

1 *Winogradsky review*

2

3 **Investigating eco-evolutionary processes of microbial community assembly in the wild**  
4 **using a model leaf litter system**

5

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12 Running head: Assembly of leaf litter communities

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18

19 **Abstract**

20

21 Microbial communities are not the easiest to manipulate experimentally in natural ecosystems.  
22 However, leaf litter – topmost layer of surface soil – is uniquely suitable to investigate the  
23 complexities of community assembly. Here, we reflect on over a decade of collaborative work to  
24 address this topic using leaf litter as a model system in southern California ecosystems. By  
25 leveraging a number of methodological advantages of the system, we have worked to  
26 demonstrate how four processes – selection, dispersal, drift, and diversification – contribute to  
27 bacterial and fungal community assembly and ultimately, impact community functioning.  
28 Although many dimensions remain to be investigated, our initial results demonstrate that both  
29 ecological and evolutionary processes occur simultaneously to influence microbial community  
30 assembly. We propose that the development of additional and experimentally tractable microbial  
31 systems will be enormously valuable to test the role of eco-evolutionary processes in natural  
32 settings and their implications in the face of rapid global change.

33

34 **Introduction**

35

36 Community assembly describes the processes that shape the identity and abundance of organisms  
37 in ecological communities [1]. These processes are key to understanding foundational principles  
38 of ecology including biogeographic patterns, community responses to environmental change, and  
39 the relationship between biodiversity and ecosystem functioning [2-4]. Understanding the  
40 assembly of microbial communities (or microbiomes) specifically can also facilitate our ability  
41 to modify or engineer them to improve human and environmental health [5, 6].

42

43 Four processes influence the assembly of ecological communities, microbial or otherwise:  
44 selection, dispersal, ecological drift, and diversification [7-9]. Ideally, one would like to  
45 manipulate the influence of each process separately and in combination while allowing  
46 community assembly to proceed over many generations, but such experiments are often  
47 impractical for plant and animal systems. Some clever experiments have been conducted that, for  
48 instance, modify dispersal or drift [10-13], but investigating diversification (i.e., evolution)  
49 during community assembly is particularly difficult [8, 14, 15]. Thus, most support for how these  
50 four interacting processes come together to shape the composition of ecological communities are  
51 derived from observed patterns, theoretical models, or laboratory studies [16-19].

52

53 Microbial communities have been useful for testing community assembly theory in the lab [e.g.,  
54 20, 21, 22], but they are not the most obvious system for testing these theories in natural  
55 ecosystems. Unlike plants, microbes are not easily “seeded” into plots, and unlike animals, they  
56 cannot be marked and recaptured. Microbial communities are also orders of magnitude more  
57 diverse than their plant and animal counterparts, and many taxa have yet to be cultured and  
58 described. At the same time, microbial communities can be easy to replicate and manipulate.  
59 Their relatively fast generation times allow for experiments to take place over many generations.  
60 And for studying community assembly in particular, an underappreciated advantage of microbial  
61 communities is that the ecological and evolutionary processes shaping them often occur  
62 simultaneously [23]. With new methods for genome-resolved sequencing, it is therefore possible  
63 to track both the ecological dynamics of a diverse microbiome and, simultaneously, the evolution  
64 of many “species” within it.

65

66 Here, we review more than a decade of collaborative efforts to study microbial community  
67 assembly in the field. We first summarize some of the benefits of our model system, the leaf  
68 litter layer of surface soil in southern California ecosystems. Our field methods are easily  
69 deployable (involving nylon mesh, duct tape, hair straighteners, and coffee grinders!) and  
70 repeatable, as confirmed by trial and error over many years. Then, we present evidence for each  
71 of the four community assembly processes working alone to influence microbial composition  
72 and, sometimes, in concert (Figure 1A). Finally, we discuss the implications of these results for  
73 ecosystem functioning and suggest future directions for research. We hope that these initial  
74 results provide inspiration for possibilities in other systems with their own unique advantages,  
75 while recognizing that parallel efforts by other researchers are already ongoing. After all, we will  
76 need a variety of experimental systems where eco-evolutionary processes can be iteratively  
77 examined and manipulated to develop a general understanding of microbial community assembly  
78 and its implications in the face of global environmental change.

79

## 80 **Leaf litter microbiome as a model for community assembly**

81

82 Decomposition of leaf litter is an essential component of terrestrial carbon and nutrient cycling  
83 largely governed by microorganisms. The leaf litter layer is the collection of dead and decaying  
84 plant biomass (leaves, shoots, and woody debris) that makes up the topmost layer of soil. Leaf  
85 litter influences the bulk (mineral) soil below, altering abiotic properties such as light, moisture,  
86 and temperature. During decomposition, microorganisms both mineralize carbon compounds in  
87 leaf litter producing CO<sub>2</sub> and contribute to the production of stable, recalcitrant soil organic

88 matter through plant biomass processing and necromass formation [24, 25]. Microbial  
89 degradation also releases nutrients into the surrounding bulk soil, altering resource availability  
90 for plants and soil fauna and mediating the flow of carbon and nutrients from the surface into  
91 deeper soil [26]. In temperate ecosystems where we work, the litter layer is seasonally dynamic.  
92 A large pulse of litter accumulates at the end of the wet season and then slowly decays  
93 throughout the rest of the year. The physical architecture of the leaf litter layer varies greatly  
94 across ecosystem types. In forests and shrublands, fallen leaves constitute a large portion of the  
95 leaf litter, whereas in grasslands and some croplands, standing dieback contributes a large  
96 amount of litter mass.

97

98 Beyond its role in ecosystem functioning, leaf litter has several useful features that lend itself to  
99 studying community assembly. First, it is naturally patchy, allowing the easy application of a  
100 metacommunity framework to the system [1]. A community can be defined by a single leaf or  
101 patches of leaves connected by dispersal. Second, the leaf litter layer experiences more  
102 environmental variation than the bulk soil below. At our field site, and in many locations, the soil  
103 surface can be quite hostile in terms of UV and moisture stress, and temperature and moisture  
104 fluctuate daily and seasonally. Thus, leaf litter communities are likely more sensitive to  
105 environmental change and experimental treatments than are communities deeper in the soil  
106 profile [27]. Third, the leaf litter layer is readily accessible and naturally replenishes. Repeatedly  
107 sampling leaf litter is less destructive than taking soil cores, allowing for longitudinal sampling  
108 in the same locations without disrupting the bulk soil structure. Finally, microbial diversity in  
109 leaf litter is high, but manageable. Both the bacterial and fungal communities are more diverse  
110 than laboratory consortia, while less diverse (both in richness and evenness) than those in bulk

111 soil or sediments. Consequently, there is hope of attaining a detailed understanding of the  
112 biology of the most abundant members of the community, while studying complex community  
113 dynamics in the environment.

114

115 We have further developed and refined several methods that make leaf litter a practical field  
116 system. First, it is relatively easy to measure key ecological metrics of leaf litter compared to  
117 bulk soil. For instance, quantifying microbial abundance by microscopy (for fungi) or flow  
118 cytometry (for bacteria) is easier than in bulk soil [28]. It is also straightforward to assess  
119 decomposition by measuring mass loss from the litter bags over time [29] and to measure  
120 potential extracellular enzyme activity and leaf litter chemistry [30, 31]. We have also isolated  
121 many of the most abundant bacterial and fungal taxa from our local leaf litter by culturing them  
122 on media made from litter leachate. Hence, we can create ecologically relevant consortia [32, 33]  
123 and investigate the phenotypic diversity of these taxa [34, 35].

124

125 Most importantly for studying community assembly, however, the microbial community in leaf  
126 litter can be manipulated separately from the abiotic environment and the litter substrate (which  
127 may differ in carbon and nutrient resources, pH, and moisture retention). To do this, we reduce  
128 the abundance of the resident community using gamma irradiation and/or autoclaving and then  
129 re-inoculate the litter with a small amount (1% w/w litter) of an intact field community.

130 Although completely sterilizing the litter is unlikely (and nearly impossible to demonstrate), the  
131 procedure successfully “grafts” the inoculum community onto the original litter substrate such  
132 that the new community closely matches the inoculum community and not the original  
133 community [36]. The community is then enclosed in a mesh litterbag that allows moisture and

134 nutrients to flow through and, depending on the membrane pore size, either blocks or allows  
135 dispersal of bacteria, fungi, soil fauna, and larger animals (Figure 1B,C). These microbial  
136 “cages” provide a way to replicate a homogenized inoculum community into replicate patches  
137 and to transplant them into different environments (treatments or sites) [37].

138

139 There are also caveats to using these cages for manipulating the leaf litter community. Although  
140 microbial composition within the bags is similar to the surrounding leaf litter, nylon mesh blocks  
141 sunlight and may trap moisture, altering the abiotic environment compared to the surrounding  
142 area. In addition, viruses and very small cells may still get into the “closed” cages that aim to  
143 exclude microbial dispersal. Similarly, there may be undetectable damage to the integrity of the  
144 cages in the field that allows for mixing with nearby communities. For these reasons, we always  
145 include controls, such as litterbags that are open to dispersal and/or bags inoculated with the  
146 local community, to account for these potential issues.

147

#### 148 **Evidence of the four assembly processes at work**

149

150 Leveraging the methodological advantages of leaf litter, we have conducted a variety of field  
151 (and lab) experiments on their microbial communities. Below we summarize these studies and  
152 synthesize key outcomes from this system. Although the assembly processes are highly  
153 intertwined, we discuss them separately for organizational purposes.

154

155 *Selection*

156

157 Evolutionary biologists define selection as shifts in allele frequencies within a population due to  
158 differential fitness of individuals. However, this definition of selection can be expanded to the  
159 community level whereby shifts in the frequencies of species (or taxa or other units) reflect  
160 fitness differences among phenotypes [8]. Thus, the effect of selection by abiotic or biotic factors  
161 on the relative abundance of microbial taxa, also known as species sorting [38], can be assessed  
162 within an entire community.

163

164 In leaf litter, we have focused on how selection by environmental change may influence  
165 microbial community assembly. Our main site for studying this has been the Loma Ridge Global  
166 Change Experiment (LRGCE) in Irvine, California. This experiment was established in 2007 to  
167 simulate the increased frequency of drought and nitrogen availability in two dominant ecosystem  
168 types (a semi-arid grassland and coastal sage scrubland, CSS) in the area [39]. We have  
169 characterized the effects of the experimental treatments on the bacterial and fungal communities  
170 in leaf litter from these plots for over a decade (Figure 2A).

171

172 Simulated global changes select for distinct bacterial and fungal communities in these  
173 ecosystems, as observed previously in many other experiments [40, 41]. Drought, nitrogen  
174 addition, and their interaction alter microbial community composition in the leaf litter (Figure  
175 2B) as well as the bulk soil [27, 42, 43], even when controlling for differences in litter substrate  
176 and its successional stage. Further, the responses of the microbial community to global change  
177 depend on the plant community [43]. Drought does not select for the same microbial taxa within  
178 the grassland as it does within the CSS, although these ecosystems are immediately adjacent to  
179 one another and experience the same climate. This interactive effect thus indicates that the

180 response to drought is mediated by biotic resources including, for instance, the chemical  
181 composition of the leaf litter [44, 45]. As a result, some global change responses may be difficult  
182 to transfer between ecosystems [46]. In contrast, bacterial community assembly after a wildfire  
183 at the LRGCE did not depend on the ecosystem or precipitation history [47]. Instead, wildfire  
184 selected for known, fire-loving taxa including the bacterial genus *Massilia* [48, 49].

185

186 We have further sought to understand the importance of global change relative to other factors  
187 that influence microbial community assembly. In fact, a large amount of community variation  
188 within our site can be attributed to seasonal or interannual variation (~10-39%) that is likely  
189 driven by a combination of fluctuations in temperature, moisture, UV, and the successional stage  
190 of the leaf litter (Figure 2C) [27, 42]. In comparison, simulated global changes have a more  
191 modest effect on microbial community composition in the leaf litter. For instance, across our  
192 studies, drought consistently explains about 4% of variation in bacterial composition, although  
193 the strength of this effect increases to 10% if interactive effects (i.e., drought x time and drought  
194 x ecosystem) are also considered [27, 43, 50]. Temporal variability in aquatic systems has long  
195 been recognized [51, 52], yet such high intra- and inter-annual variability in surface soils has  
196 been less studied. This bias may be partly due to the disruptive nature of taking soil cores from  
197 the same plot over time, leading soil researchers to be cautious about the number of samples they  
198 collect. Thus, another benefit of the leaf litter system is that its regenerative nature lends itself to  
199 long-term longitudinal sampling.

200

201 This large “background” of temporal variability in our system has several important implications  
202 for investigating community assembly processes. First, it means that selection by a treatment can

203 be missed without enough replicates and/or longitudinal sampling due to a lack of statistical  
204 power. Second, it suggests that the effect of global change factors may vary over time. For  
205 instance, the timing of a drought – whether it occurs during a wet or dry year or season – may  
206 alter its effect on community assembly. Indeed, we often detect an interactive effect between  
207 drought and sampling time on the composition of the leaf litter community [42, 43]. Third, this  
208 background variation is itself context dependent. The impact of environmental change on the soil  
209 communities at our site – whether drought, wildfire, and more generally, temporal variability – is  
210 strongest in the leaf litter layer and weakens with soil depth (Figure 2C) [27]. Together, these  
211 results highlight the context dependency of selective forces on community assembly.

212

213 Moving forward, a goal is to understand the effects of selection on microbial community  
214 composition in a more mechanistic way: can we predict the results of community assembly under  
215 different abiotic and biotic conditions? Although we typically measure composition in terms of  
216 taxa or other units of biodiversity, selection ultimately increases or decreases the abundance of a  
217 taxon because of its traits, or characteristics. Thus, one approach that may provide a more  
218 predictive understanding of community responses to particular conditions is to identify the key  
219 traits under selection [53-55]. Unfortunately, it is not a simple task to identify which traits matter  
220 under a particular selection regime [55]. Towards this end, we have focused on an abundant leaf  
221 litter bacterium, *Curtobacterium* (family Microbacteriaceae, phylum Actinomycetota), that is  
222 globally distributed [56] and easily cultured. Sequencing of our isolates revealed extensive  
223 genomic diversity that clusters into clades and subclades within those clades [57]. Yet traditional  
224 classification methods fail to capture this diversity; all *Curtobacterium* genomes would collapse  
225 into two OTUs (defined at 97% 16S rRNA gene sequence similarity) or four exact sequence

226 variants (ESVs) [58]. Physiological assays also revealed that clades within the genus could be  
227 distinguished by their ability to degrade carbon sources, form biofilms, and grow under different  
228 temperatures [59]. We therefore hypothesized that these traits would relate to the ability of the  
229 strains to survive and reproduce on leaf litter across a range of temperatures and moisture stress.

230

231 The combination of genomic and physiological data allowed us to designate *Curtobacterium*  
232 ecotypes [59], defined as highly similar genotypic and phenotypic strains that occupy the same  
233 ecological niche [60, 61], a concept somewhat comparable to a eukaryotic species. The ecotypes

234 further vary in their biogeographic distribution in sites along a climate gradient (Figure 2D) [59].

235 After controlling for the litter substrate using our microbial cages, *Curtobacterium* traits  
236 correlate with the climate gradient, indicating that both climate conditions and litter substrate  
237 select for the composition of ecotypes present at a site [58]. Thus, even though predicting the  
238 selective effects on microbial community composition is still overwhelming, it is not  
239 inexplicable. A focus on a subset of diversity, combined with experimental manipulation,  
240 allowed us to identify traits that underlie climatic responses.

241

242 *Dispersal*

243

244 Compared to selection, the role of dispersal in microbial community assembly remains less clear  
245 [22, 62]. Biogeographic patterns provide indirect evidence that dispersal – defined broadly as the  
246 movement of organisms across space – might shape microbial composition [9, 63, 64], but more  
247 direct evidence is desirable. Given their ease of manipulation, microorganisms have been used  
248 extensively in lab experiments to test the influence of dispersal on community assembly,

249 microbial or otherwise. Indeed, many studies demonstrate that dispersal has the potential to be a  
250 powerful force in community assembly [e.g., 65, 66]. However, the details of these experiments,  
251 including the rate and composition of the individuals dispersing, are somewhat arbitrary [67].

252

253 Experiments are thus needed to assess the impact of microbial dispersal in natural systems. Leaf  
254 litter is a particularly interesting system to study dispersal given the regular inputs of new  
255 resources (freshly fallen plant biomass) and its location at the soil-atmosphere interface.

256 Moreover, a first step to measuring the impact of dispersal on a community is to test the effect of  
257 removing it [e.g., 68, 69]. In leaf litter, we can accomplish this by altering the mesh size of the  
258 litter bag to compare microbial community assembly in closed litter bags (0.22  $\mu\text{m}$  pores) versus  
259 open litter bags (window screen or 18  $\mu\text{m}$  pores to exclude some fungi). Using this approach, we  
260 find that dispersal consistently alters the richness, evenness, and composition of the leaf litter  
261 microbial community (Figure 3A) [37, 70]. Further, dispersal and selection can interact to alter  
262 leaf litter composition [37]. For instance, dispersal significantly contributed to the re-assembly of  
263 bacterial and fungal communities after a wildfire, but this effect depended on the ecosystem [47].

264

265 Although these results provide *in situ* evidence that dispersal contributes to microbial assembly,  
266 they do not consider which microbes are dispersing, from where, and how fast. Details of these  
267 rates and routes are needed to develop a deeper understanding of microbial dispersal and how it  
268 interacts with other assembly processes. Given that tracking the movement of individual  
269 microbes in the field is impractical, we deployed sterile glass slides as microbial “traps” (Figure  
270 3B). In this way, we can quantify the rate and composition of microorganisms landing on the  
271 slides [67]. At the LRGCE, we observed an average of 7,900 bacterial cells/cm<sup>2</sup> immigrating

272 daily into the soil surface and found distinct communities dispersing via different routes, defined  
273 as a combination of the source community (e.g., air or soil) and the physical vector (e.g., rain or  
274 wind) [71]. Further, exposure to different dispersal routes altered the succession of the microbial  
275 community (Figure 3C) [71].

276

277 Together, this collection of experiments reveals that dispersal not only contributes to community  
278 assembly, but that – like selection – its effects are context dependent. For instance, dispersal into  
279 the soil surface from the bulk soil appears to be minimal at our site but became more important  
280 after wildfire removed the surface litter layer [47]. Moreover, the effects of dispersal on  
281 composition are highest during the early stages of litter succession such as after a wildfire or  
282 from a green to a senescing leaf.

283

284 *Drift*

285

286 Ecological drift is the process by which random changes in species relative abundances lead to  
287 diversity. Although thought of as its own process, drift is intricately connected to the processes  
288 of selection and dispersal. Specifically, the impact of drift on community assembly is thought to  
289 increase with weak selection pressures and low dispersal [8, 72]. Thus, disentangling the impact  
290 of drift from other assembly processes is a significant challenge in microbial communities [73].

291

292 Given these challenges, we first investigated the role of ecological drift on leaf litter  
293 communities using a theoretical model [74]. The Decomposition Model of Enzymatic Traits  
294 (DEMENT) simulates microbial communities that produce extracellular enzymes and

295 decompose decaying litter [75]. The impact of drift was assessed by quantifying the degree to  
296 which random differences in births, deaths, and dispersal affected the composition of simulated  
297 communities. Lower dispersal rates led to higher levels of stochasticity (i.e., higher  
298 compositional variation among modelled communities). However, drift also played a large role  
299 under high dispersal rates when selection pressure was also high. Communities on chemically  
300 complex litter substrate were more susceptible to drift because this highly selective environment  
301 reduced total microbial abundance (Figure 4A) [74].

302

303 To move from theory to the field, we next aimed to quantify the effect of ecological drift on leaf  
304 litter communities by eliminating the effect of other processes, specifically selection and  
305 dispersal. Just as evolutionary biologists measure the effect of genetic drift on populations using  
306 highly controlled laboratory experiments [76], we can use our litter bags to minimize  
307 confounding field variables to “isolate” the effects of stochastic variation – something that is  
308 challenging to do in most other systems [37]. To reduce biological heterogeneity, we inoculated  
309 a homogenized microbial community into multiple litter bags filled with irradiated leaf litter.  
310 Then, to reduce environmental heterogeneity, we deployed the litter bags within a small (1m<sup>2</sup>)  
311 area at the LRGCE site. Parallel to the theoretical experiments described above, we further  
312 manipulated dispersal (open and closed litterbags) and the selective environment (added water  
313 versus ambient rainfall) to test whether drift interacts with dispersal and selection, as observed in  
314 the theoretical model [74].

315

316 Using this highly controlled field litterbag experiment, we found that stochasticity (ecological  
317 drift, potentially amplified by priority effects) influenced bacterial community assembly,

318 contributing three times more to compositional variation than dispersal [37] (Figure 4B).  
319 Contrary to our model, however, stochasticity (as quantified by beta-diversity) decreased, rather  
320 than increased, with reduced dispersal. Further, the effects of drift were not restricted to  
321 taxonomic composition but also permeated to impact other key aspects of the community  
322 including functional potential and extracellular enzyme activity. We also found that much of the  
323 measured variation among replicates could be attributed to methodological factors such as  
324 technical error and spatial heterogeneity within bags; this residual variation accounted for ~75%  
325 of the observed variation in community composition (Figure 4B). This result highlights that the  
326 effect of drift on microbial community assembly will be overestimated if these sources of  
327 variability are not quantified.

328

329 *Diversification*

330

331 The fourth process of community assembly, diversification, is often mentioned, but rarely  
332 investigated within the time frame of an ecological study. Even though bacteria can evolve quite  
333 rapidly, entirely new bacterial “species” (as measured by the divergence of the 16S rRNA gene)  
334 will not emerge for millions of years [77]. Nonetheless, evolution may be occurring within a  
335 microbial community at a finer-scale genetic resolution. However, detecting these changes  
336 amongst hundreds or thousands of microbial species within a microbiome is a challenge. Thus,  
337 the ability for microbes to evolve, let alone to adapt, on ecological timescales remains largely  
338 unexplored in natural ecosystems.

339

340 To investigate the potential for rapid evolution in leaf litter microbial communities, we first  
341 asked whether we could detect the emergence of *de novo* mutations. Once again, we used our  
342 microbial cages to conduct a field experiment. Mirroring laboratory evolution experiments [76,  
343 78], we inoculated replicate litter cages with a single isogenic *Curtobacterium* strain. We then  
344 deployed the cages across an elevational gradient of temperature and precipitation. Every 6  
345 months, we reisolated bacterial colonies from each cage and identified a variety of nonrandom,  
346 parallel single nucleotide polymorphisms (SNPs) that we confirmed with metagenomic  
347 sequencing. SNPs were found in genes related to nutrient acquisition, stress response, and  
348 exopolysaccharide production (Figure 5A) [58]. These mutations provide a new source of  
349 genetic diversity that might allow for adaptation, but further work is needed to determine if these  
350 mutations impact organismal fitness.

351

352 Evolution occurs not only through new mutations, but also through shifts in standing genetic  
353 variation within a population. Microbial species, or ecotypes, encompass standing genetic  
354 variation that often coexists within an ecosystem [79, 80]. This so-called “microdiversity” is also  
355 observed within *Curtobacterium* in leaf litter. To track this finer diversity, we developed genus-  
356 specific primers of a protein encoding gene (*groEL*). *Curtobacterium* microdiversity – here, the  
357 relative abundance of exact sequence variants of the *groEL* gene – responded to selection by  
358 drought and the litter substrate within the global change experiment (Figure 5B) [81]. Thus,  
359 responses at this fine level of genetic resolution reflect shifts in allele frequencies, a phenomenon  
360 that, among larger organisms, would be thought of as an evolutionary process [82].

361

362 The evolutionary process that we arguably know least about within microbial communities is  
363 recombination. This is an unfortunate gap as gene flow, or the exchange of genetic variation, is  
364 what delineates populations, which are often considered the fundamental unit of evolution. Using  
365 a collection of *Curtobacterium* isolates from across southern California, we identified at least  
366 three recombining populations of *Curtobacterium* within one subclade of an ecotype [83]. The  
367 populations were delineated using gene flow discontinuities, where we quantified signals of  
368 increased “recent” recombination among strains that were clustered into discrete populations  
369 (Figure 5C) [84]. Strains within a population shared more flexible genes than expected by  
370 chance, and recombination of population-specific genes appeared mediated by homologous  
371 recombination. Bacteria can also exchange genes via horizontal gene transfer by plasmids, a  
372 pattern we also observed among our *Curtobacterium* isolates. Using long-read sequencing our  
373 isolates, we identified numerous plasmids that vary greatly in their size and genetic content, even  
374 among very closely related isolates [85]. The plasmids encode a diversity of traits that are not a  
375 random subset of chromosomal traits, ranging from genes involved in carbon and nitrogen  
376 cycling to cell motility. Yet, the time-scale upon which recombination (through homologous  
377 replacement of short gene segments or transfer of an entire plasmid) contributes to  
378 *Curtobacterium* diversity in leaf litter remains unclear. We do not yet know how often strains are  
379 exchanging genetic information – either via plasmids or recombination – in leaf litter for the  
380 observed patterns to emerge.

381

382 Overall, zooming into just a single bacterial genus allowed us to highlight the potential for rapid  
383 evolution to influence genetic diversity in the leaf litter microbiome. This picture is admittedly  
384 still limited. We have yet to assess the time-scale of recombination, including horizontal gene

385 transfer, within soil microbial communities despite its inferred importance for microbial  
386 adaptation [86]. These details are needed to provide a holistic understanding of the potential for  
387 microbial communities to adapt to future environmental change. The answer, for instance, could  
388 depend on the relative importance of diversity generated by rapid evolution versus that  
389 contributed through dispersal [19, 77].

390

### 391 **Implications for community functioning**

392

393 Our work in leaf litter illuminates how a range of ecological and evolutionary processes can  
394 contribute to the assembly of environmental microbiomes. These results in themselves provide  
395 useful examples for the generation and maintenance of diversity within microbiomes and  
396 ecological communities more generally. Yet the question remains: does community assembly  
397 lead to communities that are functionally distinct?

398

399 Thus far, we have limited ourselves to discussing the results of our experiments as they pertain to  
400 community assembly. However, in many of the studies, we also measured functional metrics of  
401 decomposition, allowing us to also address the idea of functional redundancy. Specifically, the  
402 microbial cages allow us to disentangle the influence of the abiotic environment from the initial  
403 microbial community composition on functional outcomes, which we measure later in an  
404 experiment [87]. For instance, we found that drought communities (leaf litter communities  
405 assembled under drought conditions at the LRGCE) altered litter decomposition rates separate  
406 from the abiotic effect of drought itself (Figure 6A). Indeed, the effect of community  
407 composition was as large as the abiotic effect of drought (Figure 6B) [29, 88]. Similarly, leaf

408 litter communities assembled along a climate gradient in Southern California decomposed litter  
409 at different rates when transplanted to a common environment along the gradient [36]. Further,  
410 the resulting chemistry of the decomposed leaf litter depended on the initial microbial inoculum,  
411 revealing that different communities utilize unique sets of compounds in the litter. Both of these  
412 studies demonstrate that selection altered community assembly that, in turn, resulted in  
413 functionally divergent communities.

414

415 The processes of dispersal and drift can also influence the functioning of leaf litter communities.  
416 Communities exposed to dispersal initially decomposed leaf litter more than twice as fast as  
417 communities closed to dispersal, an effect that dampened during later stages of leaf  
418 decomposition (Figure 6C) [71]. And, as previously mentioned, ecological drift not only  
419 impacted taxonomic composition, but permeated to impact functional potential and extracellular  
420 enzyme activity (Figure 4B) [37].

421

422 We would also like to understand the particular traits in a community that are responsible for  
423 changes in overall functioning. In the lab, leaf litter bacteria vary widely in their use of simple  
424 carbon substrates and the rate at which they decompose complex leaf litter [34]. In the field,  
425 metagenomic sequencing reveals that nitrogen cycling genes and carbohydrate degradation genes  
426 vary between the global change treatments at the LRGCE [31, 89]. In one particular experiment,  
427 we examined the composition of glycoside hydrolase (GH) genes that degrade different  
428 polysaccharides in leaf litter bags transplanted into the different LRGCE treatments. Drought,  
429 but not nitrogen addition, shifted GH gene composition, suggesting a mechanistic reason for why

430 decomposition was more resilient to changes in nitrogen than to changes in rainfall (Figure 6C)  
431 [88].

432

### 433 **Moving forward**

434

435 By focusing on the leaf litter system, we have derived a more detailed understanding of the  
436 drivers and context-dependency of the processes driving microbial community assembly.  
437 However, despite many years of work, there are still large gaps in our knowledge about this one  
438 system. In particular, we have focused on bacteria and (some) fungi but have neglected the  
439 impact of macro- and microfauna that breakdown larger fragments of leaf litter and potentially  
440 disperse microorganisms in the field. Some of these organisms, like nematodes, also graze on  
441 microbes and thus may considerably impact the microbial community [90, 91]. For instance,  
442 shifts in microbivore composition contributed to the differential assembly of microbial  
443 communities exposed to dispersal and those that were not [92, 93]. We have also nearly  
444 completely ignored how microbe-microbe interactions, including synergistic and antagonistic  
445 interactions between bacteria and fungi, impact community assembly [94, 95]. Similarly,  
446 bacteriophages may modify bacterial communities through predator-prey interactions and are  
447 known to be abundant and dynamic in ecosystems like ours [96].

448

449 Thus far, we have primarily focused on quantifying the effects of one process at a time, they will  
450 co-occur and likely interact. In particular, although we have only begun to explore the role of  
451 contemporary evolution for community assembly in leaf litter, it is clear that ecological and  
452 evolutionary processes occur simultaneously. Selective forces such as those imposed by global

453 environmental changes shift allele frequencies within *Curtobacterium* species and the frequency  
454 of other, broader taxa in the community. And, just as we have observed interactions between  
455 ecological processes [37], we expect that evolutionary and ecological processes will also interact,  
456 resulting in eco-evolutionary feedbacks [97]. Microbial communities thus offer an opportunity to  
457 test the role of eco-evolutionary feedbacks in natural settings and, with further advancement of  
458 genome-resolved tools, assess their effects at different biological scales of organization within  
459 the same community. Given the central role of microorganisms to ecosystem functioning, these  
460 dynamics may indeed be important for climate feedbacks and mitigation [98, 99].

461

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## 471 **Competing Interests**

472

473 The authors declare no competing financial interests.

474 **References**

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813

814 **Figure legends**

815

816 **Figure 1. Leaf litter as an experimental system in the field.** (A) An overview of the four  
817 processes, their links to microbial community assembly and functioning, and the types of  
818 experiments and measurements that we have used to investigate each link. (B) Leaf litter-  
819 containing bags, or microbial “cages,” on the soil surface of a pine-oak and (C) grassland  
820 ecosystem. The cages are made from nylon mesh that prevents microbial dispersal and placed in  
821 larger metal screening to prevent animal disturbance.

822

823 **Figure 2. Selection by the abiotic and biotic environment affects leaf litter community**  
824 **assembly.** (A) A microbial cage experiment in the Loma Ridge Global Change Experiment  
825 (LRGCE) simulated drought plots following a wildfire. Polyethylene sheets are pulled over the  
826 plots during half of the annual rainfall events at the site to exclude ~50% of the annual  
827 precipitation. (B) Non-metric multidimensional scaling ordination (NMDS) depicting how  
828 bacterial community composition on grassland leaf litter varies across the four LRGCE treatment  
829 combinations. Redrawn from elsewhere [42]. (C) Estimated percent variation explained by  
830 factors significantly impacting bacterial community composition in the leaf litter layer, top 2 cm  
831 of bulk soil, and top 10 cm of bulk soil at the LRGCE. Reprinted with permission from [27]. (D)  
832 Absolute abundances (by cell count) of the most abundant *Curtobacterium* ecotypes (+/- 1 SD)  
833 in the leaf litter layer at five sites across a climate gradient. Redrawn from elsewhere [59].

834

835 **Figure 3. Dispersal from different routes alters microbial communities in the field.** (A)  
836 Effects of dispersal limitation on bacterial evenness from a field experiment. Line color

837 represents the treatment type: litterbags closed to dispersal (orange), litterbags open to dispersal  
838 (purple), nylon-containing bags open to dispersal (light blue), and leaf litter collected from the  
839 surrounding environment (green). Reprinted from elsewhere [70]. (B) Glass slide “trap” used to  
840 capture microorganisms dispersing into the soil surface. (C) NMDS of bacterial composition in  
841 litterbags exposed to different dispersal routes in a grassland (closed = no dispersal; elevated =  
842 dispersal from air; overhead = dispersal from air and surrounding environmental litter; open =  
843 dispersal from air, environmental litter, and bulk soil). Reprinted from elsewhere [71].

844

845 **Figure 4. *In silico* and experimental evidence that drift contributes to microbial community**  
846 **assembly.** (A) Dissimilarity (within-group distance) of replicate communities within lignin/N  
847 treatments that were exposed to different dispersal rates for 6 years simulated by the  
848 Decomposition Model of Enzymatic Traits (DEMENT). The bottom right of each panel shows P-  
849 values for the null hypothesis that within-group distances across lignin/N treatments are equal in  
850 a single dispersal level. Stochasticity increases (higher within-group distance) with low dispersal  
851 rates and stronger selection (higher lignin/N values). Adapted from elsewhere [74]. (B)  
852 Estimated percent variation of bacterial community composition (assayed by 16S rRNA gene  
853 amplicon and metagenomic sequencing) from litterbags in the field explained by a precipitation  
854 treatment, dispersal, and their interaction. Within-bag variation (stochasticity) and unexplained  
855 (residual) variation was also estimated for ecosystem functioning metrics including extracellular  
856 enzyme assays (EEA), litter chemistry, and litter mass loss. Reprinted from elsewhere [37].

857

858 **Figure 5. Rapid evolution of a leaf litter bacterium in the field.** (A) Mutations identified in a  
859 *Curtobacterium* strain that was transplanted in litterbags across a climate gradient (red = Desert,

860 orange = Scrubland, green = Grassland, blue = Pine-Oak, and purple = Subalpine). Mutations in  
861 112 evolved strains isolated from five sites along the climate gradient at 6 (Time point 1), 12  
862 (T2), and 18-month (T3) intervals. Nonrandom mutations also observed in the population  
863 (metagenomic) data are denoted for synonymous (syn), nonsynonymous (nonsyn), and nonsense  
864 mutations. Reprinted from elsewhere [58]. (B) NMDS of *Curtobacterium* microdiversity (ESVs  
865 of the *groEL* gene) from grass litter collected from ambient and reduced precipitation plots in the  
866 grassland and coastal sage scrubland (CSS) at the LRGCE. Centroids of each ecosystem x  
867 precipitation treatment combination are marked by black circles, and the centroids of all samples  
868 from each ecosystem are marked by a black X. Inset indicates the direction and strength of  
869 correlation with *Curtobacterium* subclades. Reprinted from elsewhere [81]. (C) Recombination  
870 network across all pairwise combinations of 26 *Curtobacterium* strains. Thicker edges represent  
871 increased recombination between strains. Nodes are colored by population designation where  
872 populations are defined as groups with the potential to exchange genetic material. Node size  
873 indicates the number of clonal clusters (strains too closely related to differentiate recombination).  
874 Isolation sources: D, desert; Sc, scrubland; G/MMLR, grassland; SS, Salton Sea; MCBA,  
875 Boston, MA. Reprinted from elsewhere [83].

876

877 **Figure 6. Differential assembly of leaf litter microbial communities impacts decomposition.**  
878 Effect of (A) microbial origin and (B) contemporary plot environment on percentage mass loss in  
879 litterbags during the first year of a reciprocal transplant experiment. Microbial origin refers to  
880 leaf litter community inoculum that was exposed to either ambient (control) or reduced (drought)  
881 precipitation at the LRGCE. Adapted from elsewhere [29]. (C) Mass loss of leaf litter closed to  
882 dispersal in the field compared to litter exposed to all dispersal (Open) and litter exposed to

883 dispersal from above the soil surface (Overhead). Exposure to dispersal accelerated leaf litter  
884 decomposition in the first month of the experiment. Reprinted from elsewhere [71]. (D) NMDS  
885 depicting that the drought, but not added nitrogen, treatment altered glycoside hydrolase  
886 composition of the bacterial communities on leaf litter. Redrawn from elsewhere [88].  
887

## A Processes

## Community assembly

### Selection



- Climate
- Nutrients
- Carbon chemistry

### Dispersal



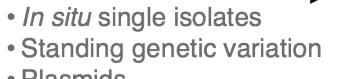
- Open vs. closed litterbags
- Glass slide traps

### Drift

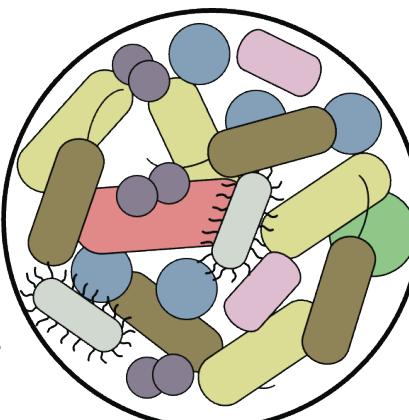


- Replicate communities
- Theoretical model
- Acct for methodological error

### Diversification



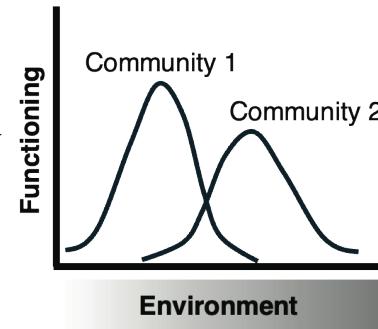
- *In situ* single isolates
- Standing genetic variation
- Plasmids



Community assembly

- Litter mass loss & chemistry
- Reciprocal transplants
- Functional gene composition

## Functional Implications

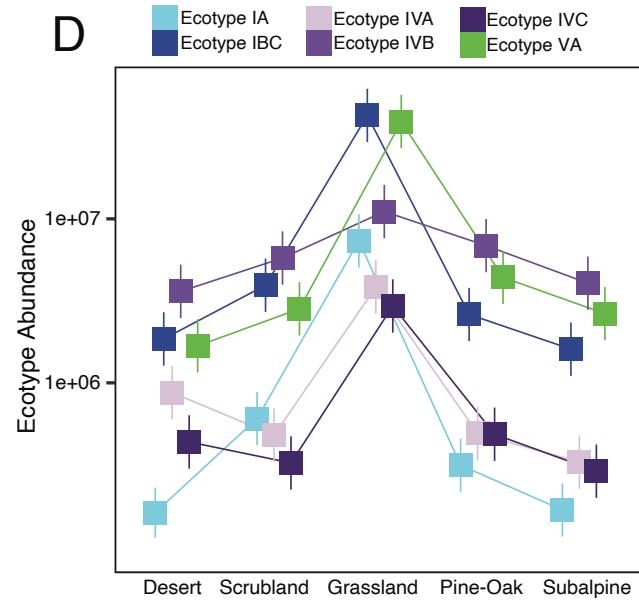
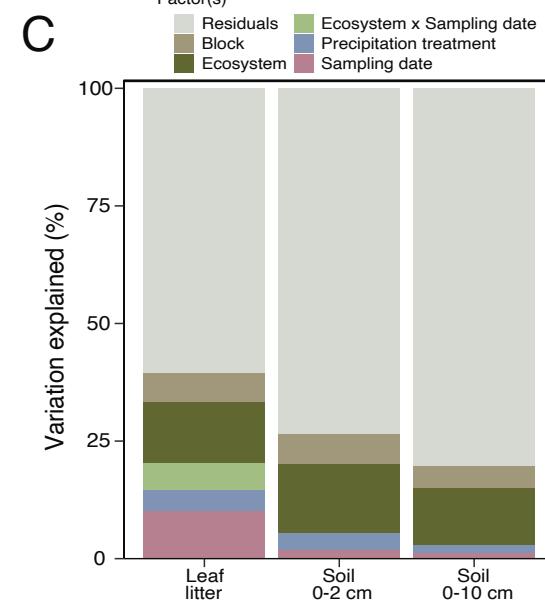
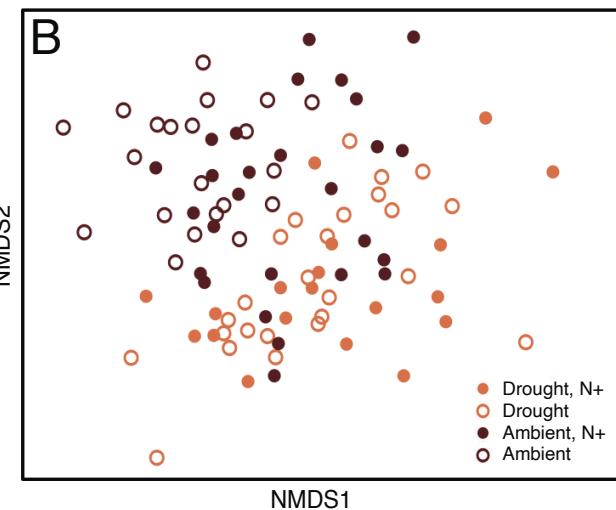


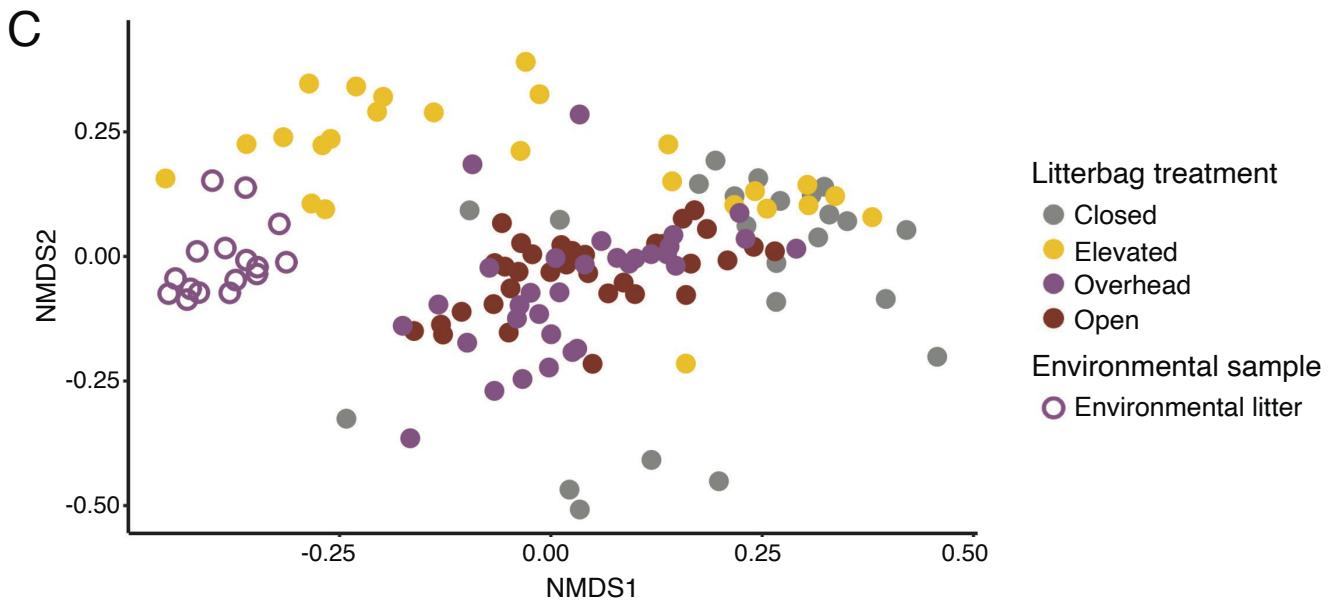
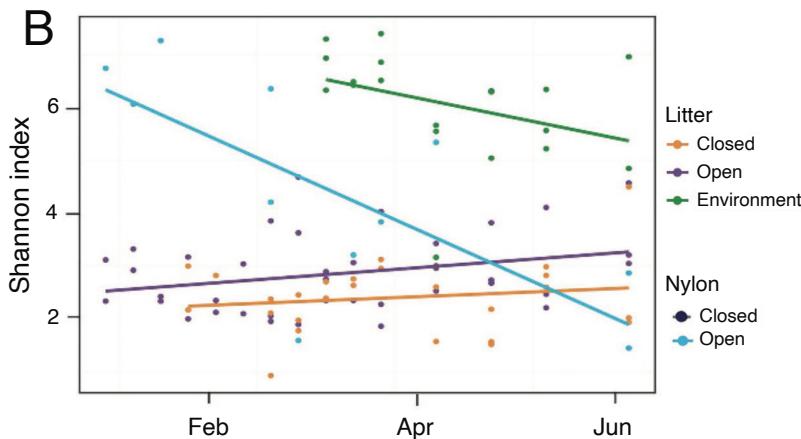
## B

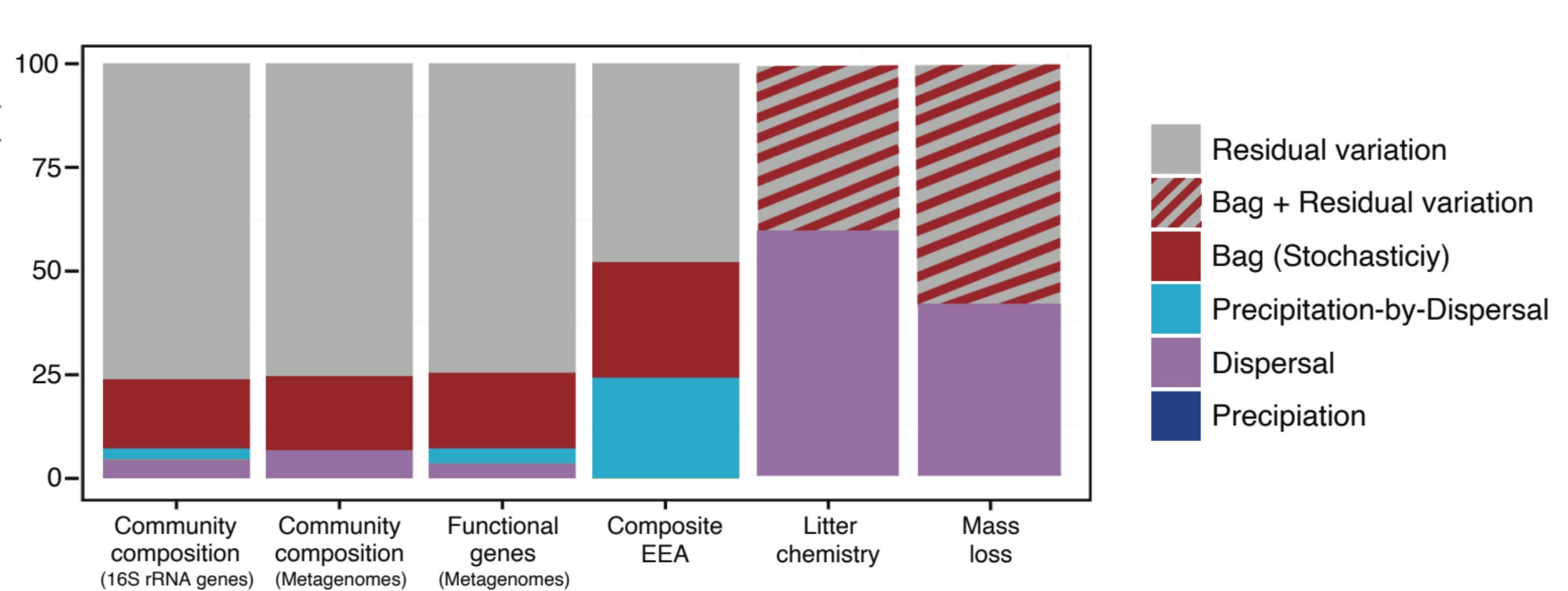
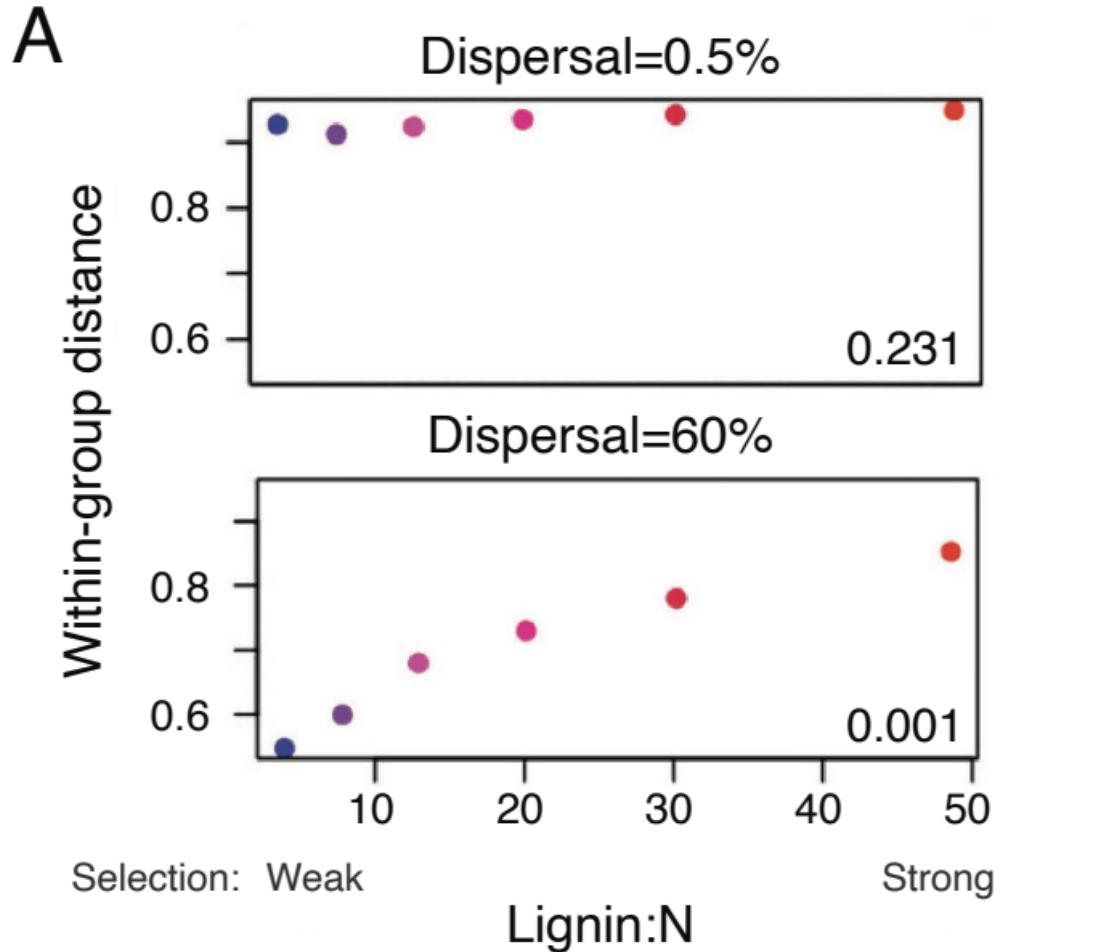


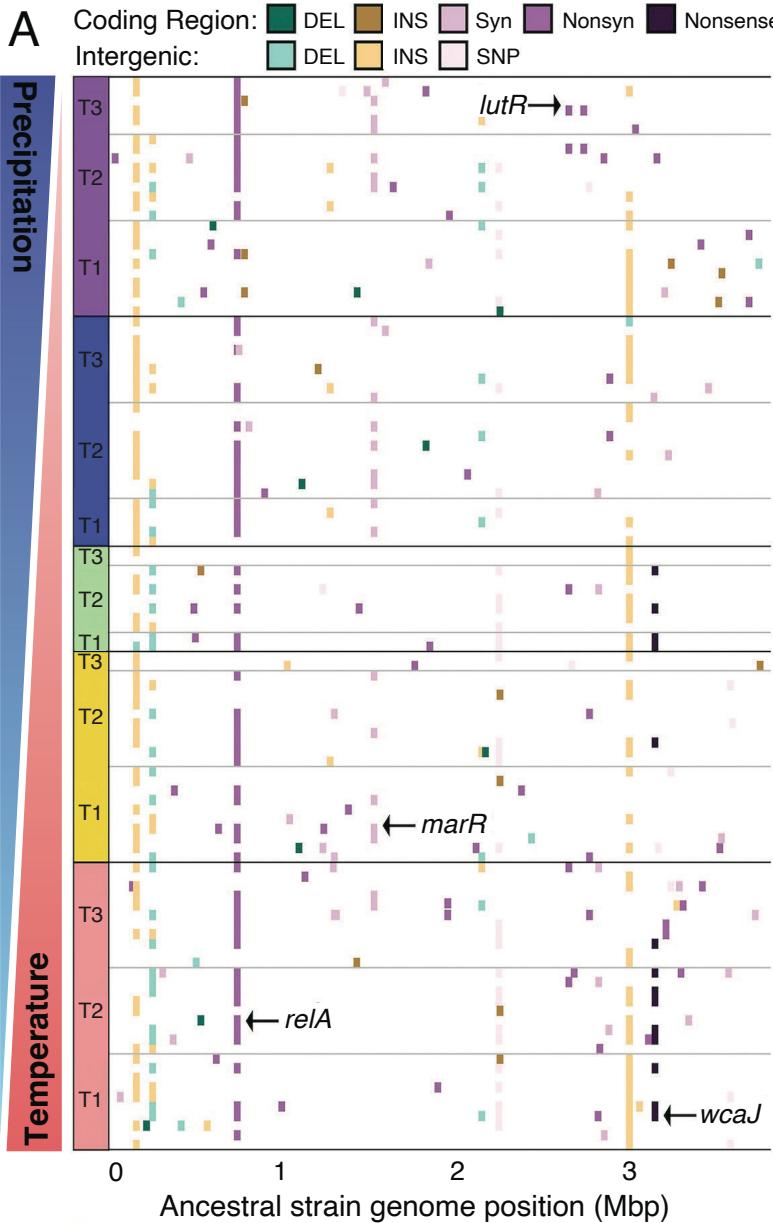
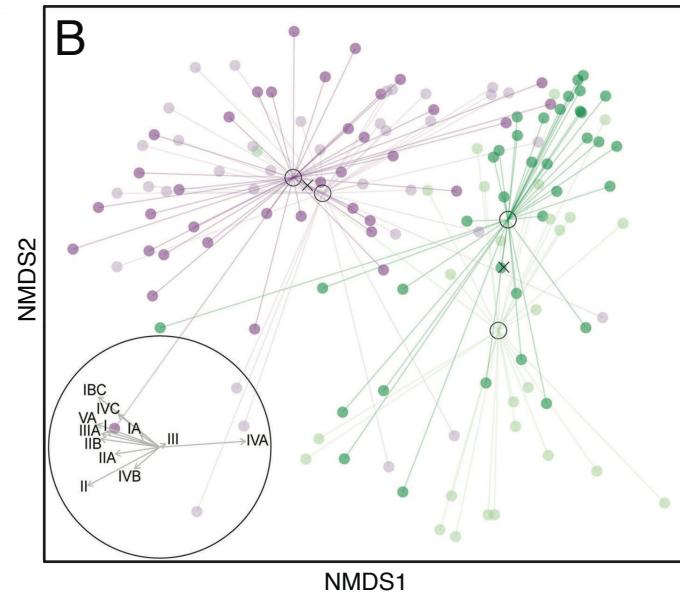
## C









**A****B****C**