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# Data-Driven Optimization of Carbon Electrodes for Aqueous Supercapacitors

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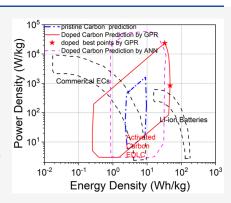
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4 **ABSTRACT:** Doping carbon electrodes with heteroatoms such as nitrogen and oxygen 5 proves effective in improving the performance of aqueous supercapacitors. However, the 6 optimal conditions of N/O doping remain elusive due to the complexity of the porous 7 structure and electrochemical behavior. While physics-based models face challenges in 8 capturing the pseudocapacitance effects, direct empirical correlation of the capacitance 9 with machine-learning (ML) methods may lead to erroneous predictions. In this work, 10 we introduce a Gaussian process regression (GPR) method using a physical model as 11 prior knowledge to limit the coupling effects of different input parameters. The physics-12 informed GPR proves effective in characterizing the capacitive behavior of N/O-13 codoped carbon electrodes in both 6 M KOH and 1 M  $_2$ SO<sub>4</sub> aqueous solutions. Our 14 machine-learning model suggests that the performance of aqueous supercapacitors can 15 be maximized under acidic conditions by enhancing both the mesopore surface area and 16 the O/N doping ratio of carbon electrodes.



## 1. INTRODUCTION

17 Supercapacitors have attracted great interest over the past few 18 decades for their applications in rapid energy storage devices, 19 such as regenerative braking systems in vehicles and power 20 levelers for electronics. In comparison with alternative means 21 of energy storage, supercapacitors have the advantages of high-22 power density and cycling stability, allowing them to bridge the 23 gap between dielectric capacitors and electrochemical batteries 24 in terms of energy density and power delivery. The charging 25 mechanisms of supercapacitors are mostly associated with 26 electric double layer (EDL) capacitance and/or electro-27 chemical pseudocapacitance. The EDL capacitance is 28 associated with electrostatic polarization at the electrolyte-29 electrode interface. Electrochemical pseudocapacitance arises 30 from reversible faradaic redox reactions or the intercalation of 31 ionic species into the micropores of electrodes.<sup>2-4</sup> For 32 supercapacitors made of carbon electrodes and aqueous 33 electrolytes, both pseudocapacitance and EDL capacitance 34 contribute to energy storage, making them a popular choice for 35 practical applications.

Diverse electrode materials, including porous carbons, metal oxides/nitrides/carbides, and conductive polymers, have been never investigated for optimizing the energy and power density of aqueous supercapacitors. From a practical perspective, porous carbons remain a preferred choice due to their high porosity, large specific surface area, good conductivity, long-term cycle stability, and low production cost. Given that the capacitance of a carbon electrode primarily arises from the EDL capacitance, we can enhance the device performance by increasing the surface area available for the adsorption of ionic species. However, the specific capacitance reaches a plateau

when the electrode surface area is larger than about  $1500 \text{ m}^2/47$  g,  $^7$  suggesting that the EDL capacitance is also influenced by  $^4$ 8 the pore structures. While micropores (pore diameter d < 2  $^4$ 9 nm) usually yield a higher specific surface area than both  $^5$ 9 mesopores ( $^2$ 2 nm  $^4$ 4  $^4$ 50 nm) and macropores ( $^4$ 50 nm),  $^5$ 1 increasing the micropore surface area would also reduce the  $^5$ 2 electrical conductivity, interfere with ion adsorption in  $^5$ 3 neighboring pores, and restrict ion accessibility, making  $^5$ 4 micropores less significant in contributing to the EDL  $^5$ 5 capacitance.  $^8$ 1 Inconsistent experimental results were  $^5$ 6 reported when the pore sizes were comparable to those of  $^5$ 7 the ionic species, yet theoretical investigations are not fully  $^5$ 8 conclusive due to the complexities in the characterization of  $^5$ 9 the pore structure and surface conditions of electrode  $^6$ 0 materials.  $^{12-14}$ 

Although ultrahigh EDL capacitance, up to 348 F/g, has 62 been reported for aqueous supercapacitors, 15 the specific 63 capacitance can be further improved by introducing heter-64 oatoms into pristine carbon electrodes. Common strategies 65 include doping porous carbon with electroactive elements such 66 as O, N, S, and P, using carbide-derived carbon with transition-67 metal additives, and coating the electrode surface with metal 68 oxides. 2,16 The further enhancement of supercapacitor 69

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Table 1. Comparison of Common ML Methods for Predicting Supercapacitor Behavior

ML Algorithm	Advantages	Disadvantages
Artificial neural network (ANN, including multilayer perceptron (MLP))	Effective nonlinear modeling, good generalizability as universal functional estimation, implicit feature selection	Prone to overfitting, hard to tune, a large number of hyperparameters
Support vector machine (SVM) and	Effective in high dimensions, robust to outliers,	Slow, tricky in kernel selection
Decision trees (including random forest (RF), regression trees (RT), etc.)	good performance on imbalanced data, not prone to overfitting, low impact of outlier, easy to parallelize	High computational cost, low interpretability, low efficiency in nonlinearity
Generalized linear regression (GLM)	Easy to understand and use, high interpretability	Low accuracy, easily impacted by outliers, prone to overfitting
K-Nearest neighbor (KNN)	Simple to implement, nonparametric	Sensitive to outliers, poor efficiency with large data sets, hard to tune
Gaussian process (GP)	High accuracy, high learning efficiency, reliable uncertainty quantification, effective in high dimensions, good generalizability as universal functional estimation	High computational cost for large data sets, tricky in kernel selection
Gradient boosting (such as XGBoost)	Usually used with decision trees, fast, easy to parallelize	Prone to overfitting if applied alone (not in a decision tree), low interpretability

70 performance stems from various factors. First, heteroatom 71 doping improves the electrical conductivity of the carbon 72 electrodes and electrolyte wettability, thus facilitating better 73 ion accessibility of micropores and increasing the EDL 74 capacitance. 2,16,17 Second, doping with heteroatoms expands 75 the electronic density of states (DOS) of the carbon material, 76 leading to additional contributions due to quantum capaci-77 tance. 17-20 Finally, heteroatoms catalyze reversible redox 78 reactions and/or more electrosorption of the electrolyte 79 ions. 17,18 For example, capacitors made with graphene oxide 80 (GO), reduced graphene oxide (rGO), or nitrogen-doped 81 carbon nanotubes exhibit strong pseudocapacitance because of 82 the redox reactions of the oxygen- or nitrogen-containing 83 functional groups on the electrode surface. 21-23 Besides, 84 heteroatom doping can improve the specific surface areas of 85 carbon materials. The theoretical upper limit for the specific 86 surface area of pristine carbonaceous materials was 2630 m<sup>2</sup>/g, 87 estimated from the infinite single-layer prefect graphene.<sup>2</sup> 88 Introducing heteroatoms allows for the exposure of ring faces 89 and edges of the carbon material, thus significantly increasing 90 the possible surface area.<sup>25</sup> While the capacitance of pristine 91 carbon reaches a plateau at about 1500 m<sup>2</sup>/g, the capacitance 92 of doped carbon electrodes continues to increase with the 93 specific surface area, reaching 4000 m<sup>2</sup>/g.<sup>26</sup>

Physics-based models have been previously utilized to 95 unravel various mechanisms of enhanced capacitance due to 96 heteroatom doping. 17,28,29 For example, ab initio methods has 97 been utilized to predict pseudocapacitances of certain chemi-98 cally simple doped carbons, such as GO<sup>21</sup> and pyrrolic 99 nitrogen (N-5)-doped carbon nanotubes.<sup>30</sup> In general, the 100 quantum chemistry methods are extremely computationally 101 costly and not practical for systems with more than 1,000 102 atoms. A comprehensive description of the doping effects has 103 yet to be developed, especially concerning the pseudocapaci-104 tance of mixed heteroatom-doped carbons and the geometric 105 effects of doping. In practice, supercapacitance performance is 106 often assessed under conditions remote from the thermody-107 namic equilibrium. In contrast, ab initio calculations mostly 108 address the equilibrium properties at small scale, providing 109 little information about the dynamic behavior of the energy-110 storage device because of the ultrahigh computational cost of 111 time-dependent models. As a result, existing physics-based 112 models face challenges in providing quantitative predictions of 113 capacitance under the operational conditions of practical 114 devices.

In addition to physics-based modeling, machine learning 115 (ML) methods have also been introduced to predict the 116 performance of aqueous supercapacitors for energy stor- 117 age. 17,27 The data-driven approach allows us to establish 118 quantitative correlations between the characteristics of the 119 electrode materials and the in operando performance based on 120 extensive experimental data. Table 1 summarizes the 121 tl advantages and disadvantages of commonly used ML methods 122 for capacitance prediction. Previously, different ML methods, 123 including ANN, SVM, RT, and GLM models, have been 124 applied to quantitatively predict the EDL capacitance based on 125 the physicochemical features of carbon materials, such as 126 specific surface area, pore volume, and doping atoms, under 127 the same low-level charging—discharging rates. 31,32 ANN has 128 also been used to describe the synergetic effect of N/O doping 129 on supercapacitor performance<sup>26</sup> as well as to model the EDL 130 capacitance in terms of the physical features of carbon 131 materials and the charging current density. In our previous 132 studies, 31,32 we tested multiple ML methods to predict the 133 overall capacitance of both pristine carbon and N/O-codoped 134 carbon electrodes in response to the changing scan rate of 135 cyclic voltammetry. We found that ANN models show the best 136 performance in capacitance prediction, <sup>32–35</sup> but its erroneous 137 behavior limits its application to materials with high mesopore 138 surface area.<sup>36</sup> ML methods can also be used to find the 139 relative importance of the supercapacitor characteristics to 140 their capacitance behavior by applying sensitivity analysis 141 methods such as SHAP or Sobol indices. It is often observed 142 that the specific surface area (SSA), pore volume (PV), and 143 oxygen ratio are among the most important parameters 144 representing the properties of carbon electrodes. 34,37,38 The 145 ML predictions offer valuable insights into the synthesis of 146 better carbon materials, help to identify critical features, 147 optimize reaction conditions, and predict and optimize the 148 cycle life, thereby facilitating advancements in carbon material 149 synthesis. 26,39,40 Conversely, new experimental data can be 150 leveraged to refine and enhance the predictive accuracy of ML 151 models.

While data-driven methods are able to make valuable 153 predictions of supercapacitance performance, their pitfalls have 154 also been well recognized, such as low robustness, challenges in 155 interpretability, and the lack of reliable uncertainty assessment, 156 especially in extrapolation beyond the training data. 157 Integrating physics-based constraints and relations as prior 158 knowledge into the ML models can significantly enhance the 159 interpretability of ML methods. 150 overcome these pitfalls, 160

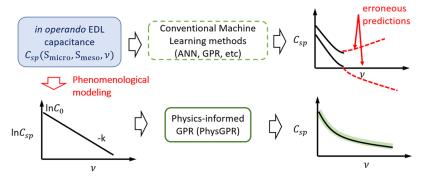


Figure 1. Physics-informed Gaussian process regression (PhysGPR) of the experimental data for the capacitance of carbon electrodes.

161 we propose in this work a physics-informed Gaussian process 162 regression (GPR) (PhysGPR) model for predicting the in 163 operando capacitance of aqueous supercapacitors based on the 164 properties of nitrogen- and/or oxygen-doped carbon electro-165 des. The input parameters include the surface composition, the 166 micropore and mesopore surface areas of the electrode, and 167 the type of electrolyte and the operation conditions, as 168 represented by the charging-discharging scan rate used in the 169 cyclic voltammetry measurements. A phenomenological model 170 for the charging dynamics is used as prior knowledge to avoid 171 unphysical predictions. In general, GPR methods provide a 172 reliable uncertainty assessment of the model performance compared with alternative ML methods such as ANN, alongside excellent data efficiency and great accuracy. Its 175 mean or trend part can be tuned based on prior knowledge, as 176 demonstrated in the construction of PhysGPR later. 177 Previously, the GPR methods have been applied to predict 178 the optimized composition of rGO/ANF/CNT electrodes, 179 effectively balancing different qualities. 4

There are significant outcomes of this contribution. First, we 181 introduce novel input parameters, such as the proportion of 182 oxygen and nitrogen atoms and the type of electrolytes, for 183 constructing the PhysGPR model. These parameters are 184 independent of each other and can be manipulated separately 185 with little or a controllable impact on the others. The optimal 186 results can be achieved through materials synthesis. We do not select highly correlated parameters such as the pore volume and surface area of different pore sizes because they do not change independently. Second, we implement the robust estimation of the parameters in Gaussian processes, utilizing 191 the jointly robust prior function and marginal posterior mode 192 estimation 26,32,41 and constructing the group automatic relevance determination (gARD) kernel in order to produce meaningful and accurate prediction by solving problems ordinary GPR methods face. The performance of PhysGPR 196 is significantly improved in these ways compared to that of the original PhysGPR used on pristine carbon in our previous work.<sup>36</sup> The previous version faltered when applied to doped carbon due to the near-diagonal or near-singular correlation 200 matrix, likely stemming from the sparseness of high-dimensional input parameters. Finally, harnessing the predictive capabilities of PhysGPR across various input variable ranges enables us to guide the experimental design of electrode 204 materials, aiming for maximum capacitances. We compared the 205 results with those predicted by the ANN models 43,44 and by 206 conventional GPR with different settings. Furthermore, we 207 tested different ways to train the PhysGPR model by 208 considering either individual electrolyte types or different 209 electrolytes together.

### 2. MODELS AND METHODS

In this section, we explain the preparation of the data set and 210 mathematical details for the construction of physics-informed 211 Gaussian process regression (PhysGPR) to predict the overall 212 capacitance and power density of N/O-codoped carbon 213 electrodes. Schematically, Figure 1 shows the training 214f1 flowchart for PhysGPR in comparison with that for conven- 215 tional ML methods. While the latter utilize experimental data 216 directly, PhysGPR begins with a physics model that can be 217 used to analyze the experimental results. In the present work, a 218 phenomenological model is adopted for representing the 219 dependence of the capacitance on the charging-discharging 220 rate (here, the cyclic voltammetry scan rate). The physical 221 model is then integrated into GPR with prior knowledge 222 within a supervised ML algorithm. The model parameters are 223 normalized and served as the input for the GPR training. The 224 incorporation of the physical model allows us to avoid 225 erroneous predictions that may otherwise occur in conven- 226 tional ML methods.

All ML models and sensitivity analysis methods are available 228 from packages kernlab, RobustGaSP, sensitivity, and DiceKrig- 229 ing of R programming language available from CRAN. 31,45-47 230 The optimization of the capacitor behavior was performed by 231 using the "optim" function from R Stats packages. The default 232 optimization method (Nelder and Meas) was employed, with 233 multiple initial points to enhance robustness and accuracy. The 234 technical details of the conventional GPR method, jointly 235 robust (JR) prior, posterior mode estimation, and sensitivity 236 analysis can be found in the Supporting Information (SI).

**2.1. Data Collection.** The experimental data for training 238 our ML models were collected from the literature, and the 239 formulas for obtaining the processed data are introduced in 240 Table S2. 26,48-67 While there have been numerous inves- 241 tigations on the capacitance of heteroatom-doped carbons in 242 aqueous electrolytes, only a limited number have provided the 243 detailed structure parameters and the surface chemical 244 composition. The capacitance data employed in this study 245 were acquired through measurements conducted in three- 246 electrode cell configurations, all within the same potential 247 window range of 1 V. The three-electrode measurements 248 provide more precise control over potential and current than 249 two-electrode measurements.

The experimental data encompass two types of electrolytes,  $^{251}$  namely, 6 M KOH and 1 M  $^{1}$  M  $^{2}$  SO $^{4}$  aqueous solutions, which  $^{252}$  are widely utilized as basic and acidic electrolytes in  $^{253}$  supercapacitor research. In training the ML models, the  $^{254}$  electrolyte type is represented by a dummy variable: 0 means 6  $^{255}$  M KOH and 1 means 1 M  $^{1}$  H $^{2}$ SO $^{4}$ . All measurements were  $^{256}$ 

257 carried out with electrodes prepared by loading 5 mg of the 258 carbon material on a 1 cm  $\times$  1 cm plate. The charging—259 discharging rates were determined by the cyclic voltammetry 260 scan rate in the range of 1 to 500 mV/s (most data are in the 261 range of 5 to 200 mV/s). The electrodes were made of carbon 262 materials doped with oxygen and/or nitrogen with no other 263 heteroatom doping except for a trace amount of hydrogen.

The input parameters used in the ML models include the 265 cyclic voltammetry scan rate, the type of electrolyte, the 266 structure parameters including the surface areas of micropores 267 and mesopores, and the chemical compositions of the 268 electrode surfaces, including the ratio of the O atom and the 269 N atom. As mentioned above, the type of electrolyte is 270 described with a dummy variable in the ML models. The surface areas reported in the experiments were measured from 272 BET fitting of the N2 adsorption isotherms at 77 K. Similar 273 features were used in our previous work for pristine carbon 274 electrodes and other ML models. The BET surface area 275 provides a reasonable estimate of the accessible area of 276 hydrated ions because their diameters are similar to that of a 277 N<sub>2</sub> molecule. The experimental data for the chemical 278 compositions of carbon surfaces were obtained exclusively 279 through X-ray photoelectron spectroscopy (XPS) measure-280 ments. 68 It should be noted that all capacitive processes occur 281 on the electrode surface and are virtually unrelated to the bulk 282 composition of the carbon electrodes.

Artificial zero capacitance points have been introduced into 284 the data set for electrodes with zero micro- and mesopore 285 surface areas. The addition of these boundary points improves 286 the model performance because they compensate for the lack 287 of experimental data for materials with low specific surface 288 areas (SSA), which are of limited practical significance. While 289 these materials may still exhibit some capacitance from the 290 surface area of macropores, these values would be small and 291 sensitive to the electrode shape, particle size, and packing 292 geometry. 69

Outlier detection was performed by comparing the 294 Mahalanobis distance of all of the samples to the cutoff 295 distance derived from the  $\chi^2$  distribution with a 0.95 296 confidence level. Any outliers, excluding the artificial zero 297 capacitance points, are subsequently removed.

Table 2. Input and Output Parameters Used for Training Machine-Learning Models<sup>a</sup>

Input	Output
$SA_{micro}$ (m <sup>2</sup> /g)	
$SA_{meso}$ (m <sup>2</sup> /g) Scan rate (mV/s)	Specific capacitance (F/g)
Oxygen (at. %)	Specific capacitance (1/g)
Nitrogen (at. %)	
Electrolyte type (dummy variable)	
<sup>a</sup> SA means surface area.	

298 **2.2. Physically Informed GPR and Parameter Space.**299 Both experimental observations and theoretical models
300 indicate that the overall capacitance decreases monotonically
301 with increasing scan rate. The trend can be attributed to
302 limitations in ion transfer rates within micropores, alongside
303 constraints in charge transfer and ion desolvation rates related
304 to pseudocapacitance. Whereas sophisticated molecular
305 models have been developed to describe the charging
306 dynamics of EDL capacitors, 71,72 a quantitative prediction of

pseudocapacitance remains a theoretical challenge. Here, we 307 apply the PhysGPR model introduced in our previous work for 308 predicting the overall capacitance of pristine carbons. The 309 physics-informed ML method offers simplicity in incorporating 310 contributions due to different charging mechanisms, including 311 pseudocapacitance. To avoid unphysical predictions, we use a 312 semiempirical formula to correlate the specific capacitance as a 313 function of the scan rate

$$C_{sp} = C_0 e^{-k\nu}$$
 (1) 315

where  $C_0$  represents the equilibrium capacitance of the 316 electrode material, k>0 is a characteristic rate constant, and 317  $\nu$  is the charging—discharging rate (i.e., the scan rate of cyclic 318 voltammetry). As shown in Figure S1, eq 1 accurately 319 represents the experimental data concerning the scan rate 320 dependence of capacitance.

In training our ML models, we use the natural logarithm of 322 the specific capacitance as the response vector for data 323 regression:<sup>72</sup>

$$y = \ln C_{sp} = \ln C_o - k\nu$$
 (2) 325

To accommodate a large number of input parameters in the 326 PhysGPR model, we introduce a new basis function, H(X), 327 which consists of two components for the mean: 328

$$H(X) = [\nu H_1(\mathbf{X}_{mat}), H_2(\mathbf{X}_{mat})]$$
 (3) <sub>329</sub>

In eq 3,  $X_{mat} = [S_{micro}, S_{meso}, O\%, N\%, electrolyte]$  is a matrix 330 that encapsulates the most important features of the electrode 331 material. This matrix is defined by the experimental data for 332 the micropore surface area  $SA_{micro}$ , mesopore surface area 333  $SA_{meso}$ , oxygen and nitrogen doping ratios in atomic surface 334 percentage compositions O at. % and N at. %, and a dummy 335 variable indicating the electrolyte type. While  $H_1(X_{mat}) = [1, 336]$   $X_{mat}$  serves the linear basis for  $X_{mat}$ ,  $H_2(X_{mat}) = [1, X_{mat}, X_{mat2}]$  337 represents the "pure quadratic" basis for  $X_{mat}$  as defined in eqs 338 S9 and S10. Because  $X_{mat2}$  represents the half-vectorization of 339 the quadratic form of  $X_{mat}$  the PhysGPR model can be 340 expressed as

$$y = [\nu H_1(\mathbf{X}_{mat}), H_2(\mathbf{X}_{mat})][\beta_1, \beta_2] + z(\mathbf{X}_{mat}) + \varepsilon$$

$$\equiv H(\mathbf{X})\beta + z(\mathbf{X}_{mat}) + \varepsilon$$
(4) <sub>342</sub>

where  $\beta = [\beta_1, \beta_2]$  is a vector of the basis coefficients,  $z(\mathbf{X}_{mat})$  343 follows a zero-mean Gaussian process, and  $\varepsilon \approx N(0, \sigma^2)$  is 344 independent zero-mean Gaussian noise with a standard 345 deviation of  $\sigma$ . By incorporating the semiempirical formula 346 for  $C_{sp}$  with GPR, we take the artificial zero surface area points 347 as 0.041 F/g such that their standardized values remain 348 consistent before and after natural logarithm transformation. 349

According to the GPR, the marginal distribution of the 350 response vector y follows a multivariate normal distribution. 351 Given a vector of observations, the predictive distribution also 352 follows a normal distribution. Consequently, the predictive 353 distribution of specific capacitance  $C_{sp}$  follows a log-normal 354 distribution. The mean and standard deviation of the response 355 value (RV) of the capacitance are given by

$$\widehat{C_{sp}} = e^{\hat{y} + (y_{sd}^2/2)} \tag{5}$$

$$\sigma(C_{sp}) = \sqrt{(e^{y_{sd}^2} - 1)} e^{\hat{y} + (y_{sd}^2/2)}$$
(6) 358

Table 3. Training Set Root Mean Square Error (RMSE), Cross-Validation Root Mean Square Error (CVRMSE), and Mean Absolute Percentage Error (MAPE) for Different ML Models<sup>a</sup>

ML method	Kernel/training function	Training RMSE	CVMAPE	CVRMSE
gARD-JR-PhysGPR	Matérn 3/2	26.62	14.33%	30.44
	Matérn 5/2	25.38	17.06%	38.25
	Radial basis function (RBF)	33.66	16.09%	35.21
ARD-JR-PhysGPR	Matérn 3/2	22.87	15.52%	33.26
	Matérn 5/2	23.06	21.78%	40.69
	RBF	24.96	30.52%	51.87
gARD-MLE-PhysGPR	Matérn 5/2	20.37	18.22%	38.63
ARD-MLE-PhysGPR	Matérn 5/2	26.11	20.14%	48.25
gARD-JR-ConvGPR	Matérn 5/2	15.87	8.25%	30.79
ARD-JR-ConvGPR	Matérn 3/2	17.09	13.75%	41.55
gARD-MLE -ConvGPR	RBF	12.83	9.12%	28.14
ARD-MLE -ConvGPR	rational quadratic kernel	14.47	10.01%	41.93
gARD-JR-PhysGPR for KOH	Matérn 5/2	36.03	11.6%	58.05
gARD-JR-PhysGPR for H <sub>2</sub> SO <sub>4</sub>	Matérn 3/2	24.35	7.03%	30.47
ANN	Bayesian regularization	35.00	84.63%	52.50
Standard deviation				123.34

"Here, gARD-MLE-PhysGPR and ARD-MLE-PhysGPR denote physics-informed Gaussian process regression (GPR) models utilizing group automatic relevance determination (gARD) and conventional ARD methods, respectively. All ML models were optimized using marginal posterior mode estimation with joint robustness (JR) prior to or with an ordinary maximum likelihood estimation (MLE). For comparison, also shown are the results from fitting with an artificial neural network (ANN) and conventional GPR (ConvGPR) methods and the single-electrolyte-type gARD-JR-PhysGPR model using the kernel with the best correlation.

$$RV(C_{sp}) = \frac{\sigma(C_{sp})}{E(C_{sp})} = \sqrt{(e^{y_{sd}^{2}} - 1)}$$
(7)

 $_{360}$  In the above equations,  $\widehat{C_{sp}}$  represents the mean prediction of  $_{361}$  the specific capacitance,  $\sigma(C_{sp})$  denotes the standard deviation,  $_{362}$  and  $RV(C_{sp})$  is the relative standard deviation. These equations  $_{363}$  are derived from the fact that  $\hat{y}$  and  $y_{sd}$  are the mean and  $_{364}$  standard deviation of  $\ln(C_{sp})$  predicted by the GPR model.

359

Unlike our previous work for pristine carbon electrodes, 74 in 366 this study, we do not use the automatic relevance 367 determination (ARD) kernel or separable kernel to decouple 368 the input parameters, as ARD models show clear evidence of 369 overfitting, as discussed in the next section. Instead, we 370 collectively calculate the length scale parameters of the input 371 data with the same unit. This implies that all parameters 372 remain coupled in the GPR models, including (i) the surface areas of micropores and mesopores (in units of m<sup>2</sup>/g) and (ii) the surface chemical compositions of N and O atoms expressed as the atomic surface percentage compositions (in units of at. 376 %). As demonstrated below, this treatment substantially 377 reduces the sparseness of the input data, thereby leading to a 378 significant improvement in the correlation between the ML predictions and experimental data.<sup>36</sup> The refined ML model is 380 termed the group ARD PhysGPR (gARD-PhysGPR), dis-381 tinguishing itself from our previous work that utilized the ARD kernels (ARD-PhysGPR). In gARD-PhysGPR, we employed 383 the jointly robust (JR) prior for the range parameters and marginal posterior mode estimation from the RobustGaSP 385 package. Posterior mode estimation enhances the robustness of 386 the range parameters by avoiding the near-diagonal or near-387 singular correlation matrix<sup>36,44,75,76</sup> while the JR prior enables 388 fast computation as an ordinary method such as the maximum 389 likelihood estimation (MLE). The GPR models incorporating 390 these techniques are marked as JR- (such as gARD-JR-391 PhysGPR), in contrast to the ordinary GPR implemented in 392 the kernlab package using the maximum likelihood estimation 393 (MLE), which is marked as MLE-. As will be discussed in the

next section, MLE models exhibit an overly robust mean 394 function, as evidenced by predicting an ellipse-shaped contour 395 and a highly stable predictive interval.

In the GPR analysis conducted in this study, we tested the 397 squared exponential kernel (also known as the Gaussian kernel 398 or the radial basis function (RBF) kernel), Matérn 3/2 kernel, 399 and Matérn 5/2 kernel. The exponential kernel was not 400 considered in this work because it is not first-order 401 differentiable and produces erratic predictions. Additionally, 402 the rational quadratic kernel was not utilized because it was not 403 supported in RobustGaSP. For all GPR models, the fitting 404 parameters (including the kernel, the parameter space variance 405 parameter  $\sigma$ , and the nugget variance ratio  $\eta$ ) were optimized 406 with the random-sampling k-fold cross validation method. In 407 this study, we use a k value of 5 with 10 different repartitions. 408 This choice of the k value is based on the loss-training data 409 ratio relationship, as demonstrated in Figure S2, where an 80% 410 training data set proves sufficient to optimize the test set 411 RMSE. The overall capacitance for different electrode materials 412 was predicted by the final models using the fitting parameters 413 found in cross validation. 43 To evaluate the numerical 414 performance of different ML models in correlating the 415 experimental data, we used the cross-validation root-mean- 416 square error (CVRMSE) as the loss function. This quantity 417 and the CV mean absolute percentage error (MAPE) are 418 calculated from

CVRMSE = 
$$\sqrt{\frac{\sum_{j=1}^{k} \sum_{i=1}^{n} (\widehat{C_{sp,CV_{ij}}} - u_{i})^{2}}{nk}}$$
 (8) <sub>420</sub>

CVMAPE = 
$$\frac{\sum_{j=1}^{k} \sum_{i=1}^{n} \frac{(\widehat{C_{sp,CV_{ij}}} - u_i)}{u_i}}{nk} \times 100\%$$
 (9) <sub>421</sub>

where n and k are the number of data points and the number 422 of repartitions in cross-validation, respectively,  $u_i$  denotes the 423 experimental values of  $C_{sp}$ , and  $\widehat{C_{sp,CV_{ij}}}$  represents the prediction 424

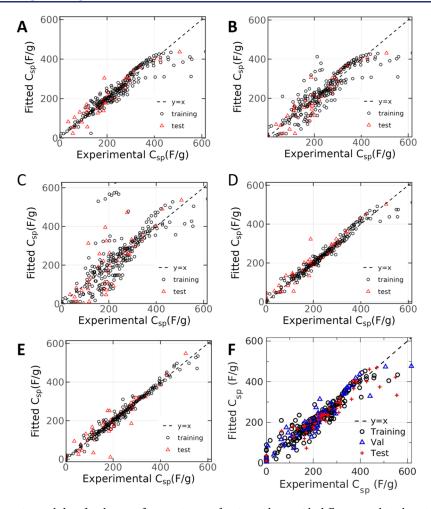


Figure 2. Correlation of experimental data for the specific capacitance of active carbons with different machine-learning (ML) models from one cross-validation test, with training:test = 8:2 for GPR models and training:validation:test = 8:1:1 for ANN. In each panel, the diagonal line represents the perfect correlation. (A) Group ARD physics-informed GPR with JR prior (gARD-JR-PhysGPR), marginal posterior mode estimation, and Matérn 3/2 kernel; (B) conventional ARD physics-informed GPR with JR prior (ARD-JR-PhysGPR), posterior mode estimation, and Matérn 3/2 kernel; (C) conventional ARD physics-informed GPR (ARD-MLE-PhysGPR) with maximum likelihood estimation (MLE) and Matérn 5/2 kernel; (D) gARD conventional GPR with JR prior (gARD-JR-ConvGPR), posterior mode estimation, and squared exponential kernel; (E) conventional GPR (ARD-MLE-ConvGPR) with pure quadratic basis and ARD rational quadratic kernel; and (F) artificial neural network (ANN).

425 for the test set in the jth repartition of the k-fold cross-426 validation.

#### 3. RESULTS AND DISCUSSION

3.1. Model Evaluation. In this section, we first discuss the test cross-validation correlation of gARD PhysGPR models with experimental data to access their prediction capabilities. We will compare the results with those obtained from the test conventional GPR, PhysGPR with ARD kernels (all input test parameters decoupled), and the artificial neural network (ANN) reported in our previous work. The fitting hypertast parameters were optimized by 5-fold cross validation (CV) with 10 repartitions (80% training, 20% test, trained  $5 \times 10 = 100$  times). Table 3 summarizes the training and cross-table validation error measured by CVRMSE for different ML models as well as the training set RMSE and CV mean absolute table percentage error (MAPE).

Figure 2 illustrates the correlations of the experimental data with different ML models. In comparison with our previous work for pristine carbon electrodes, all ML models, except convGPR, exhibit improved fitting of the specific capacitance. This improvement is likely due to the increased number of 444 data points and more input parameters. All kinds of PhysGPR 445 and convGPR (JR or MLE) provide a good correlation of the 446 experimental data for the specific capacitance, with different 447 accuracies. Among various ML methods tested in this work, 448 convGPR with the ARD rational quadratic kernel yields the 449 lowest predictive error (CVRMSE = 28.14). However, as 450 illustrated in Figure 3, both ANN and convGPR exhibit the 451 f3 unphysical prediction of capacitance increasing with the scan 452 rate, resembling the behavior observed in pristine carbon 453 electrodes. Consequently, these models may not always be 454 suitable for accurate capacitance predictions. For all of the 455 Phys-GPR models, using joint robust (JR) prior and posterior 456 mode estimation reduces the cross-validation predictive error. 457 Similarly, in most of the convGPR models, the improved 458 robustness of the range parameters increases the model 459 stability and accuracy for test set predictions.

Table 3 shows that all types of PhysGPR models tested in 461 this study can correlate the experimental capacitance data 462 better than ANN (CVRMSE = 52.50). Among various 463 PhysGPR models, gARD-JR-PhysGPR with the Matern 3/2 464

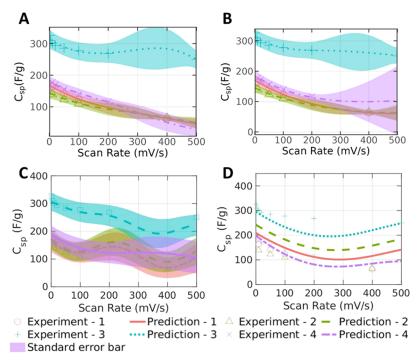


Figure 3. Specific capacitance ( $C_{sp}$ ) versus the scan rate ( $\nu$ ) predicted by different machine-learning methods: (A) group ARD, jointly robust (JR) prior, posterior mode estimation physics-informed GPR (gARD-JR-PhysGPR) with Matérn 3/2 kernel; (B) automatic relevance determination (ARD) physics-informed GPR with Matérn 5/2 kernel; (C) conventional GPR with pure quadratic basis and ARD rational quadratic kernel; and (D) artificial neural network (ANN). The lines show the predicted mean value, while the shadow shows the standard deviation predicted by GPR. The input parameters for the electrode material are sample 1:  $SA_{micro} = 429 \text{ m}^2/\text{g}$ ,  $SA_{meso} = 118 \text{ m}^2/\text{g}$ , O and N = 0 at. %, 6 M KOH electrolyte; sample 2:  $SA_{micro} = 173 \text{ m}^2/\text{g}$ ,  $SA_{meso} = 994 \text{ m}^2/\text{g}$ , O = 5.23 at. % N = 3.69 at. %, 6 M KOH electrolyte; sample 3:  $SA_{micro} = 1347 \text{ m}^2/\text{g}$ ,  $SA_{meso} = 84 \text{ m}^2/\text{g}$ , O = 13.86 at. %, N = 0 at. %, 1 M  $SA_{micro} = 1347 \text{ m}^2/\text{g}$ ,  $SA_{meso} = 1347 \text$ 

465 kernel achieves the best performance (CVRMSE= 30.44). 466 Coupling the input parameters with the same units improves 467 the cross-validation (CV) correlation of the ML models compared to ARD-JR-PhysGPR (ARD Matern 5/2, CVRMSE= 33.26), where all input parameters are decoupled. The reduced correlation accuracy may be attributed to the 471 ARD kernel using many parameters (parameter space length 472 scale), which increases data sparseness and results in 473 overfitting. The fitted length parameter in the mesopore 474 direction becomes too small for ARD models, resulting in 475 significant frustration in that direction and unrealistically large 476 predictions (Figure S7). Separate gARD-JR-PhysGPR models 477 were developed for different electrolyte types. In comparison 478 with the gARD-JR-PhysGPR model trained with all data, the single-electrolyte-type model yields similar correlation for samples with 1 M  $H_2SO_4$  electrolyte (CVRMSE = 30.47). However, its performance is much worse for samples with 6 M 482 KOH electrolyte (CVRMSE = 58.05). All ML models predict the artificial zero surface area-zero capacitance data points better than similar models for the pristine carbon electrodes.<sup>44</sup> The enhanced performance could be ascribed to the amplified 486 influence of artificial points, stemming from the sparseness of the input data set induced by the higher dimensionality of the 488 input parameter space.

As demonstrated in our previous work, 45 the direct 490 application of ML models to correlate experimental data may 491 result in problematic predictions of the capacitance for certain 492 electrode materials. For example, Figure 3 shows that both 493 ANN and convGPR models predict an upsurge in capacitance 494 with the scan rate in the high-scan-rate region. The PhysGPR

models circumvent the unphysical predictions because of the 495 use of prior knowledge about the scan-rate dependence of the 496 capacitance. PhysGPR shows significantly improved perform-497 ance in the high-scan-rate region, making it the preferred 498 choice for predicting the capacitance of doped carbon 499 materials in the subsequent analyses. Additionally, the 500 Gaussian process methods allow for the calculation of 501 predictive standard deviation alongside the prediction mean, 502 providing an uncertainty measurement. The comparison 503 between ordinary ML models (using MLE) and posterior 504 mode estimation with the JR prior underscores the importance 505 of robustness in estimating the range parameter, which is 506 crucial for avoiding near-diagonal or near-singular issues in 507 these calculations.

Table S1 compares the capacitance prediction performance 509 of our model and previously developed models from the 510 literature. <sup>22,34,38,77,78</sup> Because of the choice of experimentally 511 adjustable input parameters and the use of prior knowledge to 512 avoid overfitting, our developed models do not outperform all 513 previous models, and the accuracy of our results is comparable 514 to that of other models with a reasonable number of input 515 parameters.

**3.2. Effect of Chemical Compositions and Structure** 517 **Parameters.** To comprehend the impact of heteroatom 518 doping on the capacitive behavior of carbon electrodes, we 519 conducted sensitivity analysis (SA) by computing the main 520 effect and total effect Sobol indices of the trained ML model 521 on all input parameters. The specifics of the SA methods are 522 provided in the SI. We assumed that the input parameters are 523 independent of each other and follow a uniform distribution 524

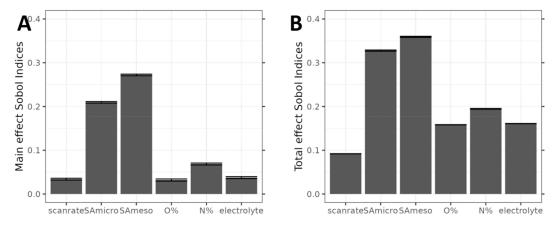


Figure 4. (A) Main effect and (B) total effect Sobol indices of the gARD-JR-PhysGPR model with the error bar showing the standard deviation.

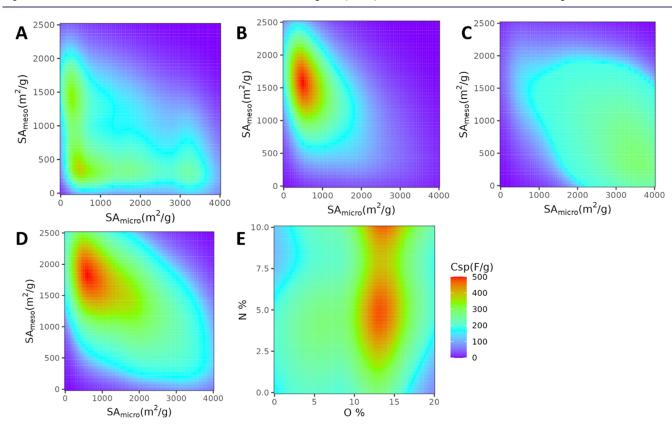


Figure 5. Specific capacitance versus the surface areas of micro- and mesopores of carbon electrodes predicted by gARD-JR-PhysGPR. (A) Pristine carbon, (B) single-doped carbon with N = 14.1 at. %, (C) single-doped carbon with O = 3.7 at. %, (D) codoped carbon with O = 13.3 at. % and N = 4.8 at. %, and (E) capacitance versus doping composition at  $SA_{micro} = 619 \text{ m}^2/\text{g}$  and  $SA_{meso} = 1973 \text{ m}^2/\text{g}$ . In all cases, the capacitance corresponds to 6 M KOH aqueous electrolyte at a scan rate of 1 mV/s.

525 with the same mean and standard deviation as for the training 526 samples. From the main effect Sobol indices, we observed that 527 the micropore surface area and mesopore surface area are the 528 primary parameters influencing the overall capacitance. 529 Additionally, the total effect Sobol indices were found to be 530 significantly higher than the main effect indices for every 531 parameter, indicating strong interactions between different 532 parameters.

Then ML models were employed to explore the variation of system specific capacitance with the structure parameters at a low scan rate (1 mV/s). The electrode materials considered in this study system include pristine carbon, active carbon with single heteroatom

doping, and active carbon with mixed doping of oxygen and 537 nitrogen at different chemical compositions.

Figures 5 and 6 present the capacitance predicted by gARD-  $_{539}$  f4f5f6 JR-PhysGPR for carbon electrodes in 1 M  $_{2}\mathrm{SO_{4}}$  and 6 M  $_{540}$  KOH electrolytes, respectively. In these figures, each panel  $_{541}$  displays the capacitance as a function of two variables, and the  $_{542}$  chemical composition of the electrode is described by the  $_{543}$  atomic percent of N/O doping (e.g., 20 at. % oxygen means  $_{544}$  20% of the surface atoms are oxygen atoms). The input  $_{545}$  variables of the prediction are selected within the ranges of  $_{546}$  SA $_{\rm micro}$  < 4000 m²/g, SA $_{\rm meso}$  < 2500 m²/s, O ratio < 20%, and  $_{547}$  N ratio < 10%. These values are chosen based on the  $_{548}$  experimental data set. Further extrapolation is problematic for  $_{549}$ 

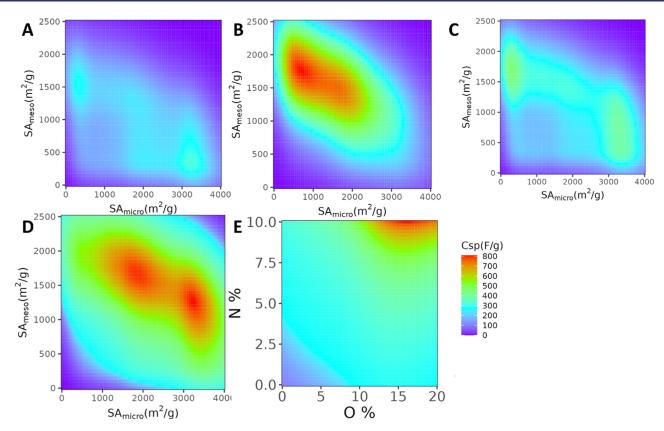


Figure 6. Capacitance vs surface areas of micropores and mesopores of carbon electrodes predicted by gARD-JR-PhysGPR. (A) Pristine carbon, (B) single-doped carbon with N = 8.0 at. %, (C) single-doped carbon with O = 20 at. %, (D) codoped carbon with O = 17.6 at. % and N = 10.0 at. %, and (E) capacitance versus doping composition at  $SA_{micro} = 1704 \text{ m}^2/\text{g}$  and  $SA_{meso} = 1737 \text{ m}^2/\text{g}$ . In all cases, the electrolyte is a 1 M  $H_2SO_4$  aqueous solution, and the scan rate is fixed at 1 mV/s.

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550 the ML models. The predicted capacitance refers to the 551 capacitor material properties shown at the cross section in the 552 direction of the structural parameters,  $SA_{micro}$  and  $SA_{meso}$  (4D 553 and 5D), or chemical compositions, O% and N% (4E and 5E), 554 at the position of the optimized material in different 555 electrolytes.

Figure 6E shows that, for the single-doped carbon materials 556 557 in 1 M H<sub>2</sub>SO<sub>4</sub> aqueous solution, the O doping enhances the 558 performance within the range of less than O = 20 at. %. In 6 M 559 KOH solution, however, the capacitance begins to decrease at 560 13 at. % O doping, as illustrated in Figure 5E. The single N 561 doping to the carbon electrode shows different effects in 562 different electrolytes, as shown in Figures 5E and 6E. In 6 M 563 KOH electrolyte, the ML model predicts a peak in specific 564 capacitance at about N = 4.4 at. %. Conversely, in the acid 565 solution, the capacitance increases with the N composition. 566 Furthermore, the increase in capacitance due to doping is 567 much stronger in the acidic electrolyte than in the alkaline 568 electrolyte. In the former case, the maximum capacitance of N-569 doped carbon reaches 546 F/g, whereas under the alkaline 570 condition, it is only 240 F/g.

Multiple configurations are possible for N doping on carbon materials, including pyrrolic nitrogen (N-5), pyridinic nitrogen (N-6), quaternary nitrogen (N-Q), and pyridinic oxide (N-X), with different effects on the capacitance. N-X does not exist in N-doped carbon without oxygen. Previous experiments and theoretical investigations show that both N-5 and N-6 nitrogen doping would increase the capacitance by their contributions to pseudocapacitance, especially in an acidic electrolyte. Pigure 7 presents three possible photon-participating redox

**Figure 7.** Possible redox reactions on N/O-doped carbon with pseudocapacitance effects. <sup>36,79</sup> (A) Pyridinic nitrogen (N-6), (B) pyrrolic nitrogen (N-5), and (C) quinone oxygen.

reactions. While N-5 is electrochemically more active than N- 580 6, the latter has a redox reaction potential window larger than 1 581 V in a basic electrolyte. On the other hand, N-Q doping does 582 not make any significant contribution to pseudocapacitance; its 583 effect is limited to a slight increase in the EDL capacitance, 584 primarily through the improvement of the electronic 585 conductivity of the electrode material. The three kinds of N 586 doping (N-5, N-6, and N-Q) can occur simultaneously during 587 the material synthesis. The N-Q ratio rises in high N-doped 588 materials, leading to the observed peak in capacitance.

Figures 5 and 6 elucidate how the N/O-codoped carbon 590 electrodes exhibit distinct capacitive behaviors in different 591 electrolyte solutions. For N-doped carbon materials, increasing 592

Table 4. Summary of Optimal Carbon Electrodes in 6 M KOH Electrolyte Predicted by Different ML Models in Comparison to the Best Material Identified in the Experiment

	Properties of the optimized electrode				$C_{sp}$ (F/g) at 5 mV/s		
Optimization method	SA <sub>micro</sub> (m <sup>2</sup> /g)	$SA_{meso}$ $(m^2/g)$	O at. %	N at. %	Source <sup>b</sup>	$ANN^c$	Phys-GPR <sup>a,c</sup>
Experiment	327	1280	6.8	4.8	309.5	292	312
ANN	1400	1000	11.3	9.0	570	/	491
PhysGPR <sup>a</sup>	691	1973	13.3	4.8	568	301	/

<sup>&</sup>lt;sup>a</sup>gARD-JR-PhysGPR is shown as PhysGPR. <sup>b</sup>Optimized specific capacitance. <sup>c</sup>ML predictions with alternative inputs.

Table 5. Same as for Table 3 but for the 1 M H<sub>2</sub>SO4 Electrolyte

	Properties of the optimized electrode			$C_{sp}$ (F/g) at 5 mV/s			
Optimization method	SA <sub>micro</sub> (m <sup>2</sup> /g)	$SA_{meso}$ $(m^2/g)$	O at. %	N at. %	Source	ANN	Phys-GPR
Experiment	3650	826	11.78	1.56	610	583	617
ANN	1710	1050	20	2.3	692	/	673
PhysGPR	1704	1737	17.6	10	769	501	/

593 oxygen doping reduces the capacitance in both acidic and 594 alkaline electrolytes when the oxygen content is beyond O = 595 12-16 at. %. The potential reason lies in the formation of N-X 596 instead of N-6 in N/O-codoped carbon materials, which 597 provides much less pseudocapacitance because its redox 598 potential is higher than the experimental potential window of 599 1 V. A comparison of different panels of Figures 5 and 6 600 illustrating the specific capacitance versus the structure 601 parameters (viz., micropore and mesopore surface areas) 602 suggests that in an alkaline electrolyte heteroatom doping shifts 603 the maximum capacitance to a higher mesopore surface area. 604 Additionally, oxygen doping significantly improves the 605 performance in materials with high mesopore surface area 606 but worsens in those with high micropore surface area. The 607 trend may be attributed to the increased surface wettability and 608 thus higher ion accessibility of the micropores. By contrast, in 609 an acidic electrolyte, oxygen doping shifts the maximum 610 capacitance to a higher micropore surface area while nitrogen 611 doping shifts the peak capacitance to a higher overall surface 612 area and a slightly higher micropore surface area. These trends 613 align with the physical mechanism of proton-participating 614 redox pseudocapacitance.

We conclude this subsection by emphasizing the importance 616 of incorporating the jointly robust (JR) prior to accurately 617 capture the capacitive behavior using the Gaussian process 618 model. To elucidate the effect of JR prior, we compared the 619 results from gARD-MLE-PhysGPR and gARD-JR-PhysGPR 620 predictions. As shown in Figure S8, the reference prior (ARD-621 MLE-PhysGPR) yields only one capacitance peak when the 622 micropore and mesopore surface areas vary at different doping 623 compositions, forming an ellipse-shaped contour. This result 624 seems unrealistic and indicative of too strong a mean function 625 in GPR. In theory, the variation in capacitance with respect to 626 surface area should not be symmetric and will vary with 627 chemical composition, owing to different charging mecha-628 nisms. Posterior mode estimation avoids the near-diagonal or 629 near-singular correlation matrix and ensures the effectiveness 630 of the parameter estimation, and the JR prior accelerates the 631 decay of the tail of the kernel function compared to the 632 reference prior, thereby reducing the range parameter of the 633 inert inputs and long-range correlations. 30

3.3. Capacitor Performance under Fast Charging—635 Discharging Conditions. In the preceding subsection, we 636 discuss the interplay between pore structure and doping 637 composition of carbon electrodes, unraveling their impact on

the capacitance of aqueous supercapacitors. This exploration 638 was conducted under a low scan rate, a regime closely aligned 639 with the equilibrium condition and reflective of the maximum 640 energy density. In practical applications, the performance of 641 supercapacitors is often assessed under rapid charging and 642 discharging conditions. In this subsection, we explore the 643 influence of the pore structure and doping composition of 644 carbon electrodes on the capacitance at higher scan rates. The 645 results predicted by our ML model are shown in Figures S3- 646 S6. The cross-sectional figures are similar to those in Figures 4 647 and 5 but at higher scan rates. The relative standard deviation 648 (rSD) serves as an indicator of the uncertainty in GPR 649 predictions. In comparison to the MLE models, the posterior 650 mode estimation with the JR prior provides distinct 651 uncertainty assessments for their predictions at various points. 652 The relative predictive intervals of MLE models are highly 653 stable, nearly fixed at rSD = 65% (Figure S9). This stability 654 suggests potential overly robust mean function in the ordinary 655 MLE GPR models, likely resulting from strong long-range 656 correlation induced by the large kernel parameter length scale 657 and a nearly diagonal correlation matrix. The reduced long- 658 range correlation from the JR prior contributes to an effective 659 uncertainty assessment.

In the KOH electrolyte, the specific capacitance drops more 661 rapidly for materials with a high micropore surface area, 662 whereas it remains little changed under high scan rates for 663 materials with a high mesopore-low micropore surface area. In 664 the acidic electrolyte, the specific capacitance declines with the 665 rising scan rate, and the trend is not sensitive to chemical 666 composition. In this case, the reduction in specific capacitance 667 at high scan rate is relatively small compared to that for pristine 668 carbons<sup>23,44</sup> or for the same electrodes in the KOH electrolyte 669 solution (Figure 4). The probable reason is that pseudocapa- 670 citance dominates the performance of doped carbons in the 671 acidic electrolyte. As a result, the limiting factor for the 672 charging-discharging rate is similar for most carbon materials 673 with the same doping composition. In the alkaline electrolyte, 674 the ML model predicts that the retention rate of capacitance 675 with scan rate is the highest for materials with 12–15% O and 676 10% N. The optimized condition is not observed in the acid 677 electrolyte because the proton transfer process is much faster 678 between doped sites and the solution under the acidic 679 condition.

**3.4. Optimizing Capacitive Performance with the ML** 681 **Model.** With the quantitative correlations between the 682

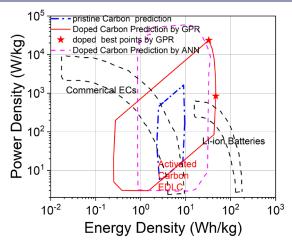
**Figure 8.** Specific capacitance versus scan rate predicted by the gARD-JR-PhysGPR model for nitrogen- and oxygen-codoped carbon electrodes that yield the highest capacitance at 1 and 200 mV/s scan rates in two different electrolytes. (A) Figure with error bar predicted by gARD-JR-PhysGPR. (B) Figure without error bar for clearance. The properties of the electrode materials are listed in Tables 4 and 5

683 material properties and specific capacitance derived from the 684 gARD-JR-PhysGPR model, we can now explore the optimal 685 structural parameters and doping compositions of carbon 686 electrodes under different electrolyte conditions. In both acid 687 and alkaline electrolytes, the optimal materials remain the same 688 at scan rates of 1 and 200 mV/s, as illustrated in Figures S3—689 S6. An ultrahigh capacitance of 769 F/g can be achieved in 690 H<sub>2</sub>SO<sub>4</sub> at a scan rate of 1 mV/s. The characteristics of the 691 optimized electrodes predicted by the gARD-JR-PhysGPR 692 model are shown in Tables 4 and 5, in comparison with the 693 optimized results by ANN and the best materials identified in 694 the experiment. Figure 7 shows the specific capacitance versus 695 the scan rate for the top materials predicted by the gARD-JR-696 PhysGPR model.

As anticipated, both ANN and gARD-IR-PhysGPR predict 698 specific capacitance close to the experimental values for the 699 optimized materials identified by experiment, demonstrating 700 the robustness of these ML models. In the case of a 6 M KOH 701 electrolyte solution, the two ML models also yield a similar 702 value for the maximum specific capacitance (568 vs 570 F/g). 703 However, the best electrode material predicted by the gARD-704 JR-PhysGPR model has a much higher mesopore surface area 705 (1973 vs 1000 m<sup>2</sup>/g) yet a smaller micropore surface area (691 706 vs 1400  $m^2/g$ ). It should be noted that the optimal material 707 identified by ANN falls in the region where it predicts an 708 unphysical increase in the capacitance with the scan rate. As 709 depicted in Figure S10A, ANN predicts erroneous capacitive 710 behavior in the high mesopore-low micropore surface area 711 region, even at low to moderate scan rates. In a 1 M H<sub>2</sub>SO<sub>4</sub> 712 solution, the maximum specific capacitance predicted by gARD-JR-PhysGPR (769 F/g) is much higher than that 714 predicted by ANN (692 F/g). The discrepancy likely arises 715 from the fact that ANN systemically underestimates the 716 capacitance at low scan rate in the high mesopore surface area 717 region. Figure S10B illustrates that the unphysical increase in capacitance with the scan rate is most pronounced under the conditions where gARD-JR-PhysGPR predicts a maximum capacitance.

Figure 8 illustrates the variation of specific capacitance results for the electrode materials that yield the maximum capacitance. results for the electrode materials that yield the maximum capacitance. In the alkaline electrolyte, the optimized material is within the range of high retention rate with a high mesopore surface area rade and a 13% oxygen doping rate. In the acid electrolyte, the retention rate is not significantly impacted by the structural rase parameters.

**3.5.** Energy Storage Performance Comparison by the 729 Ragone Plot. To provide further insights into the perform-730 ance of supercapacitors with optimal electrode materials, we 731 prepared a Ragone plot as commonly used to compare the 732 energy density and power density of different energy storage 733 devices. Figure 9 is constructed based on the *in operando* 734 69



**Figure 9.** Ragone plot for aqueous supercapacitors consisting of nitrogen/oxygen-codoped carbon electrodes in 6 M KOH or 1 M  $\rm H_2SO_4$  electrolyte solution. The red solid line is predicted by the gARD-PhysGPR, the violet dashed line is the prediction of ANN, and the blue dashed line corresponds to that for pristine carbon electrodes. The red stars highlight the maximum energy density and power density, both obtained in the 1 M  $\rm H_2SO_4$  electrolyte.

capacitance of all N/O-codoped carbon materials in the 735 parameter ranges of  $S_{micro}$  < 4000 m²/g,  $S_{meso}$  < 2500 m²/g, O- 736 doped ratio < 20 at. %, and N-doped ratio < 10 at. % with a 737 scan rate of 5 mV/s  $\leq \nu \leq$  100 mV/s. The results are predicted 738 by the gARD-JR-GPR model and compared with those 739 predicted by the ANN model for N/O-doped carbon 740 electrodes. Figure 9 also shows the energy density and 741 power density of other energy storage devices such as batteries 742 and conventional capacitors. We observe that the maximum 743 energy density predicted by gARD-JR-PhysGPR is slightly 744 larger than that from the ANN prediction, while the ANN 745 predicts a much higher power density. The red stars highlight 746 the best energy density and power density for the optimal 747 electrodes that are identified from the capacitance at scan rates 748 of 1 and 200 mV/s, respectively.

The gARD-JR-PhysGPR model predicts that the optimized 751 electrode structure has a micropore surface area of  $SA_{micro}$  = 752 1704 m<sup>2</sup>/g and a mesopore surface area of  $SA_{meso} = 1737 \text{ m}^2/\text{g}$ . 753 These numbers may be compared with the best experimental 754 samples with  $SA_{micro} = 3650 \text{ m}^2/\text{g}$  and  $SA_{meso} = 820 \text{ m}^2/\text{g}$ ,  $^{36}$ 755 indicating a preference for synthesizing materials with a higher 756 mesopore surface area but a lower micropore surface area. 757 Among previously synthesized materials, those with a high 758 mesopore surface area (>1000 m<sup>2</sup>/g) typically exhibit relatively 759 low micropore surface areas, with the highest recorded value 760 being less than 620 m<sup>2</sup>/g, thereby limiting their performance. 761 According to our ML model, enhanced performance can be 762 achieved by increasing the mesopore surface area while 763 maintaining the micropore surface area at a relatively high 764 level, around approximately 1500 m<sup>2</sup>/g. Additionally, the ML 765 model predicts that a higher capacitance can be attained by 766 increasing the level of nitrogen doping.

Multiple strategies can be explored for synthesizing carbon 768 materials with a high surface area through the activation of 769 biomass or synthetic polymers. Materials with a high total 770 surface area can often be achieved by chemical activation with 771 KOH or ZnCl<sub>2</sub>. While most chemical activation methods 772 produce predominantly micropores, mesopores can be 773 introduced in different ways, ranging from hard or soft 774 templating to nontemplating methods such as simultaneous 775 physical and chemical activation to enlarge micropores. 26,31,82 776 Hard templating means that the mesoporosity properties are 777 introduced by nanocasting techniques using inorganic 778 templates, such as mesoporous silica and zeolites. This method 779 can reach a total surface area of 3840 m<sup>2</sup>/g, with a relatively 780 high mesopore surface area ratio ( $S_{meso}$  can reach 940 m<sup>2</sup>/g). 781 Soft templating refers to the adoption of ordered mesoporous 782 frameworks that can be achieved by the cooperative assembly 783 of amphiphilic molecules or block copolymers. Materials 784 produced by soft templating tend to exhibit a highly ordered 785 pore structure, albeit with a trade-off in their total surface area 786 owing to a low micropore surface area. Nontemplating 787 strategies are usually used to improve the mesopore surface 788 area of active carbon from natural sources. Templating and 789 nontemplating methods can be combined to reach higher 790 mesopore surface area and volume at the cost of the ordered structure from the template. Meanwhile, heteroatom doping 792 can be achieved by adding an element source in the synthesis 793 steps, such as using a heteroatom-rich polymer as the carbon source or a separate nitrogen precursor, using postchemical treatment of carbon materials through an oxidation reaction, 796 thermal polymerization, and replacement reactions, or using 797 chemical vapor deposition. 16 The best material in the experiment is produced by the nontemplating treatment of a soft-templated material with cross-linked polymer produced by poly(ethylene oxide)-b-poly(propylene oxide)-b-poly-(ethylene oxide) (PEO-PPO-PEO)/phloroglucinol system as 802 its carbon source and precursor with a nitrogen cross-linker. 83 803 Highly N/O-codoped porous carbon can be synthesized by activating a cross-linked polymer with a nitrogen precursor 805 such as sodium amide, with a very high micropore surface area 806 and a high mesopore surface area. 84 Applying the colloid-807 templated methods while synthesizing the cross-linked polymer is a possible route to improving the mesopore surface 809 area for the porous carbon material further, while no 810 templating methods such as additional physical activation 811 can be used to transform a micropore into a mesopore. 10 812 Combining these methods may prove instrumental in

achieving the optimal materials predicted by our ML models 813 for capacitive energy storage.

#### 4. CONCLUSIONS

We extended the PhysGPR model reported in our previous 815 work for correlating the capacitive behavior of the pristine 816 carbon supercapacitors to N/O-codoped carbon electrodes. A 817 fixed-unit-relevance kernel was introduced to improve the 818 model performance and reduce the likelihood of overfitting the 819 sparse data. We demonstrated that the physics-informed ML 820 model eliminates unphysical predictions that conventional 821 GPR and ANN might encounter when fitting the capacitance 822 versus scan rate curves. Quantitative correlations were 823 established between the capacitive behaviors and a combina-824 tion of structural information and surface chemical composition, in good agreement with the experimental data. This work 826 demonstrates that incorporating physical knowledge into the 827 learning algorithm can yield more meaningful and accurate 828 predictions.

After incorporating capacitance data for carbon electrodes in 830 a 1 M H<sub>2</sub>SO<sub>4</sub> aqueous electrolyte, we observed that N/O- 831 codoped carbon can achieve a higher capacitance under acidic 832 conditions owing to the pH-related pseudocapacitance of 833 pyrrolic nitrogen and pyridinic nitrogen groups. Among 834 various forms of PhysGPR models, gARD-JR-PhysGPR with 835 a Matérn 3/2 kernel provides the best correlation of the 836 experimental data. Sensitivity analysis by the calculation of 837 Sobol indices shows that the mesopore and micropore areas 838 made more of a contribution to the capacitive behavior, with a 839 strong correlation between different properties. The gARD-JR- 840 PhysGPR model predicts that the specific capacitance of a N/ 841 O-codoped carbon can be optimized with an O-doping ratio of 842 about 13 at. % and a high N-doping ratio, a micropore surface 843 area of  $SA_{micro} = 1704 \text{ m}^2/\text{g}$ , and a mesopore surface area of 844  $SA_{meso} = 1737 \text{ m}^2/\text{g}$ . The preferred structure parameters of the 845 doped carbon materials are different from those of the pristine 846 carbons. High surface areas of both mesopores and micropores 847 are preferred, but the performance of doped materials can be 848 further optimized with medium to high micropore surface 849 areas, consistent with the predictions of physics-based models 850 of higher wettability of carbon electrodes and pore accessibility 851 by ions.

In comparison with existing experimental results, the ML 853 model predicts that materials with a higher mesopore surface 854 area and a lower micropore surface area would be preferable 855 for enhancing the capacitive performance of carbon electrodes 856 in aqueous electrolytes. Meanwhile, the surface chemical 857 compositions can be optimized by increasing the N-doping 858 ratio with a comparable O ratio. The optimal material 859 predicted by the ML model could potentially be synthesized 860 by employing a more nitrogen-rich precursor in the soft 861 template method, combining soft and hard templating to 862 increase the mesopore surface area, or utilizing post-treatment 863 methods to enhance the nitrogen doping ratio.

While conventional GPR methods offer uncertainty 865 quantification, the sparsity of input data in the increasing 866 order of the input parameter space diminishes the relevance of 867 such uncertainty assessments. This results in uncertainty levels 868 that remain medium to high across the parameter space, 869 casting doubt on their applicability for single-point predictions. 870 By employing the JR prior and posterior mode estimation in 871 RobustGaSP, our gARD-JR-PhysGPR model offers a mean-872 ingful prediction interval as an uncertainty assessment. This 873

874 method enhances the range parameter estimation and 875 mitigates the long-range effects of the training data, 876 contributing to a more accurate and reliable uncertainty 877 prediction. In general, random sampling high-dimensional 878 model representation (RS-HDMR) methods would be a 879 valuable approach for addressing sparse data as they are 880 designed to effectively handle high-dimensional spaces and 881 offer insights into the relationships between input variables and 882 model output. However, RS-HDMR does not perform well in 883 this work due to the limited number of input parameters and 884 the occurrence of strong correlations between different 885 parameters. The gARD-JR-PhysGPR model allows us to 886 account for the synergetic effects of nitrogen and oxygen 887 doping and identify the best codoped carbon materials with 888 desirable structural and chemical properties.

#### 889 **ASSOCIATED CONTENT**

# 890 Supporting Information

891 The Supporting Information is available free of charge at 892 https://pubs.acs.org/doi/10.1021/acs.jced.4c00071.

Formulas for calculating capacitance; machine-learning methods used in this study, including Gaussian process regression (GPR), robust parameter estimation, and sensitivity analysis; and 10 supplementary figures referenced in the main text and the input data for training the machine learning models (PDF)

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#### 914 Notes

915 The authors declare no competing financial interest.

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