Probing conduction band offsets and confined states at GaAs/GaAsNBi heterointerfaces

T.-Y. Huang[1], J. Occena[1], C. Greenhill[1], T. Borrely[3,4], Y.-C. Yang[1], J. Hu[1], A. Chen[1], C. Zinn[2], K. Jenkins[2], L. Li[2,3], C. Kurdak[2,3] and R.S. Goldman[1,2,3*]

[1]*Department of Materials Science and Engineering, University of Michigan,*

[2]*Applied Physics Program, University of Michigan,*

[3]*Department of Physics, University of Michigan, Ann Arbor*

Michigan 48109-2136, USA

[4]*Institute of Physics, University of São Paulo*

São Paulo 05508-090, Brazil

*Corresponding author: rsgold@umich.edu

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ABSTRACT

We probe the conduction-band offsets (CBOs) and confined states at GaAs/GaAsNBi quantum wells (QWs). Using a combination of capacitance-voltage (C-V) measurements and self-consistent Schrödinger-Poisson simulations based on the effective mass approximation, we identify a N-fraction dependent increase in CBO, consistent with trends predicted by the band anti-crossing (BAC) model. Using the computed confined electron states in conjunction with photoluminescence spectroscopy (PL) data, we show that N mainly influences the conduction band and confined electron states, with a relatively small effect on the valence band and confined hole states in the quaternary QWs. This work provides important insights toward tailoring CBO and confined electron energies, both needed for optimizing infrared optoelectronic devices.

It has been reported that dilute fractions of N and Bi incorporated into GaAs lead to significant band gap reductions[1–7] while maintaining lattice-matching with GaAs. In particular, it was recently shown that a N:Bi ratio = 0.83 is needed for lattice matching of the quaternary GaAsNBi to GaAs.[8] In addition to this material's promise for infrared detectors and laser diodes,[9–14] solar cells based upon the quaternary GaAsNBi were recently reported.[15]

For GaAsNBi, several theoretical models predict that dilute N fractions lower the GaAs conduction band edge (CBE), while dilute Bi fractions raise the GaAs valence band edge (VBE), both on the order of 100 meV for every 1% N or Bi.[16–21] Thus, co-incorporation of N and Bi is expected to enable independent control of the conduction-band offset (CBO) and valence-band offset (VBO) with respect to GaAs. Beyond computational studies, both C-V measurements and THz spectroscopy have been used to quantify the CBO and VBO of the ternaries. For example, CV measurements of $GaAs_{0.97}N_{0.03}$/GaAs reveal a CBO of 400 $\pm$ 10 meV and a VBO of 11 $\pm$ 2 meV[22,23] and electroreflectance measurements of GaAsN thin films and multi-quantum wells (MQWs) reveal a CBO/ΔE_g of 0.85.[24] In addition, THz spectroscopy of $GaAs_{1-y}Bi_y$/GaAs suggests that CBOs range from 90 to 210meV and VBOs range from 130 to 530 meV for y_{Bi} from 0.03 to 0.117.[4] To date, measurements of the CBO and VBO for the quaternary $GaAs_{1-x-y}N_xBi_y$/GaAs have not been reported.

Here, we report on the N-fraction dependence CBOs and confined states at GaAs/$GaAs_{1-x}N_x$ and GaAs/$GaAs_{1-x-y}N_xBi_y$ single QWs. We use carrier concentration profiles from C-V data and confinement energies from Photoluminescence (PL) spectroscopy, in conjunction with Schrödinger-Poisson simulations of the energy band profiles, to extract the CBOs and confined electron and hole states at the QW interfaces. This work provides important insights into tailoring

the CBO, the VBO, and the confined state energies, all critical parameters for performance of quaternary infrared devices.

For this study, we prepared a series of QWs and reference samples by molecular-beam epitaxy. Ternary GaAsN and quaternary GaAsNBi QW were sandwiched between GaAs:Si layers (300 nm and 690 nm), as shown in Fig. 1. To probe the CBOs and confined state energies, QW thicknesses of 10 nm were targeted to achieve a two-dimensional electron gas (2DEG) with single sub-band occupancy. Confirmation of the 2DEG was achieved via temperature-dependence measurements of capacitance and dissipation, as described in supplemental materials. As shown in the scanning transmission electron microscopy (STEM) image in Fig. 2(a), energy-dispersive X-ray spectroscopy (EDS) in Fig. 2(b) and the cross-sectional TEM image in Fig. 2(c), the 10 nm quaternary QW has a graded lower interface and an abrupt upper interface with maximum y_{Bi} = 0.018, likely due to Bi surface segregation during epitaxy.[25–27] The reference samples consisted of GaAs:Si, $GaAs_{1-x}N_x$ and $GaAs_{1-x-y}N_xBi_y$ films. N mole fractions of x_N = 0.03 (GaAsN) and x_N = 0.007, 0.019 and 0.024 (GaAsNBi) were determined using x-ray rocking curves in conjunction with nuclear reaction analysis as described in Ref.28.

Room temperature C-V measurements were conducted using a Keithley 4200 semiconductor parameter analyzer with AC voltage = 30 mV, frequency = 1MHz, and DC bias swept from 0.5 to -10 V. For comparison, the measured and computed carrier concentration, $\hat{n}$, at a depth z from the Schottky contact were calculated using the depletion approximation:

$$z = \frac{K_s \varepsilon_0 A}{C} \tag{1}$$

$$\hat{n}(z) = -\frac{2}{qK_s\varepsilon_0 A^2 d(1/C^2)/dV} \tag{2}$$

where K_s is the GaAs dielectric constant, ε_0 the permittivity of free space, A the contact area, q the elementary charge, and V the DC reverse bias.

For the $GaAs_{1-x-y}N_xBi_y$ QW, PL spectra were collected at 4.25K using a 532 nm continuous-wave laser with excitation power of 5mW. Subsequently, the Varshni model was used to estimate the PL emission energy at 300K:

$$E_g(T) = E_g(0) - \frac{\alpha T^2}{\beta + T} \quad (3)$$

where α= 4.3-6.8 × 10^{-4} eV/K, β= 119-378K.[29]

Capacitance-voltage profiles for (a) the GaAs:Si reference and (b) the GaAsN QW are presented in Fig. 3. As the bias is swept from 0 to 10V, the capacitance decreases from ~ 0.4 nF to ~ 0.1 nF. For the reference samples, the capacitance decreases monotonically with increasing reverse bias voltage. For reverse biases in the range 3 - 5 V, a platform-like feature, indicated by an upward arrow, is likely due to electron accumulation in the QW.[30,31] Similar platform-like features are observed in the C-V data shown in Fig. 4 for (a) the GaAs:Si reference sample (b)-(d) the GaAsNBi QWs. The C-V data in Figs. 3 and 4 was converted to electron density vs depth using equation (1) and (2), with an emphasis on the vicinity of the QW, resulting in the plots shown in Fig. 5.

To quantify the CBOs, we compare the C-V-determined electron density profiles with those computed using 1D Schrödinger-Poisson simulations in the effective mass approximation using nextnano. To extract the best fit values of the CBO and fixed charges, we performed a sensitivity analysis, as described in the supplemental materials. For GaAsN/GaAs QW, our resulting best fit values are CBO = 360 $\pm$ 40 meV and interfacial fixed charge = -6.65 × 10^{11} |e|/cm^2, as shown in Fig. 5(a). The CBO value is consistent with 400 $\pm$ 10 meV reported for a $GaAs_{0.97}N_{0.03}$/GaAs QW[23] and 349 meV interpolated from electroreflectance measurements of GaAsN films and QWs.[24]

For the quaternary QWs with x_N = 0.7%, 1.9% and 2.4%, y_{Bi} = 1.8%, the measured and simulated electron density and conduction band (CB) edge profiles are shown in Figs. 5(b)-(d). In this case, the Bi segregation in the quaternary layers is modeled as step-like CBE profiles, and a similar sensitivity analysis is utilized to determine the best fit values for the CBOs and the fixed charges. The CBO values range from 305 ± 10 meV to 365 ± 30 meV with interfacial fixed charges ranging from -3 to -5.5 $\times$ 10^{11} |e|/cm^2. The trend of increasing CBO with x_N value is consistent with predicted trends. However, the specific CBO values exceed those predicted by the band-anticrossing (BAC)[18,19] and the linear combination of isolated nitrogen resonant states (LCINS) models[32]. Indeed, the layers likely include N configurations that are not accounted for in the BAC and LCINS models, such as N-As or N-N pairs sitting on an arsenic site, termed "split interstitials". These split interstitials may contribute to a reduced effective bandgap of GaAsN and GaAs(N)Bi.

The 4.25K PL spectra for quaternary QWs are shown in Fig. 6 (a). For all three quaternary QWs, emissions in the range 1.18 to 1.22 eV, labeled "E_o", are the effective band gaps and attributed to recombination from the confined electron (E^1_e) and hole ground states (E_h). In addition, the higher energy emissions at ~1.35 eV, labeled "E_1", are attributed to recombination from the 1st excited electron (E^2_e) and E_h. For the quaternary QW with the lowest x_N, a localized N-related state lies within the band gap, resulting in the ~1.06 eV emission labeled "E_N".[33–36] For the quaternary QWs with higher x_N values as the CB edge is lowered, the intensity of emission from the N-localized states is decreased [21], similar to the Bi-states in valence band.[37]

To determine the positions of the hole ground states, we combine the CBOs and E^1_e from C-V data and nextnano simulations with the Varshni-model estimates of room temperature PL emission energies. The values of E_h are calculated by $E_{gGaAs} - E^1_e - E_o$, as shown in Fig. 6(b).

Table 1 presents the CBOs, room temperature PL emission energies, the values of E_h, and the energy difference between electron ground states and 1st excited electron states ($E^1_e - E^2_e$). The values of E_h show a relatively weak dependence on N fraction, consistent with earlier reports for GaAsN QWs, MQWs, and thin films that suggest a relatively small VBO compared to CBO.[22–24,38] Thus, for GaAsNBi, N mainly influences the values of the CB, E^1_e, with a relatively small effect on the values of valence band (VB) and E_h. Finally, E^2_e - E^1_e is 100-110 meV, comparable to the value of E_1-E_o (110-170 meV), suggesting that E_1 is due to the recombination from the 1st excited electron and the hole ground states.

Table 1: Conduction band offset (ΔE_c), confined electron energy (E^1_e), confined hole energy (E_h), effective bandgap (E_o) and energy of N-related (E_N) with respect to the conduction band edge of GaAs. E^2_e - E^1_e is 100-110 meV, comparable to the value of E_1-E_o (110-170 meV), suggesting that E_1 is due to recombination from E^2_e and E_h. Note that "E_o Varshni @RT" and "E_1 Varshni @ RT" are Varshni-model estimates of room temperature values of E_o and E_1.

x_N [NRA]	y_{Bi} [EDS]	ΔE_c(eV) [C-V]	E_N(eV) PL @4.25K	E_o(eV) PL @4.25K	E_o(eV) Varshni @RT	E_1(eV) PL @4.25K	E_1(eV) Varshni @RT	E^1_e(eV) [nextnano]	E_h(eV)	E^2_e-E^1_e(eV) [nextnano]
0.7%	1.8%	0.305	1.06	1.22	1.14	1.34	1.25	0.247	0.04	0.1
1.9%	1.8%	0.35	0.98	1.18	1.1	1.36	1.27	0.292	0.03	0.1
2.4%	1.8%	0.365	0.97	1.18	1.1	1.36	1.27	0.303	0.02	0.11

In summary, we have examined the CBO, VBO, and confined state energies for GaAsNBi/GaAs. The trend of increasing CBO with x_N value is consistent with predicted trends. Meanwhile, the N fraction in GaAsNBi has a relatively small effect on the values of the VB and

E_h, consistent with earlier studies of GaAsN. This work provides important insights for tailoring CBOs and confined electron energies for improving infrared optoelectronic device applications.

Supplementary materials

Details of epitaxial growth of the samples, quantification of compositions and in-plane strain values as well as the evidence of two-dimensional electron gas (2DEG) formation within the QWs are presented in the supplementary materials. In addition, the key parameters, including electron effective masses, conduction band offsets (CBOs) and interfacial fixed charges, for nextnano simulations and the description of sensitivity analysis for extracting the best fit values and error bars of CBOs are also included.

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Figure captions

Fig. 1: Sample structure for GaAsN and GaAsNBi QWs. 10 nm ternary GaAsN and quaternary GaAsNBi QW were sandwiched between GaAs:Si layers (300 nm and 690 nm). Following MBE growth, chrome/gold (200/2000 Å) Schottky contacts were evaporated through a shadow mask with 680 μm diameter circular openings.

Fig. 2: (a) Scanning transmission electron microscopy (STEM) image, (b) line-cut energy-dispersive X-ray spectroscopy (EDS) data, and (c) cross-sectional transmission electron microscopy image of 10 nm quaternary QW. In (b) and (c), a graded lower interface and an abrupt upper interface are apparent. The black squares in (b) are the EDS data points, showing a maximum $y_{Bi} = 0.018$; the blue dashed line is the boxcar averaging of the EDS data.

Fig. 3: C-V data for (a) the GaAs:Si reference and (b) the GaAsN QW. In (b), the solid curves correspond to C-V data, while the dashed line corresponds to in nextnano computations, with CBO = 360 meV. For reference sample (a), the capacitance monotonically decreases from ~0.4 to ~0.1 nF as the bias voltage sweeps from 0 to 10 V. For GaAsN QW in (b), a platform-like feature, indicated by an upward arrow, is apparent, due to the electron accumulation in the QW regions.

Fig. 4: C-V data for (a) the GaAs:Si reference and (b)-(d) the GaAsNBi QWs. In (b), (c) and (d) the solid curves correspond to C-V data while the dashed lines correspond to nextnano computations, with CBO = 305, 350 and 365 meV. For the reference sample in (a), the capacitance monotonically decreases from ~0.35 to ~0.1 nF with bias voltage from 0 - 10 V. For the GaAsNBi QWs in (b), (c), and (d), the platform-like features are apparent in voltage ranging from 2 to 4 V.

Fig. 5: CBE and electron density vs depth profiles for (a) the ternary GaAsN QW and (b)-(d) the quaternary GaAsNBi QWs. The solid curves correspond to the C-V data, while the dashed lines

correspond to nextnano computations using the listed CBO values. In all cases, the electron ground states and 1st excited states in the QWs are indicated by the solid gray and orange lines, respectively. For (b)-(d), the Bi segregation in the quaternary layers are modeled as step-like CBE profiles.

Fig. 6: (a) 4.25K PL spectra of quaternary GaAsNBi QWs, and (b) band-line-ups for GaAsNBi QWs. In (a), emissions in the range 1.18 to 1.22 eV, labeled "E_o", are effective band gaps and attributed to recombination from E^1_e and E_h. The higher energy emissions at ~1.35 eV, labeled "E_1", are attributed to recombination from the E^2_e and E_h. For the quaternary QW with the lowest x_N, a localized N-related state lies within the bandgap, resulting in the ~1.08eV emission labeled "E_N". The energies of E_{gGaAs}, E^1_e, E^2_e, E_o, E_1 and E_h are shown in (b). The values of E_h are calculated using $E_{gGaAs} - E^1_e - E_o$.

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Figure 1

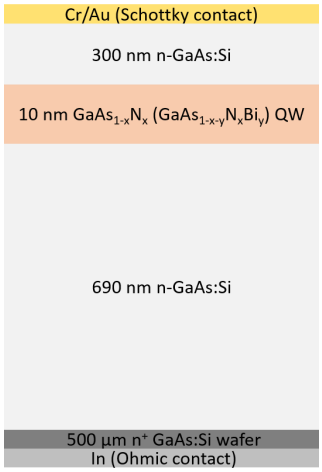

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Figure 2

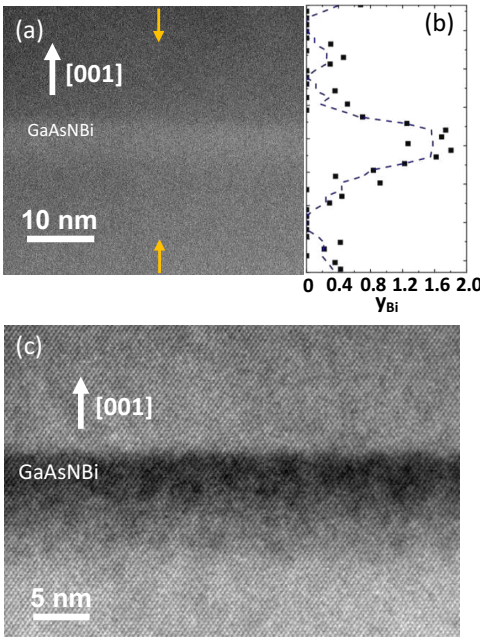


Figure 3

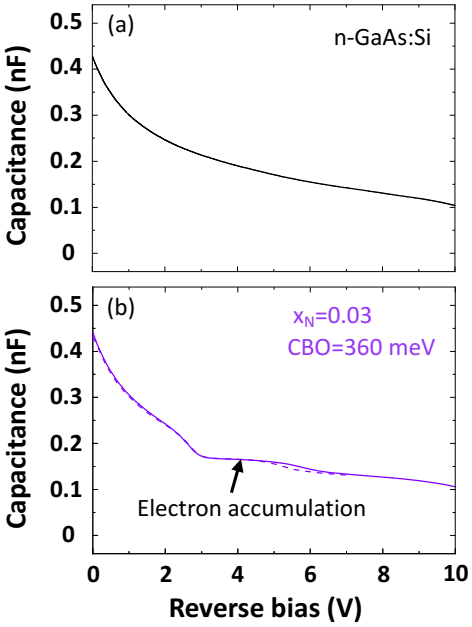


Figure 4

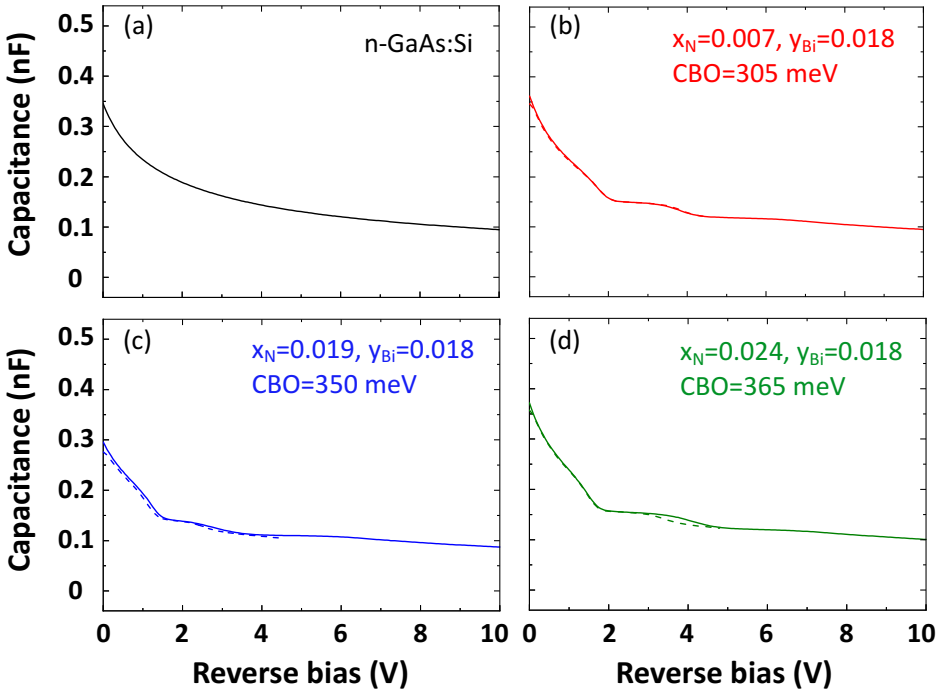


Figure 5

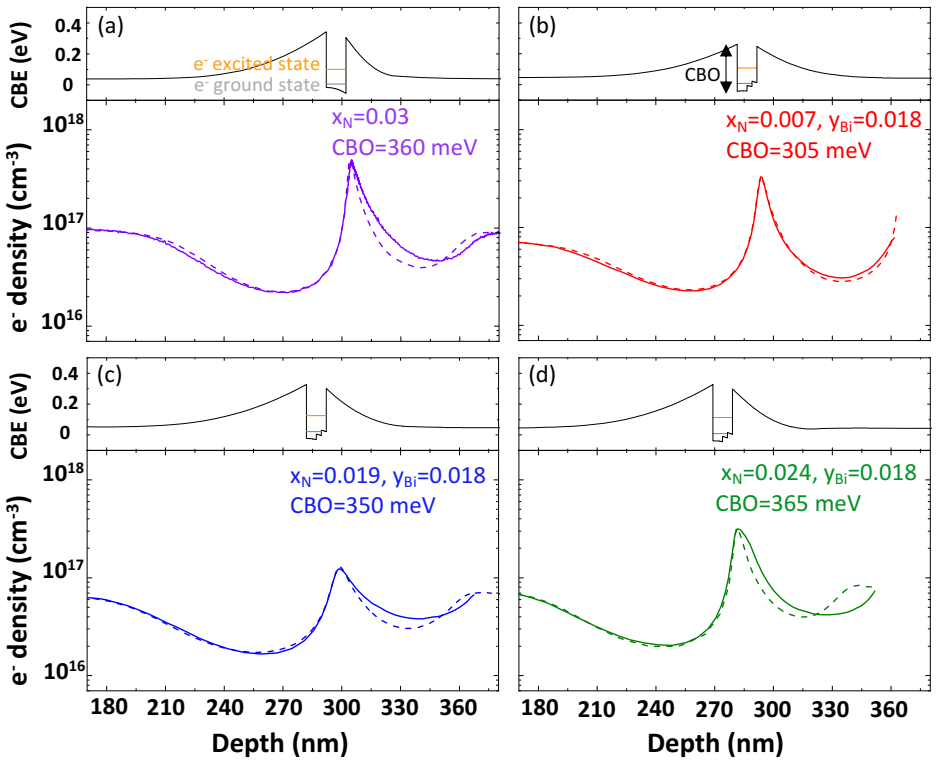
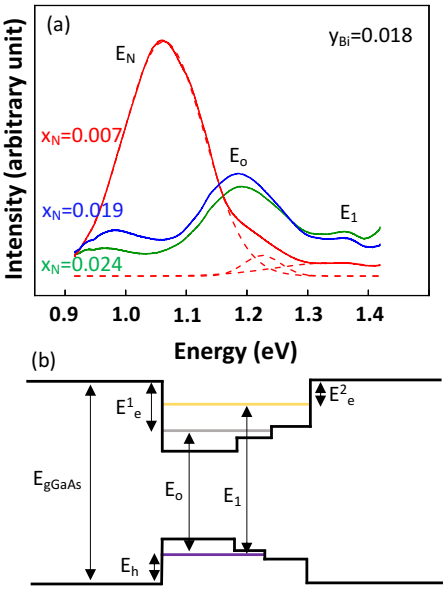


Figure 6



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