

# Evaluating a PI Policy with Data

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# Background/Needs

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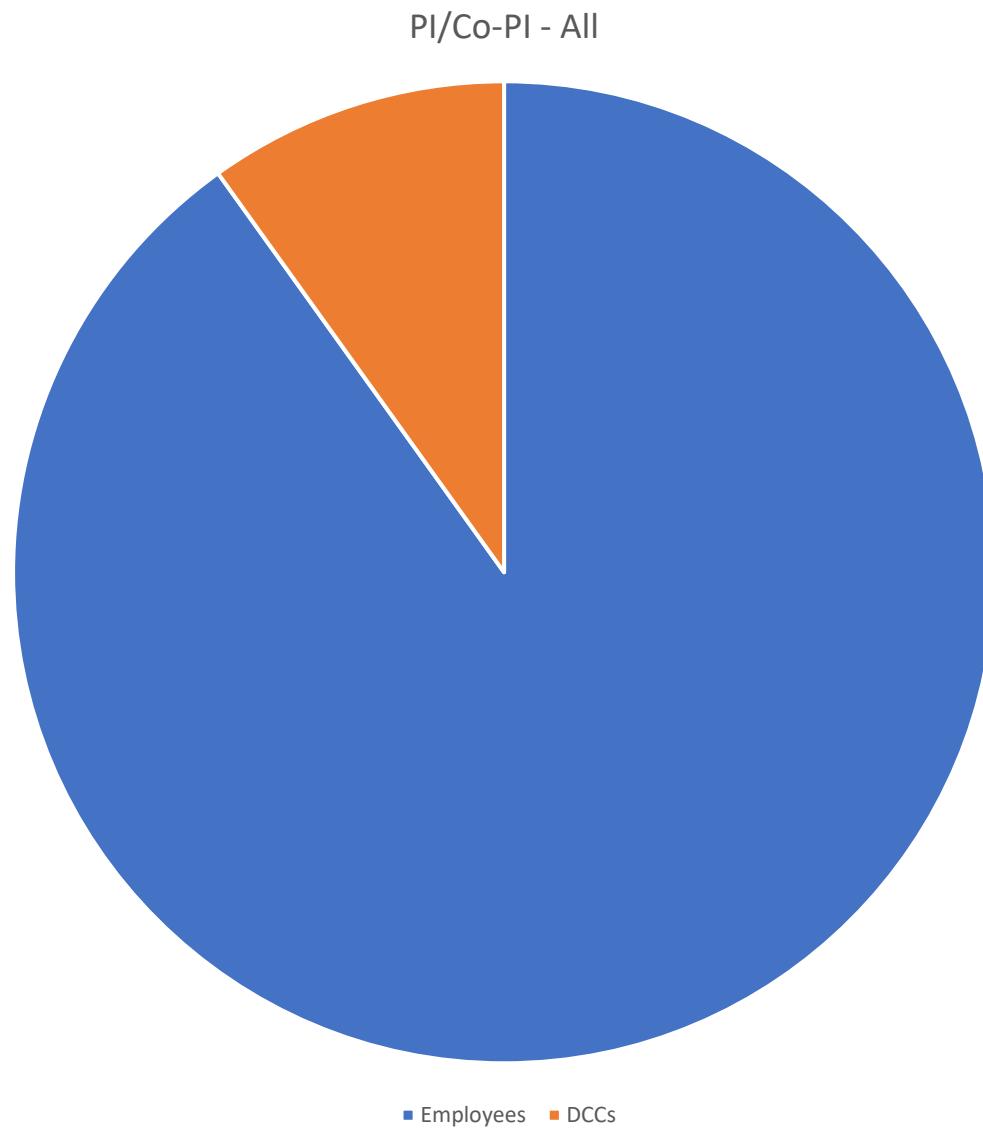
- Update language for University Architecture project & changes to employee types
- Simplify policy for understanding
- Finalize multiple stop/starts for revisions of policy
- Define exception process





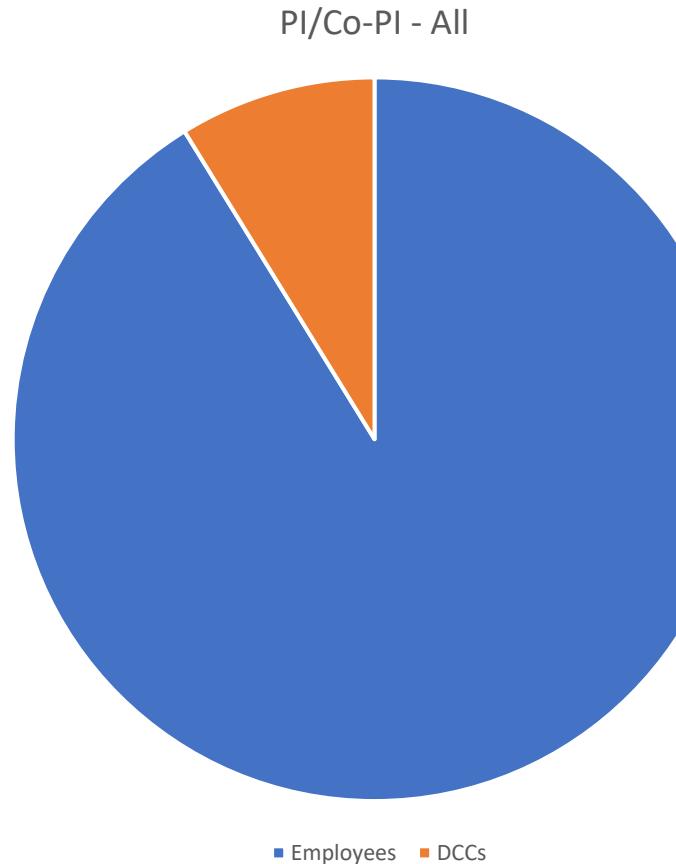
# Make up of PIs/CoPIs – Jan 10

- 1899 PIs/Co-PIs
- 90% are UA employees
- 10% are non-employees  
(DCCs)



# Make up of PIs/Co-PIs – Jan 30

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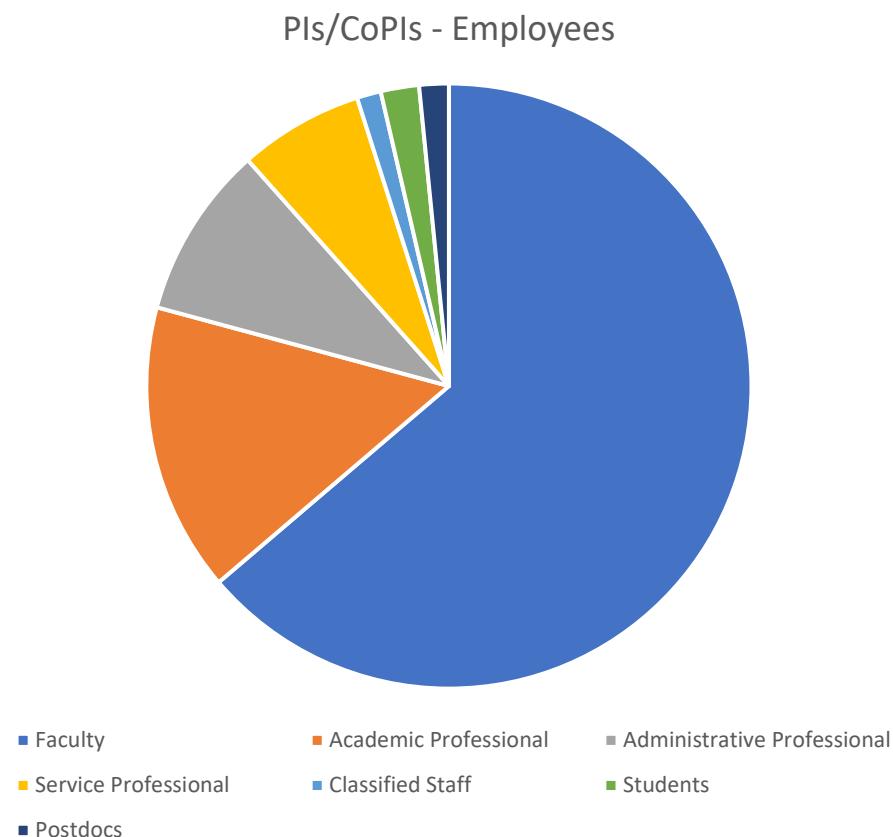


1875 PIs/Co-PIs

91% are UA employees

9% are non-employees (DCCs)

# Employee Types – Pre- Architecture Update



1711 UA Employees are PIs/Co-PIs

Faculty 64%

Academic Professional 15%

Administrative 9%

Service Professional 7%

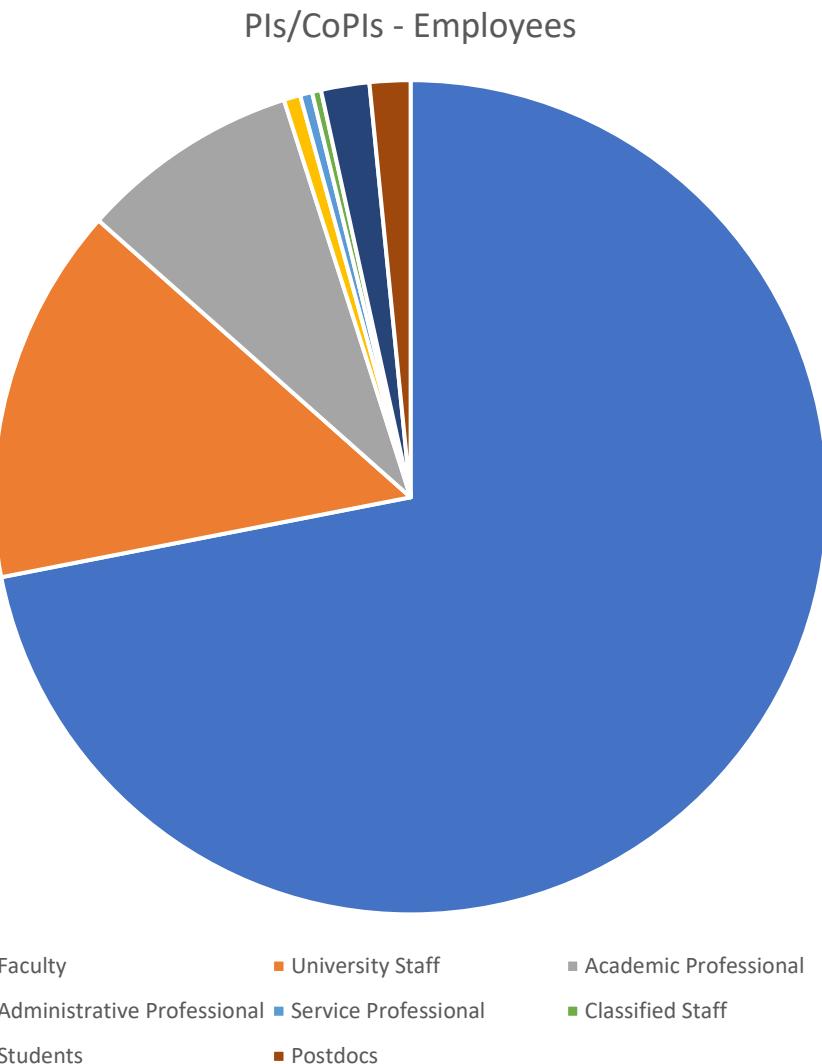
Classified Staff 1%

Students 2%

Postdocs 2%

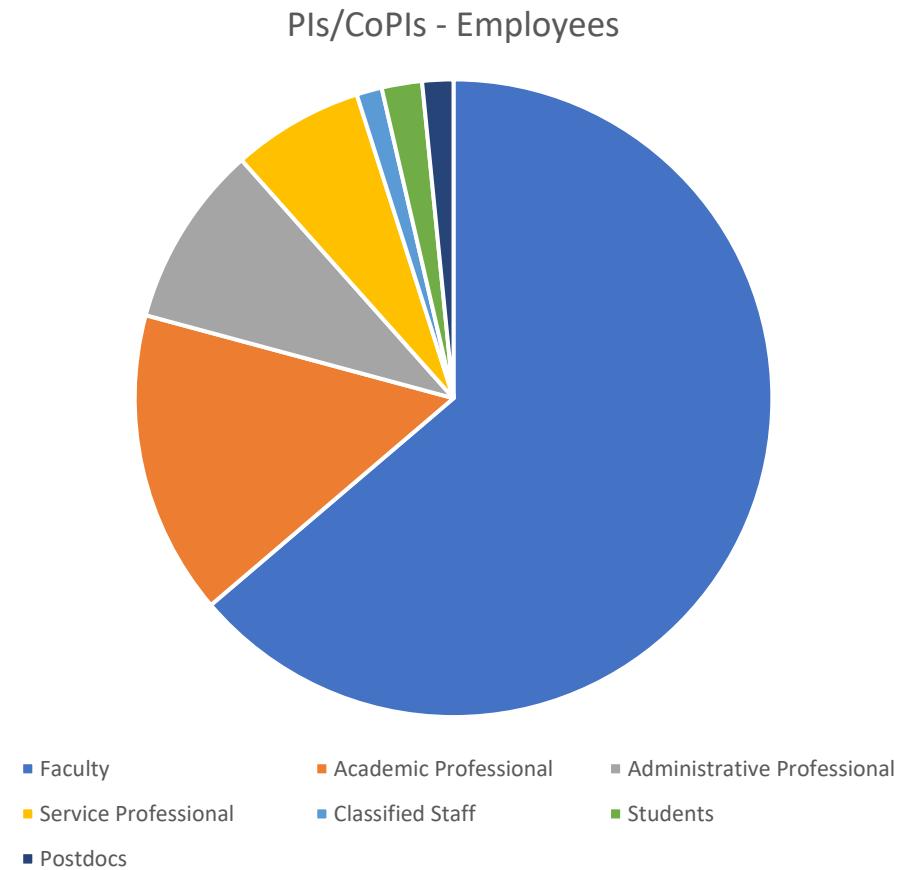
# Employee Types – post-Architecture update

- 1710 UA Employees are PIs/Co-PIs
  - Faculty 72%
  - University Staff 15%
  - Academic Professional 9%
  - Administrative 1%
  - Service Professional <1%
  - Classified Staff <1%
  - Students 2%
  - Postdocs 2%



# DCC Types – Jan 10 data

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188 DCCs are PIs/CoPIs

BUMG 36%

Dignity 4%

PCH 2%

USGS 3%

VA 1%

Emerit(a/us) 10%

Retired Non-Faculty 6%

Students/Postdocs 7%

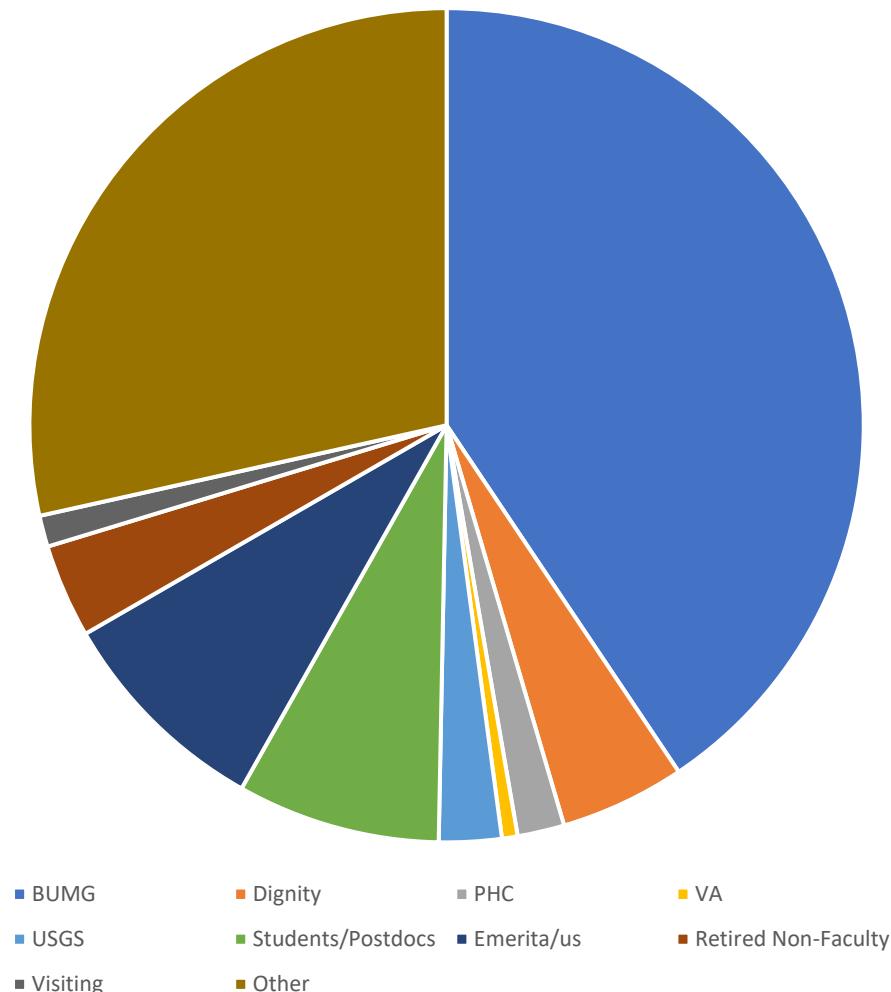
Visiting Scholars 1%

Other 30%

## DCC Types – Jan 30 data

- 165 DCCs are PIs/CoPIs
  - BUMG 41%
  - Dignity 5%
  - PCH 2%
  - USGS 2%
  - VA 1%
  - Emerit(a/us) 8%
  - Retired Non-Faculty 4%
  - Students/Postdocs 8%
  - Visiting Scholars 1%
  - Other 28%

DCC Types



# Proposed

- Allow all UA employees to be a PI/Co-PI with the approval of the department head/dean (common model)
- Allow non-UA employees who are students or postdocs the ability to be PI when the program requires it (must have UA employee PI)
- Document arrangements with non-UA entities where PI status is allowed: BUMG, Dignity, PCH, USGS
- Document stricter policies for IRB and IACUC protocols, if any
- Evaluate outlier scenarios not included in the above
- Define an exception process

# Outliers

VA PI: The arrangement with the VA does not include research, like others (BUMG, etc)

Visiting Scholars

Retired non-faculty

Courtesy appointments

Temp appointments when a PI leaves the UA (to finish work)

College/Department wishes to allow non-employee PIs more broadly