

# Scalable Dynamic Spectrum Access with IEEE 1900.5.2 Spectrum Consumption Models

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**Abstract**—Dynamic Spectrum Access (DSA) is a key mechanism for meeting the ever-increasing demand for emerging wireless services. DSA involves managing and assigning available spectrum resources in a way that minimizes interference and allows RF coexistence between heterogeneous devices and systems. Spectrum Consumption Models (SCMs) - defined in the IEEE 1900.5.2 standard, offer a mechanism for RF devices to: (i) declare the characteristics of their intended spectrum use and their interference protection needs; and (ii) determine compatibility (non-interference) with existing devices. In this paper, we propose a novel SCM-based Spectrum Deconfliction (SD) algorithm that dynamically configures RF operational parameters (e.g., center frequency and transmission power) of a target transmitter-receiver pair aiming to minimize interference with existing devices/systems. We also propose sequential and distributed DSA methods that use the SD algorithm for assigning spectrum in large-scale networks. To evaluate the performance of our methods in terms of computation time, spectrum assignment efficiency, and overhead, we use two custom-made simulation platforms. Finally, to experimentally demonstrate the feasibility of our methods, we build a proof-of-concept implementation in the NSF PAWR COSMOS wireless testbed. The results reveal the advantages of using SCMs and their capabilities to conduct spectrum assignments in dynamic and congested communication environments.

**Index Terms**—DSA, SCM, spectrum management, wireless experimentation, research testbed.

## I. INTRODUCTION

THE rapid growth in wireless technologies, policies, and user requirements is driving the need for more granular dynamic spectrum access in time, frequency, and geographic location [3]. Spectrum is a scarce resource and this has motivated many researchers to develop new spectrum sharing technologies and procedures that aim to achieve high data rates and spectrum use efficiency. Significant effort has also been made to develop co-existence techniques to address interference and the coordinated operation of heterogeneous devices [4]–[6]. For 5G and future wireless systems that support spectrum aggregation and multi-band radio capabilities,

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dynamic spectrum sharing will be a key enabler to support high user traffic demands and service requirements [7].

Given the increasing demand for spectrum from commercial services/networks along with the spectrum use and protection demands of passive users (e.g., radio astronomy) and non-commercial active users (e.g., weather satellite), coexistence and Radio Frequency (RF) interference management are key challenges in the implementation and design of future spectrum sharing mechanisms [8], [9]. Various schemes for managing spectrum use and co-existence have been proposed in the literature. Collaborative spectrum sharing schemes that leverage a dedicated coordination channel for the exchange of spectrum information were proposed in [5], [10]. A well-known centralized scheme for spectrum management is demonstrated by the Spectrum Access System (SAS) developed for the 3.5 GHz CBRS band [11], [12]. The benefits of such centralized architectures are significant, but they suffer from scalability and single-point of failure issues. Moreover, centralized spectrum management can lead to excessive concentration of market power and insufficient local autonomy needed to drive spectrum use innovation.

In contrast, the current Internet architecture is distributed and works well without any central entity [13]. It supports vibrant innovation and competition from a technical and business/market perspective. Motivated by DARPA's efforts on the Spectrum Collaboration Challenge (SC2) and also taking inspiration from the decentralized protocols developed for the Internet, this work presents novel approaches to perform large-scale spectrum deconfliction operations for DSA environments based on the *Spectrum Consumption Models* (SCMs) defined in the IEEE 1900.5.2 standard [14]. An SCM describes the characteristics and boundaries of spectrum usage of an RF device and it facilitates the autonomous and dynamic selection of spectrum resources across a large number of devices/networks that are sharing spectrum to establish non-interfering wireless communication operations. SCMs simplify spectrum use coordination when compared to other DSA methods that solely rely on sensing to avoid interference between RF devices [15].

The main contributions of this paper can be summarized as follows:

- We propose a novel SCM-based Spectrum Deconfliction (SD) algorithm which dynamically configures RF operational parameters (e.g., center frequency and transmission power) of a target transmitter-receiver pair aiming to minimize local and aggregate interference with existing devices/systems.

- Based on the SD algorithm, we develop sequential and distributed DSA methods to assign spectrum to a set of devices within dense large-scale networks.
- We build two custom-made simulation platforms to evaluate the performance of the proposed SCM-based DSA methods in scenarios with a large number of RF devices.
- Finally, to demonstrate the feasibility of our DSA methods, we built a proof-of-concept implementation in the NSF PAWR COSMOS [16]–[18] wireless testbed.

The rest of the paper is organized as follows: Section II provides a brief description of related work on dynamic spectrum access and sharing mechanisms. Section III and IV provide an introduction to SCMs and an overview of the compatibility computation process, respectively. Section V describes the spectrum management architecture underlying our framework and experimentation. Section VI describes our proposed deconfliction algorithm and spectrum access methods based on the use of SCMs. Section VII explains the simulation platform created to assess SCM-based DSA algorithms and presents the performance results for the proposed algorithms. Section VIII briefly discusses our experimentation framework to evaluate DSA with SCMs on the COSMOS [16]–[18] wireless testbed. Section IX offers directions for future experimentation, and Section X concludes the paper.

## II. RELATED WORK

Numerous methods for dynamic spectrum access (DSA) have been proposed and evaluated in the past [19]. In a recent survey by [20], the authors extensively discuss 5G spectrum sharing techniques that utilize DSA, categorizing them based on their architecture (centralized or distributed), spectrum assignment behavior (cooperative or non-cooperative) and method (dynamic exclusive, open access, and hierarchical). The survey covers various spectrum sharing techniques that draw on concepts from game theory, information theory, stochastic modeling, and database-assisted algorithms. Yet, challenges remain in terms of practical implementation, standardization, privacy, compatibility determination methods, and system architectural design. In the past, several centralized spectrum management architectures, such as the Spectrum Access System (SAS) [12] for the 3.5 GHz CBRS band, have also been proposed. While these centralized architectures offer significant advantages, they suffer from scalability limitations, single-point failure risks, and insufficient local autonomy, particularly in larger deployments.

The research described in [21] proposes an opportunistic protocol for coordinating spectrum access between independent and heterogeneous wireless networks. This protocol involves a central Cognitive Radio (CR) terminal which assigns spectrum aiming to establish fairness based on data flows. In [22], the authors propose a message exchange protocol named the Common Spectrum Coordination Channel, which operates in a separate narrow frequency band to allow networks to exchange simple messages to announce their spectrum usage. The performance evaluation of this protocol was conducted through ns-2 simulations. Several similar ideas were discussed in [23]–[25]. In the context of 5G, the work

in [26] introduced a virtual currency-based non-cooperative negotiation protocol for spectrum access coordination.

In [27], the authors proposed SMAP, a distributed spectrum management architecture that utilizes a policy-driven approach and an aggregated radio map for exchanging spectrum information among peers to assign spectrum. However, the authors did not provide specific details regarding the syntax and schematics of the radio map, and their evaluation was limited to a small topology where nodes were only allowed to adjust their frequencies. In a similar vein, authors in [28], [29] presented a Radio Environment Map (REM) based spectrum access architecture to protect primary incumbents and share the available spectrum. These REMs are constructed from sensor measurements and are used to determine channel availability and estimate interference levels at specific locations of interest. However, these architectures rely on centralized databases, and the methods for determining compatibility among incumbents and secondary users are not standardized. These methods often depend on the tools used to perform spectrum use/occupancy analysis, and their results may be subject to debate by each of the parties involved [14].

Despite the extensive research efforts dedicated to enabling the coexistence of diverse networks in the same spectrum bands, it should be noted that much of the work has been theoretical, often supported by simulations in tools like MATLAB or ns-3. There are limited scientific papers that evaluate their findings through practical implementations. In our work, we aim to address this gap by evaluating the performance, scalability, and feasibility of our proposed SCM-based deconfliction algorithm and spectrum access methods for coordinating spectrum use in dynamic and dense communication environments. We use a custom simulation framework to assess our solution and further validate it by implementing a use-case scenario on the COSMOS [16]–[18] testbed.

## III. SPECTRUM CONSUMPTION MODELS (SCMs)

SCMs provide an information model that can capture the boundaries of the use of spectrum by RF devices and systems so that their compatibility (i.e., non-interference) can be arbitrated by efficient and standardized computational methods [30]–[32]. The information captured in SCMs allows for the efficient determination of aggregate interference levels and aggregate compatibility between many devices.

The IEEE 1900.5.2 standard [31] for modeling spectrum consumption specifies 11 constructs for an SCM:

- 1) **Reference power:** This value provides a reference power level for the emission of a transmitter or for the allowed interference in a receiver. It is used as the reference power value for several other SCM constructs (i.e., spectrum mask, underlay mask, and power map).
- 2) **Spectrum mask:** Data structure that defines the relative spectral power density of emissions by frequency.
- 3) **Underlay mask:** Data structure that defines the relative spectral power density of allowed interference by frequency.
- 4) **Power map:** Data structure that defines a relative power flux density per solid angle.

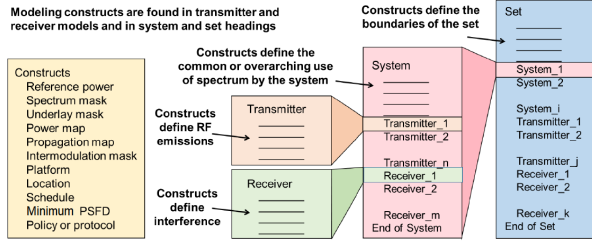


Fig. 1: Spectrum Consumption Model (SCM) types.

- 5) **Propagation map:** Data structure that defines a path loss model per solid angle.
- 6) **Intermodulation mask:** Data structure that defines how co-located signals generate intermodulation products in a transmitter or receiver.
- 7) **Platform name:** A name or list of names of platforms that are attributed to a particular site (i.e., ship, airplane, etc.). They are useful in identifying when multiple systems are co-located.
- 8) **Schedule:** Construct that specifies the time in which the model applies (start time, end time). Periodic activity can also be defined.
- 9) **Location:** The location where an RF device may be used. Several types of locations and trajectory/orbit descriptions are supported.
- 10) **Minimum power spectral flux density:** A power spectral flux density that when used as part of a transmitter model, implies the geographical extent in which receivers in the system are protected.
- 11) **Policy or protocol:** A named protocol or policy with parameters that define behaviors supported by a device or systems that allow different systems to be co-located and to coexist in the same spectrum.

These constructs can be used to build different types of SCMs that follow an aggregation hierarchy as shown in Fig. 1. It is worth noting that depending on the type of model and its purpose, not all constructs are required. Fig. 1 shows the relationships between different types of SCMs as defined in the IEEE 1900.5.2 standard [31]. A transmitter model captures the extent of RF emissions of an active radio device, including but not limited to: spectral emission mask, propagation map, antenna radiation pattern, possible locations of the device, and times of operation. A receiver model conveys what is harmful interference to an RF device, providing a limit to the aggregate interference that transmitter devices can cause to a receiver in the temporal, spatial, and spectrum dimensions. System models are a collection of transmitter and receiver models that collectively capture the spectrum use of an RF system. An SCM set is a collection of system, transmitter, and receiver SCMs. SCM sets can also be used to structure lists that describe the spectrum that is available for use (spectrum authorization sets), identify constraints to spectrum use (spectrum constraint sets), and list the spectrum being consumed (used) by a group of systems and devices (collective consumption set).

#### IV. COMPATIBILITY COMPUTATIONS WITH SCMS

The IEEE 1900.5.2 standard not only defines the constructs for SCMs, but also specifies a method for determining the compatibility of spectrum use between devices or systems that have expressed their spectrum use boundaries through SCMs [30], [31]. We refer to this method as the Compatibility Test (CT) computation. Two or more RF devices/systems are compatible if their transmitter models do not violate the interference boundaries of any of the receiver models. The CT computation takes into account the locations of the devices being assessed for compatibility, as well as any overlaps in their spectrum utilization in terms of time and frequency. In general, the information conveyed by SCMs determine the details of a link budget computation that is used to assess if a particular transmitter will interfere with a receiver.

##### A. Compatibility of a single transmitter-receiver pair

In its most basic form, the CT computation is designed to assess whether a transmitter model is compatible with a receiver model. The CT process begins by checking if the SCMs overlap in both time and frequency. If no overlap is found, the devices are deemed to be compatible. However, if there is an overlap, the evaluation continues. The IEEE 1900.5.2 standard describes how to compute the power spectral flux density (PSFD) from the transmitter at the location of the receiver and the corresponding maximum allowed interference power for the receiver. If the transmitter's power at the receiver's location does not exceed the receiver's maximum allowed interference power, the devices are declared compatible. The difference between the maximum allowed interference power and the transmitter's power at the receiver's location is referred to as the power margin. This power margin can be used to determine the extent to which the transmitter's power could be increased, if necessary (e.g., for coverage expansion), while still maintaining compatibility with the receiver. On the other hand, the power margin can be used to calculate the amount of attenuation required at the transmitter to achieve compatibility if the devices are initially determined to be incompatible [33].

##### B. Compatibility for multiple devices

In situations where multiple transmitters and receivers may interfere with each other, the CT is based on the computation of the *aggregate interference* caused by the transmitters under consideration at a particular receiver. Aggregate compatibility is achieved when the aggregate interference at every receiver under consideration is below each receiver's maximum allowed interference power. The IEEE 1900.5.2 standard provides guidelines and a method for computing aggregate compatibility using SCMs for scenarios involving multiple transmitters and receivers [33]. When the locations of transmitters and receivers are fixed, the CT for a single transmitter-receiver (Tx/Rx) pair can be extended to cases with multiple transmitters and receivers relatively easily. However, when there is mobility involved, finding the most constraining configuration for a specific receiver becomes necessary before evaluating compatibility. This configuration should be a feasible positioning and configuration of all devices in the scenario



that maximizes the aggregate interference on that receiver [33], [34]. In this work, we focus on static devices, and mobility-related issues are left for future research.

## V. SPECTRUM MANAGEMENT ARCHITECTURE AND PROTOCOL

In this section, we provide a description of the architecture and protocol used for the coordination of spectrum use between multiple independent networks by utilizing SCMs.

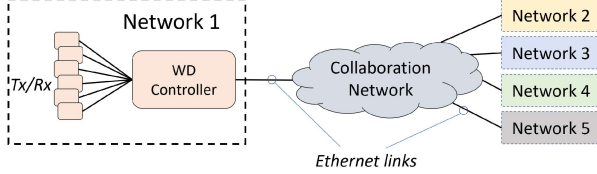


Fig. 2: Network architecture overview.

Fig. 2 shows the layout of the architecture we used for our analysis. We consider that several wireless networks want to operate around the same geographical area and will collaborate to deconflict their spectrum use so that none of the devices within each network interfere with those of other networks. Since each network can be managed by different entities and use different wireless transmission protocols, we refer to them as Wireless Domains (WDs). The network/wireless domain interaction language for our spectrum management procedures was built on top of DARPA's implementation of CIL (Collaborative Intelligent Radio Networks Interaction Language). Each network has a designated node, a wireless domain WD controller, which uses our version of CIL to communicate with other network's WD controller. The controller helps carry out the necessary computations to identify spectrum resources that are available and prevent any interference with other networks operating in the same spectrum. Originally, CIL was designed as a PUB-SUB message queuing system, and in our framework, we introduced several adaptations and improvements to support SCMs. For high performance and efficiency of the messaging queuing service, Google's Protocol Buffers (protobuf) are used to convert all supported messages into binary blobs. The SCMs constructs are represented using standard data types such as double (example for frequency or power), timestamp (example for schedule), etc. in the protobuf files. The message types were adapted to support the exchange of SCMs and compatibility reports between the WDs. An example of the interactions between WDs using CIL is shown in Fig. 3. The regional aggregator (RA) helps maintain the topology between multiple WDs and aggregates SCM information. Some of the messages used in the CIL protocol for spectrum coordination and the exchange of SCMs are listed below.

- `Register()`: Generated by a WD controller to register with the regional aggregator
- `Inform()`: Regional aggregator informs newly joined WD about existing WDs in the network
- `Notify()`: Regional aggregator notifies existing WDs about the newly joined peer WD
- `SCM_request()`: Message to request SCMs from the peer WDs

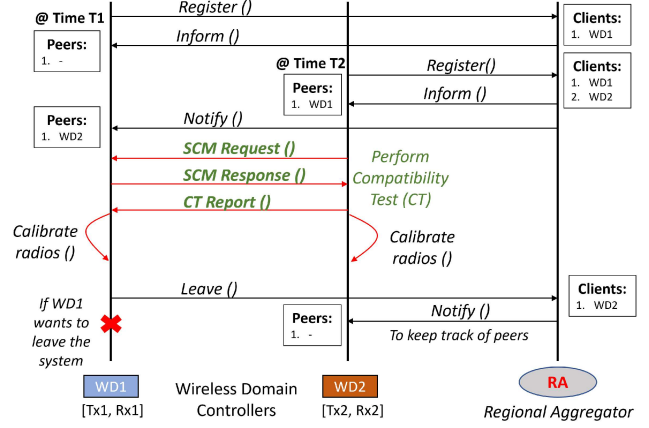


Fig. 3: Network interaction language timing diagram [1].

- `SCM_response()`: Reply message to send SCM to a requesting peer
- `CT_report()`: Send CT report to peer WDs
- `Calibrate_radios()`: Message to change the configuration parameters of Software-Defined Radios (SDRs) to a specific gain, frequency, modulation, etc.
- `Leave()`: Generated by WD when exiting the system

## VI. DYNAMIC SPECTRUM ACCESS WITH SCMS

In this section, we describe a novel SCM-based spectrum deconfliction algorithm and a set of spectrum access methods that leverage the proposed algorithm to deconflict spectrum use of networks with a large number of RF devices. The algorithm leverages CIL and SCM to dynamically determine the transmission parameters of the devices, particularly their central frequency and transmission power levels, in order to achieve *aggregate compatibility*. We further propose two spectrum access methods that use the SD algorithm, namely, 1) **LCS** - **Logically Centralized Sequential** spectrum access and 2) **LND** - **Local Neighborhood based Distributed** spectrum access. For sequential spectrum access, we compute the aggregate interference from *all* transmitters at each receiver and monitor it using a centralized registry, whereas for distributed spectrum access, aggregate compatibility is only ensured on a peer-to-peer basis. Table I provides a brief comparison between the sequential and distributed spectrum access methods as proposed in this work.

TABLE I: Comparison between sequential and distributed DSA methods.

| Features                     | Sequential (LCS)      | Distributed (LND)              |
|------------------------------|-----------------------|--------------------------------|
| <b>Spectrum Assignment</b>   | One-by-one            | Parallel                       |
| <b>Required SCMs</b>         | All existing devices  | Only assigned peers            |
| <b>Compatibility tests</b>   | All devices           | Peer devices                   |
| <b>Algorithm backoff</b>     | Based on priority     | Timers and priority            |
| <b>Complexity (in steps)</b> | # of Tx/Rx link-pairs | Chromatic number (lower bound) |

### A. Spectrum deconfliction algorithm

Our methods for the deconfliction of spectrum use for networks having a large number of RF devices are based on

the targeted use of SCM CT computations between new and existing devices. For simplicity, we assume each network is only composed of a single transmitter-receiver pair that has a designated wireless domain (WD) controller, which interacts with other WD controllers for spectrum access. We propose a novel **SCM-based Spectrum Deconfliction (SD)** mechanism, as described in Algorithm 1, with key terms defined in Table II.

The SD algorithm running at the corresponding WD controller requests the SCMs from all existing (i.e. for sequential) or neighboring (i.e. for distributed) link pairs and initializes the following parameters: compatibility score ( $ct\_score = 0$ ), total power margin ( $total_{PM} = 0$ ) and max power margin ( $max_{PM} = 0$ ). The score is increased every time a new Tx or Rx under evaluation is determined to be compatible with a pre-existing RF counterpart (Tx vs. Rx or Rx vs. Tx) and is used to verify the compatibility of the new Tx/Rx link pair with the entire system. In contrast, the power margins are used to assess if small adjustments in the power level of the new transmitter ( $Tx_n$ ) can make it compatible with the existing receivers.

As the WD controller performs CTs between the new

TABLE II: Key Parameters of Algorithm 1.

| Parameter          | Description  |
|--------------------|--|
| $P_{ij}$           | Interference power at $j^{\text{th}}$ RX from $i^{\text{th}}$ Tx |
| $R^*x_{interf}$    | Interference at each Rx from all existing Txs                    |
| $R^*x_{interf\_n}$ | Interference at each Rx from $Tx_n$                              |
| $total_{TP}$       | Total power at $Rx_n$ from all existing Txs                      |
| $power_{MT}$       | Power margin threshold   |
| $max_{PM}$         | Max power margin from all Rxs                                    |
| $curr_{PM}$        | Current power margin   |
| $P_{allowRx_n}$    | Allowable power at $Rx_n$  |

receiver  $Rx_n$  and existing transmitters ( $Tx_1...Tx_{n-1}$ ), if there is compatibility, the WD controller computes and updates the total aggregate interference value ( $total_{TP}$ ) at  $Rx_n$ . With the total aggregate interference value, an aggregate evaluation of compatibility is performed. If  $Rx_n$  is not compatible with the existing transmitters or if the  $total_{TP}$  is greater than the allowable interference power at  $Rx_n$  (identified as  $P_{allowRx_n}$ ), the Tx and Rx pair are moved to a different frequency/channel and the CTs start over (more details on the frequency assignment scheme will be discussed later). On the other hand, if  $Rx_n$  is compatible with the existing transmitters, the WD controller verifies whether the corresponding new transmitter  $Tx_n$  is compatible with the existing receivers ( $Rx_1...Rx_{n-1}$ ). To that end, it computes the interference caused by  $Tx_n$  at the existing receivers and compares it with  $max_{PM}$ . If  $Tx_n$  is compatible with the receivers already operating in the operational area, the WD controller sets up the new link. Otherwise, the WD controller tries to achieve compatibility by decreasing  $Tx_n$ 's power by no more than the value of the power margin threshold ( $power_{MT}$ ). In case the power adjustment fails to maintain reachability (i.e. effective coverage) between  $Tx_n$  and  $Rx_n$ , the WD controller chooses a different frequency channel for the Tx and Rx pair and the compatibility tests start over. At the end of the algorithm, once aggregate compatibility is achieved, the WD controller sets up the link and updates the SCMs of  $Tx_n$  and  $Rx_n$  based on the frequency and power values found to achieve global (scenario wide) compatibility so that they are ready for future CTs. Note that in the case of distributed spectrum access, aggregate compatibility is only ensured among the peer devices, and there is no centralized variable (such as  $Rx^*_{interf}$  and  $Rx^*_{interf_n}$ ) as in the case of sequential spectrum access to update the contribution of the existing and new transmitters to the aggregate interference seen by each receiver.

Regarding frequency assignment: Upon entering the operational area, an RF device attempts operation at a default center frequency  $f_c$  and, if compatibility is not achieved, the device moves to new frequencies in  $\Delta f$  increments until a compatible frequency for the operation of the new Tx/Rx pair is found. The objective here is to achieve high spectrum efficiency by minimizing the number of different frequency channels used. Thus, other approaches to determining  $f_c$  and  $\Delta f$  can be used, but they are left for future research.

#### B. LCS - Sequential spectrum access

In this spectrum access method, WDs allocate spectrum resources sequentially as described in Algorithm 2. The assumption here is, existing devices are given high priority,

**Algorithm 1:** Spectrum Deconfliction (SD) involving aggregate interference, frequency, and power adjustments at  $WD_n$ .

```

1 if LCS then
2   Input:  $WD_n$  collects SCMs from all existing devices
3   Initialize:  $R^*x_{interf} = []$ 
4 else if LND then
5   Input:  $WD_n$  collects SCMs from assigned peer devices
6 Output: Deconflicted  $Tx_n$  and  $Rx_n$  pair
7 Initialize:  $power_{MT}$ 
8 Initialize:  $ct\_score = 0$ ,  $total_{TP} = 0$  and  $max_{PM} = 0$ 
9 for  $Rx_n$  perform CT between  $Tx_1..Tx_i..Tx_{n-1}$  do
10   $total_{TP} = total_{TP} + P_{in}$ 
11  if compatible then
12     $ct\_score = ct\_score + 1$ 
13 if  $ct\_score == n - 1$  and  $total_{TP} \leq P_{allowRx_n}$  then
14   Initialize:  $ct\_score = 0$  and  $R^*x_{interf\_n} = []$ 
15    $R^*x_{interf}[n] = total_{TP}$ 
16   for  $Tx_n$  perform CT between  $Rx_1..Rx_j..Rx_{n-1}$  do
17      $R^*x_{interf\_n}[j] = P_{nj}$ 
18      $curr_{PM} = R^*x_{interf}[j] + P_{nj} - P_{allowRx_j}$ 
19      $max_{PM} = \max(max_{PM}, curr_{PM})$ 
20     if compatible and  $curr_{PM} \leq 0$  then
21        $ct\_score = ct\_score + 1$ 
22   if  $ct\_score == n - 1$  then
23      $R^*x_{interf} = R^*x_{interf} + R^*x_{interf\_n}$ 
24   else if  $max_{PM} \leq power_{MT}$  then
25     Adjust  $Tx_n$  reference power based on  $max_{PM}$ 
26     Update  $R^*x_{interf}$  based on  $max_{PM}$  and  $R^*x_{interf\_n}$ 
27     Verify if the new link is reachable or not
28     if link not reachable then
29       Move  $Rx_n$  &  $Tx_n$  frequency and recompute CTs
30   else
31     Move  $Rx_n$  &  $Tx_n$  frequency and recompute CTs
32 else
33   if  $total_{TP} > P_{allowRx_n}$  and  $ct\_score == n - 1$  then
34     Aggregate interference detected
35     Move  $Rx_n$  &  $Tx_n$  frequency and recompute CTs
36 Update  $Rx_n$  &  $Tx_n$  SCM and setup the link

```

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**Algorithm 2: LCS - Sequential spectrum access**


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```

1 Input: Sequence IDs or priorities of WDs (set  $S$ )
2 Output: Deconflicted Tx/Rx pairs in a system
3 for each  $WD_i$  over the sequence set  $S$  do
4   Obtain SCMs from all existing RF devices
5   Run Spectrum Deconfliction algorithm

```

---

and new devices are added in sequence and their spectrum use is deconflicted and configured to make them compatible with the existing systems. By considering all the SCMs from all the existing devices, sequential spectrum access ensures global compatibility. At first, the links/WDs are prioritized either based on their sequence IDs or certain policies, and the deconfliction is performed using Algorithm 1. The interference by new and existing transmitters at each of the receivers is computed as each CT is performed and stored centrally ( $Rx_{interf}^*$ ) at the regional aggregator. It is then used by the SD algorithm to detect the presence of aggregate interference and to compute the ideal max power margin (line 18 & 19 of Algorithm 1) required to limit the power of the new transmitter. If the network is extensive and intricate, it can be extremely difficult to identify the best sequence for assigning the spectrum. Therefore, we suggest a distributed approach for spectrum assignment, which will be discussed next.

### C. LND - Distributed spectrum access

The spectrum access method described previously, LCS, performs assignments sequentially based on the results of CTs whenever a new pair of RF devices joins the system. However, in the case of large deployments, this approach results in significant waiting times and high computational overhead. To this end, we propose LND, a fully distributed spectrum access method that uses Algorithm 1 (SD) to perform dynamic frequency and power assignments. The coordination between multiple independent WDs is still performed using CIL but with additional messaging types required to support the distributed operation. The WD controller (i.e., the node) only maintains local information, unlike LCS, which uses a regional aggregator to observe the interference at each receiver. In LND, each node computes an interference graph during the bootstrapping phase from the exchange of beacons with its neighbors [35]. To ensure global compatibility, the selection of peers/neighbors is critical. Once the node status and peer list are established, each WD independently assigns spectrum, but only if its assignment does not cause interference to any member of its peer group. In our implementation, the peer group is determined by the proximity (distance based) of each transmitter or receiver to other devices. Looking at the scenario in Fig. 4 as an example, it takes three time steps for LND to resolve conflicts. During the first time step, WD 1 and WD 4, which do not interfere with each other, make their spectrum assignments. At time steps 2 and 3, WD 2 and WD 3, respectively, perform their assignments since they would cause interference if they tried to assign spectrum at the same time as WD 1 and WD 4. The specifics of LND's operations are outlined below.

1) *Node status (or state)* : This determines the current status of the node, hence allowing the node to either wait or perform spectrum assignment. A WD can only be in one of the following states at a given time, and they include:

- *Unassigned*: Spectrum not assigned to the WD
- *Pre-assignment*: WD wants to perform deconfliction
- *Pause*: Temporally pause pre-assignment (if any)
- *Running*: WD currently running SD algorithm
- *Assigned*: WD has completed spectrum assignment

2) *Message exchange types* : In addition to the messages we added to CIL to support LCS, the list of additional coordination messages required to perform distributed spectrum deconfliction with LND include:

- *Node\_status\_request()* : Message to obtain the current node status from a peer node [NSR]
- *Node\_status\_response()* : Response message to an NSR. The reply provides the current status of a WD. If the WD is in the assigned state, an SCM is also included in the reply [NSRp]
- *ACK()* : Message to acknowledge peers after the reception of an NSRp, endorsing that the node will be entering the running state next [ACK]
- *Assigned()* : Message to inform peers on the completion of running the SD algorithm [A]
- *Reset()* : Message to inform peer WDs to perform re-assignment if there are any local changes within the domain of the issuer of the message [RESET]

3) *Timer types* : We also introduce the following list of timers to prevent any contention between the nodes and also to avoid infinite waiting in the particular state.

- *NSR Timer*: Timer for NSRp and NSR to be received from another peer to prevent any conflict and infinite waiting in a pre-assignment state
- *ACK Timer*: Timer for an ACK to be received, required to prevent infinite waiting in the pause state if a peer WD fails to perform spectrum assignment
- *Restart Timer*: Timer for the reception of the Assigned() message from a peer node performing

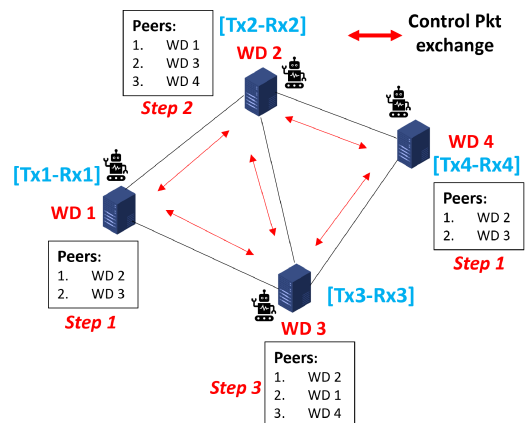


Fig. 4: Illustration of the step-by-step operation of the proposed distributed DSA method (LND).

spectrum assignment to prevent infinite waiting in the pause state after receiving an ACK from that node.

4) *Protocol details* : We describe in detail the state transitions of LND. The assumption here is: after a node performs an assignment, it never changes provided there is no change in spectrum demand by the node and no `Reset()` message has been received.

---

**Algorithm 3:** Pre-assignment state transition

---

```

1 Enter PRE-ASSIGNMENT state
  • If WD needs to perform spectrum assignment
Send NSR and initialize NSRp timer
while Timer expired or all NSRp received do
  | if NSR received from a peer node then
  |   Based on the conflict resolution procedure determine
  |   priority
  |   if Low priority then
  |     Enter PAUSE state, delete timer
Evaluate all the received NSRps
if any NSRp == RUNNING then
  | Enter PAUSE state, delete timer
else
  | Send ACK to the peer WDs
  | Enter RUNNING state

```

---

**a) Pre-assignment:** The WD enters the pre-assignment state if it needs to determine its spectrum assignment. At first, it sends an NSR to its peers and starts the NSR timer. Next, it waits to receive NSRp (for the corresponding NSR) from all its peers. If any of the peers is in a running state, the WD enters the pause state immediately. Moreover, if within the NSR timer window, another NSR from a peer WD is received, based on the conflict resolution procedures described later, the WD may enter the pause state to avoid further conflict in performing spectrum assignment. Finally, if all the NSRps have been received within the timer window and the WD is not in a pause state, it enters the running state to perform spectrum assignment (see Algorithm 3).

---

**Algorithm 4:** Pause state transition

---

```

1 Enter PAUSE state
  • If WD in UNASSIGNED and received an NSR from a peer
  • If WD in PRE-ASSIGNMENT, and received an NSR from
    a high priority peer
  • If WD in PRE-ASSIGNMENT, and received NSRp ==
    RUNNING from a peer
Initialize ACK timer
while ACK timer expired or ACK received do
  | Wait
if ACK timer expired then
  | Enter PRE-ASSIGNMENT or UNASSIGNED state
else if ACK received then
  | Initialize Restart timer
  | while Restart timer expired or Assigned received do
  |   Wait
  | Enter PRE-ASSIGNMENT or UNASSIGNED state

```

---

**b) Pause:** The WD enters a pause state when one of the following events occurs (see Algorithm 4):

- WD in an unassigned state and received an NSR from a competing peer

- WD in pre-assignment state and within the NSR timer window, it received an NSR from a higher priority node (priority based on conflict resolution procedure)
- WD in the pre-assignment state but one of its peers entered the running state

In the pause state, the WD initializes the ACK timer and waits for an acknowledgment from the peer (that is in the running state) performing a spectrum assignment. If the ACK message is received, it initializes the restart timer and waits for an `Assigned()` message. If the assigned message is received or any of the timers (ACK/Restart) expires, the WD enters the pre-assignment state only if it requires an assignment of spectrum, otherwise it stays unassigned.

**c) Running:** A WD enters the running state, if it was in the pre-assignment state before and all the NSRps have been received thus allowing it to proceed with its spectrum assignment computation. The WD executes the SD algorithm and finally issues an `Assigned()` message to its peer WDs when it completes its spectrum assignment computation (see Algorithm 5).

---

**Algorithm 5:** Running state transition

---

```

1 Enter RUNNING state
  • If WD in PRE-ASSIGNMENT and
    received NSRp != RUNNING
Run Spectrum deconfliction (Algorithm 1)
if Complete then
  | Send assigned message to the peer WDs
  | Enter ASSIGNED state

```

---

**d) Example illustration:** Considering Fig. 5, let's assume WD 1 is in a pre-assignment state intending to determine its spectrum assignment. It requests the node status from its peers WD 2 and WD 3. On receiving this request message, the peers, currently in an unassigned state will reply with NSRp conveying their current node status (but will not send any SCMs) to WD 1. Next, WD 1 will acknowledge peers of it entering the running state and performing a spectrum assignment determination. Since in this particular example, none of the peers of WD 1 are in a running state and no SCMs have been received, WD 1 will setup the link with default transmission parameters. Also, various timers as described before are initialized to prevent infinite waiting in the current state. Furthermore, if any of the peers are in an assigned state, WD 1 will use its SCM to perform spectrum deconfliction using Algorithm 1. Finally, when complete, WD 1 issues an assigned message to its peers.

**e) Conflict resolution:** We introduce a procedure to avoid any conflicts between peers performing spectrum assignments at the same time by determining which of the interacting nodes should have precedence. The methods to determine precedence include:

- *ID based:* Select WD with lowest ID (Min-ID) or highest ID (Max-ID)
- *Max-peer:* Select WD having the maximum number of peers
- *Min-peer:* Select WD having the least number of peers



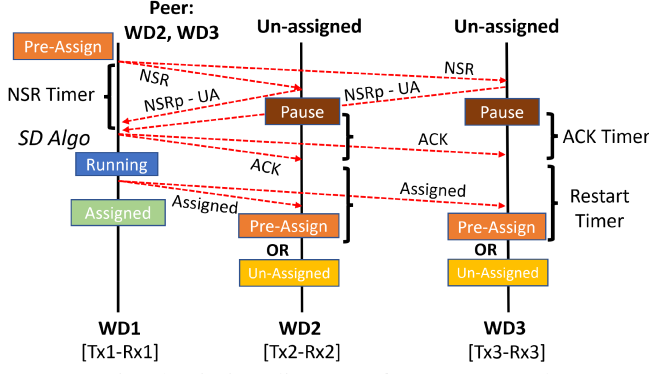


Fig. 5: Timing diagram of LND protocol.

In our work, we use Min-ID to resolve conflict among the peers. Evaluation of the other conflict resolution methods is left for future work.

5) *Complexity*: The LND performs spectrum assignments in steps. In each step, the protocol selects a subset of unassigned WDs that do not interfere with one another (i.e., that are not peers) and allows this subset to perform spectrum assignment simultaneously. Spectrum assignment terminates when all WDs are assigned. A key observation is that WDs can only perform assignment in the same step if they are not peers. From this observation, we draw a connection between LND and vertex coloring which leads to the conclusion that the *minimum number of steps to run LND is given by the chromatic index of the underlying peer interference graph*.

In vertex coloring, colors are assigned to vertices such that no two peer vertices have the same color. The chromatic index is the smallest number of colors needed in the vertex coloring of a graph. Given a vertex coloring of an interference graph, it is always possible to construct an associated sequence of spectrum assignment steps that follows the rules of LND. Specifically, given a vertex coloring with colors  $1, 2, \dots, C$ , LND can select the subset of WDs with color 1 during step 1, then color 2 during step 2, and so on, until all WDs are assigned in the last step  $C$ . Similarly, notice that given an instance of LND, by assigning different colors to the subsets of WDs selected in different steps, it is always possible to construct a vertex coloring. Naturally, the lower the number of colors in a vertex coloring, the lower the number of steps in the corresponding LND. Hence, it follows that the minimum number of steps to run LND in a given interference graph is equal to its chromatic index. It is easy to see that the maximum number of steps to run LND is equal to the size of the graph.

## VII. PERFORMANCE EVALUATION

To evaluate the performance of our proposed SD algorithm and spectrum access methods, we use a Python-based simulator that assigns Tx/Rx pairs to fixed or random locations in a given operational area and then uses Algorithm 1 to deconflict spectrum use. We start our analysis with a fixed topology scenario and then we progress towards more complex random scenarios that include a significant number of radio frequency (RF) devices. For sequential spectrum access, the simulation uses a discrete event-based framework in which

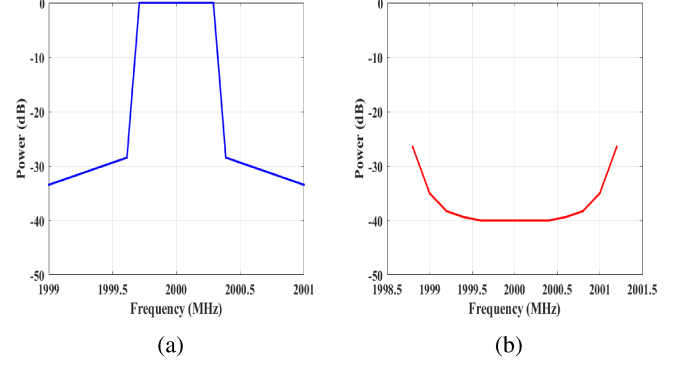


Fig. 6: (a) Tx spectrum mask; and (b) Rx underlay mask.

Tx/Rx pairs join the system one after the other. For distributed spectrum access, the simulation uses an agent-based modeling framework, called MESA [36], in which all Tx/Rx pairs join the system simultaneously (in the beginning of the simulation) and are represented as independent agents making autonomous spectrum assignment decisions. To perform CTs, we leverage Octave code from the Spectrum Consumption Model Builder and Analysis Tool (SCMBAT) [37], which interfaces with our Python simulator using *oct2py* [38].

The parameters for our simulations are summarized in Table III. The SCMs used in the simulator are similar to the SCMs from our experimental work as described in [1], except that, we are limiting the transmit power such that the coverage radius for each Tx is around 100 meters. The structure of the transmitter spectrum mask and the receiver underlay mask used is illustrated in Fig. 6. For simplicity, all transmissions used BPSK modulation with a channel bandwidth of 1 MHz. Omnidirectional antennas were used at the transmitter and in the receiver.

TABLE III: Simulation Parameters [C=used only in complex topology evaluation].

| Parameter                      | Value   |
|--------------------------------|---|
| Operational Area               | 0.5 square mile [C]                                 |
| Min Tx-Tx separation           | 10 m [C]  |
| Tx-Rx separation               | <i>Uniform(10m, 100m)</i> [C]                       |
| Frequency shift ( $\Delta f$ ) | 1 MHz   |
| Power margin threshold         | 3 dB  |
| Number of trials               | 100 [C]   |
| Python version                 | 3.8   |
| Noise floor                    | -114 dBm  |
| Modulation                     | BPSK  |
| Bitrate                        | 0.5M  |
| Machine configuration          | Intel i7-4790 (3.60 GHz)<br>Cores: 8, Memory: 15 GB |

For the fixed topology scenarios, the position of the transmitter and receiver pairs is as illustrated in Fig. 7. For the complex random topology scenarios, the position of the transmitters is chosen uniformly at random in a 0.5 square mile area, subject to the constraint of a minimum distance of 10 meters between any two transmitters. Moreover, the separation between a transmitter and its associated receiver is chosen uniformly at a random interval between 10 and 100 meters. In our simulations, we assume (i) an ideal network/mechanism

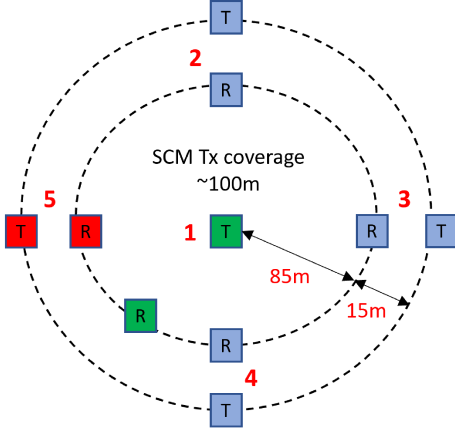


Fig. 7: Fixed topology setup.

for exchanging SCMs; and (ii) each WD is either using spectrum obtained from a previous SD operation or is seeking a new spectrum assignment.

#### A. Fixed topology scenario

In this subsection, we evaluate the spectrum usage of sequential and distributed spectrum access methods by considering a fixed topology composed of five WDs, each having a single  $Tx$ - $Rx$  link-pair as shown in Fig. 7.

Table IV provides various performance metrics such as global compatibility error (CE), system throughput, and step count, for our proposed DSA methods. The throughput per link  $C_i$  (in bits per second) is computed based on the Shannon capacity using the following equations.

$$NP = kTB \quad (1)$$

$$SINR_i = \frac{Power_{signal_i}}{NP + \sum_{n=0, n \neq i}^{N-1} Interf_{ni}} \quad (2)$$

$$C_i = B * \log_2(1 + SINR_i) \quad (3)$$

where  $NP$  is the total noise power at the receiver (in watts),  $k = 1.38 \times 10^{-23}$  is Boltzmann's constant,  $T = 290$  is the temperature (in Kelvin),  $B$  is the channel bandwidth (in hertz),  $SINR_i$  is the Signal to Interference plus Noise Ratio of link  $i$ ,  $Power_{signal_i}$  is the average signal power of link  $i$  (in watts),  $Interf_{ni}$  is the interference at the Rx of link  $i$  from Tx  $n$  (in watts), and  $N$  is the total number of links.

We observe the CE to be 0 % for sequential spectrum access since all devices are considered for deconfliction, but it requires a higher number of step counts to complete deconfliction. The step count improves with distributed spectrum access, as only peer devices are considered and the devices will be performing deconfliction in parallel, but with a high penalty on the global CE.

The CE can be improved further by reducing the Tx power by a very small adjustment value of 0.1dB on top of the power margin (PM) value for the devices performing power adjustments. The main reason behind doing this is to have a small separation margin of error added to the power margin to account for any potential interference which gets missed out by only considering devices in a peer-to-peer basis, unlike in

TABLE IV: Performance evaluation of spectrum access methods for a fixed topology.

| Spectrum access methods                 | Global CE | Throughput (Mbps) | Step count |
|---|-----------|-------------------|------------|
| <b>Sequential</b> (all devices)         | 0%        | 36.88             | 5          |
| <b>Distributed</b> (p=100m)             | 80%       | 33.87             | 2          |
| <b>Distributed</b> (p=200m)             | 40%       | 36.98             | 4          |
| <b>Distributed</b> (p=100m, 0.1 dB Adj) | 40%       | 33.85             | 2          |
| <b>Distributed</b> (p=200m, 0.1 dB Adj) | 0%        | 36.98             | 4          |

sequential spectrum access where a centralized variable is used to monitor all the interference contributions at each receiver. The distributed spectrum access procedure having peer group (p) defined by distance  $p=200m$  and 0.1 dB power adjustment outperforms other distributed schemes, as the compatibility error is found to be 0% and the throughput is close to that of the sequential spectrum access algorithm. Based on the sensitivity of the receiver on how much interference it can tolerate, an appropriate peer group ( $p$  value) should be selected to achieve low CE and efficient computational and spectrum use performance.

#### B. Complex topology scenario

We will compare sequential and distributed spectrum access methods by considering a complex topology also using the same simulation parameters listed in Table III. The evaluation is based on convergence, average step count/computation time, algorithm efficiency, and channel usage. Based on the results provided in section VII-A for a simple topology, a 0.1 dB power adjustment is applied for the analysis of the distributed spectrum access method.

1) *Convergence*: We evaluate the convergence of the LND distributed protocol. From Fig. 8, for a network composed of 100 pre-existing links and a single trial run, we observe that the convergence highly depends on the selection of the  $p$  value. The WDs having a large number of peers (i.e.  $p$  is

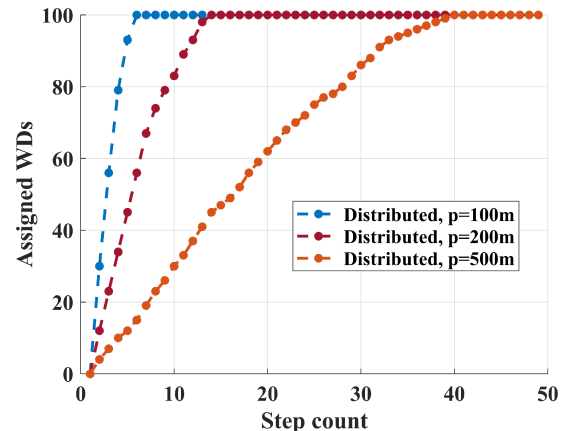


Fig. 8: Convergence of distributed spectrum access for different peer groups (100 links/WDs & 1 trial).

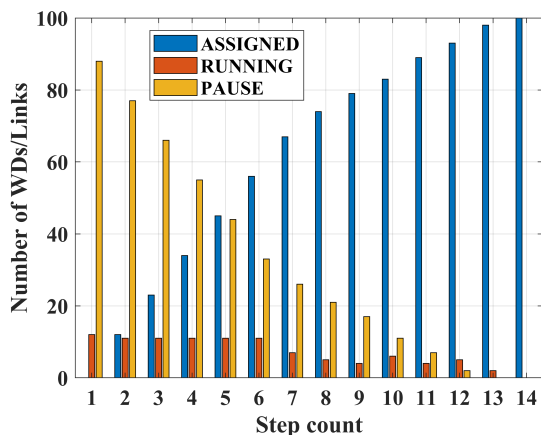


Fig. 9: Histogram depicting WDs in respective states at each time step (100 links/WDs, single trial,  $p=200m$ ).

high) require a higher number of steps to perform assignment as more devices are considered for CT evaluations. As an example, a system of WDs with  $p=100m$  requires 5 time steps compared to 13 steps required to perform deconfliction with  $p=200m$ . Furthermore, Fig. 9 depicts the operation of LND at each time step for 100 WDs and  $p=200m$ . Since all the WDs have the intent to perform spectrum assignment at the same time, we observe a large number of them unable to perform due to conflicts with peer nodes and hence they enter the *pause* state. This situation improves with time, and the WDs enter the *running* state, and once assigned, the WD changes its state to *assigned*. On average, around 8 WDs perform assignments simultaneously at every time step, demonstrating the parallelism achieved by our protocol. Overall, the step count for LND to achieve spectrum deconfliction is observed to be similar to the chromatic number of the peer interference graph when evaluated against standard graph coloring algorithms.

2) *Spectrum usage*: We evaluate the spectrum usage of the sequential and distributed spectrum access methods based on the number of channels used. Considering sequential spectrum access, as shown in Fig. 10a, for 100 trials, the *maximum* number of channels required to configure 10 links is 5 and for 100 links, it is 17. The *mode* of the number of channels required for deconflicting 10, 50, and 100 links are 3, 8, and 13, respectively. With distributed spectrum access, the channel usage depends on the selection of the peer group distance parameter  $p$  (see Fig. 10b and Fig. 10c). With  $p=100m$ , the *mode* for the number of channels required to deconflict 10, 50, and 100 links are 2, 7, and 12, respectively. However, the global compatibility error (as shown in Table V) is highest for  $p=100m$ , implying we need to select a larger  $p$  to reduce it. We also observe that the number of channels used for distributed spectrum access with  $p \geq 200m$  is similar to sequential spectrum access (where all devices are taken into account for CT), which means that for peer group distances of 200m or more, the most significant part of the total aggregate interference from other devices that can impact channel selection is well captured with the help of SCMs.

For a better understanding of the performance behavior for sequential spectrum access, we generated a modified version of LCS that only uses Algorithm 1 to adjust the frequency of

operation of a device to deconflict spectrum use without using power adjustments as a variable to achieve deconfliction. We call this the baseline access method.

In Fig. 11, we compare the channel usage of the sequential and baseline procedures considering a network of 100 links. When looking at the *mode/max* values, a higher number of channels is required for baseline when compared to our proposed scheme since the baseline algorithm just operates on assigning frequencies without adapting Tx power levels. Furthermore, sequential spectrum access without PMT when compared against the baseline algorithm achieves better spectral efficiency since it will achieve a fewer number of aggregate interference situations [2].

3) *Algorithm performance*: We measure several performance metrics such as achieved global compatibility error, average system throughput, average channel usage, and average computation time to validate and compare the efficiency of our proposed algorithms. These metrics are averaged over 30 trials/runs for networks of different sizes and presented in Table V. Next, we briefly describe our results on the compatibility error and system throughput.

a) **Global compatibility error**: Considering distributed spectrum access, we observe that inappropriate selection of peer group distance leads to high compatibility errors and we compute this error with respect to the exhaustive (sequential) case where the evaluation of compatibility is done against all existing devices not just with those covered by the peer group distance. We see that the compatibility error decreases with the increase in  $p$  since more devices will be considered for CT computations, thus allowing us to more accurately capture the impact of aggregate interference at each receiver. Also, a high compatibility error is observed for  $p=100m$ , and the error drops significantly beyond 100m. With  $p=100m$ , the CTs are considering only interference from devices that are within the typical coverage area of each Tx. At greater values of  $p$ , devices that are outside the coverage area that can generate interference to a particular receiver (i.e. hidden nodes) are also taken into account. For sequential spectrum access (and also for baseline), the compatibility error is 0% since all devices are considered for CTs. These results indicate that based on the sensitivity of the new receiver, an appropriate interference graph based on the peer group distance value  $p$  should be determined to reduce the number of CT computations and minimize the probability of error.

b) **System throughput**: We compute the Shannon capacity per link considering a 1 MHz channel bandwidth using the equations as described before (Eq. 2 to Eq. 3) and then aggregated across all the links. The throughput is directly proportional to the channel usage and we observe a high throughput for baseline as it operates only in the frequency domain with high Tx power. Considering sequential spectrum access without power margin threshold (PMT), for 100 links the throughput is found to be 318.71 Mbps. We limit the transmit power of new transmitters based on the PMT and the power margin (PM) obtained from the CT. If a CT indicates that the Tx power of a transmitter, if reduced by an amount that does not exceed the value indicated by the PMT, will lead to compatibility with all previous receivers,

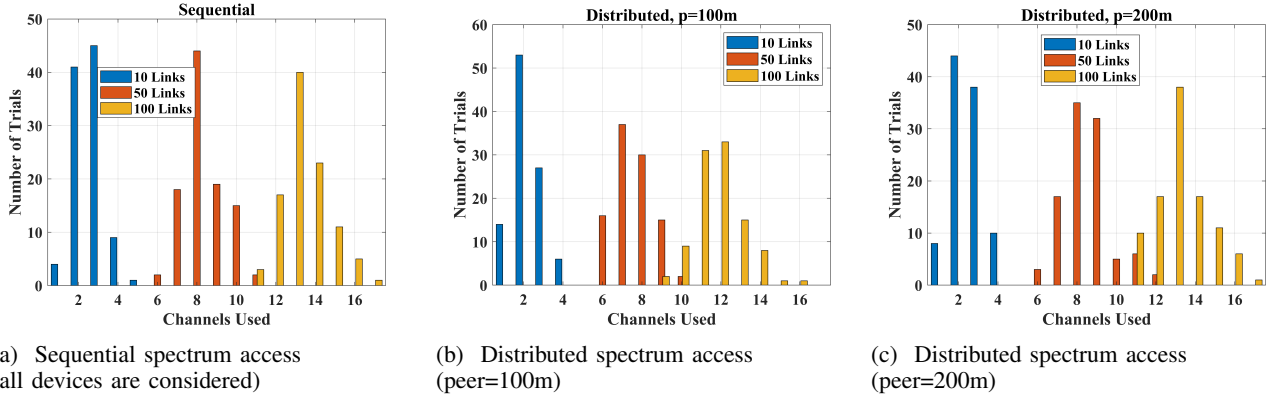


Fig. 10: Channel allocation of sequential and distributed spectrum access schemes for networks of different sizes deployed in a 0.5 sq mile area (averaged over 100 trials).

TABLE V: Global compatibility error (CE%), system throughput (TPT), average channel usage (CH), and average computation time per link (in seconds) for different spectrum access methods, averaged over 30 random trials in networks of different sizes.

| Methods               | 20 Links |            |           |            | 50 Links |            |           |            | 100 Links |            |           |            |
|-----------------------|----------|------------|-----------|------------|----------|------------|-----------|------------|-----------|------------|-----------|------------|
| Performance Metrics   | CE (%)   | TPT (Mbps) | CH (Avg.) | Time (Sec) | CE (%)   | TPT (Mbps) | CH (Avg.) | Time (Sec) | CE (%)    | TPT (Mbps) | CH (Avg.) | Time (Sec) |
| Distributed, p=100m   | 12.16    | 91.93      | 3.9       | 0.01       | 17.86    | 187.87     | 7.66      | 0.02       | 22.9      | 344.06     | 12.26     | 0.04       |
| Distributed, p=200m   | 0        | 96.23      | 4.43      | 0.04       | 0        | 196.38     | 8.3       | 0.08       | 0         | 372.46     | 13.4      | 0.17       |
| Distributed, p=500m   | 0        | 92.96      | 4.46      | 0.15       | 0        | 198.81     | 8.33      | 0.47       | 0         | 363.25     | 13.56     | 1.20       |
| Sequential (no PMT)   | 0        | 88.97      | 4.4       | 0.35       | 0        | 182.16     | 7.9       | 1.15       | 0         | 318.71     | 12.6      | 3.03       |
| Sequential            | 0        | 92.76      | 4.26      | 0.37       | 0        | 204.64     | 8.6       | 1.26       | 0         | 362.76     | 13.66     | 3.25       |
| Baseline (only freq.) | 0        | 94.77      | 4.23      | 0.38       | 0        | 209.43     | 8.73      | 1.30       | 0         | 384.03     | 14.1      | 3.51       |

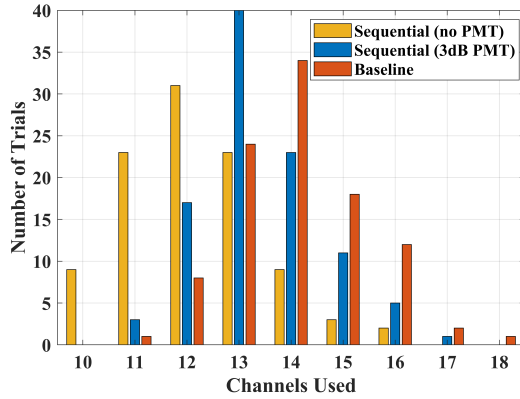


Fig. 11: Number of channels used -Baseline vs. Sequential (100 links & 100 trials).

the adjustment is applied, otherwise, the transmitter will need to move to a different frequency/channel. Without using a PMT value (no PMT), the Tx power of the new transmitter is reduced to an ideal setting where it achieves compatibility with existing receivers and can maintain a link with its intended receiver. Consequently, the Tx power for the device in this case is relatively low and the throughput achieved is lower when compared to other schemes where a 3dB PMT value is considered. Moreover, distributed spectrum access achieves a throughput performance comparable to that of the sequential and baseline access methods.

4) *Step count and computation time*: We evaluate the step count (# of time-steps) and the time required to deconflict spectrum use, i.e., the time to determine compatible parameters for sequential and distributed spectrum access in an opera-

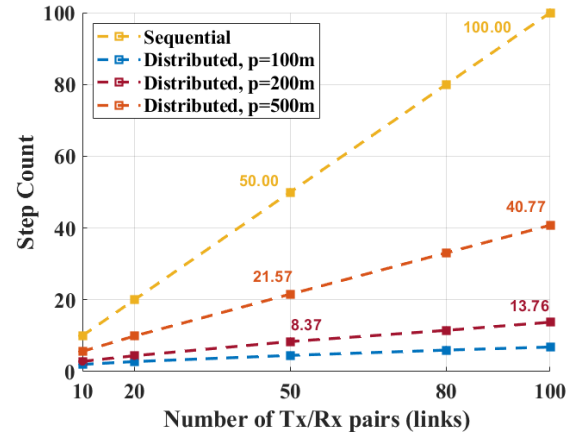


Fig. 12: Number of steps required by sequential and distributed spectrum access methods to deconflict spectrum use.

tional area. The computation time does not include: (i) pauses between the appearance of new link pair instances; (ii) time to turn on the RF devices, which depends on the radios being used; (iii) SCM transmission times, which usually take a few milliseconds.

Fig. 12 and Fig. 13 provide the step count and computation time respectively, averaged over 100 trials for networks with different numbers of Tx/Rx pairs. We observe that distributed spectrum access requires a lower number of steps as compared to sequential spectrum access since multiple WDs will be performing spectrum assignments simultaneously among their non-interfering peers. We also observe that to configure a scenario composed of 20 pre-existing links, sequential requires (on average) 0.37 seconds as compared to 3.25 seconds re-



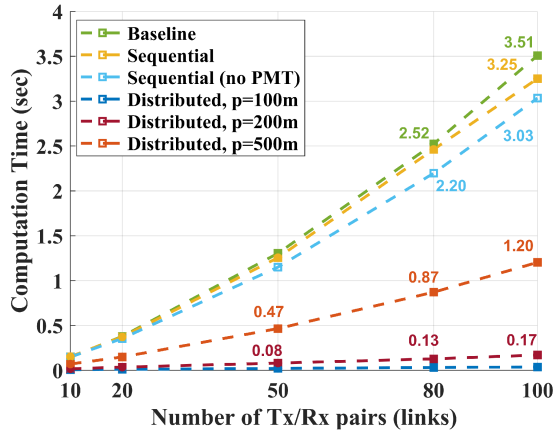


Fig. 13: Average time per link required by sequential and distributed methods to deconflict spectrum use (in seconds).

quired for a network of 100 pre-existing link pairs. Distributed on the other hand requires lower computation time to perform deconfliction, a gain corresponding to 19x is observed with 100 link-pairs and  $p=200m$  as compared to sequential. The increase in computation time with the increase in the total number of Tx/Rx pairs is expected, and it is mainly due to the larger number of CTs that need to be performed. The computation time can be significantly reduced by using more powerful CPUs and selecting appropriate peer groups for the distributed access method.

### VIII. DSA EXPERIMENTATION ON COSMOS

To demonstrate the feasibility of our SCM-based DSA methods, we developed a proof-of-concept experiment in the ORBIT grid [16] which is part of the NSF PAWR COSMOS [16]–[18] wireless testbed. In our experiment, we have three co-located wireless networks, each containing one transmitter-receiver pair, positioned as illustrated in Fig. 14.

TABLE VI: Settings utilized during our proof-of-concept experiment.

| Parameter                    | Value           |
|------------------------------|-----------------|
| Initial Central Frequency    | 2.0 GHz         |
| Bandwidth                    | 1 MHz           |
| Number of Available Channels | 3               |
| Modulation                   | BPSK            |
| Bitrate                      | 0.5M            |
| Gnuradio version             | 3.7             |
| USRP Models                  | x310s and b210s |

Transmitters and receivers are implemented using NI USRP X310 and B210 SDRs. All transmitters use the same spectrum mask and attempt transmissions with a 1 MHz wide channel. WD controllers use CIL message exchanges via an out of band Ethernet interface to relay SCMs and CT reports. GNU Radio scripts are utilized to implement the physical layer functionality and the core logic is implemented in python as a part of the WD controller which executes the SD algorithm. Table VI provides a summary of the experimentation settings. The goal of our experiment is to show that WD controllers can leverage the exchange of SCMs and CT reports to dynamically

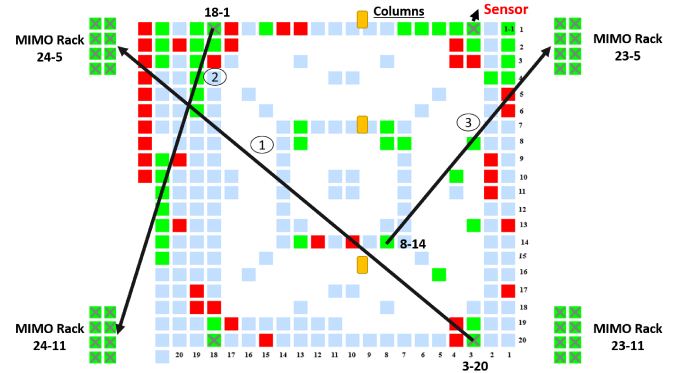


Fig. 14: Map of the ORBIT grid [16] that highlights (using black arrows) the position of the three transmitter-receiver pairs of SDRs utilized during the experiment.

configure the center frequency of the different transmitter-receiver pairs aiming to collaboratively minimize interference.

We start the experiment with no networks occupying the spectrum. At time  $T_1$ , WD 1 joins the experiment and selects the center frequency of 2000 MHz. Notice that as the spectrum was entirely empty, WD 1 could have selected any center frequency. After that, at time  $T_2$ , WD 2 joins the experiment. The Regional Aggregator determines that the networks are in the same wireless collision domain, and notifies WD 1 that a peer is now present. WD 1 and 2 establish a peering relationship and immediately exchange their SCMs and perform compatibility computations. WD 2 determines that it can't use its initial center frequency of 2000 MHz, and selects an alternate center frequency of 1999 MHz. Similarly, at time  $T_3$ , WD 3 joins the experiment and, with the assistance of the Regional Aggregator, WD 3 exchanges SCMs with WD 1 and 2, and performs compatibility tests after which it determines that the center frequency to use is 2001 MHz. Fig. 15 shows the fosphor visualization of the frequency selection of the three networks that joined the experiment at times  $T_1$ ,  $T_2$ , and  $T_3$ . With this experiment, we show that each WD was able to autonomously select a different channel in order to deconflict the spectrum use and avoid harmful interference. For more information, please refer to [1] and/or the video in [39].

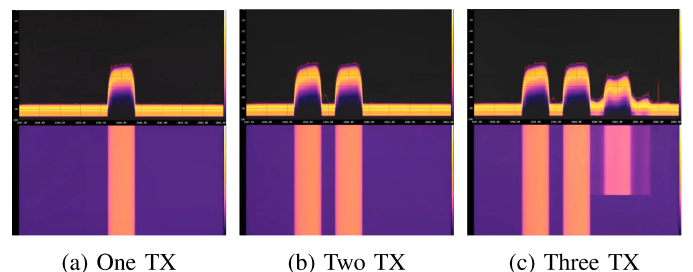


Fig. 15: Fosphor visualization showing the spectrum occupancy as the first, second, and third networks start to operate.

### IX. FUTURE WORK

In our future work, we will explore networks that incorporate mmWave nodes with phased array antennas and develop

improved SCM-based DSA algorithms that consider antenna directionality. We will evaluate their performance in terms of spectrum utilization, power usage, data transfer rate, and convergence time, using both a simulator and the COSMOS testbed [17], [18]. To achieve this, we will upgrade the current simulator to support nodes with directional antennas, which it currently does not do. The COSMOS testbed presents a unique opportunity for mmWave experimentation in a densely populated urban area (West Harlem, NYC), with the use of programmable radios and mmWave phased array antenna modules [40], [41]. Additionally, we will take into account the presence of multiple transmitters and receivers in each wireless domain, and test our deconfliction algorithm in scenarios of high complexity that take into consideration system and set SCMs. Algorithms involving mmWave deconfliction, heterogeneity, and mobility scenarios will also be addressed in the future.

## X. CONCLUSION

In this work, we introduced and evaluated new collaborative DSA methods for spectrum sharing that leveraged SCMs, which provide a standardized way for networks to declare their intended spectrum use and/or their interference protection needs. We modified the CIL interaction language developed by DARPA to enable the exchange of SCM messages among wireless networks. Then, we developed a Spectrum Deconfliction (SD) algorithm that dynamically configures frequency and power for wireless links aiming to minimize aggregate interference, thereby resolving spectrum use conflicts. Further, we developed sequential and distributed DSA methods based on the SD algorithm that assigns spectrum in large-scale networks. To evaluate the performance of our DSA methods, we developed a custom-made simulation platform and built a proof-of-concept implementation in the NSF PAWR COSMOS wireless testbed.

The simulation results demonstrate that in the context of sequential and distributed spectrum access, the selection of appropriate power margin thresholds plays a crucial role in achieving a reduction in the overall utilization of the spectrum. Importantly, the results also demonstrate that the distributed DSA method can achieve up to a 7x improvement in spectrum deconfliction speed when compared to the sequential approach in large scale scenarios. Finally, the computational performance of our methods is reasonable and can be improved based on the computational hardware configuration, which should facilitate their incorporation into real-world platforms.

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