



## Review article

# Targeting diffuse midline gliomas: The promise of focused ultrasound-mediated blood-brain barrier opening

Payton J. Martinez<sup>a,b,\*</sup>, Adam L. Green<sup>c</sup>, Mark A. Borden<sup>a,b</sup>

<sup>a</sup> Biomedical Engineering Program, University of Colorado Boulder, Boulder, CO 80303, United States

<sup>b</sup> Department of Mechanical Engineering, University of Colorado Boulder, Boulder, CO 80303, United States

<sup>c</sup> Morgan Adams Foundation Pediatric Brain Tumor Research Program, Department of Pediatrics, University of Colorado School of Medicine, Aurora, CO 80045, United States

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## ABSTRACT

Diffuse midline gliomas (DMGs), including diffuse intrinsic pontine glioma, have among the highest mortality rates of all childhood cancers, despite recent advancements in cancer therapeutics. This is partly because, unlike some CNS tumors, the blood-brain barrier (BBB) of DMG tumor vessels remains intact. The BBB prevents the permeation of many molecular therapies into the brain parenchyma, where the cancer cells reside. Focused ultrasound (FUS) with microbubbles has recently emerged as an innovative and exciting technology that non-invasively permeabilizes the BBB in a small focal region with millimeter precision. In this review, current treatment methods and biological barriers to treating DMGs are discussed. State-of-the-art FUS-mediated BBB opening is then examined, with a focus on the effects of various ultrasound parameters and the treatment of DMGs.

## 1. Introduction

In 1926, Wilfred Harris first described diffuse intrinsic pontine glioma (DIPG) as an important tumor affecting the pontine area of the brain stem. DIPG remains one of the most difficult brain tumors to treat [1]. Through genetic sequencing of biopsies and autopsies from patients, it has been shown that over 80% of DIPG have a similar somatic gain-of-function mutation, where a missense substitution of lysine 27 to methionine in either histone variant HIST1H3B (H3.1) or H3F3A (H3.3), is found [2–4]. The World Health Organization (WHO) recently classified DMGs with this mutation as “diffuse midline glioma, H3 K27M-altered” [5]. Thus, a distinction was made with DMGs that lack the H3K27M mutation, although they may still exhibit a loss of the H3K27 trimethylation [5]. The proposed mechanism behind this variation is the overexpression of EZH inhibitory protein, which acts similarly to the K27M mutation that inhibits the polycomb repressive complex 2 [6,7]. With this information, considerable research has been done to find targetable epigenetic modifiers. These strategies include EZH2 inhibitors [8,9], histone deacetylase inhibitors [10,11], and H327 demethylase or methyltransferase inhibitors to target trimethylation [12]. Novel drugs have been developed to target and regulate the immune system's contribution to the tumor microenvironment [13,14].

However, many of these drugs cannot permeate the tumor BBB. Focused ultrasound complemented with microbubbles (MBs) has materialized as a promising non-invasive technique for opening the BBB temporarily. This review summarizes the obstacles associated with DMG treatments, examines the use of MB-mediated FUS to provide a noninvasive means to treat DMGs, and proposes further research on MB + FUS to treat DMGs with greater safety and efficacy.

### 1.1. DIPG overview

DIPG is mainly diagnosed in children, with the most common incidence at 6 to 9 years old [15–17]. A similar distribution is found between males and females [16]. Currently, DIPG accounts for 80% of all brainstem tumors [18]. Even though DIPG is prominent, with approximately 200–400 children diagnosed each year in the United States [19], the median survival has remained only 8–12 months, with under 10% of all patients surviving two years past their diagnosis date [20]. DIPG typically comprises at least 50% of the pons. The pons sits in the middle of the brainstem between the midbrain, medulla, and cerebellum [21]. The pons' function is divided at the coronal plane. The pontine tegmentum (dorsal pons) contains cranial nerve nuclei (CN V–VIII) and their associated tracts and is responsible for bridging the gap between

\* Corresponding author at: 1111 Engineering Drive, Boulder, CO 80309, United States.

E-mail address: [payton.martinez-1@colorado.edu](mailto:payton.martinez-1@colorado.edu) (P.J. Martinez).

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the medulla and thalamus [21–23]. The basis pontis (ventral pons) contains the white matter fibers of the corticospinal tract connecting the cerebral cortex to the spinal cord [21,23]. Therefore clinical symptoms often include cranial neuropathies and ataxia [24,25].

## 2. Current treatments of DIPG

The location and diffuse nature of DIPG make resection impossible. For over 50 years now, the most common treatment has remained radiation therapy. Photon therapy only marginally improves survival by an average of 3 months at the recommended dose of 54 Gy over 6 weeks [16,26]. As treatments have become more targeted, neuroaxis (axis of the CNS) spread has become more prevalent. Beyond radiation therapy, clinical trials have been done to test the ability of various chemotherapeutics to treat DIPG more effectively. Some of these clinical trials are summarized in Table 1. Each therapeutic targets a different aspect of DIPG biology, including epigenetics, cell metabolism, cellular signaling, DNA repair, and the cell cycle. The main class of chemotherapeutics that have been used to treat DIPG include HDAC inhibitors (panobinostat, vorinostat), proteasome inhibitors (marizomib, bortezomib), kinase inhibitors (ribociclib), topoisomerase II inhibitors (etoposide). Unfortunately, none of these clinical trials have shown an improvement in survival. Lin et al. conducted a large screening of all clinical chemotherapeutics, proteasome, and HDAC inhibitors where they found that panobinostat and marizomib showed the most promise in combination

[27]. During this study drugs were ranked based on their ability to bypass the BBB. The limitation put on these drugs has led many groups to turn to drug delivery methods. There are three major methods to achieve this: convection-enhanced delivery (CED), nanoparticles, and chemical disruption of the BBB.

### 2.1. Issues with current treatments

One major obstacle to the efficacy of current treatments is the low accumulation of chemotherapeutic drugs in the tumor region. Similar to other regions of the brain, the pons, where DIPG is located, has an intact blood-brain barrier that prevents drugs from entering the parenchyma. One current approach to address this issue is convection-enhanced delivery (CED). CED involves the insertion of a catheter or catheters through the skull into the pons region, from which drugs are pumped using positive pressure and an engineered catheter tip to enhance fluid flow and convective mass transport into the surrounding tissue [28,29]. This method has shown higher therapeutic concentrations at the target site with less systemic toxicity [28,29]. However, CED has a few problems, especially in the treatment of solid tumors. Many tumors are highly vascularized and therefore are less susceptible to the positive pressure-driven flow [30]. Additionally, quickly growing tumors can develop areas of necrosis, in which CED is less effective as drugs can pool in this area preventing delivery to the faster-growing cells on the periphery [31]. Of course, the invasiveness of this technique is also a

**Table 1**

Table showing all clinical trials started since 2020 involving the delivery of chemotherapeutics to patients with DIPG or DMG. Highlighted rows show trials using focused ultrasound or convection-enhanced delivery. Data was obtained from [clinicaltrials.gov](https://clinicaltrials.gov) on May 10th, 2023.

| Title                                                                                                                   | NCT Number  | Status                 | Interventions                                                                    | Phase | Start Date | Location                                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------|-------------|------------------------|----------------------------------------------------------------------------------|-------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| A Study of Low Dose Bevacizumab With Conventional Radiotherapy Alone in DIPG                                            | NCT04250064 | Recruiting             | Drug: Bevacizumab<br>Radiation: Ultra-low-dose RT                                | 2     | 4-Feb-20   | Tata Memorial Hospital, Mumbai, Maharashtra, India                                                                                               |
| INCB7839 in Treating Children With Recurrent/Progressive High-Grade Gliomas                                             | NCT04295759 | Recruiting             | Drug: INCB7839                                                                   | 1     | 27-Jul-20  | Children's Hospital Los Angeles, Los Angeles, California, United States...                                                                       |
| Phase I Study of Marizomib + Panobinostat for Children With DIPG                                                        | NCT04341311 | Active, not recruiting | Drug: Marizomib/ Panobinostat                                                    | 1     | 10-Aug-20  | Boston Children's Hospital, Boston, Massachusetts, United States...                                                                              |
| A Study of BXQ-350 in Children With Newly Diagnosed DIPG or DMG                                                         | NCT04771897 | Recruiting             | Drug: BXQ-350                                                                    | 1     | 24-May-21  | Children's Hospital Colorado, Aurora, Colorado, United States...                                                                                 |
| Non-Invasive Focused Ultrasound (FUS) With Oral Panobinostat in Children With Progressive DMG                           | NCT04804709 | Active, not recruiting | Drug: Panobinostat Device: FUS with neuro-navigator-controlled sonication        | 1     | 28-Jul-21  | Columbia University Irving Medical Center / New York-Presbyterian Hospital, New York, New York, United States                                    |
| ONC206 for Treatment of Newly Diagnosed, or Recurrent Diffuse Midline Gliomas, and Other Recurrent Malignant CNS Tumors | NCT04732065 | Recruiting             | Drug: ONC206<br>Radiation: RT                                                    | 1     | 23-Aug-21  | University of California, San Francisco, San Francisco, California, United States; University of Michigan, Ann Arbor, Michigan, United States... |
| Combination Therapy for the Treatment of DMG                                                                            | NCT05009992 | Recruiting             | Drug: ONC201 / Paxalisib<br>Radiation: RT                                        | 2     | 20-Oct-21  | University of Alabama at Birmingham, Birmingham, Alabama, United States...                                                                       |
| CBL0137 for the Treatment of Relapsed or Refractory Solid Tumors, Including CNS Tumors and Lymphoma                     | NCT04870944 | Active, not recruiting | Drug: FACT Complex-targeting Curaxin CBL0137                                     | 1/2   | 18-Jan-22  | Children's Hospital of Alabama, Birmingham, Alabama, United States; Children's Hospital Los Angeles, Los Angeles, California, United States...   |
| A Study of the Drug Selinexor With Radiation Therapy in Patients With Newly-Diagnosed DIPG and HGG                      | NCT05099003 | Recruiting             | Procedure: Biopsy / MRI<br>Radiation: RT<br>Drug: Selinexor                      | 1/2   | 5-May-22   | Children's Hospital of Alabama, Birmingham, Alabama, United States; Banner Children's at Desert, Mesa, Arizona, United States...                 |
| A Phase 1/2 Study of Sonodynamic Therapy Using SONALA-001 + Exablate 4000 Type 2 in Patients With DIPG                  | NCT05123534 | Recruiting             | Combination Product: SONALA-001 (ALA) and MR-Guided Focused Ultrasound device    | 2     | 12-Aug-22  | Ivy Brain Tumor Center, Phoenix, Arizona, United States; University of California, San Francisco, San Francisco, California, United States...    |
| A Drug-drug Interaction Study of Avapritinib and Midazolam                                                              | NCT04908176 | Recruiting             | Drug: Avapritinib / midazolam                                                    | 1     | 24-Aug-22  | Mayo Clinic Florida, Jacksonville, Florida, United States...                                                                                     |
| Biological Medicine for DIPG Eradication 2.0                                                                            | NCT05476939 | Recruiting             | Drug: Everolimus/ ONC201<br>Radiation: RT                                        | 3     | 29-Sep-22  | Gustave Roussy, Villejuif, Val De Marne, France...                                                                                               |
| Oral AMXT 1501 Dicaprate in Combination With IV DFMO                                                                    | NCT05500508 | Recruiting             | Drug: AMXT1501 / DFMO                                                            | 1/2   | 29-Nov-22  | Cincinnati Children's Hospital Medical Center, Cincinnati, Ohio, United States...                                                                |
| BBB Disruption Using Exablate FUS With Doxorubicin for Treatment of Pediatric DIPG                                      | NCT05615623 | Recruiting             | Device: Exablate<br>Drug: Doxorubicin                                            | 1/2   | 4-Jan-23   | Sunnybrook Research Institute, Toronto, Ontario, Canada                                                                                          |
| BBB Disruption Using Exablate FUS With Doxorubicin for Treatment of Pediatric DIPG                                      | NCT05630209 | Recruiting             | Device: Exablate<br>Drug: Doxorubicin                                            | 1/2   | Feb-23     | Children's National Medical Center, Washington, District of Columbia, US                                                                         |
| 131I-omburtamab Delivered by Convection-Enhanced Delivery in Patients With DIPG                                         | NCT05063357 | Not yet recruiting     | Drug: 131I-Omburtamab<br>Device: CED                                             | 1     | Mar-23     | N/A                                                                                                                                              |
| FUS Etoposide for DMG - A Feasibility Study                                                                             | NCT05762419 | Recruiting             | Drug: Etoposide (Oral)<br>Device: FUS with neuro-navigator-controlled sonication | 1     | Mar-23     | Columbia University Irving Medical Center, New York, New York, United States                                                                     |
| Loc3CAR: Locoregional Delivery of B7-H3-CAR T Cells for Pediatric Patients With Primary CNS Tumors                      | NCT05835687 | Recruiting             | Drug: B7-H3-CAR T cells                                                          | 1     | 25-Apr-23  | St. Jude Children's Research Hospital, Memphis, Tennessee, United States                                                                         |
| Study of Ribociclib and Everolimus in HGG and DIPG                                                                      | NCT05843253 | Not yet recruiting     | Drug: Ribociclib / Everolimus                                                    | 2     | 15-Aug-23  | Children's Hospital Colorado, Aurora, Colorado, United States...                                                                                 |

DIPG = diffuse intrinsic pontine glioma; CED = convection-enhanced delivery; FUS = Focused Ultrasound; HGG = High-Grade Glioma; BBB = Blood-Brain Barrier; RT = Radiation Therapy.

concern, as it requires stereotactic surgery to place the catheter(s). Clinical trials have shown the potential for an increase in neurological issues at CED higher flow rates [32].

Nanoparticles have become a common method to deliver drugs across the BBB. Nanoparticles are modular, allowing for the engineering of various characteristics to utilize specific biological mechanisms. Nanoparticles have been used successfully to deliver drugs into solid tumors [33,34]. Nanoparticles can be engineered to cross the BBB via different pathways, including endocytosis or transcytosis (active transport using a surface receptor) and the enhanced permeability and retention (EPR) effect [35]. Once past the BBB, nanoparticles release their drug cargo into the tissue extracellular matrix (where they can be taken up by the cells) or directly into the cytoplasm of the target cells. Although nanoparticles have shown promising effects in animal models, there has been little success in human clinical trials because they cannot reach the minimal effective dose within the tumor interstitium [34,36]. Since nanoparticle accumulation may depend on the EPR effect, they may require the BBB to be opened for drug accumulation to be observed. While many gliomas have leaky vessels, DIPG, and several other high-grade gliomas have an intact blood-brain barrier. Overall, nanoparticle technology could be significantly improved if methods are developed to open the BBB noninvasively, locally, and transiently [37,38].

Other ways groups have tried to disrupt the BBB is through intra-arterial vasoactive agents that cause an inflammatory reaction in the endothelial cells. One class of these drugs is alkylglycerols which can temporarily destabilize the cell membrane of endothelial cells, opening the BBB between 3 and 15 min [39]. Although these methods have had great success at increasing the delivery of large-molecule drugs, their non-selectivity to the tumor site causes problems when the chemotherapeutic is also toxic to health cells. Bradykinin and its analog RMP-7 are more specific to some tumor types that overexpress the B<sub>2</sub> receptor [40]. Although RMP-7 was not shown to be effective with lipophilic chemotherapies. When taken to clinical trials in combination with carboplatin, which showed effectiveness in rats, the study was shown to be ineffective [41].

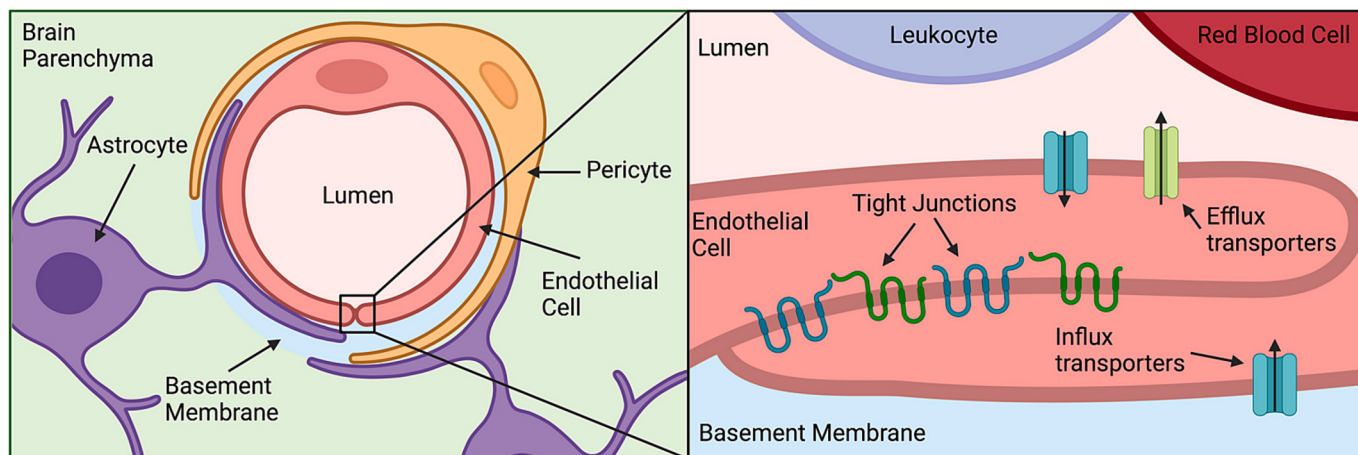
### 2.1.1. The blood brain barrier (BBB)

As previously discussed, one major hurdle for drug delivery to DIPG and other neurological diseases is the BBB [42,43], which serves as a defense against harmful chemicals or biological agents. The BBB is tightly regulated by the vascular system of the brain and comprises highly polarized endothelial cells that are firmly connected via tight junctions. These tightly bound endothelial cells are surrounded by pericytes and astrocytic foot processes, which further contribute to the

barrier function [44,45] (Fig. 1). The astrocytic end feet cover around 99% of all capillaries in the brain and are vital in regulating the extracellular matrix (ECM) composition surrounding the endothelial cells [46]. They also regulate the amount of immune cell infiltration and the integrity and permeability of the BBB [46,47]. Pericytes are one of the main supporting cells that regulate vessel formation and growth [36,48]. All three, astrocytic end feet, pericytes, and tightly joined endothelial cells surround the basal lamina that consists of an ECM and provides a space for regulation of the molecular transport [36]. Within the endothelium, the tight junctions in conjunction with adherence proteins (occludins, tricellulins, claudins, and junctional adhesion molecules) prevent paracellular diffusion of large (400 Da) molecules [48,49]. Therefore, any therapeutics needing to enter the brain must pass through the luminal plasma membrane of these endothelial cells. Some endothelial cells contain efflux transporters that allow the movement of substrates into the parenchyma [50]. Therefore, to pass through the BBB, drugs must be engineered with the following properties: high lipophilicity, low molecular mass, low hydrogen bonding potential, and noninteraction with efflux transporters. Once past the BBB, molecular drugs or drug-loaded nanoparticles must contend with microglia, which are the main immune cells within the brain parenchyma [51,52].

### 2.1.2. Blood-brain tumor barrier (BBTB)

In some CNS tumors, the integrity of the blood-brain tumor barrier (BBTB) is often disrupted either biochemically or physically. The use of magnetic resonance imaging (MRI) using a gadolinium-based contrast agent is the most common way to confirm BBB disruption, as these contrast agents are normally unable to pass the BBB or BBTB. This disruption usually occurs at the center of the tumors, where the interstitial pressure is greater, while the BBB in the margins remains intact. This phenomenon has led to incomplete tumor reduction, where malignant tumor cells can develop through other parts of the brain [53]. In contrast to some CNS tumors, which demonstrate a leaky BBTB, DMGs in particular do not possess this quality [54–56]. An impermeable BBTB also limits the tumor margin visibility on T1-weighted contrast-enhanced MRI scans, which can make it difficult to diagnose the early stages of tumor growth [57]. Moreover, the pons and brainstem possess a more unyielding BBTB, further complicating the penetration of pharmaceuticals [58]. A study by McCully et al. showed that the BBTB is inhomogeneous, as there was a difference in temozolomide penetration between the brainstem region and the cortex [58]. Like other CNS tumors, DMG can show some enhancement at the center of the tumor illustrating necrosis. Some studies have shown decreased BBTB permeability due to an upregulated Sonic Hedgehog (SHH) signaling [59]. SHH is from the Hedgehog family of morphogens that orchestrates



**Fig. 1.** Cartoon of the blood-brain barrier. The left image shows a single neurovascular unit. The right shows an in-depth view of tight junctions and endothelial surface transporters.

branching morphogenesis and therefore vascularization. Other groups have shown the presence of P-glycoprotein/MDR1 (P-gp), and Breast Cancer Resistance Protein 1 found directly on DIPG cells on both the glioma cells and surrounding tissue [60]. P-gp can reduce drug accumulation within cells by actively removing drugs using ATP [61]. Deligne et al. studied in vitro models of the DIPG BBB to determine the reason for its chemoresistance. The authors found over a week of DIPG cell development no change in the integrity of the drug penetration or the amount of efflux transporters [56]. However, we still do not have direct evidence of why the BBB in DIPG presents differently than for healthy tissue in vivo.

### 3. Focused ultrasound mediated blood-brain barrier opening

Focused ultrasound (FUS) complemented with microbubbles (MBs) has been materialized as a non-invasive technique to momentarily open the BBB [62–65]. MBs utilized for this technique were originally developed as ultrasound contrast agents. They are 1–10  $\mu\text{m}$  in diameter, with a shell (typically lipid or protein) that encapsulates a high-molecular-weight internal gas (e.g., perfluorocarbons) [66–68]. The physical mechanism that has been proposed is that circulating microbubbles are forced to expand and contract (cavitate) under ultrasound (0.2–2 MHz). As a result, the local mechanical forces cause the separation of tight junctions, opening the BBB [69,70]. Two types of cavitation can occur: harmonic and inertial. Harmonic cavitation is characterized by small oscillations of the microbubble and can produce an acoustic response at regular fractions and multiples of the driving frequency [71,72]. Harmonic (specifically the sub and ultra-harmonic) responses are caused by the nonlinear and asymmetric response of the microbubbles as they expand and contract [71]. Inertial cavitation can be described by violent expansion and contraction, eventually reaching the critical point of implosion, shock wave formation, and production of a broadband acoustic frequency response [71,72]. Both regimes of cavitation produce mechanical forces (e.g., fluid shear, shock waves, and micro-jetting) to the endothelium within the focal zone of the FUS, causing disruption of the bonds between the tight junction proteins [71,72]. FUS-mediated BBB opening is safe with no significant neuronal damage, apoptosis, ischemia, or longer-term damage to the vessels [63]. Localized BBB opening can remain for a period of 3 to 24 h, depending on the intensity of the focused ultrasound [73]. The reversibility of BBB opening, and the small focal zone makes MB + FUS a good candidate for targeted delivery of drugs that cannot otherwise pass the BBB.

Beyond the initial effects of BBB opening, a cascade of events occurs along with the opening. First, there is a resulting immune response from opening the BBB. Previous work has shown that FUS-mediated BBB opening can induce sterile inflammation [74]. This may also contribute to the recruitment of active T cells to the site, leading to a strong immune infiltration into tumors [75]. Microglia can also respond to any damage to the vascular system by releasing inflammatory cytokines and vascular growth factors [52,76]. Secondly, FUS has been shown to suppress the P-gp (P-glycoprotein) transporter found in endothelial cells making up the BBB by 50–60% [77,78]. As previously discussed, this multidrug resistance protein can also be present in DIPG tumors and can lead to resistance to chemotherapeutics. Therefore, the use of FUS-mediated drug delivery may also reduce the risk of resistance and increase the drug concentrations in these tumors.

#### 3.1. Using focused ultrasound to deliver drugs to DIPG tumors

In 2001, Hynynen et al. first showed that BBB opening was possible in a rabbit using MB + FUS [62]. Since then, we have seen the field rapidly expand to encompass a variety of neurological diseases in several animal models and humans. Within the past decade, there has been an interest in using MB + FUS to better target and treat tumors that are located in non-resectable areas of the brain. Among these, DIPG has stood out as a prime candidate for this technology due to its diffuse and

intrinsic nature. These preclinical studies are summarized in Table 2.

#### 3.2. Characterization of FUS-induced BBB opening, and agents used

As FUS-mediated BBB opening has developed, there has been a wide range of therapeutics developed with this method. Smaller chemotherapeutic drugs that range from 150 Da to 1 kDa have been delivered, including BCNU (Carmustine) [64,85–87], cisplatin [79], etoposide [88,89], irinotecan [90], carboplatin [91], and doxorubicin [79,92–95]. Larger therapeutics delivered by MB + FUS include antibodies, viruses, nanoparticles, and protein-based therapeutics, such as trastuzumab (Herceptin), pertuzumab [96–98], adeno-associated virus (AAV) [99], the immunomodulating agent IL-12 [100], and  $^{68}\text{Ga}$ -labeled bevacizumab (Avastin) (a humanized antiangiogenic monoclonal antibody) [101]. These molecules range from 70 to 150 kDa. Overall, there has been great success in the delivery of even the largest therapeutics, showing the versatility of MB + FUS as a drug delivery mechanism. However, due to the different drug administration methods (intraperitoneally, intravenously, etc.), we are unable to directly compare extravasation across all studies.

Most studies use MRI confirmation and quantification of the extent of BBB opening. As stated earlier, MRI is used with gadolinium-based contrast agents for T1-weighted imaging. Table 3 shows these contrast agents and their molecular weights. Most of the contrast agents range from 510 to 950 Da when not bound to serum proteins. Those that do not bind are relevant for small-molecule drug kinetics. MR contrast agents that strongly bind to serum proteins can provide insight into how larger drug molecules and particles may pass through the BBB (represented in Table 3 by a \*). Nuclear imaging using radioactive isotopes can also be used to track molecules moving past the BBB. The two main modalities are positron emission tomography (PET) and Single-photon emission computerized tomography (SPECT). Nuclear imaging has a variety of radiopharmaceuticals that can show different aspects of BBB dysfunction including [ $^{68}\text{Ga}$ ]DTPA and [ $^{68}\text{Ga}$ ]EDTA for BBB opening, [ $^{18}\text{F}$ ]FDG for glucose transport, and [ $^{11}\text{C}$ ]Verapamil and [ $^{11}\text{C}$ ]Loperamide for p-gp transport. For a more comprehensive list of PET tracers for P-gp, we refer to Syvänen and Eriksson [102] and for their use particularly with FUS-mediated BBBO, we refer to Arif et al. [103]. All of these radiopharmaceuticals can also be seen in Table 3. Fluorescently labeled dextrans and liposomes with a wide range of molecular weights have been used to mimic drug extravasation past the BBB [104–106], although this must be determined ex vivo. Evans blue dye is the most common ex vivo marker of BBB opening. Other fluorescent dyes can be used that are not as toxic as Evans Blue [107].

#### 3.3. FUS technology and sonication parameters

FUS has a wide variety of parameters, including frequency, pressure amplitude, pulse waveform, pulse length, and pulse repetition frequency, that relate to its energy applied over time. Table 2 shows the reported technical specifications for each study employing FUS-mediated drug delivery to DIPG tumors. The most widely used metric to determine the extent of BBB opening is the mechanical index (MI) due to its strong correlation to cavitation inception and inertial cavitation [127]. MI is a unifying ultrasound parameter that combines the ultrasound fundamental frequency ( $f$  in units of MHz) and peak negative pressure (PNP in units of MPa). The relationship is [128]:

$$MI = \frac{PNP}{\sqrt{f}}$$

Many of the preclinical systems use a single-element spherically focused transducer that ranges from 0.2 to 2 MHz in the pulse center frequency. This range of frequencies has been shown to effectively penetrate the skull of small animals (mice, rats, and rabbits) [79,88,89,65,130,131] and larger mammals (primates and humans) [132,133], allowing focusing within the brain.

Table 2

Table of FUS-mediated BBBD drug delivery studies that were specifically done to more effectively treat DIPG. \*Pressure was increased at this increment until PCD data showed sub and ultra-harmonic response. NR means not reported in the literature.

| Study (Year)                 | Animal Strain | Drug / Vehicle          | FUS Parameters  |               |          |         |          | Microbubble parameters |           |                       | Results                                                |
|------------------------------|---------------|-------------------------|-----------------|---------------|----------|---------|----------|------------------------|-----------|-----------------------|--------------------------------------------------------|
|                              |               |                         | Frequency (MHz) | PNP (MPa)     | PRF (Hz) | PL (ms) | Time (s) | Size (µm)              | Dose      | Type                  |                                                        |
| Alli et al. (2018) [79]      | NSG mice      | Doxorubicin (5 mg/kg)   | 1.68            | 0.25 + 0.025* | 1        | 10      | 120      | 1–10                   | 20 µl/kg  | Definity              | 50-fold increase                                       |
| Ye et al. (2018) [80]        | C57BL/6 mice  | 40 kDa dextran (2 mg)   | 1.5             | 0.56          | 5        | 0.67    | 120      | 4–5                    | 2.4E7 MBs | DSPC-PEG2000; PFB gas | 3-fold increase in dextran intensity                   |
| Ishida et al. (2021) [81]    | NSG mice      | Doxorubicin (5 mg/kg)   | 1.78            | NR            | 0.67     | 10      | 180      | 1–10                   | 20 µl/kg  | Definity              | 4-fold increase; no survival benefit                   |
| Englander et al. (2021) [82] | B6 mice       | Etoposide (20 mg/kg)    | 1.5             | 0.7           | 5        | 10      | 120      | 1–10                   | 200 µl    | SonoVue / Definity    | 8-fold increase; no survival benefit                   |
| Haumann et al. (2022) [83]   | Foxn1 mice    | Doxorubicin (5 mg/kg)   | 1.5             | 0.2–0.4       | NR       | NR      | 160      | 1–10                   | 60 µl     | SonoVue               | no survival benefit                                    |
| Martinez et al. (2023) [84]  | Nu/nu mice    | Panobinostat (10 mg/kg) | 1.5             | 0.615         | 1        | 10      | 180      | 3                      | 25 µl/kg  | DSPC-PEG40s; PFB gas  | 3-fold increase; prolonged survival from 21 to 31 days |

Table 3

Model drugs used to determine BBB permeability during FUS-mediated BBBD. \*MW moves 69 kDa when attached to albumin in the blood.

| Agent                         | Imaging Modality | Molecular Weight (Da) | Dose               | Translatable | Model Uses          |
|-------------------------------|------------------|-----------------------|--------------------|--------------|---------------------|
| MultiHance (Gd-BOPTA)         | MR               | 513.5*                | 5.29 mg/kg         | Yes          | [108]               |
| Omniscan (Gadodiamide)        | MR               | 573.7*                | 0.2 mmol/kg        | Yes          | [74,88,89,109,110]  |
| Gadovist (Gd-DO3A-butrol)     | MR               | 604.7                 | 0.1–0.125 mmol/kg  | Yes          | [79,90,91,111]      |
| Dotarem (Gd-DOTA)             | MR               | 753.9                 | 50 µl              | Yes          | [106]               |
| Magnevist (Gd-DTPA)           | MR               | 938.0*                | 0.1–0.25 mmol/kg   | Yes          | [97,101,112,113]    |
| [ <sup>68</sup> Ga] DTPA      | PET              | 1502.3                | 30–50 MBq          | Yes          | [114,115]           |
| [ <sup>99m</sup> Tc] DTPA     | SPECT            | 485.21                | 130–150 MBq        | Yes          | [114,115]           |
| [ <sup>18</sup> F] FDG        | PET              | 181.26                | 37 MBq             | Yes          | [116,117]           |
| [ <sup>11</sup> C] Loperamide | PET              | 477.05                | 0.76 ± 0.3 nmol/kg | Yes          | [102,118,119]       |
| [ <sup>11</sup> C] Verapamil  | PET              | 491.07                | 6–10 nmol          | Yes          | [102,118,120–123]   |
| Evans Blue                    | Fluorescence     | 960.8*                | 2–100 mg/kg        | No           | [74,86,101,124–126] |
| Dextrans                      | Fluorescence     | 3–1000 k              | Varies             | No           | [80,101]            |

Although pulse length and pulse reptation frequency have not received as much attention as peak negative pressure and frequency, they still play an important role in the energy delivered. Initially burst length alone was thought to have an effect on BBBO [130]. Later it was realized that there is an interplay between the two parameters. Choi et al. showed that there is a window of pulse repetition frequencies that had an effect on BBBO. Less than 0.1 Hz did not open the BBB, and above 10 Hz did not have a significant difference [134]. The same group also showed a similar trend with pulse length seeing a small amount of dextran delivery at pulse lengths as low as 0.033 ms and a saturation above 10 ms [134]. Recently Morse et al. showed that using rapid short-pulses to obtain a more uniform BBBO at lower MIs [135,136]. Lim Kee Chang et al. employed a pulse sequence of 5 µs long pulse and 1.25 kHz pulse repetition frequency for 10 ms then repeated with a frequency of 0.5 Hz [137]. The group was able to deliver model agents ranging from 3 kDa to 66.5 kDa at a relatively low pressure of 0.35 MPa [137].

Passive cavitation detectors (PCDs) provide valuable real-time feedback on the MB acoustic response. Within the past 5 years, many FUS systems have incorporated a PCD into their setup to either record microbubble response or to provide feedback to better tailor treatment intensities [138]. Using PCDs and high-frequency optical imaging of microbubbles under ultrasound, the threshold for inertial cavitation was found to be around 0.4 MI [71,91,131]. A feedback mechanism was first put in place to provide a better safety index, as inertial cavitation has been associated with an increased probability of vascular and tissue damage [139,140]. These closed-loop systems use data collected from the previous pulse to make any necessary changes on the next pulse. Between each pulse, analysis methods usually give a “cavitation dose” via a Fourier transform analysis [71,139]. Depending on the pulse length and pulse repetition frequency (also can be found in Table 2),

there can be issues with the analysis method used between pulses. These include high computation power for extremely high pulse repetition frequencies and overly long pulses that can lead to over-saturated FFTs, making the diagnosis of inertial cavitation more difficult.

Given the range of parameters that can be used during FUS-mediated BBB opening, a variety of clinical devices are available. There are three types of clinical devices: implantable devices, MRI-guided, and neuronavigation-guided. Carthera’s SonoCloud is a nine-transducer system that is implanted under the skull usually after a brain tumor resection [141]. Although DIPG patients rarely receive a tumor resection increasing the invasiveness of this treatment option. The major upside to this device is the removal of uncertainty with the skull. Normally simulations have to be run to account for the heterogeneity of the bone. Insightec’s Exablate was initially built for treatment to other parts of the body although they have produced a system for the brain. This clinical system uses a helmet-shaped multielement (~1000) transducer to focus the beam through the skull to the tumor [142]. Due to the penetration through the bone, MRI guidance is necessary throughout the treatment. One downside to this system is the fixed skull pins needed to maintain head position. Given the young average age of DIPG patients, this may be a concern for procedures. Finally, the latest device to enter the clinical scene is NaviFUS [143], and Columbia University’s TheraWave. Using infrared cameras tracking the position of the head and transducer, targeting can be achieved without a fixed head. Similar to Exablate, a simulation using previously obtained MRI or CT scans is required to accurately target regions in the brain. Overall, the neuronavigation system looks the most promising for the DMG community.

### 3.4. Microbubble parameters

The second half of this technology is the use of MBs to provide an acoustic amplification point for the ultrasound. There are two specific MB parameters that provide an estimation of BBB opening. The first and most straightforward metric is the dose injected during treatments. Table 2 also illustrates the microbubble doses given during each pre-clinical study completed using DIPG as the model. Song et al. have shown that BBB opening is not only dependent on the number of microbubbles injected, which previously had been the only metric used but also based on the size of microbubbles used [65]. Microbubble volume dose (MVD) is the unifying dose metric of microbubble number and size injected. MVD is calculated by taking the average volume of each microbubble in the injection sample and multiplying it by the concentration injected per kg of body weight [65]. Clinically approved microbubbles for contrast-enhanced ultrasound imaging have a range of recommended doses, as they are polydisperse in size distribution and exhibit significant vial-to-vial variability. Typical dose ranges used for MB + FUS range from 0.16 to 0.44  $\mu\text{L}/\text{kg}$  (SonoVue and Definity respectively) [70,144]. There have only been a few studies looking into the effects of microbubble dose on BBB opening. McMahon et al. looked into the effects of microbubble dose on the inflammatory response post BBB opening [145].

Secondly, the microbubble composition is vital in its success and safety during BBB opening (Fig. 2). There are three main FDA-approved and commercially available microbubble compositions which include SonoVue (Bracco, Milan, Italy) DSPC lipid-shelled microspheres with an internal gas of sulfur hexafluoride; Definity (Lantheus Medical Imaging, USA) DPPC-shelled microspheres containing a perfluoropropane internal gas; and Optison (GE Healthcare, USA) albumin-shelled microspheres with perfluoropropane inside. Many groups also use engineered microbubbles [108,65,146,147]. Many of these MB formulations use similar compositions to the commercially available ultrasound contrast agent microbubbles, but they are tailored to the treatment, including the addition of drug within or on the bubble surface that can be released during sonication [86,148–150]. These microbubbles have also been shown to improve targeting by conjugating shells with peptides [151] or antibodies [86]. Oxsonics' SonoTran Particles are nanocups that were specifically engineered to be cavitation nuclei improving the effect of low-intensity focused ultrasound. Bing et al. have reported that the microbubble composition has an effect on both BBB opening and acoustic feedback through a passive cavitation detector [152]. Overall, there lacks cohesion in the current literature reporting of microbubble parameters, making it more difficult to correlate MB doses across studies. Dauba et al. recently published a review of contrast agents used

for BBB opening, where this issue was more clearly illustrated [153]. More work is necessary to correlate MB composition, microstructure, and physical properties (such as size, shell elasticity and viscosity, and surface architecture) to in vivo performance.

### 3.5. Discussion of limitations and advantages of preclinical FUS

The use of FUS and MBs to temporarily open the blood-brain barrier has been shown to be an effective way to noninvasively increase the effectiveness of systemic drug delivery to a small focal region of the brain. As with any technology, there are limitations and advantages to this drug delivery method. These fit within three categories: ultrasound, microbubbles, and overall pathophysiology of the model.

Focused ultrasound parameters are a vital part of completing BBB opening safely and effectively. As previously discussed, lower frequencies (0.2 to 2 MHz) are primarily used during BBB opening for two reasons. First, the penetration through the skull is more effective at lower frequencies as the ultrasound wavelength is on the same length scale as the skull thickness (1 mm). Lower frequencies have also been associated with less microvasculature damage [130]. Staying within the lower frequency range, the mechanical index is a predictive metric for BBB opening, where it was found that at 0.46 MI the probability of opening was 50% [127]. A challenge remains where ultrasound parameters cannot be solely investigated, as we must also account for tissue damage, which increases as a function of MI. One way to account for acoustic pressure and MI is the use of PCD feedback control during treatments.

Microbubble parameters are also important and highly modular. Microbubbles can be controlled by manipulating composition, size, concentration, and infusion rate. The microstructure and properties of MBs can also be influenced by their synthesis method, processing history, storage conditions, and handling. For example, Definity microbubbles showed a wide range of size distributions solely based on their activation temperature [154]. Microbubbles can also degrade over time, eventually losing concentration and leading to a shift in the size distribution [71].

It is also important to consider the anatomy and physiology of the animal being treated. Most studies, in particular those studying DIPG, target regions within the brainstem. These tumors can have different vasculature than healthy brain tissue. Although we consider the BBB to remain intact for DMG, its location (most commonly pons and thalamus) has different vasculature than other brain regions (cortex, cerebellum). Furthermore, small animal models do not fully replicate brain anatomy in humans and therefore need to be carefully considered as research moves from preclinical models to the clinic [155].

## 4. Conclusion

Diffuse intrinsic pontine glioma is a very troubling disease that has had very few treatment options. One of the major challenges is the blood-brain barrier. Focused ultrasound-mediated blood-brain barrier opening with microbubbles is a modern image-guided way to effectively deliver drugs to a mm-scale spot in the brain, with excellent accuracy, precision, and control. There have been few preclinical studies treating DIPG using MB + FUS, and more work is needed to better define microbubble and ultrasound parameters to more effectively and safely treat DIPG.

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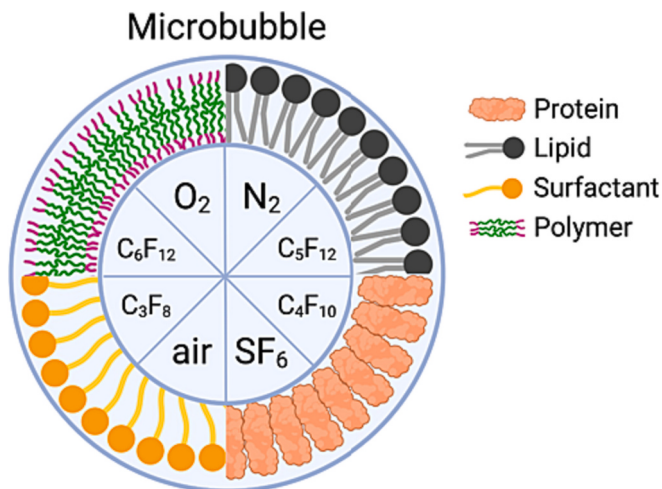


Fig. 2. Illustration of a variety of commonly used microbubble compositions.

## CRediT authorship contribution statement

**Payton Martinez:** Writing – original draft, Visualization, Investigation, Conceptualization. **Adam L. Green:** Writing – review & editing, Supervision. **Mark A. Borden:** Writing – review & editing, Supervision, Funding acquisition.

## Declaration of Competing Interest

The authors declare no conflict of interest.

## Data availability

No data was used for the research described in the article.

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