

When discrete fronts and pulses form a single family: FPU chain with hardening-softening springs

Anna Vainchtein^{a,*}, Lev Truskinovsky^b

^a*Department of Mathematics, University of Pittsburgh, Pittsburgh, PA 15260, USA*

^b*PMMH, CNRS-UMR 7636, ESPCI ParisTech, 10 Rue Vauquelin, Paris, 75005, France*

Abstract

We consider a version of the classical Hamiltonian FPU (Fermi-Pasta-Ulam) problem with nonlinear force-strain relation in which a hardening response is taken over by a softening regime above a critical strain value. We show that in addition to pulses (solitary waves) this discrete system also supports non-topological and dissipation-free fronts (kinks). Moreover, we demonstrate that these two types of supersonic traveling wave solutions belong to the same family. Within this family, solitary waves exist for continuous ranges of velocity that extend up to a limiting speed corresponding to kinks. As the kink velocity limit is approached from above or below, the solitary waves become progressively more broad and acquire the structure of a kink-antikink bundle. Direct numerical simulations and Floquet analysis of linear stability suggest that all of the obtained solutions are effectively stable. To motivate and support our study of the discrete problem we also analyze a quasicontinuum approximation with temporal dispersion. We show that this model captures the main effects observed in the discrete problem both qualitatively and quantitatively.

Keywords:

FPU model, hardening-softening interactions, supersonic kinks, solitary waves, quasicontinuum approximation, stability

1. Introduction

Front-shaped kinks and pulse-shaped solitary waves are usually perceived as two fundamentally different types of traveling waves that are ubiquitous in nonlinear discrete systems. Both kinks and solitary waves are localized coherent structures that represent far-from equilibrium collective phenomena emerging from the underlying many-body interactions. They are encountered in integrable and non-integrable Hamiltonian systems and can be stable or unstable. Together with breathers, they play an important role as building blocks in complex dynamic patterns in nonlinear systems and contribute crucially to the mechanical energy transmission at the microscale [1, 2, 3, 4]. Important applications associated with mechanical kinks and solitary waves are mitigation of impact loadings, transmission, guiding and encryption of mechanical information, including enabling logic operations and activating soft robotics [5, 6].

Kinks, originally introduced in the context of sine-Gordon-type equations, are usually perceived as self-induced topological defects representing connections between different energy wells of a potential. The dynamics of discrete kinks is typically dissipative due to radiative losses which results in a finite driving force needed for such defects to be spatially displaced [7, 8, 9]. Kinks and antikinks correspond to the discrete spectrum of a nonlinear eigenvalue problem defining their

*Corresponding author: aav4@pitt.edu (email)

velocity. They are described by heteroclinic trajectories of the corresponding differential equations and move with specific, usually subsonic speeds [10, 11, 12, 13]. Typical mechanical examples of kinks (not to be confused with shock waves in Burgers-type equations) are phase boundaries [14] and dislocations [15]. In contrast, solitary waves, often discussed in the context of KdV-type equations, can be characterized as localized, non-topological and usually non-dissipative wave packets whose existence does not require a multiwell structure of the potential [16, 17, 18, 19]. Solitary waves usually move with supersonic speeds and belong to a continuous spectrum of traveling wave solutions described by homoclinic trajectories in the phase space [20]. Typical mechanical examples are tidal bores [21] and self-healing pulses imitating earthquakes [22].

While both kinks and solitary waves first appeared in the context of integrable, exactly solvable nonlinear models, which describe physical systems only within a certain approximation, here we consider a more realistic non-integrable Hamiltonian mechanical system that bears both kinks and solitary waves. More specifically, we consider the well known discrete Fermi-Pasta-Ulam (FPU) model [23, 24, 25] and in this way address the issue of the coexistence of kinks and solitary waves in a one-dimensional mass-spring chain. Such coexistence was absent in the α -FPU setting, which relied on quadratic nonlinearity of the force-strain relation. Here we consider an extension of this prototypical model in which a hardening response is taken over by a softening regime above a critical strain value. Meanwhile, the interaction potential is a *convex* function of strain in the relevant strain interval.

The choice of *hardening-softening* interactions is inspired by stress-strain laws in a range of soft biological tissues from skin to muscles [26]. For instance, in tendons and ligaments the hardening stage of the mechanical response can be linked to the straightening of crimped collagen fibers while the softening stage may be due to the beginning of the distributed microscopic fracturing of these fibers [26, 27]. Hardening to softening transition is also ubiquitous in elastomeric molecular composites [28] and can be even mimicked in NiTi mesh implants [26]. Note that apparently similar convex material response but of *softening-hardening* type have been studied before in continuum setting, however, with the dynamic response found to be uneventful and basically the same as in the other well studied cases with double-well potentials, where kinks that are topological are fundamentally different from solitary waves that are non-topological [29, 30].

Existence and properties of either kinks or solitary waves in hardening-softening discrete FPU system have been studied before [31, 32, 33, 34, 35] but the unifying perspective on their coexistence and interplay in a generic setting was missing. Other systems supporting coexisting kinks and solitary waves include discrete transmission lines [36, 37], complex Ginzburg-Landau equation [38, 39, 40] and the Gardner equation [41, 42, 43]. Studies specifically focused on the interrelation between kinks and solitary waves in these and other related systems include [44, 45, 46, 47, 48, 49, 50, 51, 52].

In the present paper we clarify why in addition to conventional solitary waves, the hardening-softening discrete FPU model also necessarily supports non-topological and dissipation-free kinks. Kinks have polarity and thus always arrive together with their twins of opposite polarity, which we call antikinks. Under certain conditions kinks and antikinks can form a bundle and in this way annihilate their polarity. Since kink and antikink can move with the same speed, the bundled compact configurations can also move with a constant speed. The ensuing “marginal” solitary waves lie on the boundary of the solitary-wave domain in the space of parameters (the kink limit).

More specifically, we show that kinks and solitary waves, viewed as two types of discrete supersonic traveling wave solutions of the FPU model, belong to the same family. Within this family, solitary waves exist for continuous ranges of velocity that extend up to a limiting speed corresponding to kinks. As the kink velocity limit is approached from above or below, the solitary waves become progressively more broad and acquire the structure of a kink-antikink bundle. This

scenario differs from the systems with nonconvex interaction potentials, where in contrast to non-topological and non-dissipative solitary waves, the generic kinks are necessarily topological and dissipative (radiative).

In the recent paper [53] we showed that in the Hamiltonian FPU model there can appear exactly three distinct classes of steady switching fronts, subkinks, shocks and superkinks, which fundamentally differ in how (and whether) they produce and transport oscillations. In this classification subkinks are subsonic and dissipative (radiative), shocks are supersonic and dissipative and superkinks are supersonic and non-dissipative. The kinks considered in the present paper are supersonic and non-dissipative and thus are *superkinks* in the sense of [53].

After formulating the discrete problem and providing the conditions necessary for the coexistence of superkinks and solitary waves, we consider a quasicontinuum (QC) approximation of the discrete problem that adds to the conventional continuum elastodynamics a mixed space-time higher-order derivative term describing temporal dispersion and accounting for microinertia contribution to the kinetic energy [54, 55, 56, 57]. In contrast to the more conventional QC models that involve purely spatial dispersion term [58, 59, 60], this approximation generates a bounded dispersion relation for a linearized problem, which precludes short-wave instabilities. We present a detailed analysis of the QC problem and show that it possesses a family of superkink and solitary wave solutions, which are computed explicitly for a cubic extension of the α -FPU interaction force. The analytical transparency of the QC model allows one to understand in full detail the singular role played by superkinks embedded inside the continuous range of solitary waves and to associate the special kinetic relation, characterizing such kinks, with their non-dissipative nature.

Using the obtained solutions of the QC problem as a starting point, we then proceed to compute the corresponding traveling wave solutions of the discrete problem. To do so, we take advantage of the fact that traveling waves are periodic modulo shift by one lattice spacing and thus can be computed as fixed points of the corresponding nonlinear map [61, 62, 63]. We then follow the approach in [64, 65, 62] and exploit the periodicity-modulo-shift of the traveling waves to study their linear stability by computing the Floquet multipliers associated with the corresponding linearized problem. Similar to other related problems [66, 65], our Floquet analysis indicates mild oscillatory instabilities that appear to be a spurious artifact of the chain size in the computations, since their magnitude decreases for longer chains. Effective stability of the computed waves is supported by direct numerical simulations that show their steady propagation. We also present some simulation results for initial value problems that show formation and steady motion of superkinks and solitary waves.

Comparison of the computed solutions of the discrete problem with the corresponding exact solutions of the QC model shows a very good agreement on both qualitative and quantitative levels. It is important to mention that the proposed QC framework not only provides a transparent interpretation of the two types of nonlinear waves, but also helps to explain in physical terms why kinks are dissipation-free and why at least some solitary waves can be viewed as nonlinear superpositions of kinks and antikinks. Previous results for this problem, revealing similar effects, concern a bilinear version of the model which turns out to be analytically solvable in both discrete and QC versions [34, 35].

The paper is organized as follows. In Section 2 we introduce the discrete problem and discuss some general properties of superkinks and solitary waves. In Section 3 we introduce the QC model and present the phase-plane analysis of the problem for general hardening-softening nonlinearity. Explicit traveling wave solutions of the QC problem with a cubic nonlinearity are derived and discussed in Section 4. These solutions are used in Section 5 to obtain the traveling wave solutions of the discrete problem as fixed points of the corresponding nonlinear map and compare the results of the two problems. Stability of the obtained solutions of the discrete problem is investigated in

Section 6 using both Floquet analysis and direct numerical simulations. Concluding remarks are presented in the final Section 7.

2. Supersonic kinks and solitary waves: general properties

We consider the basic FPU model, which describes the dynamics of a one-dimensional chain of identical masses interacting with their nearest neighbors. The dimensionless governing equations are

$$\ddot{u}_n = f(u_{n+1} - u_n) - f(u_n - u_{n-1}), \quad (1)$$

where $u_n(t)$ is the displacement of n th particle at time t , $\ddot{u}_n(t) = u_n''(t)$, and $f(w) = \Phi'(w)$ is the nonlinear interaction force obtained from the interaction potential $\Phi(w)$. Introducing particle velocities $v_n = \dot{u}_n(t) = u_n'(t)$ and strain variables $w_n = u_n - u_{n-1}$, we can rewrite (1) as the first-order system

$$\dot{w}_n = v_n - v_{n-1}, \quad \dot{v}_n = f(w_{n+1}) - f(w_n). \quad (2)$$

Written in terms of strain variables alone, the equations are

$$\ddot{w}_n = f(w_{n+1}) - 2f(w_n) + f(w_{n-1}). \quad (3)$$

In what follows, we assume that $f(0) = 0$ and that in an interval (α, β) of strains that includes zero, we have $f'(w) > 0$. Note that this implies that the corresponding interaction potential $\Phi(w) = \int_0^w f(s)ds$ is convex in the interval (α, β) . We further assume that there exists w_* such that $0 < w_* < \beta$, $f''(w_*) = 0$, and we have $f''(w) > 0$ for $\alpha < w < w_*$ (*hardening response*) and $f''(w) < 0$ for $w_* < w < \beta$ (*softening response*), so that $f'(w)$ has a local maximum at $w = w_*$. A simple example of such hardening-softening (convex-concave) interaction force is the cubic interaction force

$$f(w) = aw^3 + bw^2 + w, \quad a < 0, \quad b > 0, \quad (4)$$

shown by the red curve in Fig. 1. In this case we have

$$(\alpha, \beta) = \left(-\frac{\sqrt{b^2 + 3|a|} - b}{3|a|}, \frac{b + \sqrt{b^2 + 3|a|}}{3|a|} \right), \quad w_* = \frac{b}{3|a|}. \quad (5)$$

We reiterate that while the convex, hardening part of $f(w)$ can be associated with reorganization of the micro-constituents contributing to interconnectivity and increasing rigidity, the concave, softening part can be linked to the loss of interconnectivity associated with the ultimate emergence of damage [26, 27, 28]. In what follows, we restrict our attention to solutions with strain values in the (α, β) interval given in (5), where the corresponding potential $\Phi(w)$ is convex, thus preventing the non-physical behavior at large $|w|$.

We are interested in traveling waves that connect stable equilibrium states of the system, with constant strains w_{\pm} such that $f'(w_{\pm}) > 0$ and constant particle velocities v_{\pm} , and propagate with velocity V that is supersonic with respect to both limiting states: $V^2 > f'(w_{\pm})$. Thus, we seek solutions in the form

$$w_n(t) = w(\xi), \quad v_n(t) = v(\xi), \quad \xi = n - Vt, \quad (6)$$

where

$$\lim_{\xi \rightarrow \pm\infty} w(\xi) = w_{\pm}, \quad \lim_{\xi \rightarrow \pm\infty} v(\xi) = v_{\pm}. \quad (7)$$

Monotone traveling fronts connecting two *different* states, $w_+ \neq w_-$, correspond to *superkinks*. As shown in [31], in the case of smooth $f(w)$ small-amplitude superkinks bifurcate from local

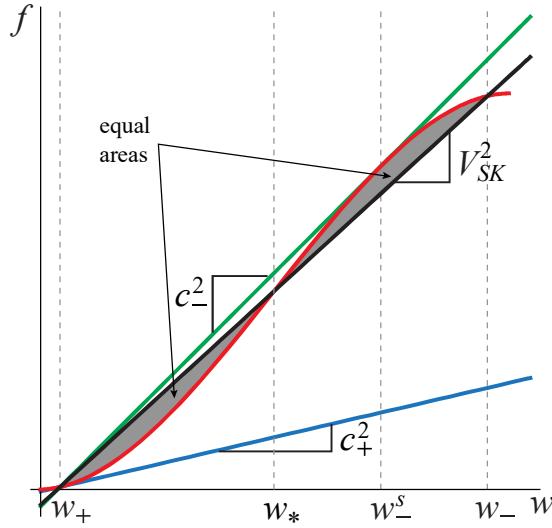


Figure 1: Interaction force $f(w)$ (red) and the Rayleigh line (black) connecting $(w_+, f(w_+))$ and $(w_-, f(w_-))$ (black). The strain w_* marks the transition from the hardening (convex) to softening (concave) regime. A superkink transition wave with limiting states w_{\pm} and supersonic velocity V_{SK} such that $V_{SK}^2 > f(w_{\pm})$ exists when the two shaded areas cut by the Rayleigh line, which has the slope V^2 , are equal. The associated solitary wave solutions have velocity V such that either $c_+^2 < V^2 < V_{SK}^2$ or $V_{SK}^2 < V^2 < c_-^2$, where c_+^2 and c_-^2 are the slopes of the blue and green straight lines passing through $(w_+, f(w_+))$ and tangent to $f(w)$ at w_+ and w_-^s , respectively. See the text for more details.

maxima of $f'(w)$ connecting convex and concave parts of $f(w)$. Global existence of such fronts in the FPU problem with convex-concave nonlinearity was established in [32, 33] under the area condition discussed below. As we will show, superkinks are closely related to *solitary waves*, pulse-like solutions of (8) connecting *identical* limiting states, $w_- = w_+ = w_B$, and propagating with supersonic velocities. Existence of such solutions has been shown in [67]. In what follows, we focus on these two types of traveling waves.

For both types of solutions, the function $w(\xi)$ must satisfy the advance-delay differential equation

$$V^2 w''(\xi) = f(w(\xi + 1)) - 2f(w(\xi)) + f(w(\xi - 1)) \quad (8)$$

obtained by substituting (6) into (3). Using (2) instead, we obtain the equivalent system of first-order equations:

$$-Vw'(\xi) = v(\xi) - v(\xi - 1), \quad -Vv'(\xi) = f(w(\xi + 1)) - f(w(\xi)). \quad (9)$$

Combining these two equations, we obtain the energy balance law [32]

$$-V \frac{d}{d\xi} \left[\frac{1}{2} v^2(\xi) + \Phi(w(\xi)) \right] = f(w(\xi + 1))v(\xi) - f(w(\xi))v(\xi - 1). \quad (10)$$

Integrating the equations in (9) over the finite interval $[-N, N]$ and taking the limit $N \rightarrow \infty$ as in [32] (see also [68, 61]), we recover the classical Rankine-Hugoniot jump conditions

$$-V(w_+ - w_-) = v_+ - v_-, \quad -V(v_+ - v_-) = f(w_+) - f(w_-), \quad (11)$$

which upon the elimination of v_{\pm} yield the single condition

$$f(w_+) - f(w_-) = V^2(w_+ - w_-). \quad (12)$$

This condition trivially holds for solitary waves, since $w_- = w_+$ in that case. For superkinks, it states that the slope of the *Rayleigh line* connecting $(w_+, f(w_+))$ and $(w_-, f(w_-))$ equals V^2 , as shown in Fig. 1.

Similarly, integrating (10), we obtain

$$-V \left(\frac{1}{2}v_+^2 + \Phi(w_+) - \frac{1}{2}v_-^2 - \Phi(w_-) \right) = f(w_+)v_+ - f(w_-)v_-. \quad (13)$$

A simple calculation then shows that (13), (12) and the first of (11) imply [32, 33]

$$\Phi(w_+) - \Phi(w_-) - \frac{1}{2}(w_+ - w_-)(f(w_+) + f(w_-)) = 0. \quad (14)$$

For solitary waves, this condition is again trivially satisfied. For superkinks, however, the condition (14) has an important physical meaning. It is a *kinetic relation* that states that the *driving force* $G = \Phi(w_+) - \Phi(w_-) - \frac{1}{2}(w_+ - w_-)(f(w_+) + f(w_-))$ [69] on the moving front is zero, and thus there is no dissipation associated with its motion. Geometrically, this means that the two areas cut by the Rayleigh line from $f(w)$ must be equal, as shown in Fig. 1.

Conditions (12) and (14) are thus necessary for the existence of a superkink solution. Therefore, they appear in the existence conditions obtained [32, 33] and were also independently obtained in the case of piecewise linear $f(w)$ in [34, 35, 53], where they were linked to the absence of elastic radiation of lattice waves which serve as a Hamiltonian analog of macroscopic dissipation. Importantly, the two conditions imply that in the case of superkinks, only one of the values w_- , w_+ and V can be prescribed independently. In particular, they determine w_\pm as a function of V .

Note that for each superkink solution propagating with velocity V , there exists a solution of the same form but velocity $-V$. In addition, for each kink solution with $w_- > w_+$, i.e., a front with $w'(\xi) < 0$, there is an *antikink* solution with the limiting states interchanged, so that $w'(\xi) > 0$, and the same velocity. Meanwhile, solitary waves can be *tensile*, $w(\xi) > w_B$, or *compressive*, $w(\xi) < w_B$. Similar to the superkinks, for each solitary wave moving with velocity V , there is a wave of the same form moving with velocity $-V$. Properties of solitary waves associated with a superkink are discussed in detail below.

3. Quasicontinuum model

To motivate and support our study of the discrete problem, we first consider its quasicontinuum (QC) approximation. To obtain it, we note that in Fourier space (8) becomes

$$k^2 V^2 W(k) = 4 \sin^2(k/2) F(k),$$

where k is the wave number, and $W(k)$ and $F(k)$ are the Fourier transforms of $w(\xi)$ and $f(w(\xi))$, respectively. Using the (2, 2) Padé approximation, $4 \sin^2(k/2) \approx k^2/(1 + k^2/12)$, of the discrete Laplacian in Fourier space and taking the inverse Fourier transform, we obtain

$$V^2 w'' - \frac{V^2}{12} w''' = (f(w))''. \quad (15)$$

The same traveling wave equation can be obtained by differentiating the regularized Boussinesq partial differential equation

$$u_{tt} - \frac{1}{12} u_{xxtt} = (f(u_x))_x,$$

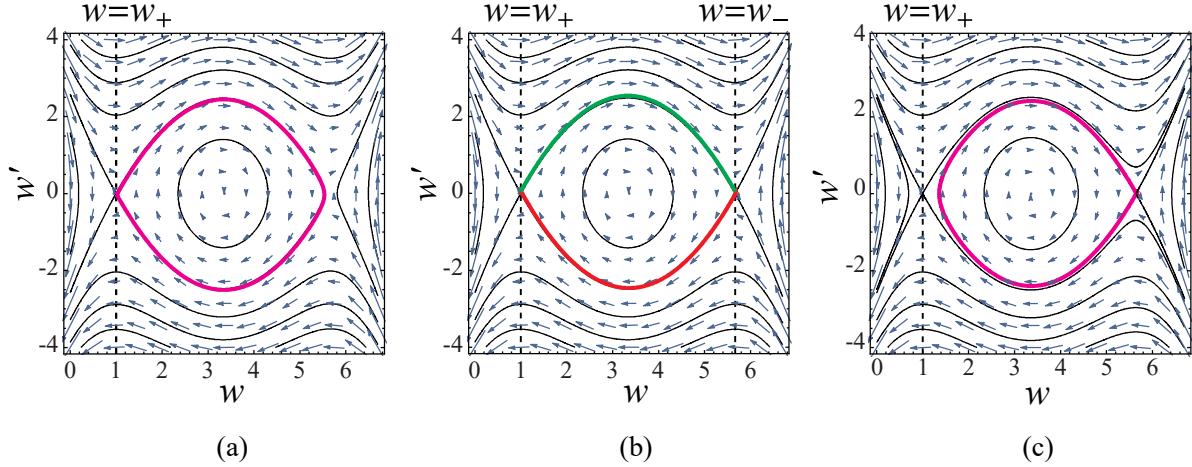


Figure 2: Phase portraits for (17) with $f(w)$ given by (4), $w_+ = 1$ and (a) $V = V_{SK} - 0.001$; (b) $V = V_{SK}$; (c) $V = V_{SK} + 0.005$, where V_{SK} satisfies the conditions (12), (14) with the corresponding w_- . See the text for details. Here $V_{SK} = 2\sqrt{65}/3$, $w_- = 17/3$. The colored trajectories correspond to a tensile solitary wave in (a), superkinks in (b) and a compressive solitary wave in (c).

which describes the QC model derived in [55], with respect to x and seeking solutions in the form $y(x, t) = u_x(x, t) = w(\xi)$, $\xi = x - Vt$. The above equation can also be derived from the Lagrangian density

$$\mathcal{L} = \frac{1}{2} \left(u_t^2 + \frac{1}{12} u_{tx}^2 \right) - \Phi(u_x), \quad (16)$$

which contains a ‘‘microkinetic’’ energy term $(1/24)u_{tx}^2$ in addition to the classical kinetic and potential energy terms. Integrating (15) twice and using the boundary condition for $w(\xi)$ at $\xi \rightarrow \infty$ in (7), we obtain

$$-\frac{V^2}{12}w'' + V^2w - f(w) = V^2w_+ - f(w_+). \quad (17)$$

Applying the boundary condition for $w(\xi)$ at $\xi \rightarrow -\infty$ in (7) to (17), we recover the Rankine-Hugoniot condition (12). Integrating (17) and taking into account the boundary condition for $w(\xi)$ at $\xi \rightarrow \infty$ in (7) yields

$$-\frac{V^2}{24}(w')^2 = \Phi(w) - \Phi(w_+) - f(w_+)(w - w_+) - \frac{V^2}{2}(w - w_+)^2. \quad (18)$$

Applying the boundary condition for $w(\xi)$ at $\xi \rightarrow -\infty$ in (7) to (18), we obtain

$$\Phi(w_-) - \Phi(w_+) - f(w_+)(w_- - w_+) - \frac{V^2}{2}(w_- - w_+)^2 = 0,$$

which together with (12) implies (14).

To construct a superkink solution of (17), it thus suffices to find w_\pm satisfying (12) and (14) for a given V (or, equivalently, w_- and V satisfying these conditions for a given w_+) and then solve the first-order equation (18).

Emergence of superkinks and the associated solitary wave solutions can already be seen from the phase plane analysis of (17) for fixed $w_+ < w_*$ and different values of V , as illustrated in Fig. 2 for the cubic case (4). Let V_{SK} denote the velocity of the superkink, which satisfies the conditions

(12), (14) with the corresponding w_- . Observe that the Rayleigh line $R(w) = f(w_+) + V^2(w - w_+)$ passing through $(w_+, f(w_+))$ is tangent to $f(w)$ at $w = w_+$ when $V^2 = c_+^2$, where

$$c_+ = (f'(w_+))^{1/2}, \quad (19)$$

and at $w = w_-^s \neq w_+$ satisfying $f(w_-^s) = R(w_-^s)$ when

$$c_- = (f'(w_-^s))^{1/2}, \quad (20)$$

Here c_+ and c_- denote the sound speeds at $w = w_+$ and $w = w_-^s$, respectively, and the corresponding Rayleigh lines are shown by blue and green in Fig. 1. The critical points of (17) rewritten as a first-order system are given by the intersections of $R(w)$ and $f(w)$. A simple analysis shows that for $c_+^2 < V^2 < c_-^2$ there are three such points in the phase plane (w, w') : saddle points $(w_+, 0)$ and $(w_S, 0)$ and a center point $(w_C, 0)$. Moreover, for $c_+^2 < V^2 < V_{SK}^2$, (18) describes a homoclinic trajectory emanating from the saddle point $(w_+, 0)$ and corresponding to a tensile solitary wave solution with the background state $w_B = w_+$ at infinity (see the magenta trajectory in Fig. 2(a) for an example).

As V^2 approaches V_{SK}^2 from below, the amplitude of the solitary wave (determined by the right hand side of (18)) increases. Its trajectory is passing closer to the saddle point $(w_S, 0)$, where $w_S(V)$ approaches w_- from above, causing the wave to become more flat and wide in the middle. At $V^2 = V_{SK}^2$ the homoclinic orbit reaches the saddle point $(w_-, 0)$, whereupon two heteroclinic trajectories that correspond to superkink solutions form, as shown in Fig. 2(b). The lower trajectory (marked in red) has the strain w_- behind the moving front and w_+ ahead (a kink), while the upper trajectory (green) has w_- ahead and w_+ behind (an antikink).

When V^2 exceeds V_{SK}^2 , the heteroclinic orbits are destroyed, and there is another homoclinic trajectory (an example is shown by magenta in Fig. 2(c)) that emanates from the saddle point $(w_S, 0)$ and corresponds to a compressive solitary wave with the background state $w_B = w_S$ that depends on the velocity V of the wave. This trajectory is described by (18) with w_+ replaced by w_S . Such compressive waves exist for $V_{SK}^2 < V^2 < c_-^2$, where c_- is given by (20). As $V^2 \rightarrow c_-^2$, w_S approaches w_-^s , and as $V^2 \rightarrow V_{SK}^2$, it tends to w_- . As V^2 approaches V_{SK}^2 from above, the width and amplitude of the solitary wave grow, and it becomes more flat in the middle due to its trajectory passing closer to the saddle point $(w_+, 0)$. In the sonic limits both tensile and compressive waves delocalize to their background states.

In the above discussion, we chose w_+ to be below w_* , where $f'(w)$ has a local maximum; recall that this is the strain value associated with the emergence of small-amplitude kink solutions [31]. The picture is similar when $w_+ > w_*$ but in that case the solitary waves leading to the emergence of superkinks as V^2 approaches V_{SK}^2 from below are compressive, while above V_{SK}^2 there are tensile waves. At $w_+ = w_*$, we have $c_+^2 = V_{SK}^2 = c_-^2$, and both solitary waves and the superkinks disappear.

To summarize, for a given state w_+ ahead, superkinks arise as the limit of solitary wave solutions. As the kink velocity is approached, these solutions grow in amplitude and become wider and more flat in the middle, with the two boundary layers on the left and on the right that approximate monotone kink and antikink solutions. Thus, for velocities just below the kink limit, solitary waves acquire a dipole structure, where a kink and an antikink move in tandem. This will be further illustrated by explicit solutions constructed in the next section.

We remark that broad solitary waves the type we see around $V = V_{SK}$, are sometimes referred to as “flat-top solitons”. They have been seen in a variety of models, including, for example, the recent analysis of the continuum nonlinear equations of Gardner-type [41, 70] and of closely related overdamped discrete oscillator chains [42, 43]. In the context of the FPU problem, such solitary wave solutions and the limiting superkinks were first studied for the special case of bilinear interactions in [34, 35], where the discrete problem could be solved explicitly.

4. Explicit solutions for the quasicontinuum model

The generic scenario described in the previous section holds for any smooth hardening-softening $f(w)$. In the cubic case (4), we can integrate (18) to obtain explicit solutions that have a simple form. One can show that $f(w)$ in (4) has the symmetry property

$$f(w) = \frac{4b}{3}w_*^2 + 2w_* - f(2w_* - w),$$

with w_* given in (5), so if $w(\xi)$ is a traveling wave solution of either discrete or QC problem with velocity V , so is $\tilde{w}(\xi) = 2w_* - w(\xi)$ with the corresponding adjustment of the conditions at infinity.

Superkinks. We begin by constructing a superkink solution. Due to the symmetry it suffices to consider the case $w_- > w_+$. Note that (4) implies that

$$\Phi(w_-) - \Phi(w_+) - \frac{1}{2}(w_- - w_+)(f(w_+) + f(w_-)) = -\frac{1}{2}(w_- - w_+)^3 \left[-\frac{a}{2}(w_+ + w_-) - \frac{b}{3} \right],$$

so that (14) yields

$$w_+ + w_- = \frac{2b}{3|a|} = 2w_*. \quad (21)$$

Noting that

$$f(w_-) - f(w_+) = (w_- - w_+) [a(w_+^2 + w_+ w_- + w_-^2) + b(w_+ + w_-) + 1]$$

and using (21), we find that (12) yields

$$V_{SK}^2 = 1 + \frac{b^2}{3|a|} - |a| \left(w_+ - \frac{b}{3|a|} \right)^2, \quad (22)$$

which together with (21) implies that

$$w_{\pm} = \frac{b}{3|a|} \mp \sqrt{\frac{b^2}{3a^2} - \frac{V_{SK}^2 - 1}{|a|}}. \quad (23)$$

The expression under the square root must be positive, which yields the upper velocity bound, $V_{SK}^2 < 1 + b^2/(3|a|)$. It is reached when $w_+ = w_- = w_* = b/(3|a|)$, the strain value where $f(w)$ changes curvature from convex to concave and the bifurcation point for the superkink solution.

Substituting (23) in (18) with $f(w)$ given by (4), we obtain

$$\frac{V_{SK}^2}{24} (w'(\xi))^2 = -\frac{a}{4}(w - w_+)^2(w - w_-)^2, \quad (24)$$

where we recall that $a < 0$. Note that $b > 0$ then ensures that w_+ and w_- have a positive average $b/(3|a|)$, and $f(w)$ monotonically increases in the interval in (5) around this average. Requiring that w_{\pm} in (23) belong to this interval (so that $f'(w_{\pm}) > 0$) gives the lower velocity bound, which together with the upper bound obtained above yields

$$\frac{2}{3} \left(1 + \frac{b^2}{3|a|} \right) < V_{SK}^2 < 1 + \frac{b^2}{3|a|}. \quad (25)$$

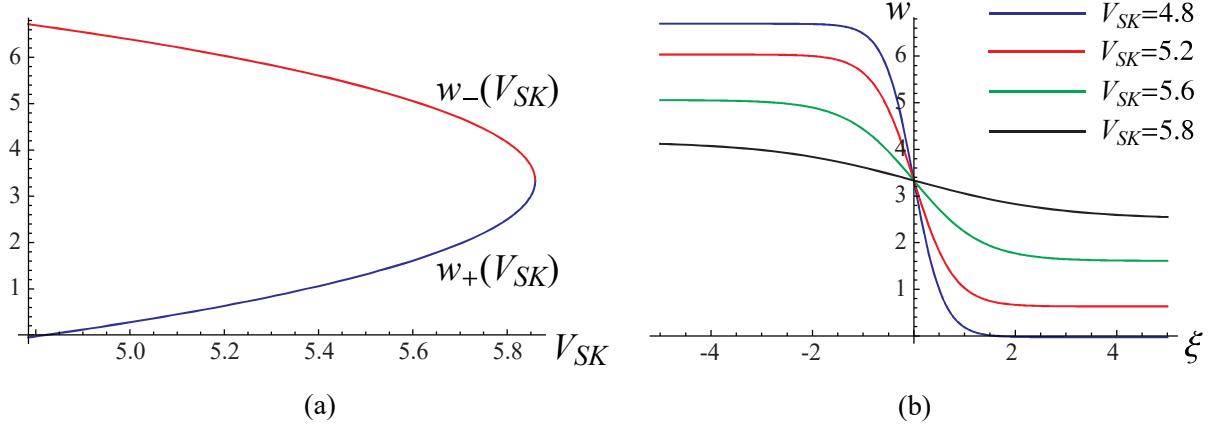


Figure 3: (a) The limiting strains w_{\pm} of a superkink as functions of V_{SK} for the cubic nonlinearity (4) with $a = -1$ and $b = 10$. The figure is symmetric about the vertical axis. (b) Strain profiles for the QC model at different velocity values.

Since $w'(\xi) < 0$ along the solution we seek, with $w_+ < w(\xi) < w_-$, (24) yields the separable ordinary differential equation

$$\frac{dw}{d\xi} = -\frac{\sqrt{6|a|}}{|V_{SK}|}(w - w_+)(w_- - w),$$

which is readily solved. Assuming $w(0) = (w_+ + w_-)/2 = w_*$ (a choice we can make due to translational invariance) and using (23), we obtain

$$w(\xi) = \frac{w_+ + w_-}{2} - \frac{w_- - w_+}{2} \tanh(p\xi), \quad p = \frac{\sqrt{6|a|}|w_- - w_+|}{2|V_{SK}|} = \frac{\sqrt{2(b^2 - 3|a|(V_{SK}^2 - 1))}}{|V_{SK}|\sqrt{|a|}}. \quad (26)$$

As V_{SK}^2 increases within the interval in (25), the values w_{\pm} move toward each other, while the width of the transition front increases. This is illustrated in Fig. 3.

In the above construction, we assumed that $w_- > w_+$. Due to the symmetry mentioned above, solutions with $w_+ > w_-$ have the same form (26) but \mp in the right hand side of (23) becomes \pm .

Solitary waves. We now consider solitary wave solutions associated with a superkink that has the state $w_+ \neq w_*$ ahead and velocity V_{SK} given by (22). Recall from Sec. 3 that such waves have velocity V such that either $c_+^2 < V^2 < V_{SK}^2$ or $V_{SK}^2 < V^2 < c_-^2$, where c_+ and c_- are defined in (19) and (20). Recall also that the waves have the background state

$$w_B = \begin{cases} w_+, & c_+^2 < V^2 < V_{SK}^2, \\ w_S(V), & V_{SK}^2 < V^2 < c_-^2, \end{cases} \quad (27)$$

where $w_S \neq w_+$ is such that $f(w_S) - f(w_+) = V^2(w_S - w_+)$ and $V^2 > f'(w_S)$, so that $(w_S, 0)$ corresponds to a saddle point in the phase plane for (17).

In the cubic case (4) we use

$$f(w_S) - f(w_+) = (w_S - w_+) [a(w_+^2 + w_+ w_S + w_S^2) + b(w_+ + w_S) + 1]$$

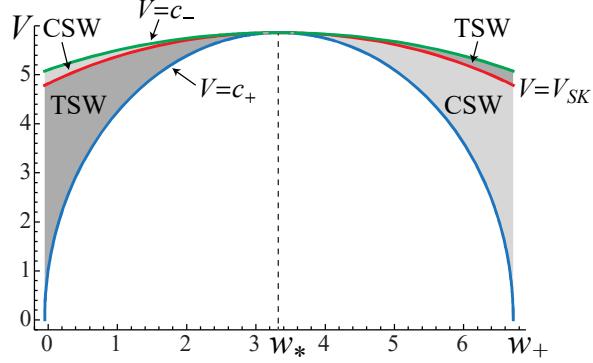


Figure 4: Velocities of the solitary waves for a given state w_* ahead of the associated superkink solution. The red curve corresponds to the superkink limit, while the blue and green curves indicate the sonic limits. Dark shaded regions correspond to tensile solitary waves (TSW), and light shaded regions to compressive solitary waves (CSW). The diagram is symmetric about the horizontal axis. Here $f(w)$ is given by (4) with $a = -1$, $b = 10$.

to obtain

$$w_S = \frac{1}{2|a|} [b - |a|w_+ \mp \sqrt{b^2 - 3a^2w_+^2 + 2|a|bw_+ - 4|a|(V^2 - 1)}], \quad (28)$$

with the minus sign if $w_+ > w_*$ and plus sign if $w_+ < w_*$. To find c_- in (20), we recall that $f(w_-^s) - f(w_+) = f'(w_-^s)(w_-^s - w_+)$. Substituting (4), we obtain, after some algebra,

$$w_-^s = \frac{1}{2|a|} (b - |a|w_+),$$

and hence $c_-^2 = f'(w_-^s) = 3a(w_-^s)^2 + 2bw_-^s + 1$ yields

$$c_- = \sqrt{\frac{1}{4}(b - |a|w_+) \left(3w_+ + \frac{b}{|a|} \right) + 1}. \quad (29)$$

Meanwhile, $c_+ = (f'(w_+))^{1/2} = (3a(w_+)^2 + 2bw_+ + 1)^{1/2}$. For $w_+ \neq w_*$ we have $c_+^2 < V_{SK}^2 < c_-^2$, as illustrated in Fig. 4. At $w_+ = w_*$ the three velocities coincide: $c_+^2 = V_{SK}^2 = c_-^2 = 1 - b^2/(3a)$.

For solitary waves (18) with $f(w)$ given by (4) has the form

$$\frac{V^2}{24}(w'(\xi))^2 = -\frac{a}{4}(w - w_B)^2(w_T - w)(w_M - w), \quad (30)$$

where the equilibrium points w_T and w_M are given by

$$w_{T,M} = \frac{2b}{3|a|} - w_B \pm \sqrt{4 \left(w_B - \frac{b}{3|a|} \right)^2 - \frac{2}{|a|}(V^2 - 1) - 6w_B^2 + \frac{4b}{|a|}w_B}, \quad (31)$$

with plus sign in front of the square root for w_T and minus for w_M when $w_+ < w_*$, $c_+^2 < V^2 < V_{SK}^2$ or $w_+ > w_*$, $V_{SK}^2 < V^2 < c_-^2$ and vice versa when $w_+ > w_*$, $c_+^2 < V^2 < V_{SK}^2$ or $w_+ < w_*$, $V_{SK}^2 < V^2 < c_-^2$, and we recall that $a < 0$. Solving (30), we obtain the solitary wave

$$w(\xi) = w_B + \frac{2(w_M - w_B)(w_T - w_B)}{w_M - 2w_B + w_T + (w_T - w_M) \cosh(\gamma\xi)}, \quad \gamma = \frac{\sqrt{6|a|}}{|V|} \sqrt{(w_M - w_B)(w_T - w_B)}, \quad (32)$$

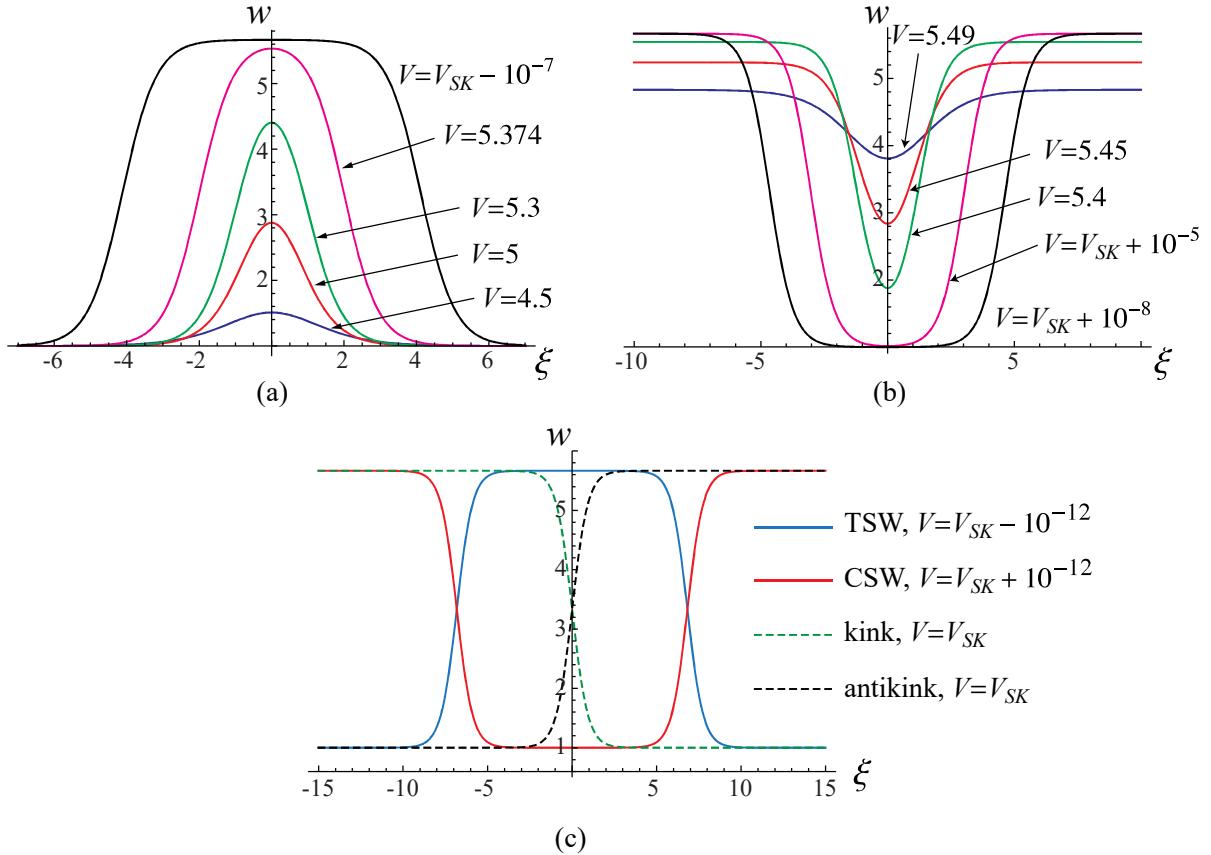


Figure 5: (a) Tensile solitary waves (TSW) in the QC model with cubic nonlinearity (4) at $c_- < V < V_{SK}$; (b) compressive solitary waves (CSW) at $V_{SK} < V < c_-$; (c) solitary waves just below and just above V_{SK} , shown together with kink and antikink fronts. Here $w_+ = 1$, $a = -1$, $b = 10$, yielding $V_{SK} = 2\sqrt{65}/3$, $w_- = 17/3$, $c_+ = 3\sqrt{2}$, $c_- = 11/2$.

where we recall (27) and (28). As shown in Fig. 4, for $w_+ < w_*$, the solitary waves are tensile when $c_+^2 < V^2 < V_{SK}^2$ and compressive when $V_{SK}^2 < V^2 < c_-^2$, and the opposite is true for $w_+ > w_*$.

The solution (32) satisfies $w(0) = w_M$ and $w(\xi) \rightarrow w_B$ as $\xi \rightarrow \pm\infty$. The amplitude of the solitary wave is thus given by

$$w_{amp}^{QC} = |w_M - w_B| \quad (33)$$

Note that the amplitude tends to zero (solution delocalizes to the constant strain w_B) as V tends to the corresponding sonic limit. As the superkink velocity limit, V_{SK} , is approached, $w_B \rightarrow w_-$, $w_{T,M} \rightarrow w_+$ for $V_{SK}^2 < V^2 < c_-^2$. Meanwhile, for $c_+^2 < V^2 < V_{SK}^2$ we have $w_B = w_+$, and $w_{T,M} \rightarrow w_-$ in the superkink limit. Thus

$$w_{amp}^{QC} \rightarrow |w_- - w_+|,$$

where w_- is the strain behind the superkink front corresponding to w_+ , and we recall (21). Note also that in this limit γ in (32) tends to $2p$, with p defined in (26). Thus, as the superkink velocity is approached, the solitary wave (32) becomes wider, with the two boundary layers on the left and on the right approaching the corresponding superkink solutions, and the strain in between tending to the constant value given by w_- for $c_+^2 < V^2 < V_{SK}^2$ and w_+ for $V_{SK}^2 < V^2 < c_-^2$. Just below and

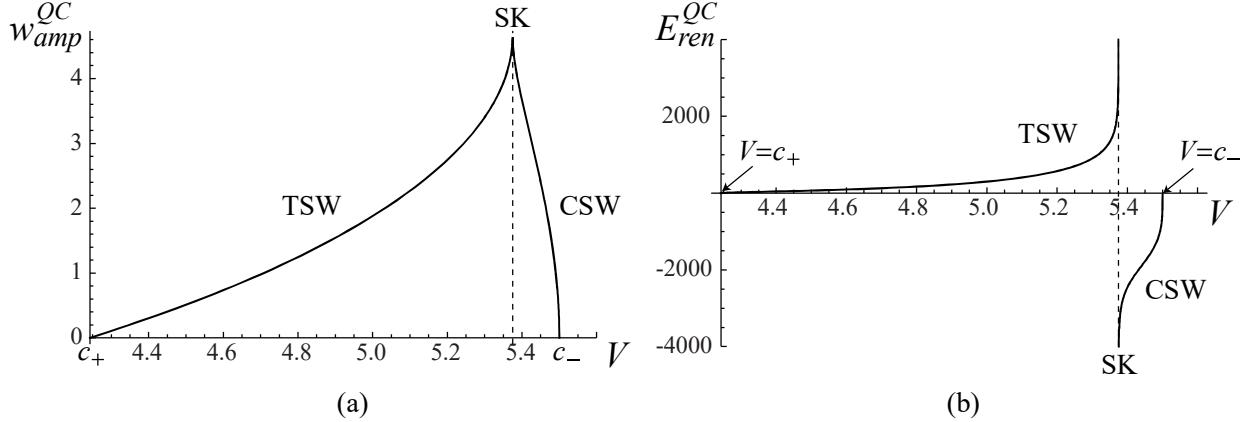


Figure 6: (a) Amplitude (33) and (b) renormalized energy (34) as functions of velocity V for solitary waves in QC model at $w = 1$. Here $a = -1$, $b = 10$, and the superkink (SK) limit $V_{SK} = 2\sqrt{65}/3$ is marked by the dashed vertical line. The sonic limits are $c_+ = 3\sqrt{2}$ and $c_- = 11/2$.

just above the limit, solitary waves have the structure of a kink-antikink bundle. This is illustrated in Fig. 5.

Since the energy of the waves with nonzero background is infinite, we renormalize it by subtracting the energy of the background:

$$E_{ren}^{QC}(V) = \int_{-\infty}^{\infty} \left\{ \frac{1}{2} V^2 w^2(\xi) + \frac{1}{24} V^2 (w'(\xi))^2 + \Phi(w(\xi)) - \Phi(w_B) - \frac{1}{2} V^2 w_B^2 \right\} d\xi, \quad (34)$$

where we used the fact that for a traveling wave solution with the strain $w(\xi) = w(x - Vt)$ the particle velocity is $v(\xi) = -Vw(\xi)$. Fig. 6 shows the typical dependence of amplitude and renormalized energy of the waves on their velocity. As discussed above, in the superkink limit (marked by the dashed vertical line) the amplitude reaches the finite value $|w_- - w_+|$ (a corner in Fig. 6(a)), while the renormalized energy diverges near the limit (see Fig. 6(b)) because the two superkinks forming such solitary waves undergo an unlimited separation.

Thus, we can see already at the QC level that the superkink and solitary wave solutions form a single family, with a singular superkink limit embedded in the continuum range of solitary wave velocities.

5. Traveling wave solutions of the discrete problem

Having explored the relation between superkinks and solitary waves on the QC level, we now consider the corresponding traveling wave solutions of the discrete problem (3). Due to the symmetry of the problem with respect to velocity V , it suffices to obtain solutions with $V > 0$.

Superkinks. To compute the superkink solutions, we follow the approach in [61, 62, 63] and observe that by virtue of the traveling wave ansatz (6) such solutions are necessarily periodic modulo shift by one lattice spacing,

$$w_{n+1}(t+T) = w_n(t), \quad T = 1/V, \quad (35)$$

and thus can be cast as fixed points of the nonlinear map

$$\left[\begin{array}{c} \{w_{n+1}(T)\} \\ \{\dot{w}_{n+1}(T)\} \end{array} \right] = \mathcal{N} \left(\left[\begin{array}{c} \{w_n(0)\} \\ \{\dot{w}_n(0)\} \end{array} \right] \right) \quad (36)$$

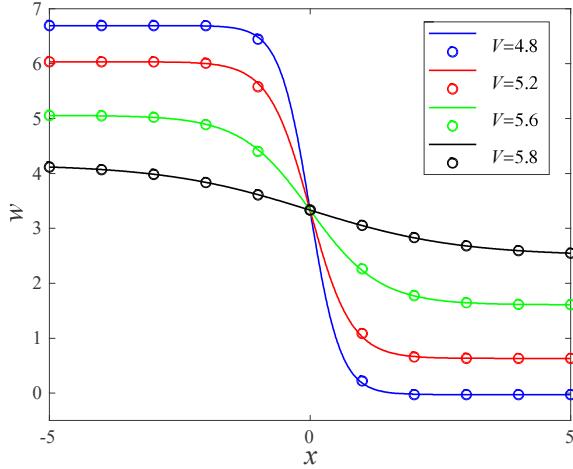


Figure 7: Superkink solutions $w_n(0) = w(n)$ of the discrete problem (8) (circles) with cubic nonlinearity (4) and the corresponding solutions $w(x)$ for the QC model (18) (solid curves) evaluated at $t = 0$. Here $a = -1$, $b = 10$.

defined by integration of the governing equations (3) over one period followed by a shift of indices. To obtain the traveling waves, we follow an approach used in computing discrete breathers [71] and employ the fixed point method. For a large even number N (we used $N = 500$ in a typical computation) and given $T = 1/V$, we perform the Newton-Raphson iterations with numerically computed finite-difference Jacobian to solve

$$\begin{aligned} w_{n+1}(T) &= w_n(0), \quad n = -N/2, \dots, N/2 - 1, \\ \dot{w}_{n+1}(T) &= \dot{w}_n(0), \quad n = -N/2, \dots, N/2 - 2, \quad w_1(T) = w_* \end{aligned} \quad (37)$$

for $\{w_n(0), \dot{w}_n(0)\}$, $n = -N/2, \dots, N/2 - 1$. To obtain $w_n(T)$ and $\dot{w}_n(T)$ for given $w_n(0)$ and $\dot{w}_n(0)$ at each iteration, we integrate (3) over one period using the Dormand-Prince algorithm (Matlab's `ode45` routine) with boundary conditions

$$w_{-N/2-1}(t) = w_-, \quad w_{N/2}(t) = w_+, \quad (38)$$

where w_{\pm} are found from (12), (14). The last equation in (37) represents a pinning condition. Due to translational invariance of solutions of (8), such condition is necessary to select a unique traveling wave solution. The one we select facilitates the comparison with superkink solutions $w_{QC}(\xi)$ of the QC model in (26), which are also used to obtain an initial guess for the Newton-Raphson procedure and parameter continuation. Recall that these solutions satisfy $w_{QC}(0) = w_*$, so that $w_0(0) = w_1(T) = w_{QC}(0)$ and thus the traveling wave $w(\xi)$ for the discrete problem satisfies $w(0) = w_{QC}(0)$. We drop the equation for $\dot{w}_{N/2}(T)$ in (37) in order to obtain a system of $2N$ nonlinear equations for $2N$ unknowns while prescribing the pinning condition. We have verified that the omitted equation is automatically satisfied up to the order of 10^{-13} at most in the computed solutions, due to the large value of N .

The computed superkink profiles $w_n(0) = w(n)$ for are shown in Fig. 7, together with the corresponding profiles $w(x)$ obtained from the exact solutions (26) of the QC model. One can see that these solutions are very close, with barely visible difference in the transition layer.

Solitary waves. To compute the solitary wave solutions for given w_+ and velocity V in the intervals (c_+, V_{SK}) and (V_{SK}, c_-) , we use the same approach as for the superkinks. In this case the

prescribed pinning condition is $\dot{w}_1(T) = 0$, to ensure that the maximum of a tensile solitary wave (or the minimum of a compressive one) is at $n = 0$ when $t = 0$, and the boundary conditions are $w_{-N/2-1}(t) = w_{N/2}(t) = w_B$, where we recall (27).

The resulting strain profiles are shown in Fig. 8 together with their QC counterparts (32). For further comparison of solitary waves in the discrete and QC models, we show the corresponding amplitude-velocity plots in Fig. 9 and energy-velocity plots in Fig. 10. In the latter, we compare the renormalized energy (34) for the QC model with the corresponding values

$$E_{ren}^D(V) = \sum_n \left\{ \frac{1}{2} v_n^2 + \frac{1}{2} (\Phi(w_n) + \Phi(w_{n+1})) - \Phi(w_B) - \frac{1}{2} V^2 w_B^2 \right\} \quad (39)$$

in the discrete case, where we recall that v_n are the particle velocities, and all values are evaluated at $t = 0$ due to the energy conservation.

One can see that in the case of solitary waves some discrepancy between solutions of the discrete and QC problems is visible away from the sonic and superkink limits. However, the QC model still provides a very good quantitative approximation of the entire solution family. Importantly, it captures the singular nature of the superkink limit as well as near-sonic regimes exceptionally well.

6. Stability of superkinks and solitary waves

To investigate the linear stability of the obtained traveling wave solutions in the problem with cubic nonlinearity (4), we follow the approach in [64, 65, 62] and use Floquet analysis that exploits periodicity-modulo-shift (35) of the traveling wave solutions. Substituting $w_n(t) = \hat{w}_n(t) + \epsilon y_n(t)$ into (3), where $\hat{w}_n(t) = w(n - Vt)$ is the traveling wave solution, and considering $O(\epsilon)$ terms, we obtain the governing equations for the linearized problem:

$$\ddot{y}_n = f'(\hat{w}_{n+1})y_{n+1} - 2f'(\hat{w}_n)y_n + f'(\hat{w}_{n-1})y_{n-1}. \quad (40)$$

The Floquet multipliers μ for this problem are the eigenvalues of the monodromy matrix \mathcal{M} defined by

$$\begin{bmatrix} \{y_{n+1}(T)\} \\ \{\dot{y}_{n+1}(T)\} \end{bmatrix} = \mathcal{M} \begin{bmatrix} \{y_n(0)\} \\ \{\dot{y}_n(0)\} \end{bmatrix}. \quad (41)$$

To obtain \mathcal{M} , we compute the fundamental solution matrix $\Psi(T)$, which maps $[\{y_n(0)\}, \{\dot{y}_n(0)\}]^T$ onto $[\{y_n(T)\}, \{\dot{y}_n(T)\}]^T$, $n = -N/2, \dots, N/2 - 1$, for the first-order linear system equivalent to (40). We use periodic boundary conditions $y_{N/2}(t) = y_{-N/2}(t)$, $y_{-N/2-1}(t) = y_{N/2-1}(t)$, which is justified by the fact that for both solitary waves and superkinks in the problem with cubic nonlinearity (4) the values $f'(\hat{w}_n)$ at the two ends of a large chain rapidly approach the same constant value. We then shift the rows of $\Psi(T)$ up by one row in the two parts of the matrix corresponding to y_n and \dot{y}_n , respectively, with the last row in each part replaced by the first, obtaining \mathcal{M} in (41).

The Floquet multipliers are related to the eigenvalues λ of the linearization operator via $\mu = e^{\lambda/V}$, and thus $|\mu| > 1$ ($\text{Re}(\lambda) > 0$) corresponds to instability. The Hamiltonian nature of the problem means that there are quadruples of non-real Floquet multipliers, i.e., if μ is a multiplier, then so are $\bar{\mu}$, $1/\mu$ and $1/\bar{\mu}$, while the real multipliers come in pairs μ and $1/\mu$. Linear stability thus requires that all Floquet multipliers lie on the unit circle: $|\mu| = 1$.

To further explore stability of the waves, we complement the Floquet analysis by direct numerical simulations.

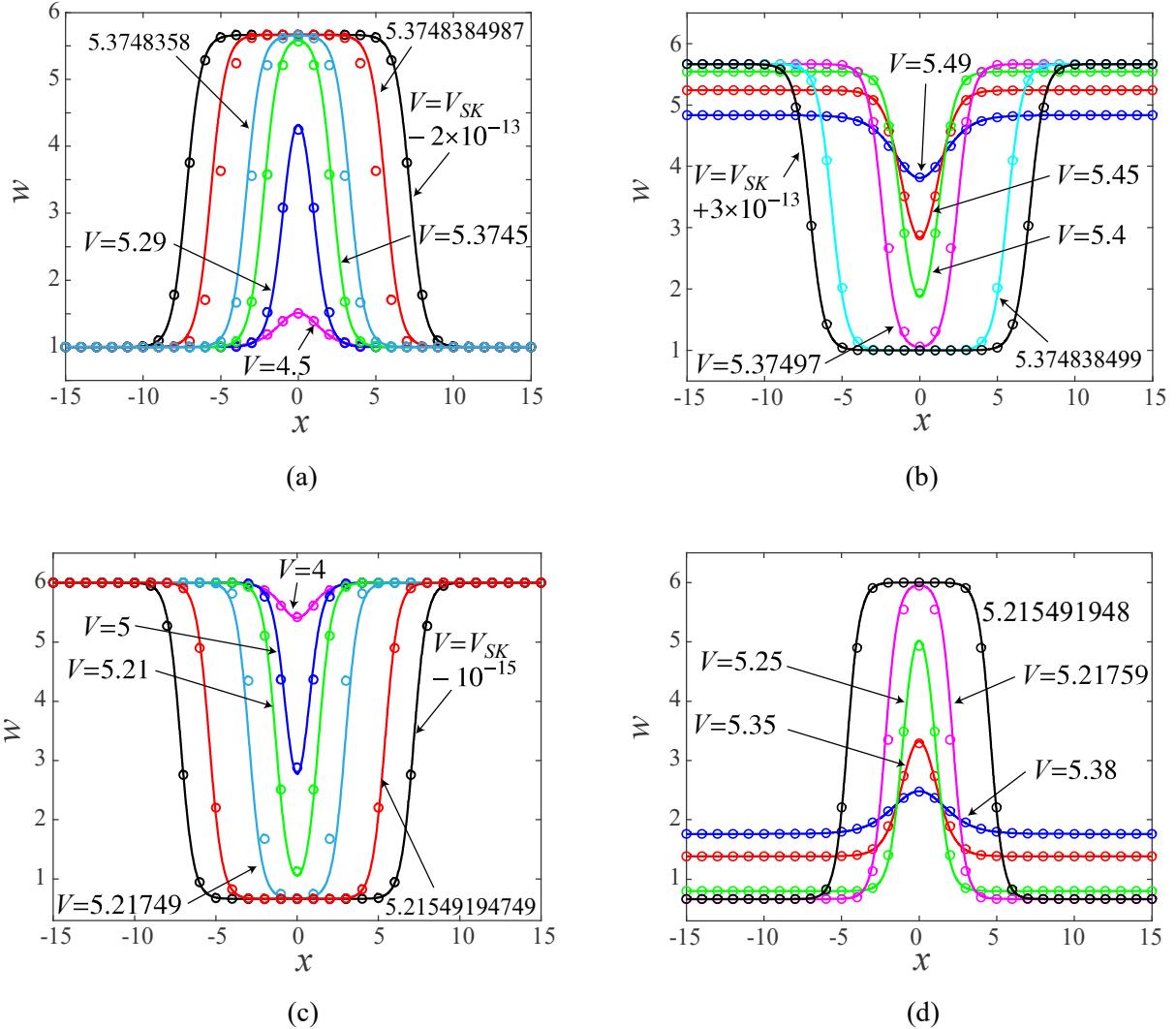


Figure 8: Solitary wave solutions $w_n(0) = w(n)$ of the discrete problem (8) (circles) and the corresponding solutions $w(x)$ for the QC model (18) (solid curves) evaluated at $t = 0$. The top two panels show (a) tensile waves below the superkink limit and (b) compressive waves above it at $w_- < w_*$. The two bottom panels show (c) compressive waves below the superkink limit and (d) tensile waves above it at $w_- > w_*$. Here $a = -1$, $b = 10$, and the values of w_- and the corresponding superkink velocity V_{SK} and sonic speeds c_\pm are $w_- = 1$, $V_{SK} = 2\sqrt{65}/3$, $c_+ = 3\sqrt{2}$, $c_- = 11/2$ in (a), (b) and $w_- = 6$, $V_{SK} = 7\sqrt{5}/3$, $c_+ = \sqrt{13}$, $c_- = \sqrt{29}$ in (c), (d).

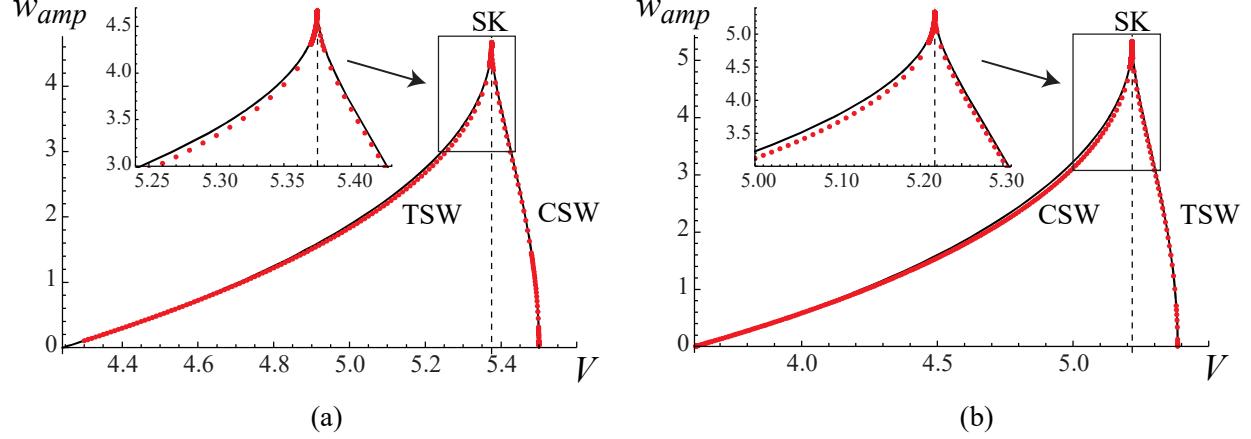


Figure 9: (a) Amplitude $w_{amp} = |w(0) - w|$ as a function of velocity V for solitary wave solutions of the discrete problem (8) (dots) with cubic nonlinearity (4) and the corresponding solutions for the QC model (18) (solid curves): (a) $w < w_*$; (b) $w > w_*$. The superkink limit (SK) is marked by the dashed vertical line, and tensile and compressive waves are marked by TSW and CSW, respectively. Here $a = -1$, $b = 10$, and the values of w and the corresponding superkink velocity V_{SK} and sonic speeds c_\pm are $w = 1$, $V_{SK} = 2\sqrt{65}/3$, $c_+ = 3\sqrt{2}$, $c_- = 11/2$ in (a) and $w = 6$, $V_{SK} = 7\sqrt{5}/3$, $c_+ = \sqrt{13}$, $c_- = \sqrt{29}$ in (b). Insets zoom in inside the rectangles.

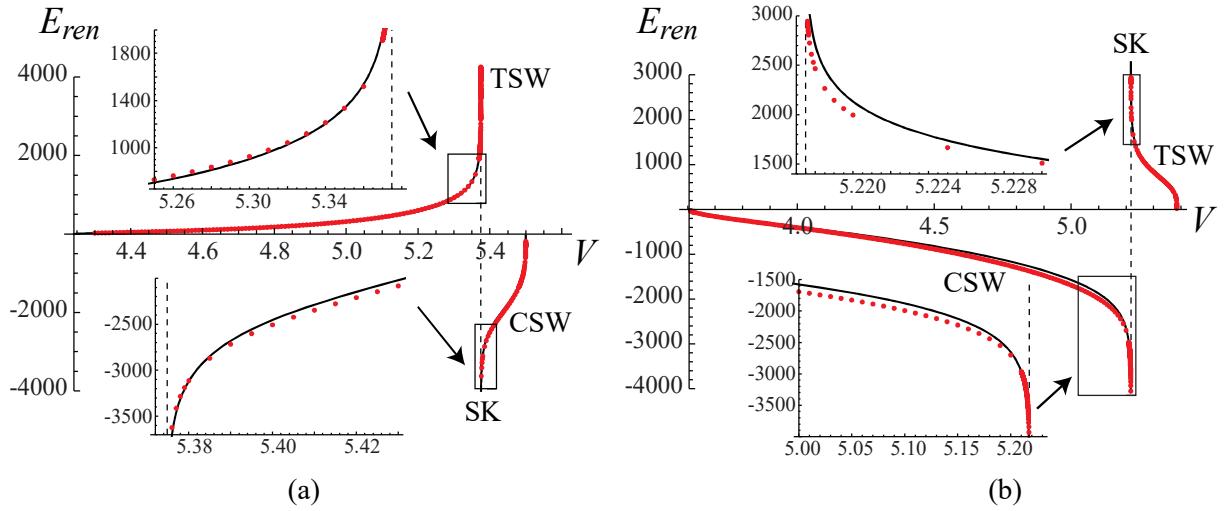


Figure 10: Renormalized energy E_{ren} given by (39) as a function of velocity V for solitary wave solutions of the discrete problem (8) (dots) with cubic nonlinearity (4) and the corresponding energy (34) for the QC model (18) (solid curves): (a) $w < w_*$; (b) $w > w_*$. The superkink limit (SK) is marked by the dashed vertical line, and tensile and compressive waves are marked by TSW and CSW, respectively. Here $a = -1$, $b = 10$, and the values of w and the corresponding superkink velocity V_{SK} and sonic speeds c_\pm are $w = 1$, $V_{SK} = 2\sqrt{65}/3$, $c_+ = 3\sqrt{2}$, $c_- = 11/2$ in (a) and $w = 6$, $V_{SK} = 7\sqrt{5}/3$, $c_+ = \sqrt{13}$, $c_- = \sqrt{29}$ in (b). Insets zoom in inside the rectangles.

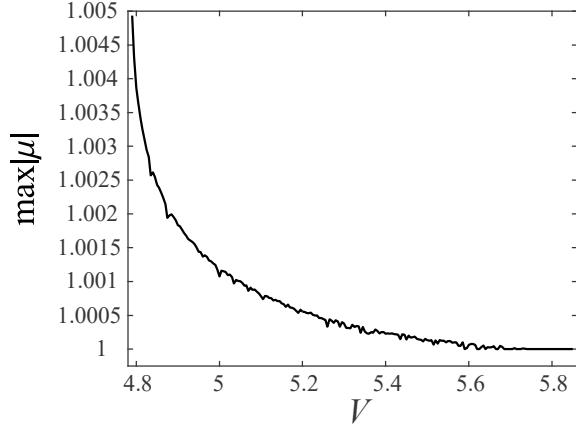


Figure 11: Maximum modulus of Floquet multipliers for superkink solutions in the discrete problem with cubic nonlinearity (4). Here $a = -1$, $b = 10$.

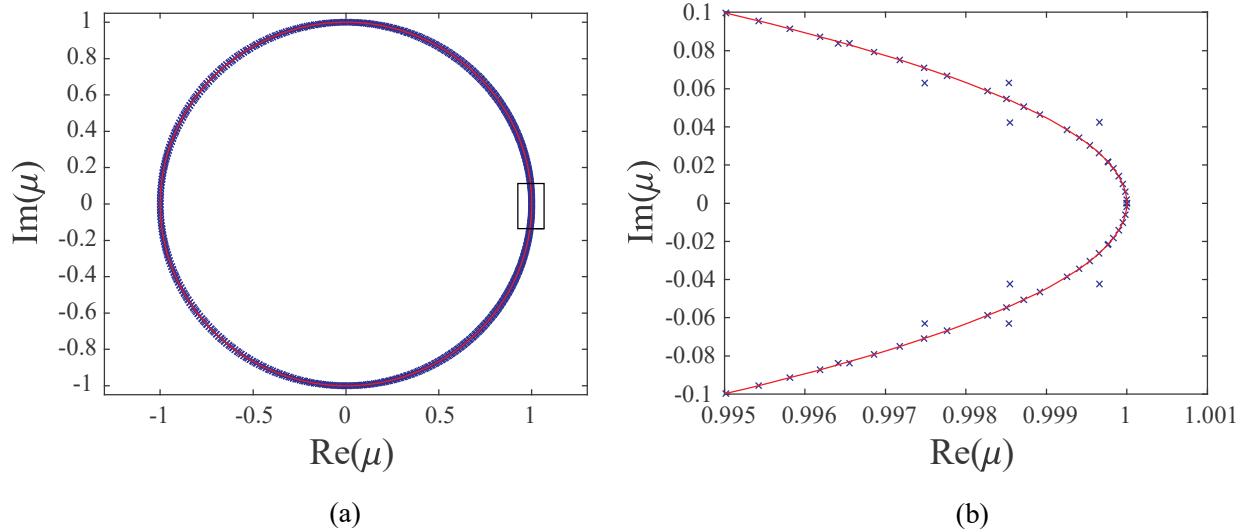


Figure 12: (a) Floquet multipliers μ (blue crosses) for the superkink solution of the discrete problem with cubic nonlinearity (4) at $V = 5.2$. (b) Enlarged version of the region inside the rectangle in (a) showing multipliers with $|\mu| > 1$ that correspond to mild oscillatory instabilities. The unit circle is shown in red. Here $a = -1$, $b = 10$.

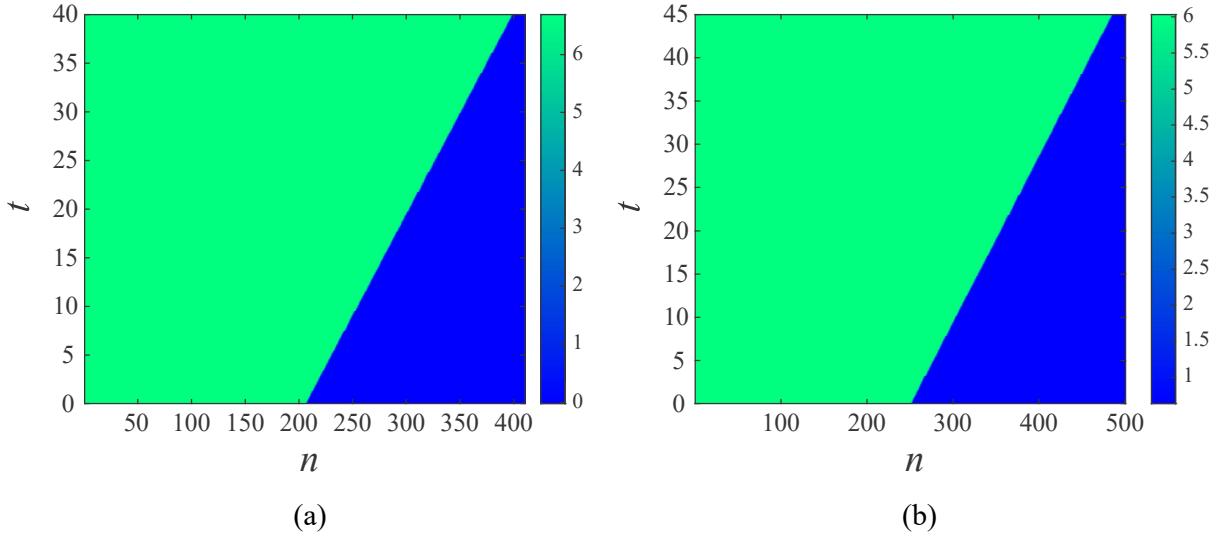


Figure 13: Space-time strain evolution initiated by computed superkink solutions with velocities (a) $V = 4.8$; (b) $V = 5.2$. Here $a = -1$, $b = 10$.

6.1. Stability of superkinks

Consider the superkink solutions in the case of cubic nonlinearity (4) with $a = -1$, $b = 10$, which are shown in Fig. 7. In this case the velocity range (25) for the superkink traveling waves is $4.78423 < V < 5.85947$. The results of our Floquet computation with $N = 500$, shown in Fig. 11, indicate that for $V \geq 5.74$ within this range the maximum modulus of the Floquet multipliers exceeds 1 by $O(10^{-8})$ at most, and thus the corresponding solutions may be considered linearly stable within the accuracy of numerical computation. Below this threshold, quartets of complex multipliers corresponding to oscillatory instability modes emerge from the unit circle for some velocities, as illustrated in Fig. 12, and rejoin it for others. However, the associated instabilities remain small in magnitude ($|\mu| < 1.0001$) for $V \geq 5.57$, and the maximum modulus, which exhibits an overall growth as velocity is decreased toward the lower bound, stays below 1.005 over the entire velocity range. It should be noted that the Floquet multipliers associated with the oscillatory instabilities depend on the chain size; in particular, their magnitudes decrease as the chain size is increased. This suggests that similar to the case of discrete breathers [66] and solitary waves [65], these mild instabilities are a spurious artifact of the finite chain size and disappear as N tends to infinity.

In addition to the Floquet analysis, we tested stability of the superkinks by conducting numerical simulations of (3) on a finite chain using the Dormand-Prince algorithm. In the first set of simulations, we extracted initial conditions from the computed superkink solutions, i.e., set $w_n(0) = \hat{w}_n(0)$ and $\dot{w}_n(0) = \dot{\hat{w}}_n(0)$, and used the corresponding fixed boundary conditions (38). These simulations resulted in steady propagation of the traveling wave with velocity that remained within $O(10^{-8})$ or less from the prescribed value for the entire range of velocities, suggesting that the traveling waves are at least long-lived and likely stable. Representative examples are shown in Fig. 13.

The second set of simulations was conducted on a chain with L particles using free-end boundary

conditions and Riemann initial data

$$w_n(0) = \begin{cases} w^l, & 1 \leq n \leq L/2 \\ w^r, & L/2 + 1 \leq n \leq L \end{cases}, \quad \dot{w}_n(0) = 0, \quad n = 1, \dots, L, \quad (42)$$

where the left strain satisfies $w_* < w^l < (b + \sqrt{b^2 + 3|a|})/(3|a|)$, in accordance with the bounds for the limiting strain behind a superkink, and we set the right strain to zero: $w^r = 0$. The strain values obtained in the simulations remained within the region where $f'(w) > 0$. The size L of the chain was chosen sufficiently large to avoid any boundary effects.

As a representative example, we show the results for $w^l = 4.6$ in Fig. 14. One can see that the initial data leads to formation of two non-stationary (spreading) dispersive shock waves propagating in opposite directions and a superkink that travels to the left ahead of the corresponding dispersive shock wave (DSW) [72, 73, 74, 75, 76]. The numerically measured velocity of the superkink, $V = -5.7209$, coincides up to $O(10^{-8})$ with the value associated with the prescribed w^l ; see also the comparison of the (appropriately shifted) computed superkink solution and $w_{100}(t)$ in panel (c). Formation of the superkink front from generic initial conditions indicates its effective stability, in agreement with the results of the Floquet analysis. The velocity of the leading edge of the weak DSW moving to the left behind the superkink is $V_{DSW_l} = -5.6159$, while the strong DSW moving to the right propagates with $V_{DSW_r} = 4.6404$. As shown in panels (d) and (e), their leading edges are well approximated by computed solitary wave solutions with the corresponding velocities and background strains.

6.2. Stability of solitary waves

We also examined stability of the obtained solitary waves solutions using Floquet analysis and direct numerical simulations. In this case, the Floquet analysis also shows eventual emergence of spurious oscillatory instabilities that are similar to the ones we saw in the case of superkinks. As shown in Fig. 15, these instabilities are very mild: the maximum modulus, which increases as the superkink limit is approached, is bounded by 1.0006 and 1.001 in the two cases shown.

Direct numerical simulations initiated by computed solitary waves show their robust propagation with velocity within $O(10^{-8})$ or less from the prescribed value and suggest that the waves are effectively stable, or at least long-lived, in the entire velocity range; see Fig. 16 for representative examples.

We also considered generic Gaussian-type initial conditions of the form

$$w_n(0) = w_B + A \exp[-(1/2)(n - L/2)^2], \quad \dot{w}_n(0) = 0, \quad n = 1, \dots, L. \quad (43)$$

Using this initial data with various background strain w_B and signed amplitude A in simulations with free boundary conditions, we observed formation and steady propagation of both tensile (for $A > 0$) and compressive (for $A < 0$) solitary waves. Two examples are shown in Fig. 17. In the first example, shown in Fig. 17(a), we set $A = 6$ and $w_B = 1$. One can see formation of two tensile solitary waves of the same form propagating in opposite directions with velocities $V = \pm 5.2494$. The waves are trailed by small-amplitude dispersive waves. In the second case (Fig. 17(b)), where we set $A = -6$ and $w_B = 6$, there are two pairs of compressive solitary waves, the smaller-amplitude waves moving with velocities $V = \pm 3.811$ and the large-amplitude ones propagating with $V = \pm 5.025$, with dispersive waves trailing the smaller-amplitude solitary waves.

7. Conclusions

Classical studies of FPU-type systems involve weak nonlinearity, where the Hookean force-elongation relation of the linear theory is replaced by the simplest quadratic relation describing

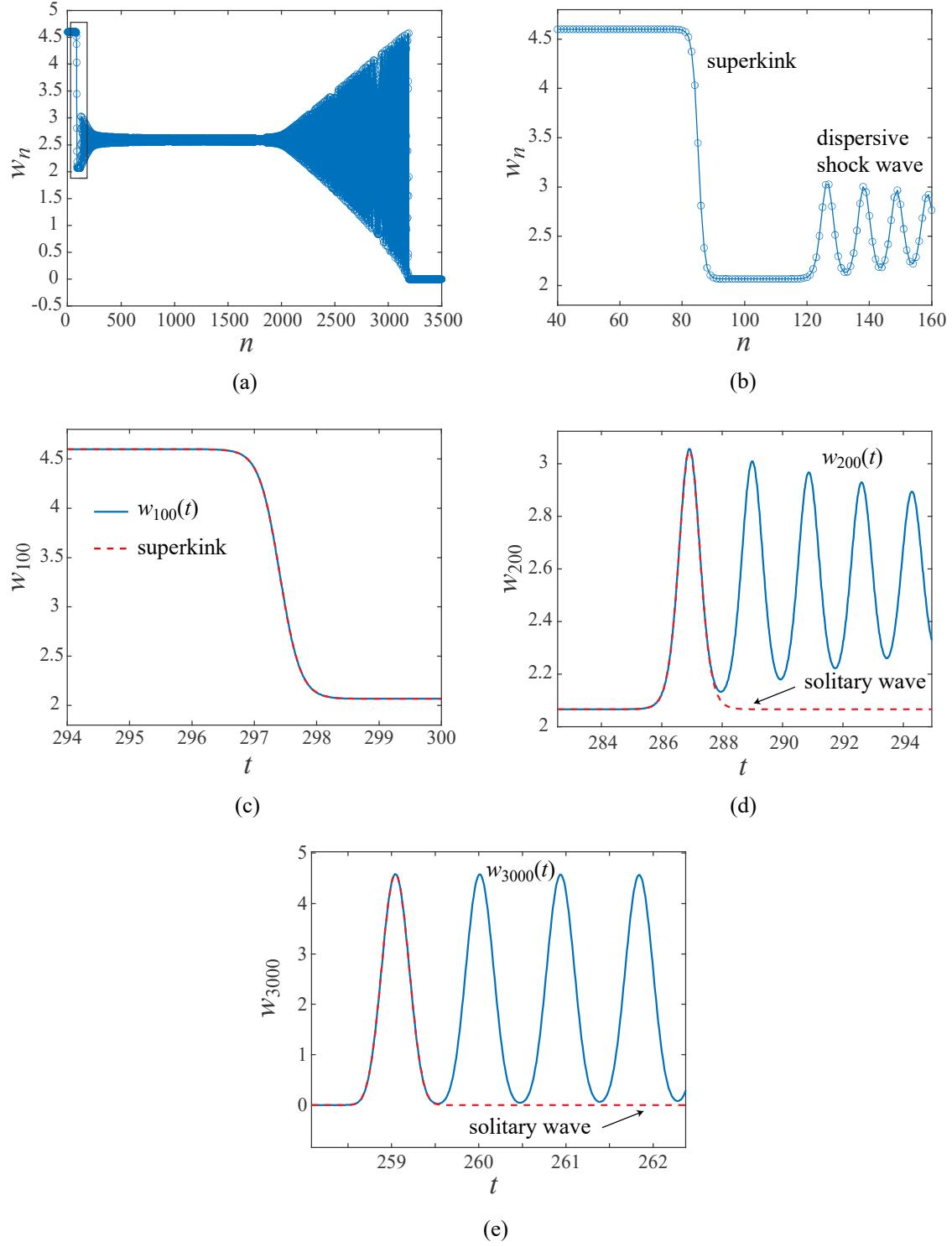


Figure 14: The results of simulations with Riemann initial data (42) with $w^l = 4.6$, $w^r = 0$, $L = 3600$ and cubic nonlinearity (4): (a) strain profile at $t = 300$; (b) enlarged version of the region inside the rectangle in (a); (c) superkink with $V = -5.7209$ (red dashed curve) and $w_{100}(t)$ (blue curve); (d) solitary wave with $V = -5.6159$, $w_B = 2.0667$ (red dashed curve) and $w_{200}(t)$ (blue curve); (e) solitary wave with $V = 4.6404$, $w_B = 0$ (red dashed curve) and $w_{3000}(t)$ (blue curve). Here $a = -1$, $b = 10$.

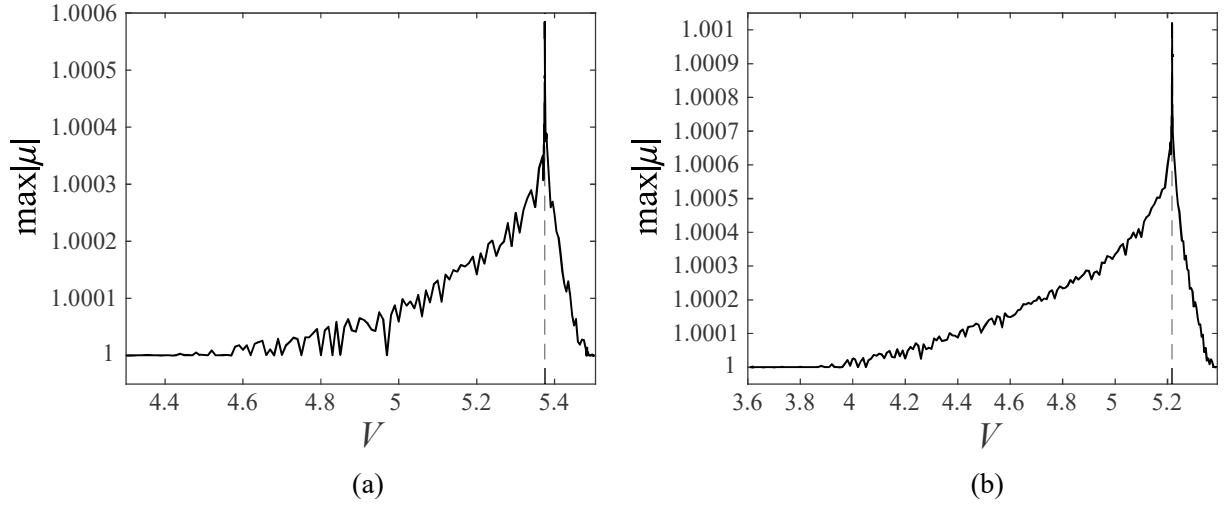


Figure 15: Maximum modulus of Floquet multipliers for solitary waves in the discrete problem with cubic nonlinearity (4): (a) $w_+ = 1$; (b) $w_+ = 6$. Here $a = -1$, $b = 10$, and the dashed vertical lines mark corresponding superkink velocity values.

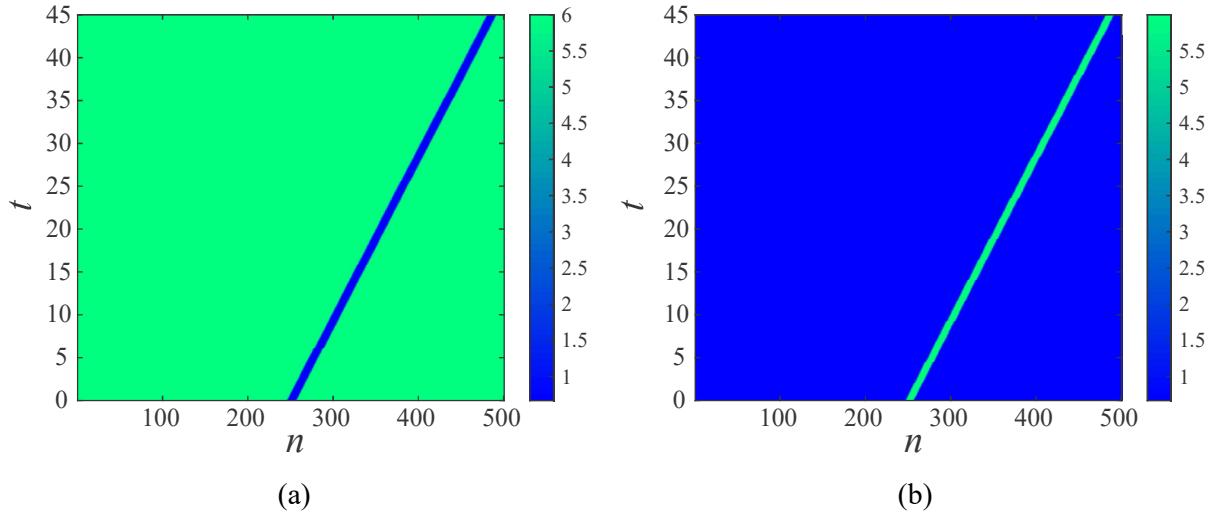


Figure 16: Space-time strain evolution initiated by computed solitary wave solutions slightly below and slightly above the superkink limit, with velocities (a) $V = 5.21749194749$ (a compressive wave); (b) $V = 5.2174919476$ (a tensile wave). Here $a = -1$, $b = 10$, $w_+ = 6$, $V_{SK} = 7\sqrt{5}/3 = 5.21749194749951$.

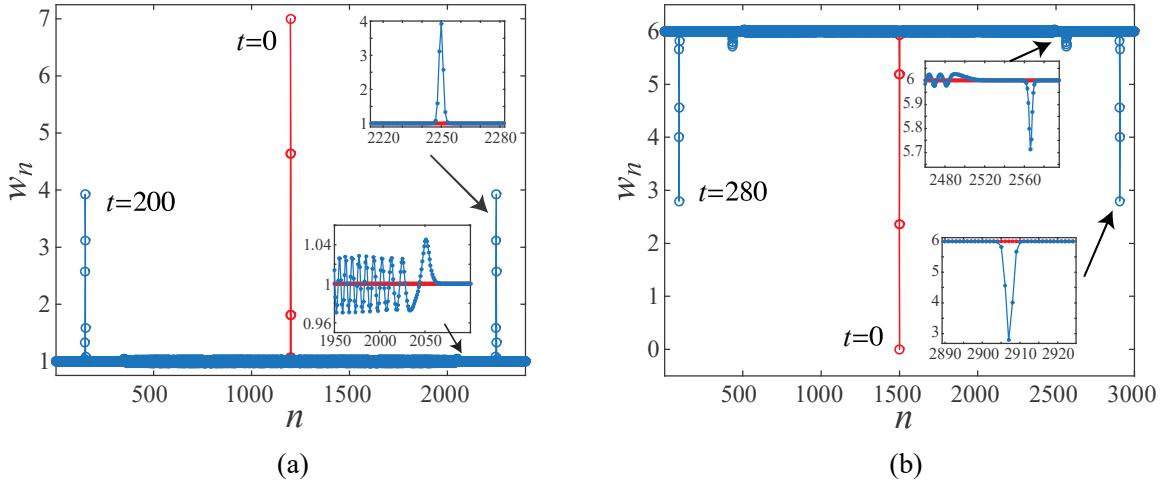


Figure 17: Initial and final strain profiles in simulations with cubic nonlinearity (4) and initial data (43) with (a) $w_B = 1, A = 6, L = 2400$; (b) $w_B = 6, A = -6, L = 3000$. Insets zoom in on the solitary and dispersive waves. Here $a = -1, b = 10$.

either hardening or softening of the mechanical response of the springs. The main nonlinear effect in such setting is the emergence of solitary wave solutions parameterized by their velocities and stretching continuously over a semi-infinite range of velocities from the weak, strongly continuum near-sonic waves to the strongly discrete ones moving with arbitrarily large supersonic velocity. In this setting, a hardening nonlinearity produces tensile solitary waves while a softening nonlinearity generates their compressive analogs.

In this paper we considered the synthetic model containing hardening-softening nonlinearity, which can be represented by the simplest cubic force-strain relation. In other words, we considered a version of the classical Hamiltonian FPU problem with peculiar springs where a hardening response is taken over by a softening regime above a critical strain value. The resulting dynamic picture is expectedly more complex, with both tensile and compressive solitary waves simultaneously present, even if in different parameter ranges.

The proposed version of the FPU model was also shown to demonstrate a fundamentally new feature emerging as a result of the interplay between hardening and softening. Thus, in addition to conventional solitary waves, such discrete system also supports non-topological and dissipation-free kinks. More precisely, we showed that in the proposed model, instead of growing without bound, the amplitude of both compressive and tensile solitary waves saturates around a velocity value which can be interpreted as a critical regime. Around this value of the parameter the compressive and the tensile solitary waves each converge to a configuration that can be seen as a bundle (or tandem) of infinitely separated kinks and antikinks. The infinite width of such a bundle suggests that the effective correlation length diverges and the increasingly flattening top or bottom of the near-critical solitary waves points towards the formation of a “second phase”, which can now coexist with the original ground state, or the “first phase”.

The emerging picture is rather remarkable given that the elastic energy density remains convex within the range of strains involved in these solutions. The emergence of the “second phase” can be thus interpreted as a purely dynamical phenomenon, requiring a delicate interplay between kinetic and potential energy which are then conspiring to produce an effectively dynamic double-well structure. In this perspective solitary waves can be viewed as crossover features connecting sonic

waves in both phases with the critical waves represented by stable supersonic kinks and antikinks. While the latter are fully nonlocal, as they are conditioned by the limits at plus and minus infinity, they are non-topological, in contrast to conventional sine-Gordon-type kinks, as the limiting states are not separated by an elastic energy barrier. A striking feature of the proposed model is that both nonlocal kinks and local solitary waves can move in a discrete setting with the same speed and without radiating lattice waves.

An interesting property of our hardening-softening version of the FPU model is that all the crucial features of the traveling wave solutions can be already captured by the simplest QC approximation, which, however, is not of a conventional KdV type and instead involves temporal dispersion. The analytical transparency of the proposed QC model allowed us to corroborate and to rationalize theoretically various effects observed in our numerical investigation of the discrete model. Near superkink limit the observed agreement between the QC and discrete models was not only qualitative but also quantitative, which is not surprising in view of the critical nature of such regimes.

Finally, we mention that various localized traveling waves studied in this paper can be viewed as elementary bits of mechanical information that can be generated, delivered, and erased in periodic lattice metamaterials. Due to the presence of stress-sensitive repeating structural units, such metamaterials can be designed to exhibit complex mechanical response, in particular, to ensure that the mechanically triggered switching and actuation takes place at a predefined place and at a given level of stress.

Acknowledgements

The work of AV was supported by the NSF grant DMS-2204880. LT acknowledges the support of the French Agence Nationale de la Recherche under the grant ANR-17-CE08-0047-02.

- [1] J. M. Speight, Topological discrete kinks, *Nonlinearity* 12 (5) (1999) 1373.
- [2] B. A. Malomed, Nonlinearity and discreteness: Solitons in lattices, in: P. G. Kevrekidis, J. Cuevas-Maraver, A. Saxena (Eds.), *Emerging Frontiers in Nonlinear Science*, Springer, 2020, pp. 81–110.
- [3] A. Askari, A. M. Marjaneh, Z. G. Rakhmatullina, M. Ebrahimi-Loushab, D. Saadatmand, V. A. Gani, P. G. Kevrekidis, S. V. Dmitriev, Collision of ϕ^4 kinks free of the Peierls–Nabarro barrier in the regime of strong discreteness, *Chaos Solitons Fractals* 138 (2020) 109854.
- [4] Y. S. Kivshar, B. A. Malomed, Dynamics of solitons in nearly integrable systems, *Rev. Modern Phys.* 61 (4) (1989) 763.
- [5] K. Bertoldi, V. Vitelli, J. Christensen, M. Van Hecke, Flexible mechanical metamaterials, *Nature Rev. Mater.* 2 (11) (2017) 1–11.
- [6] H. Yasuda, Y. Miyazawa, E. G. Charalampidis, C. Chong, P. G. Kevrekidis, J. Yang, Origami-based impact mitigation via rarefaction solitary wave creation, *Sci. Adv.* 5 (5) (2019) eaau2835.
- [7] O. M. Braun, Y. S. Kivshar, *The Frenkel-Kontorova model: concepts, methods, and applications*, Springer, 2004.
- [8] M. Peyrard, M. D. Kruskal, Kink dynamics in the highly discrete sine-Gordon system, *Physica D* 14 (1) (1984) 88–102.

- [9] B. A. Malomed, A. A. Nepomnyashchy, M. I. Tribelsky, Domain boundaries in convection patterns, *Phys. Rev. A* 42 (12) (1990) 7244.
- [10] K. Kawasaki, T. Ohta, Kink dynamics in one-dimensional nonlinear systems, *Phys. A* 116 (3) (1982) 573–593.
- [11] L. M. Pismen, Patterns and interfaces in dissipative dynamics, Vol. 30, Springer, 2006.
- [12] R. L. Pego, Front migration in the nonlinear Cahn-Hilliard equation, *Proc. Royal Soc. London. A* 422 (1863) (1989) 261–278.
- [13] S. M. Rubinstein, G. Cohen, J. Fineberg, Detachment fronts and the onset of dynamic friction, *Nature* 430 (7003) (2004) 1005–1009.
- [14] L. Truskinovsky, Kinks versus shocks, in: Shock induced transitions and phase structures in general media, Springer, 1993, pp. 185–229.
- [15] S. P. Fitzgerald, Kink pair production and dislocation motion, *Sci. Rep.* 6 (1) (2016) 39708.
- [16] M. Remoissenet, Waves called solitons: concepts and experiments, Springer Science & Business Media, 2013.
- [17] A. C. Newell, Solitons in mathematics and physics, SIAM, 1985.
- [18] A. S. Fokas, V. E. Zakharov, Important developments in soliton theory, Springer Science & Business Media, 2012.
- [19] A. Vainchtein, Solitary waves in FPU-type lattices, *Physica D* (2022) 133252.
- [20] M. J. Ablowitz, Nonlinear dispersive waves: asymptotic analysis and solitons, Vol. 47, Cambridge University Press, 2011.
- [21] J. R. Apel, Oceanic internal waves and solitons, An atlas of oceanic internal solitary waves 1 (2002) 1–40.
- [22] A. Pomyalov, Y. Lubomirsky, L. Braverman, E. A. Brener, E. Bouchbinder, Self-healing solitonic slip pulses in frictional systems, *Phys. Rev. E* 107 (1) (2023) L013001.
- [23] E. Fermi, P. Pasta, S. Ulam, M. Tsingou, Studies of the nonlinear problems, Tech. rep., Los Alamos National Laboratory, Los Alamos, NM, USA (1955).
- [24] G. Gallavotti, The Fermi–Pasta–Ulam problem: a status report, Vol. 728, Springer, 2007.
- [25] G. P. Berman, F. M. Izrailev, The Fermi–Pasta–Ulam problem: fifty years of progress, *Chaos* 15 (1) (2005) 015104.
- [26] Y. F. Yasenchuk, E. S. Marchenko, S. V. Gunter, G. A. Baigonakova, O. V. Kokorev, A. A. Volinsky, E. B. Topolnitsky, Softening effects in biological tissues and NiTi knitwear during cyclic loading, *Materials* 14 (21) (2021) 6256.
- [27] A. Sensini, L. Cristofolini, Biofabrication of electrospun scaffolds for the regeneration of tendons and ligaments, *Materials* 11 (10) (2018) 1963.

[28] P. Millereau, E. Ducrot, J. M. Clough, M. E. Wiseman, H. R. Brown, R. P. Sijbesma, C. Creton, Mechanics of elastomeric molecular composites, *Proc. Nat. Acad. Sci.* 115 (37) (2018) 9110–9115.

[29] J. K. Knowles, Impact-induced tensile waves in a rubberlike material, *SIAM J. Appl. Math.* 62 (4) (2002) 1153–1175.

[30] M. R. Schulze, M. Shearer, Undercompressive shocks for a system of hyperbolic conservation laws with cubic nonlinearity, Tech. rep., North Carolina State University. Center for Research in Scientific Computation (1997).

[31] G. Iooss, Travelling waves in the Fermi-Pasta-Ulam lattice, *Nonlinearity* 13 (3) (2000) 849.

[32] M. Herrmann, J. D. M. Rademacher, Heteroclinic travelling waves in convex FPU-type chains, *SIAM J. Math. Anal.* 42 (4) (2010) 1483–1504.

[33] M. Herrmann, Action minimising fronts in general FPU-type chains, *J. Nonlin. Sci.* 21 (1) (2011) 33–55.

[34] N. Gorbushin, L. Truskinovsky, Supersonic kinks and solitons in active solids, *Phil. Trans. Royal Soc. A* 378 (2162) (2020) 20190115.

[35] N. Gorbushin, L. Truskinovsky, Peristalsis by pulses of activity, *Phys. Rev. E* 103 (4) (2021) 042411.

[36] E. Kogan, The kinks, the solitons and the shocks in series-connected discrete Josephson transmission lines, *Phys. Status Solidi B* 259 (10) (2022) 2200160.

[37] E. Kogan, On the kinks, the solitons and the shocks in discrete nonlinear transmission line, arXiv preprint arXiv:2401.05261 (2024).

[38] V. Hakim, P. Jakobsen, Y. Pomeau, Fronts vs. solitary waves in nonequilibrium systems, *Europhys. Lett.* 11 (1) (1990) 19.

[39] W. Van Saarloos, P. C. Hohenberg, Pulses and fronts in the complex Ginzburg-Landau equation near a subcritical bifurcation, *Phys. Rev. Lett.* 64 (7) (1990) 749.

[40] B. A. Malomed, A. A. Nepomnyashchy, Kinks and solitons in the generalized Ginzburg-Landau equation, *Phys. Rev. A* 42 (10) (1990) 6009.

[41] P. Rosenau, A. Oron, Flatons: flat-top solitons in extended Gardner-like equations, *Commun. Nonlin. Sci. Numer. Simul.* 91 (2020) 105442.

[42] P. Rosenau, A. Pikovsky, Solitary phase waves in a chain of autonomous oscillators, *Chaos* 30 (5) (2020).

[43] P. Rosenau, A. Pikovsky, Waves in strongly nonlinear Gardner-like equations on a lattice, *Nonlinearity* 34 (8) (2021) 5872.

[44] J. Duan, P. Holmes, Fronts, domain walls and pulses in a generalized Ginzburg-Landau equation, *Proc. Edinburgh Math. Soc.* 38 (1) (1995) 77–97.

[45] V. B. Kazantsev, V. I. Nekorkin, M. G. Velarde, Pulses, fronts and chaotic wave trains in a one-dimensional Chua's lattice, *Internat. J. Bifur. Chaos* 7 (08) (1997) 1775–1790.

[46] H.-C. Chang, E. A. Demekhin, D. I. Kopelevich, Local stability theory of solitary pulses in an active medium, *Physica D* 97 (4) (1996) 353–375.

[47] H. Triki, Y. Sun, Q. Zhou, A. Biswas, Y. Yıldırım, H. M. Alshehri, Dark solitary pulses and moving fronts in an optical medium with the higher-order dispersive and nonlinear effects, *Chaos Solitons Fractals* 164 (2022) 112622.

[48] C. Fochesato, F. Dias, R. Grimshaw, Generalized solitary waves and fronts in coupled Korteweg–de Vries systems, *Physica D* 210 (1-2) (2005) 96–117.

[49] L. T. Yee, K. W. Chow, A localized pulse–moving front pair in a system of coupled complex Ginzburg–Landau equations, *J. Phys. Soc. Japan* 79 (12) (2010) 124003.

[50] W. Chang, J. M. Soto-Crespo, A. Ankiewicz, N. Akhmediev, Multiplicity of soliton transformations in the vicinity of the boundaries of their existence, in: *Complex Systems II*, Vol. 6802, SPIE, 2008, pp. 307–313.

[51] W.-S. Kim, H.-T. Moon, Soliton-kink interactions in a generalized nonlinear Schrödinger system, *Phys. Lett. A* 266 (4-6) (2000) 364–369.

[52] H. Zheng, Y. Xia, The solitary wave, kink and anti-kink solutions coexist at the same speed in a perturbed nonlinear Schrödinger equation, *J. Phys. A* 56 (15) (2023) 155701.

[53] N. Gorbushin, A. Vainchtein, L. Truskinovsky, Transition fronts and their universality classes, *Phys. Rev. E* 106 (2) (2022) 024210.

[54] M. A. Collins, A quasicontinuum approximation for solitons in an atomic chain, *Chem. Phys. Lett.* 77 (2) (1981) 342–347.

[55] P. Rosenau, Dynamics of nonlinear mass-spring chains near the continuum limit, *Phys. Let. A* 118 (5) (1986) 222–227.

[56] P. G. Kevrekidis, I. G. Kevrekidis, A. R. Bishop, E. S. Titi, Continuum approach to discreteness, *Phys. Rev. E* 65 (4) (2002) 046613.

[57] B.-F. Feng, Y. Doi, T. Kawahara, Quasi-continuum approximation for discrete breathers in Fermi–Pasta–Ulam atomic chains, *J. Phys. Soc. Japan* 73 (8) (2004) 2100–2111.

[58] C. I. Christov, G. A. Maugin, A. V. Porubov, On Boussinesq’s paradigm in nonlinear wave propagation, *C. R. Mécanique* 335 (9-10) (2007) 521–535.

[59] I. A. Kunin, *Elastic media with microstructure I: one-dimensional models*, Vol. 26, Springer Science & Business Media, 2012.

[60] M. Charlotte, L. Truskinovsky, Towards multi-scale continuum elasticity theory, *Cont. Mech. Thermodynam.* 20 (3) (2008) 133–161.

[61] S. Aubry, L. Proville, Pressure fronts in 1D damped nonlinear lattices, arXiv preprint arXiv:0910.4890 (2009).

[62] A. Vainchtein, J. Cuevas-Maraver, P. G. Kevrekidis, H. Xu, Stability of traveling waves in a driven Frenkel–Kontorova model, *Commun. Nonlin. Sci. Numer. Simul.* 85 (2020) 105236.

- [63] G. James, Traveling fronts in dissipative granular chains and nonlinear lattices, *Nonlinearity* 34 (3) (2021) 1758.
- [64] J. Cuevas-Maraver, P. G. Kevrekidis, A. Vainchtein, H. Xu, Unifying perspective: solitary traveling waves as discrete breathers in Hamiltonian lattices and energy criteria for their stability, *Phys. Rev. E* 96 (3) (2017) 032214.
- [65] H. Xu, J. Cuevas-Maraver, P. G. Kevrekidis, A. Vainchtein, An energy-based stability criterion for solitary travelling waves in Hamiltonian lattices, *Phil. Trans. Royal Soc. A* 376 (2117) (2018) 20170192.
- [66] J. L. Marín, S. Aubry, Finite size effects on instabilities of discrete breathers, *Physica D* 119 (1-2) (1998) 163–174.
- [67] G. Friesecke, J. A. D. Wattis, Existence theorem for solitary waves on lattices, *Commun. Mat. Phys.* 161 (2) (1994) 391–418.
- [68] D. Serre, Discrete shock profiles: Existence and stability, in: A. Bressan, D. Serre, M. Williams, K. Zumbrun, D. Serre (Eds.), *Hyperbolic Systems of Balance Laws: Lectures given at the CIME Summer School held in Cetraro, Italy, July 14–21, 2003*, Springer, 2007, pp. 79–158.
- [69] L. M. Truskinovskii, Dynamics of non-equilibrium phase boundaries in a heat conducting non-linearly elastic medium, *J. Appl. Math. Mech.* 51 (6) (1987) 777–784.
- [70] P. Rosenau, A. Oron, Compact patterns in a class of sublinear Gardner equations, *Commun. Nonlin. Sci. Numer. Simul.* 110 (2022) 106384.
- [71] J. L. Marín, S. Aubry, Breathers in nonlinear lattices: numerical calculation from the anticontinuous limit, *Nonlinearity* 9 (1996) 1501–1528.
- [72] M. Herrmann, J. D. M. Rademacher, Riemann solvers and undercompressive shocks of convex FPU chains, *Nonlinearity* 23 (2) (2010) 277.
- [73] G. A. El, M. A. Hoefer, Dispersive shock waves and modulation theory, *Physica D* 333 (2016) 11–65.
- [74] A. M. Kamchatnov, Dispersive shock wave theory for nonintegrable equations, *Phys. Rev. E* 99 (1) (2019) 012203.
- [75] P. K. Purohit, R. Abeyaratne, On the dissipation at a shock wave in an elastic bar, *Int. J. Solids Struct.* 257 (2022) 111371.
- [76] C. Chong, M. Herrmann, P. G. Kevrekidis, Dispersive shock waves in lattices: A dimension reduction approach, *Physica D* 442 (2022) 133533.