UV Cell
$C$	CUV Cell

develop a fully distributed communication strategy based on Robot Operating System (ROS) [35] that allows the team to cooperatively handle data exchange and decision-making. This also differs from the hardware tests in the literature, which mostly rely on a central station (*e.g.*, desktop) to handle local communication between robots. These two modifications close the gap between theory and real-world applications by allowing robots to operate without any external hardware. Our proof-of-concept experiments demonstrate that our MR-MTT solution can be used for real-world applications. A list of important variables in this paper is summarized in Table 1.

## 2 Background

We assume a task environment  $E \subset \mathbb{R}^2$  is convex and is composed of a infinite set of locations  $\{x \mid x \in E\}$ . There is set of  $m$  targets, denoted  $Y = \{y_1, \dots, y_m\}$  in  $E$  (*i.e.*,  $y \in E$ ,  $\forall y \in Y$ ). This target set encodes both the number of targets (*i.e.*, the cardinality of the set  $|Y|$ ) and the state of each target (*i.e.*, the elements  $y_i$  of the set). Note that  $Y$  is completely unknown to the robots, so they do not even know the true number of targets within the environment.

A team of  $n$  robots  $R = \{r_1, \dots, r_n\}$  explores  $E$  in search of these targets. Each robot is equipped with sensors such that it can localize itself (with bounded uncertainty) with respect

to a shared global reference frame. Let  $Q = \{q_1, \dots, q_n\} \subset \mathbb{R}^2$  and  $\hat{Q} = \{\hat{q}_1, \dots, \hat{q}_n\} \subset \mathbb{R}^2$  denote the true and estimated poses of robots at each time step, respectively.<sup>1</sup> The dynamics of each robot are modeled by the first order equation  $\dot{q}_i = u_i$ , where  $u_i$  is the control input. As robot  $r$  moves, at each time step it receives a set of measurements  $Z_r = \{z_{r1}, z_{r2}, \dots\}$  of a subset of the targets within its limited-ranged field of view (FoV). Examples of  $z_r$  include the bearing angles, and both the bearing and the range information of detected targets in  $r$ 's local frame. Note that the size of the measurement set  $Z_r$  varies over time due to false positive and false negative detections and due to the motion of both targets and robots causing targets to enter and leave the sensor field of view (FoV). These measurements are in the robots' local reference frames and are used to track the targets. Our approach to coverage control will use the current estimate of the target set to create a time-varying information density function  $\phi(x)$ , which indicates the information content at each point  $x \in E$  [9].

### 2.1 Lloyd's Algorithm

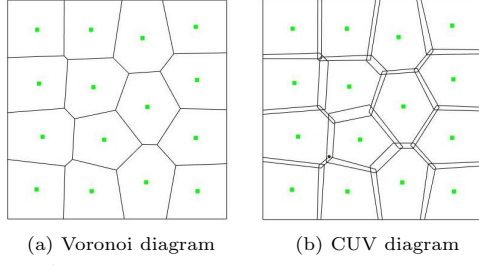
From the work of [6], at each time step, the team attempts to minimize the following functional:

$$\begin{aligned} \mathcal{H}(Q, \mathcal{W}) &= \int_E \min_i f(\|x - q_i\|) \phi(x) dx \\ &= \sum_{i=1}^n \int_{\mathcal{W}_i} f(\|x - q_i\|) \phi(x) dx, \end{aligned} \quad (1)$$

where  $\|x - q_i\|$  denotes the Euclidean distance between a point  $x \in E$  and the location  $q_i$  of robot  $r_i$ ,  $f(\cdot)$  is a monotonically increasing function (which quantify the degradation of a sensor's ability to measure events with increasing distance),  $\phi(x) \geq 0$  denotes the importance of each point  $x$ , and  $\mathcal{W} = \{W_1, \dots, W_n\} \subset \mathbb{R}^2$  is a partition of  $E$ , meaning that  $\cup_i W_i = E$  and  $\text{int}(W_i) \cap \text{int}(W_j) = \emptyset, \forall i \neq j$  (where  $\text{int}(W)$  denotes the interior of region  $W$ ). The region  $W_i$  is sometimes called the dominance region of robot  $r_i$ , *e.g.*, the region that robot  $r_i$  is responsible for.

Minimizing  $\mathcal{H}$  with respect to  $\mathcal{W}$  induces the partition  $V_i = \{x \mid i = \arg \min_{k=1, \dots, n} \|x - q_k\|\}$ .

<sup>1</sup>We use robot poses in  $\mathbb{R}^2$ , but they can also be in  $SE(2)$ .



**Fig. 2** A Voronoi diagram and a CUV diagram with 15 cells. Green markers are estimated sensor locations. Note that CUV cells are a superset of the original Voronoi cells and that CUV cells overlap with one another.

This is the Voronoi partition, as Fig. 2a shows, and these  $V_i$  are the Voronoi cells, which are convex by construction and contain the set of points that are closest to robot  $i$ .

Minimizing  $\mathcal{H}$  with respect to  $Q$  leads each sensor to the weighted centroid of its Voronoi cell [6], that is

$$q_i^* = \frac{\int_{V_i} x \phi(x) dx}{\int_{V_i} \phi(x) dx}, \quad (2)$$

Robots then follow the control input

$$u_i = -k_{\text{prop}}(q_i - q_i^*), \quad (3)$$

where  $k_{\text{prop}} > 0$  is a positive gain. Following this control input will cause the team to asymptotically reach a local minimum of (1), with each robot stopping at the weighted centroid of its Voronoi cell. This process is known as Lloyd's algorithm. Like in our previous work [9], we set  $\phi(x)$  to be the PHD  $v(x)$  (see Section 2.4), which is an online estimate of the density of targets in the search space. This encourages robots to move towards areas that are likely to contain targets, allowing us to repurpose the coverage controller into a search and tracking controller.

## 2.2 Localization Uncertainty Regions

We assume that each robot  $r_i$  knows its state with bounded uncertainty. However, this is rarely true in practice. Instead, robots typically track their state using a recursive Bayesian filter, such as a Kalman filter. In this case, each robot knows its estimated position  $\hat{q}_i$  and the associated covariance matrix  $\Sigma_i$ . We find the eigendecomposition

of  $\Sigma_i$ :

$$\Sigma_i = P \Lambda P^{-1}, \quad (4)$$

where  $P$  is an orthonormal  $2 \times 2$  matrix and  $\Lambda = \text{diag}(\lambda_1, \lambda_2)$  is a diagonal matrix of eigenvalues. We define the localization uncertainty region of robot  $r_i$  to be  $B_i = B(\hat{q}_i, \rho_i)$ , which is a ball centered at  $\hat{q}_i$  with radius

$$\rho_i = c \max_j \lambda_j \quad (5)$$

where  $c$  is a positive constant. While level sets of Gaussians are typically elliptical, we utilize a spherical uncertainty region because they are necessary to yield analytic expressions for the dividing lines between CUV cells (Section 2.3).

The probability of robot  $r_i$  being located within this region is then

$$p(q_i \in B_i) = \int_{B_i} \frac{\exp\left\{-\frac{1}{2}(x - \hat{q}_i)^T \Sigma_i^{-1}(x - \hat{q}_i)\right\}}{2\pi \det(\Sigma_i)^{\frac{1}{2}}} dx, \quad (6)$$

We use  $c = 3$  so that the region covers at least 99.73% (minimum achieved when  $\lambda_1 = \lambda_2$ ) of all possible locations of  $r_i$ , though any other level set of the covariance matrix could be used to guarantee a desired level of confidence. This same approach can also be used with other non-Gaussian distributions so long as one can define a bounded, circular region which, as we will see in Section 2.3, is required to efficiently construct the CUV diagram.

## 2.3 Uncertain Voronoi Diagram

Xie et al. [22] defined the uncertain Voronoi (UV) diagram and proposed a centralized method to construct the UV diagram over a convex region. In this paper, we define a UV cell in a similar way as follows:

**Definition 1** (UV Cells) *The UV cell of a robot  $r_i$  is  $U_i \triangleq \{x \mid p(i = \arg \min_{k=1, \dots, n} \|x - q_k\|) > 0\}$ , the collection of points in  $E$  such that  $r_i$  has a nonzero probability to be the nearest sensor to each point  $x \in U_i$ .*

A UV cell  $U_i$  contains all possible Voronoi cells generated from all possible combinations of the



positions of robot  $r_i$  and each of its neighbors. Therefore, by assigning each robot to be responsible for all information in its UV cell, the coverage of the whole environment is guaranteed even with the localization uncertainty of robots. In other words, no matter where each robot is actually located within an uncertainty region  $B_i$ , the union of all of the UV cells will be equal to the entire environment,  $\cup_i U_i = E$ .

Let  $\text{dist}_{\max}(\hat{q}_i, x)$  and  $\text{dist}_{\min}(\hat{q}_i, x)$  denote the distances from a point  $x$  to the farthest or nearest points within robot  $r_i$ 's bounded uncertainty region  $B_i$ , respectively. Using these distances, one can construct the boundaries for the UV cell of robot  $r_i$  with respect to robot  $j$  ( $j \neq i$ ) as:

$$\text{dist}_{\max}(\hat{q}_i, x) = \text{dist}_{\min}(\hat{q}_j, x). \quad (7)$$

These dividing lines, denoted by  $E_i(j)$ , are called the UV-edges of  $r_i$  with respect to  $r_j$ . For circular uncertainty regions,  $E_i(j)$  take the form of a hyperbola [22], as Fig. 3 shows. Without loss of generality, for a point  $x = (x_1, x_2) \in E$ , let the center of the hyperbola be at the midpoint of the line segment connecting  $\hat{q}_i$  to  $\hat{q}_j$  and that this line segment is parallel with the  $x_1$  axis. The hyperbola is then given by

$$\frac{x_1^2}{a^2} - \frac{x_2^2}{b^2} = 1 \quad (8)$$

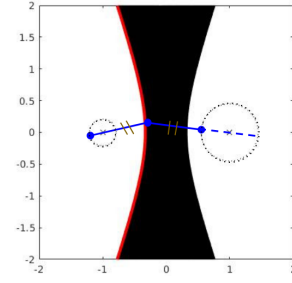
where

$$a = \frac{\rho_i + \rho_j}{2} \quad c = \frac{\|\hat{q}_i - \hat{q}_j\|}{2} \quad b = \sqrt{c^2 - a^2} \quad (9)$$

where  $\rho_i, \rho_j$  are from (5) and  $\hat{q}_i, \hat{q}_j$  are the estimated locations of robots  $i$  and  $j$ . Note that in this coordinate frame the sensors are located at the foci of the hyperbola.

## 2.4 PHD Filter

The target and measurement sets,  $Y$  and  $Z$ , from above contain a random number of random elements, and thus are realization of random finite sets (RFSs) [36]. The first order moment of a distribution over RFSs is known as the *Probability Hypothesis Density* (PHD), denoted  $v(x)$ , which takes the form of a density function over the state space of a single target or measurement. The



**Fig. 3** Figure shows the UV edge  $E_{\text{right}}$  (left) of robot  $r_{\text{right}}$  with respect to robot  $r_{\text{left}}$  (red curve). The X's at  $(1, 0)$  and  $(-1, 0)$  are the estimated locations of  $r_{\text{right}}$  and  $r_{\text{left}}$ , respectively. Dashed circles represent the localization uncertainty regions of the robots. The black area contains all of the points whose nearest robot is uncertain. The UV edge  $E_{\text{right}}$  (left) is a collection of points whose shortest distance to the right circle is equal to the longest distance to the left circle, indicated by blue line segments.

PHD filter recursively updates this target density function in order to estimate the target set [5].

The PHD filter uses three models to describe the motion of the targets: 1) The motion model,  $f(x | \xi)$ , describes the likelihood of an individual target transitioning from an initial state  $\xi$  to a new state  $x$ . 2) The survival probability model,  $p_s(x)$ , describes the likelihood that a target with state  $x$  will continue to exist from one time step to the next. 3) The birth PHD,  $b(x)$ , encodes both the number and locations of the new targets that may appear in the environment.

The PHD filter also uses three models to describe the ability of robots to detect targets: 1) The detection model,  $p_d(x | q)$ , gives the probability of a robot with state  $q$  successfully detecting a target with state  $x$ . Note that the probability of detection is identically zero for all  $x$  outside the sensor FoV. 2) The measurement model,  $g(z | x, q)$ , gives the likelihood of a robot with state  $q$  receiving a measurement  $z$  from a target with state  $x$ . 3) The false positive (*i.e.*, clutter) PHD,  $c(z | q)$ , describes both the number and locations of the clutter measurements in the measurement space.<sup>2</sup> See our previous works [37, 38] for concrete examples of these sensor models and how one can experimentally derive the models from data.

<sup>2</sup>The integral  $\int c(z | q) dz$  gives the expected number of false positive measurements (*i.e.*, measurements not generated by true targets) in each measurement set  $Z_r$  and the values of  $c(z | q)$  give the relative likelihood of a measurement  $z$  being a false positive measurement.

Using these target and sensor models, the PHD filter prediction and update equations are:

$$\bar{v}^t(x) = b(x) + \int_E f(x | \xi) p_s(\xi) v^{t-1}(\xi) d\xi \quad (10a)$$

$$v^t(x) = (1 - p_d(x | q)) \bar{v}^t(x) + \sum_{z \in Z_t} \frac{\psi_{z,q}(x) \bar{v}^t(x)}{\eta_z(\bar{v}^t)} \quad (10b)$$

$$\eta_z(v) = c(z | q) + \int_E \psi_{z,q}(x) v(x) dx \quad (10c)$$

$$\psi_{z,q}(x) = g(z | x, q) p_d(x | q), \quad (10d)$$

where  $\psi_{z,q}(x)$  is the probability of a sensor at  $q$  receiving measurement  $z$  from a target with state  $x$ . Eq. (10a) predicts the multi-target state using the targets models, and the predicted state is then updated with sensor measurements by Eq. (10b) using the sensor models. In this work we represent the PHD using a set of weighted particles [39].

### 3 Distributed Control with Localization Uncertainty

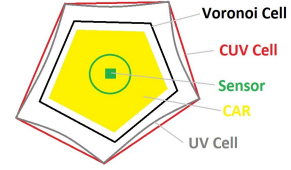
In this section, we introduce three distributed algorithms to construct the convex uncertain Voronoi (CUV) diagram over the mission space and use this to ensure collision avoidance as well as perform coverage control. These algorithms all account for uncertainty in the locations of robots and their combination iteratively drive each robot to the weighted centroid of its CUV cell while guaranteeing safety and avoiding “deadlock,” the phenomenon where robots block each other from moving to their respective goals.

#### 3.1 The CUV Diagram and Its Construction

A CUV diagram, shown Fig. 2b, is composed of the collection of CUV cells of all robots.

**Definition 2** (CUV cell) *The convex uncertain Voronoi (CUV) cell  $C_i$  of robot  $r_i$  is the convex hull of its UV cell  $U_i$ .*

To construct the CUV diagram, a robot must know the locations of all of its CUV neighbors.



**Fig. 4** Figure showing a robot’s estimated location (green square) along with its localization uncertainty region (green circle), Voronoi cell, uncertain Voronoi (UV) cell (Definition 1), convex UV (CUV) cell (Definition 2), and collision avoidance region (CAR) (Definition 4).

**Definition 3** (CUV neighbors) *The CUV neighbor set for robot  $r_i$  is  $\mathcal{N}_i \triangleq \{j | j \neq i, E_i(j) \in \partial U_i\}$ , where  $\partial U_i$  is the boundary of the UV cell  $U_i$ .*

**Proposition 1** *The CUV neighbors and the Voronoi neighbors of a robot are identical.*

*Proof* Since  $U_i$  is the union of all possible Voronoi cells of  $r_i$ , UV edges  $E_i(j)$  and  $E_j(i)$  are contours of the union of all possible Voronoi edges between  $r_i$  and  $r_j$ . Thus, the UV neighbors and the Voronoi neighbors of a robot are identical. Since the CUV cells are convex hulls of the UV cells, the CUV neighbors and the Voronoi neighbors of a robot are also identical.  $\square$

We assume that each robot is able to communicate with all its CUV neighbors, a standard assumption in distributed multi-agent control algorithms [9], in order to exchange estimated locations and uncertainty region radii. Using this information, each robot can use Algorithm 1 to construct its CUV cell using only local information. The basic idea for a robot  $r_i$  is to sequentially divide the original mission space using the UV edges  $E_i(j)$  and discard the portion not containing  $r_i$  after each division. Finally, the robot constructs the CUV cell by computing the convex hull of the remaining area.

#### 3.2 Collision Avoidance

By construction, Voronoi cells have disjoint interiors and are convex. Thus, if point robots have perfect knowledge of their locations and never move outside their responding cell, it is naturally guaranteed that they move without collision (*e.g.*, being at the same location). However, this is not the case for CUV-based control with localization uncertainty. In fact, CUV cells always overlap with their neighbors as long as the uncertainty region

**Algorithm 1** Distributed Construction of CUV Cells

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1: parfor Each robot  $r_i$  do
2:   Get estimated location  $\hat{q}_i$ 
3:   Find the neighbor set  $\mathcal{N}_i$ 
4:   Initialize  $A_i = A$ 
5:   for  $r_j$  in  $\mathcal{N}_i$  do
6:     Receive  $\hat{q}_j$  and  $b_j$  from  $r_j$ 
7:     Compute UV edge  $E_i(j)$  using (8)
8:      $A_i \leftarrow \{x \in A_i \mid x, \hat{q}_i \text{ on the same side of } E_i(j)\}$ 
9:   end for
10:   $C_i \leftarrow \text{convex hull}(A_i)$ 
11: end parfor

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for any robot is non-empty. Thus, we want each robot to perform motion only within a region that ensures no collisions with other robots, which we call a collision avoidance region (CAR) (see Fig. 4 for an example).

**Definition 4** (CAR) *The collision avoidance region (CAR) for robot  $r_i$  is  $M_i \triangleq \{x \mid x \in V_i, d(x, \partial V_i) \geq b_i + b_{\text{buffer}}\}$ , where  $V_i$  is the Voronoi cell  $V_i$  constructed using the estimated positions of  $r_i$  and each neighbor in  $\mathcal{N}_i$  and  $b_{\text{buffer}}$  is a small buffered distance.*

Note that  $b_{\text{buffer}}$  can be used to account for effects such as the size of mobile robots, a stopping distance for robots with higher-order dynamics, or the maximum distance a robot can traverse in-between location updates. Also,  $M_i$  exists if and only if all CUV neighbors are initially outside of  $r_i$ 's localization uncertainty region  $B_i$ .

**Proposition 2** (CAR safety) *Each robot  $r_i$  may go anywhere within its CAR and be guaranteed to avoid collisions with all other robots with any desired probability  $p$ .*

*Proof* From (6) we can construct a localization uncertainty region for robot  $r_i$  that meets the desired probability threshold  $p$  by selecting an appropriate radius  $b_i$ , i.e.,  $p(\text{dist}(q_i, \hat{q}_i) \leq b_i) \geq p$ . Therefore, Definition 4 guarantees that  $q_i \in M_i$  with probability  $p$ . Since  $M_i$  has disjoint interiors with its neighbors, it is guaranteed that  $r_i$  will not collide with any neighbors with probability  $p$ .  $\square$

**Algorithm 2** Distributed Coverage Control

---

```

1: parfor each robot  $r_i$  do
2:   Compute Voronoi cell  $V_i$ 
3:   Compute CAR  $M_i$  using  $V_i, b_i, b_{\text{buffer}}$ 
4:   Compute CUV cell  $C_i$  using Algorithm 1
5:   Find weighted centroid  $c$  of  $C_i$ 
6:   Find goal  $q_i^* = \arg \min_{x \in M_i} \|x - c\|$ 
7:   if  $c$  in the interior of  $M_i$  then
8:     Move towards  $q_i^*$ 
9:   else
10:    Deal with deadlock using Algorithm 8
11:   end if
12: end parfor

```

---

Figure 4 shows a schematic diagram of Voronoi, UV, and CUV cells for a robot. See Appendix A for our deadlock avoidance strategy based on the CAR.

### 3.3 Distributed Coverage Control

As discussed in Section 2.3, minimizing the cost functional  $\mathcal{H}$  with respect to the robot dominance regions  $\mathcal{W}$  yields Voronoi cells  $V_i$  for  $i = 1, \dots, n$  when the robot locations are known. However, in our setting, this final condition is no longer true. Instead, we will utilize the CUV cells  $C_i$  as the dominance regions  $W_i$ . By construction, the UV cells  $U_i$  are the smallest dominance region that ensures that each location in  $E$  is within at least one robot dominance region. However, the UV cells are not convex so the weighted centroid may be outside of the cell boundaries. Thus, we choose to use the CUV cells as these are the smallest convex regions containing the UV cells. Additionally, it is more computationally efficient to work with convex polygons rather than regions defined by the intersection of conic sections.

To achieve distributed coverage control, the mobile robots run Algorithm 2. Each robot iteratively finds the weighted centroid in its CUV cell and attempts to reach it. If the centroid is outside of its CAR, the robot goes to the point in its CAR that is closest to the centroid. If a robot reaches the boundary of its CAR, then it runs Algorithm 8 to avoid deadlock.

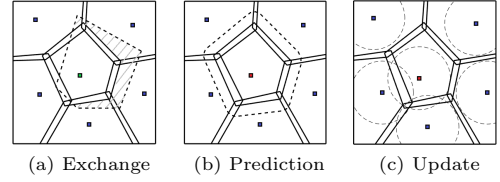


### 3.4 Discussion

As we note in Section 2.2, we assume the uncertainty regions are spherical. If the actual covariance in a robot's pose is highly eccentric, as might occur in a hallway where there is significantly more uncertainty along the axis of the hall, the approximation (5) will yield a very conservative estimate of the uncertainty region. This will have several practical consequences. First, it will produce a smaller CAR for the robot, constraining its movement relative to computing a CAR using the true covariance ellipse. Second, it will produce a larger CUV for neighboring robots, potentially resulting in an uneven distribution of labor across the team. However, we believe the speed up in computational time from having analytic expressions (compared to, for example, the sampling based methods in the B-UAVC [25] and PBVC [26]) is significant enough to be worth the conservative behavior.

## 4 Distributed Estimation with Localization Uncertainty

All the above simulations assumed robots have a priori knowledge of where the important areas are within the environment, an unrealistic assumption. To address this, we develop a distributed tracking algorithm that allows the robots to discover and recursively update the important areas during exploration. The key to our approach is to distribute the storage and maintenance of the PHD across individual agents in a way that is guaranteed to match the results of a centralized PHD filter. This distributed storage system requires each robot to exchange information with its neighbors in order to dynamically update its dominance region and the PHD information in that region. We previously proposed three algorithms for distributed PHD particle exchange, prediction, and update steps respectively, using the Voronoi cell as the dominance region of each robot [9]. When all robots are able to perfectly localize themselves the dominance regions form a perfect partition (*i.e.*, full coverage of the environment and no overlap between regions). While the CUV diagram guarantees full coverage of the environment, it does this by creating overlapping cells [27]. This greatly increases the difficulty in maintaining the distributed PHD representation. Thus,



**Fig. 5** Figures showing an example of the main robot (central square) and its neighbors (blue square) exploring a rectangular environment. The solid lines show the current CUV cell of each robot. Figure 5a shows the particle exchange process. The dashed lines show the new CUV cell of the main robot in the next time step. Figure 5b shows the PHD prediction step. The dashed lines show the expanded CUV cell of the main robot, containing all possible locations that a target starting in the CUV cell of the main robot may end up. Figure 5c shows the PHD update step. The dashed lines show the sensor FoV of each robot.

we propose a novel distributed MTT method that leverages the PHD filter and the CUV diagram. We implement this using four algorithms, which operate in discrete time with a constant time interval.

At each discrete time step, each robot must first run the particle exchange (Section 4.2) algorithm to update its CUV cell and ownership of particles. In order to recursively estimate the target state, each robot should then run the PHD prediction (Section 4.3) and update (Section 4.4) algorithms in each discrete time step. All three of these algorithms use the exchange set algorithm (Section 4.1) as a subroutine to determine the set of neighbors each robot must exchange data with. Figure 5 outlines this process.

### 4.1 Exchange Set

Our approach to distributed estimation requires each robot to exchange information with its neighbors in multiple contexts. To capture this range in behavior we define the exchange set of a robot as follows:

**Definition 5** (Exchange Set) *Let robot  $r$  be inside of some convex region  $S$ . Its exchange set with respect to  $S$  is  $\mathcal{E}_r(S) \triangleq \{i = 1, \dots, n \mid S \cap C_i \neq \emptyset\}$ , where  $n$  is the number of robots in the team.*

An example of a convex region  $S$  is the CUV cell  $C_r$ , in which case  $\mathcal{E}_r(S)$  is equivalent to the

**Algorithm 3** Find Exchange Set

---

```

1: function FINDEXGSET( $id, \mathcal{E}_r(S), S$ )
2:   Find CUV neighbor set  $\mathcal{N}(id)$ 
3:   for  $i \in \mathcal{N}(id)$  do
4:     Send  $S$  to  $i$ 
5:      $i$  compares its CUV cell  $C_i$  with  $S$ 
6:     if  $C_i \cap S \neq \emptyset \wedge i \notin \mathcal{E}_r(S)$  then
7:        $\mathcal{E}_r(S) \leftarrow \{\mathcal{E}_r(S), i\}$ 
8:        $\mathcal{E}_r(S) \leftarrow \text{FINDEXGSET}(i, \mathcal{E}_r(S), C_i)$ 
9:     end if
10:  end for
11:  return  $\mathcal{E}_r(S)$ 
12: end function

```

---

CUV neighbor set of  $r$ , as defined in [27, Definition 3]. Note that the CUV neighbor set and the Voronoi neighbor set of a robot are identical.

We assume that each robot is capable of communicating with each member of its Voronoi neighbor set for both Voronoi diagram initialization and maintenance [40, 41]. As was noted in [21], this requirement cannot be translated into a communication range constraint. Some authors have recently proposed solutions to the case with limited communication range by using multi-hop communication [42–44]. We also assume that communication is perfect, meaning there is no signal loss or delay. While this is not realistic, it is beyond the scope of this paper to address the problem.

We introduce Algorithm 3, which enables each robot to find its exchange set in a completely distributed manner. A robot  $r$  first finds all of its CUV neighbors  $i \in \mathcal{N}(r)$  and compares their CUV cells individually with  $S$ . Neighbors who meets the condition that  $C_i \cap S \neq \emptyset$  are added to  $\mathcal{E}_r(S)$ . Then each neighbor  $i$  recursively checks if any robots in its neighborhood  $\mathcal{N}(i)$  meet the condition until no more robots do, skipping any robots that have already been added to the exchange set.

**Theorem 1** *Algorithm 3 is guaranteed to find the full exchange set  $\mathcal{E}_r(S)$  for robot  $r$ .*

*Proof* Assume that there is some robot  $i (\neq r)$  such that  $C_i \cap S \neq \emptyset$  and  $i \notin \mathcal{E}_r(S)$ . That is, Algorithm 3 terminates before checking robot  $i$ . This means that  $i \notin \mathcal{N}(r)$  and that for all robots  $j \in \mathcal{N}(i)$  we have  $C_j \cap S = \emptyset$  so that  $C_i \cap S = \emptyset$ . This is a contradiction, therefore all robots  $i \notin \mathcal{E}_r(S)$  must be in  $\mathcal{E}_r(S)$ .  $\square$

**Algorithm 4** Particle Exchange

---

```

1: Share  $(\ell_r, \hat{q}_r^t)$  with robots in  $\mathcal{N}(r)$ 
2: Compute CUV cell,  $C_r^t$ 
3:  $\mathcal{E}_r(C_r^t) = \text{FINDEXGSET}(r, \{r\}, C_r^t)$ 
4: Initialize  $T = C_r^t \setminus C_r^{t-1}$ 
5: for  $i \in \mathcal{E}_r(C_r^t)$  do
6:    $r$  send  $T$  with  $i$ 
7:    $i$  computes  $\Delta C_{r,i} = C_i^{t-1} \cap T$ 
8:    $i$  sends polygon  $\Delta C_{r,i}$  and particles in  $\Delta C_{r,i}$  to  $r$ 
9:    $r$  updates  $T \leftarrow T \setminus \Delta C_{r,i}$ 
10: end for

```

---

## 4.2 Particle Exchange

As each robot moves, so to do the boundaries of its CUV cell. Since these CUV cells are used to distribute the PHD storage, robots must exchange data every time a cell changes shape. Algorithm 4 outlines this process of transferring ownership of particles between robots. Each robot  $r$  first computes its new CUV cell by finding its neighbor set. This requires  $r$  to share the radius and center of its localization uncertainty region,  $\ell_r$  and  $\hat{q}_r^t$  respectively, with all its neighbors. Then  $r$  determines all other robots that it must exchange particle with by finding the exchange set  $\mathcal{E}_r(C_r^t)$ , using Algorithm 3. Next, robot  $r$  must keep track of all of the area from which it has yet to receive information ( $T$ ) so as not to double count regions shared by more than 2 robots. The shaded area of Fig. 5a shows the initial region  $T$ . Finally, it exchanges data with all of the members of its exchange set.

## 4.3 PHD Prediction

The PHD prediction step propagates the target distribution forward in time. This process includes the appearance of new targets and the disappearance and movement of existing targets. We assume that targets are homogeneous, *i.e.*, sharing identical models. However, we could use the semantic PHD (SPHD) filter [38], a modified version of the PHD filter, to incorporate different motion models for different types of targets. In order to account for the motion of targets from one CUV cell to another, we need to run the prediction over an area that is larger than the CUV cell. The expanded cell of robot  $r$  should include the starting locations of all the possible targets that may enter into  $C_r$  in the next time step.

---

**Algorithm 5** Distributed PHD Prediction Step for Robot  $r$ 


---

```

1: Compute expanded CUV cell,  $\tilde{C}_r^t$ 
2:  $\mathcal{E}_r(\tilde{C}_r^t) = \text{FINDEXGSET}(r, \{r\}, \tilde{C}_r^t)$ 
3: Initialize expanded area  $T = \tilde{C}_r^t \setminus C_r^t$ 
4: for  $i \in \mathcal{E}_r(\tilde{C}_r^t)$  do
5:    $r$  sends  $T$  to  $i$ 
6:    $i$  computes  $\Delta\tilde{C}_{r,i} = C_i^{t-1} \cap T$ 
7:    $i$  sends polygon  $\Delta\tilde{C}_{r,i}$  and particles in  $\Delta\tilde{C}_{r,i}$  to  $r$ 
8:    $r$  updates  $T \leftarrow T \setminus \Delta\tilde{C}_{r,i}$ 
9: end for
10: Send done signal to robots  $i \in \mathcal{E}_r(\tilde{C}_r^t)$ 
11: Wait for all robots  $i \in \mathcal{E}_r(\tilde{C}_r^t)$  to be done receiving
12: Perform PHD prediction in  $\tilde{C}_r^t$  using (10a)
13: Save particles only within  $C_r^t$ 
14: for  $i \in \mathcal{E}_r(\tilde{C}_r^t)$  do
15:    $i$  replace particles in  $\Delta\tilde{C}_{r,i}$  with those sent from  $r$ 
16: end for

```

---

To do this, each robot  $r$  runs Algorithm 5. Robot  $r$  first expands its CUV cell by inflating  $C_r^t$  using the maximum travel distance of a target over the time step to get  $\tilde{C}_r^t$  (line 1). Note that if  $\tilde{C}_r^t$  is non-convex then we take the convex hull and that  $\tilde{C}_r^t = C_r^t$  if targets are static. Then  $r$  finds its exchange set  $\mathcal{E}_r(\tilde{C}_r^t)$ , by running Algorithm 3, and receives particles from robots in  $\mathcal{E}_r(\tilde{C}_r^t)$  to fill the expanded area (lines 2–9). Note that the  $T$  functions as an indicator of the finished area to avoid receiving duplicated particles from areas where 3 or more CUV cells overlap. The robot then runs the PHD prediction (10a) only after all robots in  $\mathcal{E}_r(\tilde{C}_r^t)$  have finished receiving particle (lines 10–13) in order to yield an identical predicted PHD to that of a centralized PHD filter. Finally, lines 14–16 are required to ensure that all robots agree in overlapping regions.

#### 4.4 PHD Update

The PHD update step uses the sensor measurements to correct the prediction from the previous step. As was the case in [9], the PHD update step can be classified into two cases, as Algorithm 6 shows. The first case happens when the field of view of sensor  $r$ ,  $F_r$ , is fully inside its CUV cell. In this case, we may simply apply PHD update

---

**Algorithm 6** Distributed PHD Update Step for Robot  $r$ 

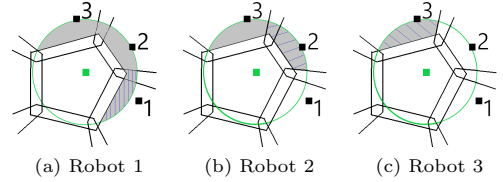

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```

1: if  $F_r \subset \text{int}(C_r^t)$  then
2:   Update PHD using  $Z_r^t$  with (10b)
3: else
4:    $\mathcal{E}_r(F_r) = \text{FINDEXGSET}(r, \{r\}, F_r)$ 
5:   Initialize  $T = F_r \setminus C_r^t$ 
6:   for  $i \in \mathcal{E}_r(F_r)$  do
7:     if  $i = r$  then
8:        $\eta_{z_r}^r = \int_{C_r} \psi_{z_r, q_r}(x) v(x) dx$ 
9:     else
10:       $r$  sends  $Z_r, q_r, T$  to  $i$ 
11:       $i$  computes  $P = C_i \cap T$  and  $\eta_{z_r}^i = \int_P \psi_{z_r, q_r}(x) v(x) dx$ 
12:       $i$  sends  $P, \eta_{z_r}^i$  to  $r$ 
13:       $r$  updates  $T \leftarrow T \setminus P$ 
14:    end if
15:  end for
16:  Compute  $\eta_{z_r} = c(z_r; q) + \sum_{k \in \mathcal{E}_{F_r}(r)} \eta_{z_r}^k$ 
17:  Update PHD using  $Z_r$  with (10b)
18:  Send  $\eta_{z_r}$  to all  $i \in \mathcal{E}_r(F_r)$  who run (10b)
19: end if

```

---



**Fig. 6** Demonstration of PHD update procedure for the case where  $r$ 's sensor FoV exceeds the boundary of its CUV cell. The main robot is the green square in the middle and its field of view,  $F_r$ , is shown by the green circle.

equation (10b) using  $r$ 's measurement set (lines 1–2).

The other case is more complicated as robot  $r$  cannot compute the normalization term (10c) using only local information. First, robot  $r$  must find its exchange set  $\mathcal{E}_r(F_r)$  (line 4) and initialize the un-updated region  $T$  (line 5), which is shown as the gray area in Fig. 6a. Next, robot  $r$  and all of its neighbors in the exchange set compute the partial normalization terms,  $\eta_{z_r}^i, \forall i \in \mathcal{E}_r(F_r)$  (lines 6–14). This process is illustrated in Fig. 6, where the central robot exchanges data with 1, then 2, and then 3. The gray area is  $T$  and the hashed area is  $P$ , which is the area over which the partial normalization term is computed at each step. Once  $r$  has all of the partial normalization

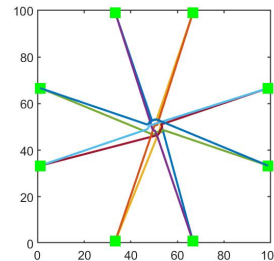
terms it can add them to compute the full term,  $\eta_{z_r}$  from (10c) (line 15). It then sends that term back to each neighbor and all robots can use the full normalization term to run the PHD update equation (10b) within their CUV cell (lines 16–17).

If desired, one can model the phenomenon of robots occluding the observations of one another by using the estimated pose and covariance of each robot within the field of view. To do this, use some sort of numerical integration technique (*e.g.*, Monte Carlo integration) and use ray tracing to determine the shape of visible field for each sampled position of each neighbor to build an updated  $p_d$  that accounts for these occlusions.

As noted by [45], the final result of the multi-sensor PHD filter update depends on the order in which measurements are applied. We proposed one solution to this in [9] by processing updates starting from the lowest ID, *i.e.*, the unique label of a robot, and keeping track of the current robot by using a Boolean activation variable (indicating that that robot is the one currently running its update). Each robot pauses until it becomes the active agent in its neighbor set (*i.e.*, all other robots with lower IDs have already run the update step). The same strategy could be used here.

## 4.5 Discussion

In our previous work [9, Sec. 3] we discuss the bandwidth requirements and complexity for the distributed PHD filter. In summary, the distributed PHD filter requires a constant number of messages to be exchanged between each robot and those in its exchange set  $\mathcal{E}$  and the algorithm has constant complexity in the size of the team. None of the modifications in this work materially affect this analysis as this is a generalization of the previous work. The only practical difference is that the size of each region  $\mathcal{W}$  is larger (*i.e.*,  $\mathcal{W} \supseteq \mathcal{V}$ ), which will slightly increase the number of particles that each robot must maintain as well as send to neighbors during the particle exchange step (Section 4.2).



**Fig. 7** Trajectories of each robot in collision avoidance test. The green markers indicate the initial positions of each robot. Each pair of antipodal robots has a pair of lines with different colors showing the trajectories of each robot.

## 5 Simulations

### 5.1 Distributed Control Simulations

We conduct simulations using MATLAB to validate our proposed control methods from Section 3. The environment is an open 100 m  $\times$  100 m square mission space with no obstacles. The information distribution function is initially the summation of 20 Gaussian probability density functions (PDFs), each of which has a random mean and a covariance matrix of the form  $\sigma_{\text{env}}^2 I$ , where  $I$  is an identity matrix and  $\sigma_{\text{env}} = 3$  m. The mean of each Gaussian PDF performs a Gaussian random walk with maximum velocity 5 m/s, and the means may move out of the environment and re-enter. The covariance matrices are time-invariant.

Robots are regarded as particles, occupying no space. Robot motion is holonomic with a maximum velocity of 5 m/s. Robots localize themselves at the frequency of 10 Hz and the covariance matrix for the location of each robot is of the form  $\Sigma_i = \sigma_i^2 I$ , where  $\sigma_i$  is time-invariant, though it may be different for each  $i$ . Two robots are considered in danger of collision if their localization uncertainty regions overlap. The robots begin each trial uniformly distributed along the edges of the space, ensuring that they begin a safe distance from each other. We assume that each robot is able to obtain information everywhere in their own CUV cell. While this is a limiting assumption, the goal of this work is to demonstrate the efficacy of the control strategy. Practical concerns, such as robots with a limited field of view and imperfect measurements, have been addressed in the Section 4. Also, note the robots use a sampling-based integration method to calculate the centroids.

**Table 2** Number of collisions per trial

$\sigma_r$ (m) \ Robot #	10	20	50	100
0.1	3	115	85	176
0.2	7	87	95	193
0.3	25	144	118	168
0.4	3	82	132	102

### 5.1.1 Collision Avoidance

Before testing the target tracking performance, we first conduct a series of tests to demonstrate the need for collision avoidance.

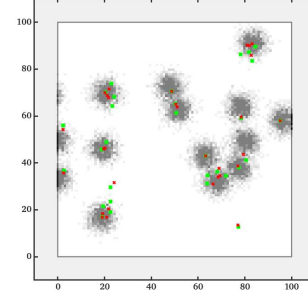
#### *Motivation*

We ran trials with 10, 20, 50 and 100 robots with localization errors ranging from 0.1 m to 0.4 m, in steps of 0.1 m. Each robot has a radius of 0.1 m, the same order of magnitude as the localization uncertainty. We consider the worst case, in which two robots collide if their localization uncertainty regions overlap. The robots search for 20 dynamic targets over the course of 1000 s. Note that 20 is only the initial number of targets and that the actual number varies over time as new targets enter and existing ones leave. The robots use the old method from [9], which assumes perfect knowledge in the positions of the robots and only guarantees collision avoidance in this case.

As Table 2 shows, even with a low density of robots (only 10 in the 60 m  $\times$  60 m area) a number of collisions happen over each trial, even with very modest uncertainty in the positions of each robot. As the density of robots increases, so to do the number of collisions. This agrees with the intuition that a higher robot density will increase the chance of collisions. The number of collisions also generally increases as the amount of localization uncertainty increases. However, the correlation between these two factors is less strong than it was between robot density and number of collisions. Note, the above trends are not always true since the information distribution function is stochastically generated and changes over time, making the motion of robots highly random.

#### *Results*

Next, we demonstrate how robots plan their paths to avoid collisions and deadlock using the approach from Section 3.2. Eight robots are evenly distributed at the edges of the mission space at



**Fig. 8** Distribution of robots and information after 100 simulated seconds. Green markers show the true positions of 30 robots and red crosses show their current goals, *i.e.*, the weighted centroid of their CUV cells. The information distribution is shown in grayscale in the background, with darker indicating more information. The robots were originally uniformly spaced along the boundaries of the environment.

the beginning, formulating four pairs of antipodal robots, as Fig. 7 shows. The goal is for the robots in each pair to exchange positions. All robots start moving to their goals simultaneously with the same velocity. Due to this symmetry, all robots approach the center at the same time, blocking the way of the other robots. As Fig. 7 shows, all robots were able to successfully avoid collision and eventually reach their goals.

### 5.1.2 Optimized Coverage

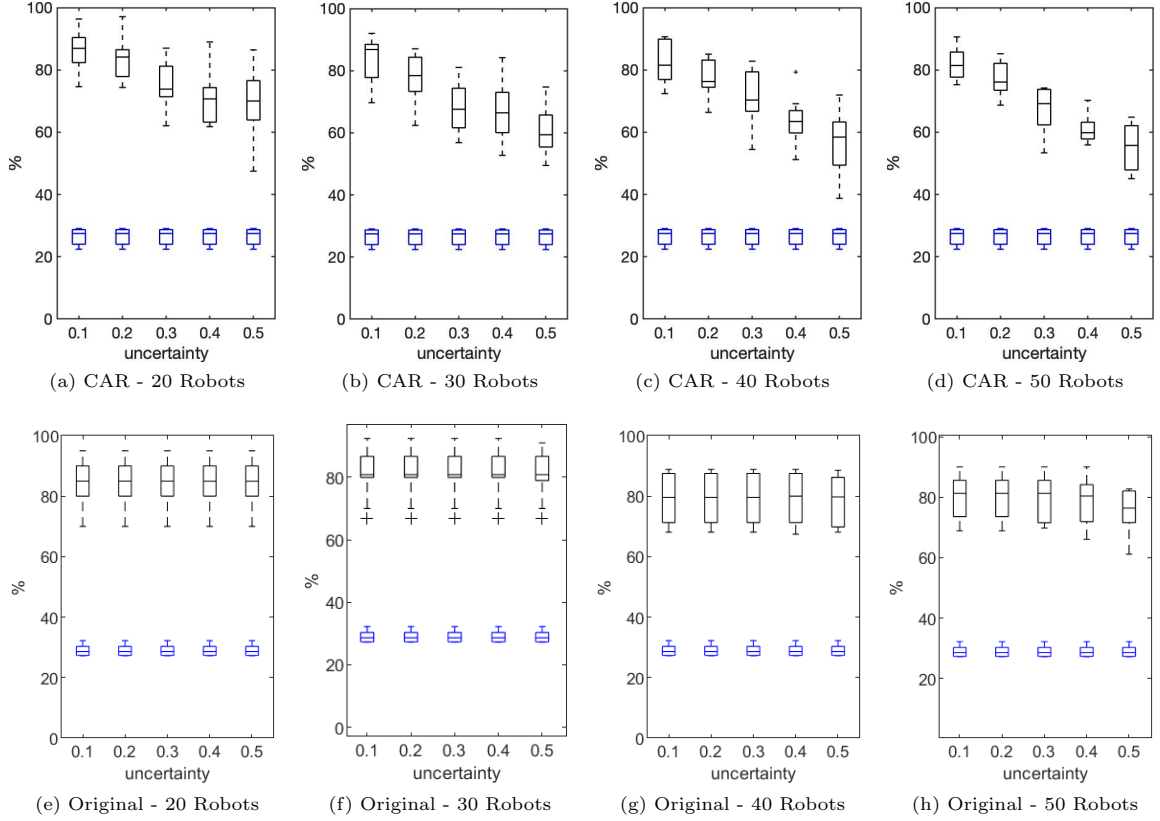
#### *Single Trial*

We first show a single trial using 30 robots. Each robot has a localization error  $\sigma_i$  randomly distributed in the range [0.2, 0.3] m. All robots begin uniformly distributed along the boundaries of the environment, and the trial lasts for 100 s. The results are shown in Fig. 8. We see that most robots end up clustered in the areas of high information density, while a few of others stay in low information density areas in order to maintain coverage of the entire mission space. Some robots have not reached their temporary goals since the information density changes over time, resulting in the continuous change of the weighted centroids in their CUV cells.

#### *Comparison of Trials*

We then conduct a large array of experiments to show the performance of different sensing networks. We define dense-information regions as





**Fig. 9** Boxplots showing the OSP (black) and the DIP (blue) percentages for networks with 20, 30, 40, and 50 robots and different localization errors  $\sigma_i$  ranging from 0.1m to 0.5m. The first row uses the CAR for collision avoidance while the second row uses the original method from [9]. Each boxplot contains the results from 10 trials.

regions that are within  $3\sigma_{\text{env}}$  of the means of the Gaussian PDFs in the information distribution function. To measure the performance of the team, we use two metrics. First, we measure the fraction of the total area that lies within the dense information regions, denoted as the dense-information proportion (DIP). Second, we measure the fraction of the total number of robots believed to be within high-density regions, *i.e.*,  $\hat{q}_i$  in the high-density region, denoted as the optimized robot proportion (OSP). The difference between the OSP and the DIP will demonstrate the ability of our control algorithm to guide robots to areas of high information density. Specifically, we want the OSP to be significantly higher than the DIP, indicating that the robots are gathering at locations with high information value.

We compare sensing networks of 4 different sizes, from 20 robots to 50 robots in steps of 10.

For each network size we test 5 different uncertainty region sizes, drawing  $\sigma$  from uniform distributions ranging from  $[0.1, 0.2]$  m to  $[0.5, 0.6]$  m, running 10 trials for each configuration, and plotting them in Figs. 9a to 9d. We log the data for 300s and use only the last 200s to compute the OSP and the DIP for each sensing network since it takes up to 100s for the OSP to reach steady state. The mean and range of the DIP are nearly identical for all tests, indicating that the total information density over the mission space is relatively stable for all tests. The results show that for all sensing networks, the OSP is at least two times larger than the DIP, meaning that all of the team has optimized the robot locations.

For each network size, the OSP decreases as the range of  $\sigma$  increases. This is expected, since increasing  $\sigma$  also increases the minimum allowable distance between robots using the CAR. The result is that fewer robots are able to gather within high-density areas. We also see that for

this particular environment, the OSP decreases as the network size increases. This is due to the fact that a smaller group tends to move to high information density areas more significantly to optimize its total detection probability, while a larger group explores more in low density areas as high density areas are saturated with agents. Additionally, robots with higher localization uncertainty reserve more space among each other during moving inside the working space, causing high density areas saturated with less amount of agents.

We also compare against the baseline approach that assumes perfect localization [9], shown in Figs. 9e to 9h. The value of the DIP, which is fully determined by the environment, is essentially identical to the first trials, which is expected. On the other hand, we see that for teams using the original search method that the OSP remains effectively constant as a function of the uncertainty, indicating that the robots closely cluster around the high information regions. However, this is a falsely positive result, as achieving this is only possible with many robot-robot collisions (see Table 2). The CARs force higher robot-robot spacing, which will inherently decrease the OSP as uncertainty grows.

## 5.2 Distributed Estimation and Control Simulations

There are two main approaches for robots to get their locations: relative to a global coordinate system or to their starting location. The former is typically done using a GNSS sensor when outdoors or a motion capture system when indoors. The latter is typically done using a combination of proprioceptive (*e.g.*, inertial measurement units (IMUs) or wheel encoders) and exteroceptive (*e.g.*, camera or light detection and ranging (ladar)) sensors. Levinson et al. [46] fuse GPS, IMU, wheel odometry, and ladar data to achieve an average localization error of  $\leq 5$  cm for vehicles in urban environment, compared with  $\geq 1$  m for GPS alone. Similarly, experiments in [47], which use Monte Carlo localization, show that when using a sonar and lidar a robot achieve localization error of  $\leq 25$  cm, which can be further decreased to  $\leq 10$  cm if cell size and number of samples are properly selected.

Using the data from the references above, we choose to conduct our MATLAB simulations in an

open  $60\text{ m} \times 60\text{ m}$  2D space. Each robot  $i$  has localization error  $\sigma_i$  ranging from 0.1 m to 0.4 m in steps of 0.05 m, which is representative of real-world scenarios. We also compare these results to the case without localization error for reference, which is equivalent to implementing our previous search and tracking algorithms in [9]. For each level of localization error, we test either 10, 15, 20 ground robots tracking 10, 15, 20 targets, where the targets can either be all static or all dynamic. This leads to a total of  $9 \times 3 \times 3 \times 2 = 162$  scenarios tested, with ten trials for each combination.

The robots begin each trial uniformly distributed along the edges of the space, ensuring that they begin a safe distance from each other. They move with a maximum speed of 2 m/s. Each robot is equipped with an isotropic sensor with a 6 m sensing range. The other parameters of the sensor model are identical to those from [9]. Note that the PHD filter can easily accommodate more realistic sensor models [48]. The target models also match those from [9]. The PHD is represented by a uniform grid of particles. The grid resolution is 1 m, and initially the weight of each particle is set to  $w_j = 2.7^{-4}$ , so that the total expected number of targets is initially 1.

We use the first order Optimal SubPattern Assignment (OSPA) metric [49], a commonly-used approach in MTT. The error between two sets  $X, Y$ , where  $|X| = m \leq |Y| = n$  without loss of generality, is

$$d(X, Y) = \left( \frac{1}{n} \min_{\pi \in \Pi_n} \left( \sum_{i=1}^m d_c(x_i, y_{\pi(i)})^p + c^p(n-m) \right) \right)^{1/p}, \quad (11)$$

where  $c$  is a cutoff distance,  $d_c(x, y) = \min(c, \|x - y\|)$ , and  $\Pi_n$  is the set of all permutations of the set  $\{1, 2, \dots, n\}$ . This gives the average error in matched targets, where OSPA considers all possible assignments between elements  $x \in X$  and  $y \in Y$  that are within distance  $c$  of each other. This can be efficiently computed in polynomial time using the Hungarian algorithm [50]. We use  $c = 10$  m,  $p = 1$ , and measure the error between the true and estimated target sets. Note that a lower OSPA value indicates a more accurate tracking of the target set.

### 5.2.1 Static Targets

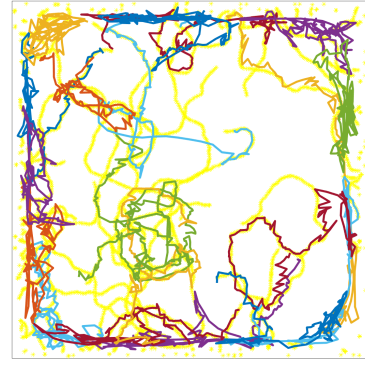
We first test the case of stationary targets to get a benchmark of performance. Note that in addition to remaining stationary, there are no newborn targets and no existing targets disappear. Figure 11 shows the average OSPA error over the final 250 s of 300 s runs to get the steady-state value. Overall, we see that for a fixed number of robots and targets the OSPA error remains fairly consistent over the range of localization uncertainty values tested, with a slight increase as  $\sigma_i$  increases. This increase is due to two main reasons. First, the total detection probability of the team is no longer maximized as discussed in Section 3.1, and decreases as the localization uncertainty level increases. Second, the increase in localization error results in an increase in the distances between robots for collision avoidance, which prevents the robots from tracking more accurately when targets are closely spaced. These effects are more pronounced both with smaller teams and when the robot-to-target ratio is low. This is due to the decrease in redundancy in the system. However, when the number of robots exceeds the number of static targets, the OSPA error is close to 0 within the uncertainty range of 0.4 m, indicating that all targets end up being tracked accurately.

### 5.2.2 Dynamic Targets

In the case of moving targets, the number of targets indicates the initial number. Targets move with a maximum speed of 1 m/s. However, this value varies over time as new targets enter the search area and others leave it. To account for this increased complexity we run the trials for a longer time (1000 s) and we measure the average OSPA error over the final 900 s to obtain a measure of steady-state behavior.

Figure 10 shows the trajectories of robots and targets over 300 s during one test. We see that the robot team covers a majority of areas during the period of time, with most of the robots clustering near the boundaries due to the higher target birth rate. Each target is tracked by at least one robot for a majority of the time, illustrating the efficacy of target tracking despite the presence of robot localization error.

We then conduct a batch of trials for further quantitative evaluation. In Figs. 11b, 11e and 11h, we see that the OSPA error increases roughly by



**Fig. 10** An exemplary plot showing the trajectories of 20 robots, plot with colored curves, tracking a varying number of moving targets, plot with yellow curves, over 300 s. The maximum number of targets is 20. Robot's localization uncertainty is 0.1 m.

1–2 m as the uncertainty range increases from 0 m to 0.4 m. This is primarily due to an increase in the number of untracked targets (each of which increases the OSPA by a value of  $1/n$ ), with a minor effect due to an increase in the error of tracked targets. The number of untracked targets is considerably higher in the dynamic target case because new targets enter the area along the boundaries and there are simply not enough robots to ensure that each is detected early on. This is also why the OSPA error is effectively constant regardless of the initial number of targets for all team sizes and uncertainty values.

We see that as the team size increases, the error decreases, just like in the static case. The primary reason for this is that a greater percentage of the area is visible at any given time, leading to a high fraction of new targets being detected and tracked. We also see a more pronounced and consistent increase in the OSPA as  $\sigma$  increases, compared to the static case. This is due to the more diffuse estimate of target locations within the PHD making it more difficult to initiate tracking and the increased likelihood of losing tracking of a target over time.

We also compare against our original method [9], which assumes perfect localization. We see in Figs. 11c, 11f and 11i that the OSPA increases more slowly as a function of the uncertainty as it does using our new algorithm. This is due to a combination of effects. At low uncertainty, the OSPA increase relative to the new method is dominated by the uncertainty in self-localization

and its effect on the tracking accuracy. At high uncertainty, the slower rate of increase is due to the lower inter-robot spacing allowed under using the original algorithm which, like the distributed control experiments (Section 5.1), results in robot-robot collisions.

## 6 Hardware Experiments

We test our proposed estimation and control algorithms using a team of TurtleBot3 platform for both robots and moving targets. The TurtleBot3, shown in Fig. 12, is a differential drive robot equipped with a 2D lidar with a full 360° field of view and 3.5 m range. The maximum velocity of the searching robots is 0.1 m/s while the maximum velocity of the moving targets is 0.05 m/s. The robots operate in a 4 m × 4 m open portion of an indoor space, shown by the yellow square in Fig. 13. The robots use this full prior occupancy grid map for localization using `amcl` from the ROS navigation stack [35], an implementation of adaptive Monte Carlo localization (AMCL) [51] using only lidar data. `amcl` outputs the estimated pose and covariance, which we use to compute the radius of the localization uncertainty region of a robot using (5). Note, these radii may change as the robots move, which could lead to a pair of robots getting too close, the localization uncertainty suddenly increasing, and preventing the robots from constructing their CUV cells. However, throughout our experiments, this situation never arose since the localization uncertainty is relatively consistent due to the static and well-structured nature of the environment, with radii typically around 0.1 m. Since the TurtleBots are non-holonomic agents, they cannot directly follow the gradient descent controller from (3). Instead, the robots use the dynamic window approach (DWA) [52] from the ROS navigation stack to reach the goal locations set by Algorithm 2.<sup>3</sup>

To make the targets stand out against the background, we use strips of retroreflective tape. The returns from the tape in the laser scan ROS message have an intensity value around 8000 units, while background objects are typically under 5000. To convert the lidar data to bearing and

range measurements, we discard all lidar points below an intensity threshold of 7000, find the centroid of each cluster of remaining points, and compute the range and bearing to that centroid, a technique we previously used in another target tracking context [48]. We attach these retroreflective tape bands to water bottles (stationary targets) or TurtleBot3s (dynamic targets). The dynamic targets follow pre-defined trajectories, which are unknown to the tracking robots. We also limit the maximum detection range of the TurtleBot to 2 m as we found that beyond this range the reflective marker detections were not reliable. This also makes the sensing problem more challenging as the robots have a smaller field of view.

### 6.1 Distributed Communication

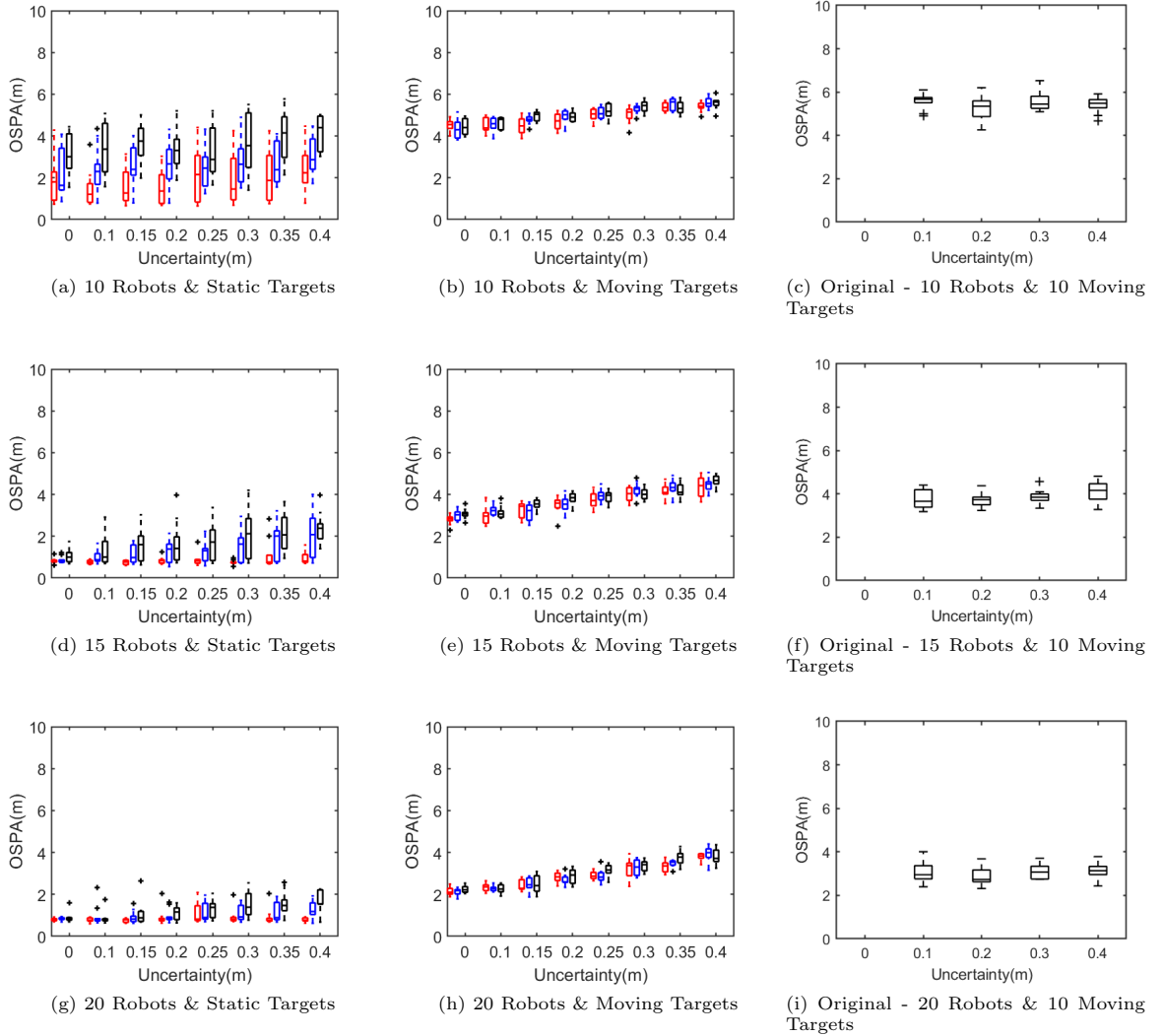
Our system is composed of a laptop with Intel Core i7-5500U CPU and 8 GB memory running Ubuntu 16.04, and four TurtleBot3 robots running Raspbian Jessie. All computers communicate over a local wireless network. We use ROS to handle the data exchange between robots/processes, with each robot having a set of nodes to localize itself, compute its CUV cell, detect targets from its lidar scan, run the PHD filter, compute the goal, and send navigation commands. During operation, each robot asynchronously follows the set of actions in Fig. 1, where each robot must additionally use AMCL to localize itself after moving and before finding the CUV and CAR cells.

To implement Algorithms 3 to 6, robots must exchange information locally, sharing all required information with neighbors before running each algorithm and sharing must be done in a specific order to ensure consistency across robots. To achieve this, we use ROS services to send information between pairs of nodes.

One issue that arose during implementation was communication deadlock, where one agent waits for a service from a second agent while that second agent waits on a service from the first. This is often due to a communication latency, where one agent can call for a service before it receives the request sent earlier from another agent. To prevent this, we developed a sequential information exchange algorithm, outlined in Algorithm 7. The basic idea is to always allow only one robot

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<sup>3</sup>Another alternative would be to use the work of [53] who develop a formulation of Lloyd's algorithm for non-holonomic agents.



**Fig. 11** OSPA error of different teams of robots tracking different numbers of targets under different localization uncertainty levels. Red, blue and black boxplots represent target set of 10, 15 and 20 targets respectively.



**Fig. 12** TurtleBot3 Burger robot from ROBOTIS.

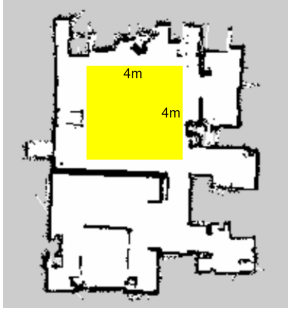
to request information from others within a neighborhood at one time and to ensure that each robot receives information sequentially instead of simultaneously. For the robot  $r$  with exchange set  $\mathcal{E}_r(S)$ , we use a set  $\mathcal{L}$  (line 2) to store all robots in  $\mathcal{E}_r(S)$  which robot  $r$  has received information

from it.  $r_{\min}$  is the smallest ID of robots not having received information from robot  $r$  (line 5), and robot  $r$  uses this to decide whether to request information from its neighbors or to respond to requests (lines 6-9). Finally,  $r$  adds  $r_{\min}$  to  $\mathcal{L}$  (line 10) and the cycle repeats until  $r$  has sent information to all robots in its exchange set (line 4).

## 6.2 Results

The robots begin exploration from the boundary of the environment, as shown in Fig. 14a, with sufficient separation to ensure that their localization





**Fig. 13** The occupancy grid map of the living room environment built via SLAM. The yellow square shows the 4 m  $\times$  4 m open search space inside the map.

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**Algorithm 7** Sequential Information Exchange

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```

1: function SEQINFOEXG( $r, \mathcal{E}_r(S)$ )
2:   Initialize  $\mathcal{L} = \emptyset$ 
3:   while  $\mathcal{L} \neq \mathcal{E}_r(S)$  do
4:      $r_{\min} = \min(\mathcal{E}_r(S) \setminus \mathcal{L})$ 
5:     if  $r = r_{\min}$  then
6:       Send request to all robots in  $\mathcal{E}_r(S)$ 
7:     else
8:       Wait for request from robot  $r_{\min}$ 
9:     end if
10:     $\mathcal{L} \leftarrow \mathcal{L} \cup \{r_{\min}\}$ 
11:  end while
12: end function

```

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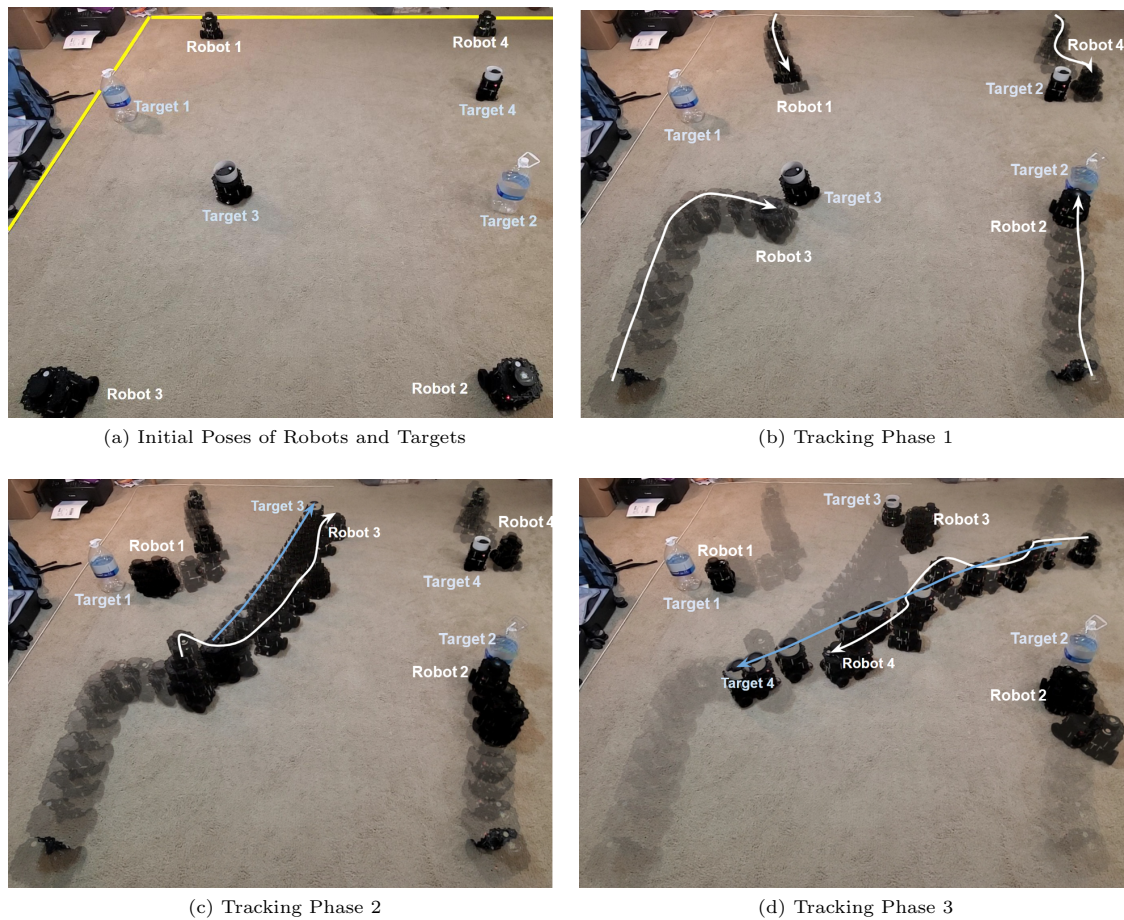
uncertainty regions do not overlap so the CUV diagram can be successfully initialized. In general, we found that the localization uncertainty regions shrink after robots begin to move since more environment information is collected.

There are three phases along the process of multi-target search and tracking. Initially, all robots move towards the targets with each of them tracking a unique target, as Fig. 14b shows. In the second and third phases, targets 3 and 4 begin to move, respectively. We can see in Figs. 14c and 14d that robots 3 and 4 effectively follow these moving targets while the other robots continue to track the stationary targets. This trial demonstrates the efficacy of our proposed estimation and control algorithms to track mixed static and dynamic targets under localization uncertainty.

## 7 Conclusions

In this paper, we first propose a distributed control algorithm for a mobile sensing network that optimizes the robot locations to improve detections while maintaining coverage of the entire mission space, accounting for uncertainty in the location of each robot, and guaranteeing safety. This approach uses two novel variants of the Voronoi cell: the convex uncertainty Voronoi (CUV) diagram and the collision avoidance region (CAR). Robots are able to construct both the CUV and the CAR in a distributed fashion, using only local information about robots' estimated locations and the associated uncertainty of these estimates. The robots then recursively drive to the weighted centroid of their CUV cells, using the information density function to determine the relative weights of each location in the environment. This enables robots to move to regions with high information density. The CARs then restrict the motion of each robot to avoid collision with others and to avoid becoming stuck in any deadlock configuration. We then introduce four distributed algorithms to enable a team of robots to safely search for and track a time-varying number of targets. This offers a significant improvement over our previous work that assumed that all robots had perfect knowledge of their own positions, which is an unrealistic assumption in practice. These algorithms enable the team of robots to exchange data and maintain a distributed multi-target filter in a consistent and efficient manner that yields an identical result to a centralized approach. To do this, we leverage our recent results where we introduced the convex uncertainty Voronoi (CUV) diagram, using this to distribute the PHD across the team and to ensure collision avoidance. The complication lies in that robots are possible to maintain the PHD in a common region due to the ambiguity of their true locations. Thus, the PHD should be carefully maintained by each individual robot to avoid the loss or over maintaining.

We validate our approach using a series of simulated and hardware experiments. We first show that the proposed distributed control law functions as desired, and that the effects of changing the size of the network and the scale of the localization error on the performance of the team. We see that increasing localization error results in larger spacing between robots. To validate



**Fig. 14** Figures show initial states of robots and targets, and three phases during distributed search and tracking. In Fig. 14a, yellow lines indicate the boundaries of the open search space in the map. In Figs. 14b to 14d, white and blue arrows show trajectories of robots and targets, respectively.

the tracking performance of our estimation and control scheme, we then show that the tracking accuracy decreases only slightly as the localization uncertainty level increases, compared with the case where robots have perfect knowledge of their locations. Meanwhile, our proposed method guarantees collision avoidance, which can be a significant issue when applying Voronoi-based control algorithms in practice. Future work will aim to remove the assumption of perfect communication between robots to further increase the real-world applicability of our proposed algorithms.

## Declarations

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## Competing Interests

The authors have no relevant financial or non-financial interests to disclose.

## Authors' Contributions

*Conceptualization:* Jun Chen and Philip Dames; *Data curation:* Jun Chen; *Formal analysis:* Jun Chen; *Funding acquisition:* Philip Dames; *Investigation:* Jun Chen; *Methodology:* Jun Chen and Philip Dames; *Project administration:* Philip

Dames; *Resources*: Philip Dames; *Software*: Jun Chen; *Supervision*: Philip Dames; *Validation*: Jun Chen; *Visualization*: Jun Chen; *Writing - original draft*: Jun Chen; *Writing - review and editing*: Philip Dames.

## Appendix A Deadlock Avoidance

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### Algorithm 8 Deadlock Avoidance

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```

1: if  $\hat{q}_i$  reaches a vertex of  $M_i$  then
2:   Move along either of the adjacent edges by
      $b_{\text{buffer}}$ 
3: else if  $\hat{q}_i$  reaches an edge  $E_k$  of  $M_i$  then
4:   Compute distance to the right-hand vertex
      $b_v$ 
5:   Move along  $E_k$  to the right by
      $\min(b_v, b_{\text{buffer}})$ 
6: end if

```

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Deadlock is the problem that robots mutually block each other from reaching their goals. While using the CUV-based method, this can occur when the goal is located in the intersection of CUV cells. Zhou et al. [15] proved that a deadlock can only happen under the condition that a robot is at a vertex or on an edge of its safe moving region, the buffered Voronoi cell in their paper or the CAR in our case. They proposed two heuristic solutions that perform well in practice to alleviate deadlock phenomena, the second of which we utilize in our implementation. This basic idea, outlined in Algorithm 8, is to continuously break this deadlock condition.

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