Surface self-diffusion induced sintering of nanoparticles

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Abstract

Despite the critical role of sintering phenomena in constraining the long-term durability of nano-sized particles, a clear understanding of nanoparticle sintering has remained elusive due to the challenges in atomically tracking the neck initiation and discerning different mechanisms. Through the integration of in-situ transmission electron microscopy and atomistic modeling, this study uncovers the atomic dynamics governing the neck initiation of Pt-Fe nanoparticles via a surface self-diffusion process, allowing for coalescence without significant particle movement. Real-time imaging reveals that thermally activated surface morphology changes in individual nanoparticles induce significant surface self-diffusion. The kinetic entrapment of self-diffusing atoms in the gaps between closely spaced nanoparticles leads to the nucleation and growth of atomic layers for neck formation. This surface self-diffusion-driven sintering process is activated at a relatively lower temperature compared to the classic Ostwald ripening and particle migration and coalescence processes. The fundamental insights have practical implications for manipulating the morphology, size distribution, and stability of nanostructures by leveraging surface self-diffusion processes.

Keywords: Surface self-diffusion, Neck initiation, Sintering, Pt-Fe nanoparticles, In-situ TEM

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Introduction

The size effect of nanomaterials is widely acknowledged across various technological applications, including nanoscale electrics, optics, mechanics, and catalysts.[1–3] Nano-sized materials, characterized by a multitude of low-coordination sites, exhibit significant alternation in their physical and chemical properties compared to their bulk counterparts. However, the excessive surface free energy associated with these low coordinated sites destabilizes dispersed nanoparticles (NPs), leading to a pronounced inclination toward particle sintering.[4–8] Despite the availability of diverse experimental approaches for synthesizing size- and morphology-controlled NPs,[1,2] the intrinsic tendency for sintering remains a substantial hurdle, particularly concerning the long-term stability of NPs. Practical operation conditions, such as electrical, chemical, and thermal stimuli, can significantly expedite property degradation due to NP sintering.[5,6,9–12] To advance the designs and utilization of NPs, a fundamental understanding of the microscopic mechanisms governing the sintering behaviors of NPs is imperative.

The classic models of NP sintering have been largely based on post-mortem characterizations involving ensemble averages, lacking real-time atomic observations of the dynamic behaviors. The advancement of transmission electron microscopy (TEM) in recent years has enabled temperature- and time-resolved imaging of the sintering processes at the atomic-scale.[9,13–16] In-situ TEM observations have provided fundamental insights into the sintering dynamics of various NPs, including Pt,[17] Bi,[12] Au,[18–20] PdSi,[11] PbSe,[10] Au/TiO$_2$,[21,22] Pt/Al$_2$O$_3$,[23,24] Pt/C,[25] Cu/SiO$_2$,[26] among others. Such in-situ observations along with theoretical modeling have provided valuable insights into the mechanisms of sintering dynamics, including neck formation and coalescence promoted by surface diffusion.[27] However, the atomic-level and microscopic mechanisms underlying the neck initiation remains poorly understood. This knowledge gap stems from the lack of atomic details regarding mass transport leading to the initiation of neck formation, despite its critical importance for a comprehensive understanding of sintering kinetics.[7,18,28] The classical description of particle sintering primarily revolves around two proposed mechanisms: Ostwald ripening (OR) and particle migration and coalescence (PMC).[4,7] OR entails evaporation of atoms from small particles, subsequently captured by large particles, thereby leading to the growth of the big particles at the expense

58 of smaller ones. In contrast, PMC involves the Brownian-like motion of particles, with subsequent

59 collisions leading to particle growth.

60   The majority of reported studies on sintering have focused on NPs with sizes < 10 nm and irregular

61 morphologies. For such small sizes, the sintering through OR or PMC can be readily activated thermally.

62 However, for larger particles (>10 nm), NP migration becomes more sluggish due to the substantial

63 interfacial area between the NPs and the support.[7] Additionally, the melting temperatures of NPs increase

64 significantly with size,[29] reducing the role of atomic evaporation for larger nanoparticles. Moreover, the

65 driving force behind the ripening and sintering of NPs is to minimize the overall surface energy within an

66 ensemble of NPs.[17,30–32] However, this global energy minimization treatment does not fully consider the

67 evolving free energy landscape of individual NPs and its influence on specific sintering pathways.

68   In this work, we investigate how the inherent tendency of individual NPs to minimize their surface

69 energy influences their morphological evolution and drives significant surface self-diffusion. This is

70 particularly relevant to the initiation of necking between NPs that are already in close proximity. We focus

71 on the sintering of NPs with relatively large sizes (> 10 nm) and well-developed crystal facets, at

72 temperatures prior to the activation of OR or PMC processes. We present an NP sintering process induced

73 by surface self-diffusion, resulting in neck initiation at inter-particle gaps. This process occurs in the

74 absence of adatom diffusion from smaller to larger particles and direct particle collisions. The surface self-

75 diffusion process reported here does not involve mass transfer between NPs, and thus, no diffusion occurs

76 on the surface of the support. Additionally, it is more readily activated thermally compared to the OR

77 process, which necessitates complete detachment/evaporation of atoms from NPs, and the PMC process,

78 which requires particle migration. The sintering controlled by this surface self-diffusion process

79 encompasses four key stages: i) proximity of NPs – This first step involves bringing NPs into close

80 proximity, achieved either through high loading of NPs on a support or through thermally driven random

81 migration of NPs under practically relevant thermal annealing conditions; ii) narrowing of the inter-particle

82 gap – surface self-diffusion induces surface morphology evolution, leading to the entrapment of self-

83 diffusing atoms in inter-particle gap regions; iii) neck initiation – this stage is characterized by the

84   nucleation and growth of atomic layers in the inter-particle gaps, initiating the formation of a neck; iv) neck

85   growth – this process is facilitated by surface and volume diffusion to lower the total system energy.

86   Atomic-scale observations and molecular dynamics (MD) simulations reveal that annealing temperature

87   and inter-particle spacing play a key role in controlling the NP sintering dynamics.
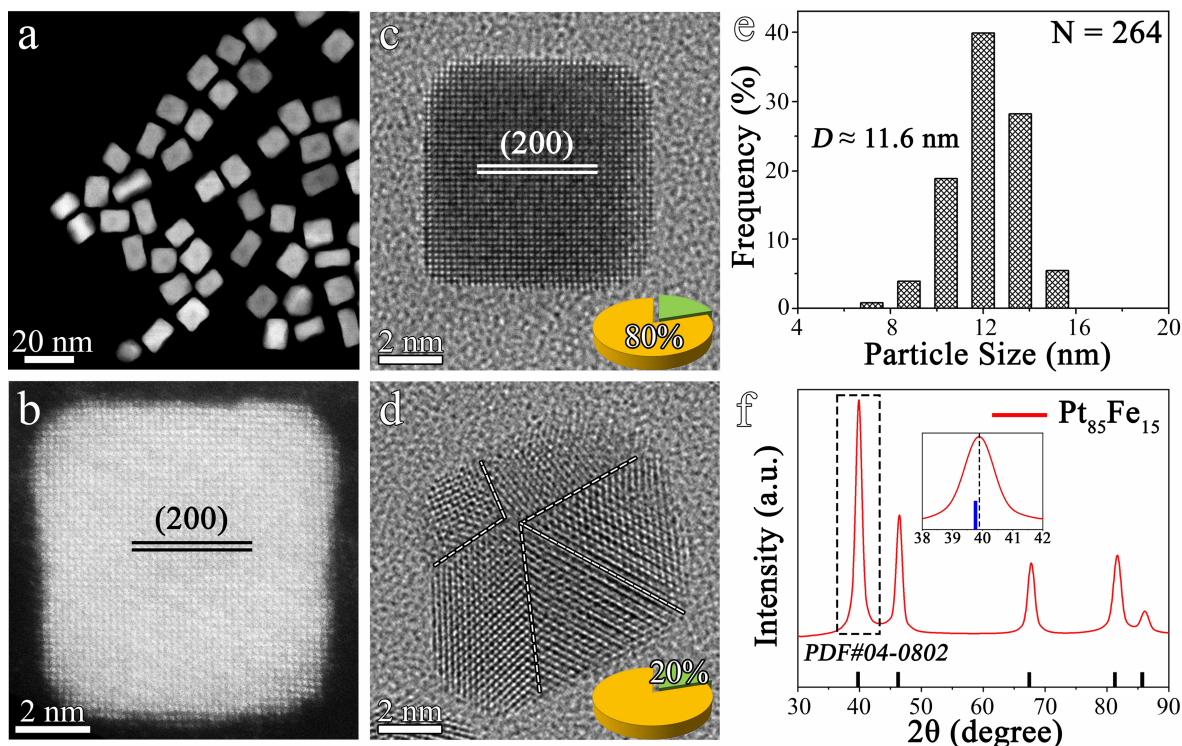
Results

Pt-Fe cubic NPs, synthesized through wet chemistry methods, serve as a model system due to their well-controlled shape and size and their potential applications that demand magnetic and catalytic properties.[33–36] The well-defined facets and superior crystallinity of the NPs offer significant advantages for assessing the sintering behaviors of NPs. Fig. 1a illustrates a low-magnification high-angle annular dark-field (HAADF)-scanning TEM (STEM) image of the as-loaded nano-cube $Pt_{85}Fe_{15}$ NPs. These NPs are drop-cast on an amorphous Si_3N_4 membrane, exhibiting relatively uniform size and morphology, with some well dispersed and others in closer proximity to each other (more examples in Fig. S1). Fig. 1b displays a representative atomic-scale HAADF image, showing the uniform image contrast of atomic columns. The observation confirms the single-phase, random distribution of Pt and Fe atoms in the NP. Fig.1c presents a high-resolution TEM (HRTEM) image of a typical $Pt_{85}Fe_{15}$ NP, wherein the uniform image contrast of the crystal lattice further verifies the random solid solution nature of the alloy. The measured interplanar spacing in Figs. 1b and 1c matches well with alloyed $Pt_{85}Fe_{15}(200)$ lattice planes. The as-prepared $Pt_{85}Fe_{15}$ NPs are predominantly square or rectangular in shape, with a small fraction exhibiting irregular morphologies such as triangular or spherical forms. As depicted in the inset of Fig. 1c, nano-cube NPs account for ~ 80% of the total NPs (N = 264) examined by TEM. A minor fraction of NPs displays extended structure defects in the bulk, as exemplified by the five-fold twin boundaries in the HRTEM image of Fig. 1d (additional examples in Fig. S2). Both the HAADF and HRTEM images (Fig. 1 and S2) indicate that the surfaces of the as-synthesized NPs are not atomically flat. Instead, they exhibit a high density of atomic defects, such as atomic steps, kinks and adatoms, leading to variations in surface morphology at the atomic scale. Importantly, it is worth noting that these surface defects serve as the source for surface self-diffusion at elevated temperatures.

The size distribution of the as-loaded Pt-Fe NPs conforms to a Gaussian distribution with an arithmetic mean value of ~11.6 nm and a full-width at half-maximum of 2.4 nm, as illustrated in Fig. 1e. The average particle size is consistent with the estimate derived from the Debye-Scherer equation based on the full-width at half-maximum of synchrotron X-ray diffraction (XRD) patterns, as depicted in Fig. 1f.
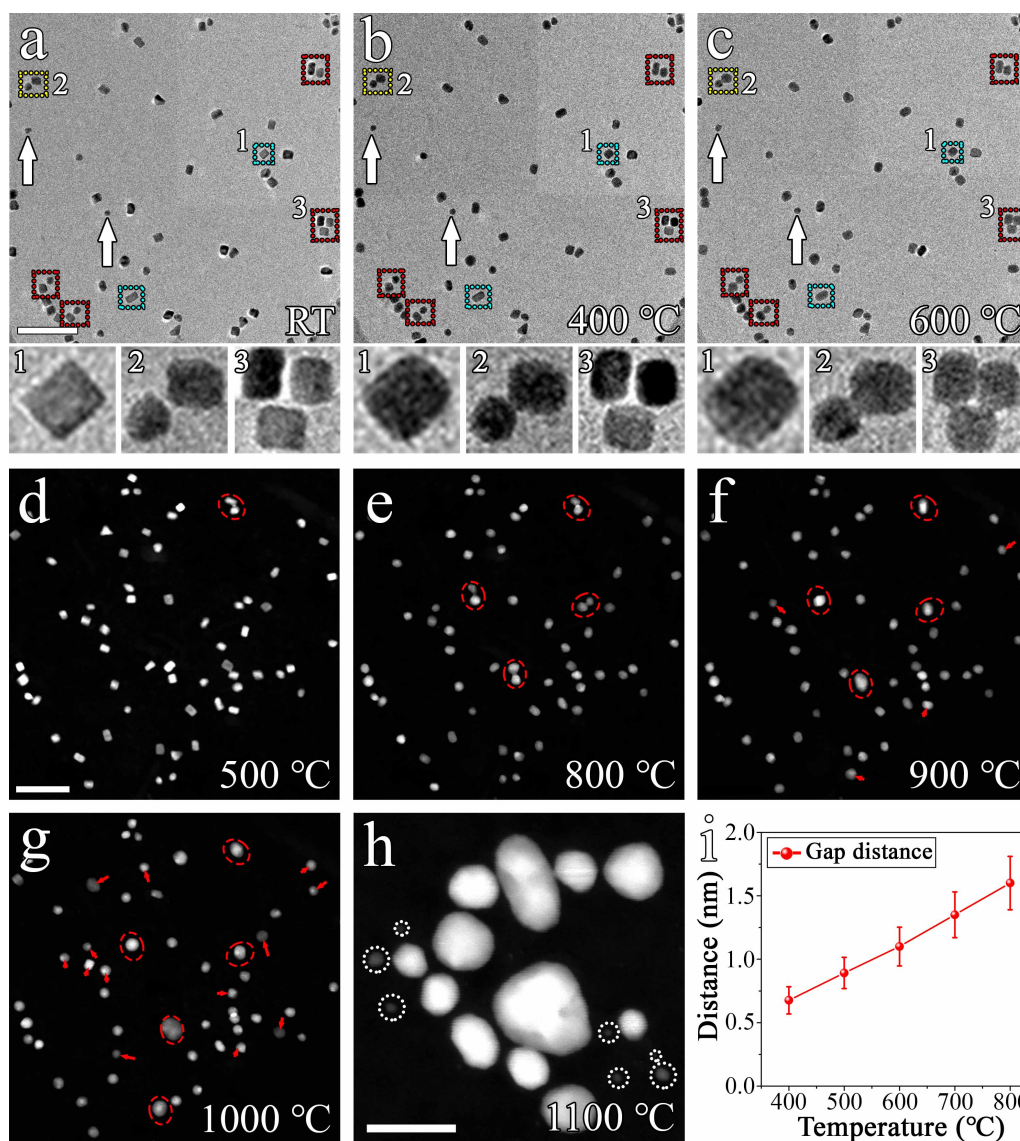
The XRD measurements also reveal smaller d-spacings of the Pt-Fe NPs compared to those for pure Pt (PDF #04-0802). This is well consistent with Vegard's law[37,38] for the atomic composition of $Pt_{85}Fe_{15}$ NPs (composition measurements provided in Fig. S3). The absence of any superlattice lines in the XRD pattern confirms the random solid-solution nature of the $Pt_{85}Fe_{15}$ alloy NPs.

Fig. 1. Characterization of as-synthesized $Pt_{85}Fe_{15}$ NPs. a Low-magnification TEM image showing the well-dispersed NPs. **b** HAADF-STEM image displaying the single-phase, random solid-solution $Pt_{85}Fe_{15}$ alloy. **c, d** HRTEM images showing the representative single-crystal and defective NPs. **e** Particle size distribution of the as-prepared NPs. N indicates the number of included NPs. **f** Synchrotron X-ray diffraction pattern showing the peak shift with a smaller d-spacing of the $Pt_{85}Fe_{15}$ NPs compared to pure Pt. The inset is a zoomed-in view of the (111) peak and its comparison to the peak position (blue line) of Pt(111).

In-situ TEM annealing experiments are then performed to directly monitor the sintering process of $Pt_{85}Fe_{15}$ NPs. Figs. 2a-c present low-magnification TEM images of the same area of well-dispersed $Pt_{85}Fe_{15}$ NPs at room temperature, 400 °C, and 600 °C during vacuum annealing, respectively, at a low electron beam dose rate (790 e/(Å^2 s)). The images illustrate the morphological evolution of individual NPs and the

130   merging of some closely neighboring NPs (additional examples in Figs. S4) on the ultra-thin carbon support.

131   As indicated by the white arrows in Figs. 2a-c, annealing does not induce obvious particle migration or size

132   changes in the relatively smaller particles. The observation therefore suggests the absence of OR and PMC

133   processes. The bottom panel of Figs. 2a-c provides an enlarged view of three representative regions

134   numbered 1, 2, 3, respectively. In the case of particle 1, its morphology evolves from a nano-cube shape

135   into a round one, driven by the reduction in surface energy through the thermally activated self-diffusion

136   of adatoms along the particle surface. Region 2 shows neck initiation between two closely neighboring NPs

137   at 400 °C, while the three NPs in region 3, with slightly larger inter-particle gaps, undergo neck formation

138   at 600 °C. This indicates that the temperature increase promotes more surface self-diffusion, leading to

139   morphology evolution and facilitating "bridge-like" neck formation at the inter-particle gap region.

140   Additional ex-situ annealing experiments are performed, confirming the neck formation between closely
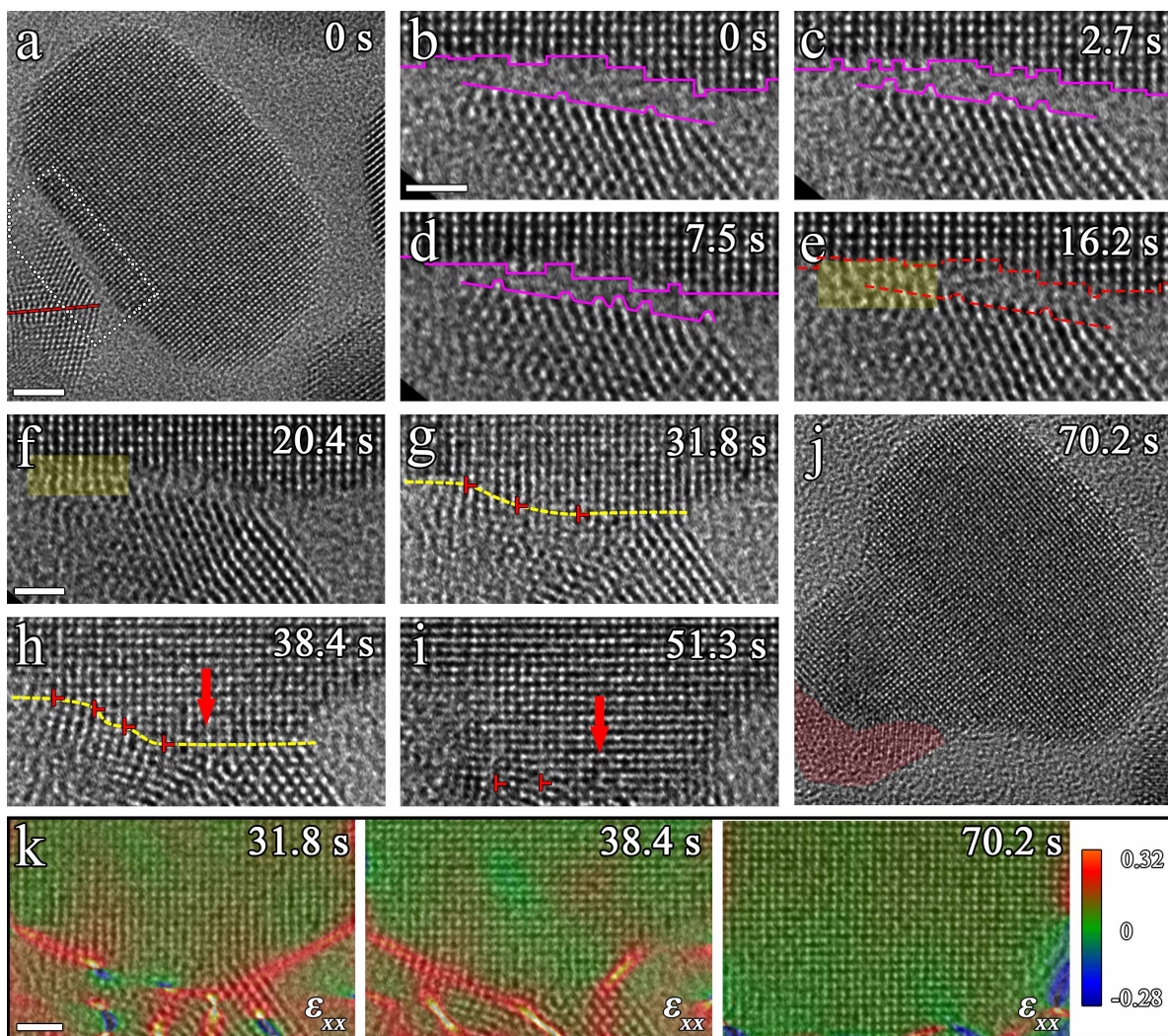
141   neighboring NPs (Fig. S5).

Fig. 2. Low-magnification in-situ TEM/STEM imaging of the morphological evolution and sintering of $Pt_{85}Fe_{15}$ NPs during vacuum annealing. a-c In-situ TEM images revealing the formation of necks between closely neighboring NPs. The bottom panels show an enlarged view of three representative regions marked 1, 2, and 3. **d-g** Time-sequence HAADF images displaying the morphological evolution of NPs as a function of vacuum annealing temperature. The red dashed circles in **e-g** highlight the neck formation between NPs in close neighborhood and their subsequent merging. The red arrows in **f**, **g** indicate the direction and distance of NP migration from their positions shown in **e**. **h** HAADF image showing the shrinkage of small NPs to atomic clusters (marked by white dashed circles), following vacuum annealing at 1100 °C. **i** Inter-particle spacings leading to neck formation at different annealing temperatures. Scale bar, 50 nm **a-g**; 20 nm **h**.

152   Similar vacuum annealing experiments are also performed with STEM. Figs. 2d-g present in-situ

153   HAADF-STEM images of the same area at different annealing temperatures, using a significantly low

154   electron beam dose rate (72 e/(Å^2 s)). As indicated by the dashed circles in Figs. 2d and 2e, annealing at

155   500 °C and 800 °C results in neck formation between NPs in the close neighborhood, without inducing

156   significant changes in the particle size or particle migration on the SiN_x support. This is consistent with the

157   in-situ TEM observations in Figs. 2a-c, suggesting the negligible effect of the support on the observed

158   sintering behavior of the $Pt_{85}Fe_{15}$ NPs. Increasing the temperature to 900 °C and above leads to NP

159   migration, as indicated by the red arrows in Figs. 2f, g, where the tails of the arrows represent the positions

160   of the NPs at 800 °C while the heads indicate their new positions at the higher temperature. Sintering

161   becomes more obvious as the temperature is further increased to 1000 °C (Fig. 2g), resulting in both the

162   migration of more NPs and the size shrinkage of smaller particles (additional in-situ STEM observations in

163   Fig. S6). Fig. 2h corresponds to the HAADF image after the temperature is increased to 1100 °C, illustrating

164   the further shrinkage of smaller NPs (marked by dashed white circles), leading to the growth of the larger

165   NPs. The in-situ TEM observations indicate that the sintering behavior of NPs is temperature-dependent.

166   For annealing temperatures below 800 °C, sintering occurs only for closely neighboring NPs, leading to

167   neck initiation via the surface self-diffusion of adatoms on the NPs. The PMC mechanism is activated at

168   900 °C, as indicated by the observed particle migration. Further temperature increases to 1000 °C and above

169   activate the OR mechanism, evidenced by the size shrinkage of smaller NPs. The inter-particle spacing

170   plays a key role in neck formation between NPs at relatively low temperatures (≤ 800 °C). Fig. 2i shows

171   the measured average inter-particle spacings for neck formation among NPs at annealing temperatures

172   ranging from 400 to 800 °C (with inter-particle spacings measured at room temperature before heating).

173   The results indicate that higher annealing temperatures permit neck formation between NPs at a larger inter-

174   particle gap. This underscores the temperature effect on promoting the morphology evolution of the NPs

175   and facilitating neck formation via surface self-diffusion.

176   Surface self-diffusion is thermally activated due to the tendency to minimize surface energy, with

177   a higher rate at higher temperatures, regardless of the presence of a neighboring nanoparticle. This process

178   leads to surface morphology fluctuations through the formation and migration of atomic steps around the

179   nanoparticle. Notably, when nanoparticles are in the close proximity, the surface morphology fluctuations

180   contribute to the initiation of neck formation in the inter-particle gap region. Subsequently, self-sustained

181   neck growth occurs through surface self-diffusion. Fig. 3 presents in-situ HRTEM images (with an electron

182   beam dose rate of 8400 e/(Å^2 s)), capturing the atomic-scale process of the surface self-diffusion leading to

183   the neck formation between closely neighboring $Pt_{85}Fe_{15}$ NPs at 500 °C. Fig. 3a presents an HRTEM image

184   of two NPs separated by a visible inter-particle gap, as enclosed within the white dashed box. In this region,

185   one particle exhibits a single-crystalline structure, while the other one is defective with a twin boundary,

186   marked by the red line. Figs. 3b-e depict a time sequence of zoom-in HRTEM images focusing on the inter-

187   particle gap region, revealing the cumulative formation of atomic steps, represented by purple lines. This

188   process arises from surface self-diffusion of adatoms on the facing surfaces of the NPs. Consequently, the

189   inter-particle gap gradually narrows, leading to neck formation through the entrapment of self-diffusing

190   adatoms by the formed atomic steps. As illustrated by the shadowed yellow in Fig. 3e, f, the trapped adatoms

191   continuously self-organize into the crystal lattice that is epitaxial with the single-crystal $Pt_{85}Fe_{15}$ NP.

192   Notably, the neck initiation observed here does not involve the direct collision of the NPs, as suggested by

193   the PMC mechanism. Instead, the initiation of the bridge-like neck formation begins with the trapping of

194   the surface self-diffusing adatoms in the inter-particle gap region.

Fig. 3. In-situ HRTEM imaging of the neck formation and growth between Pt$_{85}$Fe$_{15}$ NPs during the vacuum annealing at 500 °C. a HRTEM image of two closely neighboring NPs, one exhibiting a single-crystalline structure, while the other is defective, including twin boundaries. **b-i** Time-sequence of HRTEM images (Supplementary Movie 1) focusing on the inter-particle gap region, illustrating the trapping of surface self-diffusing adatoms. This process leads to the nucleation and growth of new atomic layers (marked in purple) on both facing facets, facilitating neck formation and growth. The red dashed lines in **e** are the superimposed trace of the position and profile of the facing facets at 0 s in **b**. Red arrows in **h** and **i** indicate the growth direction of the neck toward the defective NP. The red "T" corresponds to edge dislocations at the neck growth front toward the defective NP. **J** HRTEM image of the well sintered NP, showing the conversion of the initially defective NP into the single crystal via the epitaxial growth. The red-marked bottom-left region remains a defective region and has not undergone conversion into the single crystal. **k**
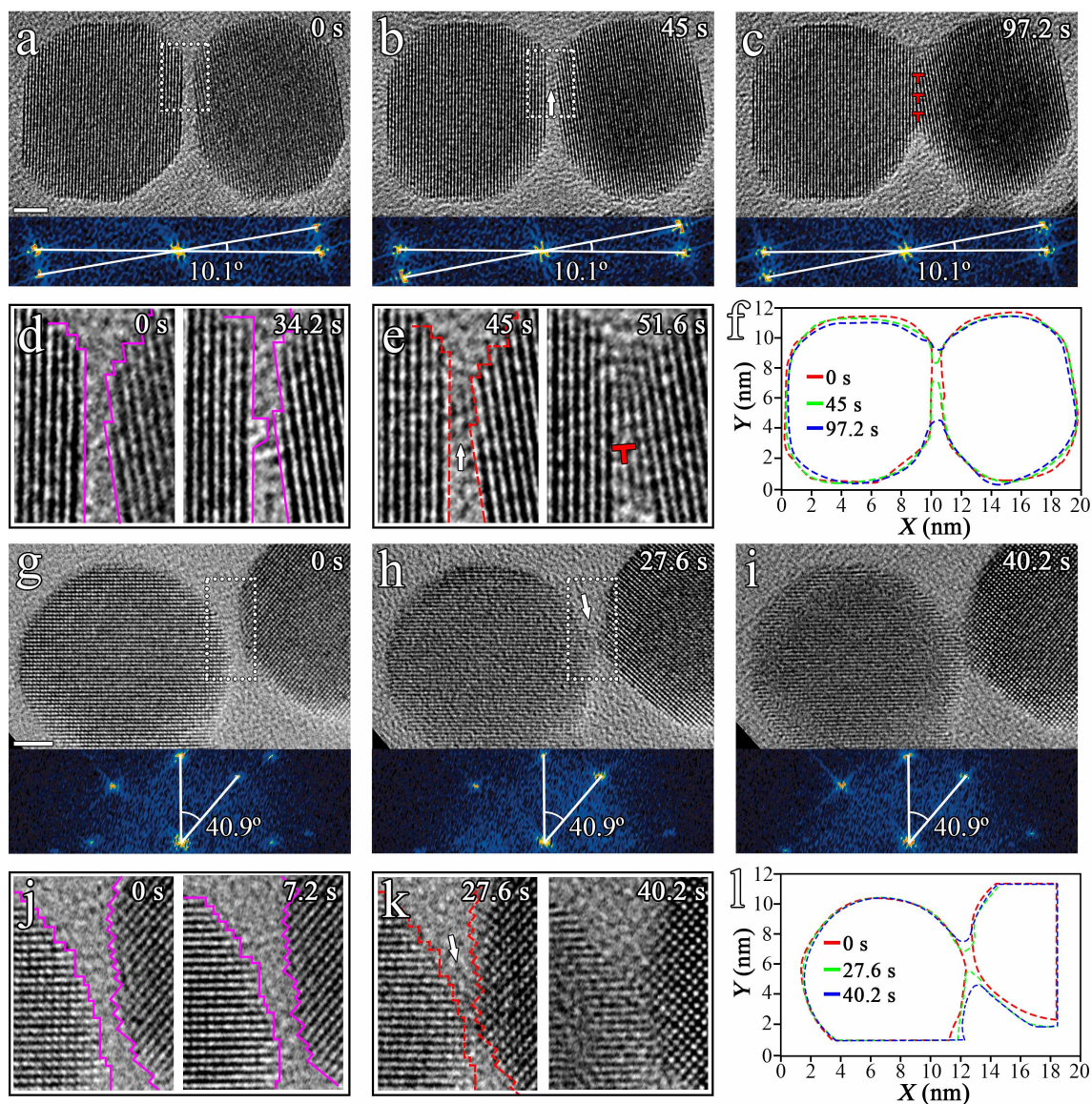
206 Time-sequence HRTEM images with overlapped GPA strain maps (ε_{xx}) of the neck area. Scale bar, 2 nm **a**,

207 **j**; 1 nm **b-k**.

208

209   The sintering process continues with the epitaxial growth of the contacting neck toward the

210 defective NP. This neck growth process involves recrystallization of the defective lattice regions of the NP.

211 This is evidenced by tracking the migration of the growth front of the neck region, forming a low-angle

212 grain boundary mediated by an array of edge dislocations (marked by the yellow dashed lines and red "T"

213 in Fig. 3g-j). The forward propagation of the low-angle grain boundary is accompanied by the retraction

214 motion and rearrangement of the edge dislocations, which requires significant bulk self-diffusion in the

215 defective NP to result in the rearrangement of atoms at the growth front. The epitaxial growth of the necked

216 region is energetically favorable as it results in the annihilation of high-energy structure defects in the

217 defective NP. This is also confirmed by the geometrical phase analysis (GPA) of the time-sequence

218 HRTEM images of the formed neck region in Fig. 3k, demonstrating the decreased strain as the progressive

219 annihilation of high-energy structure defects in the neck region. Fig. 3j provides a relatively lower

220 magnification HRTEM image, illustrating that most of the NP has converted into the single-crystal feature,

221 with the bottom-left region retaining its defective state.

222   The observed neck formation induced by the surface self-diffusion also happens at the inter-particle

223 gap between defect-free NPs. Fig. 4 presents in-situ HRTEM image snapshots of the neck formation

224 dynamics of the nano-cubic $Pt_{85}Fe_{15}$ NPs during the vacuum annealing at 500 °C. The two NPs are defect-

225 free and separated by an inter-particle gap of ~ 1 nm with a face-to-face misalignment of ~ 10.1°, as

226 indicated by the Fast Fourier Transformation (FFT) of the HRTEM image in Fig. 4a. Neck formation is

227 observed to take place by the formation of new atomic layers in the smallest inter-particle gap region (Fig.

228 4b). The newly formed atomic planes grow along the opposing facets of the two NPs, resulting in the

229 formation of a small-angle grain boundary with the presence of several edge dislocations to accommodate

230 their misalignment (marked by red "T" in Fig. 4c). Fig. 4d presents the time sequence of the enlarged views

231 of the inter-particle gap region, showing the atomic process leading to the neck initiation. The thermally

232   activated surface self-diffusion results in the accumulative trapping of adatoms in the smallest inter-particle

233   gap region (as traced by the purple lines in Fig. 4d), leading to the initiation of the neck formation between

234   the particles. Thereafter, the rearrangement of the trapped adatoms into the epitaxial growth happens on the

235   two closely facing facets (Fig. 4e), where the resulting low-angle grain boundary along with the presence

236   of edge dislocations in the fully merged region also confirms that there is no in-plane rotation of the two

237   NPs during the neck formation process. This lack of in-plane rotation is further supported by the FFTs of

238   the HRTEM images (bottom panel of Figs. 4(a-c)), indicating a consistent misalignment angle between the

239   two equivalent reflections associated with the two NPs throughout the neck formation process. A detailed

240   temporal evolution of the surface morphology for both NPs is presented in Fig. 4f. The surface profiles and

241   positions are compared, revealing a trend toward into a round shape due to thermally activated surface self-

242   diffusion. The accumulative trapping of adatoms at the inter-particle gap leads to the formation of neck

243   contact. Notably, the two single-crystal NPs in contact do not exhibit significant grain growth or shrinkage,

244   distinguishing this behavior from the case depicted in Fig. 3, where the defective NP is consumed through

245   the annihilation of defects in the bulk.

Fig. 4. In-situ HRTEM imaging of the neck formation between single-crystal Pt$_{85}$Fe$_{15}$ NPs during vacuum annealing at 500 °C. a-c Time-sequence HRTEM images (Supplementary Movie 2) showing the neck formation process between the two NPs with slight face-to-face misalignment in the inter-particle gap region. The bottom panel shows the FFTs of the HRTEM images. **d, e** Enlarged views of time-sequence HRTEM images displaying the trapping of self-diffusing adatoms leading to the formation of new atomic layers (marked in purple) on both the facing facets in the inter-particle gap. The red "T" marks the edge dislocations at the low-angle grain boundary. **f** Traces of the morphological evolution of the NPs at different times of the vacuum annealing. **g-i** In-situ HRTEM images (Supplementary Movie 3) illustrating the sintering process of two NPs with the facing vertices in the inter-particle gap region. The bottom panel shows the FFTs of the HRTEM images. **j, k** Enlarged views of the time-sequence HRTEM

256   images showing the extension of the lattice planes (marked in purple) on both the facing facets by the trapping of self-

257   diffusing adatoms in the inter-particle gap. The red dashed lines in **e** and **k** are the superimposed traces of the position

258   and profile of the facing facets at 0 s in **d** and **j**, respectively. **l** Traces of the morphological evolution of the NPs in

259   (g-i) at different annealing times. Scale bar, 2 nm **a-c**, **g-i.**
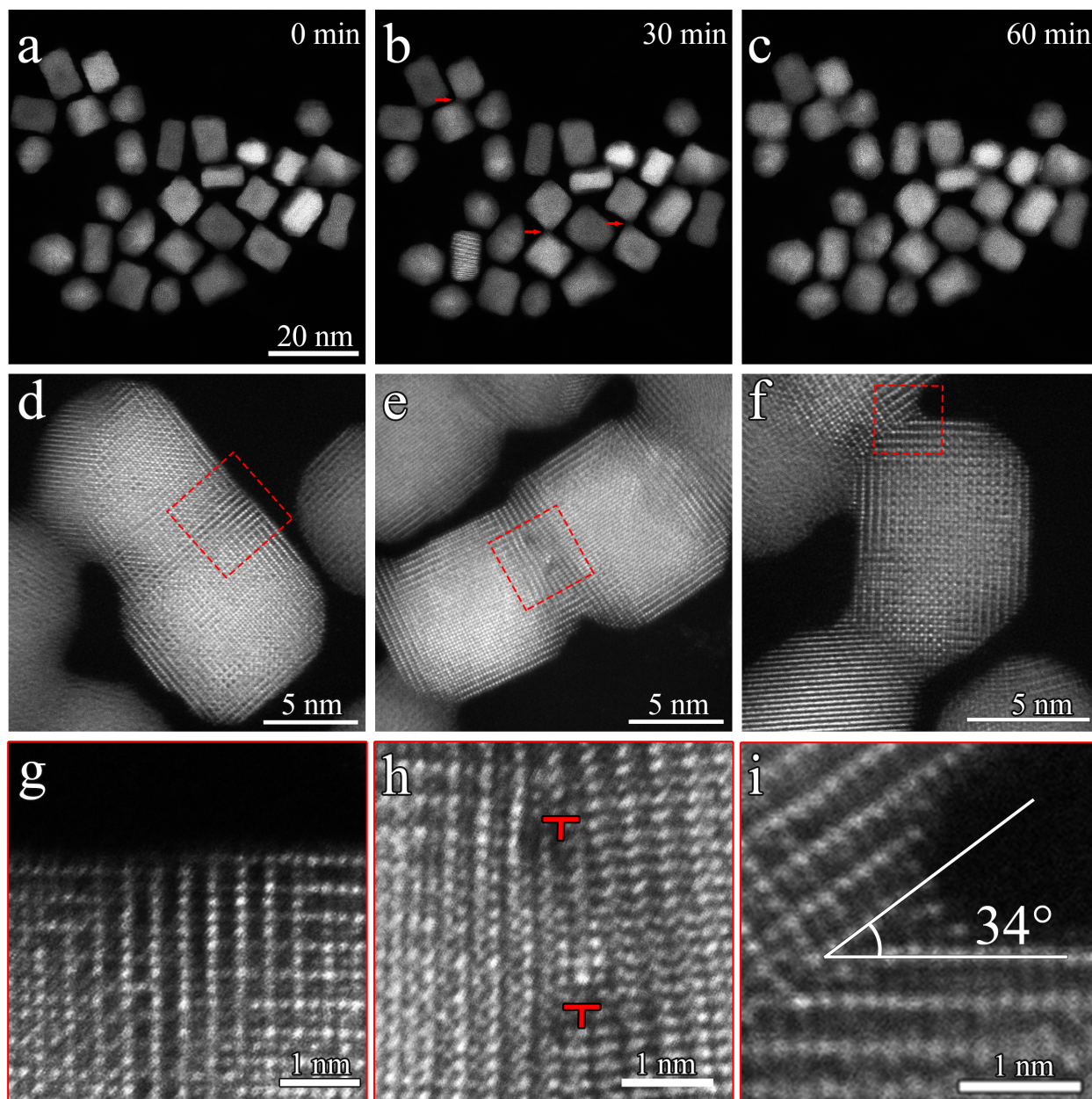
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261   Figs. 4g-i present in-situ HRTEM imaging of the corner-to-corner neck formation between two

262   defect-free NPs featuring a large in-plane misorientation angle. The FFT of the HRTEM image (bottom

263   panel in Fig. 4(g)) confirms that both NPs are along the [100] zone axis but have an in-plane rotation

264   (misorientation) angle of $\sim 40.9^{\circ}$. As observed in Figs. 4g, i, the neck formation occurs in the corner-to-

265   corner region that has the shortest inter-particle gap of ~ 1 nm, resulting in a high-angle grain boundary in

266   the merged region. The FFT of the HRTEM images in Fig. 4g-i show the in-plane misorientation angle

267   between the NPs remains unchanged at 40.9°, confirming that there is no particle rotation during the neck

268   formation process. Fig. 4j depicts the time-sequence, enlarged views of the corner-to-corner region marked

269   by the white dashed rectangle in Fig. 4g, showing independent growth of lattice planes of the facing facets

270   of the two NPs, thereby resulting in a merged high-angle grain boundary (Fig. 4k). Detailed tracing of the

271   surface morphology evolution of the two NPs is depicted in Fig. 4l, showing the corner rounding by the

272   surface self-diffusion to result in the mass transfer to the neck region. Additional examples of the

273   morphology evolution of the $Pt_{85}Fe_{15}$ NPs, leading to the inter-particle neck and formation of various grain

274   boundaries during surface self-diffusion-induced sintering, are presented in Figs. S7.

275   The in-situ HRTEM imaging of the neck region shown in Figs. 3 and 4 demonstrates that the neck

276   formation occurs via the nucleation and growth of new atomic layers on the two facing facets of the adjacent

277   NPs as a result of the trapping of surface self-diffusing atoms in the inter-particle gap region. This contrasts

278   with the OR process, where the anticipated outcome involves the shrinkage (involving atomic evaporation

279   and/or detachment) of atomic layers on one facing facet and the nucleation/growth of new atomic layers on

280   the opposite facing facet due to the net mass transfer from the small particle to the larger one. The absence

281   of the OR process is also corroborated by the low-magnification TEM and STEM imaging in Fig. 2,

282   showing negligible changes in particle sizes, even for the smallest particles for the annealing at the relatively

283   lower temperature (≤ 600 °C). This suggests that the neck formation does not involve long-range diffusion

284   of atoms evaporating or detaching from smaller particles that are not within the close vicinity.

285   The in-situ TEM observations shown above are performed with $Pt_{85}Fe_{15}$ NPs that tend to develop

286   into the Pt_3Fe $L1_2$ ordered phase upon the vacuum annealing, as confirmed from our HAADF-STEM

287   imaging (Fig. S7). For comparison, in-situ STEM imaging of the sintering behavior of $Pt_{65}Fe_{35}$ NPs is also

288   performed. As shown in Fig. 5(a-c), the vacuum annealing of $Pt_{65}Fe_{35}$ NPs results in the neck formation

289   among closely neighbored NPs without significant changes in particle size and migration, similar to the

290    $Pt_{85}Fe_{15}$ NPs (Fig. 2). Atomic-scale HAADF-STEM images show that the vacuum annealing results in the

291   PtFe $L1_0$ ordering for $Pt_{65}Fe_{35}$ NPs (Figs. 5(d-i)) and the Pt_3Fe $L1_2$ ordering for $Pt_{85}Fe_{15}$ NPs (Fig. S7)

292   without obvious elemental surface segregation. The HAADF images of sintered $Pt_{65}Fe_{35}$ NPs also show the

293   formation of various microstructure features, including perfect lattice matching (Figs. 5(d, g)), and small-

294   angle grain boundary (Figs. 5(e, h)) and high-angle grain boundary (Figs. 5(f, i)) in the neck region, akin

295   to observations from the sintering of $Pt_{85}Fe_{15}$ NPs (Figs. 3 and 4). The small-angle grain boundary consists

296   of discrete dislocations, marked by the red 'T' in Fig. 5h, whereas the high-angle grain boundary is formed

297   between grains with a misorientation of 34° as shown in Fig. 5i. It is worth noting that Pt_3Fe $L1_2$ and PtFe

298    $L1_0$ ordered phases have Curie temperatures of approximately 70 °C and 400 °C, respectively.[39,40] Beyond

299   these Curie temperatures, the NPs lose their magnetic properties. Given that our in-situ TEM imaging was

300   conducted at temperatures exceeding 400 °C, it is evident that the sintering observed is not attributable to

301   the magnetic attraction-induced motion of the NPs. Instead, the observed neck formation induced by surface

302   self-diffusion is dependent on the initial inter-nanoparticle gap. This gap is significantly influenced by the

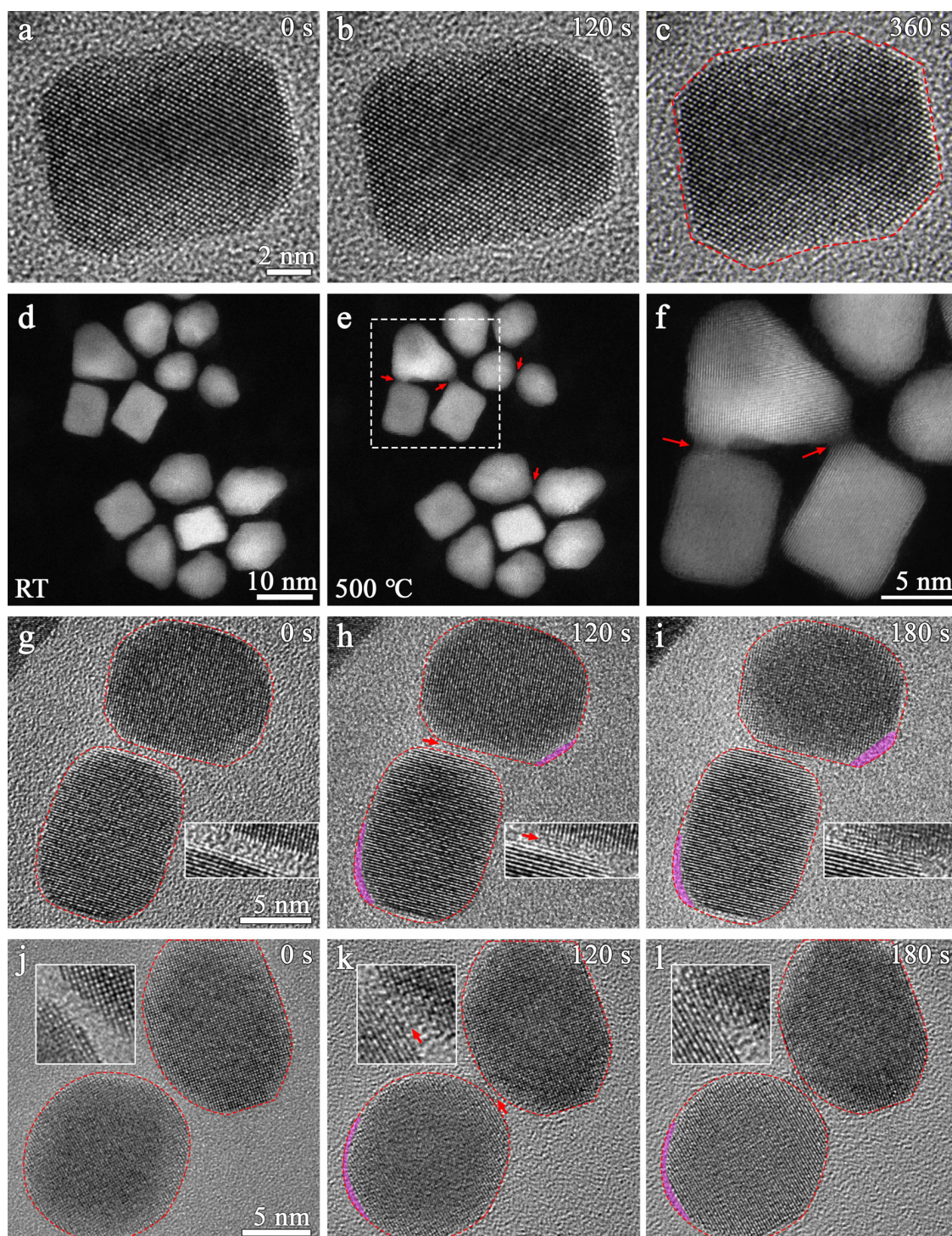303   dispersion of the NPs during the drop-cast process.

Fig. 5. HAADF-STEM imaging of the sintering of Pt$_{65}$Fe$_{35}$ NPs under vacuum annealing at 600 °C. a-c Time-sequenced HAADF images showing the contacting neck formation among the NPs in the close neighborhood. **d-h** HAADF images showing the perfect merging of the lattice planes, low-angle, and high-angle grain boundaries in the neck region. Red "T" marks discrete dislocations within the low-angle grain boundary. **g-i** Zoomed-in HAADF images of the neck regions marked by the red dashed boxes in (**d-f**), respectively.

311   When conducting in-situ TEM observations (Figs. 2-5), it is essential to carefully assess potential

312   effects from the electron beam irradiation, including radiolysis, atomic displacement and local heating, on

313   the sintering dynamics of NPs. Following established procedures,[8,10,20] we performed thorough evaluation

314   of electron beam effects through "blank-beam" and controlled electron dose experiments. As depicted in

315   Figs. 6(a-c), real-time HRTEM images demonstrate the high stability and negligible morphology changes

316   of $Pt_{85}Fe_{15}$ NPs under continuous electron beam irradiation at a dose rate of 11200 e/(Å²·s) at room

317   temperature. This dose rate exceeds that used in the in-situ HRTEM observations shown in Figs. 3 and 4.

318   These findings confirm the occurrence of thermally activated surface self-diffusion, leading to the observed

319   rounding tendency of NPs at elevated temperatures. Furthermore, we evaluated the potential effects of

320   electron beam irradiation by comparing the sintering dynamics of Pt-Fe NPs exposed to the beam with

321   those that were not. The electron beam was unblanked for only a few seconds to capture time-sequenced

322   HRTEM and temperature-sequenced HAADF snapshots at the beginning and end of the sintering

323   experiments, minimizing e-beam exposure during imaging. Figs. 6(d-f) show the HAADF images of the

324   NPs at room temperature and after vacuum annealing to 500°C, illustrating the formation of necks between

325   the NPs during electron beam blanking.

326   Similarly, Figs. 6(g-i) and 6(j-l) present HRTEM image snapshots from two distinct vacuum

327   annealing experiments of NPs under the blanked electron beam. They illustrate neck formation within the

328   interparticle gap region – one due to the encounter of growing perpendicular lattice planes, and the other

329   due to slightly misaligned merging of the lattice planes, respectively. The zoomed-in views of the inter-

330   particle gap in Figs. 6(g-l) reveal that neck formation occurs through the nucleation and growth of new

331   atomic layers on the two opposing surfaces of the NPs. Concurrently, other surface regions of the NPs

332   experience gradual mass loss, as indicated by the purple markings in Figs. 6(h, i, k, l). This correlation

333   suggests that mass is transferred from these recessed surface areas to the inter-particle gap via the surface

334   self-diffusion, thereby facilitating neck formation. The observed necking formation under the blanked

335   electron beam (alongside additional examples in Figs. 5(a-c), S4 and S5) aligns consistently with in-situ

observations depicted in Figs. 3 and 4. Furthermore, the low-magnification in-situ observations shown in Figs. 2(a-c), which involved significantly lower electron beam doses, illustrate morphology evolution and subsequent NP sintering, corroborating well with the HRTEM observations. These findings further confirm the minimal impacts of electron beam irradiation on the sintering dynamics in our study.

Fig. 6. Evaluation of electron beam irradiation effects on sintering dynamics of Pt-Fe NPs. a-c Time-sequence HRTEM images demonstrating negligible effects of electron beam irradiation on $Pt_{85}Fe_{15}$ NPs at room temperature. The red dashed line in **c** represents the superimposed traces of the position and profile of the surface at 0 s. **d**, **e** HAADF images displaying the as-dispersed $Pt_{85}Fe_{15}$ NPs and after vacuum annealing to 500 °C with the electron beam blanked. **f** Zoomed-in HAADF image of the region marked by the white dashed box in (**e**), showing the neck formation in the inter-particle gap regions. **g-i** Time-sequence HRTEM images depicting neck formation through the encounter of growing perpendicular lattice planes in the inter-particle gap region during vacuum annealing at 500 °C with the electron beam blanked. **j-l** Time-sequence HRTEM images illustrating neck formation via merging of growing slightly misaligned lattice planes of neighboring NPs during vacuum annealing at 500 °C with the electron beam blanked. The electron beam was unblanked for ~ 1 s to capture image shots in (**g-l**). Red arrows in (**e-l**) highlight the neck formation. The insets in (g-l) are zoomed-in views of the inter-particle gap regions. The red dashed lines in (g-l) track the position and profile of the NP surface at 0 s. The regions marked by purple indicate mass loss associated with neck formation in the inter-particle gap.
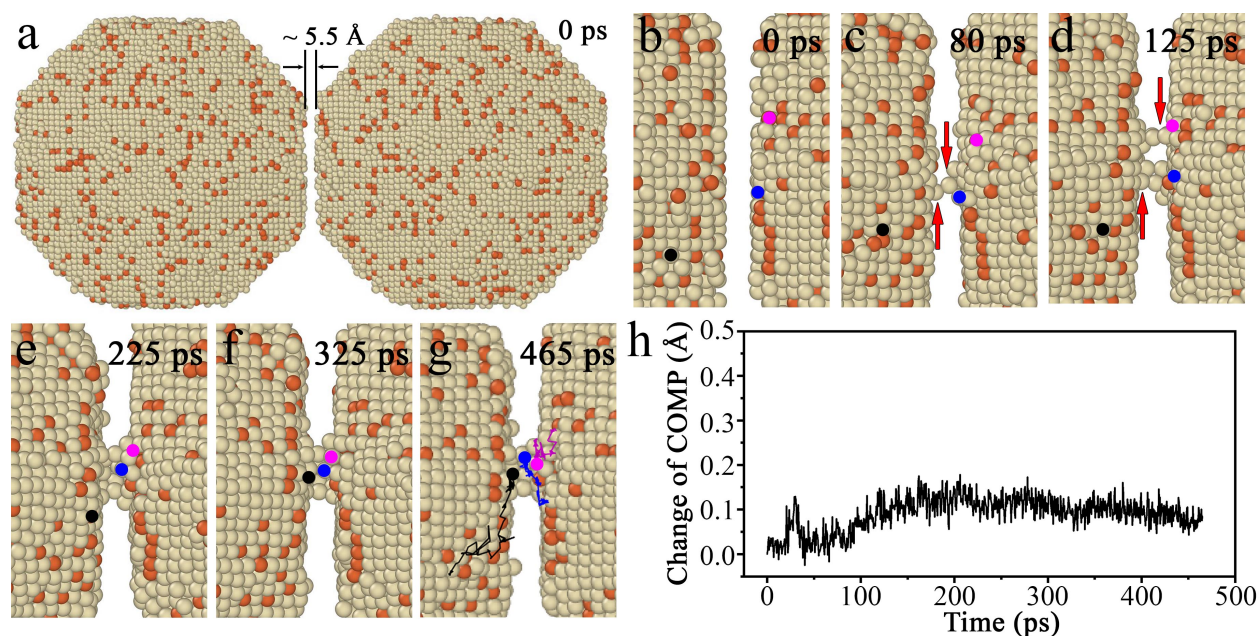
Atomistic simulations are then performed to further elucidate the microscopic processes underlying the experimentally observed neck formation. We first examine the stability and mobility of surface atoms using first-principles calculations based on density functional theory (DFT). The calculated energies for the complete detachment of an atom from the flat surfaces (to form free atoms) are ~ 5.95 eV for (100) and ~ 4.6 eV for (111). These values are significantly larger than the barriers for surface self-diffusion, which are ~ 1.08 eV for (100) and ~ 0.60 eV for (111)) (more details in Fig. S8). The substantial difference in the activation energies suggests that the surface self-diffusion-induced neck formation is kinetically more favorable and can be activated at a relatively lower temperature than the OR process that requires complete detachment (evaporation) of atoms from the NPs.

Molecular dynamics (MD) simulations are then performed to elucidate the kinetic process of the neck formation. Due to the large time-scale gap between experiments and MD simulations, a higher annealing temperature of 1473 K is used to accelerate the MD simulations for better comparisons with the experiments. Fig. 7(a) illustrates the structure model for the MD simulations, where the two NPs are

368   separated by an inter-particle gap of ~ 5.5 Å (larger than the interaction cutoff distance of ~ 4 Å) between

369   the two facing facets. Figs. 7(b-g) capture the temporal evolution of the inter-particle gap region in Fig.

370   7(a). Consistent with the DFT prediction, the vacuum annealing does not induce evaporation of atoms from

371   the NPs, instead, it drives surface self-diffusion of adatoms as a result of the tendency toward the rounding

372   of the crystal facets of the NPs. As marked in black, blue, and purple, respectively, in Figs. 6(b-g), the path

373   trajectories for three representative adatoms are also traced to observe the surface self-diffusion of the

374   surface adatoms that results in their trapping at the inter-particle gap. The MD simulation snapshots visually

375   depict the sequence of events culminating in the reduction of the inter-particle gap. This reduction is driven

376   by the self-diffusion of adatoms to the inter-particle gap region, where they form single atomic steps (as

377   marked by the red arrows in Fig. 7(c, d)), subsequently trapping additional self-diffusing adatoms and

378   ultimately triggering neck formation. It is noteworthy that while surface morphology fluctuations also occur

379   at other surface facets, these fluctuations can quickly dissipate in the absence of neighboring NPs in close

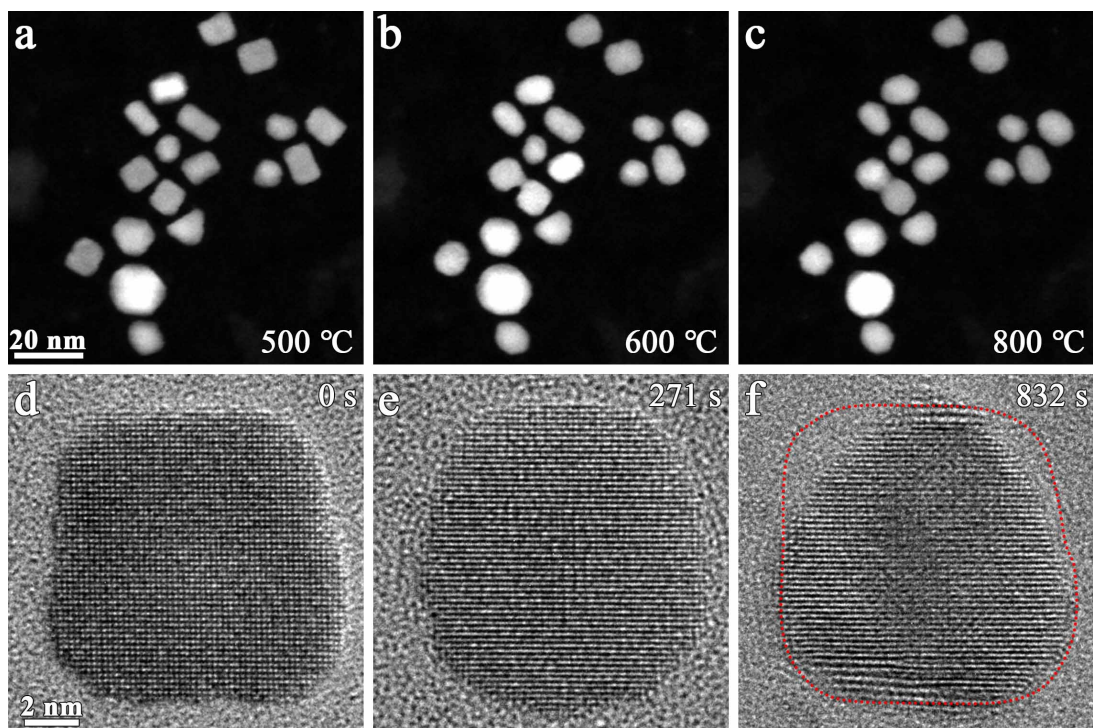380   proximity.

381   The MD simulations match well with the experimentally observed neck initiation and growth (Figs.

382   3, 4). To further confirm the atomic clustering-induced sintering, the time evolution of the center of mass

383   (COM) of the two NP system is followed using the MD simulation outcomes. As shown in Fig. 7(h), the

384   COM remains almost un-displaced throughout the merging process, confirming the absence of any mass

385   loss or mass transfer from one NP to the other one. This happens because no external forces act on the two

386   NPs, and the neck formation and growth are simply induced by the trapping of surface self-diffusing

387   adatoms in the inter-particle gap region. To further evaluate the temperature and inter-particle distance

388   effects, our MD simulations of annealing at the lower temperatures of 1073 K and 1273 K and a larger

389   inter-particle gap of 1 nm do not yield noticeable neck formation (Figs. S9 and S10). This is consistent with

390   the in-situ TEM and STEM results (Fig. 2) of the sufficiently high temperature and small inter-particle

391   distance required for the neck formation.

Fig. 7. MD simulations of the neck dynamics during vacuum annealing at 1473 K. a Structure model of two $Pt_{85}Fe_{15}$ NPs separated by 5.5 Å of the inter-particle gap. **b-g** Snapshots of the inter-particle gap region, showing the nucleation and growth of new atomic layers on the two facing facets by surface self-diffusing adatoms in the inter-particle gap region. The black, blue, and red lines correspond to the surface diffusion trajectories of the three adatoms marked in black, blue, and purple, respectively. **h** COM of the two NP system measured as a function of the simulation time.

The in-situ TEM and STEM observations indicate the negligible effect of the SiN_x and carbon support on the sintering behavior of the Fe-Pt NPs as a result of the surface self-diffusion process. However, it is worth mentioning that both the carbon and SiN_x are considered inert supports that do not interact strongly with the supported metal. By contrast, reducible oxide supports (such as ZnO, TiO_2) are known to interact strongly with supported metal to result in the encapsulation of the metal by a reduced thin oxide layer migrating from the oxide support,[41,42] for which the surface self-diffusion of the NPs can be effectively suppressed. Therefore, the nanoparticle-support systems with the strong metal-support interaction (SMSI) effect are not expected to exhibit the sintering behavior as a result of the surface self-diffusion for the pristine NPs as shown above.

409   The driving force for the sintering of NPs is to reduce the overall surface energy of an ensemble of

410   NPs. However, this global energy consideration does not adequately account for the changes in the free

411   energy landscape of individual NPs and how these changes influence the specific sintering pathways such

412   as the classic OR and PMC processes. In contrast, our in-situ TEM observations and atomistic simulations

413   reveal that the tendency to assume a round shape to decrease the surface area of a single NP induces

414   significant surface self-diffusion. This rounding propensity is further illustrated by the low-magnification

415   in-situ STEM imaging conducted at a low electron beam dose rate ($34 \text{ e}/(\text{Å}^2 \text{ s})$) (Fig. 8(a-c)), where the NPs

416   are exposed to the electron beam only during image capture (i.e., the beam is blanked for most of the

417   annealing process). The NPs, whether far apart or closely neighbored, exhibit notable morphological

418   changes. Similarly, the in-situ HRTEM image snapshots, acquired with the electron beam unblanked for ~

419   1s at a dose rate of $5420 \text{ e}/(\text{Å}^2 \text{ s})$, further demonstrate the morphological evolution while minimizing

420   electron beam exposure (Figs. 8(d-f)). This is evidenced by the observed growth along certain surface

421   regions, accompanied by mass transfer from receding surface regions (Figs. 8(d-f)). Such morphological

422   evolution involves surface self-diffusion, which in turn, facilitates the initiation of neck formation at inter-

423   particle gaps for closely neighboring NPs.

Fig. 8. In-situ STEM and HRTEM imaging of the morphological evolution of annealed Pt-Fe NPs. a-c, Time-sequence of low-magnification STEM-HAADF images showing the rounding tendency of $Pt_{85}Fe_{15}$ NPs. **d-f**, HRTEM images depicting growth along certain surface regions with mass transfer from the receding surface regions of an $Pt_{65}Fe_{35}$ NP annealed at 600 °C. The red dashed line in **f** represents the superimposed trace of the position and profile of the NP surface at 0 s. The electron beam was only unblanked to capture image shots.

Discussion

The necking phenomenon described above is based on shape-controlled Pt-Fe nano-cubes by taking advantage of their faceted surfaces and relatively uniform size distribution. These nano-cubes exhibit promising catalytic properties for oxygen reduction reaction (ORR) in electrocatalysis.[1] Ordered Pt-M NPs (where M = Fe, Ti, Cu, Co, etc.) have garnered significant interest due to their high stability and reactivity in ORR.[1,34,35] Thermal annealing is a crucial step for achieving the ordered intermetallic phase and for controlling the shape and surface structure, both of which are vital for enhancing electrocatalytic performance. Therefore, a comprehensive understanding of the evolution of size, structure, and morphology during thermal annealing is essential for optimizing catalytic efficiency. The insights gained from in-situ

440 TEM imaging of the necking phenomenon offer practical implications for manipulating the morphology,

441 size distribution, and stability of NPs by leveraging surface self-diffusion processes.

442 It is crucial to clarify any potential effects of electron beam irradiation on the outcomes of our in-

443 situ TEM experiments. The e-beam dosing rate employed in our in-situ STEM/TEM imaging is

444 significantly higher than that used by Bredmose et al.,[17] but comparable to that of Song et al.[19] Our thorough

445 evaluation, which includes "blank-beam" and varying electron dose rates (Figs. 6, S4, S5 and S7), confirms

446 that the higher electron beam dose rates used in our experiments do not produce noticeable effects on the

447 sintering behavior of the Fe-Pt nanoparticles. Several factors contribute to the enhanced stability of the

448 nanoparticles against e-beam irradiation during our in-situ TEM experiments: 1) large particle sizes – the

449 particle sizes used in our experiments exceed ~ 11 nm, which is considerably larger than those (~ 3 nm or

450 smaller) typically used in other in-situ TEM studies; 2) alloying – Fe-Pt alloys exhibit a strong ordering

451 tendency (as illustrated in Fig. 5), leading to superior atomic bonding compared to pure metals utilized in

452 other research. This strong atomic arrangement enhances the stability and resistance of the NPs against

453 electron beam bombardment and sputtering. Consequently, Fe-Pt NPs are more resistant to changes induced

454 by high-energy electron exposure, which is crucial for in-situ TEM imaging.

455 In comparing data acquisition in TEM and STEM modes, the focused e-beam in STEM allows for

456 lower exposure at each point, as the beam is rapidly scanned across the specimen. Additionally, our in-situ

457 STEM observations prioritize low-magnification imaging (Figs. 2, 5, 8(a-c)), which further decreases the

458 electron dose rate. In contrast, the broader electron beam used in in-situ HRTEM imaging can lead to higher

459 overall electron doses over larger areas of the specimen. However, a comparison of our in-situ HRTEM

460 imaging results (Figs. 2, 3, 8(d-f)) with "blank-beam" HRTEM imaging (Figs. 6(g-l)) demonstrates similar

461 neck formation behavior. This consistency confirms that the electron dose rate during the in-situ HRTEM

462 imaging remains within a safe range, preventing any artifacts that could affect the sintering behavior of the

463 NPs.

464 The observed initiation of neck formation resulting from surface self-diffusion is therefore an

465 intrinsic behavior for closely neighboring NPs. This can happen particularly in high loading catalyst

466 scenarios where NPs are in close proximity. The surface self-diffusion mechanism is also relevant to the

467 PMC process, which relies on Brownian-like motion of NPs to bring NPs into proximity. However, the

468 microscopic mechanism for neck initiation is not fully explained by the conventional understanding of the

469 PMC process. As shown above, the arrest of surface self-diffusing atoms at the inter-particle gaps works as

470 the atomic origin of the neck initiation between closely neighboring NPs. Our in-situ TEM observations

471 (Fig. 2) further demonstrate that the neck initiation through surface self-diffusion is activated at a lower

472 temperature than the OR and PMC processes. The lower activation energy for surface self-diffusion

473 compared to atomic evaporation and particle migration contributes to this temperature dependency. The

474 study suggests that inhibiting surface self-diffusion, either by increasing activation energies or through the

475 SMSI effect, can effectively hinder the neck formation and thus prevent the NPs from sintering at elevated

476 temperatures.

477 Surface self-diffusion in the sintering of NPs has been previously described by Schested et al. as a

478 mechanism responsible for both particle migration and coalescence.[27] However, a key distinction in our

479 observations is the absence of net particle migration. Our in-situ TEM imaging (Figs. 2, 4, 5, 6, 8) indicates

480 that the drive to minimize the surface energy of individual particles results in significant surface self-

481 diffusion of atoms, leading to a more rounded shape without inducing noticeable migration of the NPs. This

482 lack of particle migration is further corroborated by our MD simulations, which show no change in the

483 center of mass of the particles (Fig. 7). This absence of migration can be attributed to the limited inter-

484 particle interactions among NPs of similar sizes. The kinetic entrapment of surface self-diffusing atoms in

485 the gaps between closely spaced NPs facilitates neck formation, allowing for coalescence without need for

486 significant particle movement.

487 The sintering mechanism observed in our case, driven by surface morphology evolution induced

488 by self-diffusing adatoms, also contrasts with the process of oriented attachment,[43–45] which involves the

489 migration and alignment of crystallographic planes. The absence of the need for migration and rotation of

490 NPs for crystallographic alignment in our scenario is highlighted by the formation of low-angle and high-

491 angle grain boundaries in the merged NPs shown in Figs. 4 and 5. Nevertheless, the neck growth toward

492 the highly defective region of the merging nanoparticle leading to a single-crystal nature, as depicted in Fig.

493 3, shares the common driving force with oriented attachment in terms of minimizing interface energy.

494   Our in-situ TEM imaging and MD simulations reveal that the necking mechanism via surface self-

495 diffusion requires close proximity between NPs. Several processes can promote this proximity: 1) high

496 catalyst loading – increased catalyst loading increases the surface density of NPs on the support, enhancing

497 the likelihood of NPs being in close proximity. This high density fosters effective interactions that promote

498 neck formation and subsequent sintering. 2) Thermally driven Brownian migration – the random movement

499 of NPs due to thermal energy can draw NPs closer together, facilitating neck formation through surface

500 self-diffusion even before the NPs make physical contact.

501   Minimizing the surface free energy is the driving force for influencing the sintering behaviors of

502 nano catalysts. As well-documented in previous studies,[10,11,13,20,46,47] the sintering by atomic evaporation

503 into the vacuum or diffusion across the substrate surface usually depends on the particle size. Specifically,

504 smaller particle sizes result in higher Laplace pressure for atomic evaporation or detachment. However, in

505 our study, the NPs have a relatively large size (> 10 nm), where the surface self-diffusion becomes more

506 readily activated compared to atomic evaporation, playing a key role in controlling the sintering behavior

507 of the NPs. The fundamental insights shown above could have broader applicability for the stability design

508 of nano-catalysts. The co-selection of a suitable size (~ 10 nm) and loading (inter-particle distance > 1 nm)

509 can be the strategy to achieve a balance between activity and stability in nano-catalysts. This approach aims

510 to prevent excessive sintering by promoting stable inter-particle distances and optimizing the overall

511 performance of the catalyst.

Conclusions

In conclusion, the study reveals a sintering phenomenon by which NPs merge through the trapping of surface self-diffusing adatoms in the inter-particle gap region. This process is driven by the thermally driven rounding of the crystal facets of the NPs, promoting the flux of self-diffusion of adatoms on the NP surfaces. The entrapment of self-diffusing adatoms in the narrow inter-particle gap results in a higher concentration of adatoms, facilitating collision interactions for the nucleation and growth of new atomic layers, leading to neck formation between NPs. Importantly, this surface self-diffusion-driven sintering process is activated at a relatively lower temperature compared to other sintering mechanisms such as OR and PMC. The fundamental insights shown here are of wide relevance and considerable practical importance for manipulating the morphology, size distribution, and stability of nanostructures using surface self-diffusion processes.

523 **Experimental and modeling methods**

524   **Synthesis of Pt-Fe solid-solution alloy nanoparticles.** Pt-Fe cubic NPs were prepared using a modified

525 method reported previously.[48] Typically, for the synthesis of the $Pt_{85}Fe_{15}$ NPs, 0.05 mmol $Pt(acac)_2$ ($\geq$ 99.9%, Alfa

526 Aesar) and 0.05 mmol $FeCl_2 \cdot 4H_2O$ (98%, Alfa, Aesar) were dissolved in 5.0 mL of octadecene (ODE, 90%, Sigma-

527 Aldrich), 4.0 mL of oleylamine (OAm, 70%, Sigma-Aldrich) and 1.0 mL of oleic acid (OA, 90%, Sigma-Aldrich) in

528 a 100 mL three-neck flask. The mixture was degassed under vacuum at 100 °C for 1 hour to eliminate moisture and

529 oxygen. Under Ar protection, the transparent yellowish solution was gradually heated to 130 °C for 3 min, followed

530 by rapid addition of 0.050 g of $W(CO)_6$ (97%, Sigma-Aldrich). The system was then sealed tightly, and the temperature

531 was raised to 230 °C within 15 min and held constant for 30 min. Subsequently, the flask was gradually cooled to

532 room temperature using air blowing, and the resulting products were purified through multiple cycles with 30.0 mL

533 mixture of hexane and ethanol in a 1:1 ratio. The Pt-Fe NPs were collected through centrifugation and ultimately

534 redispersed in hexane to achieve a uniform suspension.

535   To mitigate the potential impact of surface contamination on sintering behavior, several precautionary steps

536 were implemented in our experiments. A solution of 0.1 M NaOH (98%, Alfa Aesar) in anhydrous ethanol was utilized

537 to thoroughly wash the PtFe NPs multiple times, effectively eliminating most residual organic ligands covering the

538 surface. Subsequently, the NPs underwent plasma treatment before being loaded into the TEM for vacuum annealing.

539 This annealing process served to decompose and eliminate any remaining organic residues. As indicated by HRTEM

540 images (Figs. 3, 4) and atomic-scale HAADF images (Figs. 5 and S7), the annealed NPs exhibit pristine surfaces

541 without any noticeable capping layer.

542   **Materials Characterization.** To investigate potential support-induced effects on the sintering behavior of

543 Pt-Fe NPs, the as-prepared Pt-Fe NPs, subjected to 5 min of ultrasonication, were drop-cast onto SiN_x and carbon

544 films, respectively. In-situ TEM experiments were conducted using a DENSsolution heating holder and a Gatan

545 heating holder in an environmental TEM (FEI Titan 80-300) equipped with an objective-lens aberration corrector. The

546 Gatan Orius SC1000 (model 832) CCD camera was used to capture in-situ HRTEM videos at a frame rate of 14 fps.

547 The annealing processes were carried out with a heating rate set at 100 °C /min, and each temperature stage included

548 a 1-minute hold to minimize thermal drift before TEM observation. To mitigate potential electron beam-induced

549 artifacts, the sample underwent exposure to the e-beam solely during the acquisition of low-magnification TEM and

550 STEM images. Additionally, the sintering experiment was replicated with a complete blanking of the electron beam

551 during vacuum annealing, and HAADF images of sintered NPs were subsequently captured at room temperature. The

552 high melting temperature (~ 1500 °C) of the Fe-Pt alloy and the relatively large particle size (> 10 nm) of the Fe-Pt

553 NPs in our work contribute to minimizing any potential electron-beam induced damage. In-situ HRTEM movies were

554 captured to monitor structural and morphological evolution of the NPs during the annealing processes. Additionally,

555 in-situ HAADF-STEM imaging of NPs sintering at low magnification was performed with FEI Talos. Ex-situ

556 HAADF-STEM imaging was performed using a probe-corrected STEM (Hitachi 2700).

557   **Atomistic simulation.** MD simulations of the sintering of $Pt_{85}Fe_{15}$ NPs were performed using the LAMMPS

558 package.[49] The modified embedded atom method (MEAM) potential developed by Kim et al. was employed to

559 describe the interatomic interactions.[50] The initial atomic configurations of the NPs were generated through the Wulff

560 construction method using the Wulff Pack code.[51] To simulate the surface self-diffusion of adatoms at high

561 temperature, a monolayer of atoms was initially introduced on the opposing (100) facets of two adjacent NPs.

562 Subsequently, 80% of the surface atoms were randomly removed to generate a configuration with adatoms randomly

563 distributed on the facets. The constructed NP consisted of a total of 30802 atoms, with 4620 Fe atoms distributed

564 randomly, achieving an overall composition close to the experimental ratio ($Pt_{85}Fe_{15}$). Prior to the sintering simulation,

565 individual NPs underwent isothermal equilibration at 1473 K for 465 ps in the canonical ensemble (NVT). After the

566 removal of the angular momentum, two NPs with the equilibrated structures were positioned within a single box,

567 aligning the (100) facet of one NP in parallel to the facing (100) facet of the other NP, with a separation distance of

568 5.5 Å. Visualization of simulation snapshots at various time points was caried out using the OVITO software.[51] To

569 assess the temperature effect on neck initiation, MD simulations were also conducted at lower temperatures of 1073

570 K and 1273 K for 465 ps, providing comparative insights.

571   The energy for atomic detachment and the barrier for self-diffusion of a Pt adatom on the (001) and (111)

572 surfaces of a $Pt_{85}Fe_{15}$ alloy were predicted through first-principles calculations using DFT. The calculations were

573 performed using the Vienna Ab initio Simulation Package (VASP).[52] The projector-augmented wave (PAW) method

574 was used with an energy cutoff of plane wave at 500 eV.[53] The exchange-correlation interactions were described by

575 the generalized gradient approximation (GGA) using the Perdew, Burke, and Ernzerhof (PBE) functional.[54] Brillouin

576 zone integration was performed using the first-order Methfessel-Paxton method with a smearing width of 0.2 eV. The

577 convergence criterion of the electronic self-consistent loop was set as 10^{-6} eV. Co-linear spin alignment was enabled

578 in the calculations to account for the possible ferromagnetic feature of a $Pt_{85}Fe_{15}$ alloy. The calculation supercell for

579   the bulk alloy adopted a special quasi-random structure (SQS) generated by the ATAT code[55,56] to mimic the random

580   mixing of Fe and Pt atoms in an fcc lattice. Following full relaxation, a vacuum layer of 15 Å was introduced along

581   the c-axis of the bulk alloy supercell to create free surfaces of the (001) and (111) planes. The structure with free

582   surfaces underwent additional relaxation in terms of atomic positions before adatom calculations. The diffusion

583   barriers of the adatoms on the (001) and (111) surfaces were computed using the climbing image nudge elastic band

584   (Cl-NEB) method as implemented in the VASP Transition State Tools (VTSV) code.[57]

585

586 **ASSOCIATED CONTENT**

587 Supporting Information.

588 Supporting information is available free of charge on the ACS publication website:

589 Supplementary Figure 1: morphology of as-prepared $Pt_{85}Fe_{15}$ NPs.

590 Supplementary Figure 2: atomic-scale TEM/STEM images showing the presence of atomic defects along

591 the surface facets of as-synthesized $Pt_{85}Fe_{15}$ NPs.

592 Supplementary Figure 3: energy-dispersive X-ray spectrum (EDS) of Pt_3Fe NPs.

593 Supplementary Figure 4: HAADF images of NPs at various annealing temperatures.

594 Supplementary Figure 5: HAADF-STEM and SE images of formed necks.

595 Supplementary Figure 6: real-time observations of atomic evaporation-induced particle sintering.

596 Supplementary Figure 7: HAADF images of formed "bridge-like" necks.

597 Supplementary Figure 8: DFT calculations of energy barriers for surface detachment and surface diffusion.

598 Supplementary Figures 9, 10: MD simulations depicting the temperature and inter-particle distance

599 dependence of neck formation.

600 Supplementary Movie 1 (from which Fig. 3 is extracted): *In situ* HRTEM visualization of NP sintering at T ≈ 500 ℃.

601 Supplementary Movie 2 (from which Fig. 4a-e is extracted): *In situ* HRTEM imaging of the formation of a low-angle

602 grain boundary in the necked region during NP sintering at T ≈ 500 ℃.

603 Supplementary Movie 3 (from which Fig. 4g-k is extracted): *In situ* HRTEM imaging of the formation of a high-angle

604 grain boundary in the necked region during NP sintering at T ≈ 500 ℃.

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608 Notes
609 The authors declare no competing interests.

610

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