

# **Internal Structure of Incipient Soot from Acetylene Pyrolysis obtained via Molecular Dynamics Simulations**

Khaled Mosharraf Mukut,<sup>†</sup> Anindya Ganguly,<sup>‡</sup> Eirini Goudeli,<sup>‡</sup> Georgios A. Kelesidis,<sup>¶,§</sup> and Somesh P. Roy<sup>\*,†</sup>

<sup>†</sup>*Department of Mechanical Engineering, Marquette University, Milwaukee, WI 53233,  
U.S.A.*

<sup>‡</sup>*Department of Chemical Engineering, University of Melbourne, Parkville, VIC 3052,  
Australia*

<sup>¶</sup>*Nanoscience and Advanced Materials Center (NAMC), Environmental and Occupational  
Health Science Institute, School of Public Health, Rutgers, The State University of New  
Jersey, Piscataway, NJ 08854, U.S.A.*

<sup>§</sup>*Particle Technology Laboratory, Institute of Process Engineering, Department of  
Mechanical and Process Engineering, ETH Zürich, 8092 Zürich, Switzerland*

E-mail: somesh.roy@marquette.edu

## Abstract

A series of reactive molecular dynamics simulations is used to study the internal structure of incipient soot particles obtained from acetylene pyrolysis. The simulations were performed using ReaxFF potential at four different temperatures. The resulting soot particles are cataloged and analyzed to obtain statistics of their mass, volume, density, C/H ratio, number of cyclic structures, and other features. A total of 3324 incipient soot particles were analyzed in this study. Based on their structural characteristics, the incipient soot particles are classified into two classes, referred to as type 1 and type 2 incipient soot particles in this work. The radial distribution of density, cyclic (5-, 6-, or 7-member rings) structures, and C/H ratio inside the particles revealed a clear difference in the internal structure between type 1 and type 2 particles. These classes were further found to be well represented by the size of the particles, with smaller particles in type 1 and larger particles in type 2. The radial distributions of ring structures, density, and C/H ratio indicated the presence of a dense core region in type 2 particles. [In contrast](#), no clear evidence of the presence of a core was found in type 1 particles. In type 2 incipient soot particles, the boundary between the core and shell was found to be around 50%–60% of the particle radius of gyration.

## <sup>1</sup> 1 Introduction

<sup>2</sup> Soot is a harmful carbonaceous nanoparticle generated during [the](#) combustion of hydrocar-  
<sup>3</sup> bon fuels. Soot, also known as black carbon, can cause serious health issues<sup>1,2</sup> and acts  
<sup>4</sup> as a major forcing factor in climate change.<sup>3,4</sup> The exact mechanism of the formation of  
<sup>5</sup> soot particulates from gaseous precursors is still unknown due to the complex chemical na-  
<sup>6</sup> ture of the hydrocarbon reaction network and time- and length-scale of the soot formation  
<sup>7</sup> processes. According to the present understanding, soot formation occurs by a series of  
<sup>8</sup> complex physicochemical events such as the formation of gas-phase soot precursors (includ-  
<sup>9</sup> ing, but not limited to, polycyclic aromatic hydrocarbons or PAHs), nucleation of incipient

10 soot particles, growth, maturation of incipient soot particles due to surface reactions, and  
11 aggregation by coagulation or coalescence, and decay of the particles by fragmentation and  
12 oxidation.<sup>5-9</sup> The inception of soot particles is arguably the least understood phenomenon  
13 among these processes and the exact chemical reaction pathways of soot inception are not  
14 completely known yet. Researchers agree that soot formation starts with production of small  
15 gas-phase precursor molecules such as acetylene, which leads to PAHs like benzene, pyrene,  
16 and coronene.<sup>10-12</sup> These freshly formed PAHs then combine to form the solid or liquid-  
17 like incipient soot particles.<sup>13-15</sup> These particles then start to grow by surface reactions and  
18 coalescence to form larger soot particles.<sup>13,16-19</sup>

19 Due to the complexity and scale of incipient soot particles, their exact internal structures  
20 are not very well characterized yet. Recent studies have shown young soot particles tend  
21 to have a condensed core of ring-like large molecules while surrounded by a shell of less  
22 stacked smaller molecules.<sup>20</sup> As these incipient particles mature, their internal structures  
23 evolve, [affecting](#) their physical and chemical properties.

24 There have been some recent breakthroughs in the experimental exploration of the in-  
25 ternal structure of incipient soot. For example, Chang et al.<sup>21</sup> employed high-resolution  
26 transmission electron microscopy (HRTEM) and scanning electron microscopy (SEM) to in-  
27 vestigate the structural evolution and fragmentation of coal-derived soot and carbon black  
28 particles under high-temperature air oxidation conditions. They also explored the onset of  
29 micropores and the internal graphitic microcrystals using X-ray diffraction (XRD) and Ra-  
30 man spectra. Morajkar et al.<sup>22</sup> utilized HRTEM, XRD, Raman spectroscopy, and inductively  
31 coupled plasma mass spectrometry (ICPMS) to examine the transmission of trace metals  
32 from biodiesel fuels to soot particles and the nanostructural irregularities of the soot. In their  
33 study, Gleason et al.<sup>23</sup> indicated that the formation of soot nuclei in an ethylene/nitrogen  
34 flame can be attributed exclusively to aromatic compounds comprising one or two rings.  
35 Carbone et al.<sup>24</sup> conducted a comprehensive investigation of soot inception in a laminar pre-  
36 mixed ethylene flame and found that soot particles undergo an aging transformation – from

37 being nearly transparent in the visible spectrum to a more graphitic-like composition. Using  
38 low-fluence laser desorption ionization (LDI) in conjunction with HRTEM, Jacobson et al.,<sup>25</sup>  
39 investigated the molecular composition of soot particles to determine the PAH concentra-  
40 tion in them. The capabilities of atomic force microscopy (AFM) were exploited by Barone  
41 et al.<sup>26</sup> to calculate particle size distribution functions under different sampling conditions.  
42 Schulz et al.<sup>27</sup> investigated the initial phases of soot formation using AFM and observed  
43 the presence of multiple aromatic compounds, some of which displayed noticeable aliphatic  
44 side chains. Commodo et al.<sup>28</sup> investigated the initial phases of soot formation using X-ray,  
45 ultraviolet photoemission spectroscopy (UPS), UV-visible, and Raman spectroscopy to show  
46 the coexistence of  $sp^3$  carbon and a more advanced graphitic structure with a slightly larger  
47 aromatic island, a reduced band gap, and an increased density of states. In another study,  
48 Commodo et al.<sup>29</sup> identified a noteworthy occurrence of aliphatic pentagonal rings in the  
49 early stages of soot formation, particularly near the outer region of aromatic soot molecules,  
50 and it has been suggested that the elimination of hydrogen from these molecules can result in  
51 the creation of resonantly stabilized  $\pi$ -radicals.<sup>30</sup> This phenomenon has also been theorized  
52 by Johansson et al.,<sup>31</sup> Gentile et al.,<sup>32</sup> and Rundel et al.<sup>33</sup>

53 Even with such recent [advancements](#) in experimental findings, there is still a lot of un-  
54 knowns about the internal structure of soot. The limitations of experimental methods can  
55 be compensated and complemented by first-principle modeling such as molecular dynam-  
56 ics. With the development of high-performance computational resources, reactive molecular  
57 dynamics (RMD) simulation has become more affordable for studying complex reactive net-  
58 works. For soot-relevant RMD studies, the reactive force field (ReaxFF) potential developed  
59 by van Duin et al.<sup>34</sup> for carbon, hydrogen, and oxygen chemistry (CHO-parameters<sup>35,36</sup>)  
60 is a popular choice. The ReaxFF potential can capture the physicochemical evolution of  
61 hydrocarbon systems in an extensive range of temperatures and pressures. It is based on the  
62 bond order between different atoms, which carry information related to bond breakage and  
63 formation. In recent years, RMD simulations have been used to investigate soot nucleation

64 by pyrene dimerization,<sup>37</sup> to shed light on the nucleation and growth of incipient soot from  
65 PAHs, such as naphthalene, pyrene, coronene, ovalene and circumcoronene,<sup>16</sup> to explore the  
66 initial mechanism of soot nanoparticle formation<sup>38</sup> and to examine the effect of oxygenated  
67 additives on the reduction of diesel soot emissions.<sup>39</sup>

68 Since an RMD simulation provides detailed structural information at the atomic scale,  
69 it can be an excellent tool for analyzing the internal structure of incipient soot particles.  
70 For example, Pascazio et al.<sup>40</sup> recently looked into the internal structure and the mechanical  
71 properties of incipient soot particles using RMD simulation and quantified the amount of  
72 cross-linking in the core and shell region of developing and mature soot particles. Mature  
73 soot primary particles exhibit a distinct core-shell structure with a disorderly condensed core  
74 of ring-like structures surrounded by a shell of chain-like structures.<sup>20,41</sup>

75 Process temperature plays an important role in the development and aging of soot par-  
76 ticles. For example, in a recent study, Pathak et. al.<sup>42</sup> studied graphitization induced  
77 structural transformation of candle soot at different temperatures and found that increasing  
78 the temperature increases the rate of graphitization that leads to more spherical and mature  
79 soot and weakening of the correlation between graphitic nanostructure and surface functional  
80 groups (SFGs). SFGs have been found to be connected to the characteristics of soot aggre-  
81 gates, including the fractal dimension.<sup>43</sup> Since soot morphology, maturity, and reactivity are  
82 expected to be influenced by temperature during acetylene pyrolysis,<sup>44</sup> it is important to  
83 study the internal structure of incipient soot particles at different temperatures.

84 In this work, we used acetylene pyrolysis as our target configuration. Acetylene ( $C_2H_2$ )  
85 is often used as a model fuel in studies of soot formation due to its simplicity and well-  
86 documented pyrolysis pathways, which make it an ideal candidate for detailed atomistic  
87 simulations. While acetylene pyrolysis does not encompass all the complexities of typical  
88 hydrocarbon combustion in flames, it provides a controlled environment that captures key  
89 aspects of the chemical mechanisms involved in soot nucleation and growth. Acetylene  
90 pyrolysis has also been used extensively to investigate soot formation experimentally (e.g. in

91 shock tubes<sup>45</sup>) and develop kinetic models for soot inception.<sup>46-48</sup> These studies have shown  
92 that soot formation during acetylene pyrolysis is driven by hydrogen abstraction and carbon  
93 addition, similar to soot formation in fuel rich flame conditions.<sup>46</sup> Based on the modeling of  
94 soot inception in both aromatic and aliphatic premixed flames, it has also been suggested<sup>49</sup>  
95 that the pathways leading to soot are similar across different aliphatic hydrocarbon types.  
96 This supports the qualitative validity of using acetylene as a model fuel in our current work.

97 In the present study, a series of isothermal RMD simulations using the ReaxFF potential  
98 is conducted by mimicking acetylene pyrolysis at different temperatures (1350, 1500, 1650,  
99 and 1800K). A variety of physicochemical features of these RMD-generated soot particles are  
100 then analyzed to shed light on different types of incipient soot particles and to characterize  
101 the internal structure of these particles obtained from RMD simulations.

## 102 2 Numerical Methodology

### 103 2.1 Simulation configurations

104 Following the methodology described by Sharma et al.,<sup>50</sup> 1000 acetylene molecules are ran-  
105 domly placed in a cubic domain ( $75\text{\AA} \times 75\text{\AA} \times 75\text{\AA}$ ) at four different temperatures, i.e.,  
106 1350, 1500, 1650, and 1800 K. The density of the overall system is  $0.01 \text{ g/cm}^3$ . The tem-  
107 peratures are chosen to capture soot particles from various thermally activated systems.  
108 For statistical significance, at each temperature, simulations are performed for at least five  
109 times with different initial configurations. In total, 24 RMD simulations were performed  
110 for four different temperatures. The RMD simulations are performed using the Large-scale  
111 Atomic/Molecular Massively Parallel Simulator (LAMMPS)<sup>51</sup> software. ReaxFF potential  
112 for hydrocarbons<sup>34,52</sup> is used to capture the chemical changes (bond breakage and formation)  
113 due to molecular collisions during acetylene pyrolysis. The bond length between individual  
114 atoms is calculated at each timestep (0.25 fs) based on the changes in the chemical environ-  
115 ment to describe bond cleavage and formation accurately.<sup>36</sup> This helps the model capture

116 the chemical reactions leading to **the** radical formation during soot nucleation. Periodic  
117 boundary conditions are assumed in all three dimensions. The coordinates of each atom  
118 are calculated and updated using the velocity-Verlet algorithm<sup>53</sup> in conjunction with the  
119 Nose-Hoover thermostat.<sup>54</sup> A constant number, volume, and temperature (NVT) ensemble  
120 strategy is used to run each simulation up to 10 ns. The simulation results are probed  
121 every 0.05 ns, and the clusters of hydrocarbons that **resemble** primary soot particles are  
122 isolated, tabulated, and analyzed. Each of these extracted clusters has at least 20 carbon  
123 atoms and at least one 5-, 6-, or 7-membered ring structure following an earlier study by  
124 Mukut et al.<sup>55</sup> Features such as surface area and volume of primary particles are calculated  
125 using MSMS software developed by Sanner,<sup>56</sup> and other physicochemical characteristics are  
126 analyzed mostly using MAFIA-MD.<sup>57</sup> The open visualization tool (OVITO)<sup>58</sup> is used for  
127 visualization of the molecular clusters.

## 128 2.2 Workflow

129 The workflow adopted in this study can be broken down in four steps. First, a series of RMD  
130 simulations are carried out at different temperatures with different initial configurations. The  
131 incipient soot particles generated in these simulations are then extracted for analysis in the  
132 next step. The simulation configuration and identification of incipient soot particles are  
133 discussed in Sec. 2.1 and 2.3. Second, each of the extracted incipient soot particles are  
134 analyzed to extract their chemical and morphological features such as atom counts, carbon-  
135 to-hydrogen (C/H) ratio, radius of gyration, atomic fractal dimension, density, surface area,  
136 and volume as discussed in Sec. 2.3. In the third step, an unsupervised machine learning  
137 approach is used to properly classify these incipient soot particles based on the features  
138 extracted in the second step. This is discussed in detail in Sec. 3.2. Finally, the radial  
139 distributions of important features of each class of incipient soot particles are analyzed to  
140 understand the internal structure of the particles. This analysis is presented in Sec. 3.4.

<sup>141</sup> **2.3 Extraction of physicochemical properties**

<sup>142</sup> From the RMD simulations, we extract the coordinates of each atom present in the simulation  
<sup>143</sup> box at regular time intervals via the trajectory file. Each timestep is investigated separately  
<sup>144</sup> by analyzing the atom coordinates within the entire simulation domain, which contains  
<sup>145</sup> large molecular clusters and small molecules. The large soot-like molecular clusters are  
<sup>146</sup> identified as the ones that have more than 20 carbon atoms and have at least one 5-, 6-, or  
<sup>147</sup> 7-member ring.<sup>55</sup> In our case, the smallest such cluster was found to have 65 carbon atoms.  
<sup>148</sup> These clusters are isolated using the cluster analysis tool from the OVITO Python module<sup>58</sup>  
<sup>149</sup> implemented in a unified Python script developed in-house. Then, the isolated clusters are  
<sup>150</sup> analyzed individually to calculate their physical, morphological, and chemical attributes.  
<sup>151</sup> Some attributes are obtained trivially from the trajectory files, e.g., number of atoms ( $N$ ),  
<sup>152</sup> carbon to hydrogen ratio ( $\Theta_{C/H}$ ), mass ( $M_p$ ), and molar mass ( $M$ ). Some other attributes like  
<sup>153</sup> the radius of gyration ( $R_g$ ), atomic fractal dimension ( $D_f$ ), and density ( $\rho$ ) are extracted by  
<sup>154</sup> simple algebraic and geometric analysis or by using empirical correlations proposed in the  
<sup>155</sup> literature. These equations and/or correlations are listed in [Appendix B](#). The volume and  
<sup>156</sup> the surface area of incipient particles are calculated using MSMS software<sup>56</sup> using a probe  
<sup>157</sup> radius of 1.5 Å.

<sup>158</sup> The identification and analysis of 5- /6- /7-member ring structures are done using  
<sup>159</sup> MAFIA-MD.<sup>57</sup> MAFIA-MD can analyze RMD trajectory files to identify cyclic/ring struc-  
<sup>160</sup> tures in an atomic cluster. Not all cyclic structures identified are necessarily aromatic. As  
<sup>161</sup> discussed in,<sup>57</sup> it is difficult to exactly confirm which cyclic structures are aromatic as the  
<sup>162</sup> information about aromaticity requires some approximations regarding the bond order of  
<sup>163</sup> aromatic bonds and establishment of planarity. To remove any confusion, therefore, we used  
<sup>164</sup> the terms “ring” or “cyclic” in this work instead of aromatic when discussing these internal  
<sup>165</sup> structures in the soot clusters. The numbers of 5-, 6-, and 7-member rings are denoted as  
<sup>166</sup>  $N_5$ ,  $N_6$ ,  $N_7$ , respectively, and the total number of rings is denoted as  $N_{\bigcirc}$ . Similarly, the

<sup>167</sup> number of carbons in rings is denoted as  $N_{\odot}$ , and the number of non-cyclic carbons in a  
<sup>168</sup> particle is denoted as  $N_{\Phi}$ .

<sup>169</sup> A sample of two particles and a list of their properties are provided in [Appendix C](#).  
<sup>170</sup> This entire set of features is used in the classification of particles, as discussed in Sec. 3.2.  
<sup>171</sup> It must be noted here that while all the [properties](#) mentioned above were evaluated for  
<sup>172</sup> each particle, this article only focuses on the internal structure of the particles, which are  
<sup>173</sup> characterized as discussed in Sec. 2.4. Therefore, beyond their use in [classifying](#) particles,  
<sup>174</sup> the detailed analysis of physical and morphological features such as volume, surface area,  
<sup>175</sup> radius of gyration, and atomic fractal dimension are not the focus of this work.

## <sup>176</sup> 2.4 Characterization of internal structure

<sup>177</sup> We analyzed the internal structure of soot particles via the radial distribution of carbon  
<sup>178</sup> atoms, C/H ratio, and density inside the particle. To compare different-sized particles on  
<sup>179</sup> the same scale, we first normalized the radius of particles by scaling each particle by its  
<sup>180</sup> radius of gyration ( $R_g$ ). Then, each particle is divided [into an](#) equal number of radial bins.  
<sup>181</sup> Each radial bin creates a spherical shell or strip, as shown by the shaded yellow region of  
<sup>182</sup> interest in Fig. 1. We calculate various internal features in these spherical strips and present  
<sup>183</sup> them as a function of the normalized radial distance from the center of mass of each spherical  
<sup>184</sup> strip ( $r^* = r/R_g$ ).

<sup>185</sup> For example, let's consider a strip of width  $\Delta r$ , whose midplane is distance  $r$  away  
<sup>186</sup> from the center of mass. The number of cyclic and non-cyclic carbon atoms in this strip  
<sup>187</sup> (i.e., within a radial distance of  $r \pm \Delta r/2$ ) are counted and represented as a function of the  
<sup>188</sup> normalized radius of the midplane ( $r^* = r/R_g$ ), as  $n_{\odot}(r^*)$  and  $n_{\Phi}(r^*)$ , respectively. The radial  
<sup>189</sup> distribution of cyclic and non-cyclic carbon per unit area (indicated by '') at a normalized  
<sup>190</sup> distance  $r^*$  are then, respectively:

$$N''_{\odot}(r^*) = \frac{n_{\odot}(r^*)}{4\pi(r^* \times R_g)^2}; \quad N''_{\Phi}(r^*) = \frac{n_{\Phi}(r^*)}{4\pi(r^* \times R_g)^2} \quad (1)$$

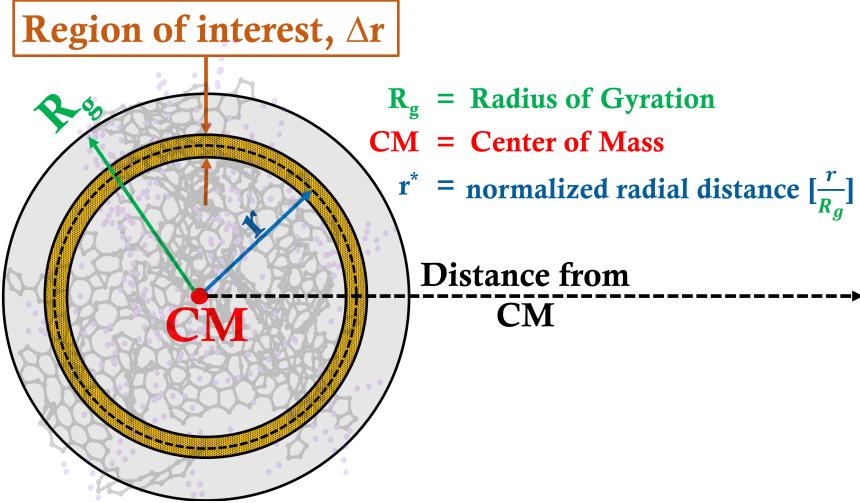


Figure 1: Schematic representation of the calculation of the radial distribution of internal features in the soot particle.

191     Similarly, the radial distribution of C/H ratio is also analyzed for each particle. The C/H  
 192     ratio of the entire particle is calculated as  $\Theta_{c/H} = N_C/N_H$ , where  $N_C$  and  $N_H$  are number of  
 193     carbon and hydrogen atoms in the entire particle, respectively. This C/H ratio is termed as  
 194     the *particle* C/H ratio ( $\Theta_{c/H}$ ) to differentiate from the *local* C/H ratio ( $\theta_{c/H}$ ), which is calculated  
 195     using the number of carbon and hydrogen atoms in the spherical strips, as shown in Fig. 1.  
 196     The local C/H ratio ( $\theta_{c/H}$ ) is determined by calculating the number of carbon ( $n_C(r^*)$ ) and  
 197     hydrogen ( $n_H(r^*)$ ) atoms in a spherical strip with the midplane at a normalized distance  $r^*$   
 198     from the center of mass ( $\theta_{c/H}(r^*) = n_C(r^*)/n_H(r^*)$ ). Finally, the local C/H ratio is normalized  
 199     by the corresponding particle C/H ratio

$$\theta_{c/H}^*(r^*) = \frac{\theta_{c/H}(r^*)}{\Theta_{c/H}} \quad (2)$$

200     Similarly, the radial distribution of local density is also evaluated by dividing the simu-  
 201     lated density of the thin spherical strip using Eqn. B.3 for the strip (referred as *local* density,  
 202      $\varrho(r^*)$ ) by the simulated density of the particle ( $\rho_s$ ) as

$$\rho_s^*(r^*) = \frac{\varrho(r^*)}{\rho_s} \quad (3)$$

203 Here the local density,  $\varrho(r^*)$ , is determined by dividing the mass of particles within a  
 204 spherical strip of radius ( $r^*$ ) and thickness ( $\Delta r$ ) by the volume of that shell. Mathematically,  
 205 this is expressed as shown in Eqn. 4.

$$\varrho(r^*) = \frac{\sum_{i \in \text{strip}} m_i}{\frac{4}{3}\pi((r^*R_g + \frac{\Delta r}{2})^3 - (r^*R_g - \frac{\Delta r}{2})^3)}, \quad (4)$$

206 where  $m_i$  represents the mass of each atom within the spherical strip.

## 207 3 Results and Discussion

### 208 3.1 Formation of incipient soot particles in RMD

209 During the RMD simulations, the system of atoms goes through different chemical and  
 210 physical interactions, resulting in the formation of larger atomic clusters due to the pyrolysis  
 211 of acetylene. The evolution of one of these atomic clusters is depicted in Fig. 2. Carbon and  
 212 hydrogen atoms are represented using black and red dots, respectively. First, the acetylene  
 213 molecules combine to form small linear chains (Fig. 2B: linearization) and then transform  
 214 into cyclic structures (Fig. 2C: cyclization). After cyclization, the small clusters start  
 215 growing due to both bond formation at the surface and internal reorganization. These larger  
 216 atomic clusters resemble incipient soot particles (Fig. 2D–F). It is important to note that  
 217 the collisions are stochastic in nature and the time required for an event, i.e. linearization,  
 218 cyclization, surface growth. etc., varies based on the initial configurations, and therefore  
 219 are omitted from the figure for generality. A similar formation mechanism is also reported  
 220 by Zhang et al.<sup>59</sup> for carbon-black simulations and Sharma et al<sup>50</sup> for acetylene pyrolysis  
 221 simulations.

222 The incipient soot clusters are extracted from the RMD simulations at different timesteps  
 223 to capture the growth. Each simulation is run at least five times with a velocity field initial-  
 224 ized randomly in each case to generate soot particles with different evolutionary histories.

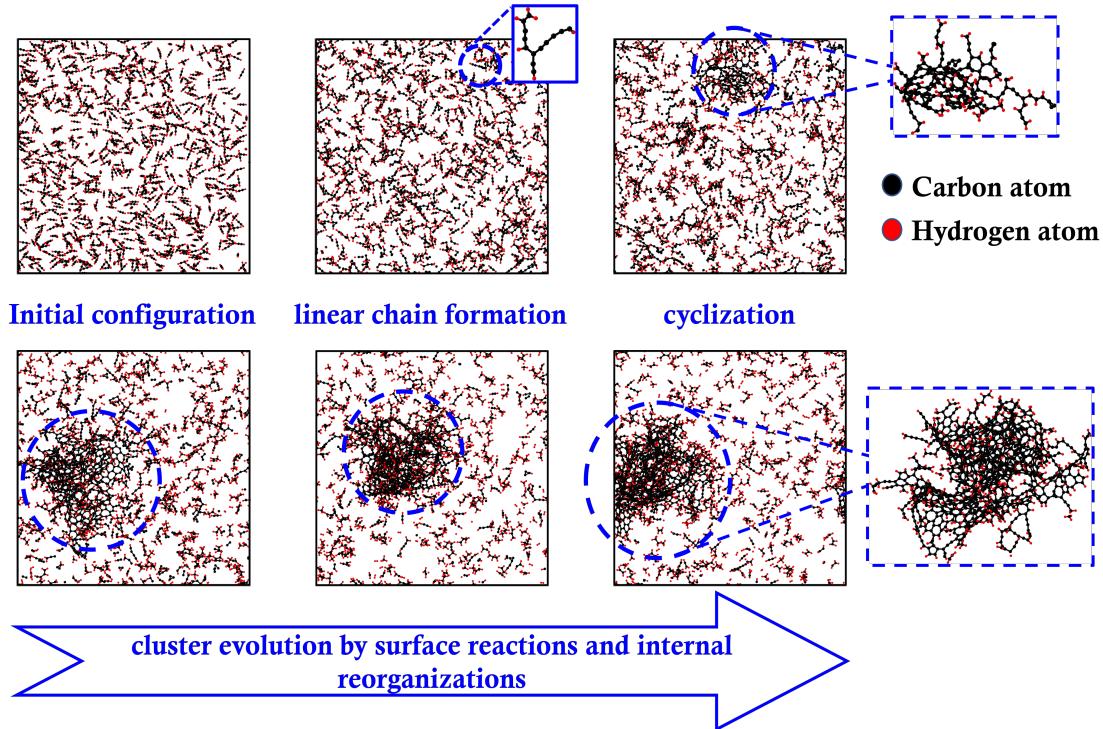


Figure 2: A general representation of steps during the formation and evolution of incipient soot cluster during acetylene pyrolysis (from a simulation performed at 1650 K).

225 In total, 3324 individual soot clusters are isolated from the RMD simulations (number of  
 226 carbon atoms ranging from 65 to 1503). The C/H ratios ( $\Theta_{c/H}$ ) of these particles are com-  
 227 pared to the theoretical limits for PAHs in Fig. 3. Based on the compactness, the PAHs can  
 228 be classified into two categories: (a) peri-condensed PAHs, where more than two aromatic  
 229 rings can share the carbon atoms in the aromatic structures and (b) cata-condensed PAHs,  
 230 where the carbon atoms in the aromatic structure can be shared by at most two aromatic  
 231 rings. Siegmann and Sattler<sup>60</sup> proposed a relationship between the number of carbon and  
 232 hydrogen atoms for both peri-condensed and cata-condensed PAHs. Fig. 3 presents the C/H  
 233 ratio and molar mass of the soot clusters from different temperatures and compares it with  
 234 the peri-condensed and cata-condensed PAH zones derived from.<sup>60</sup> As observed from Fig.  
 235 3, the soot clusters fall between the peri-condensed and cata-condensed boundaries, indicat-  
 236 ing an intricate network of different types of aromatic and aliphatic structures in incipient  
 237 particles. Using atomic force microscopy Commodo et. al.<sup>29</sup> showed that smaller aromatic

238 clusters (number of carbon atoms ranging from 6 to 55, lower than what studied in this  
 239 work) in the early stage of soot formation in a slightly sooting premixed ethylene flame tend  
 240 to be close to the peri-condensed line. However, in this work, we find that large clusters lie  
 241 closer to cata-condensed limit than peri-condensed limit, potentially indicating significant  
 242 presence of non-aromatic (i.e., aliphatic and alicyclic) structures.

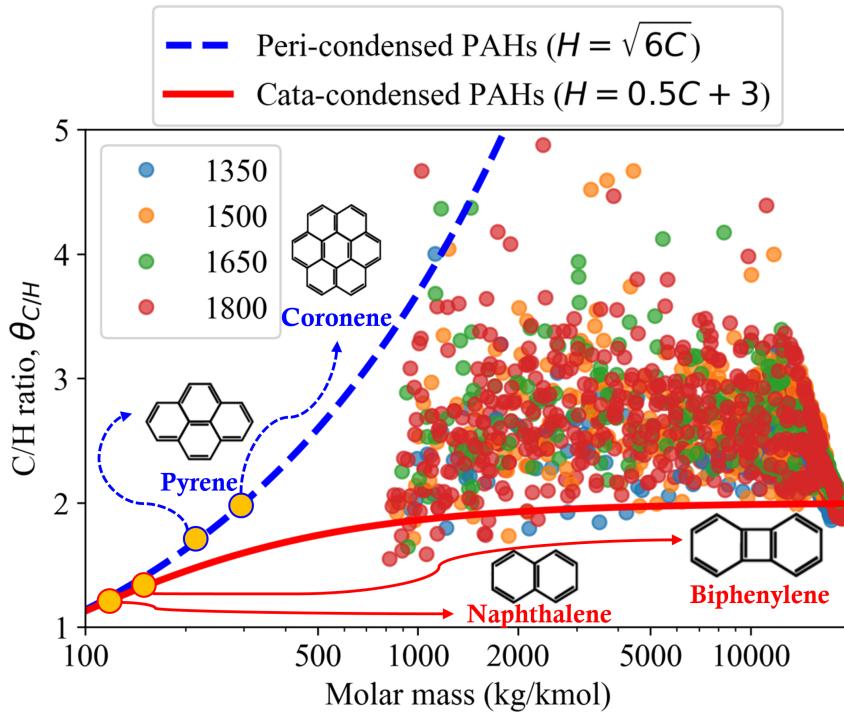
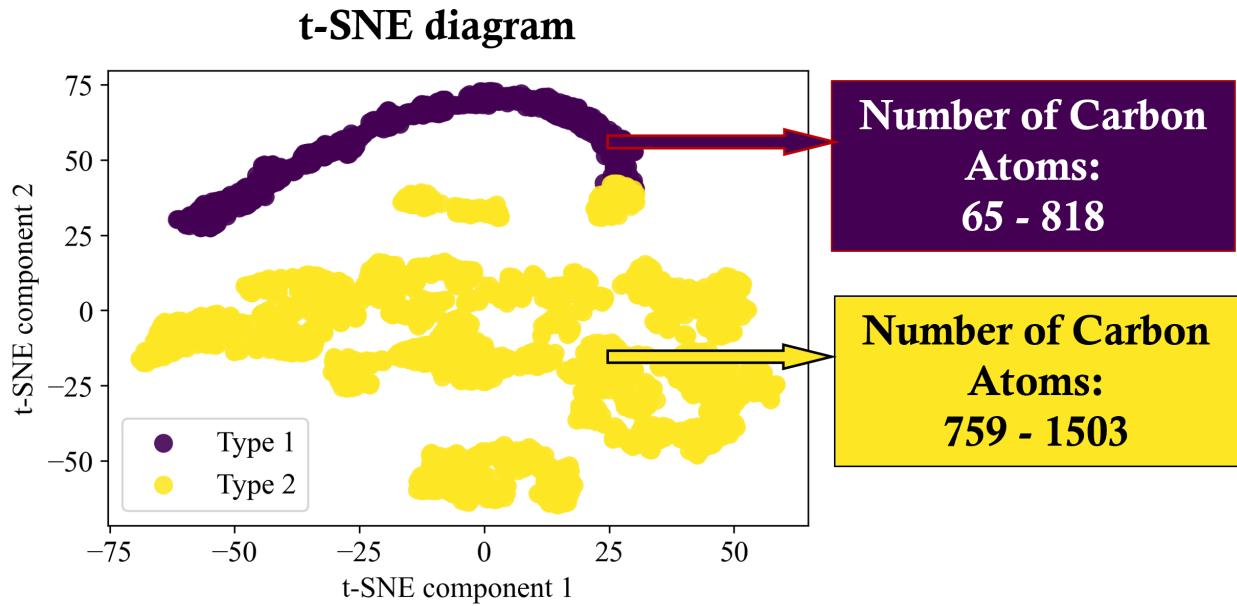


Figure 3: C/H ratio vs. molar mass of soot clusters at different temperatures.

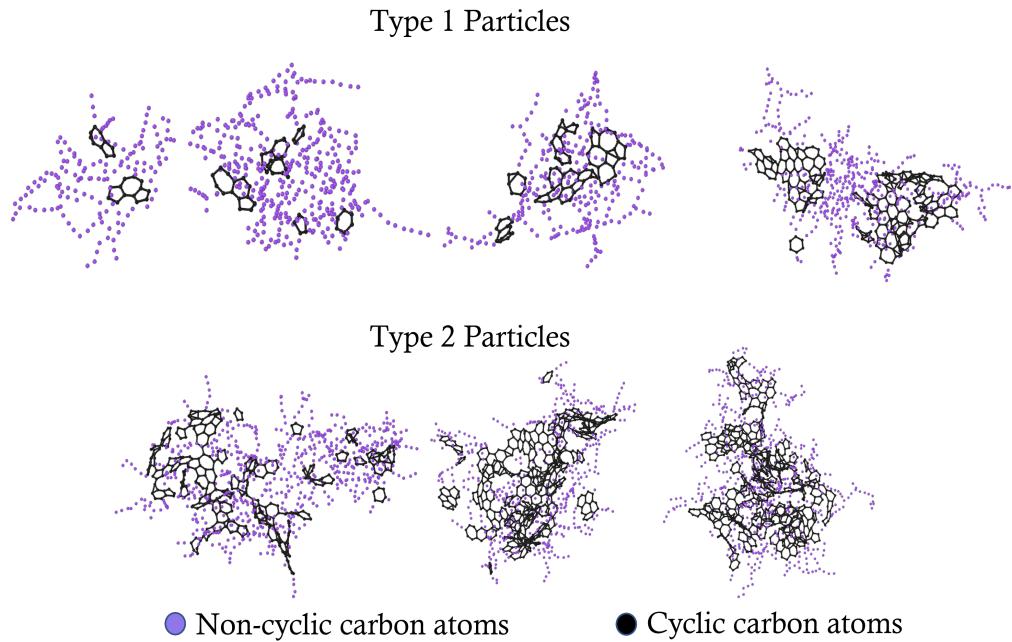
### 243 3.2 Classification of incipient soot particles

244 We tagged, extracted, or calculated the physicochemical features (such as Temperature,  
 245 number of carbon atoms, number of hydrogen atoms, and molar mass) for each particle.  
 246 The complete set of features used in this study is listed in C.1, and two sample particles  
 247 with the entire feature set are shown in C.2.

248 Our initial observation of trends of various internal and physicochemical features revealed  
 249 a wide variation, indicating that these particles can be classified into multiple groups based



(a) A t-SNE diagram generated using all the 3324 incipient soot clusters with two different k-means clusters.



(b) Some example particles from each class obtained from RMD simulations. The non-cyclic carbon atom structures are shown in purple dots, and the cyclic structures are shown in black. Hydrogen atoms are omitted from the visualization for clarity.

Figure 4: Classification of incipient soot particles

250 on their features. The classification of the incipient soot particles is depicted in Fig. 4. We  
251 attempted two unsupervised machine-learning techniques to extract unique classifications  
252 that may exist in the incipient particle sample space. The first method is the k-means clus-  
253 tering algorithm,<sup>61</sup> which is used to label particles of different classes based on all extracted  
254 features of the particles. Then, the t-dispersion stochastic neighbor embedding (t-SNE)<sup>62</sup>  
255 plot is used to display the particle properties on a 2D map. In the t-SNE diagram, similar  
256 clusters (i.e., potentially belonging to the same class) are expected to be close to each other.  
257 Although the number of classes was not known a priori, trial and error with k-means cluster-  
258 ing revealed good results with two classes. For identification purposes, these two classes are  
259 referred to as “type 1” and “type 2” particles, respectively. The resulting t-SNE diagram  
260 is shown in Figure 4(a).

261 Looking closely at the two classes, we see that the particles exhibiting similarity fall  
262 into a nearly continuous size range. For example, in the first class, (type 1), the incipient  
263 particles have a lower total number of carbon atoms (65 – 818), whereas in the second class  
264 (type 2), the particles have a higher number of total atoms (759 – 1503). This essentially  
265 points to the fact that the characteristics of the incipient particles change after a certain  
266 level of growth: smaller particles (type 1) show different features and trends than larger  
267 particles (type 2). It should be noted here that the number of total carbon atoms is not  
268 a unique or absolute marker of the threshold between type 1 and type 2—as indicated by  
269 a slight overlap in the number of carbon atoms range between the types. The number of  
270 carbon atoms acts as a good approxiamte surrogate for threshold identifier. In total, we have  
271 obtained 670 type 1 and 2654 type 2 incipient particles from a total of 3324 particles. Fig.  
272 4(b) depicts some example particles from the analyzed sample space. Here, the non-cyclic  
273 carbon atom structures are shown in purple dots and the cyclic structures are shown in  
274 black, while hydrogen atoms are omitted from the visualization for clarity.

275 **3.3 Comparison with experimental data**

276 The lack of detailed experimental data on the internal structure of incipient soot particles  
277 makes direct comparisons with the simulated soot particles obtained in this study challeng-  
278 ing. Nonetheless, averaged physical properties such as density and C/H ratios, which have  
279 been more thoroughly investigated in experiments, can be used to validate the simulated  
280 soot particles. A detailed validation of these simulations based on average particle proper-  
281 ties is presented in Mukut et al.<sup>63</sup> which explored the averaged particle properties for the  
282 same ensemble of particles investigated in this work. Since discussion of average particle  
283 property is beyond the scope of this work, which only focuses on the internal structure, only  
284 a brief summary of validation is reported here. The calculated density of our particles is  
285  $1.53 \pm 0.08 \text{ g/cm}^3$  which closely matches with the empirical density of  $1.51 \text{ g/cm}^3$  reported  
286 by Johansson et al.<sup>64</sup> Additionally, the mean C/H ratio of the particles obtained in our  
287 simulation is 2.36, which falls within the range of  $2.33 \pm 0.16$  as measured by Schulz et al.<sup>27</sup>  
288 for soot particles from a premixed flame.

### 289 3.4 Internal structure of incipient soot particles

290 The radial distributions of various quantities relevant to the analysis of the internal structure  
291 of the incipient particles are presented as box and whisker plots in subsequent figures. In  
292 this visualization, every box includes the data points within the second and third quartiles,  
293 the horizontal line inside the box indicates the median value, and whiskers represent the  
294 range of the data. The statistics were found to be insensitive to the process temperature.  
295 Hence, aggregate data for all temperatures is presented here.

296 The radial distribution of cyclic and non-cyclic carbon atoms per unit area for type 1  
297 (Figs. 5(a)-5(b)) and type 2 (Figs. 5(c)-5(d)) incipient particles as a function of the nor-  
298 malized radial distance from the center of mass is shown in Fig. 5. The blue vertical line  
299 depicts the location where the radial distance becomes equal to the radius of gyration ( $R_g$ )  
300 of individual particles. For type 1 particles, an abundance of non-cyclic carbon atoms is  
301 observed in the central (i.e., less than 50% of  $R_g$ ) region. The number of carbon atoms

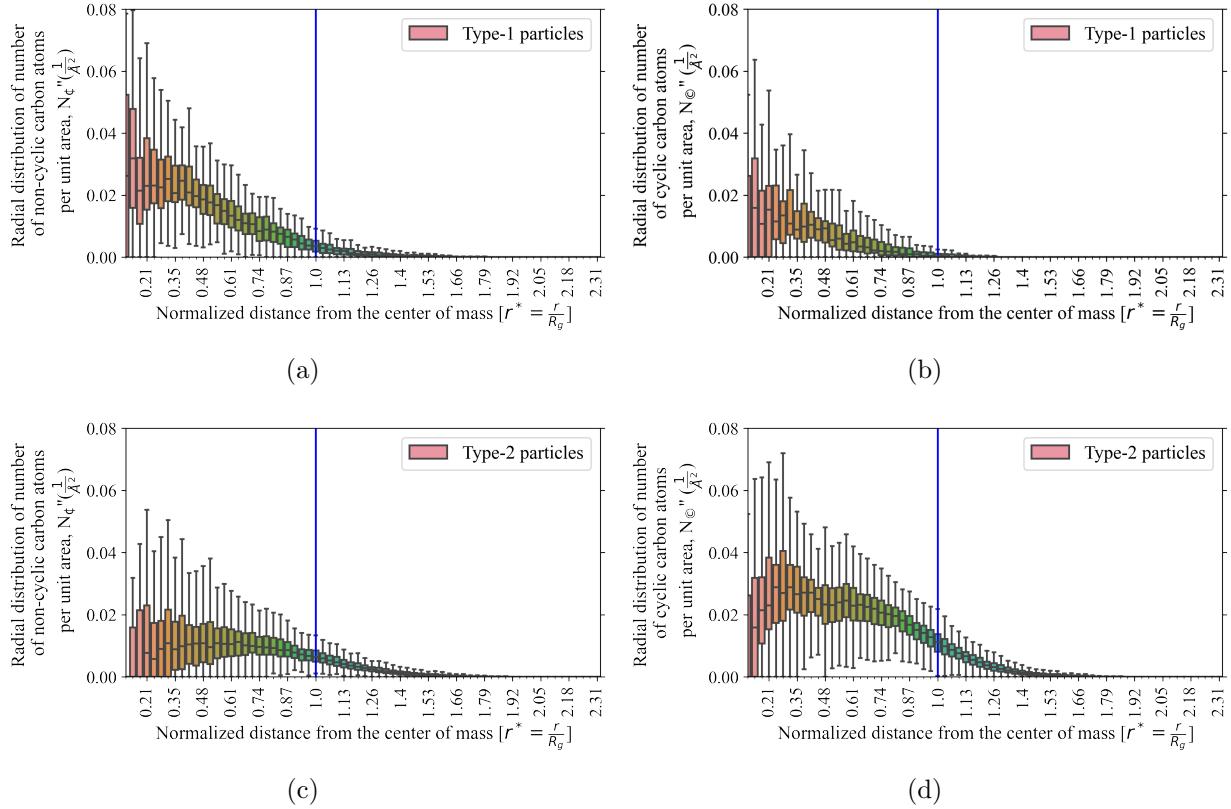


Figure 5: Radial distribution of (a,c) non-cyclic and (b,d) cyclic carbon atoms ( $N''_{\phi}(r^*)$  and  $N''_{\text{C}}(r^*)$ ) in (a,b) type 1 and (c,d) type 2 soot particles as a function of the normalized radial distance ( $r^* = \frac{r}{R_g}$ ) from the center of mass. The blue vertical line is at a radial distance equivalent to  $R_g$ .

in non-cyclic structures is almost two times more than that in cyclic structures in type 1 particles. Almost all the carbon atoms reside near the central region of type 1 particles, and the number of carbon atoms quickly drops to zero as we move away from the center of mass. This indicates that in type 1 particles, i.e., at the very early stages of soot formation, the number of non-cyclic structures is significantly higher than that of cyclic structures.

The type 2 particles, on the other hand, show a different trend where a very concentrated region of cyclic carbon atoms is observed in the central region of the particles. The concentration of cyclic carbon atoms slowly decreases as the distance from the center of mass increases. The number of non-cyclic carbon atoms increases from a very low value in the central region, then reaches a steady value near the radius of gyration and then gradually

312 drops to zero as the distance increases beyond the radius of gyration. This indicates that  
 313 the non-cyclic carbon atoms are more likely to be present in the outer region (what can be  
 314 presumably considered near the particle surface) of the type 2 incipient particles, while the  
 315 central region is dominated by the cyclic carbon atoms.

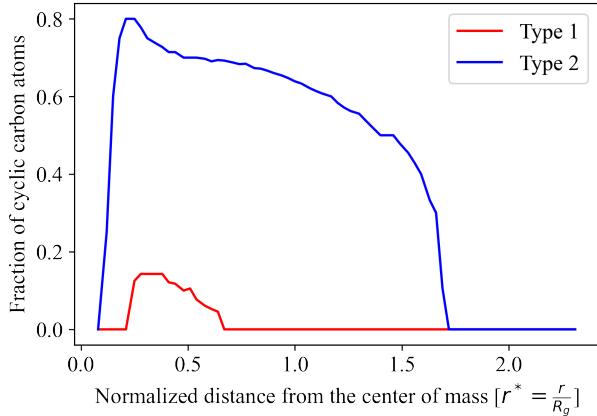
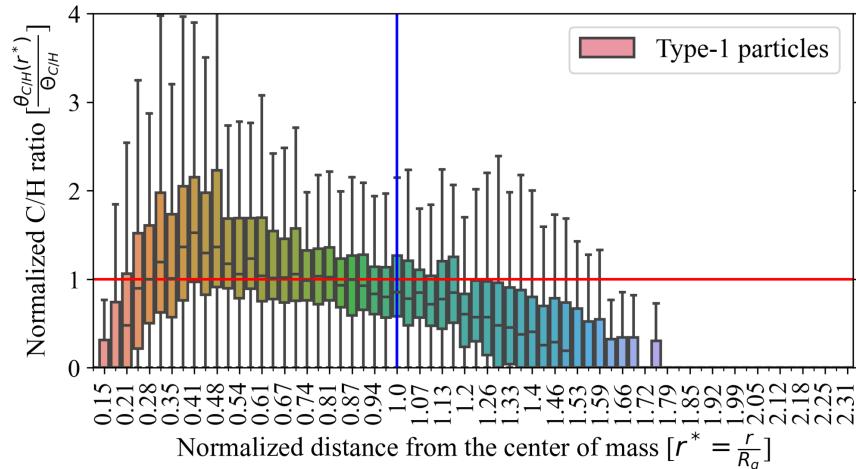


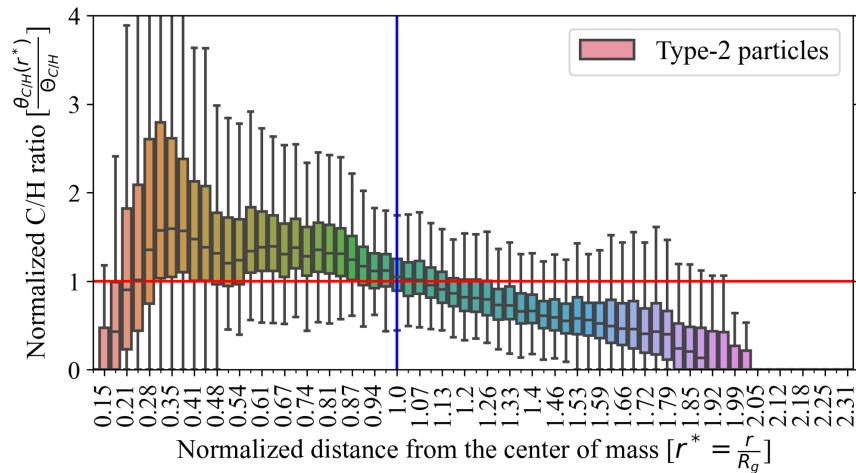
Figure 6: Radial distribution of the median fraction of cyclic carbon.

316 If the radial distribution of the median value of the fraction of cyclic carbon atoms to the  
 317 total number of carbon atoms is analyzed (shown in Fig. 6), the dominance of cyclic carbon  
 318 atoms in type 2 particles becomes very clear. Figure 6 shows a prominent central region where  
 319 more than half of the carbon atoms belong to a ring structure. These evidences suggest that  
 320 the internal chemical structure of incipient soot particles changes as the particles transition  
 321 from type 1 to type 2. This indicates the development of core-shell structures as the incipient  
 322 soot grows and matures. The presence of such a core-shell structure has been theorized in  
 323 the literature. For example, Michelsen et al.<sup>65</sup> used a fractal core-shell model to explain  
 324 the changes in the structure of soot aggregates and primary particles at different heights of  
 325 a laminar co-flow ethylene-air flame. Kholghy et al.<sup>66</sup> proposed a surface shell formation  
 326 model to predict the maturity of soot primary particles. More directly, recently, Botero  
 327 et al.<sup>20</sup> studied the internal structure of soot particles using high-resolution transmission  
 328 electron microscopy (HRTEM) to identify the PAH structures in the core and shell regions  
 329 and suggested the presence of a stabilized core region, indicating nano-structural mobility.

<sup>330</sup> Pascazio et al.<sup>40</sup> utilized RMD simulation and identified different cross-linking levels in the  
<sup>331</sup> core and shell in hypothetical soot particles. Kelesidis et al.<sup>67</sup> also investigated oxidation  
<sup>332</sup> dynamics of carbonaceous nanoparticles having various core-shell structures using lattice  
<sup>333</sup> Monte Carlo simulations. The apparent difference in the radial distribution of cyclic and  
<sup>334</sup> non-cyclic carbon atoms between type 1 and type 2 particles supports these findings.



(a)



(b)

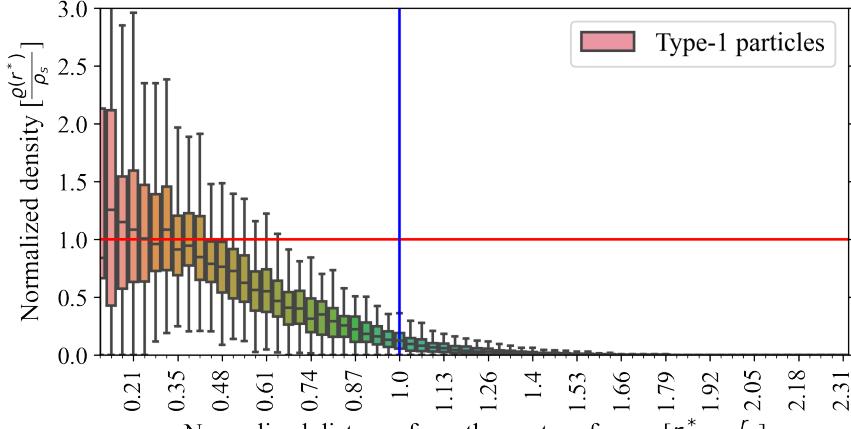
Figure 7: Radial distribution of normalized C/H ratio ( $\theta_{C/H}^*(r^*) = \frac{\theta_{C/H}(r^*)}{\Theta_{C/H}}$ ) in incipient particles as a function of normalized radial distance ( $r^* = \frac{r}{R_g}$ ) from the center of mass. The blue vertical line is at a radial distance equivalent to  $R_g$ . The  $\frac{C}{H}$  ratio of the strip and the particle are the same along the red horizontal line.

<sup>335</sup> The changes in chemical properties inside the incipient soot particles can also be observed

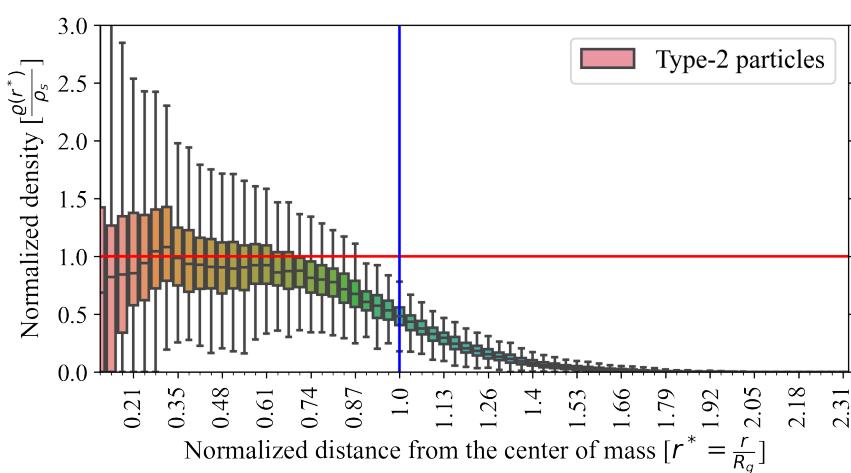
336 in the radial distribution of carbon to hydrogen ratio. The normalized local C/H ratio for  
337 type 1 (Fig. 7(a)) and type 2 (Fig. 7(b)) particles are plotted as a function of the normalized  
338 radial distance from the center of mass in Fig. 7 using box and whisker plots. The blue  
339 vertical line depicts the location where the radial distance becomes equal to the radius of  
340 gyration ( $R_g$ ) of individual particles. The red horizontal line indicates where the local C/H  
341 ratio [equals](#) the particle C/H ratio.

342 The normalized local C/H ratio increases up to a certain distance from the center of  
343 mass and then starts to drop. For type 1 particles, the increase in the local C/H ratio take  
344 longer distance from the center of mass, and the median value gradually reaches a peak  
345 value slightly higher than the particle C/H ratio (about 1.3 times). After that, the local  
346 C/H ratio starts to drop, and the median value reaches a value close to the particle C/H  
347 ratio at around 65% of the radius of gyration. The value stays close to the particle C/H ratio  
348 up to the radius of gyration and then slowly drops to zero. This indicates a very small or  
349 no dense core region in type 1 particles. The [distinction](#) between the core and shell regions  
350 is not clear in type 1 particles because of the absence of a pronounced core as the local  
351 C/H ratio remains close to the particle C/H ratio. For type 2 particles, however, the local  
352 C/H ratio increases rapidly in the central region, and the median value reaches a peak value  
353 of about 1.7 times the particle C/H ratio. After that, the local C/H ratio starts to drop  
354 and reaches a value equal to the global C/H ratio at the radius of gyration. Unlike type 1  
355 particles, the region where the median of the normalized local C/H ratio is close to unity is  
356 very narrow in type 2 [particles](#). This indicates that the dense core region of type 2 particle  
357 is well-developed, and the separation between the core and shell regions is more pronounced  
358 than in type 1 particles.

359 The radial distribution of the normalized density inside type 1 (Fig. 8(a)) and type 2  
360 (Fig. 8(b)) incipient soot particles is presented in Fig. 8 as a function of the normalized  
361 radial distance from the center of mass of soot particles ( $r^*$ ) as box and whisker plots.  
362 The normalized C/H ratio shown in Fig. 7 and the normalized local density in Fig. 8



(a)



(b)

Figure 8: Radial distribution of normalized local density ( $\rho^*(r^*) = \frac{\rho(r^*)}{\rho_s}$ ) inside incipient particles as a function of normalized radial distance ( $r^* = \frac{r}{R_g}$ ) from the center of mass. The blue vertical line is at a radial distance equivalent to  $R_g$ . The strip and particle density are the same along the red horizontal line.

363 represent fundamentally different aspects of particle structure and composition. Specifically,  
 364 the normalized C/H ratio is a measure of the chemical composition within a specific region,  
 365 independent of the actual mass density in that region. Hence, both Figs. 7 and 8 convey  
 366 important and complementary pieces of information.

367 For type 1 particles, Fig. 8 shows a tiny dense central region extending up to about 40%  
 368 of the radius of gyration. The local density of type 1 particles is maximum near the center

369 of mass and drops gradually as the distance from the center of mass increases. For type 2  
370 particles, on the other hand, the dense core region is **more prominent** and extends up to  
371 about 50-60% of the radius of gyration. The local density of type 2 particles stays close to  
372 the particle density up to around 60% of the radius of gyration, and then it quickly drops.

373 **3.5 The boundary between the core and shell**

374 The results discussed so far indicate the presence of a core and shell structure in incipient  
375 soot particles. This is further examined by looking at the median values of normalized local  
376 density ( $\rho_s^*$ ), normalized C/H ratio ( $\theta_{C/H}^*$ ), and radial distribution of carbon atoms ( $N''_{\text{C}}$  and  
377  $N''_{\text{C}}$ ) in Fig. 9 for both type 1 (in red) and type 2 (in blue) incipient soot particles. No  
378 significant trend is noticed from the radial distribution of non-cyclic carbon per unit area  
379 (Fig. 9(d)). However, the normalized density (Fig. 9(a)), C/H ratio (Fig. 9(b)) and the  
380 radial distribution of cyclic carbon atoms per unit area (Fig. 9(c)) demonstrate unique  
381 common trends in type 2 particles, which is not observed in type 1 particles. All of the  
382 quantities

383 1. reach a maximum value near the center of mass and decrease gradually to a local  
384 minimum at a distance of about 50% of the radius of gyration,

385 2. show the presence of a plateau region between 50% and 60% of the radius of gyration,

386 3. and drop monotonously after approximately 60% of the radius of gyration.

387 The first region or the central region can be identified as the *core* of the soot, as it is near  
388 the center of mass, it is denser and contains more rings than other regions (as seen in Fig.  
389 5(b) and 5(d)). The local minima **marks** the beginning of the boundary between the *core*  
390 and the *shell*. The narrow plateau region can be thought of as the boundary region between  
391 *core* and *shell* regions. And finally, the gradual descent of these quantities indicates the *shell*  
392 region. In the type 1 particles, we can only see the gradual descent stage, indicating that  
393 the core-shell **differentiation** is not yet developed, i.e., no developed core. The reason for

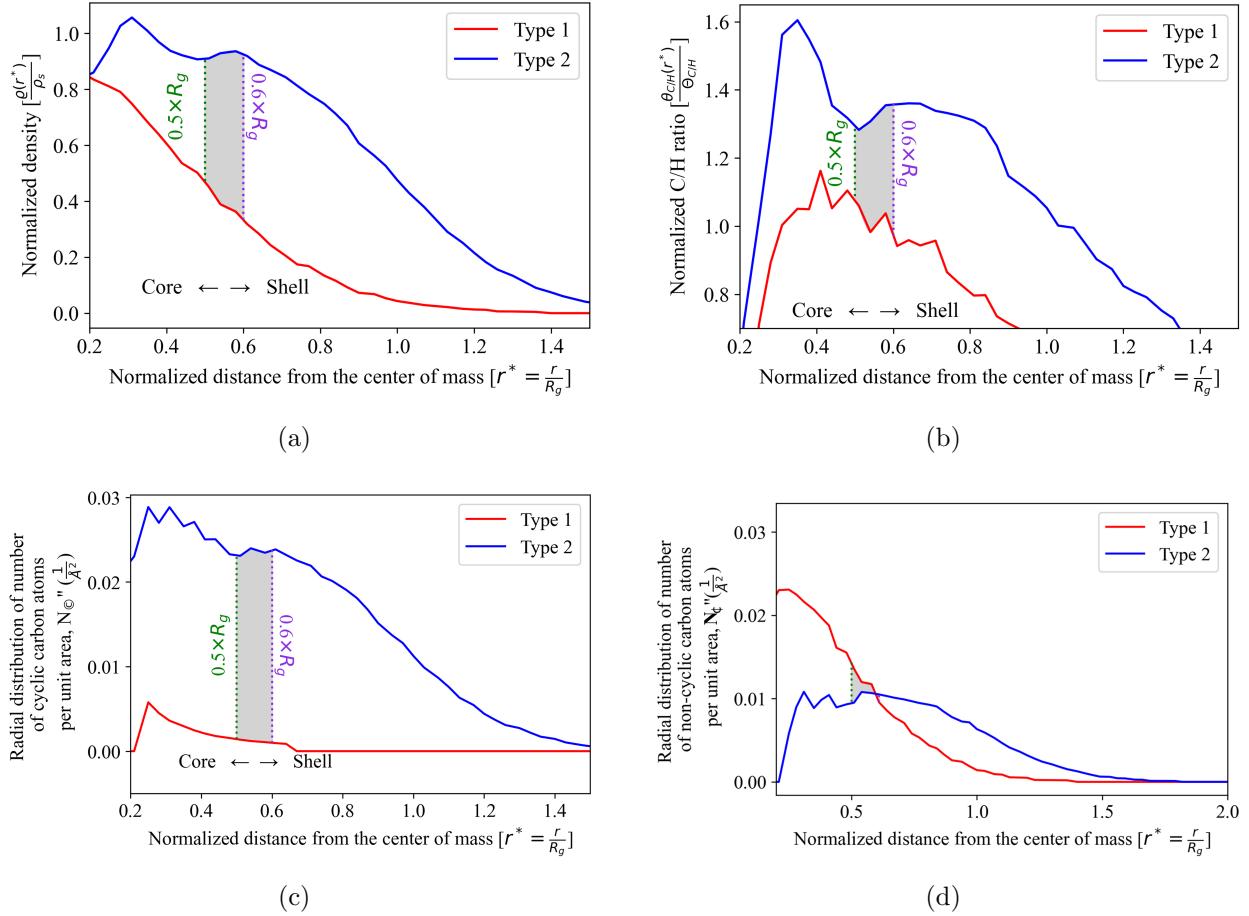


Figure 9: Identification of core and shell based on the radial distribution of normalized medians (a) density, (b) C/H ratio and radial distribution of the number of (c) cyclic and (d) non-cyclic carbon atoms per unit area in incipient particles as a function of normalized radial distance ( $r^* = \frac{r}{R_g}$ ) from the center of mass.

such a trend in density, C/H ratio, and ring structures can be explained by the nature of the stacking of cyclic molecules (disordered and ordered), as shown in Fig. 10. As observed in the schematic in Fig. 10, the core region comprises an interconnected cross-linked network of cyclic molecules, while the shell region contains a sheet-like organization of cyclic molecules. Such structural differences in core and shell are also supported by results presented by Pascazio et al.<sup>40</sup> The core size of  $0.5 - 0.60R_g$  obtained here is also consistent with the core size of  $0.5 - 0.75R_g$  suggested by lattice Monte Carlo simulations<sup>67</sup>, as well as with the core size of soot produced in diesel engines<sup>41</sup> and diffusion flames<sup>20</sup>.

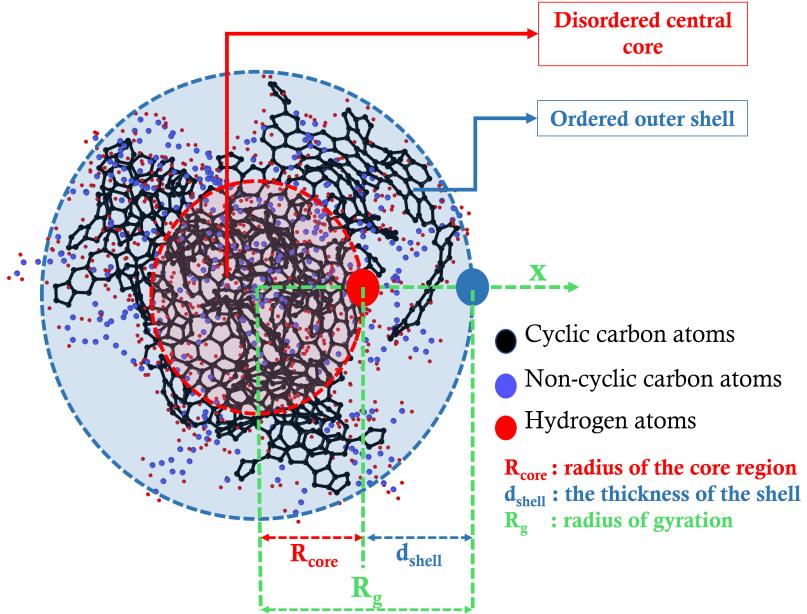


Figure 10: Schematic of core and shell structure of incipient particles.

## 4 Conclusion

A series of reactive molecular dynamics (RMD) simulations were performed to study the internal structure of incipient soot particles during acetylene pyrolysis at four different temperatures. A total of 3324 incipient soot particles were obtained at different stages of their evolution from these simulations. The mass, volume, surface area, radius of gyration, density, C/H ratio, and number of cyclic structures were calculated for each particle. It was found that all incipient soot particles contained both cyclic and non-cyclic moieties.

Using unsupervised machine-learning techniques, it was found that incipient soot particles can be classified into two types based on their morphological and chemical features. The particle size serves as a good approximate predictor of the class of the incipient particle; smaller particles tend to belong to type 1 and larger ones particles tend to belong to type 2 category. This indicated that the two types correspond to early and late stages of incipient soot.

The internal structure of the incipient particles was investigated using the radial distribution of cyclic and non-cyclic carbon-based moieties, C/H ratio, and density. We did

417 not observe any direct or obvious sensitivity of the internal structure of the particles to  
418 temperature for the temperature range of 1350 to 1800 K employed here.

419 The internal structures of the two types of incipient soot particles, however, showed  
420 distinctly different characteristics. The center of type 1 particle was primarily made of non-  
421 cyclic structures whereas the center of the type 2 particles is dominated by cyclic structures.  
422 Type 1 particles did not show any clear core-shell structure. The radial distribution of the  
423 particle density showed a clear existence of a dense “core” at the center and a less dense  
424 “shell” at the periphery of type 2 particles. The core in type 2 particles extends from  
425 the center of the particle up to approximately  $0.5\text{--}0.6R_g$ . This core region were made of an  
426 interconnected cross-linked network of cyclic structures while the shell contained sheet-like  
427 organizations of cyclic structures.

428 This study presents a detailed exploration of internal structure of incipient soot particles  
429 obtained from acetylene pyrolysis. Acetylene can serve as a good model fuel for exploring soot  
430 inception. However, further investigations are needed to confirm whether the results obtained  
431 from acetylene pyrolysis can be generalized to other fuels and combustion conditions.

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## 634 A Symbols and nomenclature

635  $a$ : A parameter in Eqn B.4

636  $A$ : Surface area of a particle ( $\text{\AA}^2$ )

637  $c$ : A parameter in Eqn B.4

638  $D_f$ : Atomic fractal dimension of a particle

639  $m_{p,i}$ : Mass of  $i^{\text{th}}$  particle (kg)

640  $M$ : Molar mass of a particle (kg/kmol)

641  $M_p$ : Mass of a particle (kg)

642  $n_{\text{C}}$ : Number of cyclic carbon atoms at a specific location

643  $n_{\text{C}}$ : Number of non-cyclic carbon atoms at a specific location

644  $N$ : Total number of atoms in the entire particle

645  $N_{\text{O}}$ : Number of rings in the entire particle

646  $N_5$ : Number of 5-membered rings in the entire particle

647  $N_6$ : Number of 6-membered rings in the entire particle

648  $N_7$ : Number of 7-membered rings in the entire particle

649  $N_C$ : Number of carbon atoms in the entire particle

650  $N_H$ : Number of hydrogen atoms in the entire particle

651  $N_{\text{C}}$ : Number of cyclic carbon atoms in the entire particle

652  $N_{\text{C}}$ : Number of non-cyclic carbon atoms in the entire particle

653  $r$ : Local radius ( $\text{\AA}$ )

654  $R_{eq}$ : Volume equivalent radius ( $\text{\AA}$ )

655  $R_g$ : Radius of gyration (Å)  
 656  $\rho_s$ : Simulated density of a particle (kg/m<sup>3</sup>)  
 657  $\rho_e$ : Empirical density of a particle (kg/m<sup>3</sup>)  
 658  $\varrho$ : Local (actual) density (kg/m<sup>3</sup>)  
 659  $T$ : Temperature (K)  
 660  $\theta_{c/h}$ : Local C/H ratio  
 661  $\Theta_{c/h}$ : C/H ratio of the entire particle  
 662  $V$ : Volume of a particle (Å<sup>3</sup>)  
 663  $w_C$ : Mass of a carbon atom (kg)  
 664  $w_H$ : Mass of a hydrogen atom (kg)

665

666 Superscripts:  
 667 \*: Denotes normalized value  
 668 //: Denotes per unit area value

## 669 B Expressions for physical properties of soot particles

670 The trajectory files obtained from RMD simulations contain coordinates of each atom with  
 671 reference to a global reference frame. This coordinate information, along with the mass of  
 672 each atom, is used to calculate the [coordinates](#) of the center of mass of each particle. The  
 673 mass of a particle  $M_p$  is calculated by summing up the mass of the atoms in the cluster. The  
 674 volume ( $V$ ) is [computed](#) using MSMS<sup>56</sup> with a pore size of 1.5 Å. The volume equivalent  
 675 radius of a particle with volume  $V$  is calculated via Eqn. B.1.

$$676 R_{eq} = \left( \frac{3V}{4\pi} \right)^{1/3} \quad (B.1)$$

676 The radius of gyration ( $R_g$ ) is calculated following the standard definition using Eqn. B.2.

$$R_g = \sqrt{\frac{\sum_{i=1}^N m_{p,i} r_i^2}{\sum_{i=1}^N m_{p,i}}}, \quad (\text{B.2})$$

677 where  $r_i$  is the distance of the  $i^{th}$  atom from the center of mass,  $m_{p,i}$  is the mass of individual  
678 atoms, and  $N$  is the total number of atoms in the cluster.

679 The simulated density ( $\rho_s$ ) is calculated using the particle mass ( $M_p$ ) and volume ( $V$ ) of  
680 the incipient particle using Eqn. B.3.

$$\rho_s = \frac{M_p}{V} \quad (\text{B.3})$$

681 Empirical (bulk) density<sup>64,68</sup> of an incipient particle is calculated using Eqn. B.4.

$$\rho_e = (0.260884a^2c)^{-1} \left( \frac{w_C\Theta_{C/H} + w_H}{\Theta_{C/H} + 1} \right), \quad (\text{B.4})$$

682 where  $w_C$  and  $w_H$  are the molar masses of carbon and hydrogen atoms,  $a$  is the length of  
683 the graphite unite cell in the basal plane,  $c$  is the interlayer spacing in Angstroms, and  $\Theta_{C/H}$   
684 represents the carbon to hydrogen ratio of the cluster. More details can be found in.<sup>64,68</sup>

685 The atomic fractal dimension ( $D_f$ ), following the approach used in,<sup>50</sup> is calculated using  
686 the sandbox method<sup>69,70</sup> using Eqn. B.5.

$$D_f = \frac{\log M_p(r)}{\log r}, \quad (\text{B.5})$$

687 where  $M_p(r)$  is the mass of atoms in the cluster as a function of radial distance from the center  
688 of mass. Please note that this “atomic” fractal dimension is for a single incipient particle  
689 and **differs** from the traditional fractal dimension used in aggregate characterization.<sup>50</sup>

690 The molar mass (M) is calculated by summing the atomic masses of each element in a  
691 compound, multiplied by the number of atoms of that element in the molecular formula.

692 For an incipient particle with the molecular formula  $C_mH_n$ , where  $m$  and  $n$  represent the  
693 number of carbon and hydrogen atoms respectively, the molar mass (M) can be calculated  
694 using the atomic masses of carbon (12 kg/kmol) and hydrogen (1 kg/kmol). Therefore, the  
695 molar mass (M) of the particle is given by Eqn. B.6.

$$M = 12m + n \quad (\text{B.6})$$

696

## 697 C Physicochemical data used and analyzed in this study

### 698 C.1 Feature set

699 The feature set used in this study for each particle includes the following

700 1. Temperature ( $T$ )

701 2. Number of carbon atoms ( $N_C$ )

702 3. Number of hydrogen atoms ( $N_H$ )

703 4. Number of atoms ( $N$ )

704 5. Molar mass ( $M$ )

705 6. C/H ratio ( $\Theta_{C/H}$ )

706 7. Radius of gyration ( $R_g$ )

707 8. Atomic fractal dimension ( $D_f$ )

708 9. Simulated density ( $\rho_s$ )

709 10. Empirical density ( $\rho_e$ ) (also referred to as the bulk density in literature)

710 11. Total number of cyclic structures ( $N_{\odot}$ )

711 12. Fraction of cyclic carbon atoms ( $N_{\odot}/N_C$ )

712 13. Fraction of 5-member rings ( $N_5/N_{\odot}$ )

713 14. Fraction of 6-member rings ( $N_6/N_{\odot}$ )

714 15. Fraction of 7-member rings ( $N_7/N_{\odot}$ )

715 16. Surface area ( $A$ )

716 17. Volume ( $V$ )

717 18. Area to volume ratio ( $A/V$ )

## 718 C.2 Sample data

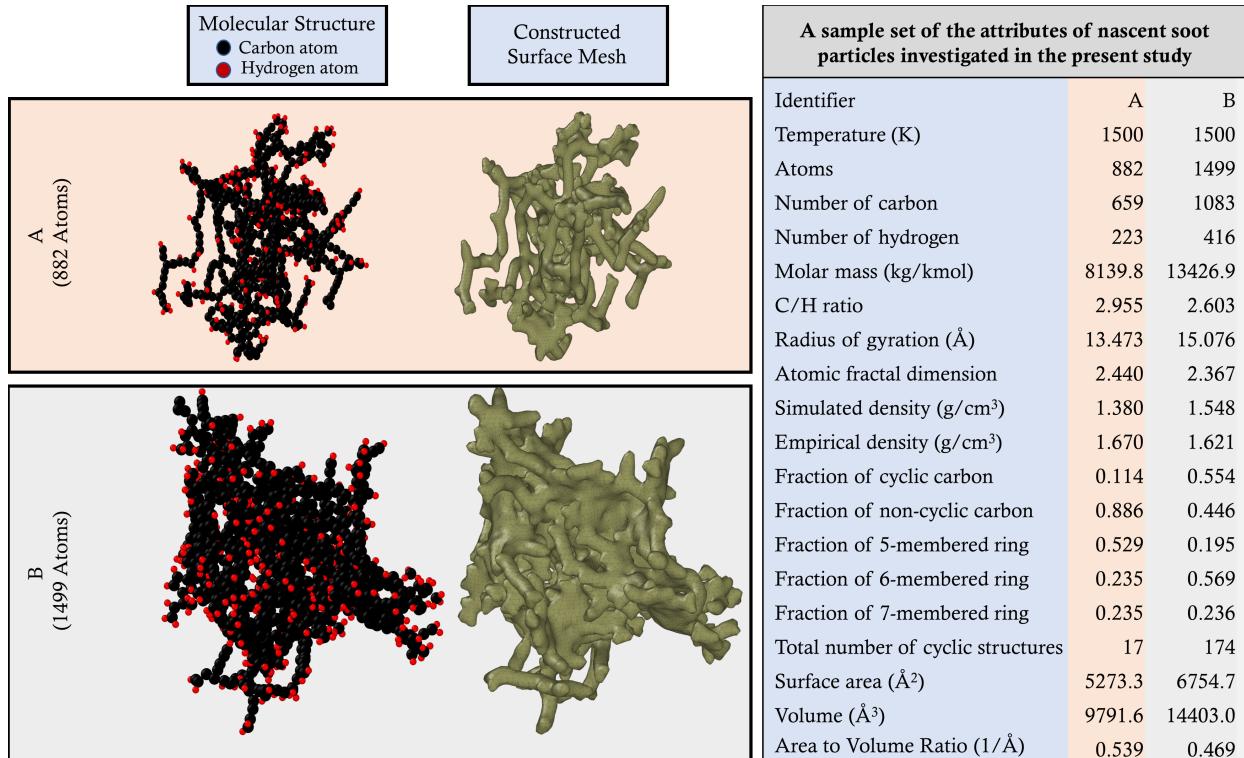


Figure 11: Two sample soot particles and their attributes investigated in this study

719       Figure 11 shows two sample soot clusters and their properties as examples. These clusters,  
720       labeled as A and B, were extracted from a simulation at 1500 K at two different times. The  
721       left side shows the molecular structure of the particle and the three-dimensional volumetric  
722       representation by constructing a surface mesh using OVITO<sup>58</sup> (this is what the incipient  
723       particles would actually look like). The physicochemical properties of these particles, as  
724       analyzed in this work for classification via machine-learning, are tabulated on the right side  
725       of the figure.

726 TOC Graphic

727

