

# Gemini near-infrared spectroscopy of high-redshift Fermi blazars: jetted black holes in the early universe were overly massive

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## ABSTRACT

Jetted active galactic nuclei (AGNs) are the principal extragalactic  $\gamma$ -ray sources. Fermi-detected high-redshift ( $z > 3$ ) blazars are jetted AGNs thought to be powered by massive, rapidly spinning supermassive black holes (SMBHs) in the early universe ( $< 2$  Gyr). They provide a laboratory to study early black hole (BH) growth and super-Eddington accretion – possibly responsible for the more rapid formation of jetted BHs. However, previous virial BH masses of  $z > 3$  blazars were based on C IV  $\lambda 1549$  in the observed optical, but C IV  $\lambda 1549$  is known to be biased by strong outflows. We present new Gemini/GNIRS near-infrared spectroscopy for a sample of nine  $z > 3$  Fermi  $\gamma$ -ray blazars with available multiwavelength observations that maximally sample the spectral energy distributions (SEDs). We estimate virial BH masses based on the better calibrated broad H  $\beta$  and/or Mg II  $\lambda 2800$ . We compare the new virial BH masses against independent mass estimates from SED modelling. Our work represents the first step in campaigning for more robust virial BH masses and Eddington ratios for high-redshift Fermi blazars. Our new results confirm that high-redshift Fermi blazars indeed host overly massive SMBHs as suggested by previous work, which may pose a theoretical challenge for models of the rapid early growth of jetted SMBHs.

**Key words:** galaxies: active – quasars: supermassive black holes.

## 1 INTRODUCTION

Active supermassive black holes (SMBHs) with masses  $\gtrsim 10^9 M_\odot$  powering the most luminous quasars have formed when the universe was less than a Gyr after the big bang (e.g. Wang et al. 2021). Understanding how SMBHs formed so quickly is a major outstanding problem in modern astrophysics (Natarajan 2014; Reines & Comastri 2016; Inayoshi, Visbal & Haiman 2020), because their presence in large number densities may pose a challenge to modelling their rapid formation and subsequent growth (Johnson & Haardt 2016). High-redshift ( $z > 3$ ) blazars are ideal laboratories to study early SMBH growth within the first 2 Gyr of the universe. These sources are active galactic nuclei (AGNs) powered by billion solar mass black holes (BHs) with our line of sight lying within an angle  $\sim 1/\Gamma$  of the jet axis, where  $\Gamma$  is the jet bulk Lorentz factor ( $\Gamma \approx 10$ –15; Ghisellini et al. 2014).

The Fermi Large Area Telescope (Fermi-LAT; Atwood et al. 2009) has detected high-redshift ( $z > 3$ ) blazars, which are dominated by flat-spectrum radio quasars (Ackermann et al. 2017) with measured BH masses  $\gtrsim 10^9 M_\odot$  (Marcotulli et al. 2020). The observation of a single blazar implies the presence of  $\sim 2\Gamma^2$  misaligned, jetted systems with the same BH mass pointing at other directions, increasing the space density of SMBHs of jetted AGN by 10–20 per cent depending on the redshift bin (Sbarro et al. 2015; Ackermann et al. 2017).

The space density of high-redshift Fermi blazars implies that radio-loud systems with SMBHs  $\gtrsim 10^9 M_\odot$  are as common as radio-quiet systems, and they may be even more common at higher redshifts (Sbarro et al. 2015). Therefore, the jetted phase is likely a key ingredient for understanding rapid BH growth in the early universe.

While high-redshift Fermi blazars are not as distant as the highest-redshift quasars known, they still pose a challenge for early SMBH growth models. Jetted BHs are thought to be less efficient accretors due to higher radiative loss (e.g. from having a larger spin, if jet activity is correlated with the BH spin). For example, a spinning BH accreting at Eddington rate would need  $\sim 3.1$  Gyr to grow from a seed of  $\sim 100$  to  $\sim 10^9 M_\odot$  (Ghisellini et al. 2013). This implies that such massive, jetted BHs would not have had formed at  $z > 2$ , whereas their observed space density peaks around  $z \sim 4$  (Sbarro et al. 2015). Simulations suggest that BHs accreting at super-Eddington rates are characterized by strongly collimated outflows or jets (McKinney et al. 2014; Sądowski et al. 2014). High-redshift blazars provide a laboratory to study super-Eddington accretion that may have led to more rapid growth of the jetted SMBHs than non-jetted systems (Volonteri, Silk & Dubus 2015).

Accurate BH mass measurements are crucial in order to quantify blazar demographics and growth. However, the existing BH mass estimates of high-redshift blazars are highly uncertain. As listed in Tables 1 and 2, the existing BH masses of these high-redshift blazars were estimated using two methods: spectral energy distribution (SED) modelling of the accretion disc, and virial mass using C IV  $\lambda 1549$  (Paliya et al. 2020). Both estimates may have

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**Table 1.** Source properties for our blazar sample with GNIRS spectra. The SED-based masses (typical uncertainty of 0.3 dex) and accretion disc luminosities (typical uncertainty of 0.4 dex) are from Paliya et al. (2020). The continuum luminosities are measured from our GNIRS spectra at 5100 Å or <sup>1</sup> 3000 Å otherwise. The uncertainties on the continuum luminosities are measurement errors only. True uncertainties are dominated by systematic differences in data reduction and spectral fitting choices. Virial BH masses are given in Table 2.

Target	$z$	$M_{\text{BH, SED}}$ ( $\log M_{\odot}$ )	$L_{\text{disc}}$ ( $\text{erg s}^{-1}$ )	$R$ (mag)	$L_{5100}$ ( $\text{erg s}^{-1}$ )	S/N <sub>C IV</sub>	S/N <sub>Mg II</sub>	S/N <sub>H <math>\beta</math></sub>
J0337–1204	3.44	9.0	46.36	20.19	$46.055 \pm 0.009$	–	0.8	1.0
J0539–2839	3.14	9.3	46.70	18.97	$46.843 \pm 0.001$	–	5.9	0.6
J0733+0456	3.01	8.7	46.60	18.76	$46.034 \pm 0.007$	–	4.1	1.7
J0805+6144	3.03	9.0	46.34	19.81	$46.193 \pm 0.004$	–	2.9	1.2
J0833–0454	3.45	9.5	47.15	18.68	$46.497 \pm 0.003$	–	7.5	3.7
J1354–0206	3.72	9.0	46.78	19.64	$46.151 \pm 0.022$	2.1	0.8	0.9
J1429+5406	3.01	9.0	46.26	19.84	$45.956 \pm 0.015$	0.9	1.3	1.8
J1510+5702	4.31	9.6	46.63	19.89	$46.615 \pm 0.003^1$	2.9	0.6	–
J1635+3629	3.60	9.5	46.30	20.55	$46.145 \pm 0.006$	2.7	4.3	2.8

**Table 2.** Virial BH masses measured from broad-line detections (line S/N > 2) in our GNIRS (Mg II, H  $\beta$ ) or SDSS (C IV) spectra. All uncertainties are measurement errors only. True uncertainties are dominated by systematic differences in data reduction and spectral fitting choices. Missing table entries either do not have sufficient line detections to estimate a BH mass or are not covered within the wavelength range of the spectrum.

Target	$M_{\text{BH, C IV, cont}}$ ( $\log M_{\odot}$ )	$M_{\text{BH, Mg II, cont}}$ ( $\log M_{\odot}$ )	$M_{\text{BH, H \beta, cont}}$ ( $\log M_{\odot}$ )	$M_{\text{BH, C IV, line}}$ ( $\log M_{\odot}$ )	$M_{\text{BH, Mg II, line}}$ ( $\log M_{\odot}$ )	$M_{\text{BH, H \beta, line}}$ ( $\log M_{\odot}$ )
J0337–1204	–	–	–	–	–	–
J0539–2839	–	$9.67 \pm 0.13$	–	–	$9.09 \pm 0.15$	–
J0733+0456	–	$9.01 \pm 0.04$	–	–	$8.64 \pm 0.05$	–
J0805+6144	–	$9.82 \pm 0.14$	–	–	$9.49 \pm 0.16$	–
J0833–0454	–	$9.63 \pm 0.03$	$9.60 \pm 0.07$	–	$9.25 \pm 0.04$	$9.29 \pm 0.07$
J1354–0206	$9.61 \pm 0.06$	–	–	$9.32 \pm 0.06$	–	–
J1429+5406	–	–	–	–	–	–
J1510+5702	$9.60 \pm 0.07$	–	–	$9.39 \pm 0.07$	–	–
J1635+3629	$9.21 \pm 0.06$	$9.95 \pm 0.06$	$9.13 \pm 0.25$	$9.00 \pm 0.06$	$9.78 \pm 0.06$	$8.64 \pm 0.27$

significant problems. The SED masses are limited by: (1) the data quality to cleanly (with jet contamination) and fully (limited by the Ly  $\alpha$  forest absorption at the higher frequency range) sample the accretion disc emission and (2) the assumption of the standard disc model (Shakura & Sunyaev 1973), which may break down for highly spinning BHs.

We present new Gemini/GNIRS near-infrared (NIR) spectroscopy to obtain robust virial BH masses for nine Fermi blazars known at  $z > 3$  with existing multiwavelength observations that maximally sample the SEDs (Paliya et al. 2020). For the virial BH mass, C IV  $\lambda 1549$  is the only broad emission line covered by the existing optical spectra for  $z > 3$  blazars (Ackermann et al. 2017). However, it is well known that C IV  $\lambda 1549$  is a poor virial mass estimator (Shen & Liu 2012; Jun et al. 2015). While a general agreement is found between the SED-based and virial BH masses in 116 Fermi blazars at  $z < 3.2$  (Ghisellini & Tavecchio 2015), the virial masses of >95 per cent of the sample are based on H  $\beta$  and/or Mg II  $\lambda 2800$ , which do not suffer from the biases of C IV  $\lambda 1549$ . Indeed, the few objects with C IV  $\lambda 1549$ -based masses in low-redshift blazars exhibit the largest discrepancy with the SED-based masses. C IV  $\lambda 1549$  often shows significant blueshifts due to accretion disc winds and strong outflows, which may be particularly relevant for high-redshift blazars. Significant mass uncertainties induce errors in the mass function and Eddington ratio distribution, hampering a robust test of theories of early SMBH formation and growth. Therefore, we will use Gemini/GNIRS NIR spectroscopy to measure the broad H  $\beta$  and Mg II  $\lambda 2800$  to obtain robust virial BH masses. The sample selection, observations, and data analysis are presented in Section 2, our resulting virial BH masses, bolometric luminosities, and Eddington

ratios, along with comparison with the SDSS spectra and SED fitting are presented in Section 3, and we conclude in Section 4.

## 2 OBSERVATIONS AND DATA ANALYSIS

### 2.1 Sample selection

The targets are the farthest known Fermi-detected  $\gamma$ -ray blazars. A  $\gamma$ -ray detection is a definitive signature for the presence of a closely aligned relativistic jet. The targets are the nine  $\gamma$ -ray detected blazars presented in Paliya et al. (2020). They were identified in a systematic search of  $\gamma$ -ray counterparts detected by the Large Area Telescope onboard Fermi (Atwood et al. 2009) for all the  $z > 3$  radio-loud ( $R > 10$ , where  $R$  is the ratio of the rest-frame 5 GHz to optical  $B$ -band flux density) quasars in the Million Quasar Catalog (Flesch 2015). Compared with the Fermi blazars at lower redshifts, the  $z > 3$  Fermi blazars occupy the region of high  $\gamma$ -ray luminosities and soft photon indices, typical of powerful blazars; their Compton dominance (i.e. ratio of the inverse Compton to synchrotron peak luminosities) is large (>20), placing them among the most extreme blazar population (Ackermann et al. 2017).

The targets are all flat spectrum radio quasars with prominent emission lines (Urry & Padovani 1995) (rest-frame EW > 5 Å) suitable for virial BH mass measurements. They also have existing X-ray observations from the Chandra, *XMM-Newton*, and/or Swift-XRT data archives for maximally sampling the multiwavelength SEDs. The targets include nine of the 10  $\gamma$ -ray detected  $z > 3$  blazars in the fourth catalogue of the Fermi-LAT-detected AGNs

(Ajello et al. 2020). The remaining object is not included because it lacks existing X-ray data. While there are X-ray selected blazars at even higher redshifts, they are less suitable for this program because their SEDs are not as fully sampled.

## 2.2 SDSS spectroscopy

We searched the SDSS data release 18 data base for optical spectra. Four of our blazars, J1354–0206, J1429+5406, J1510+5702, and J1635+3629 have SDSS spectra, from which we will obtain C IV  $\lambda$ 1549 BH mass measurements.

## 2.3 Gemini/GNIRS observations

We conducted Gemini-N/GNIRS NIR spectroscopy for the nine  $z > 3$  Fermi blazars with the goal to measure H  $\beta$  (Mg II  $\lambda$ 2800) in the  $K$  ( $J$ ) band for the eight targets at redshift  $3.0 < z < 3.7$  and Mg II  $\lambda$ 2800 in the  $H$  band for the  $z = 4.31$  target in regions of high atmospheric transparency. We used the 32 line mm<sup>−1</sup> grating with a cross-dispersion and a 0.675 slit. This gives a spectral resolution of  $R \approx 800$  and covers the full  $J$ ,  $H$ , and  $K$  bands in the observed 0.8–2.5  $\mu$ m using nodded exposures along the slit for 120 s each. The observing conditions and resulting data quality varied. We were unable to detect broad emission lines in the NIR for three sources: J0337–1204, J1354–0206, and J1510+5702.

## 2.4 Data reduction and analysis

We used the PYEIT version 1.10.0 package (Prochaska et al. 2020a, b, c) to reduce the GNIRS spectra. The reduction pipeline steps include sky subtraction using standard A–B mode subtraction and a B-spline fitting, wavelength calibration using night-sky OH lines, cosmic ray rejection with L.A. COSMIC (van Dokkum, Bloom & Tewes 2012), flux calibration using spectroscopic standard stars, and telluric correction derived from fitting telluric models from the Line-By-Line Radiative Transfer Model (LBLRTM; Clough et al. 2005). The 1D spectra are extracted following the method of Horne (1986).

We fit the continuum and emission lines in each 1D spectrum using a modified version of the publicly available PYQSOFIT code (Guo, Shen & Wang 2018; Shen et al. 2019). In this code, the continuum is modelled as a blue power-law plus a third-order polynomial for reddening. No Fe II continuum emission was detected, and including it does not significantly improve the fits, so Fe II emission templates (Vestergaard & Wilkes 2001) were not used. The total model is a linear combination of the continuum and single or multiple Gaussians for the emission lines. Since uncertainties in the continuum model may induce subtle effects on measurements for weak emission lines, we first perform a global fit to the emission-line free region to better quantify the continuum. We then fit multiple Gaussian models to the continuum-subtracted spectra around the H  $\beta$  and Mg II  $\lambda$ 2800 emission line complex regions locally. We define narrow Gaussians as having full width at half-maximum (FWHM)  $< 1800$  km s<sup>−1</sup>. The narrow line widths are tied together and their wavelengths are locked together allowing for a small systematic shift in each line complex. Additionally, we updated the Paliya et al. (2020) spectroscopic redshifts by eye for our sources to better match the narrow lines, especially the well-detected [O III]  $\lambda$ 5007 line. We use 50 Monte Carlo simulations to estimate the uncertainty in the line measurements. Fig. 1 shows an example GNIRS spectra of J0833–0454, the brightest source in our sample.

Given the significant uncertainty in our NIR spectra, we must determine whether the broad-line detections are significant or not.

We consider the broad emission line detection and associated flux measurement to be reliable using a signal-to-noise ratio criteria. We define the broad line signal-to-noise ratio as

$$S/N_{\text{br}} = \frac{A_{\text{br}}}{\text{MAD}(\text{resid})}, \quad (1)$$

where MAD is the median absolute deviation of the data minus our best-fitting model residual (resid) in the window containing the line complex, and  $A_{\text{br}}$  is the peak or amplitude of the broad emission line. Exact definitions of the signal-to-noise ratio differ and depends on the details of the spectral modelling. We consider our broad line flux measurements to be reliable if  $S/N_{\text{br}} > 2$ , which we justify using simulations. We simulate a single  $\sim 4000$  km s<sup>−1</sup> broad Gaussian emission line (typical of our blazars) on top of a noisy power-law continuum, and repeat this procedure with varying emission line amplitudes. The recovered flux  $f_{\text{br}}$  is then compared to the true input flux  $f_i$  by measuring the  $S/N_{\text{br}}$  from each simulated broad line. The  $S/N_{\text{br}} > 2$  threshold is determined as the approximate point where the error on the recovered flux is within  $\sim 20$ , which is comparable to the systematic uncertainties from data reduction, flux calibration, and spectral modelling choices, as shown in Fig. 2.

## 3 RESULTS

### 3.1 Virial black hole mass estimates

Following Shen et al. (2011), we estimate the BH masses using the single-epoch virial method. This method assumes that the broad-line region (BLR) is virialized and uses the continuum luminosity and broad-line FWHM as a proxy for the BLR radius and virial velocity, respectively. Under these assumptions, the BH mass can be estimated by

$$\log \left( \frac{M_{\text{BH}}}{M_{\odot}} \right) = a + b \log \left( \frac{\lambda L_{\lambda}}{10^{44} \text{ erg s}^{-1}} \right) + 2 \log \left( \frac{\text{FWHM}_{\text{br}}}{\text{km s}^{-1}} \right), \quad (2)$$

where  $\lambda L_{\lambda}$  and  $\text{FWHM}_{\text{br}}$  are the continuum luminosity and broad-line full width at half-maximum with an intrinsic scatter of  $\sim 0.4$  dex in BH mass. The coefficients  $a$  and  $b$  are empirically calibrated against local AGNs with BH masses measured from reverberation mapping. We adopt the calibrations (Vestergaard & Peterson 2006) used in Shen et al. (2011):

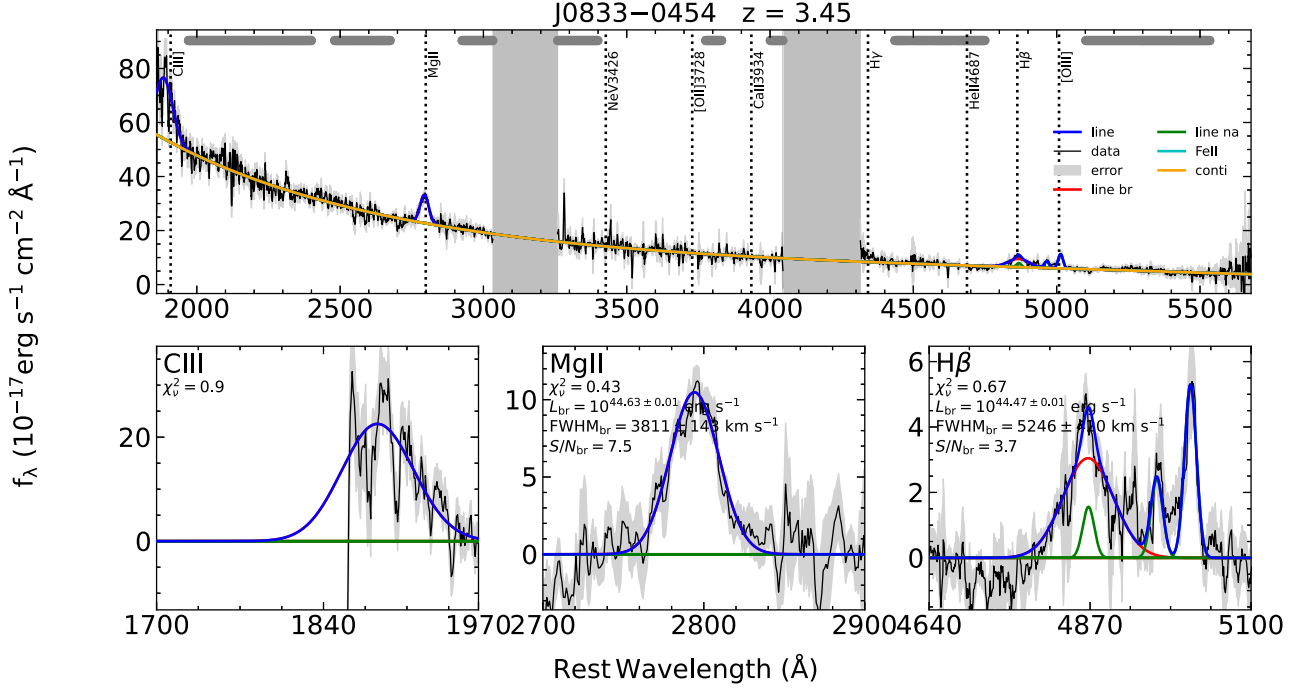
$$(a, b) = (0.910, 0.50), \quad \text{H } \beta \quad (3)$$

$$(a, b) = (0.660, 0.53), \quad \text{C IV} \quad (4)$$

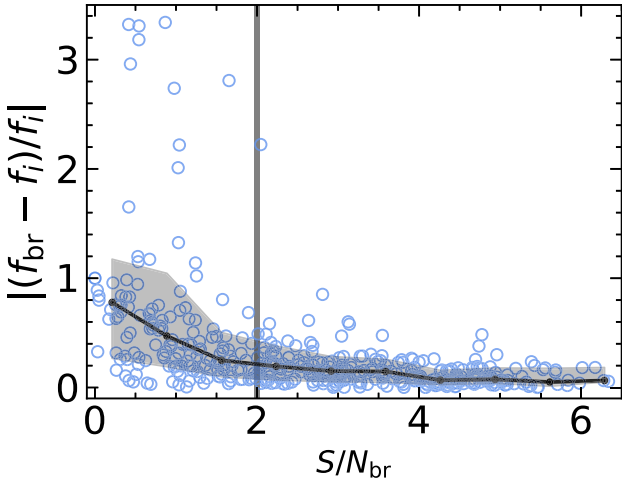
$$(a, b) = (0.740, 0.62), \quad \text{Mg II} \quad (5)$$

We caution that the continuum luminosities we have measured may be overestimated if they are strongly relativistically beamed by the jet (e.g. Wu et al. 2004; Shaw et al. 2012), resulting in an overestimation of the BH mass. Previous work has shown systematically larger BH masses of 0.14 dex on average when broad-line luminosities are used instead of continuum luminosities in the BH mass estimation prescriptions (Shaw et al. 2012). To estimate BH masses using the broad-line luminosities, we make use of the fact that the line luminosity correlates with the continuum luminosity for broad-line quasars. We substitute the broad-line luminosity into equation (2) and adopt the calibrations derived by Shen et al. (2011) from Shaw et al. (2012):

$$(a, b) = (1.63, 0.49), \quad \text{H } \beta \quad (6)$$



**Figure 1.** Example GNIRS spectrum of the source J0833–0454, the brightest in our sample. A power-law plus third-order polynomial and Gaussians are used to fit the continuum and emission lines, respectively. The Fe II emission templates are set to zero, as including them does not significantly improve the fits. The data and uncertainty are shown with the best-fitting model overplotted. The individual narrow line and broad line components are shown. The horizontal shaded bands on the top are line-free windows selected to determine the continuum emission. The vertical shaded bands are masked regions effected by telluric absorption at 1.35–1.45 and 1.8–1.92  $\mu\text{m}$ . The lower panels show the zoomed-in emission line regions of C III  $\lambda$ 1909, Mg II  $\lambda$ 2800, and H  $\beta$ . The full figure set showing the fitting results for each source in our sample is shown in Appendix A.



**Figure 2.** Recovered broad-line fluxes from mock spectra. Error on the measured broad emission line flux  $|(f_{\text{br}} - f_i)/f_i|$ , where  $f_i$  is the input broad-line flux and  $f_{\text{br}}$  is the measured broad-line flux, versus measured signal-to-noise ratio of simulated broad emission lines  $S/N_{\text{br}}$  with varying amplitudes on top of a noisy continuum. The line with points and the shaded region is the binned median and  $1\sigma$  uncertainty band. This demonstrates that the broad-line detections and line flux measurements are very likely to be robust (to  $\sim 20$  per cent) above  $S/N_{\text{br}} \approx 2$  (vertical line).

$$(a, b) = (1.52, 0.46), \quad \text{C IV} \quad (7)$$

$$(a, b) = (1.70, 0.63), \quad \text{Mg II}. \quad (8)$$

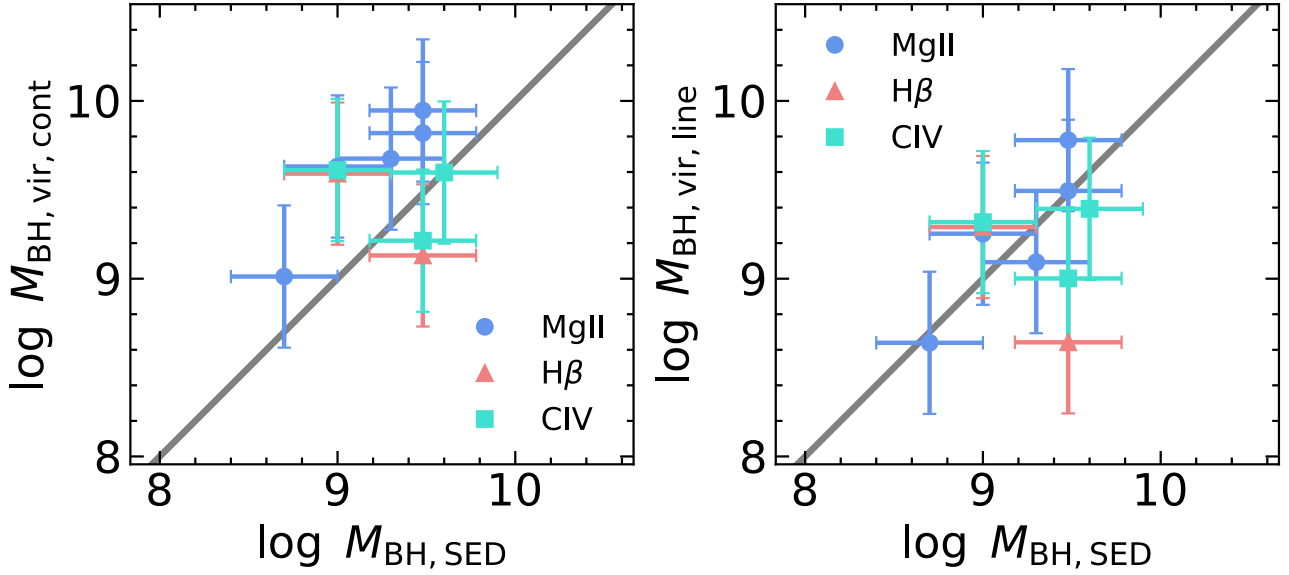
We found that the masses derived from the continuum luminosities are  $\sim 0.2$  dex larger than the masses derived from the broad-line luminosities, roughly consistent with Shaw et al. (2012). The BH mass comparisons are shown in Fig. 3.

### 3.2 Eddington ratio estimates

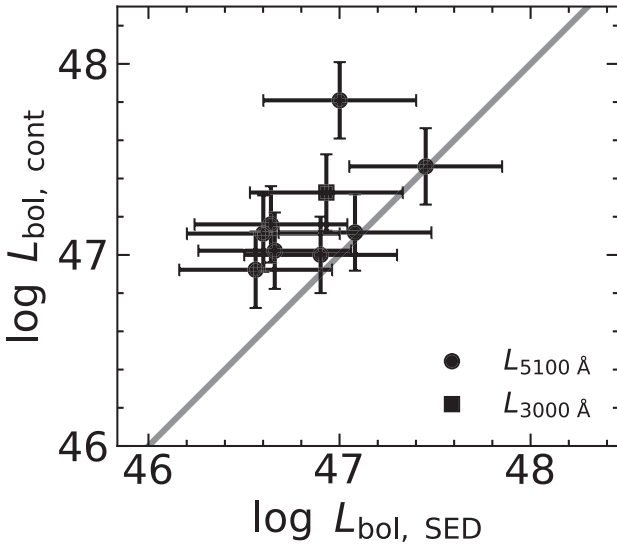
For typical (non-beamed) AGNs, one can estimate the Eddington ratios by dividing the AGN bolometric luminosity by the Eddington luminosity ( $\lambda_{\text{Edd}} = L_{\text{bol}}/L_{\text{Edd}}$ ). Following e.g. Belladitta et al. (2022), we estimate the AGN bolometric luminosity using two methods. First, following Shen et al. (2011), we use the 5100 Å (or 3000 Å) continuum luminosities measured from our GNIRS spectra assuming bolometric corrections (BC) derived from a mean quasar SED (Richards et al. 2006). Those bolometric corrections are  $\text{BC}_{5100} = 9.26$ ,  $\text{BC}_{3000} = 5.15$ . We ignore the small inclination factor of  $i = \frac{\cos 0^\circ}{\cos 30^\circ} = 1.15$  in the bolometric correction expected to arise due to differences the viewing angle for Type 1 radio-quiet AGNs and blazars. Second, we use the disc bolometric luminosities given by Paliya et al. (2020) inferred from SED fitting. The total AGN bolometric luminosity is computed by assuming  $L_{\text{bol}} = 2L_{\text{disc}}$ . The results shown in Fig. 4 show that our spectral continuum-based bolometric luminosities are significantly larger than the bolometric luminosities inferred from the disc luminosity by SED fitting, thought to be due to contamination from boosted optical emission from the jet.

Alternatively, one can estimate the disc bolometric luminosity directly from the broad-line luminosity as  $L_{\text{bol}} = \kappa L_{\text{br}}$ . This method assumes the broad line-emitting gas is ionized by continuum emission from the accretion disc. Therefore, the disc luminosity can be





**Figure 3.** Comparison between virial BH masses (y-axis) for the broad emission lines with  $S/N > 2$  in our GNIRS spectra and SED masses from Paliya et al. (2020) (x-axis) using the continuum (left) or line luminosity (right) and following the recipes in the text. The BH masses estimated from the continuum luminosity are slightly larger than those estimated from the broad-line luminosity by  $\sim 0.2$  dex. The plotted  $y = x$  line demonstrates there is no strong discrepancy between virial and SED-based BH mass estimates for our sample using either method. We assume typical uncertainties of 0.4 dex for the virial BH masses (Shen 2013) and 0.3 dex for the SED-based BH masses (Paliya et al. 2020).



**Figure 4.** Comparison between bolometric luminosities measured from the continuum of our NIR spectra using the 5100 Å luminosity (or 3000 Å luminosity for J1510+5702) (y-axis) and from the SED fitting approach (x-axis). The plotted  $y = x$  line demonstrates that the spectral continuum-based bolometric luminosities are, on average, larger than the SED fitting-based luminosities by  $\sim 0.2$  dex.

related to the broad-line luminosity by the correction factors given by Calderone et al. (2013):

$$\kappa = 424, \quad \text{H}\beta \quad (9)$$

$$\kappa = 104, \quad \text{C IV} \quad (10)$$

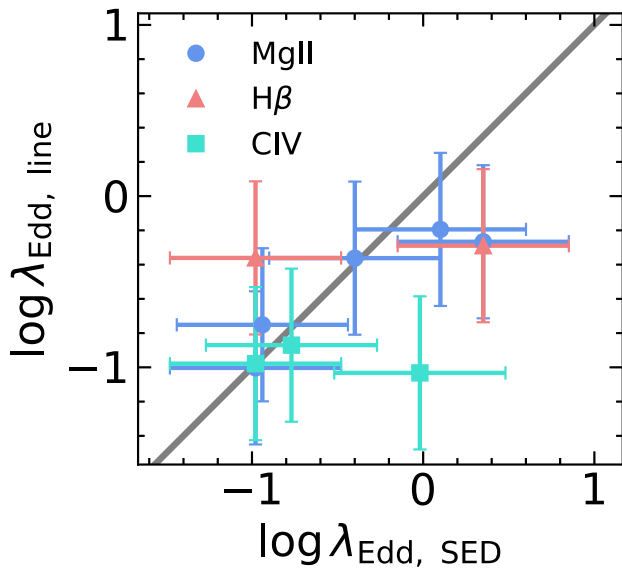
$$\kappa = 286, \quad \text{Mg II}. \quad (11)$$

Then, the Eddington ratios can be self-consistently calculated using the BH masses estimated from the broad-line luminosities. This approach again avoids the issue of continuum contamination due to jetted emission and has a scatter of about a factor of 2 (Calderone et al. 2013). Given the systematically larger continuum luminosity-based BH masses and bolometric luminosities found previously, we consider this approach the most robust for our sample blazars. We have performed these calculations and show our robust Eddington ratio estimates calculated from only the line luminosities and widths, denoted  $\lambda_{\text{Edd, line}}$ , in Fig. 5.

In Fig. 4, we show that the spectral continuum-based bolometric luminosities are, on average, larger than the SED fitting-based luminosities by  $\sim 0.5$  dex (or about a factor of 3 in luminosity) for our sample. One possibility is that the systematic uncertainty in the bolometric correction ( $\sim 0.2$  dex; Runnoe, Brotherton & Shang 2012) is larger for blazars, or that the bolometric corrections for blazars are different. This could be explained by contamination of the quasar continuum emission by optical emission from a relativistic jet. Taken at face value, the discrepancy of about a factor of  $\sim 3$  in luminosity is small given the Doppler factors of  $\delta = 10\text{--}20$  of our parent sample of high-redshift flat-spectrum radio quasars (FSRQs) (Paliya et al. 2020). It is likely that only a portion of the ultraviolet/optical continuum emission is contaminated by beamed emission towards the observer, in contrast to the jetted radio emission, which is dominated by beamed emission (where Doppler factors are typically measured). This result demonstrates the importance of considering the caveats with virial BH masses and Eddington ratio calculations for blazars, which may have implications for studies of how the Eddington luminosity ratios or accretion disc properties might relate to jet power (e.g. Celotti & Ghisellini 2008).

#### 4 SUMMARY AND CONCLUSION

High-redshift Fermi blazars represent the most distant sources in the  $\gamma$ -ray sky, providing a laboratory to study early SMBH growth



**Figure 5.** Comparison between Eddington luminosity ratios derived from the bolometric luminosity measured from the broad-line luminosities and BH masses from our NIR spectra (y-axis) and from the SED fitting approach (x-axis). We assume typical uncertainties of a factor of 2 for broad line-based bolometric luminosities ( $L_{\text{bol, line}} = \kappa L_{\text{br}}$  (Calderone et al. 2013) and 0.4 dex for the SED fitting-based bolometric luminosities ( $L_{\text{bol, SED}} = 2 L_{\text{disc}}$ ; Paliya et al. 2020). The plotted  $y = x$  line and the large uncertainties in the Eddington ratio estimates (summed-in-quadrature from the BH mass and bolometric luminosity uncertainties) demonstrates that they are broadly consistent with each other and that the Eddington ratios are  $\lambda_{\text{Edd}} \sim 0.1$ – $1$ .

and super-Eddington accretion. They may form from the more rapid formation of jetted BHs, possibly by super-Eddington accretion, but their existing mass estimates are highly uncertain. These sources pose a challenge for models of early SMBH growth and formation. With Gemini/GNIRS spectroscopy of nine  $z > 3$  Fermi blazars, we have:

(i) Motivated by previous findings that CIV  $\lambda 1549$ -based virial BH masses are biased from systematic uncertainties due to strong outflows (Shen & Liu 2012; Jun et al. 2015), we have measured robust virial BH masses using broad H $\beta$  and/or Mg II  $\lambda 2800$  for six of our nine target blazars with sufficient line signal-to-noise ratios. We do not find evidence that the CIV  $\lambda 1549$  measurements are strongly biased for our blazars given the limited data quality (i.e. spectral S/N), and small sample size.

(ii) Compared the improved virial BH masses (with a 0.4 dex systematic scatter) against SED masses independently derived from modelling the accretion disc ( $\sim 0.3$  dex systematic uncertainty; Paliya et al. 2020). A significant discrepancy would imply deviation from the standard disc (e.g. due to high BH spins) in high-redshift blazars. We do not find a statistically significant discrepancy given the sample size.

(iii) Measured Eddington ratios and bolometric luminosities from the H $\beta$  and/or Mg II  $\lambda 2800$  broad lines and line luminosities for high-redshift blazars that are robust to beaming effects (Calderone et al. 2013). We do find any compelling evidence to suggest they are super-Eddington accretors (e.g. Begelman & Volonteri 2017; Yang et al. 2020).

Future work with a larger sample size could help confirm or falsify our main conclusions. This work highlights the challenge of obtaining deep enough NIR spectra to detect and model the broad

emission lines sufficiently to estimate BH masses using ground-based observatories. Future observations with *JWST* would be a compelling test for blazars at even higher redshifts.

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## DATA AVAILABILITY

The unreduced spectra will be available at the Gemini Observatory Archive at <https://archive.gemini.edu> after the proprietary period. The fully reduced, flux calibrated spectra will be made available upon reasonable request to the authors.

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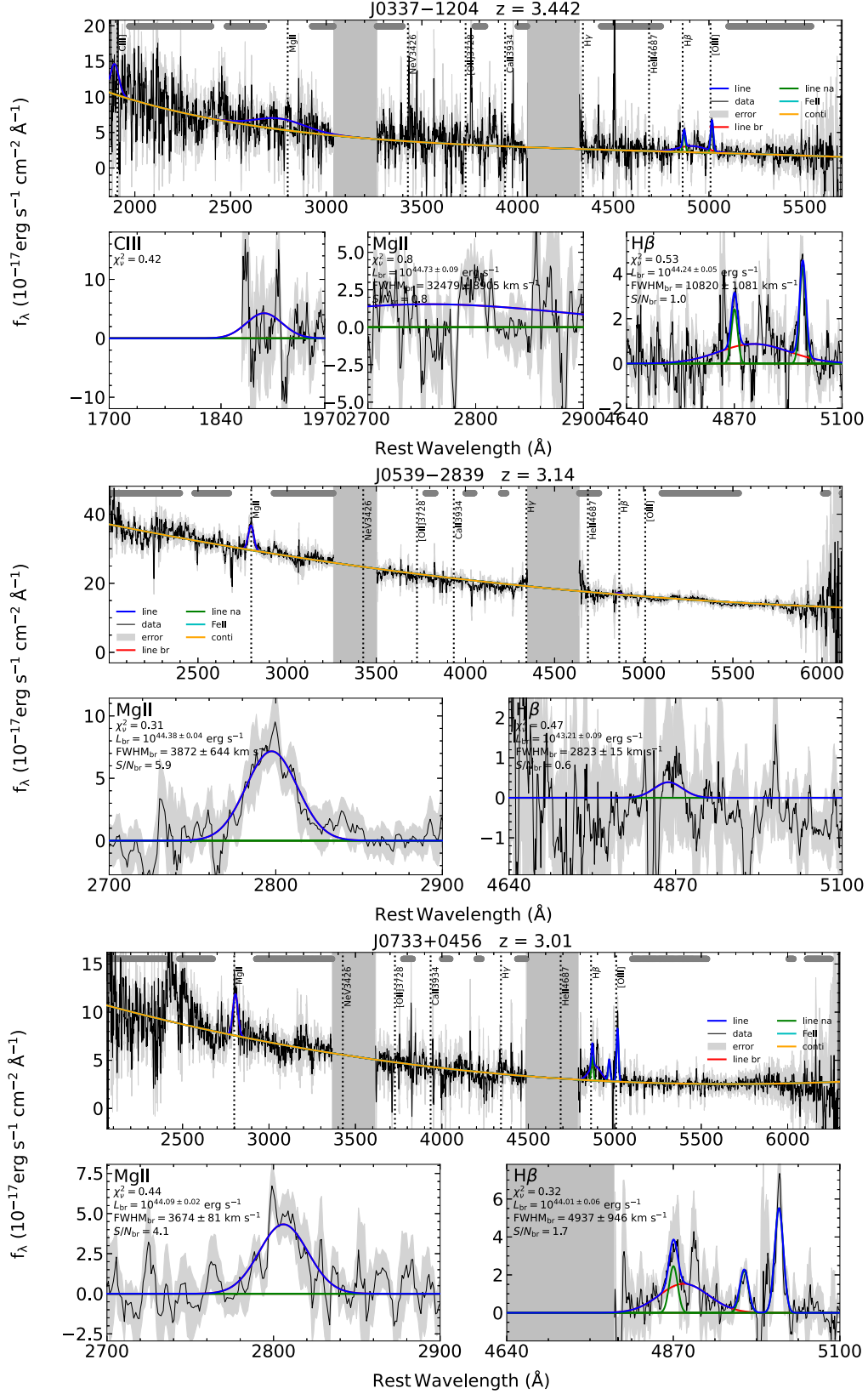
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## APPENDIX A: NIR SPECTRA

NIR spectra and modelling for all nine target blazars (Figs A1–A3).



**Figure A1.** GNIRS spectra of our sample. A power-law plus third-order polynomial and Gaussians are used to fit the continuum and emission lines, respectively. The Fe II emission templates are set to zero, as including them does not significantly improve the fits. The data and uncertainty are shown with the best-fitting model overplotted. The individual narrow line and broad line components are shown. The horizontal shaded bands on the top are line-free windows selected to determine the continuum emission. The vertical shaded bands are masked regions affected by telluric absorption at 1.35–1.45 and 1.8–1.92  $\mu\text{m}$ . The lower panels show the zoomed-in emission line regions of C III  $\lambda 1909$ , Mg II  $\lambda 2800$ , and H $\beta$  as covered by the spectral wavelength range. The figure of the example source J0833-0454 is shown in Fig. 1. Only broad lines with  $S/N_{\text{br}} > 2$  are considered reliable for measuring BH masses.



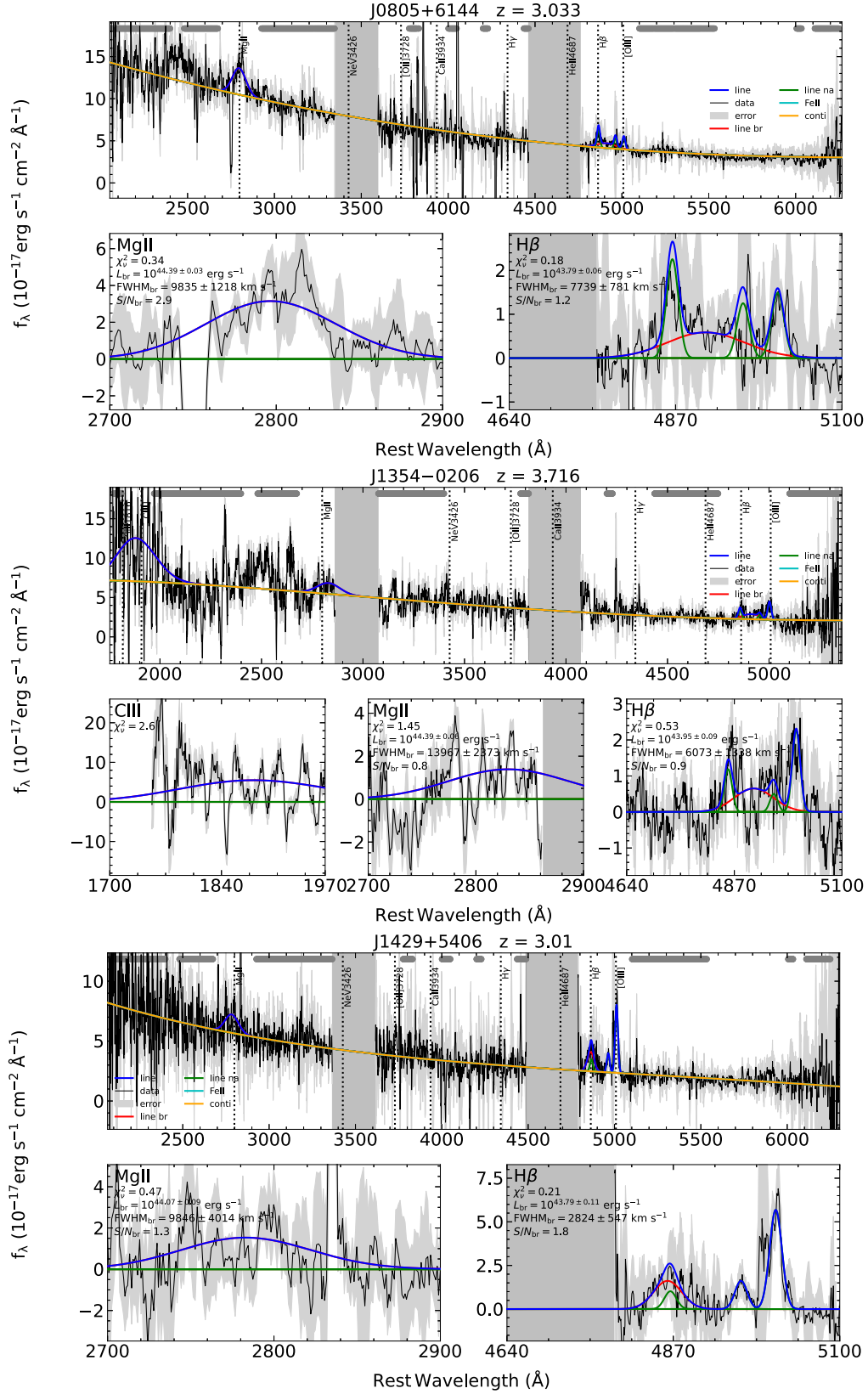


Figure A2. Continued from Fig. A1.

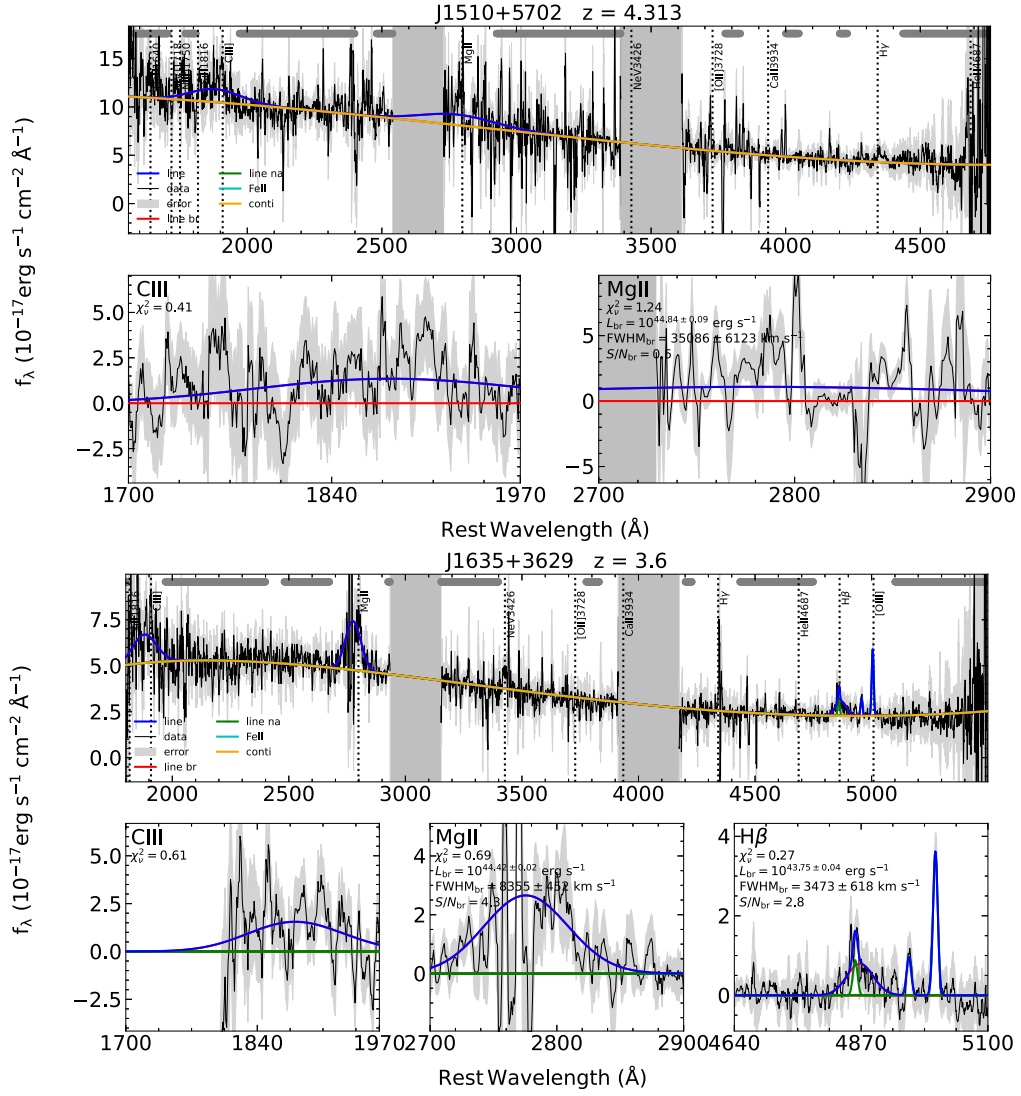


Figure A3. Continued from Fig. A1.

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