



# Water Resources Research



## RESEARCH ARTICLE

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#### **Key Points:**

- Water vapor isotopes provide a reliable proxy for evapotranspiration (ET) minus precipitation (P) in the Congo Basin
- ET contributes over 70% to P within the four quadrants of the Congo Basin
- River discharge is highest in the western part of the basin, where there are more rivers and higher flow

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## Congo Basin Water Balance and Terrestrial Fluxes Inferred From Satellite Observations of the Isotopic Composition of Water Vapor

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**Abstract** Large spatio-temporal gradients in the Congo basin vegetation and rainfall are observed. However, its water-balance (evapotranspiration minus precipitation, or ET - P) is typically measured at basin-scales, limited primarily by river-discharge data, spatial resolution of terrestrial water storage measurements, and poorly constrained ET. We use observations of the isotopic composition of water vapor to quantify the spatio-temporal variability of net surface water fluxes across the Congo Basin between 2003 and 2018. These data are calibrated at basin scale using satellite gravity and total Congo river discharge measurements and then used to estimate time-varying ET - P over four quadrants representing the Congo Basin, providing first estimates of this kind for the region. We find that the multi-year record, seasonality, and interannual variability of ET - P from both the isotopes and the gravity/river discharge based estimates are consistent. Additionally, we use precipitation and gravity-based estimates with our water vapor isotope-based ET - P to calculate time and space averaged ET and net river discharge within the Congo Basin. These quadrant-scale moisture flux estimates indicate (a) substantial recycling of moisture in the Congo Basin (temporally and spatially averaged ET/P > 70%), consistent with models and visible light-based ET estimates, and (b) net river outflow is largest in the Western Congo where there are more rivers and higher flow rates. Our results confirm the importance of ET in modulating the Congo water cycle relative to other water sources.

**Plain Language Summary** Rainfall and vegetation vary substantially across the Congo Basin. However, the spatial variations, seasonality, and interannual variability of the net water balance, (the difference between evapotranspiration and rainfall) is not well quantified. Atmospheric observations of the isotopic composition of water vapor are sensitive to the balance of evapotranspiration (ET) and precipitation (P). We calibrate new observations of the isotopic composition of water vapor to ET - P that is based on satellite gravity measurements and ground-based river discharge measurements to quantify ET - P across four quadrants of the Congo basin. When combined with satellite measurements of rainfall, we show that ET is the largest source of Congo basin water vapor. As ET is about 70% of observed rainfall, vegetation therefore plays an outsized role on the Congo water cycle. Additionally, when combined with satellite measurements of gravity, we show that river discharge is higher in the western part of the basin, where there are more rivers and stronger flows.

## 1. Introduction

The Congo Basin, home to the world's second largest tropical rainforest and river by discharge volume, as well as the largest peatland complex in the tropics, is a crucial region for the Earth's water and carbon cycles (e.g., Alsdorf et al., 2016; Dargie et al., 2017). The basin accounts for approximately 30% of Africa's total rainfall and nearly half of its freshwater discharge to the Atlantic Ocean (e.g., Brummett et al., 2009; Laraque et al., 2013; N'kaya et al., 2022). Previous studies have documented decadal changes in rainfall, changes in its rainy season onsets and length, and declines in terrestrial water storage within the basin since the beginning of the 21st century (e.g., Jiang et al., 2019; Nicholson et al., 2022; Zhou et al., 2014). As carbon and water cycles in the tropics are tightly coupled (e.g., Gentine et al., 2019; Green et al., 2017), these changes to the water cycle can have significant effects on vegetation in the Congo Basin (e.g., Fung et al., 2005; Saeed et al., 2013; Zhou et al., 2014). The difference between evapotranspiration minus precipitation (ET - P), provides a measure of the net water flux leaving the soil to the atmosphere (e.g., Feng & Zhang, 2015; Fung et al., 2005; Shi et al., 2022). Consequently, ET - P estimates are directly sensitive to the coupling between the atmosphere and terrestrial vegetation (e.g., Davis et al., 2019; Dong et al., 2020; Guan et al., 2015; Hakamada et al., 2020) with positive values (ET - P > 0)

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indicating soil moisture deficits and subsequent plant stress (e.g., Aragão et al., 2007; Guan et al., 2015; Tao et al., 2022; Zemp et al., 2017).

However, data set uncertainties and sampling remain a challenge for accurately closing the Congo terrestrial water balance and its corresponding fluxes (e.g., Azarderakhsh et al., 2011; Moreira et al., 2019; Sheffield et al., 2009), especially as the water balance represents the difference between two large fluxes:

$$ET - P = -\frac{Q}{A} - \frac{dS}{dt} \tag{1}$$

where ET is evapotranspiration, P is precipitation, Q is the volumetric river discharge, A is the area of the basin studied, S is the water storage anomaly within the basin expressed as an equivalent water height, and t is time.

Satellite-based estimates of P and ET in the Congo Basin are subject to large uncertainties due to cloud cover and rainfall interference (e.g., Chambers et al., 2007; Shi et al., 2022; Wan, 2008), leading to large disparities between data sets (e.g., Nicholson et al., 2018; Weerasinghe et al., 2020). As a result, many studies have turned to the water balance as an alternative, combining river discharge and changes in terrestrial water storage to estimate ET - P (e.g., Azarderakhsh et al., 2011; Burnett et al., 2020; Moreira et al., 2019; Rodell, Famiglietti, et al., 2004, Rodell, Houser, et al., 2004; Rodell et al., 2011; Sheffield et al., 2009; Shi et al., 2022). While changes in terrestrial water storage recently available via GRACE gravity estimates (Wahr et al., 2004) are mainly precision-limited and provide information on below-ground water fluxes, they have relatively coarse resolution compared to other P and ET estimates (e.g., Landerer & Swenson, 2012; Reager et al., 2016; Wahr et al., 2004). Additionally, the uncertainty of in-situ river discharge measurements across the Congo Basin, is unknown (e.g., Alsdorf et al., 2016; Burnett et al., 2020). Consequently, water balance estimates using these surface-based measurements typically are of the entire Congo Basin (Burnett et al., 2020).

However, we should expect that water balance in the Congo Basin exhibits significant spatial and temporal variation because *P* varies substantially across the basin at seasonal to decadal time scales (e.g., Nicholson, 2022). The northern hemisphere region experiences bi-annual rainy seasons with a very weak summer dry season in June, July, and August, while the southern hemisphere region experiences a single rainy season in the boreal fall (September-October-November) and winter (December-January-February) (Figure 1). The southern hemisphere receives less rainfall during the dry season and more rainfall during the peak of the rainy season, resulting a greater seasonal variation than that of the northern hemisphere. *P* seasonality and interannual variations also differ between the western and eastern basin (e.g., Balas et al., 2007; Mba et al., 2022), particularly as mesoscale convective systems that provide much of the rainfall for the basin increase in frequency toward the equator and the interior of the continent (Jackson et al., 2009).

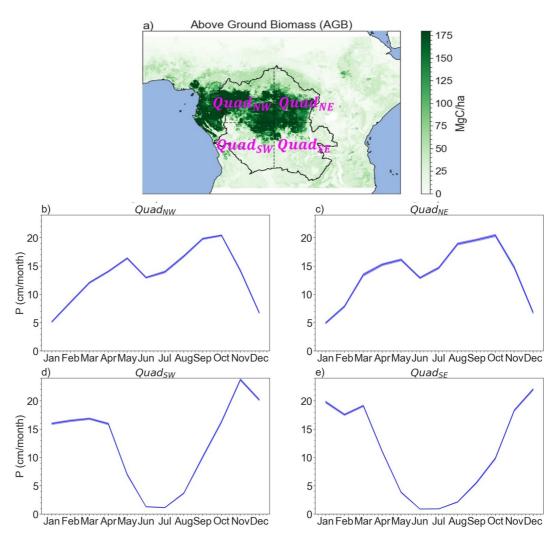
River flows within the seven identified sub-basin drainage systems also exhibit significant spatial and temporal variation (e.g., N'kaya et al., 2022). The Northwest quadrant ( $Quad_{NW}$ ) contains parts of the Lower Congo, Middle Congo, Oubangui, and Sangha and Ruki sub-basins, and fully contains the Sanga River. The Northeast quadrant ( $Quad_{NE}$ ) contains parts of the Oubangui, Middle Congo, Ruki, Upper Congo, and a small part of the Tanganyika sub-basins. The Southwest quadrant ( $Quad_{SW}$ ) contains parts of the Kasai, Ruki, Lower Congo, and "Below Kinshasa-Brazzaville" Congo sub-basins, and fully contains the Kasai river. The Southeast quadrant ( $Quad_{SE}$ ) contains parts of the Kasai, Lomami, Upper Congo, and Tanganyika sub-basins (Alsdorf et al., 2016; N'kaya et al., 2022). However, contemporary discharge data only exists for three out of the six drainage systems. The Cuvette Centrale in the northwestern basin, which hosts the tropic's largest peatland complex in the world (Dargie et al., 2017), lacks in situ measurements in general. Many studies rely on indirect approaches such as remote sensing and modeling to estimate river discharge for many of these drainage systems (e.g., Alsdorf et al., 2016; N'kaya et al., 2022; Tshimanga & Hughes, 2014). Therefore, observation-based data limitations have prevented the systematic evaluation of these spatial and temporal differences in the water budget and their relationship with biomass within the Congo Basin.

In this manuscript, we employ a newly developed approach (Bailey et al., 2017; Shi et al., 2022; J. Worden et al., 2019) for quantifying ET - P using satellite observations of the isotopic composition of water vapor. We improve upon prior estimates of ET - P averaged over the entire Congo Basin using gravity and river discharge data (e.g., Burnett et al., 2020). Those estimates are limited to the entire basin, whereas the satellite isotope measurements can resolve spatial gradients in the atmospheric water balance (Shi et al., 2022). We show using the

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**Figure 1.** (a) Satellite-based observations of above-ground biomass (AGB) averaged over 2000–2019. The black outline represents the area of the Congo Basin, and the dashed lines divide the basin into four subbasin quadrants:  $Quad_{NW}$ ,  $Quad_{NE}$ ,  $Quad_{SW}$ , and  $Quad_{SE}$ ; (b)–(e) Seasonal precipitation averaged over 2003–2018 for the four quadrants. The different quadrants are separated by a vertical dashed line at 23°E and a horizontal dashed line at 2°S. Error is the standard error of the mean.

isotopically-enabled version of the Community Atmospheric Model Version 5 (iCAM) climate model (Section 2.3) that these data can resolve ET - P in four quadrants of the Congo basin (we describe this as "quadrant scale"). In addition, both the gravity-based terrestrial water storage and isotope data are essentially precision limited (Aumann et al., 2019; Rodell, Famiglietti, et al., 2004: Rodell, Houser, et al., 2004) for the spatial scales considered here, allowing for improved confidence in the monthly to interannual to decadal variation of atmospheric water balance in these regions. We use these water balance estimates to calculate new time and space-averaged, quadrant-scale ET and net river discharge estimates additionally using measurements of quadrant-scale P and terrestrial water storage (TWS) changes. We can then use these estimates to examine space and time variability in key moisture fluxes within the basin as well as compare our ET estimates to other ET estimates that are calculated using modeling and/or reanalysis. While we cannot deduce whether our estimated ET - P, ET, and net river discharge are more accurate than existing products because of a lack of validation data, we can compare those products to our estimates to check for consistency.

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### 2. Data and Methods

In this section, we first discuss the sources of data for our study (2.1). We then demonstrate how we can use satellite observations of water vapor isotopes (or deuterium content of water vapor) to quantify ET - P using simulations from iCAM (Sections 2.2-2.3).

#### 2.1. Data Sources

We list our data sources for ET, P, changes in terrestrial water storage, river discharge, and aboveground biomass (AGB). We use multiple different sources for ET and P to compare to our calculated ET - P and ET products as well as explore the spread in ET and P products over the Congo Basin. The data sources for the water vapor isotopes are described in Section 2.2. The data sources for model verification of our methodology are described in Section 2.3.

ET: We use the following ET data sets in our study:

- 1. We use the Global Land Evaporation Amsterdam Model (GLEAM) v3.6b total *ET*, which is based on satellite data and the Priestly Taylor model (e.g., Martens et al., 2017; Miralles et al., 2011) to separately estimate the different components of *ET*.
- 2. We use the Global Land Data Assimilation System (GLDAS) L4 v2.1 GLDAS\_NOAH025\_M *ET* product available between 2000 and 2022 at monthly time steps (Beaudoing and Rodell, 2019; Rodell, Famiglietti, et al., 2004; Rodell, Houser, et al., 2004).
- 3. We use the Priestly Taylor- Jet Propulsion Laboratory (PT-JPL) ET data that is created by combining reanalysis and the Moderate Resolution Imaging Spectroradiometer (MODIS) observations (Fisher et al., 2008).
- 4. We use the MODIS MOD16A3GF\_006\_ET\_500m total yearly *ET* product, which is based on the Penman-Monteith equation (Running et al., 2021).
- 5. We use the Fifth Generation of the European Center for Medium-Range Weather Forecasts (ECMWF) Reanalysis (ERA5) monthly mean of daily means of the surface latent heat flux, which is then converted to ET. The reanalysis combines observations with model forecasts to estimate dynamic and thermodynamic atmospheric quantities (Hersbach et al., 2020).

P: We use the following P data sets in our study:

- 1. We use the Tropical Rainfall Measuring Mission (TRMM) 3B43 gridded, monthly *P* estimates that are generated using a combination of microwave and radar sensors calibrated with gauge data from the Global Precipitation Climatology Center (GPCC) (Huffman et al., 2007). We use TRMM *P* estimates to evaluate *ET P* and *ET* in this study as this data set performs well in this region (Nicholson et al., 2018); a comparison of other *P* products to TRMM *P* is found in the Supplementary.
- 2. We use the Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS), Version 2.0 monthly *P* available from 1981 to near-present (Funk et al., 2015).
- 3. We use the Precipitation Estimation from Remotely Sensed Information using Artificial Neural Networks-Climate Data Record (PERSIANN-CDR), monthly *P* produced using the PERSIANN algorithm on infrared satellite data and trained on National Centers for Environmental Prediction (NCEP) *P* data (Ashouri et al., 2015).

Gravity-Based Water Storage Anomalies: In addition, basin-scale water storage anomalies (*S*) come from the GRACE satellite (Landerer & Swenson, 2012) calculated by Burnett et al. (2020) using the arithmetic mean of the GRACE solutions on 1° grids from GeoForschungsZentrum Potsdam (GFZ), Jet Propulsion Laboratory (JPL), and Center for Space Research at the University of Texas at Austin (CSR) (e.g., Burnett et al., 2020; Sakumura et al., 2014; Wahr et al., 2006). Quadrant-scale water storage anomalies come from the same centers, GFZ, JPL, and CSR, and are the GRACE/GRACE-FO Mascon Ocean, Ice, and Hydrology Equivalent Water Height RL06 V2 at 0.5° spatial resolution available between 2002 and 2021 (Landerer et al., 2020; Loomis et al., 2019; Save, 2020; Save et al., 2016; Watkins et al., 2015; Wiese et al., 2019).

Water storage anomalies (S) from GRACE are converted to dS/dt using a centered-difference approach at the monthly timescale (Khorrami et al., 2023; Landerer et al., 2010; Lehmann et al., 2022):

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$$\frac{dS}{dt_{-}} = \frac{(S_{n+1} - S_{n-1})}{2\Delta t} \tag{2}$$

where  $\Delta t$  is 1 month. The uncertainty of the dS/dt is calculated as half the difference between the highest and lowest dS/dt values from the three GRACE S solutions in any given month (Burnett et al., 2020; Lee et al., 2011).

River Discharge: River discharge estimates come from the SO-HYBAM river discharge (Q) measurements from a station located at Kinshasa-Brazzaville. The area of the Congo Basin (A) is found using the HydroSHEDS 15 arcsec boundary for the Congo Basin, which produces a total basin area of 3,705,220 km² (Burnett et al., 2020; Lehner et al., 2008). Error in river discharge measurements is poorly characterized within this region (e.g., Alsdorf et al., 2016; Burnett et al., 2020; Kitambo et al., 2022). Prior studies have noted a range of uncertainties between 5% and 20% for these discharge measurements (Burnett et al., 2020; O'Loughlin et al., 2020). We assume a 20% error in the Q/A measurements to be consistent with Burnett et al. (2020) as we use some of their provided estimates in our study; however, this is likely to be conservative especially as the observed gauge is quite inland and can provide a good representation of the upstream discharge (e.g., Alsdorf et al., 2016).

AGB: AGB is estimated by Xu et al. (2021) using a combination of lidar, global modeling, and satellite data. The following steps were taken to produce these estimates: (a) Ground inventory plots (>100,000 in number) are integrated with airborne and satellite data, with models used to relate lidar-derived metrics and radar backscatter to AGB estimates from ground plots; (b) Spatially aggregated samples of woody vegetation AGB mean and variance at 10-km spatial resolution are developed using satellite and airborne lidar as training data; and (c) AGB is estimated by using the training data in spatial-temporal machine learning models. The satellite data used in the process come from the Ice, Cloud, and land Elevation Satellite (ICESAT), the Shuttle Radar Topography Missions (SRTM), the Advanced Land Observing Satellite (ALOS), Landsat, the Moderate Resolution Imaging Spectroradiometer (MODIS), and QuikSCAT (QSCAT). More details on this product can be found in Xu et al. (2021).

## 2.2. Deuterium Content of Water ( $\delta D$ ) and dd04

Bailey et al. (2017) and Shi et al. (2022) have demonstrated the use of satellite observations of tropospheric water vapor deuterium content in estimating ET - P. This can be achieved by normalizing the deuterium content to a representative water vapor value in the free troposphere. Covariation between the normalized deuterium content and ET - P holds in tropical regions with significant mixing between the surface and atmosphere. The deuterium content of water is expressed as the relative ratio of the number of HDO molecules to the total number of H<sub>2</sub>O molecules in parts per thousand (‰) relative to the isotopic composition of ocean water as shown below (Equation 3):

$$\delta D = 1000 \times \left(\frac{R - R_{std}}{R_{std}}\right) \tag{3}$$

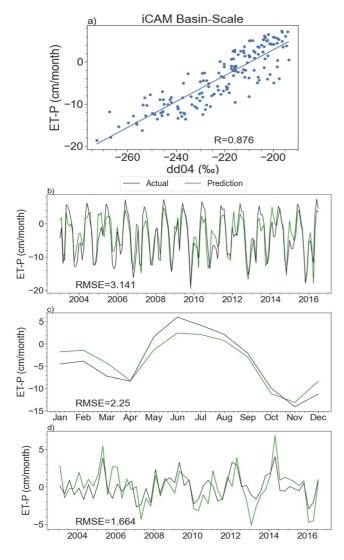
where R is the ratio of the number of HDO molecules to the total number of H<sub>2</sub>O molecules and  $R_{std}$  is the corresponding ratio in a reference standard, taken here to be the Vienna Standard Mean Ocean Water:  $R_{std} = 3.11 \times 10^{-4}$  (e.g., Wright et al., 2017 and references therein). A mixture of air parcels originating from different sources influences the isotopic composition of water vapor in the free troposphere (Galewsky, 2018; Galewsky & Hurley, 2010). Through analysis of the isotopic compositions of air parcels, we can trace their sources to either vegetation or ocean because  $\delta D$  values contributed by ocean evaporation are distinctively different from those by rainforest ET. A further discussion on  $\delta D$  estimates can be found in J. Worden et al. (2021).

To calculate  $\delta D$ , we use single pixel tropospheric retrievals of HDO and  $H_2O$  between 230 and 912 hPa from the Atmospheric Infrared Sounder (AIRS) radiances using the optimal estimation. We use AIRS data instead of those from the Tropospheric Emission Spectrometer (J. Worden et al., 2007) due to its longer record and greater sampling. The AIRS data is bias corrected as suggested by Herman et al. (2020) due to smoothing and systematic errors, as well as instrument noise. We first normalize the deuterium content of water vapor to a reference water vapor concentration of 4 mmol mol<sup>-1</sup> by (a) regressing the observed HDO profile against the observed  $H_2O$ 

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**Figure 2.** (a) Linear regression of the iCAM dd04 estimates (after projecting through the AIRS observation operator) and the iCAM ET - P on a basin scale; (b)–(d): Multi-year, seasonal cycle, and inter-annual variations (IAVs) of model estimates of ET - P compared to regression-based ET - P. IAVs have been resampled to a seasonal resolution to better compare; the monthly resolution and associated RMSE are described in the Supplementary.

profile; and (b) calculating the HDO value that matches a reference H<sub>2</sub>O of 4 mmol/mol (Bailey et al., 2017). From the resulting HDO and H<sub>2</sub>O values, we obtain a proxy for atmospheric water balance that we refer to as *dd*04.

# 2.3. iCAM Model Relationships Between dd04 and ET - P in the Congo Basin

In this section, we demonstrate the linear relationship between dd04 and ET - P in the Congo Basin on basin and quadrant-scale using the isotopically enabled version of the Community Atmosphere Model 2 (iCAM; Danabasoglu et al., 2020; Shi et al., 2022). We demonstrate the linear regression between modeled dd04 and ET - P on a basin-scale in Figure 2a. To compare to the AIRS dd04 data, we calculate an "AIRS view" of the iCAM model dd04, as recommended in the TROPESS AIRS HDO/H2O Level 2 User Guide and Validation Document (J. Worden et al., 2019). We thus project the iCAM model HDO and H<sub>2</sub>O profiles through the AIRS observation operator provided with the AIRS data. Additionally, we use iCAM and AIRS HDO/ H2O data between 230 and 912 hPa, as we find that the iCAM regression performs better using this pressure range compared to the suggested AIRS pressure range for this data in the User Guide, 400-825 mb (not shown). As illustrated in Figure 2, the modeled ET - P and dd04 exhibit a well-correlated relationship (R = 0.876). Using this basin-scale linear regression, we find ET - P using iCAM measurements via the following equation:

$$ET - P = 0.31 \times dd04 + 64.29 \tag{4}$$

In Figures 2b–2d, we compare the ET - P iCAM record from 2003 to 2017, its seasonality, and interannual variations to those calculated using Equation 4. Note that the RMSE for Figure 2d applies to the IAVs rescaled temporally to the seasonal level to better visually compare the predicted versus actual ET - P IAVs. The RMSE value for the monthly IAV's can be found in the Supplementary. This ET - P/dd04 relationship exhibits the strongest performance during dry season months, but the weakest performance during wet season months (Figure 2c). This weaker performance could be due to additional isotopic processes that are more frequent during rainy seasons and can change measured  $\delta D$  (e.g., Galewsky et al., 2016) and masks the original isotopic signal. For example, heavier water vapor isotopes preferentially condense, thus depleting  $\delta D$  during rainfall events. Furthermore, large-scale convergence of air masses during deep convection can bring in relatively depleted water vapor that overtakes any enrichment from surface ET. More descriptions of how these processes can affect the isotopic composition of water vapor, or possible confounding factors of this relationship can be found in Galewsky et al. (2016) and Bailey et al. (2017).

As discussed previously, basin-scale river discharge estimates limit water balance estimates based on gravity and river discharge data to the entire basin. Similar to the approach taken by Shi et al. (2022) in the Amazon, the new deuterium-based estimates of ET-P offer an opportunity to improve on the spatial knowledge of ET-P, ET, and  $\frac{Q}{A}$  estimates in the Congo. We first calibrate the basin-scale, deuterium-based ET-P with the terrestrial water storage and river discharge data. Then, using these same regression coefficients, with dd04 averaged over the four quadrants (Figure 1a), we estimate ET-P in the four quadrants. We use these same regression coefficients, instead of re-calculating these coefficients for each quadrant, because the river discharge measurements are only available on a basin scale. We choose to limit this calculation to four quadrants as the basin-scale linear regression coefficients do not perform well at estimating ET-P on smaller than quadrant scale (not shown).

To evaluate the uncertainty of this method, we calculate the RMSE between modeled and actual ET - P within the basin using iCAM data, following the methodology outlined in Shi et al. (2022), as lack of data in this region

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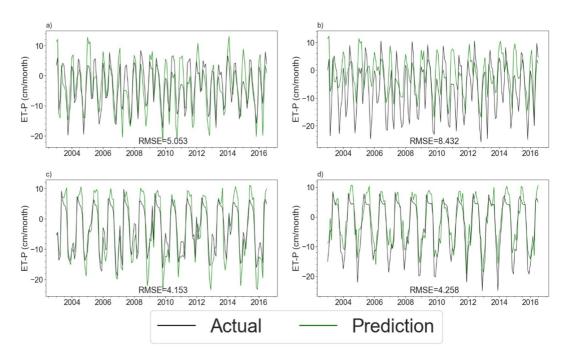


Figure 3. Multi-year record of iCAM ET - P (black) and regression-estimated ET - P (green) using the basin-scale regression equation for each quadrant: (a)  $Quad_{NW}$ ; (b)  $Quad_{NE}$ ; (c)  $Quad_{SW}$ ; and (d)  $Quad_{SE}$ .

prevents us from evaluating this uncertainty empirically. Figures 3–5 show the difference between ET - P over each quadrant using iCAM, as well as the calculated RMSE between the predicted ET - P and modeled ET - Pfor the long-term record, seasonal, and interannual variations, respectively. To improve visual ease of comparison, we scale the interannual variability to seasonal values; the monthly IAVs are shown in the Supplementary.

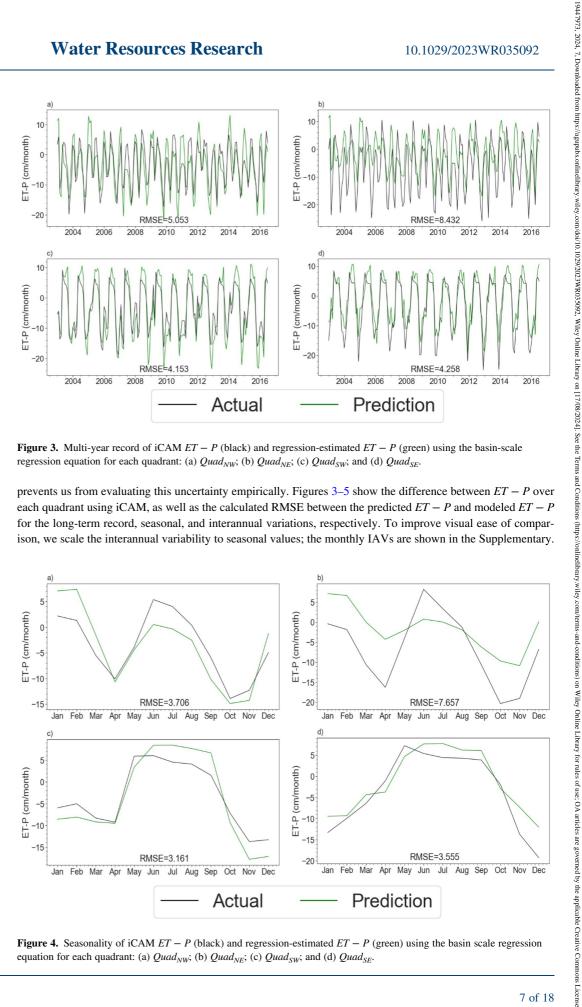


Figure 4. Seasonality of iCAM ET - P (black) and regression-estimated ET - P (green) using the basin scale regression equation for each quadrant: (a)  $\mathit{Quad}_{\mathit{NW}}$ ; (b)  $\mathit{Quad}_{\mathit{NE}}$ ; (c)  $\mathit{Quad}_{\mathit{SW}}$ ; and (d)  $\mathit{Quad}_{\mathit{SE}}$ .

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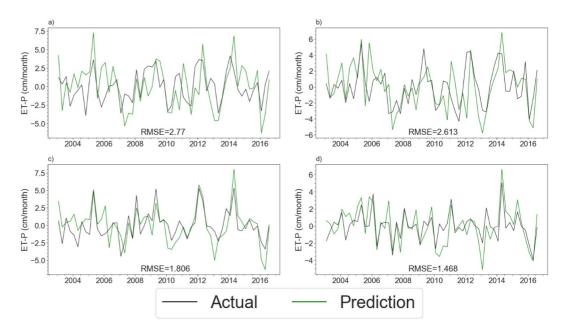


Figure 5. Interannual variations (IAVs) of iCAM ET - P (black) and regression-estimated ET - P (green) using the basin-scale regression equation for each quadrant: (a)  $Quad_{NW}$ ; (b)  $Quad_{NE}$ ; (c)  $Quad_{SW}$ ; and (d)  $Quad_{SE}$ .

The regressions perform best in capturing the interannual variability and show better overall performance in the southern hemisphere than in the northern hemisphere. The regression is less effective in  $Quad_{NE}$ , which has the highest RMSE errors (Figures 3–5). It is possible that these higher errors are introduced because mesoscale convective systems are more prominent within this region (e.g., Jackson et al., 2009), which could introduce large scale changes in  $\delta D$  from convective processes such as rainfall re-evaporation without large scale changes in atmospheric humidity (Bailey et al., 2017). Additionally, model error could add to these uncertainties.

## 3. Results

## 3.1. Observationally-Based, Basin-Scale Relationships Between dd04 and ET - P

We can expect that a linear relationship between dd04 measurements and ET - P exists because the sensitivity of water vapor isotopes to large-scale water cycle processes can be used to distinguish evaporation versus precipitation dominated environments. These environments determine large scale moisture flux diverge or convergence, such that when normalizing the isotope ratio to a fixed specific humidity, water vapor isotopes should be able to distinguish between these two regimes (Bailey et al., 2017). Previous studies thus show that this relationship exists most strongly within the tropics, but also persists globally (Shi et al., 2022; Singh et al., 2023).

However, because there is a considerable range of ET and P products in the Congo Basin, as discussed in several studies (da Motta Paca et al., 2019; Negrón Juárez et al., 2009; Nicholson & Klotter, 2021; Pan et al., 2020; Sun et al., 2018; Weerasinghe et al., 2020, Supplementary), using ET and P directly from these data to estimate water balance is less reliable. Instead, we utilize the surface water balance (right hand side of Equation 1) to quantify a linear relationship. Basin-scale  $\frac{dS}{dt}$  and  $\frac{Q}{A}$  data are taken from a public data set generated by Burnett et al. (2020); their sources are described in the previous section.

Using these basin-scale measurements, we calculate ET - P using the following equation:

$$ET - P = 0.12 \times dd04 + 12.12 \tag{5}$$

Figure 6 illustrates the linear relationship between  $-\frac{Q}{A} - \frac{dS}{dt}$  and dd04 derived from AIRS, along with the multiyear record, seasonality, and IAVs of our calculated ET - P. A comparison between our results and other remotely-sensed and reanalysis ET and P products is available in the Supplementary. We calculate both the accuracy and precision errors of our estimated ET - P using the AIRS dd04 estimates. We assume that the

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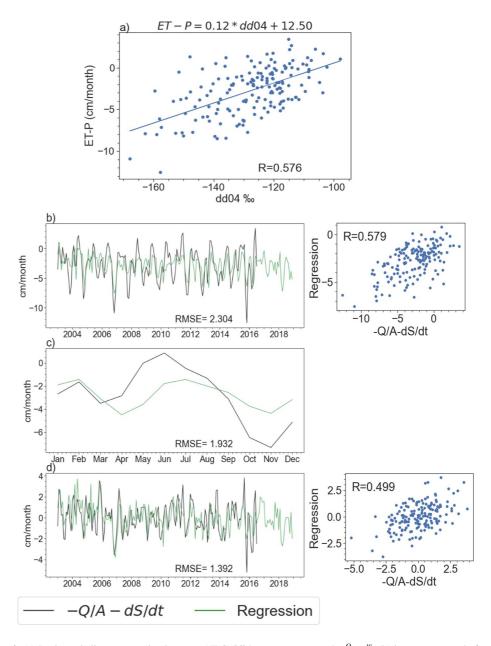


Figure 6. (a) Basin-scale linear regression between AIRS dd04 measurements and  $-\frac{Q}{A} - \frac{dS}{dt}$ ; (b) long-term record of our calculated ET - P compared to  $-\frac{Q}{A} - \frac{dS}{dt}$  as well as a scatter plot showing the linear relationship between them; (c) seasonality of our calculated ET - P compared to  $-\frac{Q}{A} - \frac{dS}{dt}$ ; and (d) interannual variations of our calculated ET - P compared to  $-\frac{Q}{A} - \frac{dS}{dt}$  as well as a scatter plot showing the linear relationship between them.

accuracy errors in the GRACE terrestrial water storage estimates, as well as the errors in the AIRS dd04 estimates are small compared to the river discharge error, which is unknown and has been assigned an estimated error of 20% (Burnett et al., 2020; Landerer & Swenson, 2012; Shi et al., 2022). Therefore, we assume that the error in the Q/A estimates dominates the error in  $-\frac{Q}{A} - \frac{dS}{dt}$ . However, this error in  $-\frac{Q}{A} - \frac{dS}{dt}$  will change based on the season. As there are certain times within the year when  $\frac{dS}{dt} = 0$ , we choose a final accuracy error of  $0.2 \times \frac{Q}{A}$  to apply to our ET - P estimates. Therefore, we report a time-averaged accuracy error of 0.56 cm/month. For the precision error, we calculate the error using first principles:

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**Table 1**ET and ET/P Averaged Over Time and the Entire Congo Basin for Different ET Data Sets

	Mean ET (cm/month)	Mean ET/P
Regression-Based	9.71 ± 0.94	$0.77 \pm 0.02$
$ET_{\rm wb}$ (Burnett et al., 2020)	$9.75 \pm 0.94$	$0.76 \pm 0.02$
MODIS	$10.25 \pm 0.04$	$0.83 \pm 0.01$
Fisher et al. (2008)	$8.34 \pm 1.3$	$0.67 \pm 0.02$
GLEAM	$9.47 \pm 1.93$	$0.77 \pm 0.02$
GLDAS	$9.60 \pm 0.04$	$0.77 \pm 0.01$
ERA5	$11.12 \pm 0.04$	$0.90 \pm 0.01$

Note. Precipitation comes from TRMM.

$$(ET - P)_{err} = \sqrt{Q_{err}^2 + \frac{dS^2}{dt_{err}}} \tag{6}$$

The precision error for basin-scale ET - P is 0.91 cm/month.

Our calculated ET-P (Figure 6b) compares well with  $-\frac{Q}{A}-\frac{dS}{dt}$  (hereafter referred to as discharge/gravity water balance) although it does not generally capture extreme discharge/gravity water balance values. We examine both the seasonality and IAVs to determine the cause. Our calculated seasonal ET-P (Figure 6c) remains below zero throughout the year, whereas the seasonal discharge/gravity water balance goes above zero during May-June. While the overall seasonal change agrees are consistent between the deuterium and gravity based measurements, the deuterium based ET-P does not capture the same extreme highs and lows as the discharge/gravity water balance estimates. On the other hand, the interannual variability of the deuterium-based

water balance is consistent with the discharge/gravity water balance, generally matching the sign of the anomalies and how they change over time: both over short-time scales (within a year) and over long-term time scales, that is, over the whole record. For example, both data sets show higher values in the earlier part of the record, lower values near 2007, and similar variations near zero thereafter. Furthermore, discharge/gravity water balance IAVs indicate large atmospheric water balance variability between 2015 and 2017, during which an extreme El Niño event (Santoso et al., 2017) induced severe drought within the basin via increases in both soil moisture and atmospheric water stress (Rifai et al., 2019). Our calculated ET - P IAVs match the direction but not the magnitude of the anomalies.

## 3.2. Estimates of ET and ET/P for the Entire Congo Basin

We next estimate basin-scale ET estimates using our regression-based water balance estimates. Simply adding P to our calculated ET - P yields an unrealistic ET seasonality, as we find that P dominates the atmospheric water balance variability (Supplementary). Only when we additionally average in time does our calculated ET compare well with other existing ET estimates. We compare our calculated ET to the following data sets: MODIS ET, water-balance calculated ET from Burnett et al. (2020), GLDAS ET, PT-JPL ET from Fisher et al. (2008), and GLEAM ET (Table 1).

We calculate the errors for the following ET data sets using the time-averaged standard error of the mean: MODIS, GLEAM, ERA5, PT-JPL (Fisher et al., 2008), and GLDAS ET products. The error in  $ET_{WB}$  and regression-based ET is calculated using first principles, with the regression-based ET error in particular calculated by:

$$ET_{err} = \sqrt{\left(\frac{\text{RMSE}_{ET-P}}{\sqrt{n}}^2 + P_{err}^2\right)}$$
 (7)

where the RMSE is the RMSE between the iCAM ET - P and iCAM regression-based ET - P, n is the length of the time series, and  $P_{err}$  is the standard error of the mean of the TRMM P estimates. We additionally use this to calculate the error on ET on quadrant scales.

Our calculated *ET*, 9.71 cm/month, compares well with water-balance *ET*, GLEAM *ET*, and GLDAS *ET* with a range of 9.47–9.75 cm/month. MODIS and ERA5 *ET* are higher, 10.25 cm/month and 11.12 cm/month, respectively. *ET* estimates from Fisher et al. (2008), which combines MODIS and reanalysis *ET* products, has the lowest *ET* estimate at 8.34 cm/month.

We also compare the contribution of ET to P (ET/P) using TRMM P and ET from the previously mentioned data sets. We use the following to calculate the error in  $\frac{ET}{P}$ :

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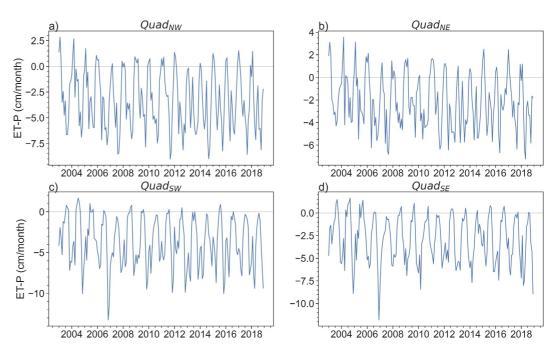


Figure 7. ET – P multi-year record for each quadrant calculated using the basin-scale linear relationship.

$$\left(\frac{ET}{P}\right)_{err} = \sqrt{\frac{ET_{err}^2}{ET} + \frac{P_{err}^2}{P}} \tag{8}$$

where  $ET_{err}$  is the error in the ET products as described above. We use this to additionally calculate the error in ET/P on quadrant scales.

Our analysis reveals a large contribution (67%–90%) of ET toward P, consistent with previous studies (e.g., Sorí et al., 2022, S. Worden et al., 2021; Risi et al., 2013). This suggests that the contribution of atmospheric moisture flux convergence (MFC) to  $P\left(\frac{MFC}{P}\right)$ , should range between 10% and 33%. While no MFC observations exist over the Congo Basin, ERA5 reanalysis estimates suggest that MFC contributes about 18% contribution of MFC to P on a basin-scale. MODIS ET best closes this moisture flux budget (83% contribution of ET to ET), but our calculated ET estimates, GLEAM, and GLDAS also perform well in closing this budget.

## 3.3. Estimating ET - P in Four Quadrants

We next calculate quadrant-scale ET - P using quadrant-averaged dd04 measurements in the basin-scale linear regression equation (Equation 5). Because we do not have river discharge at these scales, we estimate the accuracy in ET - P by applying the RMSEs shown in the iCAM model (Section 2.3) for our ET - P multi-year record, seasonality, and IAVs (Figures 7–9).

Seasonally, ET - P is greater than zero in January and February in the northern hemisphere, consistent with low P (less than 5 cm/month, Figure 1b). However, P is only slightly greater during December when the seasonal ET - P is less than zero, indicating an increase in ET during January and February as the Congo transitions to its spring rainy season. In the southern hemisphere, seasonal P drops to near-zero during JJA (Figure 1b); seasonal ET - P also near or above zero (Figures 8c and 8d) indicates that ET decreases significantly during the JJA dry season as well. This is consistent with observed decreases in solar-induced fluorescence (SIF), a proxy for photosynthesis and hence ET (Frankenberg et al., 2011), over the Southern Congo during this period (Jiang et al., 2023).

## 3.4. Estimating ET, ET/P, and Q/A in Each Quadrant

We next calculate quadrant-scale ET, ET/P, and Q/A using the deuterium-based ET - P estimates. We compare our estimates to other ET products. Such estimates are useful for examining quadrant-scale variabilities in the

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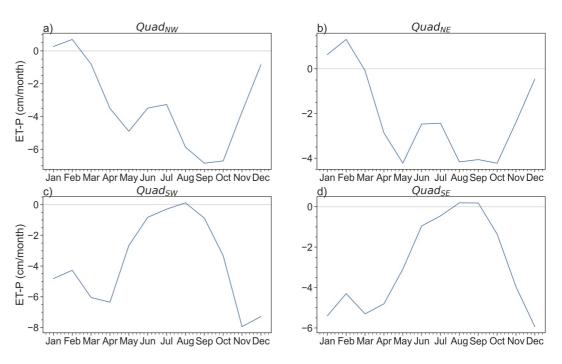


Figure 8. ET - P seasonal cycle for each quadrant calculated using the basin-scale linear relationship.

Congo Basin moisture fluxes that could indicate different moisture regimes, and hence different responses to climatic changes such as the observed decline in April-June rainfall (Nicholson et al., 2022). We calculate ET by adding P to ET - P for each quadrant. Similarly, we calculate net river discharge (Q/A) by adding GRACE dS/dt estimates to ET - P for each quadrant. All calculations are averaged over both space and time.

We calculate the error in Q/A by:

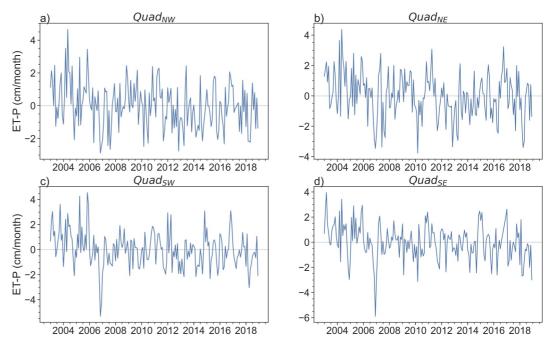


Figure 9. ET – P IAV's for each quadrant calculated using the basin-scale linear relationship.

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ET, ET/P, and Q/A Averaged Over Time and Space for Each Quadran

		$Quad_{NW}$			$Quad_{NE}$			$Quad_{SW}$			$Quad_{SE}$	
	ET	ET/P	Q/A	ET	ET/P	Q/A	ET	ET/P	Q/A	ET	ET/P	Q/A
Regression-based $10.20 \pm 0.56$ $0.76 \pm 0.06$ $2.93 \pm 1.32$	$10.20 \pm 0.56$	$0.76 \pm 0.06$	$2.93 \pm 1.32$	$11.73 \pm 0.67$	$1.73 \pm 0.67$ $0.84 \pm 0.07$ $1.87 \pm 1.35$	$1.87 \pm 1.35$	$8.70 \pm 0.45$	$0.70 \pm 0.06$	$3.57 \pm 1.28$	$8.70 \pm 0.45$ $0.70 \pm 0.06$ $3.57 \pm 1.28$ $8.83 \pm 0.43$ $0.73 \pm 0.06$ $2.81 \pm 1.36$	$0.73 \pm 0.06$	$2.81 \pm 1.36$
MODIS	$10.88 \pm 0.05$	$10.88 \pm 0.05$ $0.81 \pm 0.01$ $2.37 \pm 1.30$	$2.37 \pm 1.30$	$10.43 \pm 0.04$	$10.43 \pm 0.04$ $0.75 \pm 0.01$ $3.29 \pm 1.25$	$3.29\pm1.25$	$9.26 \pm 0.04$	$0.74\pm0.01$	$3.00\pm1.27$	$10.41\pm0.1$	$0.95\pm0.01$	$0.65 \pm 1.35$
T-JPL	$9.00 \pm 0.16$	$9.00 \pm 0.16$ $0.67 \pm 0.02$ $4.18 \pm 1.31$	$4.18\pm1.31$	$9.13\pm0.20$	$9.13 \pm 0.20$ $0.66 \pm 0.02$	$4.54 \pm 1.27$	$7.90 \pm 0.16$	$7.90 \pm 0.16  0.64 \pm 0.02$	$4.34 \pm 1.28$	$7.44 \pm 0.17  0.68 \pm 0.02$	$0.68\pm0.02$	$3.59 \pm 1.36$
SLEAM	$10.38 \pm 0.27$	$10.38 \pm 0.27$ $0.77 \pm 0.04$ $2.76 \pm 1.30$	$2.76\pm1.30$	$10.72 \pm 0.29  0.77 \pm 0.04$	$0.77\pm0.04$	$3.00\pm1.26$	$9.18\pm0.23$	$0.73 \pm 0.04$	$3.15\pm1.27$	$8.32 \pm 0.25  0.76 \pm 0.04$	$0.76 \pm 0.04$	$2.77 \pm 1.35$
GLDAS	$10.93 \pm 0.05$	$10.93 \pm 0.05$ $0.81 \pm 0.01$ $2.40 \pm 1.30$	$2.40\pm1.30$	$11.31 \pm 0.07$	$11.31 \pm 0.07$ $0.82 \pm 0.01$ $2.50 \pm 1.26$	$2.50\pm1.26$	$8.61 \pm 0.05$	$8.61 \pm 0.05$ $0.69 \pm 0.01$ $3.71 \pm 1.27$	$3.71 \pm 1.27$	$8.09 \pm 0.06$	$8.09 \pm 0.06$ 0.74 ± 0.01 2.96 ± 1.35	$2.96 \pm 1.35$
3RA5	$12.14 \pm 0.05$	$12.14 \pm 0.05$ $0.90 \pm 0.01$ $1.04 \pm 1.30$	$1.04 \pm 1.30$	$12.05 \pm 0.05$	$0.87 \pm 0.01$	$1.62 \pm 1.26$	$10.63 \pm 0.05$	$0.86 \pm 0.01$	$1.57 \pm 1.27$	$2.05 \pm 0.05  0.87 \pm 0.01  1.62 \pm 1.26  10.63 \pm 0.05  0.86 \pm 0.01  1.57 \pm 1.27  10.11 \pm 0.06  0.92 \pm 0.01  0.91 \pm 1.35  0.91 \pm 1.35 $	$0.92 \pm 0.01$	$0.91 \pm 1.35$
Note. Precipitation comes from TRMM. "Regression-based" indicates measurements calculated using the regression-based $ET - P$ estimates. PT-JPL indicates measurements calculated using $ET$ from Fisher et al. (2008).	comes from TR	MM. "Regress	sion-based" ind	icates measurem	ents calculated	using the regre	ession-based $\it E1$	r - P estimates.	PT-JPL indica	tes measuremer	ıts calculated u	ısing <i>ET</i> from

$$\sqrt{ET_{err}^2 + P_{err}^2 + \frac{dS}{dt_{err}}}^2 \tag{9}$$

For the Q/A calculated using the remotely sensed and reanalysis ET products, and the error in Q/A using the regression-based ET - P products as:

$$\sqrt{\left(\frac{\text{RMSE}_{ET-P}}{\sqrt{n}}\right)^2 + \frac{dS^2}{dt_{err}}} \tag{10}$$

We first compare our time and space-averaged ET estimates to ET from MODIS, GLEAM, ERA5, PT-JPL from Fisher et al. (2008), and GLDAS. Unlike the basin scale ET estimates which show agreement across data sets, substantial disparity among the ET estimates exists between quadrants. We show that ET in  $Quad_{NW}$  ranges from 9.00 to 12.14 cm/month, ET in  $Quad_{NE}$ ranges from 9.13 to 12.05 cm/month, ET in Quad<sub>SW</sub> ranges from 7.90 to 10.63 cm/month, and ET in Quad<sub>SE</sub> ranges from 7.44 to 10.41 cm/month. Our regression-based ET estimates compare best with GLEAM and GLDAS ET estimates for all four quarters. These three ET estimates show higher ET in  $Quad_{NW}$  and  $Quad_{NE}$ , and lower ET in  $Quad_{SW}$  and  $Quad_{SE}$ . These variations generally correspond to biomass variability between the northern and southern hemisphere. For example, the fraction of biomass with values >100 mgC/ha (high biomass overlaps with tropical rainforest parts of the basin, e.g., Verhegghen et al., 2012) are 0.36, 0.24, 0.10, and 0.08 in  $Quad_{NW}$ ,  $Quad_{NE}$ ,  $Quad_{SW}$ , and  $Quad_{SE}$ , respectively. Lowest ET corresponds with the lowest fraction of high-biomass vegetation in Quad<sub>SE</sub>. However, while Quad<sub>NW</sub> contains the most highbiomass vegetation, including a large wetland and peatland complex (Alsdorf et al., 2016; Dargie et al., 2017),  $Quad_{NE}$  has the highest ET except for MODIS ET. It is possible that higher ET in  $Quad_{NE}$  could be due to ET transported from other parts of the basin via low-level winds under 850 hPa (S. Worden et al., 2021).

We additionally calculate ET/P and MFC/P for all four quadrants to understand variations in moisture contributions to P in each quadrant. We show that ET/P in  $Quad_{NW}$  ranges from 0.67 to 0.90, ET/P in  $Quad_{NE}$  ranges from 0.66 to 0.87, ET/P in  $Quad_{SW}$  ranges from 0.64 to 0.86, and ET/P in  $Quad_{SE}$  ranges from 0.68 to 0.95. Our calculated ET/P estimates most closely match ET/P estimates using GLEAM and GLDAS. These three data sets all show a similar contribution of ET to P over all four quadrants, with ET contributing slightly less to P in  $Quad_{SW}$  and  $Quad_{SE}$ . Meanwhile, mean MFC/P is 0.12 in  $Quad_{NW}$ , 0.18 in  $Quad_{NE}$ , 0.18 in  $Quad_{SW}$ , and 0.23 in  $Quad_{SE}$ . This suggests that despite different P and vegetation regimes between the northern and southern quadrants, ET still significantly contributes to P everywhere within the Congo Basin.

We next calculate quadrant-scale, mean net river discharge estimates by adding quadrant-scale  $\frac{dS}{dt}$ estimates to our quadrant-scale ET - P estimates. The spatial limitations in GRACE gravity measurements provide additional motivation to limit our calculations to a quadrant-scale (e.g., Shi et al., 2022). The average of our quadrant-scale net river discharge estimates calculated from our regression-based ET - P is equal to the basin-scale mean net river discharge, adding confidence to our results. Similar to basin-scale river discharge estimates, mean net river discharge in all four quadrants is lower than mean ET. Additionally, it has a larger range of magnitude between products than our estimates of ET as they highly depend on the ET and P estimates (from TRMM), the two largest-magnitude moisture fluxes in the Congo Basin (Burnett et al., 2020). ET estimates closer to the magnitude of P estimates result in lower mean Q/A, such as Q/A estimated from ERA5 or MODIS ET (Table 2). The Q/A estimates that come from our regression-based ET - P, indicate that the western parts of the basin ha the highest mean river discharge. Higher net river discharge in the western part of the basin could be due to the higher number of rivers in that area and higher estimated daily flow (Alsdorf et al., 2016; Munzimi et al., 2019). For example, out of the 60 identified rivers from Alsdorf et al. (2016), 21 rivers lie within  $Quad_{NW}$ , 13 within  $Quad_{NE}$ , 11 within  $Quad_{SW}$ , 13 within  $Quad_{SE}$ , and 2 rivers are shared

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between the different quadrants. Furthermore, the part of the Congo River in  $Quad_{SW}$  that outlets to the Atlantic Ocean has the highest modeled daily flow rate (Munzimi et al., 2019).

#### 4. Discussion and Conclusions

A range of factors, including climate change, land use and land cover change, and more, affect the atmospheric water balance, ET - P. These changes can directly impact ET and/or P, or affect river discharge and terrestrial water storage (e.g., Suryatmojo et al., 2013). We confirm that mean ET is the second highest moisture flux across the basin compared to P (the highest moisture flux), river discharge, and moisture flux convergence. This indicates that a significant portion of the moisture that enters the basin undergoes high recycling (Risi et al., 2013; Sorí et al., 2017, 2022; S. Worden et al., 2021). Changes in ET from climate or anthropogenic activities could therefore substantively alter the Congo water balance. For example, increased land cover and land use changes to accommodate increasing logging demands, clearing for subsistence agriculture, and a rising need for natural resources due to population growth (Bele et al., 2015; Fuller et al., 2019; Kleinschroth et al., 2019; Tyukavina et al., 2018) can significantly alter forest composition. Frequent and large-scale fires in Africa (e.g., Andela & van der Werf, 2014; Andela et al., 2019), including the Congo Basin, also change forest composition via processes such as directly removing carbon (e.g., Jiang et al., 2023), altering nutrient availability (Bauters et al., 2018, 2021), inducing soil degradation (Juárez-Orozco et al., 2017), and creating edge effects that change local energy, water, and carbon fluxes (Zhao et al., 2021). The anthropogenic fingerprint on the Congo Basin can therefore significantly affect ET in the Congo Basin and hence the future of its water cycle.

We anticipate that the Congo Basin water cycle response to climate change and land cover and land use changes may differ from that of the Amazon, where the contribution of ET to P is lower, ( $ET/P \sim 40\%$ –50%; Baker et al., 2021; Swann & Koven, 2017; Xu et al., 2019) and anthropogenic activities differ in their extent (e.g., N'kaya et al., 2022). Furthermore, a lack of observationally-based data prevents us from understanding spatial heterogeneities in this response. This represents a key gap in our understanding of water and carbon cycle changes in the Congo Basin especially considering high spatial differences in its water cycles and vegetation (e.g., Burnett et al., 2020; Nicholson, 2022; N'kaya et al., 2022; Verhegghen et al., 2012), as well as human disturbance (e.g., Adams & Garcia-Carreras, 2023; Jiang et al., 2023). Our study provides new information on quadrant-scale water flux heterogeneity; however, further research is necessary to develop new, observationally-based water flux data sets that allow us to investigate water and carbon cycle heterogeneity on smaller spatial scales.

## **Data Availability Statement**

GLEAM v3.6b *ET* estimates can be downloaded via the GLEAM website: https://www.gleam.eu/. GLDAS L4 2.1 *ET* estimates, TRMM 3b43 *P* estimates, can downloaded using NASA GES DISC: https://disc.gsfc.nasa.gov. Fisher et al. (2008) PT-JPL *ET* estimates can be found at: http://josh.yosh.org/. MODIS MOD16A3GF\_006\_ET\_500m *ET* products can be found at: https://lpdaac.usgs.gov/products/mod16a3gfv006/. ERA5 surface latent heat flux can be found at: https://cds.climate.copernicus.eu/cdsapp#!/home. CHIRPS *P* data can be found at: https://www.ncei.noaa.gov/products/climate-data-records/precipitation-persiann. Basin-scale changes in terrestrial water-storage estimates and river discharge estimates taken from Burnett et al. (2020) can be found at: https://osf.io/jpvmb/. JPL GRACE Mascon products can be found at: https://jpodaac.jpl.nasa.gov/grace-fo. GFZ GRACE Mascon products can be found at: https://www2.csr.utexas.edu/grace/RL06\_mascons.html. AIRS dd04 measurements can be found at: https://avdc.gsfc.nasa.gov/pub/data/satellite/Aura/TES/.AIRs/. iCAM model estimates, *ET - P* estimates, and other data produced by this paper can be made available upon request to the lead author, Sarah Worden (sarahrw27@g.ucla.edu).

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