

BERNSTEIN-SATO POLYNOMIALS FOR GENERAL IDEALS VS. PRINCIPAL IDEALS

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ABSTRACT. We show that given an ideal \mathfrak{a} generated by regular functions f_1, \dots, f_r on X , the Bernstein-Sato polynomial of \mathfrak{a} is equal to the reduced Bernstein-Sato polynomial of the function $g = \sum_{i=1}^r f_i y_i$ on $X \times \mathbf{A}^r$. By combining this with results from [BMS06], we relate invariants and properties of \mathfrak{a} to those of g . We also use the result on Bernstein-Sato polynomials to show that the Strong Monodromy Conjecture for Igusa zeta functions of principal ideals implies a similar statement for arbitrary ideals.

1. INTRODUCTION

Given a smooth complex algebraic variety X and a nonzero regular function $f \in \mathcal{O}_X(X)$, the *Bernstein-Sato polynomial* $b_f(s) \in \mathbf{C}[s]$ is the monic polynomial of minimal degree such that

$$b_f(s)f^s \in \mathcal{D}_X[s] \bullet f^{s+1}.$$

Here \mathcal{D}_X is the sheaf of differential operators on X and we use \bullet to denote the action of differential operators. Note that f^s can be treated as a symbol on which differential operators act in the expected way. By making $s = -1$, we see that if f is not invertible, then $b_f(s)$ is divisible by $(s+1)$, and the quotient $\tilde{b}_f(s) = b_f(s)/(s+1)$ is the *reduced Bernstein-Sato polynomial* of f . The existence of $b_f(s)$ was proved by Bernstein for the case when $X = \mathbf{A}^n$ in [Ber71] and a proof in the general case (in the analytic setting) is given in [Bjö93]. The Bernstein-Sato polynomial of f is a subtle invariant of the singularities of the hypersurface defined by f and it is connected to several other invariants of singularities (for example, by [Mal83], its roots determine the eigenvalues of the monodromy action on the cohomology of the Milnor fiber).

The above invariant has been extended to arbitrary (nonzero) coherent ideals \mathfrak{a} in \mathcal{O}_X in [BMS06]. Working locally, we may and will assume that we have nonzero regular functions $f_1, \dots, f_r \in \mathcal{O}_X(X)$ that generate the ideal \mathfrak{a} . In this case, the Bernstein-Sato polynomial $b_{\mathfrak{a}}(s) \in \mathbf{C}[s]$ is the monic polynomial of minimal degree such that

$$b_{\mathfrak{a}}(s)f_1^{s_1} \cdots f_r^{s_r} \in \sum_{|u|=1} \mathcal{D}_X[s_1, \dots, s_r] \bullet \prod_{u_i < 0} \binom{s}{-u_i} f_1^{s_1+u_1} \cdots f_r^{s_r+u_r},$$

where the sum is over all $u = (u_1, \dots, u_r) \in \mathbf{Z}^r$ such that $|u| := \sum_i u_i = 1$. Here $s = s_1 + \dots + s_r$, where s_1, \dots, s_r are independent variables, $f_1^{s_1} \cdots f_r^{s_r}$ is a symbol on which differential operators act in the expected way, and for every positive integer m , we put $\binom{s_i}{m} = \frac{1}{m!} \prod_{j=0}^{m-1} (s_i - j)$. The existence, independence of the choice of the generators f_1, \dots, f_r , and some basic properties of $b_{\mathfrak{a}}(s)$ were proved in [BMS06]. The main observation of this

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note is the following result. Given f_1, \dots, f_r as above, we consider the regular function $g = \sum_{i=1}^r f_i y_i$ on $X \times \mathbf{A}^r$, where y_1, \dots, y_r are the coordinates on \mathbf{A}^r .

Theorem 1.1. *If f_1, \dots, f_r are nonzero regular functions on the smooth, complex algebraic variety X , generating the coherent ideal \mathfrak{a} , and if $g = \sum_{i=1}^r f_i y_i$, then $b_{\mathfrak{a}}(s) = \tilde{b}_g(s)$.*

In fact, this observation can be used to give a new proof of the existence of $b_{\mathfrak{a}}(s)$ and of its independence of the generators f_1, \dots, f_r . We hope that it will be useful for extending properties of Bernstein-Sato polynomials from the case of principal ideals to arbitrary ones.

By combining the above description of $b_{\mathfrak{a}}(s)$ with results in [BMS06], we can relate invariants and properties of g with those of the ideal \mathfrak{a} . Recall that by a result of Kashiwara [Kas76], for every nonzero $f \in \mathcal{O}_X(X)$, all roots of the Bernstein-Sato polynomial $b_f(s)$ are negative rational numbers. If f is not invertible, then the negative of the largest root of $\tilde{b}_f(s)$ is the *minimal exponent* $\tilde{\alpha}_f$ of f (with the convention that $\tilde{\alpha}_f = \infty$ if $\tilde{b}_f(s) = 1$, which is the case if and only if the hypersurface defined by f is smooth). Therefore $\min\{1, \tilde{\alpha}_f\}$ is the negative of the largest root of $b_f(s)$; by a result of Lichtin and Kollár (see [Kol97, Theorem 10.6]), this is equal to the log canonical threshold $\text{lct}(f)$ of f .

Corollary 1.2. *With the notation in the theorem, we have $\tilde{\alpha}_g = \text{lct}(\mathfrak{a})$.*

Corollary 1.3. *With the notation in the theorem, if \mathfrak{a} defines a reduced, complete intersection subscheme W , of pure codimension r , then W has rational singularities if and only if $\tilde{\alpha}_g = r$ and $-r$ is a root of multiplicity 1 of $\tilde{b}_g(s)$.*

Finally, we apply the description of $b_{\mathfrak{a}}(s)$ in the theorem to show that the Strong Monodromy Conjecture for Igusa zeta functions associated to hypersurfaces implies the similar statement for arbitrary ideals. For the sake of simplicity, we work in the p -adic setting, though a similar result holds for the motivic zeta function (see Remark 3.1 below).

Recall that if $f \in \mathbf{Z}_p[x_1, \dots, x_n]$ is a nonzero polynomial over the ring of p -adic integers, the Igusa zeta function associated to f is the formal power series in p^{-s} given by

$$Z_p(f; s) := \int_{\mathbf{Z}_p^n} |f(x)|_p^s d\mu_p(x),$$

where $|\cdot|_p$ is the p -adic absolute value on \mathbf{Q}_p and μ_p is the Haar measure on \mathbf{Q}_p^n . This power series encodes the numbers a_m of roots of f in $(\mathbf{Z}/p^m\mathbf{Z})^n$ for $m \geq 1$. It was shown by Igusa [Igu74], [Igu75] that $Z_p(f; s)$ is a rational function of p^{-s} , with the candidate poles determined in terms of a log resolution of the pair $(\mathbf{A}_{\mathbf{C}}^n, f)$. The following is the outstanding open problem in this area:

Conjecture (Strong Monodromy Conjecture, Igusa). *Given $f \in \mathbf{Z}[x_1, \dots, x_n]$, for every prime p large enough, if s_0 is a pole of $Z_p(f; s)$, then $\text{Re}(s_0)$ is a root of $b_f(s)$. Moreover, if the order of s_0 as a pole is m , then $\text{Re}(s_0)$ is a root of $b_f(s)$ of multiplicity $\geq m$.*

One can study an analogue of Igusa's zeta function for arbitrary ideals $\mathfrak{a} \subseteq \mathbf{Z}_p[x_1, \dots, x_n]$ (see [VZG08]). More precisely, if f_1, \dots, f_r generate \mathfrak{a} , then we have a function $\varphi_{\mathfrak{a}}: \mathbf{Z}_p^n \rightarrow \mathbf{Q}$ given by $\varphi_{\mathfrak{a}}(x) = \max_{i=1}^r |f_i(x)|_p$ and the corresponding Igusa zeta function

$$Z_p(\mathfrak{a}; s) := \int_{\mathbf{Z}_p^n} \varphi_{\mathfrak{a}}(x)^s d\mu_p(x).$$

Again, this is a rational function of p^{-s} and candidate poles can be given in terms of a log resolution of $(\mathbf{A}_{\mathbf{C}}^n, \mathfrak{a})$.

Theorem 1.4. *If \mathfrak{a} is the ideal of $\mathbf{Z}_p[x_1, \dots, x_n]$ generated by the nonzero polynomials f_1, \dots, f_r and if $g = \sum_{i=1}^r f_i y_i \in \mathbf{Z}_p[x_1, \dots, x_n, y_1, \dots, y_r]$, then*

$$Z_p(g; s) = \frac{1 - p^{-1}}{1 - p^{-s-1}} Z_p(\mathfrak{a}; s).$$

In particular, if $f_1, \dots, f_r \in \mathbf{Z}[x_1, \dots, x_n]$ and g satisfies the Strong Monodromy Conjecture, then for every prime p large enough, if s_0 is a pole of $Z_p(\mathfrak{a}, s)$ of order m , then $\operatorname{Re}(s_0)$ is a root of $b_{\mathfrak{a}}(s)$ of multiplicity $\geq m$.

In the next section we give the proof of Theorem 1.1 and of its corollaries. The last section contains the proof of Theorem 1.4.

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2. THE DESCRIPTION OF THE BERNSTEIN-SATO POLYNOMIAL OF AN IDEAL

We begin with the formula relating the Bernstein-Sato polynomials of \mathfrak{a} and g .

Proof of Theorem 1.1. By taking an affine open cover of X , we see that we may assume that $X = \operatorname{Spec}(R)$ is affine. By definition, the Bernstein-Sato polynomial $b_g(s)$ is the monic polynomial of minimal degree such that there is $P \in \Gamma(X \times \mathbf{A}^r, \mathcal{D}_{X \times \mathbf{A}^r})[s]$ such that

$$(1) \quad b_g(s)g^s = P \bullet g^{s+1}.$$

Such P can be uniquely written as $P = \sum_{\alpha, \beta \in \mathbf{Z}_{\geq 0}^r} P_{\alpha, \beta} \frac{1}{\beta!} y^\alpha \partial_y^\beta$, with $P_{\alpha, \beta} \in \Gamma(X, \mathcal{D}_X)[s]$, only finitely many being nonzero. Here we use the multi-index notation $y^\alpha = y_1^{\alpha_1} \cdots y_r^{\alpha_r}$ and $\partial_y^\beta = \partial_{y_1}^{\beta_1} \cdots \partial_{y_r}^{\beta_r}$ and $\beta! = \prod_{i=1}^r (\beta_i)!$ for $\alpha = (\alpha_1, \dots, \alpha_r)$ and $\beta = (\beta_1, \dots, \beta_r)$ in $\mathbf{Z}_{\geq 0}^r$. Furthermore, the equality in (1) is equivalent to

$$(2) \quad b_g(m)g^m = \sum_{\alpha, \beta} P_{\alpha, \beta}(m) \bullet g^{m+1} \quad \text{for all } m \geq 0.$$

Indeed, given P as above, it follows from the definition of the \mathcal{D}_X -action on $R_f[s]f^s$ that there is a polynomial $Q \in R_f[s]$ such that (1) holds if and only if $Q = 0$, while (2) holds if and only if $Q(m) = 0$ for all $m \geq 0$. The two assertions are equivalent since R_f is a characteristic 0 domain.

Since $g = \sum_{i=1}^r f_i y_i$, we have

$$(3) \quad b_g(m)g^m = b_g(m) \cdot \sum_{|a|=m} \binom{m}{a_1, \dots, a_r} f_1^{a_1} \cdots f_r^{a_r} y_1^{a_1} \cdots y_r^{a_r},$$

where the sum is over all $a = (a_1, \dots, a_r) \in \mathbf{Z}_{\geq 0}^r$ with $|a| := a_1 + \dots + a_r = m$. On the other hand, the right-hand side of (2) is equal to

$$\sum_{\alpha, \beta} P_{\alpha, \beta}(m) \frac{1}{\beta!} y^\alpha \partial_y^\beta \bullet \sum_{|b|=m+1} \binom{m+1}{b_1, \dots, b_r} f_1^{b_1} \cdots f_r^{b_r} y_1^{b_1} \cdots y_r^{b_r},$$

where the second sum is over all $b = (b_1, \dots, b_r) \in \mathbf{Z}_{\geq 0}^r$, with $|b| = m+1$. This is further equal to

$$(4) \quad \sum_{\alpha, \beta} \sum_{|b|=m+1} (P_{\alpha, \beta}(m) \bullet f_1^{b_1} \cdots f_r^{b_r}) \cdot \binom{m+1}{b_1, \dots, b_r} \cdot \prod_{i=1}^r \binom{b_i}{\beta_i} \cdot \prod_{i=1}^r y_i^{b_i - \beta_i + \alpha_i},$$

where we make the convention that $\binom{b_i}{\beta_i} = 0$ if $\beta_i > b_i$. Via the formulas in (3) and (4), the equality in (2) is equivalent to the fact that for every $a = (a_1, \dots, a_r) \in \mathbf{Z}_{\geq 0}^r$, we have

$$b_g(|a|) \binom{|a|}{a_1, \dots, a_r} f_1^{a_1} \dots f_r^{a_r} = \sum_{|\beta| - |\alpha| = 1} (P_{\alpha, \beta}(|a|) \bullet f_1^{a_1 + \beta_1 - \alpha_1} \dots f_r^{a_r + \beta_r - \alpha_r}) \cdot \binom{|a| + 1}{a_1 + \beta_1 - \alpha_1, \dots, a_r + \beta_r - \alpha_r} \cdot \prod_{i=1}^r \binom{a_i + \beta_i - \alpha_i}{\beta_i}.$$

An easy computation shows that this is further equivalent to

$$b_g(|a|) f_1^{a_1} \dots f_r^{a_r} = (|a| + 1) \cdot \sum_{|\beta| - |\alpha| = 1} \prod_{i=1}^r \frac{(a_i)!}{(\beta_i)!(a_i - \alpha_i)!} P_{\alpha, \beta}(|a|) \bullet f_1^{a_1 + \beta_1 - \alpha_1} \dots f_r^{a_r + \beta_r - \alpha_r},$$

where the sum is over all $\alpha, \beta \in \mathbf{Z}_{\geq 0}^r$ with $|\beta| - |\alpha| = 1$ and such that $\alpha_i \leq a_i$ for all i . Since it is clear that g is not invertible, we know that $(s+1)$ divides $b_g(s)$, with $\tilde{b}_g(s) = b_g(s)/(s+1)$. It follows that $\tilde{b}_g(s)$ is the monic polynomial of smallest degree such that we have $P_{\alpha, \beta}$ as above such that for all $a = (a_1, \dots, a_r) \in \mathbf{Z}_{\geq 0}^r$, we have

$$\tilde{b}_g(|a|) f_1^{a_1} \dots f_r^{a_r} = \sum_{|\beta| - |\alpha| = 1} \prod_{i=1}^r \frac{(a_i)!}{(\beta_i)!(a_i - \alpha_i)!} P_{\alpha, \beta}(|a|) \bullet f_1^{a_1 + \beta_1 - \alpha_1} \dots f_r^{a_r + \beta_r - \alpha_r}.$$

A similar argument to that showing the equivalence of (1) and (2) implies that the above holds if and only if there are $P_{\alpha, \beta} \in \Gamma(X, \mathcal{D}_X)[s]$, for $\alpha, \beta \in \mathbf{Z}_{\geq 0}^r$ satisfying $|\beta| - |\alpha| = 1$, with only finitely many nonzero, such that we have the equality

(5)

$$\tilde{b}_g(s_1 + \dots + s_r) f_1^{s_1} \dots f_r^{s_r} = \sum_{|\beta| - |\alpha| = 1} \frac{\alpha!}{\beta!} \cdot \prod_{i=1}^r \binom{s_i}{\alpha_i} \cdot P_{\alpha, \beta}(s_1 + \dots + s_r) \bullet f_1^{s_1 + \beta_1 - \alpha_1} \dots f_r^{s_r + \beta_r - \alpha_r}.$$

Equivalently, $\tilde{b}_g(s)$ is the monic polynomial of minimal degree such that $\tilde{b}_g(s_1 + \dots + s_r) f_1^{s_1} \dots f_r^{s_r}$ lies in

$$\sum_{|\beta| - |\alpha| = 1} \prod_{i=1}^r \binom{s_i}{\alpha_i} \mathcal{D}_X[s_1 + \dots + s_r] \bullet f_1^{s_1 + \beta_1 - \alpha_1} \dots f_r^{s_r + \beta_r - \alpha_r}.$$

This sum can be rewritten as

$$\sum_{|\gamma| = 1} \sum_{\alpha} \mathcal{D}_X[s_1 + \dots + s_r] \bullet \prod_{i=1}^r \binom{s_i}{\alpha_i} \cdot f_1^{s_1 + \gamma_1} \dots f_r^{s_r + \gamma_r},$$

where the first summation index runs over those $\gamma \in \mathbf{Z}_{\geq 0}^r$ such that $|\gamma| = 1$ and the second summation index runs over those $\alpha \in \mathbf{Z}_{\geq 0}^r$ such that $\alpha_i + \gamma_i \geq 0$ for all i . The polynomials $\binom{s_i}{\alpha_i}$ such that $\alpha_i + \gamma_i \geq 0$ give a basis of $\mathbf{C}[s_i]$ if $\gamma_i \geq 0$ and give a basis of $\binom{s_i}{-\gamma_i} \cdot \mathbf{C}[s_i]$ if $\gamma_i < 0$. We thus conclude that $\tilde{b}_g(s)$ is the monic polynomial of smallest degree such that

$$\tilde{b}_g(s_1 + \dots + s_r) f_1^{s_1} \dots f_r^{s_r} \in \sum_{|\gamma| = 1} \mathcal{D}_X[s_1, \dots, s_r] \bullet \prod_{\gamma_i < 0} \binom{s_i}{-\gamma_i} f_1^{s_1 + \gamma_1} \dots f_r^{s_r + \gamma_r},$$

hence it is equal to the Bernstein-Sato polynomial¹ $b_{\mathfrak{a}}(s)$. This completes the proof of the theorem. \square

Remark 2.1. Note that in the proof of Theorem 1.1 we did not assume the existence of $b_{\mathfrak{a}}$, hence by the theorem, we can deduce the existence of the Bernstein-Sato polynomial associated to f_1, \dots, f_r from the existence of $b_g(s)$. Furthermore, we see that $b_{\mathfrak{a}}(s)$ only depends on the ideal generated by f_1, \dots, f_r and not on these generators. Indeed, it is enough to show that if we consider $f_{r+1} = \sum_{i=1}^r a_i f_i$ for some $a_1, \dots, a_r \in \mathcal{O}_X(X)$ and $h = \sum_{i=1}^{r+1} f_i y_i$, then $b_g(s) = b_h(s)$. Note that $h = \sum_{i=1}^r f_i(y_i + a_i y_{r+1})$. We have an automorphism of $X \times \mathbf{A}^{r+1}$ over X which maps y_{r+1} to y_{r+1} and y_i to $y_i + a_i y_{r+1}$ for $1 \leq i \leq r$. Since this maps g to h , it follows that $b_g(s) = b_h(s)$.

Remark 2.2. The hypersurface $g = \sum_{i=1}^r f_i y_i$ also appeared in [FdB11], where it was shown that if all f_i vanish at the origin, then the Milnor fibration of g at the origin has trivial geometric monodromy and fiber homotopic to the complement of the germ defined by the ideal (f_1, \dots, f_r) .

We can now deduce the first consequences of the theorem.

Proof of Corollary 1.2. It is shown in [BMS06, Theorem 2] that the negative of the largest root of $b_{\mathfrak{a}}(s)$ is the log canonical threshold $\text{let}(\mathfrak{a})$ of \mathfrak{a} . Since $\tilde{\alpha}_g$ is, by definition, the negative of the largest root of $\tilde{b}_g(s)$, the assertion follows from Theorem 1.1. \square

Proof of Corollary 1.3. Since W is reduced and a complete intersection of pure codimension r , it follows from [BMS06, Theorem 4] that W has rational singularities if and only if $\text{let}(\mathfrak{a}) = r$ and $-r$ is a root of multiplicity 1 of $b_{\mathfrak{a}}(s)$. The assertion in the corollary thus follows from Theorem 1.1. \square

3. AN APPLICATION TO THE STRONG MONODROMY CONJECTURE

For a nice introduction to Igusa's zeta function we refer to [Nic10]. We only recall here the definition of the p -adic absolute value and of the Haar measure on \mathbf{Z}_p^n . Let us denote by ord_p the p -adic valuation on \mathbf{Q}_p (so that any element $u \in \mathbf{Q}_p$ can be written as $u = p^{\text{ord}_p(u)}v$, with v invertible in \mathbf{Z}_p). With this notation, if $\text{ord}_p(u) = m$, then the p -adic absolute value of u is given by $|u|_p = \frac{1}{p^m}$.

The Haar measure μ_p on \mathbf{Z}_p^n is the unique translation-invariant measure such that $\mu_p(\mathbf{Z}_p^n) = 1$. In particular, for every $u \in \mathbf{Z}_p^n$ and every positive integer m , we have

$$\mu_p(u + p^m \mathbf{Z}_p^n) = \frac{1}{p^{mn}}.$$

Note also that the Haar measure is multiplicative with respect to the Cartesian product of cylinders in $\mathbf{Z}_p^n \times \mathbf{Z}_p^r \simeq \mathbf{Z}_p^{n+r}$ (recall that a cylinder in \mathbf{Z}_p^n is the inverse image of some set via a projection map $\mathbf{Z}_p^n \rightarrow (\mathbf{Z}/p^m \mathbf{Z})^n$).

Given a nonzero $f \in \mathbf{Z}_p[x_1, \dots, x_n]$, we denote by ord_f the function $\text{ord}_p \circ f: \mathbf{Z}_p^n \rightarrow \mathbf{Z}_{\geq 0}$. It then follows by definition that

$$(6) \quad Z_p(f; s) = \sum_{m \in \mathbf{Z}_{\geq 0}} \mu_p(\text{ord}_f^{-1}(m)) p^{-ms}.$$

¹This is not the definition of the Bernstein-Sato polynomial $b_{\mathfrak{a}}(s)$ in [BMS06], but the definition is equivalent to this one, as explained in [BMS06, Section 2.10].

Similarly, if $\mathfrak{a} = (f_1, \dots, f_r)$ is an ideal in $\mathbf{Z}_p[x_1, \dots, x_n]$ and if we put $\text{ord}_{\mathfrak{a}} = \min_{i=1}^r \text{ord}_{f_i}$, then

$$(7) \quad Z_p(\mathfrak{a}; s) = \sum_{m \in \mathbf{Z}_{\geq 0}} \mu_p(\text{ord}_{\mathfrak{a}}^{-1}(m)) p^{-ms}.$$

We can now prove the main result of this section.

Proof of Theorem 1.4. The key point is the computation of the p -adic measure of $\text{ord}_g^{-1}(m) \subseteq \mathbf{Z}_p^{n+r}$ for each $m \geq 0$. Since $g = \sum_{i=1}^r f_i y_i$, it follows that if $(u, v_1, \dots, v_r) \in \mathbf{Z}_p^{n+r}$ lies in $\text{ord}_g^{-1}(m)$, then

$$\text{ord}_{\mathfrak{a}}(u) = \min_{i=1}^r \text{ord}_{f_i}(u) \leq m.$$

Suppose now that $u \in \mathbf{Z}_p^n$ is such that $\min_{i=1}^r \text{ord}_p(u_i) = d \leq m$. We want to describe the set $W_u(m)$ consisting of those $v = (v_1, \dots, v_r) \in \mathbf{Z}_p^r$ such that $\text{ord}_p(u_1 v_1 + \dots + u_r v_r) = m$. Suppose that j is such that $\text{ord}_p(u_j) = d$. By assumption, we can write $u_i = t^d u'_i$ for $1 \leq i \leq r$ and $u'_i \in \mathbf{Z}_p$, with u'_j invertible. In this case, we have $\text{ord}_p(u_1 v_1 + \dots + u_r v_r) = m$ if and only if $\text{ord}_p(u'_1 v_1 + \dots + u'_r v_r) = m - d$. Since u'_j is invertible, this means that $v_1, \dots, \widehat{v_j}, \dots, v_r$ can be chosen arbitrarily and then the class of v_j in $\mathbf{Z}/p^{m-d+1}\mathbf{Z}$ can take precisely $(p-1)$ values (and then every lift of this class satisfies the desired condition). We thus conclude that $W_u(m) \subseteq \mathbf{Z}_p^r$ is a cylinder whose p -adic measure is $\frac{p-1}{p^{m-d+1}}$.

The projection $\mathbf{Z}_p^n \times \mathbf{Z}_p^r \rightarrow \mathbf{Z}_p^n$ onto the first component induces a map

$$\tau: \text{ord}_g^{-1}(m) \rightarrow \bigsqcup_{d=0}^m \text{ord}_{\mathfrak{a}}^{-1}(d).$$

If we decompose each $\text{ord}_{\mathfrak{a}}^{-1}(d)$ as a disjoint union of cylinders such that on each of these cylinders $\min_i \text{ord}_{f_i}$ is achieved by some fixed i , then for every such cylinder $C \subseteq \text{ord}_{\mathfrak{a}}^{-1}(d)$, the subset $\tau^{-1}(C) \subseteq \mathbf{Z}_p^n \times \mathbf{Z}_p^r$ is a cylinder with

$$\mu_p(\tau^{-1}(C)) = \mu_p(C) \cdot \frac{p-1}{p^{m-d+1}}.$$

Therefore we have

$$\mu_p(\text{ord}_g^{-1}(m)) = \sum_{d=0}^m \mu_p(\text{ord}_{\mathfrak{a}}^{-1}(d)) \cdot \frac{p-1}{p^{m-d+1}}.$$

Using the formulas (6) and (7), we obtain

$$\begin{aligned} Z_p(g; s) &= \sum_{m \geq 0} \frac{1}{p^{ms}} \cdot \sum_{d=0}^m \mu_p(\text{ord}_{\mathfrak{a}}^{-1}(d)) \cdot \frac{p-1}{p^{m-d+1}} \\ &= \frac{p-1}{p} \cdot \sum_{d \geq 0} \frac{\mu_p(\text{ord}_{\mathfrak{a}}^{-1}(d))}{p^{ds}} \cdot \sum_{m \geq d} \frac{1}{p^{(m-d)(s+1)}} = \frac{1-p^{-1}}{1-p^{-(s+1)}} Z_p(\mathfrak{a}; s). \end{aligned}$$

This gives the first assertion in the theorem.

The formula relating $Z_p(g; s)$ and $Z_p(\mathfrak{a}; s)$ shows that if we denote by $n_p(g; \lambda)$ and $n_p(\mathfrak{a}; \lambda)$ the order of λ as a pole of $Z_p(g; s)$ and $Z_p(\mathfrak{a}; s)$, respectively, then $n_p(g; \lambda) = n_p(\mathfrak{a}; \lambda)$ for $\lambda \neq -1$; moreover, if $n_p(\mathfrak{a}; -1) \geq 1$, then $n_p(g; -1) = n_p(\mathfrak{a}; -1) + 1$. The second assertion in the theorem follows from this and Theorem 1.1. \square

Remark 3.1. For the sake of simplicity, we assumed in Theorem 1.4 that \mathfrak{a} is an ideal in $\mathbf{Z}_p[x_1, \dots, x_n]$. A similar formula holds, with the same proof, if we assume that $f \in O_K[x_1, \dots, x_n]$, where O_K is the ring of integers of a p -adic field K . Moreover, the proof generalizes immediately to the case of the motivic zeta functions of Denef and Loeser [DL98]. In this case, we see that if X is a smooth complex algebraic variety, \mathfrak{a} is the coherent ideal generated by $f_1, \dots, f_r \in \mathcal{O}_X(X)$, and $g = \sum_{i=1}^r f_i y_i$, then the motivic zeta functions $Z_{\text{mot}}(g; s)$ and $Z_{\text{mot}}(\mathfrak{a}; s)$ of g and \mathfrak{a} , respectively, are related by the following formula

$$Z_{\text{mot}}(g; s) = \frac{1 - \mathbf{L}^{-1}}{1 - \mathbf{L}^{-(s+1)}} Z_{\text{mot}}(\mathfrak{a}; s).$$

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