

Enantio- and Diastereoselective Mannich Reactions of β -Dicarbonyls by Second Stage Diastereoconvergent Crystallization

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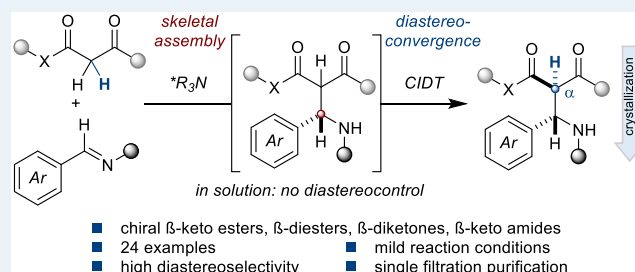
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ABSTRACT: The synthesis of chiral α -monosubstituted- β -dicarbonyls is a challenging task in asymmetric catalysis due to the rapid, typically uncontrolled, product racemization or epimerization under most reaction conditions. For this reason, diastereoselective additions of unsubstituted β -dicarbonyls to π -electrophiles are unusual. Herein, we disclose a simple catalytic crystallization-driven enantio- and diastereoselective Mannich reaction for the synthesis of stereodefined α -monosubstituted- β -keto esters, dissymmetric β -diesters, dissymmetric β -diketones, and β -keto amides that productively leverages product epimerization in solution. Mechanistic studies suggest a scenario where the initial enantioselective, diastereodivergent skeletal assembly is catalyzed by a chiral tertiary amine organocatalyst, which then facilitates second stage crystallization-induced diastereoconvergence to provide the challenging α -stereocenter in excellent stereoselectivity.

KEYWORDS: asymmetric catalysis, crystallization-induced diastereomer transformation, Mannich reaction, organocatalysis, stereoconvergence



Catalytic reaction platforms that generate multiple stereocenters are desirable in organic synthesis in part due to the trend toward three-dimensional, sp^3 -rich carbon frameworks as scaffolds for the next generation of drug candidates.¹ A common paradigm in stereoselective catalysis posits the use of a chiral catalyst to form bonds that concurrently establish one or multiple configurationally static asymmetric centers.² A complementary but less explored approach relies on a strategic decision to dissociate skeletal assembly from stereochemical control of configurationally dynamic asymmetric centers.^{3–6} Second stage stereoconvergence enables the synthesis of complex small molecules with multiple stereocenters that are challenging to access using alternative methods. Crystallization-induced diastereomer transformation (CIDT) is a powerful stereoconvergent manifold that concurrently alleviates the typical time- and resource-intensive purification methods of catalytic asymmetric reactions (e.g., flash column chromatography).⁷ CIDTs are highly desirable in organic synthesis, but general non-auxiliary-based methods that are directly linked to a same-pot catalytic, asymmetric reaction are underexplored.^{5,7}

The prototypical examples of base-labile stereocenters are α -monosubstituted- β -keto esters ($pK_a = 13–15$, DMSO⁸). Due to their configurational fragility, their asymmetric synthesis remains rare despite the potential for such compounds to provide complementary approaches to the well-established enantioconvergent reactions of chiral racemic β -keto esters.⁹ Select examples of asymmetric α -monosubstituted- β -keto ester synthesis include Lewis acid-catalyzed formal insertion reactions of diazoacetates and aldehydes¹⁰ and an auxiliary-

controlled aldol/oxidation sequence.¹¹ These reactions established the feasibility of preparing chiral β -keto esters, but the utility is limited due to the need for cryogenic temperatures and observable product racemization/epimerization during chromatographic purification.^{10–12}

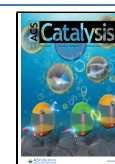
An ideal atom efficient manifold for accessing enantioenriched β -keto esters is the catalytic addition of an unsubstituted β -keto ester to a π -electrophile. Extant addition reactions of β -keto esters fall into two principal categories: (a) reactions that form a mixture of diastereomers due to immediate uncontrolled epimerization under the reaction conditions, or (b) reactions that form a fully substituted α -stereocenter that cannot epimerize via keto–enol tautomerization (Scheme 1b).^{13,14} A small number of Mannich adducts comprise the notable outliers,^{15,16} but general methods have proved elusive and the broader problem remains unsolved to date.

Considering this gap, we proposed that we could overcome the challenges with such addition reactions by applying a merged asymmetric catalysis/CIDT platform.⁵ A chiral tertiary amine base could catalyze the key enantioselective carbon–carbon (C–C) bond formation providing the benzylic amine

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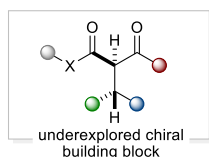
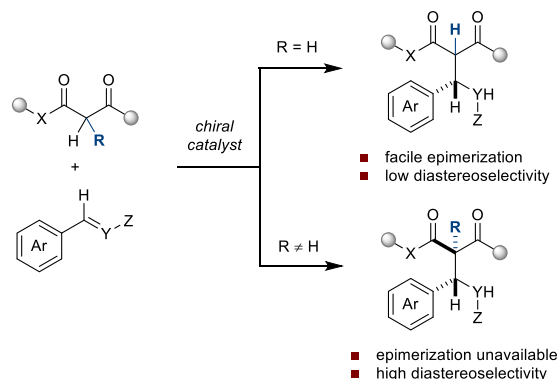
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Scheme 1. Asymmetric Addition Reactions of β -Dicarbonylsa. Asymmetric β -dicarbonyl synthesis and associated challenges

- High synthetic potential**
- multiple addressable functional groups
 - ease of further manipulation
- Challenges of prior syntheses**
- cryogenic temperatures
 - racemization/epimerization during purification

b. Prototypical addition reactions of β -dicarbonyls

stereocenter in high fidelity (Figure 1a left: Asymmetric Catalysis Stage) and then mediate the epimerization of the resulting product. Taking advantage of the different solubility properties of product stereoisomers, dynamic stereoconvergent crystallization of one diastereomer could result in 100% theoretical yield of solid single-stereoisomeric product precipitating from solution (Figure 1a right: Diastereoconvergence Stage). Under this mechanism, the solid β -keto ester products both are protected from solution-phase epimerization and can be isolated in pure form in a single filtration. In this communication, we disclose the achievement of an enantio- and diastereoselective Mannich reaction of β -keto esters, dissymmetric β -diesters, dissymmetric β -diketones, and β -keto amides enabled by crystallization.

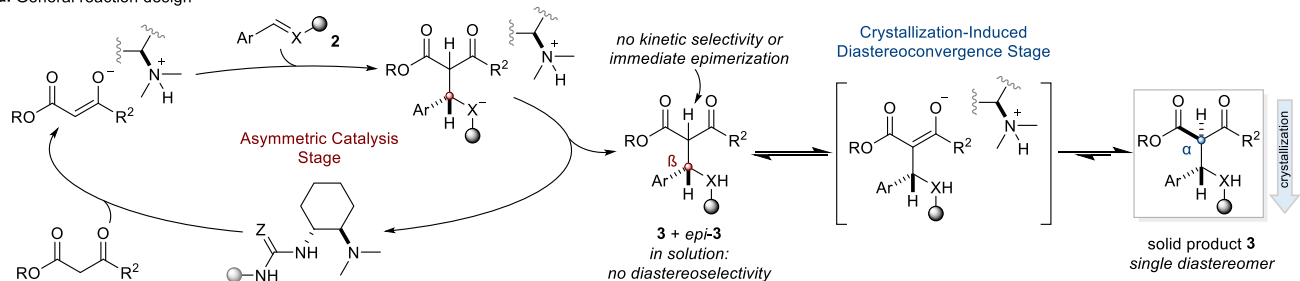
We began our studies with the reaction of ethyl acetoacetate **1a** and the benzyl carbamate (Cbz) protected imine **2a** using

Takemoto's chiral nonracemic tertiary amine catalyst **I** (Figure 1b).¹⁷ In agreement with the prior art, when the reaction was performed in homogeneous conditions (e.g., solvent = DCM), no diastereoselectivity was observed.^{15,17b,18} Etheral solvents proved crucial to the selective crystallization of one diastereomer directly from the reaction mixture (see Supporting Information for reaction optimization).⁵ Fine-tuning the carbamate solubility properties by using a mixture of diethyl ether and pentanes (3:1) resulted in good isolated yield while retaining excellent stereoselectivity after a single filtration of the reaction mixture (68% yield, >20:1 dr, 90:10 er).

With optimal reaction conditions in hand, we next explored the allowable parameters of the reaction and found that the transformation exhibited good scope (Table 1). The wide-ranging applicability is compelling considering the high dependence on the physical properties of each individual substrate, standing in contrast to many non-auxiliary-based CIDs, which commonly are of more limited scope.⁷ All reactions were conducted at ambient temperature and diastereoenriched products were isolated by a single filtration of the crude reaction mixture, highlighting the user-friendly nature of the reaction platform.¹⁹ An inherent feature of the method is that in at least some cases, an *in situ* product enantiomeric ratio upgrade is occurring within the crystallization (see Supporting Information for details and further commentary).

p-Halide-substituted aryl imines performed well under the reaction conditions, providing diastereopure amino-ketones **3b–3e** in good yields and enantioselectivities. Electron-deficient (**3f–3h**) and electron-rich (**3i–3j**) carbamates, including lipophilic adducts **3g** and **3j**, were successfully obtained as single diastereomers in good yields and enantioselectivities. *p*-Dimethylaminophenyl amino-ketone **3k** was obtained in excellent yield and diastereoselectivity but poor enantioselectivity, a result that is likely due to aniline-mediated racemic reaction (see Supporting Information for details). *m*-Substituted aryl imines of varying electronic properties successfully delivered carbamates **3l** and **3m**. Piperonal-derived (**3n**) and 2-naphthyl-derived urethanes (**3o**) were also obtained in good yields and high stereoselectivity. *t*-Butyl carbamate (Boc) protected amino-ketone **3p**

a. General reaction design



b. Realization of enantio- and diastereoselective Mannich reaction

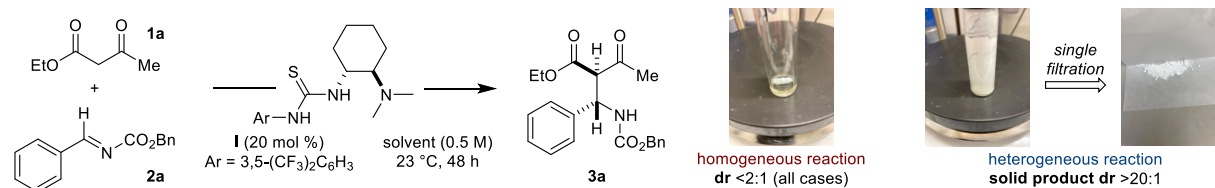
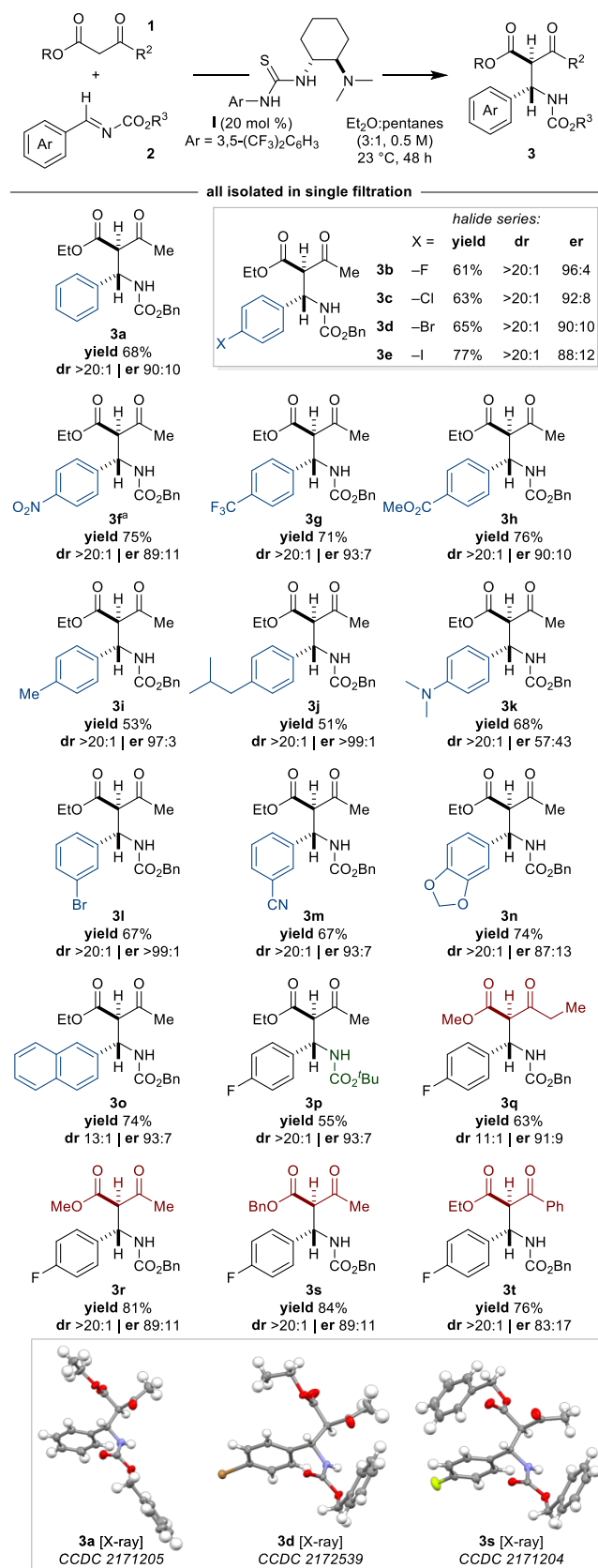


Figure 1. Proposed Reaction Mechanism and Realization of Concept

Table 1. Scope of Enantio- and Diastereoselective Mannich Reaction of β -Keto Esters*

*Reaction conditions: β -keto ester **1** (0.300 mmol, 1.5 equiv), imine **2** (0.200 mmol, 1.0 equiv), catalyst **I** (20 mol %), Et₂O:pentanes solvent (3:1, 0.4 mL, 0.5 M), rt, 48 h. Yields refer to isolated yields.

Table 1. continued

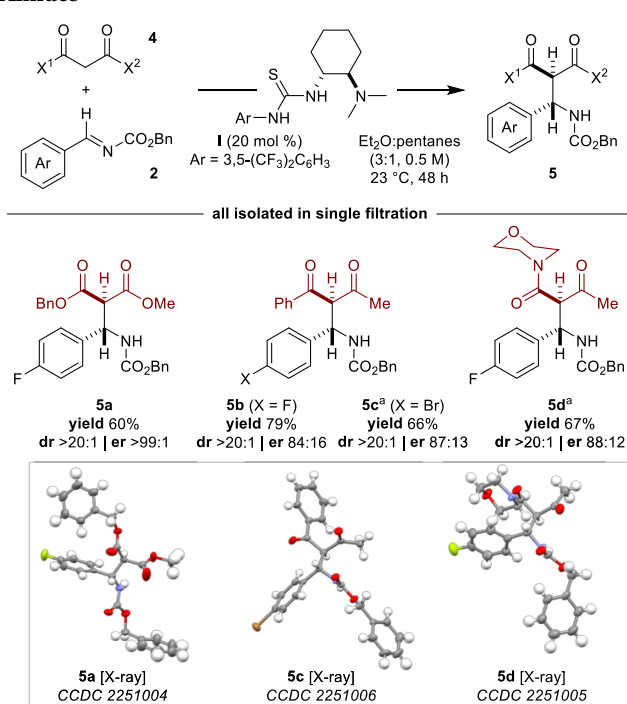
Diastereoselectivity was determined by ¹H NMR spectroscopic analysis of the solid product following filtration. Enantiomeric ratios were determined by HPLC analysis of the solid product following filtration using a chiral stationary phase. For compounds without X-ray crystal structures, the relative stereochemistry of the major diastereomer shown must be considered tentative because of the possibility for thermodynamic crystallization properties to vary depending on the substrate.⁵ ^aReaction solvent = Et₂O.

was obtained in slightly lower yield, but excellent enantio- and diastereoselectivity was retained. Several different unsubstituted β -keto esters were also viable in the reaction, providing amino-ketones **3q–3t** in good yields and stereoselectivities. The absolute and relative stereochemistry of the amino-ketones were determined by single crystal X-ray diffraction analyses of carbamates **3a**, **3d**, and **3s**. At this stage of the optimization, alkyl imines and *o*-substituted aryl imines are not viable in the Mannich reaction due to low conversion and/or poor CIDT.²⁰ All β -keto ester products synthesized that did not precipitate out of solution were observed as an approximately 1:1 mixture of diastereomers, emphasizing that crystallization is required for obtaining high diastereoselectivity.

The title Mannich reaction could be extended to the enantio- and diastereoselective synthesis of chiral β -diester- and β -diketone-derived carbamates **5a** and **5b–c**, respectively (Table 2). Morpholine β -keto amide then provided amino-ketone **5d** in good yield and stereoselectivity. The stereoselective Mannich addition could also be expanded to other doubly activated carbon nucleophiles: preliminary studies have revealed that phosphonoacetate and cyanosulfone nucleophiles can also be viable pro-nucleophiles in similar CIDT reactions. Mannich adducts of both were obtained in high diastereoselectivity when mediated by an achiral general base catalyst (Et₃N), but asymmetric variants were unsuccessful under our current optimal conditions (see Supporting Information for further details). Both new transformations are currently under investigation in our laboratory. Similar to the β -keto ester scope, all reactions were conducted at ambient temperature and diastereoenriched products were isolated in excellent purity after a single filtration of the crude reaction mixture.

A series of experiments was conducted to evaluate the hypothesized merged asymmetric catalysis/CIDT mechanism. A crossover experiment with amino-ketone **3a** and imine **2b** did not show formation of amino-ketone **3b**, providing support that the initial C–C bond formation step is irreversible (Scheme 2a). To demonstrate unambiguously that the major diastereomer was obtained by stereoconvergent crystallization, a 1:1 mixture of diastereomers **3a** and *epi*-**3a** was prepared by catalyst-mediated epimerization in a solvent exhibiting complete homogeneity (CDCl₃). Switching the reaction solvent by evaporation of CDCl₃ and addition of an Et₂O:pentanes mixture (optimal CIDT conditions) resulted in the reaction rapidly becoming heterogeneous. After 24 h at room temperature, a single filtration of the reaction mixture provided good mass recovery of diastereopure carbamate **3a** (Scheme 2b).

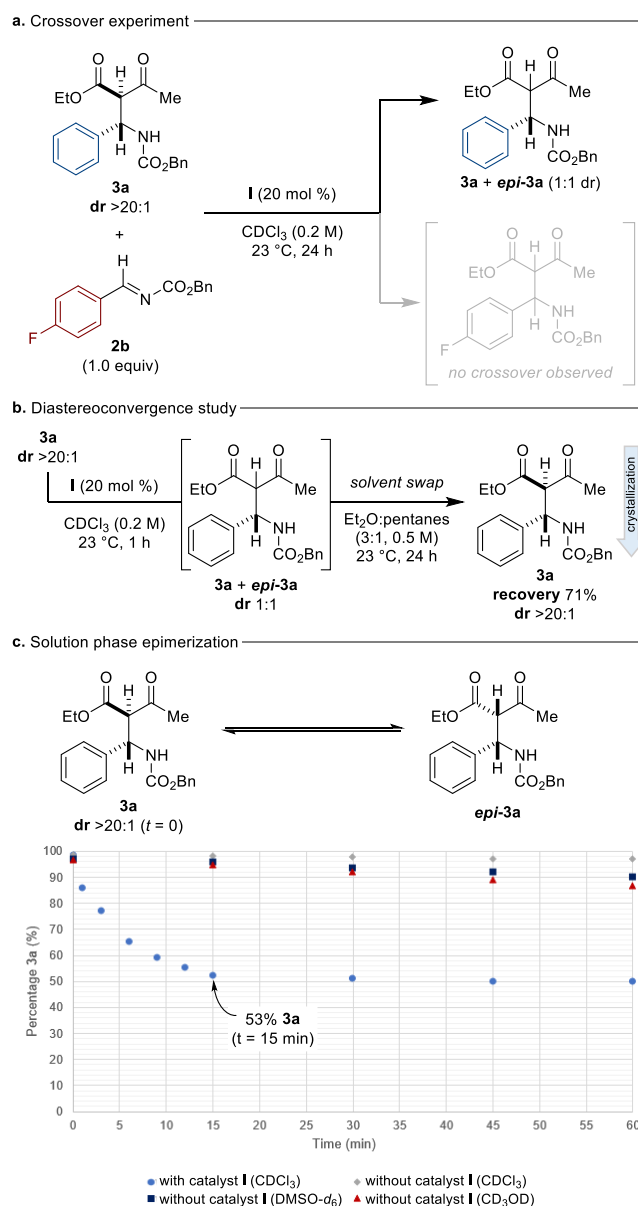
Interested in gaining more information about the rates of epimerization, we next subjected diastereoenriched carbamate **3a** to a variety of different solution-phase conditions.^{11a,14} In the presence of catalyst **I** in aprotic solvent (CDCl₃), complete equilibration was observed within 15 min (Scheme 2c, blue

Table 2. Enantio- and Diastereoselective Mannich Reaction of Dissymmetric Malonates, β -Diketones, and β -Keto Amides*

*Reaction conditions: Nucleophile **4** (0.300 mmol, 1.5 equiv), imine **2** (0.200 mmol, 1.0 equiv), catalyst **I** (20 mol %), Et₂O:pentanes solvent (3:1, 0.4 mL, 0.5 M), rt, 48 h. Yields refer to isolated yields. Diastereoselectivity was determined by ¹H NMR spectroscopic analysis of the solid product following filtration. Enantiomeric ratios were determined by HPLC analysis of the solid product following filtration using a chiral stationary phase. For compounds without X-ray crystal structures, the relative stereochemistry of the major diastereomer shown must be considered tentative because of the possibility for thermodynamic crystallization properties to vary depending on the substrate.⁵ *Reaction solvent = MTBE.

circles). In the absence of catalyst in aprotic solvent (CDCl₃), gradual epimerization was still observed (Scheme 2c, gray diamonds; see Supporting Information for complete experimental details). Uncatalyzed epimerization was accelerated in highly polar solvent (DMSO-*d*₆; Scheme 2c, navy squares) or protic solvent (CD₃OD; Scheme 2c, red triangles). Despite the uncatalyzed epimerization in solution, most diastereoenriched amino-ketones in the solid phase displayed remarkable configurational stability over 1–2 months at room temperature and >6 months in the freezer. These studies underscore the importance of crystallization in maintaining high diastereocontrol: rapid epimerization in solution renders solution-phase conditions, those typically favored for catalytic asymmetric reactions, incompatible for the desired diastereoselective reaction.

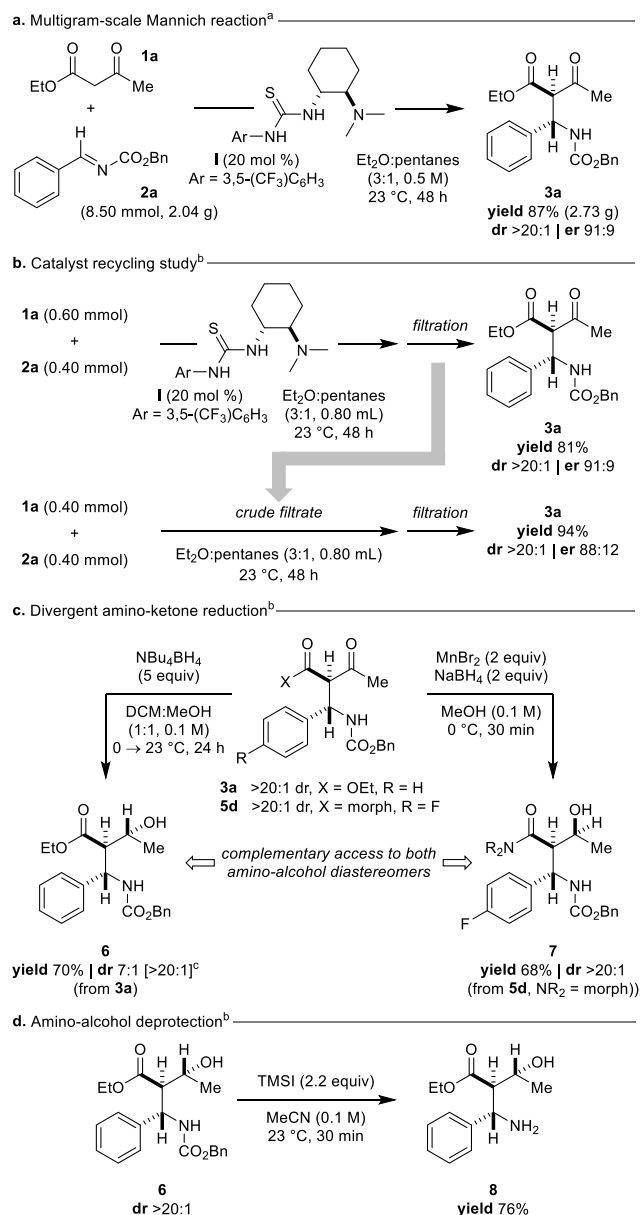
With this information in hand, we next explored the synthetic utility of the enantio- and diastereoselective Mannich reaction. The reaction proved readily scalable to 8.50 mmol under identical conditions to provide over 2.7 g of amino-ketone **3a** as a single diastereomer in good yield and enantioselectivity (Scheme 3a). The method benefits from larger scale: the yield was significantly higher in the multigram reaction, suggesting the substantial potential of the approach. Additionally, the crude filtrate containing the catalyst could be

Scheme 2. Mechanistic Investigations

combined with another equivalent of β -keto ester and imine and resubjected to the CIDT conditions (0.5 M 3:1 Et₂O:pentanes, 48 h), providing a second crop of diastereopure carbamate with just slight loss of enantioinduction (run 1 er: 91:9; run 2 er: 88:12; see Supporting Information for complete experimental details).⁵

Two different methods were used in the reduction of the diastereopure amino-ketones. Substrate-controlled diastereoselective reduction of β -keto ester product **3a** occurred efficiently using NBu₄BH₄ to provide a good yield of a single diastereomer of amino-alcohol **6** after the minor diastereomer was purged via flash column chromatography (Scheme 3c, left).²¹ Although Lewis acid-directed reduction of β -keto ester products resulted in poor diastereoselectivity ($\leq$ 2:1 in all cases; see Supporting Information for further details),²² β -keto amide product **5d** was reduced using a MnBr₂/NaBH₄ combination to provide a single diastereomer of the complementary amino-alcohol **7** (Scheme 3c, right).²³ The relative stereochemistry of amino-alcohols **6** and **7** was

Scheme 3. Scale-up Reaction and Synthetic Utility



^aReaction conditions: Nucleophile **1a** (12.75 mmol, 1.5 equiv), imine **2a** (8.50 mmol, 1.0 equiv), catalyst **I** (20 mol %), Et₂O:pentanes solvent (3:1, 17 mL, 0.5 M), rt, 48 h. Yields refer to isolated yields. Diastereoselectivity was determined by ¹H NMR spectroscopic analysis of the solid product following filtration. Enantiomeric ratio was determined by HPLC analysis of the solid product following filtration using a chiral stationary phase. ^bSee Supporting Information for exact experimental details. ^cNumber in parentheses represents dr following flash column chromatography.

determined by NOE analysis following conversion to their corresponding cyclic carbamates (see Supporting Information for details). Carbamate deprotection proceeded smoothly on diastereopure amino-alcohol **6** to provide unprotected primary amine **8** (Scheme 3d).^{15a}

In summary, we have developed an enantio- and diastereoselective Mannich reaction of unsubstituted β-keto esters, dissymmetric β-diester, dissymmetric β-diketones, and β-keto amides. A number of imines and β-dicarbonyls readily undergo the Mannich reaction, and the resulting amino-

ketones precipitate from the reaction mixture as a single (or major) diastereomer. Mechanistic studies suggest a scenario where initial asymmetric C–C bond formation delivers the static asymmetric center and provides the platform for diastereoconvergence of the rapidly epimerizing β-dicarbonyl α-stereocenter. The resulting carbamates can then be transformed into useful amino-alcohol products. Ongoing studies in our laboratory are focused on expanding this platform to new asymmetric β-dicarbonyl addition reactions.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acscatal.3c01515>.

Experimental details, materials and methods, characterization data, NMR spectra for all compounds, chromatograms for chiral separations, and information on X-ray diffraction experiments (PDF)

X-ray crystallographic data for **3a** (CIF)

X-ray crystallographic data for **3d** (CIF)

X-ray crystallographic data for **3s** (CIF)

X-ray crystallographic data for **5a** (CIF)

X-ray crystallographic data for **5c** (CIF)

X-ray crystallographic data for **5d** (CIF)

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interest.

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