

Quantum spin liquids and the phases of the cuprates

Coshare Science 02, v3, 1-91 (2024)

Video link: <https://www.cosharescience.com/videodetail/127>

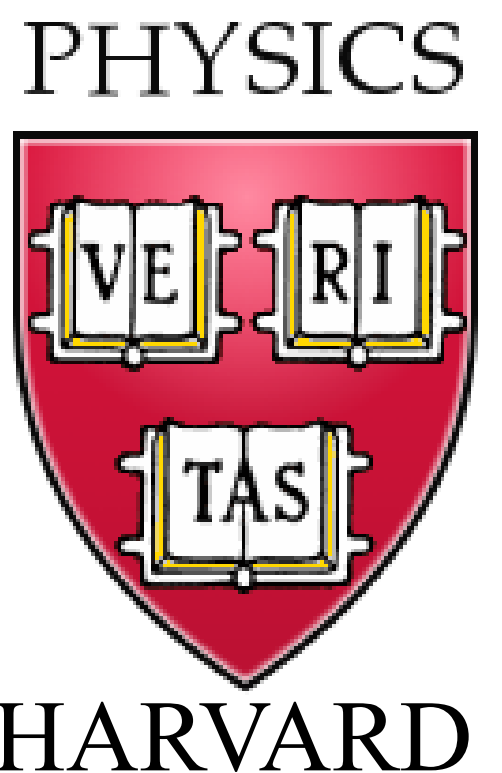
Subir Sachdev

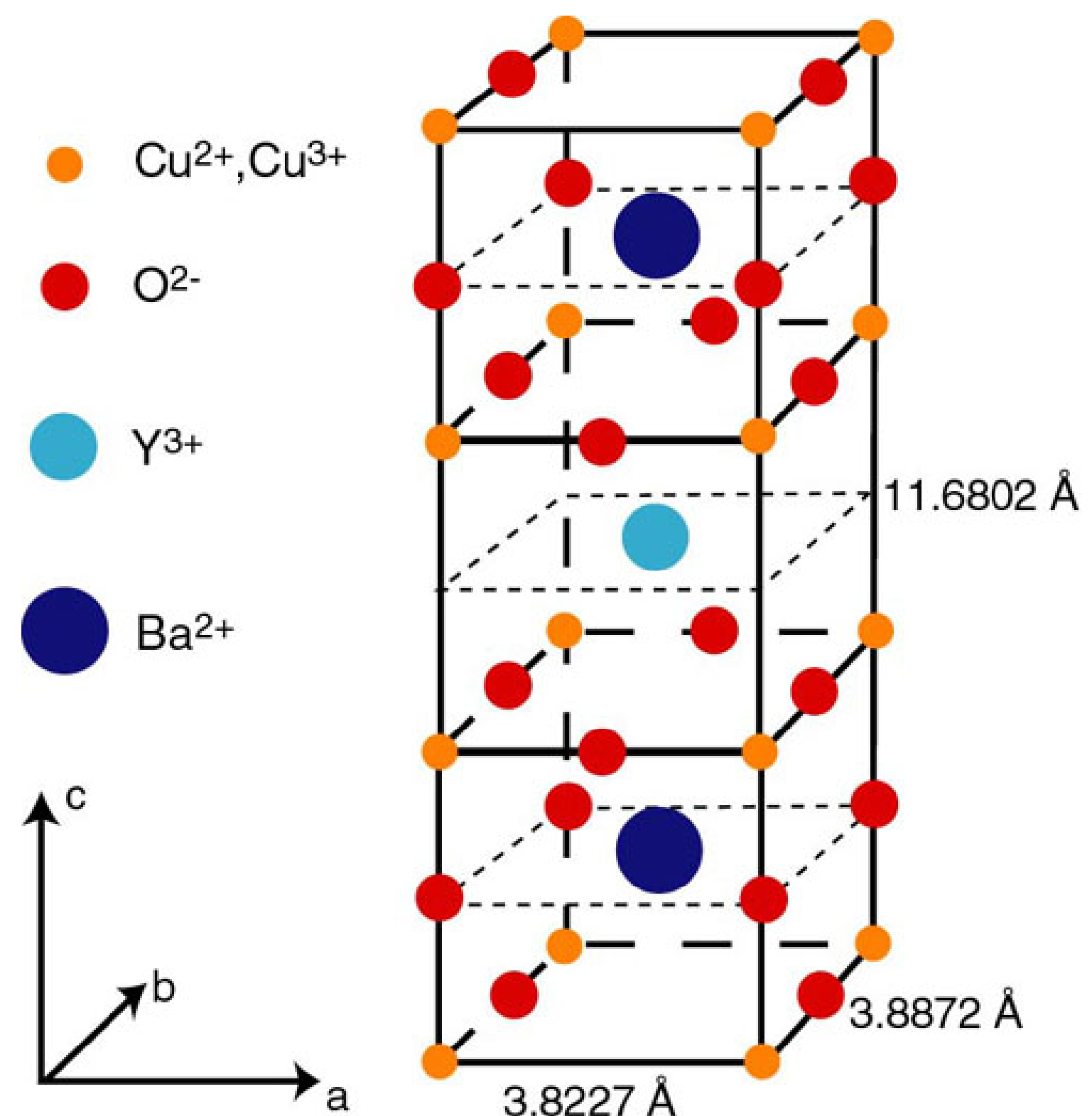
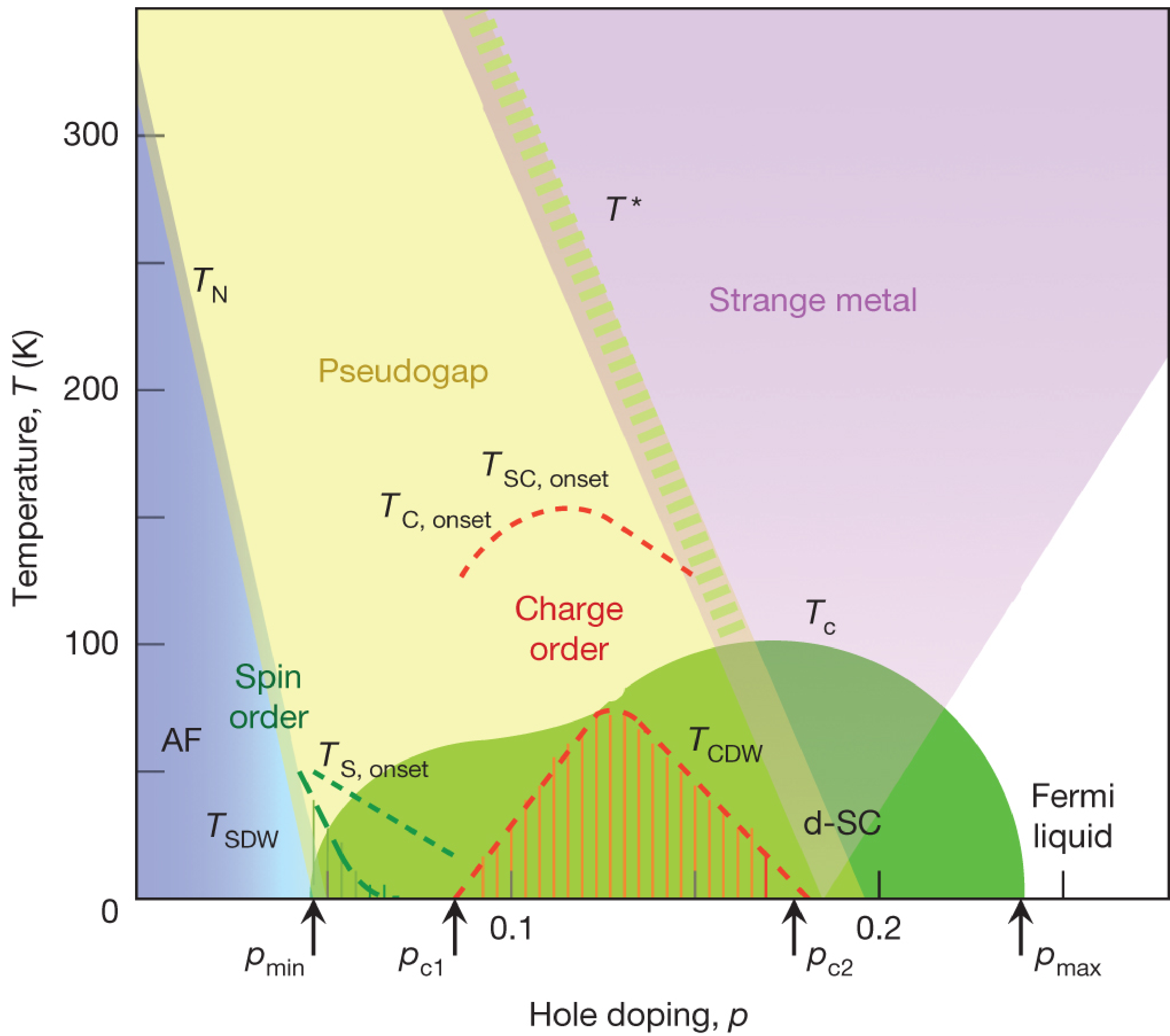
Christos, Luo, Shackleton, Zhang, Scheurer, and Sachdev,

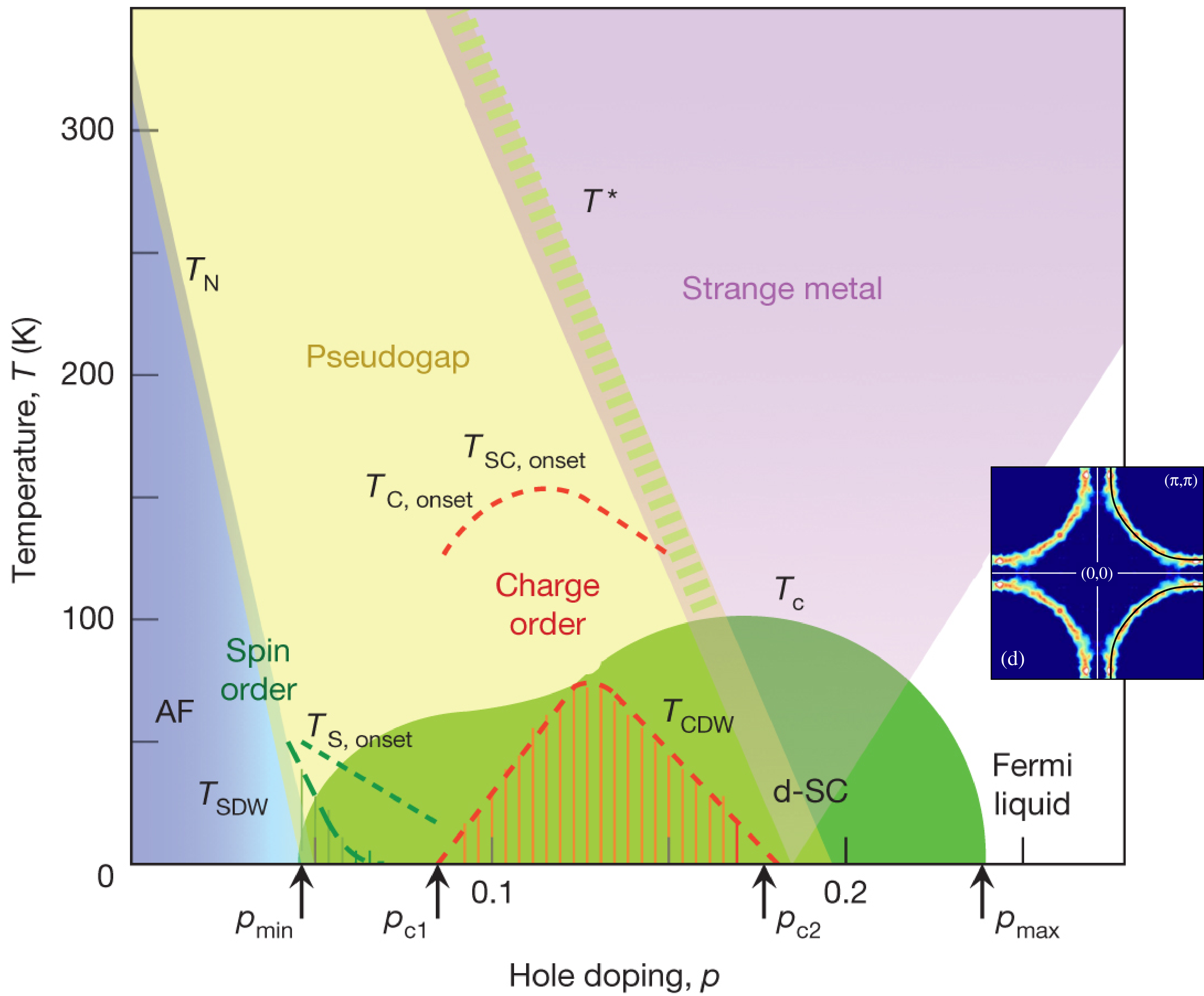
Proc. Nat. Acad. Sci. **120**, e2302701120 (2023)

Nikolaenko, Milczewski, Joshi, and Sachdev, *Phys. Rev. B* **108**, 045123 (2023)

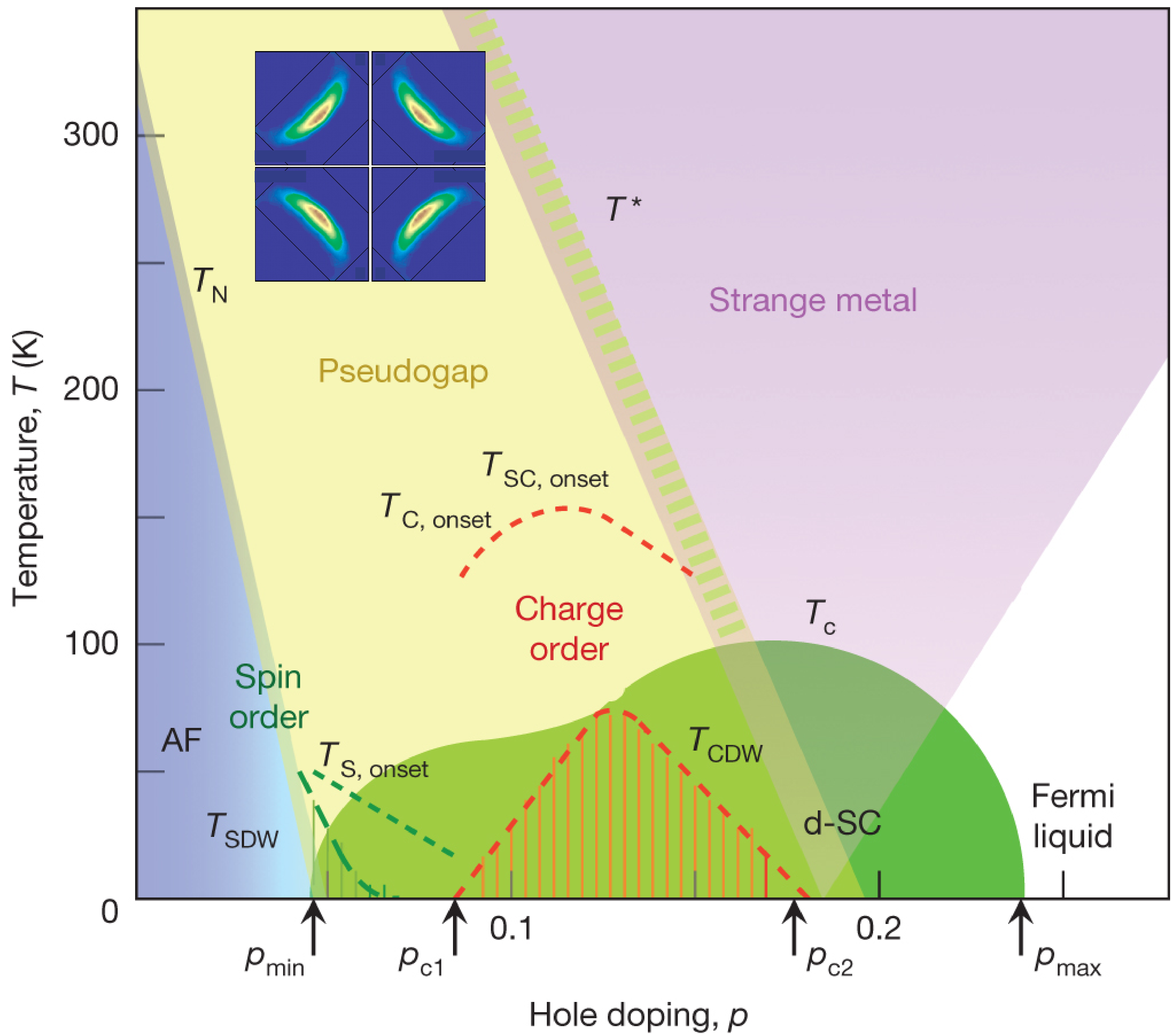
Christos and Sachdev, arXiv:2308.03835 (2023)



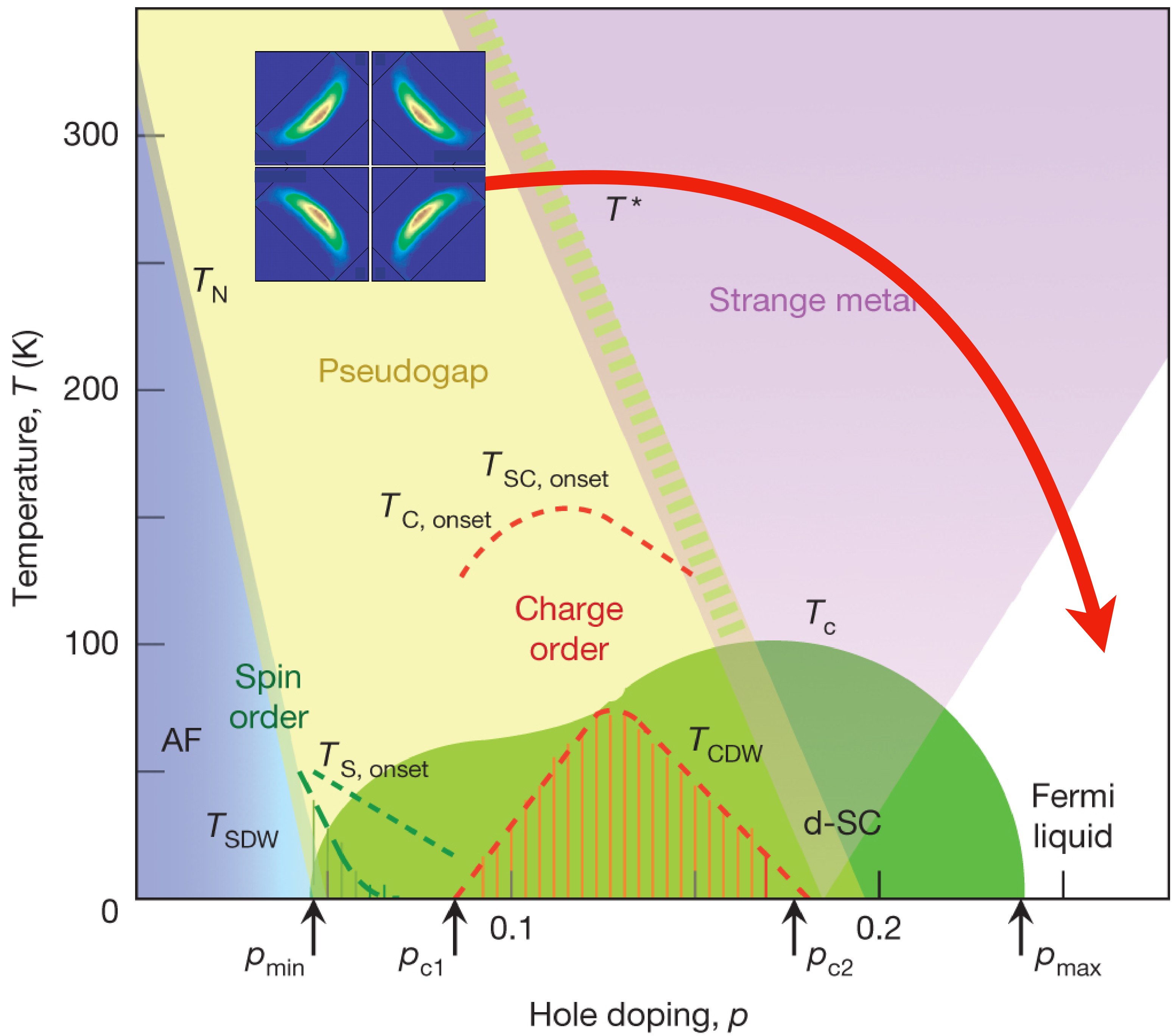




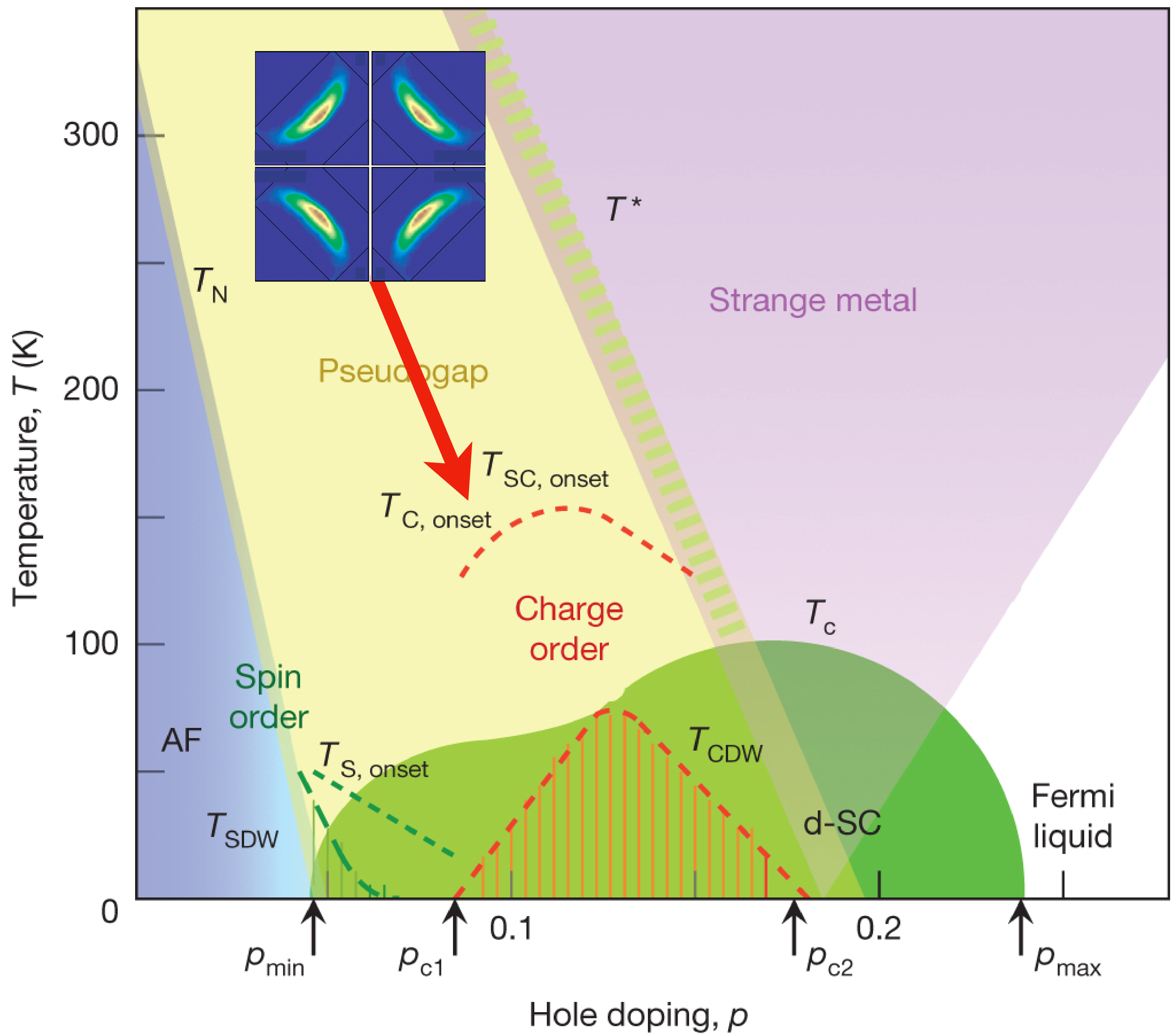
Fermi liquid
in the
overdoped metal



Theory for
“pseudogap metal”
with “Fermi arcs”?

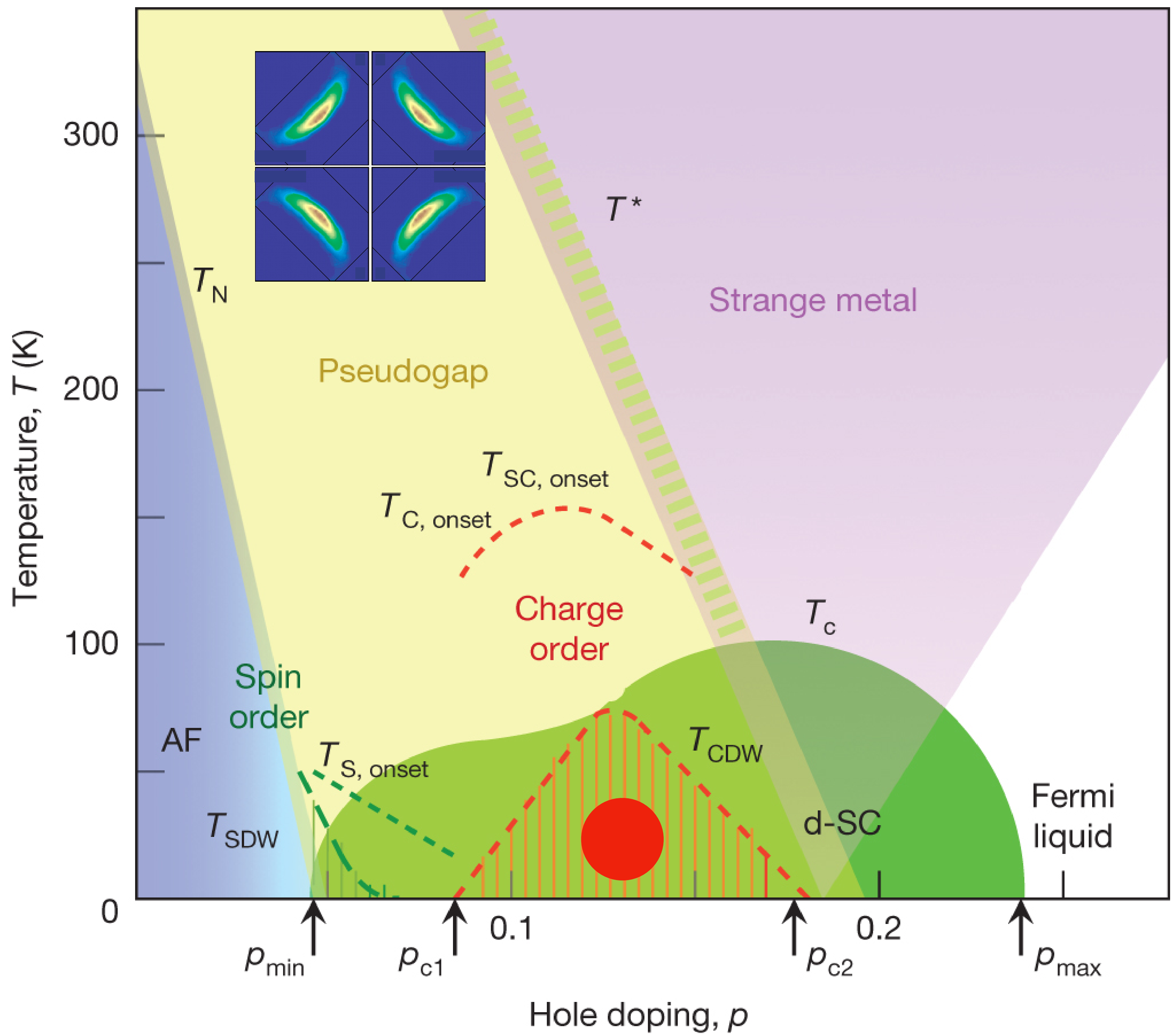


Strange metal from quantum criticality between pseudogap and Fermi liquid?



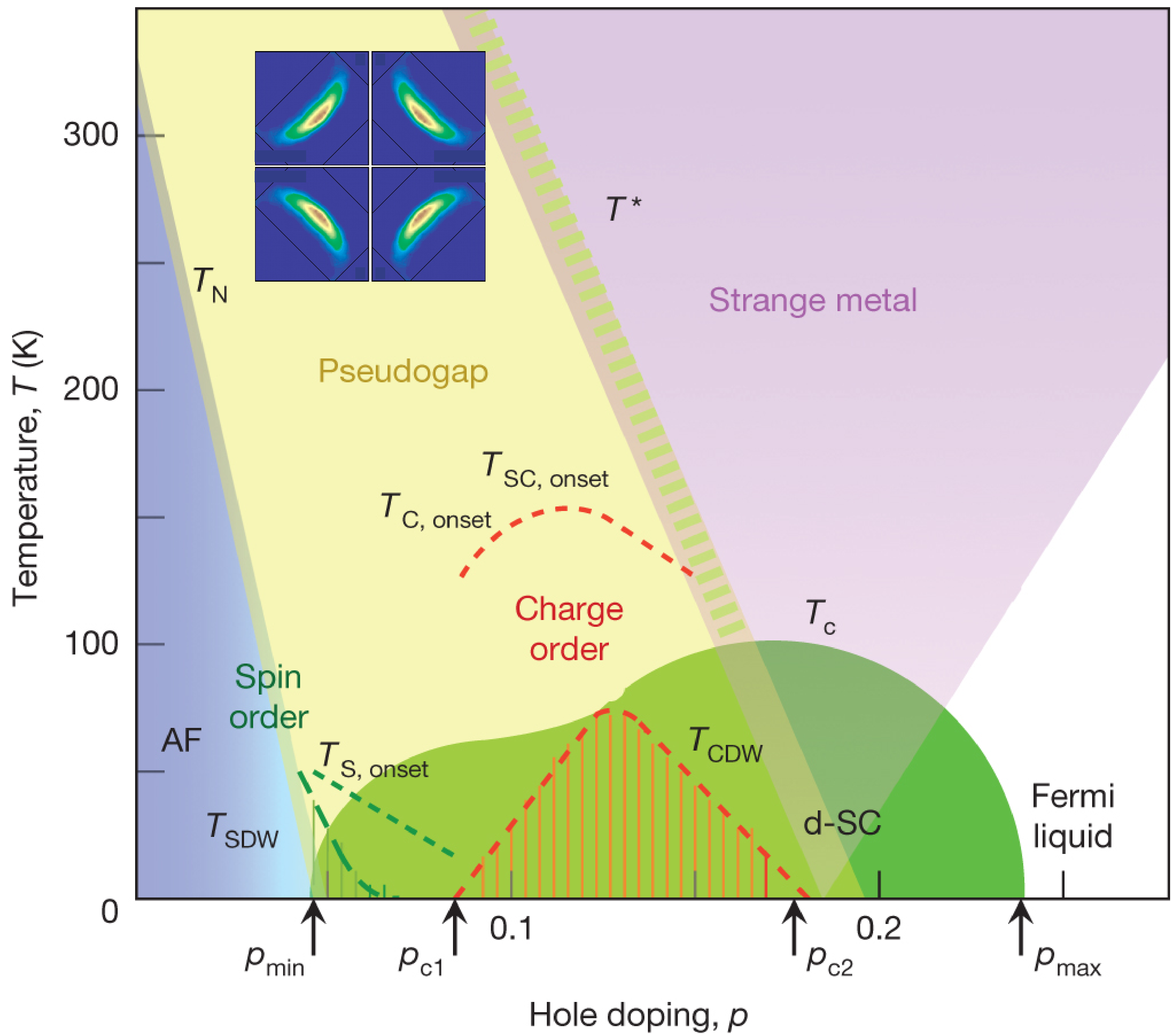
A theory for the onset of charge order and d -wave superconductivity from the pseudogap metal.

Why are T_c and T_{CDW} about the same?

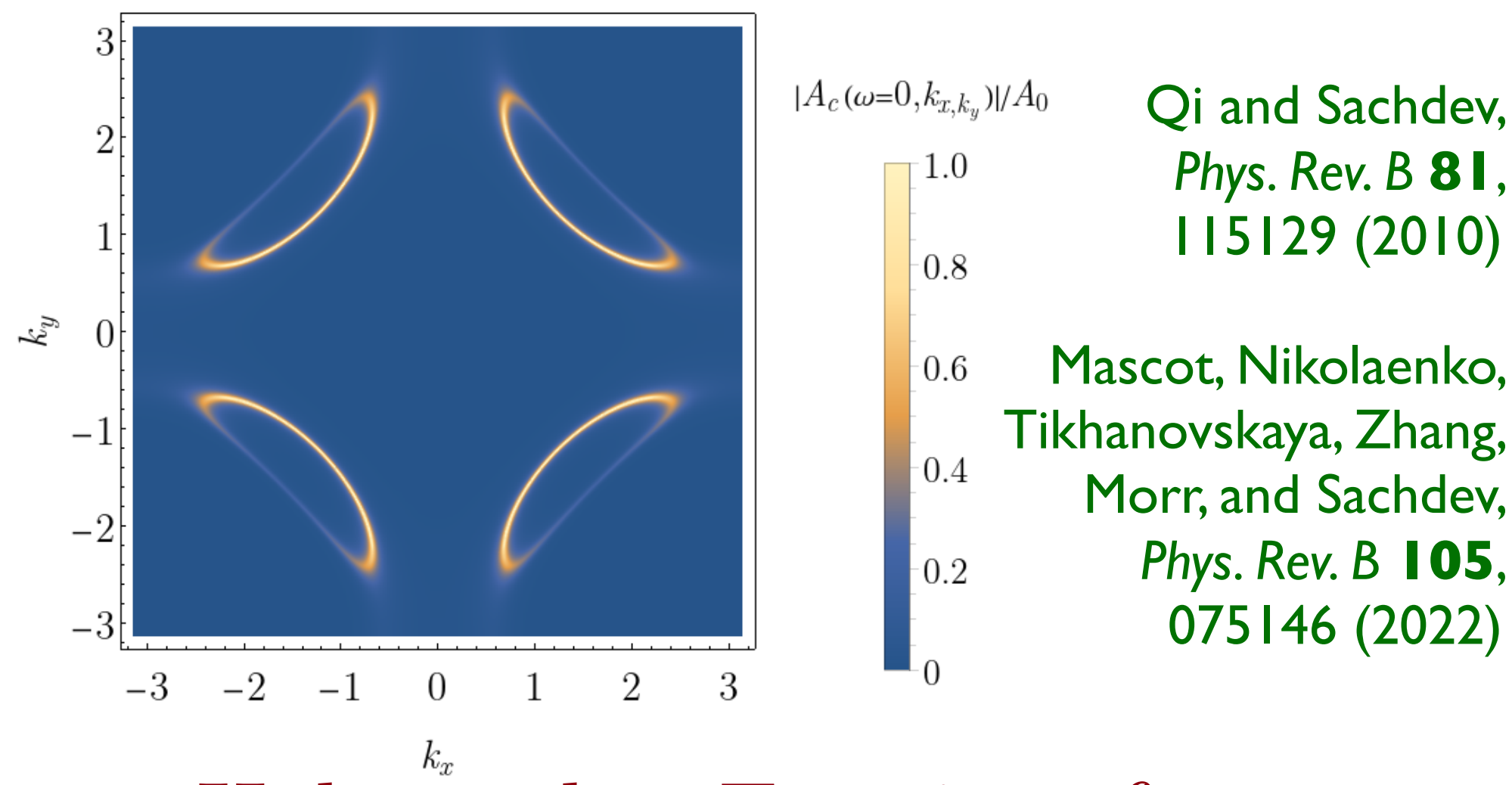
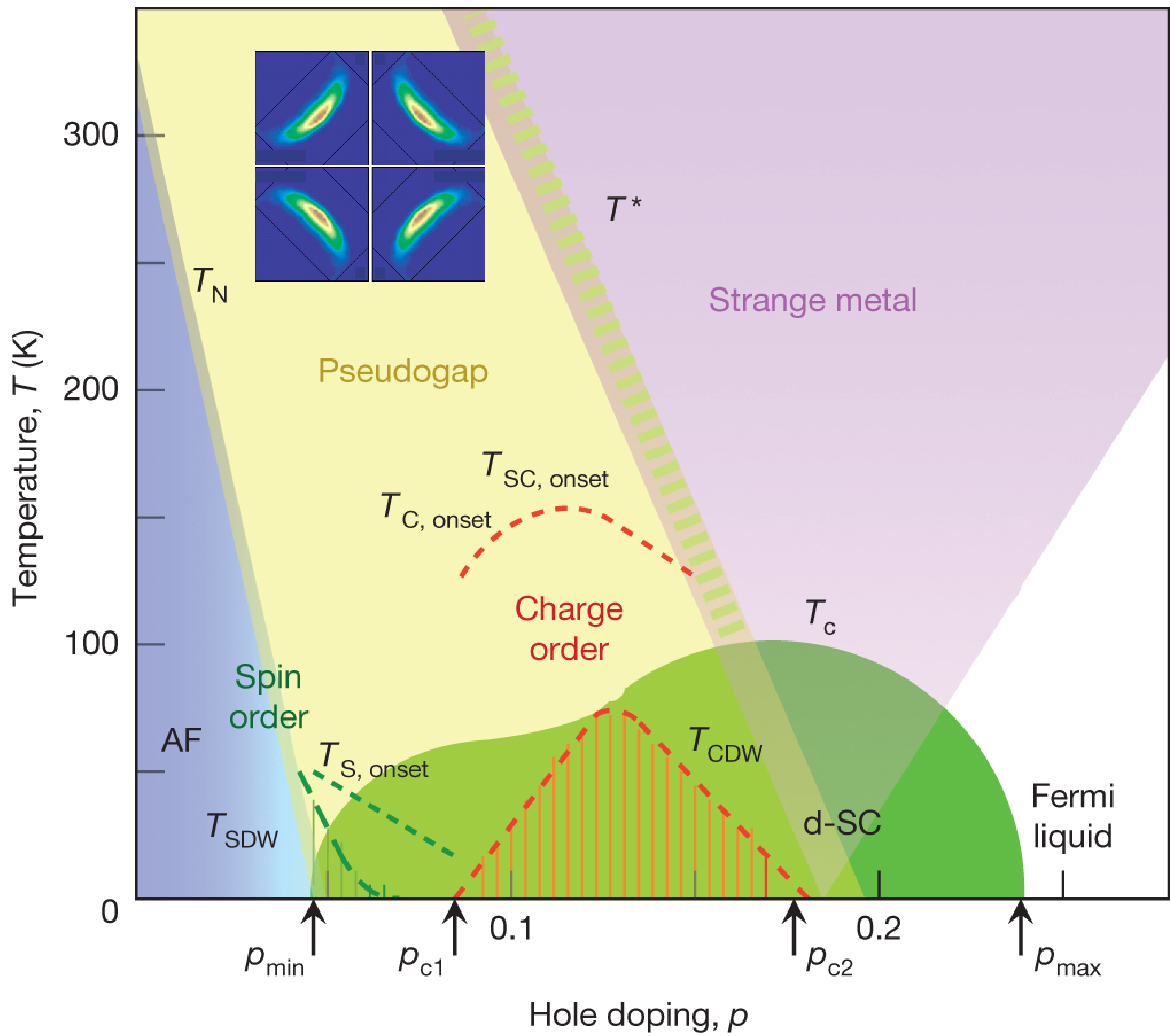


Quantum oscillations in the CDW phase at low T show only a single electron pocket.

This cannot be obtained as the CDW instability of a Fermi liquid



Build a theory for the
phase diagram from
a theory of
the pseudogap metal



Hole pocket Fermi surfaces
of size p with
charge e , spin-1/2 quasiparticles

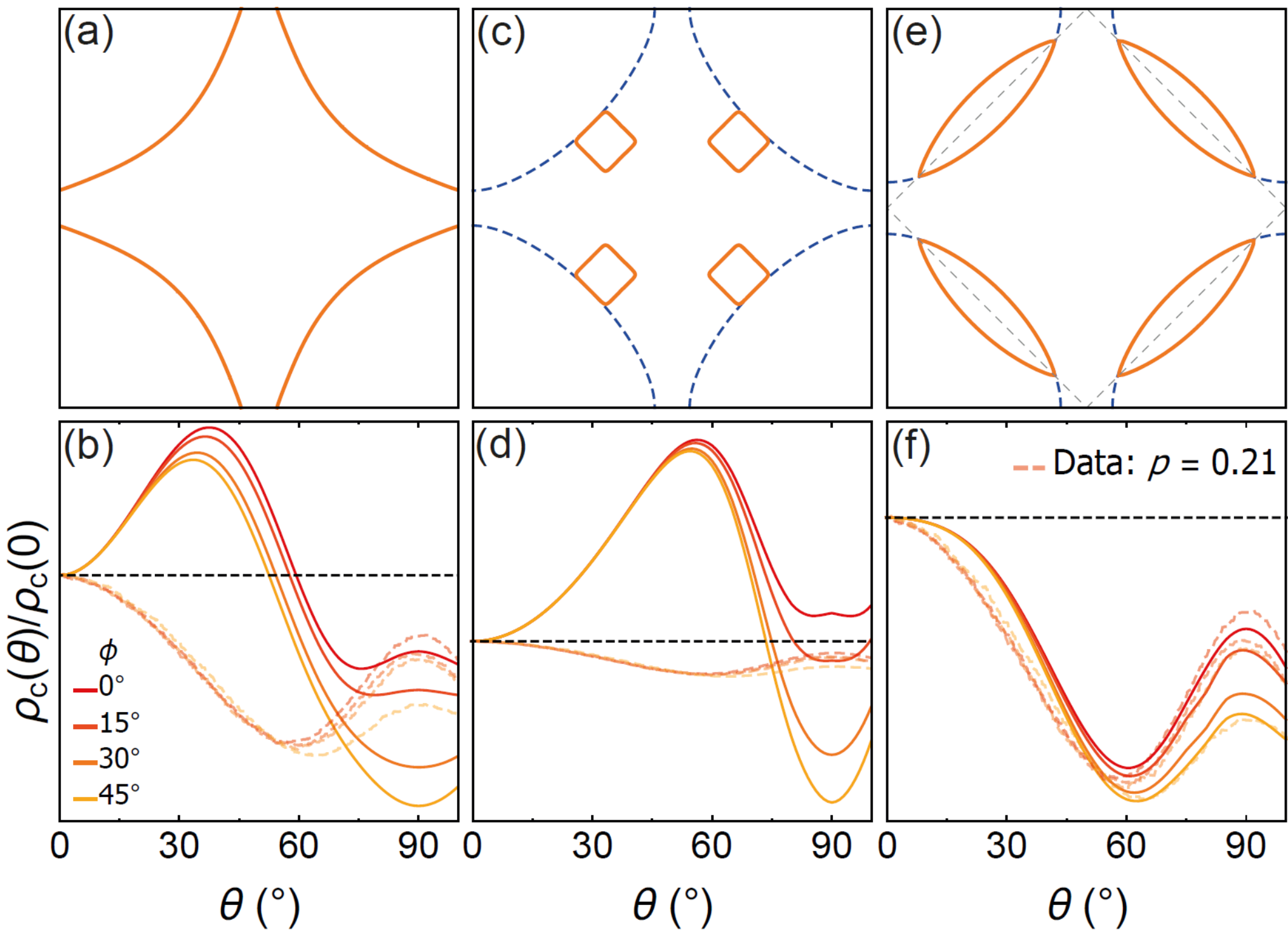
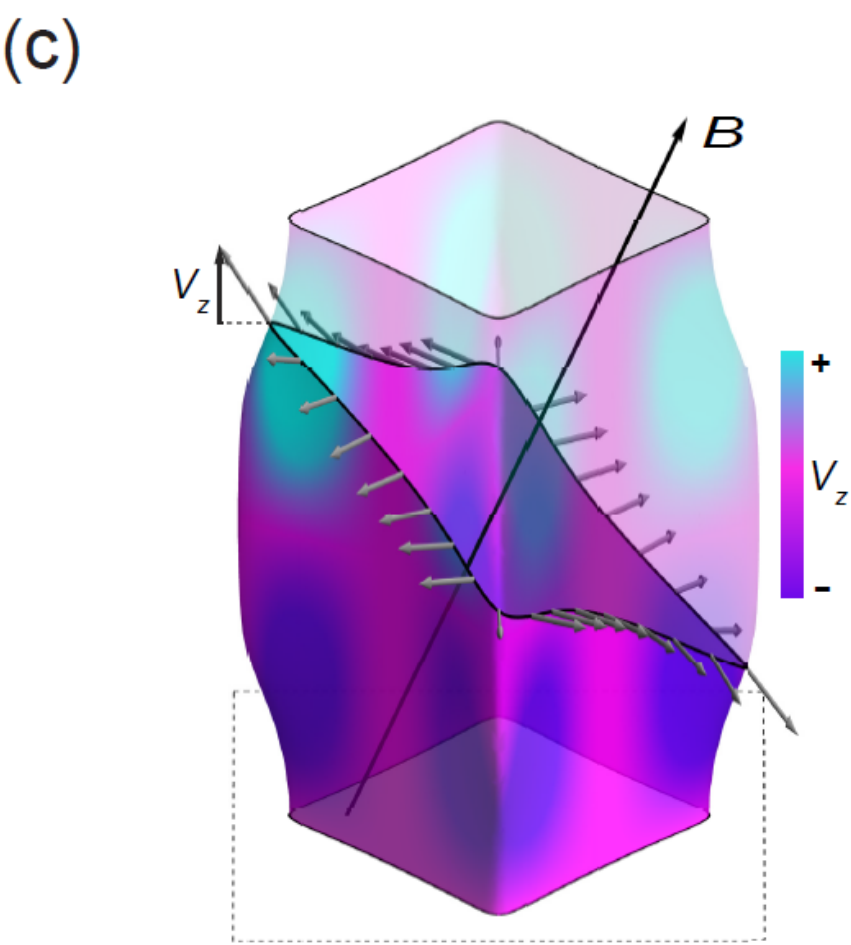
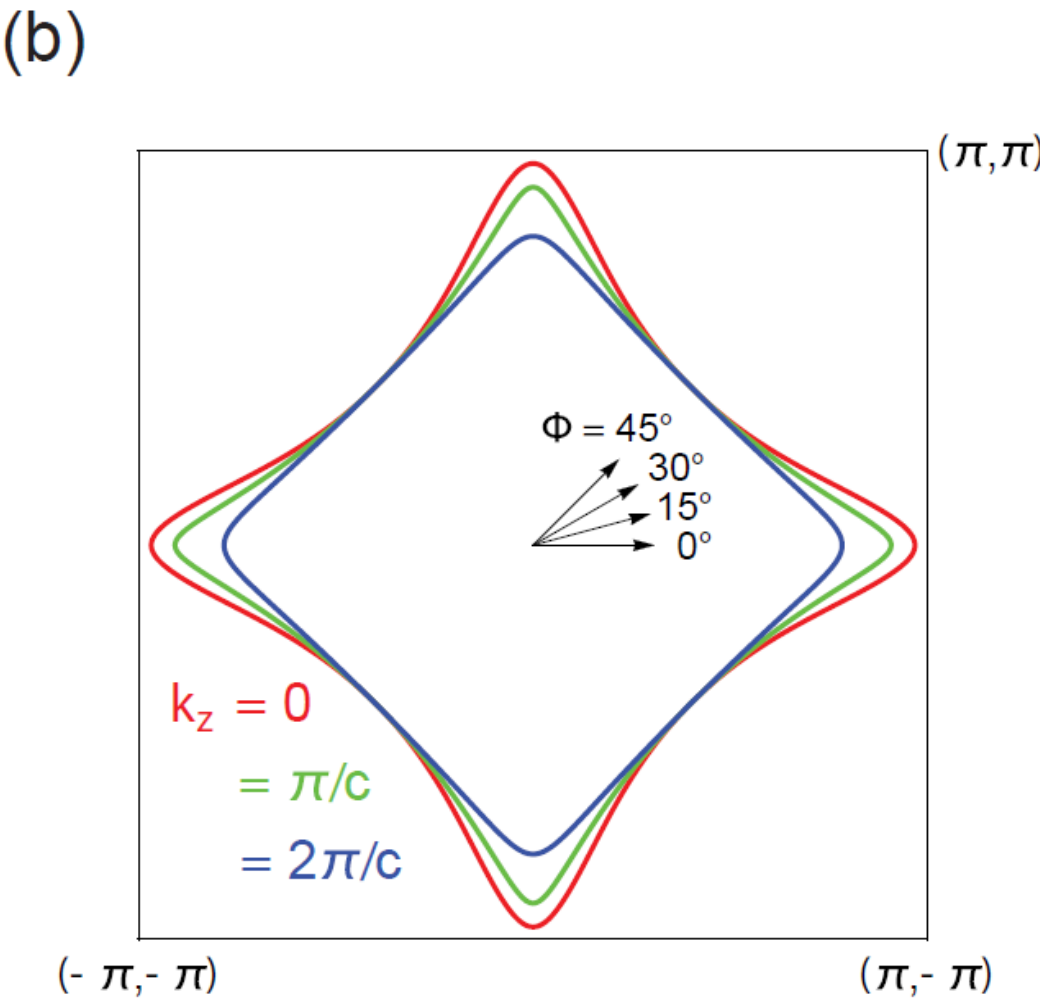
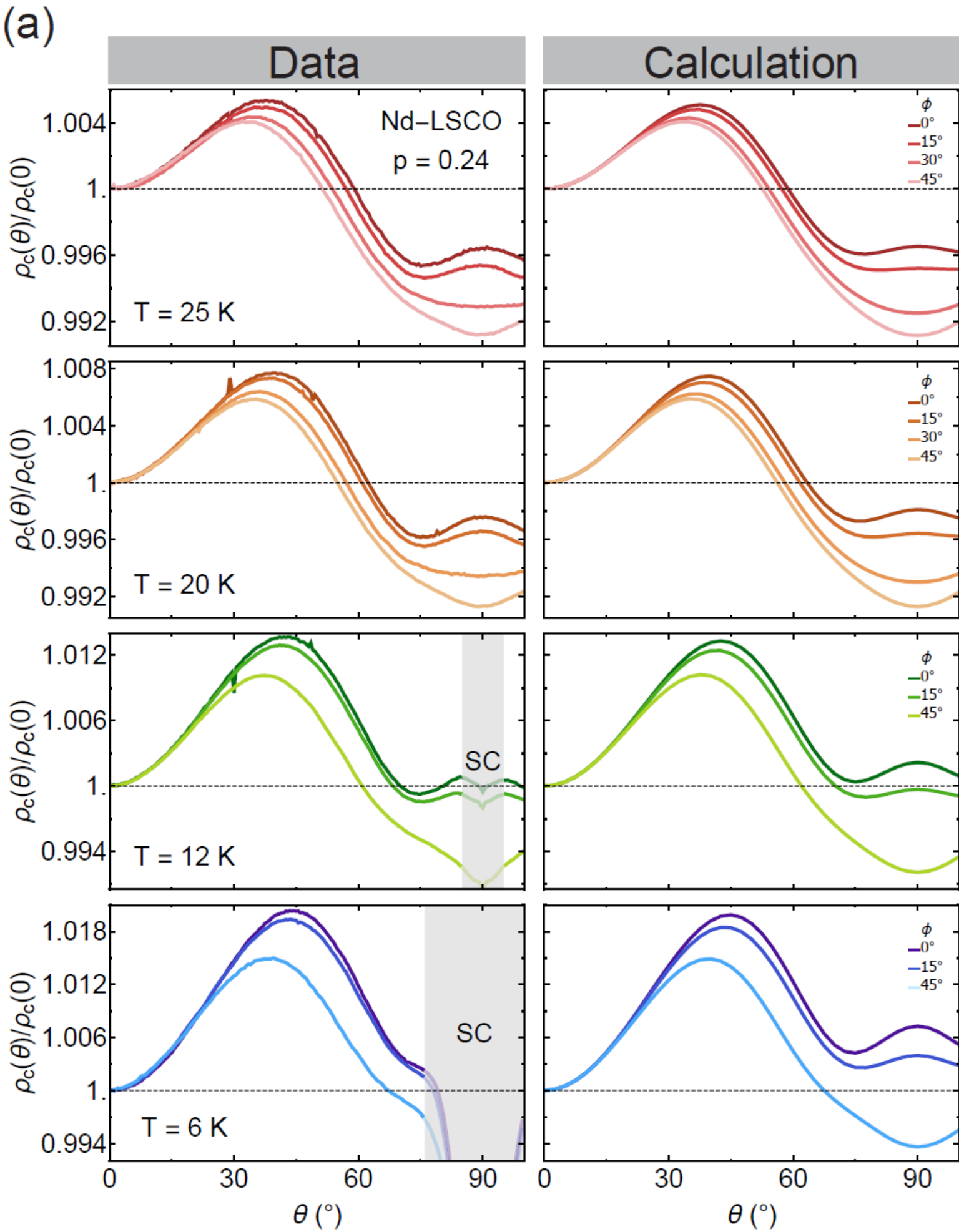
Yang, Rice, and Zhang,
Phys. Rev. B **73**, 174501 (2006)
Stanescu and Kotliar,
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Sakai, Motome, and Imada,
Phys. Rev. Lett. **102**, 056404 (2009)
Skolimowski and M. Fabrizio,
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Zhao, Mai, Bradley, and Phillips,
arXiv:2305.02341 (2023)

Qi and Sachdev,
Phys. Rev. B **81**,
115129 (2010)

Mascot, Nikolaenko,
Tikhanovskaya, Zhang,
Morr, and Sachdev,
Phys. Rev. B **105**,
075146 (2022)

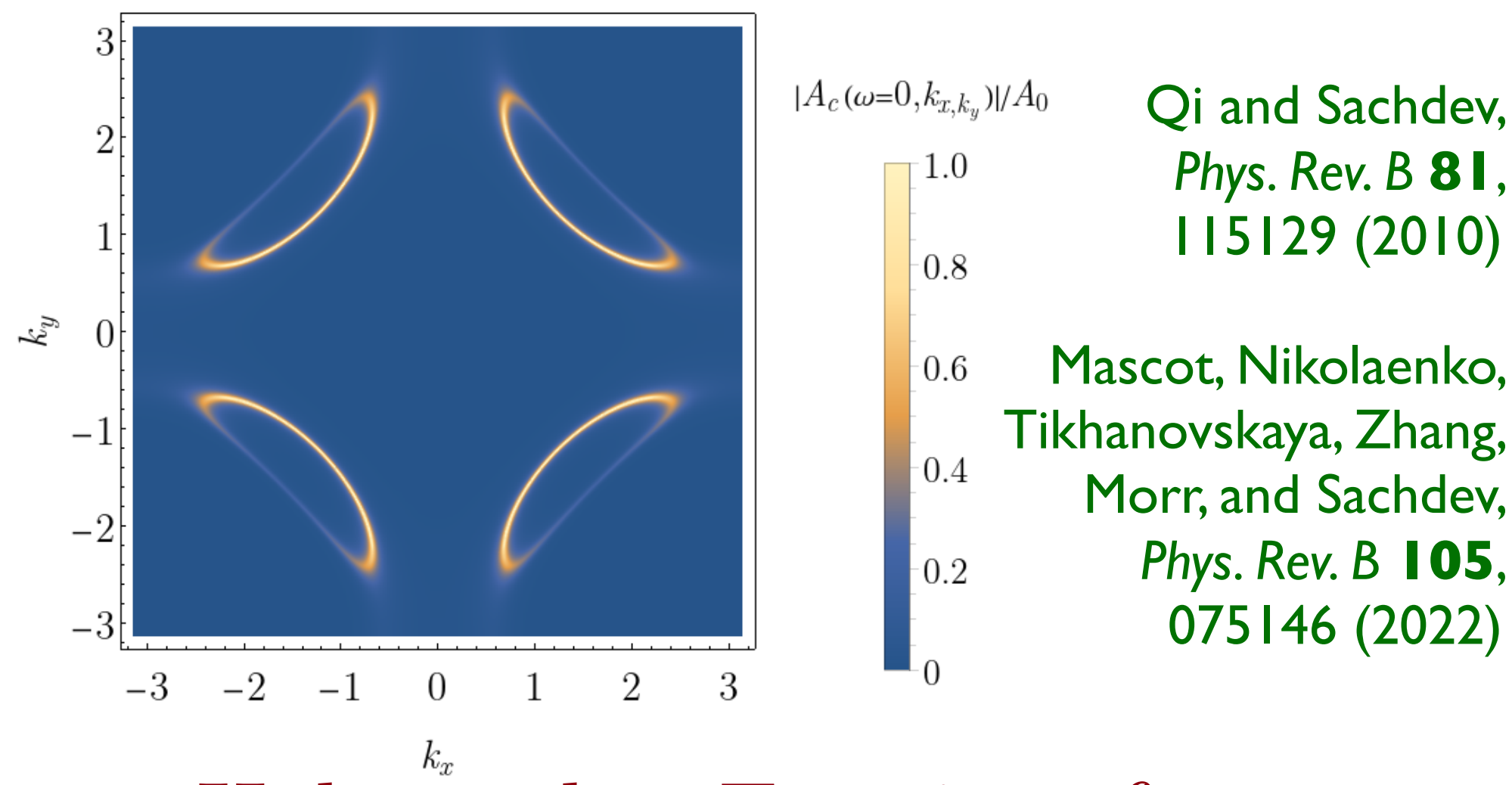
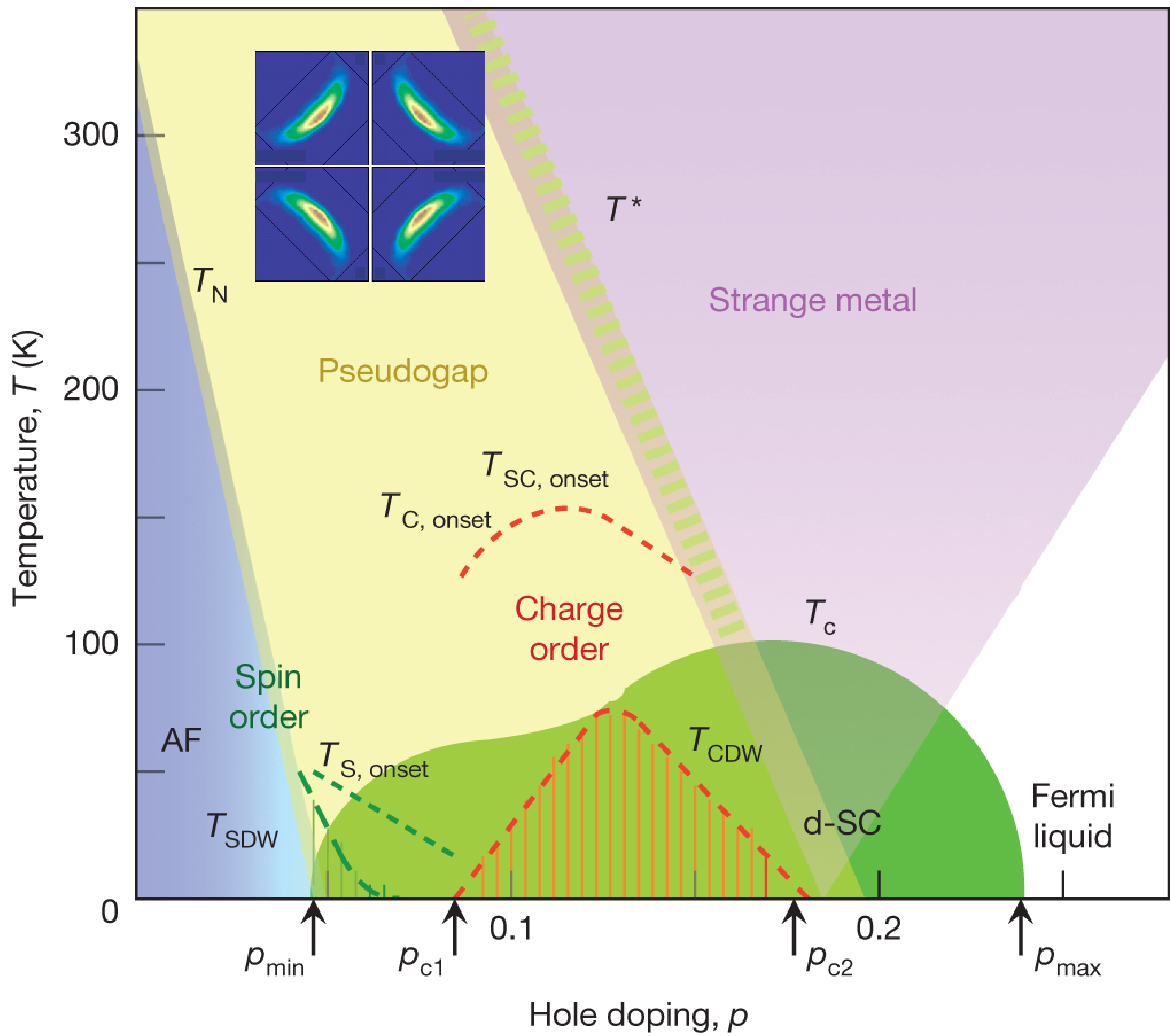
Fermi surface transformation at the pseudogap critical point of a cuprate superconductor

Fang, Grissonnanche, Legros, Verret, Laliberté, Collignon, Ataei, Dion, Zhou, Graf, Lawler, Goddard, Taillefer, and Ramshaw, *Nat. Phys.* **18**, 558 (2022)



$p < p_c$ Reconstructed Fermi surface

$p > p_c$ Large Fermi surface

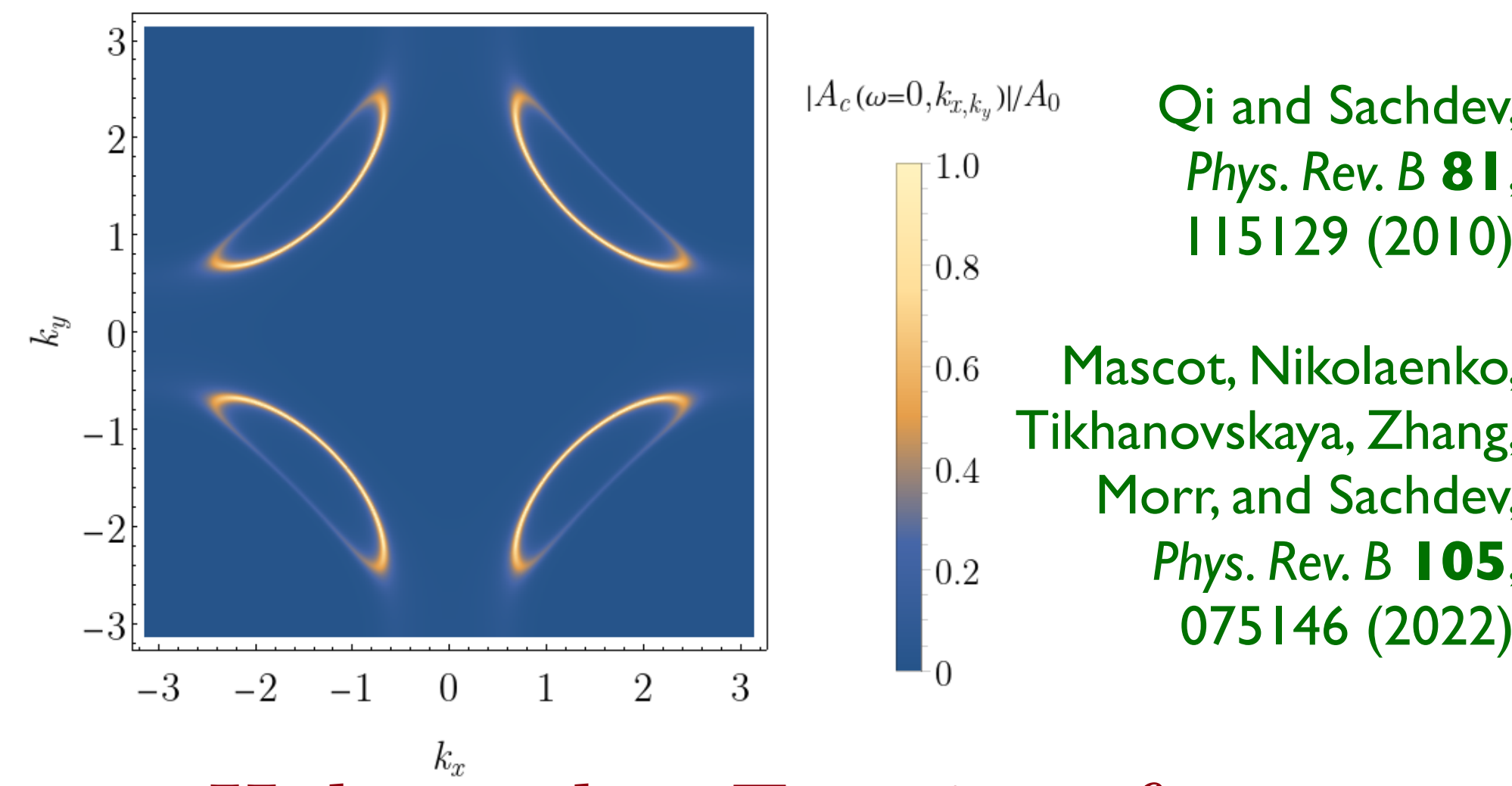
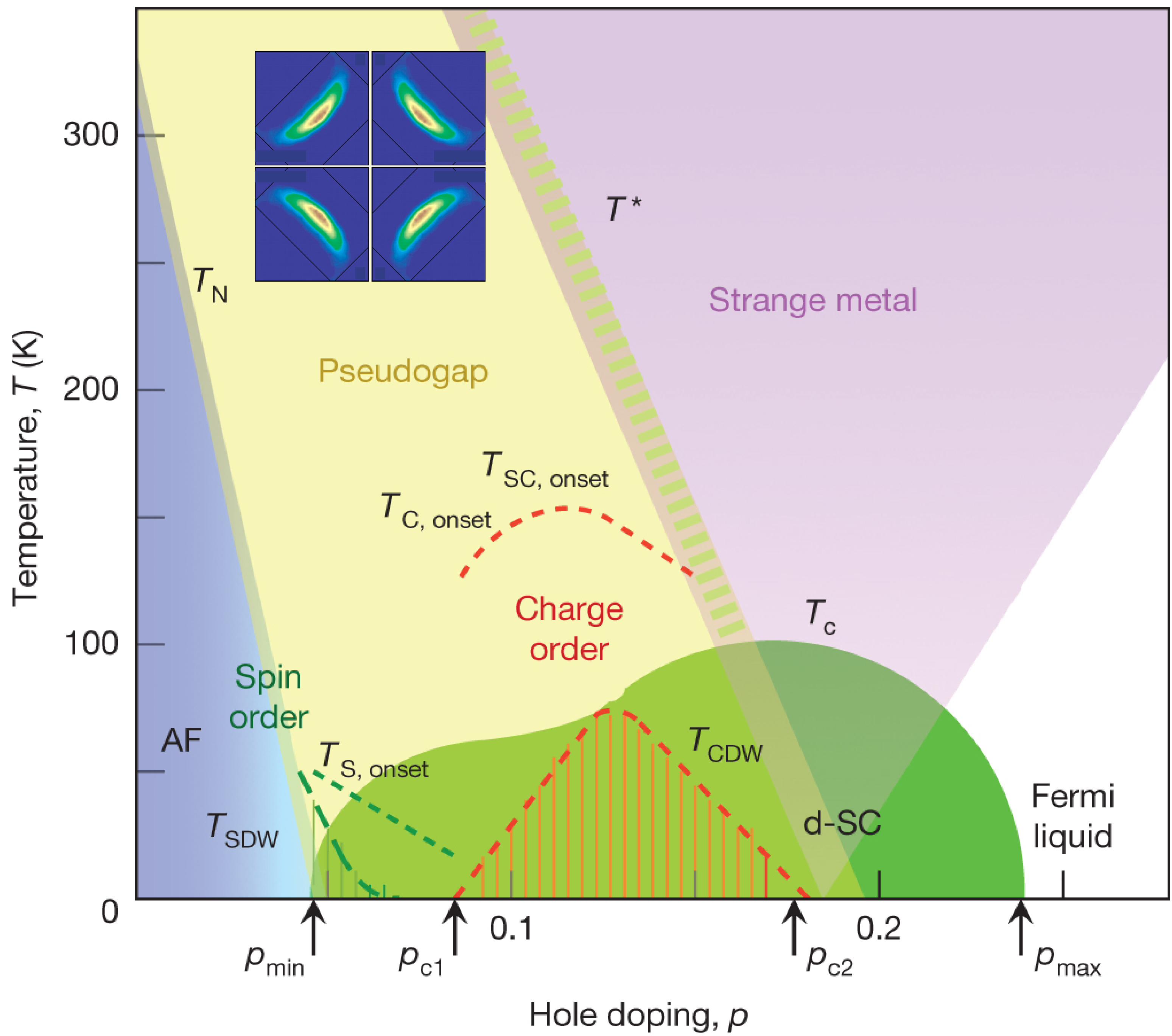


Hole pocket Fermi surfaces
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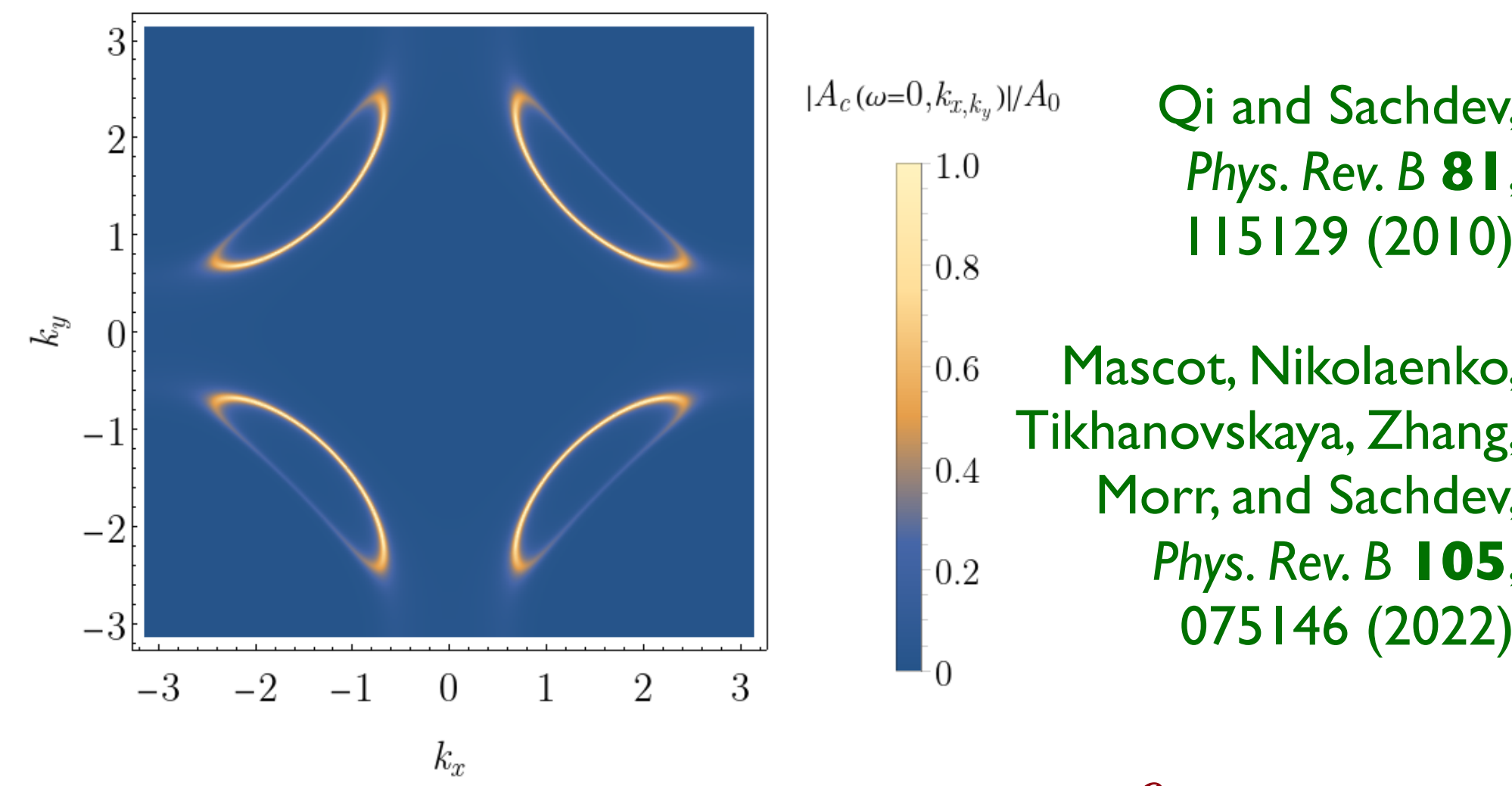
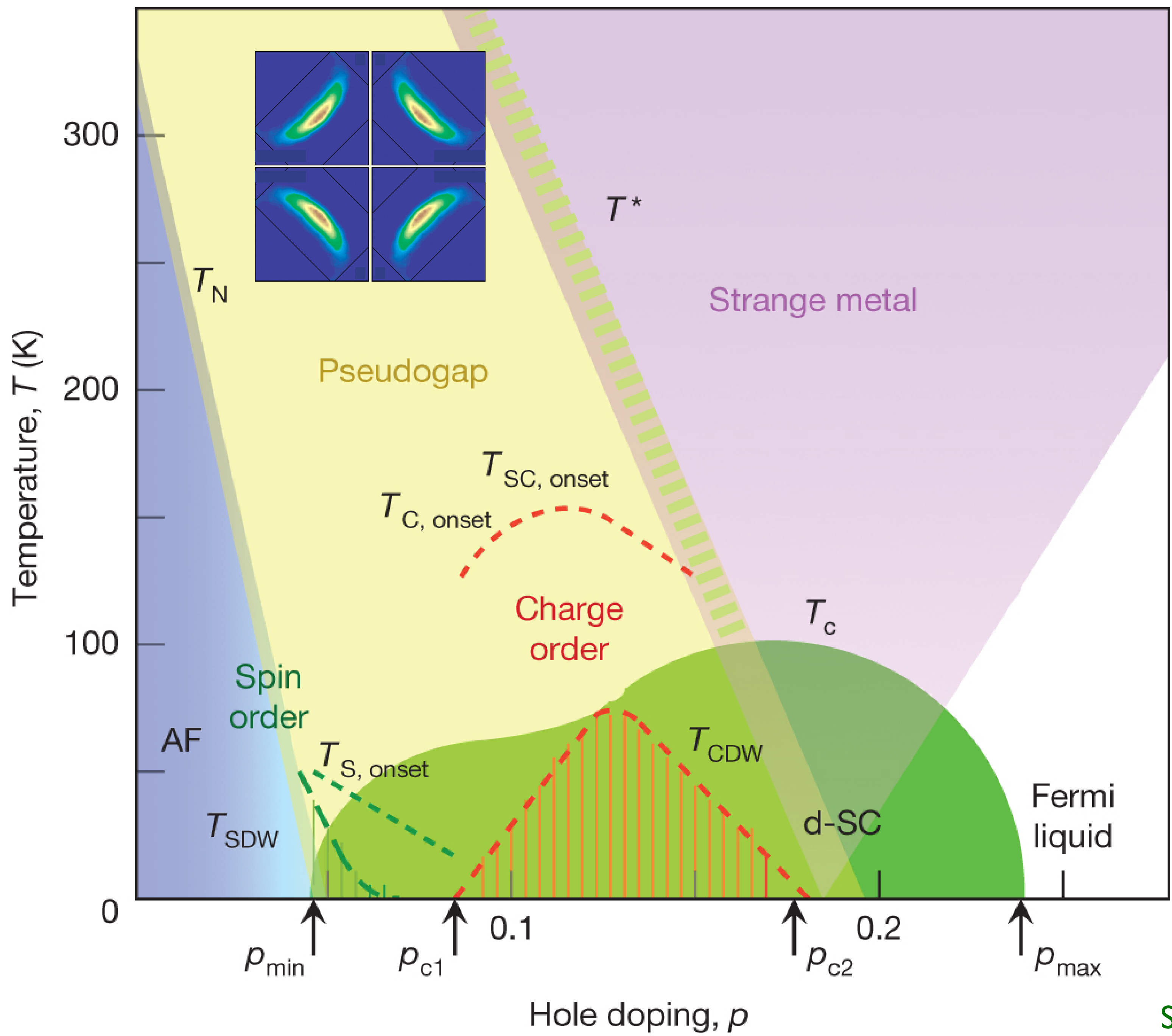
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Green function zeros....

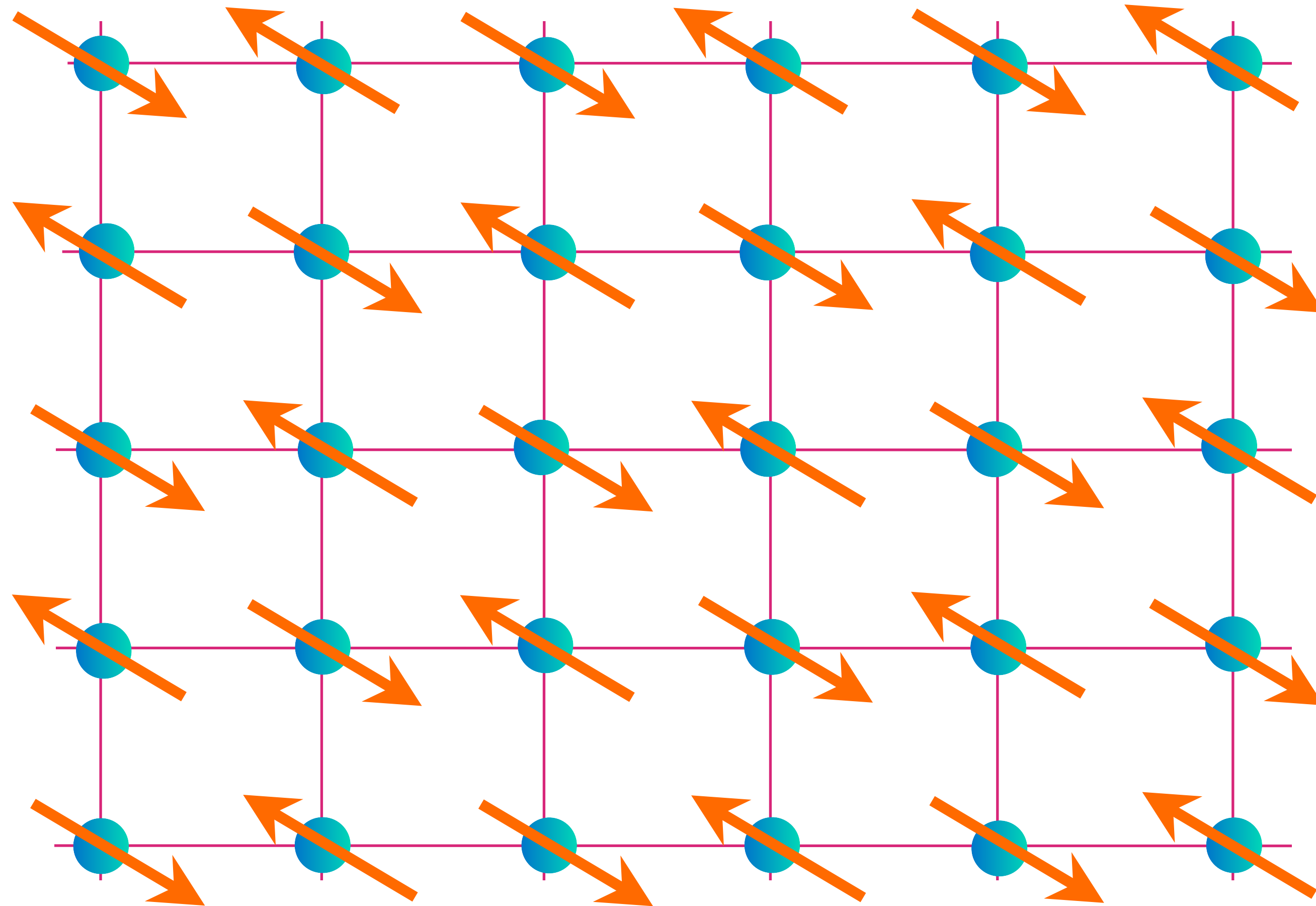


Hole pocket Fermi surfaces
of size p with
charge e , spin-1/2 quasiparticles
+
'spectator'
square lattice spin liquid
at half-filling.

FL*: Spin liquid is *required* because
the Fermi surface does not enclose
the Luttinger volume $(1 + p)$.

Intro to
spin liquids
and
doped spin liquids (FL^*)

The dance of electrons on Cu atoms in YBCO



Antiferromagnetism

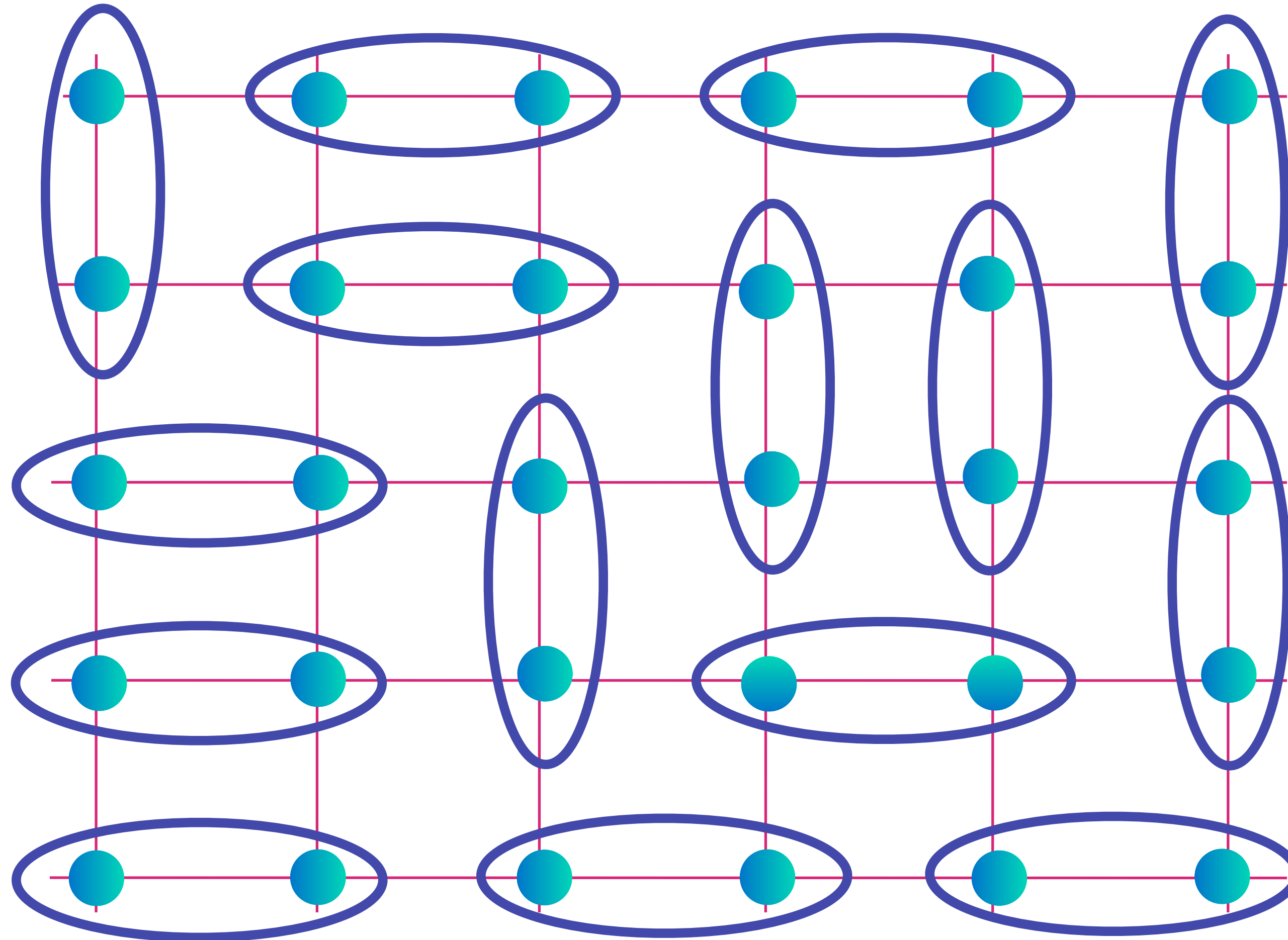
All nearest-neighbor pairs of electrons have opposite spins

The dance of electrons on Cu atoms in YBCO

Anderson, *Mater. Res. Bull.* **8**, 153 (1973)

Spin liquid

Electrons form entangled pairs, and the pairs entangle across the entire sample



$$\text{[Diagram of two teal circles in a blue oval]} = |\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle$$

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Solid State Comm. **63**, 973 (1987)

Kivelson, Rokhsar, and Sethna,
Phys. Rev. B **35**, 8865 (1987)

Rokhsar and Kivelson,
Phys. Rev. Lett. **61**, 2376 (1988)

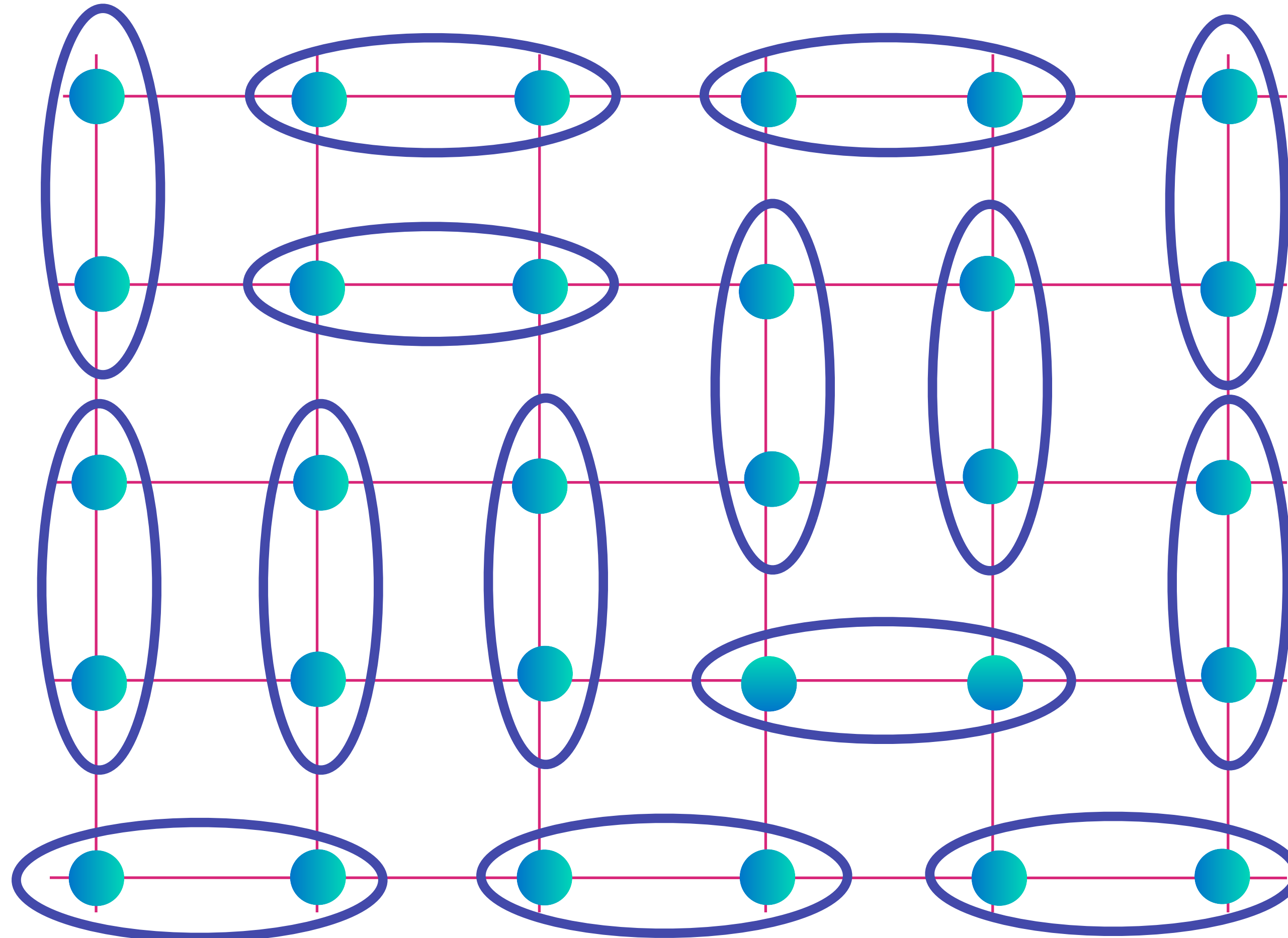
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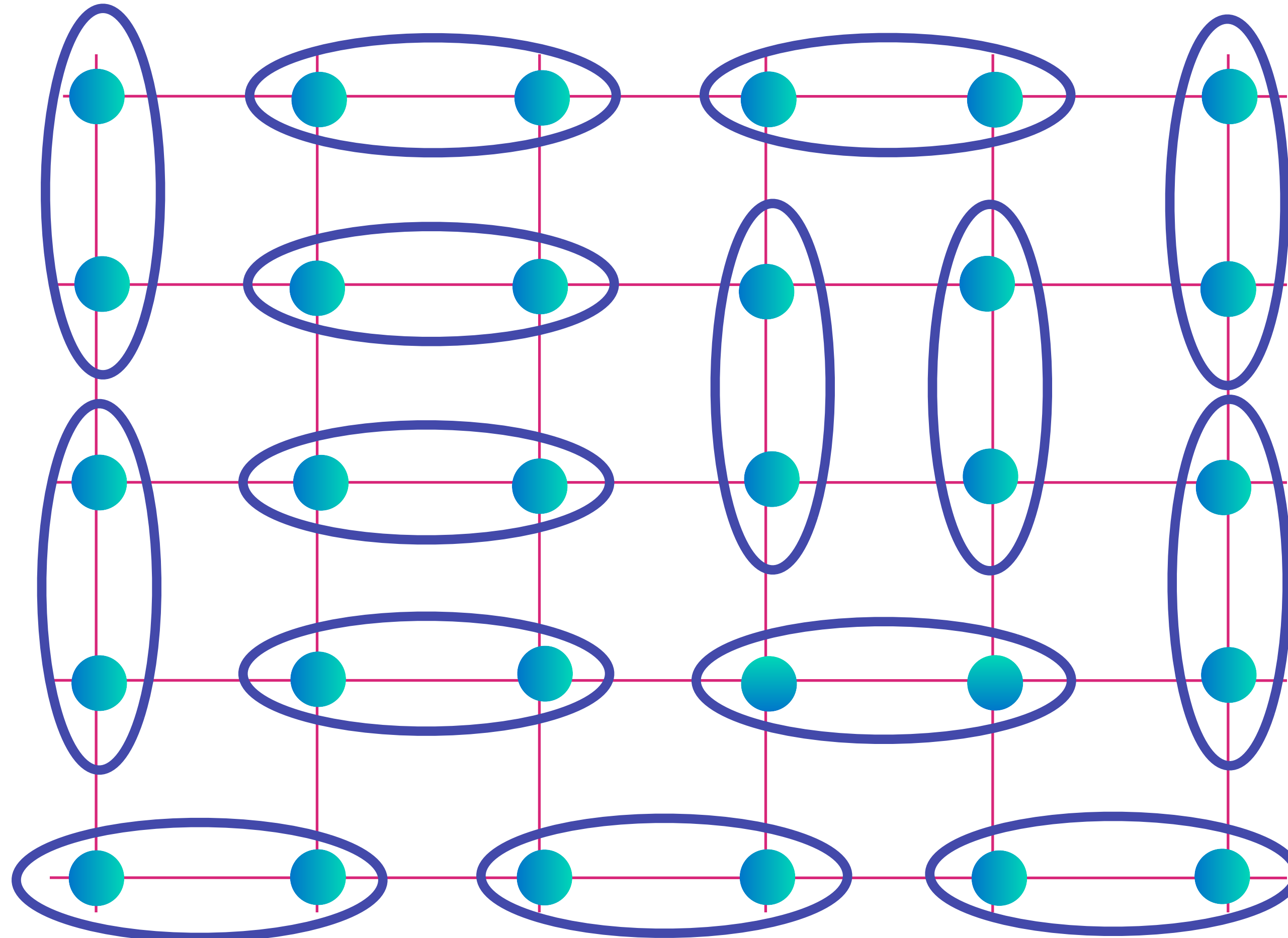
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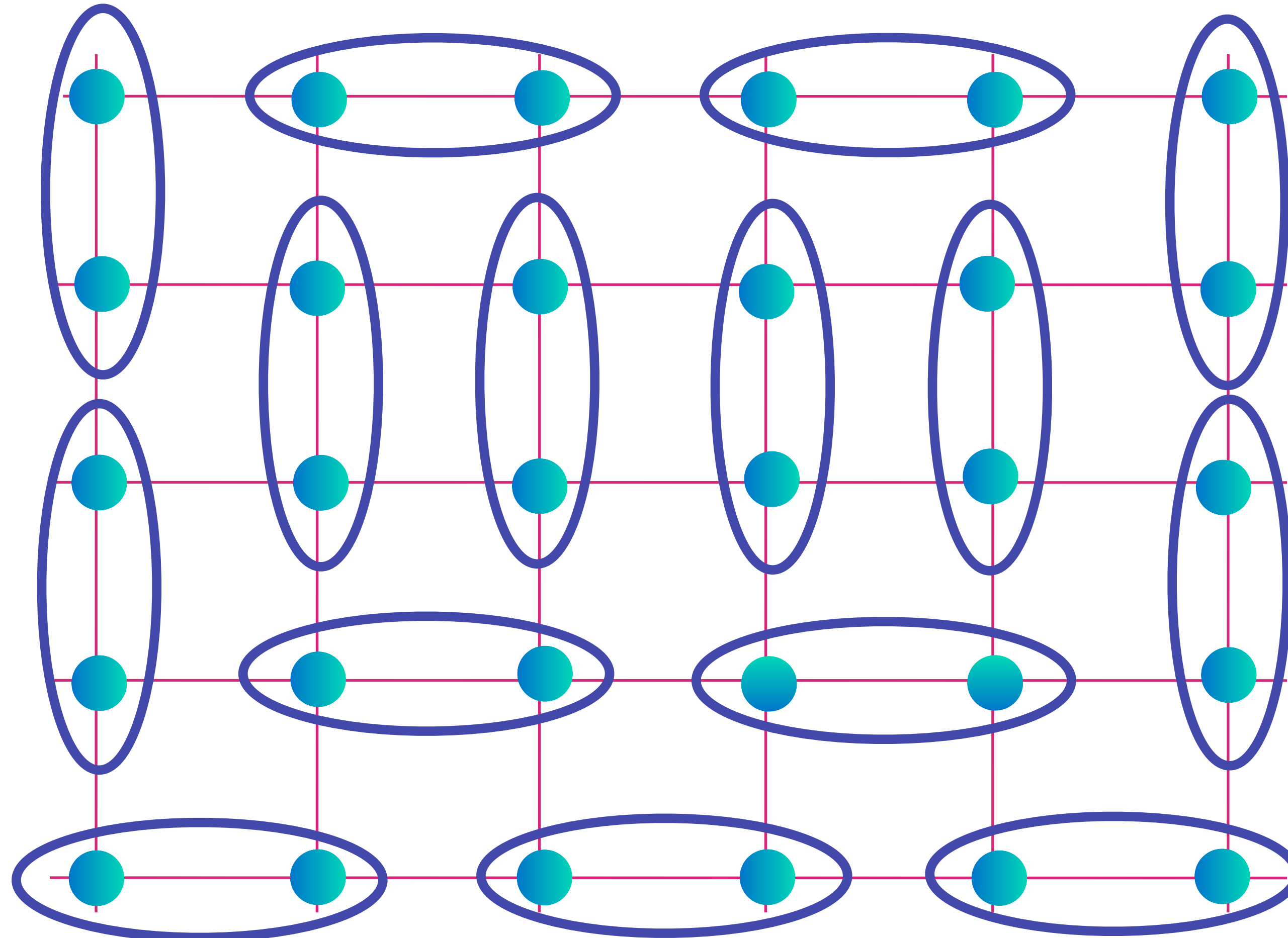
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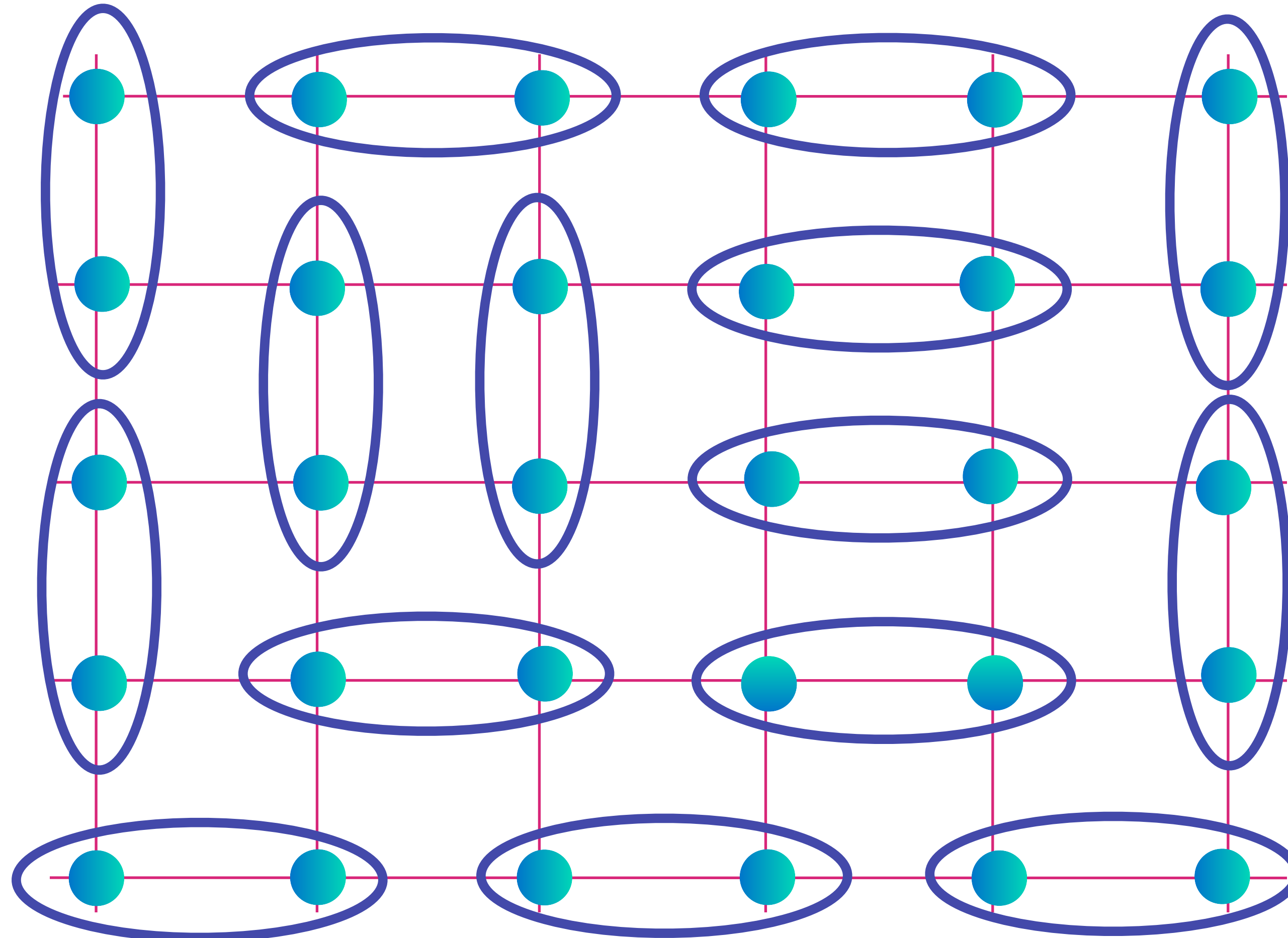
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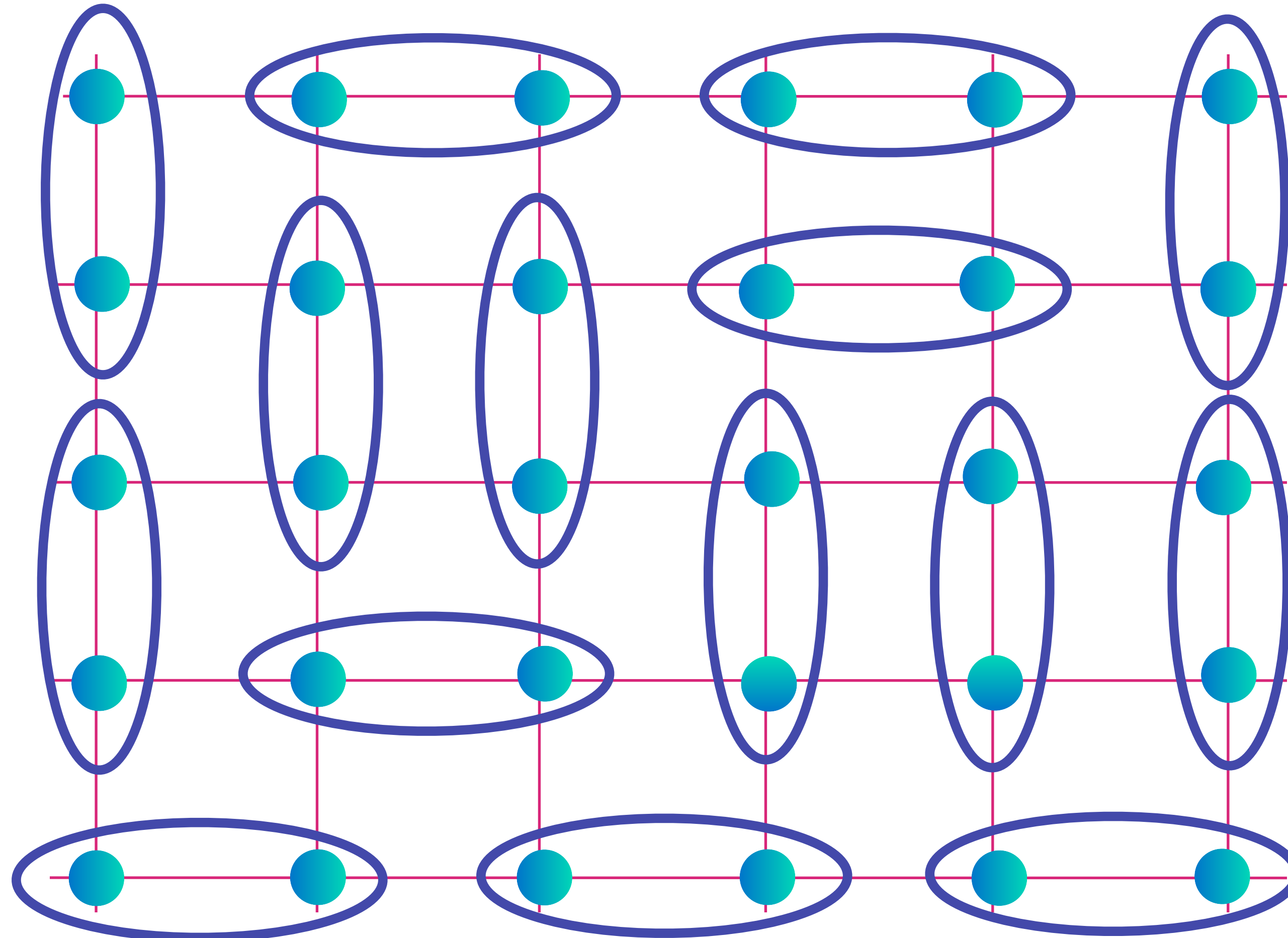
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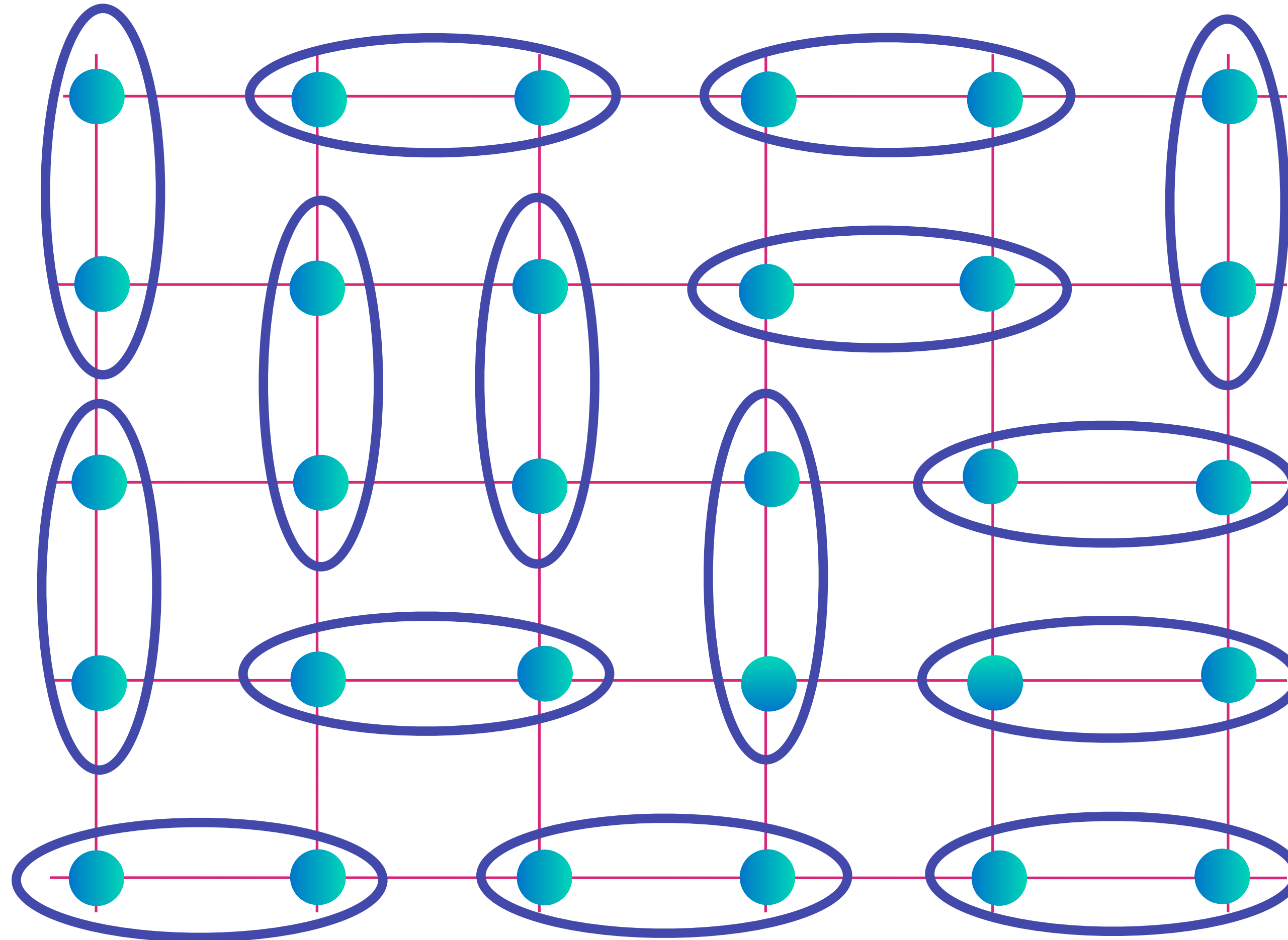
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$$\text{[Diagram of two teal dots in a blue oval]} = |\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle$$

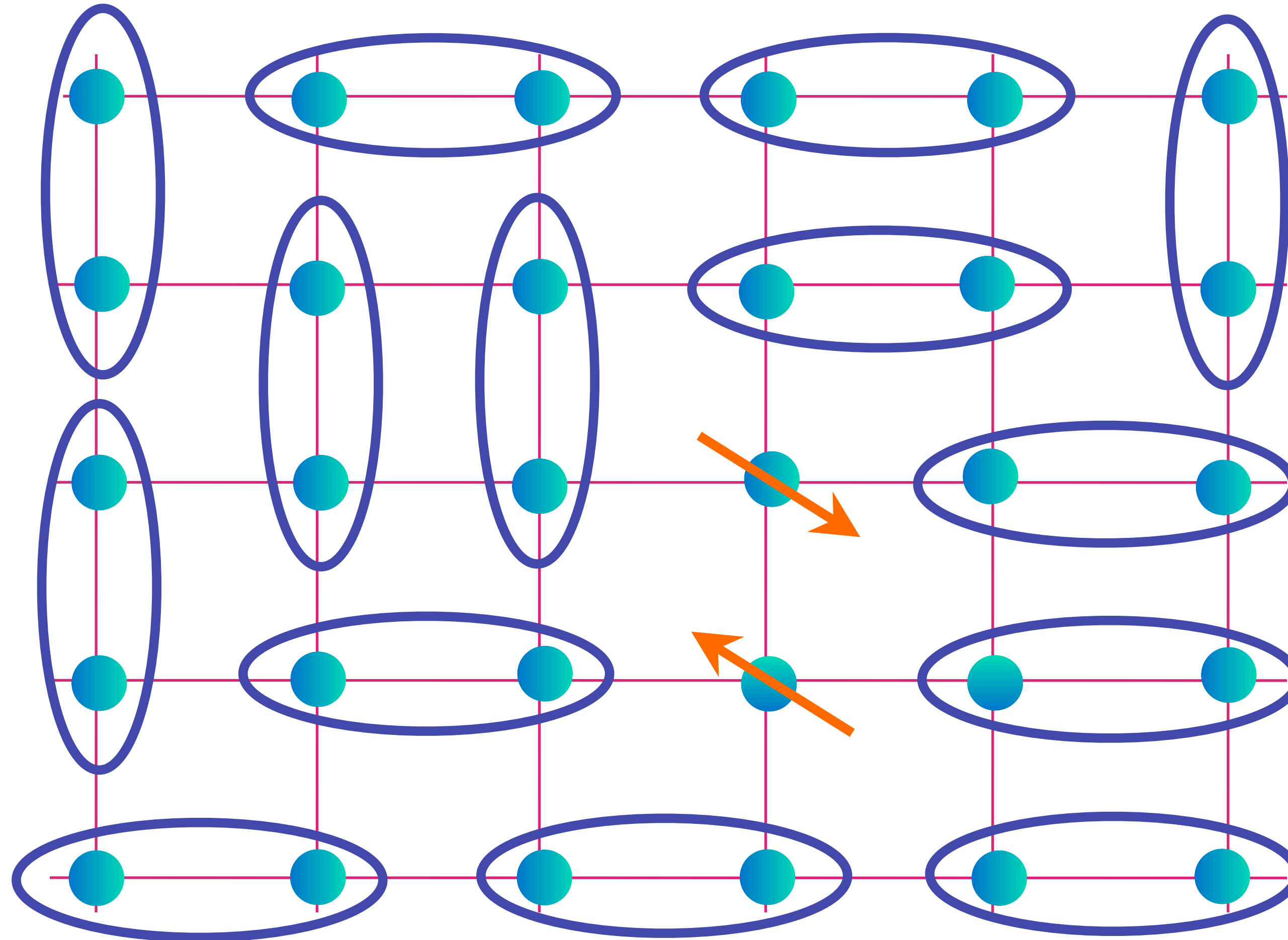
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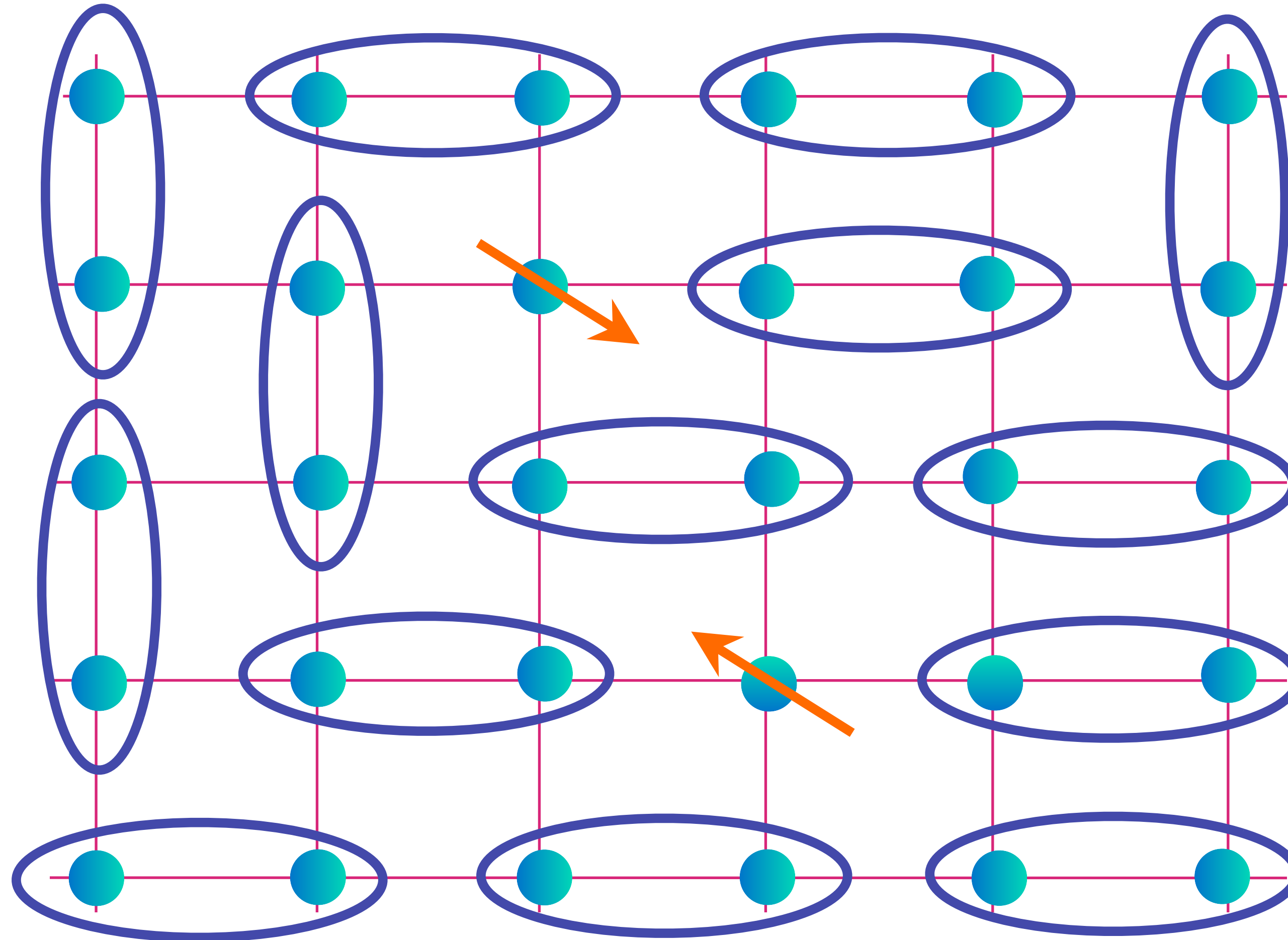
Spin liquid

Fractionalized
spinon
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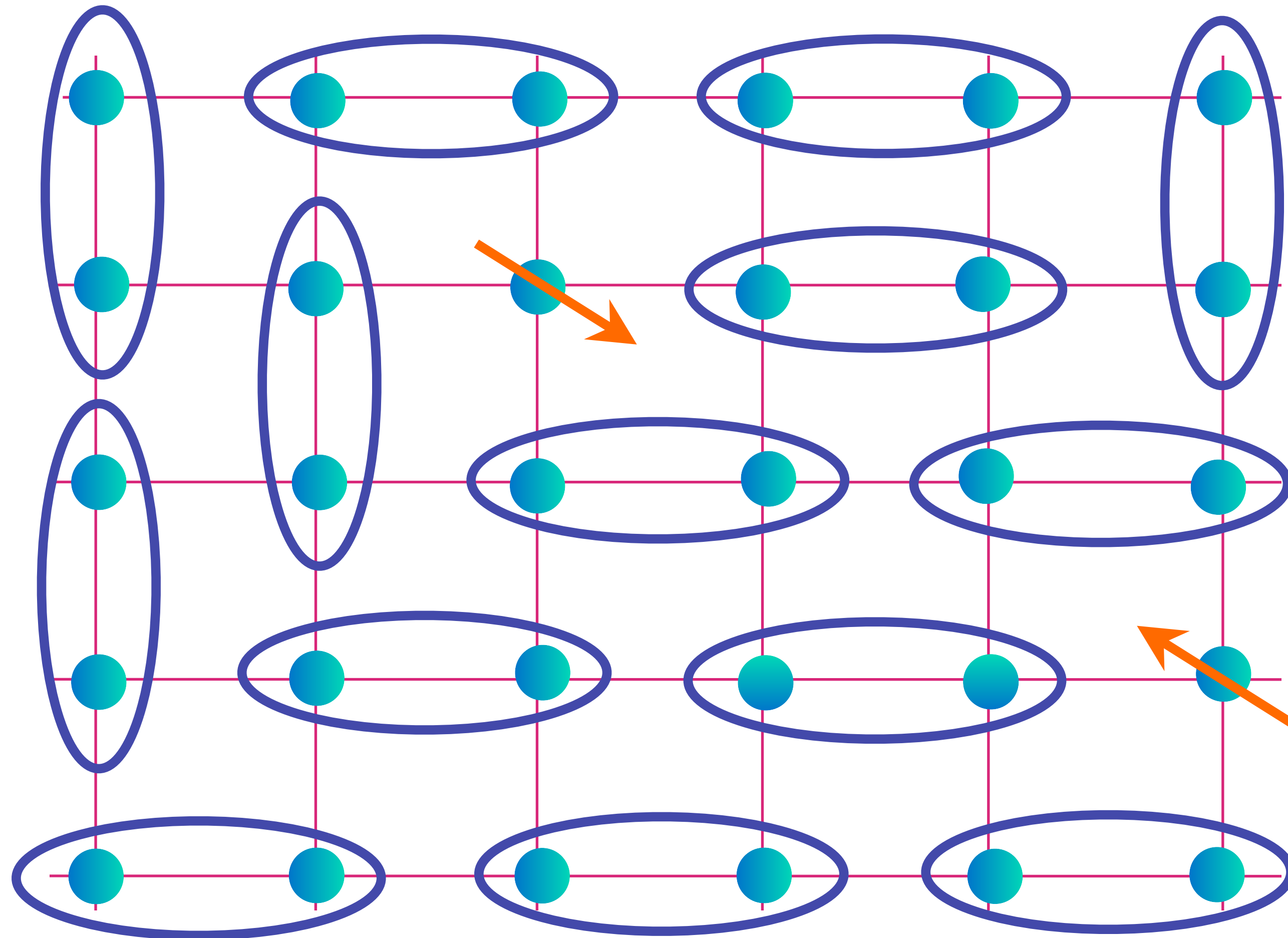
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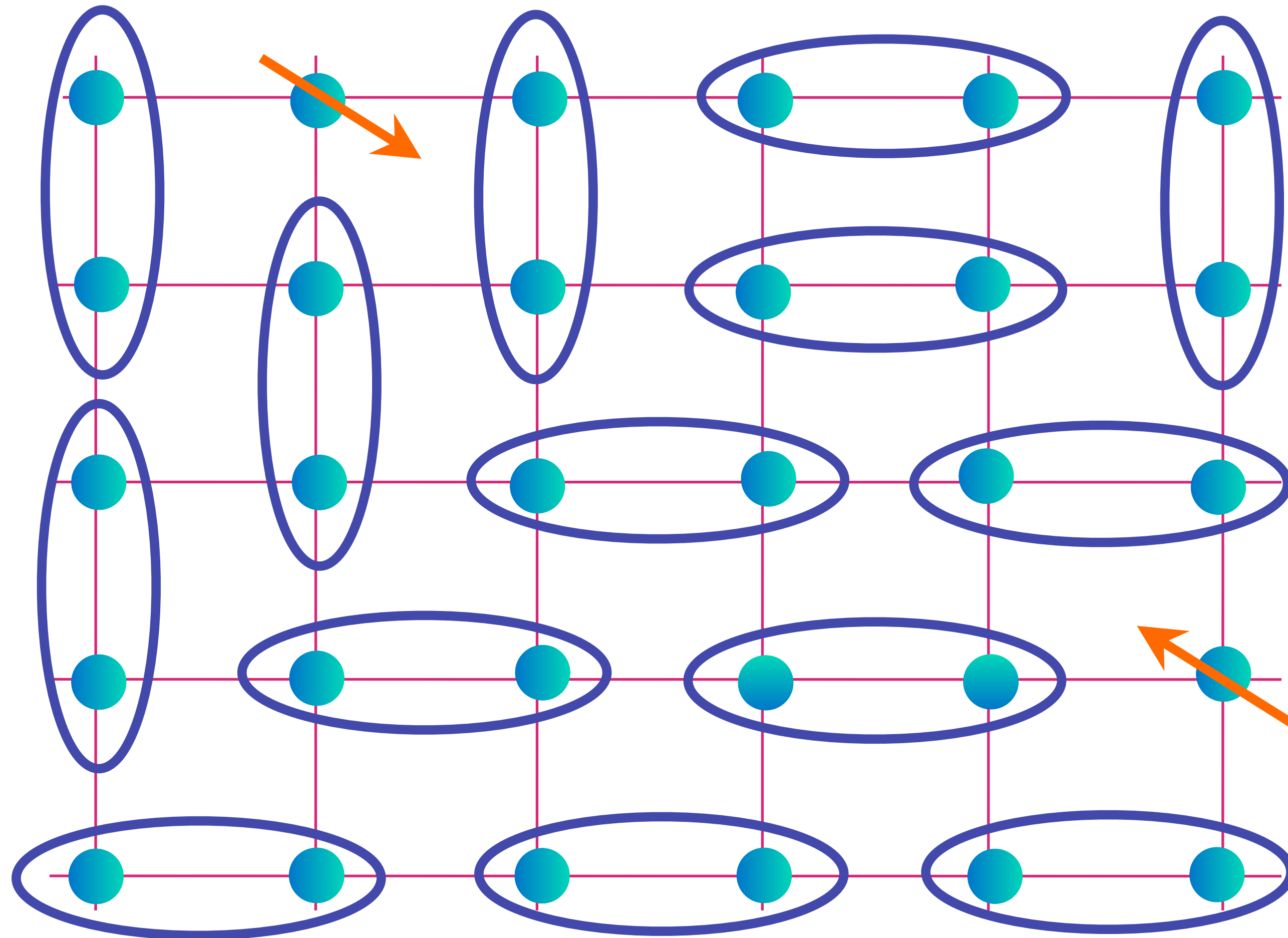
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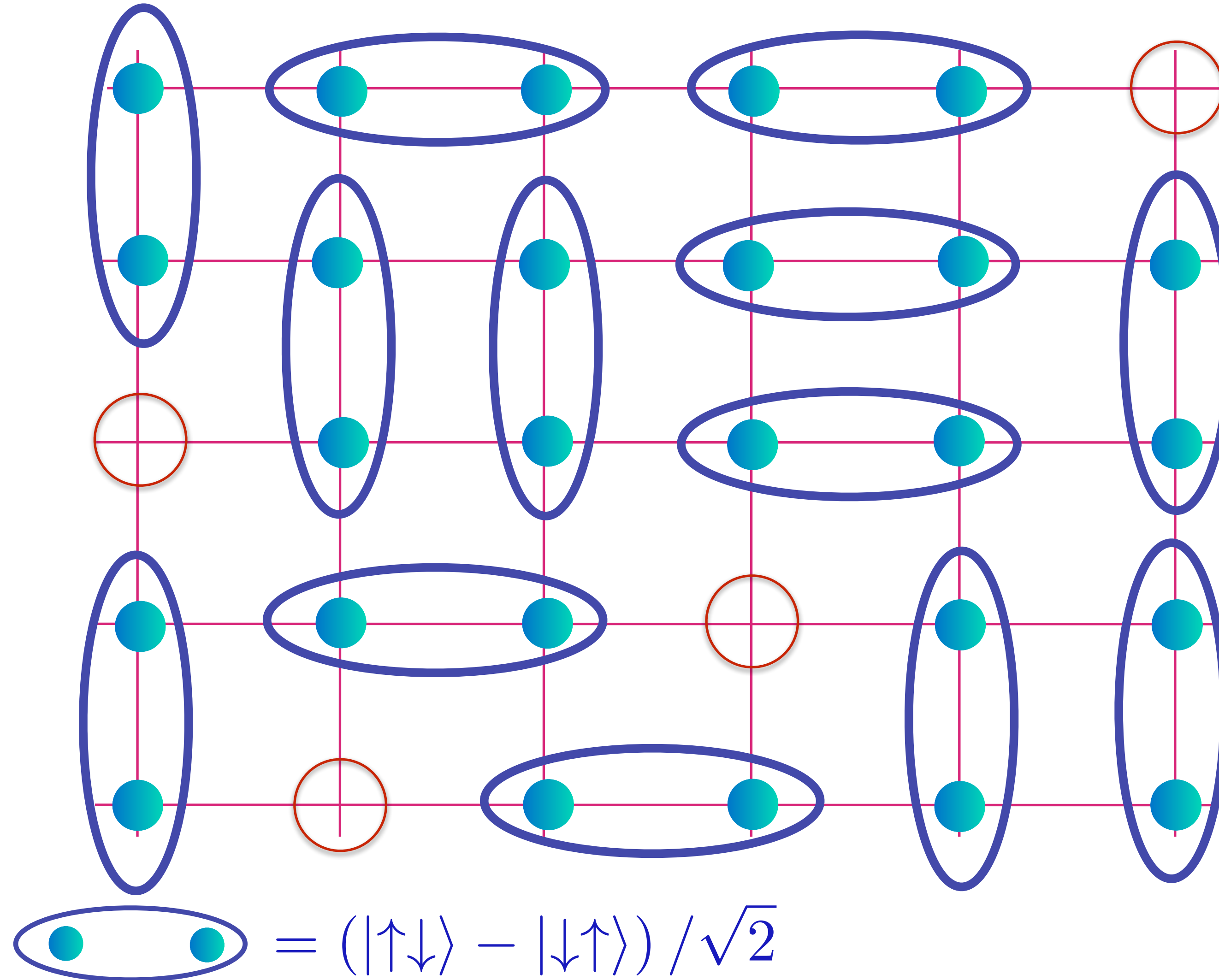
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Holons

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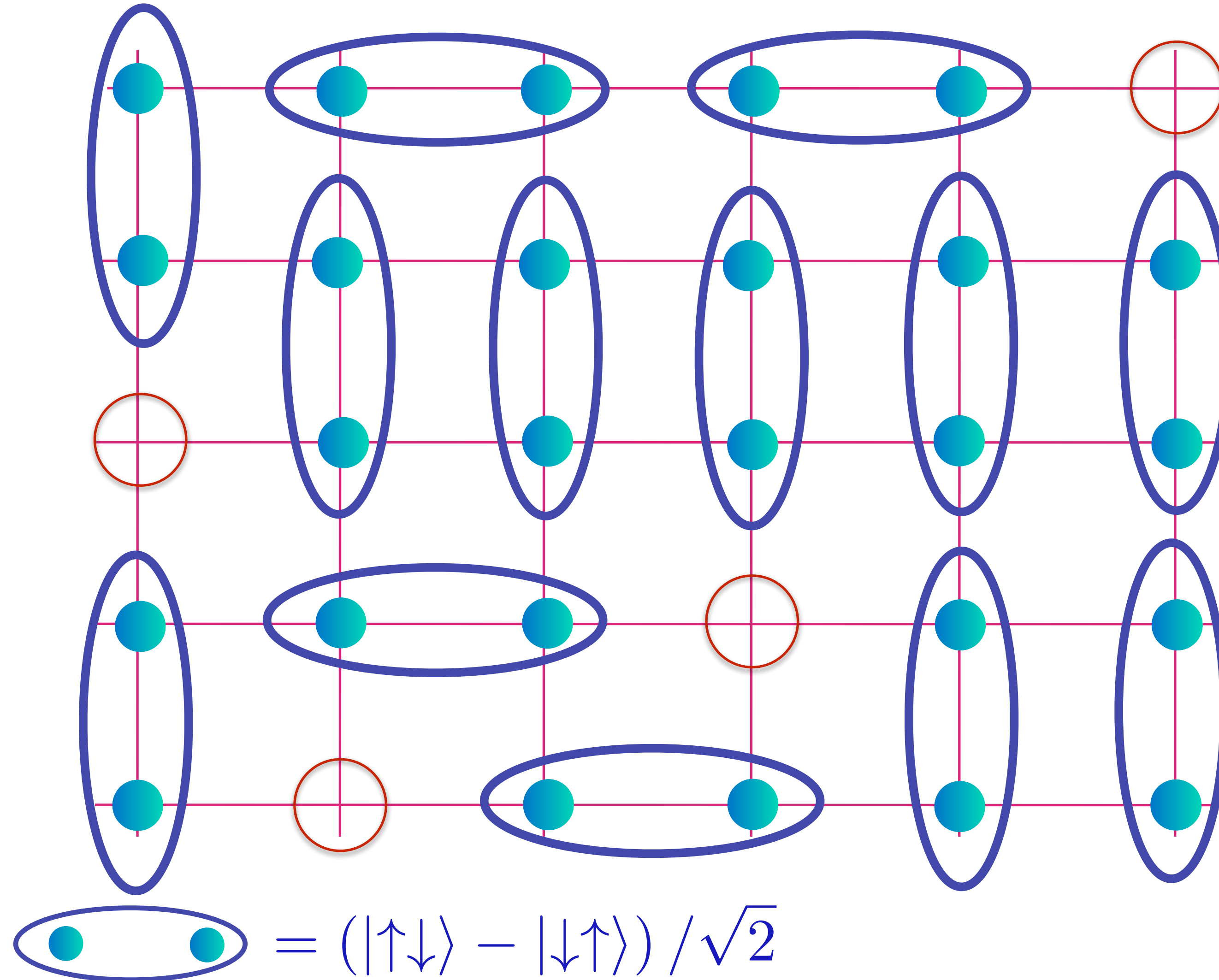
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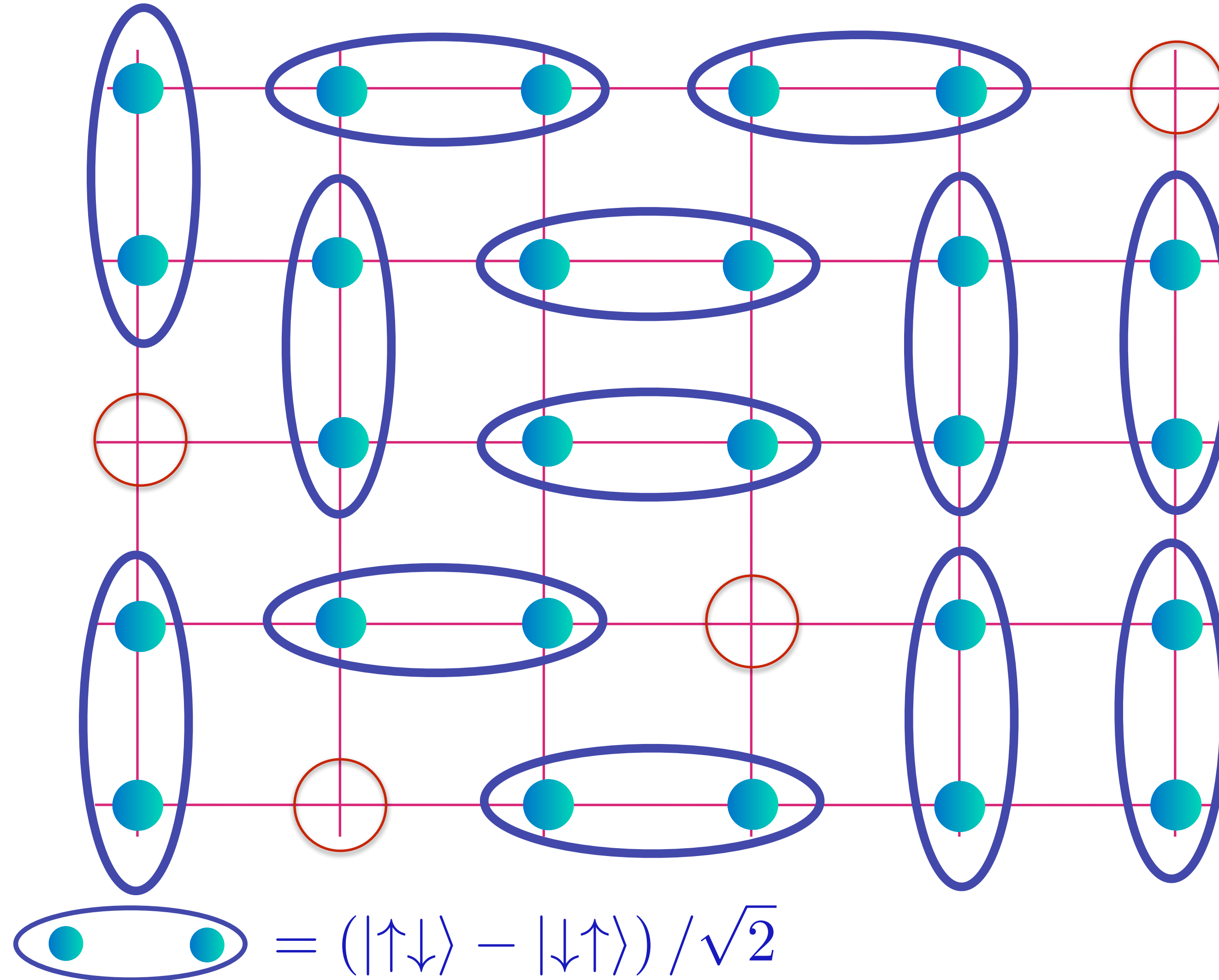
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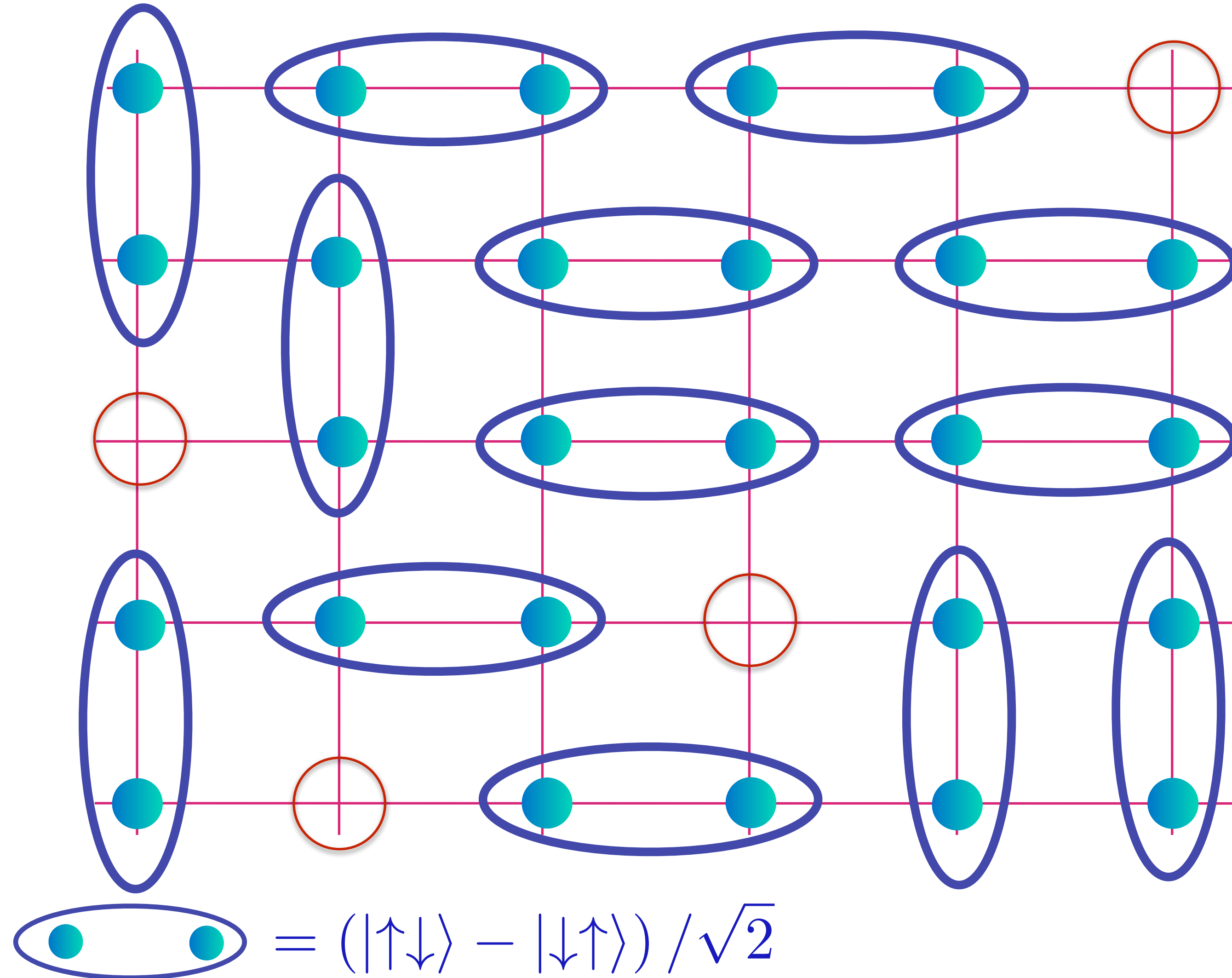
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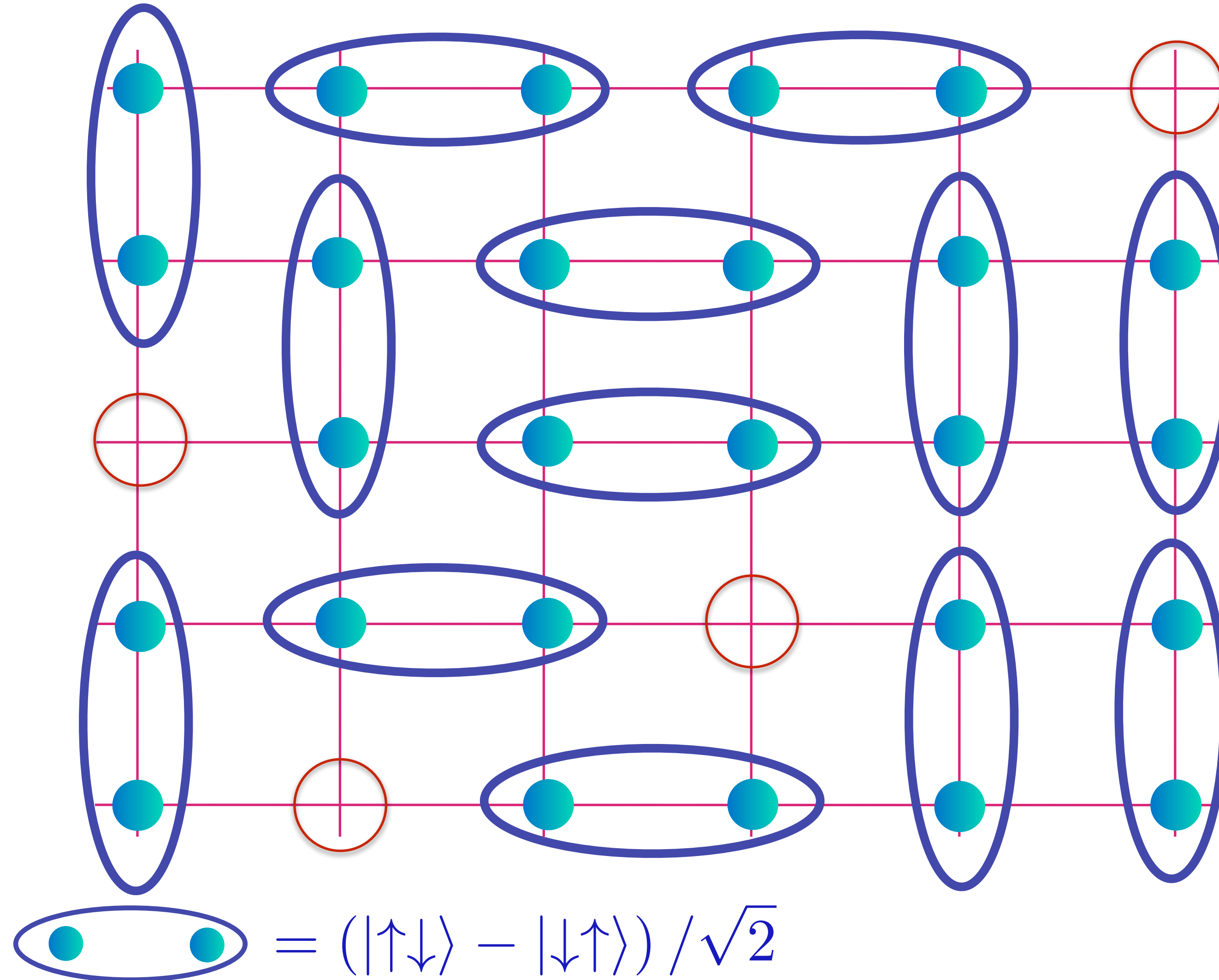
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Holon metal

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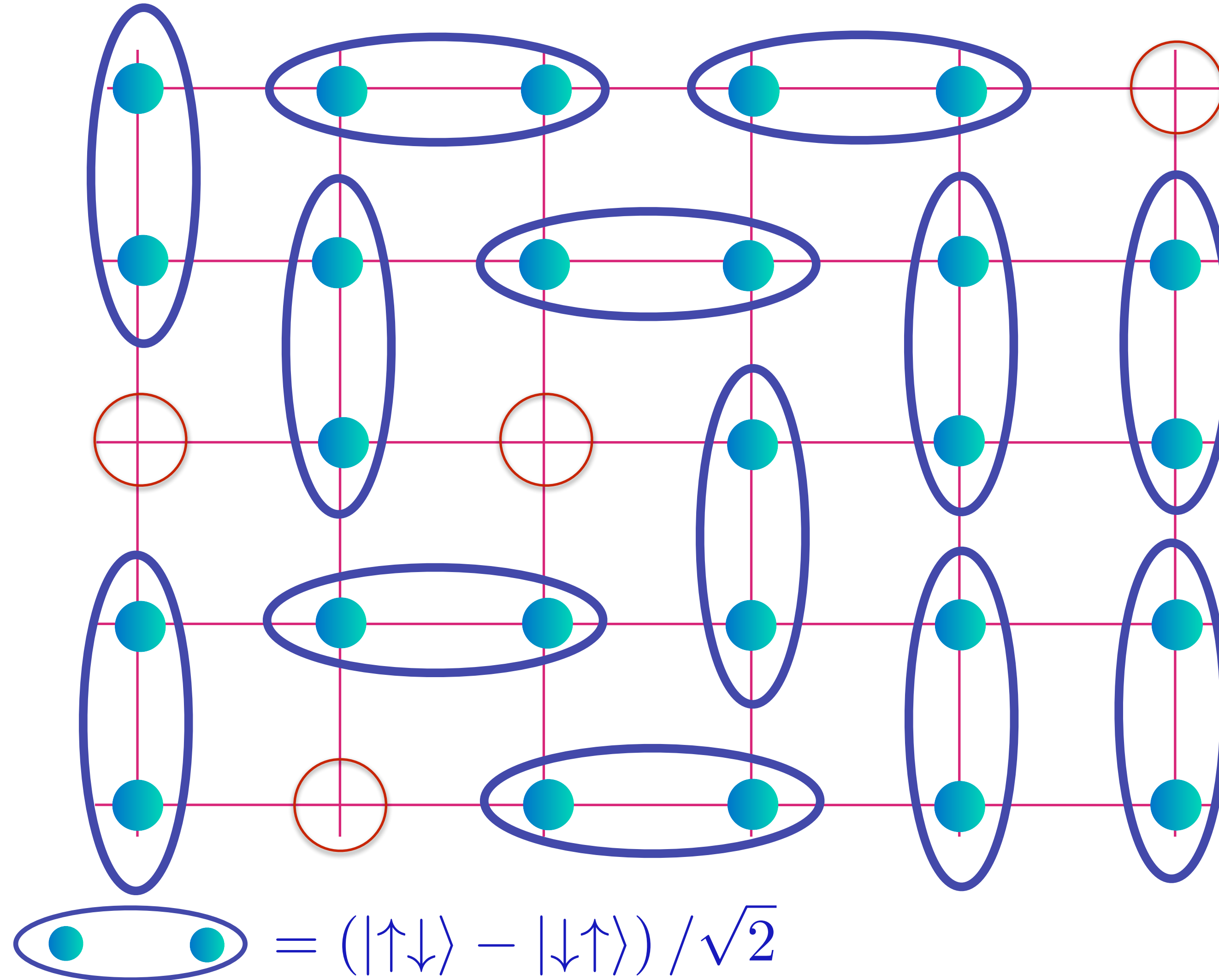
If each holon is
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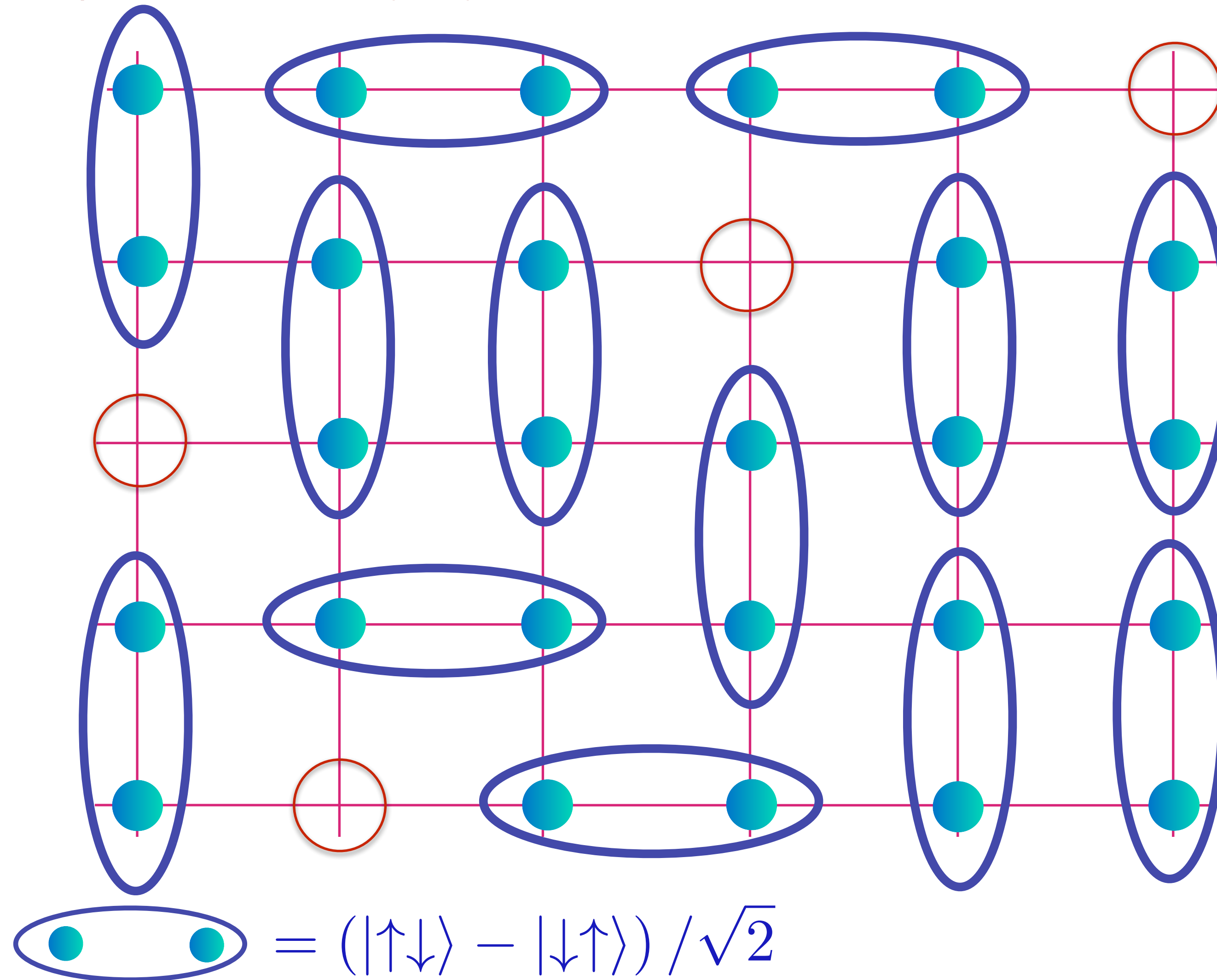
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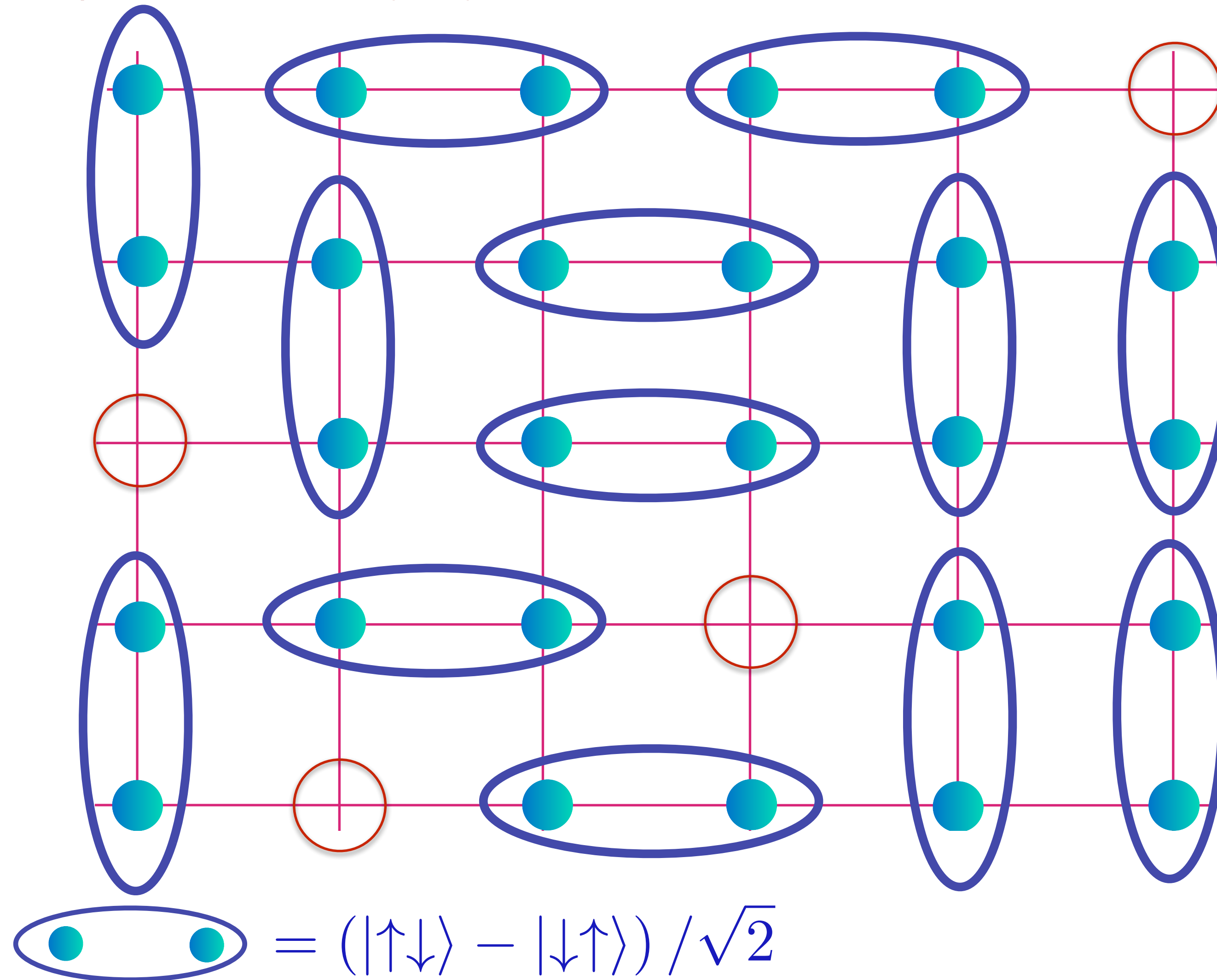
Cannot
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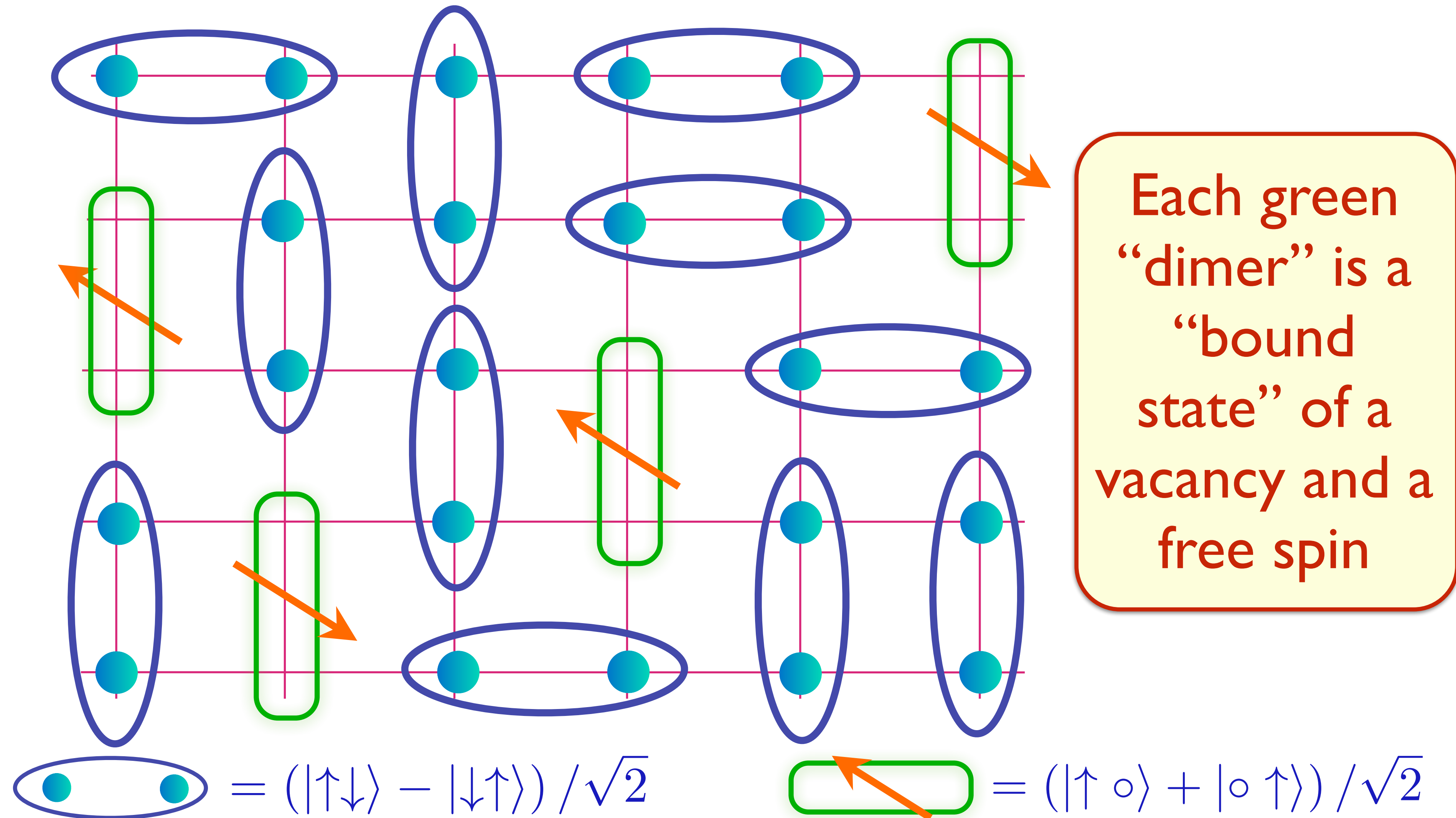
If each holon is a fermion, we obtain a Fermi surface of holons of size p

Cannot explain pseudogap metal

FL* in a **one-band** model

Sachdev, *Phys. Rev. B* **49**, 6770 (1994); Wen and Lee, *Phys. Rev. Lett.* **76**, 503 (1996)

Kaul, Kolezhuk, Levin, Sachdev, and T. Senthil, *Phys. Rev. B* **75**, 235122 (2007)

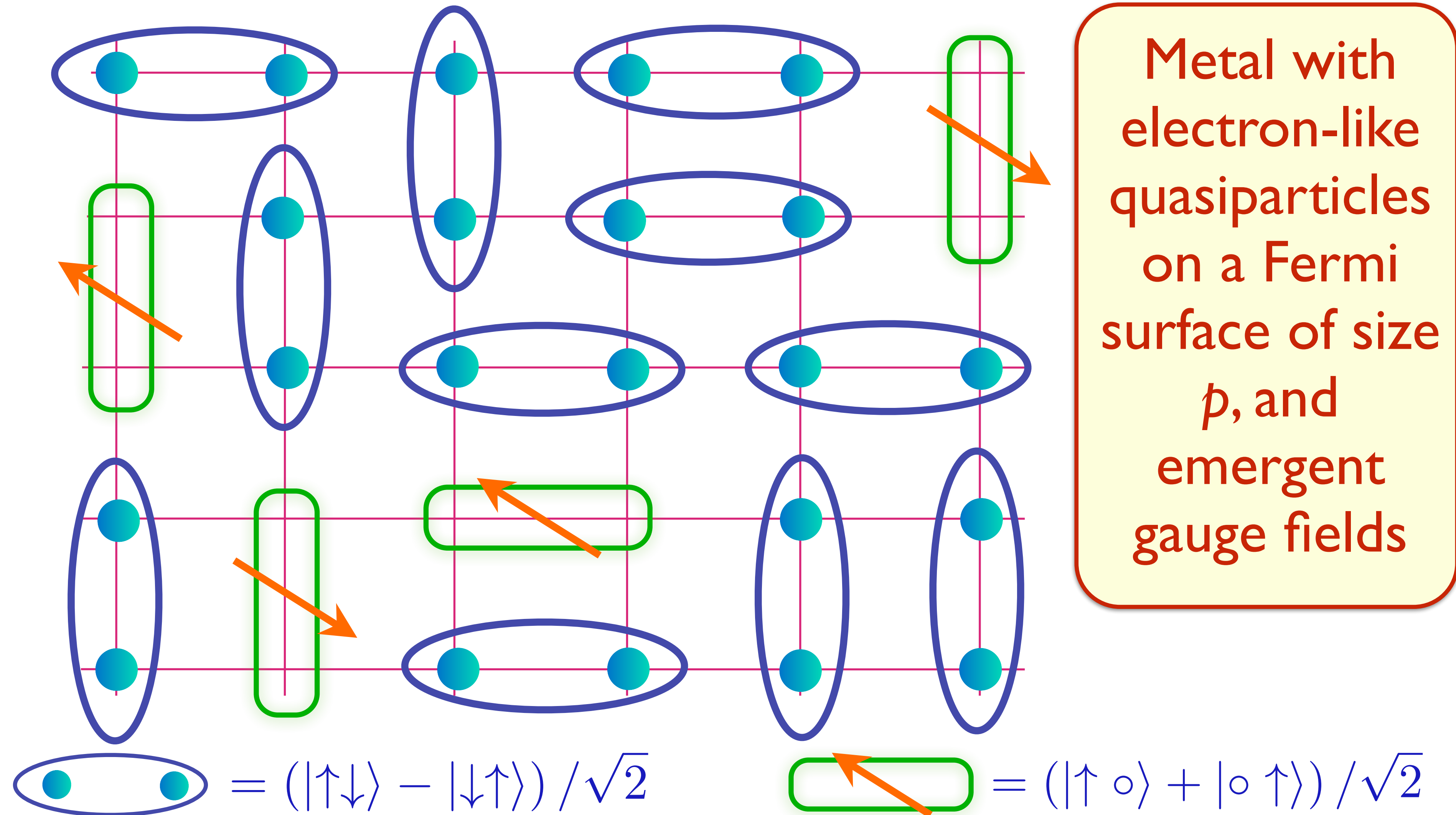


Moon and Sachdev, *Phys. Rev. B* **83**, 224508 (2011); Punk, Allais, and Sachdev, *Proc. Nat. Acad. Sci.* **112**, 9552 (2015)

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Sachdev, *Phys. Rev. B* **49**, 6770 (1994); Wen and Lee, *Phys. Rev. Lett.* **76**, 503 (1996)

Kaul, Kolezhuk, Levin, Sachdev, and T. Senthil, *Phys. Rev. B* **75**, 235122 (2007)

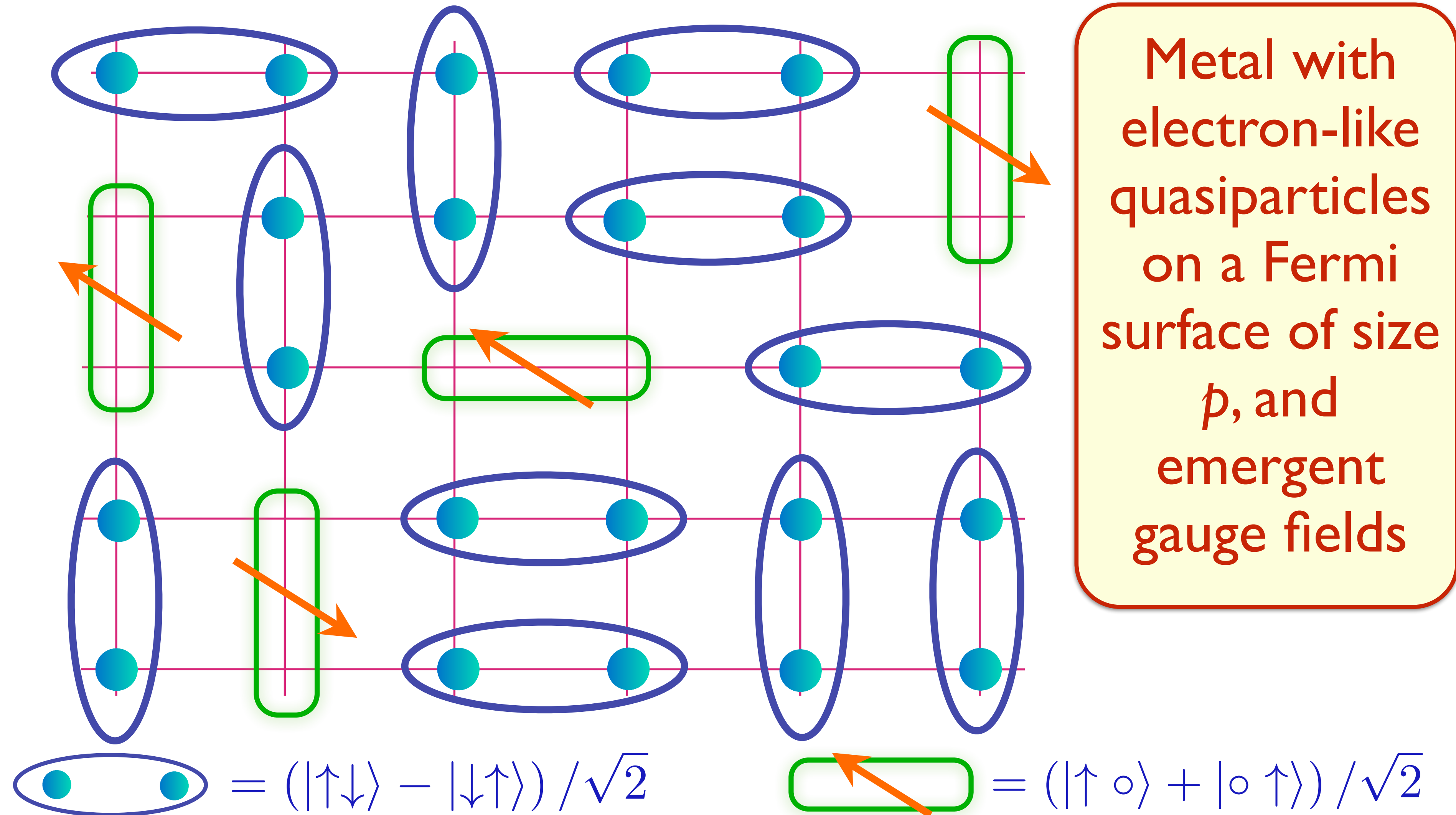


Moon and Sachdev, *Phys. Rev. B* **83**, 224508 (2011); Punk, Allais, and Sachdev, *Proc. Nat. Acad. Sci.* **112**, 9552 (2015)

FL* in a **one-band** model

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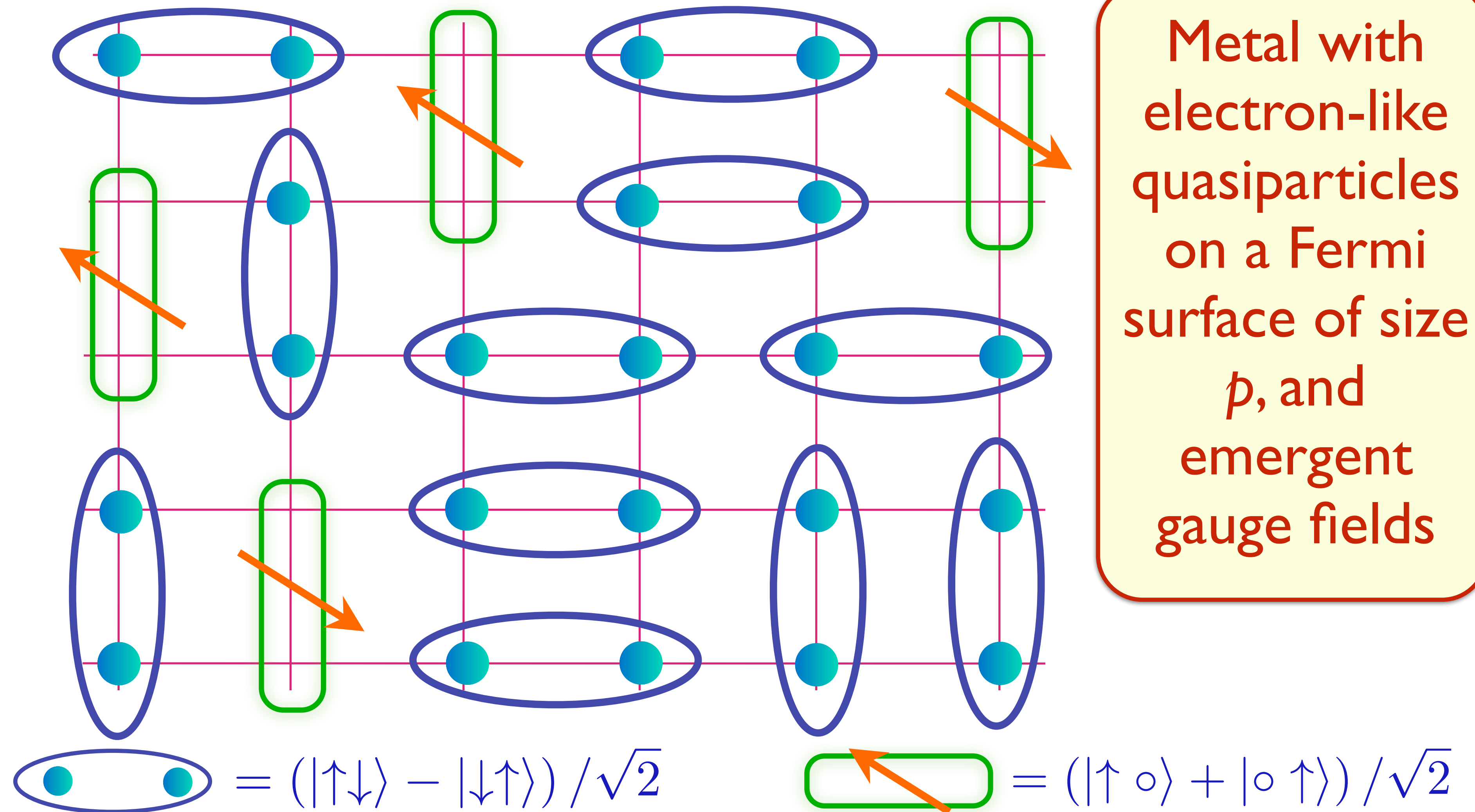
Kaul, Kolezhuk, Levin, Sachdev, and T. Senthil, *Phys. Rev. B* **75**, 235122 (2007)



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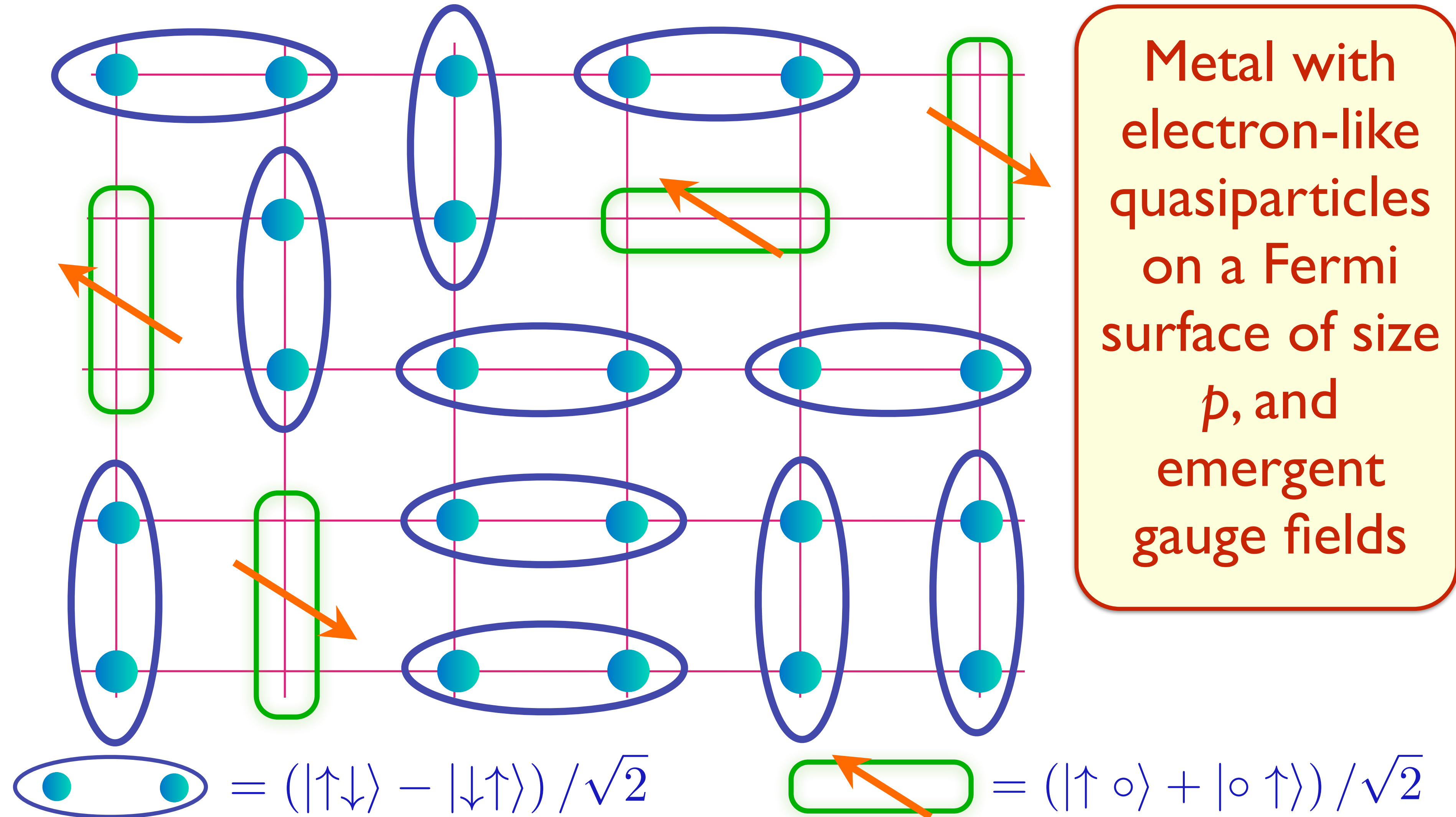


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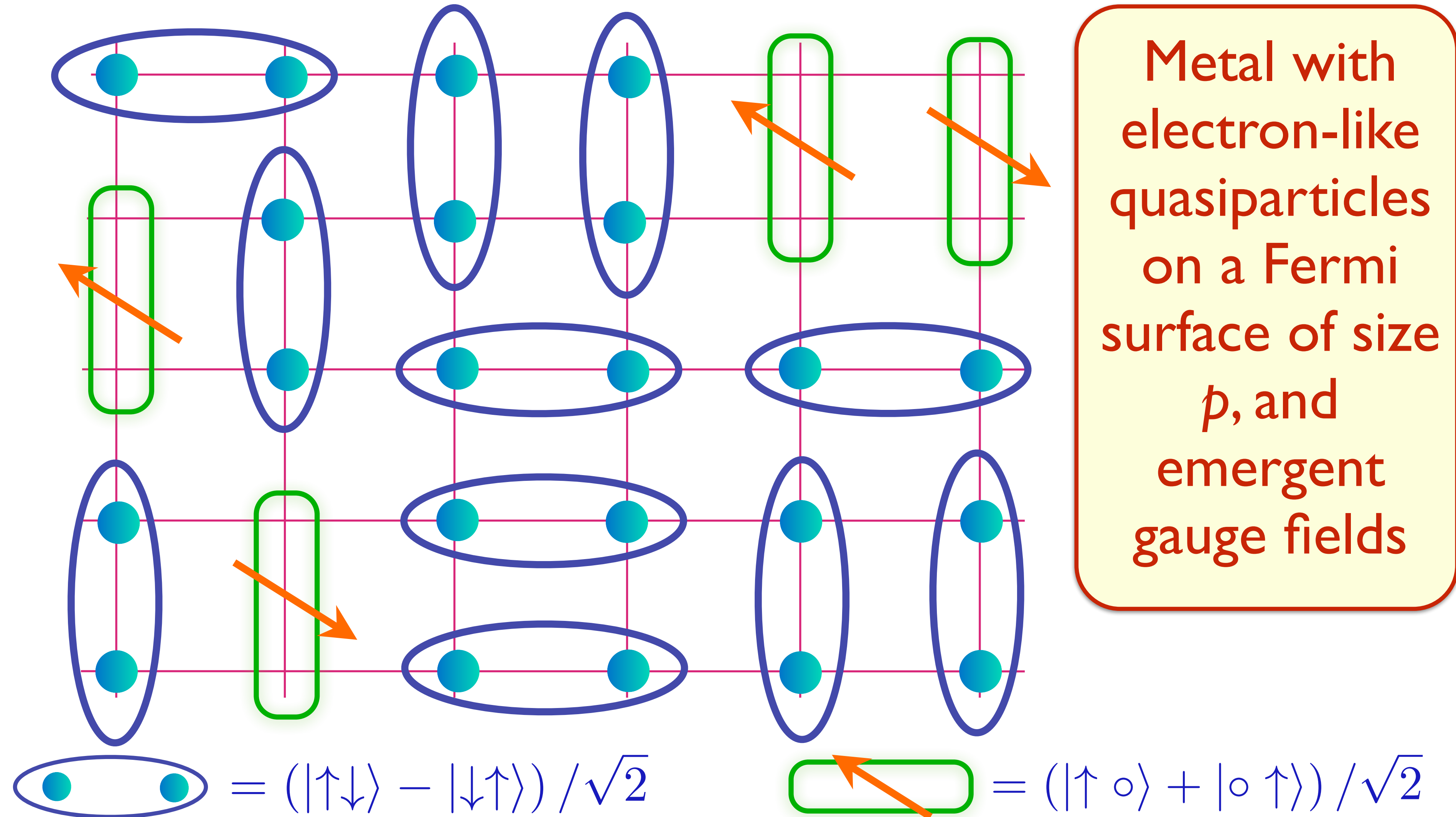


Moon and Sachdev, *Phys. Rev. B* **83**, 224508 (2011); Punk, Allais, and Sachdev, *Proc. Nat. Acad. Sci.* **112**, 9552 (2015)

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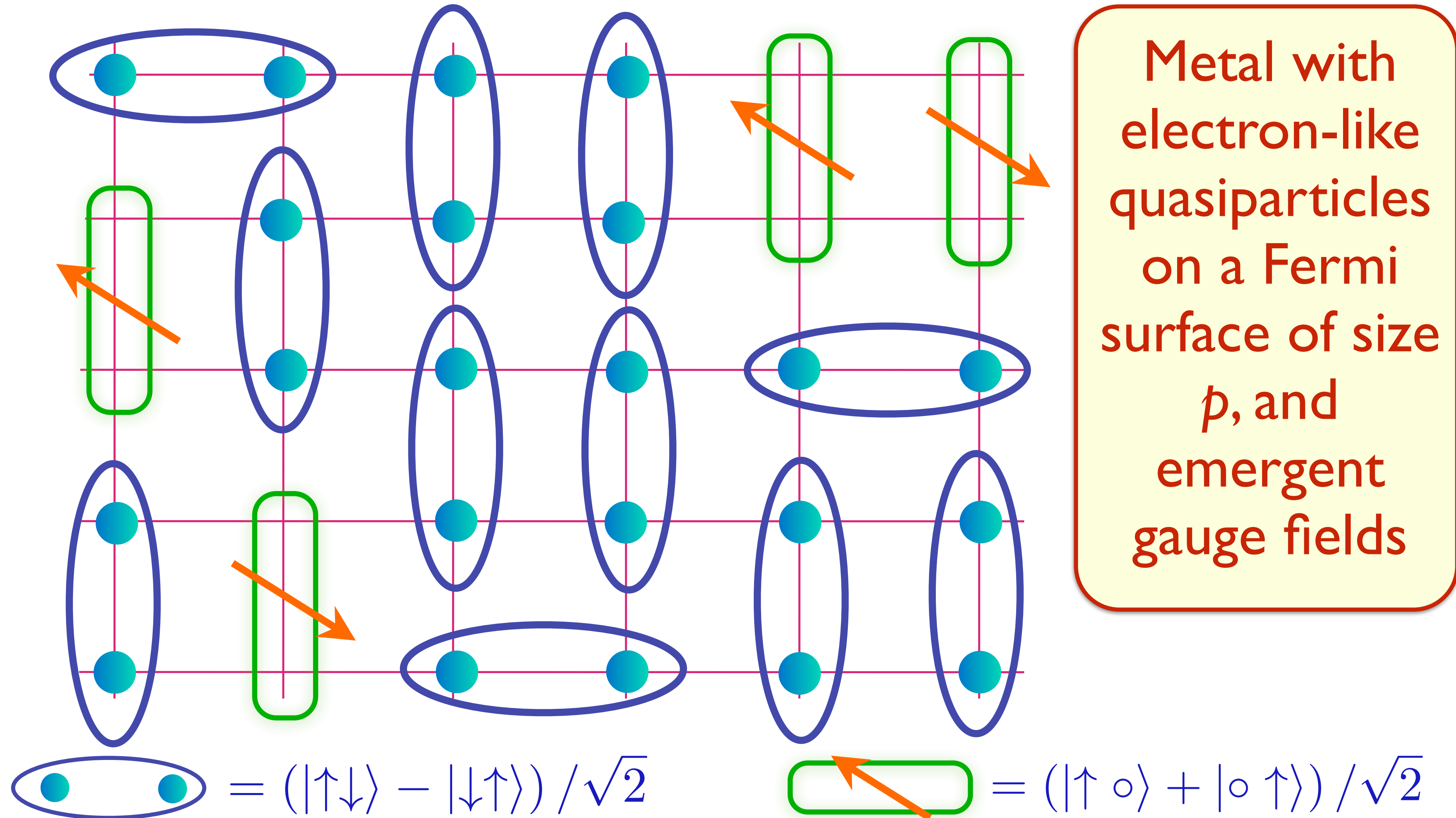


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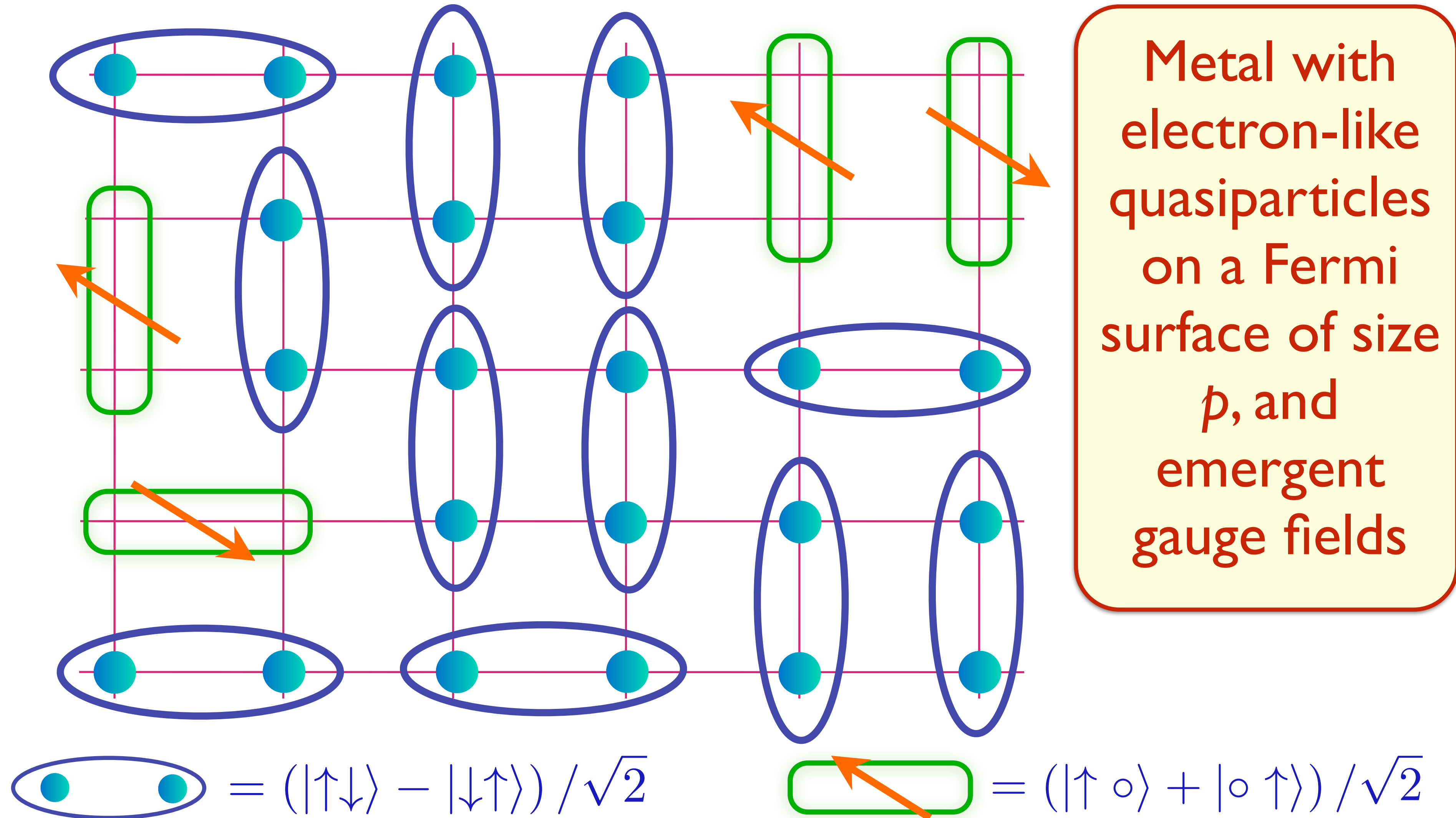


Metal with
electron-like
quasiparticles
on a Fermi
surface of size
 p , and
emergent
gauge fields

FL* in a **one-band** model

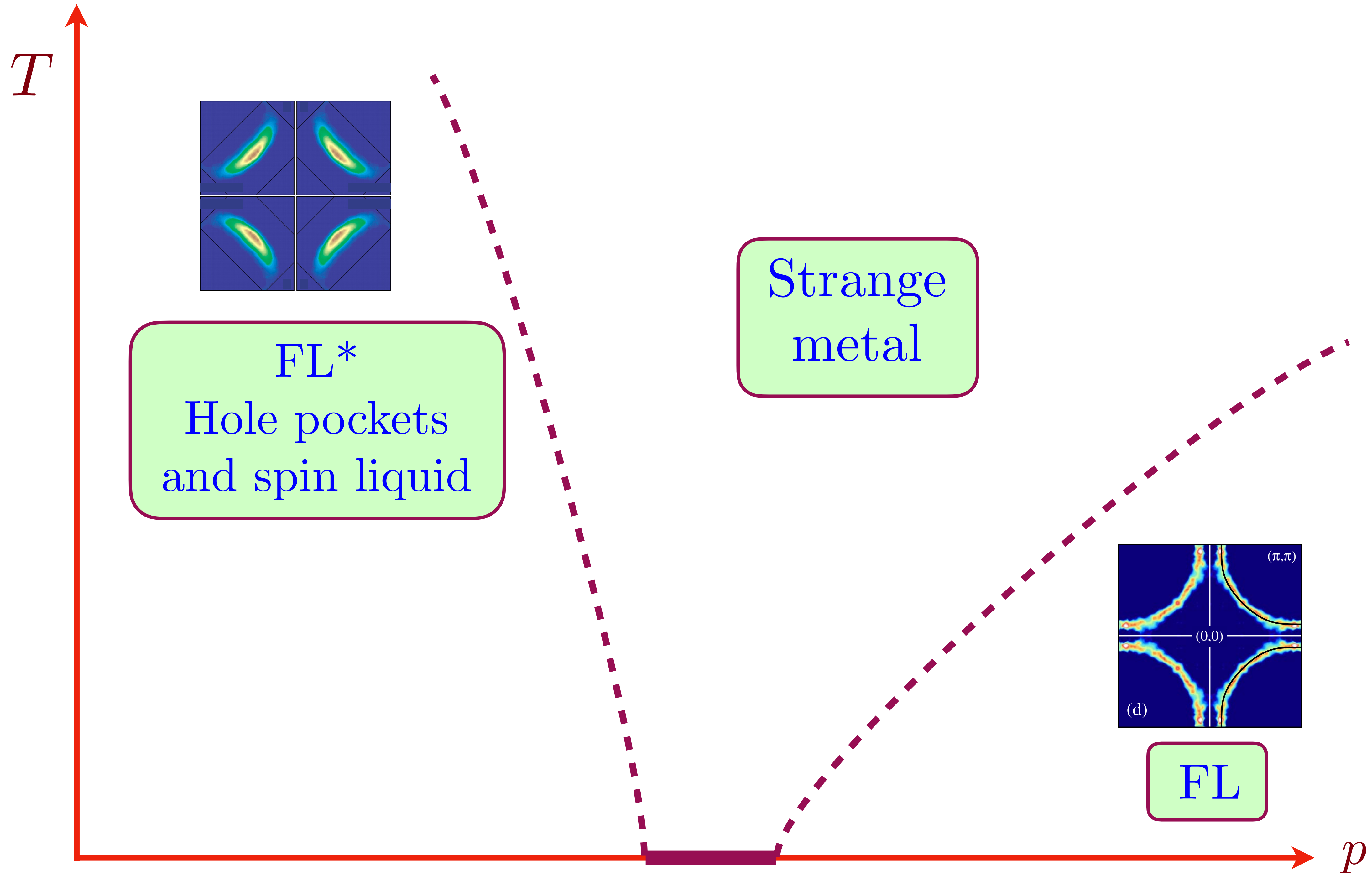
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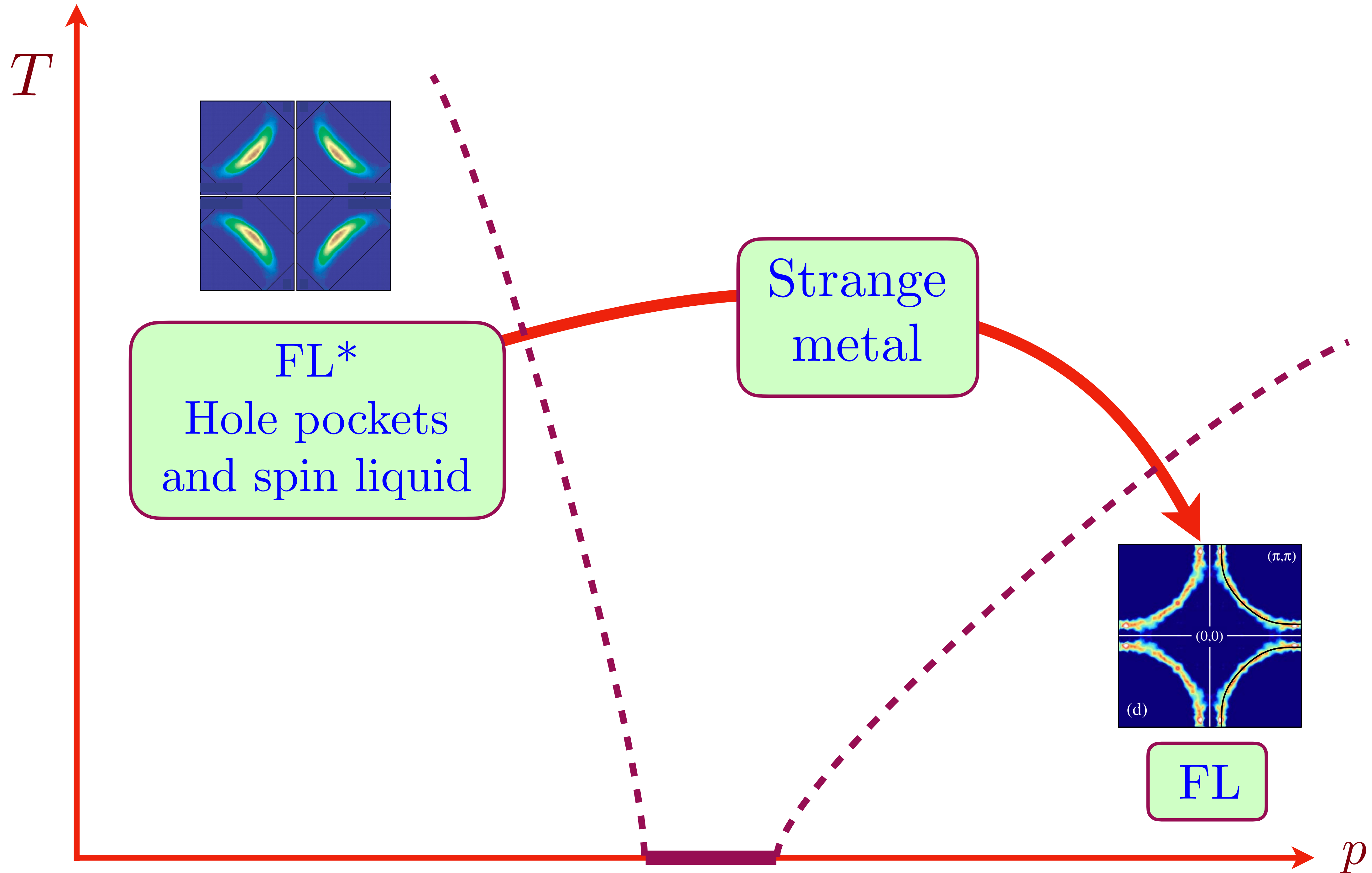
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Moon and Sachdev, *Phys. Rev. B* **83**, 224508 (2011); Punk, Allais, and Sachdev, *Proc. Nat. Acad. Sci.* **112**, 9552 (2015)

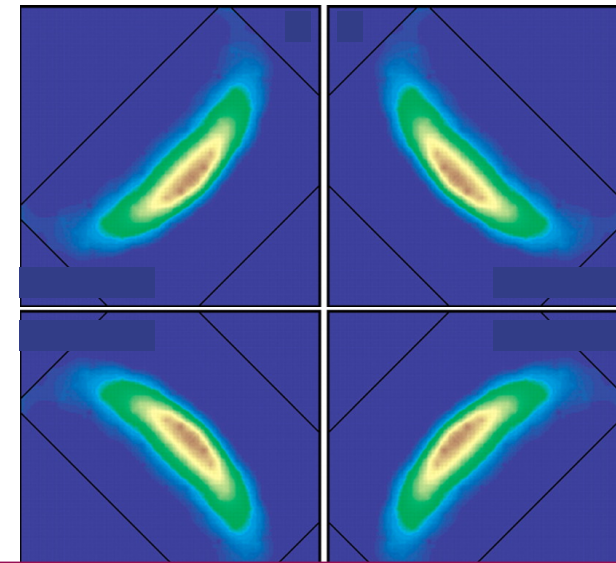
From FL*
to
a cuprate phase diagram





Universal theory of strange metals from spatially random interactions

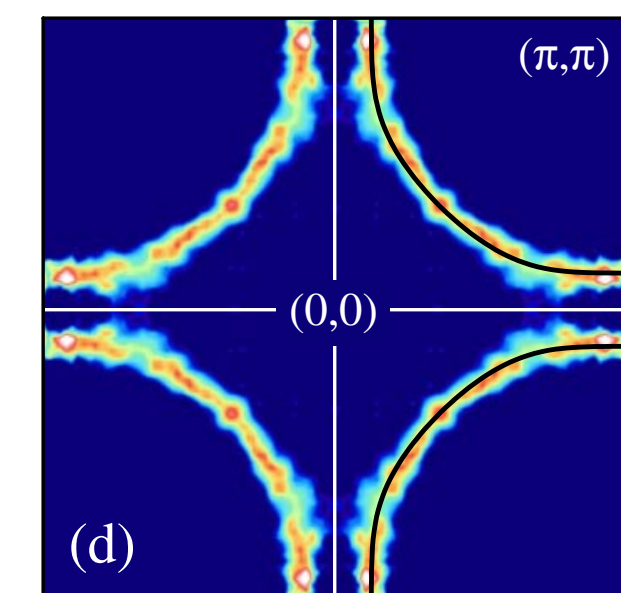
Aavishkar A. Patel^{1,2}, Haoyu Guo^{3,4,5}, Ilya Esterlis^{4,6}, Subir Sachdev^{4,7*}



we consider two-dimensional metals of fermions coupled to quantum critical scalars, the latter representing order parameters or fractionalized particles. We show that at low temperatures (T), such metals generically exhibit strange metal behavior with a T -linear resistivity arising from spatially random fluctuations in the fermion-scalar Yukawa couplings about a nonzero spatial average.

FL*
Hole pockets
and spin liquid

Strange
metal



FL

Spatially random interactions!

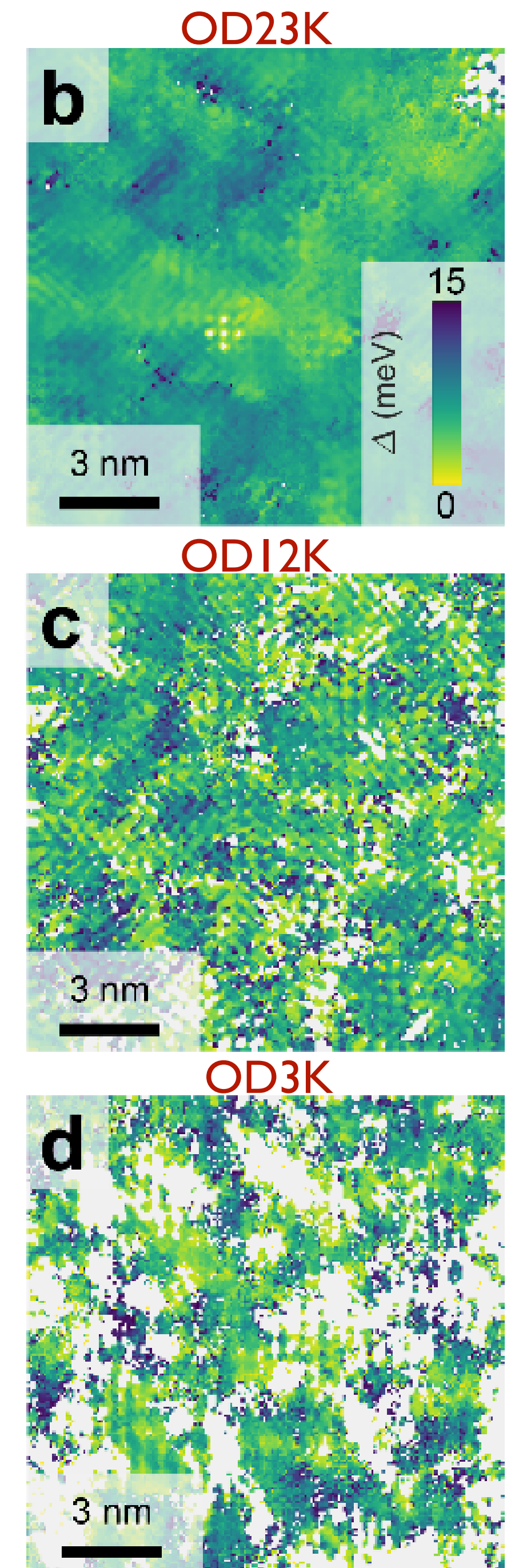
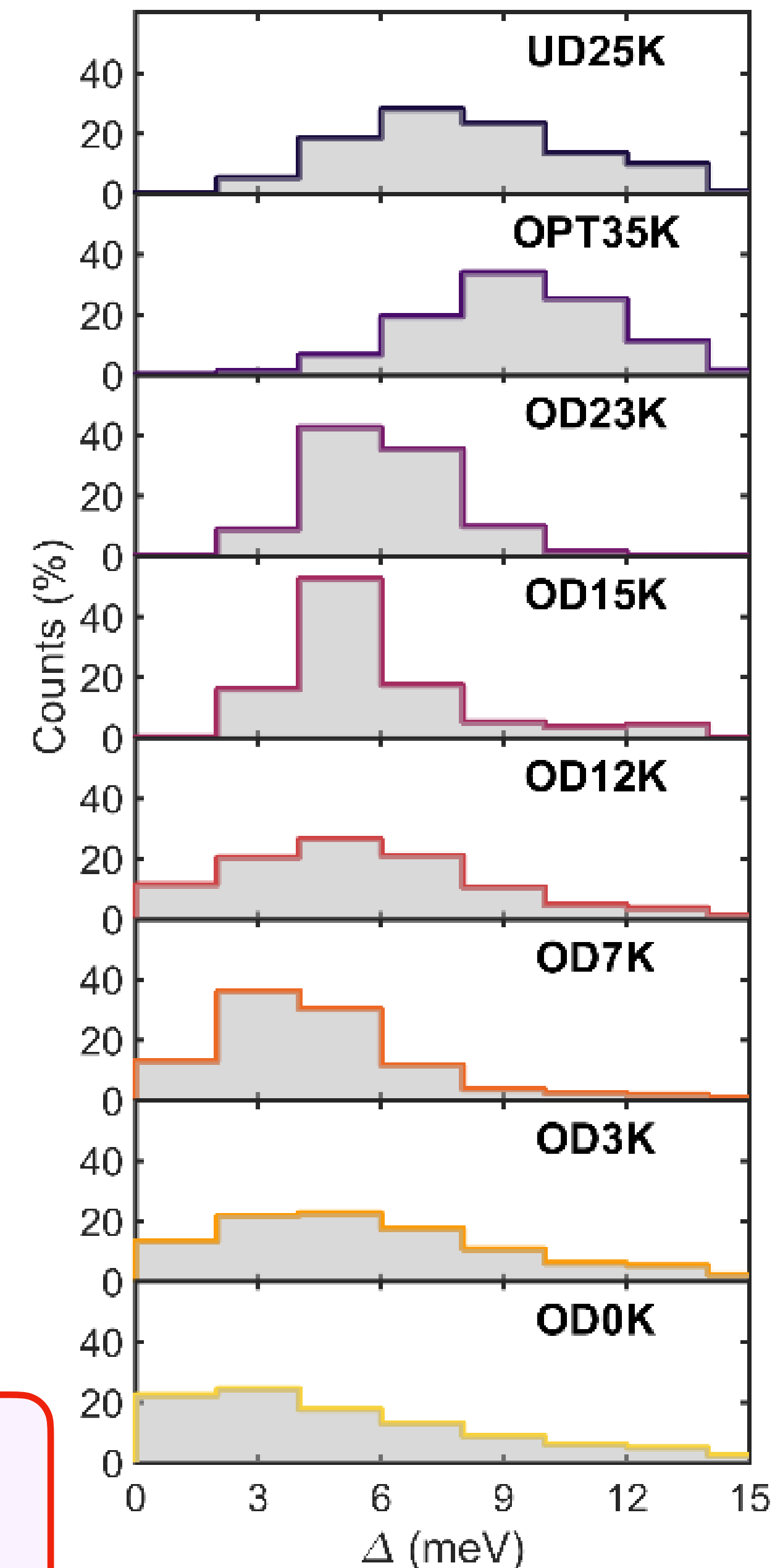
Puddle formation, persistent gaps, and non-mean-field breakdown of superconductivity in overdoped $(\text{Pb,Bi})_2\text{Sr}_2\text{CuO}_{6+\delta}$

Willem O. Tromp, Tjerk Benschop, Jian-Feng Ge, Irene Battisti, Koen M. Bastiaans, Damianos Chatzopoulos, Amber Vervloet, Steef Smit, Erik van Heumen, Mark S. Golden, Yinkai Huang, Takeshi Kondo, Yi Yin, Jennifer E. Hoffman, Miguel Antonio Sulangi, Jan Zaanen, Milan P. Allan

Our scanning tunneling spectroscopy measurements in the overdoped regime of the $(\text{Pb,Bi})_2\text{Sr}_2\text{CuO}_{6+\delta}$ high-temperature superconductor show the emergence of puddled superconductivity, featuring nanoscale superconducting islands in a metallic matrix

Nat. Mater. **22**, 703 (2023)

Disorder in t_{ij} induces disorder in $J_{ij} = \frac{t_{ij}^2}{U}$



Transport properties of a strange metal:

1. Resistivity $\rho(T) = \rho_0 + AT + \dots$ as $T \rightarrow 0$
and $\rho(T) < h/e^2$ (in $d = 2$).
Metals with $\rho(T) > h/e^2$ are bad metals.

2. Optical conductivity

$$\sigma(\omega) = \frac{K}{\frac{1}{\tau_{\text{trans}}(\omega)} - i\omega \frac{m_{\text{trans}}^*(\omega)}{m}} \quad ; \quad \frac{1}{\tau_{\text{trans}}(\omega)} \sim |\omega| \Phi_{\sigma} \left(\frac{\hbar\omega}{k_B T} \right)$$

van Heumen et al., *Phys. Rev. B* **106**, 054515 (2022)

Michon et al., *Nat. Commun.* **14**, 3033 (2023)

Electronic properties of a marginal Fermi liquid:

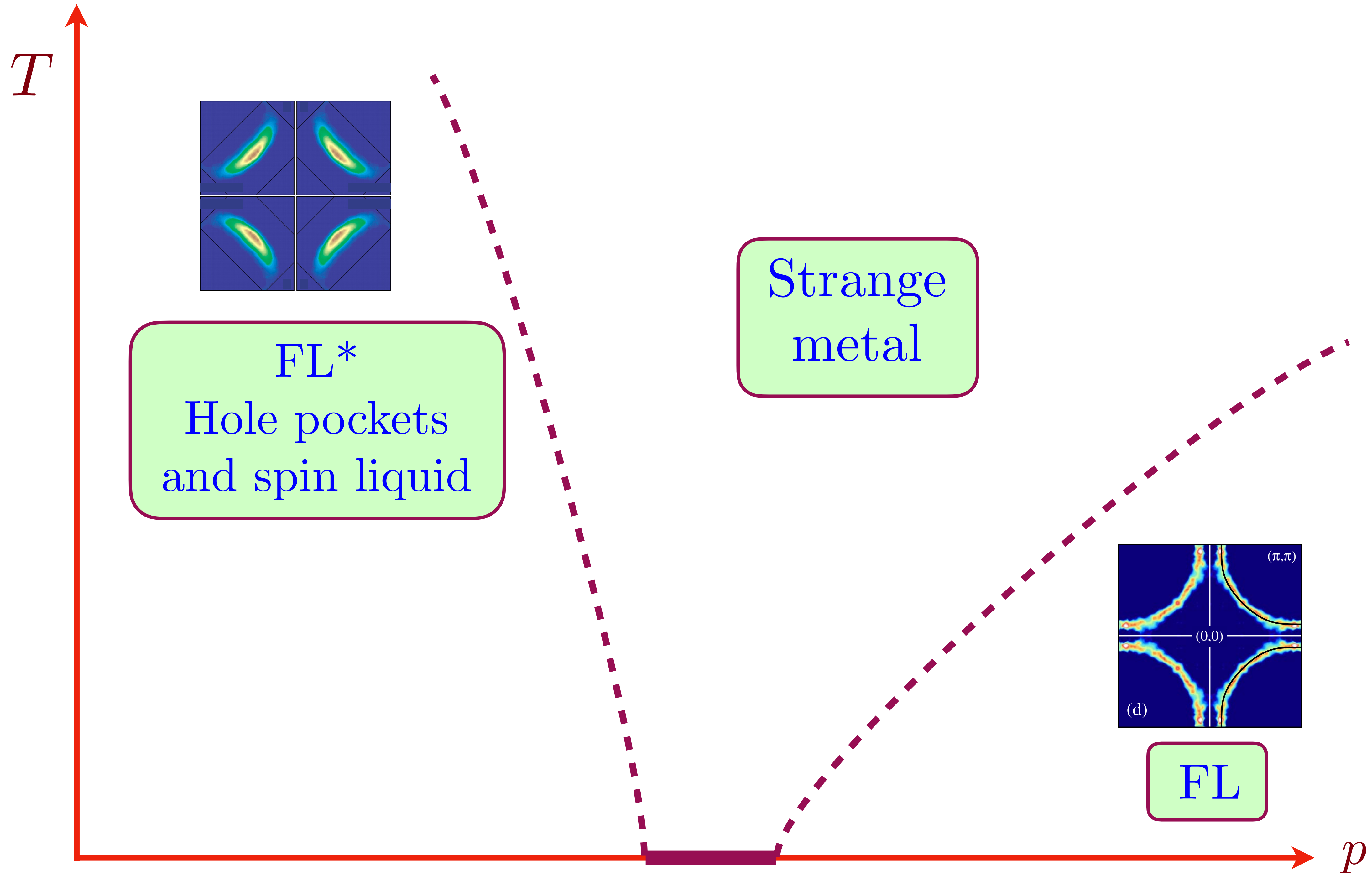
1. Photoemission: nearly marginal Fermi liquid electron spectral density:

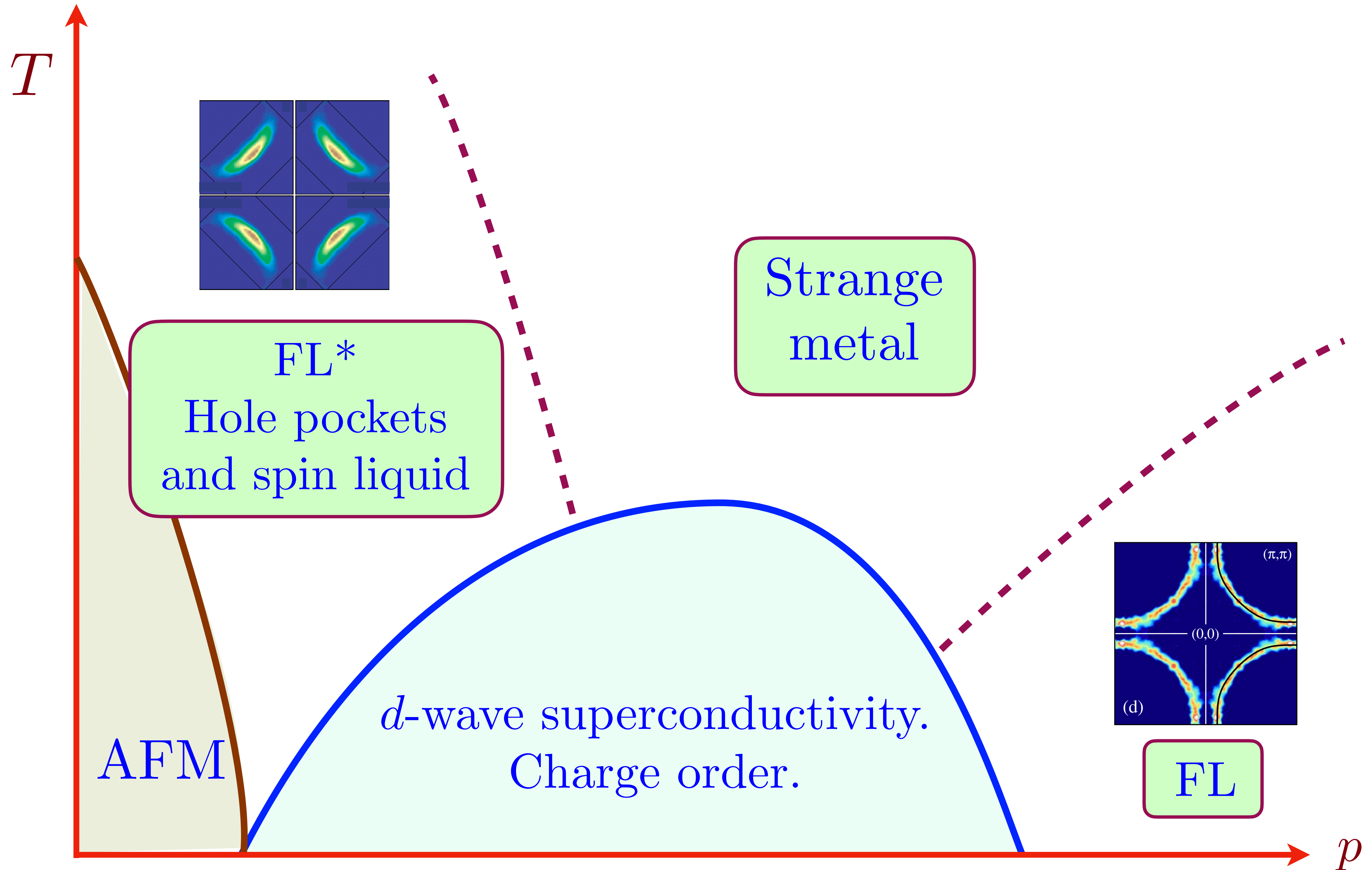
$$\text{Im}\Sigma(\omega) \sim |\omega|^{2\alpha} \Phi_{\Sigma} \left(\frac{\hbar\omega}{k_B T} \right) \quad \text{with } \alpha \approx 1/2 \quad ; \quad \frac{1}{\tau_{\text{in}}(\omega)} \sim |\omega| \Phi_{\Sigma} \left(\frac{\hbar\omega}{k_B T} \right)$$

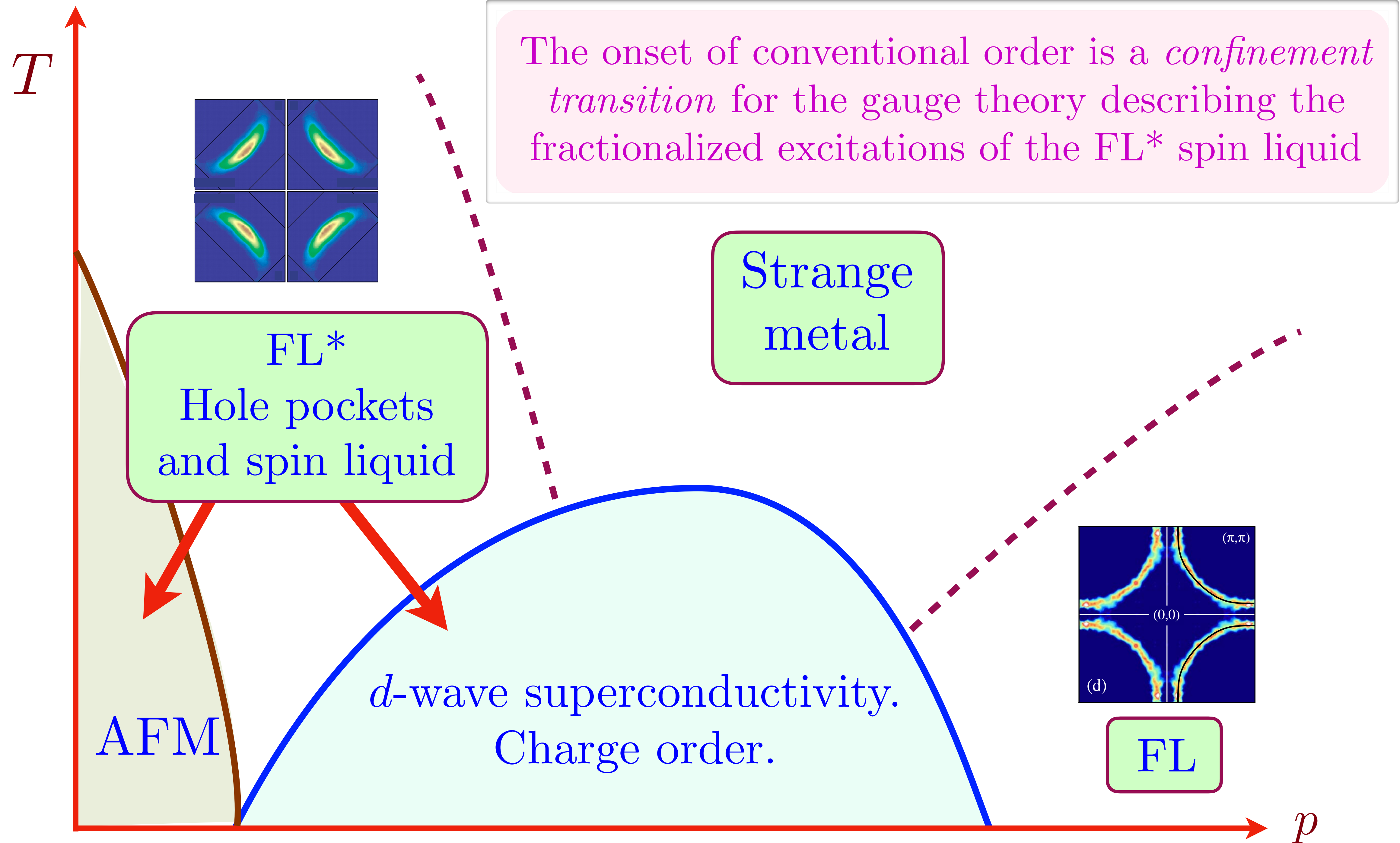
Reber et al., *Nat. Comm.* **10**, 5737 (2019)

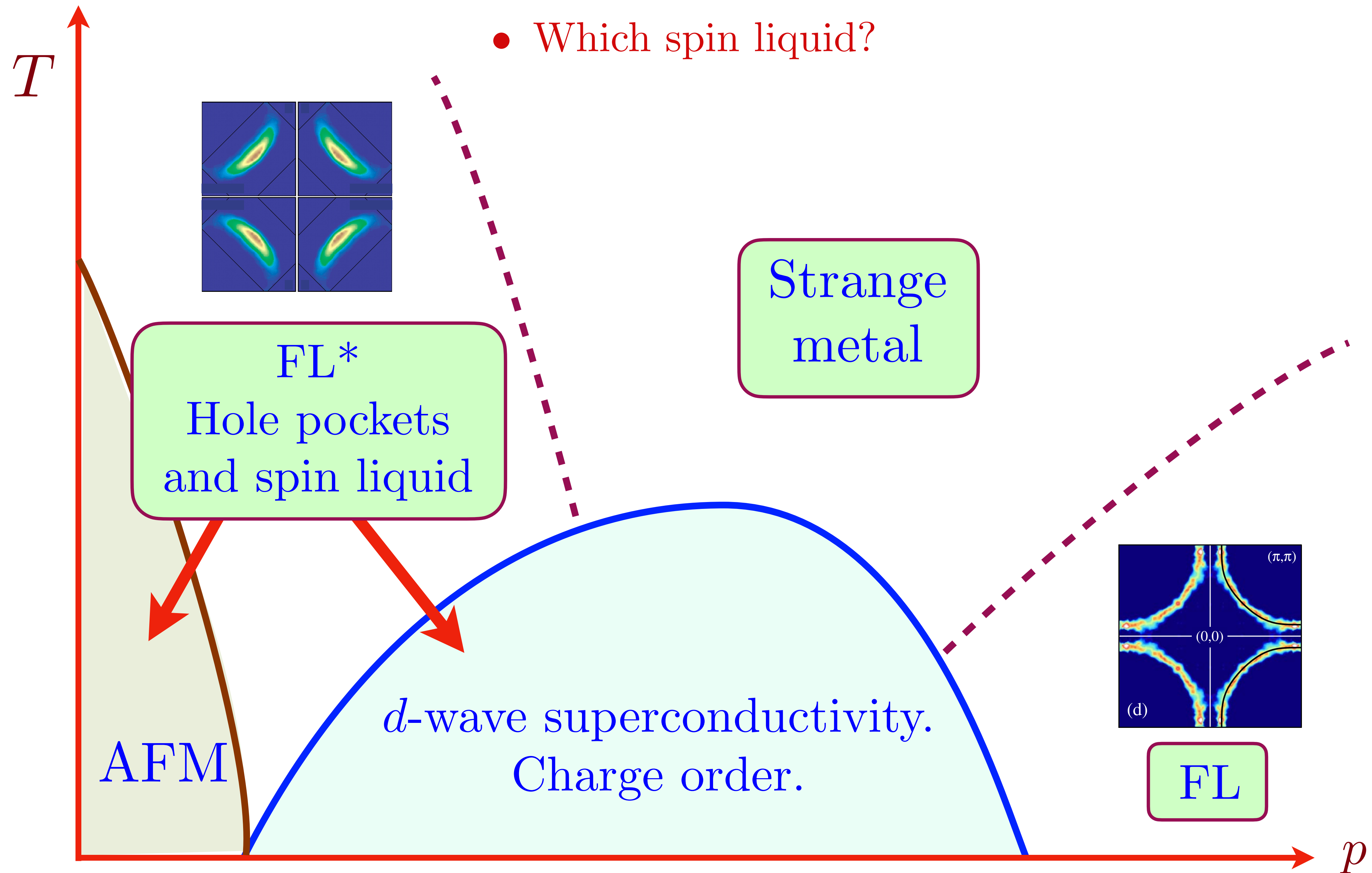
2. Specific heat $\sim T \ln(1/T)$ as $T \rightarrow 0$.

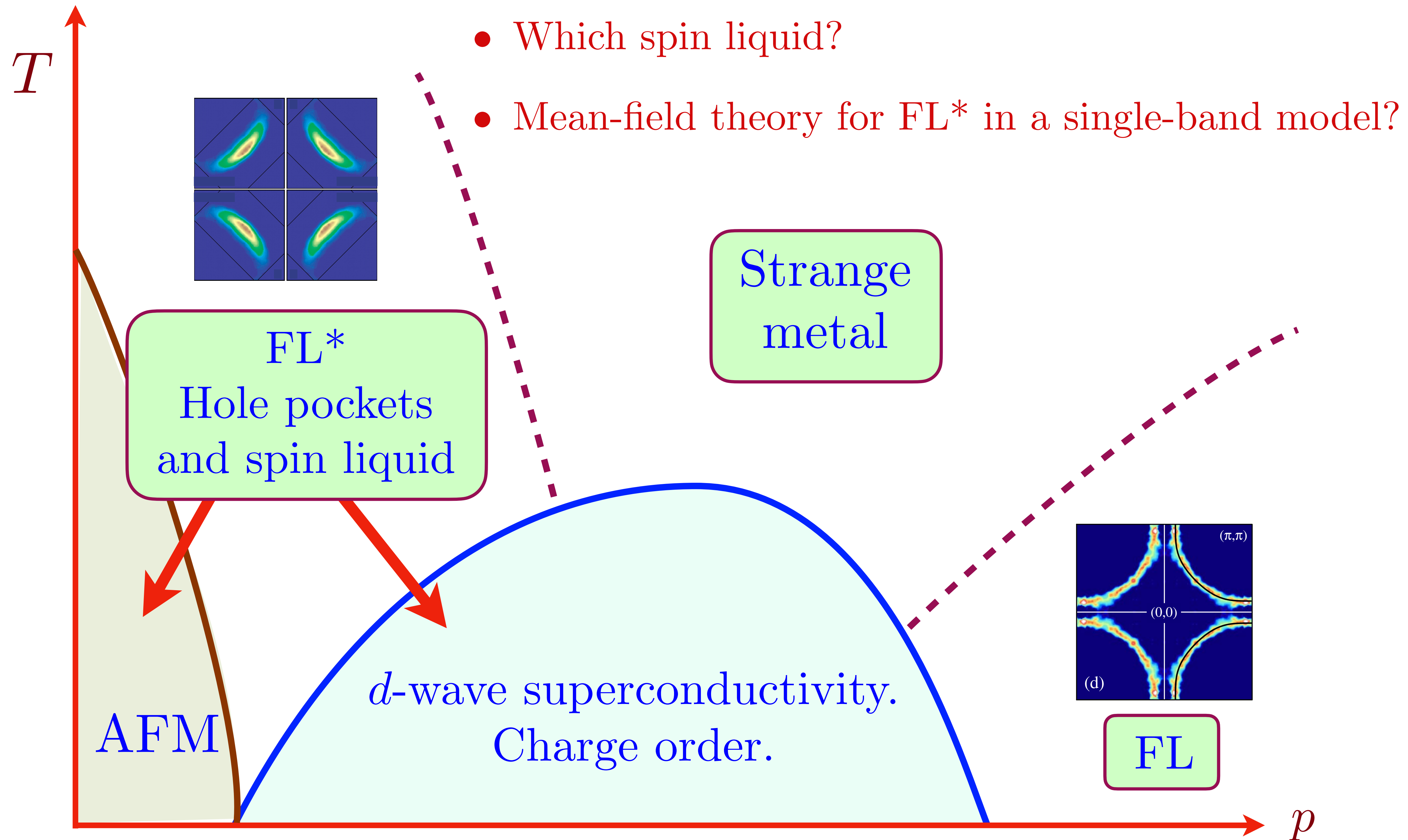
Hartnoll and MacKenzie, *Rev. Mod. Phys.* **94**, 041002 (2022)



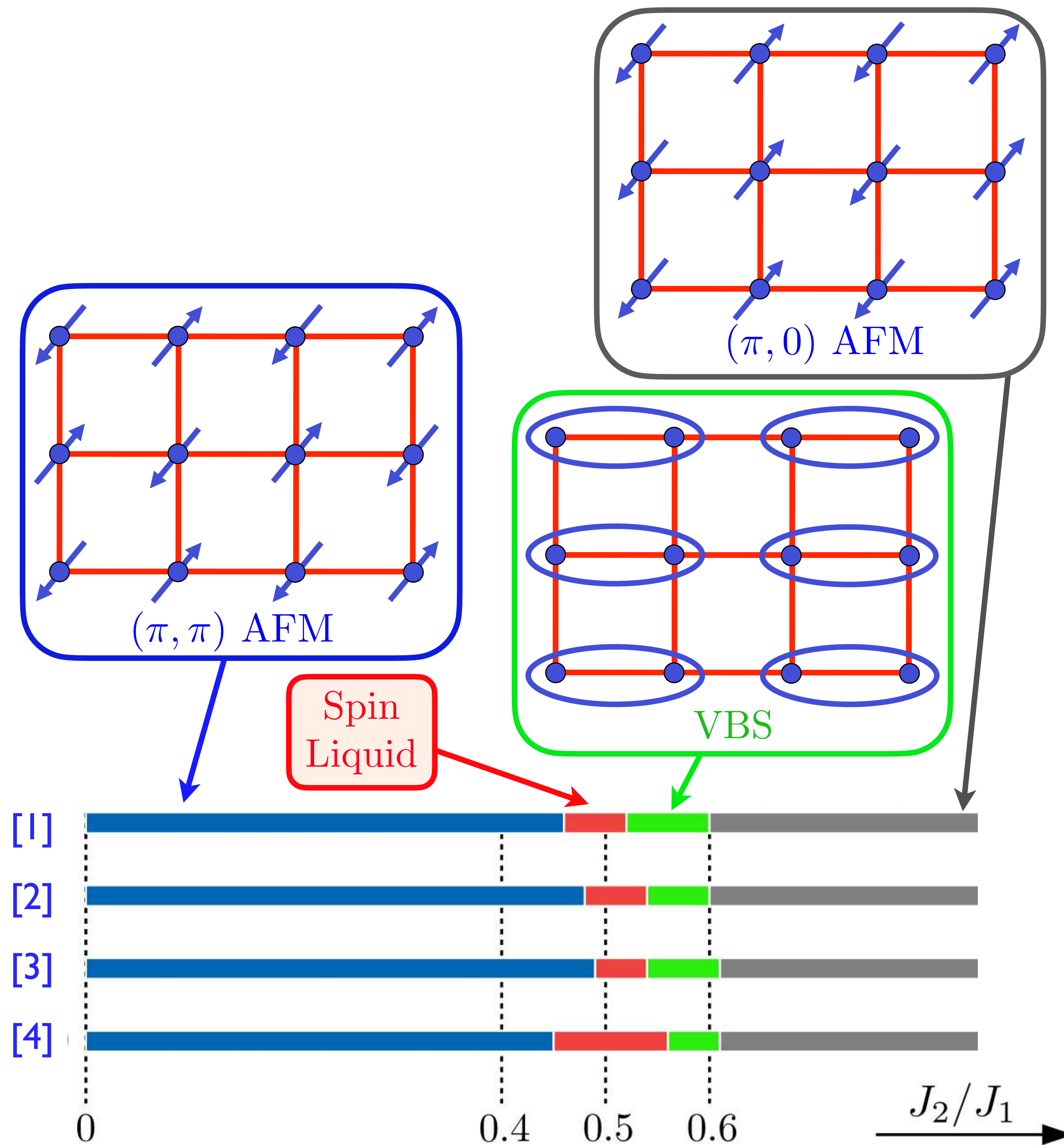




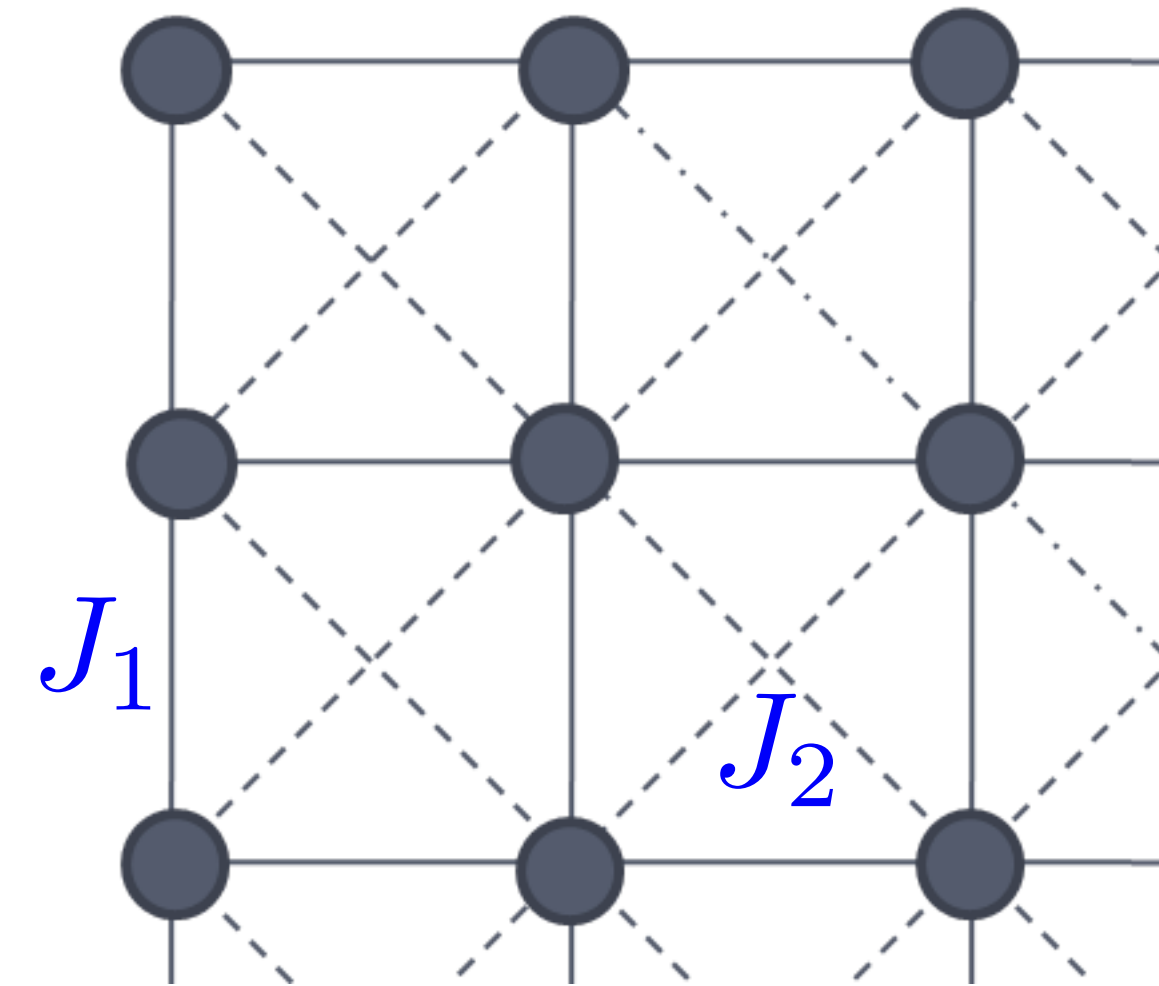




The CP^1/π -flux spin liquid

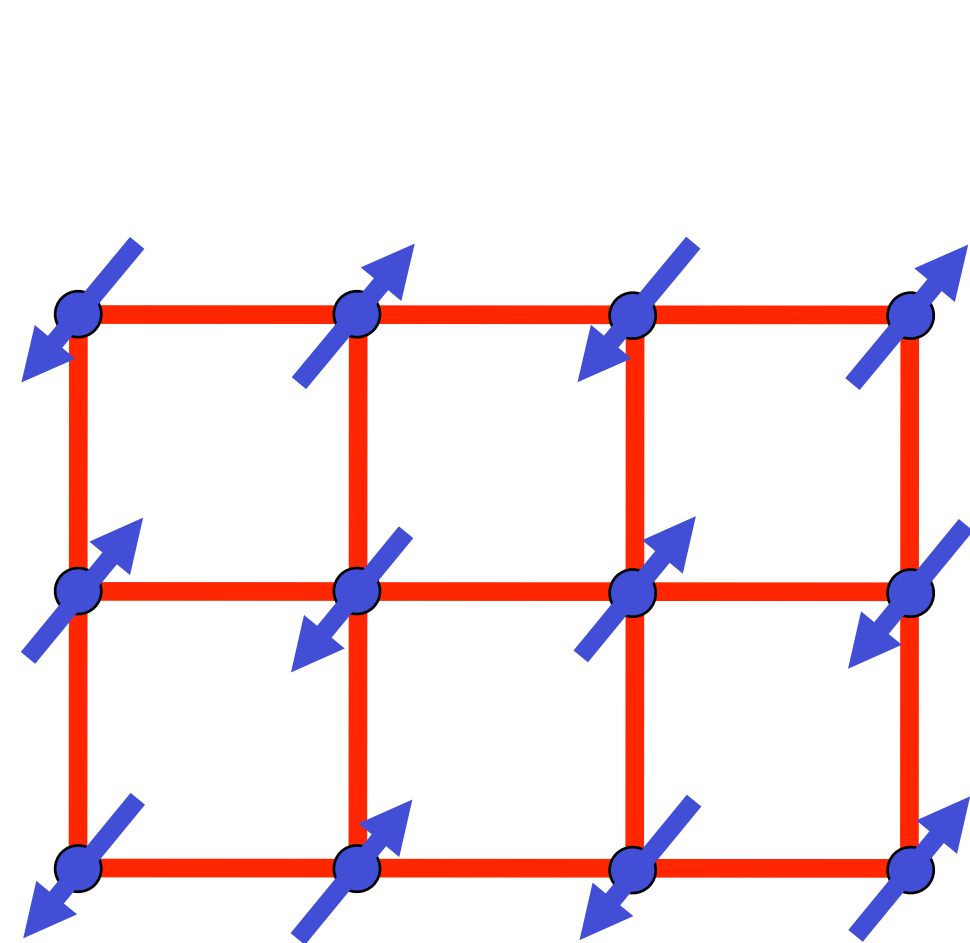


$$H = J_1 \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle i,j \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$



1. Wang and Sandvik, *Phys. Rev. Lett.* **121**, 107202 (2018)
2. Ferrari and Becca, *Phys. Rev. B* **102**, 014417 (2020)
3. Nomura and Imada, *Phys. Rev. X* **11**, 031034 (2021)
4. Liu, Gong, Li, Poilblanc, Chen, and Gu, *Sci. Bull.* **67**, 1034 (2022)

Insulating $S=1/2$ antiferromagnet



$\langle b_\alpha \rangle \neq 0$:
Néel order

$\langle b_\alpha \rangle = 0$:
Spin liquid

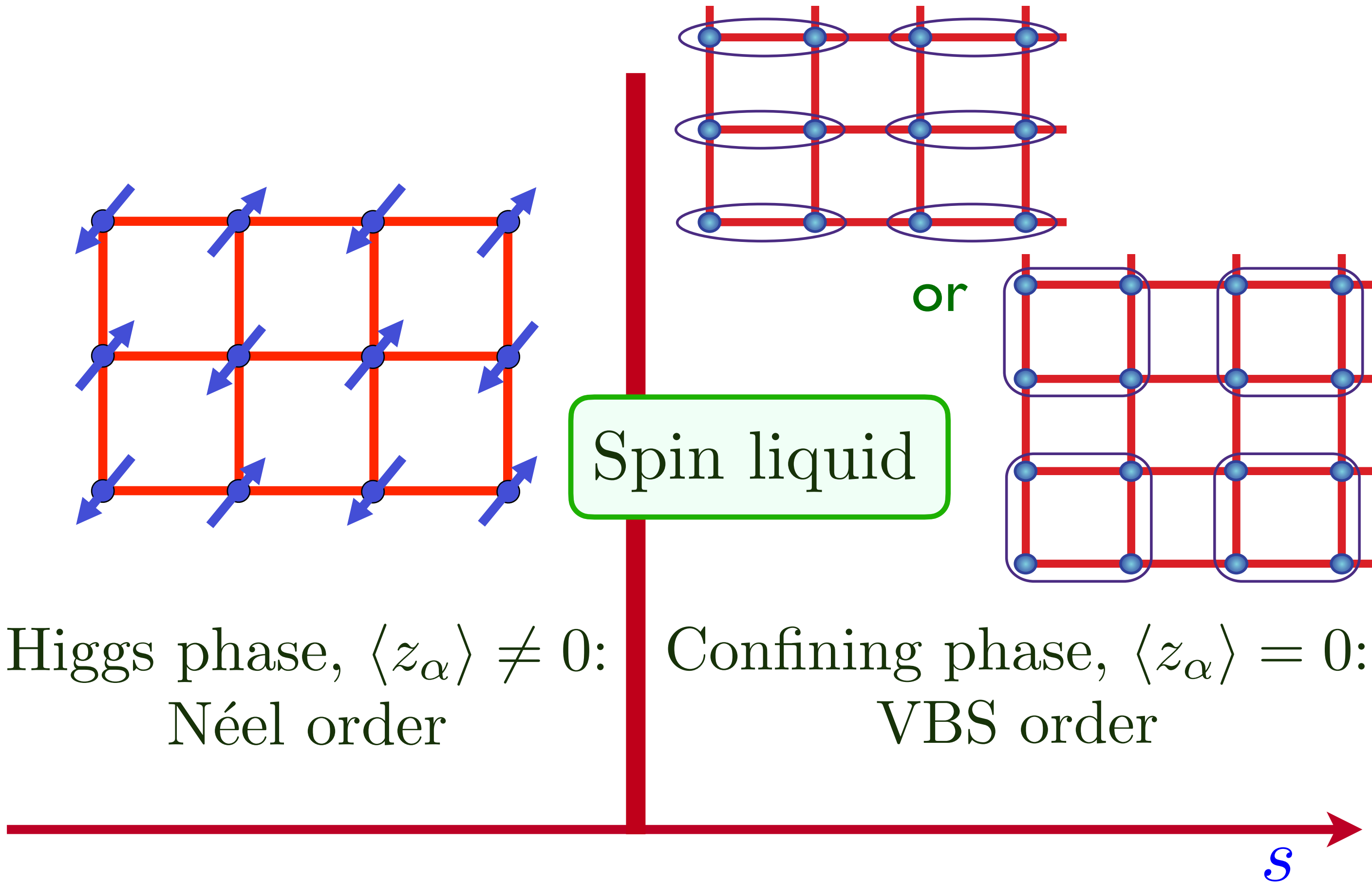
$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger bosons

$$\mathbf{S}_i = \frac{1}{2} b_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} b_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} b_{i\alpha}^\dagger b_{i\alpha} = 1$$

Mean-field spin liquid
with gapped bosonic spinons.

Insulating $S=1/2$ antiferromagnet



$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

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Mean-field spin liquid
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Low energy $\mathbb{CP}^1$ U(1) gauge theory

$$z_\alpha \sim b_{A\alpha} + \varepsilon_{\alpha\beta} b_{B\beta}$$

$$\mathcal{L} = |(\partial_\mu - i a_\mu) z_\alpha|^2 + s |z_\alpha|^2 + u |z_\alpha|^4 + \mathcal{L}_{\text{monopole}}$$

Insulating $S=1/2$ antiferromagnet

$\mathbb{CP}^1$ U(1) gauge theory

Insulating $S=1/2$ antiferromagnet

$\mathbb{CP}^1$ U(1) gauge theory

SU(2) gauge theory of $N_f = 2$
fundamental, massless, Dirac fermions.

Obtained from a saddle-point of
fermionic spinons moving in π -flux.

Affleck and Marston, *Phys. Rev. B* **37**, 3774 (1988)

SO(5) non-linear σ -model
of Néel/VBS orders
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Many numerical works show that deconfined critical theory applies over a substantial length scale, but ultimately confines at the longest distances.

Sandvik, *Phys. Rev. Lett.* **98**, 227202 (2007)

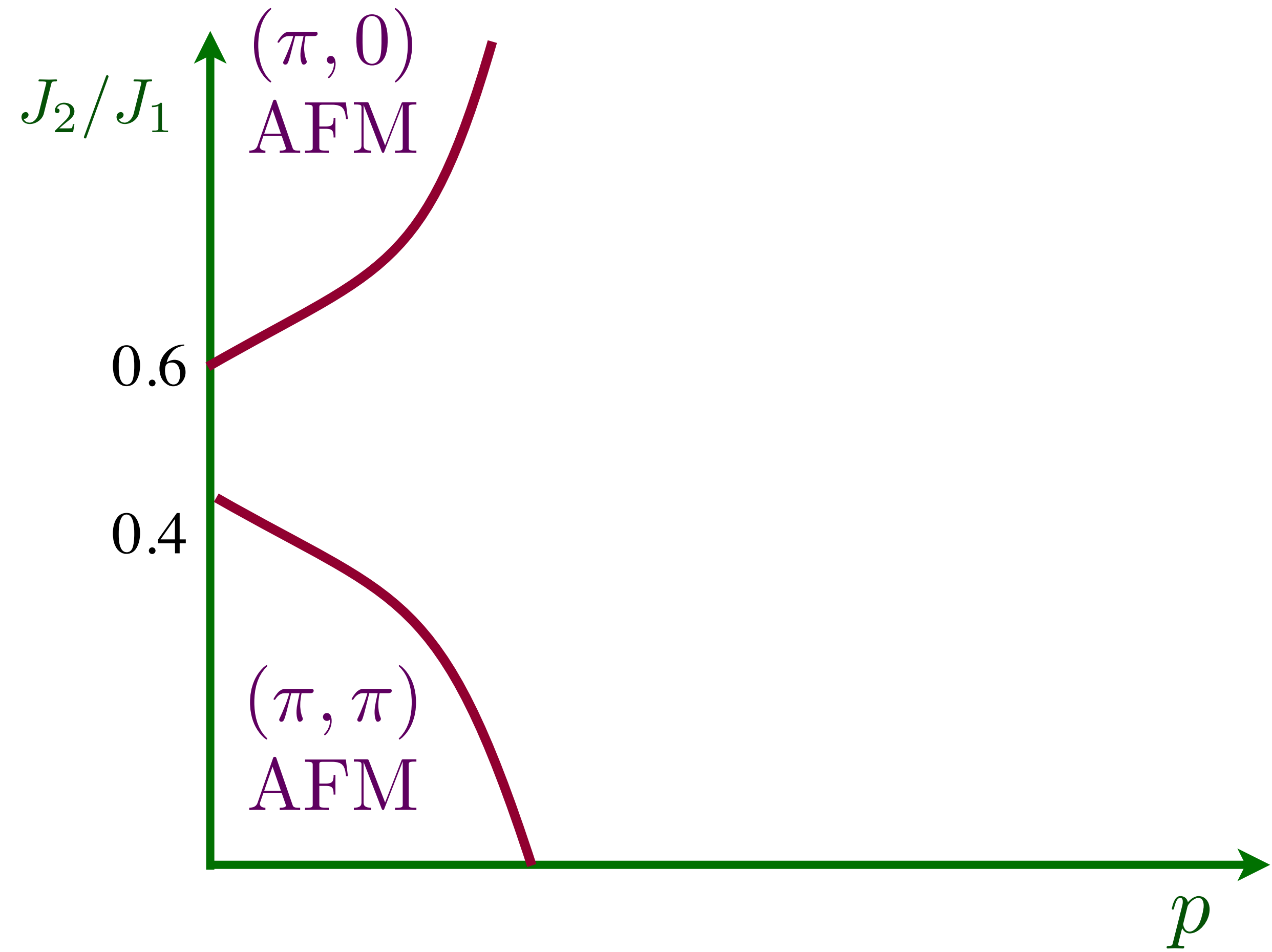
Nahum, Serna, Chalker, Ortuño, and Somoza, *Phys. Rev. Lett.* **115**, 267203 (2015)

Zhou, Hu, Zhu, and He, arXiv:2306.16435 (2023)

High Temperature Superconductivity in a Lightly Doped Quantum Spin Liquid

Hong-Chen Jiang^{1,*} and Steven A. Kivelson²

Phys. Rev. Lett. **127**, 097002 (2021)



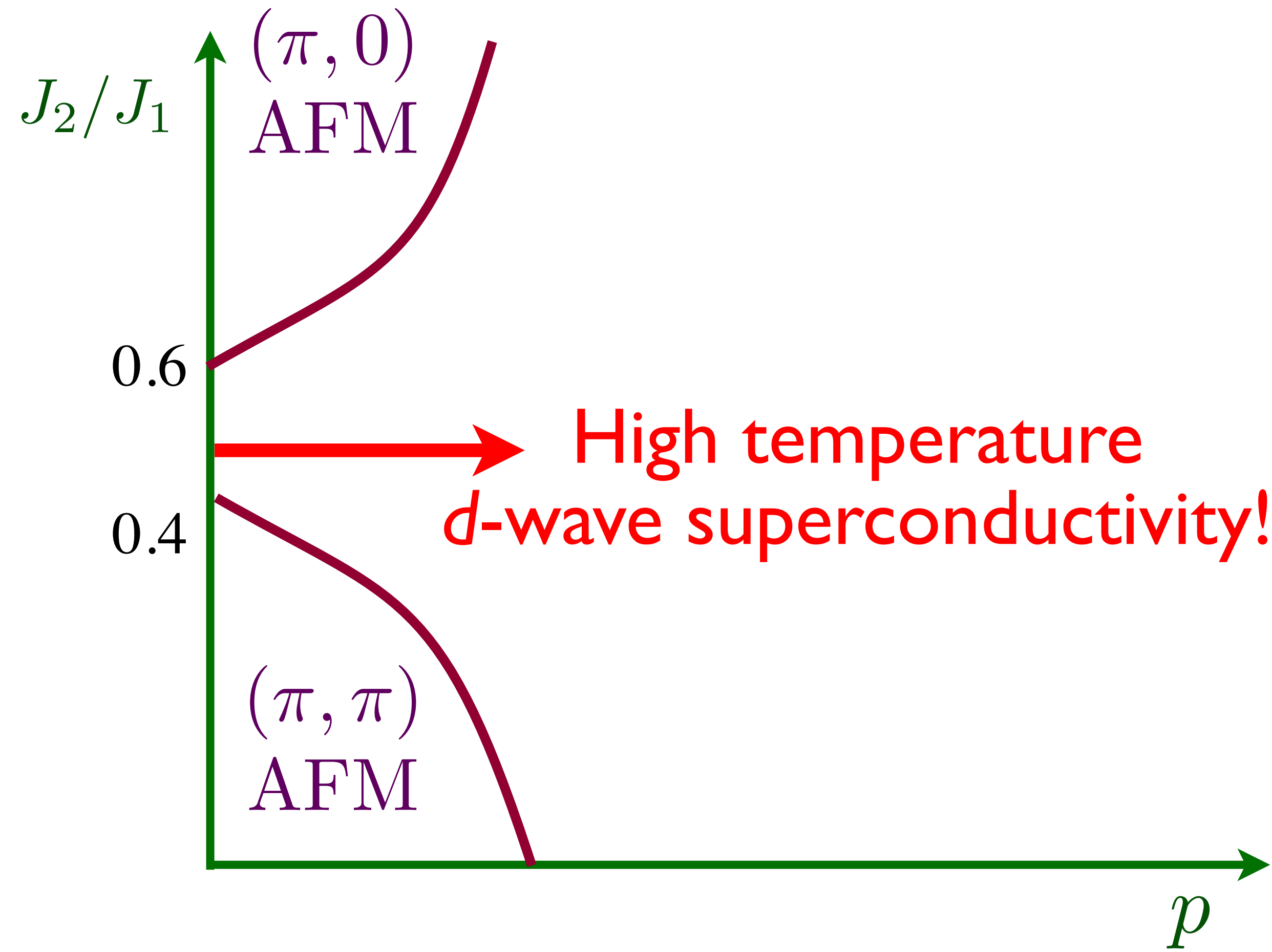
Superconducting valence bond fluid in
lightly doped 8-leg t - J cylinders
Jiang, Kivelson, and Lee, *Phys. Rev. B* **108**,
054505 (2023)

Upon increasing the cylinder width from 4 to 8, we observed a significant strengthening of the quasi-long-range superconducting correlations, and a dramatic suppression of any “competing” charge-density-wave order. Extrapolating from the observed behavior of the width 8 cylinders, we speculate that the system has a nodeless d-wave superconducting ground-state in the 2D limit.

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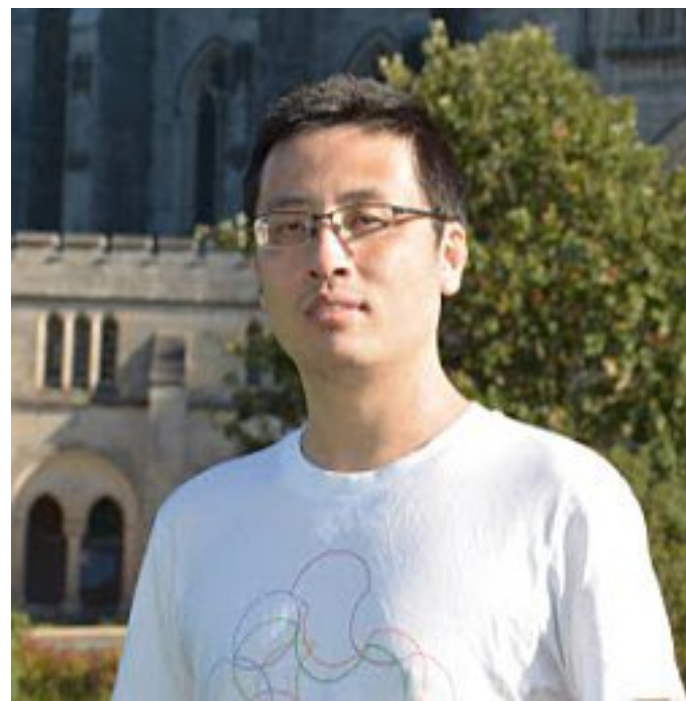
Phys. Rev. Lett. **127**, 097002 (2021)



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Ancilla theory of FL^* in a single-band model



Ya-Hui Zhang

Paramagnon theory of the Hubbard model

$$H = - \sum_{i < j} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i \left(n_{i\uparrow} - \frac{1}{2} \right) \left(n_{i\downarrow} - \frac{1}{2} \right) - \mu \sum_i c_{i\sigma}^\dagger c_{i\sigma}$$

We use the operator equation (valid on each site i):

$$U \left(n_\uparrow - \frac{1}{2} \right) \left(n_\downarrow - \frac{1}{2} \right) = -\frac{2U}{3} \mathbf{S}^2 + \frac{U}{4}$$

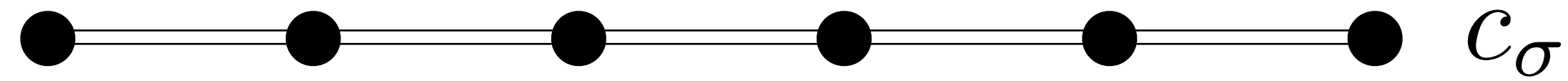
Then we decouple the interaction via

$$\exp \left(\frac{2U}{3} \sum_i \int d\tau \mathbf{S}_i^2 \right) = \int \mathcal{D}\Phi_i(\tau) \exp \left(- \sum_i \int d\tau \left[\frac{3}{8U} \Phi_i^2 - \Phi_i \cdot c_{i\sigma}^\dagger \frac{\boldsymbol{\tau}_{\sigma\sigma'}}{2} c_{i\sigma'} \right] \right)$$

This yields the ‘Scalapino-Pines-Chubukov-Schmalian...’ theory for a ‘paramagnon quantum rotor’ Φ_i coupled to otherwise free fermions $c_{i\sigma}$.

Φ_i is the creation/annihilation operator for charge 0, spin $S = 1$ particle.

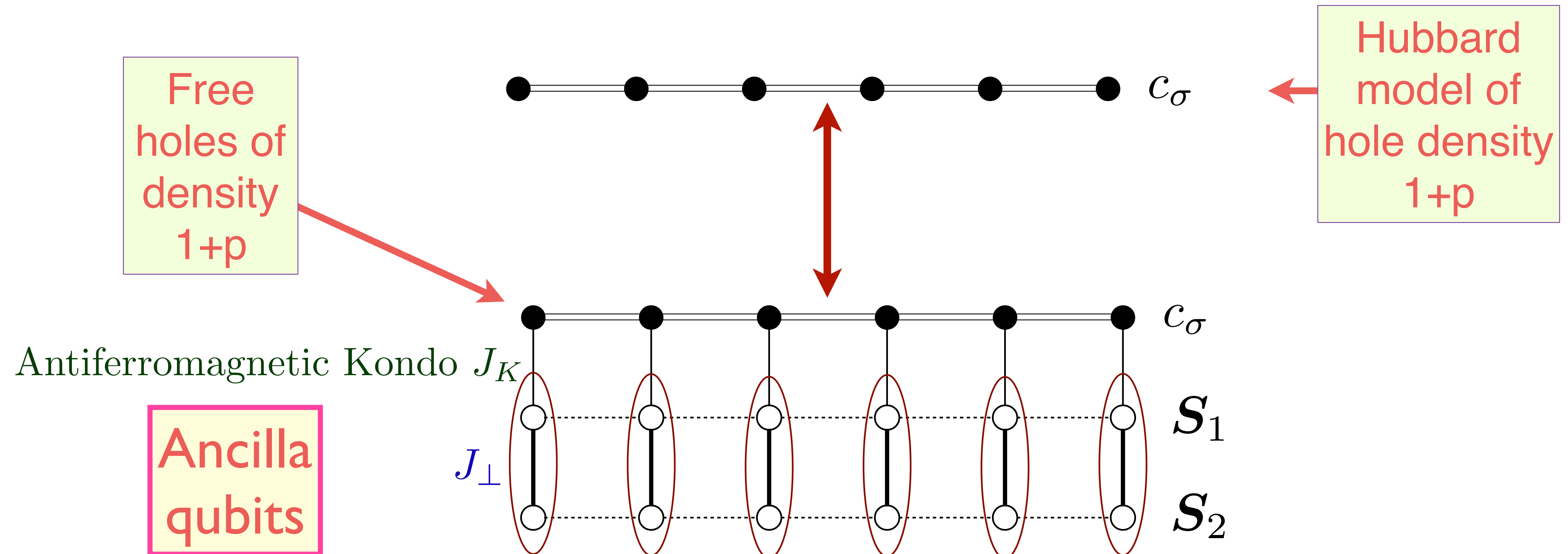
Ancilla theory of the Hubbard model



Hubbard
model of
hole density
 $1+p$

Ancilla theory of the Hubbard model

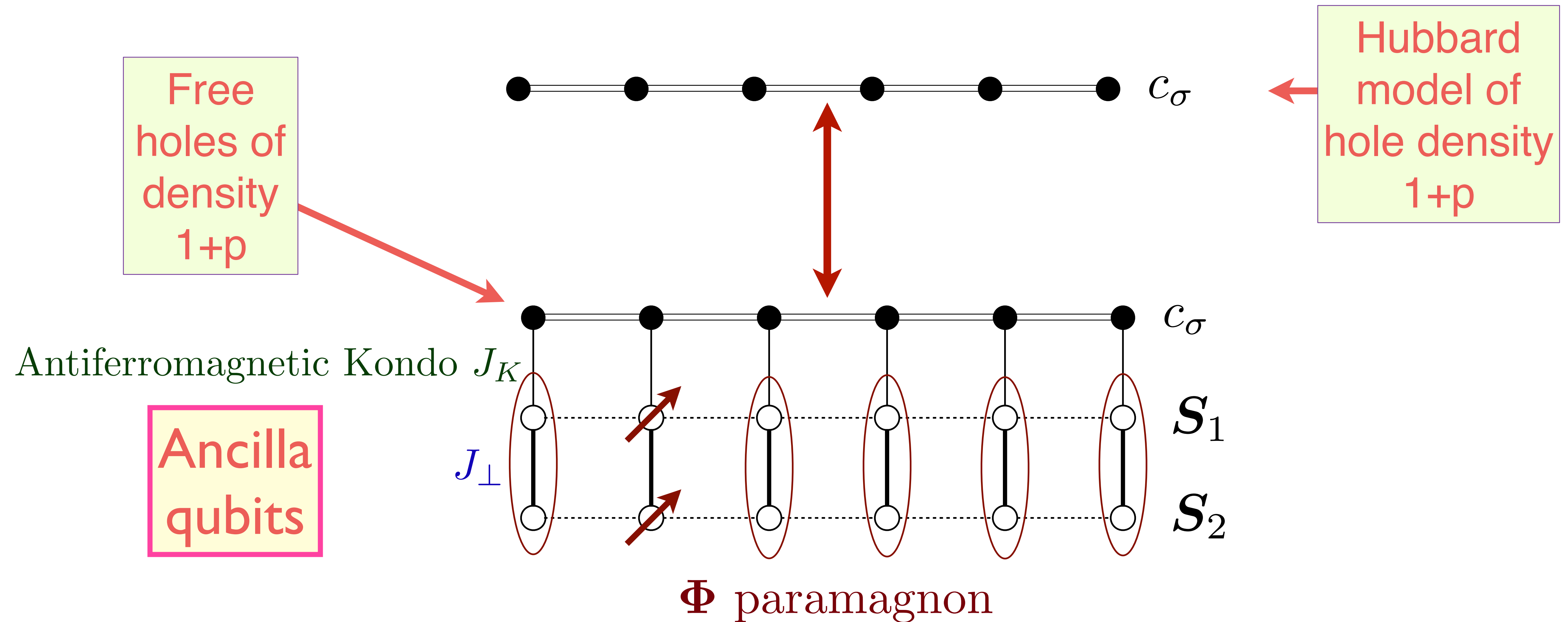
Zhang and Sachdev,
Phys. Rev. Res. **2**, 023172 (2020)



$$\mathcal{H}_{\text{ancilla}} = \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}} c_{\mathbf{p}\sigma}^{\dagger} c_{\mathbf{p}\sigma} + J_K \sum_i c_{i\sigma}^{\dagger} \frac{\tau_{\sigma\sigma'}}{2} c_{i\sigma'} \cdot \mathbf{S}_{1i} + J_{\perp} \sum_i \mathbf{S}_{1i} \cdot \mathbf{S}_{2i}$$

Ancilla theory of the Hubbard model

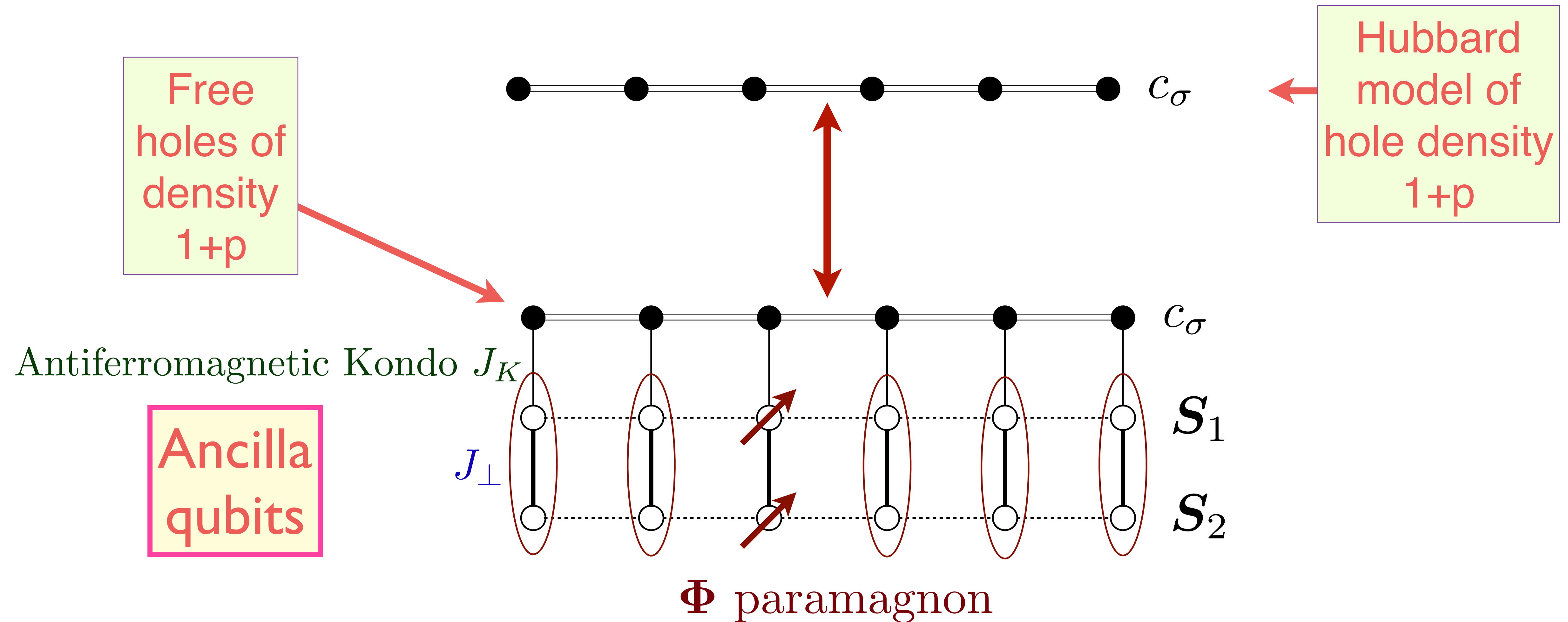
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Ancilla theory of the Hubbard model

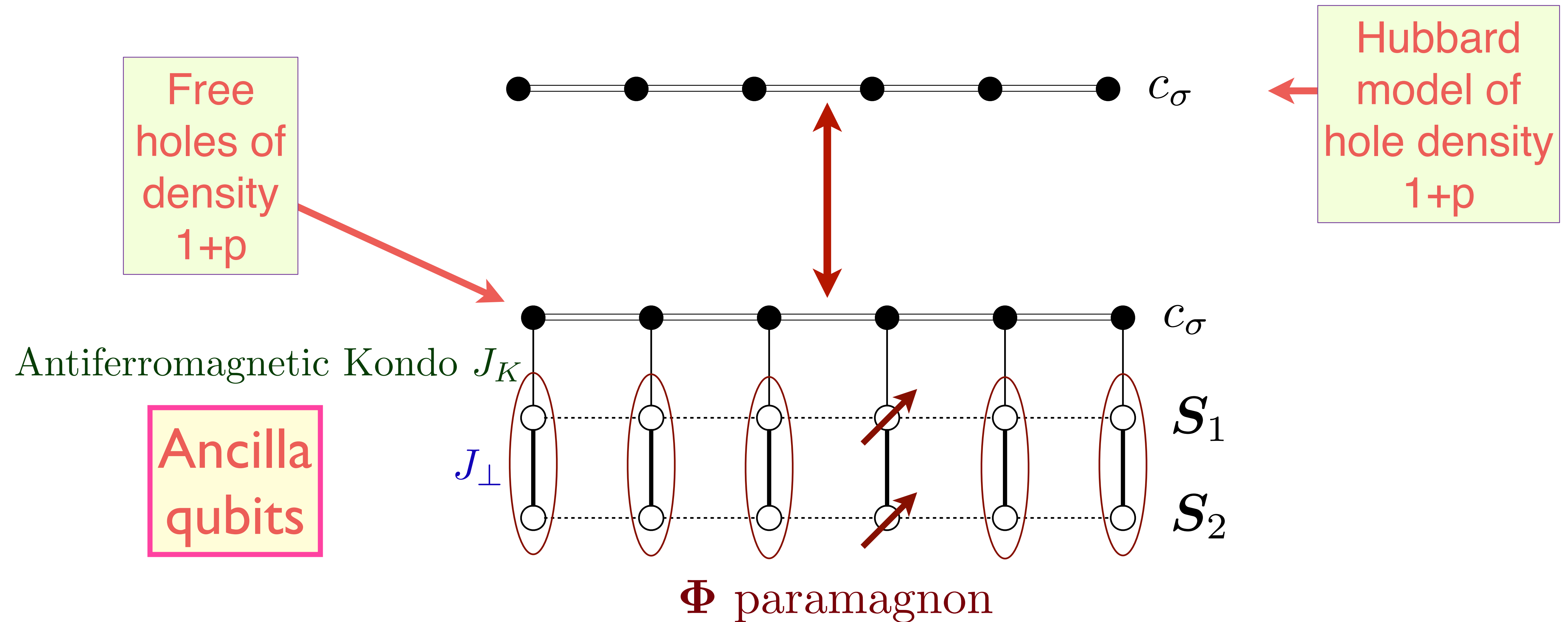
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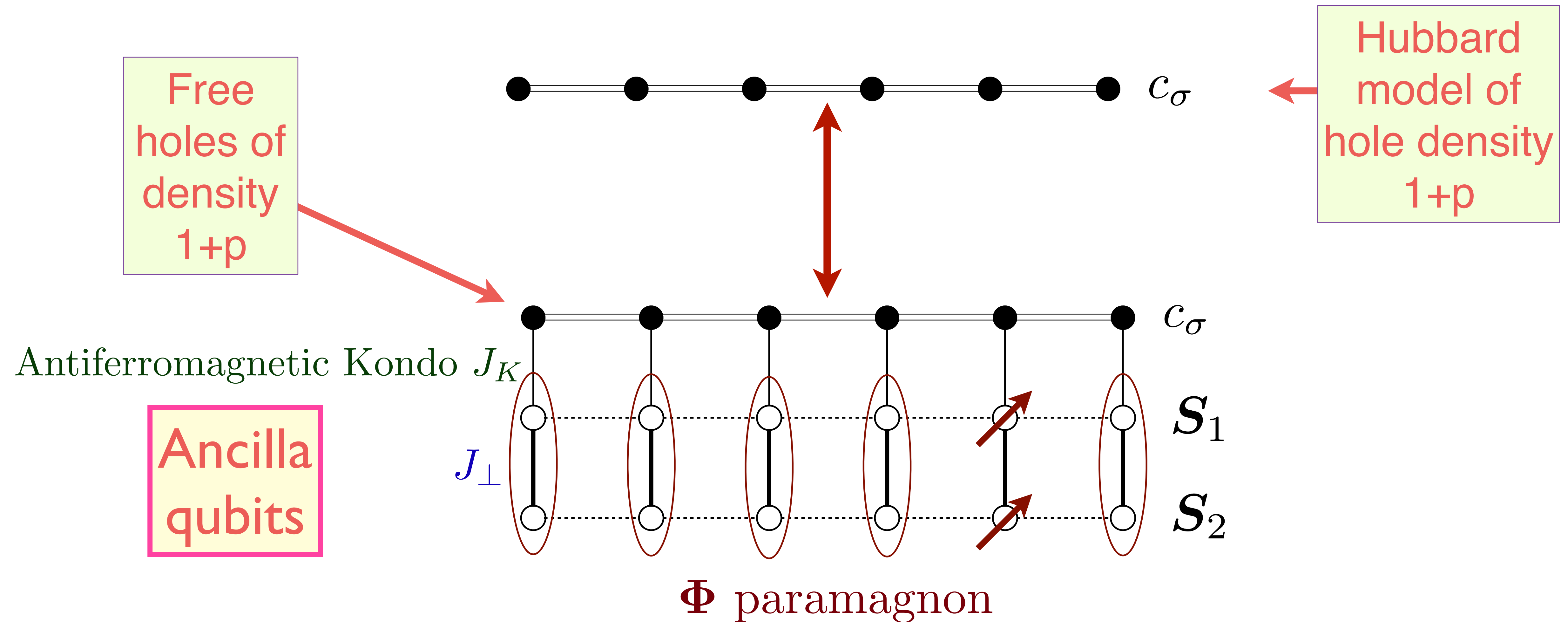
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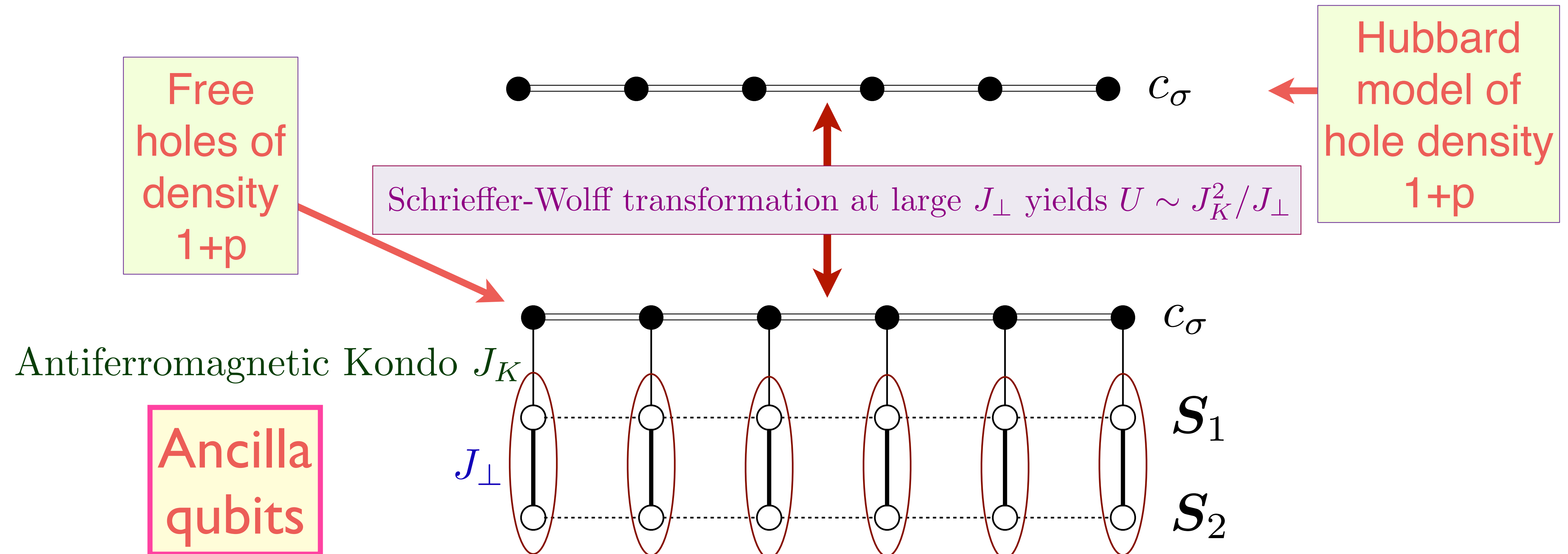
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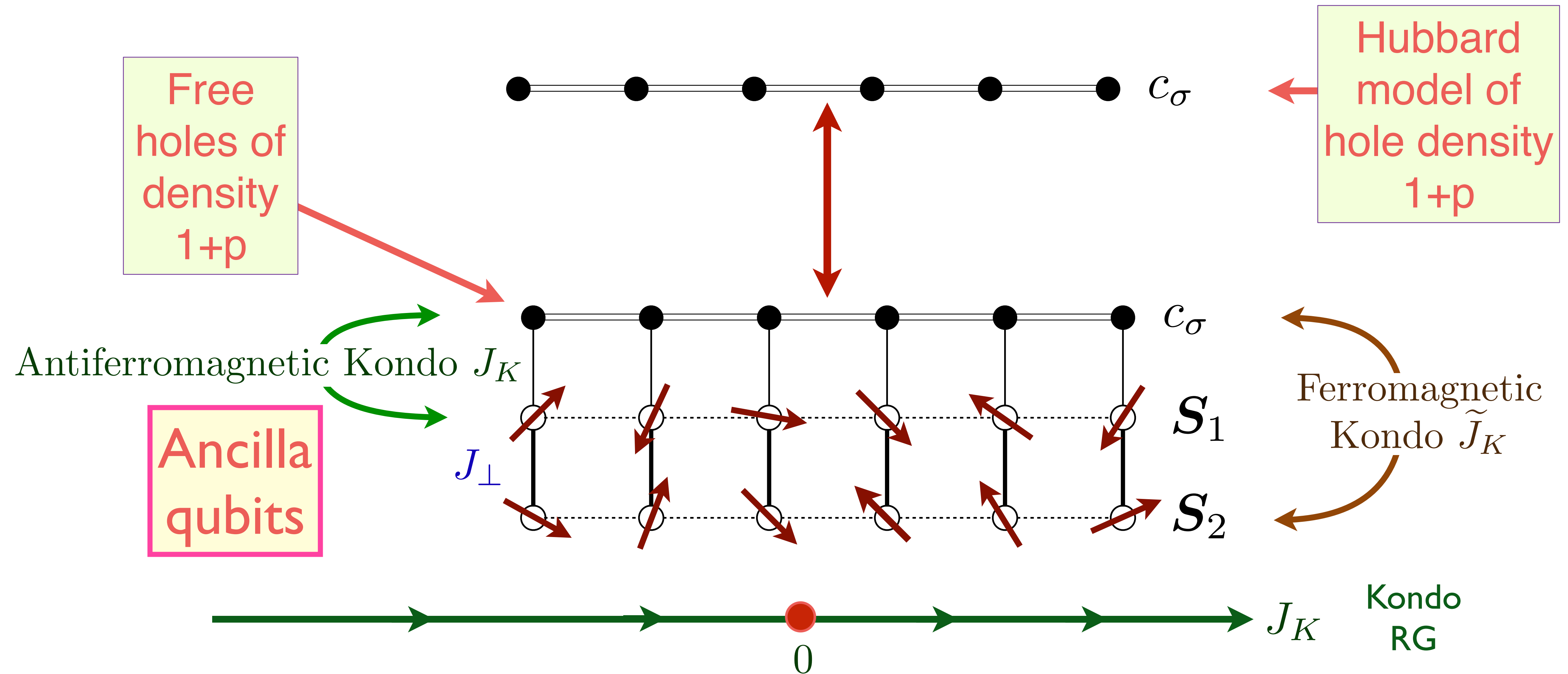
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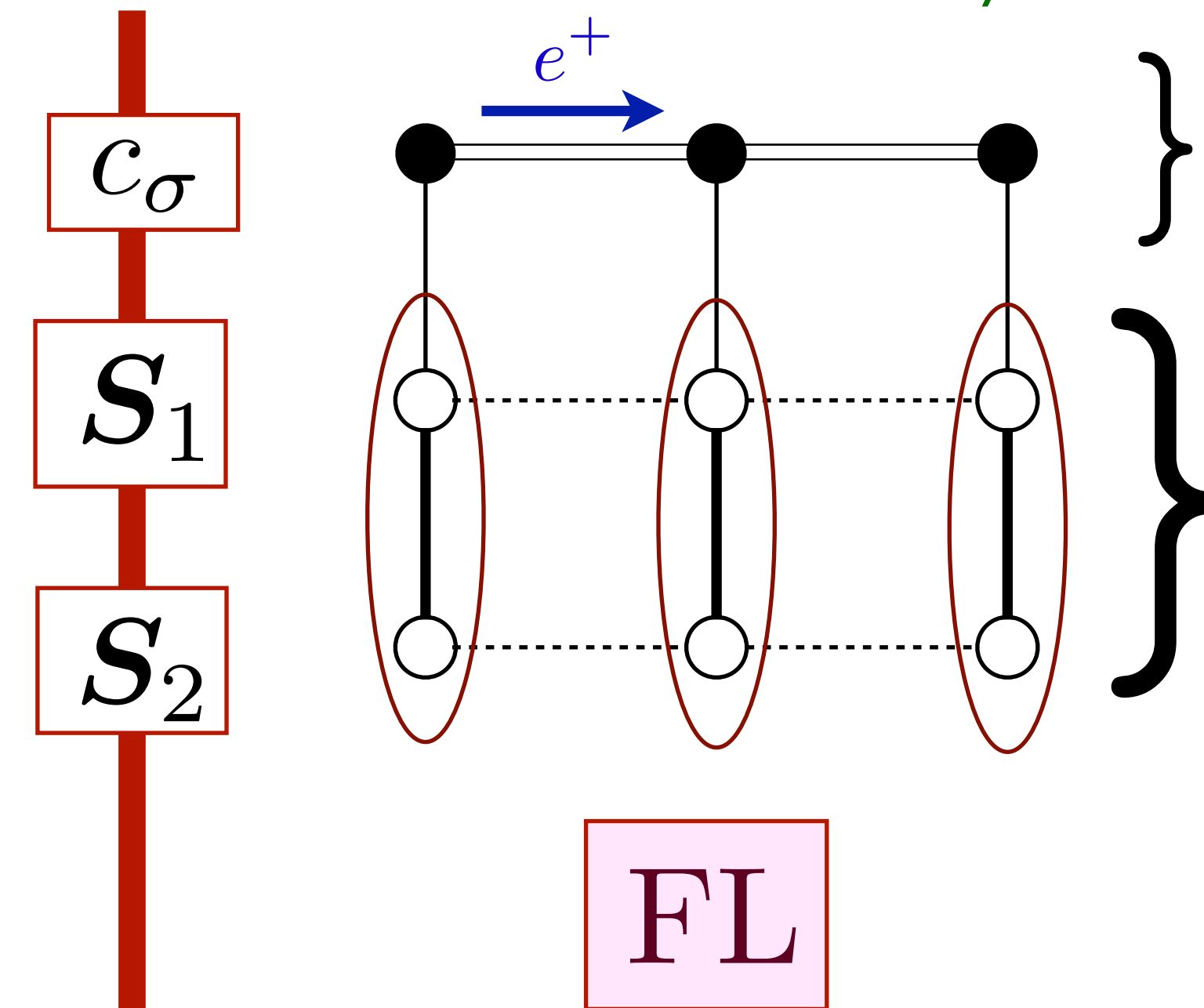
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Ancilla theory of the Hubbard model

Zhang and Sachdev,
Phys. Rev. Res. **2**, 023172 (2020)

Large
Fermi surface.
Size: $1 + p$

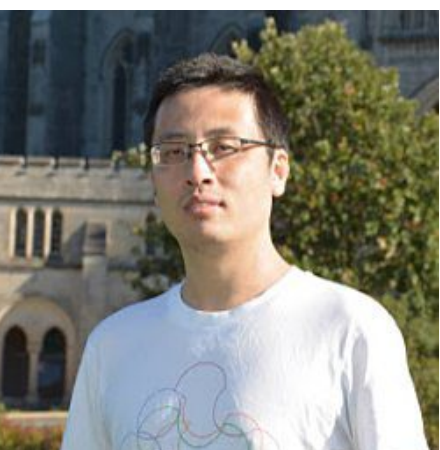
Trivial
insulator



J_K

doping p

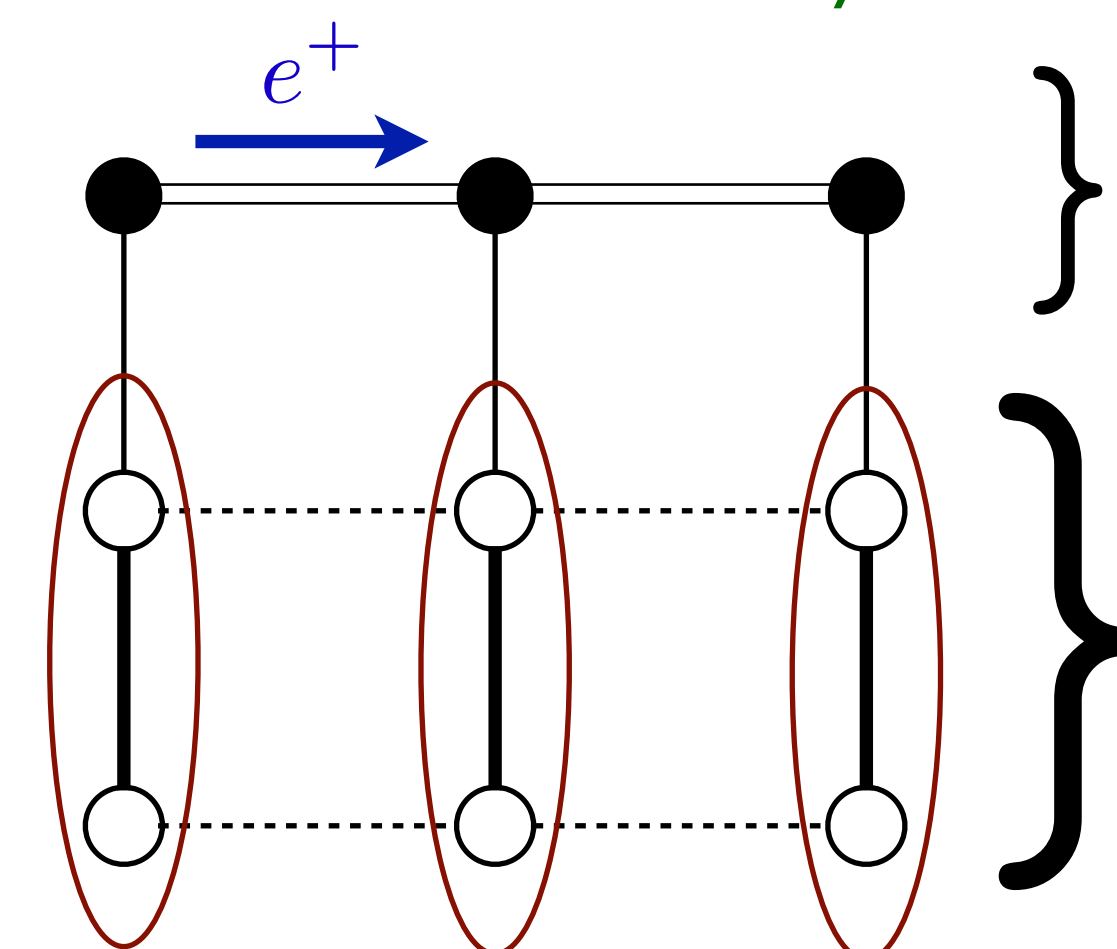
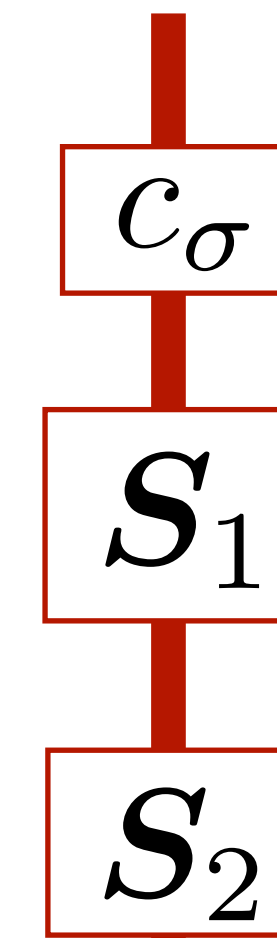
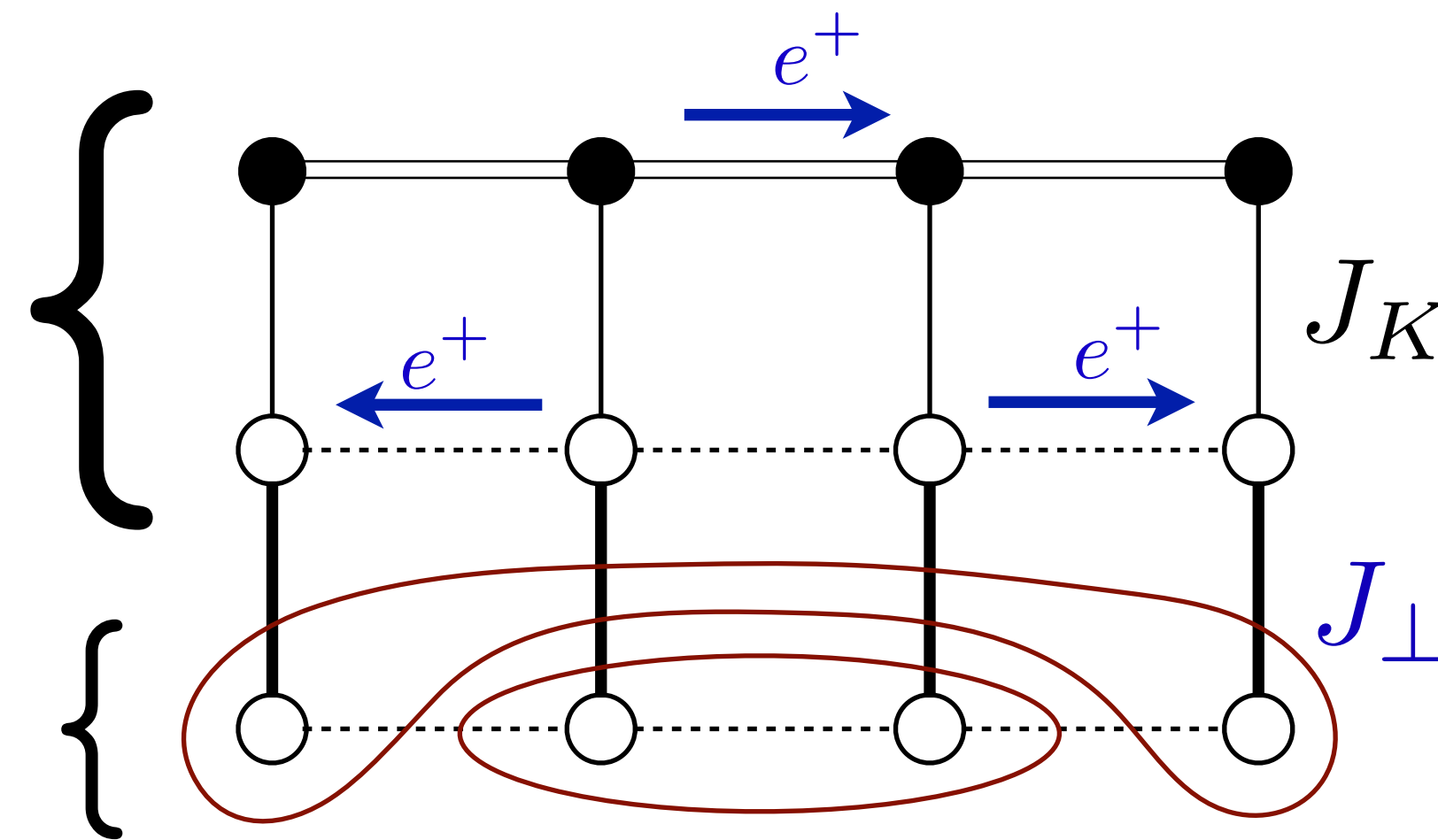
Ya-Hui
Zhang



Ancilla theory of the Hubbard model

Zhang and Sachdev,
Phys. Rev. Res. **2**, 023172 (2020)

Kondo lattice
heavy Fermi liquid.
Size $1 + p + 1$
 $= p \pmod{2}$.
Small Fermi surface!
Spin liquid



Large
Fermi surface.
Size: $1 + p$
Trivial
insulator

FL*

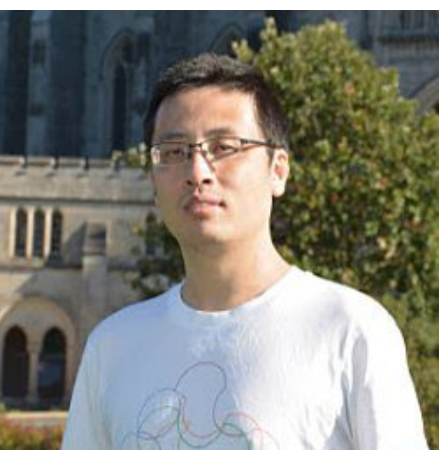
FL

J_K

doping p

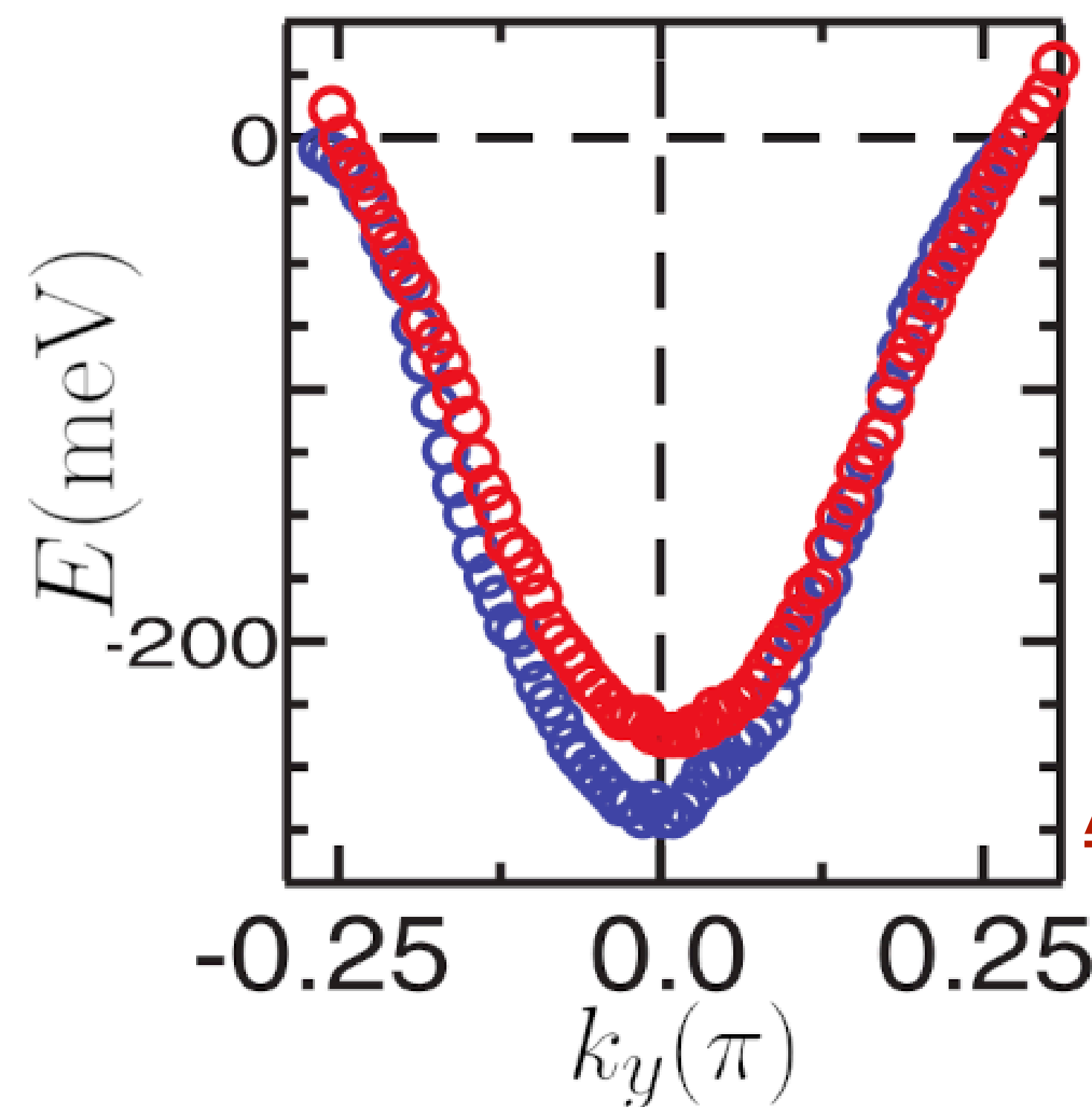
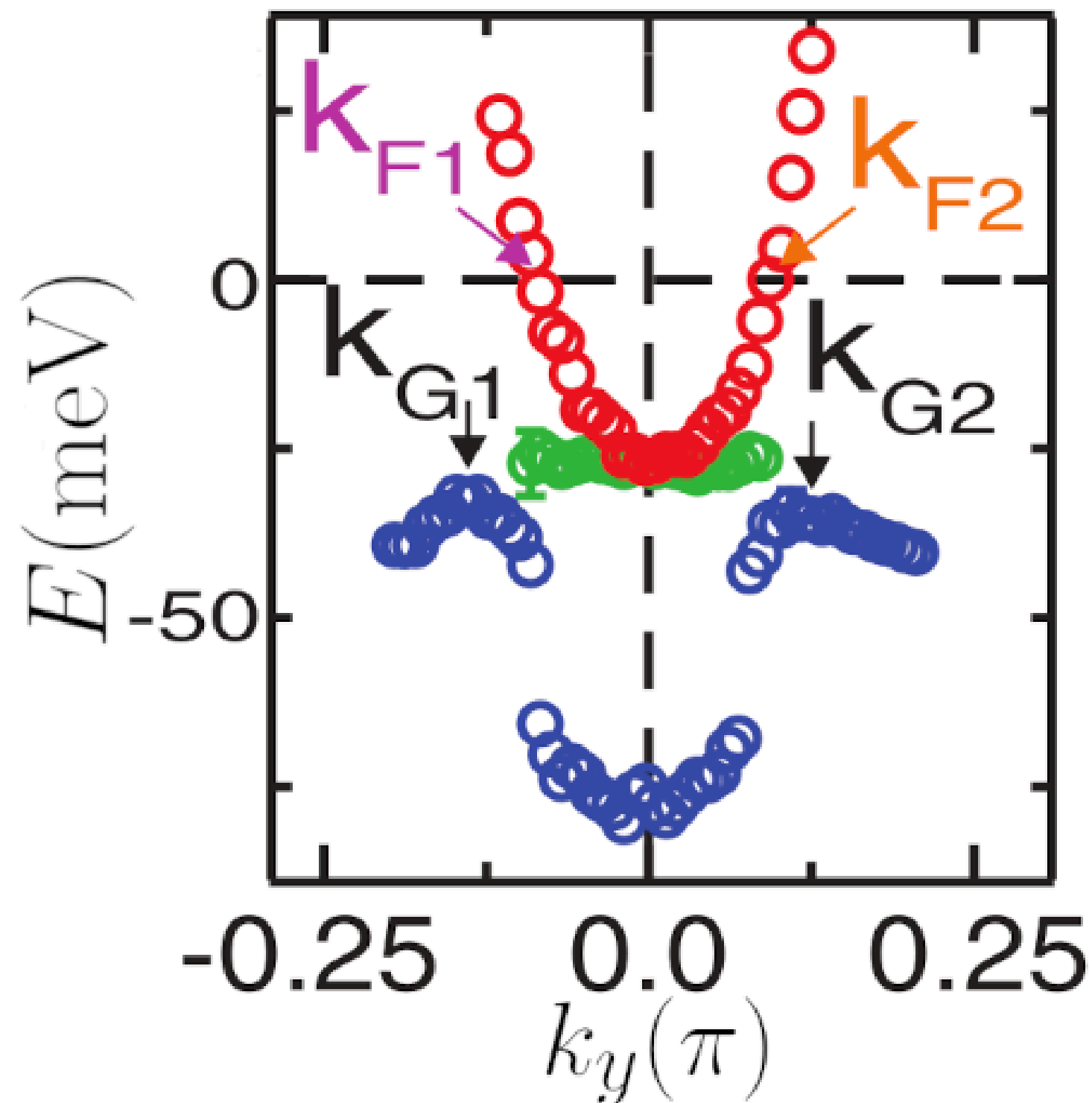
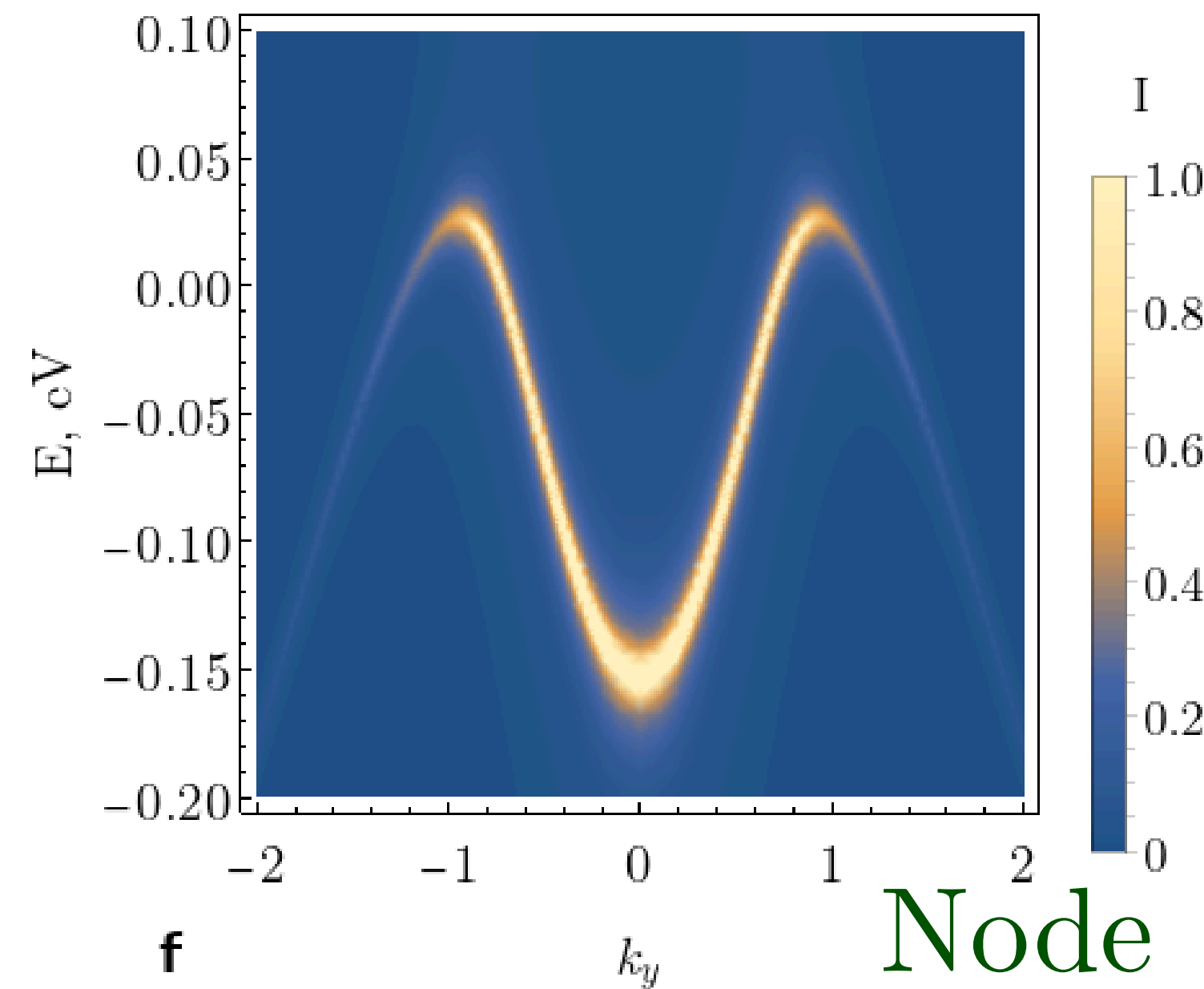
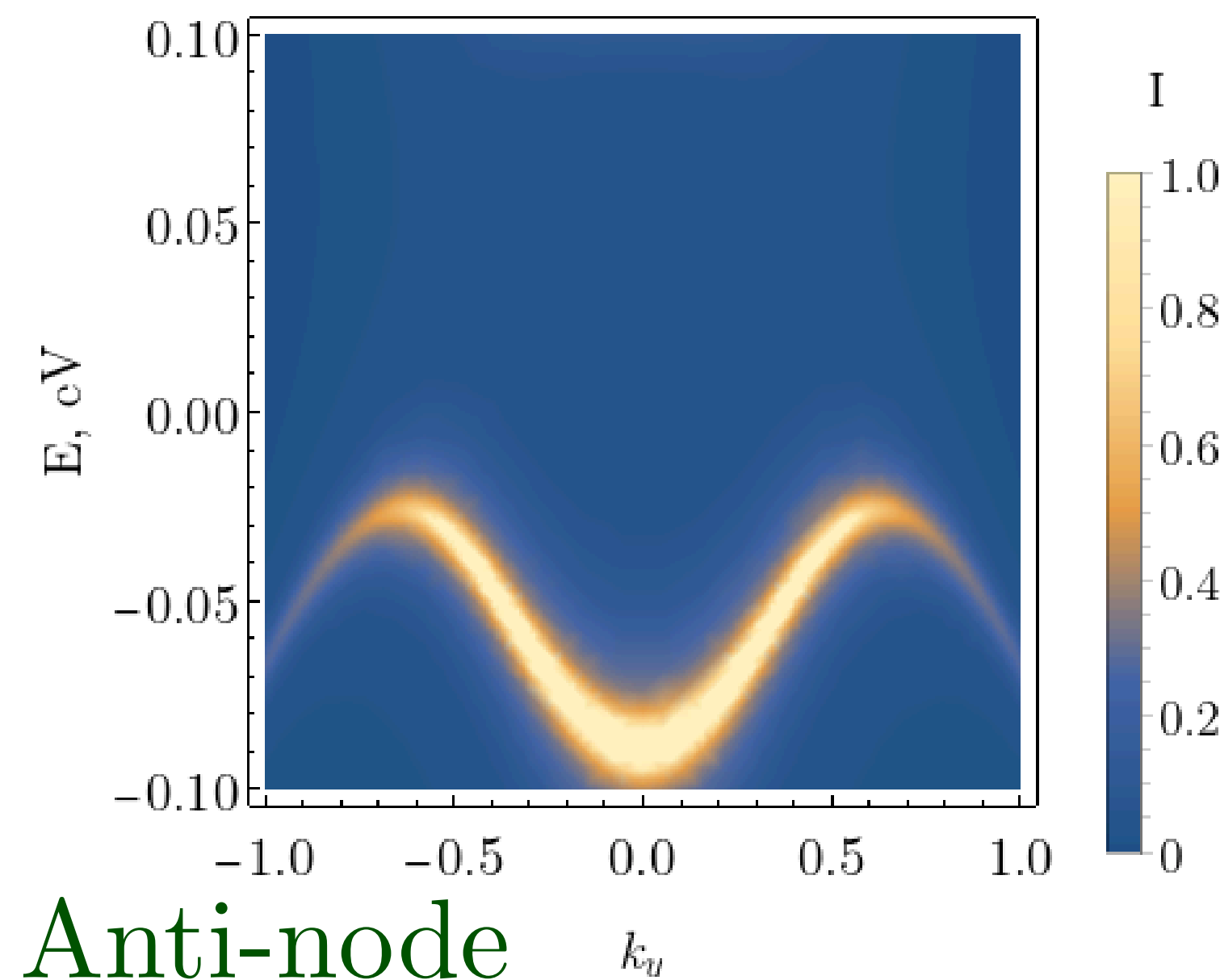
Pseudogap metal =
Kondo Lattice Heavy
Fermi Liquid
 $\oplus$
Spin Liquid

Ya-Hui
Zhang



FL* in a one-band model

Broadening by second ancilla layer is needed to describe MDC and EDC



He, Hashimoto, Karapetyan, Koralek, Hinton, Testaud, Nathan, Yoshida, Yao, Tanaka, Meevasana, Moore, Lu, Mo, Ishikado, Eisaki, Hussain, Devereaux, Kivelson, Orenstein, Kapitulnik, and Shen, *Science* **331**, 1579 (2011)

ARPES on
Bi2201

Similarities to theories, which do not have explicit reference to spin liquid on second ancilla layer

Yang, Rice, and Zhang, *Phys. Rev. B* **73**, 174501 (2006)

Sakai, Motome, and Imada, *Phys. Rev. Lett.* **102**, 056404 (2009)

From CP^1/π -flux FL^*
to
d-wave superconductivity



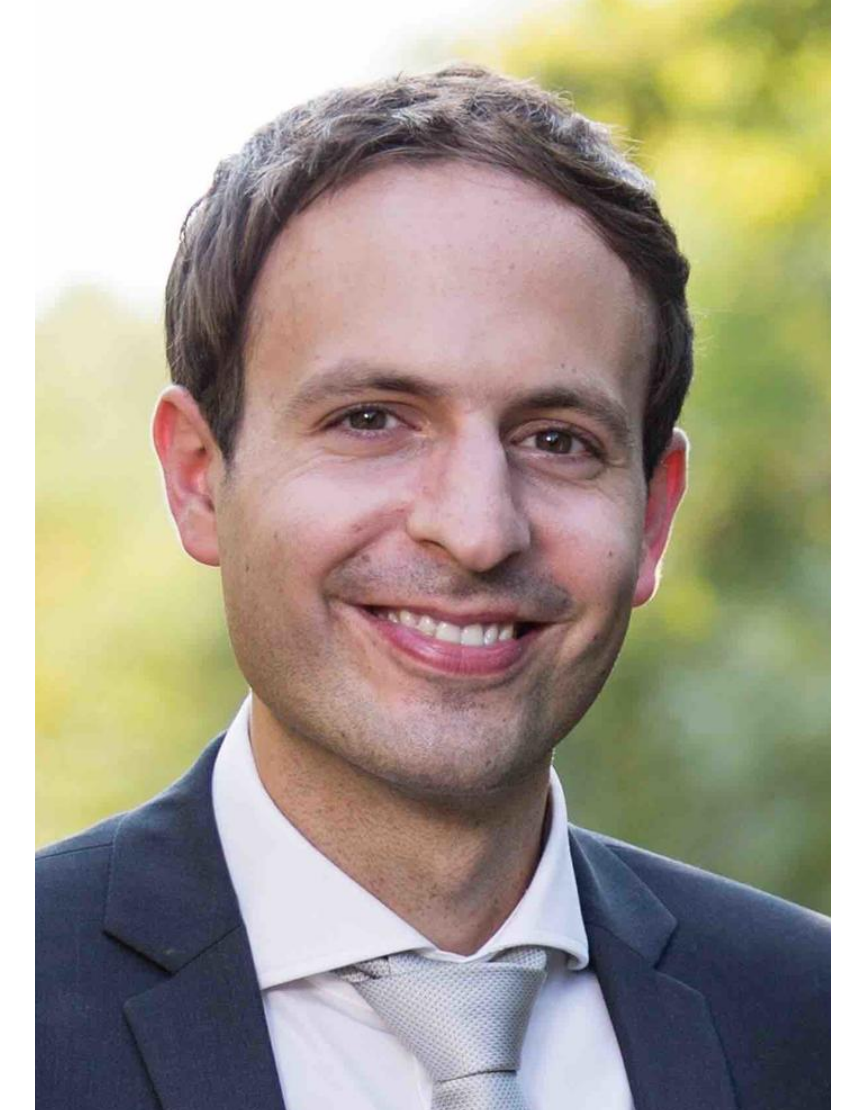
Maine Christos



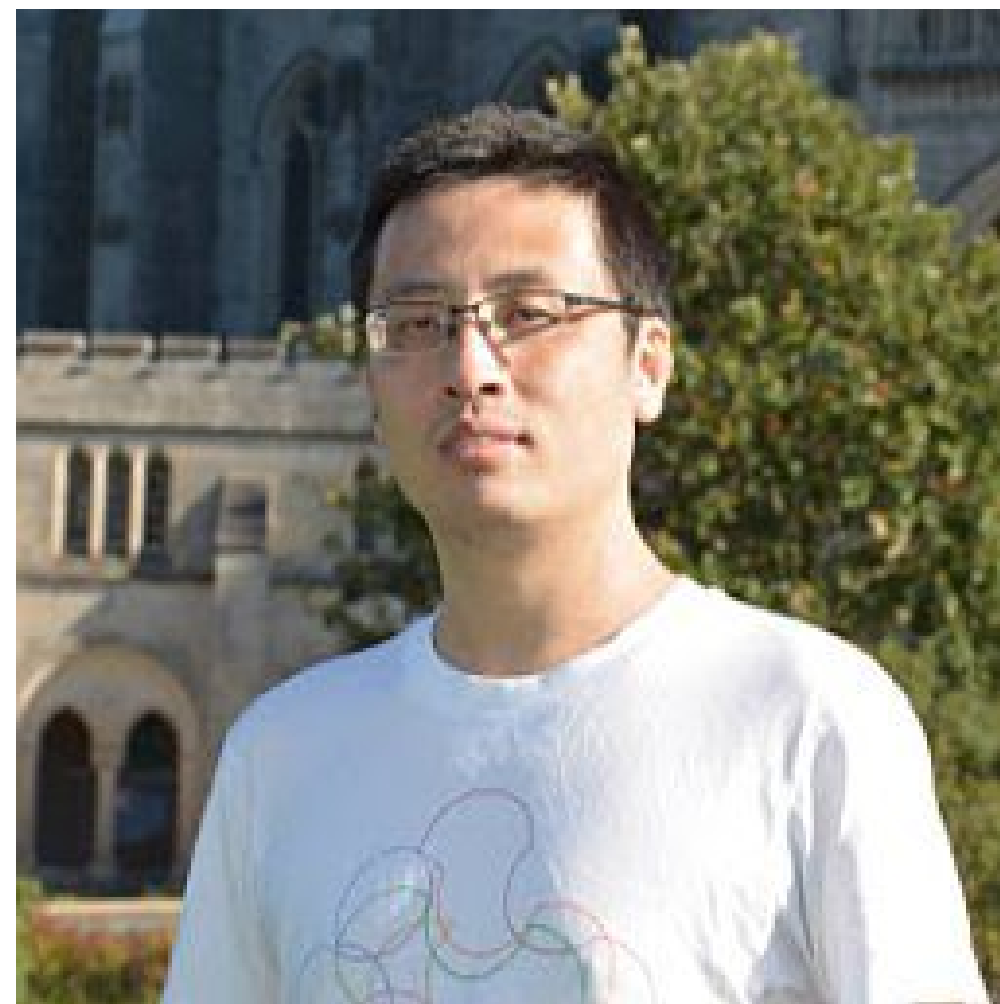
Zhu-Xi Luo
→GA Tech



Henry
Shackleton



Mathias Scheurer
Innsbruck→Stuttgart



Ya-Hui Zhang
Johns Hopkins



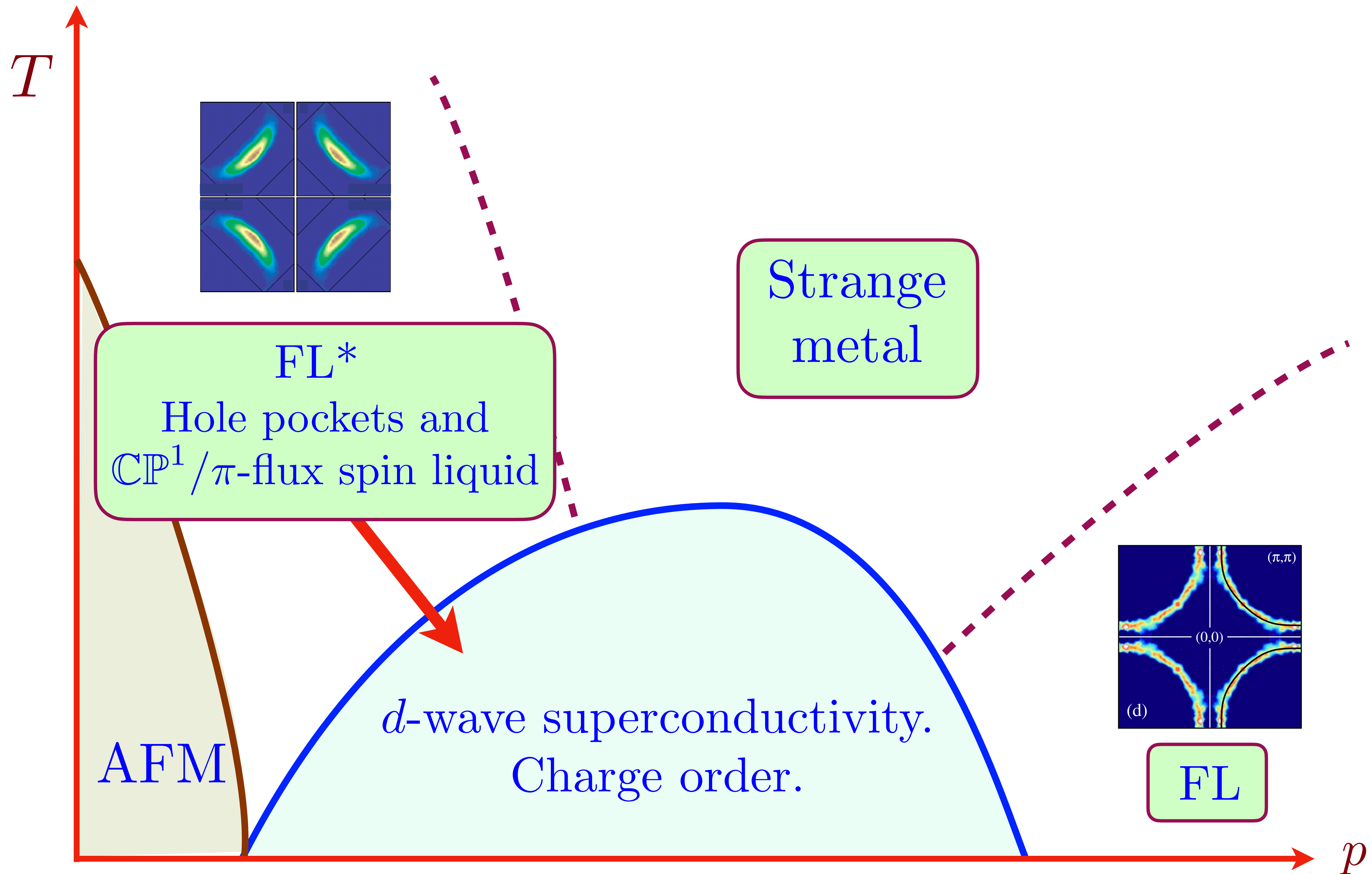
Alexander
Nikolaenko



Darshan Joshi
TIFR Hyderabad



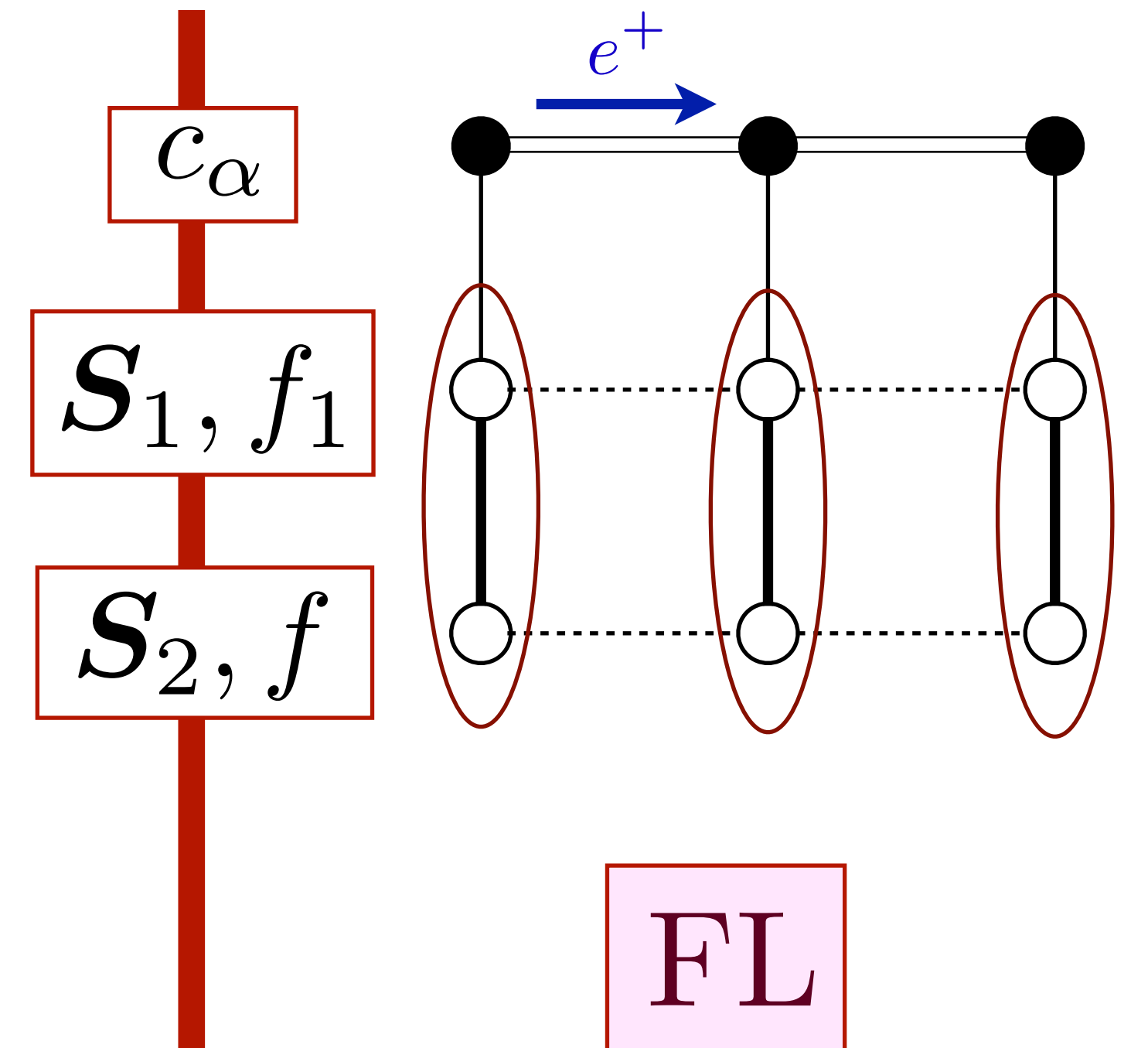
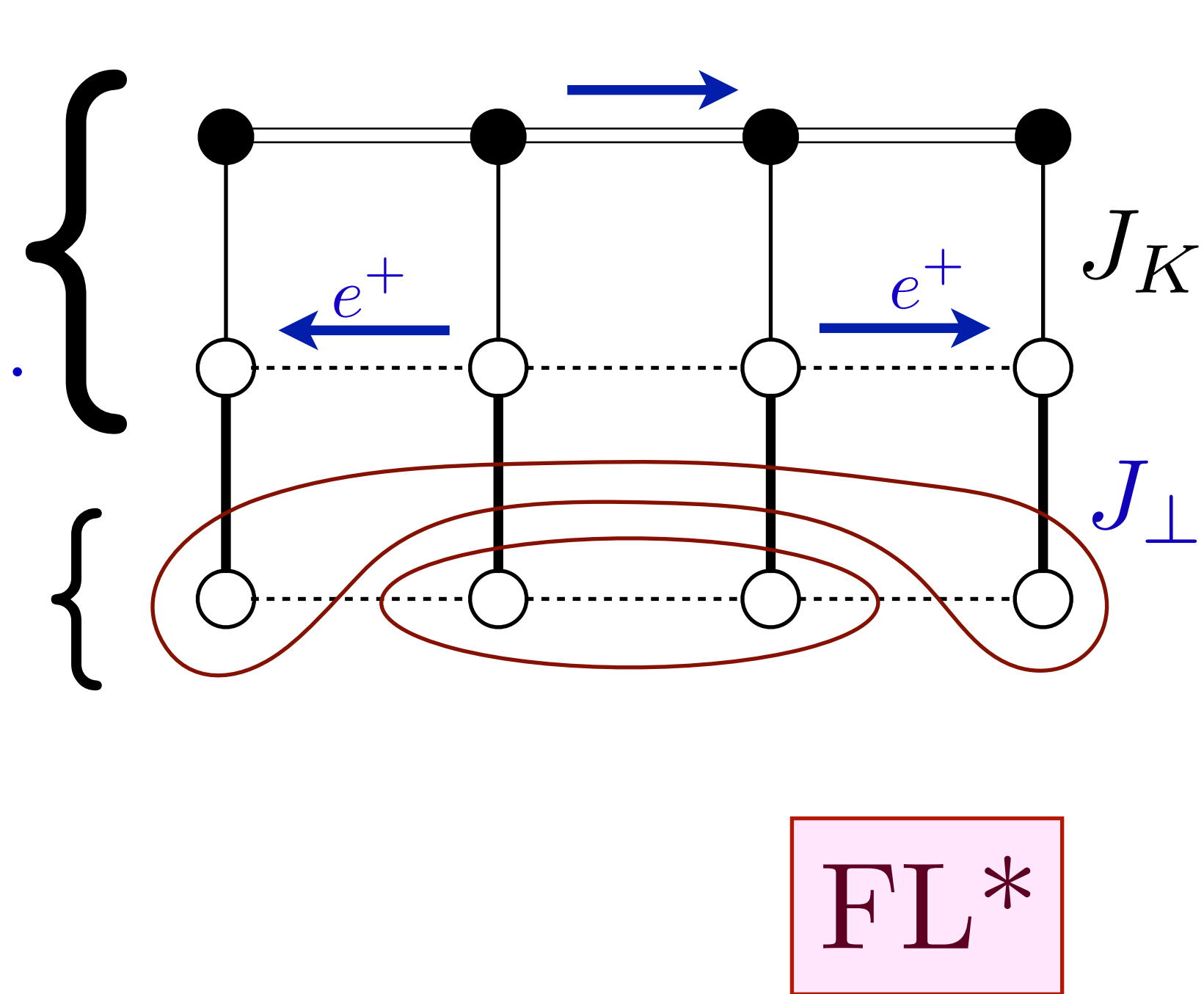
Jonas von Milczewski



Ancilla theory of the Hubbard model

Kondo lattice
heavy Fermi liquid.
Size $1 + p + 1 = p \pmod{2}$.

π -flux spin liquid
of f_α with $SU(2)_N$
gauge field

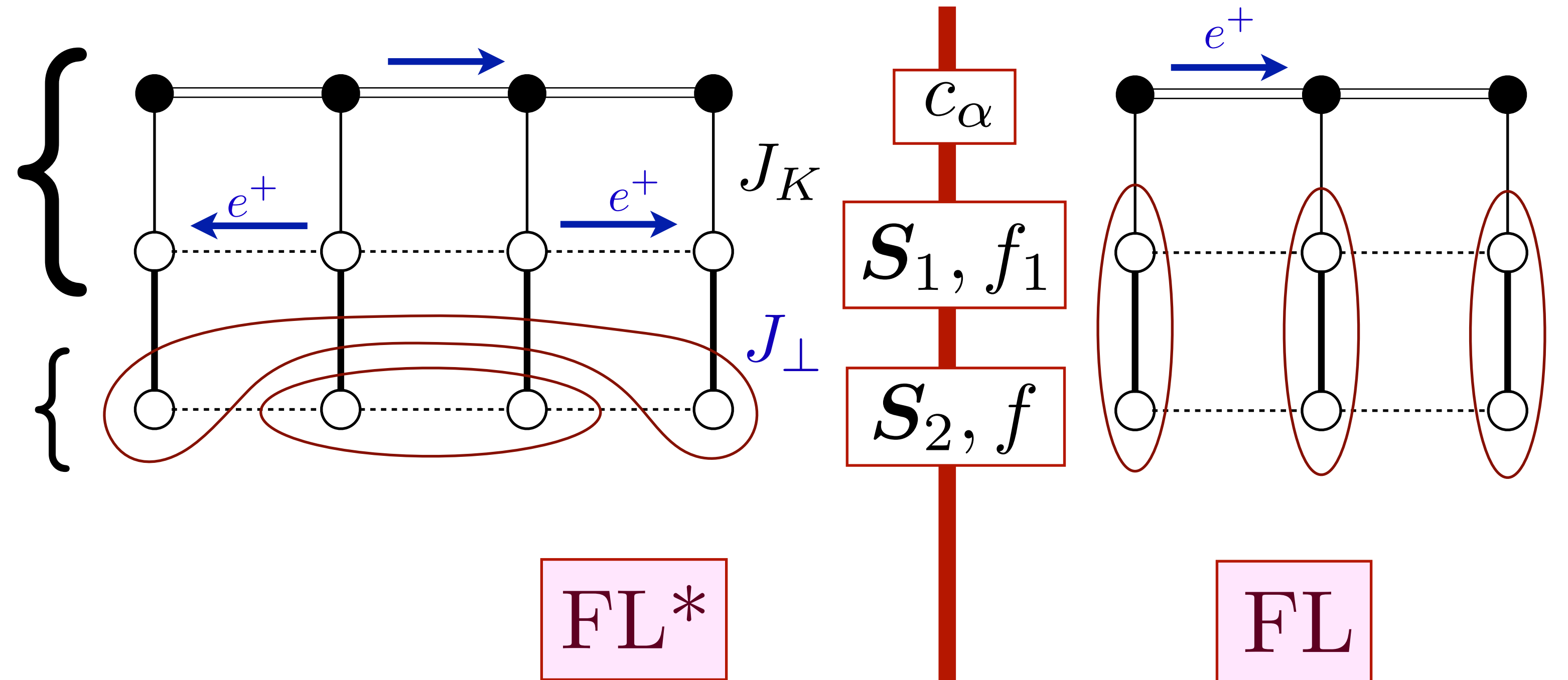


J_K

..... $\rightarrow$ doping p

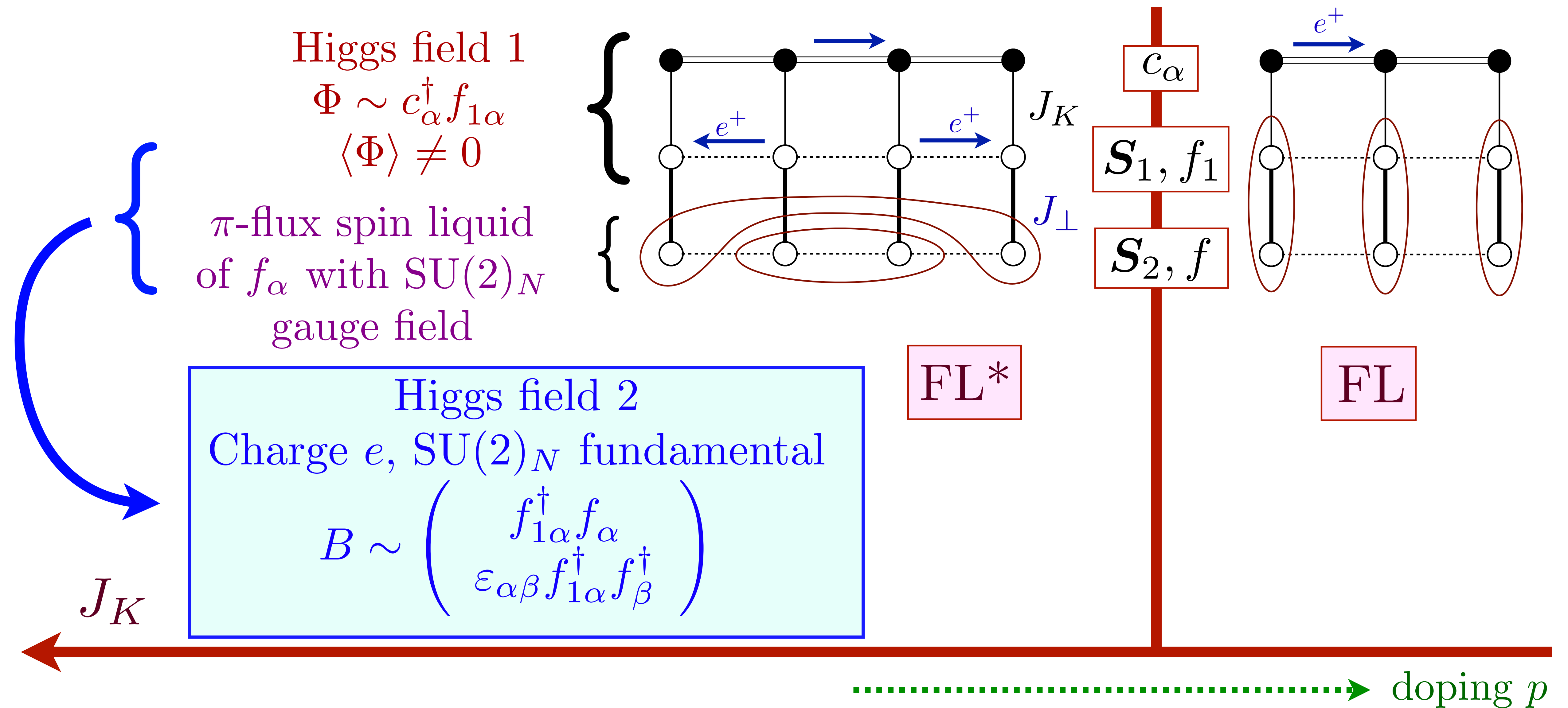
Ancilla theory of the Hubbard model

Higgs field 1
 $\Phi \sim c_\alpha^\dagger f_{1\alpha}$
 $\langle \Phi \rangle \neq 0$
 π -flux spin liquid
 of f_α with $SU(2)_N$
 gauge field



Higgs field Φ drives FL^* -strange metal-FL

Ancilla theory of the Hubbard model



B has same quantum numbers as boson in X.-G. Wen and P.A. Lee, *Phys. Rev. Lett.* **76**, 503 (1996)

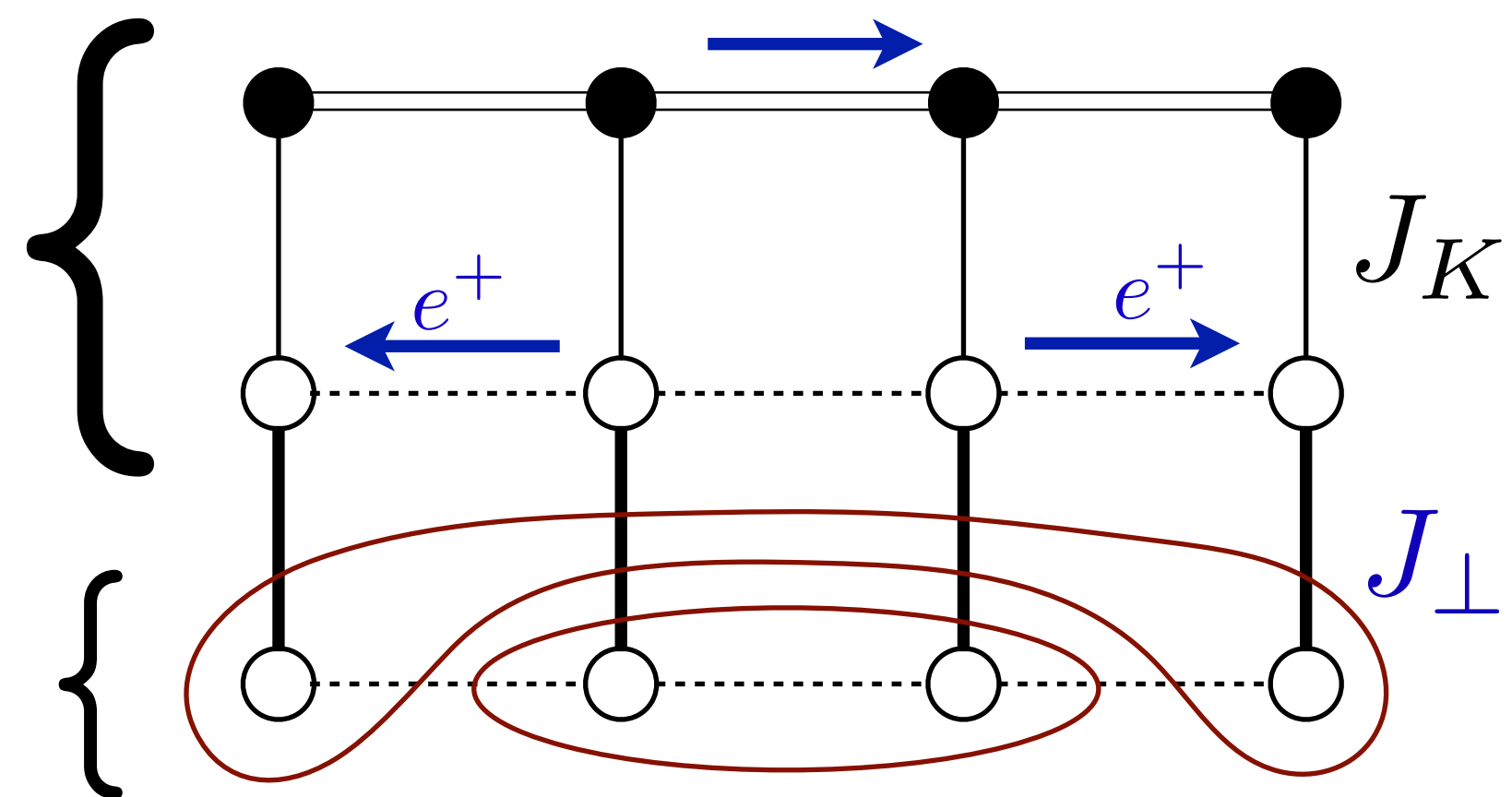
Ancilla theory of the Hubbard model

Higgs field 1

$$\Phi \sim c_{\alpha}^{\dagger} f_{1\alpha}$$

$$\langle \Phi \rangle \neq 0$$

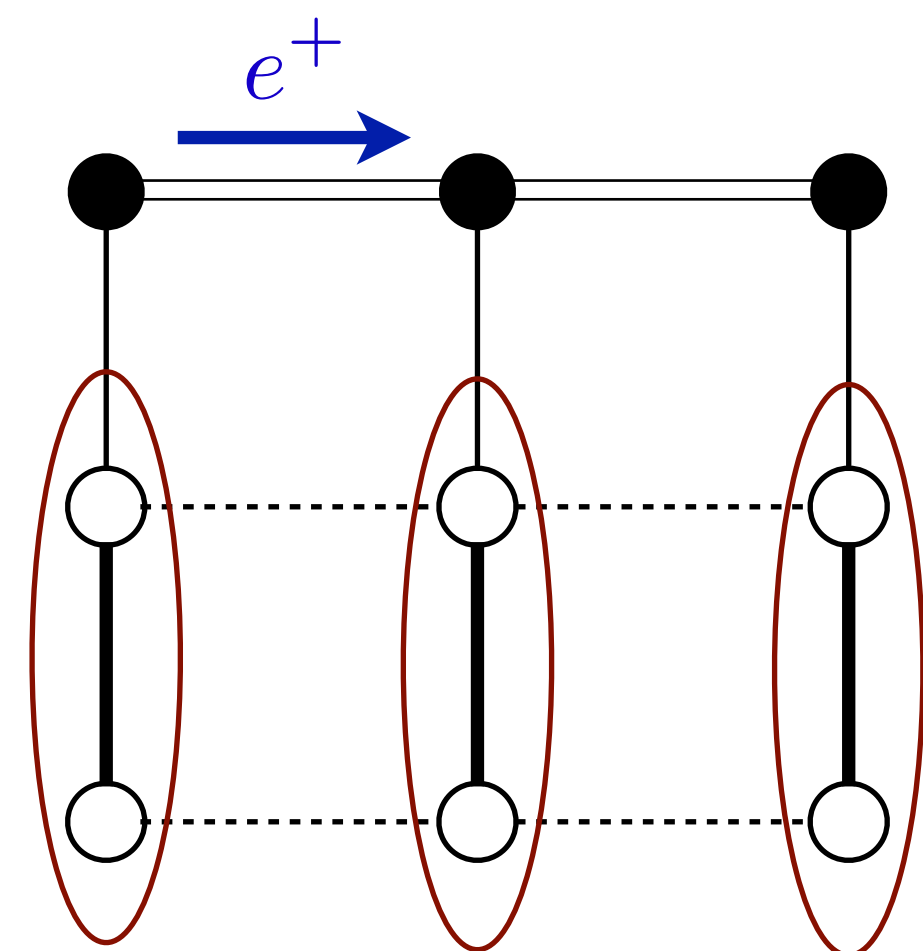
π -flux spin liquid
of f_{α} with $SU(2)_N$
gauge field



$$c_{\alpha}$$

$$S_1, f_1$$

$$S_2, f$$



FL*

FL

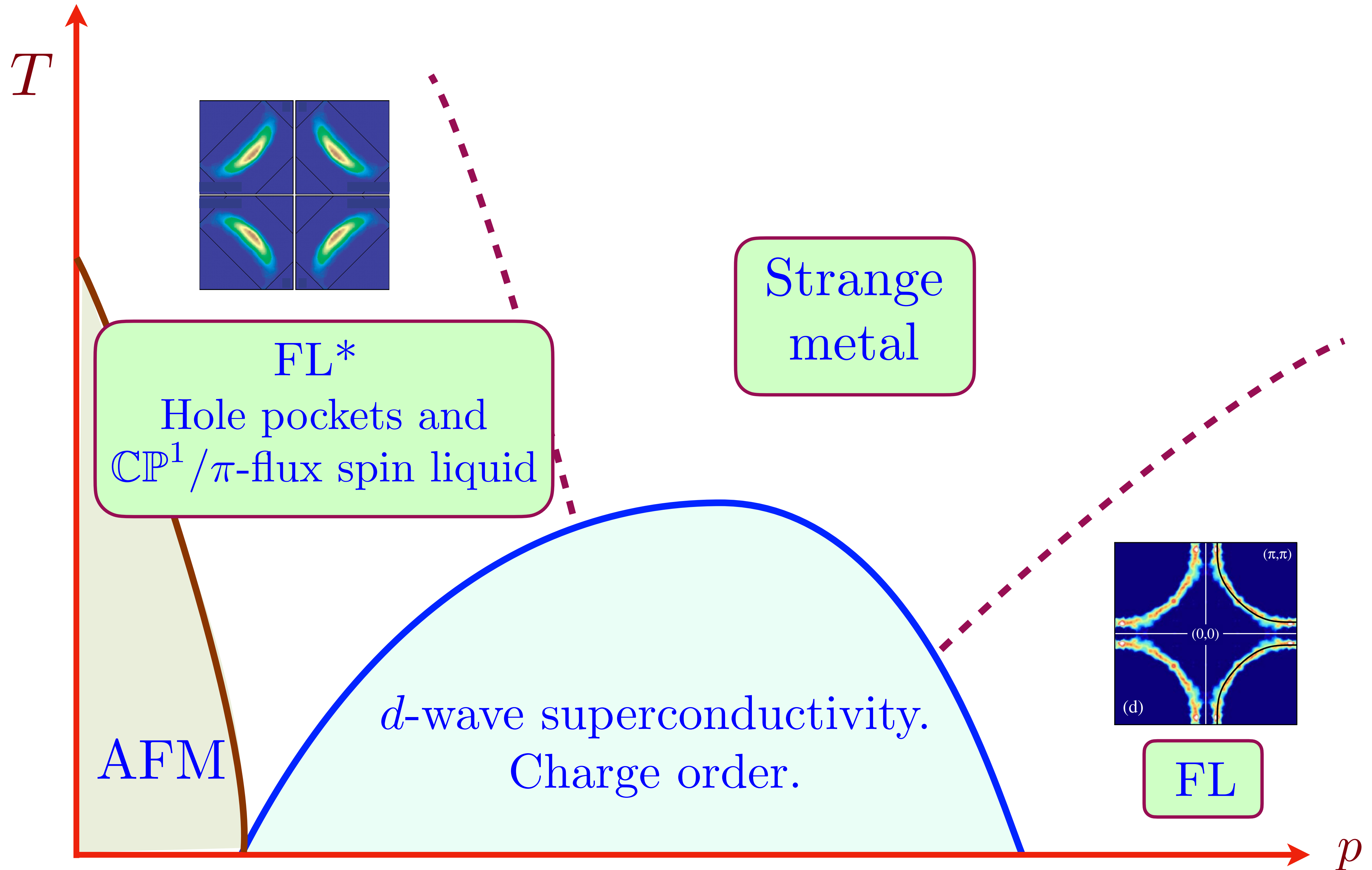
Higgs field 2
Charge e , $SU(2)_N$ fundamental

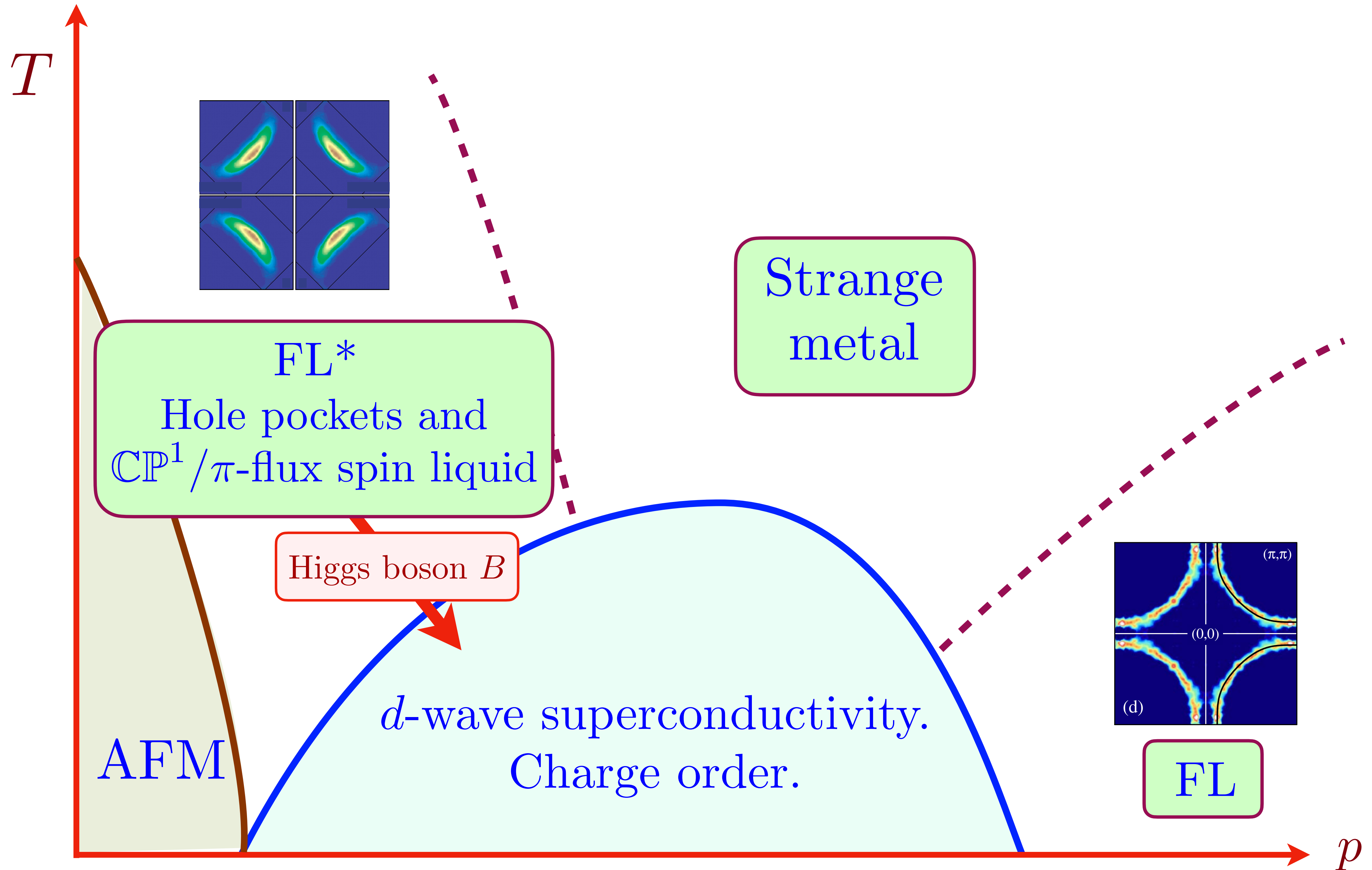
$$B \sim \begin{pmatrix} f_{1\alpha}^{\dagger} f_{\alpha} \\ \varepsilon_{\alpha\beta} f_{1\alpha}^{\dagger} f_{\beta}^{\dagger} \end{pmatrix}$$

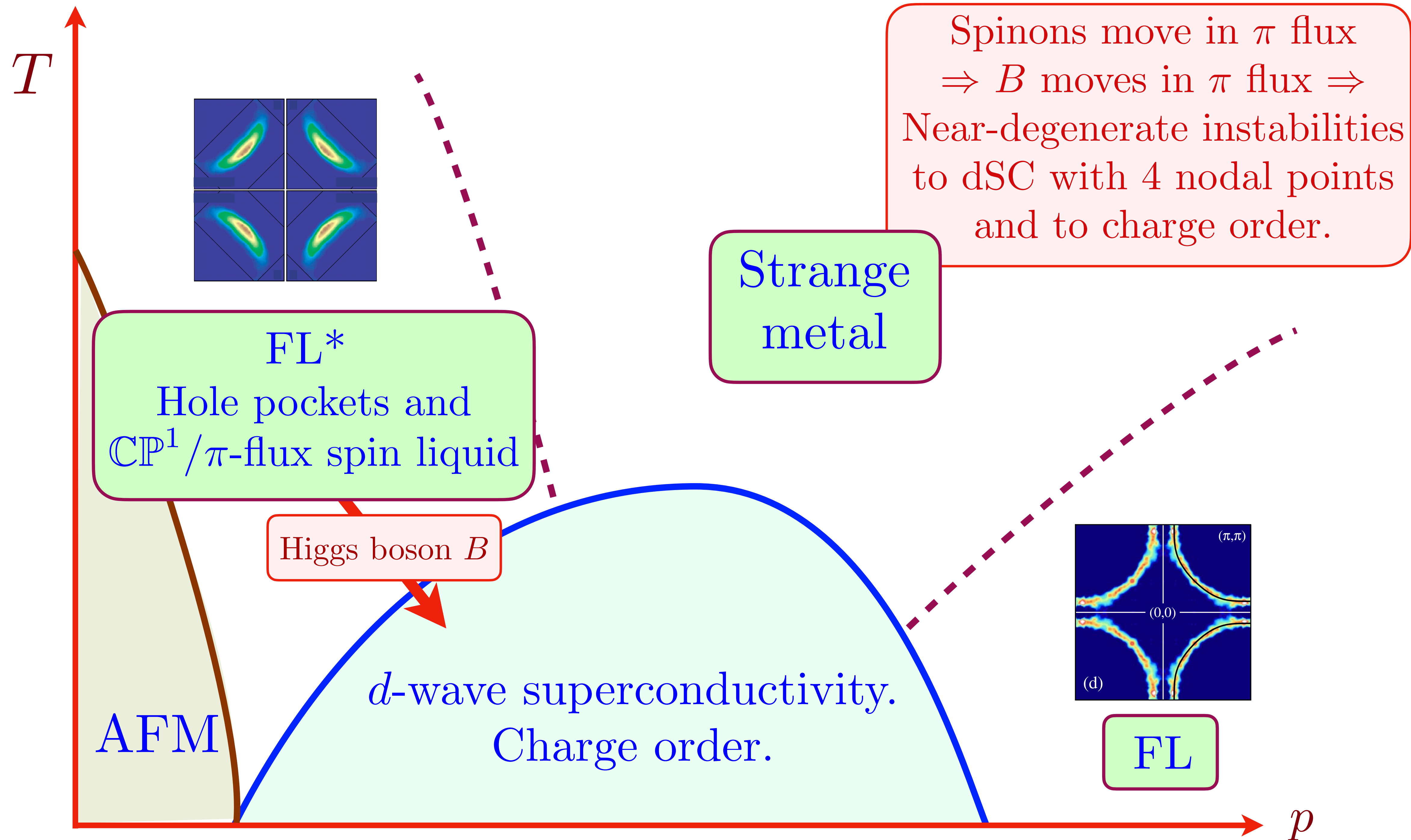
J_K

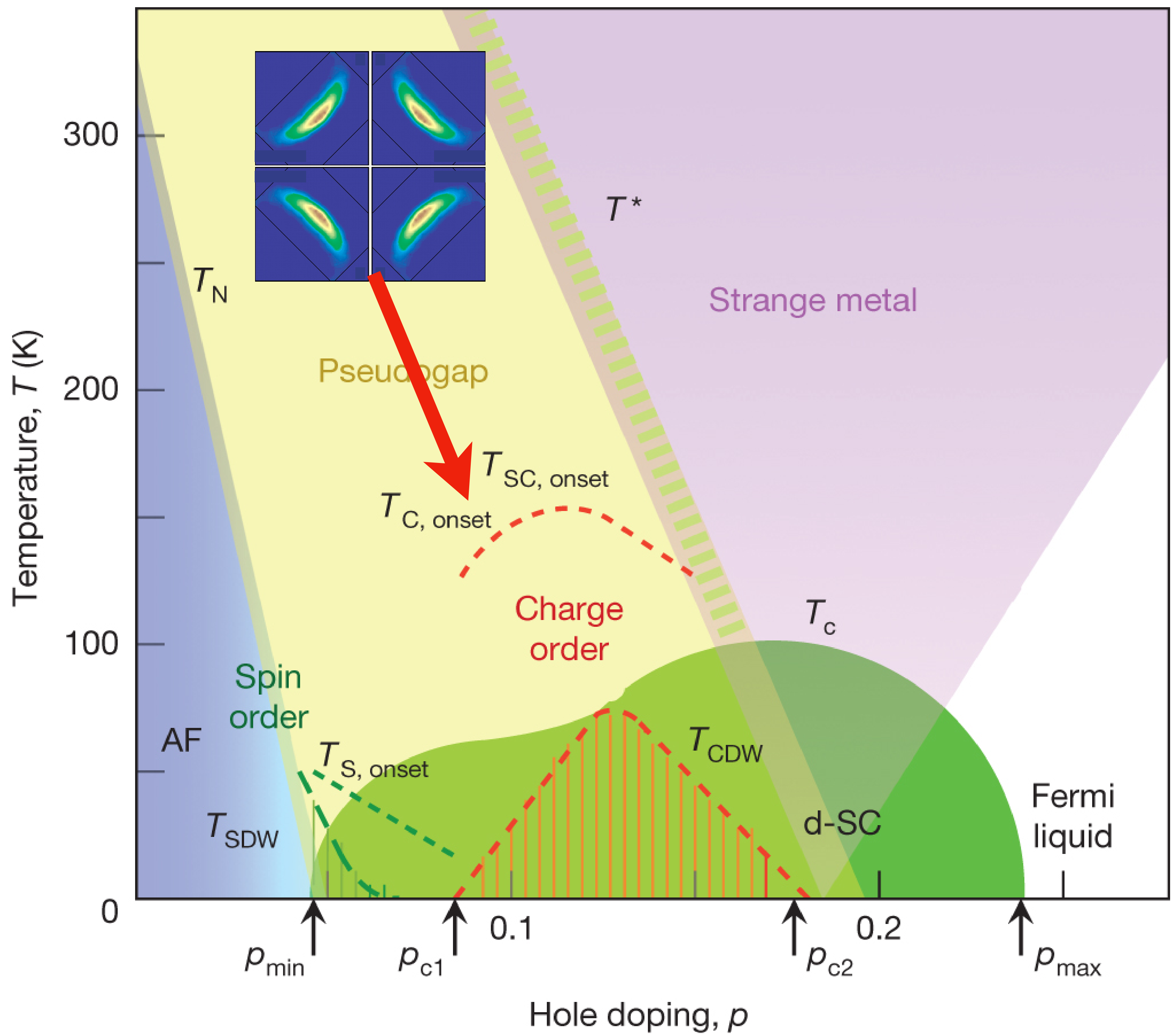
..... $\rightarrow$ doping p

Higgs field B drives FL*-dSC/CDW







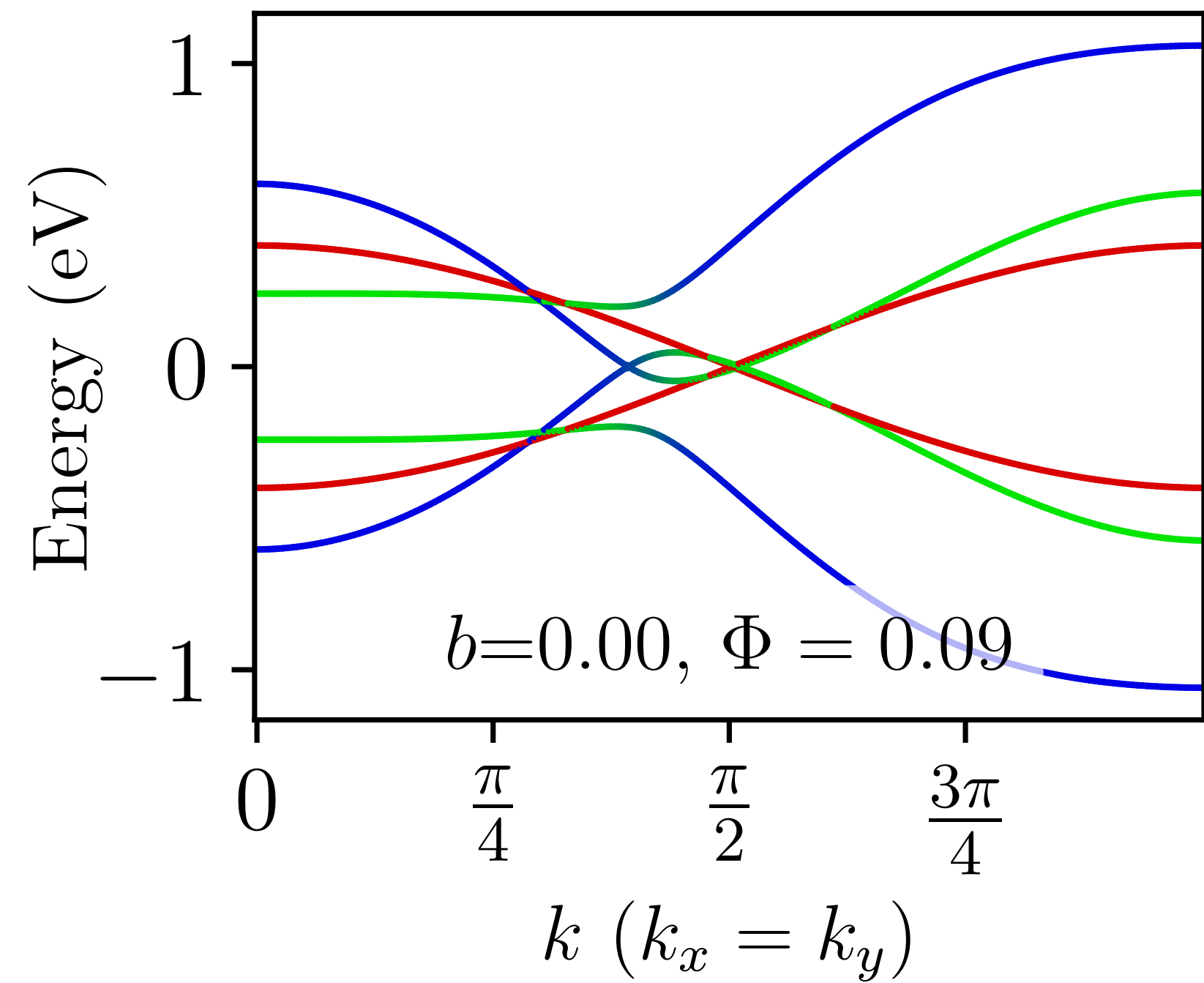
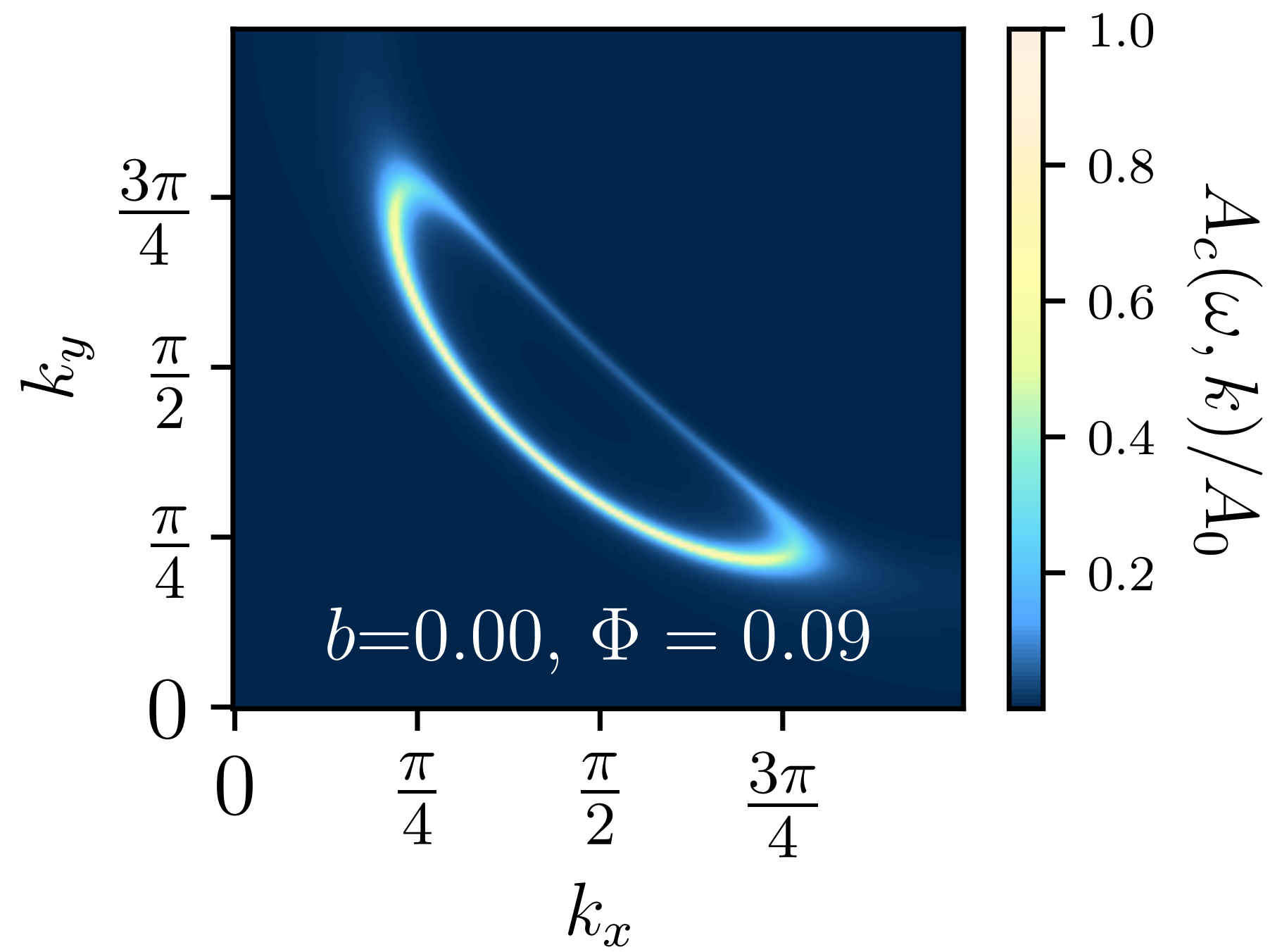


The onset of conventional order is a *confinement transition* for the emergent gauge theory describing the fractionalized excitations of the spin liquid in the FL* state.

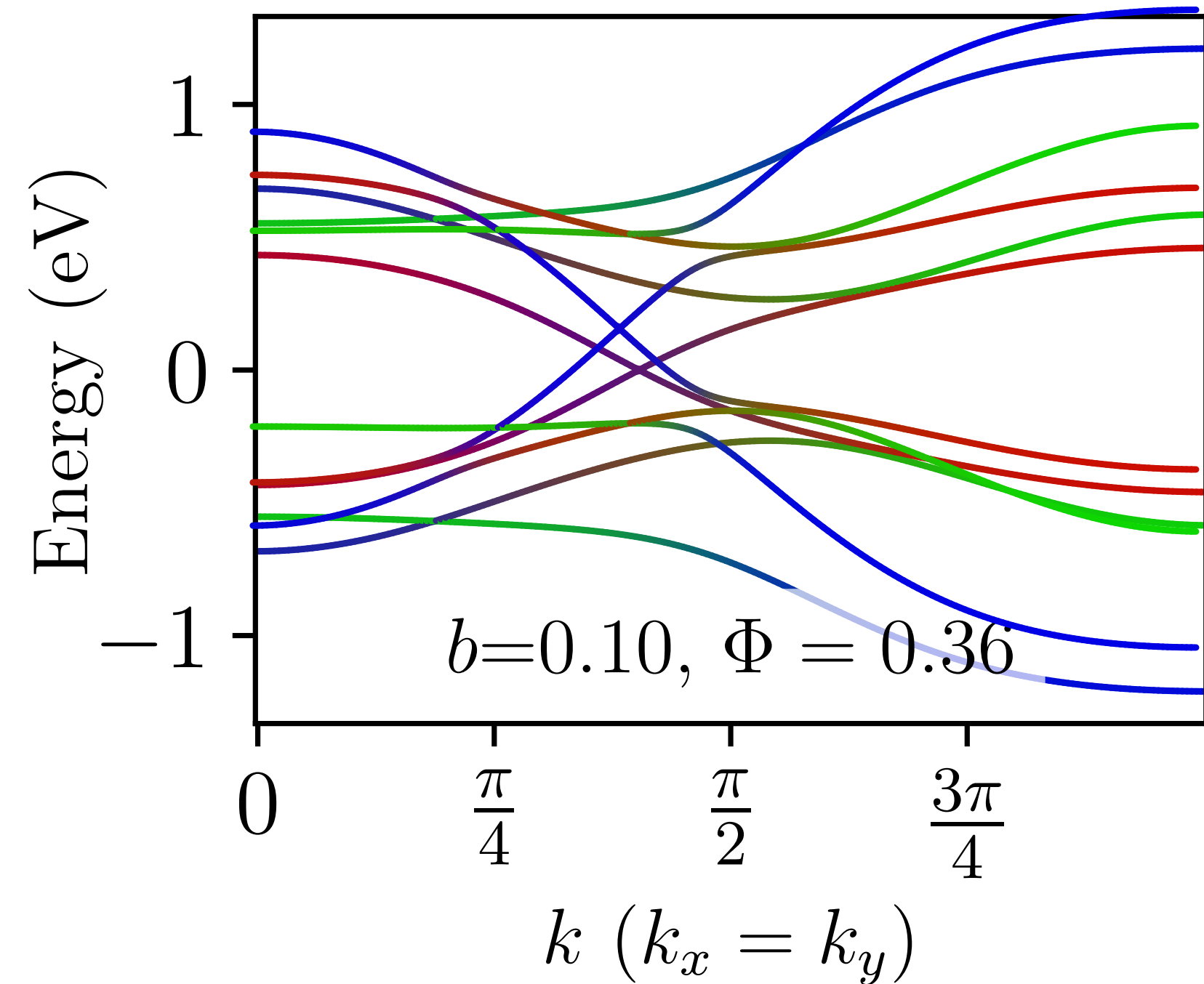
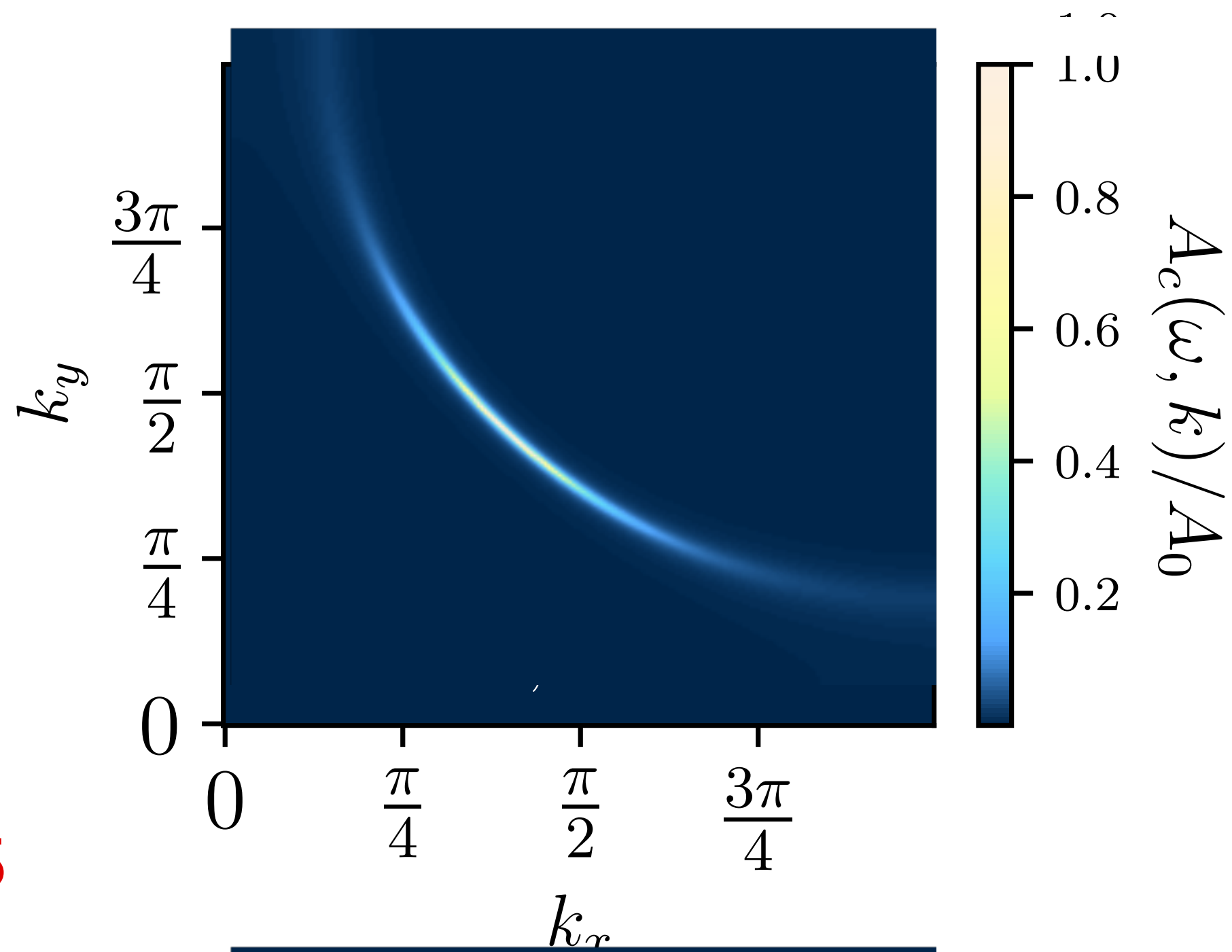
Electron spectral density in hole-doped cuprates



Christos and Sachdev,
arXiv:2308.03835
(2023)



FL*

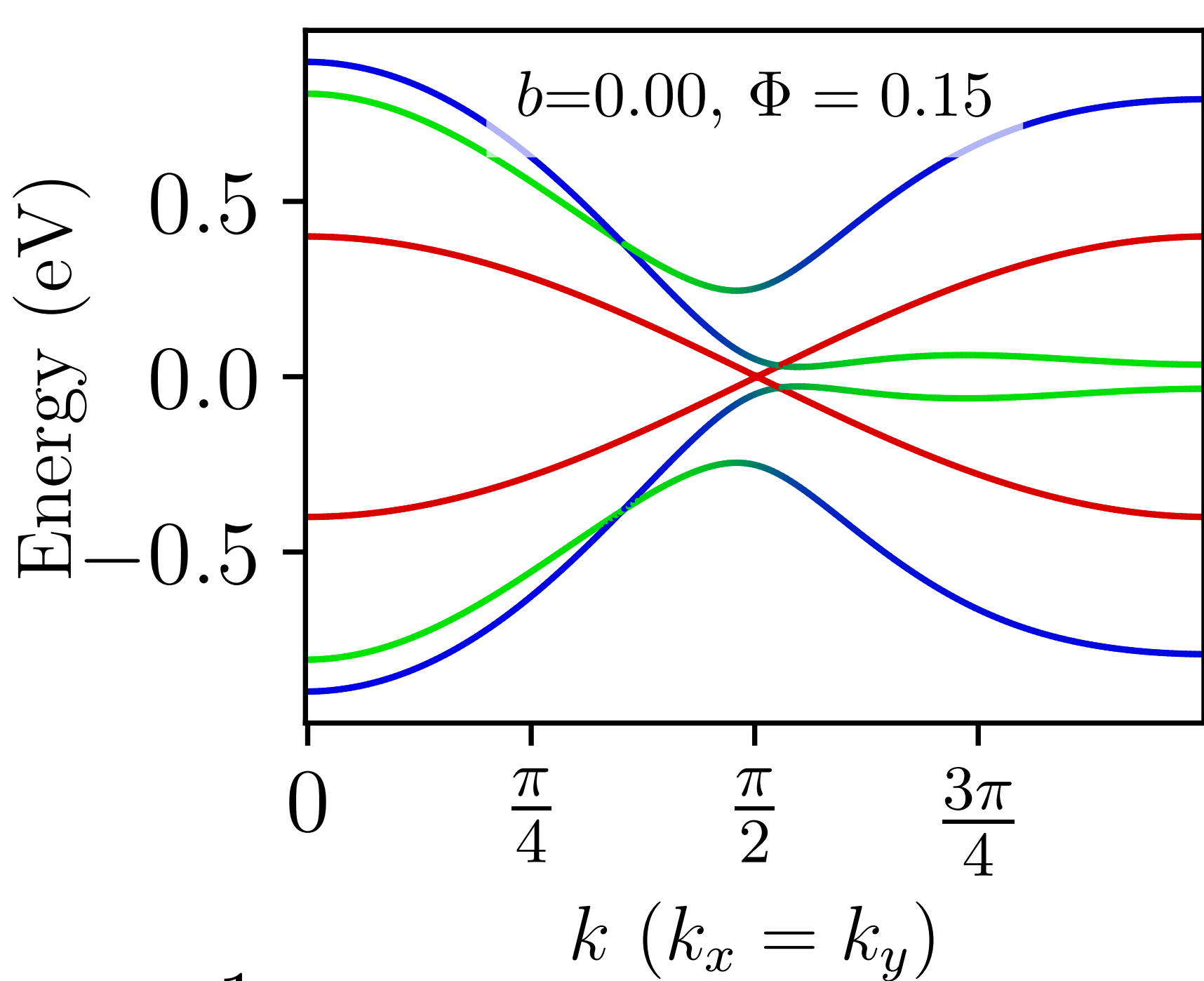
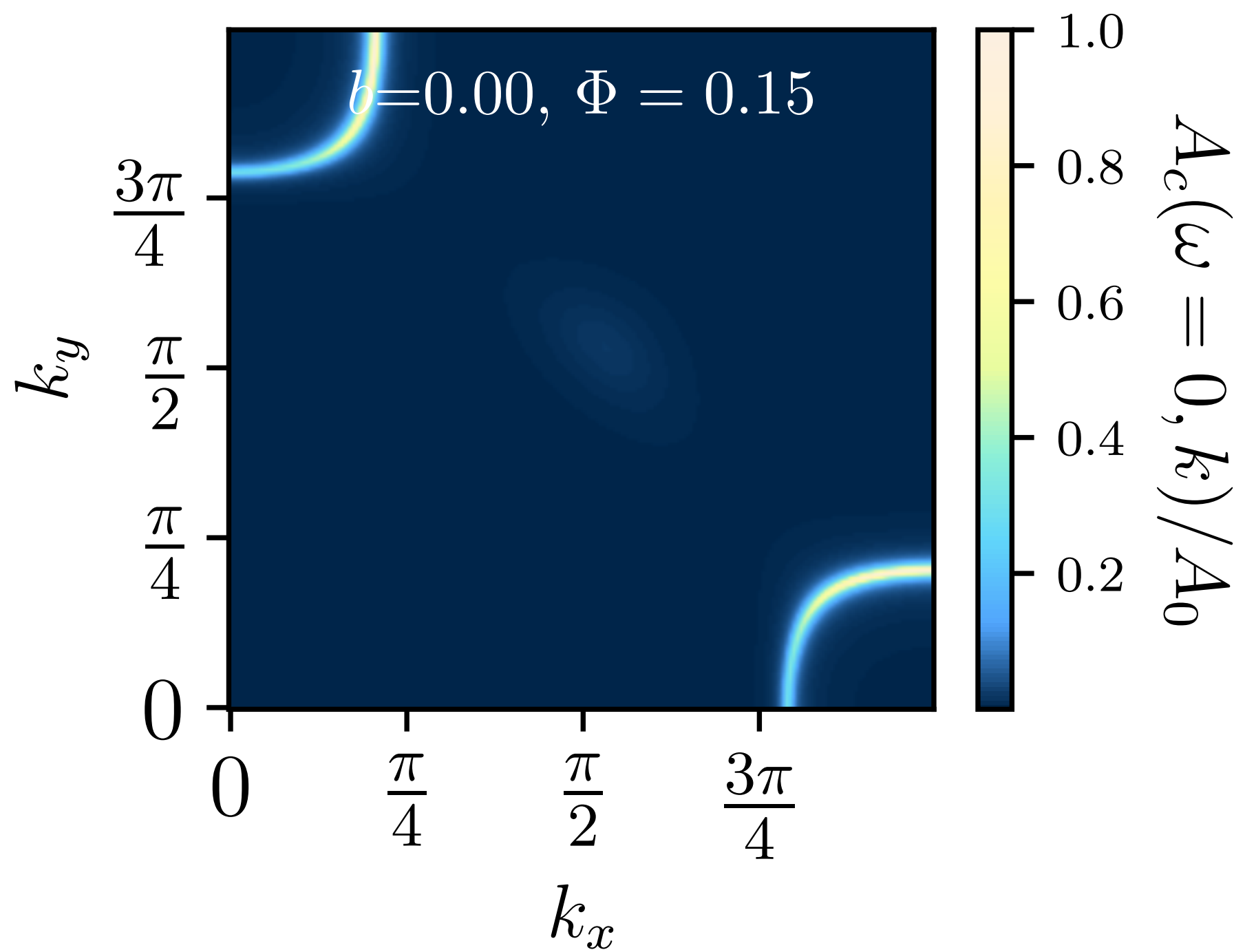


dSC

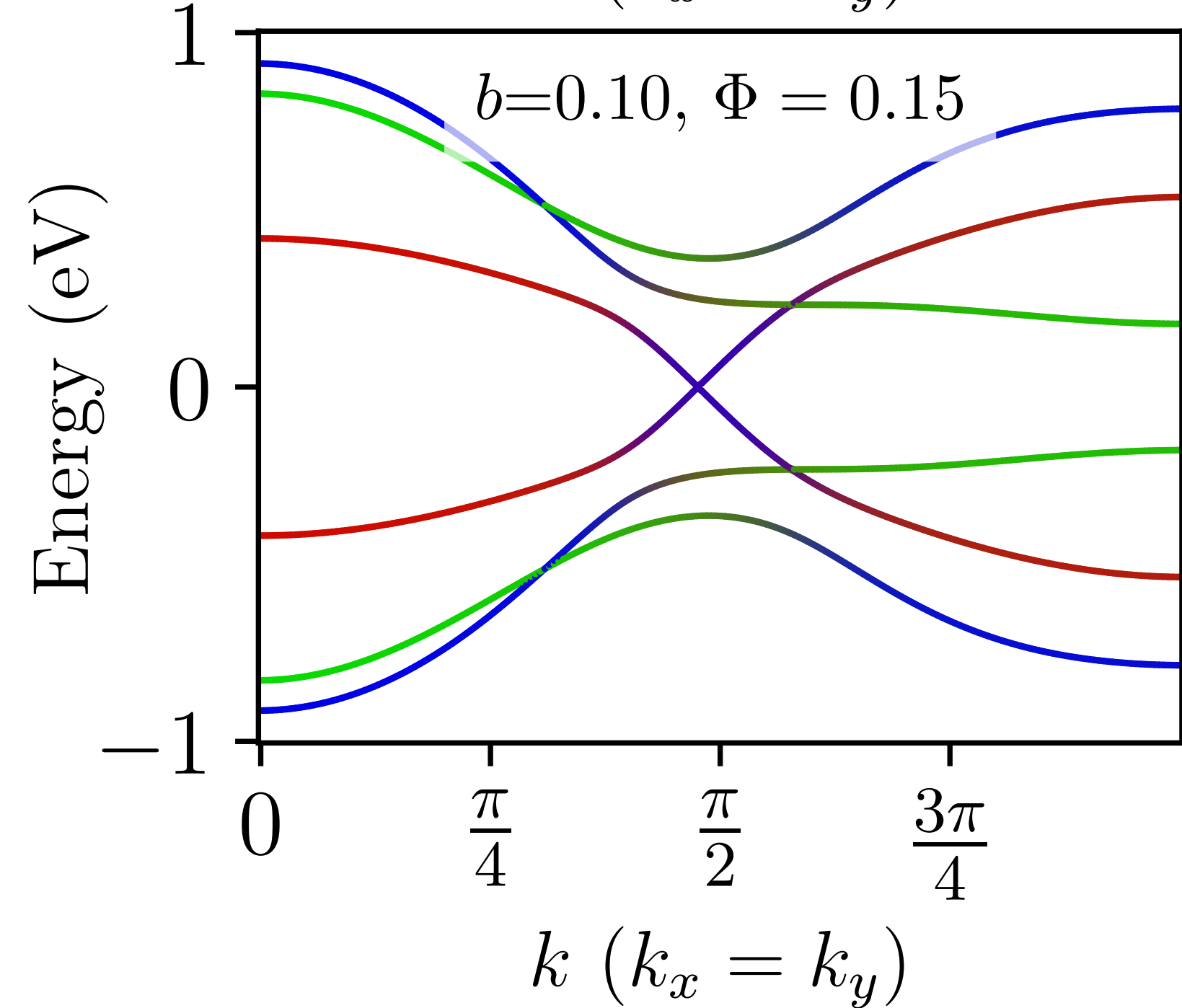
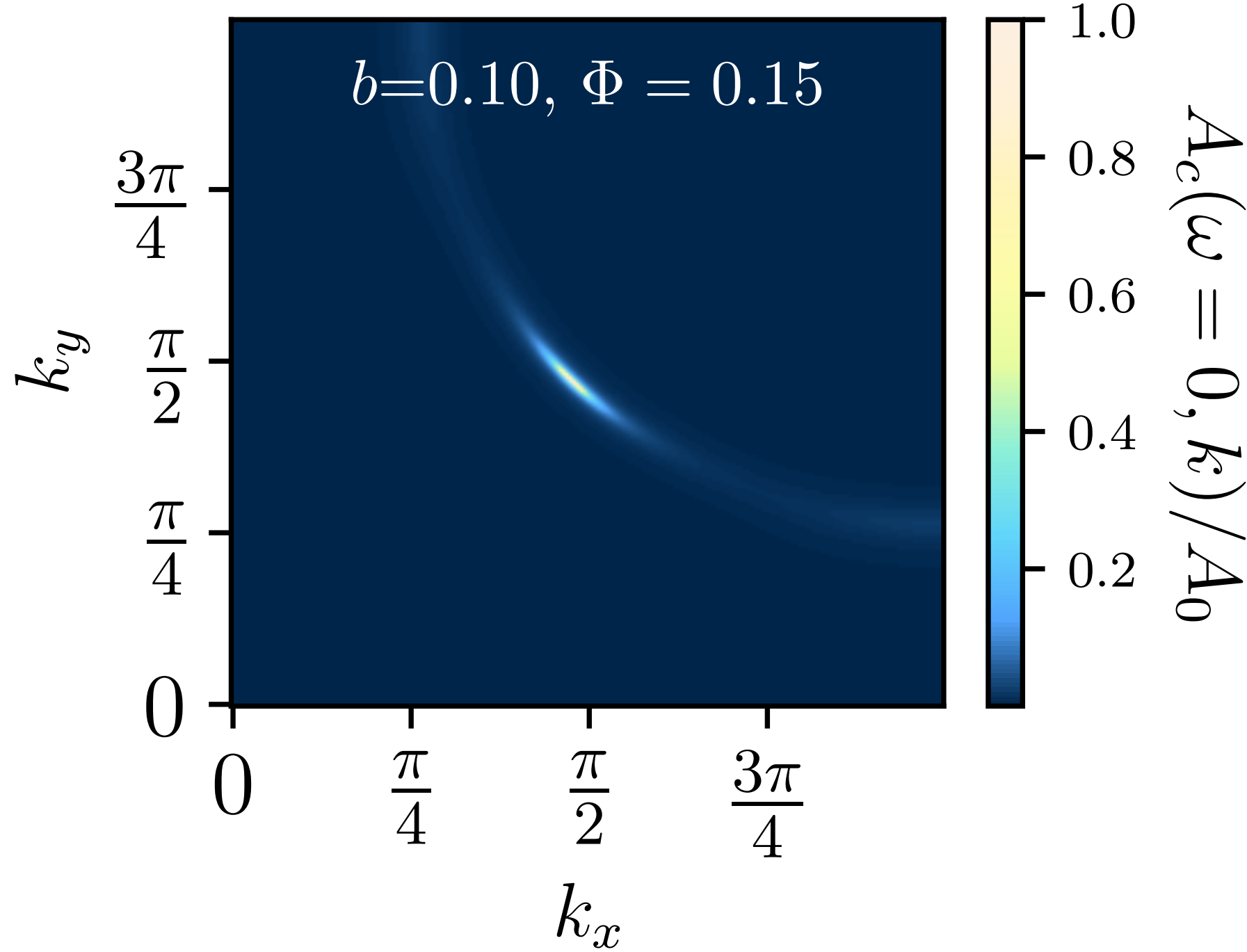
Electron spectral density in electron-doped cuprates



Christos and Sachdev, arXiv:2308.03835 (2023)



FL*

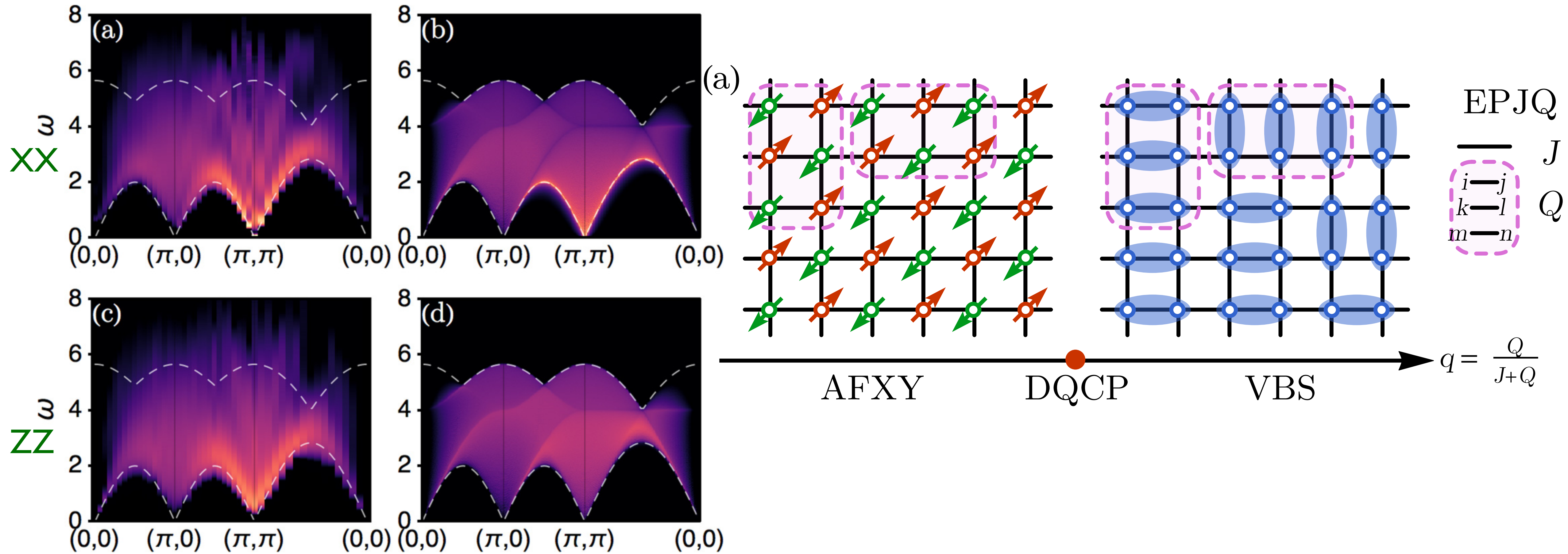


dSC

Observable by neutron scattering in pseudogap ?

QMC

Free fermion
spinons in π -flux



Summary

Insulating $S=1/2$ antiferromagnet

$\mathbb{CP}^1$ U(1) gauge theory

SU(2) gauge theory of $N_f = 2$
fundamental, massless, Dirac fermions.

Obtained from a saddle-point of
fermionic spinons moving in π -flux.

Affleck and Marston, *Phys. Rev. B* **37**, 3774 (1988)

SO(5) non-linear σ -model
of Néel/VBS orders
with $k = 1$ WZW term

