

1 ***Increasing strength properties in sinter-based additive manufacturing of SS316L via metal***
2 ***material jetting of sub-micron powders***

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11 **Abstract**

12 Metal Binder Jetting (MBJ) 3D printing is an attractive additive manufacturing (AM) method

13 for high volume production of metals and ceramics. However, the widespread use of MBJ has been

14 stymied by longstanding challenges in reduced strength properties compared with traditional

15 wrought and laser-based AM materials. In this study, we leveraged novel “drop-on-demand” Metal

16 Material Jetting (MMJ) of sub-micron powders to fabricate SS316L samples. These samples were

17 subjected to dilatometry, mechanical testing, and correlative materials characterization and

18 compared to metal binder jet (MBJ) SS316L to evaluate differences in process-structure-property

19 relationships between the two processes. Overall, MMJ SS316L possessed an average tensile yield

20 and ultimate tensile strength of 312 ± 84 MPa and 640 ± 38 MPa respectively, greatly exceeding

21 MBJ SS316L in the literature due to the formation of fine microstructures with an average grain

22 size of $2.4 \mu\text{m}$. Importantly this led to significant Hall-Petch strengthening but also considerably

23 lower average failure strains ($15.5 \pm 4.8\%$). The process-microstructure-property relationships

24 facilitating microstructural evolution in MMJ are discussed and further elucidated using an

25 isotropic pressure-less viscous sintering model. Results of this model show that, although

26 densification behaviors in MMJ were largely similar to those in MBJ, MMJ samples possessed

27 over three-fold increase in sintering stress, defined the change in free surface energy with respect

30 to the volumetric shrinkage, and ~31% reduction in grain growth due to the employment of sub-
31 micron size powders. Overall, these results show the promise of MMJ AM for structural metals
32 and suggest that both microstructure (and ultimately strength) of MMJ materials can be further
33 tuned by controlling the overall sintering process.

34 **Keywords:** Additive manufacturing; metal binder jetting; nanoparticles; metal material jetting;
35 microstructure; sintering

36 1. Introduction

37 Metal Binder Jetting (MBJ) 3D printing of metals and ceramics is an attractive additive
38 manufacturing (AM) method for high volume production. Compared with laser and electron-beam
39 methods, MBJ offers higher speeds, increased material flexibility, and sustainability advantages
40 [1,2]. Additionally, MBJ materials do not experience laser-processing related defects such as high
41 residual stresses, textured microstructures, or solidification cracking [3]. However, the widespread
42 use of MBJ has been stymied by longstanding challenges in controlling bulk defects including
43 porosity, shrinkage, and low strength all of which negatively influence overall mechanical
44 properties. For example, many Fe-based materials and structures fabricated by MBJ can
45 experience part shrinkage >20%, volumetric porosity >5%, and yield strengths < 200 MPa [4–6],
46 all of which are significant disadvantages in part production compared with traditional and laser-
47 based AM processing of structural metals.

48 Defects in MBJ are intimately linked to post-processing solid state or liquid phase sintering
49 treatments that densify green powder compacts into fully dense bodies. Generally, higher green
50 densities are correlated with lower amounts of part shrinkage during sintering and reduced bulk
51 porosity in the final part [4,7]. However, due to the required powder size between ~5 – 25 μm and
52 physics of spherical powder packing, MBJ materials typically experience green part densities
53 limited to ~50%, depending on the specific material and processing parameters utilized [1].

54 Common methods to enhance green part density in MBJ that have been investigated include
55 nanoparticle additions, multimodal powder size distributions, or reactive binders, amongst others
56 [7–9]. Overall, these methods have shown some effectiveness in increasing green density and
57 consequently increasing densification during sintering, however, they have not been sufficient to
58 significantly mitigate the coarse porosity typical of sintered artifacts fabricated from coarse
59 powders. A consequence of this is that MBJ materials are generally substantially weaker than
60 companion wrought or laser-processed AM metals [2].

61 Recent advances in “drop-on-demand” sinter-based AM leveraging metal material jetting (also
62 known as “nanoparticle jetting”) show promise to achieve both reduced shrinkage/porosity and
63 higher strength properties [10,11]. Compared to MBJ, metal material jetting (MMJ) employs sub-
64 micron powders suspended within a liquid ink carrier that is jetted into layers less than $10 \mu\text{m}$
65 thick. The use of sub-micron powders results in a substantially increased surface area and thus
66 surface energy, which is the key driving force for sintering. Sub-micron powders also offer reduced
67 sintering temperatures [12], thus reducing the potential for both shrinkage and distortion and
68 limiting overall grain growth, all of which are significant advantages compared to MBJ and other
69 sintering based technologies. However, as MMJ is still in its infancy compared with MBJ,
70 understanding process-structure-property relationships is critical to achieving high-quality final
71 materials and components.

72 In this study, we leveraged MMJ of a representative structural materials alloy, stainless steel
73 316L (SS316L) to develop new knowledge on the process-structure-property relationships for
74 MMJ. Unlike MBJ, the sintering relationships for 3D powder compacts of sub-micron powders
75 are largely unknown [13,14]. For example, it is well-known that sintering mechanisms at the
76 nanoscale fundamentally differ from those of micron-scale powders due to the size dependence on

77 melting temperature and increase surface area [15–17]. However, sintering data on compacts made
78 of sub-micron powders in the hundreds of nm length scale (e.g., powder sizes that MMJ processes
79 typically employ) is lacking. Additionally, an understanding of sintering-microstructure
80 relationships for MMJ is still lacking. To the author's best knowledge, the characterization of
81 mechanical properties and their connection to resultant microstructure has not been undertaken for
82 MMJ of metal alloys. Therefore, the overall goal of this study is to develop important process-
83 structure-property relationships for MMJ as a promising AM method for production of structural
84 alloys.

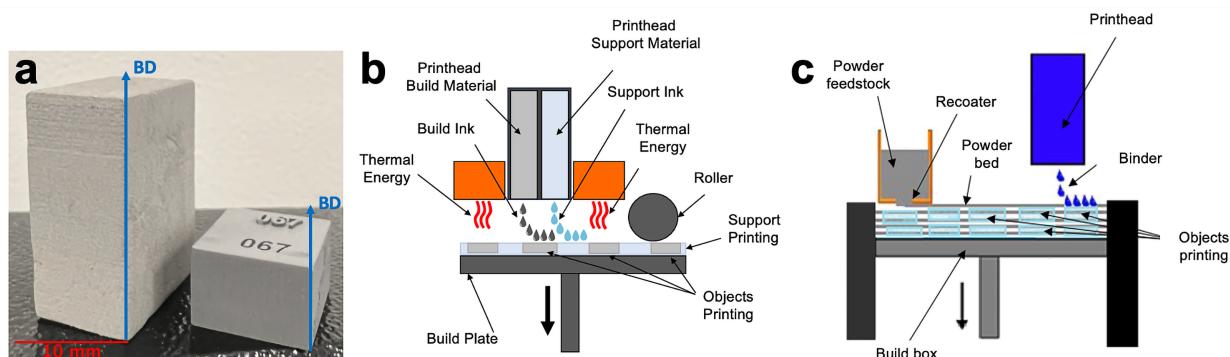
85 **2. Materials and Methods**

86 *2.1 Sample fabrication*

87 MMJ green samples, consisting in a mixture of metal nanopowder and held together in a
88 defined shape by the binding agent, with geometry 9.40 mm × 9.60 mm × 5.84 mm, were fabricated
89 on an XJET CARMEL 1400 (Revoth, Israel) using SS316L powders using an powder size of D_{50}
90 = 0.75 μ m (**Figure 1a**) [18]. During printing, a proprietary solvent-dispersed metal nanoparticle
91 solution, also referred as build ink, and proprietary soluble solid dispersion referred as support ink
92 (Patent #: 11623280, Assignee: XJET LTD) are jetted from separate printheads [10]. A schematic
93 of the MMJ process is shown in **Figure 1b**. The dual-material approach precisely controls the
94 jetted area perimeter, with the support material creating a mini-vat around the layered under print.
95 A mounted heating lamp with halogen bulbs and the hot building tray (the base substrate on which
96 the dual-material is jetted) create a 180°C high-temperature atmosphere that evaporates the liquid
97 and crosslinks the dissolved polymer to form a binder, which forms a thin coating that serves as
98 bonding agent between the metal particles. After the carrier-liquid evaporates and the particles are
99 bind together, a fine layers of metal nanoparticle agglomeration referred as print layer is created.

100 To level the newly printed layer at a constant height, a roller mills the top surface of each layer.
101 The print plate indexes vertically to prepare for the next layer, and the process repeats layer by
102 layer.

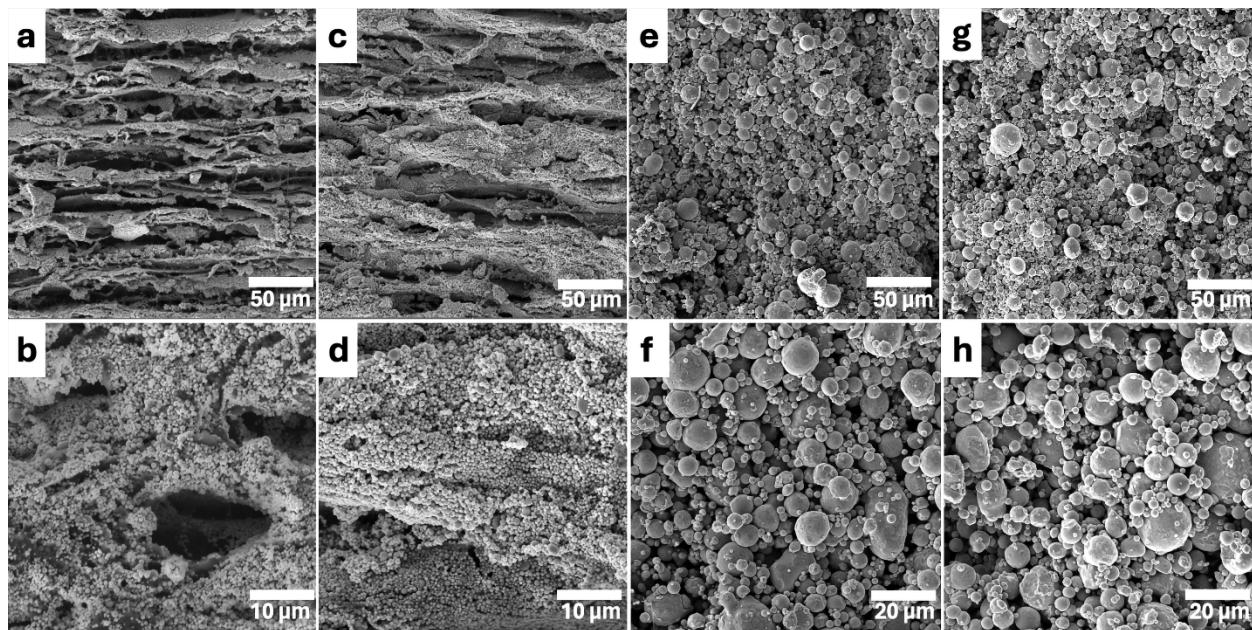
103 Solidified support ink supports printed objects inside the build box. The objects at this point
104 are still in the green state with powder held together in defined shapes by the binder. Once the
105 printjob is completed, the build plate is taken from the printer and soaked in several citric acid and
106 water solutions to breakdown the support material and release the green parts. The green objects
107 are then placed on ceramic plates, and thermally debinded in air atmosphere. Parts in this state are
108 referred as brown state. It is important to know that, during the binder burn off, not all the binder
109 evaporates and hence could affect the mechanical properties of the material, the effect of which is
110 still being investigated. Finally, the parts are sintered and densified in a dedicated sintering furnace
111 in hydrogen atmosphere.



112
113 **Figure 1.** (a) Printed samples of MMJ (left) and MBJ (right) with build direction indicated by blue
114 arrow. Schematic of (b) MMJ (“Nanoparticle jetting™”) process (c) MBJ processes showing
115 sinter-based AM of SS316L.

116
117 For comparison, slightly larger MBJ SS316L samples of 14.99 mm × 20.07 mm × 9.91 mm
118 were fabricated on a Desktop Metal ExOne Innovent 3D Printer system using a proprietary
119 polymeric binder commercialized with the name “CleanFuse” (**Figure 1a**). A schematic of the
120 widely known metal binder jetting process is shown in **Figure 1c**. Gas atomized SS316L powder

121 from Sandvik Osprey, United Kingdom, of size of $D_{90} = 22 \mu\text{m}$ was used. Powder composition in
 122 percent by weight of each element is listed in **Table 1**. The standard processing parameters used
 123 for printing are: (i) binder saturation of 85 %, (ii) layer thickness of 100 μm , (iii) drying time of
 124 20 s, (iv) print speed of 150 mm/s, (v) bed temperature of 50 $^{\circ}\text{C}$. After fabrication, the MBJ build
 125 was cured at 180 $^{\circ}\text{C}$ for 4hrs in a Yamato DX402C, and subsequently the green samples are
 126 manually removed from the powder bed depowdered. At the same manner as for the MMJ process,
 127 the green objects are then placed on ceramic plates, and thermally debinded in air atmosphere to
 128 the brown state condition. Finally, the brown parts are sintered and densified in a dedicated
 129 sintering furnace in hydrogen atmosphere.



130
 131 **Figure 2.** SEM images of samples. (a,b) MMJ green condition. (c,d) MMJ brown condition. (e,f)
 132 MBJ green condition. (g,h) MBJ brown condition. Note different magnification of each image.
 133

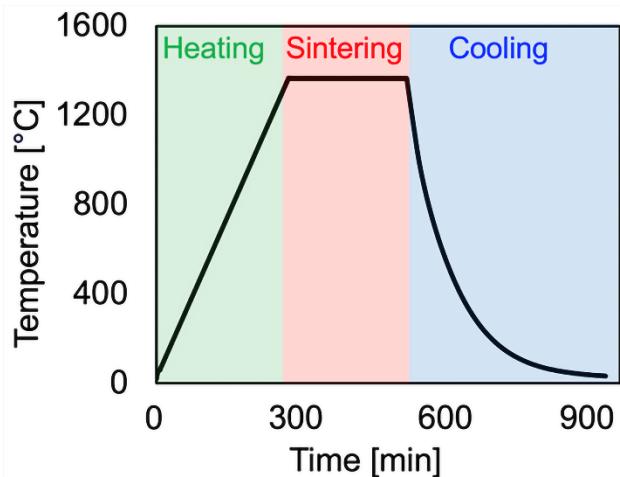
134 **Figure 2** shows the SEM images of cross sections of the green and brown states of the MMJ
 135 and MBJ samples. Specifically, **Figure 2a-2b** show the green state of the MMJ. The carrier-liquid
 136 is not present at this stage as it has already evaporated during printing. In the green sample of, the
 137 particles are coated with a thin film polymer binder, left after the carrier-liquid evaporates, which

138 bonds the particles together. The MMJ brown debinded state is also depicted by **Figure 2c-2d**. A
139 clear distinction between the green state and brown state is the visible difference in binder present,
140 which appears to leave more open space between the particles due to the binder component having
141 burned off. It should be noted, however, that there is some residual binder that is left even after
142 debinding that will influence the mechanical properties of the part, however, these effects are still
143 under investigation. **Figure 2e-2f** also shows the green state of the MBJ sample, with the particles
144 bonded together by the polymer binder. **Figure 2g-2h** depicts the brown state in which the binder
145 has been burned off during the debinding stage, although a minimal amount of binder is still
146 present.

147 *2.2 Dilatometry*

148 MMJ and MBJ samples were subjected to dilatometry experiments representative of typical
149 sintering conditions at 1365°C for 4 hours using a heating rate of 5°C per minute in a pure H₂
150 environment. De-binding of the green part was done at 325°C for 3 hours in a N₂ environment at
151 a heating rate of 4°C/min to obtain the brown part. Note, the MBJ sample were sintered in two
152 stages: first, they were sintered at 900°C for 1 hour in pure H₂ to promote initial neck growth and
153 reduce susceptibility of sample breakage during shipping/handling, and afterwards sintered to final
154 sintering conditions [19]. Note, the amount of shrinkage experienced by the MBJ is expected to be
155 significantly small at the 900 °C (**Figure 10**), and therefore would not influence the basis of its
156 comparison with the MMJ. **Figure 3** gives the time versus temperature profile for dilatometry.
157 Linear shrinkage data for each sample was measured via push-rod dilatometry, with the loading
158 direction parallel to the build direction, which is the direction of the planes of the powder layers,
159 which is indicated by blue axes shown in **Figure 1a**. The densities of the green (e.g., initially
160 printed), brown (de-binded), and full-sintered samples were measured manually by weighing the

161 samples on a mass balance and measuring the approximate dimensions with Vernier calipers and
162 finding the ratios of the mass to volume. These results are summarized in **Table 2**. The authors
163 also measured the sintered density with the more precise Archimedes principle. It is not possible
164 to use such method for green and brown condition due to the open porosity present in the samples.



165
166 **Figure 3.** Time versus temperature profile for MMJ and MBJ samples subjected to dilatometry in
167 pure H₂.
168

169 **Table 1.** Chemical Composition of SS316L Powder (Sandvik Osprey, UK) for MBJ, in wt%.

Fe	Cr	Ni	Mo	Mn	Si	C	P	S
Bal.	16-18	10-14	2-3	≤ 2	≤ 1	≤ 0.03	≤ 0.045	≤ 0.03

170

171

172

Table 2. Calculated relative densities of MMJ and MBJ samples

	Green Condition (analytic)	Brown Condition (analytic)	Fully sintered condition (analytic)	Fully sintered condition (archimedes)
MMJ	0.58	0.58	0.97	0.97
MBJ	0.57	0.58	0.96	0.96

173

174 *2.3 Microstructural characterization*

175 Microstructural characterization was performed on MMJ and MBJ samples subjected to
 176 dilatometry. Both samples were cut along the build direction using an abrasive cutter, and hot-
 177 mounted on a blend of black Bakelite powder and Conductotherm 3000 using LECO MX 400
 178 mounting press. Each sample surface was ground and polished using 0.05 μm colloidal silica.
 179 Thereafter, electron back scatter diffraction (EBSD) was performed using the Tescan Mira 3 FEG
 180 scanning electron microscope (SEM) equipped with a EDAX PC for data analysis. X-ray
 181 diffraction (XRD) was also done for bulk analysis of sample preferred orientation (texture) and
 182 phase information on a Rigaku SmartLab XRD X-ray diffractometer equipped with SmartLab
 183 Guidance software for data analysis.

184 *2.4 Mechanical Testing*

185 Displacement-controlled tensile testing on representative samples (e.g., de-binded and sintered
 186 under identical conditions) was used to measure the tensile strength and ductility of MMJ SS316L.
 187 Twelve (12) total tests were completed using specimens based on the ISO2740 standard. Testing
 188 was performed using a servo-electric test stand at a displacement rate of 0.1 mm/min, per the
 189 ASTM E8 standard, until failure. Force was recorded during the test using a 25 kN load cell and
 190 used to compute engineering stress by dividing by the initial cross-sectional area. Strain was
 191 measured using a clip-on extensometer. In conjunction, digital image correlation (DIC) was used

192 to quantify full-field strain localizations on representative MMJ samples. These samples were
193 prepped for DIC by spray painting the gauge section white and adding a black speckle pattern
194 using an airbrush. The speckle size was small enough to capture the small changes in strain across
195 the length of the coupon. DIC strain data was exported to the VIC 2D software and further assessed
196 using a virtual extensometer spanning the length of the gauge section of the coupon.

197 *2.5 Continuum Sintering Model*

198 To better understand process-property relationships for MMJ versus MBJ SS316L, dilatometry
199 results were used to validate a pressure-less continuum sintering model developed in [19]. The
200 general constitutive relation for a porous medium modeled as a nonlinear-viscous incompressible
201 material with voids is given as:

$$\sigma_{ij} = 2\eta_0 \left[\varphi \dot{\epsilon}_{ij} + \left(\psi - \frac{1}{3} \varphi \right) \dot{\epsilon} \delta_{ij} \right] + P_L \delta_{ij} \quad (1)$$

202 Where η_0 is the temperature-dependent material shear viscosity of the sample assuming there were
203 no pores, i.e., that of the fully dense material, given by,

$$\eta_0 = A_0 T e^{\left(\frac{Q}{RT} \right)} \quad (2)$$

205 P_L is the effective sintering stress which depends on surface energy, α , and is given by,

$$P_L = 3\alpha \frac{(1 - \theta)^2}{r_0} \quad (3)$$

207 where r_0 is the radius of the powder particle, or the initial grain size [18]. A_0 is the material-
208 dependent constant shear viscosity pre-exponential and is different for each powder system, T is
209 the absolute temperature and δ_{ij} is the Kronecker delta. φ and ψ are porosity-dependent functions
210 that characterize the normalized shear and bulk moduli of the porous compact respectively. $\dot{\epsilon}$ is
211 the first invariant of the strain rate tensor, or the trace of the corresponding matrix, and it
212 corresponds to the volumetric shrinkage rate of the sample. The indexes $i, j = 1, 2, 3$.

213 In the case of pressure-less sintering, the applied stress $\sigma_{ij} = \mathbf{0}$. Assuming isotropic shrinkage
 214 behavior ($\dot{\varepsilon}_x = \dot{\varepsilon}_y = \dot{\varepsilon}_z$) where x, y , and z align with the 1, 2, and 3 indexes for i and j respectively,
 215 the strain rate tensor reduces to:

$$\dot{\varepsilon}_{ij} = \begin{bmatrix} \dot{\varepsilon}_z & 0 & 0 \\ 0 & \dot{\varepsilon}_z & 0 \\ 0 & 0 & \dot{\varepsilon}_z \end{bmatrix} \quad (4)$$

216 Through mass conservation relation, the rate of porosity evolution can also be related to the
 217 shrinkage rate as:

$$\frac{\dot{\theta}}{(1 - \theta)} = \dot{\varepsilon}_x + \dot{\varepsilon}_y + \dot{\varepsilon}_z = 3\dot{\varepsilon}_z \equiv \dot{e} \quad (5)$$

218 Where θ denotes the fractional porosity. Substituting the zero-stress tensor and equation (2) into
 219 (1), the following first order differential equation is obtained for the rate of change in porosity as:

$$\frac{d\theta}{dt} = -\frac{(1 - \theta)P_L}{2\eta_0\psi} \quad (6)$$

220 For the porosity-dependent normalized bulk viscosity, ψ , the model proposed by Hsueh [20] was
 221 used, namely:

$$\psi = \frac{2}{3} \frac{(1 - \theta)^A}{\theta^B} \quad (7)$$

222 Here A and B are model constants that are determined through nonlinear regression analysis using
 223 the data obtained from the dilatometry experiments. Combining the foregoing equations and
 224 rearranging terms, a final analytical equation for porosity evolution is obtained as

$$\frac{d\theta}{dt} = -\frac{9}{A_0 T e^{\left(\frac{\theta}{RT}\right)} 2G} \cdot f(\theta)_\psi \quad (8)$$

225 Where $f(\theta)_\psi$ is:

$$f(\theta)_\psi = \frac{\theta^B(1-\theta)^3}{(1-\theta)^A} \quad (9)$$

226 Here, Q is the activation energy and $R = 8.314 \text{ J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ is the molar gas constant respectively,
 227 A_0/α material constants that need to be determined for each powder material. In this work the
 228 grain radius, G (a time-dependent quantity over the course of the sintering cycle) was assumed to
 229 be equal to r_0 . The evolution of the grain size is also given by:

$$\frac{dG}{dt} = -\frac{k_0}{3G^2} \left(\frac{1-\rho_c}{2-\rho_c-\rho} \right)^{\frac{3}{2}} \cdot e^{\left(\frac{-Q_G}{RT} \right)} \quad (10)$$

230 Where k_0 and Q_G are the grain growth pre-exponential term and activation energy, respectively, ρ
 231 is the relative density at a given time given by $\rho = (1-\theta)$, and ρ_c is a critical density, which
 232 accounts for the effect of pore pinning at grain boundaries on grain growth. During grain growth,
 233 the migration of the grain boundaries accumulates pores during the migration which end up
 234 inducing a drag force on the moving boundary to slow down grain growth. In other words, under
 235 conditions where pore pinning occurs, the curtailed grain growth would mean the density can only
 236 approach this critical value. Note, that the function in the bracket goes to 1 as the full theoretical
 237 full density is approached [15,19]. The resulting first-order ordinary differential equation (ODE)
 238 for the shrinkage is given by:

$$\dot{\varepsilon}_z = \frac{1}{3} \frac{\dot{\theta}}{(1-\theta)} = \frac{1}{3(1-\theta)} \frac{d\theta}{dt} \quad (11)$$

239 Inserting the expression for $\frac{d\theta}{dt}$ above, the resulting equation for shrinkage in the build direction
 240 can then be obtained as:

$$\dot{\varepsilon}_z = -\frac{3}{2 \frac{A_0}{\alpha} T e^{\left(\frac{Q}{RT} \right)} G} \cdot \frac{\theta^B(1-\theta)^2}{(1-\theta)^A} \quad (12)$$

241 Using the temperature and time data from the dilatometry experiment, equations (10) and (12),
 242 which are a coupled first order ODEs, are then solved using MATLAB's 4th order Runge-Kutta
 243 algorithm. The optimized value for each parameter is presented in **Table 2**. Note, slight differences
 244 in parameter values in the present study versus those in [19] are due to the fact that only one sample
 245 each of MMJ and MBJ was tested at a single temperature. As in [19], it is likely that more
 246 representative (and accurate) values can be obtained via the optimization procedure if more
 247 experiments were conducted. Additionally, it is worth mentioning that the apparent activation
 248 energy for sintering densification obtained for MMJ by fitting the continuum model to the
 249 experimental data was lower than that of the MBJ, which supports the findings from literature
 250 about the activation energy for nanoscale and micron-sized powders [4,16,21–23].

251 **Table 3.** Optimized model parameters for MMJ and MBJ samples

	r_0 (μm)	A	B	A_0 / α ($sm^{-1}K^{-1}$)	Q ($KJmol^{-1}$)	k_0 ($\mu m^3/s$)	Q_G ($KJmol^{-1}$)	ρ_c (%)
MMJ	0.75	1.13	0.64	$3.10 \cdot 10^2$	149.2	$1.52 \cdot 10^4$	203.6	94.8
MBJ	20	7.41	0.56	10.27	175.2	$8.93 \cdot 10^5$	156.2	94.8

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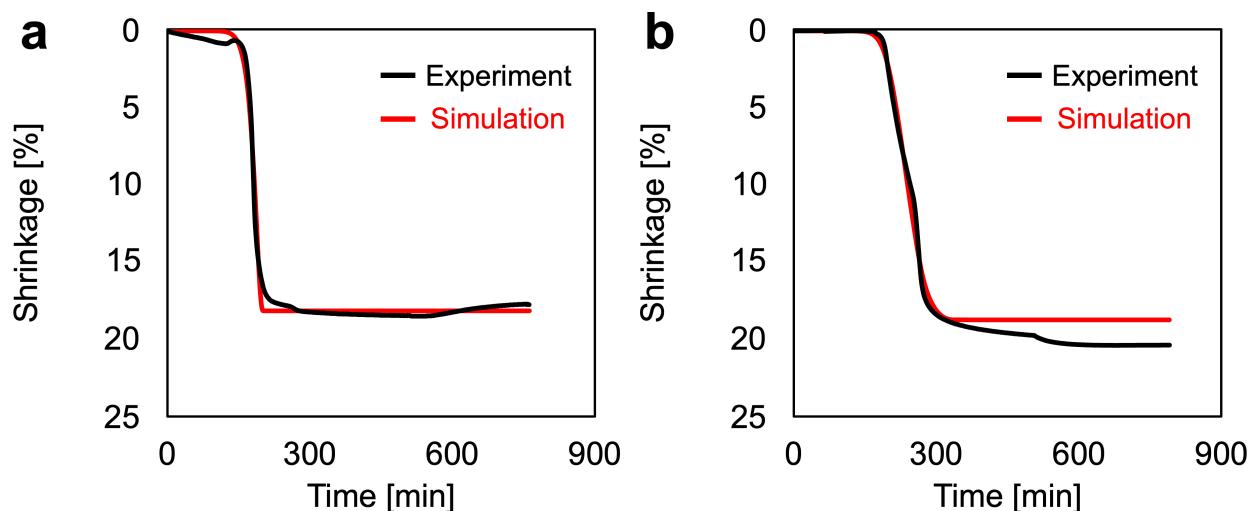
253 **3. Results**

254 *3.1 Shrinkage and densification*

255 The experimental and simulated shrinkage values for the MMJ and MBJ samples are presented
 256 in **Figure 4**. Overall, MMJ SS316L shows a maximum shrinkage of 18.5% versus 20.4% for the
 257 MBJ sample. Interestingly, the MMJ sample shows more rapid sintering, reaching a plateau in
 258 shrinkage behavior near 300 minutes ($\sim 1200^\circ C$), versus ~ 500 minutes ($\sim 1365^\circ C$) for the MBJ
 259 sample. These results suggest that MMJ sinters more rapidly than MBJ, which can be expected
 260 due to the use of sub-micron powders. This can be observed in the early change in shrinkage values

261 for MMJ sample prior to 200 minutes ($\sim 1,000^{\circ}\text{C}$) signifying the beginning of densification.
262 Additional analysis on differences in shrinkage (sintering) rates for MMJ and MBJ will be covered
263 in Section 4.1. Overall, maximum shrinkage values for MMJ SS316L match other studies of MMJ
264 Zirconia (18%) in [10], while shrinkage in the MBJ sample also closely matches other studies on
265 MBJ SS316L sintered above 1300°C including Jamalkhani et al. [24] (21.5%) and Mirzababaei et
266 al. [4] (22.6%).

267 In comparison, the shrinkage model shows excellent agreement in both trend and magnitude
268 for both MMJ and MBJ SS316L dilatometry data (**Figure 4a-4b**). The model predicts a maximum
269 shrinkage of 18.7% versus 18.5% for the experiment in the MMJ sample, a 1.6% error in shrinkage
270 values (**Figure 4a**). In comparison, the model also shows less satisfactory agreement with the MBJ
271 experimental data, where a shrinkage of 18.7% is predicted compared to 20.4% observed in
272 experiments (an 8.3% error) (**Figure 4b**). Overall, the close agreement between shrinkage
273 experiments and simulations shows that sintering behaviors in MMJ can be effectively simulated
274 using the isotropic continuum sintering model even though sub-micron powders are employed.



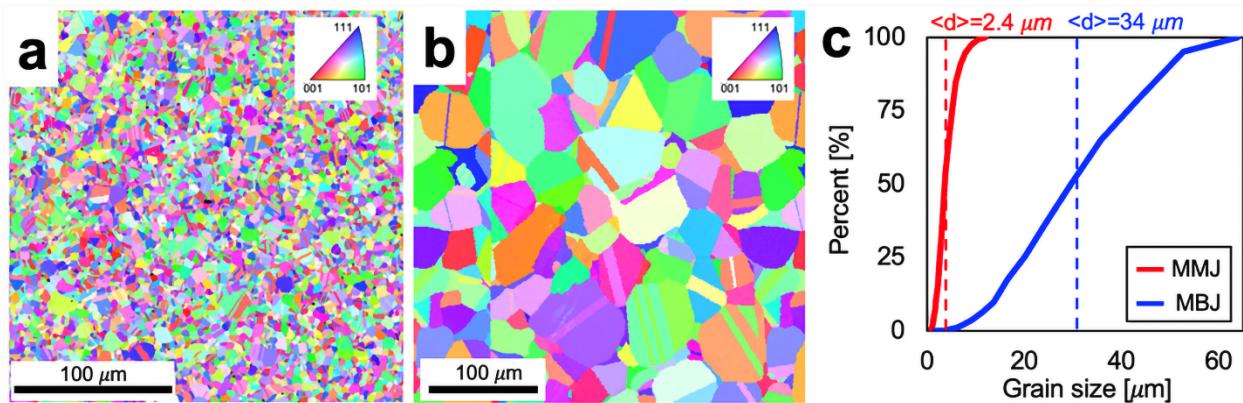
275
276 **Figure 4.** Comparison of 1365°C dilatometry measurements and predicted shrinkage values using
277 isotropic shrinkage model in (a) MMJ and (b) MBJ samples.
278

279 *3.1 Microstructure*

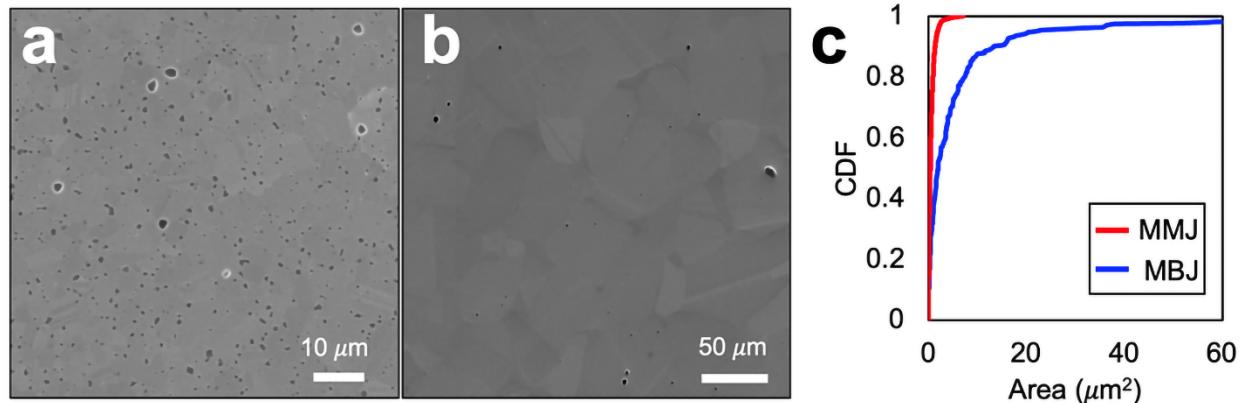
280 Correlative characterization of the post-sintered MBJ and MMJ samples was performed using
281 EBSD and XRD. **Figure 5** shows a representative image of the grain orientations and morphology
282 within each sample in the form of inverse pole figure (IPF) maps. It is readily apparent that the
283 MMJ microstructure consists of fine, equiaxed grains, with an average grain size $\langle d \rangle$ of $2.4\mu\text{m}$,
284 minimum grain size of $d = 0.79\mu\text{m}$, maximum grain size of $d = 12.0\mu\text{m}$ (**Figure 5a**). In
285 comparison, the MBJ sample possesses an average grain size $\langle d \rangle$ of $33.2\mu\text{m}$, minimum grain size
286 of $d = 16.4\mu\text{m}$, maximum grain size of $d = 48.0\mu\text{m}$ (**Figure 5b**). Similar grain size data was
287 reported in [25] in which the authors investigated the shrinkage and densifications behaviors of
288 five samples consisting of different ratios of a mixture of micron and nano-sized 316L powders
289 via powder injection molding. They found that the case of 100% nanoparticles, sintered at $900\text{ }^\circ\text{C}$
290 in H_2 , resulted in the smallest average grain size of [43]. An important factor that governs grain
291 growth in sintering is grain boundary migration. However, the high density of grain boundaries
292 associated with the nanoparticles limits this grain boundary migration in a phenomenon known as
293 Zenner pinning, which in turn retards grain growth [25]. Importantly, the grain growth values for
294 MMJ SS316L are an order of magnitude smaller than comparable MBJ SS316L in this study in
295 addition to comparable MBJ Fe-based alloys in the literature such as MBJ SS316L sintered at
296 $1380\text{ }^\circ\text{C}$ for 120 minutes ($\langle d \rangle = 38\mu\text{m}$) [4] and $1385\text{ }^\circ\text{C}$ for 180 min ($\langle d \rangle = 86\mu\text{m}$) [25]. Both
297 MMJ and MBJ samples possess a random texture evidenced in the IPF map in **Figure 5a-5b**, in
298 addition to a large fraction of $60^\circ \langle 111 \rangle$ annealing twins are present.

299 **Figure 6a-6b** shows representative images of porosity distribution for MMJ and MBJ samples.
300 As expected, MMJ SS316L has more, but smaller pores compared to the MBJ sample as shown
301 by the cumulative distribution function (CDF) plots in **Figure 6c**. This is due to sintering of sub-

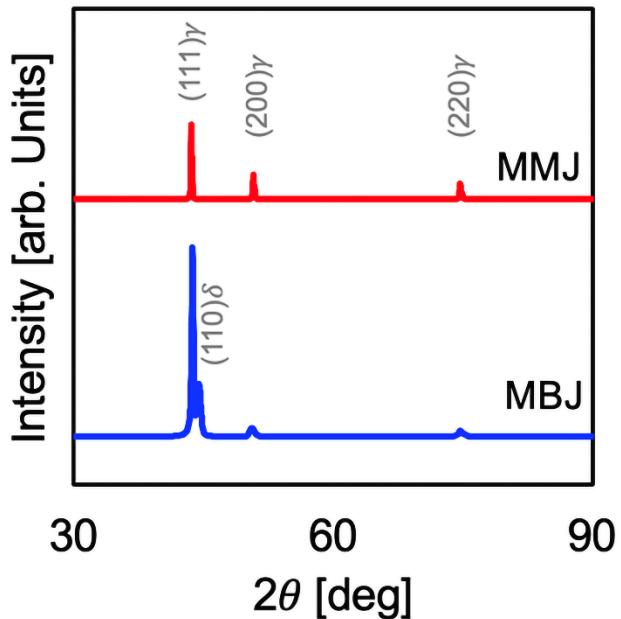
302 micron powders, as pores not closed from during the grain growth stages will typically remain at
 303 grain boundaries (GBs). In comparison, the average pore area in the MMJ samples is $0.47 \mu\text{m}^2$
 304 versus $3.43 \mu\text{m}^2$ for the MBJ sample. These small values of porosity confirm that the sintering
 305 conditions in this study enabled the samples to reach sufficiently high areal densities (**Table 2**).
 306 **Figure 7** presents XRD data for both samples; here MMJ shows a single phase, face centered cubic
 307 (FCC) structure, while MBJ sample shows both FCC structure and evidence of delta ferrite.
 308 Overall, except for fine grain sizes, the MMJ microstructure shows similar morphology and
 309 distribution of features as other sinter-based materials densified using solid-state sintering.



310
 311 **Figure 5.** Post sintered microstructure of: (a) MMJ SS316L and (b) MBJ SS316L. (c) CDF of
 312 grain size distributions for MMJ (red) versus MBJ (blue).
 313



314
 315 **Figure 6.** Images of porosity of (a) MMJ and (b) MBJ samples sintered at 1365 °C for 4 hours. (c)
 316 Representative empirical CDFs of porosity for MMJ versus MBJ.
 317



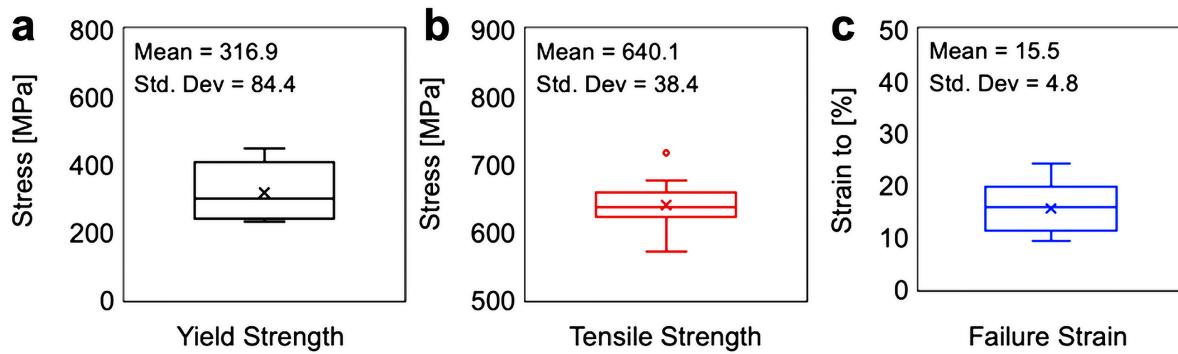
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Figure 7. XRD of post-sintered MMJ and MBJ SS316L samples.

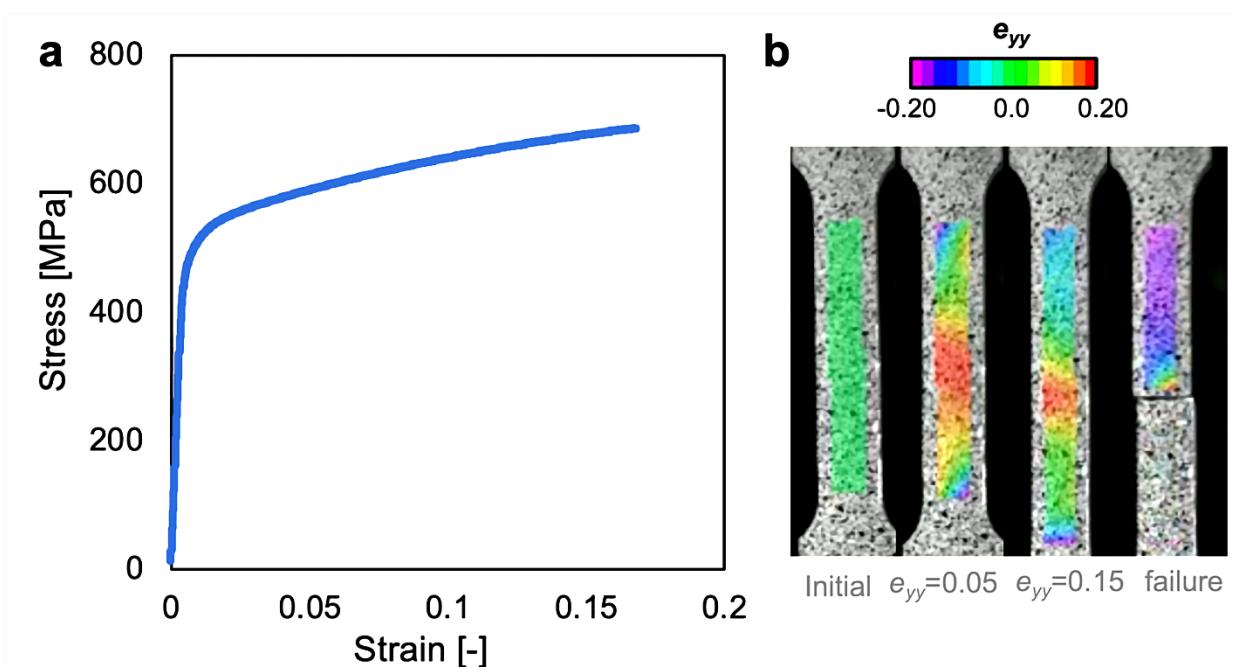
321 *3.3 Tensile Behavior*

322 **Figure 8** presents box plots for yield strength, ultimate tensile strength, and strain to failure
 323 data for the MMJ samples tested under uniaxial tension. It is readily apparent that MMJ samples
 324 possess an increased average yield strength ($\sigma_y = 316.9$ MPa) and ultimate tensile strength (UTS),
 325 $\sigma_{UTS} = 640$ MPa, compared to MBJ samples in the literature (yield strength: 191 MPa, 226 MPa,
 326 160 MPa, and 215 MPa and UTS: 544 MPa, 575 MPa, 450 MPa, 535 MPa in references [4,5,6,7]).
 327 Remarkably, the high yield strengths and UTS of MMJ samples are on the lower bound for laser-
 328 processed SS316L, such as directed energy deposition (DED) [28–30], where strengthening
 329 mechanisms are largely governed by sub-grain cellular structures and high dislocation densities
 330 that occur under rapid solidification processing [28,31]. However, MMJ samples show a
 331 significant reduction in average strain to failure ($\varepsilon_f = 15.5\%$) compared to what has been reported
 332 on MBJ SS316L (~40-90%) in the literature [28,31]. This is shown by the DIC images in **Figure**
 333 **9**, denoting a flat fracture surface indicative of brittle fracture under tensile loading. These results

334 suggests that unique microstructures created using MMJ suffer from the traditional strength-
335 ductility tradeoff.



336
337 **Figure 8.** Box plots showing mean and standard deviation of: (a) Yield stress, (b) ultimate tensile
338 strength, and (c) strain to failure for MMJ tensile samples for twelve samples.
339



340
341 **Figure 9.** (a) Representative engineering stress-strain curve of MMJ SS316L under uniaxial
342 tension loading. (b) DIC images showing strain localization within the gauge region.
343

344 4. Discussion

345 4.1 Densification of micron and nano sized powders

346 Densification is the process of pore elimination during solid-state sintering to achieve a density
347 close to theoretically fully density, as the material density without any pores. The main mechanism
348 of densification is pore elimination which is the result of a combination of different mass transport
349 mechanisms such as lattice and grain boundary diffusion. The most widely used model for solid
350 state sintering is that of Coble [48], in which the author classified sintering as a three-stage process;
351 initial, intermediate, and final. The bulk of densification is believed to occur during the
352 intermediate stage.

353 For micron-sized powders, the initial stage of sintering begins with contact formation and neck
354 growth. As temperature increases and depending on whether there is external pressure being
355 applied, powder particles form contacts. As the temperature is further increased these contact
356 points begin to grow due to mass being deposited at this junction from the particle surface through
357 surface diffusion. It must be noted that surface diffusion does not contribute to the eventual
358 densification. In MBJ of SS316L, this is manifest in the initial strength that is gained after binder
359 burn off to obtain the brown sample, which is strong enough to withstand handling stresses. The
360 intermediate and final stages are characterized by pore channeling and pore isolation and rounding
361 respectively. Densification is achieved as the particle center-to-center distance shrinks due to mass
362 diffusion across grain boundary via grain boundary and lattice diffusion.

363 For nanoparticles, however, most of the concepts from Coble's development do not apply
364 directly. At the nanometric scale, most of the arguments from the two-spherical particles
365 perspective may cease to hold. Particle size varies rapidly during nanosintering, and factors like
366 agglomeration and green density nonuniformity make it challenging to sustain microstructural
367 evolutions. Due to its linear equation origins, the standard scaling law may not apply to
368 nanosintering. Nonlinear diffusion behavior of nanosintering and size-dependent diffusion

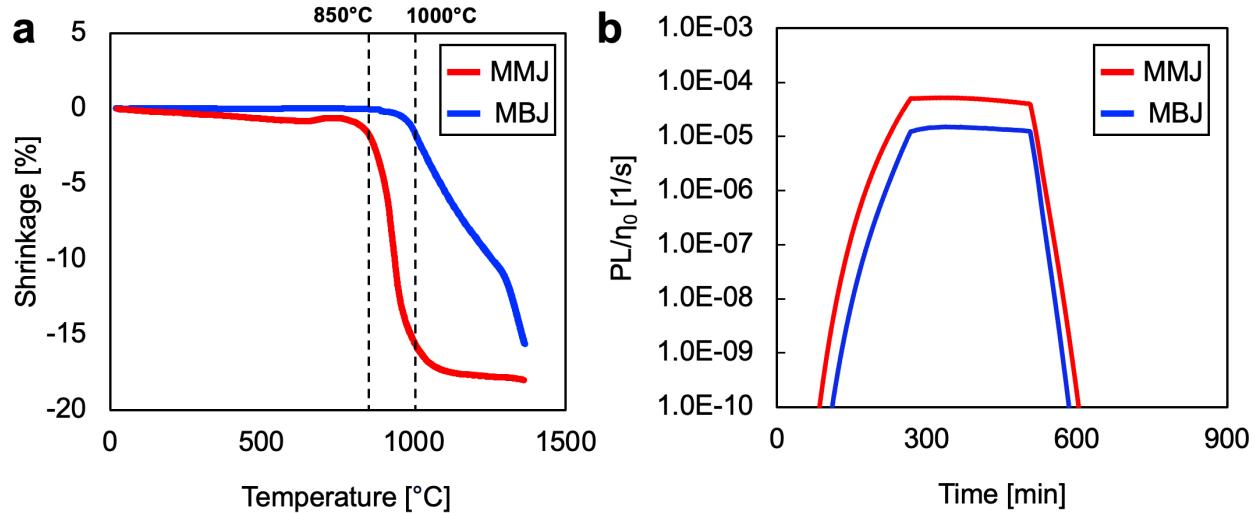
369 activation energies affect the diffusion flow expressions [54]. Hence, most of the literature on
370 solid-state sintering of nanoparticles are qualitative results from experimental observations
371 [23,49]. For example, J.W. Oh et. al found that sintering of Fe nanoparticles resulted in a double
372 peak response on a shrinkage rate versus temperature plot which they attributed to nanoparticle
373 agglomeration. Thus, the densification of nanoparticles is two-fold, first, the elimination of intra-
374 agglomerated pores followed by those of inter-agglomerates. The former involves the removal of
375 pores within individual agglomerates or clusters whereas the latter deals with the removal of pores
376 between several contacting agglomerates of nanoparticles. It is the authors' belief that the observed
377 dip in the shrinkage of MMJ in **Figure 10** is a manifestation of the double peaks observed in
378 [23,49], although further analysis is warranted.

379 *4.2 Sintering rate and driving force for densification*

380 It is readily observable that the unique microstructure and mechanical behavior of MMJ
381 SS316L is linked to a distinctive sintering response. In particular, significant dissimilarities in the
382 MMJ sintering response can be observed in the early stages of sintering. This can be seen in **Figure**
383 **10a**, which compares the experimental shrinkage versus the temperature for MMJ and MBJ.
384 Interestingly, the MMJ sample undergoes significant shrinkage between 850 and 1,000°C,
385 reaching a value of 15% at 1,000°C (or ~81% of the maximum expected shrinkage) compared to
386 1.5% shrinkage for MBJ sample at 1,000°C. This behavior can be expected, as sub-micron
387 powders possess a substantially increased surface area (and thus surface energy), which is a key
388 driving force for sintering [32]. Also, sub-micron powders typically possess lower sintering
389 activation energy, the minimum energy required to initiate the sintering process [23]. This is a
390 one factor in why nanoscale powders possess reduced sintering temperatures and increased driving
391 forces for densification compared to micron-sized powders [12].

392 To better understand the mechanisms behind enhanced MMJ shrinkage rates, the continuum
393 sintering model was employed. In this model, the overall shrinkage is linearly related to the
394 effective sintering stress, defined the change in free surface energy with respect to the volumetric
395 shrinkage, and inversely related to the shear viscosity, and normalized bulk modulus which is a
396 function of porosity. Importantly, this sintering stress is linearly correlated to the specific surface
397 energy, the square of the initial density, and the inverse of the average powder particle radius.
398 Therefore, the use of sub-micron powders in MMJ can be expected to result in a substantial
399 increase in sintering stress, which is the driving force for densification [33,34]. The MMJ's
400 sintering stress also benefit from the early densification, thanks to the sub-micron sizes and lower
401 activation energy. The quadratic dependence of the sintering stress on the relative density implies
402 that the higher earlier densifications also complement the large overall sintering stress observable
403 in the MMJ samples. For large powders, the sintering stress is too small to overcome inherent
404 compact strength that resists densification [27,33,35]; however, the reduction of powders from
405 micron to sub-micron sizes can lead to an order of magnitude increase in the sintering stress [36].
406 This is shown in **Figure 10b**, which compares the sintering rate e.g., the sintering stress normalized
407 by the shear viscosity $\sigma P_L/\eta_0$ (units of $1/s$) for MMJ and MBJ samples. It can be readily observed
408 that the sintering rate for MMJ sample is approximately 3-times greater than the MBJ sample
409 (**Figure 10b**). Therefore, this is the most likely explanation as to why the MMJ observed enhanced
410 shrinkage rates compared to the MBJ samples. Overall, the model confirms that the substantial
411 enhancement in shrinkage rate in the MMJ samples is due to sub-micron powder sizes. These
412 results also suggest that MMJ samples could be sintered to full (or near full) density at significantly
413 lower temperatures, therefore reducing the potential for part distortion of complex shapes or the
414 formation of undesirable delta ferrite (δ) which is stable in austenitic stainless steels in the

415 temperature range 1205–1438°C [37] (**Figure 7b**). Additional insight into the differences between
 416 the sintering behaviors of sub-micron powders compared to traditional micron-scale powders are
 417 warranted, such as the potential reduction of activation energy or promotion of super-solidus
 418 sintering due to reduced melting temperatures [12,25].



419
 420 **Figure 10.** (a) Shrinkage versus temperature (b) simulated sintering rate versus time and for MMJ
 421 and MBJ samples.
 422

423 4.3 Simulated porosity evolution and grain growth

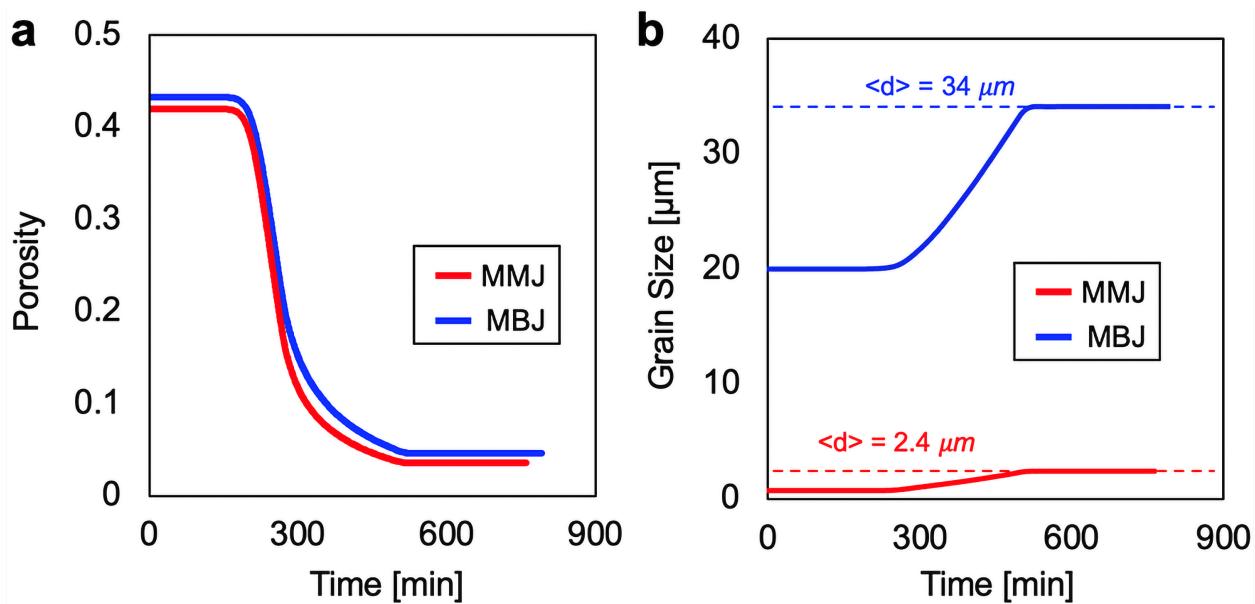
424 As covered above, MMJ SS316L possesses unique process-microstructure relationships due to
 425 the use of sub-micron powders. It is well-known that microstructure is intimately linked with
 426 mechanical properties, in particular defect distributions and grain size/morphology [38–40]. To
 427 better assess the relationships between shrinkage behaviors and microstructure formation in MMJ,
 428 the continuum sintering model was used to evaluate the evolution of porosity and grain size.
 429 **Figure 11a** presents the change in porosity with time for the MMJ and MBJ samples. Interestingly,
 430 these curves follow the same trends and possess similar magnitudes. In more detail, the MMJ
 431 sample starts with slightly lower but albeit negligible porosity compared to the MBJ sample (42%
 432 versus 43%, respectively). The model predicts a final porosity value of 3.5% and 4.6% for MMJ
 433 and MBJ samples which are very close with the final experimental density values in **Table 2**

434 (~97% and ~96% for MMJ and MBJ respectively). Overall, the model for MMJ SS316L
435 reproduces well-known trends in sinter-based AM that smaller values of initial porosity result in
436 higher densities after sintering. However, the evolution of porosity does not capture the enhanced
437 shrinkage (sintering) rate of MMJ SS316L observed experimentally as shown by the similar
438 porosity evolution curves.

439 In comparison, simulated grain growth behaviors in **Figure 11b** show significant deviation
440 between MMJ and MBJ SS316L. Considerably less grain growth is observed for MMJ samples
441 (~30% increase in average grain size) versus ~60% increase in average grain size for MBJ.
442 Interestingly, the model predicts that grain growth in MMJ begins at ~230 minutes (1150 °C)
443 which can be seen from the grain growth-temperature vs time plot in **Figure 11b**, which agrees
444 with experimental shrinkage curves in **Figure 10** that shows minimal shrinkage beyond this
445 temperature signifying that grain growth as opposed to densification is occurring. However, the
446 model predicts grain growth for the MBJ sample begins at 280 minutes (1365 °C) at which point
447 densification has commenced. This is in line with the findings of [38–40] for SS316L fabricated
448 via powder metallurgy and MBJ. Grain growth for both samples commence at approximately 502
449 minutes (1365°C), resulting in an approximate (linear) grain growth rate of $1.025\text{E-}10^{-10} \text{ m}\cdot\text{s}^{-1}$ and
450 $1.05\text{E-}10^{-9} \text{ m}\cdot\text{s}^{-1}$ for MMJ and MBJ, respectively. A potential reason for the enhanced grain growth
451 rate of MBJ compared to MMJ may be Zener pinning mechanism, however additional analyses
452 are warranted.

453 However, care must be taken when directly comparing simulated MMJ to MBJ behaviors for
454 microstructure development, as internal powder compact behaviors are intimately linked to
455 sintering mechanisms that may differ between sub-micron versus micron-scale particles. For
456 example, as mentioned in Section 4, although nanoscale powders are known to possess reduced

activation energies, the fitting resulted in a larger grain growth activation energy (Q_G) that was used in this study for MMJ samples. It is deduced that the incorporation of lower apparent and grain growth activation energies could potentially capture the difference in shrinkage effects seen before 850 °C in MMJ (**Figure 10a**). Notwithstanding, determinations of activation energy are at best estimates, as they depend on a wide range of factors such as material, temperature, diffusion mechanism, geometry, rate of heating, etc. As such a combination of experimental, numerical, and sometimes analytical approaches is used to obtain estimates, and that is beyond the scope of this study. Overall, further investigations are necessary to identify the specific sintering mechanisms for sub-micron MMJ powders. For example, novel techniques surrounding the use of *in situ* electron and x-ray microscopy [41,42] show great promise in directly mapping sintering mechanisms through direct measurement of powder properties (i.e., neck growth, dihedral angles, etc.) during sintering. These properties can then be used to identify which mechanisms are dominant via standard sintering relationships [43,44]. Overall, such these analyses could provide important insight on local powder compact behaviors and provide important data for simulation parameter identification and should be considered as future studies.



472

473 **Figure 11.** Simulated (a) fractional porosity and (b) grain growth versus
474 MBJ samples.
475

476 *4.4 Strengthening mechanisms and effect of porosity on tensile behavior*

477 The increased yield strength in MMJ is largely due to grain size strengthening and can be
478 elucidated using the typical Hall-Petch relation, where a decrease in grain size is accompanied by
479 the increase of grain boundaries that present obstacles preventing dislocation motion [45].
480 However, materials densified using solid state sintering generally suffer from high levels of
481 incident porosity that significantly reduce yield stress; indeed, this is one of the key explanations
482 as to why MBJ materials suffer from reduced flow stresses compared to wrought and other AM
483 technologies [2]. To assess the competing role of grain size (d) strengthening and porosity volume
484 fraction (f) on the yield strength (σ_Y) in MMJ, an empirical relation based on Hall-Petch equation
485 recently employed with good success for MBJ SS316L was used, namely: $\sigma_Y = \sigma_{Y,0} \cdot \exp(-6.5f)$
486 [4,14]. Here, the initial yield stress $\sigma_{Y,0} = \sigma_0 + Kd^{-\frac{1}{2}}$ is obtained using the well-known Hall-Petch
487 relation (where $\sigma_0 = 188$ MPa and $K = 275$ MPa $\mu\text{-m}^{\frac{1}{2}}$ are constants at given strain used in [4] and
488 d is the average grain size). Using an average grain size of 2.4 μm and pore volume fraction $f =$
489 3.183% determined from post-mortem SEM images, a value of $\sigma_Y = 296$ MPa is obtained for the
490 MMJ SS316L material. This is in good agreement with the experimentally measured value of $\sigma_Y =$
491 316.9 MPa (e.g., resulting in only an 6.5% difference between experiment and analytical
492 predictions). These results clearly show that grain boundary strengthening is the dominant
493 mechanism for increased flow stress in MMJ. Furthermore, with other sinter-based technologies,
494 maximal strength gains are reduced by incident porosity. Thus, even though recently sinter-based
495 AM applications have been used to manufacture critical components, additional enhancements in

496 processing and sintering conditions that further minimize porosity and increase flow stress need to
497 be explored for the MMJ components.

498 Comparatively, the reduced strain to failure values of MMJ can also be understood as a
499 function of the distribution of fine grain sizes within the microstructure. Flipon et al. [46]
500 quantified an increase in yield strength and reduction in failure strain for SS316L made by spark
501 plasma sintering (SPS). That study showed that the reduction of grain size from $15\mu\text{m}$ to $0.3\mu\text{m}$
502 led to considerably reduced plastic strain to failure (from 45% for $\langle d \rangle = 15\mu\text{m}$ to 0.15% for $\langle d \rangle =$
503 $0.3\mu\text{m}$). While the behavior in yield strength versus grain size was captured by a linear trend,
504 plastic strains to failure for grain sizes between $1.4 - 3\mu\text{m}$ ranged from 13% to 40%, indicating
505 substantial variability. Although the authors in this study mentioned that variability in plastic
506 failure strain depends on the grain size distribution, they did not provide a potential mechanism
507 for such behaviors. Interestingly, for MMJ SS316L in this study, the average grain size $\langle d \rangle =$
508 $2.4\mu\text{m}$ falls between this range of large failure strain variability discovered in Flipon. This is also
509 evidenced by average failure strains of 15.5%, which is within the 13% to 40% range of Flipon et
510 al. [46]. CDF plots of grain size in **Figure 5c** show that 50% of grains are smaller than the mean
511 of $\langle d \rangle = 2.4\mu\text{m}$. Additionally, CDF plots show heavy lower tail behaviors, evidence of large
512 numbers of fine grains within the microstructure.

513 From the tensile results above, it can clearly be seen that there is a strength-ductility tradeoff
514 for the MMJ sample. This behavior of larger strength vs lower ductility is typical of AM 316L,
515 usually due to the inherent porosity in the sintered parts. The enhancement in ductility that is can
516 sometimes accompany the Hall-Petch strengthening from grain refinement seems to be, at best,
517 minimal in this case. The authors believe the discrepancy stems from the fact that reducing grain
518 size can also help in suppressing local stress concentration resulting from dislocation pile-up and

519 thereby improving ductility. However, in general for single phase materials, reduction in grain size
520 does not imply an increase in both strength and ductility. Hall-Petch relation does not predict
521 increase in ductility with decreasing grain size. In most cases, increase in strength results in a
522 decrease in ductility, which is evident in the case of this MMJ. The lack of apparent ductility from
523 the tensile tests could be attributed to several factors. One possibility is that in fine grained
524 microstructure there is an increased chance of grain boundary sliding, rather than dislocation, that
525 governing the deformation. This curbs the material's ability to deform plastically while adopting
526 a more fracture-type deformation behavior [51]. Another possibility is that, in finer grains, even
527 though yield strength might be enhanced, there is a possibility of elemental (e.g., N, S, O, etc)
528 impurities being trapped at the grain boundaries which could favorably act as cites for crack
529 initiation, leading to the observed post-yield brittle behavior observed in the MMJ [52,53].

530 Although qualitative, the statistical distributions of fine grain sizes in MMJ SS316L indicate
531 the plausibility that large numbers of fine grains do indeed strongly influence the failure strain
532 properties. While more analysis is necessary, the heavy lower tail behaviors and large numbers of
533 fine grains below 3 μ m (e.g., the transition region for failure strain in [46]) are the likely source of
534 ductility loss compared to other sinter-based AM processes in the literature [46,47]. These results
535 also suggest that yield, ultimate tensile strength, and failure strain of MMJ materials can be further
536 controlled by tuning the overall sintering process and post-treatment annealing to regulate grain
537 growth. As such, additional studies on heat treatment in MMJ, although out of the scope of the
538 present paper, are warranted.

539 **5. Conclusions**

540 This study leveraged novel “drop on demand” Metal Material Jetting (MMJ) of sub-micron
541 powders to fabricate SS316L samples subjected to dilatometry, mechanical testing, and correlative

542 materials characterization. These data were compared to Metal Binder Jet (MBJ) SS316L to
543 evaluate differences in process-structure-property relationships between the two processes.
544 Overall, the following conclusions can be drawn from this study:

- 545 • Densification behaviors for SS316L processed by MMJ are largely similar to MBJ under
546 the identical sintering conditions (1365 °C for 4 hours in pure H₂). Overall, part shrinkage
547 (18.5%) and final density (97%) in MMJ SS316L are comparable to MBJ SS316L (20.4%
548 shrinkage and 96% density).
- 549 • Average grain sizes in fully sintered MMJ SS316L (2.4 μ m) are more than an order of
550 magnitude smaller than MBJ SS316L (34 μ m). This results in an average yield strength of
551 312 \pm 84 MPa and ultimate tensile strength 640 \pm 38, drastically exceeding values for MBJ
552 SS316L in the literature. However, the fine grain sizes also resulted in significantly lower
553 average failure strains to mean value of 15.5 \pm 4.8%. This was attributed to the large
554 number of fine grains within the microstructure, which are known to lead to high strength
555 but low ductility.
- 556 • An isotropic pressure-less sintering model agreed well with experimental shrinkage data
557 for both MMJ and MBJ SS316L. This indicates that continuum sintering models, typically
558 employed for micron-scale powders, can also be leveraged for sub-micron powders even
559 though they may densify by different mechanisms. However, simulated behaviors for the
560 evolution of internal powder compact properties (i.e., porosity and grain sizes) showed
561 some discrepancies with shrinkage results and sintering theory. This was attributed to a
562 lack of accurate knowledge of simulation parameters and sintering mechanisms in sub-
563 micron SS316L powders.

564 • Sintering rates for MMJ SS316L are significantly increased compared to MBJ SS316L.
565 Overall, non-negligible powder compact shrinkage began at ~850 °C, and reached 81% of
566 the final shrinkage values by 1000 °C. The reason behind this behavior was characterized
567 as typical mechanisms observed in nanoscale powders, namely: (i) the decrease in sintering
568 temperature and (ii) a three-fold increase in sintering stress compared to MBJ determined
569 via the isotropic pressure-less sintering model.

570 Overall, this study provides important insight into the process-property relationships for sinter-
571 based AM materials using sub-micron powders, which show promise in overcoming traditional
572 strength limitations in MBJ and metal injection molding (MIM) technologies that rely on micron-
573 sized powders. As such, additional experimental insight on process-structure-property
574 relationships for MMJ (and related sub-micron technologies) are needed, such as further
575 understanding on the sintering mechanisms and kinetics to prescribe optimized annealing thermal
576 treatments for MMJ materials.

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