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Computational demonstrations of density wave of Cooper pairs and paired-electron liquid in the quarter-filled band—A brief review

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ABSTRACT

There has been strong interest recently in the so-called Cooper pair density wave, subsequent to the proposition that such a state occurs in the hole-doped cuprate superconductors. As of now, there is no convincing demonstration of such a state in the cuprate theoretical literature. We present here a brief but complete review of our theoretical and computational work on the paired-electron crystal (PEC), which has also been experimentally seen in the insulating phase proximate to superconductivity (SC) in organic charge-transfer solid (CTS) superconductors. Within our theory, SC in the CTS does indeed evolve from the PEC. A crucial requirement for the finding of the PEC is that the proper carrier density of one charge carrier per two sites is taken into consideration at the outset. Following the discussion of CTS superconductors, we briefly discuss how the theory can be extended to understand the phase diagram of the cuprate superconductors that has remained mysterious after nearly four decades of the discovery of SC in this family.

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Despite more than 30 years of research, the mechanism of superconductivity (SC) in the high- T_c cuprates and other unconventional superconductors is still not understood. In a conventional superconductor, the superconducting state evolves from a metal. However, in the cuprates and many other unconventional superconductors, unusual insulating phases are instead found adjacent to SC. In this paper, we review theoretical work on one of these insulating phases, the Paired Electron Crystal (PEC). The PEC has been found experimentally in crystalline molecular superconductors known as organic charge-transfer solids. We describe theoretical work showing how SC can evolve from the PEC, and further how the PEC concept can be extended to understand the phase diagram of the high T_c cuprates.

I. INTRODUCTION

The theory of charge-density waves (CDWs), driven by either the Peierls intersite¹ or by the Holstein intrasite² electron-phonon

(e-p) interactions in molecular solids, is both quite old and well understood by now. The same is true with the concept of the Wigner crystal (WC), driven by intersite electron-electron (e-e) interactions.³ In all such cases, the electronic wavefunction in the ground state is characterized by periodic modulation of single electron densities. Should SC occur in such systems, the understanding has been that the driving force is once again the e-p interaction as in the traditional BCS theory.⁴ Over the past several decades, a different phenomenology, characteristic of many if not most unconventional superconductors, has attracted significant attention. This is the appearance of SC not merely proximate to CDW or spin-density wave (SDW)/antiferromagnetism (AFM) in the phase diagram, but the observation of actual “positive correlation” between spatial broken symmetry and SC, in that the SC may be evolving from the spatial broken symmetry state.

Evolution of SC from CDW broken state is, in principle, likely if the CDW is “paired,” in that the electronic wavefunction exhibits modulation of pairs of charge carriers coupled into spin-singlets, as opposed to single electrons or holes. We have referred to this

state as the PEC,^{5,6} a terminology that existed prior to our work.⁷ Conceptually, it is possible to visualize SC emerging from the PEC with increasing lattice frustration or doping that leaves the paired singlets intact even as they become mobile. While this idea does not necessarily solve the problem of correlated-electron SC (which requires explicit demonstration of the transition from the PEC to the state with long-range superconducting pair-pair correlations), it does give an understandable starting point that has the added benefit of being “visual.”

We present here a brief review of our computational work and show how the results can be used to understand spatial broken symmetries (especially, CDW correlations) in organic CTS that are structurally related to CTS superconductors or in the superconductors themselves in the normal state proximate to SC. We further discuss how the same concepts probably can be extended to understand hole as well as electron-doped cuprates. It is relevant in this context to note that the experimentally observed charge ordering in cuprates has been claimed to be a density wave of Cooper pairs by some research groups.⁸⁻¹⁰ Computational results performed by our groups have demonstrated explicitly the occurrences of the PEC within a correlated-electron Hamiltonian on various lattices. The essential requirement is commensurability, which, in turn, occurs at strictly one particular carrier density. We will also discuss the possibility that moving away from strict commensurability can lead to SC or at least a paired-electron *liquid* (PEL).

II. THEORETICAL MODEL

The theoretical model we will consider is the same for all dimensionalities, the extended Hubbard Hamiltonian with nonzero intersite Coulomb repulsion and intersite Peierls and intrasite Holstein e-p couplings,

$$H = - \sum_{v, \langle ij \rangle_v} t_v (1 + \alpha_v \Delta_{ij}) B_{ij} + \frac{1}{2} \sum_{v, \langle ij \rangle_v} K_\alpha^v \Delta_{ij}^2 + g \sum_i v_i n_i + \frac{K_g}{2} \sum_i v_i^2 + U \sum_i n_{i\uparrow} n_{i\downarrow} + \frac{1}{2} \sum_{\langle ij \rangle} V_{ij} n_i n_j. \quad (1)$$

In Eq. (1), v runs over multiple lattice directions a , b , and c . $B_{ij} = \sum_\sigma (c_{i\sigma}^\dagger c_{j\sigma} + H.c.)$, α_v is the intersite e-p coupling, K_α^v is the corresponding spring constant, and Δ_{ij} is the distortion of the $i-j$ bond to be determined self-consistently; v_i is the intrasite phonon coordinate; and g is the intrasite e-p coupling with the corresponding spring constant K_g . The hoppings t_v and intersite Coulomb interaction V_{ij} are between nearest neighbors (n.n.). We consider only $\rho = \frac{1}{2}$, where there is the strongest likelihood of commensurability-driven density wave composed of electron pairs. Additionally, much of our original motivation behind this research came from trying to reach a consistent theory of SC in organic CTS, which has uniform carrier density per molecule of $\rho = \frac{1}{2}$.

III. PEC IN ONE DIMENSION

A. Experimental summary

Our involvement in this research area began with our attempt to understand the $4k_F$ metal-insulator (M-I) and $2k_F$ insulator (I-I)

transitions in the organic CTS $\text{MEM}(\text{TCNQ})_2$, which at the time was understood most experimentally. Each MEM donor molecule donates one electron to two TCNQ acceptor molecules, such that the one-dimensional (1D) stack of TCNQ anions has a carrier density $\rho = \frac{1}{2}$ and is conducting at high temperatures in spite of large Hubbard intramolecular repulsion. Below 335 K, the system undergoes M-I transition that is driven by intrastack bond dimerization.^{11,12} At still lower temperature of 17.2 K, there occur both bond and intramolecular site charge tetramerization,^{11,12} and a gap in the magnetic spectrum is observed from measurements of magnetic susceptibility.¹² Very similar coexisting bond and charge modulations were seen also in the low temperature tetramerized phase of $\text{TEA}(\text{TCNQ})_2$, which is insulating and dimerized already at high T .^{13,14} Coexisting bond and charge-modulations had also been found in the so-called spin-Peierls (SP) phase of the cationic CT compounds $(\text{TMTTF})_2\text{PF}_6$ and $(\text{TMTTF})_2\text{AsF}_6$, in which the charge on the TMTTF cation is $\frac{1}{2}$. The *coexisting* bond and charge tetramerization was not understood at the time.

It is important to understand in this context precisely *why* this coexistence was difficult to understand within what then was the traditional approach. Within the strongly correlated scenario, the M-I transition in $\rho = \frac{1}{2}$ is due either to $4k_F$ bond dimerization (alternate short and long bonds) or to $4k_F$ charge dimerization that results in the WC with alternate charge-rich and charge-poor sites. Within existing theories at the time, each dimer in the bond-dimerized phase was considered as a single “site,” the system was considered as an *effective* half-filled band (effective $\rho = 1$), and bond tetramerization was the dimerization within this effective half-filled band. Charge and bond order modulations are not expected to coexist within the half-filled band.¹⁵ Starting from the $4k_F$ WC, with alternate sites occupied by charge carrier, conversely, further bond dimerization would require unusually strong e-p couplings that could modulate the distances between “occupied” sites two lattice constants apart, making the bond tetramerization highly unlikely. Furthermore, the expected pattern of the bond distortion in this case, strong-strong-weak-weak, has not been observed in any CTS. The experimentally observed bond distortion pattern is strong-weak-strong-weak', with weak and weak' bond strengths being different. In what follows, we will find that precise understanding requires discarding the effective half-filled band concept at the outset.

B. Theory and computational results

As has been discussed extensively elsewhere,¹⁶ physical insight into unconditional broken symmetries within Eq. (1) can be obtained by considering the limit of zero e-p coupling. In this limit and for $U \rightarrow \infty$, the Hamiltonian in 1D reduces to the spinless Fermion Hamiltonian,

$$H_{\text{eff}} = V \sum_i n_i n_{i+1} - t \sum_i (a_i^\dagger a_{i+1} + a_{i+1}^\dagger a_i), \quad (2)$$

where a_i^\dagger create spinless Fermions and $n_i = 0, 1$ only. For large V , the ground state now is the $4k_F$ charge-ordered phase (CO) $\cdots 1010 \cdots$, where the numbers 1 and 0 refer to actual site occupancies of $0.5 + \delta$ and $0.5 - \delta$, respectively. It is essential here to appreciate that the WC is obtained only for $V = V_c \geq 2|t|$.¹⁷ More importantly, in the

present case, extensive numerical calculations by multiple groups have shown that V_c increases as U becomes finite (e.g., V_c approaches $3|t|$ at $U = 8|t|$).^{18–20} The pertinent question is then what spatial broken symmetry occurs for $V < V_c$.

A conceptual framework that allows a pictorial resolution of this question is as follows. Consider a single dimer of two sites with one electron. The electron population per site is 0.5 each, but the quantum mechanical wave function for the system is the superposition $2^{-1/2}[10 + 01]$, where 1 and 0 are site charge densities. If one now brings two of these dimers together, as in inset (a) of Fig. 1, the composite wave function of the two-dimer system can be written as $\frac{1}{2}[1010 + 1001 + 0110 + 0101]$. If the two electrons are in a spin-singlet state, then within the simple Hubbard Hamiltonian, the configuration 0110, in which singlet stabilization can occur from a single n.n. hop that creates a virtual double occupancy, must dominate over the configurations 1010 and 1001, in which singlet stabilization requires two and three hops, respectively. Thus, as the singlet bond between the dimers gets stronger, we expect increasing charge difference δ between sites belonging to the same dimer (between sites 1 and 2, or between sites 3 and 4 in the linear chain of Fig. 1). Our proposed picture demands that similar charge disproportionation occurs between members of the same dimer even in the case of the periodic molecule shown in the inset (b) of Fig. 1. In this case, the charges on the sites connected by the diagonal bond must be larger than 0.5, while the charges on the two other sites must be smaller.

The conclusions drawn from the simple 4-atom calculations reported in Fig. 1 were confirmed from exact finite size calculations on $N = 8, 12$, and 16 finite periodic rings,²¹ and quantum Monte Carlo calculations²² of up to $N = 96$. In all cases, bond-order wave (BOW) and $2k_F$ site-diagonal charge-density wave (CDW) coexist provided $V < V_c$. The results are summarized in Fig. 2. For noninteracting electrons or very small U , the ground state broken symmetry consists of coexisting $2k_F$ (period 4) BOW1 and $2k_F$ CDW1, whereas for larger U , the ground state

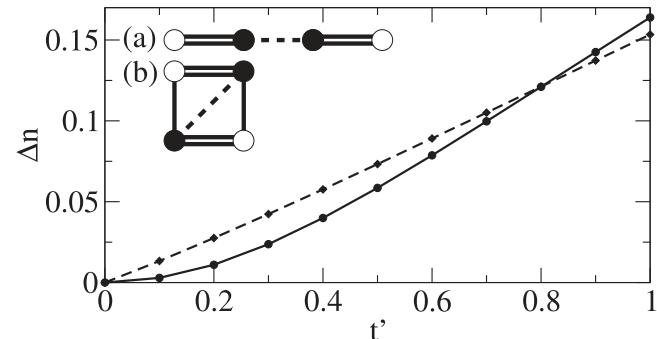


FIG. 1. Charge difference Δn vs hopping integral t' for two 4-site systems. Solid lines are for the linear four-site system shown in inset (a); dashed lines are for the square plaquette in inset (b). Coulomb interactions are $U = 4$ and $V = 0$. Double, single, and dashed lines represent hopping integrals of strengths $t_1 = 1.5$, $t_2 = 0.5$, and t' , respectively. In the insets, filled and empty circles correspond to charge densities greater or less than 0.5. Reproduced from Dayal *et al.*, Phys. Rev. B **83**, 245106 (2011). Copyright 2011 American Physical Society.

is a superposition of a $2k_F$ CDW2 and a BOW with the pattern strong–medium–weak–medium (SMWM) [second column of Fig. 2(a)]. For stronger U and V , the coexisting BOW instead has the pattern strong–weak–strong–weak' [SWSW', fourth column of Fig. 2(a)]. The experimentally determined bond distortions and charge densities in MEM-(TCNQ)_2 ^{12,21} correspond exactly to this phase. The agreement with the theory is true^{13,14} also for the lowest temperature phases in $\text{TEA}(\text{TCNQ})_2$. Notice that the large charge densities in the BCDW1 and BCDW2 phases are coupled by spin-singlet bonds, and hence the CO phase does correspond to a density wave of spin singlets. The agreements are not surprising and follow directly from the conceptual framework of Fig. 1, which is based only on the principle of charge–spin coupling in correlated $\rho = \frac{1}{2}$ systems.

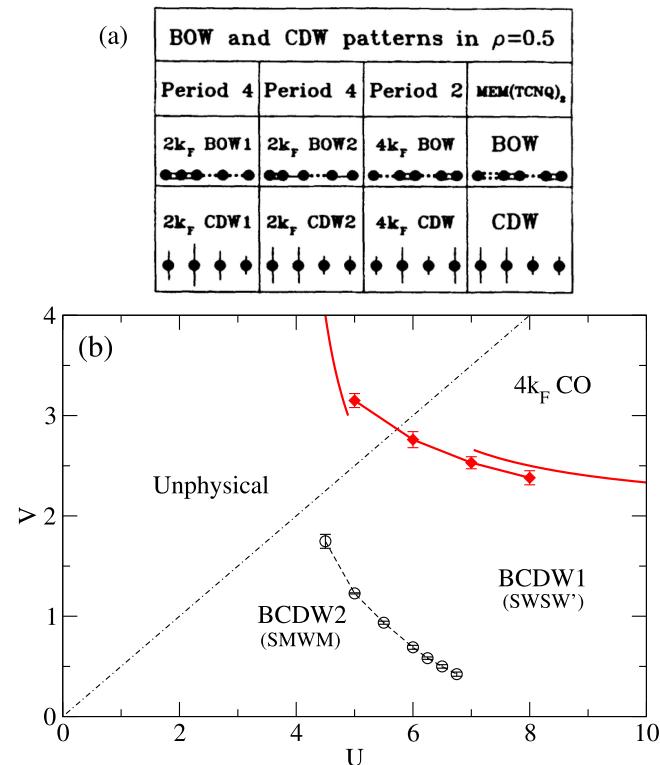


FIG. 2. (a) Schematic of the possible charge and bond distortions in a 1D chain with density 0.5. Single, double, and dotted lines represent undistorted, short, and long bonds, respectively. The length of the vertical lines corresponds to the charge density on each site. The last column shows the bond and charge distortions found in MEM-(TCNQ)_2 at low temperature. Reproduced from Ung *et al.*, Phys. Rev. Lett. **73**, 2603–2606 (1994). Copyright 1994 American Physical Society. Zero temperature phase diagram of Eq. (1) in the limit of 0^+ electron–phonon interactions. BCDW2 is the $2k_F$ BOW2 in (a); BCDW1 is the BOW shown in the fourth column of (a). The $2k_F$ CDW1 of (a) only exists at very small U and is not shown here. The $V = \frac{U}{2}$ line indicates the region of physical relevance for the organic CTS. Reproduced from Clay *et al.*, Phys. Rev. B **95**, 125114 (2017). Copyright 2017 American Physical Society.

IV. THE WEAKLY TWO-DIMENSIONAL REGIME

A. Experimental summary

The first organic superconductors, $(\text{TMTSF})_2\text{X}$, where X are monovalent anions PF_6^- , AsF_6^- , ClO_4^- , etc., were discovered in the early 1980s.²³ Unlike the TMTTF-based systems which are quasi-one dimensional with spin-Peierls ground states, their Se analogs should be considered weakly two-dimensional (see below). The compound $(\text{TMTSF})_2\text{PF}_6$ has been studied the most intensively and is considered the prototype member of this family. This compound exhibits metallic behavior at high temperature, but under ambient pressure there occurs transition to an incommensurate SDW at 12.5 K. The occurrence of the SDW, instead of the SP state, is direct evidence for non-negligible two-dimensional hopping between the TMTSF cations. Computations lead to parametrizations $t_{||} = 0.1 - 0.2 \text{ eV}$, $t_{\perp} = 0.01 - 0.02 \text{ eV}$, where $t_{||}$ and t_{\perp} are the intra- and interstack hole hopping integrals between the TMTSF cations. Application of moderate pressure (6.5 kbar) leads to an apparent SDW-to-SC transition, with critical temperature $T_c = 1.2 \text{ K}$. Several other TMTSF compounds exhibit similar SDW-to-SC transitions. These observations had led to early applications of the spin-fluctuation theory of SC to this family of CT solids, as discussed below.

The intrastack intermolecular distances in $(\text{TMTSF})_2\text{X}$ once again alternate and exhibit dimerization. Assumption of equal charge densities on the individual molecular sites within each dimer then leads naturally to the effective $\rho = 1$ description for $(\text{TMTSF})_2\text{X}$ [as in $(\text{TMTTF})_2\text{X}$], with the difference that interstack couplings are now non-negligible. The ground state is then a 2D commensurate $\rho = 1$ AFM. Within this picture, the superconducting transition is from AFM-to-SC, to be understood within the spin-fluctuation mechanism.

The above theoretical scenario was shown to be overly simplistic based on x-ray scattering experiments performed by Pouget and Ravy in 1997.²⁴ These authors found that the broken symmetry state in $(\text{TMTSF})_2\text{PF}_6$ was a coexisting CDW-SDW state, which should not occur in true $\rho = 1$. Even more importantly, while a coexisting CDW-SDW state can be expected within the then standard WC models of strongly correlated $\rho = \frac{1}{2}$, the periodicities of CDW and SDW in this latter case are expected to be $4k_F$ (alternate sites occupied by holes) and $2k_F$ (opposite spins on n.n. occupied sites), respectively. The x-ray scattering measurement indicated *identical* $2k_F$ periodicity (period 4) for both the CDW and the SDW. Pouget and Ravy labeled this broken symmetry “unprecedented.” Importantly, transition to a commensurate SDW was also found.²⁵ SC evolves from the coupled $2k_F$ CDW-SDW and its mechanism has to be understood within this context.

B. Theory and computational results

The physical arguments above for unequal intradimer charge occupancies in the 1D limit applies also to the weakly 2D antiferromagnetic case, as the stabilization of the antiferromagnet (over high spin states) originates also from virtual CT that creates double occupancies. Thus, neighboring interdimer sites with shorter interdimer separation (stronger interdimer coupling) must have charge densities larger than corresponding interdimer site pairs with longer interdimer separation. This was proved within Eq. (1) from both

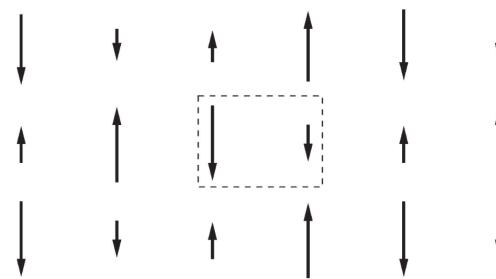


FIG. 3. The $2k_F$ period four coexisting CDW-SDW found at $\rho = 0.5$. The length of the arrows corresponds to the charge density on each site. The dashed box surrounds one dimer unit. Reproduced from Mazumdar *et al.*, Phys. Rev. Lett. **82**, 1522–1525 (1999). Copyright 1999 American Physical Society.

1D and 2D numerical calculations.²⁶ The 1D calculation involved adding external $2k_F$ AFM potential to the static version of Hamiltonian (1), for fixed U and V , and determining the tendency to $2k_F$ bond-charge distortion as a function of the strength of the AFM potential. The energy gained upon bond distortion increases with the strength of the external AFM potential, which is an indicator *co-operative coexistence* of $2k_F$ BOW-CDW and $2k_F$ AFM. The 2D calculations were done using the constrained path renormalization group (CPMC) approach for four coupled chains of length 12 sites each, periodic along both directions, with $t_{\perp} = 0.1t_{||}$. Lowest ground state energy was found for π -phase difference between BOWs on neighboring chains, which was, therefore, adopted. Measurements of charge-charge and spin-spin correlations then established the coexisting $2k_F$ CDW-SDW shown in Fig. 3. Once again, there is charge disproportionation within each dimer unit cell, and the CDW is period 4. The coexisting $2k_F$ SDW results from fractional charges within the dimer unit cell having the same spin, which is necessarily true as they together constitute a single hole. As in the 1D limit, the density wave of Fig. 3 is also paired, with the pair now being antiferromagnetically coupled as opposed to being spin singlet. It is then not inconceivable that as these pairs begin hopping between chains with pressure-induced increase in t_{\perp} a superconducting state is reached.

V. THE STRONG TWO-DIMENSIONAL REGIME

A. Experimental summary

The 2D CTS feature a great variety of compounds and behavior. There exist multiple recent reviews covering these systems.^{16,27,28} Here, we limit ourselves to only those discussions that pertain to the PEC. As with the weakly 2D superconducting CTS, these are also mostly 2:1 systems with general chemical formula A_2X , where A is the organic molecular component and X are inorganic monomer with charge -1 , so that the average charge on the organic cation is $+\frac{1}{2}$. There also exist anionic 1:2 superconductors, with now organic anion charge of $-\frac{1}{2}$. We limit ourselves to cationic 2:1 superconductors only, as the physics of the anionic compounds are very similar and can be understood within the same theoretical approach¹⁶

The largest number of 2D superconducting CTS have $A = (\text{BEDT-TTF})$, hereafter ET. While there exist other superconducting A_2X with cationic component different from ET because of the limited scope of this presentation in what follows, we limit ourselves to $A = \text{ET}$ only as these exhibit the full range of behavior seen in the 2:1 cationic superconductors. $(\text{ET})_2X$ occur in different crystalline forms, which are labeled as κ , α , β , and θ . In the following, we give broad summaries of the experimental observations for each of these crystal structures.

κ - $(\text{ET})_2X$: These ET compounds with $X = \text{Cu}(\text{NCS})_2$, $\text{Cu}[\text{N}(\text{CN})_2]\text{Cl}$, $\text{Cu}[\text{N}(\text{CN})_2]\text{Br}$, $\text{Cu}_2(\text{CN})_3$, etc., have been among the most intensively studied CTS superconductors. The cation layer is characterized by strongly dimerized anisotropic triangular lattices with one hole per ET_2^{1+} dimer. Relatively a few have ground states that are AFM or quantum spin liquid (QSL), but much of the theoretical attention have centered around these, because of SC being proximate to these magnetic states, thus providing an apparently tangible connection to the observation in the cuprates. The other κ -phase materials exhibit CO or spin gap (SG). SG has been observed in some charge-ordered κ - $(\text{ET})_2X$. SC is spin-singlet, and the order parameter has nodes. There is evidence for intra-dimer charge fluctuations and relatively strong lattice effects.

β - $(\text{ET})_2X$: These contain strongly interacting one-dimensional cation stacks, with the molecular planes nearly perpendicular to the stacking axes. Some of these exhibit ambient pressure SC, which is often proximate to nonmagnetic insulating states with pronounced lattice distortion that is often period-4. Others exhibit transition to SC under pressure. CO-to-SC transition is common among β - $(\text{ET})_2X$. In all cases, where the pattern of the CO or bond distortion is known, they appear to be different from that of the simple WC, in that in more than one direction the CO pattern is $\cdots 1100 \cdots$. SG often accompanies the CO at the lowest temperatures.

θ - $(\text{ET})_2X$: In the θ structure molecules in neighboring stacks are tilted with respect to each other by dihedral angle 100° – 140° . MI transition to CO is common to θ -ET and is often followed by a lower temperature transition to a nonmagnetic state with SG. The CO consists of a stripe pattern (horizontal), which is different from a simple WC and has charge occupancies $\cdots 1100 \cdots$ along the two most strongly coupled directions. SC occurs in $X = \text{I}_3$.

α - $(\text{ET})_2X$: The α structure is nearly identical to the θ structure with the difference that the periodicity is doubled already in the room-temperature structure in the stacking direction by a weak dimerization. In addition, the stacks are often inequivalent. CO is common, with the CO pattern corresponding to horizontal stripe. CO and SG transitions occur at the same temperature in $X = \text{I}_3$. In some compounds, there likely is coexisting CDW–SDW.

B. Theory and computational results

The goal of the theoretical work here was to demonstrate geometrical lattice frustration-driven AFM-to-PEC transition, which we believe precedes the transition to SC in systems where apparently AFM and SC are proximate. Calculations were done starting from the bond dimerized limit along the x -direction, since (a) bond dimerization is essential to obtain AFM in $\rho = \frac{1}{2}$, and (b) CTS with AFM ground states are universally dimerized [see discussion on

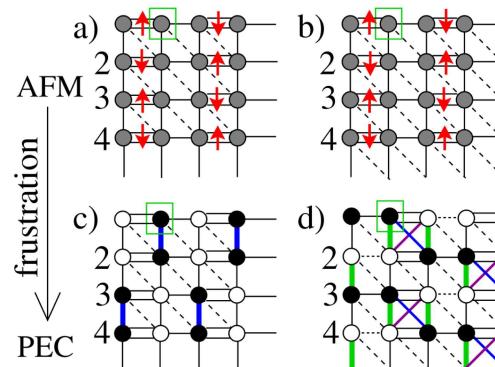


FIG. 4. Schematic of the AFM and PEC states as seen in a 4×4 lattice. The hopping integral boundary conditions are periodic (PBC) along x and y directions in both (a) and (b) and open (OBC) and PBC in (a) and (b), respectively, along the x – y directions. Double and thick lines represent strong bonds; thin lines represent weak bonds. Dashed lines represent t' , whose strength is varied. Charge densities as indicated by gray circles are uniform in (a) and (b), and spin ordering corresponds to AFM. (c) and (d) shows the PEC state for $t' > t'_c$. Here, black and white circles present charge-rich and charge-poor sites, respectively. Singlet bonds form between charge-rich sites. There occur periodic arrangement of spin-pairs along y and x – y directions in (a), and along x and x – y directions in (b). The box marks the reference site for spin–spin correlations shown in Fig. 5. Numbers are the chain indices used in Figs. 5(a) and 5(b). Reproduced from Li et al., *Condens. Matter* **22**, 272201 (2010). Copyright 2010 Institute of Physics Publishing.

AFM in κ - $(\text{ET})_2X$ above]. The calculations were done on the 4×4 lattice with both zero e–p interaction and externally imposed rigid dimerization [see Fig. 4(a)], as well as with nonzero e–p interactions along the x and y directions [see Fig. 4(b)]. In the first case, we chose periodic hoppings along the x and y directions but open boundary condition (OBC) along the x – y direction. The parameters for the OBC calculations were $t_x = t \pm \delta_t$, $t_y = t$, $t = 1$, $\delta_t = 0.2$, $\alpha_v = g = 0$, with in-phase bond dimerization between consecutive chains along the y -direction that gave commensurate AFM. In the second case, all hoppings were periodic (periodic boundary condition, PBC), and e–p interactions were explicitly included. These latter parameters were $\alpha_x = 1.3$, $\alpha_y = 1.0$, $\alpha_{x-y} = 0$, $K_x^x = K_y^y = 2$, $g = 0.1$, and $K_g = 2$. For the first set of calculations we report below we chose $U = 4$, and $V_x = V_y = 1$, $V_{x-y} = 0$. The intersite Coulomb interactions were not large enough at the chosen U to give the WC ground state (note that nonzero V_{x-y} further destabilizes the WC). The parameter $t_{x-y} = t'$ is the variable that drives the AFM–PEC transition.

In Fig. 5(a), we show the z – z spin–spin correlation functions for $t' = 0$ between a fixed site (marked with box on each lattice in Fig. 4) and sites j , labeled sequentially 1, 2, 3, 4 from the left, on neighboring chains labeled 2, 3, 4 in Fig. 4. In Fig. 5(a), only the average spin–spin correlation with each chain has been shifted to zero [note dotted line for $\langle S_2^z S_j^z \rangle = 0$ in Figs. 5(a) and 5(b)] in order to clearly show the AFM pattern, which are $\cdots - - + \cdots$ and $\cdots + + - - \cdots$ on the nearest and next nearest chains, indicating Néel ordering of the dimer spin moments in both lattices. The loss

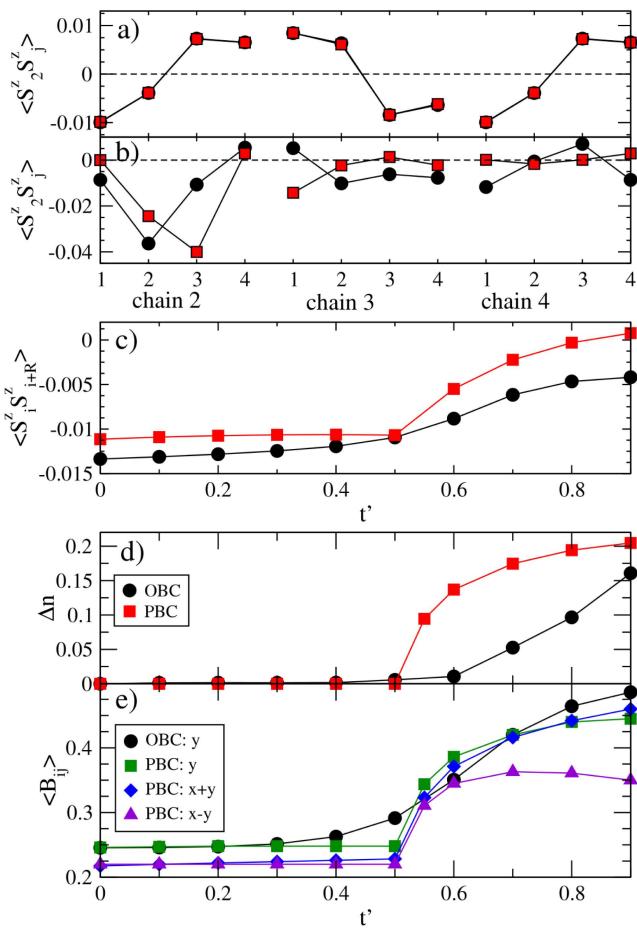


FIG. 5. (a) Spin–spin correlations $\langle S_i^z S_j^z \rangle$ between site 2 (marked with a box in Fig. 4) and sites 1–4 in chains 2–4 for $t' = 0$. In all panels, circles and squares correspond to OBC and PBC calculations, respectively. (b) Spin–spin correlations as in (a), but with $t' = 0.7$. (c) Spin–spin correlations between sites of the most distant dimers vs t' . (d) Charge disproportionation vs t' . (e) Bond orders between pairs of n.n. sites forming localized spin-singlets. For the OBC, these are along the y direction [see Fig. 4(c)]. For the PBC, lattice bonds along y , $x+y$, and $x-y$ all change at the PEC transition [Fig. 4(d)]. These are plotted using squares, diamonds, and triangles, respectively. Reproduced from Li *et al.*, Condens. Matter **22**, 272201 (2010). Copyright 2010 Institute of Physics Publishing.

of this pattern in Fig. 5(b) for large $t' = 0.7$ indicates loss of AFM order. In Fig. 5(c), we plot the spin–spin correlation between maximally separated dimers, which measures the strength of the AFM moment. This correlation is nearly constant until $t' \sim 0.5$, beyond which the AFM order is destroyed.

Figure 5(d) shows the difference in charge densities Δn as a function of t' . There is a rapid increase in Δn , starting from zero, for $t' > t'_c$ with both lattices. Simultaneously with CO, there is a jump in the bond orders $\langle B_{ij} \rangle$ between the sites that form the localized spin-singlets. This is shown in Fig. 5(e). These bond orders are by far the strongest in both lattices for $t' > t'_c$. The spin–spin correlation

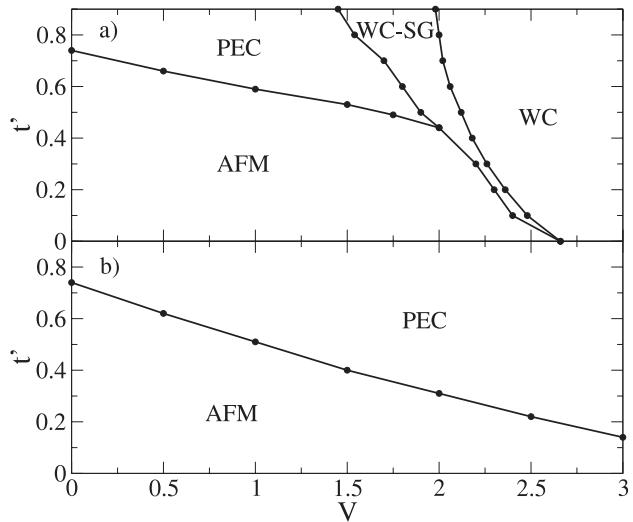


FIG. 6. (a) Phase diagram for the 4×4 lattice of Fig. 4(b) as a function of t' and $V = V_x = V_y$, $V' = 0$, with $U = 6$, $\alpha_v = 1.1$, $g = 0.1$, and $K_\alpha^v = K_g = 2.0$. (b) The same as (a), but with $V = V_x = V_y = V'$. Reproduced from Dayal *et al.*, Phys. Rev. B **83**, 245106 (2011). Copyright 2011 American Physical Society.

between the same pairs of sites becomes strongly negative at the same t' , even as all other spin–spin correlations approach zero [Fig. 5(b)], indicating spin-singlet character of the strongest bonds. The simultaneous jumps in $\langle S_i^z S_{i+R}^z \rangle$, Δn and $\langle B_{ij} \rangle$ at the same t' give conclusive evidence for the AFM–PEC transition shown in Fig. 4.

Figure 6 shows how e–e interactions U and V affect the PEC.⁶ As shown in Fig. 6(a), increasing U moderately increases t'_c . The figure also shows the effect of the n.n. Coulomb interaction V . Here, the phase diagram depends critically on the form assumed for $\langle V_{ij} \rangle$. With $V_x = V_y = V$ but $V' = 0$, the WC is found for sufficiently strong V , along with a narrow region where it coexists with a SG. The very narrow width of the WC-SG phase in the figure indicates the small likelihood of the WC coexisting with SG in real materials. Equally important, most CTS lattices are partially triangular, and the assumption that $V' = 0$ is not realistic. For $V_x = V_y = V'$, the WC and the PEC have the same classical energies; as shown in Fig. 6(b); in this case, the WC is completely replaced by the PEC.

VI. PEC AND SUPERCONDUCTING CORRELATIONS

To summarize Secs. III–V, the PEC is computationally arrived at $\rho \simeq \frac{1}{2}$ independent of dimensionality, with geometric lattice frustration playing a key co-operative role. Experimentally, there is evidence for the PEC in many different families of CTS with 2:1 cation:anion composition ratio and in the few known 1:2 compounds that exhibit SC.¹⁶ This is strong indication that SC evolves from the PEC following weak doping or increased frustration that lead to weak deviation from lattice commensurability. In the following, we briefly review numerical results that support this viewpoint.²⁹

Our calculations were within the static extended Hubbard Hamiltonian without e–p interactions,

$$H = - \sum_{\langle ij \rangle, \sigma} t_{ij} B_{ij, \sigma} + U \sum_i n_{i,\uparrow} n_{i,\downarrow} + \frac{1}{2} \sum_{\langle ij \rangle} V_{ij} n_i n_j, \quad (3)$$

where all terms have the same meanings as in Eq. (1). Our calculations are for anisotropic triangular lattices with $t_{ij} = t_x, t_y, t_{x+y}$, periodic in all three directions. We express all quantities with dimensions of energy in units of t_x ($t_x = 1$). The bulk of our calculations are for $t_y = 0.9$ and t_{x+y} only slightly smaller than t_y . AFM or CO dominate at weaker frustrations, as seen in Secs. III–V. An essential condition for obtaining numerically precise results within the approximate quantum mechanical approaches used by us is having nondegenerate occupancies of single-particle levels in the noninteracting limit. The small inequalities between the hopping integrals maximize the number of densities for which the ground state at or near quarter-filling is nondegenerate. With this constraint of nondegenerate ground states, and consideration of lattices for which $L_y \geq L_x/2$, our only possible choices at the time these computations were done were 10×10 , 10×6 , and 6×6 . We considered the 4×4 lattice in addition, which in spite of degeneracy at $\rho = 0.5$ can be treated exactly.

We define the standard singlet pair-creation operators

$$\Delta_i^\dagger = \sum_v g(v) \frac{1}{\sqrt{2}} \left(c_{i,\uparrow}^\dagger c_{i+\vec{r}_v,\downarrow}^\dagger - c_{i,\downarrow}^\dagger c_{i+\vec{r}_v,\uparrow}^\dagger \right). \quad (4)$$

For $d_{x^2-y^2}$ symmetry, $g(v) = 1, -1, 1, -1$ for $\vec{r}_v = \hat{x}, \hat{y}, -\hat{x}, -\hat{y}$, respectively. For d_{xy} symmetry, $g(v) = 1, -1, 1, -1$ for $\vec{r}_v = \hat{x} + \hat{y}, -\hat{x} + \hat{y}, -\hat{x} - \hat{y}, \hat{x} - \hat{y}$, respectively. We calculated the distance-dependent pair-pair correlations $P(r)$ ($r \equiv |\vec{r}_i - \vec{r}_j|$) and show here the average long-range pair-pair correlation $\bar{P} = N_p^{-1} \sum_{|\vec{r}|>2} P(r)$, where N_p is the number of terms in the sum.³⁰

We found $d_{x^2-y^2}$ and d_{xy} symmetries to dominate over s -wave symmetries. Further, for each lattice, only one of the two d -wave channels is relevant; $d_{x^2-y^2}$ for 4×4 and 10×6 , and d_{xy} for 6×6 and 10×10 . Note that the distinction between $d_{x^2-y^2}$ and d_{xy} symmetries is largely semantic in the strongly frustrated regime we investigate. The complete results are summarized in Fig. 7. For each lattice $\bar{P}(U)/\bar{P}(U=0) > 1$ for a single ρ that is either exactly 0.5 or one of two closest carrier fillings with closed-shell Fermi-level occupancy at $U=0$. Pair correlations are suppressed by U at all other ρ , including the region $0.7 < \rho < 1$ that has been extensively investigated in recent years. The unique behavior of $\bar{P}(U)/\bar{P}(U=0)$ at or near $\rho = 0.5$ cannot be merely coincidences, in view of what we have discussed in Secs. III–V.

Rigorous finite-size scaling of the pair-pair correlations is difficult, both because the enhancement of the pair-pair correlations occur for different symmetries ($d_{x^2-y^2}$ vs d_{xy}) as well as at slightly different densities for the different lattices. In Fig. 8, we have shown our attempt to finite-size scaling of \bar{P} at relatively small U . Long-range superconducting correlations would require that \bar{P} to extrapolate to finite value as $N \rightarrow \infty$. This is clearly not the case as seen in Fig. 8(a). We point out, however, that if we ignore the data points for the largest lattice, 10×10 , $\bar{P}(U)/\bar{P}(U=0)$ does converge to a nonzero value, ~ 0.2 .

There are multiple possible interpretations of the apparent absence of long-range superconducting correlations. One possibility is that the state we are finding is a PEL that is asymptotically close

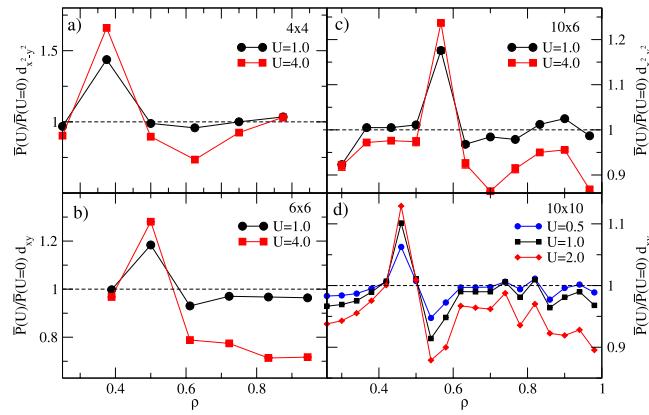


FIG. 7. Average long-range pair-pair correlation $\bar{P}(U)$ normalized by its uncorrelated value for (a) 4×4 , (b) 6×6 , (c) 10×6 , and (d) 10×10 anisotropic triangular lattices, for $t_y = 0.9$ and $t_{x+y} = 0.8$. 4×4 results are exact; 6×6 and 10×6 results used the PIRG method; and 10×10 the CPMC method. Reproduced from Gomes *et al.*, Phys. Rev. B **93**, 165110 (2016). Copyright 2016 American Physical Society.

to a superconducting state with long-range order. It is conceivable that phase coherence and long-range pairing is reached only upon inclusion of e-p interaction, but there should be no doubt that the state evolves from a PEC as commensurability effects are reduced.

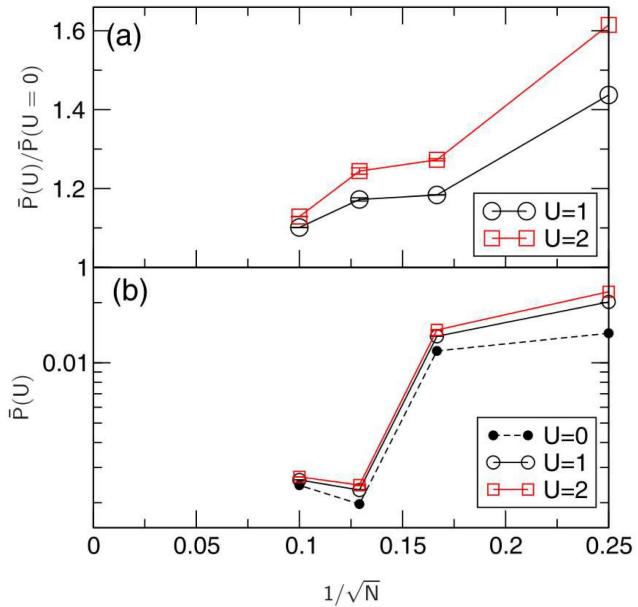


FIG. 8. Finite-size scaling of the $\rho \approx 0.5$ peak of (a) $\bar{P}(U)/\bar{P}(U=0)$ and (b) $\bar{P}(U)$. N is the total number of lattice sites. Reproduced from Gomes *et al.*, Phys. Rev. B **93**, 165110 (2016). Copyright 2016 American Physical Society.

Calculations similar to the above were done also for the κ -CTS lattice geometry,³¹ with parameters corresponding to κ -(ET)₂Cu[N(CN)₂]Cl and κ -(ET)₂Cu₂(CN)₃ (hereafter, κ -Cl and κ -CN, respectively), which have AFM and valence bond solid (VBS) ground states, respectively. The calculations were done for triangular dimerized lattices with 32 and 64 sites (where each site is an ET cation), with intra- and interdimer separations between sites and hopping integrals taken from the literature. Although the electron-concentration ρ_e in the ET cation in these compounds is fixed at 1.5, our calculations were for the full range of concentration $1 < \rho_e < 2$. We used the Path Integral Renormalization Group (PIRG) approach for all ρ_e for 32 sites and for $\rho_e = 1.5$ for 64 sites. For the other densities on 64 sites, we used the CPMC approach. We calculated both the spin–spin structure factor and superconducting pair–pair correlations with four different pairing symmetries, each close to being d -wave.

The calculated spin–spin structure factors at $\rho_e = 1.5$ correctly predicted that κ -CN was further away from AFM than κ -Cl, but true long range AFM order was absent even for the latter in our calculations. We interpreted this to be in agreement with the overall behavior of the κ family, within which relatively few have AFM ground states, with some members even exhibiting CO. The computational results, taken together with the experimental observations, are in broad agreement with our demonstration in Fig. 5 of the proximity in phase space between AFM and PEC. Our computational results of the superconducting pair–pair correlations were very similar to those in Fig. 7 for the triangular lattices: for both the κ -Cl and κ -CN lattices, and for both 32 and 64 sites the pair–pair correlations were enhanced by Hubbard U only for ρ_e exactly 1.5 or immediately proximate to this, for the same pairing symmetry.³¹

VII. POSSIBLE APPLICATION OF THE PEC THEORY TO CUPRATE SUPERCONDUCTORS

Fully 36 years after the discovery of SC in the cuprates, there is little progress toward understanding of this and related phenomena in these materials. Theoretical and computational investigations of cuprates have largely been within the single-band copper(Cu)-only Hubbard Hamiltonian, based on (a) the occurrence of commensurate AFM in the undoped semiconductors, and (b) the Zhang–Rice theory, which claimed that the three-band description of the doped cuprates that do retain the oxygen (O)-ions can be reduced to the Cu-only one-band model.³² This approach is encountering serious impasse. First, recent careful computational studies by many different groups have found the absence of SC within the doped 2D Hubbard model with n.n. only hopping for carrier concentration 0.7–0.9,^{33–35} as well as with more distant hoppings for the hole-doped case.^{36,37} Our earlier results in Fig. 7 had found the same absence of SC in this carrier concentration range. As of writing, this problem has not been resolved. More importantly, the original Zhang–Rice work had not included the direct O–O hopping that characterizes real materials.³⁸ We have recently demonstrated the absence of quasi long-range superconducting correlations within the three-band Hamiltonian in the two-leg Cu₂O₃ ladder,³⁹ in direct contradiction to theoretical results obtained within the one-band Hamiltonian for the two-leg ladder.^{40,41} The strong inequivalence

between one-band and three-band ladder results indicates the breakdown of the Zhang–Rice theory upon inclusion of direct O–O hopping.³⁹ There is no reason to believe that the validity of the theory will be restored upon going from the two-leg ladder to the 2D layer.

Beyond the above, satisfactory explanations of the spatial broken symmetries within the existing theoretical approaches have still not been reached. Experimental observations that continue to pose severe challenges include: (a) density wave of Cooper pairs^{8–10} that we have already mentioned; (b) momentum space CO periodicity ((Q, 0); (0, Q)), which is often interpreted as a quasi-1D stripe but in reality describes *intertwined orthogonal stripes*; (c) saturation with doping of Q to $2\pi/4a_0$, where a_0 is the lattice constant, and finally, (d) simultaneous breaking of translational and C₄ rotational symmetries, with the broken symmetry state characterized by inequivalency of O-ions belonging to the same Cu₂O unit cell.⁴² Each of these observations lie outside the scope of not merely the one-band Hubbard model but even the simple three-band model Hamiltonian. Theoretical and experimental developments, taken together, suggest that the fundamental assumptions that have gone into understanding SC in cuprates needs serious reexamination.

Simultaneous resolution to all of the above issues is reached within the dopant-induced valence-transition hypothesis that we have proposed for cuprates,^{43,44} within which the PEC occurs naturally following the transition. The hypothesis is based on three separate but closely-related observations in transition metal oxides and heavy fermions that go beyond the concepts that have been used to understand cuprates until now. First, recent years have seen discoveries of *negative charge-transfer gap* in a large number of oxides and chalcogenides in which the true charge on the metal cation is M⁽ⁿ⁻¹⁾⁺ with consequent *noninteger* charge on O-ions, instead of simple Mⁿ⁺ and O²⁻ as would be expected from the chemical formula. A partial list includes (i) BaBiO₃, with Bi-ion charge uniformly 3+ (instead of alternating 3+ and 5+, as was believed until recently), (ii) rare-earth (RE) nickelates (RE)NiO₃ with Ni²⁺ (instead of Ni³⁺), (iii) FeO₂ with Fe³⁺ (instead of Fe⁴⁺), (iv) CrO₂ with Cr³⁺ (instead of Cr⁴⁺), (v) AuTe₂ with Au¹⁺, etc. (see Ref. 44 for extended discussion and original citations). Second, as noted by us, in each of these cases M⁽ⁿ⁻¹⁾⁺, electron occupancy is exactly closed-shell (d¹⁰, t_{2g}⁶) or exactly $\frac{1}{2}$ -filled (d⁵, t_{2g}³, e_g²) for the specific crystal structure, indicating that the M⁽ⁿ⁻¹⁾⁺ → Mⁿ⁺ ionization energy (IE) is higher than usual, a necessary condition for the system to have negative charge-transfer gap. This is where we further note that the electron occupancy d¹⁰ of Cu¹⁺ necessarily implies that the second IE of Cu (Cu¹⁺ → Cu²⁺) is unusually high relative to the second IE of other 3D-elements,⁴³ indicating that cuprates are naturally very close to the boundary between positive and negative charge transfers. The third observation is that pressure- and temperature-driven transitions between different charge configurations that are close to the same boundary have been known in organic donor-acceptor charge-transfer complexes and heavy fermion compounds for over four decades (see Ref. 44 for complete discussions and citations). We, therefore, hypothesize that doping drives a similar valence transition in the layered cuprates, due to the reduced Madelung energy gain and greater O-hole bandwidth upon doping.⁴⁴

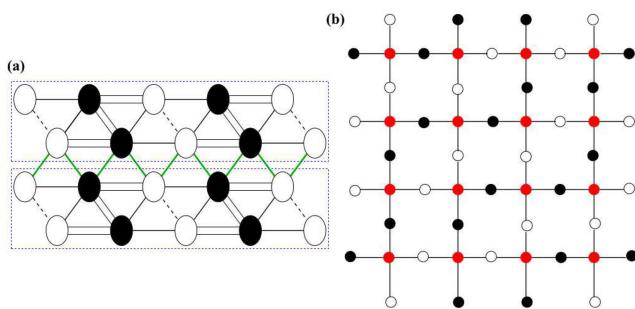


FIG. 9. (a) PEC charge order in the 2D $\rho = \frac{1}{2}$ anisotropic triangular lattice filled and unfilled circles correspond to charge-rich and charge-poor sites; the strongest bonds are represented by double lines and the weakest by dotted lines. Filled circles connected by double lines are spin-singlet paired. Reproduced from Gomes *et al.*, Phys. Rev. B **93**, 165110 (2016). Copyright 2016 American Physical Society. (b) Paired charge order in the checkerboard $\frac{1}{4}$ -filled oxygen sublattice of the CuO₂ plane following valence transition. The cations are uniformly Cu¹⁺. Migration of holes from the erstwhile Cu²⁺ ions generates the $\rho \approx \frac{1}{2}$ O-lattice. Filled and unfilled circles correspond to O¹⁻ and O²⁻ ions, respectively. Pairs of O¹⁻ ions linked by the same closed-shell Cu¹⁺ will be spin-paired. The figure corresponds intertwined density waves with periodicities $(2\pi/4a_0, 0)$ and $(0, 2\pi/4a_0)$. Reproduced from Mazumdar *et al.*, Phys. Rev. B **98**, 205153 (2018). Copyright 2018 American Physical Society.

The valence-transition hypothesis readily allows overcoming the difficulties within the existing models for cuprates. The closed-shell Cu¹⁺ ions are now electronically inactive (exactly as the closed-shell O²⁻ ions are irrelevant in the undoped limit), and the hole density on the O-ions is nearly $\frac{1}{2}$ in both electron- and hole-doped cuprates following the transition (since there are twice as many O-ions as Cu-ions). *Period 4 PEC of the holes on the O-ions is now a real possibility.* In Fig. 9, we have shown a schematic of the PEC proposed by us for the O-sublattice with hole charge-density $\frac{1}{2}$. We note that (a) the spin-paired CO lacks C₄ symmetry, thus explaining the simultaneous disappearance of translational and rotational symmetry (b) the PEC consists of criss-crossed stripes with periodicities $(4a_0, 0)$ and $(0, 4a_0)$, as deduced from experiments. Computations are currently in progress to investigate whether or not such a paired CO occurs in the weakly doped Cu¹⁺O²⁻O¹⁻ lattice. These studies will be followed by search for the PEL state on the same lattice.

VIII. CONCLUSIONS AND CONJECTURES

Spin-singlet formation is an essential step to reaching SC. The emergence of SC from the PEC can, therefore, be construed as a very natural phenomenon. In dimensionality greater than 1, however, the density wave must be commensurate. Carrier density at or near $\rho = \frac{1}{2}$ leads naturally to commensurate PEC, which we have shown from accurate 2D correlated-electron calculations. Computations further indicate that only at or close to this density pair-correlations are enhanced by Hubbard U , yet another essential requirement for SC. Equally important, (a) SC in organic CTS is limited to $\rho = \frac{1}{2}$, even as nonsuperconductors with other carrier densities exist, and (b) the CO state proximate to SC, when they both occur, have been

found to be the PEC. The idea that SC or PEL from the $\rho = \frac{1}{2}$ PEC in the CTS, thus, appears to have a firm basis.

In the context of the cuprates, the valence transition hypothesis, even as it is orthogonal to existing theories of cuprates, provides a strong starting point based on which the entire gamut of apparently contradictory observations can perhaps be explained. It is also relevant that there exists no alternate theoretical model currently that has either found long-range superconducting correlations or has demonstrated a Cooper-pair density wave (we exclude here theoretical models that start from unrealistic parametrizations, e.g., models which would give a spin-gapped state in the undoped limit rather than the experimentally observed commensurate AFM). We end this review by pointing out that very recent experimental works have claimed that even the strange metal phase in the cuprates evolves from the paired CO phase, and the charge carriers in this phase are Bosons.^{45,46} Within our theory, the strange metal is a PEL. Observation of the strange metal state⁴⁷ proximate to the $2k_F$ CDW-SDW state in (TMTSF)₂PF₆, which we have shown to be a PEC (see Sec. IV A), supports this viewpoint.

DEDICATION

It is a pleasure to contribute this review paper to the special issue of Chaos dedicated to the 80th birthday of Professor David K. Campbell. David has been a mentor to and longtime collaborator of both of us. Mazumdar did his postdoctoral research under David's supervision at the Center for Nonlinear Studies, Los Alamos National Laboratory, where he was introduced to the fascinating topic of nonlinear excitations in organic conjugated polymers. Clay did his Ph.D. research under David's supervision in the Department of Physics, University of Illinois at Urbana-Champaign. Some of our earliest works on the paired-electron crystal, the topic of the present paper, were results of extended discussions and collaborations with David, that have continued over the years. We look forward to continued interactions with David on this and related topics.

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AUTHOR DECLARATIONS

Conflict of Interest

The authors have no conflicts to disclose.

Author Contributions

Sumit Mazumdar: Conceptualization (equal); Investigation (equal); Writing – original draft (equal); Writing – review & editing (equal). **R. Torsten Clay:** Conceptualization (equal); Investigation (equal); Writing – original draft (equal); Writing – review & editing (equal).

DATA AVAILABILITY

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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