



# Collapsars as Sites of *r*-process Nucleosynthesis: Systematic Photometric Near-infrared Follow-up of Type Ic-BL Supernovae

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## Abstract

One of the open questions following the discovery of GW170817 is whether neutron star (NS) mergers are the only astrophysical sites capable of producing *r*-process elements. Simulations have shown that 0.01–0.1  $M_{\odot}$  of *r*-process material could be generated in the outflows originating from the accretion disk surrounding the rapidly rotating black hole that forms as a remnant to both NS mergers and collapsing massive stars associated with long-duration gamma-ray bursts (collapsars). The hallmark signature of *r*-process nucleosynthesis in the binary NS merger GW170817 was its long-lasting near-infrared (NIR) emission, thus motivating a systematic photometric study of the light curves of broad-lined stripped-envelope (Ic-BL) supernovae (SNe) associated with collapsars. We present the first systematic study of 25 SNe Ic-BL—including 18 observed with the Zwicky Transient Facility and 7 from the literature—in the optical/NIR bands to determine what quantity of *r*-process material, if any, is synthesized in these explosions. Using semi-analytic models designed to account for *r*-process production in SNe Ic-BL, we perform light curve fitting to derive constraints on the *r*-process mass for these SNe. We also perform independent light curve fits to models without the *r*-process. We find that the *r*-process-free models are a better fit

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to the light curves of the objects in our sample. Thus, we find no compelling evidence of *r*-process enrichment in any of our objects. Further high-cadence infrared photometric studies and nebular spectroscopic analysis would be sensitive to smaller quantities of *r*-process ejecta mass or indicate whether all collapsars are completely devoid of *r*-process nucleosynthesis.

*Unified Astronomy Thesaurus concepts:* Supernovae (1668); Nucleosynthesis (1131); Transient sources (1851); *R*-process (1324); Explosive nucleosynthesis (503); Time domain astronomy (2109); Surveys (1671); Optical astronomy (1776); Photometry (1234); Light curves (918); Infrared photometry (792); Gamma-ray bursts (629)

*Supporting material:* data behind figure

## 1. Introduction

The dominant process responsible for producing elements heavier than iron is the rapid neutron capture process, known as the *r*-process (Burbidge et al. 1957; Cameron 1957), which only has a few plausible astrophysical sites. While standard core-collapse supernovae (SNe) were previously considered as candidate sites for *r*-process nucleosynthesis (Takahashi et al. 1994; Woosley et al. 1994; Qian & Woosley 1996), they have since been disfavored because simulations of neutrino-driven winds in core-collapse SNe fail to create conducive conditions for *r*-process production (Thompson et al. 2001; Roberts et al. 2010; Martínez-Pinedo et al. 2012; Hotokezaka et al. 2018). On the other hand, before 2017, many studies (Lattimer & Schramm 1974, 1976; Symbalisty & Schramm 1982) predicted that mergers of two neutron stars (NSs) or NSs with black holes (BHs) were capable of generating *r*-process elements during the decompression of cold, neutron-rich matter ensuing from the tidal disruption of the NSs. Li & Paczyński (1998) first suggested that the signature of such *r*-process nucleosynthesis would be detectable in an ultraviolet, optical, and near-infrared (NIR) transient powered by the radioactive decay of neutron-rich nuclei, termed kilonova (KN) for its brightness, which was predicted to be  $1000\times$  that of a classical nova (Metzger et al. 2010). Other studies proposed that *r*-process elements could be synthesized in a rare supernova (SN) subtype known as a hypernova (e.g., Fujimoto et al. 2007). In this scenario, the SN explosion produces a rapidly rotating central BH surrounded by an accretion disk. Accretion onto the BH is thought to power a relativistic jet, while material in the disk may neutronize, allowing the *r*-process to occur when the newly neutron-rich material is unbound as a disk wind.

Galactic archeological studies (Ji et al. 2016a, 2016b), geochemical studies (Wallner et al. 2021), and studies of the early solar system (Tissot et al. 2016) offer unique insights into which astrophysical sites could plausibly explain observed *r*-process elemental abundances. A recent study of *r*-process abundances in the Magellanic Clouds has indicated that the astrophysical *r*-process site has a time delay longer than that for core-collapse SNe (Reggiani et al. 2021). Second- and third-peak abundance patterns inferred from metal-poor Galactic halo stars show consistency with the solar *r*-process abundance pattern at a high atomic number, but scatter at a low atomic number that could be attributed to enrichment from multiple sources, including magnetorotational hypernovae (Yong et al. 2021). Measurements of excess [Ba/Fe] and [Eu/Fe] abundances in the dwarf galaxy Reticulum II argue for not only a rare and prolific event, but one capable of enriching the galaxy early in its history (Ji et al. 2016a; Tarumi et al. 2020), pointing toward a potential rare SN subtype whose *r*-process production would follow star formation (Côté et al. 2019; Siegel et al. 2019). Further evidence of *heavy r*-process enrichment in the disrupted dwarf galaxy Gaia Sausage Enceladus

( $\sim 3.6$  Gyr star formation duration) but not in the disrupted dwarf galaxy Kraken (with  $\approx 2$  Gyr star formation duration) points toward multiple *r*-process enrichment sites operating on different timescales (Naidu et al. 2021).

Overall, geological studies and studies of the early solar system and Galactic chemical evolution exemplify the need for rare and prolific astrophysical sites to explain observed abundances, and imply that the solar *r*-process abundance pattern could be universal. While NS mergers are compatible with many facets of the above findings (Côté et al. 2018; Hotokezaka et al. 2018; Metzger 2019), assuming that mergers are the sole producers of *r*-process material presents some potential hurdles. For example, the time delay between the formation and merger of NS systems must be short enough to enrich old, ultra-faint dwarf galaxies with heavy elements (Ji et al. 2016a; Roederer et al. 2016; Côté et al. 2019). Furthermore, natal merger kicks present a challenge for low-mass galaxies to retain pre-merger compact binaries (Komiyama & Shigeyama 2016). The question of whether NS mergers alone can explain the relative abundances of *r*-process elements (e.g., [Eu/Fe] versus [Fe/H]) in the solar neighborhood remains unanswered (Beniamini et al. 2016; Bonetti et al. 2019).

The multi-messenger detection of the binary NS merger GW170817 (Abbott et al. 2017a), an associated short burst of gamma rays GRB 170817 (Abbott et al. Abbott 2017b) and the KN AT2017gfo (Chornock et al. 2017; Andreoni et al. 2017; Coulter et al. 2017; Cowperthwaite et al. 2017; Drout et al. 2017; Evans et al. 2017; Kasliwal et al. 2017; Kilpatrick et al. 2017; Lipunov et al. 2017; McCully et al. 2017; Nicholl et al. 2017; Pian et al. 2017; Shappee et al. 2017; Smartt et al. 2017; Soares-Santos et al. 2017; Tanvir et al. 2017; Utsumi et al. 2017; Villar et al. 2017; Kasliwal et al. 2022) relayed the first direct evidence that NS mergers are an astrophysical site of *r*-process nucleosynthesis and short gamma-ray burst (GRB) progenitors. Multi-band photometry and optical/NIR spectroscopy of AT2017gfo indicated that the KN ejecta was enriched with *r*-process elements (Chornock et al. 2017; Cowperthwaite et al. 2017; Drout et al. 2017; Kasliwal et al. 2017; Kilpatrick et al. 2017; Pian et al. 2017; Smartt et al. 2017; Tanvir et al. 2017; Watson et al. 2019) including heavier species occupying the second and third peaks (Tanvir et al. 2017; Watson et al. 2019; Gillanders et al. 2021; Kasliwal et al. 2022).

Although GW170817 confirmed NS mergers as *r*-process nucleosynthesis sites, some fundamental open questions on the nature of *r*-process production still remain. Namely, can the rates of and expected yields from NS mergers explain the total amount of *r*-process production measured in the Universe? Or, do the direct and indirect clues about *r*-process production in the Universe point toward an alternative *r*-process site, such as rare core-collapse SNe?

The discovery of the broad-lined Type Ic SN 1998bw at 40 Mpc (Galama et al. 1998), following the long GRB 980425 was a watershed event that provided the first hints that some

GRBs were connected to stellar explosions (Kulkarni et al. 1998; Galama et al. 1999). However, due to the anomalous nature of the explosion, it was not until GRB 030329 that a direct long GRB–SN connection was securely established (Fynbo et al. 2004). The spectra of these SNe exhibit broad features due to high photospheric velocities ( $\gtrsim 20,000 \text{ km s}^{-1}$ ). They have higher inferred kinetic energies than typical SNe (at  $\sim 10^{52} \text{ erg}$ ), and are stripped of both hydrogen and helium (Modjaz et al. 2016; Gal-Yam 2017). Since SN 1998bw, several other SNe Ic-BL have been discovered in conjunction with long GRBs (e.g., Kocevski et al. 2007; Olivares et al. 2012; Cano et al. 2017b; Corsi & Lazzati 2021), boosting the existing collapsar theory (Woosley 1993; MacFadyen & Woosley 1999; MacFadyen et al. 2001) as a mechanism to explain long GRBs and their associated SN counterparts. The term collapsar refers to a rapidly rotating, massive star that collapses into a BH, forming an accretion disk around the central BH. Collapsars are distinct from the magnetar-powered explosions (referred to as magnetohydrodynamic (MHD) SNe) also proposed to be related to SNe Ic-BL (Metzger et al. 2011; Kashiyama et al. 2016). However, puzzling discoveries including those of GRB 060505 and GRB 060614, which lacked a clear SN counterpart to deep limits (Gehrels et al. 2006) and that of GRB 211211A, a long-duration GRB associated with a KN (Rastinejad et al. 2022) have shifted the paradigm from the traditional conception that all long GRBs have a collapsar or magnetar origin. Thus, some fraction of long-duration GRBs may also originate from compact binary mergers.

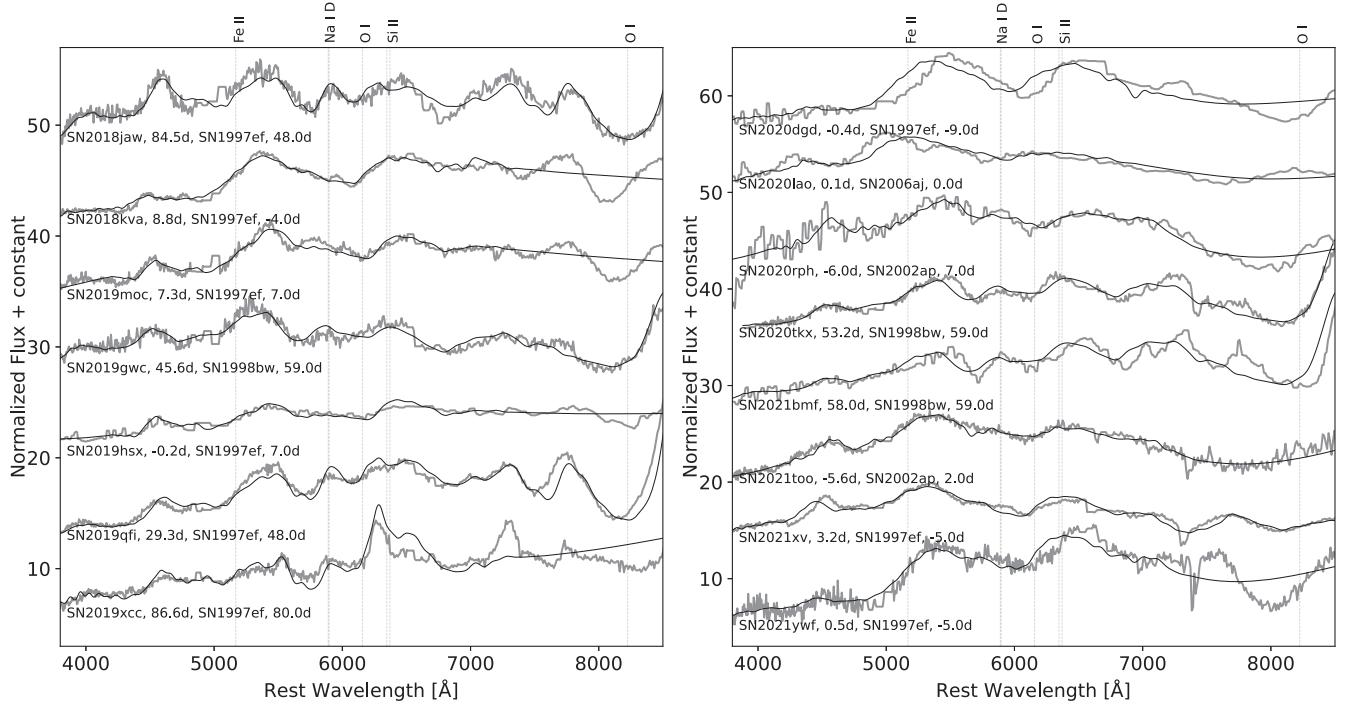
Several works (Fujimoto et al. 2007; Ono et al. 2012; Nakamura et al. 2015; Soker & Gilkis 2017) have since hypothesized that the explosions that give rise to SNe Ic-BL and (in some cases) to their accompanying long GRBs (i.e., collapsars) are capable of producing  $0.01\text{--}0.1 M_{\odot}$  of *r*-process material per event. Simulations suggest that in the case of an NS merger, an accretion disk forms surrounding the merger’s newly born central BH (Shibata & Taniguchi 2006), and *r*-process elements originate in the associated disk outflows (Metzger et al. 2008, 2009). Such accretion flows are not only central to the short GRBs associated with NS mergers, but also with the long classes of GRBs associated with collapsars. However, predictions about *r*-process production in the collapsar context are sensitive to assumptions about the magnetic field, the disk viscosity model, and the treatment of neutrinos, among other factors. Surman et al. (2006) argued that only light *r*-process elements can be synthesized in collapsar accretion disks due to neutrino-driven winds. More recently, Siegel et al. (2019) conducted 3D general-relativistic, MHD simulations demonstrating sufficient *r*-process yields to explain the observed abundances in the Universe. Siegel et al. (2019) found that the disk material becomes neutron-rich through weak interactions, enabling the production of even second- and third-peak *r*-process elements in disk-wind outflows. Other works in the literature (Miller et al. 2020; Fujibayashi et al. 2022; Just et al. 2022) have argued that collapsars are inefficient producers of *r*-process elements based on studies of the full radiation transport and  $\alpha$ -viscosity in collapsar disks. Whether or not collapsars are sites of *r*-process nucleosynthesis is still an active area of investigation, motivating detailed studies of the photometric evolution of *r*-process-enriched SNe.

Recently, Barnes & Metzger (2022), motivated by Siegel et al. (2019), created semi-analytic models of the light curves of SNe from collapsars producing *r*-process elements, yielding concrete predictions for the photometric evolution of *r*-process-enriched SNe Ic-BL. Our work is focused on observationally testing the models from Barnes & Metzger (2022).

In this work, we report our findings from an extensive observational campaign and compilations from the literature to determine whether collapsars powering SNe Ic-BL are capable of synthesizing *r*-process elements. We present optical and NIR photometric observations and compare both color evolution and absolute light curves against the predictions from Barnes & Metzger (2022). Our paper is structured as follows: first, we detail our sample selection criteria in Section 2, then Section 3 describes our optical and NIR observations, followed by Section 4, which provides the details of the discovery for each candidate. Section 5 introduces the objects from the literature used in our study, and in Section 6, we introduce the latest collapsar *r*-process models. In Section 7, we show how we derive explosion properties. The results of our light-curve model fits are presented in Section 8, and finally, we discuss our conclusions and future work in Section 9.

## 2. Sample Selection

To test the hypothesis that SNe Ic-BL generate *r*-process elements, we require a statistically robust sample size of SNe with contemporaneous NIR and optical light curves. To obtain optical light curves, we use data from the Zwicky Transient Facility (ZTF; Bellm et al. 2019; Graham et al. 2019; Masci et al. 2019), a 47 deg<sup>2</sup> field-of-view mosaic camera with a pixel scale of 1'' pixel<sup>-1</sup> (Dekany et al. 2020) installed on the Palomar 48 inch (P48) telescope. ZTF images the entire northern sky every  $\sim 2$  nights in the *g* and *r* bands, attaining a median  $5\sigma$  detection depth of  $20.5 m_{\text{AB}}$ . Among the systematic efforts aimed at SN detection with ZTF, our SNe draw from two surveys in particular: the Bright Transient Survey (BTS; Fremling et al. 2020) and the ZTF Census of the Local Universe survey (CLU; De et al. 2020), which are conducted as a part of ZTF’s nightly operations. BTS is a magnitude-limited survey aimed at spectroscopically classifying all SNe  $< 18.5$  mag at the peak brightness (Perley et al. 2020). CLU, in contrast, is a volume-limited survey aimed at classifying all SNe within 150 Mpc whose hosts belong to the CLU galaxy catalog (Cook et al. 2019). The CLU galaxy catalog is designed to provide spectroscopic redshifts of all galaxies within 200 Mpc, and is 90% complete (for an H $\alpha$  line flux of  $4 \times 10^{-14} \text{ erg cm}^2 \text{ s}^{-1}$ ). Hence, the two surveys provide complementary methods for SN identification. Our sample consists of 18 spectroscopically confirmed ZTF SNe Ic-BL within  $z \lesssim 0.05$ . Due to our low-redshift cut, we assume that the photometric K-corrections are negligible (Taddia et al. 2018). The details of the instruments and configurations used to take our classification spectra are described in Section 3 (see also Figure 1). Where available, we use the spectroscopic redshift from the Sloan Digital Sky Survey (SDSS) galaxy host (especially for sources falling in the CLU sample) and otherwise determine the SN redshift from spectral fitting to the narrow galaxy H $\alpha$  feature. For each spectrum, we use the Supernova Identification Code (SNID; Blondin & Tonry 2007) to determine the best-match template (also plotted in Figure 1), fixing the redshift to the value determined using the methods described above. We overplot the characteristic spectroscopic



**Figure 1.** Classification spectra for the SNe Ic-BL in our sample, along with their SNID best-match templates, labeled by name, SN phase relative to the peak light, and corresponding template name, and template phase from SNID. GRB 190829A only has a host spectrum, which we do not display here. The spectra for SN 2018gep and SN 2020bvc are published in Ho et al. (2019) and Ho et al. (2020a) so we do not show them here. The spectra show broad Fe II, Si II, and O I lines. The Na I D absorption line, an indicator of host extinction (Stritzinger et al. 2018a), is plotted for reference—none of the SNe appear to have strong Na I D features.

lines for SNe Ic-BL, including O I, Fe II, and Si II in dashed lines, along with Na I D, an indicator of the amount of SN host galaxy extinction (Stritzinger et al. 2018a). For all of the ZTF SNe, we assume zero host attenuation; this assumption is backed by the lack of any prominent Na I D absorption features in the spectra (see Figure 1). Higher host attenuation results in redder observed SN colors.

We impose a redshift cut to eliminate distant SNe that might fade rapidly below ZTF detection limits within 60 days post-peak. ZTF yields an average rate of the SNe Ic-BL discovery of  $\sim 1/\text{month}$ , but due to visibility and weather losses, we followed up  $\sim 10$  SNe per yr. As a consequence of our sample selection from ZTF, probing only the local volume, we are biased against GRB-SNe. However, among our sample, we include one low-luminosity gamma-ray burst (LLGRB) (GRB 190829A), SN 2018gep, a published SN with fast and luminous emission (Ho et al. 2019), and another published SN with a mildly relativistic ejecta, SN 2020bvc (Ho et al. 2020a), which contribute diversity to our ZTF sample. The two SNe exhibited broad features in their spectra and were classified as SNe Ic-BL, while the LLGRB was too faint for spectroscopy, and only had photometric evidence of an associated SN bump.

In the analyses in subsequent sections, we assume the following cosmological parameters:  $H_0 = 63.7 \text{ km s}^{-1} \text{ Mpc}^{-1}$  and  $\Omega_m = 0.307$ .

### 3. Observations

Here, we describe the photometric and spectroscopic observations obtained by various facilities in our follow-up campaign.

### 3.1. Photometry

#### 3.1.1. ZTF

We use the ZTF camera on the P48 telescope for the discovery of SNe, and initial follow-up. ZTF’s default observing mode consists of 30 s exposures. Alerts ( $5\sigma$  changes in brightness relative to the reference image) are disseminated in avro format (Patterson et al. 2019) and filtered based on machine-learning real-bogus classifiers (Mahabal et al. 2019), star-galaxy classifiers (Tachibana & Miller 2018), and light-curve properties. Crossmatches with solar system objects serve to reject asteroids. ZTF’s survey observations automatically obtain  $r$ -,  $g$ - and sometimes  $i$ -band imaging lasting  $\approx 60$  days after the peak, while the SN is brighter than 20.5 mag. Masci et al. (2019) provide more information about the data processing and image subtraction pipelines. More details about specific surveys used to obtain these data are provided in Section 2.

#### 3.1.2. LCOGT

We performed a photometric follow-up of our SNe with the Sinistro and Spectral cameras on the Las Cumbres Observatory Global Telescope (LCOGT; Brown et al. 2013) Network’s 1 m and 2 m telescopes, respectively. The Sinistro (Spectral) camera has a field of view of  $26.5 (10.5) \times 26.5 (10.5)$  and a pixel scale of  $0.389 (0.304)'' \text{ pixel}^{-1}$ . The observations relied on two separate LCO programs: one aimed at supplementing ZTF light curves of BTS objects and the other intending to acquire late-time  $r$ - and  $i$ -band follow-up of stripped-envelope SNe fainter than 21 mag. The exposure times and number of images requested varied based on filter and desired depth, ranging from 160–300 s and one to five images. The data are

automatically flat fielded and bias subtracted. Though both programs use different data reduction pipelines, the methodology is nearly the same. Both pipelines extract sources using the Source-Extractor package (Bertin & Arnouts 2010) and calibrate magnitudes against Pan-STARRS1 (PS1) (Chambers et al. 2016; Flewelling 2018) objects in the vicinity. The BTS-targeted program uses the High Order Transform of PSF And Template Subtraction code (HOTPANTS; Becker 2015) to subtract a point-spread function (PSF)-scaled Pan-STARRS1 template previously aligned using SCAMP (Bertin 2006). For the late-time LCOGT follow-up program, our pipeline performed image subtraction with `pyzog` (Guevel & Hosseinzadeh 2017), based on the ZOGY algorithm (Zackay et al. 2016). Both pipelines stack multiple images to increase depth.

### 3.1.3. Wafer-scale Imager for Prime

We performed deep imaging with the Wafer-scale Imager for Prime (WASP), mounted on the Palomar 200 inch (P200) prime focus with an  $18.5' \times 18.5'$  field of view and a plate scale of  $0.''18 \text{ pixel}^{-1}$ . We obtained data from WASP for the transients at late times in the  $g'$ ,  $r'$ , and  $i'$  filters. The data were reduced using a python-based pipeline that applied standard optical reduction techniques (as described in De et al. 2020), and the photometric calibration was obtained against PS1 sources in the field. Image subtraction was performed with HOTPANTS with references from PS1 and SDSS.

### 3.1.4. Spectral Energy Distribution Machine

We obtained additional photometric follow-up with the Spectral Energy Distribution Machine (SEDM; Blagorodnova et al. 2018; Rigault et al. 2019; Kim et al. 2022) on the Palomar 60 inch (P60) telescope, which has a field of view of  $13' \times 13'$  and a plate scale of  $0.''378 \text{ pixel}^{-1}$ . The processing is automated, and can be triggered using the `fritz-marshall` platform (Duev et al. 2019; Kasliwal et al. 2019; van der Walt et al. 2019). Standard imaging requests involve  $g$ -,  $r$ -, and  $i$ -band 300 s exposures with the Rainbow Camera on board SEDM. The data are later reduced using a python-based pipeline that applies standard reduction techniques and a customized version of Fremling Automated Pipeline (FPipe; Fremling et al. 2016) for image subtraction.

### 3.1.5. Liverpool IO:O

We acquired late-time, multi-band imaging with the Liverpool Telescope (Steele et al. 2004) using the IO:O camera with the Sloan *griz* filter set. The IO:O camera has a  $10' \times 10'$  field of view with a plate scale of  $0.''15 \text{ pixel}^{-1}$ . An automatic pipeline reduces the images, performing bias subtraction, trimming of the overscan regions, and flat fielding. Once a PS1 template is aligned, the image subtraction takes place, and the final photometry comes from the analysis of the subtracted image.

### 3.1.6. GROWTH-India Telescope

We obtained the photometric follow-up of our SNe with the 0.7 m robotic GROWTH-India Telescope (GIT; Kumar et al. 2022) equipped with a 40,964,108 pixel back-illuminated Andor camera. GIT has a circular field of view of  $0.86^\circ \times 0.86^\circ$  (corresponding to  $51.6' \times 51.6'$ ) and has a pixel scale of

$0.''676 \text{ pixel}^{-1}$ . GIT is located at the Indian Astronomical Observatory (Hanle, Ladakh). Targeted observations were conducted in the SDSS  $r'$  and  $i'$  filters with varying exposure times. All data were downloaded in real time and processed with the automated GIT pipeline. Zero-points for photometry were calculated using the Pan-STARRS catalog (Flewelling 2018), downloaded from Vizier (Ochsenbein et al. 2000). We performed image subtraction with `pyzog` and PSF photometry with PSFEx (Bertin 2011).

### 3.1.7. Wide-field Infrared Camera

We obtained NIR follow-up imaging of candidates with the Wide-field Infrared Camera (WIRC; Wilson et al. 2003), on board the P200 telescope in the  $J$ ,  $H$ , and  $Ks$  bands. WIRC's field of view is  $8.7' \times 8.7'$  with a pixel scale of  $0.''2487 \text{ pixel}^{-1}$ . The WIRC data was reduced using the same pipeline as described above for WASP, but it was additionally stacked using `SWarp` (Bertin 2010) while the calibration was done using the Two Micron All Sky Survey (2MASS) point-source catalog (Skrutskie et al. 2006). We obtained the WIRC data during classical observing runs on an approximately monthly cadence between 2019 January and 2021 December. Due to the fact that the 2MASS Catalog is far shallower ( $J = 15.8$ ,  $H = 15.1$ ,  $Ks = 14.3$   $m_{\text{AB}}$ ; Skrutskie et al. 2006) compared to WIRC's limiting magnitudes ( $J = 22.6$ ,  $H = 22.0$ ,  $Ks = 21.5$ , in AB mag), we obtained reference images with WIRC after the SNe had faded in order to perform reference image subtraction. We perform image subtraction using the HOTPANTS algorithm and obtain aperture photometry using `photutils` (Bradley et al. 2020).

## 3.2. Spectroscopy

### 3.2.1. SEDM

We also used SEDM's low-dispersion ( $R \sim 100$ ) integral field spectrograph (IFU) to obtain classification spectra for several of our objects. The field of view is  $28'' \times 28''$  with a pixel scale of  $0.''125 \text{ pixel}^{-1}$ . The SEDM is fully roboticized from the request submission to data acquisition to image reduction and uploading. The IFU images are reduced using the custom SEDM IFU data reduction pipeline (Blagorodnova et al. 2018; Rigault et al. 2019), which relies on the steps flat fielding, wavelength calibration, extraction, flux calibration, and telluric correction.

### 3.2.2. Double Spectrograph

We obtained low- to medium-resolution ( $R \sim 1000$ –10,000) classification spectra of many of the SNe in our sample with the Double Spectrograph (DBSP; Oke & Gunn 1982) on the P200 telescope. Its plate scale is  $0.''293 \text{ pixel}^{-1}$  (red side) and  $0.''389 \text{ pixel}^{-1}$  (blue side) and the field of view is  $120'' \times 70''$ . The setup included a red grating of 316/7500, a blue grating of 600/400, a D55 dichroic, and slitmasks of  $1''$ ,  $1.5''$ , and  $2''$ . Some of our data was reduced using a custom PyRAF DBSP reduction pipeline (Bellm & Sesar 2016) while the rest were reduced using a custom DBSP Data Reduction pipeline relying on `PypeIt` (Prochaska et al. 2019; Roberson et al. 2022).

### 3.2.3. Low-resolution Imaging Spectrometer

Some of the SNe in our sample also have spectra from the Low-resolution Imaging Spectrometer (LRIS; Oke et al. 1995)

**Table 1**  
Summary of SN Ic-BL Properties, Estimated  $r$ -process Ejecta Mass and Mixing Fraction along with Their  $1\sigma$  Uncertainties, and First Radio/X-Ray Detection

IAU Name	ZTF Name	Type	R.A.	Decl.	$z$	$F_{\nu}^a$ ( $\mu$ Jy)	$F_{0.3-10 \text{ keV}}^b$ ( $10^{-14} \text{ erg cm}^{-2} \text{ s}^{-1}$ )
2018gep	ZTF18abukavn	FBOT <sup>a</sup>	16:43:48.21	+41:02:43.29	0.032	$<34 \pm 4$ (9.7 GHz)	$<9.9$
2018jaw	ZTF18acqphpd	Ic-BL	12:54:04.10	+13:32:47.9	0.047	...	...
2018kva	ZTF18aczqzrj	Ic/Ic-BL	08:35:16.21	+48:19:03.4	0.043	...	...
2019gwc	ZTF19aaxfcpq	Ic-BL	16:03:26.88	+38:11:02.6	0.038	...	...
2019hsx	ZTF19aawqcgj	Ic-BL	18:12:56.22	+68:21:45.2	0.021	$\lesssim 19$ (6.2 GHz)	$6.2^{+2.3}_{-1.8}$
2019moc	ZTF19ablesob	Ic-BL	23:55:45.95	+21:57:19.67	0.056	...	...
2019qfi	ZTF19abzwaen	Ic-BL	21:51:07.90	+12:25:38.5	0.029	...	...
2019xcc	ZTF19adaiomg	Ic-BL	11:01:12.39	+16:43:29.30	0.029	$<62.7 \pm 8.7$ (6.3 GHz)	...
GRB 190829A	...	LLGRB	2:58:10.580	-8:57:29.82	0.077	...	...
2020bvc	ZTF20aalxlis	Ic-BL <sup>b</sup>	14:33:57.01	+40:14:37.5	0.025	$63 \pm 6$ (10 GHz)	$9.3^{+10.6}_{-6.1}$
2020dgd	ZTF20aapcbmc	Ic-BL	15:45:35.57	+29:18:38.4	0.03	...	...
2020lao	ZTF20abbplei	Ic-BL	17:06:54.61	+30:16:17.3	0.031	$\lesssim 33$ (5.2 GHz)	$<2.9$
2020rph	ZTF20abswdbg	Ic-BL	03:15:17.82	+37:00:50.57	0.042	$<42.7 \pm 7.4$ (5.5 GHz)	$<3.6$
2020tkx	ZTF20abzoeiw	Ic-BL	18:40:09.01	+34:06:59.5	0.027	$272 \pm 16$ (10 GHz)	$<3.3$
2021bmf	ZTF21aagtpro	Ic-BL	16:33:29.41	-06:22:49.53	0.017	...	...
2021xv	ZTF21aadatfg	Ic-BL	16:07:32.82	+36:46:46.07	0.041	$<34.3 \pm 8.1$ (5.2 GHz)	...
2021ywf	ZTF21acbnfos	Ic-BL	05:14:11.00	+01:52:52.28	0.028	$83 \pm 10$ (5.0 GHz)	$5.3^{+4.9}_{-3.3}$
2021too	ZTF21abmjgwf	Ic-BL	21:40:54.28	+10:19:30.33	0.035	...	...

**Notes.** In the absence of any X-ray/radio detections, we quote an upper limit; if the source was not observed we mark the cell with an ellipsis. (a) Flux density in microjanskys with the VLA. We list only the first VLA observation at  $\lesssim 50$  days from the first ZTF detection as reported in Corsi et al. (2023). (b) Swift XRT flux in units of  $10^{-14} \text{ erg cm}^{-2} \text{ s}^{-1}$ , taken from Corsi et al. (2023).

<sup>a</sup> This SN Ic-BL is also categorized as an FBOT, and was published in Ho et al. (2019). The quoted radio detection with the VLA could be galaxy dominated.

<sup>b</sup> This SN Ic-BL had a double-peaked light curve from shock cooling; X-ray and radio measurements taken from Ho et al. (2020a).

mounted on the 10 m Keck I telescope. LRIS has a  $6' \times 7'$  field of view and a pixel scale of  $0''.135 \text{ pixel}^{-1}$ . We used the 400/3400 grism on the blue arm and the 400/8500 grating on the red arm, with a central wavelength of 7830 Å to cover the bandpass from 3200–10000 Å. We used longslit masks of  $1''.0$  and  $1''.5$  width. We typically used an exposure time of 600 s to obtain our classification spectra. The spectra were reduced using LPipe (Perley 2019).

#### 3.2.4. Nordic Optical Telescope

We obtained low-resolution spectra with the Alhambra Faint Object Spectrograph and Camera (ALFOSC)<sup>33</sup> on the 2.56 m Nordic Optical Telescope (NOT) at the Observatorio del Roque de los Muchachos on the island of La Palma (Spain). The ALFOSC has a field of view of  $6''.4 \times 6''.4$  and a pixel scale of  $0''.2138 \text{ pixel}^{-1}$ . The spectra were obtained with a  $1''.0$  wide slit and grism #4. The data were reduced with IRAF and PypeIt. The spectra were calibrated with spectrophotometric standard stars observed during the same night and the same instrument setup.

## 4. Description of the ZTF Candidates

In the section below we include descriptions of all of the 18 candidates with ZTF data that were analyzed in this paper, including details about its discovery, coincident radio, and X-ray data, and any other notable characteristics about the objects. Our literature sample is described in Section 5. Some of these candidates are part of a companion study (Corsi et al. 2023) focusing on the radio properties of SNe Ic-BL; the full ZTF sample of SNe Ic-BL will be presented in G. P. Srinivasaragavan et al. (in preparation). For all Swift

X-Ray Telescope (XRT) fluxes reported from the companion study, we assume a spectral model of a power-law spectrum with the photon index of  $\Gamma = 2$  corrected for Galactic absorption only. The 90% flux upper limits for Swift XRT reported below are calculated by converting counts to flux using the same power-law model. All Swift fluxes have an energy range of 0.3–10 keV. For a more thorough discussion of whether the reported X-ray and radio emission correspond to transient or host-only emission, see Sections 3.4 and 3.5 of Corsi et al. (2023).

The objects described here range from  $M_r = -16.58$  to  $-20.60$  mag and from  $z = 0.017$ – $0.056$  (excluding the LLGRB, at  $z = 0.077$ ). All of the transients included below are ZTF SNe, but we hereafter refer to them by their IAU names. We performed forced photometry (using the Markov Chain Monte Carlo method) for all of the candidates using ForcePhotZTF<sup>34</sup> (Yao et al. 2019).

We found no coincident Fermi, Swift, MAXI, AGILE, or INTEGRAL GRB triggers or serendipitous Chandra or XMM X-ray coverage for these SNe based on their derived explosion dates. Though several candidate counterparts were found in temporal coincidence with the KONUS instrument on the Wind satellite, the explosion epoch uncertainties hinder our ability to make any firm association with the KONUS sources. These objects are summarized in Table 1, and their classification spectra are shown in Figure 1.

#### 4.1. SN 2021ywf

Our first ZTF photometry of SN 2021ywf (ZTF21acbnfos) was obtained on 2021 September 12 (MJD = 59469.47) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of  $20.03 \pm 0.20$ , at  $\alpha = 05^{\text{h}}14^{\text{m}}11^{\text{s}}.00$ ,

<sup>33</sup> <http://www.not.iac.es/instruments/alfosc>

<sup>34</sup> <https://github.com/yaoyuhan/ForcePhotZTF>

$\delta = +01^\circ 52' 52''$  3 (J2000.0). The discovery was reported to TNS on 2021 September 14 (Nordin et al. 2021), with a note saying that the latest nondetection from ZTF was just 1 day prior to the discovery ( $r = 20.2$  mag). The high cadence around discovery allows for a well-constrained explosion date. With power-law fits to the early  $g$ - and  $r$ -band data, we estimate the explosion date as  $\text{MJD}_{\text{explosion}}^{\text{SN2021ywf}} = 59467.70 \pm 0.2$  (see below).

We classified the transient as a Type Ic-BL using a spectrum from P200+DBSP obtained on 2021 September 27 (Chu et al. 2021). The first spectrum was actually obtained using P60+SEDM. However, the quality of that spectrum was not good enough to warrant a classification. SN 2021ywf exploded in the outskirts of the spiral galaxy CGCG 395-022 with a well-established redshift of  $z = 0.028249$ , which corresponds to a luminosity distance of 127.85 Mpc and a distance modulus of 35.534. This redshift is confirmed with narrow host lines in our classification spectrum.

On 2021 September 30, SN 2021ywf was detected ( $3.2\sigma$  significance) both with the Swift XRT with  $5.3_{-3.3}^{+4.9} \times 10^{-14}$  erg cm $^{-2}$  s $^{-1}$  in a 7.2 ks observation, and with the Very Large Array (VLA) at  $83 \pm 10 \mu\text{Jy}$  at 5.0 GHz (see Corsi et al. 2023 for details).

#### 4.2. SN 2021xv

Our first ZTF photometry of SN 2021xv (ZTF21aadatfg) was obtained on 2021 January 10 (MJD = 59224.52) with the P48 telescope. The transient was discovered in the public ZTF alert stream and reported by ALeRCE (Forster 2021). This first detection was in the  $r$  band, with a host-subtracted magnitude of 19.93, at  $\alpha = 16^\circ 07' 32'' 82$ ,  $\delta = +36^\circ 46' 46'' 07$  (J2000.0). The discovery was reported to TNS (Forster et al. 2021), with a note saying that the last nondetection was 3 days before the discovery (on 2021 January 7 at  $r = 19.52$  mag). We classified the transient as a Type Ic-BL using a spectrum from the NOT+ALFOSC obtained on 2021 Jan 25 (Schulze & Sollerman 2021). The transient appears to be associated with the galaxy host SDSS J160732.83+364646.1. We measured a redshift of  $z = 0.041$  from the narrow host lines in the NOT spectrum, corresponding to a luminosity distance of 187.29 Mpc and a distance modulus of 36.363. SN 2021xv was marginally detected with the VLA on 2021 May 19 at  $f_v = 34.3 \pm 8.1 \mu\text{Jy}$  at 5.2 GHz, but the detection is consistent with host galaxy emission (see Corsi et al. 2023 for details).

#### 4.3. SN 2021too

SN 2021too (ZTF21abmjgwf) was reported first by the PS1 Young Supernova Experiment on 2021 July 17 (MJD = 59412.60) with the internal name PS21iap, but the first ZTF alerts are from 2021 July 16. This first detection was in the  $i$  band, with a host-subtracted magnitude of 19.5, at  $\alpha = 21^\circ 40' 54'' 28$ ,  $\delta = +10^\circ 19' 30'' 3$  (J2000.0). The discovery was reported to TNS (Jones et al. 2021). Our last nondetection with ZTF was on 2021 July 16 at  $r = 20.4$  mag. The transient was classified as a Type Ic-BL using a spectrum from EFOSC2-NTT obtained on 2021 August 2 by ePESSTO (Pessi et al. 2021). The object was positioned in the star-forming galaxy SDSS J214054.29+101930.5. We measure a redshift of 0.035 from the narrow host lines in its P200+DBSP spectrum taken on 2021 Aug 7. This corresponds to a luminosity distance of 159.19 Mpc and a distance modulus of 36.01.

#### 4.4. SN 2021bmf

SN 2021bmf (ZTF21aagtpro) was discovered by ATLAS on 2021 January 30 (MJD = 59244.0) with the internal name ATLAS 21djt, and later by ZTF (MJD = 59248.0). This first detection was in the  $o$  band, with a host-subtracted magnitude of 18.12, at  $\alpha = 16^\circ 33' 29'' 41$ ,  $\delta = -06^\circ 22' 49'' 53$  (J2000.0). The discovery was reported to TNS (Tonry et al. 2021), with a note saying that the last nondetection was on 2021 January 16 at  $c = 18.4$  mag. The transient was classified as a Type Ic-BL using a spectrum from ePESSTO obtained on 2021 February 3 (Magee et al. 2021). SN 2021bmf was found in the faint host galaxy SDSS J163329.48-062249.9, which was determined to be at  $z = 0.0175$  based on narrow host lines in the Keck I LRIS spectrum taken on 2021 July 9, which corresponds to a luminosity distance of 78.57 Mpc and a distance modulus of 34.476.

#### 4.5. SN 2020tkx

Our first ZTF photometry of SN 2020tkx (ZTF20abzoeiw) was obtained on 2020 September 16 (MJD = 59108.26) with the P48 telescope. This first detection was in the  $g$  band, with a host-subtracted magnitude of  $18.09 \pm 0.08$ , at  $\alpha = 18^\circ 40' 09'' 01$ ,  $\delta = +34^\circ 06' 59'' 5$  (J2000.0). The discovery was made by Gaia 2 days earlier (Hodgkin et al. 2020). The last ZTF nondetection is from 2021 September 7, a full week before the discovery, and the constraints on the explosion date are therefore imprecise.

The transient was classified as a Type Ic-BL by Srivastav et al. (2020) based on a spectrum from the Spectrograph for the Rapid Acquisition of Transients (SPRAT) on board the Liverpool Telescope, obtained on 2020 September 18. Our sequence of P60 spectra taken in 2020 confirms this classification.

SN 2020tkx exploded in a faint host galaxy without a known redshift. Using the spectral template fitting SNID for our best NOT+ALFOSC spectrum taken on 2020 November 18, the redshift can be constrained to  $z \sim 0.02$ –0.03, and our adopted redshift of  $z = 0.027$  is based on a weak, tentative H $\alpha$  line from the host galaxy in the spectrum. The adopted redshift translates to a luminosity distance of 122.09 Mpc and a distance modulus of 35.433.

The object has an upper limit of  $<3.3 \times 10^{-14}$  erg cm $^{-2}$  s $^{-1}$  with the Swift XRT (8.1 ks exposure) on 2020 October 3, 8.9 days after the peak light. SN 2020tkx was detected with the VLA at  $286 \pm 15 \mu\text{Jy}$  (10 GHz) on 2021 September 25 (see Corsi et al. 2023 for more details).

#### 4.6. SN 2020rph

Our first ZTF photometry of SN 2020rph (ZTF20abswdbg) was obtained on 2020 August 11 (MJD = 59072.49) with the P48 telescope. The transient was discovered in the public ZTF alert stream and reported by ALeRCE. This first detection was in the  $r$  band, with a host-subtracted magnitude of 20.36, at  $\alpha = 03^\circ 15' 17'' 82$ ,  $\delta = +37^\circ 00' 50'' 57$  (J2000.0). The discovery was reported to TNS (Forster et al. 2020a), with the last nondetection just 1 hr before the discovery at  $r = 19.88$  mag. We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2020 August 24 (Dahiwale & Fremling 2020a). The SN was found offset from the galaxy WISEA J031517.67+370055.3. We measure a redshift of  $z = 0.042$  based on a Keck I LRIS spectrum taken on 2020 October 19, which corresponds to a luminosity distance of

192.0 Mpc and a distance modulus of 36.42. SN 2020rph has a Swift XRT upper limit of  $f < 3.6 \times 10^{-14}$  erg cm $^{-2}$  s $^{-1}$  on 2020 August 27, 3.5 days after the peak, in a 7.5 ks observation. It is detected with the VLA at  $42.7 \pm 7.4 \mu\text{Jy}$  (5.5 GHz) 1 day later, but the detection is consistent with host galaxy emission (see Corsi et al. 2023 for details).

#### 4.7. SN 2020lao

Our first ZTF photometry of SN 2020lao (ZTF20abbplei) was obtained on 2020 May 25 (MJD = 58994.41) with the P48 telescope. This first detection was in the  $g$  band, with a host-subtracted magnitude of  $19.69 \pm 0.10$ , at  $\alpha = 17^{\text{h}}06^{\text{m}}54^{\text{s}}.61$ ,  $\delta = +30^{\circ}16'17''$  3 (J2000.0). The discovery was reported to TNS on the same day (Forster et al. 2020b). The field was well covered both before and after this first detection, and the P60 telescope was immediately triggered to provide  $ugr$  photometry 1.4 hr after the first detection. The high cadence around the discovery allows for a well-constrained explosion date. With power-law fits to the early  $g$  and  $r$  data, we estimate the explosion date as  $\text{MJD}_{\text{explosion}}^{\text{SN2020lao}} = 58993.07 \pm 0.75$ .

SN 2020lao was also reported in a paper by the Transient Exoplanet Satellite Survey (TESS; Valley et al. 2021) with high cadence photometry. The TESS paper finds a slightly different rise time ( $13.5 \pm 0.22$  days) relative to our ZTF observations; however, this can be attributed to their broad peak and bandpass that may also contain NIR flux. On the other hand, we find that our narrow  $i$ -band peak is consistent with our estimated  $r$ -band peak.

Our first spectrum of this event was obtained with P60+SEDM on 2020 May 26. It was mainly blue and featureless and did not warrant any classification. We obtained several more inconclusive spectra the following days, and the transient was finally classified as a Type Ic-BL by the Global SN Project on 2020 June 2 (Burke et al. 2020). Our subsequent P60+SEDM and NOT+ALFOSC spectra taken in 2020 confirmed this classification based on its broad Fe II features.

SN 2020lao exploded in the face-on spiral galaxy CGCG 169-041 with a well-established redshift of  $z = 0.030814$ , which corresponds to a luminosity distance of 141.3 Mpc and a distance modulus of 35.8. This redshift is confirmed with narrow host lines in our later spectra.

On 2020 June 7, 3.5 days after the peak light, we obtained an upper limit on the Swift XRT flux of  $f < 2.9 \times 10^{-14}$  erg cm $^{-2}$  s $^{-1}$  (14 ks).

#### 4.8. SN 2020dgd

Our first ZTF photometry of SN 2020dgd (ZTF20aapcbmc) was obtained on 2020 February 19 (MJD = 58898.52) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of 18.99, at  $\alpha = 15^{\text{h}}45^{\text{m}}35^{\text{s}}.57$ ,  $\delta = +29^{\circ}18'38''$  4 (J2000.0). The discovery was reported to TNS (Nordin et al. 2020), with a note saying that the last nondetection was 5 days before the discovery (on 2020 February 14 at  $r = 20.03$  mag). We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2020 March 5 (Dahiwale & Fremling 2020b). The transient appears to be separated by 14'' from any visible host galaxy in the vicinity; however, with a Keck I LRIS spectrum taken on 2020 June 23 in the nebular phase (not shown in Figure 1), we measure weak host lines at a redshift of  $z = 0.032$ , corresponding to a distance of 145.2 Mpc and a distance modulus of

35.8. In addition, the LRIS spectrum of the SN exhibits strong Ca II emission features.

#### 4.9. SN 2020bvc

Our first ZTF photometry of SN 2020bvc (ZTF20aalxlis) was obtained on 2020 February 4 (MJD = 58883.0) with the P48 telescope. This first detection was in the  $i$  band, with a host-subtracted magnitude of 17.48, at  $\alpha = 14^{\text{h}}33^{\text{m}}57^{\text{s}}.01$ ,  $\delta = +40^{\circ}14'37''$  5 (J2000.0). SN 2020bvc, originally reported in Ho et al. (2020a), shows very similar optical, X-ray, and radio properties to SN 2006aj, which was associated with the low-luminosity GRB 060218. See Ho et al. (2020a) for more details about this object.

#### 4.10. SN 2019xcc

Our first ZTF photometry of SN 2019xcc (ZTF19adaiomg) was obtained on 2019 December 19 (MJD = 58836.48) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of  $19.40 \pm 0.13$ , at  $\alpha = 11^{\text{h}}01^{\text{m}}12^{\text{s}}.39$ ,  $\delta = +16^{\circ}43'29''$  1 (J2000.0). The discovery was reported to TNS on the same day (Forster et al. 2019), with a note saying that the latest nondetection from ZTF was 5 days prior to the discovery ( $r = 19.3$ ). This transient has very sparse light curves with only four data points from the P48 telescope in the alert stream, all in the  $r$  band, but forced photometry also retrieved detections in the  $g$  band.

The transient was classified as a Type Ic-BL by Prentice et al. (2019), based on a spectrum from SPRAT on the Liverpool Telescope obtained on 2019 December 20. We could confirm this classification with a spectrum from the Keck telescope a few days later, using the LRIS instrument.

SN 2019xcc exploded close to the center of the face-on grand spiral CGCG 095-091 with a well-established redshift of  $z = 0.028738$ , which corresponds to a luminosity distance of 129.8 Mpc, and a distance modulus of 35.6. This redshift is confirmed with narrow host H $\alpha$  in our Keck spectrum.

#### 4.11. SN 2019qfi

SN 2019qfi (ZTF19abzwaen) was discovered by ATLAS on 2019 September 7 (MJD = 58743.29) with the internal name ATLAS2019vdc, with the first ZTF alerts around the same time. This first detection was in the  $o$  band, with a host-subtracted magnitude of 18.81, at  $\alpha = 21^{\text{h}}51^{\text{m}}07^{\text{s}}.90$ ,  $\delta = +12^{\circ}25'38''$  5 (J2000.0). The discovery was reported to TNS (Tonry et al. 2019a), with a note saying that the last nondetection was 6 days before the discovery at  $o = 18.69$  mag. We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2019 September 21 (Fremling et al. 2019a). SN 2019qfi was identified in the star-forming galaxy SDSS J215107.99+122542.5 with a known spectroscopic redshift of  $z = 0.028$ . This corresponds to a luminosity distance of 129.0 Mpc and a distance modulus of 35.5.

#### 4.12. SN 2019moc

SN 2019moc (ZTF19ablesob) was first reported by ATLAS on 2019 August 4 (MJD = 58699.47) with the internal name ATLAS2019rgu. This first detection was in the  $c$  band, with a host-subtracted magnitude of 18.54, at  $\alpha = 23^{\text{h}}55^{\text{m}}45^{\text{s}}.95$ ,  $\delta = +21^{\circ}57'19''$  67 (J2000.0). However, its first ZTF detection

preceded that of ATLAS, on 2019 July 31. The discovery was reported to TNS (Tonry et al. 2019b), with a note saying that the last nondetection was 6 days before the discovery at  $c = 19.44$  mag. We classified the transient as a Type Ic-BL using a spectrum from the P200 DBSP obtained on 2019 August 10 (Dahiwale et al. 2019). The SN was found in the galaxy SDSS J235545.94+215719.7 with a known spectroscopic redshift of 0.055, corresponding to a luminosity distance of 257.6 Mpc and a distance modulus of 37.1.

#### 4.13. SN 2019gwc

Our first ZTF photometry of SN 2019gwc (ZTF19aaxfcpcq) was obtained on 2019 June 4 (MJD = 58638.28) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of 19.73, at  $\alpha = 16^{\text{h}}03^{\text{m}}26^{\text{s}}88$ ,  $\delta = +38^{\circ}11'02.''6$  (J2000.0). The discovery was reported to TNS (Nordin et al. 2019), with a note saying that the last nondetection was 3 days before the discovery (on 2019 Jun 1 at  $r = 20.98$  mag). We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2019 June 16 (Fremling et al. 2019b). The transient was identified in the star-forming host galaxy SDSS J160326.65+381057.1 at a known spectroscopic redshift of  $z = 0.038$ , corresponding to a distance of 173.2 Mpc, and a distance modulus of 36.2.

#### 4.14. SN 2019hsx

Our first ZTF photometry of SN 2019hsx (ZTF19aawqcgy) was obtained on 2019 June 2 (MJD = 58636.31) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of  $18.62 \pm 0.08$ , at  $\alpha = 18^{\text{h}}142^{\text{m}}56^{\text{s}}22$ ,  $\delta = +68^{\circ}21'45.''2$  (J2000.0). The discovery was reported to TNS (Fremling 2019), with a note saying that the latest nondetection from ZTF was 3 days prior to the discovery (May 30;  $g = 20.3$ ). We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on June 14 (Fremling et al. 2019c). SN 2019hsx exploded fairly close to the center of NGC 6621 with redshift  $z = 0.020652$ . This corresponds to a distance of 92.9 Mpc and a distance modulus of 34.8. SN 2019hsx was detected with a Swift XRT flux of  $6.2_{-1.8}^{+2.3} \times 10^{-14}$  erg cm $^{-2}$  s $^{-1}$  (at  $\sim 6\sigma$ ) in a 15 ks observation on 2019 July 20, 36.7 days after the peak.

#### 4.15. SN 2018kva

Our first ZTF photometry of SN 2018kva (ZTF18aczqzrj) was obtained on 2018 December 23 (MJD = 58475.51) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of 19.08, at  $\alpha = 08^{\text{h}}35^{\text{m}}16^{\text{s}}21$ ,  $\delta = +48^{\circ}19'03.''4$  (J2000.0). The discovery was reported to TNS (Fremling et al. 2018), with a note saying that the latest nondetection was 3 days before the discovery, at  $g = 20.33$  mag. We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2019 January 3 (Fremling et al. 2019d). The object was identified in the host galaxy WISEA J083516.34+481901.2 at a known redshift of  $z = 0.043$ , which corresponds to a luminosity distance of 196.2 Mpc and a distance modulus of 36.5.

#### 4.16. SN 2018jaw

Our first ZTF photometry of SN 2018jaw (ZTF18acqphpd) was obtained on 2018 November 20 (MJD = 58442.51) with the P48 telescope. This first detection was in the  $g$  band, with a host-subtracted magnitude of 18.39, at  $\alpha = 12^{\text{h}}54^{\text{m}}04^{\text{s}}10$ ,  $\delta = +13^{\circ}32'47.''9$  (J2000.0). The discovery was reported to TNS (Nordin et al. 2018), with a note that the object was missing ZTF nondetection limits. We classified the transient as a Type Ic-BL using a spectrum from P60+SEDM obtained on 2018 December 12 (Fremling et al. 2018), and we tentatively estimated its redshift to be  $z = 0.037$ . However, the narrow host lines in the Keck I LRIS spectrum taken on 2019 April 6 indicate that the object is at a redshift of  $z = 0.047$ . This corresponds to a luminosity distance of 168.5 Mpc and a distance modulus of 36.1. SN 2018jaw was identified in the galaxy host WISE J125404.15+133244.9.

#### 4.17. SN 2018gep

Our first ZTF photometry of SN 2018gep (ZTF18abukavn) was obtained on 2018 September 9 (MJD = 58370.16) with the P48 telescope. This first detection was in the  $r$  band, with a host-subtracted magnitude of 20.5, at  $\alpha = 16^{\text{h}}43^{\text{m}}48^{\text{s}}22$ ,  $\delta = +41^{\circ}02'43.''4$  (J2000.0).

SN 2018gep belongs to the class of Fast Blue Optical Transients (FBOTs) with its rapid rise time, high peak luminosity, and blue colors at the peak (Pritchard et al. 2021). It was classified as a Type Ic-BL, whose early multiwavelength data can be explained by late-stage eruptive mass loss. The transient is detected with the VLA over three epochs (9, 9.7, and 14 GHz), but the emission is likely galaxy dominated. See Ho et al. (2019) for more details on the discovery of this SN.

### 5. Literature Sample

In addition to the ZTF SNe in our sample, we examine the Open Supernova Catalog<sup>35</sup> for historical low-redshift SNe Ic-BL with  $\gtrsim 3$  epochs of multi-band NIR photometry concurrent with the optical coverage. Since each SN in the literature sample was observed in a different set of custom bandpasses, we adopted a standard set of filters for these SNe with central wavelengths listed in Table 2 for the purpose of comparison to models. Our requirement for the minimum number of epochs is to probe the color evolution over time, which then can be compared against the  $r$ -process models. We exclude those objects with only NIR observations of the afterglow and early ( $\lesssim 10$  days from the explosion) SN light curve, in the case of a GRB association. We find that SN 1998bw (Patat et al. 2001; Clocchiatti et al. 2011), SN 2002ap (Yoshii et al. 2003; Tomita et al. 2006), SN 2010bh (Olivares et al. 2012), and SN 2016coi (Terreran et al. 2019) match our criteria. We also find that SN 2016jca has extensive optical and NIR follow-up (Cano et al. 2017b; Ashall et al. 2019) but exclude it from further study because the reported NIR photometry is neither host nor afterglow subtracted.

SN 2016coi uniquely shows a huge  $4.5 \mu\text{m}$  excess in the mid-infrared with archival Wide-field Infrared Survey Explorer (WISE) coverage in its late-time light curve. This object also has detections in the  $H$  band past 300 days post-peak, which coincide with the mid-IR (MIR) detections. Given that it also

<sup>35</sup> <https://github.com/astrocatalogs/supernovae>

**Table 2**  
Central Wavelengths for the Optical and NIR Filters Assumed during the Analysis and Fitting of Our Light Curves

Filter	Central Wavelength (Å)
<i>g</i>	4770
<i>r</i>	6231
<i>i</i>	7625
<i>U</i>	3600
<i>B</i>	4380
<i>V</i>	5450
<i>R</i>	6410
<i>I</i>	7980
<i>J</i>	12350
<i>H</i>	16620
<i>K<sub>s</sub></i>	21590
<i>K</i>	21900

has a bright radio counterpart, the MIR excess could be attributed to CO formation in the ejecta (Liljegren et al. 2022), or dust formation due to adiabatic cooling (Omand et al. 2019), or metal cooling in highly mixed SN ejecta (Omand & Jerkstrand 2022). Though we lack model predictions in the MIR bands, we test whether the long-lived NIR emission could also be attributed to *r*-process production.

In addition, Bianco et al. (2014) collected optical and NIR photometry for a set of 61 stripped-envelope SNe that also satisfy our low-redshift cut after conducting template-based subtraction in order to subtract host galaxy emission (for most SNe). Among the SNe in that sample classified as Type Ic-BL, only two SNe have observations in the *J*, *H*, or *K<sub>s</sub>* bands: SN 2007I and SN 2007ce. Similar to the case of our ZTF SNe, during the earlier epochs (< 60 days post-peak) these two SNe have well-sampled optical photometry, while later there is only NIR coverage. The second study, Stritzinger et al. (2018b), acquired optical light curves for 34 stripped-envelope SNe, 26 of which have NIR follow-up in the *YJH* bands as a part of the Carnegie Supernova Project. Explosion and bolometric light-curve properties for some of these SNe were released in a companion paper (Taddia et al. 2018). Of the 26 SNe, only one (SN 2009bb) has adequate coverage at late times in the NIR.

Li et al. (2022) perform detailed blackbody fits to several SNe from the Open SN Catalog that have optical and NIR coverage to search for SNe that show NIR excesses in their SEDs that could be attributed to dust formation. Among the samples they consider, the authors find SN 2007I and SN 2009bb to be consistent with blackbody emission with a slight NIR excess that evolves from a photospheric temperature of  $\sim 5000$  ( $\sim 7000$ ) to 4300 K over the course of 51 (33) days in the case of SN 2007I (SN 2009bb). The same authors find that the spectral energy distribution (SED) of SN 2007ce is inconsistent with a blackbody, though they use only the early-time measurements of the object (at 1.9 days). Furthermore, Li et al. (2022) find no evidence for intrinsic dust formation or significant host extinction to explain their SEDs. In contrast to their study, we note that our analysis includes photometry for these SNe over a much longer baseline taken from Bianco et al. (2014) and Stritzinger et al. (2018b).

For each of the abovementioned SNe, we correct for Galactic extinction where extinction has not been accounted for, and convert from Vega to AB magnitudes. We also correct the light curves for host attenuation for all of these SNe except for SN 1998bw (light curve already corrected for Galactic and host

extinction) and SN 2007ce (lacks host galaxy extinction information); the assumed host  $E(B-V)$  values are listed in Table 3. We include host extinction here as it is significant in the literature SNe. The measurements of total ejecta mass, kinetic energy, and nickel mass for each object are listed in Table 3, along with the appropriate reference we took these estimates from. We include the following seven SNe: SN 1998bw, SN 2002ap, SN 2010bh, SN 2016coi, SN 2009bb, SN 2007I, and SN 2007ce in our analysis, described in Section 8.

## 6. Collapsar Light-curve Models

We model the evolution of the emission from *r*-process-enriched collapsars using the semi-analytic model of Barnes & Metzger (2022). While the details of our method are described there, we present an outline here.

The models comprise a series of concentric shells, whose densities ( $\rho(v)$ ) follow a broken power law in the velocity space

$$\rho(v) \propto \begin{cases} v^{-n}, & v \leq v_t, \\ v^{-\delta} & v > v_t, \end{cases} \quad (1)$$

where we set the power-law index  $n$  ( $\delta$ ) equal to 1 (10). Our density profile, varying with velocity, contrasts with that of Arnett (1987), which uses a one-zone formulation. Such a density profile is necessary to enrich SNe with *r*-process elements out to a particular mixing coordinate, as we describe below. In Equation (1),  $v_t$  is a transition velocity chosen to produce the desired total mass  $M_{\text{ej}}$  and kinetic energy  $E_{\text{kin}}$ , which is parameterized via the average velocity  $v_{\text{ej}} = \sqrt{2E_{\text{kin}}/M_{\text{ej}}}$ . In addition to  $M_{\text{ej}}$  and  $v_{\text{ej}}$ , each model is characterized by its mass of  $^{56}\text{Ni}$ ,  $M_{56}$ , which we assume is uniformly distributed throughout the ejecta (Taddia et al. 2018; Yoon et al. 2019; Suzuki & Maeda 2021). This choice departs from the analytical model of Arnett (1982), which assumes the nickel is centrally located. Furthermore, while the Arnett models do not allow for inefficient deposition of gamma-ray energy, these models calculate gamma-ray deposition based on a gray gamma-ray opacity. Thus, these models do not match the Arnett models at maximum light. Different  $^{56}\text{Ni}$  profiles will also affect the distribution of diffusion times, altering the shape of the bolometric light curve.

We assume that some amount of the  $M_{\text{rp}}$  of the ejecta is composed of pure *r*-process material, and that this material is mixed evenly into the ejecta interior to a velocity  $v_{\text{mix}}$ , which we define such that

$$\int_0^{v_{\text{mix}}} \rho(v) \, dV = \psi_{\text{mix}} M_{\text{ej}}, \quad (2)$$

with  $\psi_{\text{mix}}$  a parameter of the model, and  $dV$  the volume of the ejecta. (In other words, Equation (2) shows that  $\psi_{\text{mix}}$  is the fraction of the total ejecta mass for which the *r*-process mass fraction is nonzero.) By distributing the *r*-process mass within a core of mass  $> M_{\text{rp}}$ , we can account for hydrodynamic (e.g., Kelvin–Helmholtz) instabilities at the wind-ejecta boundary, which may mix the *r*-process-rich disk outflow out into the initially *r*-process-free ejecta.

The *r*-process elements serve as a source of radioactive energy beyond  $^{56}\text{Ni}/\text{Co}$ . More importantly (especially at early times—see Siegel et al. 2019), they impart to the enriched

**Table 3**  
Explosion Properties and Inferred *r*-process Ejecta Masses and Mixing Fractions of Low-redshift SNe with Contemporaneous Optical and NIR Imaging from Our Literature Search

SN	$M_{\text{ej}}$ ( $M_{\odot}$ )	$E_{\text{K}}$ (foe)	$M_{\text{Ni}}$ ( $M_{\odot}$ )	$E(B - V)_{\text{host}}$	$T_{\text{eff}}$ [phase] (K [day])	References
1998bw	10	50	0.4	0.06 <sup>a</sup>	5919 [24.5]	Nakamura et al. (2001); Clocchiatti et al. (2011)
2002ap	2.5–5	4–10	0.07 (0.02)	0.09	5126 [30.5]	Mazzali et al. (2002)
2007ce	2.90 (0.63)	1.85 (0.89)	0.48 (0.01)	0.00	6310 [18.5]	Modjaz et al. (2008) <sup>b</sup>
2007I	6.87 (0.80)	7.63 (1.99)	0.10 (0.00)	0.34	4064 [33.5]	Modjaz et al. (2008) <sup>b</sup>
2009bb	3.4 (0.4)	6.2 (0.8)	0.20 (0.02)	0.540	3584 [22.6]	Taddia et al. (2018)
2010bh	2.21 (0.10)	11.34 (0.52)	0.21 (0.03)	0.30	6102 [23.5]	Olivares et al. (2012)
2016coi	4–7	7–8	0.15	0.00	4727 [32.1]	Terrran et al. (2019)

**Notes.** Where available, we quote the  $1\sigma$  uncertainties on the parameters in parentheses. For SN 1998bw and SN 2002ap, we quote the ranges of explosion parameters corresponding to the best-fitting light-curve models.

<sup>a</sup> Clocchiatti et al. (2011) already corrected for host extinction; we only use the assumed host extinction to correct the NIR photometry.

<sup>b</sup> For SN 2007I and SN 2007ce, as explosion properties were not estimated in the literature, we conducted a light-curve analysis to derive the best-fit properties as described in Section 7.

layers the high opacity (Kasen et al. 2013; Tanaka & Hotokezaka 2013) known to be a unique feature of *r*-process compositions. This high opacity affects local diffusion times and the evolution of the photosphere, thereby altering SN emission relative to the *r*-process-free case.

We model the SED from the photospheric ejecta layers ( $r \leq R_{\text{ph}}$ ) as a blackbody, and integrate it to get the bolometric luminosity, given by

$$L = 4\pi R_{\text{ph}}^2 \sigma_{\text{SB}} T_{\text{ph}}^4 \quad (3)$$

with  $\sigma_{\text{SB}}$  the Stefan–Boltzman constant. The opacity in our model is gray and defined for every zone, allowing a straightforward determination of the photospheric radius  $R_{\text{ph}}$ . The photospheric temperature  $T_{\text{ph}}$  is then chosen so the right-hand side of Equation (3) is equal to the luminosity emerging from behind the photosphere, which is an output of our calculation.

Since we are equally interested in SN signals beyond the photospheric phase, we also track emission from the optically thin regions of the ejecta. These are assumed to have an SED determined by their composition. The *r*-process-free layers conform to expectations set by the observed SNe (e.g., Hunter et al. 2009). For enriched layers, we rely on theoretical studies of nebular-phase *r*-process transients (Hotokezaka et al. 2021). The radioactive heating, opacity, photospheric, and nebular SEDs of each model are thus fully determined, allowing us to predict light curves and colors as a function of time.

## 7. Analysis

In the sections below, for the analysis and fitting of our light curves, we assume the central wavelengths for the optical and NIR bandpasses listed in Table 2, ignoring any small differences due to nonstandard filters.

### 7.1. Estimation of the Explosion Properties

The combination of using both a volume-limited and a magnitude-limited survey for the discovery of SN Ic-BL yielded SNe with a diverse range of absolute magnitudes. In Table 1, we summarize the SNe in our sample, which have redshifts ranging from 0.01–0.05 and the peak *r*-band absolute magnitudes from  $M_r \sim -17$  to  $\sim -19$  mag. For the purpose of this analysis, we consider distance uncertainty to have a

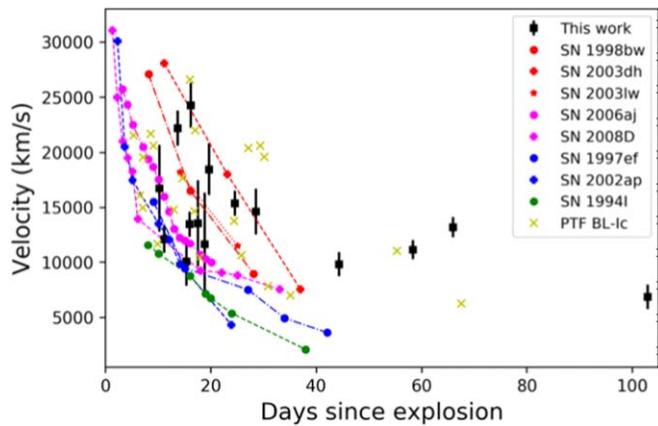
negligible effect on our estimation of the explosion properties (the SNe we are fitting have a distance uncertainty of  $< 5$  Mpc). Here, we summarize our process for deriving explosion parameters (i.e., total ejecta mass, kinetic energy, and nickel mass) from these SN light curves.

The details of the methodology behind our analysis of the bolometric light curves in this sample are described at length in a companion paper, Corsi et al. (2023), though only a subset of our sample is included in the companion paper. This analysis is done with the open-source code HAFFET<sup>36</sup> (Yang & Sollerman 2023). First, we correct the light curves for Milky Way extinction, and then derive bolometric light curves from the *g*- and *r*-band photometry after calculating bolometric corrections from the empirical relations given in Lyman et al. (2014, 2016). Despite the diversity in SNe Ic-BL colors and temporal evolution, Lyman et al. (2016) found that the variation in the bolometric magnitude was  $< 0.1$  mag; thus, we consider the Lyman+ relations to be valid for our SN sample. We estimate the explosion epoch with power-law fits unless the early-time SN data are limited, in which case the explosion times are set as the midpoint between the last nondetection before the discovery and the first ZTF detection. We then fit the bolometric light curves to the Arnett models (Arnett 1982) between –20 and 60 days from the peak to obtain the  $^{56}\text{Ni}$  mass,  $M_{56}$ , and the characteristic timescale of  $\tau_m$ .  $\tau_m$  is calculated from  $M_{\text{ej}}$ , the kinetic energy  $E_{\text{K}}$ , and the ejecta opacity  $\kappa$ , which is assumed to be a constant ( $0.07 \text{ cm}^2 \text{ g}^{-1}$ ; Chugai 2000; Barbarino et al. 2020; Tartaglia et al. 2021). The uncertainties on our explosion epochs propagate into the uncertainties on  $\tau_m$ ,  $M_{\text{ej}}$ , and  $M_{56}$ . The early-time optical light curves of typical SNe Ic-BL are well approximated by the Arnett model, which describes the  $^{56}\text{Ni}$ -powered light curve during the SN’s photospheric phase.

For each of the SNe, we estimate the photospheric velocity ( $v_{\text{ph}}$ ) using the earliest high-quality spectrum taken of the object. We use the IDL routine WOMBAT to remove host galaxy lines and tellurics, and then smooth the spectrum using SNSpecFFTsmooth (Liu et al. 2016). The broad Fe II feature at  $5169 \text{ \AA}$  is considered to be a proxy for the photospheric velocity of a Type Ic-BL SN (Modjaz et al. 2016). Thus we use the open-source code SESNspectraLib<sup>37</sup> (Liu et al. 2016;

<sup>36</sup> <https://github.com/saberyoung/HAFFET>

<sup>37</sup> <https://github.com/nyusngroup/SESNspectraLib>



**Figure 2.** SN velocities measured from the Fe II 5169 Å line as a function of the spectroscopic phase for each SN in our sample (black points) plotted along with the measured velocities of SNe Ic-BL from the literature and from the PTF sample (Taddia et al. 2018). The velocities we measure here are broadly consistent with both the literature and the PTF sample.

Modjaz et al. 2016) to fit for the Fe II velocity by convolving with SN Ic templates. The velocities were measured at different phases for each SN, as shown in Figure 2.

We then estimate the kinetic energy,  $E_k$ , and the total ejecta mass  $M_{ej}$  of the explosion using our derived values for  $\tau_m$  and  $v_{ph}$  and the empirical relations from Lyman et al. (2016). In some cases where  $v_{ph}$  was only measured  $>15$  days after the peak, we could only quote lower limits on the kinetic energy and ejecta mass of the explosion.

The explosion properties we derive are given in Table 4.

### 7.2. Comparing Color–Color Predictions to Observations

Optical-NIR colors are a useful diagnostic to determine whether SNe Ic-BL could be potential sites of  $r$ -process production. The high opacity of  $r$ -process elements causes emission from the enriched regions to shift to redder wavelengths.

In Figure 3, we plot colors with respect to the  $r$  band as  $r - X$  ( $X = J, H, K_s$ ) for  $r$ -process enriched models corresponding to the following parameters: *high mass, high velocity*,  $M_{ej} = 7.93 M_\odot$ ,  $\beta_{ej} = 0.25c$ , and  $M_{56} = 0.85 M_\odot$  (solid line), *medium mass, medium velocity*,  $M_{ej} = 2.62 M_\odot$ ,  $\beta_{ej} = 0.038c$ , and  $M_{56} = 0.39 M_\odot$  (dotted line), and *low mass, low velocity*,  $M_{ej} = 1.00 M_\odot$ ,  $\beta_{ej} = 0.033c$ , and  $M_{56} = 0.07 M_\odot$  (dashed line). This set of models illustrates how different combinations of assumed parameters affect the color curves. These specific model grids were used to fit the light curves of three objects in our sample and represent the broad range of explosion parameters derived for our SNe.

We use these color evolution predictions from the models to compare against the optical-NIR colors of our SNe. Our  $r - X$  color measurements rely on two different methods: if there is an optical data point within 3 days of the NIR data point, we compute the color difference directly (filled circles), otherwise, we estimate the color by subtracting the NIR photometry from a scaled and shifted optical template (open circles). We construct this template from the light curve of SN 2020bvc, one of the SNe with the most well-sampled light curves, and then compute the shift and scale factors needed for the template to fit the data. For the cases in which the optical model does not fit the optical light curve perfectly, there can be a systematic offset between the open and closed circles. For example, the

estimated  $r - K_s$  color of SN 2019xcc (Figure 3, bottom panel) is  $>1$  mag, but this is likely attributed to the fact that there is no concurrent optical photometry along with the  $K_s$ -band data point, and the optical light curve fades much faster than that of SN 2020bvc.

The predicted  $r - J$  colors for  $r$ -process collapsar light-curve models range from  $r - J \sim -0.5$  to  $\sim 1.5$  mag. In the lefthand-side panels of Figure 3, we fix the mixing fraction to a moderate value of  $\psi_{mix} = 0.3$  and vary the amount of  $r$ -process ejecta mass. In the right-hand side panels, we fix the  $r$ -process ejecta mass to  $0.01 M_\odot$  and vary the mixing fraction. The amount of reddening in the model light curves is more strongly affected by the amount of mixing assumed; even for the lowest value of  $M_{rp}$ , we find prolific reddening predictions for high mixing fractions relative to models with moderate mixing fractions and high  $r$ -process yield.

However,  $r$ -process enrichment is not the only factor affecting colors; unenriched SN models also have a range of colors, depending on their masses, velocities, and nickel production. Even among different models with an identical  $r$ -process composition, color evolution can be sensitive to the explosion properties assumed. Here, the high-mass, high-velocity model set also shows the most dramatic reddening predictions for models that have extreme mixing; in general, higher-mass models tend to show larger  $r - X$  colors.

Late-time interaction with the circumstellar medium (CSM) is also known to affect the color evolution of SNe (Ben-Ami et al. 2014; Kuncarayakti et al. 2022). While this is a rare phenomenon in SNe Ic-BL, SN 2022xxf showed evidence for a clear double-peaked light curve and narrow emission-line profiles in the later-phase spectra characteristic of interaction with a H/He-poor CSM (Kuncarayakti et al. 2023). SN 2022xxf also exhibited a dramatic red-to-blue color evolution as a result of the interaction. We do not observe any of the above evidence for CSM interaction in our SNe Ic-BL, and therefore consider it unlikely that interaction could account for bluer colors at later times.

When comparing our color measurements against  $r$ -process models, we find that several of our objects show colors similar to the  $r$ -process models with minimal mixing. However, after 50 days post-peak, our detections and upper limits altogether strongly suggest that our SNe are brighter in the optical compared to the NIR. In particular, as many of our SNe are detected in the  $J$  band over a wide range of phases, we can constrain the  $r - J$  color to  $< -0.5$  after 50 days post-peak. On the other hand, only one object shows  $r - J/H/K_s$  colors  $\sim 0.5$  mag: SN 2007I. In particular, SN 2007I exhibits an increase in its  $r - J$  color until about 60 days.

While these empirical color comparisons can be useful for identifying any obvious reddening signature that could be a smoking gun for  $r$ -process enrichment, more detailed fitting is required to establish whether or not these SNe are  $r$ -process enriched. Hence, in the next section, we describe our detailed model fitting aimed at determining whether there is room for an  $r$ -process contribution to their light curves.

## 8. Results of the Light-curve Model Fitting

To quantitatively determine whether  $r$ -process contribution is required to explain the light curves of SNe Ic-BL, we perform nested sampling fits over multidimensional parameter space spanned by the  $r$ -process-enriched models. However, in order to perform the fitting, we need a distribution over

**Table 4**  
Optical Properties of the SNe BL-Ic in Our Sample

SN	$t_{\text{peak}}$ (MJD)	$M_{\text{peak},r}$ (mag)	$t_{\text{expl}}$ (day)	$M_{\text{Ni}}$ ( $M_{\odot}$ )	$\tau_m$ (day)	$M_{\text{ej}}$ ( $M_{\odot}$ )	$E_{\text{kin}}$ ( $10^{51}$ erg)	$v_{\text{ph}}$ (c)	$T_{\text{eff}}$ [phase] (K [day])
2018jaw	58455.70	-18.63 (0.08)	-18.74 $^{+0.66}_{-0.66}$	0.33 $^{+0.02}_{-0.02}$	13.63 $^{+1.10}_{-1.38}$	>1.41 (0.33)	>0.40 (0.16)	0.022 (0.004)	...
2018kva	58487.05	-18.70 (0.02)	-15.81 $^{+0.49}_{-0.60}$	0.29 $^{+0.01}_{-0.01}$	12.13 $^{+1.06}_{-0.87}$	2.51 (0.39)	3.53 (0.76)	0.051 (0.004)	5431 [47.2]
2019gwc	58650.58	-18.48 (0.01)	-12.78 $^{+0.46}_{-0.46}$	0.22 $^{+0.01}_{-0.01}$	6.96 $^{+0.12}_{-0.15}$	>0.60 (0.05)	>0.44 (0.08)	0.037 (0.003)	5953 [33.6]
2019hsx	58647.07	-17.08 (0.02)	-15.63 $^{+0.38}_{-0.53}$	0.07 $^{+0.01}_{-0.01}$	12.12 $^{+1.10}_{-1.26}$	1.64 (0.43)	0.99 (0.50)	0.033 (0.007)	11002 [36.1]
2019moc	58715.76	-19.16 (0.03)	-20.02 $^{+0.27}_{-0.23}$	0.52 $^{+0.01}_{-0.02}$	10.60 $^{+0.37}_{-0.30}$	2.09 (0.50)	3.48 (1.85)	0.056 (0.013)	8537 [63.0]
2019qfi	58753.56	-18.01 (0.02)	-15.09 $^{+1.40}_{-1.40}$	0.13 $^{+0.01}_{-0.01}$	10.58 $^{+1.44}_{-1.71}$	>1.22 (0.33)	>0.70 (0.24)	0.032 (0.004)	5698 [25.0]
2019xcc	58844.59	-16.58 (0.06)	-10.62 $^{+2.51}_{-2.51}$	0.04 $^{+0.01}_{-0.01}$	5.04 $^{+1.36}_{-0.95}$	0.68 (0.30)	2.40 (1.14)	0.081 (0.007)	...
2020dgd	58914.05	-17.74 (0.02)	-18.03 $^{+2.50}_{-2.50}$	0.13 $^{+0.03}_{-0.03}$	13.68 $^{+3.78}_{-2.70}$	2.81 (1.50)	3.07 (2.42)	0.045 (0.013)	...
2020lao	59003.92	-18.66 (0.02)	-10.60 $^{+0.99}_{-0.99}$	0.23 $^{+0.01}_{-0.01}$	7.71 $^{+0.22}_{-0.21}$	1.22 (0.16)	2.48 (0.71)	0.048 (0.005)	...
2020rph	59092.34	-17.48 (0.02)	-19.88 $^{+0.02}_{-0.02}$	0.07 $^{+0.01}_{-0.01}$	17.23 $^{+1.19}_{-0.89}$	3.83 (1.59)	3.08 (2.81)	0.039 (0.016)	5857 [30.5]
2020tkx	59116.50	-18.49 (0.05)	-12.77 $^{+4.54}_{-4.54}$	0.22 $^{+0.01}_{-0.01}$	10.95 $^{+0.67}_{-0.77}$	>1.75 (0.24)	>1.82 (0.35)	0.044 (0.003)	7116 [32.8]
2021bmf	59265.12	-20.60 (0.04)	-23.76 $^{+5.68}_{-5.52}$	0.98 $^{+0.16}_{-0.17}$	18.08 $^{+6.64}_{-5.94}$	8.05 (5.37)	23.63 (16.14)	0.073 (0.005)	15618 [41.4]
2021too	59434.09	-19.66 (0.02)	-23.23 $^{+0.41}_{-0.41}$	0.92 $^{+0.03}_{-0.03}$	17.67 $^{+0.65}_{-0.66}$	5.06 (0.78)	6.42 (2.09)	0.048 (0.007)	5363 [23.5]
2021xv	59235.56	-18.92 (0.07)	-12.79 $^{+0.24}_{-0.33}$	0.30 $^{+0.01}_{-0.02}$	7.72 $^{+0.66}_{-0.49}$	0.89 (0.15)	0.96 (0.23)	0.045 (0.004)	5969 [13.5]
2021ywf	59478.64	-17.10 (0.05)	-10.67 $^{+0.49}_{-0.49}$	0.06 $^{+0.01}_{-0.01}$	8.87 $^{+0.81}_{-0.81}$	1.06 (0.19)	0.92 (0.26)	0.040 (0.004)	5238 [19.5]

**Note.** The table contains explosion properties of all of the ZTF-discovered SNe in our sample that have not yet been published. Our methods for deriving the quantities given above are described in Section 7. The velocities shown here are measured at various phases, so do not represent the photospheric velocity of the SN at the peak. We report effective temperatures from blackbody fits around  $\sim$ 30 days post-peak for each of the objects that have one or more NIR detections.

functions with a *continuous* domain. Since these *r*-process models are discretely parameterized, we invoke Gaussian process regression (GPR) to predict light curves from the training set (which are the *r*-process-enriched models, in this case) for each linear combination over the continuous ranges of parameters.

We first considered the full grid of *r*-process-enriched models from Barnes & Metzger (2022). For objects for which it was possible to estimate the total ejecta mass and kinetic energy, we select grids where the parameters fall within the following bounds:  $M_{\text{ej}} \in (M_{\text{ej},0} - 3\sigma, M_{\text{ej},0} + 3\sigma)$ ,  $\beta_{\text{ej}} \in (\beta_{\text{ej},0} - 3\sigma, \beta_{\text{ej},0} + 3\sigma)$ , and  $M_{56} \in (M_{56} - 3\sigma, M_{56} + 10\sigma)$ , where  $M_{\text{ej},0}$ ,  $\beta_{\text{ej},0}$ , and  $M_{56}$  are the independently derived explosion properties for the SNe (see Table 4). We changed the upper bound on  $M_{\text{ej}}$  ( $\beta_{\text{ej}}$ ) to  $M_{\text{ej},0} + 10\sigma$  ( $\beta_{\text{ej},0} + 10\sigma$ ) for those SNe for which only a lower limit on those quantities was derived. We use the entire range of parameters in the grid for  $M_{\text{rp}}$  and  $\psi_{\text{mix}}$ .

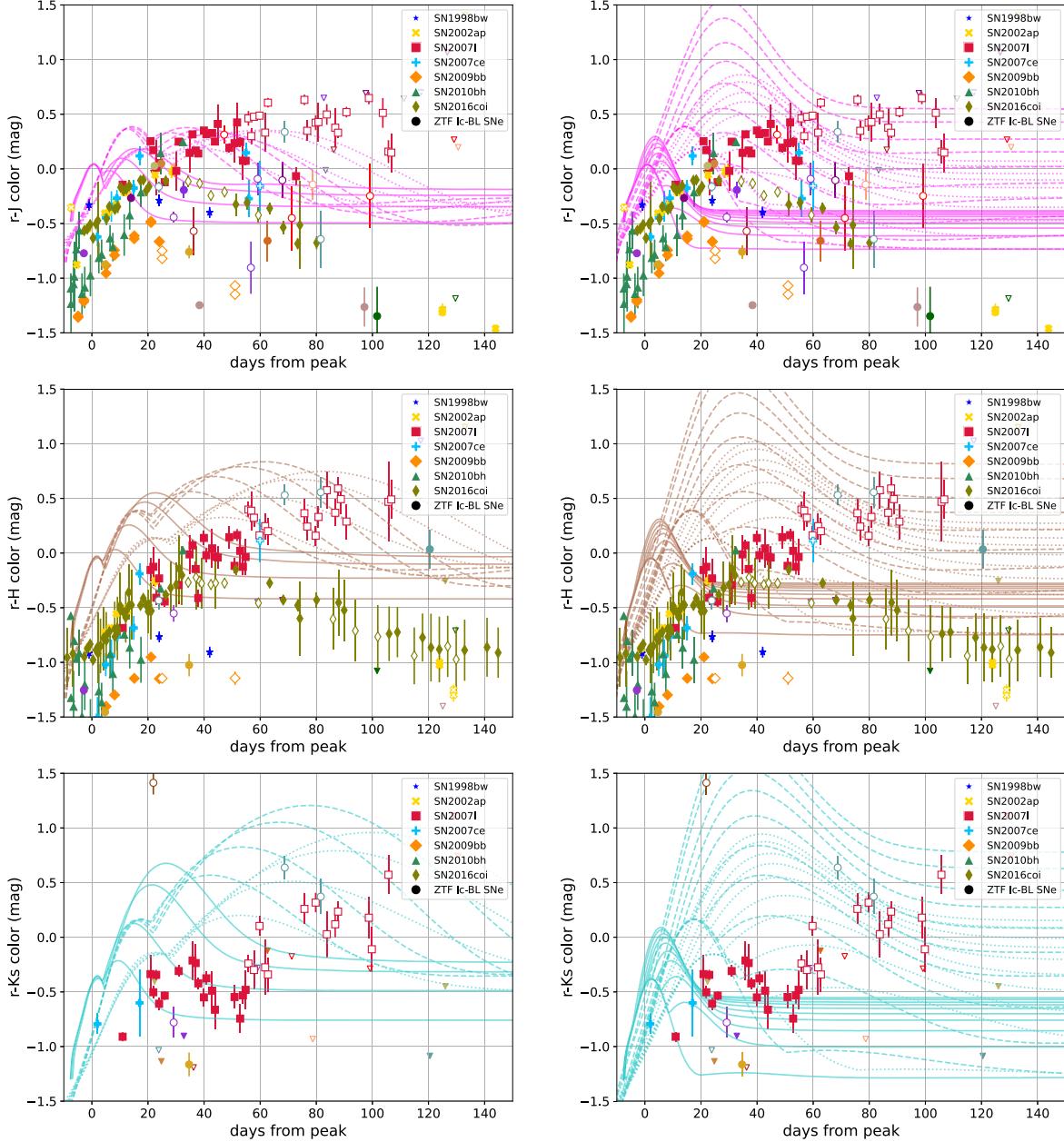
We then perform singular value decomposition on each light curve in the model grid tailored to each SN and interpolate between model parameters using scikit-learn’s GPR package, sampling between  $-5$  and 200 days relative to the SN peak in a similar fashion to Coughlin et al. (2019) and Pang et al. (2022). We allow GPR to interpolate the range of *r*-process ejecta masses and mixing fractions between  $M_{\text{rp}} = 0.01 M_{\odot}$ ,  $\psi_{\text{mix}} = 0.1$  (which are technically the lowest values in the *r*-process-enriched grid) and  $M_{\text{rp}} = 0.00$ ,  $\psi_{\text{mix}} = 0.0$ , though we do not allow it to exceed the maximum values for these quantities (i.e.,  $M_{\text{rp}} \leq 0.15 M_{\odot}$  and  $\psi_{\text{mix}} \leq 0.9$ ). We limit interpolation of the remaining explosion parameters within the maximum and minimum bounds of the original grid. For a given set of explosion parameters ( $M_{\text{ej}}$ ,  $\beta_{\text{ej}}$ ,  $M_{56}$ ), each grid also contains an *r*-process free model.

We compute a likelihood function based on the interpolated light-curve models and our multi-band ZTF forced photometry, follow-up photometry, and WIRC photometry. Since the errors from GPR are small (i.e., they well approximate the original model grid), we assume a systematic fitting uncertainty of 0.5 mag

in the NIR bands and a fitting uncertainty of 1.0 mag in the optical. We converged upon a 1.0 mag systematic uncertainty in the optical after evaluating how different assumed errors affect the fit quality. The difference in the systematic errors is motivated by the fact that the NIR bands, rather than the optical bands, are a stronger determinant of whether there is evidence for *r*-process production. Furthermore, these assumptions on the systematic error compensate for the finer sampling in the optical bands relative to the NIR. In the likelihood calculation, we also impose a condition that rejects samples with a linear least squares fitting error worse than 1.0 mag. For the *r*-process-enriched model fits, our prior also restricts the inference of parameters within the ranges of the grid ( $0.0 \leq x_{\text{mix}} \leq 0.90$ ;  $0.0 M_{\odot} \leq M_{\text{rp}} \leq 0.15 M_{\odot}$ ) and within physical constraints (i.e.,  $M_{\text{rp}} < \psi_{\text{mix}}(M_{\text{ej}} - M_{56})$ ). We impose this upper limit on  $M_{\text{rp}}$  to satisfy the requirement that the *r*-process-enriched core also contains  $^{56}\text{Ni}$  (see Figure 8 of Barnes & Metzger 2022). Finally, we employ PyMultinest’s (Buchner et al. 2014) nested sampling algorithm to maximize the likelihood and converge on the best-fit parameters and their uncertainties.

*Most of the SNe in our sample show no compelling evidence for r-process production.* In our model fits, the general trend we observe is that the best fit consistently underpredicts the peak of the optical light curve, while performing better at predicting the NIR flux. In some cases, the underprediction is egregious, while in other cases it is more modest. In general, an underprediction indicates that the optical-NIR color of the SN is actually *bluer* than predicted by the models, providing stronger evidence for favoring *r*-process-free models over the enriched models. As mentioned earlier, as  $M_{\text{rp}}$  increases, the NIR light curve gets brighter; as  $\psi_{\text{mix}}$  increases, the optical light-curve peak diminishes and the optical flux is more suppressed at later times.

To quantitatively assess the fit quality, we compute  $\chi^2$  values between the best-fit model and the data points. We adopt the convention that if  $\chi^2 > \chi^2_{\text{crit}}$  (at the  $>5\%$  level), we can reject our hypothesis that these SNe are well described by the best-fit



**Figure 3.**  $r - J$ ,  $r - H$ , and  $r - K_s$  color evolution plots for the  $r$ -process-enriched models for a representative set of model parameters, compared to color measurements for the SNe in our sample. Each model is shown in a separate line style, i.e., solid:  $M_{ej} = 7.93 M_{\odot}$ ,  $\beta_{ej} = 0.25c$ ,  $M_{56} = 0.85 M_{\odot}$ , dotted:  $M_{ej} = 2.62 M_{\odot}$ ,  $\beta_{ej} = 0.038c$ ,  $M_{56} = 0.39 M_{\odot}$ , and dashed:  $M_{ej} = 1.00 M_{\odot}$ ,  $\beta_{ej} = 0.033c$ ,  $M_{56} = 0.07 M_{\odot}$ . When possible, the  $r - X$  color of observed SNe was estimated using either concurrent  $r$ -band photometry or the closest optical photometry within 3 days of a given NIR data point (filled markers). Otherwise, the  $r$ -band magnitude is extrapolated from a stretched and scaled light curve of SN 2020bvc (unfilled markers). Left: fixing the mixing fraction to a moderate value of 0.3, we vary  $r$ -process ejecta masses [0.01, 0.03, 0.08, 0.13]  $M_{\odot}$ . Right: fixing the  $r$ -process mass to a conservative value of 0.01  $M_{\odot}$ , we vary the mixing coordinate from 0.1 to 0.9. In general, the objects in our sample appear to have bluer colors relative to the models (with the exception of SN 2007I).

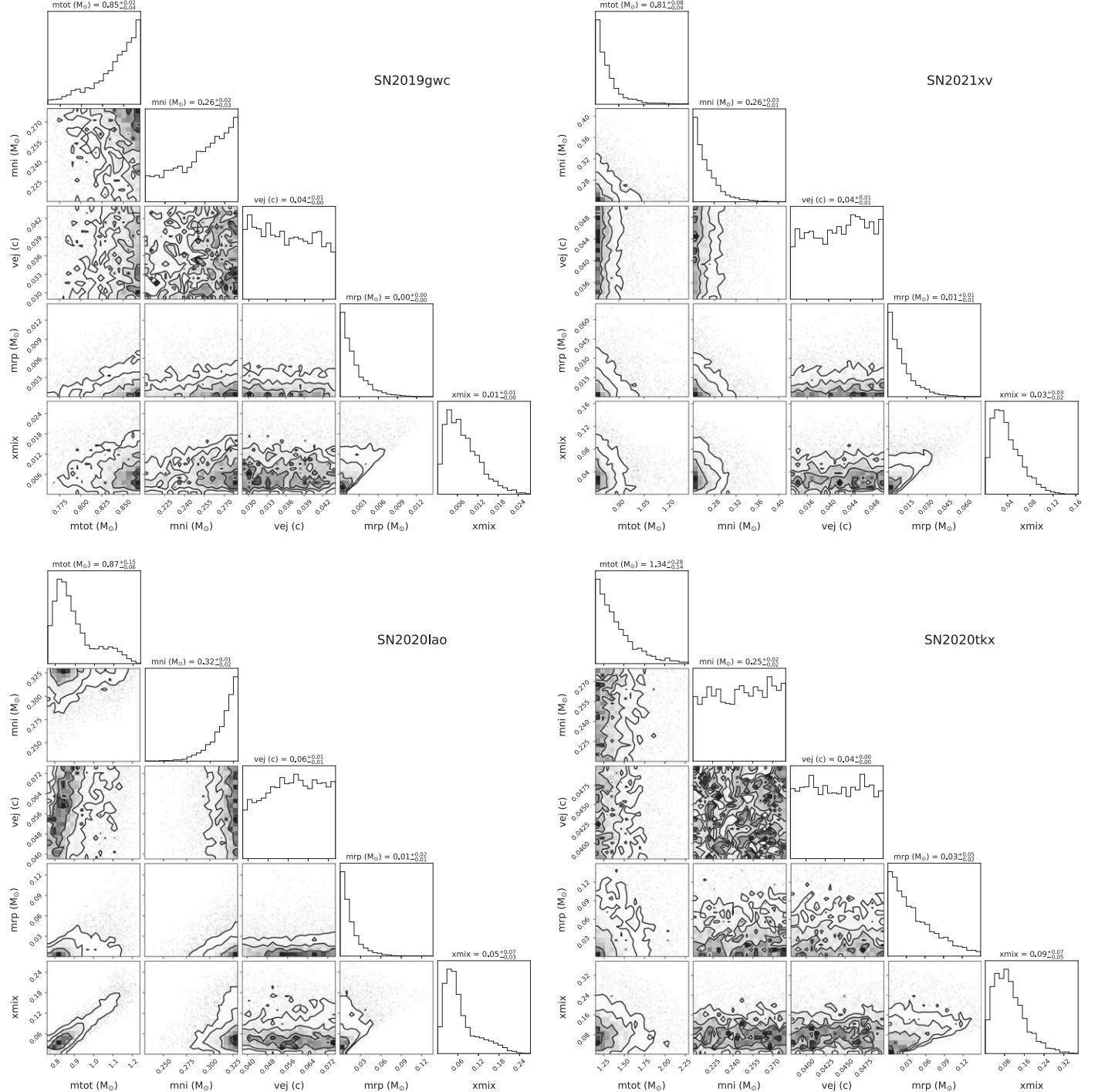
$r$ -process-enriched model. Therefore, given that our fits have 4 degrees of freedom, a  $\chi^2 > 9.49$  is indicative that the  $r$ -process-enriched models are poor fits to the data. Applying this criterion suggests that SN 2018gep, SN 2019xcc, and SN 2020rph are very unlikely to harbor  $r$ -process material in their ejecta.

Similarly, we select the subset of objects for which  $\chi^2 < \chi^2_{\text{crit}}$  for a  $p$ -value of 0.90 ( $\chi^2_{\text{crit}} = 1.06$ , for 4 degrees of freedom). Based on their  $\chi^2$  values, SN 1998bw, SN 2007ce, SN 2018kva, SN 2019gwc, SN 2020lao, SN 2020ttx, SN 2021xv, and SN 2021bmf show the most convincing fits to the  $r$ -process-enriched models. Upon visual inspection of the remainder of the

light-curve fits, we find that none of the other objects are well described by the  $r$ -process model predictions. We display the corner plots showing the posterior probability distributions on the derived parameters in Figure 4 for the objects passing our  $\chi^2$  cut, along with the best-fit light curves shown in Figure 5.

### 8.1. $r$ -process Candidate ZTF SNe

Only two of the SNe in this subset have well-constrained parameters derived from the corner plots: SN 2020lao and SN 2021xv. The remainder of the objects have nearly flat posteriors on  $M_{56}$  and  $\beta_{ej}$ . For SN 2019gwc, the peaks of the



**Figure 4.** Corner plots showing the posterior probability distributions for each of the parameters in the *r*-process-enriched models for the subset of objects satisfying our  $\chi^2$  cut, ordered by the amount of *r*-process mass inferred. The posterior probability plots are more well constrained for the objects with low inferred  $M_{rp}$ ; in the remaining cases, the posterior distributions are poorly constrained.  $M_{ej}$  and  $\beta_{ej}$  inferred here are generally in agreement with HAFFET, but discrepancies exist in the amount of nickel mass inferred.

posterior probability distributions for both  $M_{rp}$  and  $\psi_{\text{mix}}$  are consistent with zero. This is supported by the fact that while both the *r*-band and *i*-band light curves are slightly underpredicted by the models, the *J*-band flux is also overpredicted; the observed colors are bluer than a best-fit model with negligible *r*-process. SN 2020lao and SN 2021xv, in turn, have a best-fit value of  $M_{rp} = 0.01 M_\odot$  and  $\psi_{\text{mix}} < 0.1$ . In the case of

SN 2020lao, the optical flux is under-predicted by the models, and there are no NIR detections. On the other hand, for SN 2021xv, the optical models provide a decent fit to the optical data, but the NIR flux is still slightly overpredicted by the models.

SN 2018kva, SN 2019moc, SN 2020tkx, and SN 2021bmf show posterior support for higher *r*-process enrichment.

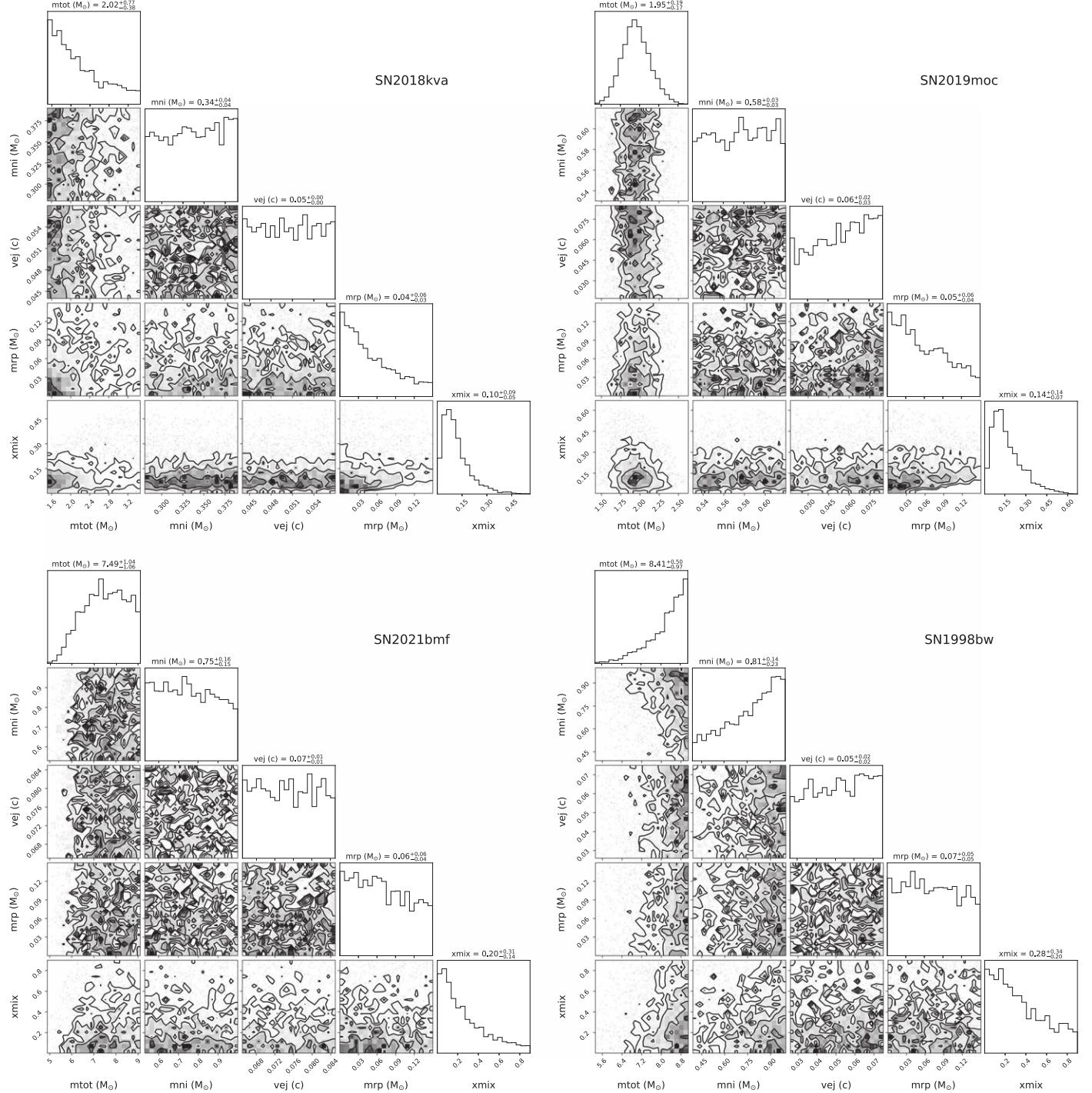


Figure 4. (Continued.)

SN 2020tkx and SN 2018kva have inferred values of  $M_{rp} \sim 0.03 M_{\odot}$  and  $\psi_{mix} \lesssim 0.1$ . For these two objects, the model underpredicts the peak of the optical light curve, though for SN 2018kva the  $J$ -band models fit the corresponding photometry. SN 2020tkx has two NIR detections in each of  $J$ ,  $H$ , and  $K_s$  filters, which are well below the NIR model prediction, demonstrating that its light curve is inconsistent with the  $r$ -process-enriched model. Finally, SN 2019moc and SN 2021bmf have parameter fits consistent with  $M_{rp} \gtrsim 0.03$  and  $\psi_{mix} \gtrsim 0.1$ . Similar to other cases, the best-fit model for SN 2019moc underpredicts its optical light curve. While the

model is consistent with the  $K_s$ -band upper limit, it still overpredicts the  $J$ -band flux. SN 2021bmf has one of the best-sampled optical light curves in our sample, and the model provides a beautiful fit to the optical bands. However, the NIR photometry is still vastly overpredicted by the same model.

## 8.2. *r*-process Candidate Literature SNe

Similarly, the two objects with  $\chi^2$  fits that pass our criteria are SN 1998bw and SN 2007ce. In this category, we also include SN 2007I because it shows more significant

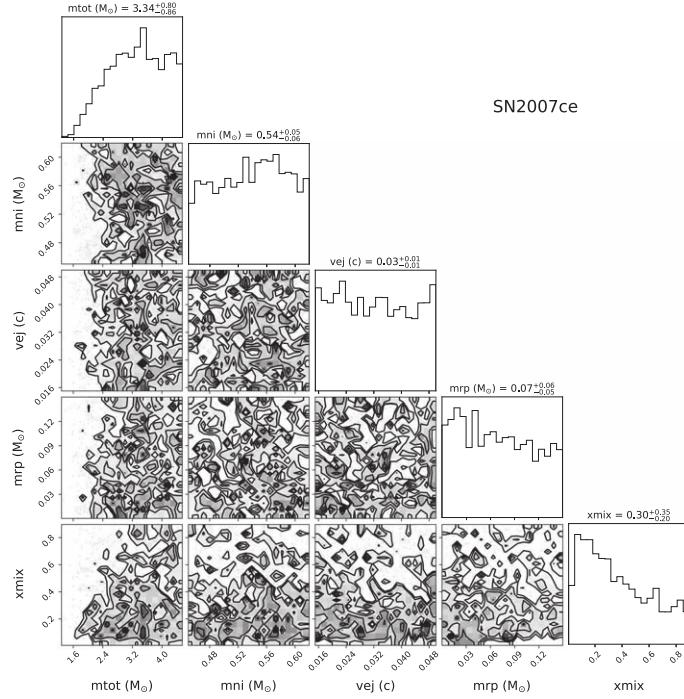
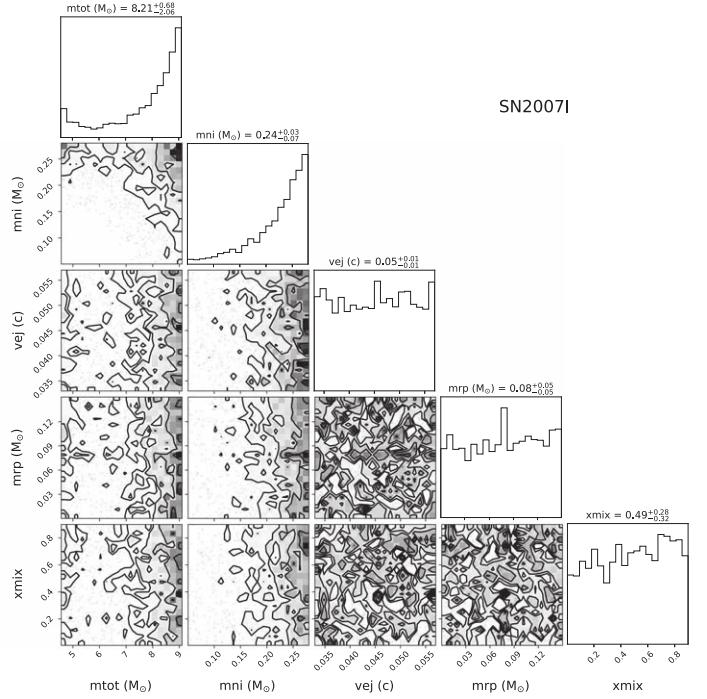


Figure 4. (Continued.)

photometric reddening relative to the other objects in the sample, even though it does not pass our nominal cuts.

The corner plots for these three objects show posterior distributions that are not well constrained. However, all three objects have high predicted values for both  $M_{rp}$  as well as  $\psi_{mix}$ . The light curve fits show the same phenomenon that we identify for the ZTF SN light-curve fits: the peak of the optical light curve is underpredicted, while the NIR data shows better agreement with the models. In the case of SN 1998bw, the low  $\chi^2$  is likely attributed to the fact that the optical data are extremely well sampled, and the model provides a decent fit to its late-time light curve (in the  $B$ ,  $V$ , and  $R$  bands), but the same model does not describe the decay in the NIR flux accurately. The best-fit model for SN 2007ce matches the NIR bands but again underpredicts the optical. For SN 2007I, the  $riJ$ -band fluxes are wholly underestimated, and in the  $HK$  bands, the light curve appears to be declining much slower than predicted by the models.

As emphasized by Barnes & Metzger (2022), color evolution can be a more powerful metric in comparison to absolute magnitude comparisons between the model light curves and data in determining whether an SN Ic-BL harbors  $r$ -process material. We thus plot the color evolution ( $r - J/H/K_s$ ) as a function of time for our two reddest objects, SN 2007I and SN 2007ce. In Figure 6 we show their photometric colors along with their best-fit  $r$ -process-free and  $r$ -process-enriched models. In the shaded regions, we include the  $\pm 1\sigma$  uncertainty on the model parameters from our fits. SN 2007ce's colors appear too blue in comparison with its best-fit  $r$ -process model. We note that the color measurements for this object are secure because of several contemporaneous optical-NIR epochs. Given that it only attains a maximum  $r - X$  color of  $\sim 0.1$  mag 50 days post-peak, we conclude that SN 2007ce is most likely not an  $r$ -process collapsar. SN 2007I is completely inconsistent with the color evolution of its best-fit  $r$ -process model, even within the parameter uncertainties. However, one challenge arises

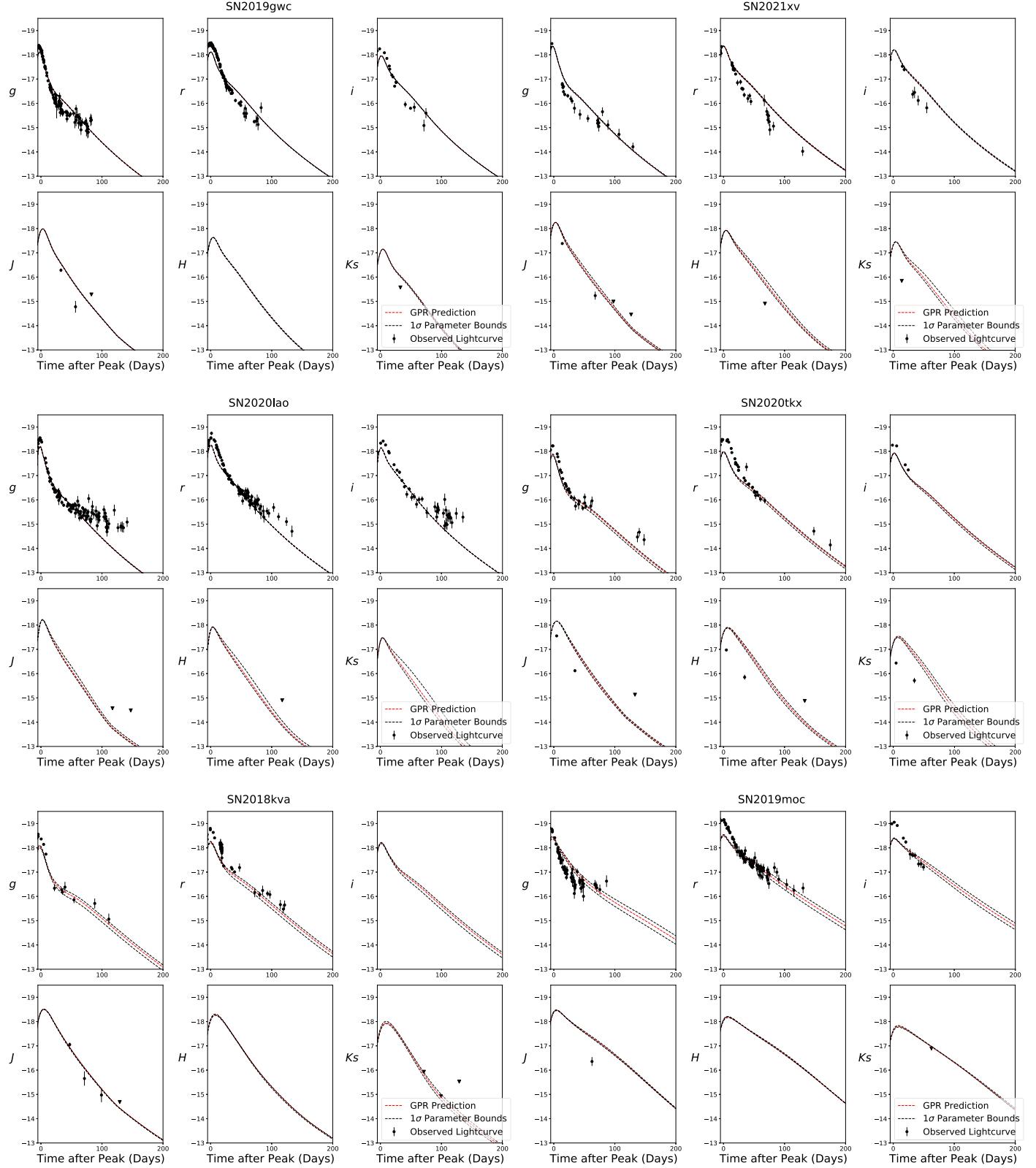


from the fact that in the late time ( $\gtrsim 50$  days post-peak) SN 2007I lacks any optical photometry. Based on our extrapolation of the  $r$ -band light curve of SN 2007I we see evidence for further reddening, which starts to become consistent with the  $r$ -process-enriched model predictions in the late times. Thus, we are unable to rule out the possibility of  $r$ -process production in SN 2007I based on the  $r$ -process fits and the color evolution comparison alone.

### 8.3. Independent Arnett Fits

To supplement our fits to  $r$ -process-enriched models we use HAFFET to construct a bolometric light curve from our optical data and fit to the standard Arnett model (as described in more detail in Section 7). We then calculate broadband light-curve models by fitting bolometric corrections in each band, and using these corrections to rescale the Arnett-fitted bolometric light-curve models. Our fits are shown in Figures 7 and 8.

In order to compare the two models, we compute  $\chi^2$  for each of the broadband light curves in the same way as we did using the  $r$ -process-enriched models. We find that all of the objects, except for SN 2021xv, have lower  $\chi^2$  values with the HAFFET fits compared to the  $r$ -process model fits, inferring that the  $r$ -process-free models are a better descriptor of these SN light curves. Aside from three objects, all other objects pass our criteria of  $\chi^2 < 1.06$  (i.e., well described by the  $r$ -process-free models), and none of them have  $\chi^2 > 9.49$  (i.e., poorly described by the  $r$ -process-free models). Upon visual inspection, we find convincing fits to both the early optical light curves and the NIR light curves of these objects for the  $r$ -process-free models. In the case of SN 2021xv, we note that the  $r$ -process parameter estimation favors little to no  $r$ -process mass and mixing, and the  $r$ -process-enriched models overestimate the NIR flux. Thus, we consider SN 2021xv to still be consistent with an  $r$ -process-free scenario.



**Figure 5.** Plots of light-curve models from Barnes & Metzger (2022) with best-fit parameters (red-dotted line) and corresponding  $1\sigma$  uncertainties (black) with photometric data overplotted, for both ZTF candidates and candidates from the literature shown in Figure 4 that pass our  $\chi^2$  cut, ordered by inferred  $M_{rp}$ . The objects whose optical and NIR photometry are both well described by the models are consistent with  $M_{rp} \lesssim 0.01 M_\odot$ . The remaining objects do not show convincing fits to the  $r$ -process-enriched models.

(The data used to create this figure are available.)

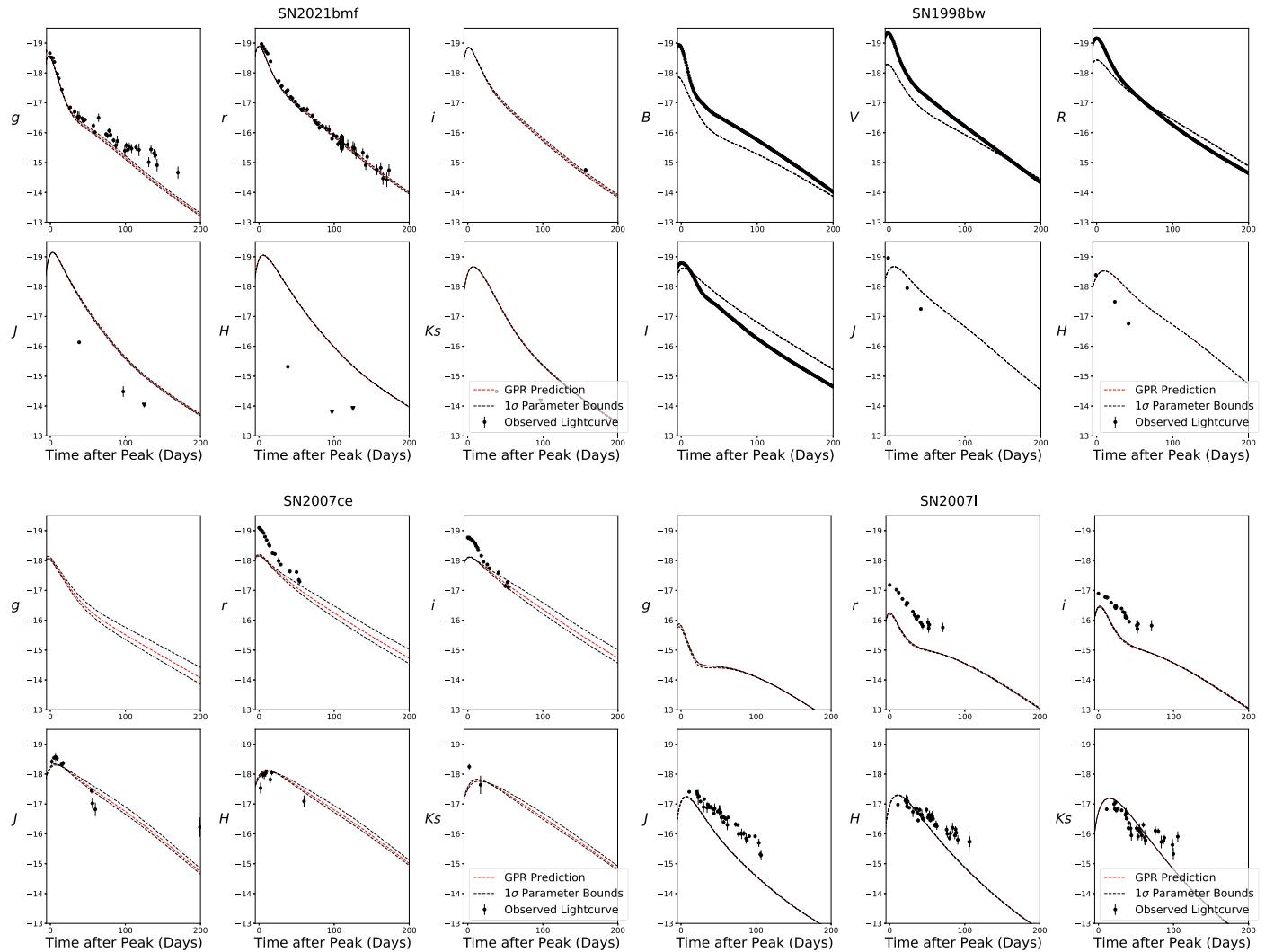


Figure 5. (Continued.)

Furthermore, we derive blackbody effective temperatures for the closest epoch to 30 days post-peak where both optical and NIR photometry are available. The effective temperatures range from 4000 – 15,000 K; the SED colors are well-described by a single-component blackbody at this phase. Based on the quality of our Arnett fits, and the fact that the SED for these SNe in the photospheric phase is well described by a blackbody, we conclude that no *r*-process contribution is needed to explain the color evolution of the objects in our sample, including SN 2007I.

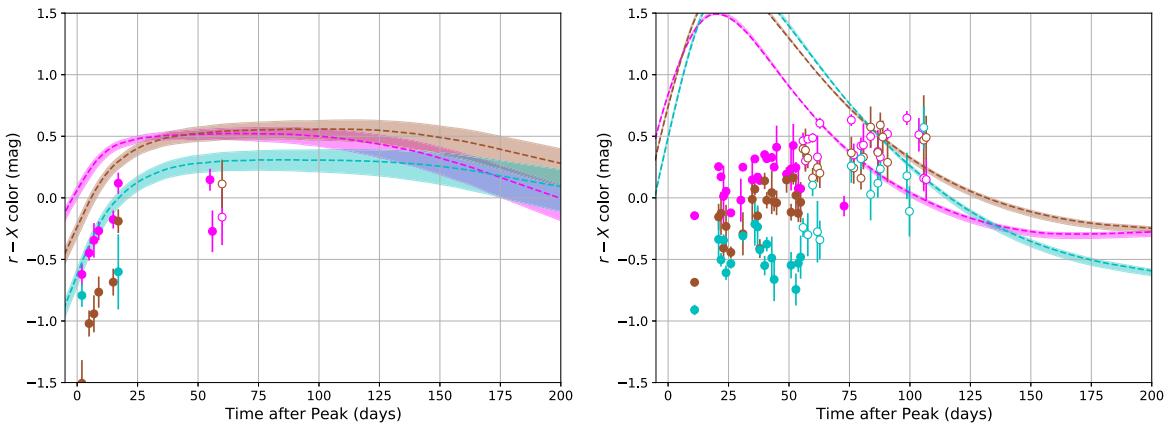
Thus, we find no compelling evidence of *r*-process enrichment in any of the SNe in our sample.

## 9. Discussion and Outlook

From our systematic study in optical and NIR of the SNe Ic-BL associated with collapsars discovered by ZTF and reported in the literature, we do not find any evidence of *r*-process enrichment based on theoretical models that predict observable NIR excesses in the SN light curves. After constructing GPR models from the *r*-process-enriched model grid and performing fitting, the SNe that pass our nominal  $\chi^2$  cuts still do not show convincing fits in both the optical and NIR to the *r*-process-enriched broadband light-curve predictions. On the other hand,

for the *r*-process-free models, when computing broadband light curves from the bolometric corrections, we get compelling fits in both the optical and NIR for each SN. Our single-component blackbody fits at  $\sim$ 1 month after the peak (see Table 4) further suggest that no additional *r*-process enrichment is required to explain the SN SED colors.

Our use of two models, one for *r*-process-free SNe and another for *r*-process-enriched cases, complicates our efforts to derive global constraints on *r*-process production in SNe. To estimate the level of enrichment our analysis is sensitive to, we take the reddest object in our sample that is consistent with the *r*-process-enriched models, and compare the color measurements with the predicted color evolution from the models. To derive these global constraints, we focus on SN 2007ce. Among our samples, SN 2007ce has the highest inferred *r*-process ejecta mass of  $0.07 M_{\odot}$ , while passing the  $\chi^2$  cut (we ignore SN 1998bw, whose extremely well-sampled light curve could be influencing the final  $\chi^2$  value). Though SN 2007I is redder than SN 2007ce, it shows color evolution that is completely inconsistent with the models (see Figure 6) making it unsuitable for deriving *r*-process constraints. In Figure 6 we display the predicted color evolution of the best-fit model bounded by its  $1\sigma$  uncertainties on the parameters, where the



**Figure 6.** Color evolution as a function of time for both SN 2007ce (left) and SN 2007I (right). Similar to Figure 3, the filled circles with error bars represent the  $r - J/H/K_s$  color estimated directly from the data, while the unfilled circles correspond to a stretched and scaled  $r$ -band model of SN 2020bvc used as a proxy to estimate the color at each NIR photometric epoch, in the absence of  $r$ -band photometry. The dashed line represents the color evolution of the best-fit  $r$ -process-enriched model, and the shaded regions encompass the  $\pm 1\sigma$  uncertainty on the model parameters from our fits. Using the same convention as in Figure 3, magenta represents  $r - J$ , brown represents  $r - H$ , and cyan represents  $r - K_s$ . As shown here, the color evolution of both SN 2007I and SN 2007ce appear to be inconsistent with their best-fitting  $r$ -process-enriched model colors and associated  $1\sigma$  uncertainties.

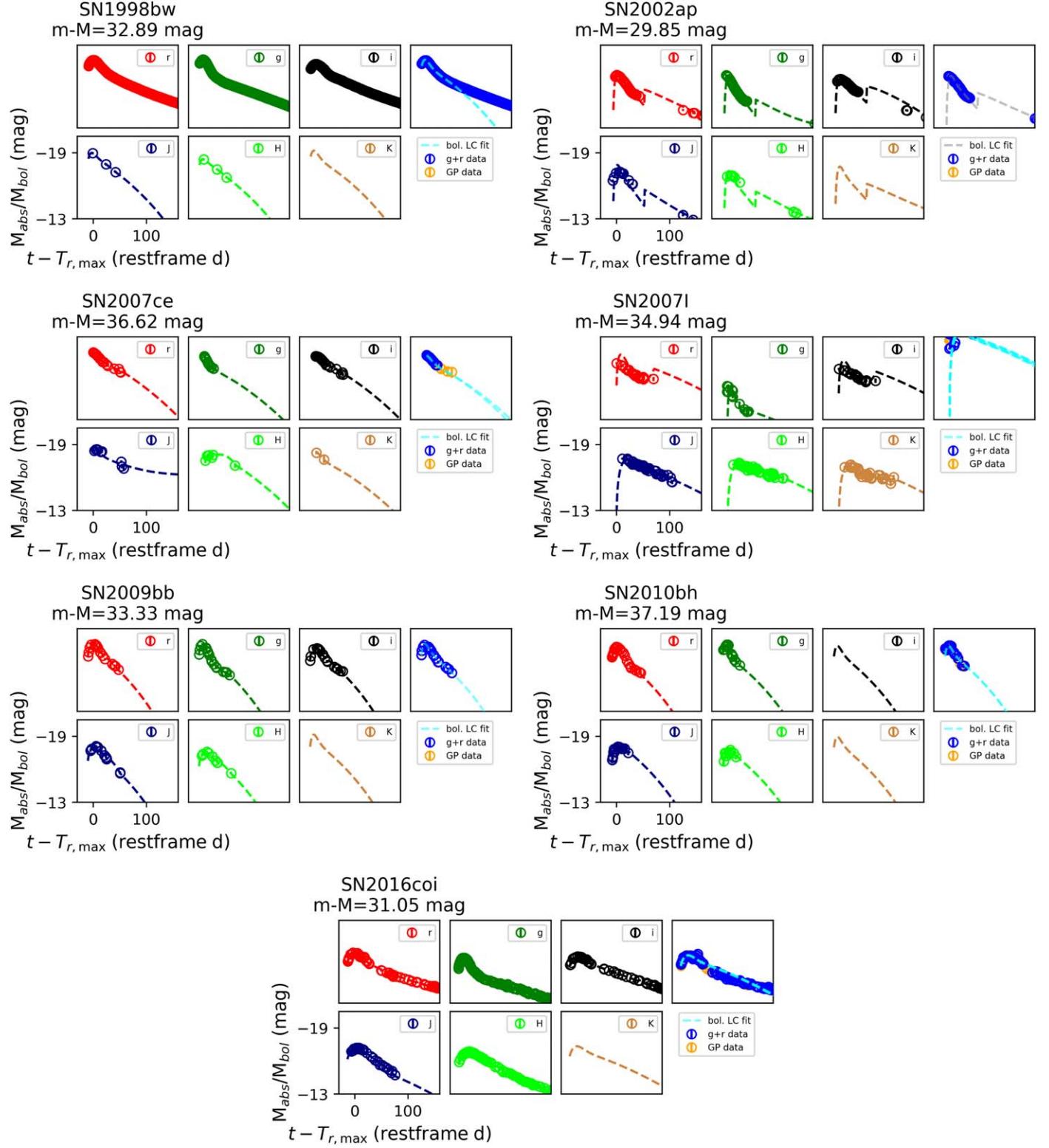
lower bound corresponds to a model with  $M_{rp} = 0.02 M_\odot$  and the upper bound corresponds to a model with  $M_{rp} = 0.12 M_\odot$ . SN 2007ce's color measurements exhibit a similar shape to the model color evolution, but show a significant offset with bluer colors compared to the best-fit models. As shown in Figure 3, a model with a higher  $M_{ej}$  can yield a slightly bluer color evolution for the same  $r$ -process mass, so it is difficult to confidently exclude the possibility that  $M_{rp} = 0.02 M_\odot$  (the lower bound on the parameter inference) was synthesized in SN 2007ce. In addition, relaxing the assumptions on the SED underlying the models could also alter the color evolution of the model. Thus, based on the upper bound of these color curves, which corresponds to an  $r$ -process mass of  $0.12 M_\odot$ , we conservatively argue here that no more than  $0.12 M_\odot$  of  $r$ -process material was generated in SN 2007ce (assuming  $\psi_{mix} = 0.7$ ). Furthermore, since SN 2007ce has the highest inferred  $r$ -process mass among the objects passing our  $\chi^2$  cut, we suggest that  $M_{rp} \lesssim 0.12 M_\odot$  represents a tentative global  $r$ -process constraint on all of the models in our sample, based on the observations. Future improvements in the models as well as more systematic observations will allow for tighter and more robust constraints on the  $r$ -process nucleosynthesis in SNe Ic-BL.

We also examine any other associated relativistic outflows to check whether that may introduce a bias. Only three objects in our full sample are counterparts to GRBs: GRB 980425 (SN 1998bw), GRB 100316D (SN 2010bh), and GRB 190829A. Among these three, GRB 980425 and GRB 190829A are considered to be LLGRBs based on their peak  $\gamma$ -ray luminosities (Galama et al. 1998; Dichiara et al. 2019; Ho et al. 2020b). GRB 100316D is a more energetic GRB, but its emission shows a soft spectral peak, similar to other X-ray flashers (Bufano et al. 2012). While none of the other objects in our sample have any coincident  $\gamma$ -ray emission, some have X-ray and radio detections and upper limits. SN 2018gep, the FBOT/SN Ic-BL, has both X-ray and radio detections that are consistent with the host galaxy emission (Ho et al. 2019). On the other hand, SN 2020bvc has mildly relativistic X-ray and radio ejecta characteristic of LLGRBs (Ho et al. 2020a). Corsi et al. (2023) also obtained radio and X-ray follow-ups for several ZTF SNe, a subset of which are part of the sample discussed in this work. In Table 1 we display radio observations with the VLA and X-ray observations with the Swift XRT for

those SNe; the remainder of the SNe that lack radio/X-ray coverage are denoted by ellipses in their respective columns. Only two of the objects in the sample presented here (SN 2020tkx and SN 2021ywf) have a detected point-source-like radio counterpart, but their low velocities suggest that they are not the same as GRB-associated SNe (Corsi et al. 2023).

The fact that none of these SNe are linked to standard, classical long GRBs prevents us from exploring the proposed theoretical connection between the GRB energetics and  $r$ -process production. If the GRB jet energy, which scales with the mass accreted by the disk, correlates with the amount of  $r$ -process mass produced in the disk winds, then collapsars with no GRBs may not be able to produce detectable  $r$ -process signatures. Siegel et al. (2019) find that for BH accretion rates between  $0.003$  and  $1.0 M_\odot \text{ s}^{-1}$  needed to power relativistic outflows, the disk winds are neutron-rich and can synthesize heavy and light  $r$ -process elements. The association of a GRB with an SN Ic-BL could point toward a central engine that harbors high enough accretion rates to potentially generate  $r$ -process elements. Barnes & Duffell (2023) also find that hydrodynamical mixing between the  $r$ -process-enriched and  $r$ -process-free layers of collapsar increases with wind mass and duration, suggesting that SNe accompanying the longest duration long GRBs may be the most promising sites to search for obvious  $r$ -process signatures.

It is yet unclear to what extent the populations of SNe Ic-BL and long GRBs overlap (Woosley & Bloom 2006; Bissaldi et al. 2007; Cano et al. 2017a; Barnes et al. 2018), as some long GRBs lack SNe (Della Valle et al. 2006; Fynbo et al. 2006; Tanga et al. 2018), and most SNe Ic-BL have no associated gamma-ray emission (Bianco et al. 2014; Corsi et al. 2023). Furthermore, LLGRBs, short-duration GRBs with collapsar progenitors (Ahumada et al. 2021), and long-duration GRBs from compact binaries (Rastinejad et al. 2022) present evidence toward a broad diversity in collapsar central engines, ranging from mildly relativistic to ultra-relativistic explosion energies. One possibility is that a subset of SNe Ic-BL could correspond to failed GRBs with low BH accretion rates (MacFadyen & Woosley 1999; Huang et al. 2002; Xu et al. 2023). Multiwavelength observations of SN 2006aj suggest that another subset may be associated with a progenitor whose jet runs into a cocoon of extended stellar



**Figure 7.** Light-curve fits to the literature SN events from HAFFET. The dashed cyan line is the best-fit bolometric light curve, while the remaining dashed lines show the fits to each of the broadband light curves. Broadband light curves are calculated by fitting bolometric corrections in each band, and using these corrections to rescale the Arnett-fitted bolometric light curves. The circles denote the photometry for each object in the  $griJHK_s$  bands. In the bolometric light-curve plot, the points correspond to bolometric luminosity estimated from both the  $g$  and  $r$  bands, or a single band, and using GPR to estimate the flux in the other band. For SNe with photometry in the Johnson filter system, we convert the photometry to SDSS assuming photometric conversions from Jordi et al. (2006). We find that the HAFFET models are good fits to the photometric data from our sample.

material (Nakar 2015), even when an LLGRB is not detected, as in the case of SN 2017iuk (Izzo et al. 2019). Yet another subset could be off-axis GRBs.

This diversity of collapsar central engines and jet properties could lend itself naturally to a scenario where some collapsars are capable of producing  $r$ -process elements, while others are

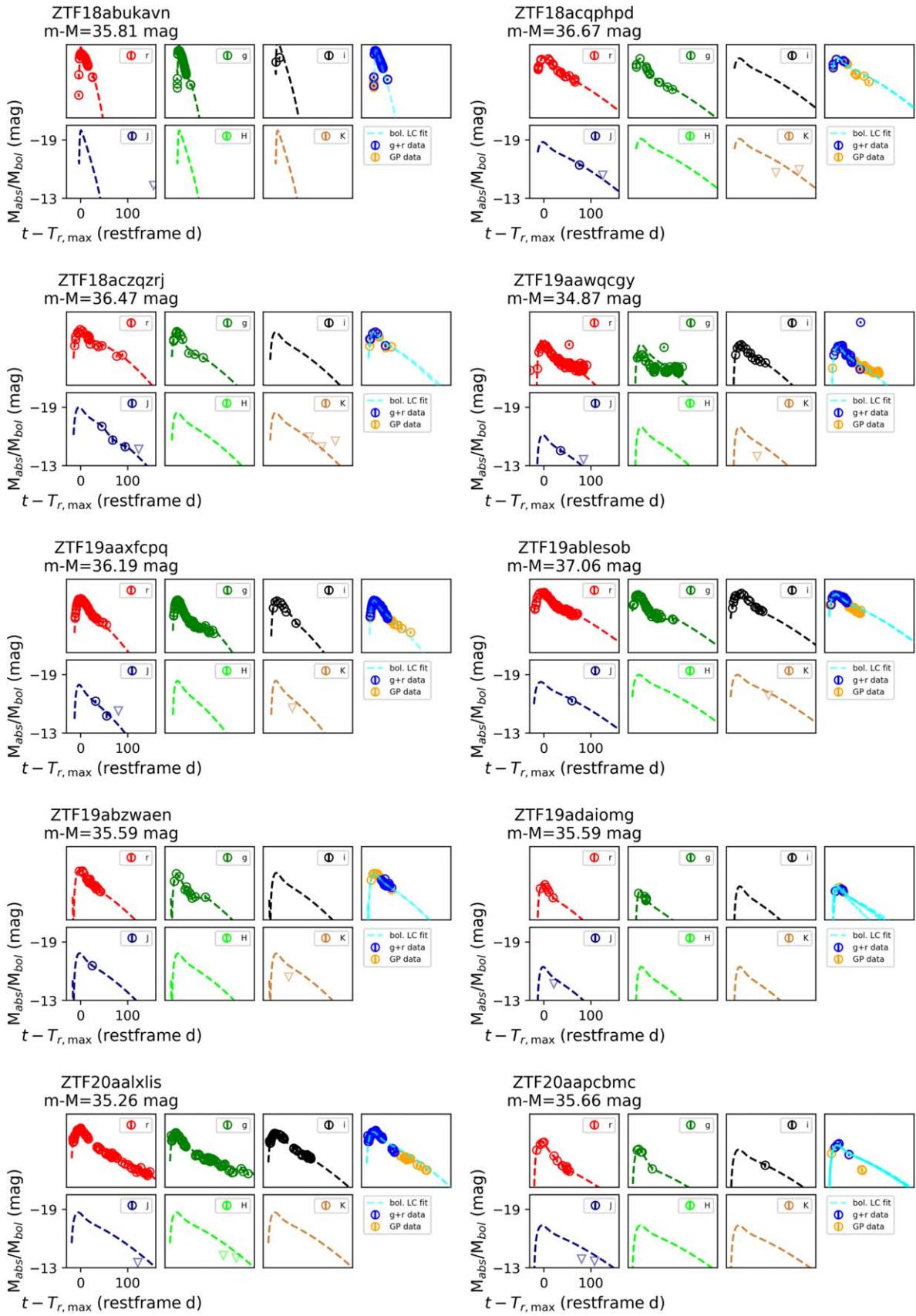


Figure 8. Light-curve fits to ZTF SNe from HAFFET, similar to Figure 7.

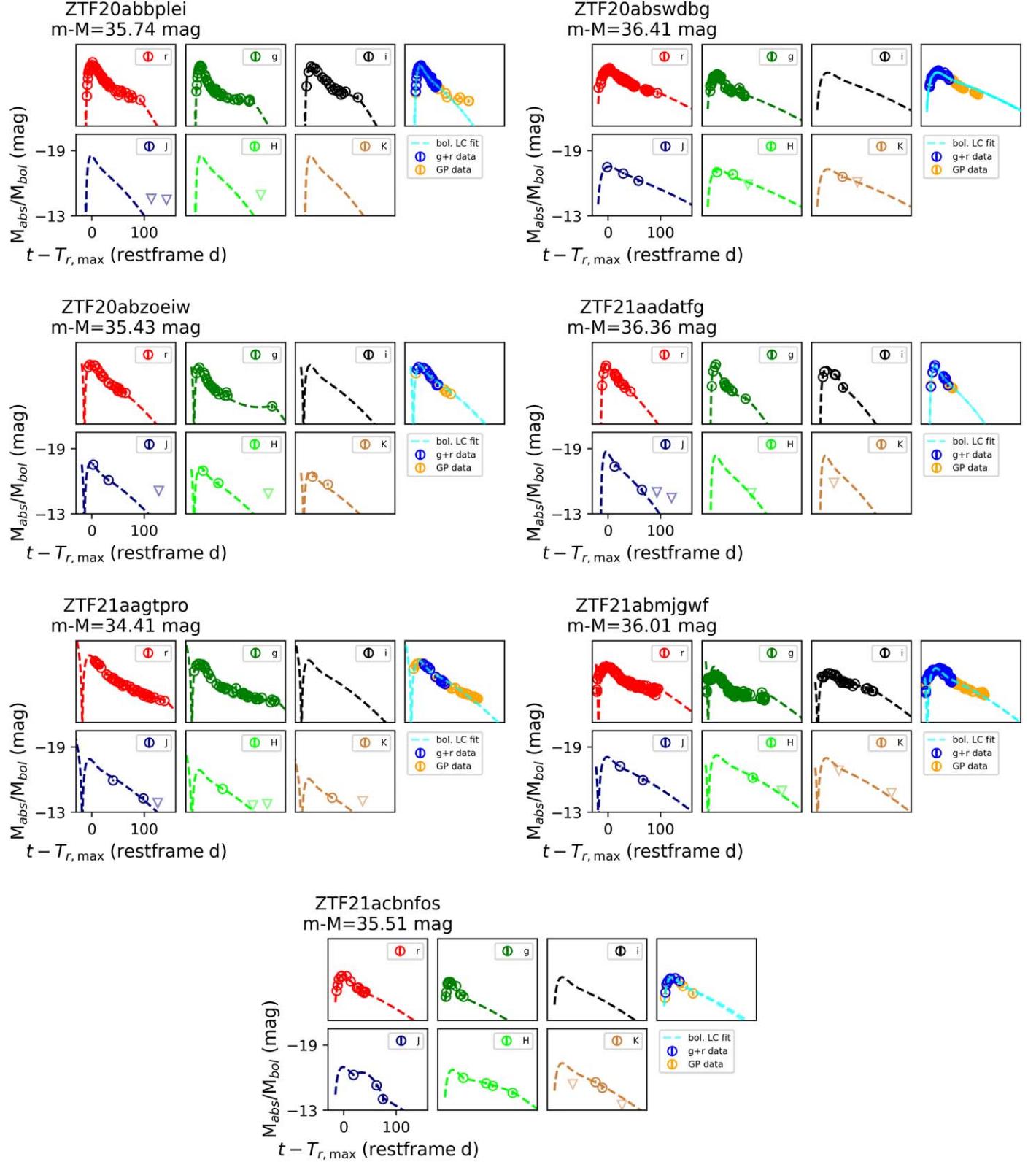


Figure 8. (Continued.)

not. However, given that only approximately half of the SNe in our sample have X-ray or radio observations, a more systematic NIR follow-up campaign with SNe Ic-BL associated with classical long GRBs, LLGRBs, X-ray/radio counterparts, and lacking any multiwavelength counterparts is needed to

investigate whether only those SNe that produce relativistic ejecta are able to create conditions conducive to *r*-process nucleosynthesis.

Another possibility we acknowledge is that collapsars could be a very low-yield source of *r*-process nucleosynthesis. The

expected yields from the Siegel et al. (2019) and Barnes & Metzger (2022) models ( $0.01\text{--}0.1 M_{\odot}$ ) are mainly set by the joint constraints from the literature on *r*-process nucleosynthesis sites (see, e.g., Hotokezaka et al. 2018). However, the discovery of minuscule amounts of Sr and Ba in an extremely metal-poor star (Casey & Schlaufman 2017) motivates the need for core-collapse SNe with an extremely low yield of *r*-process material whose nucleosynthesis is consistent with the solar *r*-process abundance pattern. Due to the limitations of these models and the data set presented here, our study only searches for enrichment levels of  $M_{\text{p}} \gtrsim 0.01 M_{\odot}$ . Detailed analysis of the nebular-phase spectra of SNe Ic-BL would likely be required to probe such low levels of enhancement robustly.

Despite the fact that we find no evidence for *r*-process enrichment in the SNe Ic-BL in our sample, we must also acknowledge a number of caveats to this work.

First, we note that the *r*-process-enriched and *r*-process-free models make different predictions about the relationship between nickel mass and SN luminosity. While the inferred central values from the GPR inference of both  $\beta_{\text{ej}}$  and  $M_{\text{ej}}$  based on the *r*-process grid are generally within the  $1\sigma$  errorbar of our explosion property estimates, the nickel mass inferred shows a larger deviation from the Arnett value. Arnett-like models are constructed such that the radioactive energy-generation rate crosses the bolometric light curve precisely at the peak luminosity. The *r*-process-enriched models, in which energy diffuses through a series of concentric shells, do not reproduce this behavior; they generally have  $L_{\text{bol}}(\text{tpk}) < Q_{\text{dot}}(\text{tpk})$ . As a result, the amounts of nickel inferred by each model for a given luminosity are inconsistent, and the Arnett-like models do not match the Barnes & Metzger (2022) models when  $M_{\text{p}}$  is set to zero (see Section 6 for other differences between the Barnes & Metzger 2022-like models and the Arnett-like models). To compensate for these differences, we fit the *r*-process-enriched models over a wide range of nickel masses.

Given the differences between the *r*-process-enriched and *r*-process-free models we use, a more robust approach would be to conduct an apples-to-apples comparison between *r*-process-free and *r*-process-enriched models from the same underlying grid. Initially, we performed fitting to both the *r*-process-free and *r*-process-enriched models from Barnes & Metzger (2022), but found that the colors of the *r*-process-free models were consistently much redder than the observed colors of our objects at all epochs. To construct the *r*-process-free SED, Barnes & Metzger (2022) use the light curve of SN 2007gr as it has well-sampled *B*- to *K*-band photometry up to late times, but the detection of the CO molecule in its nebular-phase NIR spectra may affect the *K*-band flux of the object (Hunter et al. 2009). Unfortunately, the semi-empirical approach to converting between bolometric and broadband light curves using HAFFET and the fact that the Arnett models do not allow us to define a spatial or velocity mixing coordinate renders the alternative possibility of enriching the HAFFET models with *r*-process material infeasible. Our inability to use *r*-process-enriched and *r*-process-free models from the same grid makes our investigation to search for *r*-process production less robust. The authors are currently investigating whether varying additional parameters controlling the *r*-process-free SED may lead to better fits to the data. This will be discussed in future work.

Furthermore, our understanding of the emission of *r*-process ejecta in the nebular phase is quite limited. The radiation from *r*-process-enriched ejecta layers has a strong impact on the predictions of late-time photometry for the *r*-process grid. Barnes & Metzger (2022) adopts a temperature of 2500 K for the *r*-process SED because a blackbody at this temperature reproduces the optical and NIR photometric colors of the nebular-phase KN model spectrum of Hotokezaka et al. (2021). However, KN nebular-phase modeling is still a topic of active investigation. Future studies of KN nebulae, both observational and theoretical, may refine our understanding of nebular emission from pure *r*-process outflows. Furthermore, differences between kilonovae and *r*-process-enriched SN (e.g., in their densities or their compositions) may mean that nebular-phase emission from the former is not a perfect predictor of nebular-phase emission from the latter.

Finally, we acknowledge the limitations of the data set we present here for testing whether collapsars synthesize *r*-process elements. Due to the nature of our classical observing runs with WIRC, our NIR light curves are very sparse, and in some cases, our upper limits are too shallow to be constraining. In contrast, future wide field-of-view NIR facilities (i.e., WINTER, DREAMS, PRIME) will enable systematic follow-up of nearby SNe Ic-BL discovered by contemporaneous wide-field optical surveys (i.e., ZTF, Pan-STARRS, ATLAS, Vera Rubin Observatory, etc.) as well as counterparts to nearby long GRBs to late times. The James Webb Space Telescope will grant the unique ability to probe the mid-infrared wavelengths and acquire IR spectroscopy to search for further signatures of *r*-process production. Higher cadence NIR photometry and nebular spectroscopy to search for the *r*-process signatures from collapsars would substantiate the results of this paper as well as determine whether the presence of a relativistic jet in the explosion is required for heavy element production. The authors plan to investigate the relative contribution of collapsars, NS mergers, and NS–BH mergers toward the *r*-process abundance in the Universe in a future work. The next generation of optical and IR telescopes will open new windows to discoveries providing valuable insights into the open questions about *r*-process nucleosynthesis from collapsars.

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