

1 **Adaptive Thermal History Deidentification for Privacy-preserving Data**
2 **Sharing of Directed Energy Deposition Processes**

4 Mahathir Mohammad Bappy ^a, Durant Fullington ^a, Linkan Bian ^{a,b}, and Wenmeng Tian ^{a,b}

5 ^a Department of Industrial and Systems Engineering, Mississippi State University, Mississippi State, MS
6 39762, United States

7 ^b Center for Advanced Vehicular Systems (CAVS), Mississippi State University, MS 39762, United
8 States

10 **Abstract**

11 In collaborative additive manufacturing (AM), sharing process data across multiple users can
12 provide small to medium-sized manufacturers (SMMs) with enlarged training data for part
13 certification, facilitating accelerated adoption of metal-based AM technologies. The aggregated
14 data can be used to develop a process-defect model that is more precise, reliable, and adaptable.
15 However, the AM process data often contains printing path trajectory information that can
16 significantly jeopardize intellectual property (IP) protection when shared among different users.
17 In this study, a new adaptive AM data deidentification method is proposed that aims to mask the
18 printing trajectory information in the AM process data in the form of melt pool images. This
19 approach integrates stochastic image augmentation (SIA) and adaptive surrogate image generation
20 (ASIG) via tracking melt pool geometric changes to achieve a tradeoff between AM process data
21 privacy and utility. As a result, surrogate melt pool images are generated with perturbed printing
22 directions. In addition, a convolutional neural network (CNN) classifier is used to evaluate the
23 proposed method regarding privacy gain (i.e., changes in the accuracy of identifying printing
24 orientations) and utility loss (i.e., changes in the ability of detecting process anomalies). The
25 proposed method is validated using data collected from two cylindrical specimens using the
26 directed energy deposition (DED) process. The case study results show that the deidentified dataset

27 significantly improved privacy preservation while sacrificing little data utility, once shared on the
28 cloud-based AM system for collaborative process-defect modeling.

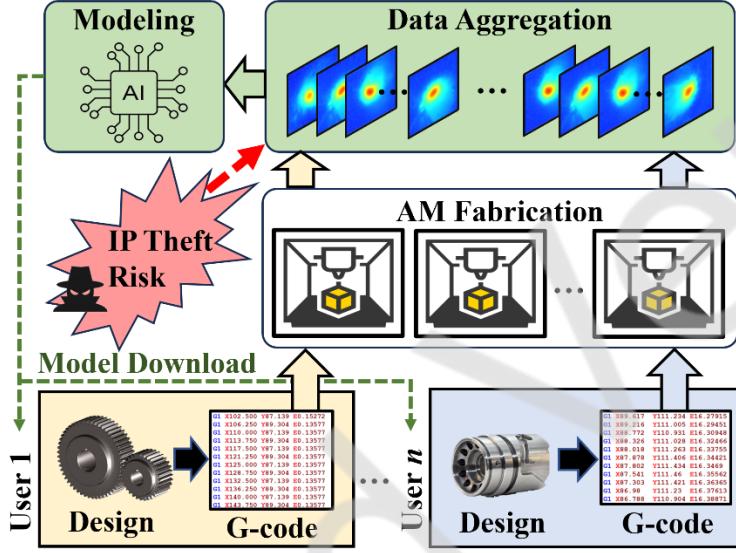
29 **Keywords:** Additive manufacturing, cloud manufacturing, deidentification, intellectual property,
30 process-defect modeling, privacy-preserving data sharing.

31 **1 Introduction**

32 Additive manufacturing (AM) technologies have demonstrated their unprecedented capacity
33 and flexibility in new product prototyping, component repair, and product fabrication [1].
34 Unfortunately, process uncertainty is still a major challenge in AM adoption, and various machine
35 learning-based process-defect modeling methods have been developed for process monitoring and
36 anomaly detection [2], [3]. Due to the high complexity and large variety of part designs and process
37 parameters, a large amount of training data is usually needed to develop reliable machine learning
38 models for anomaly detection [4]–[6]. Nevertheless, it is prohibitively expensive for a lot of AM
39 users, especially small-to-medium manufacturers (SMMs), to gather a large dataset to train the
40 machine learning algorithms [7]–[9], which is especially true for metal-based AM processes (e.g.,
41 directed energy deposition (DED)).

42 A collaborative manufacturing platform poses an unprecedented opportunity for connecting
43 multiple AM resources with various AM users, which will naturally promote training data
44 availability [10]. This platform integrates multiple physical AM machines and their AM process
45 data to meet the needs of demographically diverse AM users for component fabrication and *in-situ*
46 process monitoring and part certification. This is accomplished by the cloud technology which
47 allows for AM data sharing, storage, and modeling [11], [12]. As illustrated in Figure 1, a cloud-
48 based AM platform may provide AM machine access to all users [7],[13]. More specifically, users
49 may send their component designs and g-codes to the networked machines for fabrication, with

50 process data being collected and aggregated for process-defect modeling [10], [14]. The
 51 aggregated data and the resulting models can be subsequently shared, providing anomaly detection
 52 solutions to all AM users, especially ones with limited data availability and AM process knowledge
 53 [4], [5].



54

55 Figure 1: Overview of AM data sharing for collaborative modeling

56 However, some AM process data (such as thermal imaging data) also contains critical product
 57 design information [8], that must be carefully protected when shared on the data-sharing platform.
 58 Otherwise, as demonstrated in Figure 1, malicious attackers or users can extract confidential
 59 information related to the product intellectual property (IP) from AM process data, leading to
 60 severe consequences for both manufacturers and their clients [15]–[17]. Two prime examples of
 61 cyber-attacks which may occur during the AM data sharing include: (i) *Privacy breaches*: a
 62 reidentification attack [18], [19] targeting process data may lead to severe privacy breaches [20],
 63 [21], allowing unauthorized access to the product IP information, including manufacturing
 64 parameters and design specifics. Such breaches pose a significant risk, potentially compromising
 65 proprietary processes and unique manufacturing techniques; (ii) *Insider threats*: malicious insiders

66 with access to the process data may intentionally disclose product IP or design information for
67 personal gain or sabotage [22]. To address these risks, it is essential to develop a tool for privacy-
68 preserving AM process data sharing to facilitate knowledge exchange while masking product
69 design information in the shared data [23]. To be more specific, data privacy and utility are defined
70 as follows in the context of AM process data:

71 ***AM Process Data Privacy*** refers to the capability in masking the printing trajectory
72 information in the thermal image data shared with external collaborators, and thus preventing the
73 re-identification of product design. It can be measured by the accuracy of a machine learning model
74 in identifying the printing path orientation, conditioned on a specific type of machine learning
75 models. The higher the accuracy is, the lower the data privacy will be.

76 ***AM Process Data Utility*** denotes the overall usability of the dataset for specific modeling
77 purposes (e.g., process-defect modelling) once shared and aggregated. It is assessed by a machine
78 learning model's ability to accurately detect anomalies using the process data, conditioned on a
79 specific type of machine learning models. The higher the accuracy is, the higher the data utility
80 will be.

81 It is important to note that the outcomes of data privacy and data utility are dependent on the
82 specific machine learning model used for evaluating the AM datasets. Once the process data are
83 processed to achieve a balanced level of privacy and utility, the combined dataset can be shared
84 and utilized for collaborative process-defect modeling. In addition, the data heterogeneity caused
85 by different original equipment manufacturers (OEMs) and other AM system specifications may
86 be addressed by transfer learning techniques, which are widely used in learning across various yet
87 relevant domains [8].

88 *The objective of this study* is to deidentify the product design information (manifested as the
89 printing path orientation) in the AM thermal history, while simultaneously retaining the attributes
90 for process-defect modelling. An adaptive AM data deidentification methodology is proposed to
91 achieve this goal. The proposed method will generate surrogate thermal images to secure the
92 sensitive printing path orientation information in AM thermal history data, and thus facilitate
93 privacy-preserving and utility-aware process-defect modelling on the collaborative AM platform.

94 It is worth noting that a commonly used approach of protecting sensitive data and improving
95 data privacy is the use of a *multi-layered* protection framework, where a variety of complimentary
96 protection techniques are integrated to provide more robust protections against data privacy
97 breaches and IP theft [24]–[26]. Our research specifically aims to develop one layer of protection
98 focused on using deidentification for enhanced IP protections in AM process data sharing. This
99 topic is relatively underrepresented in current literature focused on IP protections, and it provides
100 a potential way to remove confidential design information from AM process data while
101 simultaneously working to ensure that the data is still usable for quality control purposes.

102 The *technical contribution* of this paper is developing a novel, adaptive AM thermal process
103 data deidentification algorithm. The proposed method can adaptively enhance the privacy of the
104 dataset by deidentifying thermal images while maintaining the utility of the AM process data for
105 anomaly detection. This can be achieved through two iterative steps: stochastic image
106 augmentation (SIA) and adaptive surrogate image generation (ASIG). SIA involves random
107 rotations of melt pool images to obscure the printing path trajectory and sensitive design
108 information based on the validated premise that melt pool orientation is key to inferring AM
109 process directions. ASIG then generates a surrogate image by averaging the SIA-generated images,
110 with adaptiveness enabled by monitoring changes in melt pool geometric features (such as melt

111 pool area) compared to the original image. These geometric features are crucial for anomaly
112 detection, allowing the surrogate images to retain the necessary utility for process-defect modeling.
113 By dynamically tailoring the deidentification process to the sensitivity of the melt pool image's
114 geometric features, the method ensures that critical attributes essential for anomaly detection are
115 preserved while simultaneously enhancing privacy. The *impacts* of the proposed method are two-
116 fold. For the AM quality control area, this method opens the venue for privacy-preserving data
117 sharing for AM process-defect modelling. For industrial practices, using shared process data
118 facilitates the development of cross-system *in-situ* process-defect models. As a result, the
119 enhanced *in-situ* quality control tools can promote optimized resource allocation for post-
120 manufacturing inspection, which is usually very costly and sometimes cumbersome for AM
121 components [27], [28]. These will collectively lead to accelerated adoption of AM technologies in
122 various industrial practices.

123 The remainder of the paper is organized as follows. In section 2, the relevant state-of-the-art
124 studies are summarized, and the research gaps are identified. In section 3, the proposed adaptive
125 deidentification methodology is introduced, and in section 4, a case study based on the directed
126 energy deposition (DED) process is used to evaluate the effectiveness of the proposed
127 methodology. Finally, the conclusion and future work are introduced in section 5.

128 **2 Literature Review**

129 This section summarizes the complexities of data privacy and IP protection within AM. Section
130 2.1 highlights the state-of-the-art strategies and remaining challenges in protecting confidential
131 information in AM. The specific IP protection needs in AM data are analyzed in Section 2.2.
132 Advancements in image data deidentification for enhancing privacy in AM data sharing are
133 discussed in Section 2.3. Finally, through a research gap analysis (Section 2.4), opportunities for

134 further investigation and development are identified to advance knowledge and practices in data
135 privacy in the field of AM.

136 **2.1 Current Solutions and Challenges of Data Privacy and IP Protection in AM**

137 The data security and privacy preservation in cloud-based manufacturing systems is becoming
138 increasingly important for individual users who are participating and sharing information in these
139 frameworks. In terms of data privacy, IP is a closely related aspect [29], especially within AM
140 applications. When sharing AM process data (e.g., thermal history), IP theft can occur through re-
141 identification and reverse engineering attacks, where critical design related information is
142 embedded into the process data. From there, the sequential print trajectories and layer-wise
143 patterns can be directly leveraged to extract the product design geometry [9]. The connection
144 between the AM process data (especially thermal process data) and printing path trajectories has
145 been highlighted in several recent works [9], [30], [31]. These works have highlighted this critical
146 vulnerability, emphasizing the need to review and develop IP protections for AM process data.
147 These protections must be tailored to the unique needs of AM applications. Both data-level and
148 model-level strategies have been used for IP protection.

149 Various data-level operations used in IP artifacts protection include *watermarking*, *access*
150 *control*, *cryptography-based methods*, and *anonymization*. For these four commonly used
151 methods, their characteristics, working mechanisms and corresponding limitations are summarized
152 below. *Firstly*, watermarking and access control measures are indirect approaches of IP protection
153 [32]–[35]. For example, watermarking generally embeds a unique mark on the digital or physical
154 artifact that identifies the source and ownership of the product IP [33], [35]. This ensures that
155 ownership of the design and information is clearly identifiable; however, this method does not
156 prevent the information from being accessed or used in a malicious manner. In addition, access

157 control aims to prevent unauthorized access to the data by controlling access and managing the
158 storage of sensitive data [36]. However, access control does not add any direct protection to the
159 data. Several limitations and challenges for access control include compromised credentials,
160 malicious insiders, and even human errors [37], [38]. *Secondly*, cryptography- and anonymization-
161 based approaches aim to provide data-level protection by directly manipulating the data in an either
162 reversible or irreversible manner. For example, cryptography-based methods, most employed as
163 encryption methods, cover a family of different approaches aimed at obscuring information into
164 an unrecognizable state using an encryption key. After encryption, the intended party is able to
165 access the original information only if they have the corresponding decryption key [39]–[42]. This
166 allows the data to be transformed into a protected state, where it can be difficult for someone to
167 maliciously access the data and re-identify IP embedded in the data. Despite of the increasing
168 popularity of encryption methods, such as homomorphic encryption [43], they demonstrate a few
169 notable limitations. Firstly, the use of encryption and decryption keys presents an added security
170 vulnerability to the system [44], [45]. If the right decryption key is obtained through an attack,
171 such as a brute-force attack [46], [47], the protected data can be directly accessed and the IP
172 information stolen. Furthermore, encryption algorithms can be highly complex, which requires a
173 large pool of resources, and can also potentially limit computational capabilities on the encrypted
174 data [48].

175 An alternative method for enhancing IP protections is anonymization, also referred to as
176 *deidentification*. The objective of anonymization is to remove or obscure the confidential
177 information contained within the dataset in a non-reversible manner [49], [50]. This approach has
178 been leveraged in a wide range of privacy-related applications, including in healthcare and facial
179 image anonymization [51], [52]; however, it also provides a strong potential to provide direct IP

180 protections for AM data sharing applications. Through anonymization, the sensitive information
181 is obscured so that the availability of sensitive, IP-related information is severely limited, while
182 simultaneously maintaining the original structure and usability of the data [52]. In general, there
183 are two key limitations to the use of anonymization, including (1) the balance and tradeoff between
184 improved protections and decreased data usability, where anonymization can lead to potentially
185 degraded performance of the data in downstream tasks [53], [54], and (2) the threat of re-
186 identification attacks [55], [56], which can potentially identify compromising data post-
187 anonymization.

188 In addition to the data-level approaches, there are also model-level techniques to ensure data
189 privacy and IP protection, including federated learning (FL) and differential privacy (DP). FL
190 methods offer additional layers of security by enabling collaborative learning without sharing raw
191 data [57], [58]. Specifically, FL allows multiple entities to collaboratively train a model without
192 sharing raw data, significantly reducing the risk of data breaches and maintaining privacy by
193 keeping data decentralized [57], [59]. However, FL can be challenged by the heterogeneity of data
194 across different entities, leading to potential biases and discrepancies in model performance.
195 Additionally, the communication overhead between entities can be significant, affecting the
196 efficiency and scalability of the approach [60], [61].

197 On the other hand, DP introduces noise to the data or the learning process to prevent the
198 extraction of sensitive information from the outputs [62]. This technique ensures that individual
199 data points cannot be distinguished from aggregate data, providing strong privacy guarantees even
200 if the model outputs are accessed [63], [64]. DP, while providing strong privacy guarantees, can
201 impact the accuracy of the ML models due to the added noise, making it critical to balance privacy
202 and utility effectively [65],[64].

203 **2.2 IP Protection Needs in AM Data**

204 There are diversified data streams generated in the AM production. Properly categorizing
205 these data according to their relevance to the product IP information is essential for effective data
206 management and IP protection in AM [9], [66]. The key AM data can be categorized into three
207 different types of attributes, as summarized in Table 1. More information regarding this
208 categorization of the AM attributes can be found in [9].

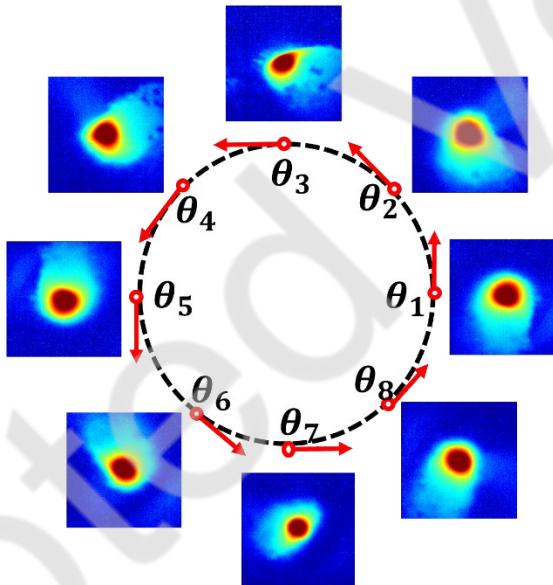
209 Table 1: Key categorizations for AM attributes

Attribute	Description	Example AM Features
Sensitive Attribute	Attributes that directly relate to compromising design data and pose a significant risk of IP disclosure.	<ul style="list-style-type: none">• Design Files (CAD)• G-code Files• Print Trajectory Information• Complete Thermal History
Quasi-identifier	Attributes that do not pose a significant IP disclosure risk, but compromise product IP information when used with other attributes.	<ul style="list-style-type: none">• Single Thermal Images• Individual Pixels• Layer Location• Image Index
Insensitive Attribute	Attributes that do not relate to the design information in any capacity.	<ul style="list-style-type: none">• Quality Control Labels• Extracted Descriptive Features

210

211 Given this categorization, the complete thermal history is considered as a sensitive attribute
212 and thus needs to be protected before sharing with other users. Otherwise, the product IP can be
213 disclosed to external users. For instance, during part fabrication using DED process, the *in-situ*
214 thermal history can be collected in the form of thermal images for process monitoring and anomaly
215 detection [27], [28]. As shown in Figure 2, the sensitive attributes of thermal history data include
216 the printing trajectory that can be extracted from the images, as this information can be used to
217 reversely decipher the global print path and part design. This is similar to the idea of side-channel
218 attacks in AM, which can be used to infer critical design and process information, leading to
219 significantly compromised IP [46], [67]. As thermal history data are highly informative for defect
220 detection and process monitoring, there is an urgent need in effective masking and deidentification

221 of the thermal history data before sharing for modelling purposes [68]–[70]. Moreover, during the
 222 deidentification to mask the IP information, it is important to note that there is usually a tradeoff
 223 between data privacy and the resulting data utility [71]. This tradeoff is very important, as thermal
 224 history plays a significant role in metal-based AM process monitoring. In general, applying a naïve
 225 or too obstructive deidentification method, such as pixilation or blurring, can result in a drastic
 226 loss in AM process data utility for anomaly detection [72], [73]. Therefore, there is a critical need
 227 to ensure a balance between AM process data privacy and AM process data utility during
 228 deidentification.



229
 230 Figure 2: Printing path trajectories that can be derived from the melt pool images, where θ_t
 231 represents the instantaneous printing direction inferred from each image ($t = 1, 2, \dots, 8$).

232 2.3 Image Data Deidentification for Privacy-preserving Data Sharing in AM

233 The deidentification, commonly known as anonymization, is an attractive solution that has the
 234 potential to achieve the goal of data privacy and IP protection [74]. In general, image data
 235 anonymization methods transform the original images to remove the sensitive information while
 236 retaining the useful features of interest to preserve user data privacy [75]. It depends on the
 237 information that should be removed/anonymized, and on the information that should remain.

238 However, balancing utility with anonymity presents significant challenges. Considering this, to
239 promote data privacy while maintaining data utility, various image data (i.e., face) deidentification
240 algorithms have already been developed [50], [72], [76]–[80]. Moreover, the deidentification
241 methods exhibit unique strengths of (i) non-reversibility in traditional applications with less
242 impacts on data usability [79],[81]; (ii) providing data privacy without necessitating the complex
243 structures and systems (i.e., encryption keys) [82]. Furthermore, deidentification can be
244 strategically employed as part of a layered approach to security, alongside other traditional security
245 measures, to enhance its effectiveness [74], [83], [84]. Ultimately, the utility-awareness and
246 privacy-preserving nature of data deidentification makes it a compelling solution, especially in
247 collaborative environments.

248 Recently, a novel adaptive design deidentification method was developed to deidentify AM
249 process thermal images by integrating AM process knowledge to isolate and combine the most
250 similar images to better mask the printing path trajectory while simultaneously preserving data
251 usability [9]. This method demonstrates good performance; however, it leverages a pre-defined
252 reference dataset to perform deidentification. Because of the use of this external reference set, the
253 privacy gain is directly proportional to the diversity, quality and size of the reference data set [9].
254 Even though there has been advancement in this field, further study is required to develop effective
255 AM process data deidentification methods, and reliable methods to incorporate them into the AM
256 workflow in cloud-based AM systems.

257 **2.4 Research Gap Analysis**

258 Considering the limitations of different data privacy and IP protection techniques, applying a
259 multi-layered approach is generally more advantageous [25], [85]. In the AM domain, most
260 research has focused on techniques like encryption-based approaches. However, less research has

261 been conducted to developing de-identification-based methods. Exploring de-identification for
262 AM applications can fill this research gap and enhance IP confidentiality protections. The proposed
263 work aims to develop de-identification-based data privacy measures, offering an additional layer
264 of security for AM process data shared in cloud-based systems. Specifically, de-identification-
265 based techniques are well-suited for thermal image data sharing, as they can mask sensitive IP
266 information embedded in the dataset [63]. Despite progress, gaps remain in protecting sensitive
267 information in AM process images, summarized as follows:

268 1) The dynamic properties of thermal images make implementing global de-identification
269 methods extremely difficult.

270 2) Limited data availability and recurring angular identities in thermal images challenge the
271 application of existing de-identification methods.

272 3) Evaluating AM-based de-identification methods is challenging due to their dependency on
273 the quality of the reference image set.

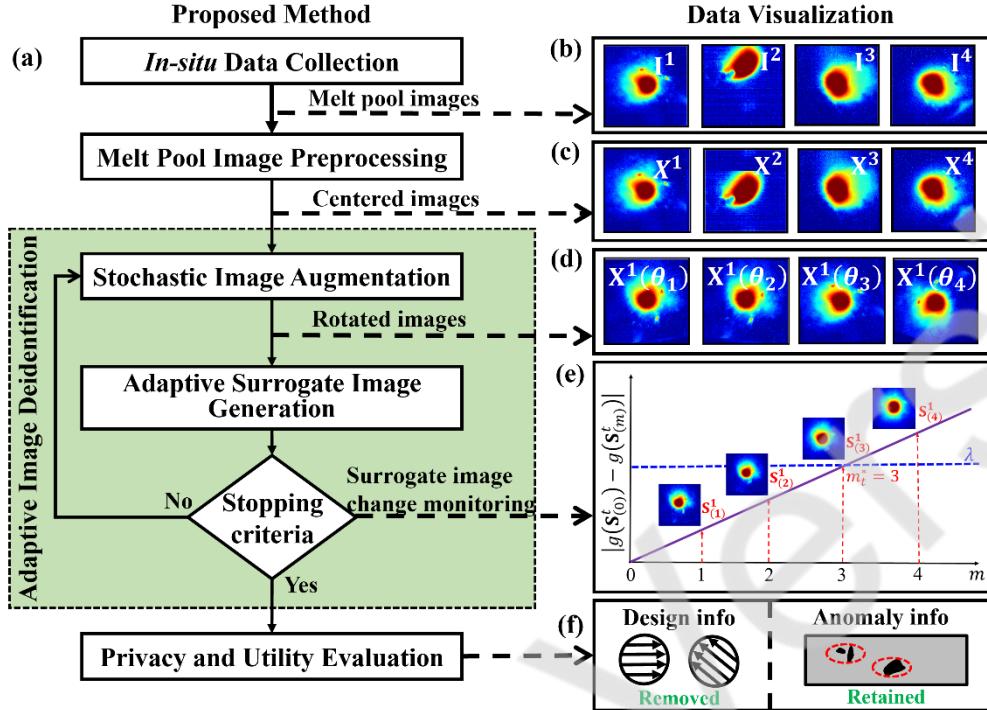
274 Therefore, developing a new adaptive thermal history de-identification method that better
275 balances data privacy and utility without requiring a reference dataset is essential. This method
276 can ultimately enhance the privacy of printing path-related design information, reinforcing the
277 protection of sensitive information in the AM data sharing platform.

278 **3 Proposed Methodology**

279 The proposed method can adaptively deidentify the instantaneous printing path from each
280 individual image to enhance AM process data privacy, creating a surrogate melt pool image for
281 each original image. More specifically, the generation of the surrogate melt pool image involves
282 stochastic image augmentation (SIA) and adaptive surrogate image generation (ASIG) which are
283 coordinated by the monitoring mechanism of the melt pool geometric feature. The *rationale* of the

284 proposed method is based on the process knowledge of DED processes where the printing direction
285 governs the melt pool orientation. Therefore, the random rotation operations in SIA directly
286 perturb the angular orientation of each melt pool, significantly enhancing the obfuscation of the
287 printing path trajectory. Subsequently, ASIG adaptively averages the multiple randomly perturbed
288 melt pool images to generate the surrogate image, where the melt pool geometric features are
289 leveraged as a stopping criterion for the perturbation. Both SIA and ASIG significantly raise the
290 barrier for extracting sensitive design information in the original melt pool images.

291 Figure 3 illustrates the framework of the proposed methodology and the visualization of the
292 results at each step. The key components of Figure 3 are summarized as follows: (a) illustrates a
293 step-by-step workflow of the proposed method; and (b) through (f) illustrate the visualization of
294 the results obtained at each step, respectively. In Figure 3, \mathbf{I}^t and \mathbf{X}^t ($t = 1, 2, \dots, n$) denote the
295 original and centered image collected at time t , respectively. $\mathbf{X}^t(\theta_m)$ denotes the rotated images
296 with the randomly generated target orientation θ_m ($m = 1, 2, \dots, 9$), and $\mathbf{S}_{(m)}^t$ denotes the surrogate
297 thermal image. The absolute geometric feature change can be calculated as $|g(\mathbf{S}_{(0)}^t) - g(\mathbf{S}_{(m)}^t)|$
298 where $g(\cdot)$ denotes the function to compute the melt pool geometric feature of $\mathbf{S}_{(m)}^t$. m_t^* represents
299 the optimal number of artificial images used for generating the surrogate image for \mathbf{X}^t , and the λ
300 value is a predefined threshold that governs the maximum allowable geometric feature change,
301 balancing privacy and utility. A larger λ improves privacy by incorporating more SIA-generated
302 images, but excessive values can cause significant changes in melt pool geometry.



303

304

Figure 3: Overall workflow of the proposed methodology.

305

3.1 Preprocessing of Melt Pool Images

306 Let $\mathbf{I}^t \in \mathbb{R}^{r \times c}$ denote the original melt pool image captured at time t , which is an $r \times c$
 307 dimensional matrix with the temperature measurement stored at each pixel. Each melt pool images
 308 are firstly pre-processed through the centering operation, where the melt pool of each image is
 309 shifted to the center of the field of view. Essentially, this centering operation removes the peak
 310 temperature location variability and thus reduces its impact on the geometric attributes of melt
 311 pool. In this sense, the geometric features of the surrogate images will have a shared baseline and
 312 are only determined by the adaptive image deidentification. Specifically, the centering operation
 313 is illustrated in Figure 3(c), and the resulting image (denoted as \mathbf{X}^t) can be obtained using the
 314 Equation (1),

$$\mathbf{X}^t = C \left(\mathbf{I}^t, \left(\left(\left\lfloor \frac{r^t}{2} \right\rfloor - p_r^t \right), \left(\left\lfloor \frac{c^t}{2} \right\rfloor - p_c^t \right) \right) \right) \quad (1)$$

315 where $\mathbf{X}^t \in \mathbb{R}^{r \times c}$ denotes the centered image and the function $C(\cdot, \cdot)$ denotes the image translation
 316 operation [86] with the corresponding image and translating vector of $\left(\left(\left\lfloor \frac{r^t}{2} \right\rfloor - p_r^t \right), \left(\left\lfloor \frac{c^t}{2} \right\rfloor - p_c^t \right) \right)$.
 317 Here, $\left\lfloor \frac{r^t}{2} \right\rfloor$ and $\left\lfloor \frac{c^t}{2} \right\rfloor$ denotes the row and column coordinates for center point of the field of view,
 318 which is the target coordinates that the peak temperature location of the melt pool is moved to. In
 319 addition, p_r^t and p_c^t denotes the row and column coordinates of the original peak temperature
 320 location in \mathbf{I}^t .

321 **3.2 Adaptive Image Deidentification**

322 The proposed adaptive image deidentification algorithm is accomplished by integrating two
 323 iterative steps, i.e., stochastic image augmentation (SIA) and adaptive surrogate image generation
 324 (ASIG). Specifically, SIA technique is implemented through random rotation to change the
 325 orientation of the melt pool within an image, making it difficult to identify the nominal printing
 326 path trajectory or infer any sensitive design information based on its orientation. This SIA scheme
 327 is under the premise that the melt pool orientation is the major feature to infer the instantaneous
 328 printing directions of the AM process. This premise has been validated in the literature for layer-
 329 wise thermal image time series analysis [27], [87]. Moreover, the ASIG is applied to generate a
 330 surrogate image by averaging the multiple SIA-generated images. The adaptiveness of ASIG is
 331 enabled by monitoring the melt pool geometric feature changes in the surrogate image from its
 332 original counterpart. The geometric features of melt pools, such as melt pool area, are critical
 333 process features for anomaly detection without AM design information. Therefore, monitoring the

334 change in the geometric features for each melt pool will assure the surrogate thermal image
 335 maintain comparable utility related information for process-defect modeling.

336 **Definition 1. Stochastic image augmentation (SIA):** The SIA procedure is proposed to
 337 stochastically generate artificial melt pool images which share identical melt pool geometric
 338 features with the original image by the image rotation operation. This is based on the engineering
 339 knowledge that the orientation of the melt pool is the major feature that discloses the printing
 340 trajectory information in the thermal history. The formulation of SIA is illustrated in Equation (2).

$$\text{SIA: } \mathbf{X}^t(\theta_m) = R(\mathbf{X}^t, \theta_m) \quad \theta_m \sim \text{Unif}(0, 2\pi) \quad (2)$$

341 where $\mathbf{X}^t(\theta_m) \in \mathbb{R}^{r \times c}$ denotes the SIA generated image in the m -th iteration. The function
 342 $R(\cdot, \cdot)$ denotes the image rotation operation given the original image \mathbf{X}^t , and the randomly
 343 generated target orientation θ_m with ($m = 1, 2, \dots, 9$), sampled from a uniform distribution ranging
 344 from 0 to 2π .

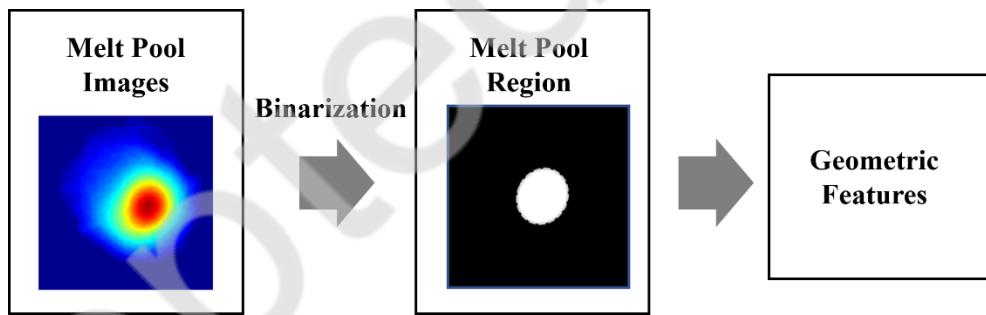
345 In the proposed algorithm, the ASIG is established to iteratively synthesize the SIA generated
 346 images one by one, as illustrated in Equation (3). The stopping criteria for image synthesis is based
 347 on the similarity of the melt pool geometric features of the synthesized image $\mathbf{S}_{(m)}^t$ compared with
 348 the original image $\mathbf{S}_{(0)}^t$, as illustrated in Equation (4).

$$\text{ASIG: } \mathbf{S}_{(m)}^t = \begin{cases} \mathbf{X}^t, & m = 0 \\ \frac{(m-1)\mathbf{S}_{(m-1)}^t + \mathbf{X}^t(\theta_m)}{m}, & m = 1, 2, 3 \dots \end{cases} \quad (3)$$

$$\text{Stopping Criteria: } m_t^* = \min \left\{ m \left| |g(\mathbf{S}_{(0)}^t) - g(\mathbf{S}_{(m)}^t)| \geq \lambda \right. \right\} \quad (4)$$

349 where $\mathbf{S}_{(m)}^t \in \mathbb{R}^{r \times c}$ represents the surrogate thermal image, which takes an average of the m SIA
 350 generated images $\mathbf{X}^t(\theta_m)$, obtained in Equation (2). As the m value increases, more diversely

351 rotated images are averaged to generate $\mathbf{S}_{(m)}^t$, resulting a better masking of the original printing
 352 orientation in \mathbf{X}^t . In the meantime, an excessively high value of m may lead to a significant change
 353 in the melt pool geometry. This will affect the process data utility, since the melt pool geometric
 354 features, especially the melt pool area, are strongly correlated with the anomaly related information
 355 [8], [88], [89]. Therefore, a melt pool geometry-based stopping criterion is incorporated in
 356 Equation (4), where $g(\cdot)$ denotes the function to compute the melt pool geometric feature of $\mathbf{S}_{(m)}^t$,
 357 such as area, eccentricity, major axis length, and minor axis length. The geometric properties of
 358 melt pool images are determined through a two-step process. First, the melt pool images undergo
 359 binarization to distinguish between the two regions above and below the melting temperature of
 360 the feedstock material. This binary transformation identifies the melt pool region in the image, and
 361 the specific geometric features of the melt pool region can be calculated using methods in [90].
 362 The flow diagram of melt pool geometric feature extraction is demonstrated in Figure 4.



363
 364 Figure 4: Flow diagram of melt pool geometric feature extraction.

365 Figure 3 (d) and (e) also demonstrate the workflow involved in image augmentation and
 366 surrogate image generation. The first row includes the original image (when $m = 0$), followed by
 367 SIA generated images ($m = 1, 2, 3$). During SIA, the randomly generated θ_m values change with
 368 each iteration m , altering the printing path trajectory. Subsequently, the surrogate images are
 369 generated by averaging the SIA generated image series. Combining more SIA generated images
 370 in the surrogate image can better hide the original printing trajectory, but it may also alter the

371 geometric attributes of the melt pool from its original form, which have impact on data usability.
372 To address this, a stopping criterion is introduced to specify the maximum allowable change in
373 the geometric attributes of the melt pool.

374 Furthermore, in Equation (4), m_t^* denotes the optimal number of artificial images used to
375 generate the surrogate image for \mathbf{X}^t . In addition, the λ value is a pre-defined threshold or stopping
376 criteria that provide the maximum allowable value of the geometric feature change. Proper
377 selection of the λ value can achieve the trade-off between AM process data privacy and utility. For
378 a better privacy gain, a larger λ value is usually preferred, as it allows for more SIA generated
379 images being incorporated into the surrogate image. However, a larger λ value may lead to a
380 dramatic change in the melt pool area compared to the original melt pool, and therefore it cannot
381 be too big in order to avoid significant change in the melt pool geometric features. In the case
382 study, we examined the impacts of the λ value on the resulting average m_t^* .

383 The proposed iterative method will assure effective use of the SIA generated images, since it
384 will guarantee that $(m_t^* - 1)$ SIA generated images are used in the final surrogate melt pool image.
385 The ASIG method is designed under the working hypothesis that the series of the absolute
386 geometric feature change, i.e., $|g(\mathbf{S}_{(0)}^t) - g(\mathbf{S}_{(m)}^t)|$, will be non-decreasing as m gets larger (as
387 illustrated in Figure 3(d)). This hypothesis is realistic since the more SIA generated images
388 involved in ASIG, the more different $\mathbf{S}_{(m)}^t$ will be from \mathbf{X}^t .

389 **3.3 Surrogate Image Post-processing**

390 The resulting surrogate images $\mathbf{S}^t(m_t^*)$ may possess some undesirable image artifacts due to
391 the image rotation operation in SIA. Those artifacts usually present in the background of the melt
392 pool images with lower temperature measurements. Therefore, the image thresholding technique

393 can be employed to remove these artifacts. Therefore, the $\mathbf{S}^t(m_t^*)$ is processed with the soft
 394 thresholding operation to obtain the final deidentified surrogate images based on the Equation (5)
 395 as follows;

$$\mathbf{Z}^t = \begin{cases} \mathbf{S}^t(m_t^*) - T_0, & \text{if } \mathbf{S}^t(m_t^*) \in \mathcal{R}_u \\ 0, & \text{if } \mathbf{S}^t(m_t^*) \leq 0 \end{cases} \quad (5)$$

396 where $\mathbf{Z}^t \in \mathbb{R}^{r \times c}$ denotes deidentified surrogate melt pool images thresholded [27],[91] using a
 397 specified temperature range of interest $\mathcal{R}_u = [T_0, +\infty)$ with a tunable lower bound of T_0 . This
 398 post-processing step can also reduce the variation in the background of the melt pool images, which
 399 will accelerate the training of machine learning algorithms for process-defect modeling.

400 The algorithm of the proposed methodology is illustrated in **Algorithm 1**. Each melt pool
 401 image is firstly processed through **Algorithm 1** for deidentification to generate a surrogate image,
 402 which will be shared on the platform for collaborative process-defect modeling.

Algorithm 1: SIA-ASIG Melt Pool Image Deidentification

Input: Original image set $\{\mathbf{I}^t \in \mathbb{R}^{r \times c}\}$, stopping criteria λ

Step 1: Initialization.

- 1.1 Center \mathbf{I}^t to obtain \mathbf{X}^t
- 1.2 Set $m = 0$

Step 2: Adaptive Image Deidentification.

```

while  $|g(\mathbf{S}_{(0)}^t) - g(\mathbf{S}_{(m)}^t)| \leq \lambda$  do
  2.0 Set  $m = m + 1$ 
  2.1 Perform SIA to obtain  $\mathbf{X}^t(\theta_m) \in \mathbb{R}^{r \times c}$  based on Equation (2).
  2.2 Perform ASIG to generate  $\mathbf{S}_{(m)}^t$  based on Equation (3) - (4), and
end while

```

Store the surrogate image $\mathbf{S}^t(m_t^*)$.

Step 3: Surrogate Image Post-processing. Post-process $\mathbf{S}^t(m_t^*)$ to obtain the deidentified
 image \mathbf{Z}^t using Equation (5).

Output: Deidentified surrogate image set $\{\mathbf{Z}^t \in \mathbb{R}^{r \times c}\}$.

403

404 **3.4 Evaluation of Deidentification Method**

405 It is essential to examine the privacy-utility trade-off in the deidentification of AM process

406 data. Two critical deidentification performance measures based on classification metrics are used
407 to evaluate the design attribute deidentification performance. To assure a fair comparison, the same
408 classifier is selected to compare the performance changes before and after the deidentification.

409 A convolutional neural network (CNN) is used for performance evaluation to establish the
410 classification models for predicting anomalies and the angular identities of printing path
411 trajectories. During evaluation, angular identities are treated as a multi-class classification
412 problem, while anomalies are considered a binary classification task. CNN is selected for the
413 following reasons [92]–[95]: (1) It can automatically learn spatial hierarchies of features, which is
414 essential for capturing the intricate details in melt pool images. (2) It offers robustness to variations
415 in image properties, such as scale and orientation. (3) CNNs can effectively handle large datasets
416 and complex patterns, making them suitable for image analysis. (4) CNNs are capable of feature
417 extraction and classification in a single integrated framework, simplifying the model architecture.
418 Furthermore, CNNs have demonstrated proven success in numerous image processing applications
419 [96], [97].

420 The AM data privacy performance is measured before and after deidentification using the
421 accuracy of a CNN model in identifying the printing path orientation. Higher accuracy indicates
422 lower data privacy. In this study, the privacy gain (PG) can be computed to evaluate the
423 performance of deidentification by assessing the improvement in data privacy compared to the
424 original dataset. This assessment is based on the CNN model's classification accuracy of printing
425 orientations. Thus, the equation for AM data privacy gain can be derived as follows:

$$PG = Z_{\text{base}}^{\text{acc}} - Z_{\text{deid}}^{\text{acc}} \quad (6)$$

426 where $Z_{\text{base}}^{\text{acc}}$ denotes the printing direction classification accuracy of original images and $Z_{\text{Deid}}^{\text{acc}}$
427 represents the classification accuracy after deidentification of melt pool images. In cases of PG

428 evaluation metric, accuracy is used as the label of interests is balanced, whereas for imbalanced
429 label information, the Fscore metric can be adopted. On the other hand, AM data utility can be
430 defined as CNN model's ability to detect anomalies of AM process data accurately. The higher the
431 accuracy is, the higher the data utility will be. Similarly, the utility loss (UL) can be computed to
432 evaluate the performance of deidentification by assessing the improvement in AM data utility
433 compared to the original dataset. The change of the anomaly classification percentage after
434 deidentification of the melt pool images can be formulated as follows,

$$UL = Z_{\text{Deid}}^{\text{Fscore}} - Z_{\text{Base}}^{\text{Fscore}} \quad (7)$$

435 where $Z_{\text{Base}}^{\text{Fscore}}$ denotes the Fscore value based on the anomaly detection results of original images
436 and $Z_{\text{Deid}}^{\text{Fscore}}$ represents the Fscore percentage based on deidentified melt pool images. Here, the
437 minimized UL is desirable to retain data utility in the surrogate melt pool images. It is worth noting
438 that due to the imbalanced nature of the anomaly data, the Fscore metric is leveraged [9].

439 It is worth noting that the evaluation metrics of privacy gain and utility loss find application in
440 various research domains beyond deidentification methods, particularly in the broader context of
441 privacy-preserving data analysis and machine learning. In the field of differential privacy, privacy
442 gain and utility loss serve as essential metrics for assessing the impact of privacy-preserving
443 mechanisms on data utility[98]. Furthermore, in privacy-preserving data mining, metrics such as
444 privacy gain and utility loss are commonly used to quantify the compromise between privacy
445 protection and the usefulness of data for analysis [99]. Recent research also has focused on various
446 aspects of privacy and utility trade-offs, considering the implications of different deidentification
447 methods [8], [9], [100], [101].

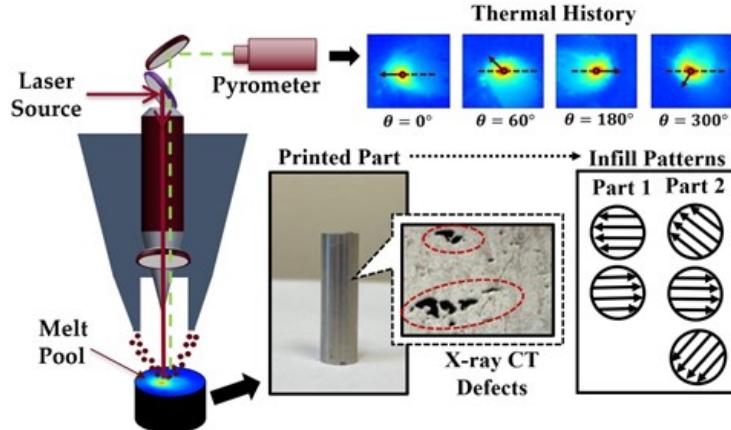
448 **4 Case Study**

449 In this section, the proposed method is validated using the data collected from real-world
450 experiments using the directed energy deposition (DED) process. Both the privacy gain and the
451 data usefulness are quantified during the validation of the proposed method.

452 **4.1 Experimental Setup and Data Description**

453 An OPTOMECH LENS 750 machine equipped with a co-axial pyrometer camera for thermal
454 image monitoring, as shown in Figure 5, was used to fabricate two Ti-6Al-4V cylindrical
455 specimens. Process parameters used to fabricate the specimen are summarized in Table 2. The
456 dimensions of the fabricated specimens are 8mm (diameter) by 90mm (length). These cylindrical
457 specimens are commonly employed in material testing and mechanical characterization [102]. A
458 segment of approximately 30 mm is machined and X-ray scanned for porosity analysis for each
459 cylinder. Moreover, cylindrical specimens facilitate the exploration of diverse angular identities in
460 the dataset which are also available in complex AM component fabrication.

461 The melt pool images were captured by a dual-wavelength pyrometer (Stratonics, Inc.) during
462 part fabrication. The pyrometer has a nominal image collection rate of about 6.4 Hz. Observed
463 thermal images are presented as matrices with each pixel recording the temperature value between
464 1000-2500°C. The original dimension of the thermal images is 752 by 480. To reduce the
465 dimensions, the irrelevant regions that do not contain the melt pools were first cropped. Moreover,
466 the g-codes of the two specimens were used to determine the instantaneous printing directions of
467 each thermal image in both datasets. Also, because the AM thermal process data showed shifting
468 trends with respect to the building layers, only the data after layer 20 was used to tune and test the
469 performance of the proposed algorithm. Also, combining both datasets will result in four different
470 angles and 2,458 images of thermal images to use for experimentation.



471

472 Figure 5: Experimental setup and data collected.

473 After the part fabrication, the specimens were inspected using a high-resolution X-ray
 474 computed tomography system (Skyscan 1172), which is capable of examining the internal
 475 structures of the AM parts with a fine resolution of $1\mu\text{m}$. The manufactured specimens were
 476 inspected to detect any process-induced porosity. The outputs of the X-ray CT characterization
 477 were used to label the normal and abnormal melt pool images. The X-ray CT results contain the
 478 size, morphology, and location of the detected defects. It is worth noting that only Part 1, which
 479 consists of 1616 images with anomaly label information, has been inspected for internal defect
 480 detection, thus providing images for utility-related evaluation. However, both datasets combining
 481 Part 1 and Part 2, which consist of 2,458 images with angular orientation label are used to evaluate
 482 the privacy related metric.

483 Table 2: Process parameters used for the two parts [9].

484

Process Parameters	Part 1	Part 2
Scan speed	40 inch/min	50 inch/min
Powder feed rate	3 rpm	2.5 rpm
Hatch spacing	0.02 inch	0.025 inch
Power	300 W	350 W

Layer thickness	0.015 inch	0.015 inch
Number of thermal images utilized	1,616	842
Number of layers in the build	69	55
Number of anomalies	138 (6%)	N/A
Infill pattern	Unidirectional (0°/180°)	Unidirectional (60°/180/300°)

485 4.2 Benchmark Method Selection

486 In this study, two benchmark methods were considered to compare with the proposed method.

487 Benchmark Method 1, also known as the Adaptive Design De-identification for Additive

488 Manufacturing (ADDAM) methodology [9], incorporates AM process knowledge into an adaptive

489 de-identification procedure. This mask the printing trajectory information in the thermal history of

490 metal-based AM, which would otherwise reveal significant details about the printing path. The

491 ADDAM method was selected because it has already been compared with the state-of-the-art

492 method, which uses a global k -anonymization approach. This traditional approach anonymizes

493 each sample image using a constant number of k -closest neighbours rather than allowing an

494 adaptive k value for each image. This reflects the conventional global k -anonymization techniques

495 commonly employed in past methods, particularly in k -same methods [9]. It is also worth noting

496 that the ADDAM method has demonstrated better performance in both privacy gain and utility

497 loss than the global k -anonymization approach. Essentially, in the ADDAM method, the

498 application of vectorized Principal Component Analysis (vPCA) involves extracting key features

499 from both the sample image and the reference image set. The PCA is a statistical technique widely

500 used for dimensionality reduction, data compression, and pattern recognition [103]. In the context

501 of image analysis, PCA helps identify the most significant patterns or features by transforming the

502 original data into a new set of uncorrelated variables called principal components. These

503 components capture the variance in the data, allowing for a more efficient representation. In the

504 specific case of vPCA-based features, the technique involves vectorizing the image data, which is
505 essentially flattening each image into a vector format. The resulting vectors are then subjected to
506 PCA and the principal components are used as features for subsequent analysis. This process
507 enables the extraction of key information from the images while simultaneously reducing the
508 dimensionality of the data, making it computationally more manageable, and preserving essential
509 patterns. Specifically, ADDAM method is developed leveraging constraints related to build layer,
510 angular identity, and Euclidean distance [9]. These constraints are unique to their adaptive
511 algorithm and provide two key advantages: (1) provides the ability to be tuned and incorporate
512 user control on the trade-off of data privacy and usability. (2) works towards ensuring that the
513 deidentification is balanced across each potential angular identity [9].

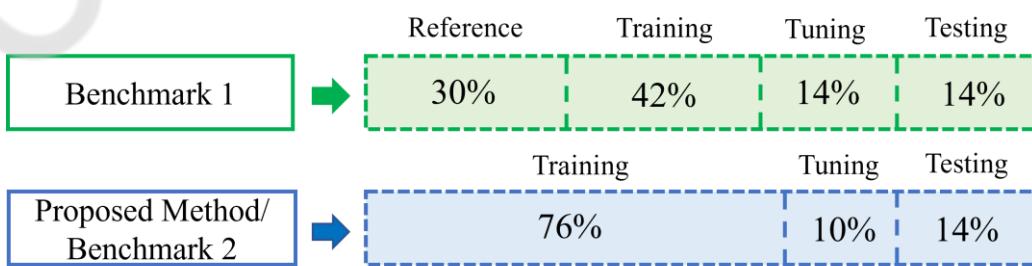
514 Furthermore, Benchmark Method 2, termed Thermal Image Rotation for De-identification
515 (TIRD), centers the melt pool in the field of view of the thermal images and then rotates all images
516 of various orientations in the same orientation. The main objective of this process is to effectively
517 hide the original printing path trajectory information by applying one rotation operation.

518 For a fair comparison with the proposed method, the same image post-processing method in
519 Section 3.3 has been applied to the surrogate images generated from both benchmark methods.
520 After generating the surrogate images, classification techniques are applied for evaluation. The
521 ADDAM method has been recreated using a representative grid of user-defined parameters and
522 the same convolutional neural network (CNN) classifier framework as the proposed method to
523 evaluate performance. Furthermore, the TIRD method applies same CNN classifier for a fair
524 comparison with the proposed method.

525 **4.3 Evaluation Procedure**

526 As classification-based approach is adopted for quantification of the performance metrics of

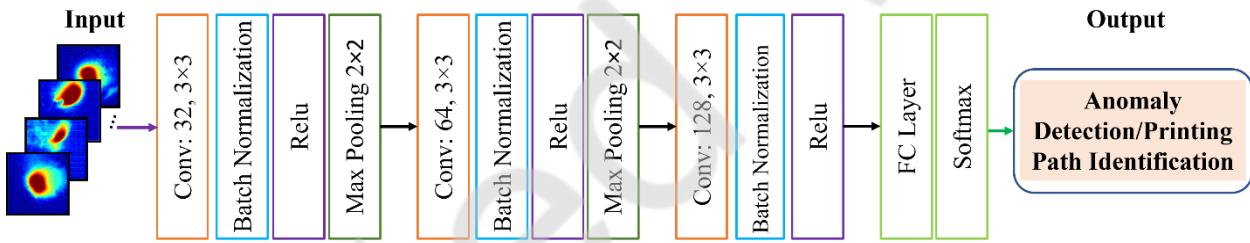
527 data utility and privacy, the labeling information is essential for supervised machine learning. In
 528 this case, Part 1 consists of both anomaly and printing path related label information whereas Part
 529 2 consists of only instantaneous print orientations label. Therefore, for evaluating the data utility,
 530 the data set of Part 1 was considered whereas for privacy evaluation the combined data of Part 1
 531 and Part 2 were leveraged. In addition, when evaluating the proposed method, the datasets of
 532 before and after deidentification were randomly split into the training (76%), tuning (10%) and
 533 testing sets (14%) in a stratified manner. Basically, the tuning dataset are leveraged to tune the λ
 534 value that is also associated with the parameter of optimal number of SIA (m_t^*). On the other
 535 hand, for the benchmark method 1 evaluation, the dataset was randomly split into the reference
 536 (30%), training (42%), tuning (14%) and testing sets (14%). In benchmark method 1, the
 537 independent reference dataset was used for the deidentification process, which basically generates
 538 the difference between the data splitting with the proposed method. While the specific data
 539 splitting may be different between the benchmark 1 and the proposed method, the evaluation
 540 metrics used to compare the performance of the methods can still be comparable. This is because
 541 the evaluation metrics are calculated based on the same percentage of the test set. Similarly, for
 542 benchmark method 2, the same data splitting is performed as in the proposed method. In addition,
 543 five replications of the evaluations for the proposed and benchmark methods were performed to
 544 assess their average performance. For clarity, the data splitting for the proposed and benchmark
 545 methods is demonstrated in Figure 6.



547 Figure 6: Data splitting for the proposed and benchmark methods [9].

548 The structure of the CNN is demonstrated in Figure 7. The CNN architecture for the
549 classification of melt pool images consists of several layers designed to extract and learn
550 hierarchical features from the input data. The network begins with an input layer, which takes in
551 melt pool images with a size of 200 by 200. The first convolutional layer comprises 32 filters with
552 a 3x3 kernel, followed by Batch Normalization (light blue) to normalize the activations and
553 enhance training stability. Rectified Linear Unit (ReLU) activation (in purple) is applied to
554 introduce non-linearity, and a subsequent Max Pooling layer with a 2x2 pool size (in green)
555 reduces spatial dimensions, focusing on important features. The process is repeated in the second
556 convolutional layer with 64 filters and the third with 128 filters. Each convolutional layer is
557 followed by Batch Normalization and ReLU activation. After these convolutional layers, the
558 network employs a Fully Connected (FC) layer depicted in light green, followed by the Softmax
559 activation function at the output layer for multi-class classification. The input to the FC layer is
560 obtained by flattening the output from the final convolutional or pooling layer, and the output
561 consists of multiple neurons corresponding to the number of classes. The use of distinctive colors
562 such as orange for convolution, light blue for Batch Normalization, purple for ReLU, green for
563 Max Pooling, and light green for the FC layer provides a visual representation of the flow of
564 information through the network, aiding in understanding the architecture's structure and
565 functionality [104]. Customization of hyperparameters and layer configurations is crucial based
566 on the specific characteristics of the melt pool image dataset and the classification task. The choice
567 of this architecture is advantageous for several reasons. First, the use of multiple convolutional
568 layers enables the network to hierarchically learn intricate features, promoting effective
569 representation of melt pool patterns. Including Batch Normalization [105] enhances training

570 stability and accelerates convergence, while ReLU introduces non-linearity crucial for capturing
 571 complex relationships. Furthermore, Max Pooling aids in retaining essential information, while
 572 reducing computational complexity. The final FC layer aggregates the high-level features for
 573 classification, and the Softmax activation function provides normalized class probabilities. This
 574 architecture aligns with the principles of effective feature extraction and hierarchical learning,
 575 making it well-suited for melt pool image classification tasks [106]. Moreover, during training
 576 phase of the CNN classifier, the random oversampling was applied both for anomaly and printing
 577 path identification, where the model learns from the augmented data and adjusts its weights to
 578 better classify the minority class of imbalanced dataset, and the Bayesian optimization technique
 579 was adopted for hyperparameter tuning [107], [108].



581 Figure 7: CNN architecture for classification.

582 **4.4 Results and Discussion**

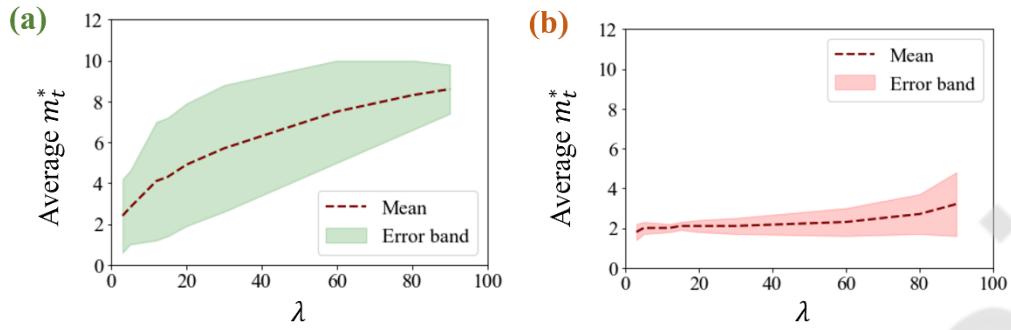
583 All the evaluation used the same CNN model setup (Figure 7) for a fair comparison. Initially,
 584 the performance was determined by considering the dataset before deidentification. These results
 585 demonstrate the non-deidentified performance using the CNN classifier. Based on the non-
 586 deidentified tuning and test dataset, the results along with the standard deviation are presented in
 587 Table 3.

588 Table 3: Results based on non-deidentified dataset.

Method	Tuning dataset	Test dataset
--------	----------------	--------------

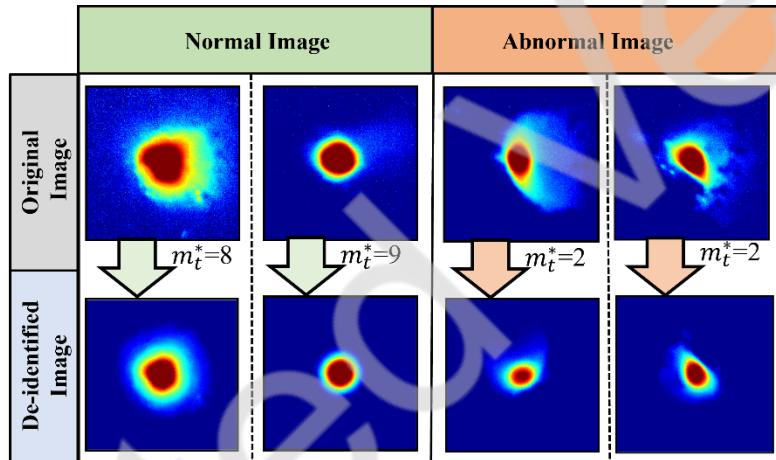
	Anomaly Detection: Fscore	Printing Path Identification: Accuracy	Anomaly Detection: Fscore	Printing Path Identification: Accuracy
Proposed/ Benchmark 2	84.50 (3.67)	97.98 (0.51)	83.92 (2.64)	97.97 (0.38)
Benchmark 1	82.55 (3.73)	96.99 (0.53)	80.76 (4.53)	97.44 (0.65)

589 In this case study, the change in melt pool areas was leveraged to set the threshold value to
 590 obtain the deidentified images. With the change of the λ values, the optimal number of SIA (m_t^*)
 591 also changes, as depicted in Figure 8, which are then leveraged to obtain different deidentified
 592 datasets for evaluation. Specifically, Figure 8 demonstrates the average m_t^* given different
 593 threshold λ values. In addition, the error band illustrates the standard deviation of the m_t^* values
 594 for the normal and abnormal image samples, as shown in Figure 8(a) and Figure 8(b), respectively.
 595 Given the same λ values, the normal melt pool images have comparatively larger average m_t^* than
 596 the abnormal melt pool images. Here, the standard deviation values of m_t^* of the normal melt pool
 597 images are generally higher than those of the abnormal melt pool images. Moreover, the mean
 598 value and standard deviation for a normal melt pool image can increase higher than those for
 599 abnormal images due to differences in the geometric characteristics of the melt pools. In general,
 600 normal melt pools tend to have a more consistent shape and size, which leads to a larger average
 601 m_t^* with the increase of λ values. On the other hand, abnormal melt pools may exhibit more
 602 irregular shapes and sizes, which can lead to a comparatively lower mean value of m_t^* and standard
 603 deviation based on different λ values.



604

605 Figure 8: Illustration of the average m_t^* value over λ for samples of (a) normal and (b) abnormal
606 thermal images.



607

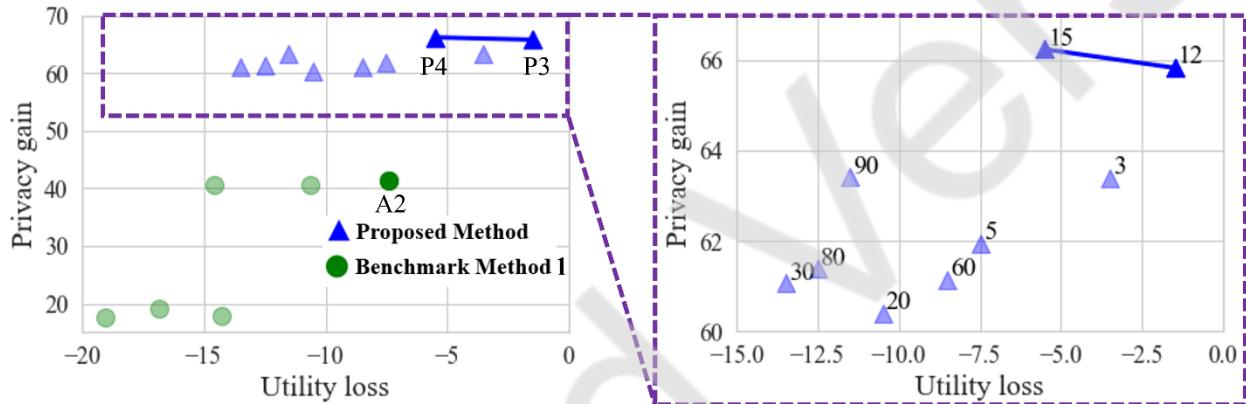
608 Figure 9: Surrogate images based on the proposed adaptive method.

609 Using the optimal value of m_t^* for each individual thermal image, the surrogate thermal images
610 can be generated. A few example surrogate images for both normal and abnormal images are
611 illustrated in Figure 9. It can be observed that the adaptive method alters the orientation of the melt
612 pool as well as significantly blurs the printing path trajectory related sensitive information, which
613 is desirable to protect data privacy. On the other hand, the geometric attributes (i.e., shape and
614 size) of the melt pool in the deidentified images are maintained at best to preserve the utility
615 attributes of the normal and abnormal melt pool images, which can fulfill the purpose of process

616 defect modeling.

617 In this study, computing the classification accuracy and Fscore of the non-deidentified and
618 deidentified datasets, the utility loss (UL) and privacy gain (PG) metrics were determined and
619 demonstrated for different λ values, as illustrated in Figure 10. Regarding the proposed method,
620 the geometric threshold, λ , plays a significant role for data deidentification and the corresponding
621 performance metrics. Therefore, parameter tuning is very important for the performance of the
622 proposed method. Since there are two outcomes of interest, this Pareto optimal front chart based
623 on UL and PG was used to determine the optimal points, as depicted in Figure 10. Specifically, to
624 generate this pareto optimal front chart, the tuning data were leveraged in the proposed algorithm
625 to determine which parameters were optimal. As illustrated in Figure 10, each point represents a
626 user-defined input of either λ values or M and Δl values for the proposed and benchmark method
627 1 [9], respectively. Thus, the points that are on the optimal front of the performance evaluation
628 chart with a higher opacity were determined to be the Pareto optimal points. The additional points
629 (lower opacity) are the alternative combinations of parameters that do not lie on the Pareto optimal
630 front. These points reflect parameters that do not perform optimally when utilizing the tuning
631 datasets and are therefore not selected to evaluate the final test performance. The specific
632 performance and corresponding parameter values are also demonstrated in Figure 10. From these
633 optimal points, the corresponding parameter sets were selected and then used to deidentify the
634 testing dataset. Here, in the Figure 10, Pareto optimal front comparison is also demonstrated during
635 the parameter tuning for the proposed method and benchmark method 1 for the different
636 combinations of tuning parameters, which are detailed in the corresponding table. From these
637 results, the proposed adaptive algorithm outperforms benchmark method 1 in terms of UL and PG,
638 which are detailed in Figure 10. It is worth mentioning that benchmark method 2 does not require

639 any user-defined parameters to be tuned. Therefore, no results need to be included in the optimal
 640 front charts for this method. Furthermore, the results in the Figure 10 demonstrate that the proposed
 641 adaptive algorithm is able to more effectively secure the sensitive design information in the process
 642 data for sharing within an AM platform. In the context of privacy preservation, the adaptive
 643 deidentification method's superior performance implies a more effective means of protecting
 644 sensitive design information while sharing thermal history data with other users.



Proposed Method				Benchmark Method 1				
#	λ	UL	PG	#	M	Δl	UL	PG
P1	3	-3.5	63.4	A1	1	1	-14.25	17.78
P2	5	-7.5	61.95	A2	1	10	-7.39	41.42
P3	12	-1.5	65.83	A3	1.1	1	-16.85	19.03
P4	15	-5.5	66.24	A4	1.1	10	-14.57	40.51
P5	20	-10.5	60.41	A5	1.25	1	-19.03	17.61
P6	30	-13.5	61.06	A6	1.25	10	-10.65	40.57
P7	60	-8.5	61.14					
P8	80	-12.5	61.38					
P9	90	-11.5	63.41					

645

646 Figure 10: Pareto optimal fronts with parameter tuning based on tuning dataset.

647

648 Based on the pareto optimal front chart, optimal points are determined. Furthermore, with the
 649 optimal geometric threshold values, the corresponding parameter sets (i.e., m_t^*) were determined
 650 for each image to deidentify the test dataset, which were used for performance evaluation. The test
 651 results are summarized in Table 4. The scale ranges from 0 to a 100 for PG and from 0 to a negative
 652 100 for UL. It is important to note that, in the context of both PG and UL, a higher numerical value

653 indicates a desirable outcome. Therefore, these scales provide a clear and intuitive framework for
654 evaluating and interpreting those performance measures.

655 The key strength of the proposed adaptive deidentification algorithm is its ability to preserve
656 data usability through a smaller UL with a significantly improved privacy gain (PG). The
657 benchmark methods 1, 2, and proposed method can be compared based on the results of the test
658 datasets, as shown in Table 4. From Table 4, it is observed that the proposed method is able to
659 achieve a noticeable improvement in privacy gain while maintaining a comparable, and even
660 slightly better utility loss than the benchmark method 1. Specifically, the proposed method
661 outperforms benchmark method 1 in terms of PG while achieving comparable performance in
662 terms of UL. Similarly, when comparing the results of the proposed method with benchmark
663 method 2, it is observed that the proposed method significantly outperforms in terms of PG, while
664 demonstrating comparable results in terms of UL.

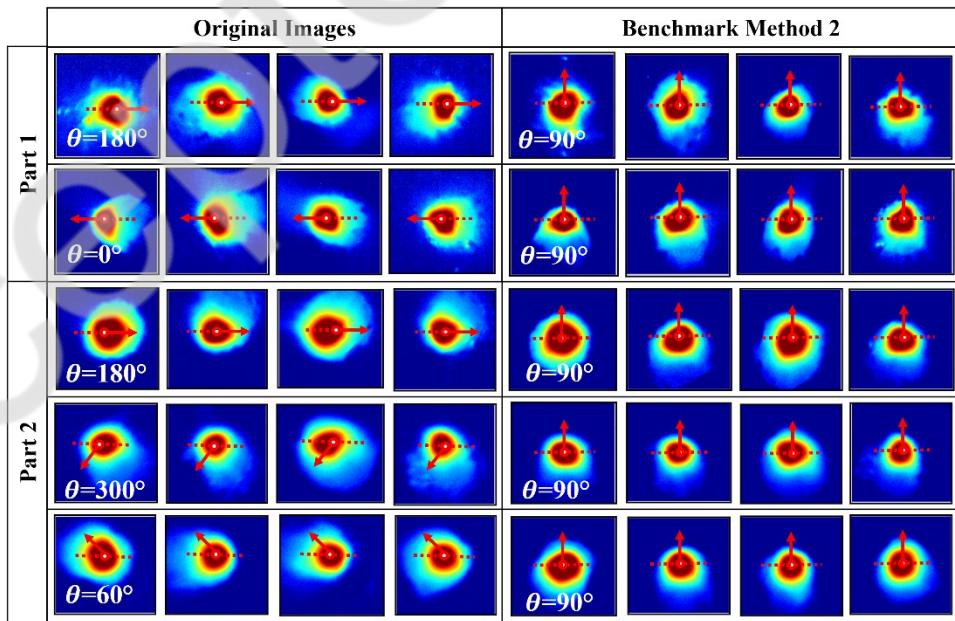
665 Table 4: Results summary based on test dataset (standard deviation in the parentheses).

Method	Pareto optimal points	UL	PG
Proposed	P3	-2.40 (9.13)	57.51 (7.77)
	P4	-6.42 (6.79)	61.59 (4.00)
Benchmark 1	A2	-6.51 (5.62)	39.18 (4.63)
Benchmark 2	--	-1.89 (2.72)	0.70 (1.55)

666
667 The improved performance of both the UL and PG of the algorithm can be attributed to the
668 following reasons. First, in the proposed adaptive deidentification method, each melt pool image
669 is deidentified using the SIA generated images, which significantly blurs the printing path
670 trajectory related sensitive information while retaining utility attributes at best. Second, the
671 benchmark method 1 requires as a large and diverse reference set to facilitate deidentification of

672 the thermal images. Therefore, the performance of the deidentification model is highly dependent
 673 on the diversity, size, and quality of the reference image set. In this experimentation, the reference
 674 set is sacrificed from the training data, ultimately reducing the training data set and leading to a
 675 smaller and less diverse reference set. The difference in available training data between the
 676 benchmark 1 and proposed method can also explain the variation in the results of the model, as
 677 model performance is known to be more sensitive to the amount of training data.

678 Similarly, from Table 4, it is observed that for benchmark method 2, there is little PG with a
 679 smaller UL, failing to fulfill the intended purpose of data deidentification. To demonstrate the
 680 potential reason for this minimal PG, Figure 11 includes images before and after deidentification.
 681 Basically, in this case, the deidentified images are rotated 90 degrees to remove the printing path
 682 trajectory, generating unified orientation images. Despite the intention to create these unified
 683 orientation images, it is evident that each class of images after deidentification retains some
 684 directional patterns with their tail and melt pool. Due to these patterns, the images can be accurately
 685 classified into their associated class labels, explaining the minimal PG for benchmark method 2.



686

687 Figure 11: Demonstration of images before and after deidentification along with their angular
688 orientations leveraging benchmark method 2 for Part 1 and Part 2 (the orientations of the images
689 in a row are denoted in the first image).

Furthermore, leveraging the concept of Benchmark Method 2, the datasets from Part 1 and Part 2 were separately utilized to evaluate PG. The results demonstrate very little PG compared to the original images. Specifically, for Part 1, the PG is -1.59%, and for Part 2, the PG is 2.49%. Even with separate datasets, the results did not improve. One of the potential reasons for this is that, for each individual class label, the melt pool region above the melting point temperature and the tail region of the heat-affected zone exhibit specific identifiable orientations and shapes that differ for each class label, as demonstrated in Figure 11 for Part 1 and Part 2. Another potential reason is the very small number of angular classes, which limits the variability and effectiveness of the deidentification process.

699 It is worth noting that the design deidentification techniques for AM process data, while
700 essential for privacy preservation, may face challenges in ensuring complete data security.
701 Therefore, it should be emphasized on the importance of consistent integration of the proposed
702 adaptive deidentification method into the existing cloud-based AM framework, such as [10], [109].
703 Specifically, this integration of the deidentification method serves as an additional layer in
704 protecting sensitive information during AM process data sharing, leading to a more secured
705 foundation for data sharing in the cloud-based AM platform for collaborative modeling.

706 5 Conclusion and Future Research Directions

707 In this paper, an SIA-ASIG thermal image deidentification method is proposed for design
708 information deidentification of AM thermal process data. The resulting deidentified data can be

709 aggregated from multiple AM users, leveraging a cloud platform for robust *in-situ* process-defect
710 modeling. Specifically, the adaptive methodology can achieve a trade-off between privacy and
711 utility for AM thermal process data that can be shared in a platform for privacy-preserving and
712 utility-aware process-defect modeling. It is observed that the proposed method can substantially
713 improve data privacy while sacrificing limited data utility. Moreover, the proposed method
714 achieves higher privacy gain compared to the benchmark methods and demonstrates comparable
715 utility loss, which is also associated with the design information deidentification of thermal AM
716 process data. Overall, the proposed method provides an efficient mechanism to deidentify the
717 design information in the AM process data, which can be leveraged for data sharing among AM
718 users within a collaborative platform.

719 A few research directions are still open for future research. Firstly, incorporation of more
720 complex printing trajectories can potentially improve the performance of the proposed adaptive
721 method. This may involve analyzing non-unidirectional infill angles and free-formed components
722 that can potentially improve image deidentification. These artifacts will introduce variability and
723 complexity, requiring the deidentification algorithms to adapt and perform reliably under diverse
724 conditions, ultimately enhancing their robustness. Secondly, with an increased diversity of angular
725 identities in the training dataset, a potential enhancement to the evaluation method would be to use
726 a regression-based approach for angular identity (i.e., printing trajectory) prediction. This would
727 yield continuous-valued results, offering a more precise assessment of angular identity detection
728 compared to discrete classification. Third, the proposed method provides melt-pool-wise data
729 privacy while preserving data utility, and future research may provide a layer-by-layer privacy
730 preservation mechanism to prevent re-identification threats. Furthermore, some privacy-
731 preserving machine learning methods (i.e., differential privacy) can also be developed to reduce

732 the risk of re-identification attacks. Lastly, while deidentification serves as a fundamental
733 component in the wider domain of information security [110], the incorporation of supplementary
734 security measures, like digital signatures [111] and cryptography techniques, has the potential to
735 amplify the overall security of shared information. Therefore, in future iterations, these additional
736 security measures should be investigated and integrated to establish a more comprehensive and
737 robust security framework, presenting a layered defense against unauthorized tampering or
738 alterations.

739

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742

743 **References**

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