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Treatment and aging studies of GaAs(111)B substrates for van der Waals chalcogenide film growth

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Treatment and aging studies of GaAs(111)B substrates for van der Waals chalcogenide film growth

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ABSTRACT

GaAs(111)B are commercially available substrates widely used for the growth of van der Waals chalcogenide films. Wafer-scale, high-quality crystalline films can be deposited on GaAs(111)B substrates using molecular beam epitaxy. However, two obstacles persist in the use of GaAs(111)B: first, the surface dangling bonds make it challenging for the growth of van der Waals materials; second, the As-terminated surface is prone to aging in air. This study investigated a thermal treatment method for deoxidizing GaAs(111)B substrates while simultaneously passivating the surface dangling bonds with Se. By optimizing the treatment parameters, we obtained a flat and completely deoxidized platform for subsequent film growth, with highly reproducible operations. Furthermore, through first-principle calculations, we find that the most energetically favorable surface of GaAs(111)B after Se passivation consists of 25% As atoms and 75% Se atoms. Finally, we discovered that the common storage method using food-grade vacuum packaging cannot completely prevent substrate aging, and even after thermal treatment, aging still affects subsequent growth. Therefore, we recommend using N₂-purged containers for better preservation.

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I. INTRODUCTION

GaAs(111)B is a semiconductor substrate widely used in research and commercial fields due to its low cost, mature synthesis technology, and excellent properties for manufacturing electronic devices.^{1–3} It is not only used to grow three-dimensional (3D) strongly bonded materials^{4,5} but has also been used as a substrate for layered, van der Waals (vdW)-bonded chalcogenide film growth.^{6–9} The GaAs (111)B substrate surface comprises a hexagonal lattice, which matches the in-plane lattice symmetry of many vdW chalcogenide crystals such as GaSe and MoSe₂. Moreover, this

2D/3D heterostructure is conducive to leveraging the advantages of 2D and 3D semiconductors simultaneously in hybrid devices. One of the most common techniques for growing films on GaAs(111)B substrates is molecular beam epitaxy (MBE), which results in wafer-scale films with high purity, good crystallinity, and smooth surfaces. However, GaAs(111)B wafers cannot be directly used for growing epitaxial vdW chalcogenide films for two reasons: (1) the GaAs surface has a substantial number of dangling bonds that need to be passivated for vdW layers growth; (2) the substrate surface is covered with a thin epi-ready oxide layer which must be removed before film growth. Chemical etching is a method to remove the

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substrate oxide layer but is unsuitable for GaAs(111)B wafers due to the high reactivity of the As-terminated surface. For instance, we attempted etching GaAs(111)B with hydrochloric acid or bromine solutions, but the resulting substrates were not smooth enough to provide a good platform for subsequent film growth. For experimental details and results, please refer to the supplementary material and Fig. S1.²⁵ Additionally, chemical etching may introduce unexpected impurities or contamination. Therefore, we focus on the thermal treatment method using MBE. However, thermal deoxidation of GaAs substrates is typically performed at high temperatures under an As overpressure to compensate for the evaporation of As atoms from the substrate, but group-V elements such as As are typically not available in chalcogenide MBE systems so as to minimize contamination. Therefore, investigating the thermal removal of the epi-ready oxide and the passivation of surface dangling bonds in a chalcogenide MBE system is needed to expand the use of GaAs(111)B substrates for the growth of vdW chalcogenide materials. Previous studies^{8,10–12} have reported the use of a Se overpressure during thermal deoxidation of GaAs(111)B to prevent the formation of pits and Ga droplets as well as to terminate the surface with Se atoms, providing a passivated and deoxidized platform for growing epitaxial chalcogenide films such as CdSe^{13,14} and ZnSe.¹⁵

In this paper, we optimize the method for deoxidizing GaAs(111)B substrates under a Se overpressure and successfully create smooth, deoxidized, and passivated substrates for subsequent growth of vdW chalcogenide materials. The high reproducibility of this method has been verified via multiple trials. We also discuss the potential mechanism of Se-passivation on GaAs(111)B through first-principle calculations. Furthermore, we demonstrate the benefits of this method for the growth of vdW chalcogenide thin films using GaSe as a representative of vdW chalcogenides. The results show that GaAs(111)B treated with our optimized method can serve as a promising platform for the growth of wafer-scale, highly uniform GaSe crystal films. In addition to deoxidation and passivation, aging of GaAs(111)B substrates is another concern. We find that severely aged substrates have difficulty maintaining a smooth surface during the deoxidation and passivation process and cause GaSe crystals to nucleate in random shapes and orientations. Food-grade vacuum packaging is found not to completely prevent this aging process. We describe a method using water droplet testing to determine the age of the substrate. Finally, x-ray photoelectron spectroscopy (XPS) characterization reveals that the natural aging of GaAs(111)B in the air results in an increase in surface oxides, Ga₂O₃ and As₂O₃, while exposure to ultraviolet (UV)-ozone not only enhances the contents of these two oxides but also generates a new oxide, As₂O₅. Our research contributes to expanding the compatibility of GaAs(111)B with diverse growth materials and the production of high-quality heterostructure devices.

II. EXPERIMENTAL DETAILS

A. Treatment of GaAs(111)B substrate and GaSe growth

We used 2 in. epi-ready GaAs(111)B wafers from WaferTech which were diced into 1 × 1 cm² pieces. Each piece was degreased

by sequential sonication in acetone, isopropanol (IPA), and de-ionized (DI) water for 10 min at room temperature. Immediately after cleaning, the wafer was loaded into the load lock chamber of a DCA R450 chalcogenide MBE system and degassed at 200 °C in 5 × 10⁻⁷ Torr for 2 h to eliminate any residual contaminants. We then transferred the wafer to the growth chamber for deoxidation, where we heated and annealed the wafer under a Se overpressure and then cooled it down. The heating/cooling rate was maintained at 30 °C min⁻¹, and the Se flux was always supplied when the substrate temperature was above 300 °C in order to suppress the substrate decomposition and formation of Ga droplets at high temperatures. Specific annealing temperatures, times, and Se fluxes will be discussed in Sec. IV A. For comparison, the substrate of sample 7 was deoxidized at 610 °C under an As overpressure of 1.12 × 10⁻⁶ Torr for 10 min in a Veeco GENxplor III-V MBE reactor. The heating/cooling rate was maintained at 10 °C min⁻¹, and the As flux was supplied when the substrate temperature was above 300 °C. The deoxidized substrate was promptly transferred to the chalcogenide MBE system using a N₂-purged glove bag. We then grew GaSe films with a thickness of ~16.8 nm on differently deoxidized substrates using the same growth conditions. Ga and Se fluxes were provided independently from separate Knudsen effusion cells and were calibrated using a quartz crystal microbalance at the substrate position. The substrate temperature was measured by a thermocouple mounted behind the substrate. During thermal treatment of the substrate, *in situ* reflection high energy electron diffraction (RHEED) was employed to monitor and confirm the removal of the substrate oxide layer. After growth, the samples were immediately sent for characterization. A subset of samples was treated with UV-ozone using a Boekel Scientific UV cleaner, as described in detail in Sec. IV D.

B. Ex situ characterization

High resolution x-ray diffraction (HRXRD) 2θ/ω and ω scans were performed on a Malvern PANalytical 4-Circle X'Pert 3 diffractometer equipped with a Cu-Kα₁ source. 2θ/ω scans were used to identify sample phases, while ω scans offered insight into crystal defects. Sample surface morphology was observed using a Bruker Dimension Icon AFM. To study the effect of substrate aging on crystalline film growth, electron-transparent cross sections were extracted using an FEI Scios 2 dual-beam focused ion beam (FIB). The cross sections were analyzed via annular dark-field scanning transmission electron microscopy (ADF-STEM) in a dual spherical aberration-corrected FEI Titan³ G2 60-300 STEM operating at 300 kV, with a probe convergence angle of 21.3 mrad and collection angles of 42–244 mrad. Surface composition analysis was performed using XPS that was measured on a Physical Electronics VersaProbe III instrument equipped with a monochromatic Al Kα X-ray source (*hν* = 1486.6 eV) and a concentric hemispherical analyzer. The binding energy axis was calibrated using sputter cleaned Cu (Cu 2p_{3/2} = 932.62 eV, Cu 3p_{3/2} = 75.1 eV) and Au foils (Au 4f_{7/2} = 83.96 eV).¹⁶ Measurements were made at a takeoff angle of 30° with respect to the sample surface, resulting in a typical sample depth of 2–4 nm. Quantification was conducted using instrumental relative sensitivity factors that account for the x-ray cross section and inelastic mean free path of the electrons. The analysis size was

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about 200 μm in diameter. Ion sputtering used 2 kV Ar^+ rasterized over a $2 \times 2 \text{ mm}^2$ area with a rate of 5 nm min^{-1} .

III. COMPUTATIONAL DETAILS

First-principle calculations of Se-passivated GaAs(111)B surface models were performed using the Vienna *ab initio* simulation package,¹⁷ which implements density functional theory and a plane-wave basis set with the projector-augmented wave method.¹⁸ The exchange-correlation functions were approximated through the generalized gradient approximation as stated in Perdew–Burke–Ernzerhof parametrization.¹⁹ The valence electron configurations are $4s^2 4p^1$ for Ga, $4s^2 4p^3$ for As, and $4s^2 4p^4$ for Se. The plane wave cutoff energy was set to 600 eV and the Monkhorst–Pack k-mesh was sampled with a density of 0.05 \AA^{-3} . As for structure relaxation, the thresholds for determination of convergence were using 10^{-5} eV as energy break conditions for the electronic self-consistency loop and Hellmann–Feynman force on each atom is less than 0.01 eV \AA^{-1} . To describe surface geometry, the 10-atomic-layer slab model was generated with 18 \AA thickness of vacuum space.

IV. RESULTS AND DISCUSSION

A. Optimization of thermal treatment of GaAs(111)B in Se

For the thermal deoxidation of GaAs(111)B under a Se overpressure, three parameters may affect the resultant surface quality: annealing temperature, time, and Se flux. The ideal conditions would produce a substrate surface that is completely deoxidized and as smooth as possible. Table I summarizes the processing conditions for six GaAs(111)B samples thermally deoxidized under a Se flux.

Due to the coverage of the thin oxide layer, the freshly loaded GaAs(111)B exhibited a blurry dashed RHEED pattern, as shown in Fig. 1(a). We first studied the annealing temperature by fixing the Se flux at $1 \times 10^{14} \text{ atoms cm}^{-2} \text{ s}^{-1}$ and the annealing time at 7 min. When the substrate temperature gradually increased to 630 $^\circ\text{C}$, the RHEED pattern of sample 1 became significantly clearer, and after staying at this temperature for 7 min, the dashed lines became more continuous, as shown in Fig. 1(b). However, the lines are still not entirely continuous, indicating that the temperature of 630 $^\circ\text{C}$ is not high enough to completely remove the oxide. The elevated annealing temperature of sample 2 to 680 $^\circ\text{C}$ led to the sharp and streaky

1×1 RHEED pattern shown in Fig. 1(c), which is typical of a Se-stabilized GaAs(111) surface^{11,20,21} and indicates a complete removal of the oxide layer. The resulting substrate surface was smooth without obvious defects, as shown in the AFM image in Fig. 2(a). Further raising the annealing temperature to 700 $^\circ\text{C}$ for sample 3, although the substrate can be completely deoxidized and present an RHEED pattern (Fig. S2 in the supplementary material)²⁵ almost identical to Fig. 1(c), it also leads to significant evaporation of As atoms, creating numerous defects on the substrate surface, as shown in Fig. 2(b). Therefore, we determine 680 $^\circ\text{C}$ to be the ideal deoxidation temperature. Next, we studied higher and lower Se fluxes as well as longer annealing times at 680 $^\circ\text{C}$. Figure 2(c) of sample 4 shows that an excess Se flux is not problematic as it does not persist on the surface at high temperatures. However, insufficient Se flux fails to adequately compensate for the

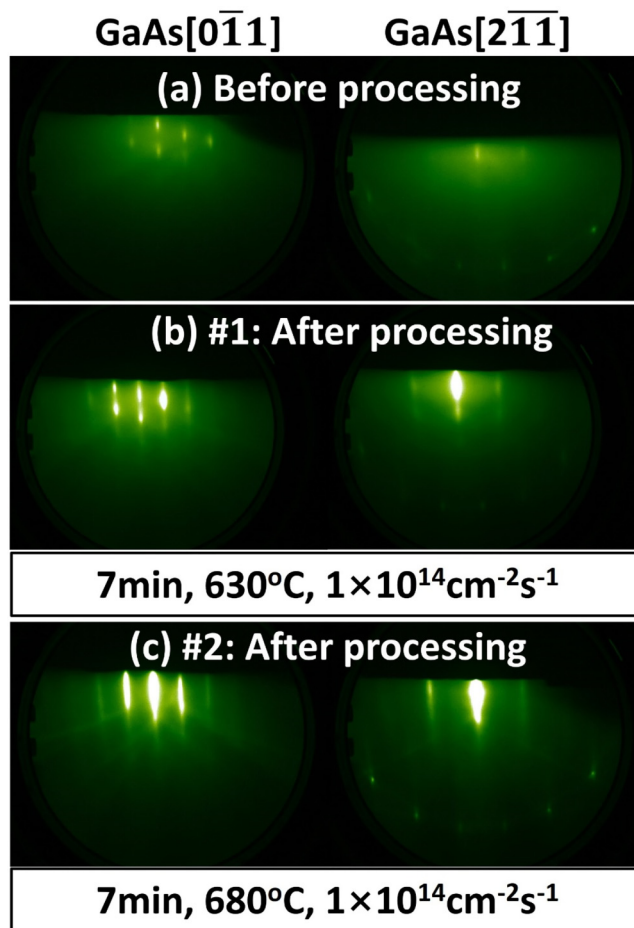


FIG. 1. RHEED patterns of (a) a freshly loaded GaAs(111)B substrate, (b) sample 1, and (c) sample 2 with the azimuthal incident electron beam along the [011] (left column) and [211] (right column) directions. Samples 1 and 2 are the GaAs(111)B substrates after being annealed in a Se flux of $1 \times 10^{14} \text{ atoms cm}^{-2} \text{ s}^{-1}$ for 7 min at 630 and 680 $^\circ\text{C}$, respectively.

TABLE I. Deoxidation parameters for GaAs(111)B substrates using a Se flux.

Sample	Se flux ($\times 10^{14}$ atoms $\text{cm}^{-2} \text{ s}^{-1}$)	Parameters	
		Annealing temperature ($^\circ\text{C}$)	Annealing time (min)
1	1.0	630	7
2	1.0	680	7
3	1.0	700	7
4	1.5	680	7
5	0.4	680	7
6	1.0	680	14

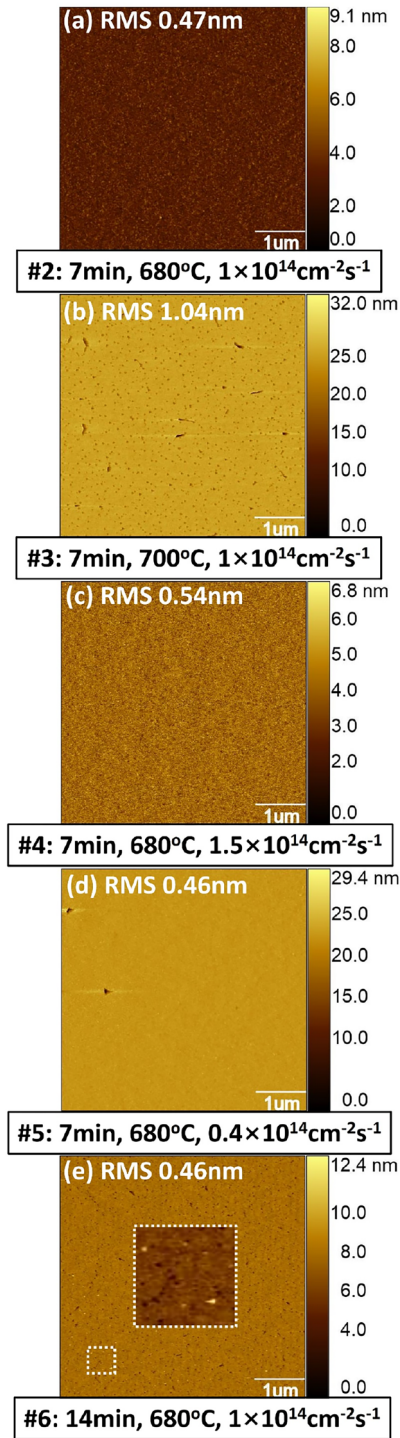


FIG. 2. AFM images of GaAs(111)B sample (a) 2, (b) 3, (c) 4, (d) 5, and (e) 6 after being thermally treated in Se using different parameters, which can be seen in Table I. The inset in (e) is a zoomed-in view of the white dashed box in the lower left corner showing the pits and droplet features more clearly.

loss of As during annealing, leading to surface defects as shown in Fig. 2(d) of sample 5. Finally, prolonged annealing also causes a severe evaporation of As atoms, resulting in pits and Ga droplets, as shown in Fig. 2(e) of sample 6 (the droplet features are more visible in the inset). Combining the RHEED and atomic force microscopy (AFM) results, we determined the optimal parameters to thermally deoxidize GaAs(111)B in a Se flux to be: Se flux $\geq 1 \times 10^{14}$ atoms $\text{cm}^{-2} \text{s}^{-1}$, annealing temperature of 680 °C, and annealing time of 7 min. The optimal set of conditions produces fully deoxidized GaAs (111)B substrates with surface root mean roughness (RMS) as low as 0.47 nm and high reproducibility.

B. Theoretical model of Se-passivated GaAs(111)B surfaces

Numerous studies^{8,10-12} have claimed that a benefit of deoxidizing GaAs(111)B substrates under a Se flux is that it simultaneously removes the oxide layer and passivates the top layer of the substrate with Se, which is useful for the subsequent growth of vdW chalcogenide films. Here, we offer more comprehensive insights into the Se-passivation mechanism through first-principle calculations. Figure 3(a) demonstrates the relaxed crystal structure of GaAs with an As-terminated top layer. The lattice constant of

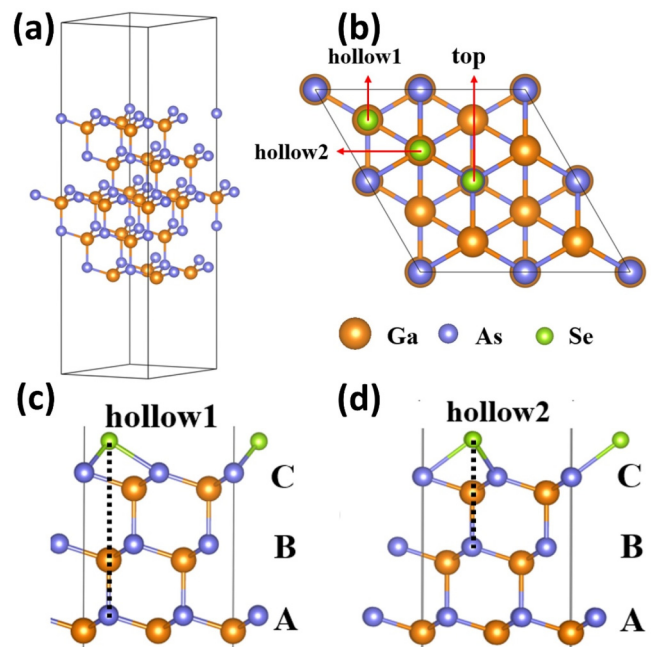


FIG. 3. (a) Crystal structure of the GaAs slab model consisting of 10 atomic layers. (b) Top view of the GaAs(111)B surface lattice, where the three green balls represent the three Se adsorption sites on the As-terminated GaAs(111) surface: the “top” site is directly above the As atoms; the “hollow 1” and “hollow 2” sites are located at two different positions in the groove composed of As atomic layers. Side view of the GaAs(111)B crystal structure with Se adsorbed on the (c) “hollow 1” and (d) “hollow 2” sites. “A,” “B,” and “C,” respectively, represent three As sublattice layers that cannot completely overlap.

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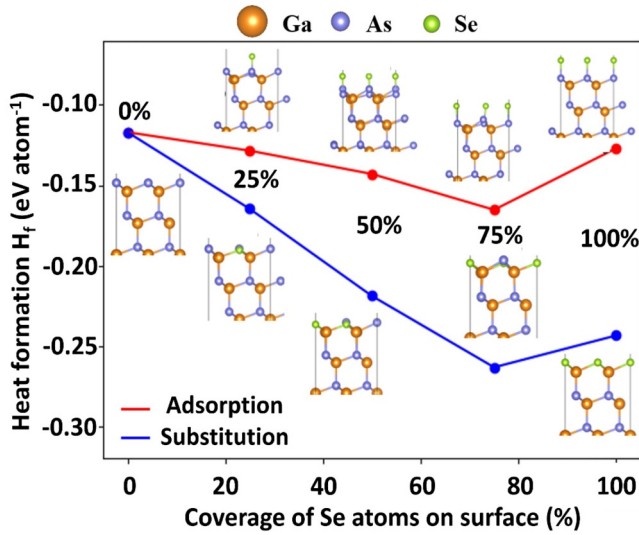


FIG. 4. Energy profile of two schemes for Se passivation on the GaAs(111)B surface under different levels of Se coverage.

GaAs is calculated to be 5.76 Å, consistent with the theoretical value in the literature.²² The As-terminated surface undergoes reconstruction compared to its bulk counterpart, resulting in a bond length between the surface As atom and the nearest Ga atom of 2.52 Å, which is 1.95% longer than that in bulk GaAs. We consider two possible schemes for Se-passivation of the As-terminated GaAs(111) surface: (1) Se atoms are directly adsorbed onto the substrate surface; (2) Se atoms substitute the top As atoms and bond with the nearest Ga atoms. Both schemes are considered under the same series level of coverage. The stability of each configuration is evaluated by the heat of formation H_f , which is calculated by

$$H_f = \frac{E_{slab} - n_{Ga}E_{Ga_8}^{bulk} - n_{As}E_{As_8}^{bulk} - n_{Se}E_{Se_{32}}^{bulk}}{n_{total}}, \quad (1)$$

where E_{slab} is the total energy of doped systems; $E_{Ga_8}^{bulk}$, $E_{As_8}^{bulk}$, and $E_{Se_{32}}^{bulk}$ are the chemical potentials of each atomic species under their most stable form; n_{Ga} , n_{As} , and n_{Se} represent the number of Ga, As, and Se atoms in the supercell, respectively, and n_{total} is the total number of atoms.

In the adsorption scheme, we first consider the energetically most favorable sites for individual Se atoms. Figure 3(b) depicts three

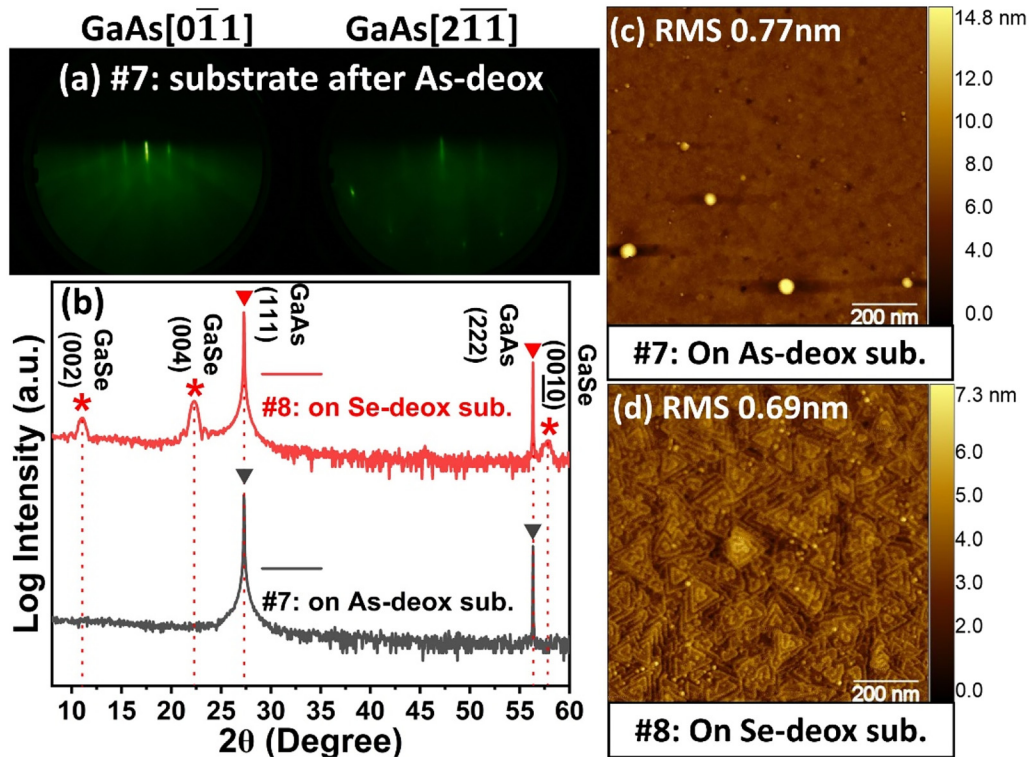


FIG. 5. (a) RHEED pattern of the As-deoxidized GaAs(111)B substrate (for sample 7) taken along the [011] (left column) and [211] (right column) directions. (b) HRXRD $2\theta/\omega$ scans of GaSe samples 7 and 8, whose substrates were deoxidized under As and Se, respectively. "*" and "▼" mark the GaSe and GaAs peaks, respectively. AFM images GaSe films grown on (c) an As-deoxidized GaAs(111)B substrate (for sample 7) and on (d) a Se-deoxidized GaAs(111)B substrate (for sample 8).

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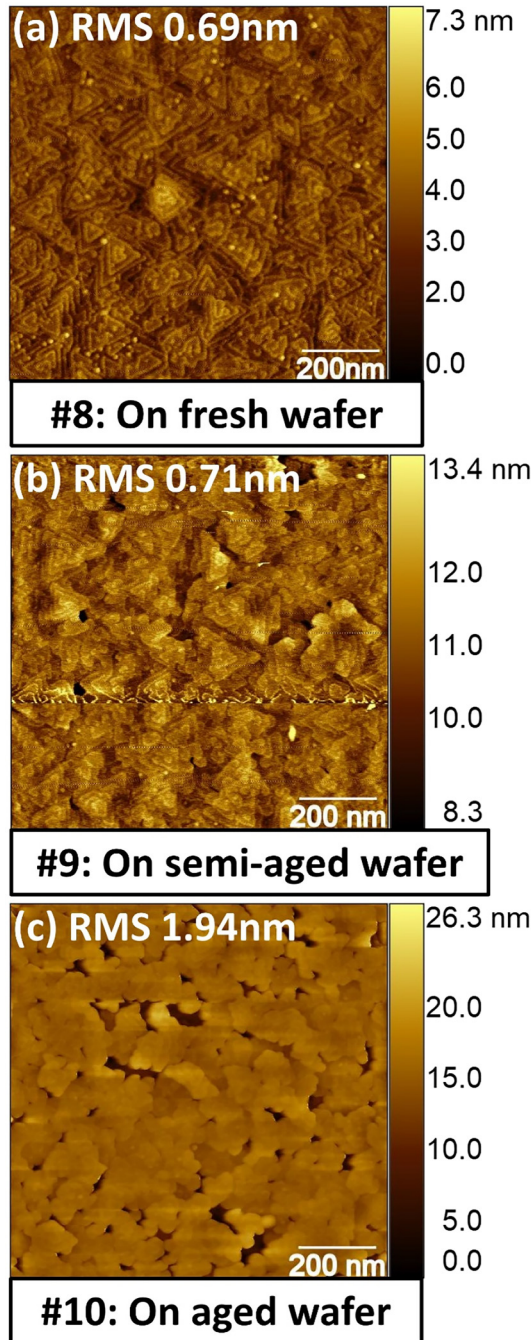


FIG. 6. AFM images of GaSe sample (a) 8, (b) 9, and (c) 10. They were grown under identical conditions using substrates that were vacuum sealed in food-grade bags for 7 days (“fresh”), 45 days (“semi-aged”), and 8 months (“aged”), respectively. The substrates were treated with the same optimal parameters: Se flux of about 1×10^{14} atoms $\text{cm}^{-2} \text{s}^{-1}$, annealing temperature of 680 °C, and annealing time of 7 min. The height scale in (b) is adjusted to start from 8.3 nm instead of 0 for a clear visualization of the morphology. Part (a) is the same AFM image as Fig. 5(d).

possible adsorption sites: hollow 1, hollow 2, and top. “Top” is directly above the As atoms, while “hollow 1” [Fig. 3(c)] and “hollow 2” [Fig. 3(d)] refer to the two positions in the groove composed of As atomic layers. H_f of Se adsorption at the three sites are 0.0300, 0.0210, -0.0008 eV atom^{-1} , respectively, indicating that the “top” is the most stable adsorption site. This could be attributed to the directionality of the lone pair electrons provided by As atoms. Therefore, we adopted the “top” site for the adsorption scheme. Then we compare H_f in the adsorption scheme and the substitution scheme under series of coverage. The selection of As atomic sites in both schemes was assumed to be random. The comparison results and the corresponding crystal structure models are shown in Fig. 4. H_f of the substitution scheme is consistently lower than that of the adsorption scheme, so substitution is more likely to represent the process of Se-passivation. The H_f reaches a minimum when Se atoms replace 75% of the surface As atoms, indicating that the surface composed of 75% Se and 25% As atoms obtained by the substitution scheme should have the most energetically stable state, which is consistent with previous reports.¹¹

C. Importance of Se-passivation for vdW chalcogenide film growth

Next, we demonstrate the importance of Se-passivation on GaAs(111)B substrates for the successful growth of vdW chalcogenide films. As a test case, we will discuss the MBE growth of GaSe, a

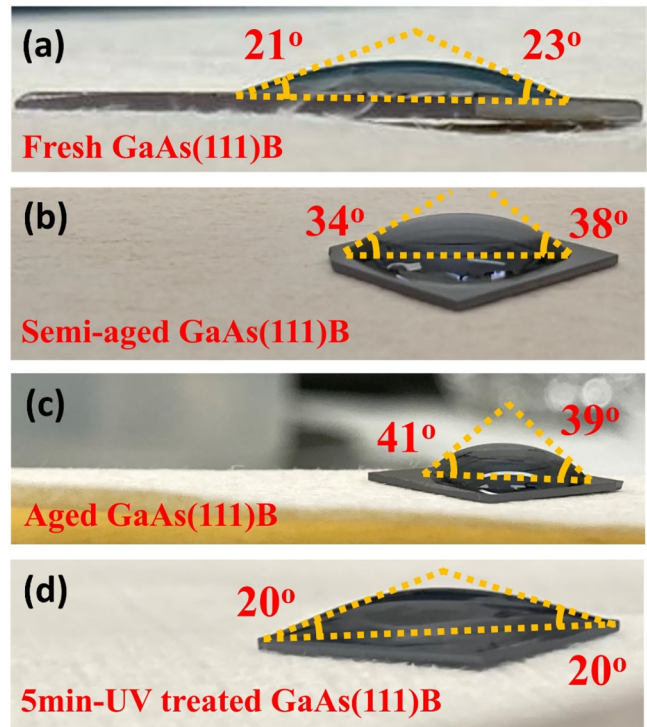


FIG. 7. Water droplet tests on GaAs(111)B substrates that is (a) fresh, (b) semi-aged, (c) aged, and (d) treated by UV-ozone for 5 min. The 5-min UV-ozone treatment was conducted on an aged substrate.

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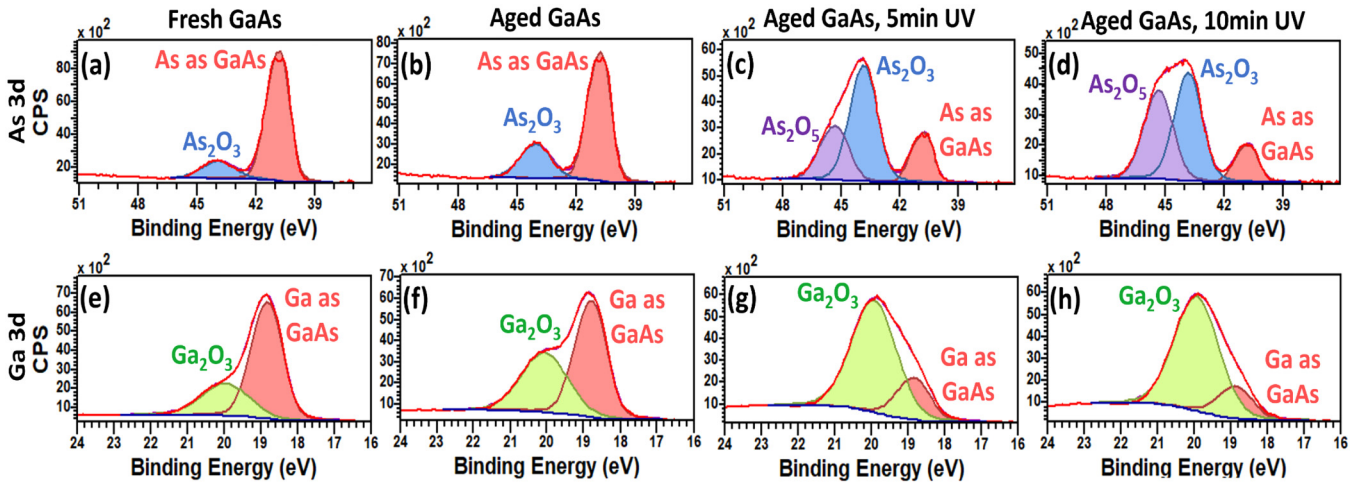


FIG. 8. XPS spectra of GaAs(111)B surface. (a)–(d) As 3d regions and (e)–(h) Ga 3d regions of (a) and (e) a fresh substrate, (b) and (f) an aged substrate, (c) and (g) an aged substrate after being treated by UV-ozone for 5 min, and (d) and (h) an aged wafer after being treated by UV-ozone for 10 min.

typical vdW chalcogenide material. Since the GaSe crystal has a non-negligible lattice mismatch of $\sim 6.4\%$ with GaAs(111),²³ forming crystalline GaSe films on GaAs(111)B substrates requires passivation of the substrate dangling bonds. We used the same conditions to grow GaSe films on two different substrates. The substrate of sample 7 was deoxidized under As without Se passivation, while the substrate of sample 8 was deoxidized under Se and passivated. The streaky RHEED pattern in Fig. 5(a) confirms that the substrate of sample 7 is completely deoxidized under As. After depositing GaSe on both deoxidized substrates for 40 min at a rate of 0.07 \AA s^{-1} , we found that GaSe growth failed on the As-deoxidized substrate; the $2\theta/\omega$ scan for sample 7 [Fig. 5(b)] only shows two peaks belonging to the GaAs substrate, and only a few Ga droplet features were observed in its AFM image [Fig. 5(c)]. In comparison, the $2\theta/\omega$ scan for sample 8 [Fig. 5(b)] detects three additional peaks for the GaSe (002), (004), and (0010) planes, respectively, confirming the formation of GaSe crystals. The AFM image [Fig. 5(d)] exhibits a continuous film composed of typical triangular domains for GaSe. This experiment clearly shows that the simultaneous deoxidation and Se-passivation of GaAs(111)B substrates promotes the growth of vdW chalcogenide thin films.

D. Investigation on GaAs(111)B aging

Though it is common knowledge that GaAs(111)B is susceptible to aging in the air, its instability led to two unexpected findings during the course of this experiment: (1) even with optimized deoxidation/passivation treatment, the state of the GaAs(111)B substrate continues to affect the GaSe growth; (2) storing GaAs(111)B wafers in food-grade vacuum packaging does not prevent surface degradation. We will now discuss these two issues in detail.

Typically, the surface of GaAs(111)B wafers is covered by a thin epi-ready oxide layer. To avoid contamination, after dicing the wafer, we stored individual chips in polymer sample boxes, then vacuum-sealed these boxes using food-grade bags and placed them in a $5 \text{ }^\circ\text{C}$ refrigerator. Nevertheless, significant variations in the GaSe films grown on aged GaAs were observed. Figures 6(a)–6(c) illustrate the surface morphology of GaSe samples 8–10 deposited under the same conditions on substrates of different ages. The deoxidation procedure was the same as previously discussed: Se flux of about $1 \times 10^{14} \text{ atoms cm}^{-2} \text{ s}^{-1}$, annealing temperature of $680 \text{ }^\circ\text{C}$, and annealing time of 7 min. Here, “fresh,” “semi-aged,” and “aged” refer to the duration from unpacking to usage, which is 7 days, 45 days, and 8 months, respectively. As the substrate aging progresses, the GaSe thin films became increasingly rough,

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TABLE II. Relative composition in atomic percent (%) obtained from the XPS spectra.

GaAs(111)B	Composition						
	C	O	As as GaAs	As ₂ O ₃	As ₂ O ₅	Ga as GaAs	Ga ₂ O ₃
Fresh	28.0	27.5	17.4	3.5	—	16.4	7.1
Aged	19.4	35.2	14.2	5.2	—	14.8	11.3
Aged, 5 min UV	8.6	51.9	3.4	10.0	4.9	4.6	16.6
Aged, 10 min UV	8.1	53.9	2.3	8.1	6.9	3.7	17.1

accompanied by worse coalescence and more irregular-shaped nucleation. The change in the GaAs(111)B surface properties as a function of age was reflected in water droplet tests, as shown in Figs. 7(a)–7(c), where the contact angle between the water droplet and the substrate surface noticeably increased with substrate aging, signifying a surface with higher hydrophobicity. We also confirmed that air exposure expedites this change. For instance, a 2-day air exposure can yield results comparable to those achieved by storing the substrates in vacuum-sealed bags for 8 months. More interestingly, UV-ozone cleaning has been found to restore surface hydrophilicity, as exemplified in Fig. 7(d).

To understand the nature of the changes occurring on the substrate surface and their impact on hydrophobicity/hydrophilicity, as well as to understand why UV-ozone exposure restores hydrophilicity, XPS analysis was conducted on fresh, aged, and UV-treated GaAs(111)B substrates. The XPS spectra in Fig. 8 show that the aged substrate exhibits an increase of 48.6% in As_2O_3 and 59.2% in Ga_2O_3 compared to the fresh substrate. The 5-min UV-ozone treatment not only reduced organics by 55.7% but also increased As_2O_3 by 92% and Ga_2O_3 by 47%, and caused the formation of a new oxide, As_2O_5 . Exposing the surface to UV-ozone for another 5 min further increased oxides and decreased organics. It is worth noting that extending the UV-exposure time increases the proportion of As_2O_5 in As_xO_y . The compositions of C, O, As, Ga, Ga_xO_y , and As_xO_y are summarized in Table II. Since no additional findings were detected on the aged substrates other than additional oxides, and as exposure to air has been verified to accelerate the process, it is speculated that the aging is primarily caused by additional oxidation. The reason vacuum packing is unable to fully prevent oxidation is that food-grade vacuum packaging bags cannot achieve a high level of vacuum, and the residual air allows the aging process to continue slowly. As the oxide film composed of As_2O_3 and Ga_2O_3 becomes thicker and denser, the hydrophobicity of the GaAs(111)B surface increases.²⁴ It is possible that the deoxidation procedure failed to completely remove this thicker oxide, which may have led to the worse quality film. However, the RHEED images for all three substrates after deoxidation looked streaky and smooth, indicative of complete or nearly complete oxide removal. As for UV-ozone treatment, it is well known that the cleaning mechanism involves generating highly active oxygen or ozone atoms to attack organic contaminants, converting them into volatile byproducts for removal, inevitably leading to further oxidation of the sample. The UV-treated GaAs(111)B surface here displayed two features: fewer organic molecules and the emergency of As_2O_5 . While the reduction in organic impurities may contribute to the restoration of hydrophilicity, the fact that a sequential ultrasonic cleaning in acetone/IPA/DI water failed to produce the same effect suggests that the presence of As_2O_5 is a more credible explanation for the improved surface hydrophilicity.

To address the last question regarding how the substrate aging affects subsequent crystal growth under the condition of deoxidation/Se-passivation treatment, ω scans and STEM tests were performed on GaSe samples 8–10. The broadening of the rocking curve peaks in Fig. 9(a) as the substrate aging progresses suggests that aging introduces more defects into the GaSe crystals, which has been further confirmed by the STEM images. Figure 9(b) shows that the GaSe layers on a fresh substrate (sample 8) have an

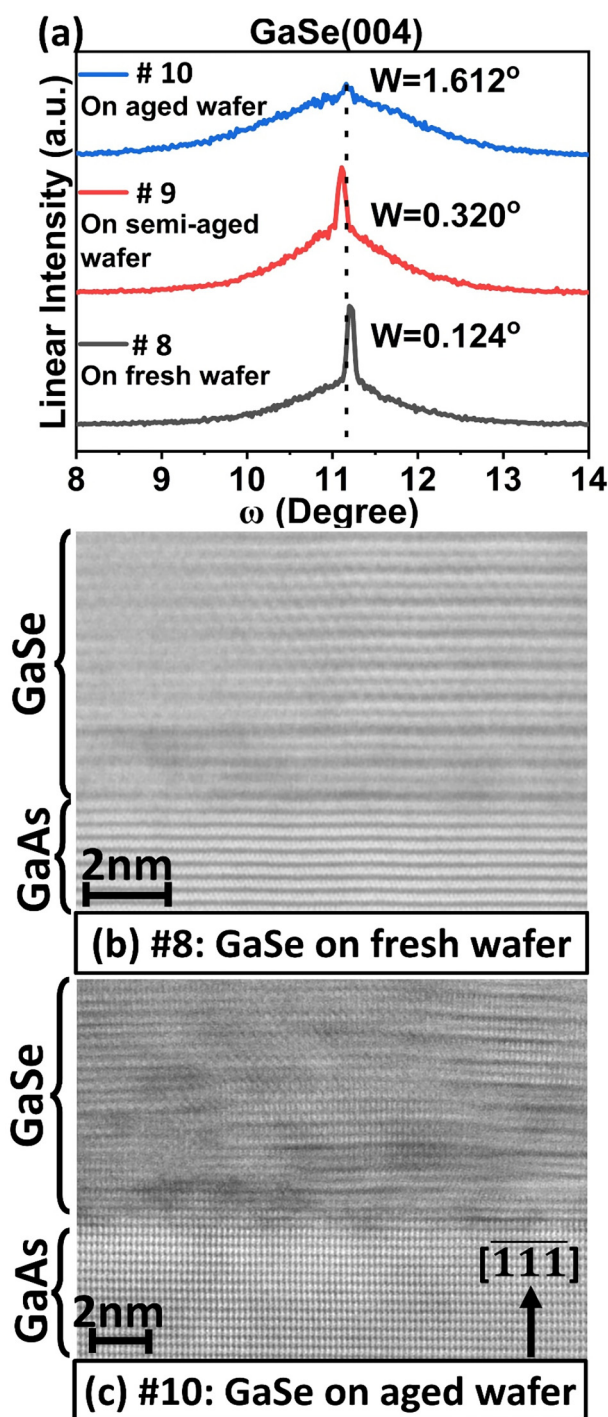


FIG. 9. (a) ω scans of GaSe samples 8–10 around the GaSe (004) plane. “W” indicates full width at half maximum (FWHM) value. Cross-sectional ADF-STEM images (low-pass filtered to reduce noise) of GaSe sample (b) 8 and (c) 10 grown on a fresh and an aged substrate, respectively. Both samples were grown under the same conditions.

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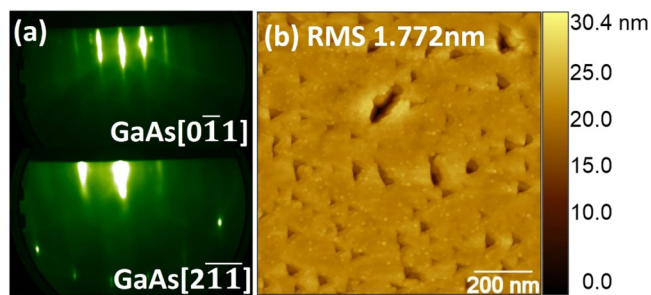


FIG. 10. (a) RHEED pattern and (b) AFM image of a GaAs(111)B wafer that was first exposed to UV-ozone for 5 min and then was thermally deoxidized under a Se flux. The annealing temperature was 680 °C, the Se flux was 1×10^{14} atoms $\text{cm}^{-2} \text{s}^{-1}$, and the annealing time was 14 min.

ordered layer-by-layer epitaxial structure and are arranged uniformly, while Fig. 9(c) reveals a substantial number of stacking faults and chaotic crystallographic arrangements within the GaSe film on the aged wafer (sample 10), and the interface exhibits a coarse texture with numerous defects. We attribute this to the thicker and non-uniform “natural” oxide. The wafers we purchase have an epi-ready oxide layer, which is thin and uniform and upon deoxidation, leaves behind a relatively smooth surface. However, as the wafer ages, we observe more oxides (As_2O_3 and Ga_2O_3) on the GaAs(111)B surface. If the oxide layer thickness is not uniform across the wafer, then upon deoxidation, the surface will be rough. The substrate, characterized by more defects, promotes the formation of more stacking faults and misalignments within the GaSe layers. Finally, although UV-ozone cleaning effectively reinstates surface hydrophilicity, it does not yield an ideal platform for further growth. This is because the UV-treated surface accumulates more and thicker oxides than natural aging, and removing these oxides requires a longer thermal treatment, severely damaging the surface. This is evident from Fig. 10: we exposed a GaAs(111)B wafer to UV-ozone for 5 min and then annealed it at 680 °C under a Se flux of 1×10^{14} atoms $\text{cm}^{-2} \text{s}^{-1}$ for 14 min, twice the optimal annealing time, as it was the point at which the sharp streaky RHEED pattern [Fig. 10(a)] was just visible. However, the deoxidized surface has many noticeable pit defects due to severe evaporation, as shown in Fig. 10(b).

V. SUMMARY AND CONCLUSIONS

In conclusion, we investigate the thermal deoxidation of GaAs (111)B substrates under a Se overpressure in the ultra-high vacuum environment of MBE and provide the optimal parameters: Se flux $\geq 1 \times 10^{14}$ atoms $\text{cm}^{-2} \text{s}^{-1}$, annealing temperature of 680 °C, and annealing time of 7 min. Using this approach, we achieve deoxidation and Se-passivation of GaAs(111)B simultaneously and obtain a smooth platform for subsequent vdW chalcogenide film growth. This approach is highly reproducible. Furthermore, we demonstrate the success and importance of Se-passivation by comparing the GaSe growth on the As-deoxidized and Se-deoxidized substrates, respectively. Theoretical calculations illustrated that the surface configuration is the most energetically favorable when Se

atoms replace 75% of the surface As atoms, therefore, it is likely the surface obtained by this optimal deoxidation/Se-passivation method. We also found that the surface hydrophobicity of GaAs (111)B increases as the substrate ages, possibly caused by a denser oxide layer. Even after deoxidation/Se-passivation using optimal conditions, aged substrates can still affect subsequent sample growth, as removing more oxides appears to cause more damage to the surface. UV-ozone treatment can restore surface hydrophilicity of GaAs(111)B, but it does not translate to a restoration of the substrate to its original conditions; it causes the thickening of the oxide layer and the birth of a new oxide, As_2O_5 . Therefore, a fresh substrate is still necessary to ensure the quality of subsequent growth. Finally, storing GaAs(111)B in food-grade vacuum packaging bags can only delay aging, but cannot completely prevent it. Storage in N_2 -purged containers may be more effective in preserving wafer condition than using food-grade vacuum sealed bags. This work offers valuable insights and experience on the preservation and treatment of GaAs(111)B substrate for growing epitaxial vdW chalcogenide films, thereby developing its applications in heterojunction devices, epitaxial growth, and other fields.

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AUTHOR DECLARATIONS

Conflict of Interest

The authors have no conflicts to disclose.

Author Contributions

Mingyu Yu: Conceptualization (equal); Data curation (lead); Formal analysis (lead); Investigation (lead); Methodology (equal); Writing – original draft (lead); Writing – review & editing (lead). **Jiayang Wang:** Data curation (supporting); Formal analysis (supporting); Investigation (supporting); Software (equal); Writing – original draft (supporting); Writing – review & editing (supporting). **Sahani A. Iddawela:** Formal analysis (supporting); Investigation (supporting); Writing – original draft (supporting); Writing – review & editing (supporting). **Molly McDonough:**

Investigation (supporting); Writing – original draft (supporting); Writing – review & editing (supporting). **Jessica L. Thompson:** Formal analysis (supporting); Investigation (supporting); Writing – original draft (supporting); Writing – review & editing (supporting). **Susan B. Sinnott:** Investigation (supporting); Methodology (supporting); Project administration (supporting); Software (equal); Supervision (supporting); Writing – original draft (supporting); Writing – review & editing (supporting). **Danielle Reifsnnyder Hickey:** Formal analysis (supporting); Funding acquisition (supporting); Investigation (supporting); Methodology (supporting); Project administration (supporting); Supervision (supporting); Writing – original draft (supporting); Writing – review & editing (supporting). **Stephanie Law:** Conceptualization (equal); Funding acquisition (lead); Investigation (supporting); Methodology (equal); Project administration (lead); Resources (lead); Writing – original draft (supporting); Writing – review & editing (supporting).

DATA AVAILABILITY

Data of this study are openly available in ScholarSphere at <https://doi.org/10.26207/gaj0-fr56>, Ref. 26.

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