

1 **Hot tropical temperatures during the Paleocene-Eocene thermal maximum**  
2 **revealed by paired in-situ  $\delta^{13}\text{C}$  and Mg/Ca measurements on individual**  
3 **planktic foraminifer shells**

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10 **Key points:**

11 • Microanalytical techniques used to measure paired  $\delta^{13}\text{C}$  and Mg/Ca ratios in individual foraminifer  
12 shells from a pelagic PETM record

13 •  $\delta^{13}\text{C}$  values of individual foraminifers used to identify and exclude reworked non-PETM specimens  
14 from Mg/Ca-based SST record

15 • Unmixed Mg/Ca-based temperature record indicates tropical SSTs increased by  $\sim 6^\circ\text{C}$  in central Pacific  
16 Ocean during PETM

17 **Abstract**

18 The Paleocene-Eocene thermal maximum (PETM, 56 Ma) is an ancient global warming event closely  
19 coupled to the release of massive amounts of  $^{13}\text{C}$ -depleted carbon into the ocean-atmosphere system,  
20 making it an informative analogue for future climate change. However, uncertainty still exists regarding  
21 tropical sea-surface temperatures (SSTs) in open ocean settings during the PETM. Here, we present the  
22 first paired  $\delta^{13}\text{C}$ :Mg/Ca record derived in-situ from relatively well-preserved subdomains inside individual  
23 planktic foraminifer shells taken from a PETM record recovered in the central Pacific Ocean at ODP Site  
24 865. The  $\delta^{13}\text{C}$  signature of each individual shell was used to confirm calcification during the PETM, thereby  
25 reducing the unwanted effects of sediment mixing that secondarily smooth paleoclimate signals constructed  
26 with fossil planktic foraminifer shells. This method of ‘isotopic screening’ reveals that shells calcified  
27 during the PETM have elevated Mg/Ca ratios reflecting exceptionally warm tropical SSTs (~33-34°C). The  
28 increase in Mg/Ca ratios suggests ~6°C of warming, which is more congruent with SST estimates derived  
29 from organic biomarkers in PETM records at other tropical sites. These extremely warm SSTs exceed the  
30 maximum temperature tolerances of modern planktic foraminifers. Important corollaries to the findings of  
31 this study are (1) the global signature of PETM warmth was uniformly distributed across different latitudes,  
32 (2) our Mg/Ca-based SST record may not capture peak PETM warming at tropical Site 865 due to the  
33 thermally-induced ecological exclusion of planktic foraminifers, and (3) the record of such transitory  
34 ecological exclusion has been obfuscated by post-depositional sediment mixing at Site 865.

35 **Plain Language Summary**

36 The Paleocene-Eocene thermal maximum (PETM, about 56 million years ago) is a global warming event  
37 that is widely regarded as an ancient analogue for climate change being driven by the current rise in  
38 atmospheric carbon dioxide ( $\text{CO}_2$ ) levels. Accurate measurements of PETM warmth in the tropical oceans  
39 are crucial to validating climate model simulations and gauging the effect of global warming on oceanic  
40 ecosystems. However, chemical analyses of marine microfossils (foraminifera) typically yield tropical sea  
41 surface temperatures (SSTs) for the PETM that are cooler than those computed by climate models. Primary  
42 reasons for this discrepancy are poor preservation of the foraminifer shells and displacement of shells from  
43 the cooler pre-PETM interval into overlying PETM sediments via sediment mixing processes. Here, we use  
44 in-situ microanalytical techniques to measure both the carbon isotope composition ( $\delta^{13}\text{C}$ ) and Mg/Ca ratio  
45 within the same individual shells. The  $\delta^{13}\text{C}$  values of shells were used to identify displaced pre-PETM  
46 specimens with higher, background  $\delta^{13}\text{C}$  ratios and exclude them from our Mg/Ca-based temperature  
47 record. Our new “isotopically filtered” Mg/Ca-based temperature record suggests ~6°C of warming in the

48 tropical Pacific, with SSTs (33-34°C) likely exceeding the maximum temperature tolerances of many  
49 calcifying plankton during the PETM.

50 **1. Introduction**

51 The Paleocene-Eocene thermal maximum (PETM, circa 56 Ma) is one of the most dramatic global  
52 warming events in Earth's history. Geochemical records show that sea surface temperatures (SSTs) warmed  
53 globally by ~4 to 6°C (Dunkley Jones et al., 2013; Inglis et al., 2020; Tierney et al., 2022) and that this  
54 transient (~170 ka) warming was coupled to a major perturbation of Earth's surficial carbon cycle with a  
55 sustained period of ocean acidification (Gutjahr et al., 2017; Penman et al., 2014; Zachos et al., 2005; Zeebe  
56 and Lourens, 2019). In geological records, a global hallmark of the PETM is a negative carbon isotope  
57 excursion (CIE) signaling the release of massive quantities of previously sequestered,  $^{13}\text{C}$ -depleted carbon  
58 into the ocean-atmosphere system (Dickens et al., 1995; Kennett and Stott, 1991; Kirtland Turner et al.,  
59 2017; Koch et al., 1992). First recognized over thirty years ago (Thomas, 1989), the PETM is now  
60 considered a natural analogue for climate change being driven by the current rise in atmospheric carbon  
61 dioxide (CO<sub>2</sub>) levels and thus provides a test case for assessing the accuracy of climate models simulating  
62 the response of the Earth system to rapid greenhouse-gas driven warming.

63 However, despite considerable effort, model-data mismatches still exist as well as inconsistencies  
64 between PETM reconstructions based on different proxies (e.g., Hollis et al., 2019; Lunt et al., 2013).  
65 Particularly challenging is the tendency for proxy-based tropical SSTs to be cooler than SSTs calculated by  
66 PETM model simulations. Proxy-based paleoclimate reconstructions suggest that the mid- to high-latitude  
67 surface oceans approached, or even exceeded, modern tropical temperatures (24°C to 29°C) during the  
68 PETM (e.g., Sluijs et al., 2011; Zachos et al., 2006). Climate model simulations indicate that this degree of  
69 mid-latitude warming should be accompanied by tropical SSTs  $\geq 35^\circ\text{C}$  (Huber, 2008; Lunt et al., 2012). To  
70 date, such extremely warm tropical temperatures for the PETM have been recorded by only a handful of  
71 proxy-based SST records from coastal and hemi-pelagic settings (e.g., Aze et al., 2014; Frieling et al.,  
72 2017). A dearth of robust proxy-based tropical SST reconstructions for PETM records from pelagic settings  
73 has exacerbated this problem.

74 The paucity of robust tropical SST reconstructions for the PETM from pelagic settings is chiefly due to  
75 vagaries of the fossil record. Diagenetic recrystallization has been particularly troublesome for SST  
76 reconstructions based on the oxygen isotope ( $\delta^{18}\text{O}$ ) compositions of planktic foraminifer calcite. The  
77 recrystallization of foraminifer shells typically takes place on the seafloor or within the upper sediment  
78 column at temperatures that are colder than the overlying tropical surface waters where the shells originally  
79 calcified (e.g., Pearson et al., 2001; Schrag et al., 1995; Sexton et al., 2006). Aside from imparting a 'frosty'  
80 hue to foraminifer shells, recrystallization can be difficult to detect because it usually occurs on micrometer

81 scales (Pearson et al., 2001; Wilson et al., 2002). Thus, conventional isotope ratio mass spectrometers  
82 requiring analysis of whole shells may include diagenetic calcite, which artificially elevates the measured  
83  $\delta^{18}\text{O}$  compositions and biases tropical SST estimates towards cooler (bottom water) temperatures.

84 Tropical SST reconstructions for the PETM can also be biased towards lower temperatures by sediment  
85 mixing. In most pelagic settings, the uppermost ~8 cm of the sediment column is thoroughly mixed by the  
86 burrowing activities of benthic organisms (bioturbation) prior to being incorporated into the deep-sea  
87 sedimentary record (Berger and Johnson, 1978; Berger and Heath, 1968). The time-averaging effects of  
88 this sediment mixing typically smooths paleoclimate records over millennial time scales (e.g., Hull et al.,  
89 2011; Hutson, 1980; Peng et al., 1979), which can be problematic for reconstructions of abrupt, transitory  
90 paleoclimate events. Astronomical tuning of deep-sea sedimentary records constrains the duration of the  
91 PETM to ~170,000 years (Röhl et al., 2007; Zeebe and Lourens, 2019) and computational models indicate  
92 that the onset of PETM conditions took <5 kyr (Kirtland Turner et al., 2017; Zeebe et al., 2016). Hence,  
93 pelagic PETM records deposited at relatively slow sedimentation rates are highly susceptible to blending  
94 by sediment mixing, especially across their basal parts where the stratigraphic record has been condensed  
95 by  $\text{CO}_2$ -induced carbonate dissolution (Kirtland Turner et al., 2017; Thomas et al., 2002; Zachos et al.,  
96 2005).

97 The degree to which pelagic PETM stratigraphies are distorted by sediment mixing was more fully  
98 appreciated by studies that used the  $\delta^{13}\text{C}$  compositions of individual planktic foraminifers to distinguish  
99 CIE specimens with relatively low  $\delta^{13}\text{C}$  values from non-CIE specimens with higher  $\delta^{13}\text{C}$  values that had  
100 been displaced (reworked) into the CIE interval (Kelly et al., 1996; Thomas et al., 2002; Zachos et al.,  
101 2007). This method of geochemically screening planktic foraminifer shells is predicated on the premise that  
102 the rapid decrease in ocean-atmosphere  $\delta^{13}\text{C}$  composition imparted a distinctive  $\delta^{13}\text{C}$  signature to only those  
103 shells grown during the PETM; hence, CIE shells register  $\delta^{13}\text{C}$  values that are approximately 4-5‰ lower  
104 than those recorded by non-CIE shells (Hupp et al., 2023; Kozdon et al., 2018). In short, the  $\delta^{13}\text{C}$  signature  
105 of the CIE can be used as a ‘time-marker’, making it possible to identify shells calcified during the PETM  
106 and exclude reworked non-CIE shells that would otherwise bias tropical PETM SST records towards cooler  
107 pre-PETM temperatures.

108 In this study, we revisit the well-studied PETM record from Ocean Drilling Program (ODP) Site 865 to  
109 reconstruct tropical SSTs in the central Pacific Ocean. We mitigate the detrimental effects of diagenesis  
110 and sediment mixing by using in-situ microanalytical techniques to make “paired”  $\delta^{13}\text{C}$  and  
111 magnesium:calcium (Mg/Ca) ratio measurements on micrometer-scale subdomains within individual  
112 planktic foraminifer shells. The microanalytical techniques herein employed are relatively non-destructive  
113 and conserve the bulk of the shell, making it possible to measure  $\delta^{13}\text{C}$  and Mg/Ca ratios in tandem within

114 the same individual shell. As described above, the  $\delta^{13}\text{C}$  values are used to identify and exclude reworked  
115 non-CIE specimens from our Mg/Ca-based SST reconstruction for the PETM. The Mg/Ca ratio of a planktic  
116 foraminifer shell is primarily controlled by calcification temperature and thus allows for the reconstruction  
117 of past SSTs (e.g., Lea et al., 1999). An added advantage of using foraminifer Mg/Ca ratios is that it  
118 circumvents uncertainties regarding short-term changes in local seawater  $\delta^{18}\text{O}$  composition that influence  
119 the  $\delta^{18}\text{O}$  composition of planktic foraminifer shells. This particular aspect of Mg/Ca paleothermometry is  
120 deemed advantageous as spatial patterns in seawater  $\delta^{18}\text{O}$  variability were altered during the PETM  
121 (Kozdon et al., 2020; Pagani et al., 2006; Rush et al., 2021; Zachos et al., 2003). Moreover, studies (Sexton  
122 et al., 2006; Staudigel et al., 2022) have shown that the Mg/Ca proxy is less sensitive to diagenetic alteration  
123 than the  $\delta^{18}\text{O}$  proxy in planktic foraminifer shells. Thus, Mg/Ca ratios can yield more reliable SSTs from  
124 partially recrystallized shells with compromised  $\delta^{18}\text{O}$  values.

## 125 **2. Material and Methods**

### 126 **2.1. Study Site and Core Sampling**

127 The Site 865 PETM record was recovered from atop Allison Guyot ( $18^{\circ}26.425'\text{N}$ ,  $179^{\circ}33.339'\text{W}$ ) at a  
128 water depth of 1517.4 meters in the Mid-Pacific Mountains (Fig. 1) (Sager et al., 1993). Benthic foraminifer  
129 assemblages indicate that this PETM record was deposited at mid-bathyal ( $\sim 1,300$  m) water depths and  
130 paleolatitude projections place Site 865 near the equator ( $\sim 2\text{--}5^{\circ}$  N) during the Paleocene (Bralower and  
131 Mutterlose, 1995; Sager et al., 1993). Sample selection was guided by previously published  $\delta^{13}\text{C}$  records  
132 (Bralower et al., 1995; Hupp et al., 2022) and biostratigraphic studies (Kelly et al., 1996), which constrained  
133 the PETM record to a thin ( $\sim 16$  cm) stratigraphic interval positioned between 103.00 and 102.84 meters  
134 below sea floor (mbsf) in Core 12H from hole 865C. The study section (105.00 – 100.50 mbsf) is composed  
135 of weakly lithified, calcareous ooze and was sampled at varying resolutions, 3–10 cm within the CIE interval  
136 and 2–110 cm outside the CIE interval (Fig. 1). The age model previously constructed by Kozdon et al.  
137 (2011) for the Site 865 PETM record is used in this study (Table 1). Foraminifer shells were gleaned from  
138 the bulk-sediment samples by rinsing the sediment with pH buffered ( $\sim 8.0$ ), deionized water over a 63- $\mu\text{m}$   
139 sieve. All planktic foraminifer shells have been partially recrystallized via carbonate diagenesis, as  
140 indicated by their opaque, stark white appearance under reflected light (e.g., Pearson et al., 2001; Wilson  
141 et al., 2002) and the secondary thickening of muricae protuberances into blade-like structures along the  
142 exteriors of the shell walls (Sexton et al., 2006).

143 Planktic foraminifer shells were handpicked under a stereo light microscope from the processed residues  
144 of each sample taken from Site 865 PETM section. The planktic foraminifer species *Morozovella*  
145 *velascoensis* and *M. allisonensis* were targeted for SST reconstruction. Both taxa are fairly common within

146 the CIE interval at Site 865, with *M. velascoensis* occurring throughout the entire 4.5-meter study section  
147 whilst the ‘excursion taxon’, *M. allisonensis*, occurs only within the CIE interval (Kelly et al., 1996). The  
148 limited stratigraphic range of the short-lived *M. allisonensis* makes it a reliable marker for the PETM  
149 (Pearson et al., 2006). The stable isotope ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ ) signatures of these two taxa indicate calcification  
150 within the oceanic mixed layer of the surface ocean, making them prime candidates for SST reconstructions  
151 (D'Hondt et al., 1994; Kozdon et al., 2011; Kozdon et al., 2013; Norris, 1996; Shackleton et al., 1985).  
152 Initially, *M. allisonensis* was thought to have calcified in cooler, deeper waters owing to its relatively  
153 heavier  $\delta^{18}\text{O}$  signature (Kelly et al., 1996; Kelly et al., 1998), but subsequent study has shown that the  
154 higher  $\delta^{18}\text{O}$  values are an artifact of carbonate diagenesis and that *M. allisonensis* was actually a surface-  
155 ocean dweller (Kozdon et al., 2011). The  $\delta^{13}\text{C}$  signatures of *M. velascoensis* and *M. allisonensis* covary  
156 positively with increasing shell size (D'Hondt et al., 1994; Kelly et al., 1998; 2001). Similar  $\delta^{13}\text{C}$ /size trends  
157 occur among modern planktic foraminifers that host algal photosymbionts and calcify their shells within  
158 the euphotic zone of the oceanic mixed layer (D'Hondt et al., 1994; Norris, 1996), thus *M. velascoensis* and  
159 *M. allisonensis* are considered to have had a similar photosymbiotic ecology. As a result, our paired  
160  $\delta^{13}\text{C}$ :Mg/Ca analyses were performed on shells from a narrow range of shell sizes (300-355  $\mu\text{m}$ ) to  
161 minimize size-dependent variation in our  $\delta^{13}\text{C}$  dataset.

## 162 **2.2. Paired *in situ* $\delta^{13}\text{C}$ and Mg/Ca Microanalyses**

163 Planktic foraminifer shells were cast with 3 grains of UWC-3 calcite standard (Kozdon et al., 2009) in  
164 the center of a 25 mm round epoxy mount, ground to the level of best exposure, polished, cleaned, and Au-  
165 coated. Prior to geochemical analysis, shells were examined by Scanning Electron Microscopy (SEM) to  
166 identify subdomains suitable for in-situ  $\delta^{13}\text{C}$  and Mg/Ca ratio measurements and to avoid zones that had  
167 experienced significant diagenetic alteration within each shell (e.g., Kozdon et al., 2011). In-situ  $\delta^{13}\text{C}$   
168 measurements were performed with  $\sim 7 \mu\text{m}$  beam spot size in the WiscSIMS Laboratory at UW-Madison  
169 by a CAMECA ims-1280 large radius multicollector ion microprobe (Kita et al., 2009; Valley and Kita,  
170 2009) using the protocols described in a previous study (Kozdon et al., 2018). The in-situ  $\delta^{13}\text{C}$   
171 microanalyses primarily targeted subdomains located at the base of pustular outgrowths (muricae) within  
172 the chamber walls of each shell. Previous studies have shown that these subdomains are less susceptible to  
173 post-depositional alteration than the rest of the shell (Kozdon et al., 2011; Kozdon et al., 2013). Between  
174 one and five SIMS  $\delta^{13}\text{C}$  microanalyses were performed for each shell and averaged (Table 1).  
175 Reproducibility of the individual spot analysis of UWC-3 standard ( $\delta^{13}\text{C} = -0.91\text{‰}$  V-PDB, Kozdon et al.,  
176 2011) bracketing the samples is on average 0.7‰ ( $\pm 2 \text{ SD}$ ). After SIMS analysis, the Au-coat of the sample

177 mount was removed, and a C-coat was applied after cleaning to facilitate SEM imaging of the SIMS analysis  
 178 pits and electron microprobe analysis.

179 In-situ Mg/Ca ratios were acquired from ~3  $\mu\text{m}$  diameter spots with a slightly larger interaction volume  
 180 using a CAMECA SX-51 electron microprobe housed in the Cameron and Wilcox Microbeam Laboratory  
 181 at the UW-Madison Department of Geoscience. Whenever possible, Mg/Ca spot measurements were placed  
 182 adjacent to the SIMS  $\delta^{13}\text{C}$  pits or in comparable subdomains within the chamber wall of each shell.  
 183 Depending on the number of suitable targets for analysis, between one to five in-situ Mg/Ca measurements  
 184 were performed for each shell, and the average Mg/Ca composition was calculated (Table 1). Fully  
 185 quantitative microanalyses (mineral standards, background subtracted, and matrix corrected) were  
 186 performed using Probe for EPMA software (Probe Software, Inc.). The natural carbonate standards Delight  
 187 Dolomite and Callender Calcite were used for Mg and Ca, respectively. Mg-K $\alpha$  X-rays were measured on  
 188 two spectrometers and aggregated. Carbon was calculated within the matrix correction, being allocated as  
 189 one atom of carbon to 3 atoms of oxygen, and oxygen by stoichiometry to the cations measured, thus  
 190 analytical totals of 98 – 100.5 wt. % are a measure of accuracy. Measurements featuring analytical totals  
 191 below 98 wt. % or above 100.5 wt. % were excluded from the data set.

### 192 2.3. Mg/Ca Paleotemperature Calculations

193 Absolute Mg/Ca-based paleotemperatures were calculated using the following equation of Hines et al.  
 194 (2017):

$$195 T = \ln \left( \frac{[\text{Mg/Ca}_{\text{shell}}] \times [\text{Mg/Ca}_{\text{sw}}^{\text{t=0}}]^H}{B \times [\text{Mg/Ca}_{\text{sw}}^{\text{t=1}}]^H} \right) \times \frac{1}{A} \quad (1)$$

196 where  $\text{Mg/Ca}_{\text{shell}}$  is the measured Mg/Ca ratio (in mmol/mol),  $\text{Mg/Ca}_{\text{sw}} (\text{t}=0)$  modern seawater Mg/Ca (5.17  
 197 mol/mol),  $\text{Mg/Ca}_{\text{sw}} (\text{t}=1)$  early Eocene seawater Mg/Ca (1.6 mol/mol, Evans and Müller, 2012),  $A$  (0.09)  
 198 and  $B$  (0.38) are species specific calibration constants (Anand et al., 2003), and  $H$  the power component,  
 199 which relates the sensitivity of the calibration to the Mg/Ca ratio of the Eocene ocean. The  $H$  value  
 200 calculated for Paleogene planktic foraminifers is lower than that of modern taxa due to differences in the  
 201 Mg/Ca-temperature calibration, the Mg partitioning coefficient of calcite ( $D_{\text{Mg}}$ ), and Paleogene seawater  
 202 Mg/Ca<sub>sw</sub> (Hines et al., 2017). We use the  $H$  value of 0.15 for *Morozovella* spp. calculated by Hines et al.  
 203 (2017). A drop in pH of 0.3 units was calculated from the boron isotopic composition of planktic  
 204 foraminifers from ODP Site 1209 (Penman et al., 2014) and is associated with the massive input of carbon  
 205 into the ocean-atmosphere system after the CIE onset. This decrease in surface ocean pH could have  
 206 resulted in an increase in foraminifer Mg/Ca. Therefore, the Mg/Ca ratios of CIE shells calcified during the

207 PETM (0 to 100 ka relative to the CIE onset in Table 1) were adjusted by subtracting 15% of their initial  
208 value, using the approach of Evans et al. (2015).

209 Published planktic foraminifer Mg/Ca ratios measured from pooled, multi-shell samples for the PETM  
210 records recovered at ODP Site 1209 atop Shatsky Rise in the North Pacific (Zachos et al., 2003, Fig. 4) and  
211 Site 865 (Tripati and Elderfield, 2004, Fig 3B) were converted to absolute temperatures using the same  
212 approach, including an adjustment for the decrease in pH after the CIE onset, to ensure comparability with  
213 our new temperature reconstruction. The PETM was associated with a significant perturbation of the global  
214 hydrological cycle with an increase in meridional transport of atmospheric water vapor (e.g., Huber and  
215 Goldner, 2012; Kozdon et al., 2020; Pagani et al., 2006; Pierrehumbert, 2002), which may have led to  
216 spatiotemporal changes in sea-surface salinity (SSS). However, we did not adjust Mg/Ca ratios for changes  
217 in SSS, as this effect is relatively minor with a reported Mg/Ca-based temperature overestimate of  $\sim 1^{\circ}\text{C}$  per  
218 2 PSU salinity increase (Hönisch et al., 2013; Kisakürek et al., 2008). Pre-PETM conditions at Site 865  
219 were calculated based on the averaged Mg/Ca ratios measured in *M. velascoensis* shells featuring pre-CIE  
220  $\delta^{13}\text{C}$  values from the 8 core samples taken from below the stratigraphic level of the CIE onset, ranging from  
221  $-216\text{ ka}$  to  $-11\text{ ka}$  before CIE onset (Table 1).

### 222 **3. Results**

223 Due to the labor-intensive nature of sample preparation, only 25 morozovellid shells were individually  
224 analyzed via both SIMS and EPMA analyses (Table 1). Of these, 14 *M. velascoensis* shells taken from 8  
225 samples below the established core depth (103.00 mbsf) of the CIE onset (Bralower et al., 1995) were  
226 analyzed to characterize pre-PETM, background conditions. SIMS measurements carried out on these *M.*  
227 *velascoensis* shells show that all 14 shells registered non-CIE  $\delta^{13}\text{C}$  values ( $3.2 - 6.2\text{‰}$  vs. V-PDB) (Fig. 2).  
228 Six additional shells (4 *M. velascoensis*, 2 *M. allisonensis*) were taken from three samples within the CIE  
229 interval. The distribution of SIMS-based  $\delta^{13}\text{C}$  values for these six shells is bimodal (Fig. 2), with three of  
230 the *M. velascoensis* shells yielding non-CIE values ( $3.9 - 5.1\text{‰}$  vs. V-PDB) and the other three shells (1  
231 *M. velascoensis*, 2 *M. allisonensis*) registering CIE values ( $-0.1 - 0.8\text{‰}$  vs. V-PDB). The three *M.*  
232 *velascoensis* shells with non-CIE  $\delta^{13}\text{C}$  values are designated as reworked contaminants. Another five *M.*  
233 *velascoensis* shells were taken from four samples within the overlying post-CIE interval, all of which  
234 registered non-CIE  $\delta^{13}\text{C}$  values ( $3.6 - 4.9\text{‰}$  vs. V-PDB).

235 A total of 60 in-situ Mg/Ca measurements were performed in the 25 morozovellid shells taken from the  
236 Site 865 study section. Similar to  $\delta^{13}\text{C}$ , the per-shell mean Mg/Ca ratios appear to represent two separate  
237 groups, one consisting of non-CIE shells with lower values ( $\sim 2.8 - 5.3\text{ mmol/mol}$ ) and another composed  
238 of CIE shells with higher values ( $> 7\text{ mmol/mol}$ ) that have not been pH corrected (Fig. 2). Lowering the

239 Mg/Ca ratios measured in CIE shells by 15% to compensate for a drop in seawater pH increases overlap  
240 between the distributions of Mg/Ca ratios measured in non-CIE (mean =  $3.82 \pm 1.97$  mmol/mol, 2 SD) and  
241 CIE (mean =  $6.43 \pm 2.73$  mmol/mol, 2 SD) shells. Recent studies (John et al., 2023; Staudigel et al., 2022)  
242 that employed a smaller EPMA beam diameter facilitating a higher spatial resolution have shown that well-  
243 preserved (glassy) morozovellid shells feature significant intra-shell Mg/Ca variation expressed as  
244 micrometer-scale bands that alternate between low (~1.5 mmol/mol) and high (~13 mmol/mol) Mg/Ca  
245 ratios. A frequency histogram for all 60 in-situ Mg/Ca ratios shows that our data have a non-normal  
246 distribution with positive skewness and that variability (2.8 – 9.42 mmol/mol) approaches the reported  
247 range (John et al., 2023) of intra-shell variation for individual, glassy morozovellid shells (Fig. 3A). In  
248 addition, the only *M. velascoensis* shell registering an anomalously low  $\delta^{13}\text{C}$  value also features significant  
249 intra-shell Mg/Ca variation (4.78 – 9.42 mmol/mol after pH correction) and the highest measured Mg/Ca  
250 ratio in the entire dataset (Figs. 3A). These observations raise the possibility that the higher Mg/Ca ratios  
251 measured in CIE shells is an artifact of sampling highly variable intra-shell Mg/Ca ratios. To test this null  
252 hypothesis, we segregated the Mg/Ca ratios measured in non-CIE shells (Fig. 3B) from the pH-corrected  
253 Mg/Ca ratios measured in CIE shells (Fig. 3C) and ran an unpaired Wilcoxon rank-sum test (R statistical  
254 software package, R. Core Team, 2021) on the data. This non-parametric test indicates that the likelihood  
255 of drawing the two differing Mg/Ca distributions from the same statistical population is extremely low (p-  
256 value =  $1.12 \times 10^{-5}$ ). We therefore reject the null hypothesis and consider the difference between the non-  
257 CIE and CIE Mg/Ca distributions to be significant.

258 A record showing the mean Mg/Ca ratio of each shell plotted against core depth is provided in Figure  
259 4A. All 14 of the *M. velascoensis* shells taken from below the CIE onset returned pre-CIE  $\delta^{13}\text{C}$  values and  
260 per-shell Mg/Ca ratios ranging between 2.84 and 5.01 mmol/mol. Of the six shells taken from within the  
261 CIE interval, the three reworked (non-CIE) *M. velascoensis* shells register per-shell Mg/Ca ratios (3.24 –  
262 4.60 mmol/mol) comparable to those returned by the 14 *M. velascoensis* shells from the underlying pre-  
263 CIE interval, whereas the pH-corrected per-shell Mg/Ca ratios of the three CIE shells (6.2 – 6.5 mmol/mol)  
264 are appreciably higher. As previously noted, the lone CIE shell assigned to *M. velascoensis* features  
265 significant intra-shell Mg/Ca variability (Fig. 4A). Excluding this particular *M. velascoensis* shell from the  
266 dataset has no notable effect on our Mg/Ca record, as the mean value for the CIE Mg/Ca ratios acquired  
267 from the two *M. allisonensis* shells (6.42 mmol/mol) is still relatively high. Per-shell Mg/Ca ratios for the  
268 five non-CIE *M. velascoensis* shells taken from the overlying post-CIE interval (2.79 – 5.32 mmol/mol) are  
269 similar to those recorded by the pre-CIE shells of *M. velascoensis* from below the CIE interval (Fig. 4A).

270 For comparison, published (Tripati and Elderfield, 2004) planktic foraminifer Mg/Ca ratios measured by  
271 ICP-OES analyses of pooled, multi-shell samples spanning the CIE interval in the same Site 865C PETM  
272 record are plotted with the per-sample mean Mg/Ca ratios that we acquired using in-situ EPMA

273 measurements (Fig. 4B). This comparison reveals major discrepancies between the two parallel Mg/Ca  
274 ratio records. Inter-sample variability is muted and the highest Mg/Ca ratios (~4.7 mmol/mol) are registered  
275 well above the CIE interval at ~101.70 mbsf in the multi-shell record. Thus, the sharp increase in Mg/Ca  
276 ratios associated with the CIE interval and subsequent return to lower background Mg/Ca ratios over the  
277 post-CIE interval seen in our in-situ Mg/Ca record are not expressed in the Mg/Ca record constructed with  
278 multi-shell samples (Fig. 4B). Moreover, application of the pH correction to the “PETM” Mg/Ca ratios in  
279 the multi-shell record gives the impression that Mg/Ca ratios decreased over the CIE interval. Another  
280 discrepancy involves an outlier in our Mg/Ca record located just above the CIE recovery interval at 102  
281 mbsf (Fig. 4B, asterisk). The Mg/Ca ratio for this single non-CIE shell is based on only one in-situ  
282 measurement and is therefore considered less robust.

283 The conversion of our in-situ Mg/Ca ratios to temperatures using Equation 1 and methods described  
284 above (section 2.3) shows that SSTs at equatorial Site 865 varied between ~24 and 30.6°C (mean = 27.7°C)  
285 prior to the PETM (Fig. 4B). These background SSTs were calculated using non-CIE *M. velascoensis* shells  
286 calcified prior to the CIE onset with higher  $\delta^{13}\text{C}$  values and are similar to pre-CIE SSTs (mean = 28°C)  
287 registered by the parallel series of multi-shell (*M. velascoensis*) samples. By contrast, in-situ Mg/Ca ratios  
288 for the three shells with CIE  $\delta^{13}\text{C}$  values (2 *M. allisonensis*, 1 *M. velascoensis*) yield SSTs between 33-  
289 34°C, whilst the multi-shell record shows little, to no, temperature change over the CIE interval. Finally,  
290 Mg/Ca ratios for the five non-CIE *M. velascoensis* shells from the overlying post-CIE interval yield  
291 relatively cooler SSTs (mean = 27.3°C) ranging between 24°C and 31.3°C (Fig. 4B). The post-CIE cooling  
292 delineated by our in-situ Mg/Ca record contrasts starkly with the modest degree of post-CIE warming seen  
293 in the multi-shell record. In summation, our  $\delta^{13}\text{C}$  filtered Mg/Ca record suggests that tropical SSTs reached  
294 33 to 34°C at equatorial Site 865 during the PETM, about 6°C above pre-PETM background conditions,  
295 while the Mg/Ca-based SST record constructed with samples consisting of pooled, multiple *M. velascoensis*  
296 shells suggests no tropical warming during the PETM (Fig. 4B).

## 297 4. Discussion

### 298 4.1. Site 865 SST Record for the PETM

299 Our planktic foraminifer Mg/Ca-derived temperature record indicates that tropical SSTs increased by  
300 ~6°C above background temperatures during the PETM, with SSTs reaching 33 to 34°C at equatorial Site  
301 865 (Fig. 4B). These PETM SSTs are significantly warmer than tropical temperatures observed in the  
302 modern ocean that rarely exceed 30°C (e.g., Huber and Sloan, 2001). The method of  $\delta^{13}\text{C}$  isotopic filtering  
303 made it possible to identify reworked non-CIE shells within the critical CIE interval of Site 865, which we  
304 omitted from our SST record for the PETM (Fig. 2). Due to their lower Mg/Ca ratios, the inclusion of such  
305 non-CIE shells would have led to an underestimation of tropical SSTs for the PETM. This is especially true

306 for the Site 865 PETM record where previous  $\delta^{13}\text{C}$  isotopic filtering of planktic foraminifer assemblages  
307 showed that roughly half of all specimens within the CIE interval are reworked, non-CIE contaminants  
308 (Hupp et al., 2022).

309 The deleterious effects of this sediment mixing are demonstrated through comparison of our  $\delta^{13}\text{C}$  filtered  
310 Mg/Ca record to a published (Tripati and Elderfield, 2004) Mg/Ca record constructed with pooled, multi-  
311 shell samples for the same Site 865C PETM section (Fig. 4B). Comparison of these two parallel records  
312 reveals several glaring inconsistencies, which seems odd since similar parallel Mg/Ca records constructed  
313 with EPMA in-situ measurements inside individual planktic foraminifer shells and ICP-MS analyses of  
314 multi-shell samples yielded nearly identical SST trends and Mg/Ca ratios across the PETM record of ODP  
315 Site 690 in the Weddell Sea (Kozdon et al., 2020). Be that as it may, Mg/Ca ratios in the multi-shell record  
316 appear relatively invariant compared to the inter-sample variability in our in-situ Mg/Ca record over the  
317 pre-CIE interval at Site 865. This discrepancy could be an indication that the limited number of per-shell  
318 Mg/Ca ratios in our in-situ record does not capture the full range of inter-shell Mg/Ca variability in each  
319 sample, which would cause the resulting per-sample Mg/Ca ratios to fluctuate in the in-situ record.  
320 Alternatively, inter-sample variability in the multi-shell Mg/Ca record may have been attenuated by the  
321 smoothing effect of sediment mixing. These two explanations need not be mutually exclusive, and we note  
322 that the mean pre-PETM SST is  $\sim 28^\circ\text{C}$  in both records. This brings us to the most striking incongruency  
323 between the two records; specifically, the transient rise in tropical SSTs over the CIE interval seen in our  
324 in-situ Mg/Ca record is completely missing in the multi-shell Mg/Ca record (Fig. 4B). This disparity is  
325 clearly an artifact of sediment mixing in the Mg/Ca record constructed with multi-shell samples. By  
326 contrast, screening of planktic foraminifer shells on the basis of their  $\delta^{13}\text{C}$  compositions and the exclusion  
327 of non-CIE shells from the critical CIE interval facilitates the extraction of a much cleaner signal of PETM  
328 warming from the in-situ Mg/Ca-based SST record. The divergence between the two parallel Mg/Ca records  
329 over the post-CIE interval where the warmest SSTs ( $\sim 29^\circ\text{C}$ ) in the multi-shell record are registered whilst  
330 our in-situ Mg/Ca record shows rapid cooling in the aftermath of the PETM is puzzling (Fig. 4B). One  
331 possibility is that non-CIE shells from the very tail end of the PETM recovery when SSTs were still  
332 relatively warmer were displaced upwards in the stratigraphic record, as suggested by the lone non-CIE  
333 shell that yielded a relatively high Mg/Ca ratio at 102.00 mbsf in our in-situ record (Fig. 4B). The cause of  
334 divergence between the two Mg/Ca records across the post-CIE interval remains unclear, but we emphasize  
335 that the cooling of SSTs over the post-CIE interval in our in-situ Mg/Ca record is also seen in most other  
336 open-ocean PETM records (e.g., Kennett Bains et al., 2000; Kennett and Stott, 1991; Kozdon et al., 2020;  
337 Zachos et al., 2003).

338 The implications of a  $\sim 6^{\circ}\text{C}$  rise in tropical SSTs during the PETM are far-reaching. According to  
339 modeling studies (e.g., Huber and Caballero, 2011), an abrupt and extreme increase in greenhouse gas levels  
340 should give rise to warming at all latitudes. Yet, the majority of published temperature records indicate a  
341 relatively modest ( $\sim 3^{\circ}\text{C}$ ) warming of the tropics (e.g., Dunkley Jones et al., 2013; Frieling et al., 2017) and  
342 a more pronounced temperature increase of  $5\text{--}8^{\circ}\text{C}$  at mid- and high-latitude regions (Kennett and Stott,  
343 1991; Sluijs et al., 2006; Sluijs et al., 2011; Zachos et al., 2006). Taken at face value, a compilation of  
344 published SST records from across tropical and temperate paleolatitudes ( $31^{\circ}$  S to  $38^{\circ}$  N) suggests a  
345 reduction in meridional temperature gradients during the PETM (Fig. 5), which has raised questions  
346 regarding the extent to which PETM warming was amplified at high-latitude, polar regions (e.g., Inglis et  
347 al., 2020; Tierney et al., 2022). However, the  $\sim 6^{\circ}\text{C}$  warming of tropical SSTs during the PETM inferred  
348 from our new pelagic Mg/Ca-based SST record indicates that PETM warming was more uniformly  
349 distributed across the latitudes, so tropical-to-temperate latitudinal temperature gradients may not have been  
350 as low as some foraminifer-based proxy ( $\delta^{18}\text{O}$ , Mg/Ca) records suggest (Fig. 5).

351 Other than a single  $\delta^{18}\text{O}$  record constructed with well-preserved, glassy planktic foraminifer shells from  
352 a tropical PETM section in Tanzania (Aze et al., 2014), planktic foraminifer-based  $\delta^{18}\text{O}$  and Mg/Ca  
353 paleorecords suggest tropical SSTs were at least  $4^{\circ}\text{C}$  cooler than those herein reported for the PETM (Fig.  
354 5). Furthermore, the magnitude of PETM warming expressed by many of these published planktic  
355 foraminifer-based records ( $3\text{--}4^{\circ}\text{C}$ ) is less than the  $\sim 6^{\circ}\text{C}$  warming inferred from our new Site 865 Mg/Ca  
356 record, a possible exception is the  $\sim 8^{\circ}\text{C}$  warming returned by the planktic foraminifer  $\delta^{18}\text{O}$  record for a  
357 coastal PETM section at Wilson Lake, New Jersey (Fig. 5). Interestingly, the magnitude of PETM warming  
358 inferred from our new ‘isotopically-filtered’ Mg/Ca record is more congruent with that registered by SST  
359 records constructed with the organic TEX<sub>86</sub> biomarker proxy (Frieling et al., 2017; Sluijs et al., 2007). Still,  
360 our tropical SST record does not yield the exceptionally warm temperatures ( $>35^{\circ}\text{C}$ ) captured by the  
361 aforementioned studies using glassy planktic foraminifer shells preserved in hemipelagic sediments from  
362 Tanzania (Aze et al., 2014) and TEX<sub>86</sub> analyses of shelf sediments deposited in Nigeria (Frieling et al.,  
363 2017).

#### 364 **4.2. Omission of Peak Tropical Warming due to “Thermal Blackout”?**

365 There are reasons to suspect that our Mg/Ca-based SST record may not capture peak tropical SSTs at  
366 equatorial Site 865 during the PETM. The first involves shoaling of the carbonate compensation depth in  
367 response to rapid carbon input during the earliest stages of the PETM (e.g., Dickens et al., 1997; Zeebe et  
368 al., 2009). This initial pulse of pervasive carbonate dissolution manifests as a drop in sedimentary calcite  
369 ( $\text{CaCO}_3$ ) content and a clay-rich dissolution layer at the base of most deep-sea PETM records (e.g.,  
370 Bralower et al., 2014; Kelly et al., 2010; Thomas et al., 1999; Zachos et al., 2003; 2005). A distinctive clay-

rich dissolution layer is not present in the Site 865 PETM record, but an absence of lithological change across the CIE onset does not rule out the possibility that the base of this PETM stratigraphy is punctuated by a brief hiatus. The abrupt nature of the CIE onset and lack of intermediate values in single-shell foraminifer  $\delta^{13}\text{C}$  records is consistent with the view that the base of the Site 865 PETM record has been truncated by carbonate dissolution (Hupp et al., 2022; Kelly et al., 1996). This being the case, then the absence of a clay-rich dissolution layer may simply be due to the vast geographic distance separating pelagic Site 865 from any major source of terrestrial (eolian) input from the continents. Thus, SST reconstructions based on foraminifer calcite may not record peak warming during the earliest stages of the PETM when carbonate dissolution was most intense.

It has also been proposed that thermal stress wrought by PETM conditions may have exceeded the upper temperature tolerances of many tropical marine plankton (Aze et al., 2014; Frieling et al., 2017). In fact, heat stress has been shown to be the principal driver for major shifts in the biogeographic ranges of calcareous phytoplankton during the PETM (Gibbs et al., 2016). Further,  $\delta^{13}\text{C}$  isotopic filtering of planktic foraminifer assemblages has shown that local diversity and population dynamics were profoundly perturbed by PETM conditions at Site 865 (Hupp et al., 2022). For instance,  $\delta^{13}\text{C}$  isotopic filtering of planktic foraminifers on a per-taxon basis shows that despite having continuous (uninterrupted) stratigraphic ranges across the CIE interval, none of the shells belonging to the mixed layer-dwelling *Morozovella aequa*-*M. subbotinae* group and thermocline-dwelling genus *Subbotina* spp. recorded CIE  $\delta^{13}\text{C}$  values. This realization indicates that local populations of these two taxa were extirpated by PETM conditions at Site 865, even though their fossil records suggest otherwise (Hupp et al., 2022). Furthermore, unlike their benthic counterparts, planktic foraminifers did not experience a major extinction event during the PETM, as many of the species that suffered local extinctions subsequently repopulated the tropics (Hupp et al., 2022). Such transitory fluctuations in local population dynamics are thought to reflect a short-lived episode of ecological exclusion, where species initially emigrate out of the tropics due to excessive heat stress only to immigrate back into the tropics as extreme PETM warming waned (Aze et al., 2014; Hupp et al., 2022). We therefore posit that the combined effects of upward displacement of pre-CIE shells into the overlying CIE interval followed by the renewed deposition of shells during the ensuing recolonization phase conspired to obscure the record of ephemeral extratropical migrations undertaken by many planktic foraminifer species, including *M. velascoensis*, in the Site 865 PETM sedimentary archive (Hupp et al., 2022; Kelly et al., 1998).

When viewed through the lens of thermally-induced ecological exclusion, the possibility that all planktic foraminifers temporarily emigrated out of the Site 865 study area to escape extreme PETM warmth cannot be ruled out. Credence is lent to this interpretation by the comprehensive work of Bijma et al. (1990), which

404 showed that such vital physiological processes as food acceptance, growth, calcification and reproduction  
405 are all inhibited in modern tropical planktic foraminifers at water temperatures centered on  $\sim 32^{\circ}\text{C}$ . Hence,  
406 the SSTs registered by our ‘unmixed’ Mg/Ca-based temperature record for the PETM ( $\sim 33\text{--}34^{\circ}\text{C}$ ) meet, or  
407 even exceed, the maximum temperature tolerances of modern planktic foraminifer species. An important  
408 corollary is that periods of peak PETM warmth at pelagic Site 865 and other tropical sites may not be  
409 captured by planktic foraminifer shells, as even species featuring the highest heat tolerance such as the  
410 morozovellids may have temporarily evacuated tropical regions due to overwhelming thermal stress. Such  
411 a tropical exodus of planktic foraminifers would result in a ‘thermal blackout’ where peak PETM SSTs in  
412 tropical settings such as Site 865 are not recorded (*sensu* Aze et al., 2014). The record of such a short-lived  
413 omission (i.e. thermal blackout) to tropical PETM records from pelagic settings would subsequently be  
414 obscured by sediment mixing and/or the pulse of  $\text{CO}_2$ -induced carbonate dissolution fueled by carbon input  
415 during the PETM (Zachos et al., 2005; Zeebe et al., 2009; Zhang et al., 2020).

#### 416 **4.3. Mg/Ca of Diagenetic Calcite**

417 Inorganic precipitation experiments suggest that the Mg-content of diagenetic calcite is about an order  
418 of magnitude higher than the Mg-content of the biogenic calcite formed by planktic foraminifers at the  
419 same temperature (Mucci, 1987; Oomori et al., 1987). Thus, it is intuitive to assume that the contribution  
420 of diagenetic calcite may bias Mg/Ca-based PETM paleorecords towards higher temperatures. However,  
421 this assumption is at odds with the vast majority of Mg/Ca-based paleorecords from the tropical realm  
422 indicating relatively ‘cool’ temperatures for Paleogene hyperthermal climate states that are difficult to  
423 reproduce with climate model simulations (e.g. Lunt et al., 2016; Lunt et al., 2017). Additionally, recent  
424 studies suggest that, depending on the diagenetic setting, the Mg-content of inorganically-formed calcite  
425 can be equal to, or even lower, than the biogenic calcite formed by planktic foraminifers. Kozdon et al.  
426 (2013) reported the Mg/Ca ratios of diagenetic crystallites from near the base of the Site 865 PETM record  
427 that approach those of planktic foraminifer shells. More recently, Lammers and Mitnick (2019) measured  
428 the Mg/Ca ratios of late Eocene inorganic calcites from ODP Site 807 (Ontong Java Plateau) and found  
429 values significantly lower than those measured in planktic foraminifer shells. This finding suggests that, at  
430 typical bottom-water temperatures, the equilibrium Mg-distribution coefficient is at least one order of  
431 magnitude lower than values previously inferred from inorganic calcite precipitation experiments  
432 (Lammers and Mitnick, 2019). Thus, recent research indicates a strong possibility that similar to  $\delta^{18}\text{O}$ ,  
433 diagenesis may bias Mg/Ca-based paleorecords toward lower temperatures under some diagenetic settings.

434 Finally, we emphasize that the veracity of our Mg/Ca-based SST record is further enhanced by the in-  
435 situ measurement of Mg/Ca ratios in isolated subdomains within individual planktic foraminifer shells, and  
436 that these subdomains are homologous to the relatively well-preserved subdomains of planktic foraminifer

437 shells from the same Site 865 PETM record that yielded relatively low  $\delta^{18}\text{O}$  values (−3‰ to −4‰ V-PDB)  
438 indicative of biogenic calcite (Kozdon et al., 2011, 2013). In other words, the microanalytical techniques  
439 used for this study make it possible to perform in-situ  $\delta^{13}\text{C}$  and Mg/Ca measurements on isolated  
440 subdomains that are better preserved than the rest of the shell, thereby avoiding other parts of the same shell  
441 that may have been more strongly altered by carbonate diagenesis (Kozdon et al., 2011, 2013). Furthermore,  
442 partial recrystallization of planktic foraminifer shells much like those used in this study acts as a “closed  
443 system” that reduces intra-shell Mg/Ca variability without significantly altering the bulk-shell Mg/Ca  
444 composition (Staudigel et al., 2022). This diagenetic process likely decreased intra-shell Mg/Ca variation  
445 in the partially recrystallized (frosty) morozovellid shells we targeted for in-situ Mg/Ca analyses, which  
446 further improved the fidelity of our Mg/Ca-based SST record. We therefore consider the effects of  
447 diagenetic overprinting on our Mg/Ca-based temperature record to be negligible and posit that tropical  
448 SSTs (~33–34°C) likely exceeded the maximum thermal tolerances of planktic foraminifers during the  
449 PETM.

## 450 5. Conclusions

451 Paired in-situ  $\delta^{13}\text{C}$ :Mg/Ca analyses within subdomains of the same individual planktic foraminifer shells  
452 are used to reconstruct change in tropical SSTs during the PETM at pelagic Site 865 in the central Pacific  
453 Ocean. A method referred to as ‘isotopic filtering’ was used to differentiate foraminifer shells featuring  
454 CIE and non-CIE  $\delta^{13}\text{C}$  values and subsequently omit reworked, non-CIE shells from our Mg/Ca-based SST  
455 record for the PETM. The exclusion of non-CIE shells with lower Mg/Ca ratios enhanced the fidelity of  
456 our SST record, which in turn revealed that the SSTs increased by ~6°C relative to pre-PETM conditions  
457 at this tropical site, with SSTs reaching 33–34°C during the PETM. This temperature anomaly is  
458 about twice as high as suggested by previously published paleorecords from pelagic sites and approximates  
459 the magnitude of warming reported from the extratropical realm, indicating that latitudinal temperature  
460 gradients with less pronounced polar amplification may have been maintained during the PETM.

461 Our  $\delta^{13}\text{C}$  filtered, Mg/Ca ratio record for the PETM does not register tropical SSTs >34°C as reported  
462 by some studies; however, our temperature record reflects open ocean conditions that may not be  
463 comparable to the shelf and hemipelagic settings from where these extreme tropical temperatures were  
464 recorded. Furthermore, we posit that thermal stress wrought by PETM conditions exceeded the upper  
465 temperature tolerances of planktic foraminifers (Aze et al., 2014; Frieling et al., 2017), which triggered a  
466 short-lived extratropical migration of many species followed by their return to equatorial Site 865 as peak  
467 PETM conditions waned. Such a transient tropical exodus would result in a brief “thermal blackout” in our  
468 planktic foraminifer Mg/Ca-based SST record, thus peak PETM temperatures (>34°C) at Site 865 may not  
469 be captured by our SST record. In addition, increased carbonate dissolution fueled by the rapid release of

470 massive amounts of carbon into the ocean-atmosphere system likely truncated the base of the PETM record,  
471 which may have removed the earliest stages of PETM warming. Regardless, our  $\delta^{13}\text{C}$  filtered Mg/Ca-based  
472 SST record provides a thermal benchmark for constraining the effects of PETM warming on tropical  
473 plankton communities inhabiting the pelagic realm.

474 **Conflict of Interest**

475 The authors declare no conflicts of interest relevant to this study.

476 **Open Research**

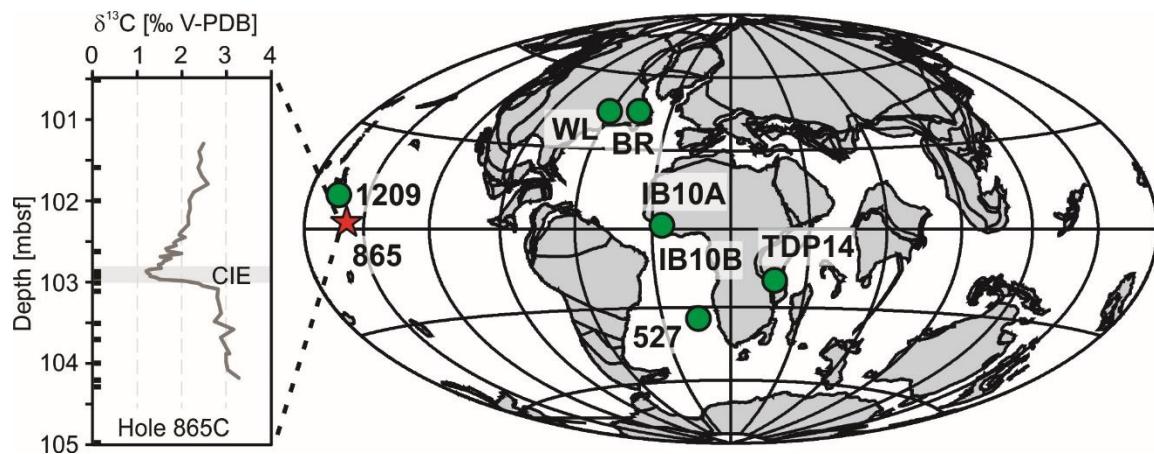
477 The  $\delta^{13}\text{C}$  and Mg/Ca data used for this study are summarized in Table 1. Detailed data tables (individual  
478  $\delta^{13}\text{C}$  and Mg/Ca measurements) are archived in the repository of the PANGAEA Data Publisher and  
479 available as .tab and html format at Kozdon and Kelly (2024a; b; c; d).

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484 thank Seth Sutton (UW-Madison) for performing the statistical analyses using the R statistical package.

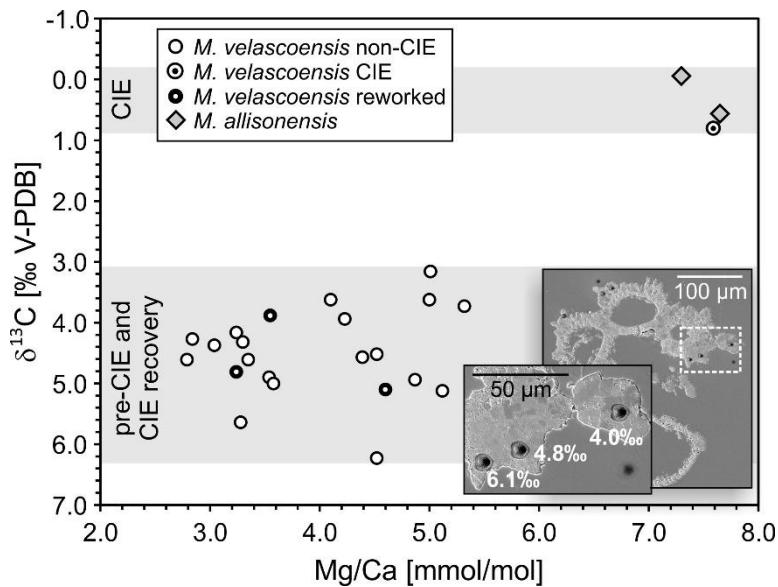
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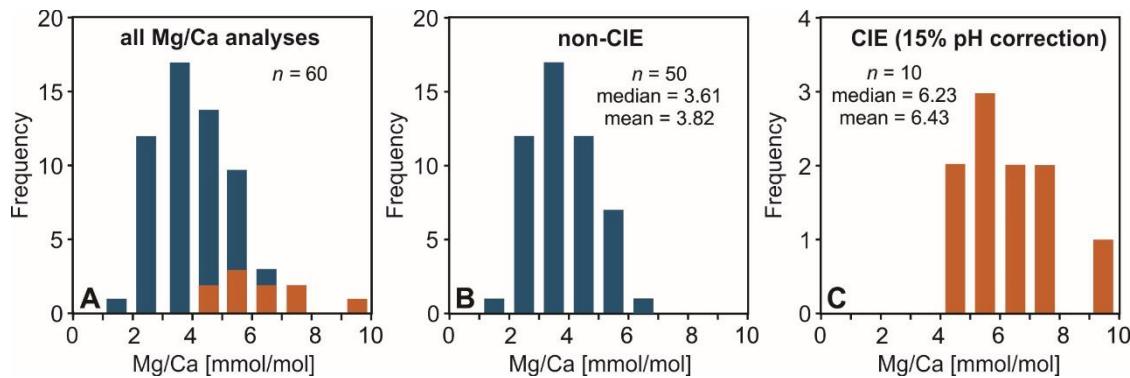


**Figure 1. Right:** Map showing early Eocene paleogeography (from the Ocean Drilling Stratigraphic Network, ODSN, <http://www.odsn.de/>) and locations of all sites referred to in this study. Red star demarcates equatorial location of PETM study section (ODP Site 865) used to compile a paired  $\delta^{13}\text{C}$ :Mg/Ca record. **Left:** Bulk-carbonate  $\delta^{13}\text{C}$  record of the CIE in the Site 865 PETM section (Hupp et al., 2022). Light gray shading delimits the lower part of the CIE interval. Black tick marks along vertical axis indicate core depths of samples used in this study.

487



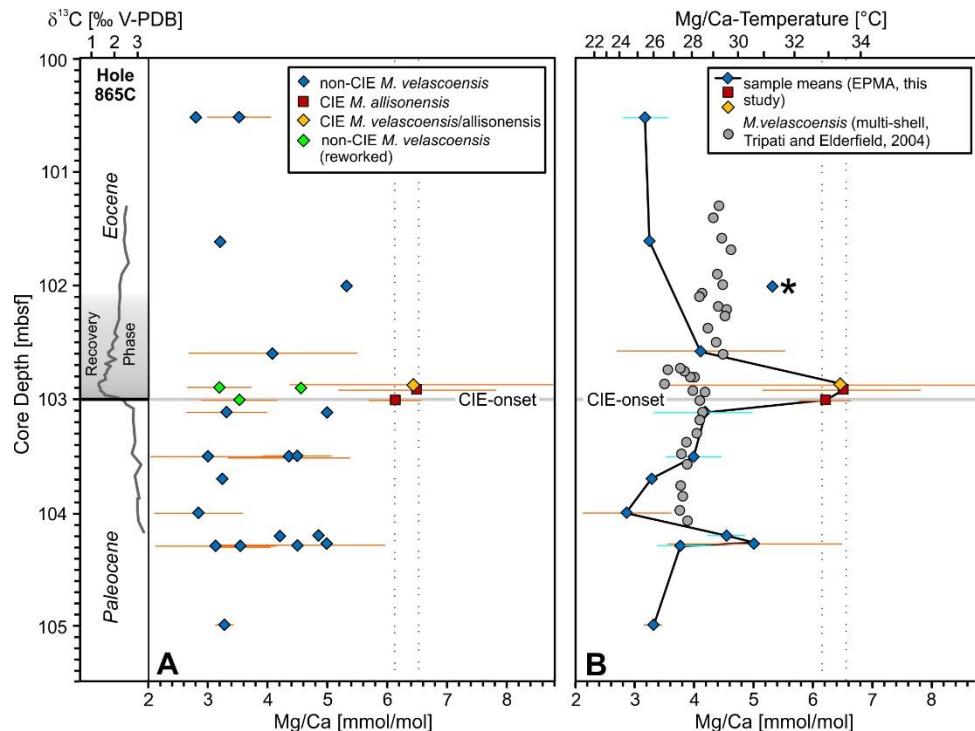
**Figure 2.** Cross plot showing pairwise comparison of the mean  $\delta^{13}\text{C}$  composition and Mg/Ca ratio of each planktic foraminifer shell from the Site 865 study section. All geochemical data acquired using in-situ measurements within individual shells belonging to the species *M. velascoensis* and the PETM morphotype *M. allisonensis*. Non-CIE shells feature relatively high  $\delta^{13}\text{C}$  values (3.2 – 6.2 ‰) and low Mg/Ca ratios (2.8 – 5.3 mmol/mol), whereas CIE shells calcified during PETM feature relatively low  $\delta^{13}\text{C}$  values (-0.1 – 0.8 ‰) and high Mg/Ca ratios (>7 mmol/mol, shown without pH correction). Reworked *M. velascoensis* are shells with non-CIE  $\delta^{13}\text{C}$  values from within the CIE interval. Inserted images show same *M. velascoensis* shell, polished to midsection, with  $\sim 7\text{ }\mu\text{m}$  pits for *in situ*  $\delta^{13}\text{C}$  measurements. White dashed box delimits more highly magnified part of shell with  $\delta^{13}\text{C}$  values (white numbers). Mg/Ca analyses (not visible) were placed in comparable subdomains.



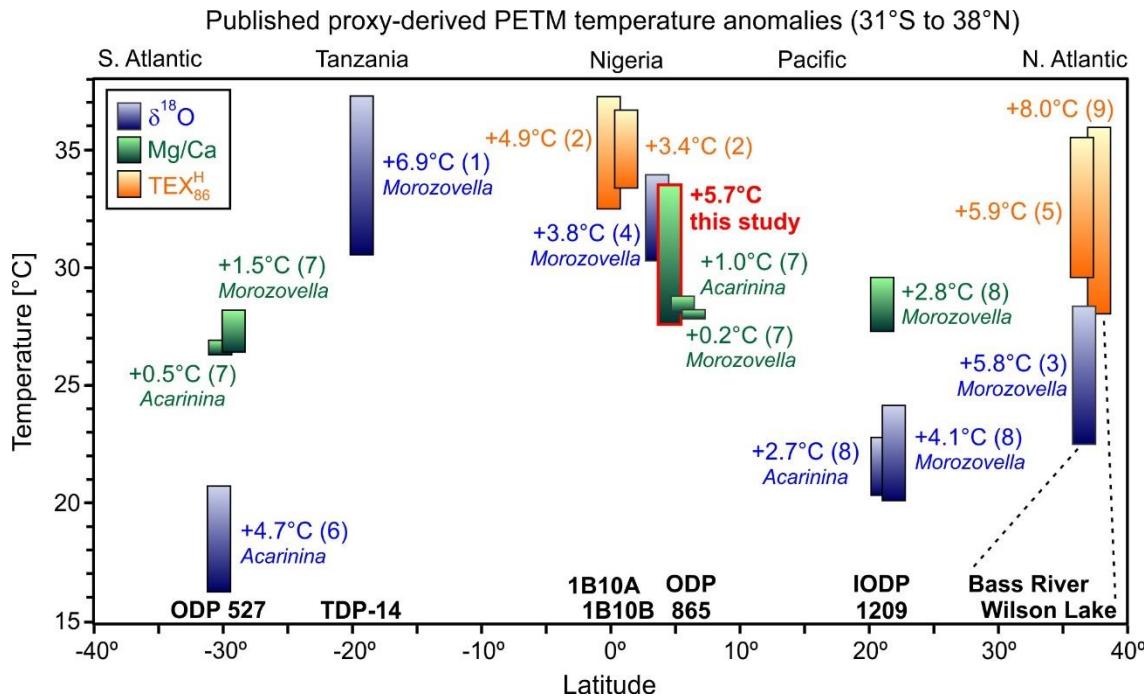
**Figure 3.** Comparison of frequency distributions for planktic foraminifer Mg/Ca ratios compiled in this study from the Site 865 PETM record. **(A)** Histogram showing positively skewed distribution of all 60 in-situ Mg/Ca measurements in non-CIE (blue) and CIE (orange) shells (median = 4.03 mmol/mol). **(B)** Histogram showing positively skewed distribution of 50 in-situ Mg/Ca measurements in non-CIE shells (median = 3.61 mmol/mol). **(C)** Histogram showing positively skewed distribution of 10 in-situ, pH-corrected Mg/Ca measurements in CIE shells (median = 6.23 mmol/mol).

490

491



**Figure 4. (A)** Per-shell Mg/Ca ratios of *M. velascoensis* and the PETM morphotype *M. allisonensis* acquired in-situ by EPMA plotted versus core depth. Reworked shells (based on their  $\delta^{13}\text{C}$  composition) within CIE interval are denoted by green diamonds. Each data point connotes the average of 1-5 in-situ analyses per shell. Orange error bars represent  $\pm 1$  SD as determined by the intra-shell variability in Mg/Ca. Bulk-carbonate  $\delta^{13}\text{C}$  record (Hupp et al., 2022) delineating the CIE onset and subsequent recovery phase in Site 865C PETM section is shown on the far left. **(B)** Per-sample mean Mg/Ca ratios plotted against core depth and converted to Mg/Ca-based SSTs. Mg/Ca ratios of reworked shells within the CIE interval excluded from SST calculations. A correction was applied to Mg/Ca ratios (-15%) for CIE shells (red squares, yellow diamond) to account for lower ocean pH during PETM. SST curve (solid line) constructed with mean Mg/Ca ratios for each sample. Blue error bars represent  $\pm 1$  standard error of the mean for samples with multiple per-shell Mg/Ca ratios. Orange error bars  $\pm 1$  SD for samples with only one shell. The data point marked by asterisk is based on a single EPMA measurement and considered less robust. A planktic foraminifer Mg/Ca ratio record based on multi-shell (*M. velascoensis*) samples for the Site 865C PETM section (Tripati and Elderfield, 2004) is shown for comparison (gray filled circles), with same pH correction applied to values measured from the CIE interval.



**Figure 5.** Comparison of published PETM temperature anomalies ( $\Delta T$  from pre-PETM to peak-PETM) for several tropical and subtropical sites plotted vs. paleolatitudes ranging from 31.1°S to 38.2°N. Blue and green bars: SSTs calculated from the  $\delta^{18}\text{O}$  or Mg/Ca composition of planktic foraminifers, respectively. Orange bars:  $\text{TEX}_{86}^{\text{H}}$ -based SST reconstructions. References: (1) Aze et al. (2014), (2) Frieling et al. (2017), (3) John et al. (2008), (4) Kozdon et al. (2011), (5) Sluijs et al. (2007), (6) Thomas et al. (1999), (7) Tripati and Elderfield (2004), (8) Zachos et al. (2003), (9) Zachos et al. (2006). The Mg/Ca ratios from references 7 and 8 were converted to SSTs using the same approach as for the in-situ Mg/Ca data reported in this study.  $\text{TEX}_{86}^{\text{H}}$  and  $\delta^{18}\text{O}$ -based paleotemperatures have been previously (re-)calculated by Frieling et al. (2017) using consistent methods to allow for a direct comparison.

493

494 **Table 1.** Paired  $\delta^{13}\text{C}$ :Mg/Ca measurements and calculated SSTs for individual planktic foraminifer shells  
 495 from the Site 865 PETM record. In-situ measurements for  $\delta^{13}\text{C}$  and Mg/Ca performed using SIMS and  
 496 EPMA, respectively.

Hole, Core Section, Interval [cm]	Core depth [mbsf]	Age rel. onset [ka]	Species	$\delta^{13}\text{C}$ shell avg. [‰ V-PDB]	n	Mg/Ca shell avg. [mmol/mol]	n	Mg/Ca SST [°C]
865C 12-2, 70-72	100.50	439	<i>M. velascoensis</i>	4.90	3	3.54	3	26.8
865C 12-2, 70-72	100.50	439	<i>M. velascoensis</i>	4.61	3	2.79	1	24.1
865C 12-3 30-32	101.60	268	<i>M. velascoensis</i>	4.16	2	3.24	1	25.8
865C 12-3 70-72	102.00	206	<i>M. velascoensis</i>	3.73	2	5.32	1	31.3
865C 12-3 130-132	102.60	112	<i>M. velascoensis</i>	3.62	3	4.10	3	28.4
865C 12-4 6-8	102.87	41	<i>M. velascoensis</i>	0.80	2	7.59*	3	33.4
865C 12-4 10-12	102.90	31	<i>M. velascoensis</i> <sup>#</sup>	5.10	1	4.60	1	29.7
865C 12-4 10-12	102.90	31	<i>M. velascoensis</i> <sup>#</sup>	4.81	2	3.24	3	25.8
865C 12-4 10-12	102.90	31	<i>M. allisonensis</i>	0.57	3	7.65*	5	33.5
865C 12-4 20-22	103.00	0.0	<i>M. allisonensis</i>	-0.05	2	7.30*	2	33.0
865C 12-4 20-22	103.00	0.0	<i>M. velascoensis</i> <sup>#</sup>	3.88	2	3.55	3	26.8
865C 12-4 30-32	103.10	-11	<i>M. velascoensis</i>	3.62	3	5.00	1	30.6
865C 12-4 30-32	103.10	-11	<i>M. velascoensis</i>	4.61	2	3.55	3	26.8
865C 12-4 70-72	103.50	-54	<i>M. velascoensis</i>	4.57	1	4.39	4	29.1
865C 12-4 70-72	103.50	-54	<i>M. velascoensis</i>	4.37	5	3.04	3	25.1
865C 12-4 70-72	103.50	-54	<i>M. velascoensis</i>	4.52	3	4.52	3	29.5
865C 12-4 90-92	103.70	-75	<i>M. velascoensis</i>	5.64	1	3.28	1	25.9
865C 12-4 122-124	104.00	-108	<i>M. velascoensis</i>	4.27	4	2.84	3	24.3
865C 12-4 140-142	104.20	-129	<i>M. velascoensis</i>	3.74	3	4.23	1	28.7
865C 12-4 140-142	104.20	-129	<i>M. velascoensis</i>	4.94	2	4.87	1	30.3
865C 12-4 146-149	104.28	-138	<i>M. velascoensis</i>	3.16	1	5.01	3	30.6
865C 12-5 0-2	104.30	-140	<i>M. velascoensis</i>	5.00	4	3.58	3	26.9
865C 12-5 0-2	104.30	-140	<i>M. velascoensis</i>	6.23	4	4.52	3	29.5
865C 12-5 0-2	104.30	-140	<i>M. velascoensis</i>	5.12	4	3.18	2	25.6
865C 12-5 70-72	105.00	-216	<i>M. velascoensis</i>	4.32	5	3.30	3	26.0

497 Samples from the CIE interval are highlighted by light gray shading

498 Sample ages reported relative (±kyr) to CIE onset where CIE onset = 0.0 kyr (after Kozdon et al., 2011).

499 <sup>#</sup> Displaced shell (pre-CIE  $\delta^{13}\text{C}$  composition).

500 \* Measured Mg/Ca ratio, subsequently adjusted by -15% (not shown in table) to compensate for the effect of the drop in ocean pH  
 501 after CIE onset on shell-Mg/Ca.

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