

1 A Generic Stochastic Hybrid Car-following Model Based on Approximate  
2 Bayesian Computation

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15 **Abstract**

16 Car following (CF) models are fundamental to describing traffic dynamics. However, the CF behavior of  
17 human drivers is highly stochastic and nonlinear. As a result, identifying the “best” CF model has been  
18 challenging and controversial despite decades of research. Introduction of automated vehicles has further  
19 complicated this matter as their CF controllers remain proprietary, though their behavior appears different  
20 than human drivers. This paper develops a stochastic learning approach to integrate multiple CF models,  
21 rather than relying on a single model. The framework is based on approximate Bayesian computation that  
22 probabilistically concatenates a pool of CF models based on their relative likelihood of describing  
23 observed behavior. The approach, while data-driven, retains physical tractability and interpretability.  
24 Evaluation results using two datasets show that the proposed approach can better reproduce vehicle  
25 trajectories for both human-driven and automated vehicles than any single CF model considered.

26

27 **Keywords:** Car following, Stochastic calibration, Approximation Bayesian computation, Hybrid model,  
28 Model selection

29 **1. Introduction**

30 Car-following (CF) behavior describes how one vehicle follows its nearest preceding vehicle. This  
31 fundamental driving behavior is deeply linked to system-level behavior such as traffic dynamics (i.e.,  
32 spatial-temporal evolution of traffic) and has important implications for traffic safety, energy  
33 consumption, and emissions. A CF model for human driven vehicles (HDV) was first introduced by  
34 Pipe(Pipes, 1953) in the 1950s. Today a wealth of CF models exists in the literature, including stimulus-  
35 response type models(Gazis et al., 1959; Herman et al., 1959), Newell's simplified CF model(Newell,  
36 1961) and its extensions(Chen et al., 2014; Laval & Leclercq, 2010), statistical physics-based models  
37 such as optimal velocity model (OVM)(Bando et al., 1995) and intelligent driver's model (IDM)(Kesting  
38 et al., 2010), and psycho physics based models such as Wiedemann model(Wiedemann, 1974). Notably,  
39 some of these models have been incorporated in various commercial microsimulations. For a detailed  
40 review of CF models, see Saifuzzaman & Zheng(2014).

41 A plethora of CF models gave rise to persistent debates about which model best describes the real-world  
42 CF behavior. These debates continue today despite the nearly 70 years of history. These debates stem  
43 from the complexity of CF behavior, which is intrinsically nonlinear, heterogeneous, and stochastic. It has  
44 been challenging to replicate observed data with a single CF model, given that most existing CF models  
45 have deterministic formulations. Some exceptions exist to address the complexity in CF behavior through  
46 (1) probabilistic distributions of CF model parameters(Higgs & Abbas, 2015; Kerner, 2004; Treiber et al.,  
47 2010) and (2) multi-regime CF models according to traffic conditions(Kerner, 2004; Kidando et al., 2020;  
48 Treiber et al., 2010). The former approach, however, is typically parametric, requiring an assumption of a  
49 theoretical distribution. This can lead to bias when there is a discrepancy between the assumed and true  
50 distributions. For the latter approach, different CF models are considered for different traffic conditions.  
51 These frameworks, however, are deterministic and consider a relatively narrow selection of CF models  
52 (e.g., 3-4 models). Some data-driven methods such as clustering(Higgs & Abbas, 2014) and  
53 regression(Papathanasopoulou & Antoniou, 2015) are considered to characterize stochasticity; however,  
54 they provide little physical interpretation.

55 The emergence of automated vehicles (AVs) brings another level of complexity to traffic flow systems. In  
56 academic literature, AV CF control algorithms have been predominantly developed based on the  
57 principles of control theory (e.g., linear feedback(Makridis et al., 2021; Zhou et al., 2019), model  
58 predictive control (MPC)(Shi & Li, 2021 , Zhou et al., 2020), or artificial intelligence(Shi et al., 2021),  
59 distinct from the mathematical and physical approaches of the CF models of HDVs. Thus, the AV CF  
60 behavior could be different from the HDV behavior. Further, similar to HDVs, AV CF can be affected by  
61 actuation delay, uncertain vehicle dynamics, road conditions, and traffic conditions, leading to highly  
62 stochastic behavior. Finally, AVs manufactured by different car companies are available on the market  
63 today. Their control algorithms are likely different, yet unknown to the public, which hinders our ability  
64 to characterize the CF behavior of AVs.

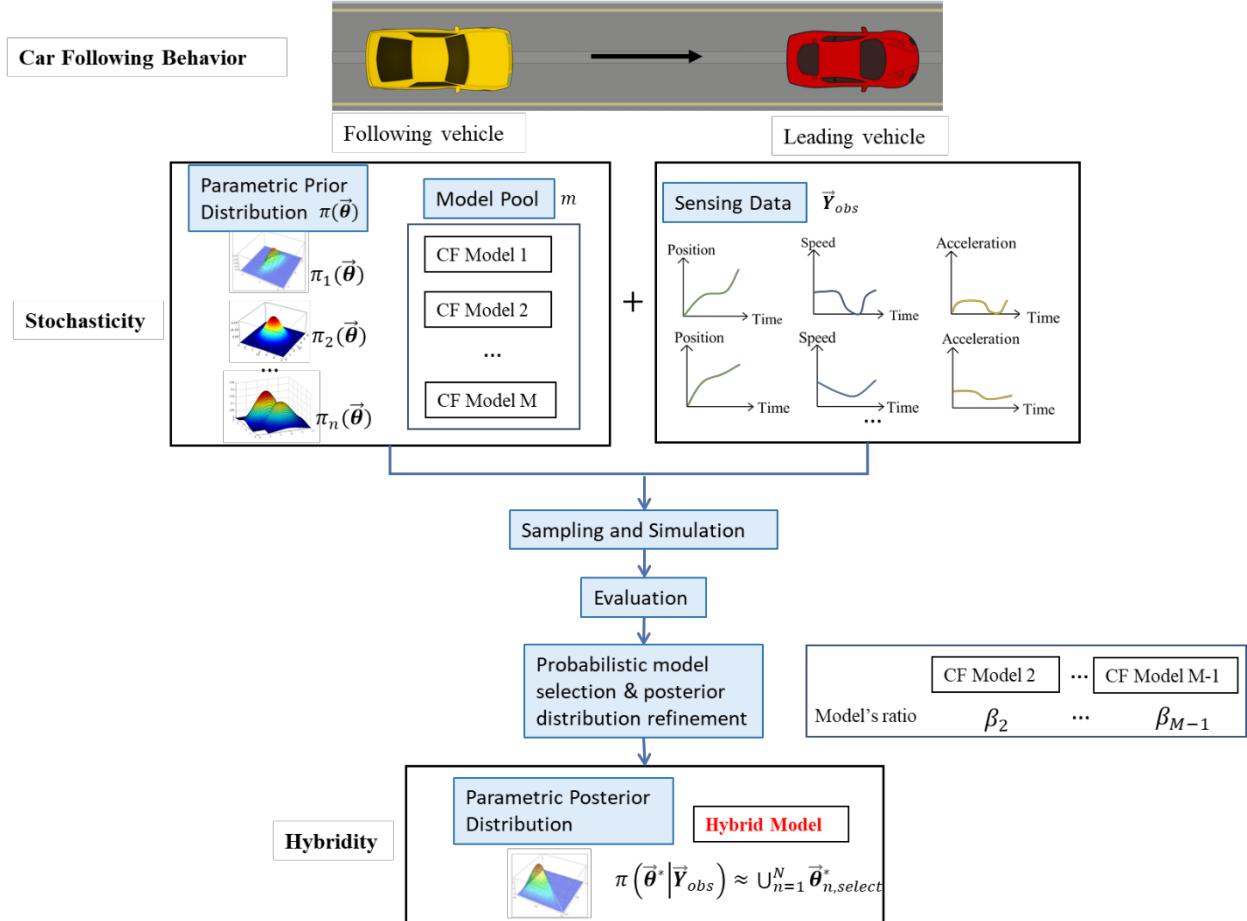
65 To better understand the CF behavior of AVs, several field experiments involving vehicles with adaptive  
66 cruise control (ACC) have been conducted(Li et al., 2022; Makridis et al., 2021; Shi & Li, 2021). The  
67 data from these experiments have been used by several studies to model and replicate the AV CF  
68 behavior, with two different approaches: (1) model-based and (2) data-driven. In the model-based  
69 approach, a CF model is assumed, and its parameters (and their distributions) are learned from  
70 observations. [This approach readily offers physical interpretations of the behavior but suffers from potential model mismatch where the true model is different from the assumed model caused by unmodelled components](#). Further, efforts to capture stochasticity in CF behavior through estimating  
71 parameter distributions typically involve an assumption of distribution(Rahman et al., 2015). Thus, a  
72 mismatch in CF model and/or the parameter distributions can compromise the learning results and the  
73 descriptive power of the assumed CF model. In addition, learning the stochastic behavior with non-  
74 analytical CF model (e.g., MPC) is computationally demanding, and thus an efficient tool is necessary. In  
75

77 contrast, data-driven methods, such as neural network (NN) based methods(Hornik et al., 1989, 1990), are  
78 capable of describing any type of nonlinear functions given sufficient neurons and layers. However, the  
79 black-box nature of these methods hinders direct physical interpretation. Further, the learned NN is  
80 limited by the training dataset, and thus, it may not effectively handle corner cases not represented in the  
81 training data.

82 The review above reveals the persistent challenges to address highly nonlinear and stochastic nature of  
83 CF behavior that has been further complicated by the arrival of AVs. **The CF uncertainties could stem**  
84 **from multiple sources such as intra-driver and inter-driver heterogeneity, uncertain vehicle dynamics, and**  
85 **uncertain road conditions.** To fill this major gap, this paper presents a comprehensive framework that  
86 systematically considers a pool of CF models and various uncertainties and stochasticity. Specifically, the  
87 proposed framework generates a hybrid CF model that represents the probabilistic concatenation of a pool  
88 of CF models based on their abilities to reproduce the real behavior measured from sensors. The general  
89 framework is illustrated in Fig. 1. The core method of the framework is approximate Bayesian  
90 computation (ABC), a computational method to approximate the posterior model parameter distributions  
91 through simulations without assuming a specific likelihood function (Toni et al., 2009). ABC has been  
92 originally used in population genetics(Beaumont et al., 2002; Tavaré et al., 1997), but has also been  
93 widely applied in biology(Liepe et al., 2014) and ecology(DiNapoli et al., 2021). Our recent study, Zhou  
94 et al. (2022), developed a methodology based on ABC to calibrate a single CF model or controller in a  
95 stochastic fashion. This approach serves as a foundation for the present work that probabilistically  
96 compares across different CF models and generates a stochastic hybrid model.

97 In our framework, particles (i.e., sets of model parameter values) for each CF model are randomly  
98 generated in large quantity from an assumed prior joint distribution in an independent fashion. When only  
99 a single CF model is considered, all accepted particles from the model can be used to construct the  
100 posterior distribution of the model parameters. In contrast, when multiple CF models are considered,  
101 particles are evaluated based on the universal distance function (across CF models) that measures the  
102 discrepancy between simulated vehicle trajectories based on the particles and real trajectories. A universal  
103 threshold for the distance is then applied to accept only the particles that generate trajectories within the  
104 acceptable distance. Accordingly, the relative share of accepted particles represents the relative likelihood  
105 of the model describing the observed behavior. Then the accepted particles are used to approximate the  
106 posterior distribution of the hybrid CF model in a Bayesian fashion by concatenating the models  
107 according to the relative likelihoods. Thus, the learned hybrid CF model enhances the capability of  
108 describing nonlinear CF behavior while preserving the physical meaning of each CF model.

109 Note that the proposed framework is stochastic and hybrid, designed to provide a richer understanding of  
110 CF behavior while improving learning accuracy. It is *stochastic* in the senses that (1) it estimates the joint  
111 distributions of CF model parameters; and (2) it considers the relative likelihood of each CF model fitting  
112 the observed behavior. It is *hybrid* in the senses that (1) it concatenates various CF models, rather than  
113 relying on a single best-fitting model, as previously done, according to the relative likelihood; and (2) it  
114 deploys a data-driven method to estimate the joint distributions of physics-based CF models, thereby  
115 retaining physical interpretability while improving learning accuracy. **The hybrid model is particularly**  
116 **useful in determining which control algorithm is most likely adopted for an AV and approximating its**  
117 **behavior in the absence of the controller knowledge.** Our method is verified through a series of  
118 evaluations using synthetic and real data. The hybrid model is shown to significantly outperform any  
119 single model or deterministic models in reproducing vehicle trajectories.



120

121 **Fig. 1 Scheme of the CF behavior learning framework.**122 

## 2. Methodology

123 

### 2.1. General stochastic CF learning problem

124 A general form of CF learning problem can be described as below:

125

$$\min_{\theta} g(\mathbf{y}_f - \hat{\mathbf{y}}_f) \quad (1a)$$

$$\text{s.t.} \quad \hat{\mathbf{y}}_f = f(\mathbf{y}_l; \theta), \quad (1b)$$

126 where  $\mathbf{y}_f$  is a set of observed ground-truth state portfolios of the following vehicles,  $\mathbf{y}_f =$   
 127  $\{\vec{\mathbf{Y}}_{f,1,obs}, \vec{\mathbf{Y}}_{f,2,obs}, \dots, \vec{\mathbf{Y}}_{f,I,obs}\}$ ; we define the state portfolio for vehicle  $i = 1, 2, \dots, I$ , denoted by  $\vec{\mathbf{Y}}_{f,i,obs} =$   
 128  $[\vec{\mathbf{p}}_{f,i,obs}, \vec{\mathbf{v}}_{f,i,obs}, \vec{\mathbf{a}}_{f,i,obs}]^T$ , to represent a vector of observed position, speed, and acceleration profiles  
 129 over time. Similarly, we denote the set of simulated state portfolios for the following vehicles by  $\hat{\mathbf{y}}_f =$   
 130  $\{\vec{\mathbf{Y}}_{f,i,sim}\}_{i=1,2,\dots,I}$ . Here, we extend the operation “ $-$ ” for the state portfolios set to define  $g(\cdot)$  as a  
 131 predefined error (distance) function, measuring the deviation between the observed and learned state  
 132 portfolios. Here Eq. (1a) is the objective function to measure the goodness of fit. In particular, the CF  
 133 model, denoted by  $f(\mathbf{y}_l; \theta)$ , is parameterized on vector  $\theta$ , given the leading vehicle’s state portfolios  $\mathbf{y}_l$

134  $= \{\vec{Y}_{l,i,obs}\}_{i=1,2,\dots,l}$ . According to *Eq. (1a)* and *Eq. (1b)*, the error function, CF model, and observed state  
 135 portfolios are three critical components for model learning.

136 For stochastic extension, we introduce uncertainty to parameter  $\vec{\theta}$ . In particular, we revise the CF model  
 137 into  $f(\mathbf{y}_l; \pi(\vec{\theta}))$ , where  $\pi(\vec{\theta})$  indicates a sampled  $\vec{\theta}$  from a given random distribution  $\pi$ . Therefore,  
 138 instead of finding the best  $\vec{\theta}$  value in deterministic CF models, the decision variable for stochastic CF  
 139 model learning is the whole distribution  $\pi(\vec{\theta})$ . In addition, to explicitly reflect the variation of CF model  
 140 forms, e.g., IDM or MPC, we denote  $M$  to be the index set of all CF models, and  $f(\mathbf{y}_l; \pi(\vec{\theta}), m)$  to be one  
 141 specific CF model  $m \in M$ . In our context, we further consider a hybrid stochastic CF model learning  
 142 problem. In particular, stochasticity comes from not only the parameter  $\vec{\theta}$  but also the form of the CF  
 143 model. We denote such a hybrid stochastic CF model by  $f(\mathbf{y}_l; \pi(\vec{\theta}, m))$  to show the model and  
 144 parameters can be random at the same time.

145 Unlike the previous studies that rely on a single model, the hybrid stochastic CF model makes use of  
 146 multiple CF models, providing rich model function representability. Further, the ABC-based model  
 147 selection framework offers flexibility and interpretability.

148 *2.2. Approximate Bayesian computation mechanism*

149 We adopt the ABC-based learning of CF model parameters presented in our previous paper (Zhou et al.,  
 150 2022) as summarized below. The main focus of Bayesian inference is to obtain the posterior distribution  
 151 when given observations and the prior distribution of parameters, written as:

$$152 \quad \pi(\vec{\theta} | \vec{Y}_{obs}) = \frac{l(\vec{Y}_{obs} | \vec{\theta}) \pi(\vec{\theta})}{\vec{Y}_{obs}}, \quad (2)$$

153  
 154 where  $\pi(\vec{\theta})$  represents the prior distribution of parameters,  $l(\vec{Y}_{obs} | \vec{\theta})$  represents the likelihood of  $\vec{\theta}$  given  
 155 the observed state portfolio data  $\vec{Y}_{obs}$ , and  $\pi(\vec{\theta} | \vec{Y}_{obs})$  is the posterior distribution.

156 Although the prior distribution of CF model parameters can be given or assumed, *Eq. (2)* often presents a  
 157 challenge as the likelihood function  $l(\vec{Y}_{obs} | \vec{\theta})$  is often not accessible. In such cases, it becomes  
 158 imperative to explore an alternative technique to circumvent the requirement of the likelihood function  
 159 and empirically approximate the posterior distribution using the available prior distribution and observed  
 160 data. To achieve this, ABC, relying on large-scale simulation, is applied here (Toni et al., 2009). Rather  
 161 than deriving the likelihood based on specific assumptions (Hinsbergen et al., 2009; Abodo et al., 2019),  
 162 ABC approximates it through simulations, without necessitating a predefined function form of prior  
 163 distribution or likelihood. Such likelihood-function-free structure renders ABC a powerful tool to learn  
 164 complicated even non-analytical CF models such as model predictive control.

165 A simple but important ABC approach is the ABC rejection sampling (ABC-RS) (Beaumont et al., 2002).  
 166 It repeats the following simulation process: (1) randomly sample a parameter vector  $\vec{\theta}^*$ , called a *particle*,  
 167 from a given prior distribution  $\pi(\vec{\theta})$ ; (2) plug  $\vec{\theta}^*$  into the CF model  $f(\mathbf{y}_l; \vec{\theta}^*)$  to simulate state portfolios  
 168  $\hat{\mathbf{y}}_f^*$ ; (3) compare the simulated data against the real observation using a pre-defined distance function  
 169  $g(\mathbf{y}_f - \hat{\mathbf{y}}_f^*)$  and accept the particle  $\vec{\theta}^*$  if the distance is smaller than a certain threshold. Such distance is  
 170 called the *score of the particle*. Lowering the threshold value typically necessitates an increase in the  
 171 number of simulations and a decrease in the acceptance rate (Zhou et al., 2022). A large number of  
 172 simulations are typically needed (e.g., 1 million times) to obtain a sufficient number of accepted particles.  
 173 Finally, the posterior joint distribution is estimated using the  $N$  accepted particles, written as:

$$\pi(\vec{\theta}^* | \vec{Y}_{obs}) \approx \cup_{n=1}^N \vec{\theta}_{n,select}^* \quad (3)$$

174

175 where  $\vec{\theta}_{n,select}^*$ ,  $n = 1, 2, \dots, N$  is an accepted particle. Without loss of generality, we assume the particles  
 176 selected are sorted in ascending order based on their score, and the index  $n$  indicates the order. The  
 177 central idea of ABC is that the particles that reproduce state portfolios close to the real observation should  
 178 also have good proximity to the learned posterior distribution.

179 Regarding the distance function  $g$  in Eq. (1a), multiple measures are applied to assess the learning  
 180 accuracy, such as the sum of squared errors(Toni et al., 2009) and Euclidean distance(DiNapoli et al.,  
 181 2021). Here, we design our own distance function. We first define the deviations (errors) of vehicle  
 182 position,  $(e_{p,\vec{\theta}^*})$ , velocity  $(e_{v,\vec{\theta}^*})$ , and acceleration  $(e_{a,\vec{\theta}^*})$ :

183

$$e_{p,\vec{\theta}^*} = \frac{1}{I} \sum_{i=1}^I \|\vec{p}_{f,i,sim} - \vec{p}_{f,i,obs}\|, \quad (4a)$$

$$e_{v,\vec{\theta}^*} = \frac{1}{I} \sum_{i=1}^I \|\vec{v}_{f,i,sim} - \vec{v}_{f,i,obs}\|, \quad (4b)$$

$$e_{a,\vec{\theta}^*} = \frac{1}{I} \sum_{i=1}^I \|\vec{a}_{f,i,sim} - \vec{a}_{f,i,obs}\|, \quad (4c)$$

184

185 where  $I$  is the total number all CF pairs chosen for learning. Then the distance function or the score of  
 186 particle  $\vec{\theta}^*$ , denoted by  $g_{\vec{\theta}^*}$ , can be defined as the weighted sum of the error:

187

$$g_{\vec{\theta}^*} = \alpha_1 e_{p,\vec{\theta}^*} + \alpha_2 e_{v,\vec{\theta}^*} + \alpha_3 e_{a,\vec{\theta}^*}. \quad (5)$$

188

189 The weights assigned to each error term, denoted as  $\alpha_1$ ,  $\alpha_2$ , and  $\alpha_3$ , range from 0 to 1, with the constraint  
 190 that  $\alpha_1 + \alpha_2 + \alpha_3 = 1$ . Given that position data typically exhibit greater reliability within state portfolios  
 191 compared to speed and acceleration data, we establish a representative example and default values for  
 192 these weights. Specifically, we set  $\alpha_1 = 0.5$ ,  $\alpha_2 = 0.3$ , and  $\alpha_3 = 0.2$  after tuning, reflecting the relative  
 193 importance attributed to each error term in the model.

194 In practice, when  $I$  is a large number and the simulation of  $f(\vec{y}_l; \vec{\theta})$  is time-consuming (e.g., MPC), the  
 195 evaluation of  $g_{\vec{\theta}^*}$  can be slow. To speed up, we can estimate  $e_{p,\vec{\theta}^*}$ ,  $e_{v,\vec{\theta}^*}$ ,  $e_{a,\vec{\theta}^*}$  and  $g_{\vec{\theta}^*}$  by randomly  
 196 sampling one CF pair  $i^*$ , e.g.,  $e_{p,\vec{\theta}^*}^* = \|\vec{p}_{f,i^*,sim} - \vec{p}_{f,i^*,obs}\|$ , denoted by  $g_{\vec{\theta}^*}^*$ . This down sampling  
 197 process can be considered as the simulation uncertainty. Note that since  $g_{\vec{\theta}^*}^*$  is randomly evaluated, pair  
 198 selection  $i^*$  can potentially dominate the impact of particle  $\vec{\theta}^*$ . To avoid such an over-representing issue,  
 199 we select particles based on their corresponding CF pairs, i.e.,  $\pi(\vec{\theta}^* | \vec{Y}_{obs}) \approx \cup_{i=1}^I \cup_{n_i=1}^{N_i} \vec{\theta}_{n_i,select}^*$ , where  
 200  $N_i$  is the number of particles evaluated by CF pair  $i$ . Similarly, without loss of generality, we assume  $n_i$  to  
 201 be the order of sorted particles evaluated from each CF pair  $i$ , respectively.

202 Therefore, the learning result of the stochastic CF model using the ABC method is a distribution  
 203 estimated by the optimal particle set  $\Theta^{opt} = \cup_{i=1}^I \cup_{n_i=1}^{N_i} \vec{\theta}_{n_i,select}^*$ , i.e., a combination set of all selected  
 204 particles. To reproduce the state portfolios using the learned stochastic CF model, one particle is  
 205 randomly selected from  $\Theta^{opt}$  to capture the uncertain nature of driving behavior.

### 206 2.3. Hybrid CF model

207 The above ABC framework can be adopted for a specific CF model form. Note that the learned result  
 208 takes the form of a set of selected particles. Thus, it can be easily extended to incorporate multiple CF  
 209 models for learning to enhance its representability. A hybrid model retains a subset of particles across

210 models, which can offer a richer understanding of CF behaviors of HDVs and AVs, and more refined  
211 micro-simulation. The detailed steps to obtain hybrid model are described below and shown in Fig. 2.

## 212 **Step 1: Initialization**

213 Define a set of candidate CF models, indexed by  $m \in M$ , where both CF controllers for AVs and CF  
214 models for traditional HDVs are included. For each CF model/controller  $m$ , we denote a sampled particle  
215 by  $\vec{\theta}_m^*$  under the given prior distribution  $\pi_m(\cdot)$ . The prior distribution set for overall models is  $\Pi =$   
216  $\{\pi_1(\cdot), \pi_2(\cdot), \dots, \pi_M(\cdot)\}$ .

## 217 **Step 2: Learning of each model through ABC**

218 We process ABC-RS independently for each model  $m$ . A large number of (e.g., >1 million) particles are  
219 independently sampled for each model. When all learning processes are completed, the optimal selected  
220 particle set for each model is obtained as  $\Theta_m(N_m) = \bigcup_{i=1}^I \bigcup_{n_i=1}^{N_m} \vec{\theta}_{m,n_i,select}^*$ .

## 221 **Step 3: Model selection**

222 In the literature, model selection is typically performed by the likelihood ratio test combining with Bayesian  
223 methods, where competing models are ranked by the ratio of their posterior probabilities(Vyshemirsky &  
224 Girolami, 2008). However, since marginal likelihoods cannot be evaluated analytically for CF models,  
225 deriving exact posterior distributions is also impossible. Instead, we establish a probabilistic model  
226 selection approach based on the distance function in *Eq. (5)*.

227 Firstly, all particles from all models are merged and further selected with their corresponding particle  
228 scores:

229

$$\Theta_{merge} = \bigcup_{m=1}^M \Theta_m(N), \quad (6)$$

230 where  $\Theta_{merge}$  represents the merged particle set. Then we sort the score of particles in  $\Theta_{merge}$  and select  
231 the best  $N^A$  particles as the learned result, denoted by  $\Theta_{hybrid}$ . Note that we need to set  $N \gg N^A$  to  
232 guarantee the over-representing issue is not prominent. Since  $\Theta_{hybrid}$  may contain the particles from any  
233 CF models, we can calculate the percentage of particles selected from certain model to see its impact,  
234 denoted by:

235

$$\beta_m = \frac{1}{N^A} |\Theta_m \cap \Theta_{hybrid}|. \quad (7)$$

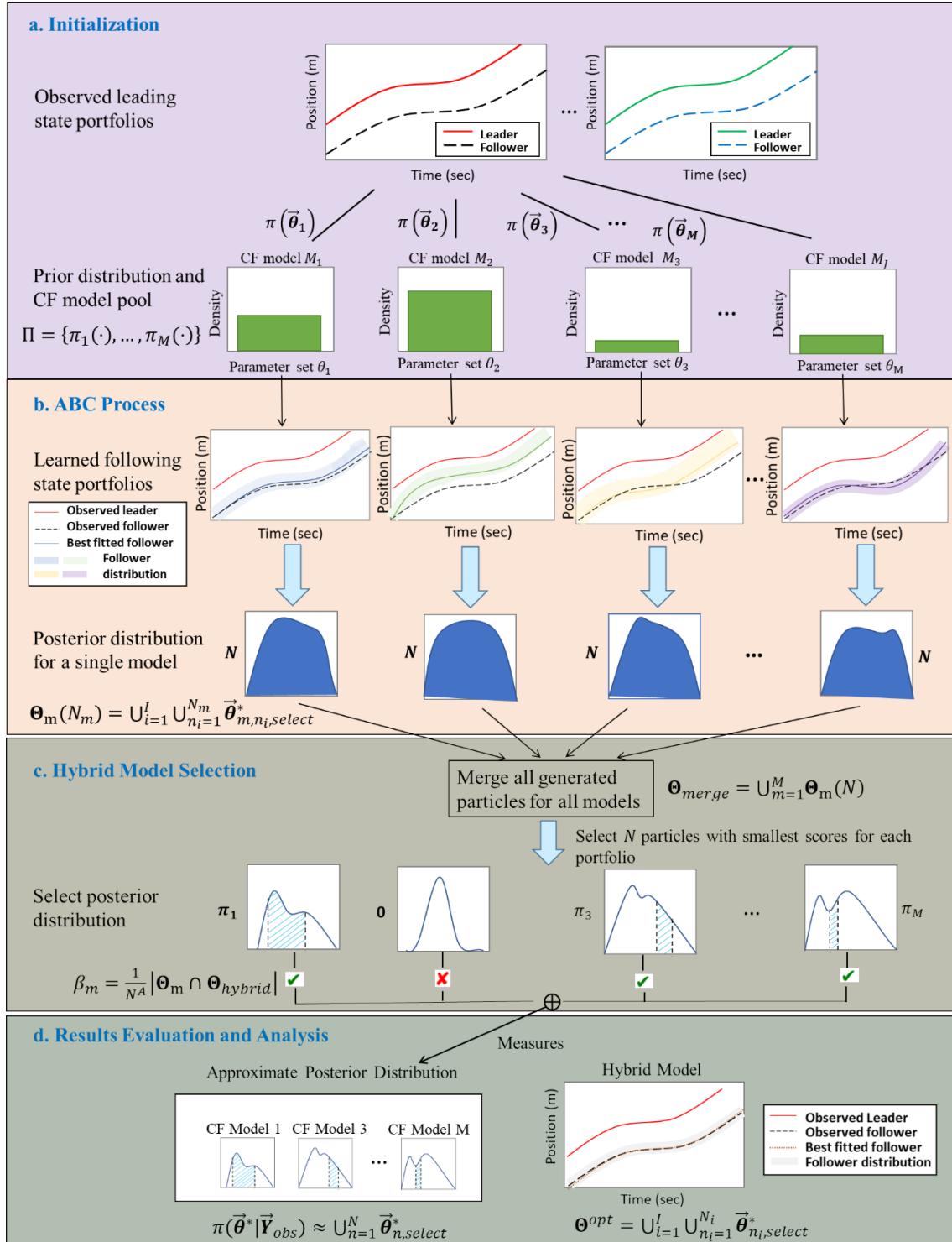
236

237 It is intuitive that  $\beta_m$  is the estimated probability of each model  $m$  being selected in the hybrid model  
238 based on the estimated posterior distributions.

### 239 *2.4. Stochastic and deterministic metrics*

240 Given the learned hybrid particles  $\Theta_{hybrid}$ , we evaluate the performance of the learned hybrid stochastic  
241 CF model in reproducing CF behaviors. Specifically, we aim to evaluate the distribution-wise goodness-  
242 of-fit, in addition to the deterministic assessment based on the particle score.

243



244

245 **Fig. 2 General framework of ABC with model selection on CF learning.** Inset shows the four main  
 246 procedures: **a** Initialization work including defining CF model candidates and corresponding prior  
 247 distribution. **b** Apply ABC – RS (Beaumont et al., 2002) process for each CF model independently. **c**  
 248 Conduct a probabilistic hybrid model selection based on predefined scores and acquire estimated  
 249 posterior distribution. **d** Evaluate the goodness of fit of learned parameter posterior distributions via  
 250 multiple measures.

251 To measure the trajectory-level goodness-of-fit in a stochastic fashion, we introduce the Wasserstein  
 252 distance (WS). The WS distance is widely used to measure the distance of two probabilistic measures,  
 253 achieved through the solution of a linear programming problem pertaining to optimal transport. There are  
 254 a few benefits of using WS distance over other distance measures like the Euclidean distance. For  
 255 example, it can handle distributions that have heavy tails and is more resistant to outliers. Here, we use  
 256 WS distance as a way of measuring the similarity between the observed posterior distributions and the  
 257 learned posterior distributions, which can be formulated as:

$$W(\mathbf{y}_f, \mathbf{y}_l, \Theta_{hybrid}) = \inf_{\{\gamma_{i^*, \vec{\theta}^*}\}} \sum_{i^* \in \{1, 2, \dots, I\}, \vec{\theta}^* \in \Theta_{hybrid}} \gamma_{i^*, \vec{\theta}^*} \begin{bmatrix} \alpha_1 \|\vec{p}_{f, i^*, lrn}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{p}_{f, i^*, obs}\| + \\ \alpha_2 \|\vec{v}_{f, i^*, lrn}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{v}_{f, i^*, obs}\| + \\ \alpha_3 \|\vec{a}_{f, i^*, lrn}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{a}_{f, i^*, obs}\| \end{bmatrix} \quad (8)$$

258 s.t.

$$\sum_{\vec{\theta}^* \in \Theta_{hybrid}} \gamma_{i^*, \vec{\theta}^*} = \frac{1}{I}, \forall i^*, \quad (8a)$$

$$\sum_{i^*=1}^I \gamma_{i^*, \vec{\theta}^*} = \frac{1}{|\Theta_{hybrid}|}, \forall \vec{\theta}^*. \quad (8b)$$

259 where  $\gamma_{i^*, \vec{\theta}^*} \in [0, 1]$  is a joint probability to be determined for each  $i^*$  and  $\vec{\theta}^*$ , whose marginals are  
 260 constrained by Eq. (8a) (state portfolio constraint) and Eq. (8b) (particle constraint). We explicitly write  
 261 out the state portfolio component simulated by particle  $\vec{\theta}^*$  given a leading vehicle  $i^*$ , e.g., vehicle position  
 262 as  $\vec{p}_{f, i^*, sim}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs})$ .

263 To be more reliable to extreme values, we further refine the WS distance by partially matching the two  
 264 distribution and define  $\beta$  – Wasserstein (WS) distance as follows:

$$W_\beta(\mathbf{y}_f, \mathbf{y}_l, \Theta_{hybrid}) = \inf_{\{\gamma_{i^*, \vec{\theta}^*}\}} \sum_{i^* \in \{1, 2, \dots, I\}, \vec{\theta}^* \in \Theta_{hybrid}} \gamma_{i^*, \vec{\theta}^*} \begin{bmatrix} \alpha_1 \|\vec{p}_{f, i^*, sim}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{p}_{f, i^*, obs}\| + \\ \alpha_2 \|\vec{v}_{f, i^*, sim}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{v}_{f, i^*, obs}\| + \\ \alpha_3 \|\vec{a}_{f, i^*, sim}(\vec{\theta}^*, \vec{Y}_{l, i^*, obs}) - \vec{a}_{f, i^*, obs}\| \end{bmatrix} \quad (9)$$

265 where  $\gamma_{i^*, \vec{\theta}^*} \in [0, 1]$  for each  $i^*$  and  $\vec{\theta}^*$  is a coupling with the following two marginal distribution  
 266 constraints:

$$\sum_{\vec{\theta}^* \in \Theta_{hybrid}} \gamma_{i^*, \vec{\theta}^*} = \frac{1}{I}, \forall i^*, \quad (9a)$$

$$\sum_{i^*=1}^I \gamma_{i^*, \vec{\theta}^*} \geq \beta \cdot \frac{1}{|\Theta_{hybrid}|}, \forall \vec{\theta}^*. \quad (9b)$$

267 *Eq. (9a)* and *Inequality (9b)* are state portfolio constraint and particle constraint, respectively, where  $\beta \in$   
 268  $(0, 1)$  is the percentage of samples selected from each distribution for matching. If  $\beta$  is 1, it is the original  
 269 WS distance, where all samples of both distributions are considered to calculate the distance. The lower  
 270 value of  $\beta$  reflects a higher degree of screening out long tails and outliers.

271 Further, we define the minimum distance by dropping the particle constraint (i.e., *Inequality (9b)*), i.e.,  
 272  $\beta = 0$ . The minimum distance aims to be more inclusive and measure the goodness-of-fit by selecting the  
 273 smallest score for each state portfolio, permitting the possibility of a single particle being chosen multiple  
 274 times.

275 **3. Composition of Hybrid Model**

276 Since considering all CF models and control algorithms is not feasible or insightful, eight models have  
277 been carefully selected, including four well-known HDV CF models and four state-of-the-art AV  
278 controllers. For HDVs, contemporary statistical physics-based models, OVM (Bando et al., 1995) and  
279 IDM(Kesting et al., 2010), are selected. Additionally, two CF models that extend the OVM have also  
280 been included: the Generalized Force Model (GFM) (Helbing & Tilch, 1998) and the Full Velocity  
281 Difference Model (FVDM)(Jiang et al., 2001). Notably, GFM addresses the issue of unrealistic high  
282 acceleration present in OVM, while FVDM considers both positive and negative velocity differences to  
283 describe CF behaviors more comprehensively, especially in cases when the speed of leading vehicle is  
284 faster than that of following vehicle. These models are known for theoretical soundness, good agreement  
285 with real data, and ability to reproduce key traffic features(Saifuzzaman et al., 2015). Detailed notations  
286 and formulas for the selected HDV CF models can be found in Tables 1-3 in Appendix 1. With regard to  
287 AVs, CF controllers can mainly differ in three aspects: (1) spacing policy (e.g., constant time gap  
288 (CTG)(Swaroop & Hedrick, 1996), constant spacing (CS)(Swaroop & Hedrick, 1996) ); (2) controller  
289 type (e.g., linear(Zhou et al., 2020), MPC(Zhou et al., 2019) ); and (3) approximation of vehicle dynamics  
290 (e.g., second-order(Zhou et al., 2017) or third-order dynamics(Zhou et al., 2020a) ). After thorough  
291 consideration, lower-order linear feedback controller with constant time gap policy (LLCTG)(Swaroop et  
292 al., 1994), lower-order linear feedback controller with constant spacing policy (LLCS)(Swaroop et al.,  
293 1994), higher-order linear (HL)feedback controller(Zhou et al., 2020), and model predictive controller  
294 (MPC)(Zhou et al., 2019) have been selected. More information can be found in Appendix 1.

295 Notably our ABC-based framework does not require a specific distribution for a CF model parameter, as  
296 the posterior distribution is approximated in a numerical fashion. Thus, we assume a simple, uniform  
297 prior distribution within a reasonable range for each parameter reported in the literature(Bando et al.,  
298 1995; Helbing & Tilch, 1998; Jiang et al., 2001; Kesting et al., 2010; Swaroop et al., 1994; Zhou et al.,  
299 2019, 2020). The lower bounds and upper bounds of learning parameter sets for all models are included in  
300 Table 1.

301

**Table 1** Parameters and corresponding prior distribution bounds for each model

Parameter	Lower bound	Upper bound
<b>OVM</b>		
Sensitive parameter, $\kappa$ ( $sec^{-1}$ )	0.5	2
Speed factor, $v_1$ ( $m/s$ )	5	8
Speed factor, $v_2$ ( $m/s$ )	20	25
Form factor, $c_1(m^{-1})$	0.05	0.2
Form factor, $c_2(m^{-1})$	1.5	1.7
<b>GFM</b>		
Proportionality factor, $K$	0	2
Sensitivity factor, $\lambda$	0	2
Speed factor, $v_1$ ( $m/s$ )	0	10
Speed factor, $v_2$ ( $m/s$ )	0	30
Form factor, $c_1(m^{-1})$	0	0.2
Form factor, $c_2(m^{-1})$	1	2
<b>FVDM</b>		
Relaxation time, $\tau$ ( $s^{-1}$ )	600	2000
Sensitivity parameter, $\lambda$ ( $s$ )	0	2
Speed $V_1(m/s)$	0	40
Speed $V_2(m/s)$	0	40
Interaction length, $l_{int}$ ( $m$ )	0	40
Unitless parameter, $\beta$	0	40
<b>IDM</b>		
Desired speed, $v_{max}$ ( $m/s$ )	20	40
Desired time gap, $T$ ( $s$ )	0.8	2.5
Minimum gap (jam distance), $s_0$ ( $m$ )	0.5	3
Maximum acceleration, $a$ ( $m/s^2$ )	0.5	2
Desired deceleration, $b$ ( $m/s^2$ )	1	4
Free acceleration exponent, $\delta$	2	5
<b>LLCTG</b>		
Desired time gap, $\tau^*(s)$	0.8	1.2
Spacing deviation feedback gain, $k_s$	0.3	2.3
Speed difference feedback gain, $k_v$	0.3	2.3
Standstill distance, $l(m)$	1	11
<b>LLCS</b>		
Desired spacing, $s_0(m)$	5	25
Spacing deviation feedback gain, $k_s$	0.3	2.3
Speed difference feedback gain, $k_v$	0.3	2.3
<b>HL</b>		
Desired time gap, $\tau^*(s)$	0.8	1.2
Actuation lag, $TT$ ( $s$ )	0.1	0.5
Spacing deviation feedback gain, $k_s$	0.1	2.3
Speed difference feedback gain, $k_v$	0.1	2.3
Acceleration feedback gain, $k_a$	-3	0
Standstill distance, $l(m)$	3	8
<b>MPC</b>		
Desired time gap, $\tau^*(s)$	0.6	1.4
Comfort and fuel consumption, $R$	0.3	1.7
Control efficiency coefficient, $\alpha$	0.3	1.7
Standstill distance, $l(m)$	3	7
Deceleration limit, $a_{min}$ ( $m/s^2$ )	-5	-3
Acceleration limit, $a_{max}$ ( $m/s^2$ )	3	5

303 **4. Experiments and Learning Results**

304 *4.1. Data sources*

305 To train the proposed stochastic hybrid model, two datasets have been selected to train the model for  
306 HDVs and AVs. Specifically, the widely used NGSIM dataset has been selected to train the model for  
307 HDVs, while the Massachusetts (MA) Experiment dataset (Li et al., 2022) has been selected to train for  
308 AVs. Note that the MA experimental dataset has been further categorized into two datasets: CAR  
309 MODEL I and CAR MODEL II, representing two different AV controllers. The actual car models are  
310 omitted here to avoid potential conflicts of interest. In each dataset, the movements of leading-following  
311 vehicle pairs are recorded by sensors that measure the vehicle position, speed, and acceleration.

312 In particular, we focus on trajectory pairs between 4:00 – 4:15 PM on I-80 for NGSIM. After simple data  
313 processing, 150 CF-pairs are randomly selected as our input, each with a 35-second duration. The MA  
314 dataset consists of 96 and 64 trajectories for CAR MODEL I and CAR MODEL II, respectively. The  
315 duration of each trajectory pair is 54.1 seconds for CAR MODEL I and 57.6 seconds for CAR MODEL  
316 II. The original field data may also include longitudinal speed and acceleration data. However, due to the  
317 limitation of the experiments, only the position data are reliable. Therefore, a finite difference method is  
318 applied to numerically calculate the speed and acceleration.

319 *4.2. Learning results for HDVs*

320 First, we apply our ABC-based framework to learn HDV CF behaviors using the NGSIM dataset. To  
321 mitigate the potential bias inherent in single train-test splits, we employ cross-validation. Specifically, the  
322 dataset is evenly divided into three parts. We train the model based on two of these parts and evaluate its  
323 performance on the remaining part, thereby establishing a training-to-testing ratio of the 2:1. This process  
324 is iterated three times, and the results are aggregated by calculating the average of chosen metrics. During  
325 the training, 1 million particles (i.e., parameter sets) were sampled from the assumed prior distributions  
326 for each model and accepted/rejected based on the predefined distance function.

327 The training result for HDVs is shown in Fig. 3a. It can be observed that the HDV CF models (GFM,  
328 FVDM, OVM and IDM) overshadow the AV controllers (MPC and LLCTG) in the hybrid model, making  
329 up more than 97% of accepted particles. Among them, GFM has the highest share of approximately 65%.  
330 GFM, FVDM, and OVM, which belong to the same model family, all take nonnegligible shares,  
331 indicating that this model family can effectively describe the HDV CF behavior. In contrast, HL and  
332 LLCS are completely dropped in the hybrid model, suggesting that the CF behavior of AVs is different  
333 from the behavior of human drivers.

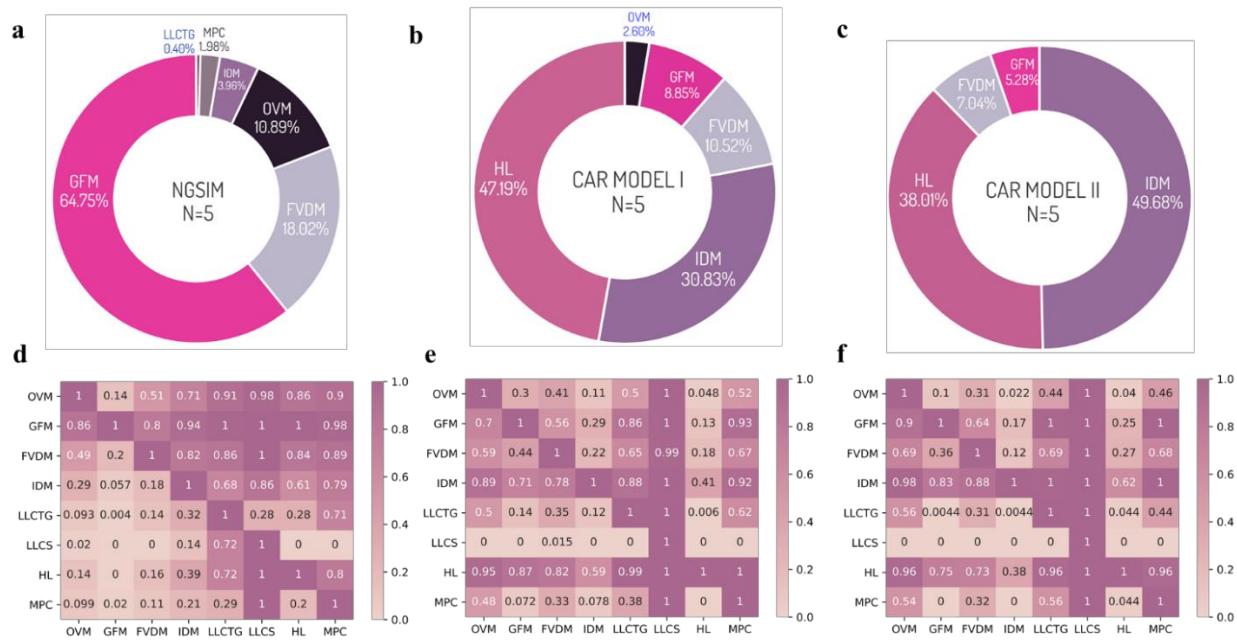
334 The hybrid model distributions in Fig. 3a offers a comprehensive overview of the proportions of particles  
335 selected from each CF model when all CF models in the pool are considered together. For a more direct  
336 comparison of the selected models, we further examined the pair-wise relative likelihood of one CF  
337 model fitting the observed behavior better than the other. Specifically, we replicated the training process  
338 for each pair of CF models (out of 28 enumerated pairs). Since only two models are compared, the model  
339 with more than 50% is considered preferable. Fig. 3d presents the pair-wise comparison results in the  
340 form of a heat map, where the value indicates the relative likelihood (i.e., proportion of accepted  
341 particles) for one model (row) against the other (column). The darker color of a cell indicates higher  
342 dominance of one model/controller against the other. For example, when GFM and OVM are compared  
343 (row 2, column 1 of Fig. 3d), 86% of the accepted particles come from GFM, while the remaining 14%  
344 are from OVM, indicating significant dominance by GFM against OVM. The result further confirms that  
345 GFM, FVDM, and OVM are strongly favored, with GFM showing the clearest preference when  
346 compared to the other models one-on-one.

347 For the goodness of fit evaluation at the vehicle trajectory level, we compare the deviation between the  
348 learned (based on accepted particles) and observed vehicle positions. To highlight the performance of the

349 proposed hybrid model, we compare with the best single CF model for each dataset: GFM (for NGSIM),  
 350 HL (for CAR MODEL I), and IDM (for CAR MODEL II) (refer to Fig. 3). Fig. 4a shows an example of  
 351 the evolution of position error for the hybrid model, as compared to these best single models (Fig. 4d). In  
 352 these figures, we plot the error evolution for the 5% best fitted particles (red/blue/green/purple) and all  
 353 selected particles (light green). Comparing these figures, we observe that the hybrid model has lower  
 354 errors in general than a single model and shows a stable trend over time. **Table 2 presents the Root Mean**  
 355 **Square Error (RMSE) outcomes corresponding to Figure 4, affirming the superior performance of the**  
 356 **hybrid model over the individual best-performing model.**

357 Further, we compare its training results against those of individual models that are stochastically learned  
 358 with the incorporation of only one CF model in the learning process. The evaluation metrics consist of  
 359 two types: (1) absolute errors and (2) distribution-wise similarity. Specifically, (1) comprises errors in  
 360 average position, average speed, and average acceleration. More importantly, (2) is measured using the  
 361 goodness-of-fit metrics, Wasserstein (WS) Distance, 0.15-WS Distance ( $\beta = 0.15$ ), and minimum  
 362 distance, specially designed in this study. In principle, these distances measure the deviations between the  
 363 state portfolios generated based on accepted particles and the corresponding observed ones, using  
 364 different constraints and weights on the position, speed, and acceleration. Detailed numerical results of  
 365 these three cross-validation trials are included in Appendix Tables 4-6 in Appendix 2. Here, we focus on  
 366 the general performance trend for each model across the six metrics. To address the scale inconsistency  
 367 across the metrics, a linear normalization step is taken. Fig. 5 visually presents the results for the NGSIM  
 368 dataset through a series of hexagonal-based diagrams. Within each hexagon, the aforementioned six  
 369 metrics are positioned as six vertices, constrained within the normalized range of 0 to 1. A larger shaded  
 370 area signifies a higher level of performance. The results reveal that among the single models, the GFM  
 371 model performs the best in general, but not in all metrics, and clear deficiency is notable. The hybrid  
 372 model exhibits the largest shaded (blue) area when compared to other single models, showcasing superior  
 373 performance across all metrics. This highlights the hybrid model can better capture HDV CF behavior  
 374 considering stochasticity than other conventional CF models.

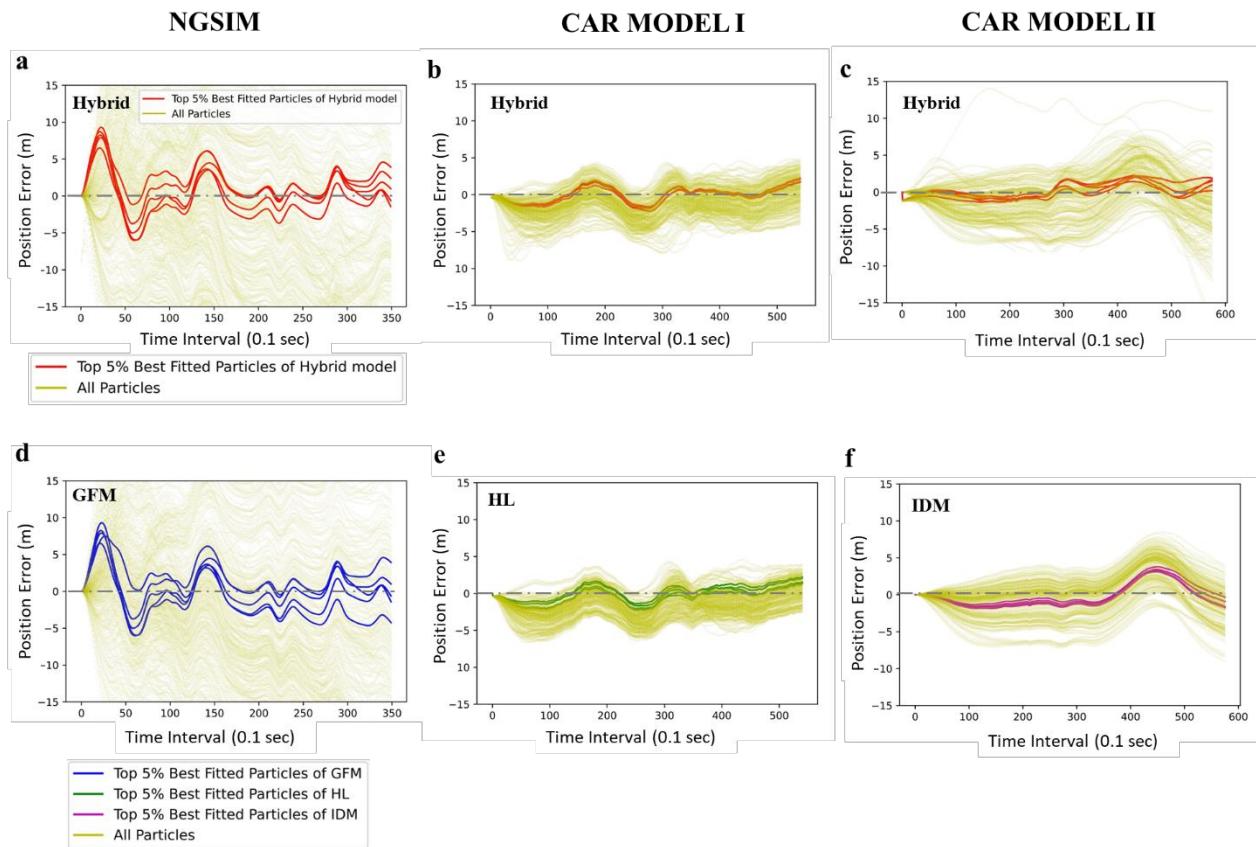
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376  
 377 **Fig. 3 Training results.** **a** Hybrid model distribution – NGSIM. **b** Hybrid model distribution - CAR  
 378 MODEL I. **c** Hybrid model distribution – CAR MODEL II. **d** Heatmap of pairwise model selection  
 379 probabilities – NGSIM. **e** Heatmap of pairwise model selection probabilities – CAR MODEL I. **f**

380 Heatmap of pairwise model selection probabilities – CAR MODEL II. The selected number of particles in  
 381 testing set is 5.

382



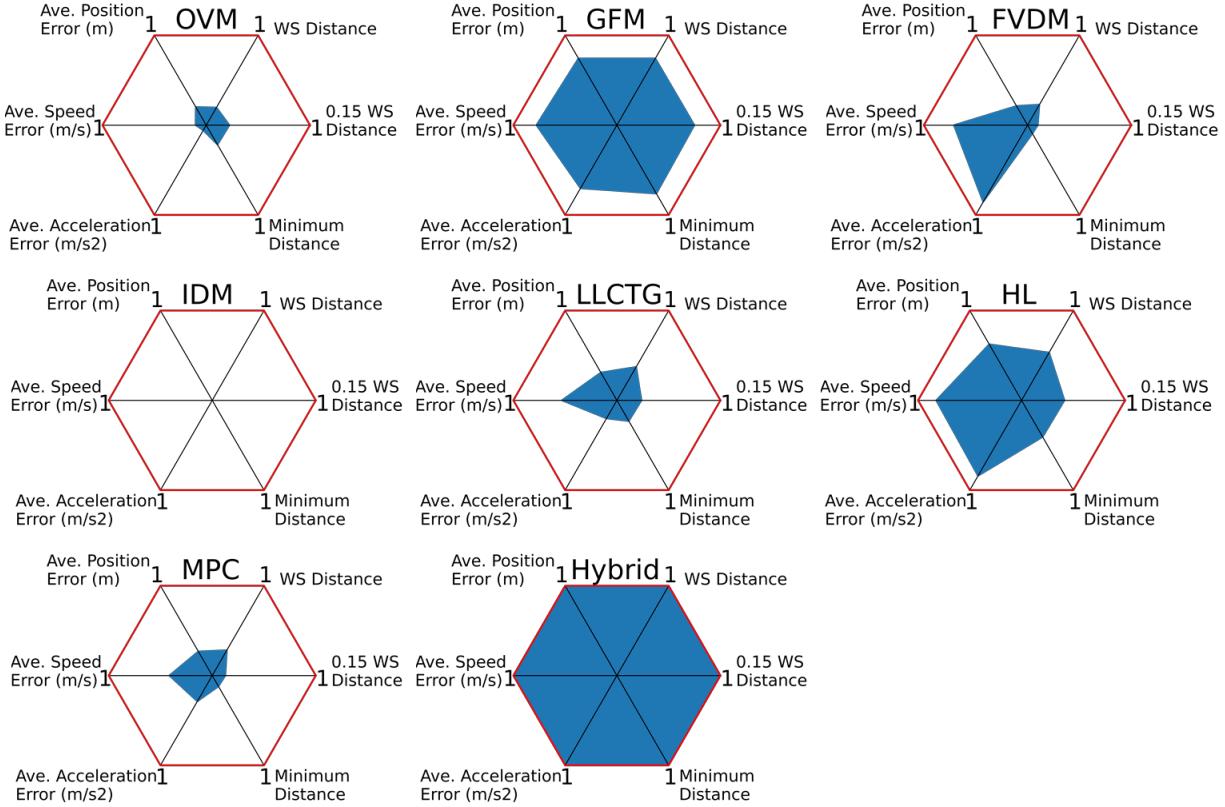
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384 **Fig. 4 Goodness of fit evaluation results.** **a** Hybrid model position error evolution – NGSIM (#27). **b**  
 385 Hybrid model position error evolution – CAR MODEL I (#1). **c** Hybrid model position error evolution –  
 386 CAR MODEL II (#8). **d** Single model position error evolution (GFM) – NGSIM (#27). **e** Single model  
 387 position error evolution (HL, IDM) – CAR MODEL I (#1). **f** Single model position error evolution (HL,  
 388 IDM) – CAR MODEL II (#8). Note: the numbers in parentheses indicate a specific sampled state  
 389 portfolio in the testing set.

390

391 Table 2 RMSE outcomes for hybrid model and best single model

392	Dataset	Model	5% best fitted particles (RMSE)	All particles (RMSE)
393	NGSIM	Hybrid Model	10.05	16.82
394		GFM	11.98	31.75
395	CAR MODEL I	Hybrid Model	1.33	1.58
396		HL	1.40	2.15
397	CAR MODEL II	Hybrid Model	2.20	3.35
398		IDM	2.50	5.37



399

400 **Fig. 5 Hexagonal based Multiple Metric Performance Evaluation for HDV.**

401 *4.3. Learning results for AVs*

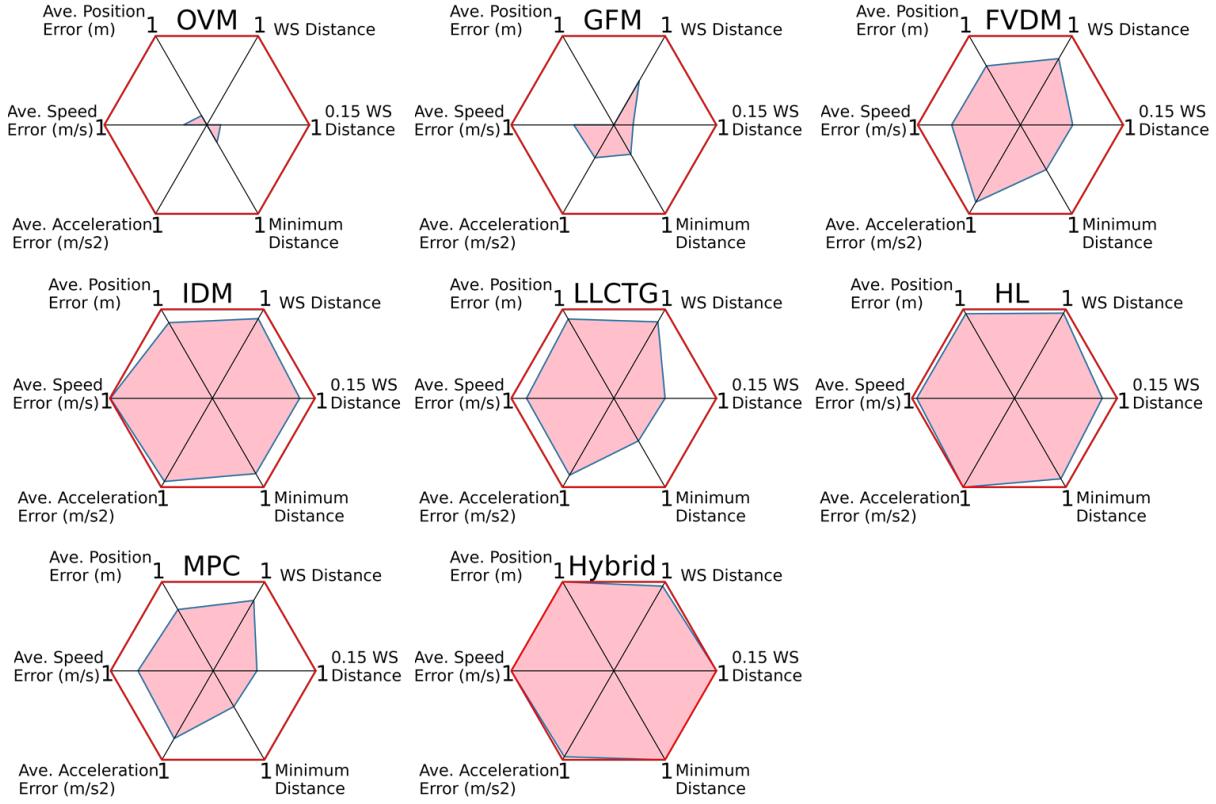
402 Here we turn our attention to learning AV CF behavior from the CAR MODEL I dataset. The results in  
 403 Fig. 3b show that two AV controllers, LLCS and MPC, are not selected in the hybrid model. Of the  
 404 remaining models, HL has the largest share of the distribution at 47.19%, and IDM (a HDV CF model)  
 405 also takes up a sizable share at 30.83%. The lack of dominance by HL suggests that the CF control  
 406 algorithm of the AV in this dataset is similar to, but not necessarily the same as, HL. Given the limited  
 407 controller information available, there exists the possibility of missing the actual CF controller for CAR  
 408 MODEL I. Therefore, selecting HL (deterministically) to approximate the behavior of the AV can give us  
 409 erroneous insights. The hybrid model, however, fills this gap by identifying a set of models that can  
 410 together approximate the AV behavior in the absence of controller knowledge. The heatmap in Fig. 3e  
 411 further corroborates the findings in Fig. 3b that the two models (HL and IDM) show strong preference  
 412 over the other models, but HL shows mild preference over IDM. Figs. 4b & 4e depict an example of the  
 413 deviation evolution between the observed and learned position. The position generated by the hybrid  
 414 model's top 5% of the best fitted particles exhibits better accuracy, as the position errors display a more  
 415 centralized trend to zero compared to the best single model (HL).

416 The learning results from the CAR MODEL II dataset, shown in Fig. 3c, also show split preference with  
 417 no clear dominance, particularly between IDM and HL, with IDM accounting for the largest proportion.  
 418 This suggests a great possibility that the true controller of CAR MODEL II might not be included in the  
 419 CF candidate pool. When comparing the training results with CAR MODEL I, the composition of the  
 420 hybrid model is similar, except for the exclusion of OVM. **The corresponding heatmap in Fig. 3f also**  
 421 **displays high similarity with Fig. 3e.** The evaluation results in Figs. 4c and 4f also demonstrate  
 422 comparable error ranges with the CAR MODEL I dataset. However, we observe that the CF behavior may  
 423 not be well approximated by a single IDM due to its larger error than the hybrid model. Therefore, when

424 true controller is absent, the hybrid model obtained by concatenation can generate a more accurate CF  
 425 behavior description.

426 Fig. 6 illustrates the general performances across the six metrics for each model and the hybrid model for  
 427 two AV datasets. The results are weighted by the sample size (i.e., number of state portfolios) in each  
 428 dataset. The hybrid model demonstrates the best overall performance, though some exceptions in certain  
 429 metrics are observed (e.g., average acceleration). Among the single models, HL shows the best overall  
 430 performance. The results demonstrate the effectiveness of the hybrid model for capturing the behavior of  
 431 AVs, particularly when the controller information is unavailable.

432



433  
 434 **Fig. 6 Hexagonal based Multiple Metric Performance Evaluation for AV.**

435 **5. Conclusion and Discussion**

436 Learning the real-world CF behavior has been challenging due to inherent stochasticity and nonlinearity  
 437 that arise from driver heterogeneity. Traditional approaches that rely on a single (deterministic) model  
 438 often fail to capture these characteristics sufficiently, leaving room for persisting debates about the best  
 439 CF model. The proposed hybrid model based on stochastic learning of ABC addresses these challenges  
 440 by integrating different CF models in a systematic and stochastic fashion. The evaluation of the proposed  
 441 framework using two different datasets demonstrated the ability to learn the CF behavior while  
 442 accounting for stochasticity, outperforming traditional CF models. In addition, when the actual CF model  
 443 or controller is absent from the candidate pool, the hybrid model is still able to replicate the CF behavior  
 444 by probabilistically concatenating several models.

445 The proposed framework is generalizable in the sense that it is highly adaptable to various datasets and  
 446 different CF model pools. This has several important implications and contributions for traffic modelling

447 and can enhance realism in simulations. First, its ability to systematically draw from multiple models can  
448 be particularly useful in traffic simulations, where generating traffic flow mimicking the real-world traffic  
449 is of high priority. Further, it is able to provide more accurate representation of a range of possible CF  
450 behavior through estimated posterior distributions. Thirdly, hybrid model renders more flexibility in  
451 describing inter-vehicle heterogeneity by not constrained to a single model. Therefore, our stochastic  
452 approach addresses the gap between simulated and real following behavior to a certain degree.

453 Beyond its immediate application in stochastic learning of CF behavior, our approach can be applied to  
454 various learning problems in traffic contexts, such as learning of lane changing and merging behavior,  
455 and AV behavior under diverse traffic scenarios (Feng et al., 2021), where high degrees of non-linearity  
456 and stochasticity in behavior are expected. However, it should be noted that the learning performance of  
457 our method depends on the data quality as with any data-driven approaches. If the CF model pool does  
458 not include the true CF (control) model or similar models, the hybrid model would lack interpretability as  
459 well as ability to replicate the observed behavior. Future research is needed to design a good pool of  
460 candidate models in the event that the true model is unknown. For example, preliminary learning may be  
461 conducted to identify the most promising model family. Furthermore, we acknowledge trade-offs in  
462 setting the weights for the error terms in our framework. Obtaining the optimal combination of these  
463 weights presents a considerable challenge. However, to enhance the robustness and adaptability of this  
464 methodology across diverse traffic conditions, it is imperative to incorporate a more systematic approach  
465 in the future.

466

## 467 **Contributions**

468 J.J., Y.Z., X.W., and S.A., conceptualized and designed the study. J.J. and X.W. processed the data and  
469 wrote codes of ABC – hybrid model framework. J.J prepared for the draft manuscript. X.W., Y.Z., and  
470 S.A. provided feedback during the manuscript revisions and results discussion. All authors approved the  
471 final version of the manuscript. S.A. approved the submission and accepted responsibility for the overall  
472 integrity of the paper.

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475 We also sincerely thank Dr. Danjue Chen for sharing the Massachusetts AV Experiment data.

476

## 477 **Appendix**

### 478 **Appendix 1: Notations and Formulas for CF Models and Controllers**

479 Table A1 shows the corresponding variable and parameter notations of CF models and controllers in the  
480 candidate pool as described in Section 3 of the main manuscript.

481 Table A2 and Table A3 present the detailed formulations of CF models and controllers, respectively.  
482 They employ the variables and parameters defined in Table A1. Specifically, for traditional HDV CF  
483 models, acceleration is formulated combining with a predefined desired speed or spacing policy. For AV  
484 controllers, the system updates itself using the state-space formulation. Therefore, aside from the desired  
485 spacing policy, the system state is also defined.

486

**Appendix Table 1 Variable and parameter notations of CF models and controllers**

HDV Model Notations	Description
$p_i(t)$	Position of vehicle $i$ at time $t$
$L_{i-1}$	Length of vehicle $i-1$
$v_i(t)$	Speed of vehicle $i$ at time $t$
$s_i(t)$	Gap between vehicle $i$ and $i-1$ at time $t$
$v_i^*(s_i(t))$	Optimal velocity of vehicle $i$ in OVM and GFM
$\Delta v_i(t)$	Speed difference, can be $v_{i-1}(t) - v_i(t)$ or $v_i(t) - v_{i-1}(t)$ depended on model
$V(s_i(t))$	Optimal velocity of vehicle $i$ in FVDM
$s^*(t)$	Desired spacing in IDM
CAV Controller Notations	Description
$x_i(t)$	System state of vehicle $i$ at time $t$
$u_i(t)$	System input of vehicle $i$ at time $t$ , can be viewed as acceleration
$x_{i,t}$	Discretized system state of vehicle $i$ at time $t$
$u_{i,t}$	Discretized system input of vehicle $i$ at time $t$
$s_i^*(t)$	Desired spacing
$\tau_i^*$	Desired time headway
$l_i$	Minimum standstill spacing
$s_i(t)$	Actual spacing
$\Delta s_i(t)$	Deviation from the desired spacing
$\Delta v_i(t)$	Relative speed
$k_{di}$	Discretized feedback gains
$k_{si}, k_{vi}, k_{ai}$	Feedback gains for deviation from the desired spacing, relative speed, and acceleration
$A_{di}, B_{di}, D_{di}$	Discretized system weight matrices
$a_{i-1}(t)$	Acceleration of vehicle $i-1$ (leading vehicle)
$t_s$	Control frequency (interval)
$s_0$	A fixed positive value for desired spacing
$TT_i$	Actuation lag for vehicle $i$ to realize the acceleration
$J$	Optimal objective function
$Q_i$	Control efficiency function
$R_i$	Comfort and fuel consumption function
$a_{i,min}$	Lower bound of acceleration
$a_{i,max}$	Upper bound of acceleration

**Appendix Table 2 Formulations of CF models and controllers**

HDV Model	Desired Speed/Spacing	Acceleration Formulation
OVM	$v_i^*(s_i(t)) = v_1 + v_2[\tanh(c_1 * (s_i(t)) - c_2)]$ where $s_i(t) = p_{i-1}(t) - p_i(t) - L_{i-1}$	$\frac{dv_i}{dt}(t) = \kappa[v_i^*(s_i(t)) - v_i(t)]$
GFM	Same as OVM	$\frac{dv_i}{dt}(t) = K[v_i^*(s_i(t)) - v_i(t)] + \lambda\theta(-\Delta v)\Delta v$ where $\theta := \begin{cases} 1, & -\Delta v > 0 \\ 0, & -\Delta v \leq 0 \end{cases}$ $\Delta v = v_{i-1}(t) - v_i(t)$
FVDM	$V(s_i(t)) = V_1 + V_2 \tanh\left[\frac{s_i(t) - L_{i-1}}{l_{int}} - \beta\right]$ $s_i(t) = p_{i-1}(t) - p_i(t)$	$\frac{dv_i}{dt}(t) = \frac{1}{\tau}[V(s_i(t)) - v_i(t)] + \lambda\Delta v$
IDM	$s^*(t) = s_0 + v(t) * T + \frac{v(t)\Delta v(t)}{2\sqrt{ab}}$ where $\Delta v(t) = v_i(t) - v_{i-1}(t)$ $s_i(t) = p_{i-1}(t) - p_i(t)$	$\frac{dv_i}{dt}(t) = a\left[1 - \left(\frac{v_i(t)}{v_{max}}\right)^\delta - \left(\frac{s^*(t)}{s_i(t)}\right)^2\right]$

Appendix Table 3 Formulations of CF controllers

AV Controller	Desired Spacing Policy	System State	State-Space Formulation
LLCTG	$s_i^*(t) = v_i(t) \times \tau_i^* + l_i$	$x_i(t) = [\Delta s_i(t), \Delta v_i(t)]^T$ where $\Delta s_i(t) = s_i(t) - s_i^*(t)$ , $\Delta v_i(t) = v_{i-1}(t) - v_i(t)$ $k_{di} = [k_{si}, k_{vi}]^T$	$x_{i,t+1} = A_{di}x_{i,t} + B_{di}u_{i,t} + D_{di}a_{i-1,t}$ where $A_{di} = \begin{pmatrix} 1 & t_s \\ 0 & 1 \end{pmatrix}$ , $B_{di} = \begin{pmatrix} -t_s \tau_i^* - t_s^2/2 \\ -t_s \end{pmatrix}$ , $D_{di} = \begin{pmatrix} t_s + t_s^2/2 \\ t_s \end{pmatrix}$ $u_{i,t} = k_{di}x_{i,t}$
LLCS	$s_i^*(t) = s_0$	Same as LL	$x_{i,t+1} = A_{di}x_{i,t} + B_{di}u_{i,t} + D_{di}a_{i-1,t}$ where $A_{di} = \begin{pmatrix} 1 & t_s \\ 0 & 1 \end{pmatrix}$ , $B_{di} = \begin{pmatrix} -\frac{t_s^2}{2} \\ -t_s \end{pmatrix}$ , $D_{di} = \begin{pmatrix} t_s^2/2 \\ t_s \end{pmatrix}$ $u_{i,t} = k_{di}x_{i,t}$
HL	$s_i^*(t) = v_i(t) \times \tau_i^* + l_i$	$x_i(t) = [\Delta s_i(t), \Delta v_i(t), a_i(t)]^T$ , $\dot{a}_i(t) = -\frac{1}{TT_i}a_i(t) + \frac{1}{TT_i}u_i(t)$ $k_{di} = [k_{si}, k_{vi}, k_{ai}]^T$	$x_{i,t+1} = A_{di}x_{i,t} + B_{di}u_{i,t} + D_{di}a_{i-1,t}$ where $A_{di} = \begin{pmatrix} 1 & t_s & TT_i(\tau_i^* - TT_i)(e^{-\frac{t_s}{TT_i}} - 1) - t_s \cdot TT_i \\ 0 & 1 & TT_i(e^{-\frac{t_s}{TT_i}} - 1) \\ 0 & 0 & e^{-\frac{t_s}{TT_i}} \end{pmatrix}$ , $B_{di} = \begin{pmatrix} -TT_i(\tau_i^* - TT_i)(e^{-\frac{t_s}{TT_i}} + \frac{t_s}{TT_i} - 1) - \frac{t_s^2}{2} \\ TT_i(1 - e^{-\frac{t_s}{TT_i}}) - t_s \\ 1 - e^{-\frac{t_s}{TT_i}} \end{pmatrix}$ , $D_{di} = \begin{pmatrix} \frac{t_s^2}{2} \\ t_s \\ 0 \end{pmatrix}$
MPC	$s_i^*(t) = v_i(t) \times \tau_i^* + l_i$	$x_i(t)$ same as HL $\min J = (x_{i,t})^T \cdot Q_i \cdot x_{i,t} + R_i \cdot (u_{i,t-1})^2$ s.t. $a_{i,min} \leq u_i(t) \leq a_{i,max}$ where $Q_i = \begin{bmatrix} 1 & 0 \\ 0 & \alpha \end{bmatrix}$ , $R_i > 0$	$x_{i,t+1} = A_{di}x_{i,t} + B_{di}u_{i,t} + D_{di}a_{i-1,t}$ $A_{di} = \begin{pmatrix} 1 & t_s \\ 0 & 1 \end{pmatrix}$ , $B_{di} = \begin{pmatrix} -\tau^* \cdot t_s - t_s - \frac{t_s^2}{2} \\ -t_s \end{pmatrix}$ , $D_{di} = \begin{pmatrix} t_s + \frac{t_s^2}{2} \\ t_s \end{pmatrix}$

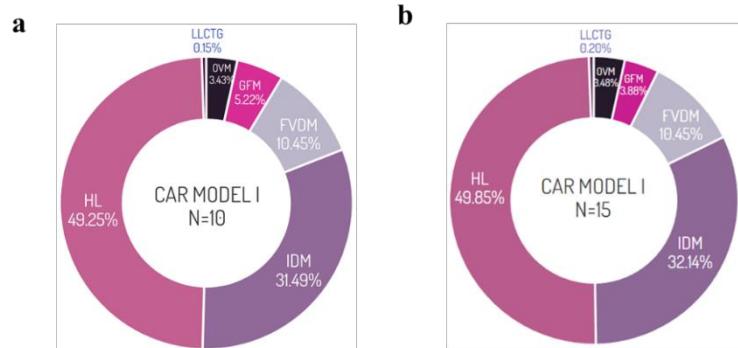
493 **Appendix 2: Supplementary learning results**494 1. *Sensitivity Analysis of the Number of Selected Particles*

495 Appendix Fig. 1 illustrates the learned hybrid model distributions with varying numbers of selected  
 496 particles, as obtained from the NGSIM dataset. In comparison with Fig. 3a in the main manuscript, the  
 497 learning results are relatively robust against the number of particles being selected.

498

499 **Appendix Fig. 1. Hybrid model distribution – NGSIM.** **a** The selected number of particles (N) = 10. **b**  
500 The selected number of particles (N) = 15.

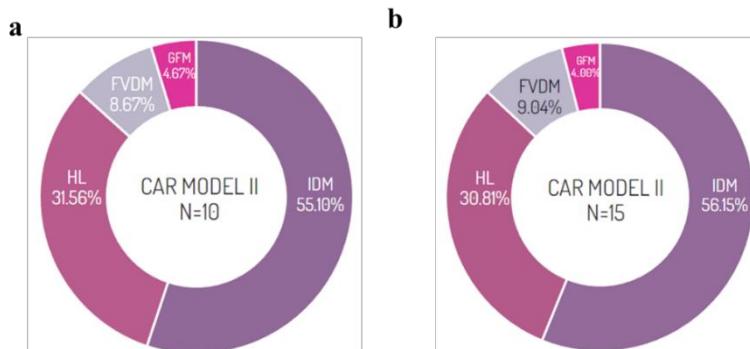
501 Similarly, the hybrid model distribution trained by CAR MODEL I is shown in Appendix Fig. 2. Compared  
502 with Fig. 3b in the main manuscript, the overall composition of the hybrid model remains consistent.  
503 However, with an increase in the number of particles being selected for each state portfolio, a quite small  
504 proportion of LLCTG is also included.



505

506 **Appendix Fig. 2. Hybrid model distribution – CAR MODEL I.** **a** The selected number of particles (N)  
507 = 10. **b** The selected number of particles (N) = 15.

508 Appendix Fig. 3 shows the hybrid model distribution for CAR MODEL II. Same conclusion can be  
509 drawn that hybrid model distribution is relatively stable with the changing of selected particle numbers  
510 for each state portfolio.



511

512 **Appendix Fig. 3. Hybrid model distribution – CAR MODEL II.** **a** The selected number of particles (N)  
513 = 10. **b** The selected number of particles (N) = 15.

514

## 515 2. Errors and stochastic solution distances

516 We bold the minimum value in each column to indicate the model with the optimal performance for each  
517 metric.518 **Appendix Table 4 Errors and stochastic solution distances: single model vs. hybrid model for  
519 NGSIM dataset**

Model	Average position error (m)	Average speed error (m/s)	Average acceleration error (m/s <sup>2</sup> )	Minimum distance	0.15 $\beta$ -WS distance	WS distance
OVM	25.532	2.923	1.520	12.041	12.074	14.168
GFM	14.703	2.050	1.287	6.498	6.789	8.477
FVDM	25.308	2.137	1.232	13.309	13.335	13.831
IDM	30.553	3.051	1.470	14.261	14.328	16.215
LLCTG	23.386	2.367	1.468	11.908	11.934	12.330
LLCS	35.649	2.857	1.501	19.197	19.209	19.299
HL	17.100	1.989	1.237	10.113	10.153	10.664
MPC	24.218	2.520	1.437	13.030	13.050	13.266
<b>Hybrid</b>	<b>9.575</b>	<b>1.763</b>	<b>1.180</b>	<b>4.088</b>	<b>4.219</b>	<b>5.809</b>

520  
521  
522Appendix Table 5 Errors and stochastic solution distances: single model vs. hybrid model for CAR  
MODEL I

Model	Average position error (m)	Average speed error (m/s)	Average acceleration error (m/s <sup>2</sup> )	Minimum distance	0.15 $\beta$ -WS distance	WS distance
OVM	3.287	0.637	1.838	1.662	1.678	2.217
GFM	3.293	0.550	1.813	1.788	1.791	1.807
FVDM	2.194	0.564	1.794	1.465	1.476	1.638
IDM	2.001	0.429	1.789	1.352	1.360	1.524
LLCTG	1.897	0.511	1.790	1.383	1.389	1.463
LLCS	3.644	0.686	1.817	2.413	2.419	2.531
HL	1.940	0.511	<b>1.783</b>	1.316	1.332	1.493
MPC	2.004	0.537	1.797	1.440	1.441	1.530
<b>Hybrid</b>	<b>1.839</b>	<b>0.503</b>	1.785	<b>1.269</b>	<b>1.286</b>	<b>1.436</b>

523  
524  
525Appendix Table 6 Errors and stochastic solution distances: single model vs. hybrid model for CAR  
MODEL II

Model	Average position error (m)	Average speed error (m/s)	Average acceleration error (m/s <sup>2</sup> )	0.15 $\beta$ -WS distance	Minimum distance	WS distance
OVM	4.145	0.716	0.903	1.940	2.098	3.790
GFM	4.764	1.250	0.879	1.251	1.656	2.736
FVDM	3.475	0.443	0.805	1.750	1.783	2.041
IDM	2.826	0.310	0.802	1.218	1.299	1.676
LLCTG	2.834	0.572	0.817	2.040	2.048	2.163
LLCS	11.154	0.772	0.856	6.098	6.107	6.163
HL	2.357	0.332	<b>0.802</b>	1.180	1.367	<b>1.453</b>
MPC	3.804	0.577	0.824	2.066	2.073	2.258
<b>Hybrid</b>	<b>2.308</b>	<b>0.305</b>	0.805	<b>1.079</b>	<b>1.154</b>	1.738

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