

1 **Title:** Perturbation-based estimation of within-stride cycle metabolic cost

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18 **Abstract:**

20 Metabolic cost greatly impacts trade-offs within a variety of human movements. Standard
21 respiratory measurements only obtain the mean cost of a movement cycle, preventing
22 understanding of the contributions of different phases in, for example, walking. We present a
23 method that estimates the within-stride cost of walking by leveraging measurements under
24 different force perturbations. The method reproduces time series with greater consistency ($r =$
25 0.55 and 0.80 in two datasets) than previous model-based estimations ($r = 0.28$). This
26 perturbation-based method reveals how the cost of push-off (10%) is much smaller than would
27 be expected from positive mechanical work ($\sim 70\%$). This work elucidates the costliest phases
28 during walking, offering new targets for assistive devices and rehabilitation strategies.

33 **Keywords:**

45 **Introduction:**

46 Metabolic cost is a critical measure used to characterize movement behavior [1–3]. Healthy
47 walkers naturally adopt an energetically optimal stride cycle, for example, by walking with a step
48 length [4] and knee flexion angle [5] that minimizes metabolic cost. Pathologies like stroke and
49 cerebral palsy alter patients' walking stride resulting in increases to metabolic cost by 60 to 2–
50 300% [6,7]. Such increases in metabolic cost correlate to drastic reductions in people's mobility
51 and overall quality of life [8,9]. If we understand how stride cycle phases contribute to metabolic
52 cost, therapies and devices may be better optimized to improve mobility (Fig. 1A).

53

54 Measurements of metabolic cost are too slow to detect the contributions of different stride phases.
55 Current methods to calculate energy from oxidative reactions include measuring respiratory CO₂
56 production by ingesting water with a radioisotope ('doubly labelled water method'), measuring
57 oxidative heat production using a chamber ('direct calorimetry'), and measuring O₂ consumption
58 from respiration ('indirect calorimetry') [10]. Indirect calorimetry is the fastest and most
59 commonly used method for measuring metabolic cost during locomotion; however, it still requires
60 averaging several minutes of breaths to be reliable [11–13]. A typical walking stride lasts about
61 one second meaning current methods can only measure the mean metabolic cost following a bout
62 of steady-state walking. Experiments that approximated the cost of the swing phase by recording
63 cyclical leg swinging [14] and by measuring blood flow from injected microspheres in animals
64 that are then sacrificed [15] suggest that the stride-mean metabolic cost does not necessarily
65 represent the contributions of individual phases ('within-stride metabolic cost').

66

67 Several model-based methods of estimating within-stride metabolic cost have been proposed but
68 remain inconclusive. Umberger developed a set of equations to estimate metabolic cost from

69 muscle parameters and used this to produce the first estimation of within-stride metabolic cost
70 from a forward simulation of walking [16]. Other groups used EMG-driven simulations [17] or
71 equations based on joint kinetics instead of muscle parameters [18]. However, when comparing
72 those methods to each other, their estimations of within-stride metabolic cost are relatively
73 inconsistent (Pearson correlation: $r = 0.29$, $n = 6$ estimations, Fig. 1B) [19]. Currently, there is no
74 way to validate these model-based estimations for within-stride metabolic cost since measurements
75 from indirect calorimetry only obtain a stride mean. This motivates the development of an
76 alternative method to estimate within-stride metabolic cost that is supported by indirect validation
77 approaches.

78

79 We hypothesized that applying a set of perturbations creates a set of instances of the behavior
80 where the differences in the time series between each perturbed instance can be attributed to the
81 different magnitudes and timings of the applied perturbation. By applying perturbations repeatedly
82 to a specific part of the gait cycle for several minutes, we can induce changes in the stride-mean
83 metabolic cost as well as in the biomechanical time series (e.g., kinematics, kinetics, and muscle
84 activations) [19–21]. We postulated the variation across the set of perturbed walking strides would
85 be representative of the fluctuations in metabolic cost within the stride cycle so long as the set
86 contained a large number of different perturbations. If true, this would enable a method to extract
87 key features of within-stride metabolic cost. Our approach is inspired by prior studies that utilized
88 ankle perturbations to assess time series of joint impedance during the stance phase [22,23] as well
89 as studies that used elastic bands and added mass to estimate the cost of stance and swing phases
90 [24,25]. To our knowledge, using of a perturbation-based approach for estimating within-stride
91 metabolic cost time series is novel.

92

93 Using this concept, extraction of within-stride behaviour from a collection of perturbed instances,
94 we developed an alternative method to estimate within-stride metabolic cost that we refer to as our
95 ‘perturbation-based method’. Our method estimates within-stride metabolic cost using
96 measurements from a set of perturbed walking strides. We then evaluated our method’s ability to
97 consistently reproduce model-based estimates of within-stride metabolic cost.

98

99 **Materials and Methods:**

100 Overview

101 The perturbation-based method was initially developed and tuned using a dataset from a
102 neuromechanical simulation [26,27]. The tuned method was then validated against distinct signals
103 from the neuromechanical simulation as well as a separate dataset from human experiments. In
104 both datasets, biomechanical time series were recorded during 35 different perturbed walking
105 conditions and one unperturbed, normal walking condition [20]. In each perturbation condition,
106 we applied a force profile onto the COM with specific timings, durations, and magnitudes. The
107 same perturbation force profiles were used in the neuromechanical simulations and human
108 experiments. In the neuromechanical simulation, we applied the perturbations by simulating a
109 forward force applied to the hip. In the human experiments, we applied forward forces using a
110 robotic waist tether connected to the hip. In both datasets, we generated model-based estimations
111 of within-stride metabolic cost as test cases to evaluate our perturbation-based method’s
112 performance. Re-evaluating our method in two distinct datasets avoids dataset bias [28]. We
113 indirectly validated our perturbation-based method by reproducing the within-stride metabolic cost
114 from those model-based estimations.

115

116 Simulation dataset

117 We adapted a neuromechanical simulation from Song and Geyer to walk under force perturbations
118 from a waist tether [26,27]. Specifically, we used a two-dimensional variant that restricts motion
119 to the sagittal plane [26]. We simulated perturbations with forward forces applied at the hip of a
120 model with seven rigid segments in Simscape First Generation Multibody (MathWorks, Natick,
121 MA). In this framework, we simulated 32 sinusoidal force profiles with peak timings covering the
122 entire gait cycle and peak forces ranging from 0 to 24% percent of body weight, three constant
123 force profiles, and an unperturbed walking condition.

124

125 The neuromechanical model's walking control strategy was optimized for each perturbed walking
126 condition (cf. Supplementary: Neuromechanical simulation dataset for tuning and in silico
127 evaluation). Time series data were extracted for each of the optimized control strategies to
128 constitute the neuromechanical dataset. We then constructed 100 time series to serve as test data
129 for tuning our perturbation-based method. These test time series were random linear combinations
130 of the different biomechanical time series, so they were distinct from the model-based estimates
131 that would be used later for evaluation.

132

133 Experimental dataset

134 We used biomechanical and indirect calorimetry data from previous human experiments [20] with
135 a robotic waist tether [21] for the in vivo evaluation and application of our perturbation-based
136 method (Supplementary Data 1). Ten healthy participants (age: 28.0 ± 4.7 years, body mass: 83.2 ± 12.2 kg, height: 1.80 ± 0.05 m; mean \pm SD) walked under the same perturbations as in the
137 neuromechanical simulation dataset. In this case, the perturbations were generated by a robotic
138 waist tether controlled by a temporal algorithm that enables pulling during a specific portion of
139 the gait cycle with high consistency.

140

141

142 Perturbation-based method input signals

143 Our perturbation-based estimation method uses the stride-mean metabolic cost as well as within-
144 stride biomechanical time series to estimate within-stride metabolic cost (Fig. 2 C and F. Methods:
145 Perturbation-based method). The biomechanical time series as well as additional mathematically
146 derived combinations of those time series are considered potential estimates of within-stride
147 metabolic cost. Our perturbation-based method first calculates the mean cycle from 0 to 100% of
148 the stride for each biomechanical time series for each perturbation condition. Then each stride-
149 normalized biomechanical time series is reduced to one scalar for each perturbation condition
150 using a custom standardization method based on the deviation from unperturbed walking (cf.
151 Methods: Custom standardization method). A collection of these standardized scalar values of
152 biomechanical data across all perturbations form a perturbed biomechanical set. Finally, we select
153 the biomechanical set that matches the perturbed set of the stride-mean metabolic cost (cf.
154 Methods: Time series estimation procedure). The original biomechanical time series that most
155 closely matched the standardized set for the stride-mean metabolic cost is used as the estimate of
156 within-stride metabolic cost.

157

158 We chose to estimate the metabolic cost of one side of the body rather than the whole body's
159 metabolic cost. The within-stride metabolic cost of one side of the body provides more descriptive
160 and potentially useful information for interventions, such as assistive devices, than whole-body
161 cost, which cannot be attributed to a specific leg. **Using model-based methods, we generated a set**
162 **of five estimates of the within-stride metabolic cost to indirectly validate our perturbation-based**
163 **method's performance which were distinct from the five evaluations that were used in the**

164 neuromechanical dataset (cf. Supplementary: Model-based metabolic costs used in the human
165 experiment dataset).

166

167 All kinematic, kinetic, and muscle activation time series as well as the derived signals (cf.
168 Methods: Additional derived input time series and algorithm tuning) are stride-normalized and
169 organized in matrices with one row for each percent of the stride cycle and one column for each
170 of the 36 perturbation conditions.

$$171 \quad X_{bts} = [100 \times 36] \quad (1)$$

172 Each perturbation's force profile was repeated over multiple stride cycles for a sufficient duration
173 to obtain steady-state metabolic cost (40 s to obtain ten sufficiently stable strides in the
174 neuromechanical simulations and 2 min to estimate the steady-state metabolic cost in the human
175 experiments) [11].

176

177 The stride mean metabolic cost for every condition is also used as an input in our perturbation-
178 based method.

$$179 \quad \bar{Y} = [1 \times 36] \quad (2)$$

180

181 This stride mean can be estimated from model-based metabolic costs as well as from respiratory
182 $\dot{V}O_2$ and $\dot{V}CO_2$ measurements; hence this input is available when estimating the within-stride
183 metabolic cost in human experiments.

184

185 Custom standardization method

186 Each time series is standardized using a custom method (Supplementary Data 1). First, we take
187 the stride mean of each biomechanical time series for every perturbation condition.

$$\bar{X}_{bts} = [1 \times 36] \quad (3)$$

189

190 Next, we calculate the deviation of each perturbed walking condition from the unperturbed
191 walking condition.

$$192 \quad \Delta \bar{X}_{bts} = \bar{X}_{bts} - \bar{X}_{bts,0} \quad = [1 \times 36] \quad (4)$$

193

194 where $\Delta\bar{X}_{bts}$ is the set of deviations from the unperturbed condition and $\bar{X}_{bts,0}$ is the stride mean
 195 of the unperturbed condition.

196

197 Each set of deviations is then normalized by its range of deviations from unperturbed walking

$$198 \quad \bar{X}_{stand} = round\left(\frac{\Delta \bar{X}_{bts} n_{bins}}{\max(\Delta \bar{X}_{bts}) - \min(\Delta \bar{X}_{bts})}\right) = [1 \times 36] \quad (5)$$

199

200 where \bar{X}_{stand} is the standardized set of deviations from unperturbed walking for each
 201 biomechanical time series and n_{bins} is the number of bins. The standardized set is enumerated to
 202 reduce the effects of floating-point differences between biomechanical measurements. The number
 203 of bins was set to 80 based on tuning (cf. Methods: Tuning of available data for metabolic cost
 204 estimation, Supplementary Data 2). This process is similar to Slade et al., (2022) [29].

205

206 In summary, this procedure converted the stride means of biomechanical time series to a range of
207 standardized values ranging from 1 to 80. We also applied the same standardization procedure
208 (eqs. 4, 5) to the stride means of derived biomechanical time series as well as to the stride mean
209 metabolic cost (\bar{Y}).

210

211 Time series estimation procedure

212 We ran a minimization procedure that evaluates which standardized biomechanical time series best
213 matches the standardized metabolic cost. First, we evaluate how well the standardized set of each
214 biomechanical time series and each derived time series matches the standardized set of metabolic
215 cost using a sum of square comparison

216
$$SS_{initial} = \sum_{c=1}^{cond36} (\bar{X}_{stand,c} - \bar{Y}_{stand,c})^2 \quad (6)$$

217

218 where SS is the sum of squares and c represents each perturbation condition.

219

220 Then, we conduct a stepwise optimization procedure whereby we evaluate if adding another
221 standardized biomechanical time series or derived signals to the previous standardized set
222 improves the SS

223
$$SS_{new} = \sum_{c=1}^{cond35} ((\bar{X}_{stand,c,j} + \bar{X}_{stand,c,prev\ opt\ SS}) - \bar{Y}_{stand,c})^2 \quad (7)$$

224

225 where $\bar{X}_{stand,c,prev\ opt\ SS}$ is the standardized set that produced the best SS in the previous iteration
226 and j represents a new biomechanical measurement or derived signal that is evaluated.

227

228 Finally, the time series of the biomechanical measurement, derived signal, or combination of
229 signals with the lowest SS is then used to estimate within-stride metabolic cost (Fig. 3). If the
230 lowest SS results from one single biomechanical measurement or derived signal, the corresponding
231 unperturbed time series is used to estimate within-stride metabolic cost

232
$$Y_{estimated} = X_{SS\ opt} = [100 \times 1] \quad (8)$$

233

234 where $Y_{estimated}$ is the estimated within-stride metabolic cost, $X_{SS\ opt}$ is the time series of the
235 biomechanical measurement or derived signal that resulted in the lowest SS . In the event the lowest
236 SS is from a combination of biomechanical measurements and derived signals, we normalize each
237 signal by its range and sum to serve as the estimate of within-stride metabolic cost

238
$$Y_{estimated} = \sum_{i=1}^{number\ of\ bts} \frac{X_{bts\ SS\ opt,i}}{\max(X_{bts\ SS\ opt,i}) - \min(X_{bts\ SS\ opt,i})} \quad (9)$$

239
240 where i is the index of the biomechanical signals used to achieve the lowest sum of squares.
241
242 The approach of leveraging perturbations constitutes a paradigm shift compared to previous
243 iterative improvements of model-based methods. Our procedure of using data from the perturbed
244 conditions to estimate the unperturbed condition intrinsically involves estimating (just) outside of
245 test data, and it is known that overfitting can be an issue in such a procedure. Some features of the
246 perturbation-based method likely helped avoid this overfitting. We limited the number of inputs
247 by using a standardization that converted each time series to a scalar (eqs. 3-5). We also generated
248 a very large number of derived signals.

249
250 Additional derived input signals and algorithm tuning
251 We tuned two features of our perturbation-based method: the selection of which mathematical
252 derived time series would be available for creating the estimation of within-stride metabolic cost
253 and the number of bins in the custom standardization procedure (cf. Methods: Additional derived
254 input signals and algorithm tuning). During the tuning, we evaluated which settings improved the
255 lower-bound, 95% confidence interval of Pearson's correlations between the estimated and the test
256 time series. After tuning, the mean Pearson's correlation between our perturbation-based method's

257 estimate and time series within the test set was 0.41 (95% CI = 0.33-0.50). We evaluated the impact
258 of the following options:

- 259 • Options 1-2: The separation of positive and negative regions of the original biomechanical
260 time series.
- 261 • Options 3-5: The square, cube, or inverse of the original biomechanical time series.
- 262 • Options 6-8: The subtraction, addition, or multiplication of all pairs of the biomechanical
263 time series.
- 264 • Option 9: An additional set of additions and multiplication of pairs of the mathematically
265 derived time series (generated from options 1-8).

266 We restricted option 9 to stop after generating 4000 combinations because considering all the
267 combination permutations was not feasible. We also tuned the number of bins for standardizing
268 biomechanical time series (eq. 5). This tuning is similar to the sensor selection and bin optimization
269 in Slade et al. [29].

270
271 The tuning criterion was correlation performance against 100 test time series. The test time series
272 used were distinct from the model-based metabolic costs to avoid biasing the evaluation of our
273 method [28]. As test time series for tuning, we generated 100 time series based on random
274 combinations of the biomechanical time series from the neuromechanical simulation dataset

$$275 Y_{tuning,k} = c_1|X_{bts,1}| + c_2|X_{bts,2}| \dots c_n|X_{bts,n}| = [100 \times 36] \quad (10)$$

276 where $Y_{tuning,k}$ represents one of the 100 test time series, c_1 to n are random coefficients between
277 0 and 1, $X_{bts,1}$ to $X_{bts,n}$ are the positive or negative portions of a randomly chosen number of
278 biomechanical measurement time series.

279

280 The perturbation-based method's correlation with the 100 test time series was evaluated for each
281 of 512 (2^9) combinations of mathematically derived time series for bin numbers ranging from 10
282 to 100 (Supplementary Data 2).

283

284 **Statistical Analysis**

285 As a measure of the uncertainty in the literature, we generated a cross-table with pairwise Pearson
286 correlations between all six literature sources, and we calculated the mean and 95% confidence
287 interval of the correlations (Fig. 1b). Due to the limits of a Pearson correlation at -1 and 1 , we
288 converted each r -value to a Z-score using Fisher's Z-transformation. Average Z-scores and z-score
289 confidence intervals across the correlations in literature, between perturbation-based and
290 neuromechanical model-based, and between perturbation-based human experimental model-based
291 were converted back to Pearson r -values for easier interpretation [30]. All analyses were conducted
292 in MATLAB 2021b.

293

294 **Results:**

295 Once tuning was completed, and our perturbation-based method was finalized, we evaluated its
296 performance **at reproducing a variety** of model-based estimates of within-stride metabolic cost (cf.
297 Supplementary: Model-based metabolic costs used in neuromuscular simulation dataset). We
298 calculated five within-stride metabolic costs using model-based methods [26]. The mean Pearson's
299 correlation between the five different model-based within-stride metabolic costs and **our**
300 **estimations of those using the perturbation-based method** was 0.55 (95% CI = $0.22 - 0.77$). **This**
301 **evaluation performance constitutes** an improvement of at least 50% compared to the mutual
302 consistency between model-based estimations in the literature for four out of five estimations (Fig.
303 4 A-E, Table 1).

304 We also indirectly validated our perturbation-based method in data from human experiments. In
305 vivo, human walking experiments were conducted with a perturbation from a robotic waist tether
306 applied to the COM (cf. Supplementary: Human experimental dataset for in-vivo evaluation and
307 application) [20]. In each condition, the tether applied pulling forces with a specific profile
308 repeatedly to stride cycles for a sufficient duration to induce a different steady-state gait. **We**
309 **applied the same perturbation-based method to our human experimental dataset without any**
310 **additional tuning or changes. Our estimation reproduced five independent model-based**
311 **estimations of metabolic cost with a mean Pearson's correlation of 0.80 between the model-based**
312 **metabolic costs and their estimations using the perturbation-based method (95% CI = 0.57 – 0.91,**
313 **Table 2).** This result is also greater than the correlation between model-based estimations currently
314 in literature with an improvement of at least 75% (Fig. 4F-J) [19,31].

315

316 After successfully completing the indirect validations, we applied our perturbation-based method
317 to estimate within-stride metabolic cost based on $\dot{V}O_2$ and $\dot{V}CO_2$ data from the human experiment
318 (Fig. 5). When we divide the stride into the first double stance (1-15% of the stride), single stance
319 (16-50%), push-off (51-65%), and swing (66-100%), their metabolic cost respectively accounted
320 for 20, 49, 10 and 21% of the total. The estimated cost of push-off is considerably lower than that
321 of single stance. This is markedly different from the evolution of positive mechanical work
322 performed by the leg onto the COM, which is about three times as much during push-off compared
323 to single stance. As such, our perturbation-based estimation confirms that metabolic cost can be
324 related to sources other than mechanical work [32,33].

325

326 Our estimation that push-off accounts for about one-tenth of the total metabolic cost is similar to
327 the first estimation using a forward-dynamics musculoskeletal model-based approach (8% [16])

328 but is low compared to estimations from model-based methods that use only joint-based equations
329 (39% [18] and 49% [19]). Our estimation of the cost of the swing phase (21%) is close to the mean
330 from previous model-based studies (24%, 95% CI = 19-28% [16,17,19,31,34,35]). This also
331 supports previous estimations from experimental studies with perturbations to the swing or stance
332 phase that suggest that the swing phase substantially contributes to the metabolic cost of walking
333 (swing phase contribution to metabolic cost reported as 10, 12.5 and 17% [14,36,37]).

334

335 While our approach of using perturbations is innovative and yields results consistent with existing
336 literature, we acknowledge some limitations in our methods, results, and the application. One
337 methodological limitation is that our method solely relied on lower limb signals for estimating
338 metabolic costs. Our evaluation replicated model-based costs using lower-limb data and a
339 simplified neuromuscular model. Notably, we did not directly account for metabolic contributions
340 from trunk and arm muscles [38]. Another methodological constraint is the tuning of the derived
341 time series and the number of perturbations required to create the datasets. Adapting this method
342 for other datasets might require expanding the types of derived time series. In terms of the results,
343 we recognized that our perturbation-based for estimating within-stride metabolic cost is empirical.
344 While this offers the advantage of being less biased than model-based methods, this is not
345 favorable for understanding causal relationships, such as the impact of altering a specific gait
346 impairment [27,39,40]. Application-wise, a drawback of our method is its reliance on datasets of
347 walking under various perturbations which can be time-consuming and physically demanding for
348 participants.

349

350 To advance perturbation-based within-stride metabolic cost estimation's practicality, future
351 research needs to tackle challenges concerning tuning, time efficiency, and validation. Developing

352 algorithms with greater generality, such as neural networks, could mitigate reliance on specific
353 tuned options. Investigating perturbation types yielding the most valuable data will streamline data
354 collection efforts. Finally, exploring innovative indirect validation methods could bolster
355 confidence in the methodology.

356

357 **Conclusion:**

358 The present work describes a perturbation-based method that can reproduce a wide variety of
359 model-based, within-stride metabolic costs in two different datasets using a collection of perturbed
360 conditions. The result suggests that the metabolic cost of push-off is lower than the preceding
361 single stance phase and that the swing phase has a non-negligible metabolic cost. These findings
362 may have important applications for designing rehabilitation strategies and assistive devices. For
363 example, the finding of a large cost of single stance may help explain how an unpowered ankle
364 exoskeleton that primarily provides torque during single stance could reduce metabolic cost
365 despite increasing plantar flexor activation during push-off [41]. The trajectory of community
366 research has incrementally reduced the time to estimate steady-state metabolic cost from several
367 minutes using Douglas bag, mixing chamber, to 1-2 minutes with breath-by-breath systems [42]
368 and fitted approximation methods [11,43,44], and finally, to a matter of seconds via a combination
369 of sensors and fitting methods [45,46]. This work grants greater understanding of metabolic cost
370 beyond what was previously possible by presenting within movement cycle interpretability instead
371 of more rapid interpretation of steady-state metabolic cost.

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377

378 **List of abbreviations**

379 EMG: Electromyography

380 COM: Center of Mass

381
382 **Declarations**

383
384 **Ethics approval and consent to participate:** The study protocol was approved by the University
385 of Nebraska Medical Center's Institutional Review Board in accordance with the Declaration of
386 Helsinki. Informed consent was obtained from all participants prior to their participation in the
387 study.

388
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398
399 **Author contributions:** Each author's contribution(s) to the paper were as follows:

400 Conceptualization: ACD, PM

401 Methodology: ACD, SS, PM

402 Investigation: ACD, PA, AMG

403 Visualization: ACD, PM

404 Funding acquisition: PM

405 Project administration: PM

406 Supervision: PM

407 Writing – original draft: ACD, SS, PM

408 Writing – review & editing: ACD, PA, AMG, SS, PM

409
410 **Competing interests:** PA, AMG, and PM submitted a provisional patent application (serial
411 number: 63/320,303; docket number 22057P) on the waist tether used for the human experimental
412 dataset.

413
414
415 **Data and materials availability:** All data are available in the main text or the supplementary
416 materials.

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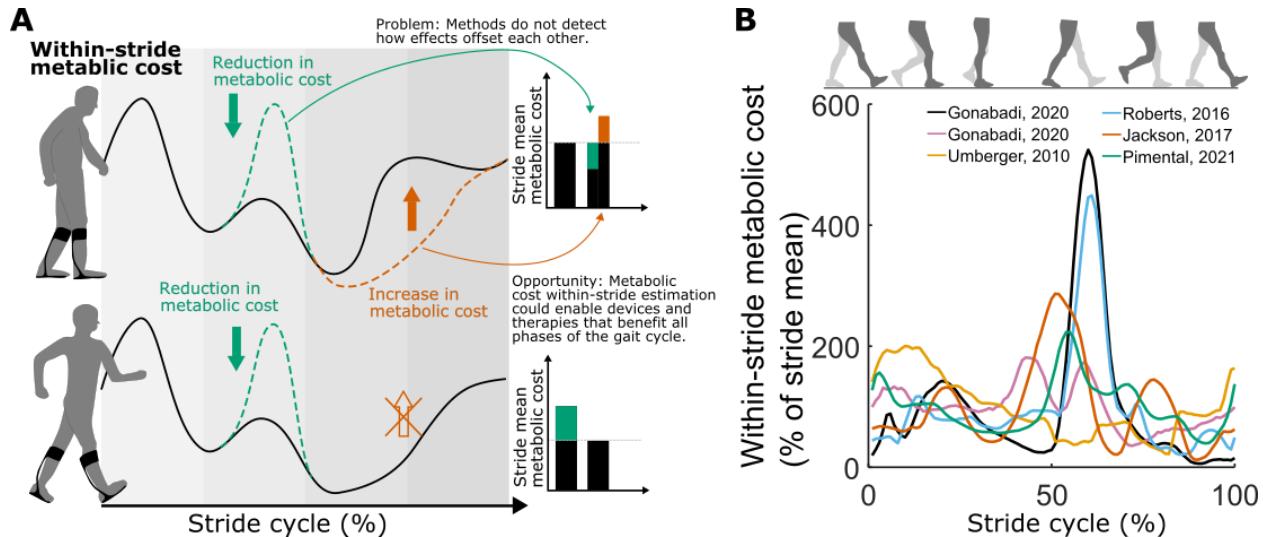
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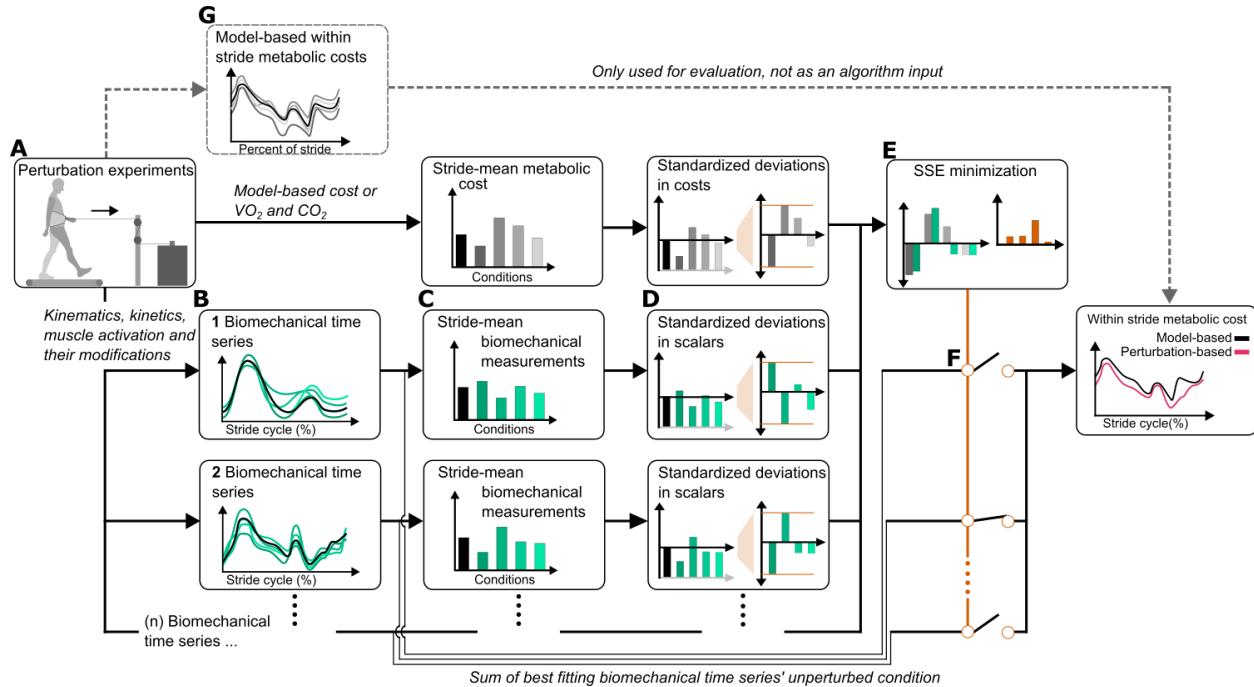
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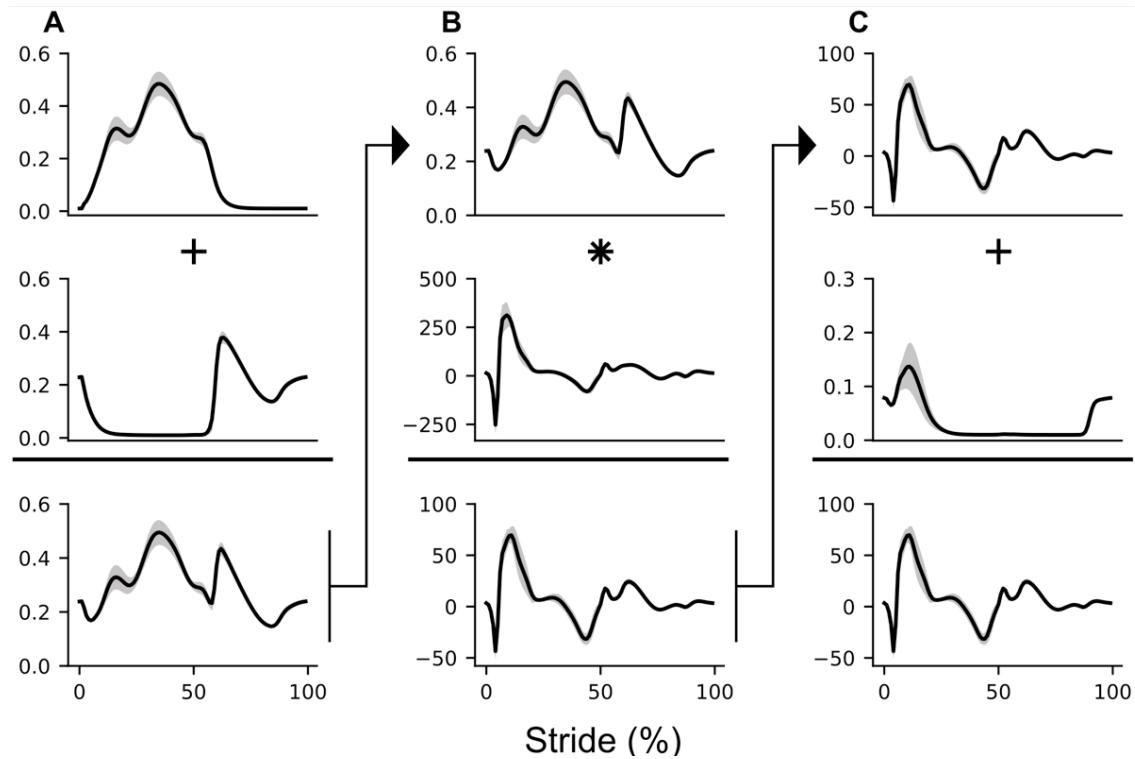
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556 **Fig. 1. Motivation.** (A). Limitation of assessing stride-mean metabolic cost using breath-by-breath
557 measurements. The upper figure illustrates an intervention resulting in a cost reduction (depicted
558 in green) during push-off and a cost increase (depicted in brown) during swing. The stride-mean
559 metabolic cost (displayed in bars) does not enable differentiation of these effects. The lower
560 section of the figure illustrates how comprehending the costs associated with various phases could
561 facilitate the enhancement of interventions. (B). Limited consistency between estimations of
562 within-stride metabolic cost using model-based methods. The mean correlation between
563 estimations is 0.29 (95% confidence interval (CI) = 0.03-0.43) [16,19,31,34,35].

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567 **Fig. 2. Flow of data for estimating and evaluating within-stride metabolic cost.** (A). A
568 perturbed dataset was gathered using force perturbations at the COM. Biomechanical time series
569 (e.g., kinematics, kinetics, muscle activations) as well as stride-mean metabolic cost were
570 measured for each walking condition. (B). These measurements are stride normalized and (C).
571 then converted to a stride-mean for each walking condition. (D). The stride means for each
572 biomechanical measurement are custom standardized by subtracting the unperturbed stride mean
573 from each perturbed stride mean and then dividing by the range of deviations from unperturbed
574 walking. (E). The custom standardized biomechanical time series are then compared to the custom
575 standardized within-stride metabolic cost using the sum of square error. This process will be
576 iterative, where an additional custom standardized biomechanical time series may be added if it
577 reduces the sum of square error. (F). The biomechanical time series or combination of
578 biomechanical time series that corresponded to the lowest sum of square error are selected. The
579 unperturbed condition from the selected biomechanical time series is used as the estimate for
580 within-stride metabolic cost. (G). The original model-based within-stride metabolic cost is only
581 used for validation of our perturbation-based method. Our perturbation-based method leverages
582 information from stride-mean values that are experimentally available to indirect calorimetry.

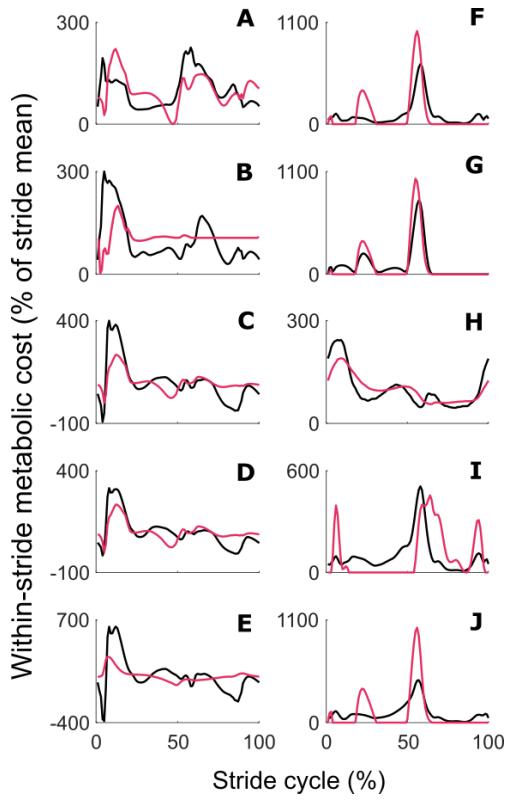
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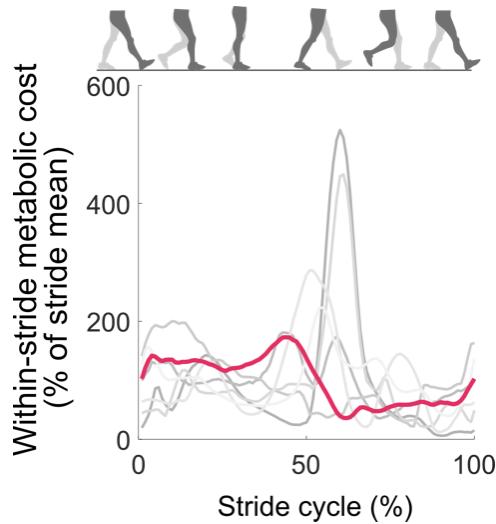
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586 **Fig. 3. Illustration of how biomechanical and derived time-series are combined to produce a**
 587 **within-stride metabolic cost time series.** Each column (A, B, C) in the figure represents a
 588 mathematical operation used to create a new time series. The final plot on the bottom right is the
 589 estimated within-stride metabolic cost. The specific combination shown here was used to estimate
 590 the Bhargava et al., 2004 metabolic cost in Table 1.

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593 **Fig. 4. Evaluation of perturbation-based method.** Evaluation of perturbation-based method's
594 ability to reproduce within-stride metabolic cost of different model-based methods in different
595 datasets. Estimations from each model-based method are represented by black lines. Our
596 perturbation-based method's estimations are represented with red lines. The left column shows
597 evaluations in the neuromechanical simulation dataset, and the right column shows evaluations in
598 the human experiment dataset. (A). Umberger et al., 2003 [47], (B). Houdijk et al. 2006 [48], (C).
599 Bhargava et al., 2004 [49], (D). Lichtwark et al, 2005 [50] (E). Margaria 1968, applied onto muscle
600 work rate [51,52], (F). Beck et al., 2019,[53] (G). Kim and Roberts, 2015 [18] (H). Margaria 1968,
601 applied onto COM work rate [52,54], (I). Margaria 1968, applied onto joint work rate [52,55] (J).
602 Minetti and Alexander, 1997 [56].
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 605 **Fig. 5. Application of the perturbation-based method to estimate within-stride metabolic**
 606 **cost.** The red line shows the perturbation-based estimate of within-stride metabolic cost using
 607 stride means of $\dot{V}O_2$ and $\dot{V}CO_2$ from the human experiment dataset as inputs. The grey lines show
 608 previous estimations from model-based methods [16,19,31,34,35].

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Table 1. Evaluation of perturbation-based method in neuromechanical dataset

Stride mean metabolic cost input	Selected mathematically derived combination of biomechanical time series	Estimated versus actual time series correlation +
Bhargava et al., 2004	(Soleus + tibialis anterior) * hip power + vastus medialis	0.76
Houdijk et al., 2006	(COM power + vastus medialis) * rectus femoris + vastus medialis	0.22
Lichtwark et al., 2005	(Soleus + tibialis anterior) * hip power + vastus medialis	0.77
Margaria, 1968, muscle-based	Knee angle – hip moment	0.49
Umberger, 2003	(Stride time + vastus medialis) * hip power + vastus medialis	0.42
Mean Pearson correlation	0.55 (95% CI = 0.22 – 0.77)*	

+ The final column lists correlations between model-based within-stride metabolic costs and estimations of these costs using the perturbation-based method (Fig. 4 AE). The stride mean metabolic costs used as inputs for the perturbation-based estimation are named in the first column. The Pearson correlations serve as a measure of the estimation performance.

* Mean Pearson correlation and confidence interval are calculated following Fisher Z transformation.

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Table 2. Evaluation of perturbation-based method in human experiment dataset.

Stride mean metabolic cost input	Selected mathematically derived combination of biomechanical time series	Estimated versus actual time series correlation ⁺
Beck et al., 2019	Hip angle – vastus medialis + gluteus maximus + vertical GRF	0.86
Kim and Roberts, 2015	(Positive portion of hip power)	0.41
Margaria, 1968 COM-based	(COM power positive portion)*Soleus+ vertical GRF	0.91
Margaria, 1968 joint-based	(COM power positive portion)*vastus medialis+ vertical GRF	0.78
Minetti and Alexander, 1997	(COM power positive portion)*tibialis anterior + vertical GRF	0.83
$\dot{V}O_2$ and $\dot{V}CO_2$ data	Hip angle – tibialis anterior + gastrocnemius + vertical GRF	N/A [#]
Mean Pearson correlation		0.80 (95% CI = 0.57 -0.91)*

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⁺ The final column lists correlations between model-based within-stride metabolic costs and estimations of these costs using the perturbation-based method (Fig. 4 FJ). The stride mean metabolic costs used as inputs for the perturbation-based estimation are named in the first column. The Pearson correlations serve as a measure of the estimation performance.

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* Mean Pearson correlation and confidence interval are calculated following Fisher Z transformation.

The final row shows the combination that was selected to plot the within-stride metabolic cost time series based on respiratory $\dot{V}O_2$ and $\dot{V}CO_2$ data. In this application, there was no reference to compare our estimation-performance against; hence no correlation is reported.

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