



Finding transition state and minimum energy path of bistable elastic continua through energy landscape explorations

Guangchao Wan^{a,b,1}, Samuel J. Avis^{c,1}, Zizheng Wang^d, Xueju Wang^{d,e,*},
Halim Kusumaatmaja^{c,*}, Teng Zhang^{a,b,*}

^a Department of Mechanical and Aerospace Engineering, Syracuse University, Syracuse, NY, 13244, USA

^b BioInspired Syracuse, Syracuse University, NY, 13244, USA

^c Department of Physics, Durham University, Durham, DH1 3LE, England, United Kingdom

^d Department of Materials Science and Engineering, University of Connecticut, Storrs, CT, 06269, USA

^e Institute of Materials Science, University of Connecticut, Storrs, CT, 06269, USA

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ABSTRACT

Mechanical bistable structures have two stable equilibria and can transit between them under external stimuli. Due to their unique behaviors such as snap-through and substantial shape changes, bistable structures exhibit unprecedented properties compared to conventional structures and thus have found applications in various fields such as soft robots, morphing wings and logic units. To quantitatively predict the performance of bistable structures in these applications, it is desirable to acquire information about the minimum energy barrier and an energy-efficient transition path between the two stable states. However, there is still a general lack of efficient methodologies to obtain this information, particularly for elastic continua with complicated, unintuitive transition paths. To overcome this challenge, here we integrate energy landscape exploration algorithms into finite element method (FEM). We first utilize the binary image transition state search (BITSS) method to identify the saddle point and then perform nudged elastic band (NEB) calculations with an initial guess based on the BITSS results to find the minimum energy path (MEP). This integrated strategy greatly helps the convergence of MEP calculations, which are highly nonlinear. Two representative cases are studied, including bistable buckled beams and a bistable unit of mechanical metamaterials, and the numerical results agree well with the previous works. Importantly, we numerically predict the complicated MEP of an asymmetric bistable unit of mechanical metamaterials and use experiments to demonstrate that following this MEP leads to successful transition between stable states while intuitive uniaxial compression fails to do so. Our work provides an effective numerical platform for identifying the minimum energy barrier and energy-efficient transition path of a bistable continuum, which can offer valuable guidance to the design of actuators, damping structures, energy harvesters, and mechanical metamaterials.

* Corresponding authors.

E-mail addresses: xueju.wang@uconn.edu (X. Wang), halim.kusumaatmaja@durham.ac.uk (H. Kusumaatmaja), tzhang48@syr.edu (T. Zhang).

¹ These authors contribute equally to this work.

1. Introduction

Mechanical bistable structures are abundant in biological and artificial systems. For instance, Venus flytrap snaps from an “open” to a “closed” state to capture the prey (Forterre et al., 2005). A thin spherical cap can stay stable if flipped over (Holmes and Crosby, 2007; Taffetani et al., 2018; Wan et al., 2021). A laminate composite can bend towards two opposite sides caused by differential thermal strain between fibers and matrix (Daynes et al., 2008). Slender elastic structures can exhibit more than one stable shape owing to specific geometry or connection (Huang et al., 2022; Yu et al., 2021; Lu et al., 2023b, 2023a). The existence of two stable equilibria and the ability to transit between them driven by external stimuli endow bistable structures with distinguished static or dynamic properties and therefore make them potential candidates in various applications. To name a few, bistable structures can transform into new stable shapes that require no continuing energy input to maintain, thus being promising for many applications including energy-efficient morphing wings in aircraft (Arrieta et al., 2014; Boston et al., 2022; Diaconu et al., 2008; Mattioni et al., 2008; Rivas-Padilla et al., 2023), switches (Gomez et al., 2017a; Hou et al., 2018), logic units (Jiang et al., 2019; Meng et al., 2021; Pal and Sitti, 2023; Yan et al., 2023), grippers (Li et al., 2021; Power et al., 2023; Zhang et al., 2022), robots (Li et al., 2021b), micro-electronics (Fu et al., 2018), origami-based structures (Dai et al., 2023; Faber et al., 2018; Fang et al., 2017; Li and Pellegrino, 2020; Liu et al., 2019; Lu et al., 2023c; Melancon et al., 2021; Silverberg et al., 2015; Yasuda and Yang, 2015) and valves (Preston et al., 2019; Qiao et al., 2021; Rothmund et al., 2018). By exploiting the conversion of stored potential energy to kinetic energy during snap-through instability, bistable designs increase the force output of actuators (Gorissen et al., 2020; Tian et al., 2021; Wang et al., 2023) and make unidirectional, self-supported wave propagation possible in mechanical metamaterials (Meaud and Che, 2017; Vasios et al., 2021; Xiu et al., 2022; Yasuda et al., 2020). In addition, when subjected to external impact, the transition from a lower energy state to the other stable state with higher energy can effectively absorb the energy of the impact, thereby reducing the peak force of the impact (Ghavidelnia et al., 2023; Restrepo et al., 2015; Shan et al., 2015). Furthermore, the inter-well vibration (periodic transition between two stable states) can broaden the working frequency of energy harvesters if bistable structures are combined with piezoelectric materials (Arrieta et al., 2013; Harne and Wang, 2013; Li et al., 2015).

To quantitatively evaluate the performance of bistable structures in these aforementioned applications, it is desired to survey their energy landscapes. Among various parameters, it is of particular importance to know the location of the saddle point and minimum energy path (MEP). Here, a saddle point, also known as transition state, is an equilibrium state that is unstable along certain directions (Wales, 2004). It is located at the “ridge” that separates two “valleys”, and these two “valleys” represent two locally stable states in the energy landscape. For the minimum energy path, it is a transition path that connects two stable states and passes through the saddle point. It is defined as the path whose tangent vector always keeps parallel to the gradient of the energy landscape and therefore serves as an energy-efficient transition route. Along this MEP, the saddle point corresponds to the highest energy state. However, this maximum energy along the MEP is lower than the highest energy along any other transition path. Therefore, the energy difference between the saddle point and locally stable state is the minimum energy barrier, which is the minimally required energy to complete the transition from one state to the other. In some mechanical systems (Kusumaatmaja and Majumdar, 2015; Taffetani et al., 2018), there could be more than one saddle point between two stable states. Therefore, multiple MEPs can exist, and each includes one saddle point and represents a locally energy efficient transition pathway.

The saddle point and the associated MEP that connects two stable states are paramount in estimating the performance of bistable structures in several aspects. For example, the minimum energy barrier can be used to characterize the stability and load-bearing capacity of each stable shape. The higher the barrier is, the more stable the state will be. Such a concept has already been adopted in analyzing the so-called “shock sensitivity” of a cylindrical shell subjected to buckling instability (Horák et al., 2006; Marthelot et al., 2017; Panter et al., 2019; Thompson et al., 2017; Viot et al., 2017). The calculated energy barrier also determines the energy output of actuators (Chi et al., 2022; Tang et al., 2020) and wave propagation speed in metamaterials (Deng et al., 2020; Jin et al., 2020; Vasios et al., 2021) when snap-through is utilized. In addition, the energy barrier decides the smallest amplitude of the external input to excite the inter-well vibration so that the bistable energy harvesters can have broadband collecting frequency (Arrieta et al., 2010; Liu et al., 2013; Pan et al., 2017). At the same time, since MEP always follows the gradient of the energy landscape, one could use its tangent vector to derive the necessary, general external force along the transition path, providing valuable guidance to shape transformation of bistable structures. Therefore, establishing a general and efficient method to search for the saddle point and MEP will be beneficial to not only academic interests but also practical purposes.

Intensive studies have been reported on the energy landscape analysis of bi-stable elastic structures. For example, arc-length methods have been widely used for snap-through instability analysis and shown to successfully capture the saddle point along certain chosen loading path and keep track of an unstable branch (Champneys et al., 2019; Crisfield, 1981; Eriksson, 1998; Groh et al., 2018; Liu et al., 2017; Liu et al., 2019; Riks, 1979; Wan et al., 2021). However, the path identified by this method is associated with the prescribed forms of external force and thus may not be the MEP. In recent years, there have been efforts in integrating numerical methods in the fields of physics and chemistry, traditionally used to study chemical reaction, phase transition, nucleation, and other rare events (Garrido Torres et al., 2019; Henkelman et al., 2000; Henkelman and Jónsson, 2000), into mechanical modeling to capture the saddle point and MEP. To name a few, Panter, et al. (Panter et al., 2019) combined the string method with the triangulated lattice model to search for the saddle point and the minimum energy path (MEP) of a cylindrical shell under axial loading to control its buckling behaviors. Zhou, et al. (Zhou et al., 2023) used the nudged elastic band (NEB) method together with the truss-based approach to find the efficient transition path of origami panels. Song, et al. (Song et al., 2023) employed the conjugate peak refinement method to search for the transition path of multi-stable tensegrity structures. Avis, et al. (Avis et al., 2022) developed a binary image transition state search (BITSS) algorithm to efficiently capture the saddle point of a buckling cylindrical shell based on the triangulated lattice model. This was initially used by Li et al. (2021a) who integrated this BITSS method together with the string method into a discretized

shell model to find the saddle point and MEP of a multi-stable ferromagnetic meso-structures. Although great process has been made, the previous works on the elastic continua mostly adopted certain mechanical models such as shell model that target slender structures, whereas the application of these energy landscape exploration methods on bistable elastic continua based on a more general mechanical framework has not been explored.

In this work, by integrating the binary image transition state search (BITSS) and nudged elastic band (NEB) method into finite element method (FEM) that is widely used for modeling elastic continua, we introduce a general numerical framework that can efficiently capture the saddle point and MEP of bistable elastic continua. Specifically, we first use the BITSS method that manipulates only two “images” to locate the saddle point. Here the term “image” refers to a full set of nodal spatial coordinates of the meshed elastic structures that satisfies the displacement boundary conditions. Based on the BITSS results, we then employ gradient descent method to generate an initial guess for the NEB method that can successfully find the minimum energy path (MEP). The combination of these two algorithms greatly improves the convergence of MEP calculations, which are highly nonlinear, with cheap computational cost. The proposed computational pipeline has been tested on two representative cases, which are bistable buckled beams and bistable units of mechanical metamaterials. Our results are in great agreement with experimental validations of bistable units of mechanical metamaterials and the previous works. It is worth pointing out, as will be presented later in this paper, that our methodology is capable of identifying asymmetric transition pathways that are difficult to achieve through intuition.

The rest of the paper is organized as follows. Section 2 presents BITSS and NEB algorithms using a 2D bistable von-Mises truss system as an example and briefly describes their integration into finite element method (FEM) based on 2D plane strain problems. The saddle point and MEP of a bistable buckled beam with two rotational ends are discussed in Section 3 in two scenarios: two stable states have the same or different elastic energy. Section 4 is dedicated to the analysis of the energy landscape of a bistable unit of mechanical metamaterials with a symmetric or asymmetric, unintuitive MEP. Finally, some concluding remarks are given in Section 5.

2. Illustrations of energy landscape exploration algorithms

We start with a simple bistable case, a 2D bistable von-Mises truss, to illustrate both BITSS and NEB algorithms. Such a bistable mechanism has been broadly employed in metamaterials (Chen et al., 2017; Shan et al., 2015), deployable structures in aerospace (Schioler and Pellegrino, 2007), etc. As shown in Fig. 1(a), the von-Mises truss consists of two rigid bars connected by a free hinge A. The two bars have lengths l_1 and l_2 , respectively. The other ends of the two bars are located at the same horizontal line (x-axis) and can

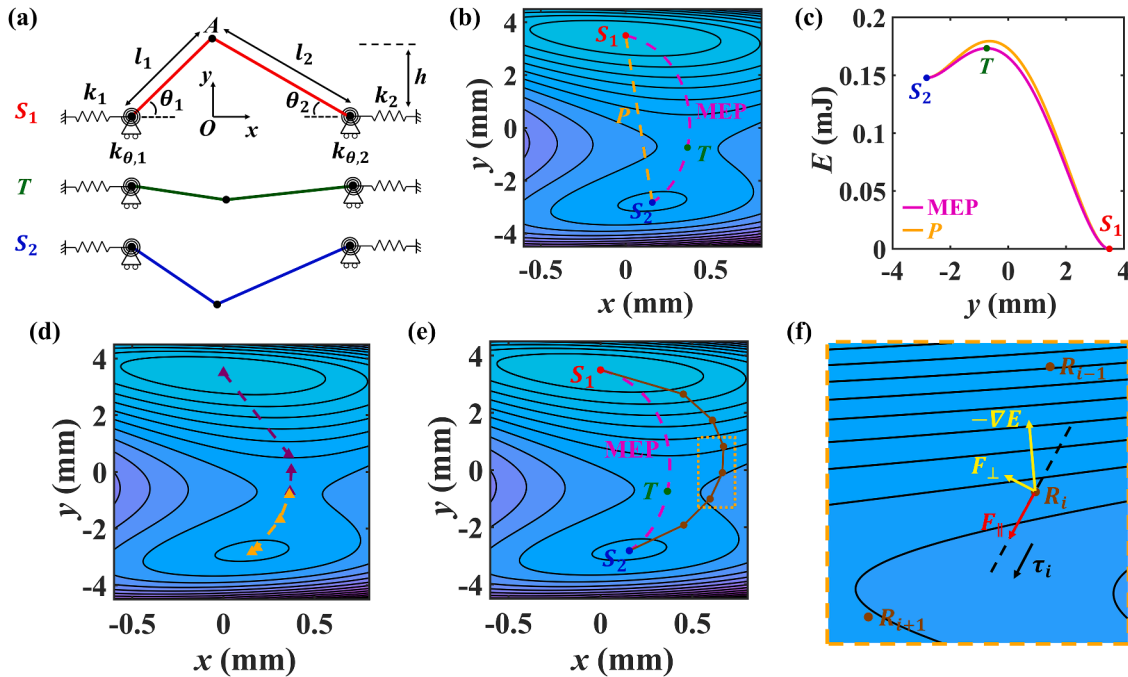


Fig. 1. (a) Schematic illustration of the two stable states S_1 and S_2 and the transition state T of a von-Mises truss. The parameters are chosen as $l_1 = 5$ mm, $l_2 = 7$ mm, $h = 3.5$ mm, $k_1 = 0.1$ N/mm, $k_2 = 0.08$ N/mm, $k_{\theta,1} = 0.1$ J/rad and $k_{\theta,2} = 0.08$ J/rad. (b) The energy landscape of the von-Mises truss. The red (S_1) and blue (S_2) circles represent the locations of the stable states. The green circle is the location of the saddle point (T). The dash pink curve is the MEP while the straight orange line is an indentation path. (c) Comparison of the energy variation along the MEP and the orange indentation line. (d) The iterative process of two images during the BITSS algorithm. Triangles represent the locations of the images while the dash line is for better visualization. (e) Illustrations of the string of images (brown circles) in the NEB method. (f) Zoom-in of three adjacent images R_{i-1} , R_i and R_{i+1} (brown) in (e), in which the tangent vector τ_i (black), the parallel and perpendicular components of the force $F_{||}$ (red) and $F_{\perp}$ (yellow) are illustrated.

only slide along the horizontal direction under the constraints of two linear springs with spring stiffnesses k_1 and k_2 and two torsional springs with stiffnesses $k_{\theta,1}$ and $k_{\theta,2}$. The rotational angles of the two bars compared to the horizontal direction are denoted as θ_1 and θ_2 . The system has two degrees of freedom (DoF), including the x - and y - position of the point A. Initially, all springs are at their rest and point A is located at $(0, h)$. In addition to this stress-free shape that is denoted as S_1 , the von-Mises truss has another self-stressed, inverted shape denoted as S_2 . The potential energy E and its gradient with respect to the DoF of the system have analytical expressions as

$$E = \frac{1}{2}k_1(x - l_1\cos\theta_1 + l_1\cos\theta_{1,r})^2 + \frac{1}{2}k_2(-x - l_2\cos\theta_2 + l_2\cos\theta_{2,r})^2 + \frac{1}{2}k_{\theta,1}(\theta_1 - \theta_{1,r})^2 + \frac{1}{2}k_{\theta,2}(\theta_2 - \theta_{2,r})^2 \quad (\text{Eq. 2.1})$$

$$\begin{aligned} \frac{\partial E}{\partial x} &= k_1(x - l_1\cos\theta_1 + l_1\cos\theta_{1,r}) + k_2(-x - l_2\cos\theta_2 + l_2\cos\theta_{2,r}) \\ \frac{\partial E}{\partial y} &= \frac{k_1(x - l_1\cos\theta_1 + l_1\cos\theta_{1,r})l_1\sin\theta_1}{\sqrt{l_1^2 - y^2}} + \frac{k_2(-x - l_2\cos\theta_2 + l_2\cos\theta_{2,r})l_2\sin\theta_2}{\sqrt{l_2^2 - y^2}} + \frac{k_{\theta,1}(\theta_1 - \theta_{1,r})}{\sqrt{l_1^2 - y^2}} + \frac{k_{\theta,2}(\theta_2 - \theta_{2,r})}{\sqrt{l_2^2 - y^2}} \end{aligned} \quad (\text{Eq. 2.2})$$

where $\theta_{1,r} = \arcsin(h/l_1)$ and $\theta_{2,r} = \arcsin(h/l_2)$ are the rotational angles of the two bars when the springs are at rest. In a specific case, we choose parameters as $l_1 = 5$ mm, $l_2 = 7$ mm, $h = 3.5$ mm, $k_1 = 0.1$ N/mm, $k_2 = 0.08$ N/mm, $k_{\theta,1} = 0.1$ J/rad and $k_{\theta,2} = 0.08$ J/rad and plot the contour of the energy landscape based on Eq. (2.1) & (2.2) (Fig. 1(b)). Two stable states have the elastic energy of $E_{S_1} = 0$ J and $E_{S_2} = 0.148$ J and sit at the bottom of the “valley”, separated by a mountain “ridge”. The minimum energy path (MEP) connects these two states and goes through the saddle point that is located between two “valleys”. The saddle point has an elastic energy of $E_T = 0.173$ J. The energy difference between the saddle point and the stable state is the minimum energy barrier that we seek, which is 0.025 J if the structure transits from the state S_2 to state S_1 . To highlight its importance, let us suppose a simple transition path that directly connects two stable states in the coordinate space (x, y) (line P, Fig. 1(b)), which can be realized by indentation in experiments. However, such a simple path will lead to a higher energy barrier as 0.032 J compared to MEP that goes through the saddle point T (Fig. 1(c)).

2.1. Binary image transition state search (BITSS) method

To efficiently capture the saddle point, we adopt the binary image transition state search (BITSS) method that has recently been introduced by Avis et al. (2022). They have shown that BITSS is more reliable compared to other bracketing methods, where two images are manipulated until they converge to the saddle point. Compared to double-ended chain-of-states methods that can require a chain of more than ten intermediate states, BITSS is computation- and memory-efficient since it only involves two images. In addition, BITSS is less sensitive to initialization while an appropriate interpolation is commonly needed as an initial pathway estimate for conventional double-ended algorithms. This is particularly an issue for elastic structures where interpolations can lead to a large amount of internal stress, which can cause numerical issues such as converging to an incorrect pathway or divergence. Therefore, for a bistable elastic body with a complicated transition path, BITSS can be a general and effective method to locate the saddle point. Additionally, the amenability of BITSS to adaptive remeshing could allow integration with commonly used adaptive finite element methods, however this will not be explored within this work.

Specifically, we study a mechanical system whose elastic energy E is a scalar function of n DoF as $E = E(X)$, in which $X = [x_1; x_2; \dots; x_n]$ is the n -dimensional space of the system. Particularly, in this study, the components of X take the Cartesian coordinates of $n/2$ nodes in the two-dimensional (2D) meshed structures. In this n -dimensional space X , the column vectors S_1 and S_2 represent the two stable states while the vector T represents the saddle point (transition state). BITSS is initiated with two images X_1 and X_2 sitting in two basins of attraction that are separated by a “ridge”. As mentioned, each image can represent an arbitrary state in the n -dimensional space as long as its components conform to the prescribed boundary conditions. These two images in the initial stage can be chosen as stable states S_1 and S_2 , but it is not necessarily required. To make the two images converge to the saddle point, BITSS minimizes the total energy of two images together with two constraints on the Euclidean distance between the two images and their energy difference. These constraints ensure that neither image can cross over the “ridge” and slide to one of the local minima. Thus, the total energy in BITSS algorithm is written as

$$E_{\text{BITSS}}(X_1, X_2) = E_1(X_1) + E_2(X_2) + k_e[E_1(X_1) - E_2(X_2)]^2 + k_d[d(X_1, X_2) - d_i]^2 \quad (\text{Eq. 2.3})$$

where E_1 and E_2 are the elastic energy of two states and k_e and k_d are spring stiffness that measures the strengths of distance and energy difference constraints, d is the Euclidean distance between two images $d = \|X_1 - X_2\| = \sqrt{(X_1 - X_2) \cdot (X_1 - X_2)}$ and d_i is constrained distance specified at the i -th iteration. This constrained distance is incrementally reduced as $d_i = (1 - f)d_{i-1}$, in which $0 < f < 1$ is the reduction factor.

In Eq. (2.3), the BITSS energy E_{BITSS} is a scalar function of two images X_1 and X_2 . Therefore, its DoF is twice of the system's DoF. At each iteration, under the specified constrained distance d_i , we minimize the BITSS energy E_{BITSS} through finding the zeros of its gradient ∇E_{BITSS} regarding the column vector $[X_1; X_2] = [x_{1,1}; x_{1,2}; \dots; x_{1,n}; x_{2,1}; x_{2,2}; \dots; x_{2,n}]$ where $x_{i,j}$ is the j -th DoF of the i -th image as

$$\nabla E_{\text{BITSS}} = \nabla E_1 + \nabla E_2 + 2k_e(E_1 - E_2)(\nabla E_1 - \nabla E_2) + 2k_d(d - d_i)\nabla d \quad (\text{Eq. 2.4})$$

Specifically, we resort to the root-finding function *fsolve* in MATLAB to calculate the zeros of the gradient ∇E_{BITSS} . During the

minimization, it is desired that the magnitude of each term in Eq. (2.4) is relatively the same to guarantee a successful search. To do that, we keep updating the values of k_e and k_d every three callings of the BITSS energy E_{BITSS} based on the following formula (Avis et al., 2022)

$$k_e = \frac{\alpha}{2E_B} \quad (\text{Eq. 2.5})$$

$$k_d = \max\left(\frac{\sqrt{\|\nabla E_1\|^2 + \|\nabla E_2\|^2}}{2\sqrt{2}\beta d_i}, \frac{E_B}{\beta d_i^2}\right) \quad (\text{Eq. 2.6})$$

where E_B is the estimated energy barrier between two images and α and β are two parameters. The estimated energy barrier E_B is calculated as the difference between the highest energy along a linear interpolation of two images and the average energy of two images. It is worth mentioning here that for mechanical systems with multiple saddle points, minimization of the BITSS energy E_{BITSS} typically reaches the saddle point with the lowest energy barrier because the expression (Eq. (2.3)) contains the energies of two images.

For the bistable von-Mises truss, the two images are initiated at the two stable states, and the parameters are set as $f = 0.5$, $\alpha = 10$ and $\beta = 0.1$, as recommended by previous work (Avis et al., 2022). However, as will be shown later, it may be necessary to tune the α and β parameters to prohibit the image initiated in the valley with higher energy (hence lower energy barrier) from crossing over the ridge. As shown in Fig. 1(d) for the bistable von-Mises truss, the two BITSS images gradually approach each other and successfully converge to the saddle point. It is worth mentioning that in this case the trajectories of two images during iterations are close to the MEP, however, it is not a general behavior for most cases (Avis et al., 2022), as will be shown later in Fig. 8(d). Therefore, it is necessary to employ a new numerical algorithm to find the MEP.

2.2. Nudged elastic band (NEB) method

Once we successfully pinpoint the saddle point, we employ the nudged elastic band (NEB) algorithm to search the MEP accordingly. NEB is a popular two-ended method that has been widely used in calculating diffusion processes, dislocations, solid-solid transformations and chemical reactions (Ásgeirsson et al., 2021; Bohner et al., 2014; Chen et al., 2019; Garrido Torres et al., 2019; Ghasemi et al., 2019; Ghasemi and Gao, 2020; Kolsbjerg et al., 2016; Rao et al., 2011; Sheppard et al., 2012; Si et al., 2023; Sobie et al., 2017; Xie et al., 2004). Here we give a brief review of the NEB algorithm and refer readers to previous works for the details of the method (Henkelman et al., 2000; Henkelman and Jónsson, 2000; Trygubenko and Wales, 2004). In the NEB algorithm, a string of N images $\{\mathbf{R}_1; \mathbf{R}_2; \dots; \mathbf{R}_N\}$, in which the column vector $\mathbf{R}_i$ denotes to the i -th image with n DoF, is used to represent the transition path in a discretized manner, and the two stable states are always the first and last images $\mathbf{R}_1$ and $\mathbf{R}_N$, respectively. Meanwhile, the rest images are allowed to move. Each image is connected to its adjacent two images through an elastic band with spring stiffness k_{NEB} . As the NEB algorithm advances, these N images are gradually relaxed from the initial interpolation between two stable states to the MEP through reducing the exerted force of each image to zero. On the i -th image $\mathbf{R}_i$, the exerted force can be divided into a parallel and perpendicular component based on the tangent direction of this image $\boldsymbol{\tau}_i$, as shown in Fig. 1(f). Along the parallel direction, the force is provided by the elastic band with the equation

$$F_{\parallel} = k_{NEB} (\|\mathbf{R}_i - \mathbf{R}_{i-1}\| - \|\mathbf{R}_{i+1} - \mathbf{R}_i\|) \quad (\text{Eq. 2.7})$$

When the parallel force $F_{\parallel}$ is reduced to zero, it guarantees that the images are distributed uniformly along the transition path to well represent the MEP. Here, we further utilize the image climbing technique on the image with the highest energy along the string $\mathbf{R}_{i_{\max}}$ (Henkelman et al., 2000) to capture the saddle point and compare it to the BITSS results for consistency. For this image $\mathbf{R}_{i_{\max}}$, its parallel force takes the form of the inverted parallel component of the energy landscape gradient as $-\nabla E(\mathbf{R}_{i_{\max}}) + 2[\nabla E(\mathbf{R}_{i_{\max}}) \cdot \boldsymbol{\tau}_{i_{\max}}]\boldsymbol{\tau}_{i_{\max}}$ instead of Eq. (2.7). Driven by this force, this image will climb uphill to find the saddle point.

For the perpendicular component $F_{\perp}$, it comes from the projection of the force $-\nabla E(\mathbf{R}_i)$ along the direction perpendicular to the tangent vector $\boldsymbol{\tau}_i$ as $-\nabla E(\mathbf{R}_i) + [\nabla E(\mathbf{R}_i) \cdot \boldsymbol{\tau}_i]\boldsymbol{\tau}_i$ (Fig. 1(f)). Since the MEP always follows the energy landscape gradient, the reduction of the perpendicular component $F_{\perp}$ to zero ensures that the string of images converges to the MEP. To incrementally decrease the force magnitude, we utilize the *fsolve* function in MATLAB.

The implementation of NEB relies on an accurate estimation of the tangent vector. Here, for the i -th image $\mathbf{R}_i$, its tangent vector $\boldsymbol{\tau}_i$ is estimated based on its two adjacent images as

$$\begin{aligned} \mathbf{t}_i &= \frac{\mathbf{R}_i - \mathbf{R}_{i-1}}{\|\mathbf{R}_i - \mathbf{R}_{i-1}\|} + \frac{\mathbf{R}_{i+1} - \mathbf{R}_i}{\|\mathbf{R}_{i+1} - \mathbf{R}_i\|} \\ \boldsymbol{\tau}_i &= \frac{\mathbf{t}_i}{\|\mathbf{t}_i\|} \end{aligned} \quad (\text{Eq. 2.8})$$

Though powerful, the NEB method may have difficulty in converging especially when the energy landscape is complicated. The convergence of the NEB calculation is sensitive to the initial guess of the string of the images. To resolve this issue, we utilize the saddle point calculated from BITSS to provide a good initialization (Avis et al., 2022; Li et al., 2021a). Specifically, we apply the gradient descent (GD) algorithm twice. Each GD algorithm begins with one image from the BITSS results. As a result, the “slide-down” from the saddle point to both stable states can be captured, and the iterative results during the GD algorithm are chosen as the initial guess for the NEB method. Following this initialization strategy and choosing the parameters as $N = 12$ and $k_{NEB} = 0.1$ N/mm, we successfully

capture the MEP of the bistable von-Mises truss as shown in Fig. 1(e), in which the continuous MEP is obtained through the spline-interpolation of the images.

2.3. Integration of BITSS and NEB into FEM

The proposed computational framework has been verified in a simple bistable case with two degrees of freedom (DoF). To demonstrate its capability in capturing the saddle point and MEP in elastic continua with large numbers of DoF, we integrate BITSS and NEB algorithms into finite element method (FEM) that is broadly adopted to model the elastic behaviors of solids. For simplicity, we focus on 2D plane strain problems in which the elastic domain is discretized into linear triangular meshes. In FEM, the DoF are nodal spatial coordinates of triangular meshes. For a total number of nodes n_{FEM} , we assemble the nodal coordinates into a $2n_{FEM}$ multi-dimensional image $\mathbf{X}$ as $\mathbf{X} = [\bar{x}_1; \bar{y}_1; \bar{x}_2; \bar{y}_2; \dots; \bar{x}_{n_{FEM}}; \bar{y}_{n_{FEM}}]$ where $\bar{x}_i$ and $\bar{y}_i$ are the x - and y -coordinate of the i -th node. For the material property, we assign a hyper-elastic model with its strain energy density U written as

$$U = \frac{1}{2}\mu[\text{tr}(\mathbf{F}^T \mathbf{F}) - 2] - \mu \ln[\det(\mathbf{F})] + \frac{1}{2}\lambda\{\ln[\det(\mathbf{F})]\}^2 \quad (\text{Eq. 2.9})$$

where μ and λ are the shear modulus and Lamé constant, respectively, and $\mathbf{F}$ is the deformation gradient tensor in plane strain situations. By integrating the strain energy density over one element and assembling the strain energy of all elements together, one can calculate the total elastic energy of the structure $E(\mathbf{X})$ and its gradient $\nabla E(\mathbf{X})$ with respect to the image $\mathbf{X}$, which are necessary input for BITSS and NEB algorithms. For prescribed boundary conditions, special treatment is required for the nodes at these boundaries. Here, for simplicity, we only focus on the displacement boundary conditions. For nodal coordinates that are constrained, the components of the force $-\nabla E$ along these DoF are assigned to be zeros so that these nodes do not move along constrained directions as the algorithms proceed.

3. Bistable buckled beam with clamped rotational ends

In this section, we utilize a bistable buckled beam with two rotational ends to demonstrate the capability of the introduced numerical platform. Extensive studies have been performed to investigate the mechanical behaviors of this bistable system such as its static behaviors and dynamic snap-through. G. Wan, et al. established the stability diagram of the bistable buckled beam in terms of the rotational angles of two clamped ends (Wan et al., 2019). Gomez, et al. studied the effect of the clamped angles on the bifurcation type of bistable beams and demonstrated the existence of the critical slowing down phenomenon during the dynamic snap-through when the buckled beam undergoes saddle-node bifurcation (Gomez et al., 2017b). Sano, et al. investigated the bistability of the buckled beam with frictional contact (Sano et al., 2017) or pinned boundary condition (Sano and Wada, 2018). Abbasi, et al. employed arc-length method to study the transition path of a bistable buckled beam that responds to magnetic fields (Abbasi et al., 2023). Wiebe and Virgin utilized the dynamic transition between two stable states to capture the saddle point (Wiebe and Virgin, 2016). B. Radisson, et al. examined the dynamic snap-through under symmetric boundary condition (Radisson and Kanso, 2023). By controlling the shape transition through external stimuli such as magnetic fields, bistable beams can be applied as smart switches in electric circuits (Hou et al., 2018) or logic units for information operation (Pal and Sitti, 2023). The results based on these previous investigations make the bistable buckled beam an ideal candidate to verify our computational methods. Although existing beam theory (Liu et al., 2021; Zhang et al., 2020) or discrete elastic rod method (Huang et al., 2023) can accurately predict behaviors of buckled beams, we use FEM in the current paper since it can work for general elastic solids. The integration of BITSS and NEB algorithms into these beam models will be explored in future studies.

As schematically shown in Fig. 2(a), a straight beam with two clamped ends has its thickness $t = 2$ mm and original length $L = 100$ mm. The parameters of the material property are chosen to be $\mu = 1$ MPa, $\lambda = 3$ MPa. The geometric domain is discretized into triangular meshes. The mesh sizes are 1 mm and 0.5 mm along the length and thickness direction of the beam, respectively. After an

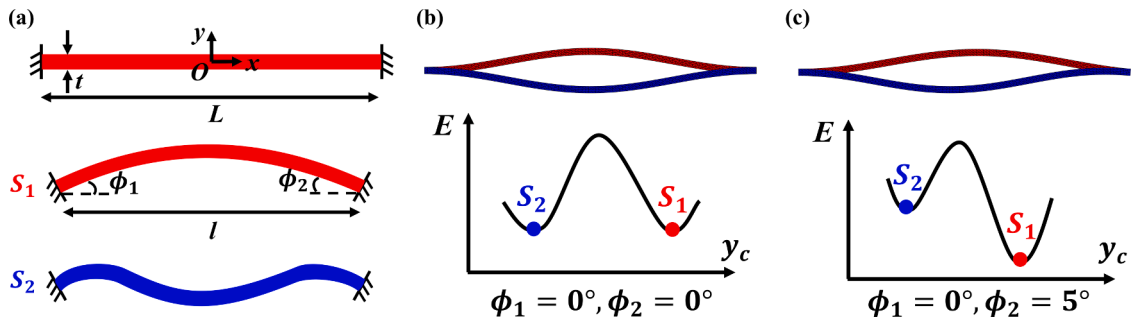


Fig. 2. (a) Schematic illustration of the geometry of a buckled beam and two stable shapes that bend either upwards (S_1 , red) or downwards (S_2 , blue). (b) Mirror symmetry of two stable shapes and the corresponding energy landscape when two ends do not rotate ($\phi_1 = 0^\circ, \phi_2 = 0^\circ$). (c) Two stable shapes of the buckled beam with asymmetric energy landscape when one end rotates counterclockwise ($\phi_1 = 0^\circ, \phi_2 = 5^\circ$). In (b) and (c), the red color denotes to S_1 while the blue denotes to S_2 .

axial compression, the distance between two ends becomes l , and the beam becomes bistable by buckling either upwards (S_1) or downwards (S_2). The compressive strain is defined as $\varepsilon_c = (L - l)/L$. It is the well-known Euler buckling instability. To facilitate the analysis, we build a Cartesian coordinate whose origin is located at the center of the un-deformed beam and the x -axis is parallel to the centerline of the un-deformed beam.

If the two clamped ends do not rotate after the compression ($\phi_1 = 0^\circ, \phi_2 = 0^\circ$), the two buckled shapes are mirror symmetry to each other with respect to the x -axis (Fig. 2(b)). In addition, if we plot the elastic energy E of the beam versus the y -coordinate of the beam's center y_c along the MEP, the energy landscape also contains mirror symmetry and the two shapes have the same elastic energy, since two buckling directions are equally preferred (Fig. 2(b)). In this situation, the energy landscape is termed as symmetric.

To control the bistable behaviors and energy landscape of the buckled beam, one can rotate these clamped ends (Wan et al., 2019). For instance, as shown in Fig. 2(c), by rotating the right end clockwise and keeping the left end unchanged ($\phi_1 = 0^\circ, \phi_2 = 5^\circ$), the elastic energy of the upwards shape (S_1) decreases while the energy of the downwards shape (S_2) increases, breaking the mirror symmetry of both configurations and energy landscape. Due to the end rotation, the state S_1 is energetically preferred with a lower energy level and a higher energy barrier while the state S_2 becomes “metastable” with a higher energy level and a lower energy barrier. Accordingly, the energy landscape becomes asymmetric. Both symmetric and asymmetric energy landscapes will be examined through our exploration algorithms.

3.1. Symmetric energy landscape

3.1.1. Asymmetric transition path

We first explore the energy landscape of a bistable buckled beam when its two ends do not rotate after compression. The compressive strain ε_c is set as 1%. In this situation, the two stable shapes are symmetric with respect to both x - and y -axes. To pinpoint the saddle point, the BITSS algorithm is first employed whose initiation is chosen as the two stable states. In the simulation, we set the distance rescaling parameter $f = 5\%$ and the algorithm stops when the distance between two images d is below 5% of its initial value. The values of two parameters α and β are chosen as $\alpha = 10, \beta = 0.1$.

The shapes of two images as the algorithm proceeds are shown in Fig. 3(a), in which the solid red and blue beams represent the two stable states S_1 and S_2 while the purple and orange shapes with meshes are two images during this iterative process. Initially, the two images hold the mirror symmetry as the measured distance between two images is close to the distance between two stable states after five iterations. However, as the iteration continues and the distance between the two images is shortened, the mirror symmetry is broken, and the two images become sinusoidal. This behavior agrees with the previous experimental and numerical efforts on point

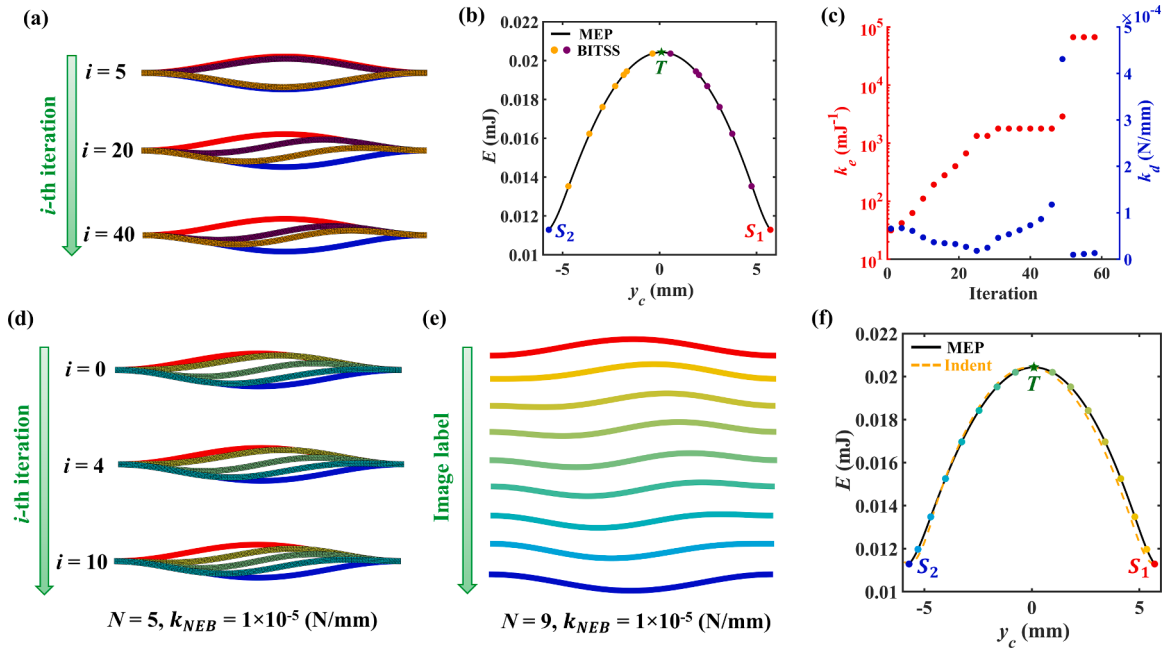


Fig. 3. The asymmetric transition path for a buckled beam with $\varepsilon_c = 1\%$, $\phi_1 = \phi_2 = 0^\circ$. (a) The shapes of the two images during iterations in the BITSS method. (b) The variation of the energy of the two images every five iterations in the BITSS method. (c) The variation of the spring stiffness k_e and k_d in the BITSS method every three iterations. (d) The shapes of five images during iterations in the NEB method with spring stiffness $k_{NEB} = 1 \times 10^{-5}$ N/mm. (e) The shapes of 9 images when the NEB method converges, different colors are assigned for images for better visualization. (f) The MEP based on a spline-interpolation of 17 images from the NEB results in terms of y_c and E . In all figures, the red and blue color represent S_1 and S_2 , respectively. The green asterisk is the saddle point by averaging the two images of the last iteration in the BITSS method. The purple and orange color denote to the two images in the BITSS method. The black curve is the MEP, and the orange dash line is from mechanical indentation.

indentations of a buckled beam (Harvey and Virgin, 2015). In the end, the distance between two images will be below 5% of the initial distance and we take the average of the two images as approximation of the saddle point. The saddle point takes a sinusoidal shape with its center located at (0,0). Intuitively, the system is invariant under the mirror reflection based on y -axis, suggesting that there should be another saddle point that is symmetric to the current one regarding y -axis. However, the current triangular mesh introduces numerical bias to the ideally mirror symmetry so that two images do not converge to the other saddle point. To converge to such a saddle point, one could simply reverse the stacking direction of triangular meshes.

In addition to shapes, we also show the variation of the energy of two images during the iterative process and compare them with the true MEP. The MEP is obtained from NEB results with more than ten images (see below). Specifically, we choose the y -coordinate of the beam's center y_c and the total elastic energy of the beam E to present the iterative results. As shown in Fig. 3(b), two images gradually climb uphill in the energy landscape and approach the saddle point as the BITSS algorithm proceeds. By averaging two images in the last step, we find the saddle point (green asterisk) at the highest location along the MEP, demonstrating the accuracy of the BITSS method. In Fig. 3(c), we output the variation of two spring stiffness values k_e and k_d in the BITSS method as the iteration proceeds to better understand the BITSS method. As the algorithm continues, k_e keeps increasing and spans multiple orders of magnitude since the estimated energy barrier between two images becomes smaller when the two images approach the saddle point (Eq. (2.5)). At the same time, k_d undergoes a non-monotonic change within the same order of magnitude, because the energy gradient also lowers as the distance between two images decreases, effectively cancelling out changes to k_d (Eq. (2.6)).

After finding the saddle point using the BITSS method, we apply the gradient descent (GD) algorithm to initiate the NEB calculation. To accelerate the calculation, we start with a low number of images ($N = 5$) and the spring stiffness k_{NEB} is set as $k_{NEB} = 1 \times 10^{-5}$ N/mm. As shown in Fig. 3(d), the string of five images in NEB gradually moves and converges to the MEP. We then increase the number of images by linearly interpolating the current results as the initial guess for NEB calculation. Specifically, we add a new image between each pair of adjacent images, and the value of this new image is the average of these two existing images. For instance, using NEB results with 5 images, we can add 4 more new images and construct an initial guess for NEB algorithm with 9 images based on this interpolation technique, and the results are shown in Fig. 3(e), where the images are sequentially arranged from top (S_1) to bottom (S_2). Following the MEP, the bistable buckled beam breaks its mirror symmetry and becomes sinusoidal, similar to the point indentation process (Harvey and Virgin, 2015). Finally, we increase the number of images N to 17 by constructing the initial guess through the same interpolation method and display the MEP in terms of the y -coordinate of the beam's center y_c and the elastic energy E in

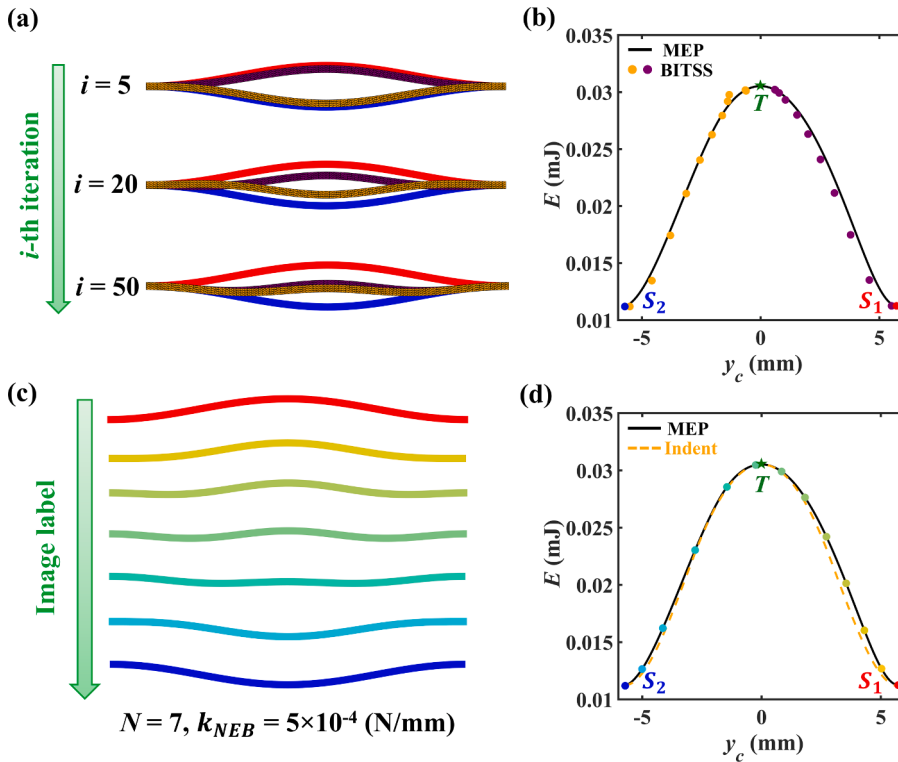


Fig. 4. The symmetric transition path for a buckled beam with $\epsilon_c = 1\%$, $\phi_1 = \phi_2 = 0^\circ$. (a) The shapes of the two images during iterations in the BITSS method. (b) The variation of the energy E of two images every five iterations in the BITSS method. (c) The shapes of 7 images in the NEB method with spring stiffness $k_{NEB} = 5 \times 10^{-4}$ N/mm, different colors are assigned for images for better visualization. (d) The MEP based on a spline-interpolation of 13 images (circles) from the NEB results. In all figures, the red and blue color represent S_1 and S_2 , respectively. The green asterisk is the saddle point by averaging the two images of the last iteration in the BITSS method. The purple and orange color denote to the two images in the BITSS method. The black curve is the MEP, and the orange dash line is from mechanical indentation.

Fig. 3(f). The image with the highest energy overlaps with the saddle point from the BITSS calculation, suggesting that the NEB and BITSS algorithms converge to the same saddle point. Apart from the MEP, we also apply a mechanical indentation on the beam's center through displacement control and compare it with the MEP. As shown in Fig. 3f, the indentation passes through the saddle point, yet it is slightly different from the MEP. Based on the obtained MEP, the stable states S_1 and S_2 have the same energy of $E_{S_1} = E_{S_2} = 0.0113$ mJ while the saddle point T has the energy of $E_T = 0.0204$ mJ, leading to an energy barrier of 0.0091 mJ.

3.1.2. Symmetric transition path

Apart from the asymmetric transition path in which the buckled beam becomes sinusoidal, the buckled beam can also follow a symmetric transition path passing through a saddle point with symmetric configuration. Such a symmetric transition path can be revealed in experiments by indenting a clamped beam with symmetric constraint (Neville et al., 2020, 2018) or with axial strain that is close to the Euler's buckling strain (Pandey et al., 2014). To prove the versatility of the proposed numerical framework, we also capture this symmetric transition path. In this situation, this symmetric saddle point contains higher elastic energy than the sinusoidal saddle point and hence a direct implementation of BITSS algorithm does not find such a symmetric configuration. Therefore, we prevent the middle section of the beam ($x = 0$) from any displacement along x -direction to force the beam to stay in mirror symmetry with respect to y -axis during the transition.

Under the symmetric constraint, the iterative process of BITSS is shown in Fig. 4(a). Initially, as the distance between two images decreases, two images contain mirror symmetry to each other regarding the x -axis. However, near the end of the iterations, the image that starts from the state S_2 (bottom, orange) flips from bending downwards to upwards. This change is also clearly shown in the energy variation of two images during iterations. As shown in Fig. 4(b), when two images get close to the saddle point (green asterisk), one image deviates from the MEP slightly while the other image still follows the MEP as the iteration proceeds. This behavior is caused by the fact that the saddle point in this situation is cosine-like rather than being straight – the beam favors bending instead of an axial compression to lower its elastic energy even under the symmetric constraint (Fig. 4(a)). Therefore, the mirror symmetry between two images with respect to the x -axis initially needs to be broken near the end of the iterations.

The NEB results with 7 images are shown in Fig. 4(c). Along the MEP from the stable shape that bends upwards (S_1 , red) to downwards (S_2 , blue), the buckled beam keeps its bending direction in its middle part yet flips its bending direction near two ends

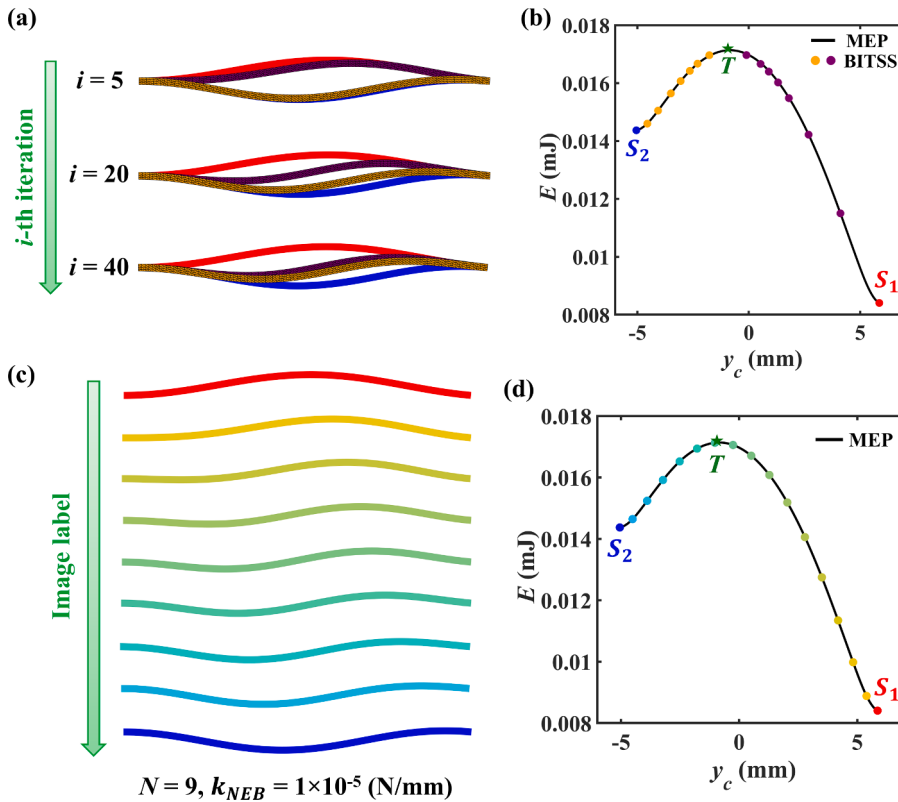


Fig. 5. The transition path for a buckled beam with $\varepsilon_c = 1\%$, $\phi_1 = 0^\circ$, $\phi_2 = 5^\circ$ (a) The shapes of the two images during iterations in the BITSS method. (b) The variation of the energy E of two images every five iterations in the BITSS method. (c) The shapes of 9 images in the NEB method with spring stiffness $k_{NEB} = 1 \times 10^{-5}$ N/mm, different colors are assigned for images for better visualization. (d) The MEP based on a spline-interpolation of 17 images (circles) from the NEB results. In all figures, the red and blue color represent S_1 and S_2 , respectively. The purple and orange color denote to the two images in the BITSS method. The green asterisk is the saddle point by averaging the two images of the last iteration in the BITSS method, and the black curve is the MEP.

before reaching the saddle point. However, after passing the saddle point, the buckled beam begins to flip the curving direction in this middle part to release the elastic energy until it meets another stable shape (S_2). By linearly interpolating these 7 images to 13 images through the same technique and using them as initial guess for NEB, we can capture the MEP more accurately by increasing the image's number along this pathway. As shown in Fig. 4(d), the MEP with 13 images is plotted in terms of y_c and E , which is also close to the indentation through displacement control. Based on this result, the energy barrier of this symmetric transition path is 0.0193 mJ, which is higher than that of the asymmetric transition path.

3.2. Asymmetric energy landscape

The two stable states of a buckled beam can have different elastic energies if we rotate one clamped end. Here we study a representative case where the left end of the beam does not rotate $\phi_1 = 0^\circ$ while the right end rotates clockwise with $\phi_1 = 5^\circ$. The compressive strain ϵ_c is also set as 1%. Two asymmetric stable buckling configurations can be identified, which are shown in red and blue in Fig. 5(a).

The iterations of the BITSS method are shown in Fig. 5(a). In this case, the mirror symmetry is lost for both stable states, and there is only one sinusoidal saddle point that exists – its mirror reflection disappears when the boundary symmetry is lost. The energy variations of two images during the iterations are shown in Fig. 5(b) together with the MEP in terms of y_c and E . The MEP is now asymmetric with the state S_1 containing lower elastic energy and a higher energy barrier while the state S_2 having higher elastic energy and a lower energy barrier. Accordingly, the image that starts from S_1 has larger change between adjacent iterations compared to the other image, and two images successfully converge to the saddle point. The NEB results based on 9 images are displayed in Fig. 5(c) to illustrate the shape change of the buckled beam along the MEP of this asymmetric energy landscape. Starting from the state S_1 , the deflection occurs mainly near the left end when approaching the saddle point. After reaching the saddle point, the buckled beam deflects downwards near its right end to decrease the elastic energy until it becomes the other stable shape (S_2). Apart from the shape change, the energy variation of the buckled beam along the MEP is shown in Fig. 5(d) based on the NEB calculation with 17 images. The stable state S_1 has elastic energy of $E_{S_1} = 0.0084$ mJ while the stable state S_2 has energy of $E_{S_2} = 0.0144$ mJ. The saddle point has an energy of $E_T = 0.0171$ mJ, leading to an energy barrier of 0.0087 mJ for S_1 and 0.0027 mJ for S_2 .

We would like to point out here that, for asymmetric energy landscape where the two stable states have different energies and thus energy barriers, the parameters α and β used in the BITSS algorithm sometimes need to be adjusted so that two images can converge to the saddle point. The recommended values $\alpha = 10$, $\beta = 0.1$ work well for many cases as demonstrated by the previous work (Avis et al., 2022) and all examples mentioned above. However, when the difference between energy barriers becomes significant, the image that

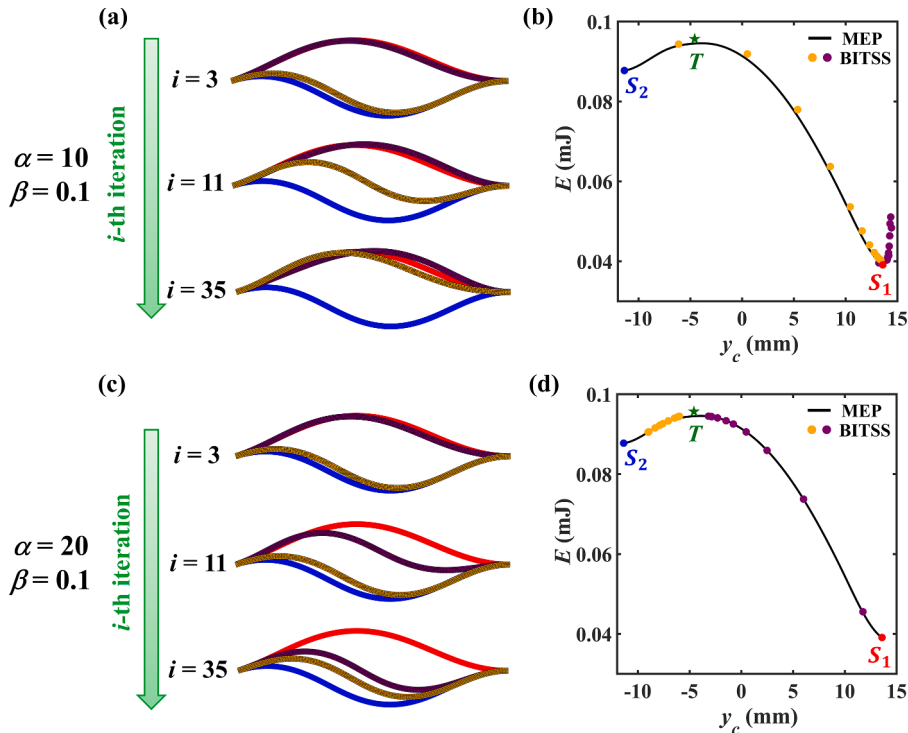


Fig. 6. The transition path for a buckled beam with $\epsilon_c = 5\%$, $\phi_1 = 17^\circ$, $\phi_2 = 0^\circ$. The shapes and energy of the two images during iterations in the BITSS method are shown in (a) and (b) when $\alpha = 10$, $\beta = 0.1$, in (c) and (d) when $\alpha = 20$, $\beta = 0.1$, respectively. In all figures, the red and blue colors denote to S_1 and S_2 states while the purple and orange colors denote to two images in the BITSS method, respectively. The black curve is the MEP, and the green asterisk is the saddle point captured by the BITSS method.

initiates from the meta-stable state will cross over the energy barrier if these recommended values are chosen. For instance, for the same straight beam, when we axially compress it with strain $\varepsilon_c = 5\%$ and rotate its left end clockwise with $\phi_1 = 17^\circ$ (Fig. 6(a)), its two stable states have different energy levels of $E_{S_1} = 0.0391$ mJ and $E_{S_2} = 0.0878$ mJ. In this case, the captured saddle point through a successful implementation of the BITSS method has energy of $E_T = 0.0957$ mJ. Here the energy barriers for the states S_1 and S_2 are 0.0566 mJ and 0.0079 mJ, respectively, and the difference in energy barriers is 0.0487 mJ, which is 7 times higher than that of the previous example (0.006 mJ). As shown in Fig. 6(a)-(b), the image that starts from S_2 will get over the energy barrier and converge to the stable state S_1 with the other image under the recommended values of α and β in the BITSS algorithm.

To resolve this issue, we increase the magnitude of the energy penalty term $k_e(E_1 - E_2)^2$ in the total energy in BITSS to ensure that the two images are located at different sides of the saddle point during iterations. Since the spring stiffness k_e is linearly related to the parameter α (Eq. (2.4)), we choose a larger value as $\alpha = 20$ instead of the recommended value. As shown in Fig. 6(c)-(d), with the adjusted parameters, the two images stay at two sides of the saddle point as the BITSS algorithm proceeds and converge to the saddle point in the end, suggesting that this parameter adjustment technique can be utilized in the future if one image slides off the “ridge”. It is also worth noting that, while the BITSS parameters may need some adjustments depending on the systems of interest, the method itself is robust. Typically, a reasonable range of choices for the parameter values will converge to the same result.

4. Bistable unit of mechanical metamaterials

In this section, we focus on another type of 2D bistable structure that features two tilted straight beams with clamped ends (Fig. 7(a)). In addition to the stress-free configuration (S_1), this structure has another stable shape when two beams are compressed and sheared at one end (S_2 , Fig. 7(a)), which can be obtained through a vertical indentation of the structure's top edge. Such a bistable design serves as a building unit for multi-stable mechanical metamaterials that have very promising potentials in applications of impact absorption (Shan et al., 2015), logic operation (Jiang et al., 2019; Wu and Pasini, 2023), etc., and the information of energy barrier is significant in determining the performance of metamaterials in these applications. Therefore, we employ the BITSS and NEB algorithms to seek the saddle point and MEP of this type of structure. The previous study has demonstrated that the bistable behavior depends heavily on the geometry (Shan et al., 2015), and here we investigate two situations with qualitatively different geometric features. In the first situation, the two elastic beams have the same geometric parameters including the thickness w and the tilting angle ψ . As a result, the structure contains mirror symmetry, and the two beams are under the same deformation along the MEP, similar to the indentation process in the previous report (Shan et al., 2015). In the second situation, we break the mirror symmetry by assigning two beams with different width and tilting angles. This situation has a complicated energy landscape and an unintuitive transition path that will be shown later, which cannot simply be reproduced through the conventional mechanical indentation.

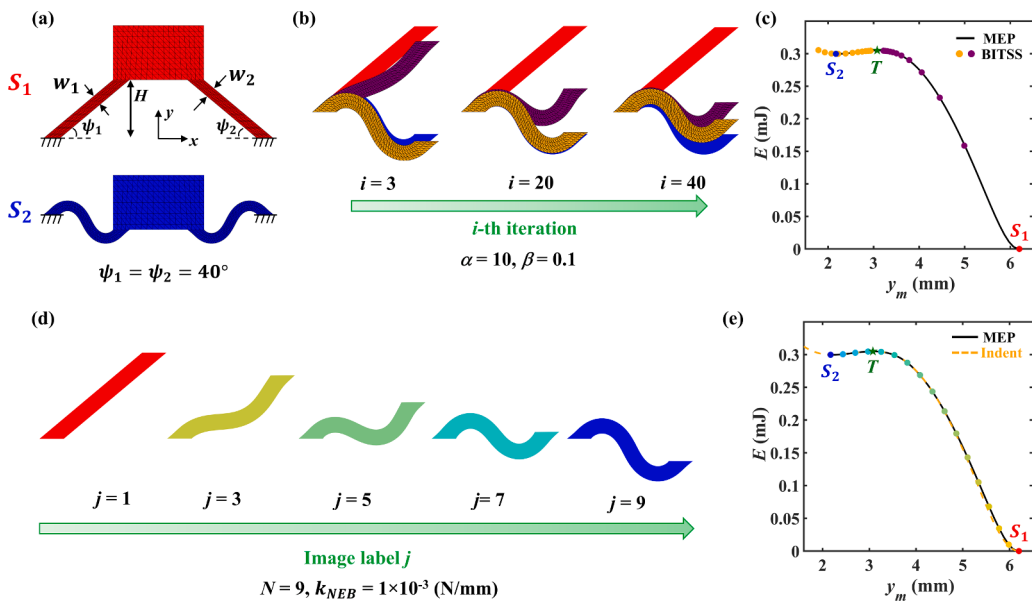


Fig. 7. The symmetric transition path for a bistable unit. (a) Illustration of the geometric parameters and two stable shapes of a bistable unit with symmetric geometry ($\psi_1 = 40^\circ$, $\psi_2 = 40^\circ$). (b) The shapes of one tilted beam of two images during iterations of the BITSS method when $\alpha = 10$ and $\beta = 0.1$. (c) The energy E of two images every three iterations in the BITSS method along with the MEP. (d) The shapes of 5 images out of 9 images in the NEB method with spring stiffness $k_{NEB} = 1 \times 10^{-3}$ N/mm. (e) The MEP based on a spline-interpolation of 17 images (circle) from the NEB results. In all figures, the red and blue color represent the stress-free and self-stressed shapes, respectively. The green asterisk is the saddle point by averaging the two images of the last iteration in the BITSS method. The purple and orange color denote to the two images in the BITSS method. The black curve is the MEP, and the orange dash line is the mechanical indentation.

4.1. Symmetric transition path

We first study the case when the two beams have the same geometry. To generate the bistable behaviors, the width w_1 , w_2 and the tilting angles ψ_1 , ψ_2 are chosen as $w_1 = w_2 = 0.58$ mm, $\psi_1 = \psi_2 = 40^\circ$. The height of the beam is $H = 3.2$ mm. For simplicity, the two beams have the same material property as $\mu = 1$ MPa, $\lambda = 3$ MPa. The bottom edges of the beams are clamped while the top ends are connected to a rigid block with material property $\mu = 38.5$ MPa, $\lambda = 57.7$ MPa. The geometric domain is discretized into triangular meshes. For the beams, the mesh sizes are 0.1 mm and 0.2 mm along the length and width directions, respectively. For the top block, the size of the meshes is roughly 0.3 mm. We set up a top block that is far more rigid than the beams, ensuring that the boundary condition of the top end is close to being clamped. We build a Cartesian coordinate whose origin is located with equal distance to the centers of the beam's bottom edges. At the same time, the x-axis is built along the bottom edge while the y-axis is along the vertical direction.

To obtain the self-locked shape S_2 , we first indent the structure at the top edge of the block to a certain distance of 5 mm and then relax this displacement boundary condition to let the structure seek its local equilibrium nearby. As shown in Fig. 7(a), two stable shapes keep the mirror symmetry with the same deformation for the two tilted beams. Using the BITSS algorithm with parameters $\alpha = 10$, $\beta = 0.1$, we can capture the saddle point, and the shapes of two images during iterations are shown in Fig. 7(b). For clarity, we only show the deformation of one tilted beam since the mirror symmetry is kept in the BITSS iterations. As BITSS continues, the image that initiates at the stress-free shape bends significantly downwards in its middle part and undergoes larger deformation compared to that of the other image. In the meanwhile, the image that starts from the self-stressed state initially bends downwards because the constraint applied in the BITSS requires it to maintain a certain distance to the top image. However, it later bends upwards and approaches the saddle point. This shape change can also be clearly seen if we plot the energy of two images during iteration together with the MEP in terms of the y coordinate of the center point of the block's bottom edge y_m . As shown in Fig. 7(c), the top image (purple dots) keeps climbing uphill towards the saddle point while the bottom image (orange dots) first moves away from the saddle point and then approaches the saddle point when the top image is close.

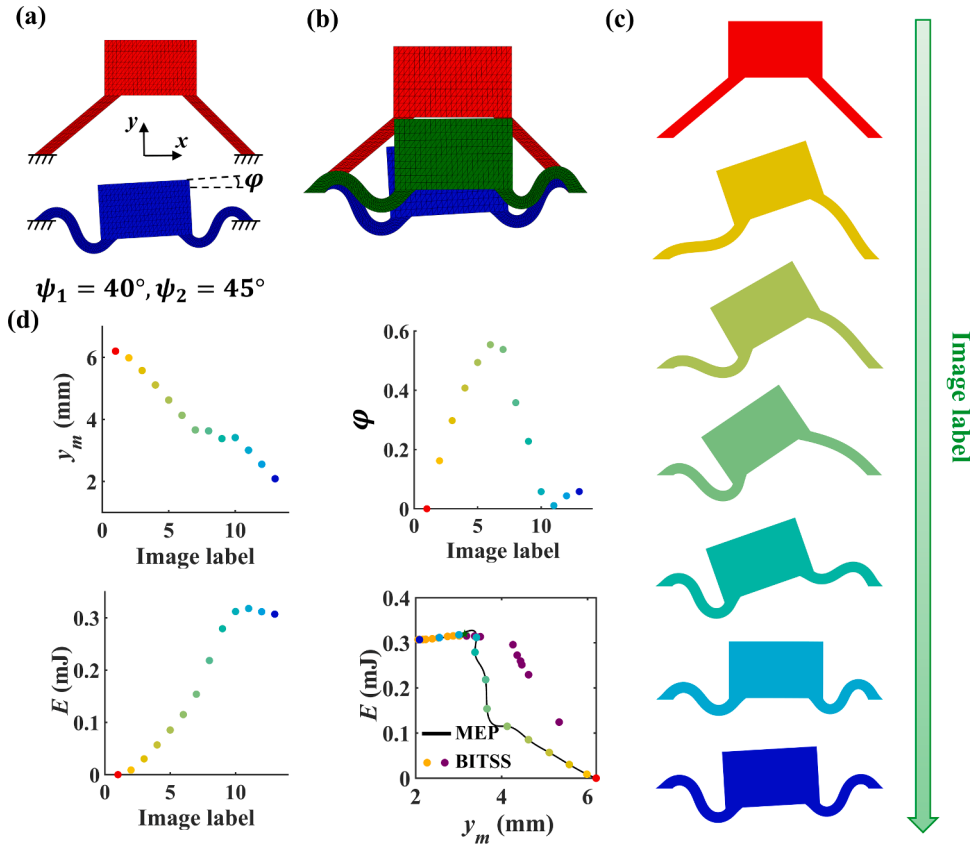


Fig. 8. The asymmetric transition path for a bistable unit with $\psi_1 = 40^\circ$, $\psi_2 = 45^\circ$. (a) The shape of the stress-free and self-stressed shapes of the bistable unit. (b) The saddle point (green) captured by the BITSS method when $\alpha = 10$, $\beta = 0.1$, $f = 5\%$. (c) The shapes of 7 images from the NEB results with spring stiffness $k_{NEB} = 4 \times 10^{-3}$ N/mm, different colors are assigned for images for better visualization. (d) The MEP (black curve) based on a spline-interpolation of 13 images from the NEB results. The purple and orange circles denote to the two images in the BITSS method. The figure includes the variation of y_m , rotational angle φ and elastic energy E along 13 images in the NEB results and the relationship between E and y_m along the MEP. In all figures, the red and blue colors denote to the stress-free (S_1) and self-stressed states (S_2), respectively.

The approximated saddle point is used to initiate the NEB method with 9 images, and the results are shown in Fig. 7(d), where one tilted beam is displayed because of the mirror symmetry. Following the MEP from the stress-free shape, the beam initially develops a bending curvature downwards in its middle part as the top end moves downwards and reaches the saddle point with a sinusoidal-like configuration. After passing the saddle point, the beam relaxes itself as the top end continues to move until it becomes the self-stressed stable state. The energy variation along the MEP is shown in Fig. 7(e) based on the NEB results using 17 images. The stable states have energy of $E_{S_1} = 0$ mJ and $E_{S_2} = 0.2997$ mJ while the saddle point contains elastic energy of $E_T = 0.3049$ mJ. Accordingly, the energy barriers for the stress-free (S_1) and self-stressed (S_2) states are 0.3049 mJ and 0.0052 mJ, respectively.

4.2. Asymmetric transition path

For a bistable unit with a symmetric configuration as presented in the last subsection, its saddle point and the MEP can also be obtained through indenting the top edge of the block since the structure keeps the mirror symmetry along the transition path (Fig. 7(e)). The indentation can also be applied to the center of the buckled beam to obtain the saddle point, as shown in Figs. 3(f) and 4(d), though the indentation is slightly different from the MEP in these two cases. However, if the bistable structure has asymmetric geometry, its MEP can be complex and un-intuitive and thus cannot be captured through a simple mechanical indentation. Such asymmetric configurations and transition path may offer advantages in controllable, directional force output in robots when bistable structures act as actuators (Wang et al., 2023). Therefore, it is necessary to call for a robust method instead of indentations in these situations to find the saddle point and transition path.

To demonstrate the capability of our proposed numerical method in asymmetric cases, the bistable unit is designed to have two tilted beams with different geometric parameters. Specifically, two beams have different width as $w_1 = 0.58$ mm, $w_2 = 0.55$ mm and tilting angles as $\psi_1 = 40^\circ$, $\psi_2 = 45^\circ$, respectively. The height H is chosen as $H = 3.2$ mm. The two beams have the same mesh divisions, which are 50 and 4 along the length and width directions, respectively. The mesh size of the top block is around 0.3 mm. To obtain the self-stressed equilibrium shape, we first compress the top edge of the structure downwards through displacement control and then remove this constraint to relax the structure to a stable shape nearby through static analysis. With such an initial geometry, the two beams have different deflections in the self-stressed shape, forming a tilting angle $\varphi = 3.34^\circ$ between the top edge of the rigid block and the horizontal direction (Fig. 8(a)). This tilting angle is determined through coordinates of two nodes on the top edge. The two stable states have elastic energies of $E_{S_1} = 0$ mJ and $E_{S_2} = 0.307$ mJ.

We initiate the BITSS algorithm with two stable states, and the parameters are chosen as $\alpha = 10$, $\beta = 0.1$, $f = 5\%$. Unlike the previous examples, in this case, the two images during the BITSS algorithm do not follow the MEP, as shown in Fig. 8(d). Averaging the two images in the last iteration, we obtain the saddle point whose configuration is closer to the self-stressed state with a smaller tilting angle $\varphi = 0.33^\circ$ (Fig. 8(b)). The saddle point contains elastic energy of $E_T = 0.319$ mJ, resulting in energy barrier of 0.319 mJ and 0.012 mJ for S_1 and S_2 , respectively. Employing the NEB method with 13 images and $k_{NEB} = 4 \times 10^{-3}$ N/mm, we find the MEP based on the captured saddle point and reveal the shape change along this transition path in Fig. 8(c). In addition, a quantitative representation of this MEP can be found in Fig. 8(d) in which the elastic energy E , the y-coordinate of the center of the block's bottom edge y_m and the

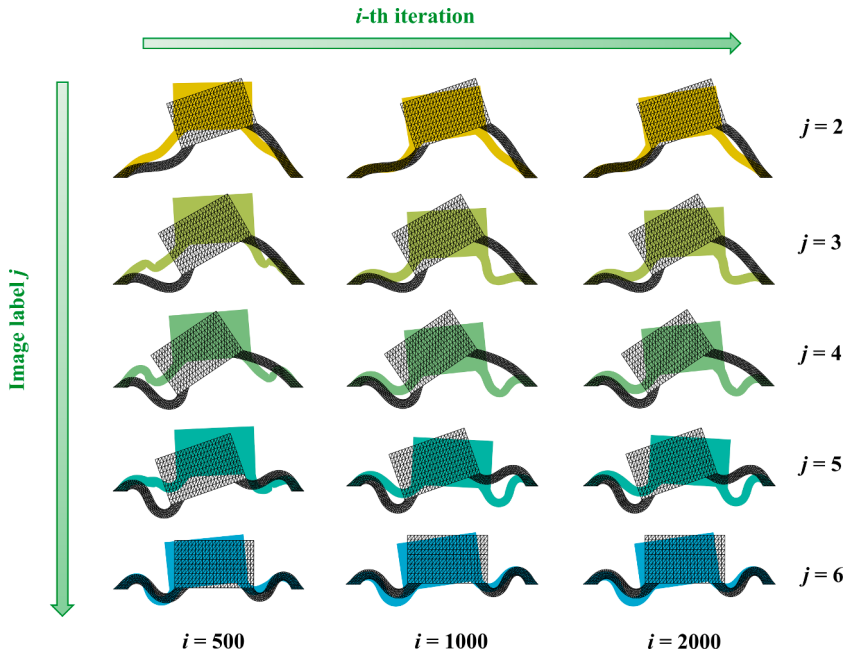


Fig. 9. Comparison between the NEB results in the introduced numerical framework (unfilled shapes) and the NEB results that are initiated with a linear interpolation between two stable states (colored shapes). In both cases, the image number is 7 and the spring stiffness $k_{NEB} = 4 \times 10^{-3}$ N/mm.

rotating angle φ of these images are plotted versus the image's label in a sequential manner.

Following the MEP from the stress-free shape, the left beam with $\psi_1 = 40^\circ$ first bends downwards while the right beam with $\psi_2 = 45^\circ$ bends upwards initially. As a result, the rigid block moves downwards with decreasing y_m and rotates counterclockwise with increasing angle φ (Fig. 8(d)). The largest rotational angle φ is 31.7° . As the left beam becomes sinusoidal, the right beam begins to bend downwards. During this stage, the rigid block starts to rotate clockwise with decreasing φ as it continues to move downwards with decreasing y_m . Unlike the non-monotonic variation of the angle φ , the elastic energy E keeps increasing before the structure meets its saddle point. After passing the saddle point, the structure decreases its elastic energy E when the rigid block again rotates counterclockwise with increasing φ to reach the self-stressed shape.

The implementation of the NEB algorithm can be highly nonlinear, and a successful search for the MEP depends on good initial guess and appropriate choose of the spring stiffness k_{NEB} . In our numerical framework, we exploit the BITSS results to provide good initial guess for the NEB method to ensure good convergence. Such a treatment becomes necessary when the MEP deviates significantly from a linear interpolation between two stable states. For instance, for the asymmetric bistable unit that is studied in this section, the NEB method with 7 images requires only 77 iterations to converge to the MEP based on the BITSS results. However, using the same number of images and spring stiffness k_{NEB} , the NEB fails to converge to the MEP after 2000 iterations if a linear interpolation between two stable states is chosen as the initial guess (Fig. 9). Considering that the BITSS method only requires two images to capture the saddle point, which is cheap in both storage and computational time, our numerical pipeline is more efficient than a direct implementation of the NEB algorithm that is initiated with a linear interpolation between two stable states.

4.3. Experimental validation

The predicted saddle point and the MEP will not only offer the energy barrier quantitatively but also point out an efficient pathway along which a bistable structure can transition from one stable state to the other. This knowledge becomes even more valuable for bistable structures with a complicated, unintuitive MEP. Here, based on the asymmetric bistable unit that is presented in the last subsection, we experimentally validate that the MEP acquired through our numerical framework can serve as useful guidance to a successful shape transition, which cannot be achieved through an intuitive uniaxial compression.

Specifically, we fabricate a bistable unit with asymmetric geometry through laser-cutting of cured polydimethylsiloxane (PDMS, SYLGARD® 184, synthesized by mixing the base and curing agent at a 10:1 weight ratio; Sigma-Aldrich) films using a CO₂ laser (VLS 2.3, University Laser System, Norman, CT). The bistable PDMS structure contains two tilted beams with the following geometric parameters: $w_1 = w_2 = 1$ mm, $\psi_1 = 45^\circ$, $\psi_2 = 50^\circ$, $H = 7$ mm, and a uniform thickness of 3 mm. The two beams are connected by a T-block and a U-block at their top and bottom ends, respectively. For the shape transition, we place the PDMS structure horizontally on a high-density polyethylene (HDPE) substrate with negligible friction to rule out the effect of gravity. Stiff wood bars are used to push the top edge to control the deformation of the structure. Please note that we paint the top surface of the PDMS structure using a black marker to enhance the contrast for imaging purposes, and we assume that the very thin layer of paint has negligible effect on the shape transition.

In simulation, only a 2D plane strain case with the same geometry is considered for simplicity, and the material property is set as $\mu = 1$ MPa and $\lambda = 3$ MPa. The bottom edge of the structure is clamped to avoid rigid body motion, and the geometric domain is divided into triangular meshes. The two tilted beams have the mesh divisions as 50 and 4 along their length and width directions, respectively, whereas the mesh size of the rest domain is around 0.7 mm. To obtain the self-stressed state (S_2), we uniaxially compress the top edge of the structure with 6 mm through displacement control and then remove this boundary condition to let the structure relax itself to a

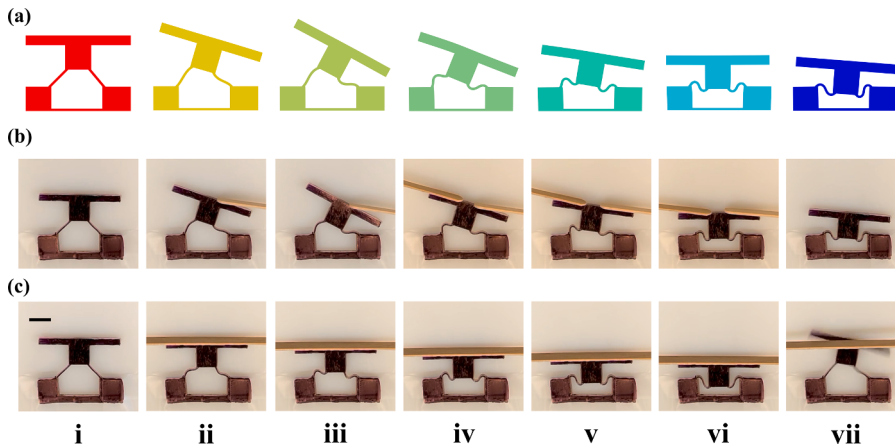


Fig. 10. Experimental validation of the MEP from the proposed numerical framework. (a) The shapes of 7 images along the MEP. The red and blue colors denote to the stress-free and self-stressed states, respectively. (b) The successful shape transition in the experiment following the MEP. (c) Under a pure compression in the experiment, the bistable unit bounces back to the stress-free shape once the constraint is removed. The scale bar for images in (b) and (c) is 10 mm.

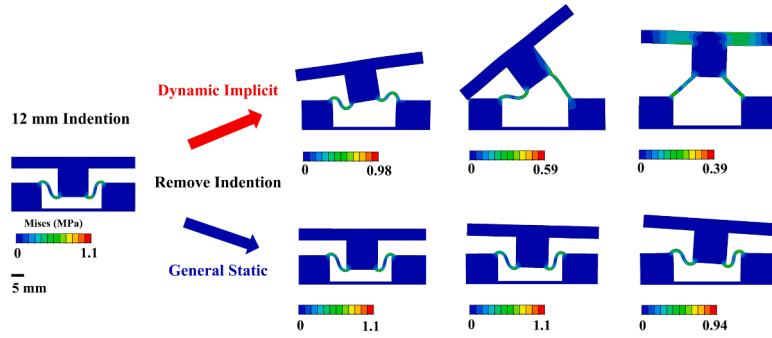


Fig. 11. Comparison between the dynamic and static simulations. After removal of 12 mm indentation, the bistable unit can snap back to S_1 under dynamic analysis (top row) or converge to S_2 nearby under general static analysis (bottom row). The scale bar is 5 mm.

stable shape nearby through static analysis. In the BITSS algorithm, the parameters are chosen as $\alpha = 25$, $\beta = 0.1$ and $f = 5\%$. In the NEB method, we use 7 images, and the spring stiffness is set as $k_{NEB} = 3 \times 10^{-5}$ N/mm.

Both experimental and simulation results show that the structure has a self-stressed stable shape (vii in Fig. 10(a) and (b)) in addition to the stress-free shape (i in Fig. 10(a) and (b)). The MEP obtained in the simulation is shown in Fig. 10(a). Following the MEP, the top block of the structure undergoes both translational and rotational motion as the two beams bend downwards sequentially, which is similar to the previous example. Guided by this numerical result, we first indent the right half of the top surface of the block using one stiff wood bar to rotate the top block clockwise (ii and iii in Fig. 10(b)). Then we use another wood bar to push the left half of the top surface to induce counterclockwise rotation of the top block (iv-vi in Fig. 10(b)), while maintaining the force exerted on the right half from the wood bar. Finally, we remove the two bars and let the structure relax to the self-stressed state (vii in Fig. 10(b) and supplementary Video 1). We run additional experiments to verify that the reconfiguration process is robust, and the self-stressed state is stable even after 25 s relaxation (supplementary Video 3). However, if we employ an intuitive strategy by directly compressing the top surface without rotating the top block, the structure will bounce back to the initial stress-free state once the bar is withdrawn (Fig. 10(c) and supplementary Video 2). Such a failure to complete the shape transition is observed under various compression depth and speed, which is also supported by finite element simulation in commercial software ABAQUS based on dynamic analysis (top row in Fig. 11). The reason can be attributed to the fact that the pure compression cannot help the structure reach the self-stressed stable shape due to the non-equilibrium stress even the structure has crossed the “ridge” and got close to the local minimum in the energy landscape. With this specific geometry, the structure has an energy of 0.794 mJ at the meta-stable state (S_2). The energy of the transition state is 0.838 mJ, leading to an energy barrier of 0.044 mJ. When the structure is compressed at 12 mm, it has an energy of 0.847 mJ, whose energy difference from S_2 (0.053 mJ) is higher than the energy barrier. As a result, once the external constraint is lifted, the extra elastic energy compared to the meta-stable state (S_2) will convert to kinetic energy and drive the structure to cross over the small energy barrier and move to the stress-free state (S_1) with a lower elastic energy and higher energy barrier (0.838 mJ). To test the kinetic effect in the shape transition, we further run a static simulation using ABAQUS and find the structure will converge to S_2 (bottom row in Fig. 11). The energy barrier of the bistable metamaterials is known to depend on geometries of the structures (Shan et al., 2015). Thus, it is of great interest in exploring the geometrical parameter space to optimize the energy barrier for easy and robust reconfigurations, which will be conducted in future studies.

5. Conclusion

In this work, we introduce a robust computational framework by integrating the BITSS and NEB algorithms into FEM to find the saddle point and the associated MEP of bistable elastic continua. In this numerical pipeline, the BITSS algorithm that manipulates two images is first employed to pinpoint the saddle point. Then we use gradient descent algorithm based on the captured saddle point to initiate the NEB method that relaxes a string of images to converge to the MEP. We successfully verify the performance of the proposed framework in two representative cases including bistable buckled beams and bistable units from mechanical metamaterials, under both symmetric and asymmetric conditions. The obtained saddle point and MEP can not only provide the information of the energy barrier, which offers quantitative evaluation of the performance of bistable structures, but also point out an efficient transition pathway that guides the shape transition of bistable structures under either mechanical force in this work or distributed body force through external stimuli such as a magnetic field.

The proposed numerical framework has its advantages in several aspects. First, it is built on FEM that is widely adopted to model the mechanical behaviors of solids, suggesting that the proposed method can be broadly applied in analyzing the energy landscape of multi-stable structures. Second, previous work has demonstrated that the combination of the BITSS and string method can capture the MEP and saddle point of multi-stable slender structures based on a discrete shell model (Y. Li et al., 2021a). Since the string method is an efficient double-ended method that converges to the MEP through relaxing a string of images, its similarity to the NEB suggests that our method has great potentials in searching for multiple saddle points and MEPs in multi-stable elastic continua. Third, because the BITSS method requires only two images to find the saddle point, its implementation together with the NEB algorithm can be more computation-efficient in finding the MEP than directly applying the NEB that is initiated with a linear interpolation between two stable

states, particularly for systems with a large number of DoF.

Only 2D plane strain problems under displacement boundary conditions are considered in this study. However, the introduced numerical framework should be seamlessly integrated into 3D finite element modeling under various types of boundary conditions such as concentrated or distributed force, greatly expanding the range of applications of our methods. In addition, by integrating potential energies associated with other fields, our method should also be capable of solving multi-physics problems where the bistable structures are subjected to external stimuli such as magnetic fields (Y. Li et al., 2021a, 2021b) or differential swelling (Li et al., 2023). Such a characteristic enables the search for the energy barrier and transition path of bistable structures made of stimuli-responsive materials, which can facilitate their applications in controllable shape changes (Ma et al., 2023; Shao et al., 2018; Zhao et al., 2016), fast actuation (Wani et al., 2017), etc.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

The simulation codes and files are available at <https://github.com/tengzhang48/Transition-State-and-Minimum-Energy-Path-of-Bistable-Elastic-Continua>.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.jmps.2023.105503](https://doi.org/10.1016/j.jmps.2023.105503).

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