

A hand holding a blue pencil is positioned over a document. The document features a table with multiple columns and rows of data, including numerical values and some text. The background is slightly blurred, showing a desk with a pen and other papers. The overall tone is professional and academic.

Evaluating a PI Policy with Data

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Background/Needs

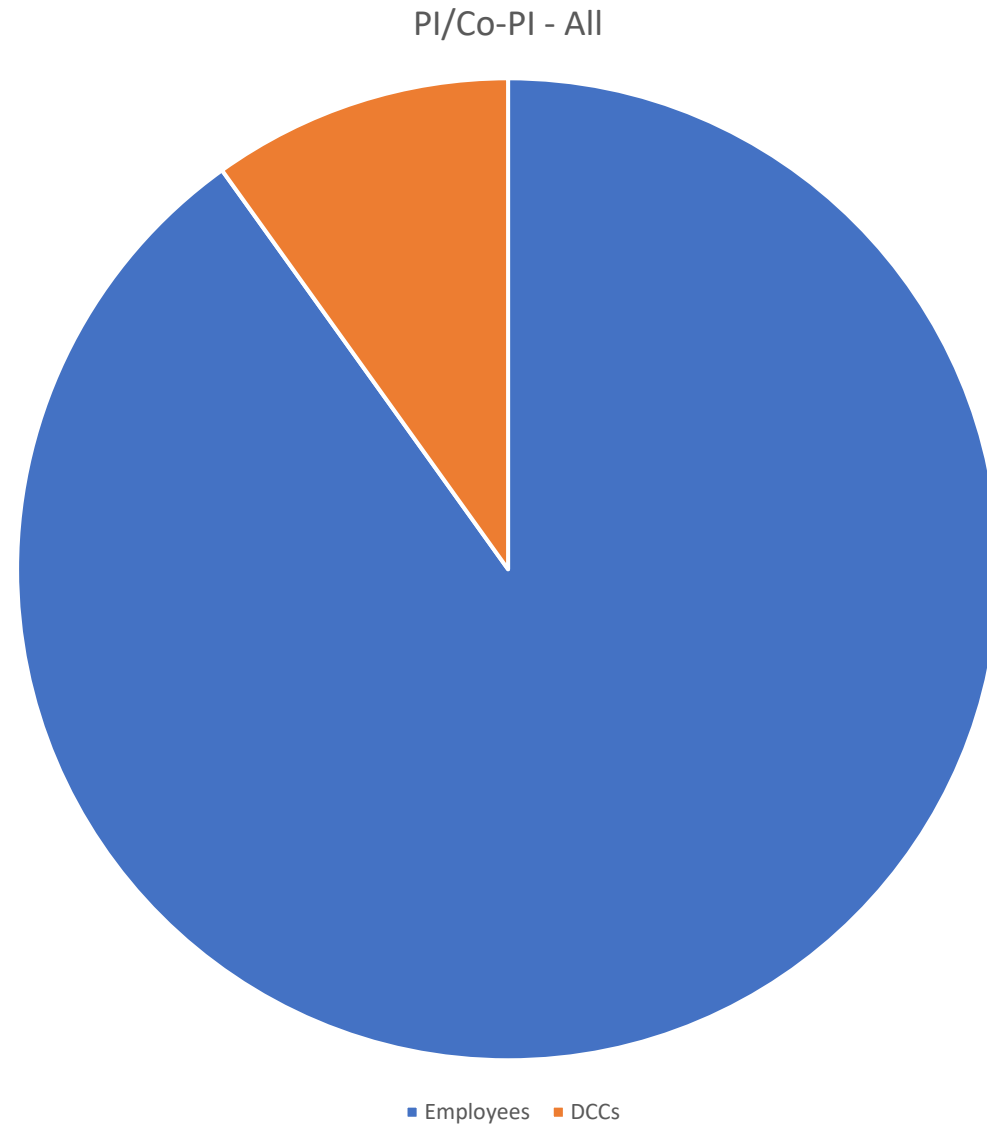
- Update language for University Architecture project & changes to employee types
- Simplify policy for understanding
- Finalize multiple stop/starts for revisions of policy
- Define exception process



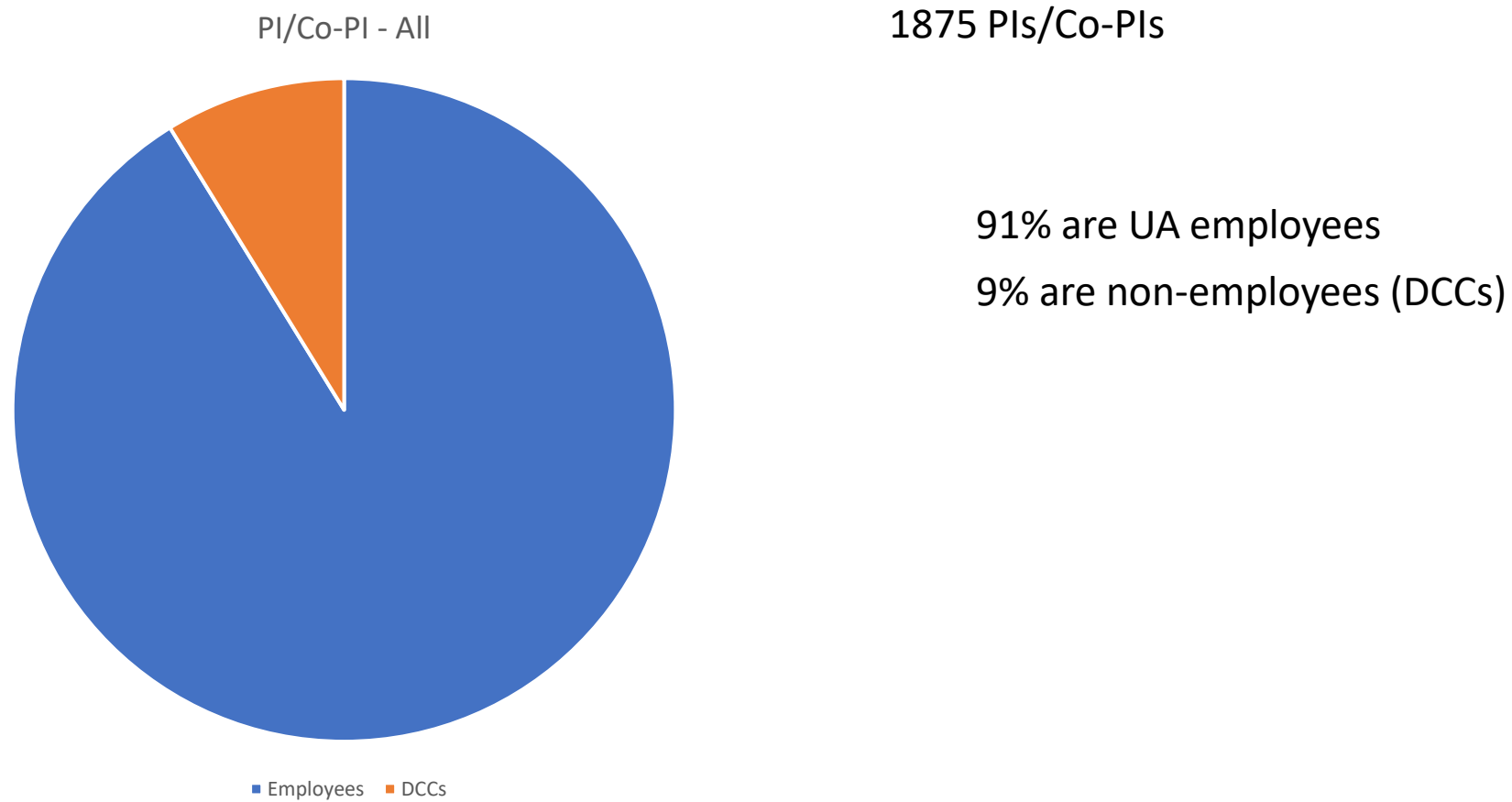


Make up of PIs/CoPIs – Jan 10

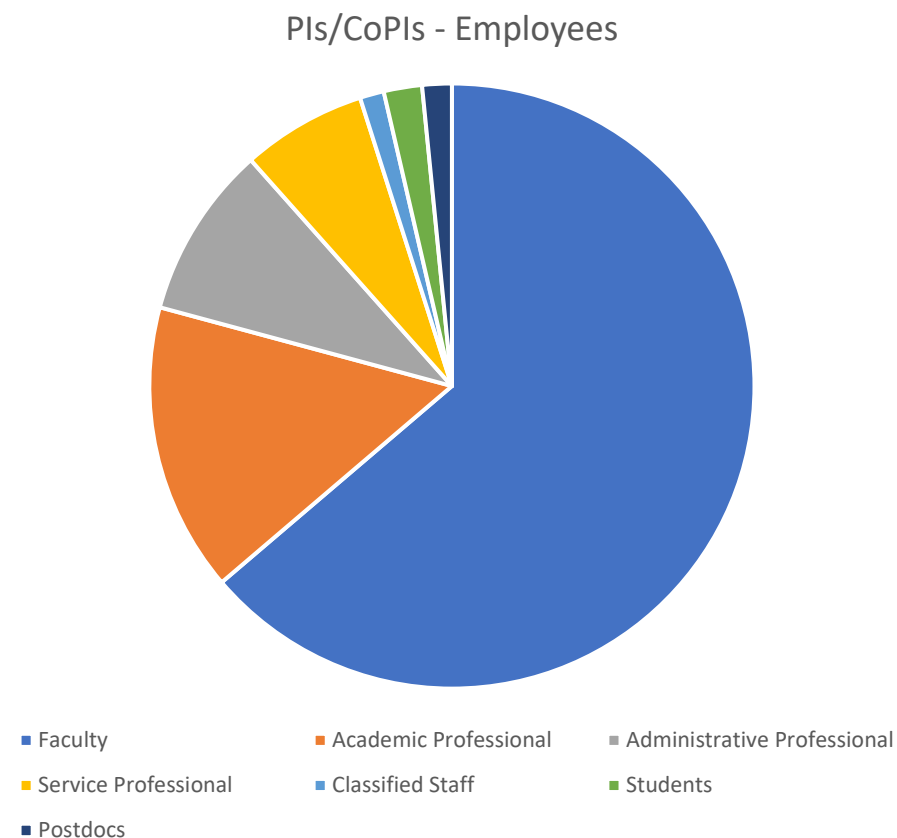
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- 1899 PIs/Co-PIs
 - 90% are UA employees
 - 10% are non-employees (DCCs)



Make up of PIs/Co-PIs – Jan 30



Employee Types – Pre- Architecture Update



1711 UA Employees are PIs/Co-PIs

Faculty 64%

Academic Professional 15%

Administrative 9%

Service Professional 7%

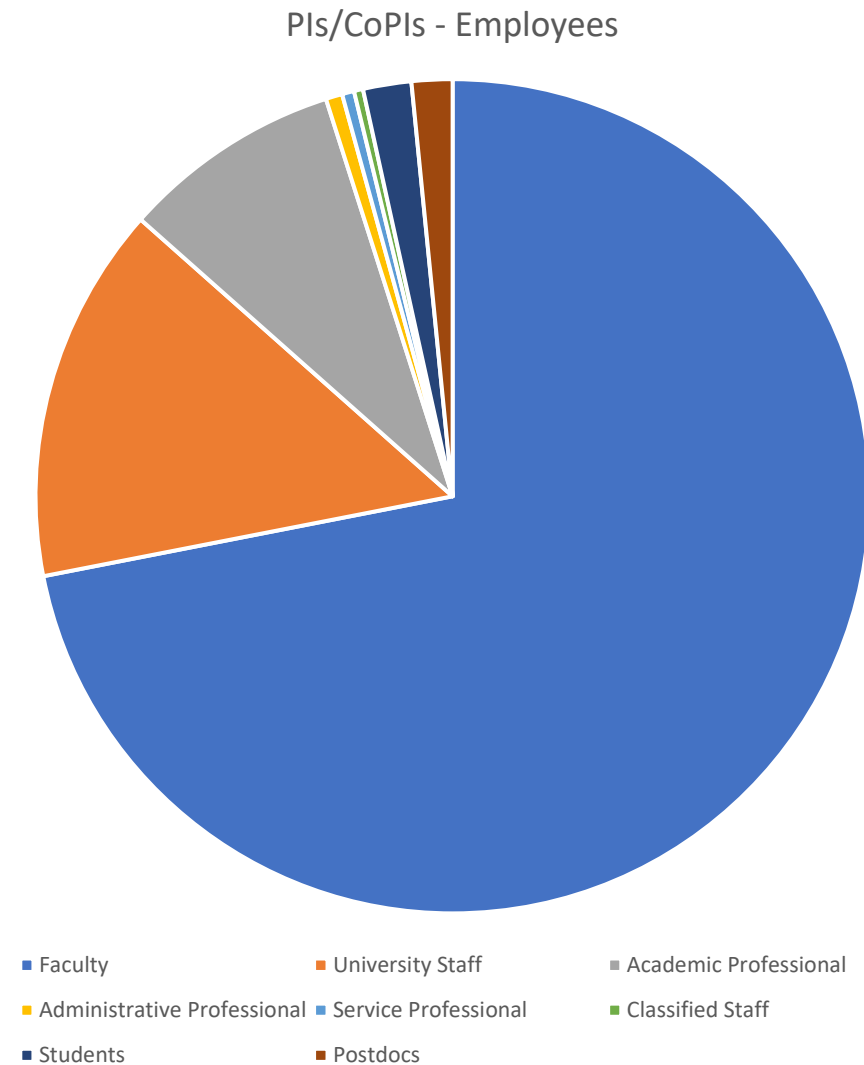
Classified Staff 1%

Students 2%

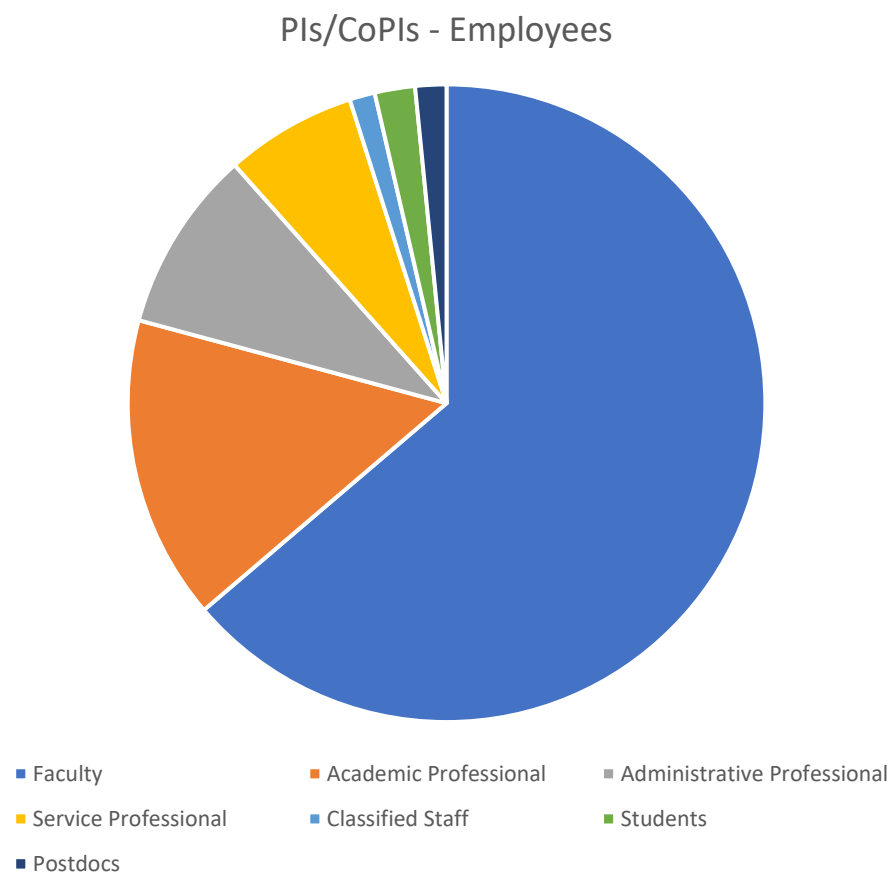
Postdocs 2%

Employee Types – post-Architecture update

- 1710 UA Employees are PIs/Co-PIs
 - Faculty 72%
 - University Staff 15%
 - Academic Professional 9%
 - Administrative 1%
 - Service Professional <1%
 - Classified Staff <1%
 - Students 2%
 - Postdocs 2%



DCC Types – Jan 10 data



188 DCCs are PIs/CoPIs

BUMG 36%

Dignity 4%

PCH 2%

USGS 3%

VA 1%

Emerit(a/us) 10%

Retired Non-Faculty 6%

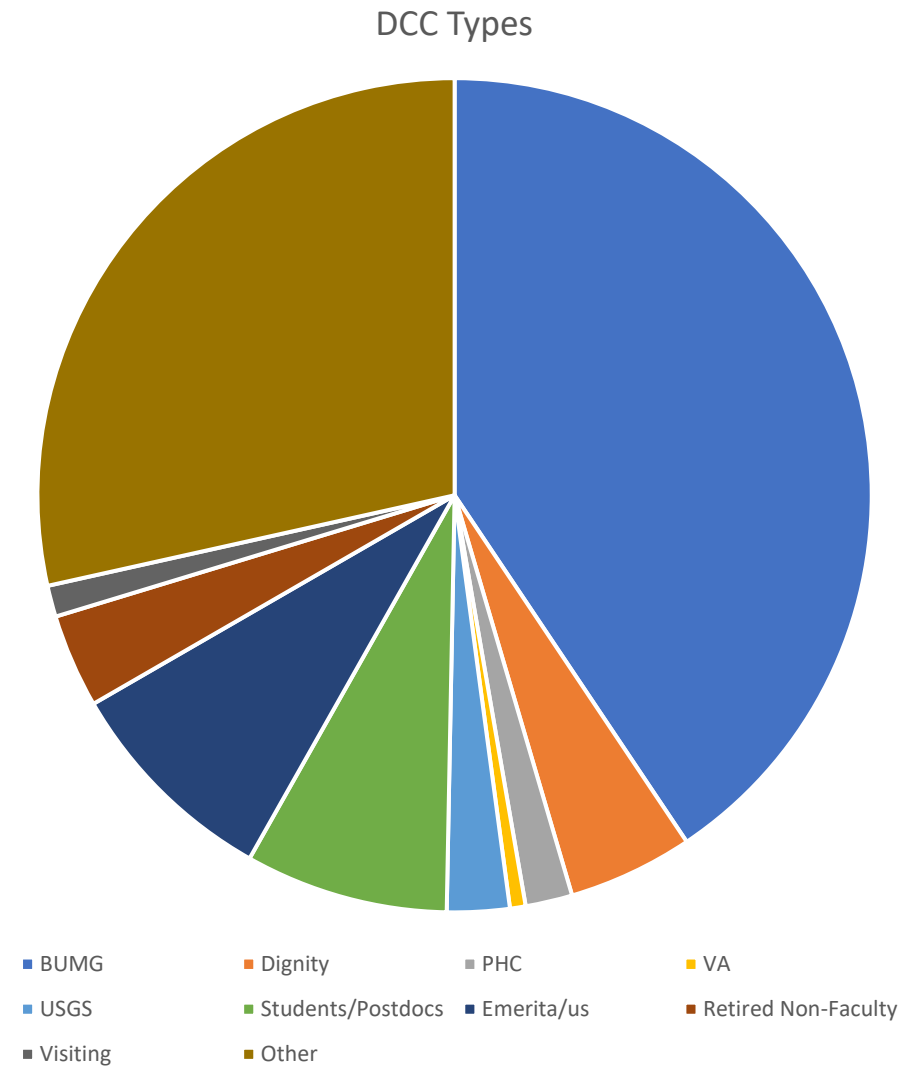
Students/Postdocs 7%

Visiting Scholars 1%

Other 30%

DCC Types – Jan 30 data

- 165 DCCs are PIs/CoPIs
 - BUMG 41%
 - Dignity 5%
 - PCH 2%
 - USGS 2%
 - VA 1%
- Emerit(a/us) 8%
- Retired Non-Faculty 4%
- Students/Postdocs 8%
- Visiting Scholars 1%
- Other 28%





Proposed

- Allow all UA employees to be a PI/Co-PI with the approval of the department head/dean (common model)
- Allow non-UA employees who are students or postdocs the ability to be PI when the program requires it (must have UA employee PI)
- Document arrangements with non-UA entities where PI status is allowed: BUMG, Dignity, PCH, USGS
- Document stricter policies for IRB and IACUC protocols, if any
- Evaluate outlier scenarios not included in the above
- Define an exception process

Outliers

VA PI: The arrangement with the VA does not include research, like others (BUMG, etc)

Visiting Scholars

Retired non-faculty

Courtesy appointments

Temp appointments when a PI leaves the UA (to finish work)

College/Department wishes to allow non-employee PIs more broadly