

INFINITE PARTIAL SUMSETS IN THE PRIMES

By

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To Peter, with admiration

Abstract. We show that there exist infinite sets $A = \{a_1, a_2, \dots\}$ and $B = \{b_1, b_2, \dots\}$ of natural numbers such that $a_i + b_j$ is prime whenever $1 \leq i < j$.

1 Introduction

In [9], Erdős asked the question of whether, given a subset A of the natural numbers $\mathbb{N} = \{1, 2, 3, \dots\}$ of positive upper density, there existed an infinite subset B of A and a natural number t such that $b + b' + t \in A$ for all distinct b, b' in B . This conjecture was recently proven in [19], using techniques from ergodic theory and topological dynamics. It is natural to ask whether the same result holds if the set A is replaced by the primes $\mathcal{P} = \{2, 3, 5, \dots\}$. Our first result is the observation that one can answer this question affirmatively assuming the Dickson–Hardy–Littlewood conjecture, which we now pause to recall.

Definition 1.1 (Admissible and prime-producing tuples). A tuple $(h_1, \dots, h_k)$ of natural numbers is said to be an **admissible tuple** if it avoids at least one residue class mod p for each prime p . A tuple $(h_1, \dots, h_k)$ is said to be **prime-producing** if there are infinitely many n such that $n + h_1, \dots, n + h_k$ are simultaneously prime.

It is easy to see that every prime-producing tuple must be admissible. In the converse direction, we have

Conjecture 1.2 (Dickson–Hardy–Littlewood conjecture). *Every admissible tuple $(h_1, \dots, h_k)$ is prime-producing.*

This conjecture is a special case of a conjecture of Dickson [7], with the case $k = 2$ being an older conjecture of de Polignac [6]; the specific case of the tuple $(0, 2)$ is of course the infamous twin prime conjecture. In [16], Hardy and

Littlewood proposed a more quantitative asymptotic for $\sum_{n \leq x} \Lambda(n+h_1) \dots \Lambda(n+h_k)$ which implies Conjecture 1.2, but we will not need this stronger conjecture here. Conjecture 1.2 is immediate from the infinitude of primes when $k = 1$, but the existence of even a single prime-producing pair (h_1, h_2) was only established relatively recently by Zhang [24]. Shortly afterwards, Maynard [20] showed the existence of prime-producing k -tuples for any k ; indeed, every admissible k -tuple contains a prime-producing l -tuple for some $l \gg \log k$. In [22] it was shown that for $k = 50$ one can take $l = 2$.

Assuming Conjecture 1.2 one can show an analogue of the Erdős question for the primes:

Theorem 1.3 (Infinite restricted sumsets in the shifted primes). *Assume Conjecture 1.2. Then there exists an infinite set B of primes such that $b + b' + 1$ is prime for every distinct $b, b' \in B$.*

Theorem 1.3 turns out to be easily established by iteratively applying Conjecture 1.2 to increasingly large admissible tuples; we give the short proof in Section 2. We remark that it was previously shown (among other¹ things) in [12] that Conjecture 1.2 implied that the primes contained the sumset $A + B$ of two infinite sumsets A, B ; Theorem 1.3 provides a new proof of this claim. Also, it follows from the results in [1] (see also [13, Examples 4, 9]; the companion results in [14], [15] are not needed here in this “complexity one” situation) that the above theorem holds unconditionally if “infinite set” is replaced by “arbitrarily large finite sets”.

Remark 1.4. In view of the results in [19], one might also ask if Theorem 1.3 continued to hold if one replaced the set of primes $\mathcal{P}$ by some positive (relative) density subset. However, this is not the case. Indeed, if A was any subset of the primes for which there existed an infinite set B for which $b + b' + 1 \in A$ for all distinct $b, b' \in B$, then the set A would necessarily contain bounded gaps infinitely often (since if b_1, b_2 are two elements of B then A must contain infinitely many pairs of the form $n + b_1, n + b_2$). However, it is easy to construct a subset A of the primes of relative density 1 whose gaps between consecutive entries goes to infinity; for instance, if $h: \mathbb{R}^+ \rightarrow \mathbb{R}^+$ is any function with $\frac{h(x)}{\log x} \rightarrow 0$ and $h(x) \rightarrow \infty$ as $x \rightarrow \infty$, one can take A to consist of all primes $p \geq 100$ such that there are no primes in the interval $[p + 1, p + h(p)]$, since it follows from [11, Theorem 2] that A has relative density 1 amongst all the primes, yet the gaps between consecutive entries of A clearly go to infinity. A similar remark applies to Theorem 1.5 (or Corollary 1.6) below.

¹For instance, Granville also shows assuming Conjecture 1.2 that the primes contain k -fold sumsets $A_1 + \dots + A_k$ of infinite sets $A_1, \dots, A_k$ for any k .

Now we turn to what one can say unconditionally, i.e., without assuming Conjecture 1.2. Our main result in this direction is

Theorem 1.5 (Ascending chain of prime-producing tuples). *There exists an infinite sequence $h_1 < h_2 < \dots$ of natural numbers, such that the k -tuple $(h_1, \dots, h_k)$ is prime-producing for every k .*

One can rephrase this theorem in an equivalent form:

Corollary 1.6 (Primes contain half of an infinite sumset). *There exist infinite sequences $a_1 < a_2 < \dots$ and $b_1 < b_2 < \dots$ of natural numbers such that $a_i + b_j$ is prime whenever $1 \leq i < j$.*

Proof. Take $a_i = h_i$ to be the sequence from Theorem 1.5. By that theorem, for each j there exist infinitely many b_j such that $a_i + b_j$ is prime for all $1 \leq i < j$. In particular, one can choose the b_j to be increasing in j , and the claim follows. $\square$

In the converse direction, it is immediate that Corollary 1.6 implies Theorem 1.5, since the k -tuple $(a_1, \dots, a_k)$ is clearly prime-producing for every k . The abstract equivalence of these results (with the primes replaced by a more general set) was previously observed in [4]. In the language of [4], these results assert that the primes are not an R_W -set, while in the language of [23], they assert that the primes are not a translation-finite set.² Inserting Theorem 1.5 into the results of [23], we also conclude that there exist bounded functions supported on the primes that are not weakly almost periodic in $\ell^\infty(\mathbb{Z})$. See [5] for further discussion of the properties of translation-finite sets.

Corollary 1.6 also implies the result of Maynard [20] that arbitrarily long prime-producing tuples exist. Indeed, Theorem 1.5 will be established by adapting the sieve of Maynard [20] (as modified in [22], [2]), as well as using the intersectivity lemma of Bergelson [3]; we do so in Sections 3, 4.

The proof of Corollary 1.6 in fact allows one to place the sequence a_i inside any specified infinite admissible set; for instance one could require the a_i to be odd square numbers if desired. See Proposition 5.1. As a consequence of this stronger statement, one can establish that the orbit closure of the primes is uncountable; see Corollary 5.2.

A similar result to Corollary 1.6, in which the $a_i + b_j$ are required to be sums of two squares rather than prime, was recently³ established by McGrath [21, Theorem 1.4], with applications to the failure of quantum ergodicity for high dimensional

²This answers a question of Yemon Choi in mathoverflow.net/questions/3347 in the negative.

³We thank James Maynard for this reference.

flat tori [17]. As with our result, one can place the a_i inside any specified admissible set, such as (any constant multiple of) the set of odd squares, though for technical reasons the result in [21] requires that the a_i are divisible by 4. The methods of proof are similar (in particular relying on the Maynard sieve, the second moment method, and the pigeonhole principle), and so it seems likely that the arguments here could be adapted to give a slightly different⁴ proof of the results in [21] (using the half-dimensional version of the Maynard sieve developed in that paper).

1.1 Notation. We use $X = O(Y)$, $X \ll Y$, or $Y \gg X$ to denote the bound $|X| \leq CY$ for an absolute constant C , and if we say that $X = o(Y)$ as $N \rightarrow \infty$, we mean that $|X| \leq c(N)Y$ for some quantity $c(N)$ depending on N (and possibly some other fixed parameters) that goes to zero as $N \rightarrow \infty$ (holding all other parameters fixed).

For any natural numbers b, W , we use $b \pmod{W}$ to denote the residue class $b + W\mathbb{Z}$ in the cyclic group $\mathbb{Z}/W\mathbb{Z}$.

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2 Proof of Theorem 1.3

For any $k \geq 1$, define a **good k -tuple** to be an increasing k -tuple $(p_1, \dots, p_k)$ of primes $3 < p_1 < \dots < p_k$ of primes larger than three, such that $p_i + p_j + 1$ is prime, $p_{i+1} - p_i > 2$ for all $i \geq 1$, and p_i does not divide $p_j + 2$ for all $1 \leq i < j \leq k$. For instance, any prime $p > 3$ forms a good 1-tuple (p) . The key proposition to iterate is

⁴One technical difference is that the arguments in [21] require asymptotics for two-point correlations associated to a weight function adapted to sums of two squares, whereas for our approach upper bounds on these two-point correlations suffice, mainly thanks to our use of the Bergelson intersectivity lemma which is not used in [21].

Proposition 2.1 (Extending a good tuple). *Assume Conjecture 1.2, and let $k \geq 1$. Then any good k -tuple $(p_1, \dots, p_k)$ can be extended to a good $k+1$ -tuple $(p_1, \dots, p_{k+1})$.*

Proof. We first verify that the $k+2$ -tuple $(0, 2, p_1+1, \dots, p_k+1)$ is admissible. If p is a prime not equal to any of the $p_1, \dots, p_k$, then this $k+2$ -tuple avoids the residue class $1 \bmod p$. If instead $p = p_i$ for some $1 \leq i \leq k$, then the $k+2$ -tuple avoids the residue class $-1 \bmod p_i$; this is clear for $0, 2, p_1+1, \dots, p_i+1$ since $p_i > 3$, and also for $p_j+1, j > i$ since we are assuming that p_i does not divide p_j+2 . This establishes admissibility. Applying Conjecture 1.2, we can find $n > p_k+2$ such that $n, n+2, n+p_1+1, \dots, n+p_k+1$ are all prime. Setting $p_{k+1} := n$, we conclude in particular that $p_1 < \dots < p_{k+1}$ is a good tuple, as claimed. $\square$

Iterating this proposition starting from (say) $p_1 = 5$, we can find an infinite sequence $p_1 < p_2 < \dots$ of primes such that $p_i + p_j + 1$ is prime for all $1 \leq i < j$, and Theorem 1.3 follows.

Remark 2.2. One can view the above construction using the dynamical systems framework of [19] (and indeed our arguments were initially inspired by this framework). Let X denote⁵ the orbit closure of the primes $\mathcal{P}$, that is to say the closure in the product topology of the shifts $\mathcal{P} + t, t \in \mathbb{Z}$ of the primes, viewed as elements of the Cantor space $2^{\mathbb{Z}} = \{A : A \subset \mathbb{Z}\}$. This is a compact space endowed with a shift homeomorphism $T : X \rightarrow X$ defined by $TA := A - 1$. Using the quantitative form of the Hardy–Littlewood conjecture and standard upper bound sieves, X can in fact be described explicitly as the collection of all shifts $\mathcal{P} + t$ of $\mathcal{P}$, together with the collection of all admissible tuples of integers (either finite or infinite). Following [19, Definition 2.1], define an **Erdős progression** to be a triple (x_0, x_1, x_2) of points $x_0, x_1, x_2 \in X$, such that there exists an increasing sequence $n_1 < n_2 < \dots$ of integers such that $T^{n_i}x_0 \rightarrow x_1$ and $T^{n_i}x_1 \rightarrow x_2$ as $i \rightarrow \infty$. By repeating the arguments at the end of [19, §2], to establish the conclusion of Theorem 1.3, it suffices to find an Erdős progression $(\mathcal{P}, x_1, x_2)$ with x_1 in the open cylinder set

$$\{A \subset \mathbb{Z} : 0 \in A\}$$

and x_2 in the open cylinder set

$$\{A \subset \mathbb{Z} : 1 \in A\}$$

(that is to say, $0 \in x_1$ and $1 \in x_2$). One can check that the construction given above indeed generates an Erdős progression $(\mathcal{P}, x_1, x_2)$ with

$$\{0, p_1+1, p_2+1, \dots\} \subset x_1$$

⁵This space is denoted $X_{1,\mathcal{P}}$ in [8].

and

$$\{1\} \subset x_2$$

(indeed, under a quantitative form of the Hardy–Littlewood conjecture and standard upper bound sieves, one can turn these inclusions into equalities).

3 An application of Bergelson’s intersectivity lemma

We now begin the proof of Theorem 1.5. We will need the following application of the Maynard sieve, which we will prove in later sections.

Proposition 3.1 (Application of Maynard sieve). *Let $J_1, \dots, J_I \geq 2$ be natural numbers, and let $(h_{i,j})_{1 \leq i \leq I; 1 \leq j \leq J_i}$ be an admissible $\sum_{i=1}^I J_i$ -tuple. Let $\theta_1, \dots, \theta_I > 0$ be real numbers with $\sum_{i=1}^I \theta_i \leq 1$. Then if N is sufficiently large depending on all previous parameters, there exists a probability measure ν on the integers in $[N, 2N]$ such that*

$$\nu(\{n : n + h_{i,j} \in \mathcal{P}\}) \gg \theta_i \frac{\log J_i}{J_i}$$

for all $1 \leq i \leq I$ and $1 \leq j \leq J_i$, and

$$\nu(\{n : n + h_{i,j}, n + h_{i,j'} \in \mathcal{P}\}) \ll \left(\theta_i \frac{\log J_i}{J_i} \right)^2$$

for all $1 \leq i \leq I$ and $1 \leq j < j' \leq J_i$.

Let us assume this proposition for now and complete the proof of Theorem 1.5. Set $J_i := 2^{2^i}$ for all $i \geq 1$, let $\Sigma \subset \mathbb{N}^2$ denote the countably infinite index set

$$\Sigma := \{(i, j) \in \mathbb{N}^2 : 1 \leq j \leq J_i\}$$

and let $(h_{i,j})_{(i,j) \in \Sigma}$ be an infinite sequence of distinct odd squares, which we can assume to be increasing in the sense that $h_{i,j} < h_{i',j'}$ whenever $i < i'$. The point of using odd squares is that any finite tuple of the $(h_{i,j})_{(i,j) \in \Sigma}$ is necessarily admissible, since for every odd prime p there is at least one quadratic nonresidue mod p . By the preceding proposition with $\theta_i := 2^{-i}$, we can find a sequence N_I going to infinity as $I \rightarrow \infty$, and a probability measure ν_I on the integers in $[N_I, 2N_I]$ for every I , such that for each I we have

$$(3.1) \quad \nu_I(\{n : n + h_{i,j} \in \mathcal{P}\}) \gg \frac{1}{J_i}$$

for all $1 \leq i \leq I$ and $1 \leq j \leq J_i$, and

$$(3.2) \quad \nu_I(\{n : n + h_{i,j}, n + h_{i,j'} \in \mathcal{P}\}) \ll \frac{1}{J_i^2}$$

for all $1 \leq i \leq I$ and $1 \leq j < j' \leq J_i$.

Next, we apply a variant of the Furstenberg correspondence principle [10] to pass to a suitable limit as $I \rightarrow \infty$. Consider the map $\phi: \mathbb{N} \rightarrow 2^\Sigma$ defined by

$$\phi(n) := \{(i, j) \in \Sigma : n + h_{i,j} \in \mathcal{P}\},$$

and let $\mu_I := \phi_* \nu_I$ be the (Borel) probability measure on the Cantor space 2^Σ formed by pushing forward ν_I by ϕ ; thus

$$\mu_I(E) := \nu_I\{n : \phi(n) \in E\}$$

for any measurable $E \subset 2^\Sigma$. In particular, if we let $E_{i,j} \subset 2^\Sigma$ denote the (clopen) events

$$E_{i,j} := \{A \in 2^\Sigma : (i, j) \in A\},$$

then from (3.1), (3.2) we have

$$(3.3) \quad \mu_I(E_{i,j}) \gg \frac{1}{J_i}$$

for all $1 \leq i \leq I$ and $1 \leq j \leq J_i$, and

$$(3.4) \quad \mu_I(E_{i,j} \cap E_{i,j'}) \ll \frac{1}{J_i^2}$$

for all $1 \leq i \leq I$ and $1 \leq j < j' \leq J_i$.

By the Banach–Alaoglu theorem, there exists a (Borel) probability measure μ on 2^Σ that is a limit point (in the vague topology) of the μ_I . In particular, from (3.3), (3.4) we have

$$(3.5) \quad \mu(E_{i,j}) \gg \frac{1}{J_i}$$

for all $i \geq 1$ and $1 \leq j \leq J_i$, and

$$(3.6) \quad \mu(E_{i,j} \cap E_{i,j'}) \ll \frac{1}{J_i^2}$$

for all $i \geq 1$ and $1 \leq j < j' \leq J_i$.

Now we use the second moment method (as in [2]). For each $i \geq 1$, we have from (3.5), (3.6) that

$$\int_{2^\Sigma} \sum_{j=1}^{J_i} 1_{E_{i,j}} d\mu \gg \frac{J_i}{J_i} = 1$$

and

$$\begin{aligned} \int_{2^\Sigma} \left(\sum_{j=1}^{J_i} 1_{E_{i,j}} \right)^2 d\mu &\ll \frac{J_i^2}{J_i^2} + \int_{2^\Sigma} \sum_{j=1}^{J_i} 1_{E_{i,j}} d\mu \\ &\ll \int_{2^\Sigma} \sum_{j=1}^{J_i} 1_{E_{i,j}} d\mu. \end{aligned}$$

Since $\sum_{j=1}^{J_i} 1_{E_{i,j}}$ is supported on the event

$$E_i := \bigcup_{j=1}^{J_i} E_{i,j}$$

we have from Cauchy–Schwarz that

$$\left(\int_{2^\Sigma} \sum_{j=1}^{J_i} 1_{E_{i,j}} d\mu \right)^2 \leq \mu(E_i) \int_{2^\Sigma} \left(\sum_{j=1}^{J_i} 1_{E_{i,j}} \right)^2 d\mu.$$

Putting these inequalities together, we conclude that

$$\mu(E_i) \gg 1$$

for all i .

Now we invoke the following result of Bergelson [3]:

Lemma 3.2 (Bergelson intersectivity lemma, [3, Theorem 1.1]). *Let $E_1, E_2, E_3, \dots$ be events in a probability space (X, μ) such that*

$$\inf_i \mu(E_i) > 0.$$

Then there exists $1 \leq i_1 < i_2 < \dots$ such that

$$\mu(E_{i_1} \cap \dots \cap E_{i_k}) > 0$$

for all k . In fact one can take the set $\{i_1, i_2, \dots\}$ to have positive upper density.

Applying this lemma, we can find $1 \leq i_1 < i_2 < \dots$ such that

$$\mu(E_{i_1} \cap \dots \cap E_{i_k}) > 0$$

for all k . By repeated application of the pigeonhole principle, we may thus find $1 \leq j_r \leq J_{i_r}$ for all $r \geq 1$ such that

$$\mu(E_{i_1, j_1} \cap \dots \cap E_{i_k, j_k}) > 0$$

for all k . For each such k , we conclude from vague convergence that

$$\mu_I(E_{i_1, j_1} \cap \dots \cap E_{i_k, j_k}) > 0$$

for infinitely many I . This implies that for infinitely many I , there exists $n \in [N_I, 2N_I]$ such that

$$n + h_{i_1, j_1}, \dots, n + h_{i_k, j_k} \in \mathcal{P}.$$

Since the N_I go to infinity as $I \rightarrow \infty$, we conclude that the tuple $(h_{i_1 j_1}, \dots, h_{i_k j_k})$ is prime-producing for every k . This establishes Theorem 1.5 assuming Proposition 3.1.

It remains to establish Proposition 3.1. This will be done in the next section.

Remark 3.3. We did not utilize the conclusion that $\{i_1, i_2, \dots\}$ had positive upper density, or equivalently that $i_k = O(k)$ for infinitely many k . If one does so, and also optimizes the values of θ_i, J_i , one can ensure⁶ that for any admissible $h_1 < h_2 < \dots$, there exists a subsequence $h_{i_1} < h_{i_2} < \dots$ with $i_k \leq \exp(k^{1+o(1)})$ for infinitely many k , such that $h_{i_1}, \dots, h_{i_k}$ is prime-producing for every k . Setting the h_i to be the odd squares (for instance), we can thus ensure that the sequence a_i in Theorem 1.6 obeys the bound $a_i \leq \exp(i^{1+o(1)})$ for infinitely many i ; we leave the details to the interested reader. Any significant quantitative improvement to the Maynard sieve would lead to improved bounds on the i_k or the a_i .

4 The Maynard sieve

We first present a version of the Maynard sieve [20] which is a slight variant of the version presented in [2]. Let $J_1, \dots, J_I, \theta_i, h_{i,j}, N$ be as in Proposition 3.1. We define $\Sigma_I \subset \mathbb{N}^2$ to be the finite set

$$\Sigma_I := \{(i, j) \in \mathbb{N}^2 : i \leq I; j \leq J_i\}.$$

Let $F: [0, +\infty)^\Sigma \rightarrow \mathbb{R}$ be a smooth compactly supported function be chosen later, that is assumed to be not identically zero and of the form

$$(4.1) \quad F((t_{i,j})_{(i,j) \in \Sigma_I}) = \sum_{\alpha \in A} \prod_{(i,j) \in \Sigma_I} F_{\alpha, i, j}(t_{i,j})$$

for some finite index set A and some smooth compactly supported functions $F_{\alpha, i, j}: [0, +\infty) \rightarrow \mathbb{R}$ such that

$$(4.2) \quad \sum_{(i,j) \in \Sigma_I} \sup\{t : F_{\alpha, i, j}(t) \neq 0\} \leq \frac{1}{10}.$$

We define the sieve weights

$$(4.3) \quad \lambda_{(d_{i,j})_{(i,j) \in \Sigma_I}} := \prod_{(i,j) \in \Sigma_I} \mu(d_{i,j}) \sum_{\alpha \in A} \prod_{(i,j) \in \Sigma_I} F'_{\alpha, i, j}\left(\frac{\log d_{i,j}}{\log N}\right)$$

and then define the integral quantity

$$I(F) := \int_0^\infty \cdots \int_0^\infty F((t_{i,j})_{(i,j) \in \Sigma_I}) \prod_{(i,j) \in \Sigma_I} dt_{i,j}.$$

⁶We thank Freddie Manners and Vitaly Bergelson for suggesting this refinement.

Also, for any $(i_1, j_1) \in \Sigma_I$, we define the integral quantity

$$J_{(i_1, j_1)}(F) := \int_0^\infty \cdots \int_0^\infty \left(\int_0^\infty F((t_{i,j})_{(i,j) \in \Sigma_I}) dt_{i_1, j_1} \right)^2 \prod_{(i,j) \in \Sigma_I \setminus \{(i_1, j_1)\}} dt_{i,j},$$

and similarly for any distinct $(i_1, j_1), (i_2, j_2) \in \Sigma_I$, we define the integral quantity

$$L_{(i_1, j_1), (i_2, j_2)}(F) := \int_0^\infty \cdots \int_0^\infty \left(\int_0^\infty \int_0^\infty F((t_{i,j})_{(i,j) \in \Sigma_I}) dt_{i_1, j_1} dt_{i_2, j_2} \right)^2 \prod_{(i,j) \in \Sigma_I \setminus \{(i_1, j_1), (i_2, j_2)\}} dt_{i,j}.$$

We set⁷

$$W := \prod_{p \leq \log \log \log N} p.$$

Since $(h_{i,j})_{(i,j) \in \Sigma_I}$ is admissible, we see from the Chinese remainder theorem that we may find a residue class $b \pmod{W}$ (depending on N , of course) such that $b + h_{i,j} \pmod{W}$ is a primitive residue class for all $(i, j) \in \Sigma_I$. Fix this choice of b . We let

$$k := \sum_{i=1}^I J_i$$

denote the cardinality of Σ_I , and introduce the quantity

$$B := \frac{\phi(W)}{W} \log N.$$

The following proposition is a slight variant of [2, Lemma 4.5], and is proven in essentially the same fashion.

Proposition 4.1 (Maynard sieve). *If $w: \mathbb{N} \rightarrow \mathbb{R}^+$ denotes the sieve weight*

$$w(n) := 1_{N < n \leq 2N: n \equiv b \pmod{W}} \left(\sum_{(d_{i,j})_{(i,j) \in \Sigma_I}: d_{i,j} | n + h_{i,j} \forall (i,j) \in \Sigma_I} \lambda_{(d_{i,j})_{(i,j) \in \Sigma_I}} \right)^2$$

then one has the estimates

$$(4.4) \quad \sum_n w(n) = (1 + o(1)) \frac{N}{W} B^{-k} I(F),$$

$$(4.5) \quad \sum_n 1_{\mathcal{P}}(n + h_{(i_1, j_1)}) w(n) = (1 + o(1)) \frac{N}{W} B^{-k} J_{(i_1, j_1)}(F),$$

$$(4.6) \quad \sum_n 1_{\mathcal{P}}(n + h_{(i_1, j_1)}) 1_{\mathcal{P}}(n + h_{(i_2, j_2)}) w(n) \ll \frac{N}{W} B^{-k} L_{(i_1, j_1), (i_2, j_2)}(F),$$

for all distinct $(i_1, j_1), (i_2, j_2) \in \Sigma_I$ in the limit as $N \rightarrow \infty$ (keeping all other parameters fixed).

⁷One can in fact work with significantly larger values of W if desired (as large as a small power of N), as long as one excludes primes associated with a potential exceptional modulus: see [2].

Sketch of proof. The first asymptotic (4.4) follows from expanding out the weight $w(n)$ and applying [22, Theorem 3.6(i)] much as in the calculations after [22, (85)]. The second asymptotic (4.5) similarly follows from [22, Theorem 3.5(i)] (with $\theta = 1/2$, as per the Bombieri–Vinogradov inequality), again following the calculations after [22, (85)]. To obtain the final upper bound (4.6), we use the argument from the proof of [2, Lemma 4.5(iii)], namely we note the pointwise bound

$$1_{\mathcal{P}}(n + h_{(i_2, j_2)})w(n) \leq \tilde{w}(n)$$

where $\tilde{w}$ is defined as in w , except that the functions F_{α, i_2, j_2} used (via (4.3)) to define w are replaced with the function $\tilde{F}_{\alpha, i_2, j_2}$ defined by

$$\tilde{F}_{\alpha, i_2, j_2}(t) := F_{\alpha, i_2, j_2}(0)G(t)$$

where $G: [0, +\infty) \rightarrow \mathbb{R}$ is a fixed smooth function supported on $[0, 1/10]$ which equals 1 at the origin (the exact choice of G is not important as we are not trying to optimize the implied constant in (4.6)). Inserting this bound, we can bound the left-hand side of (4.6) by the left-hand side of (4.5) (with w replaced by $\tilde{w}$), and then by repeating the calculations used to prove (4.5) (as in the proof of [2, Lemma 4.5(iii)]) we obtain the desired bound. $\square$

As a corollary of this proposition, if we take ν to be the probability measure on $[N, 2N]$ with density

$$\frac{w(n)}{\sum_n w(n)},$$

then for N sufficiently large, we have

$$(4.7) \quad \nu(\{n : n + h_{i_1, j_1} \in \mathcal{P}\}) \gg \frac{J_{(i_1, j_1)}(F)}{I(F)}$$

and

$$(4.8) \quad \nu(\{n : n + h_{i_1, j_1}, n + h_{i_2, j_2} \in \mathcal{P}\}) \ll \frac{L_{(i_1, j_1), (i_2, j_2)}(F)}{I(F)}$$

for all distinct $(i_1, j_1), (i_2, j_2) \in \Sigma_I$.

For any fixed i , we can use an argument from [2] (which in turn is based on calculations in [20]). To use these bounds, we use a further lemma from [2] to construct a suitable preliminary weight function F_i for each index i .

Lemma 4.2 (Maynard sieve weight). *For each $i = 1, \dots, I$, there exists a smooth compactly supported function $F_i : [0, +\infty)^{J_i} \rightarrow \mathbb{R}$, not identically zero, of the form*

$$F_i(t_{i,1}, \dots, t_{i,J_i}) = \sum_{\alpha \in A_i} \prod_{j=1}^{J_i} \tilde{F}_{\alpha, i, j}(t_{i,j})$$

for some smooth compactly supported $\tilde{F}_{\alpha,i,j} : [0, +\infty) \rightarrow \mathbb{R}^+$ and some finite index set A_i , such that

$$\sum_{j=1}^{J_i} \sup\{t : F_{\alpha,i,j}(t) \neq 0\} \leq \frac{1}{10}$$

for all $\alpha \in A_i$, and such that

$$J_{(i,j)}(F_i) \gg \frac{\log J_i}{J_i} I(F_i)$$

and

$$L_{(i,j),(i,j')}(F_i) \ll \left(\frac{\log J_i}{J_i}\right)^2 I(F_i)$$

for all distinct $j, j' \in \{1, \dots, J_i\}$, where the quantities $I(F_i)$, $J_{(i,j)}(F_i)$ and $L_{(i,j),(i,j')}(F_i)$ are defined similarly to $I(F)$, $J_{(i_1,j_1)}(F)$, $L_{(i_1,j_1),(i_2,j_2)}(F)$ but with the index set Σ_I replaced by the slice $\{(i, j) : 1 \leq j \leq J_i\}$.

Proof. One can assume J_i to be large, as the claim is trivial for bounded $J_i \geq 2$. The claim now follows from [2, Lemma 4.6] (with $k = J_i$ and $\rho = \delta = 1/10$, say) and some obvious relabeling. $\square$

If one now defines

$$F((t_{i,j})_{(i,j) \in \Sigma_I}) := \prod_{i=1}^I F_i(t_{i,1}/\theta_i, \dots, t_{i,J_i}/\theta_i),$$

then one easily verifies that F is of the required form (4.1) (with the condition (4.2) being obeyed), and from Fubini's theorem and a change of variables one has

$$J_{(i,j)}(F) \gg \theta_i \frac{\log J_i}{J_i} I(F)$$

and

$$L_{(i,j),(i,j')}(F) \ll \left(\theta_i \frac{\log J_i}{J_i}\right)^2 I(F)$$

for all distinct $(i, j), (i, j') \in \Sigma_I$. Inserting these bounds into (4.7), (4.8), we obtain Proposition 3.1 and hence Theorem 1.5.

5 Consequences for the orbit closure of the primes

We thank Joel Moreira for suggesting the following remarks.

The orbit closure X of the primes in Remark 2.2 is clearly contained in the union of the set $T^{\mathbb{Z}}\mathcal{P} = \{\mathcal{P} + t : t \in \mathbb{Z}\}$ of finite translates of the primes, and the collection $\mathcal{A}$ of all (finite and infinite) admissible subsets of $\mathbb{Z}$; these sets are disjoint

since the primes are not admissible (they do not avoid any residue class mod 2). Observe that a finite tuple is prime-producing if and only if it is contained in an element of $X \cap \mathcal{A}$. As mentioned in the previous remark, the quantitative form of the Hardy–Littlewood prime tuples conjecture would imply (using standard upper bound sieves) that in fact $X = T^{\mathbb{Z}}\mathcal{P} \cup \mathcal{A}$; see also [8, Remark 1.2] for an alternate proof. Of course, this statement is out of reach unconditionally; even showing that $\{0, 2\}$ for instance was in X would imply the twin prime conjecture (and is morally equivalent to it). However, our methods of proof do show

Proposition 5.1. *Let A be an infinite admissible set of integers. Then there exists an infinite subset A' of A that is contained in X . In particular, X contains at least one infinite admissible set.*

This can be compared with the result of Maynard [20] that any finite admissible k -tuple contains a prime-producing subtuple of cardinality $\gg \log k$.

Proof. (Sketch) Repeat the proof of Theorem 1.5, but with all the $h_{i,j}$ chosen from A (rather than from the odd squares). The Maynard sieve calculations used to prove Proposition 3.1 also yield the additional bound

$$v(\{n : n + h \in \mathcal{P}\}) = o(1)$$

as $N \rightarrow \infty$ for any fixed h equal to any of the $h_{i,j}$; in fact the right-hand side can be replaced with $O(B^{-1})$ (where the implied constants can depend on the parameters $I, J_i, h_{i,j}, \theta_i$). The proof of Theorem 1.5 then produces an infinite sequence $h_{i_1,j_1}, h_{i_2,j_2}, \dots$ in A with the property that for any k , there exist infinitely many n such that

$$n + h_{i_1,j_1}, \dots, n + h_{i_k,j_k} \in \mathcal{P}$$

but also that $n + h \notin \mathcal{P}$ for any h in $\{-k, \dots, k\}$ not equal to any of the $h_{i,j}$. Taking weak limits of $\mathcal{P} - n$, we conclude that the orbit closure of X contains a subset of A that contains all of the $h_{i_1,j_1}, h_{i_2,j_2}, \dots$, and is hence infinite, giving the claim. $\square$

This proposition has the following corollary, answering a question of Marius Lemańczyk (private communication):

Corollary 5.2. *Every infinite admissible subset A of integers contains a family of infinite subsets in X of the cardinality of the continuum. In particular, X is uncountable.*

We remark that the uncountability of $\mathcal{A}$ was previously observed in [8, Remark 2.41].

Proof. We use an argument of Joel David Hamkins.⁸ Use the elements of the countably infinite set A to enumerate the entries of an infinite binary tree B . The number of branches of this tree has the cardinality of the continuum. Each branch determines an infinite subset A' of A , which inherits the admissibility of A , thus by Proposition 5.1 contains a further infinite set A'' in X . Since any two branches of B have only finitely many nodes in common, the sets A'' are all distinct, and the claim follows.

Remark 5.3. An alternate way to prove Corollary 5.2 (communicated to us by Mariusz Lemańczyk and Forte Shinko) proceeds by first using Proposition 5.1 and a variant of the Cantor diagonal argument to show that A contains uncountably many subsets in X , and then appealing to the Cantor–Bendixon theorem [18, Theorem 6.5] to show that this family of subsets (being a closed uncountable subset of a Cantor space) contains a non-empty perfect set and hence has the cardinality of the continuum.

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