

# ReaxFF Study of Surface Chemical Reactions between $\alpha\text{-Al}_2\text{O}_3$ Substrates and $\text{H}_2\text{O}/\text{H}_2$ Gas-Phase Molecules

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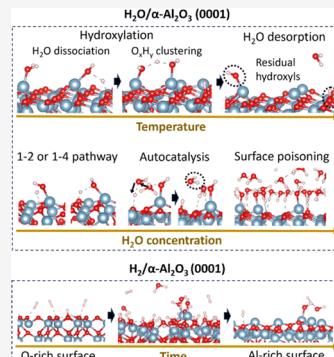
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**ABSTRACT:** We developed an Al/O/H ReaxFF force field to explore chemical reactions on  $\alpha\text{-Al}_2\text{O}_3$  surfaces in  $\text{H}_2\text{O}/\text{H}_2$  gas-phase environments. This force field generates surface energy profiles of A-, C-, R-, and M-planes with various terminations (Al- or O-) and predicts the thermodynamic and kinetic behaviors of hydrolysis on Al-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001), consistent with quantum chemical studies. Molecular dynamics (MD) simulations of  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) reveal that water autocatalysis plays a significant role in accelerating  $\text{H}_2\text{O}$  dissociations on Al-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001). Compared with the 50% Al-terminated surface, the 100% Al-terminated surface becomes more easily hydroxylated at temperatures as low as 350 K, relying more on an  $\text{O}_x\text{H}_y$  clustering mechanism than complete  $\text{H}_2\text{O}$  dissociations, and desorbs significantly more  $\text{H}_2\text{O}$  molecules once heated up to 500 K or higher. But heating cannot eliminate surface hydroxyls for either case, and achieving a Gibbsite-like surface by  $\text{H}_2\text{O}$  exposure is unlikely.  $\text{H}_2\text{O}$  dissociations on  $\alpha\text{-Al}_2\text{O}_3$  (0001) terminated with randomly distributed surface Al species deviate from 1–2 and 1–4 pathways due to irregular vacancy defects, and a random surface appears to be more reactive to  $\text{H}_2\text{O}$  than the ordered one with the same surface Al coverage. Simulations of  $\text{H}_2/\alpha\text{-Al}_2\text{O}_3$  suggest that the combination of a dense surface O coverage and a low thermodynamic surface stability leads to elevated  $\text{H}_2$  dissociation kinetics. To accelerate the surface O removals of 100% O-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001) in  $\text{H}_2$  gas exposure, we reduced the H–H  $\sigma$  bond energy parameter, equivalent to lowering the  $\text{H}_2$  dissociation barrier by  $\sim 19.4$  kcal/mol during the simulation. After  $\sim 1.5$  ns, the surface termination became comparable to the 100% Al-terminated one but retained a small quantity of hydroxyls. This force field reveals how the  $\alpha\text{-Al}_2\text{O}_3$  crystallographic plane and the surface termination influence the dissociation behaviors of  $\text{H}_2\text{O}/\text{H}_2$  gas molecules and lays the foundation for future force field developments targeted at thin film epitaxy on sapphire.



## 1. INTRODUCTION

Surface chemistry and geometry play pivotal roles in determining the epitaxial behavior of thin films, ultimately shaping their solid-state properties. Numerous strategies have been proposed to manipulate the morphology of as-grown thin films, as discussed in recent literature reviews.<sup>1,2</sup> Of particular focus are studies exploring the impact of substrate surface properties such as hydrophobicity/hydrophilicity, polarity, and nanoscale topology on thin film morphology.<sup>3–7</sup> Substrate surface engineering has become an effective means to modulate and control the nucleation and growth behavior, which is particularly important for ultrathin film two-dimensional (2D) materials. For example, Zhu et al.<sup>8</sup> demonstrated that the surface oxygen elimination and the homogeneous Se passivation of sapphire substrates improved the unidirectional lattice alignment of WSe<sub>2</sub> flakes. Pradhan et al.<sup>9</sup> reported that an  $\text{H}_2$  ambient released the interfacial stress and diminished the interfacial defects during the MoS<sub>2</sub> epitaxy on silicon, suppressing the out-of-plane growth of MoS<sub>2</sub>. Najmaei et al.<sup>10</sup> created step edges on the substrate using conventional lithography to guide the formation of MoS<sub>2</sub> triangular domains

and significantly reduced the grain boundaries in the centimeter-sized as-grown MoS<sub>2</sub> monolayers.

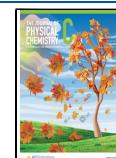
$\alpha$ -aluminum oxide ( $\alpha\text{-Al}_2\text{O}_3$ ) is the most stable crystalline form among polymorphs of alumina.<sup>11</sup> It has the space group  $R\bar{3}c$ , where Al<sup>3+</sup> cations occupy two-thirds of the octahedral sites of the slightly distorted hexagonal close-packed skeleton constructed by O<sup>2–</sup> anions. The  $\alpha\text{-Al}_2\text{O}_3$  has been widely utilized in electronic devices and manufacturing and employed as substrates for thin film growth.<sup>12–17</sup> Recent breakthroughs in the epitaxial growth of wafer-scale transition-metal dichalcogenides (TMDs) suggest that crystalline sapphire is one of the most promising substrates to grow large-scale single-crystal TMD nanosheets.<sup>8,18–25</sup> The  $\alpha\text{-Al}_2\text{O}_3$  has similar crystallographic symmetry and commensurable lattice constants with TMDs, so it can trigger preferred lattice

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orientations during TMD epitaxy and induce seamless merging of the as-grown flakes without introducing grain boundaries. However, reproducing large-scale single-crystal TMD nanosheets relies on many factors.<sup>23,24,26–28</sup> Understanding these factors is crucial for optimizing the synthesis of high-quality TMD monolayers. As the scientific community becomes more aware of the complex reactions occurring on the sapphire surface and their relevance to the epitaxial growth of TMD monolayers, there is a growing interest in computational studies to guide the synthesis of 2D materials, enabling atomic-level insights into wafer-size epitaxial TMD growth mechanisms.<sup>29,30</sup> The TMD growth mechanisms are significantly influenced by the substrate surface compositions and morphologies. In the epitaxial growth of TMD monolayers by MOCVD, the sapphire substrate is typically preannealed in H<sub>2</sub> or air at high temperatures ( $\sim 900$  °C) before the growth. This preannealing process is known to alter the sapphire surface chemistry, resulting in the variation of OH- vs Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surface, which will affect the subsequent TMD nucleation through quasi-van der Waals epitaxy mechanisms. Additionally, sapphire surface steps decompose and agglomerate, giving rise to significant surface reorganization. This reorganization changes the sapphire's step height and leads to the terrace reconstruction, which will affect the domain alignment and epitaxial properties.<sup>8</sup> By introducing proper surface engineering with atomic-level details, we can enhance TMD epitaxy and reproducibly achieve wafer-scale single-crystal monolayers on sapphire substrates.<sup>8,26,31,32</sup>

The surface property of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> has been a popular topic and attracted numerous theoretical investigations because of its important role in thin film growth.<sup>33,34</sup> Sun et al.<sup>35</sup> performed Hartree–Fock calculations on the surface structures and energies for stoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> along five different crystallographic planes and reported the energy trend of the relaxed surfaces as (0001) < (1012) < (1120) < (1010) < (1011). Kurita et al.<sup>36</sup> applied density functional theory (DFT) to calculate variously terminated C-, A-, and R-planes of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> with different stoichiometries. They reported the surface energy trend of stoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as C < R < A, i.e., (0001) < (1102) < (1120), and generated the surface energy profiles of nonstoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> subjected to the change of local chemical environments. Hütner et al.<sup>37</sup> applied DFT calculations on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) models prepared using atomic positions sourced from experimental data as well as machine learning optimization and realized the ( $\sqrt{31} \times \sqrt{31}$ ) R  $\pm$  9° surface reconstruction with subsurface O species protruding the top layer Al. Their work reveals that the undercoordinated surface Al species relax inward to increase the coordination, leading to an in-plane expansion at the surface, which results in a ( $\sqrt{31} \times \sqrt{31}$ ) R  $\pm$  9° reconstruction for fitting the expanded surface onto the corundum bulk structure. Interactions between  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and H<sub>2</sub>/H<sub>2</sub>O molecules have been widely studied experimentally and theoretically.<sup>38,39</sup> Particularly, the surface hydroxylation and the gas molecule desorption at different temperatures and surface terminations have been of great interest. A recent study done by Ranea et al.<sup>40</sup> reveals the mechanisms of hydrolysis on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001) at the DFT level. They reported two distinct H<sub>2</sub>O dissociation pathways, namely, 1–2 and 1–4 pathways, and compared H<sub>2</sub>O dissociations at dry, monohydroxylated, and dihydroxylated Al-O sites, suggesting that a Gibbsite-like surface may not be obtained by simple H<sub>2</sub>O dissociations. In another work, Wang et al.<sup>41</sup> discovered that even though the 1–2 H<sub>2</sub>O dissociation

is more thermodynamically favored than the 1–4 pathway, the latter is more kinetically favored at low temperatures due to a lower energy barrier than the former. Also, the in-plane diffusion of protons results in the isomerization between 1–2 and 1–4 hydroxylated products on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001). Yue et al.<sup>42</sup> reported that neither the H<sub>2</sub>O chemisorption on an Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001) surface nor the H<sub>2</sub>O desorption on a Gibbsite-like surface can interconvert the two surface terminations. The interest in probing H<sub>2</sub>O molecular orientations and the structure of H<sub>2</sub>O layers on sapphire has also emerged. Boily et al.<sup>43</sup> and Zhang et al.<sup>44</sup> explored the hydrogen bonding and H<sub>2</sub>O orientations by sum-frequency generation spectroscopy and ab initio molecular dynamics (AIMD) simulations, respectively.

Despite the significant theoretical efforts on exploring  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/H<sub>2</sub>O interactions mentioned above, investigations that can temporally monitor the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surface reactions in H<sub>2</sub>/H<sub>2</sub>O gas-phase environments beyond the quantum chemical (QC) length scale are still lacking. To bridge the gap between the first-principles predictions and the goal of guiding thin film epitaxy through computational methods, we developed a ReaxFF reactive force field to enable large-scale modeling of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surface reaction dynamics at an accuracy close to that of QC methods. This Al/O/H force field was trained extensively against QC data, including surface energies of differently terminated A-, C-, R-, and M-planes of flat  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> models. Additionally, we adopted DFT data of step-terrace models during the training in preparation for future research on modeling thin film growth guided by step edges. The hydrolysis process on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was considered as well for accurately describing the H<sub>2</sub>O dissociation behavior both thermodynamically and kinetically.

It is noteworthy that we will seek to expand this Al/O/H force field to include TMD elements such as W/Mo/S/Se by combining previous ReaxFF force fields,<sup>45–48</sup> which we believe will lay a good foundation for simulating complex surface reactions and revealing growth mechanisms of TMD epitaxy in future work.

## 2. REAXFF FORCE FIELD

The ReaxFF reactive force field, unlike traditional nonreactive force fields, is a bond-order-dependent interatomic potential capable of describing bond breaking and formation throughout simulations.<sup>49</sup> The bond order changes exponentially with the interatomic distance and determines the system energy; the interaction energy decreases to zero as the bond order approaches zero. In this way, systems can avoid abrupt energy changes during bond formations and bond breakages, which makes ReaxFF an ideal force field to describe complex chemical reactions. ReaxFF has been widely applied to explore interactive material systems, including but not limited to hydrocarbon combustions, heterogeneous catalysis, novel properties of 2D materials, and tribological behavior at material interfaces, etc.<sup>50–53</sup>

ReaxFF calculates the total energy of a system by summing up partial terms contributed by bonded and nonbonded interactions:

$$E_{\text{system}} = E_{\text{bond}} + E_{\text{angle}} + E_{\text{tor}} + E_{\text{over}} + E_{\text{Coulomb}} + E_{\text{vdWaals}} + E_{\text{specific}} \quad (1)$$

Energy contributions from covalent bonds  $E_{\text{bond}}$ , valence angles  $E_{\text{angle}}$ , torsion angles  $E_{\text{tor}}$ , and overcoordination penalties  $E_{\text{over}}$  are calculated with bond orders. Energy contributions from Coulomb  $E_{\text{Coulomb}}$  and van der Waals  $E_{\text{vdWaals}}$  interactions are nonbonded and are calculated between all atom pairs regardless of the connectivity.  $E_{\text{Coulomb}}$  and  $E_{\text{vdWaals}}$  are shielded to prevent excessive repulsions and attractions at short distances and are truncated smoothly by a distance-dependent Taper function at the cutoff distance.<sup>54</sup>  $E_{\text{specific}}$  refers to specific cases not considered generally, such as lone pairs, hydrogen bonds, corrections for  $C_2$ , under-coordination corrections, etc.

The bond-order formula includes different bond types as its fractional terms:

$$BO'_{ij} = BO^{\sigma}_{ij} + BO^{\pi}_{ij} + BO^{\pi\pi}_{ij} \quad (2a)$$

$$\begin{aligned} &= \exp \left[ p_{\text{bo},1} \left( \frac{r_{ij}}{r_0^{\sigma}} \right)^{p_{\text{bo},2}} \right] + \exp \left[ p_{\text{bo},3} \left( \frac{r_{ij}}{r_0^{\pi}} \right)^{p_{\text{bo},4}} \right] \\ &+ \exp \left[ p_{\text{bo},5} \left( \frac{r_{ij}}{r_0^{\pi\pi}} \right)^{p_{\text{bo},6}} \right] \end{aligned} \quad (2b)$$

where the first, the second, and the third terms are contributed by sigma, pi, and double pi bonds, respectively. The equilibrium distances  $r_0^{\sigma}$ ,  $r_0^{\pi}$ ,  $r_0^{\pi\pi}$  and the bonding parameters  $p_{\text{bo},1}$ ,  $p_{\text{bo},2}$ ,  $p_{\text{bo},3}$ ,  $p_{\text{bo},4}$ ,  $p_{\text{bo},5}$ , and  $p_{\text{bo},6}$  are optimized during force field training procedures. Based on the deviation between the bond-order summation from all the neighboring atoms and the actual valence of a specific atom, corrected bond orders for all atom pairs are calculated, and so is the corrected overcoordination penalty for each atom.<sup>55</sup>  $E_{\text{bond}}$  is a function of the corrected bond orders.  $E_{\text{angle}}$  and  $E_{\text{tor}}$  are functions of the corrected bond orders and the corrected overcoordination penalties.

### 3. FORCE FIELD PARAMETERIZATION

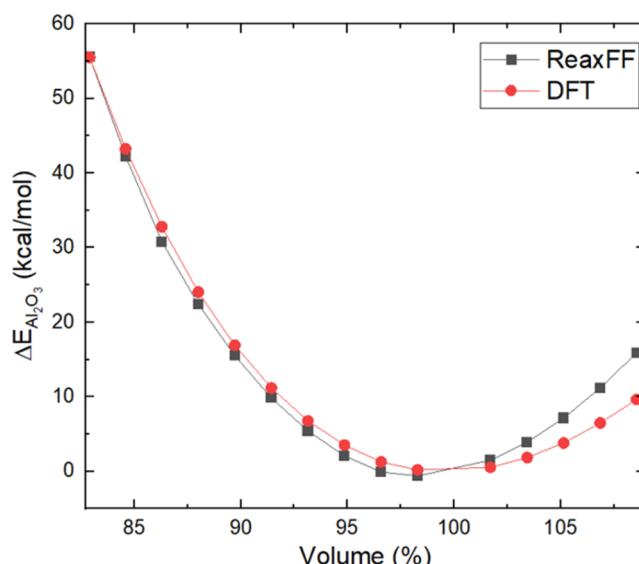
**3.1. Sources of Training Data.** The force field parameters are system-dependent and need to be optimized against QC and/or experimental data with an algorithm using single-parameter parabolic search.<sup>56</sup> In this study, the initial training data taken from ref 45 includes DFT data of the formation energy and the volume/energy equations of state of bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. We expanded this data set by incorporating surface energies of stoichiometric and nonstoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> slabs, which are differently terminated along A-, C-, M- and R-planes, as reported in refs 35,36. The initial parameters for the Al-atom, Al-Al and Al-O bonds, Al-O off-diagonal, and Al-O-related angle parameters were adopted from ref 45. Then, we retrained these parameters against DFT data of the hydroxylation and the hydrogen diffusion energies of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) as well as the dehydration energy of fully hydroxylated terrace-step  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001),<sup>8,40,41,57,58</sup> specifically focusing on Al-O, Al-H, and Al-O-H-related parameters.

**3.2. Properties of Bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>.** Table 1 presents the comparison of lattice parameters and the heat of formation for bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> at DFT, experiment, and ReaxFF levels, indicating that ReaxFF values are in good agreement with those predicted by DFT and experiments. The heat of formation is defined as  $\Delta H_{\text{Al}_2\text{O}_3} = \mu_{\text{Al}_2\text{O}_3} - (3 \mu_{\text{O}(\text{gas})} + 2 \mu_{\text{Al}(\text{bulk-fcc})})$ , where  $\mu_{\text{Al}_2\text{O}_3}$  is the total energy of an Al<sub>2</sub>O<sub>3</sub> unit in bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>,  $\mu_{\text{O}(\text{gas})}$  and  $\mu_{\text{Al}(\text{bulk-fcc})}$  are chemical potentials of the O and Al atoms referenced to the O<sub>2</sub> molecule and the

**Table 1. Lattice Parameters and the Heat of Formation ( $\Delta H$ ) of Bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Obtained from DFT, Experiments, and ReaxFF**

	Axial lengths (Å) $a = b = c$	Axial angles (deg) $\alpha = \beta = \gamma \neq 90^\circ$	Heat of formation $\Delta H$ (kcal/mol)
DFT <sup>36</sup>	5.17	55.43°	-352.83
Experiment <sup>36</sup>	5.128	55.33°	-396.65
ReaxFF	5.178	55.29°	-396.01

Al(fcc) lattice. Figure 1 shows the equations of state of bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> under a sequence of volume changes from compressed to expanded states.

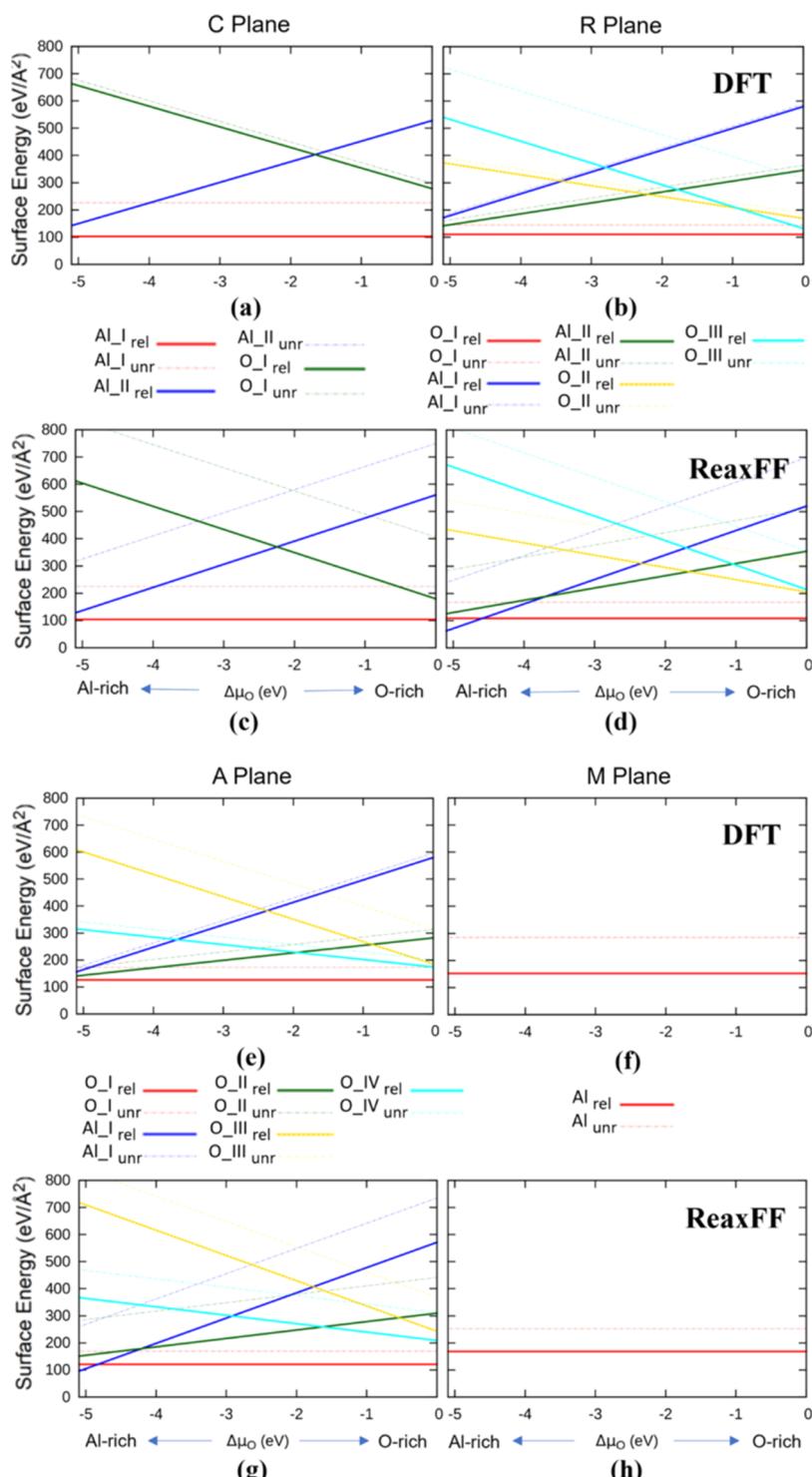


**Figure 1.** Equations of state of bulk  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> calculated by DFT<sup>59,60</sup> and ReaxFF.

**3.3. Surface Energies of Flat  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Models.** Figure S1 illustrates the geometry of variously terminated crystallographic planes (A-, C-, R-, and M-) utilized in force field training. The surface labels correspond to those in Table 2.

**Table 2. Flat  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Models Were Used to Train Surface Energies; Surface Names of the Stoichiometric Models Are Written with Bold Letters**

Surface name	Crystallographic plane	Surface termination	Stoichiometry
A_Al_I	A (1120)	100% surface Al	non-stoichiometric
A_O_I	A (1120)	50% surface O	stoichiometric
A_O_II	A (1120)	33.3% surface O	non-stoichiometric
A_O_III	A (1120)	100% surface O	non-stoichiometric
A_O_IV	A (1120)	66.7% surface O	non-stoichiometric
C_Al_I	C (0001)	50% surface Al	stoichiometric
C_Al_II	C (0001)	100% surface Al	non-stoichiometric
C_O_I	C (0001)	100% surface O	non-stoichiometric
M_Al	M (1010)	100% surface Al	stoichiometric
R_Al_I	R (1102)	Al (type I)	non-stoichiometric
R_Al_II	R (1102)	Al (type II)	non-stoichiometric
R_O_I	R (1102)	O (type I)	stoichiometric
R_O_II	R (1102)	O (type II)	non-stoichiometric
R_O_III	R (1102)	O (type III)	non-stoichiometric



**Figure 2.** Surface energies of flat  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> models. Panels (a), (b), (e), and (f) plot the DFT calculated surface energies of C, R, A, and M-planes, respectively.<sup>35,36</sup> Panels (c), (d), (g), and (h) plot the ReaxFF calculated surface energies of C, R, A, and M-planes, respectively. The symbols Al\_I in panel (a), O\_I in panel (b), O\_I in panel (e), and Al in panel (f) denote the stoichiometric C, R, A, and M-planes, respectively; the rest of the symbols in the legends represent nonstoichiometric slabs. The subscripts “rel” and “unr” refer to the relaxed surface and the unrelaxed surface, respectively.

Cleaving a bulk material along a low-index crystallographic plane results in a slab that either maintains the identical stoichiometry with the bulk or exhibits nonstoichiometry at the termination. The surface energy of a stoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> slab is defined as  $E_{\text{surface}} = E_{\text{slab}} - n_{\text{Al}_2\text{O}_3} \times \mu_{\text{Al}_2\text{O}_3}$ , which is directly calculable from  $\mu_{\text{O}(\text{gas-phase})}$ ,  $\mu_{\text{Al}(\text{bulk-fcc})}$ , and  $\Delta H_{\text{Al}_2\text{O}_3}$ . On the

other hand, slabs with nonstoichiometric terminations have excess surface Al or O species with chemical potentials  $\mu_{\text{Al}}$  or  $\mu_{\text{O}}$ , respectively, which are varied with the local chemical environment. The upper limit of  $\mu_{\text{O}}$  is associated with the O-rich condition and is defined as the chemical potential of an O atom in the O<sub>2</sub> gas phase (i.e.,  $\mu_{\text{O}(\text{O-rich})} = \mu_{\text{O}(\text{gas-phase})}$ ). The

lower limit of  $\mu_O$  is calculated as  $(\mu_{Al_2O_3} - 2\mu_{Al(bulk-fcc)})/3$ , where  $\mu_{Al(bulk-fcc)}$  is the upper limit of  $\mu_{Al}$  or the chemical potential of an Al-atom in the FCC metal phase, since  $\mu_{Al_2O_3} = 3\mu_O + 2\mu_{Al}$  is always satisfied.

$$(\mu_{Al_2O_3} - 2\mu_{Al(bulk-fcc)})/3 < \mu_O < \mu_{O(O\text{-rich})} \quad (3)$$

$$\begin{aligned} &(\mu_{Al_2O_3} - 2\mu_{Al(bulk-fcc)})/3 - \mu_{O(gas\text{-phase})} \\ &< \mu_O - \mu_{O(O\text{-rich})} \\ &< 0 \end{aligned} \quad (4)$$

In other words, the surface energy of a nonstoichiometric slab  $E_{surface} = E_{slab} - (n_{Al} \times \mu_{Al} + n_O \times \mu_O)$  is a linear function of  $\mu_O$  or the excess O-chemical potential  $\Delta\mu_O = \mu_O - \mu_{O(O\text{-rich})}$  ranging in (5). So, the surface energies obtained at the upper and the lower limits of  $\mu_O$  completely define the surface energy profile of a nonstoichiometric slab under the chemical environment ranging from the O-rich to the metal-rich conditions.

$$(\mu_{Al_2O_3} - 2\mu_{Al(bulk-fcc)})/3 - \mu_{O(gas\text{-phase})} < \Delta\mu_O < 0 \quad (5)$$

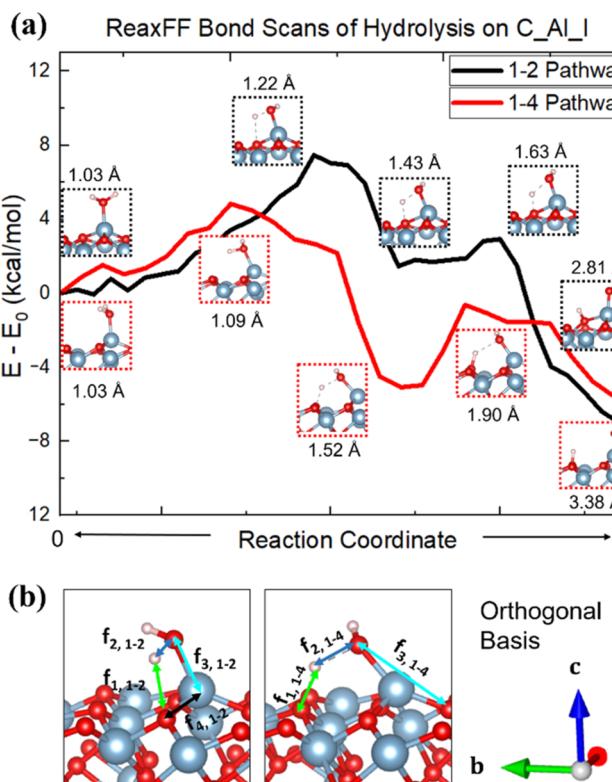
The training results for all of the flat  $\alpha\text{-Al}_2\text{O}_3$  models are visualized in Figure S1b–e and are presented in Figure 2.  $\Delta\mu_O$  has the maximum excess as 5.1 eV.<sup>36</sup> Compared to the DFT calculations, ReaxFF has successfully reproduced the relative thermodynamic stability of the stoichiometric slabs, indicating that the C-plane is the most stable surface, followed by the R-plane and then the A-plane. The M-plane is identified as the least stable configuration. Figure 2 also reproduces the energy profiles of relaxed surfaces for nonstoichiometric slabs, indicating that the thermodynamic stability of such surfaces depends on the local chemical environment. Irrespective of crystallographic planes, O-terminated surfaces tend to favor the O-rich condition and stabilize accordingly, whereas Al-terminated surfaces thermodynamically prefer the metal-rich condition.

### 3.4. Hydroxylation Energies of Flat $\alpha\text{-Al}_2\text{O}_3$ (0001).

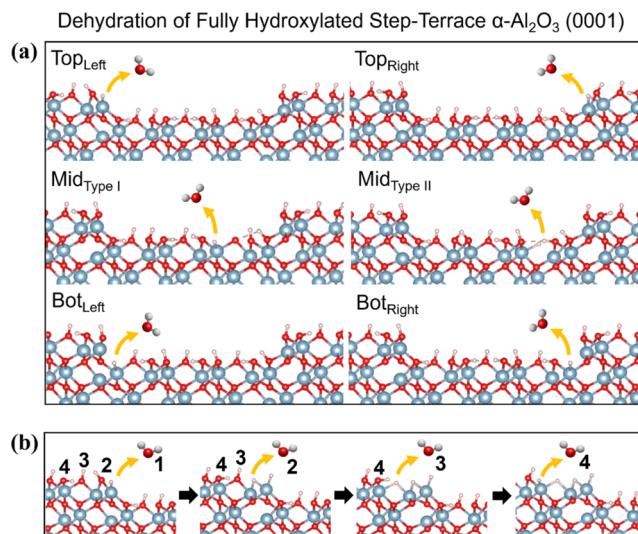
Hydroxylation energies and energy barriers through 1–2 and 1–4  $H_2O$  dissociations on 50% Al-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001) were trained against DFT data reported in ref 40 and 41. Table S1 compares the DFT and ReaxFF values. Figure 3a shows the energy profiles of 1–2 and 1–4  $H_2O$  dissociations on C\_Al\_I. In this figure, the O–H bond length between the dissociating O and H atoms is given together with the corresponding geometries in adsorption states, primary energy barriers, metastable states, secondary energy barriers, and dissociation states.

### 3.5. Dehydration Energies of Step-Terrace $\alpha\text{-Al}_2\text{O}_3$ (0001).

Dehydration energies of fully hydroxylated step-terrace  $\alpha\text{-Al}_2\text{O}_3$  (0001) step-terrace models (Figure 4) were trained against DFT data for both the  $H_2O$ -rich and the O-rich environments reported in ref 8. The comparison of ReaxFF and DFT dehydration energies is presented in Figure S2. The removal of a surface O atom from a hydroxylated step-terrace  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface in the form of  $H_2O$  exhibits distinct desorption behavior, depending on the thermodynamic stability of the desorption site. For example, the release of  $H_2O$  from the top terrace next to a step edge (1.179 eV in  $H_2O$ -rich and 4.156 eV in O-rich) is more energetically favored than those from the bottom terrace next to the step edge (1.797 eV in  $H_2O$ -rich and 4.773 eV in O-rich). This suggests that  $H_2O$  released from

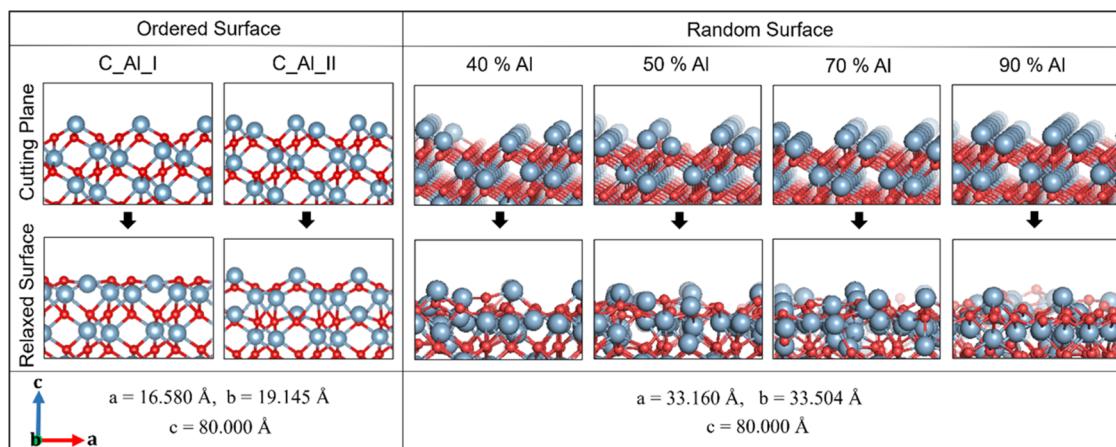


**Figure 3.** (a) Energy profiles of hydrolysis on 50% Al-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001) through 1–2 and 1–4 pathways. (b) Artificial forces applied to control interatomic distances during the bond scans. Primary force constants for generating artificial interatomic forces introduced to the system are as follows:  $f_{1,1-2} = 100$  kcal/mol,  $f_{2,1-2} = 10$  kcal/mol,  $f_{3,1-2} = 100$  kcal/mol,  $f_{4,1-2} = 100$  kcal/mol,  $f_{1,1-4} = 135$  kcal/mol, and  $f_{2,1-4} = 10$  kcal/mol,  $f_{3,1-4} = 35$  kcal/mol. Al species are indicated with blue, O species are red, and H species are white.



**Figure 4.** (a) Dehydration at the top left (Top<sub>Left</sub>), top right (Top<sub>Right</sub>), middle (Mid<sub>Type I</sub>, Mid<sub>Type II</sub>), bottom left (Bot<sub>Left</sub>), and bottom right (Bot<sub>Right</sub>) areas of the surface step. Mid<sub>Type I</sub> and Mid<sub>Type II</sub> represent O removals at two equivalent sites according to  $R\bar{3}c$  symmetry. (b) Example of successive dehydrations starting from the top left area of the surface step.<sup>8</sup>

a hydroxylated step-terrace  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface is not uniform; instead, it predominantly occurs at the top terrace



**Figure 5.** Flat  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) models were used to study H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) interactions. Parameters a, b, and c are the dimensions of orthogonal simulation boxes.

next to the step edge. The bottom terrace exhibits less propensity to release H<sub>2</sub>O, potentially leaving O impurities on an Al-terminated step-terrace surface, as corroborated by experiments in ref 8.

#### 4. MD SIMULATION SETTINGS

**4.1. H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) Interactions.** To study H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) interactions, we constructed several individual samples consisting of an  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) substrate and gas-phase H<sub>2</sub>O molecules at different concentrations. These samples were relaxed at 300 K in an NPT ensemble using the Berendsen thermostat and barostat and then heated up (0.01 K/fs) to the temperatures of 350, 500, 700, 900, 1100, 1300, and 1500 K in an NVT ensemble using the Berendsen thermostat, and finally equilibrated at the target temperatures in the NVT ensemble for 0.5 ns. The parameters of the orthogonal simulation box and side views of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) substrates are presented in Figure 5. C\_Al\_I and C\_Al\_II refer to 50 and 100% Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001), respectively, which have ordered distributions of surface Al species. 40% Al, 50% Al, 70% Al, and 90% refer to 40, 50, 70, and 90% Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) with randomly distributed surface Al species, respectively. The initial settings for H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) systems with different numbers of H<sub>2</sub>O molecules are presented in Table 3 (ordered surfaces) and Table 4 (random

**Table 3. H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Interactive Systems with Ordered  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) Surfaces**

System type	Initial H <sub>2</sub> O number	H <sub>2</sub> O/surface Al (C_Al_I)	H <sub>2</sub> O/surface Al (C_Al_II)
16_H <sub>2</sub> O	16	0.5 H <sub>2</sub> O/Al	0.25 H <sub>2</sub> O/Al
32_H <sub>2</sub> O	32	1 H <sub>2</sub> O/Al	0.5 H <sub>2</sub> O/Al
48_H <sub>2</sub> O	48	1.5 H <sub>2</sub> O/Al	0.75 H <sub>2</sub> O/Al
64_H <sub>2</sub> O	64	2 H <sub>2</sub> O/Al	1 H <sub>2</sub> O/Al
80_H <sub>2</sub> O	80	2.5 H <sub>2</sub> O/Al	1.25 H <sub>2</sub> O/Al
96_H <sub>2</sub> O	96	3 H <sub>2</sub> O/Al	1.5 H <sub>2</sub> O/Al

surfaces). The ordered surfaces underwent reactions with 16, 32, 48, 64, 80, and 96 H<sub>2</sub>O molecules; each system was kept at the target temperatures for 0.5 ns. The random surfaces underwent reactions with 112 H<sub>2</sub>O molecules and were held isothermally at 700 K for 0.5 ns. The statistical analysis of H<sub>2</sub>O chemisorption rates and O<sub>x</sub>H<sub>y</sub> clustering rates of ordered

**Table 4. H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Interactive Systems with Random  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) Surfaces**

Surface name	Initial H <sub>2</sub> O number	H <sub>2</sub> O/surface Al (random)
40% Al	112	1.25 H <sub>2</sub> O/Al
50% Al	112	1 H <sub>2</sub> O/Al
70% Al	112	0.71 H <sub>2</sub> O/Al
90% Al	112	0.56 H <sub>2</sub> O/Al

surfaces were averaged over 3 samples. The time step size used for all cases was 0.15 fs. In the calculations of H<sub>2</sub>O/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) interactions, mixed Berendsen thermostats were utilized. The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) substrate was heated with a temperature damping parameter as 100 fs, while the gas-phase molecules of H<sub>2</sub>O were subjected to a weaker thermostat with a temperature damping parameter as 10<sup>3</sup> fs to avoid gas-phase clustering in the vacuum and remove the excess energy from gas/solid surface reactions, thus mimicking a high-vacuum environment.

**4.2. H<sub>2</sub>/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Interactions.** We exposed A\_Al\_I, A\_O\_I, M\_Al, M\_O, C\_Al\_I, C\_Al\_II, and C\_O\_I to H<sub>2</sub> gas-phase molecules at the same concentration (Table 5).

**Table 5. Properties of the H<sub>2</sub>/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> Interactive Systems<sup>a</sup>**

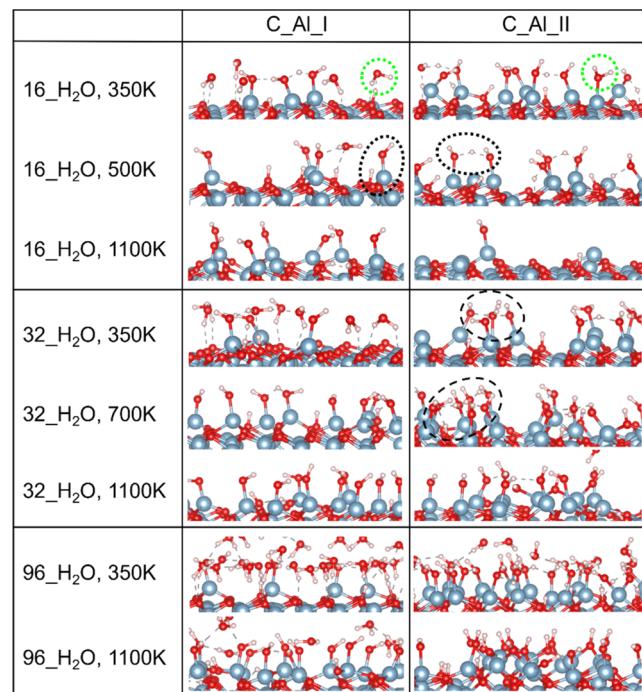
Surface Name	Surface O Density (Å <sup>-2</sup> )	H <sub>2</sub> / Unit Area (Å <sup>-2</sup> )	Surface Reactivity
C_Al_II	0.050	0.126 H <sub>2</sub>	None
C_Al_I	0.101	0.126 H <sub>2</sub>	None
A_Al_I	0.083	0.126 H <sub>2</sub>	
*A_O_I	0.099	0.126 H <sub>2</sub>	Small
M_Al	0.096	0.126 H <sub>2</sub>	
M_O	0.128	0.126 H <sub>2</sub>	
C_O_I	0.151	0.126 H <sub>2</sub>	Large

These systems were heated (0.013 K/fs) from 300 K, then held at 1275 K for 1 ns, and finally cooled (0.0067 K/fs) to 300 K in the NVT ensemble. The simulation box parameters and the side views of A\_Al\_I, A\_O\_I, M\_Al, M\_O, and C\_O\_I are shown in Figure 11a. For the simulation of the surface O removals on C\_O\_I with a reduced H–H  $\sigma$  bond energy parameter in the force field, we heated the system up

from 300 to 1275 K using the NPT ensemble, then held it in the NVT ensemble at 1275 K for over 1.5 ns, and finally cooled it down to 300 K in the NPT ensemble.  $\text{H}_2\text{O}$  molecules as the reaction products were removed continually from the system, while  $\text{H}_2$  molecules were added continually during the isothermal treatment at 1275 K. The frequencies of both adding  $\text{H}_2$  and removing  $\text{H}_2\text{O}$  were 50,000 iterations.

## 5. RESULTS AND DISCUSSIONS

**5.1.  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) Interactions.** *5.1.1. Ordered  $\alpha\text{-Al}_2\text{O}_3$  (0001) Surfaces.*  $\text{C}_{\text{Al}}\text{I}$  and  $\text{C}_{\text{Al}}\text{II}$  planes adsorb gas-phase  $\text{H}_2\text{O}$  molecules in a broad temperature range and release them as the temperature increases. Figure 6 provides



**Figure 6.** Green dotted circle in 16  $\text{H}_2\text{O}$ , 350 K,  $\text{C}_{\text{Al}}\text{I}$  indicates the attraction of a gas-phase  $\text{H}_2\text{O}$  to the surface by hydrogen bonding. The green dotted circle in 16  $\text{H}_2\text{O}$ , 350 K,  $\text{C}_{\text{Al}}\text{II}$  indicates the molecular adsorption of an  $\text{H}_2\text{O}$  molecule to the surface. Black dotted circles in 16  $\text{H}_2\text{O}$ , 500 K ( $\text{C}_{\text{Al}}\text{I}$  and  $\text{C}_{\text{Al}}\text{II}$ ) indicate two types of chemisorption of  $\text{H}_2\text{O}$ , the complete hydrolysis and the  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering, respectively. Black dashed circles in 32  $\text{H}_2\text{O}$ , 350 and 700 K ( $\text{C}_{\text{Al}}\text{II}$ ) indicate heavily clustered  $\text{O}_{\text{x}}\text{H}_{\text{y}}$ .

MD snapshots of selected  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) systems at different temperatures and highlights various types of  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) interactive events. There are two types of chemisorption reactions that involve the dissociation of  $\text{H}_2\text{O}$  molecules: complete hydrolysis and  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering. The former involves a molecularly adsorbed  $\text{H}_2\text{O}$  molecule transferring a proton to a nearby surface oxygen through either 1–2 or 1–4 pathways, producing two surface hydroxyls. The latter involves a molecularly adsorbed  $\text{H}_2\text{O}$  molecule sharing a proton with a neighboring hydroxyl via hydrogen bonding, producing one surface hydroxyl. Overall,  $\text{C}_{\text{Al}}\text{II}$  forms more  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clusters than  $\text{C}_{\text{Al}}\text{I}$  due to the fewer number of surface O to adopt transferred proton from  $\text{H}_2\text{O}$  molecules, which is consistent with the DFT investigations on  $\text{H}_2\text{O}/\text{Al}$  (111) systems done by Guo et al., suggesting that the

preadsorbed O plays an important role in dissociating  $\text{H}_2\text{O}$  on clean Al (111).<sup>61</sup>

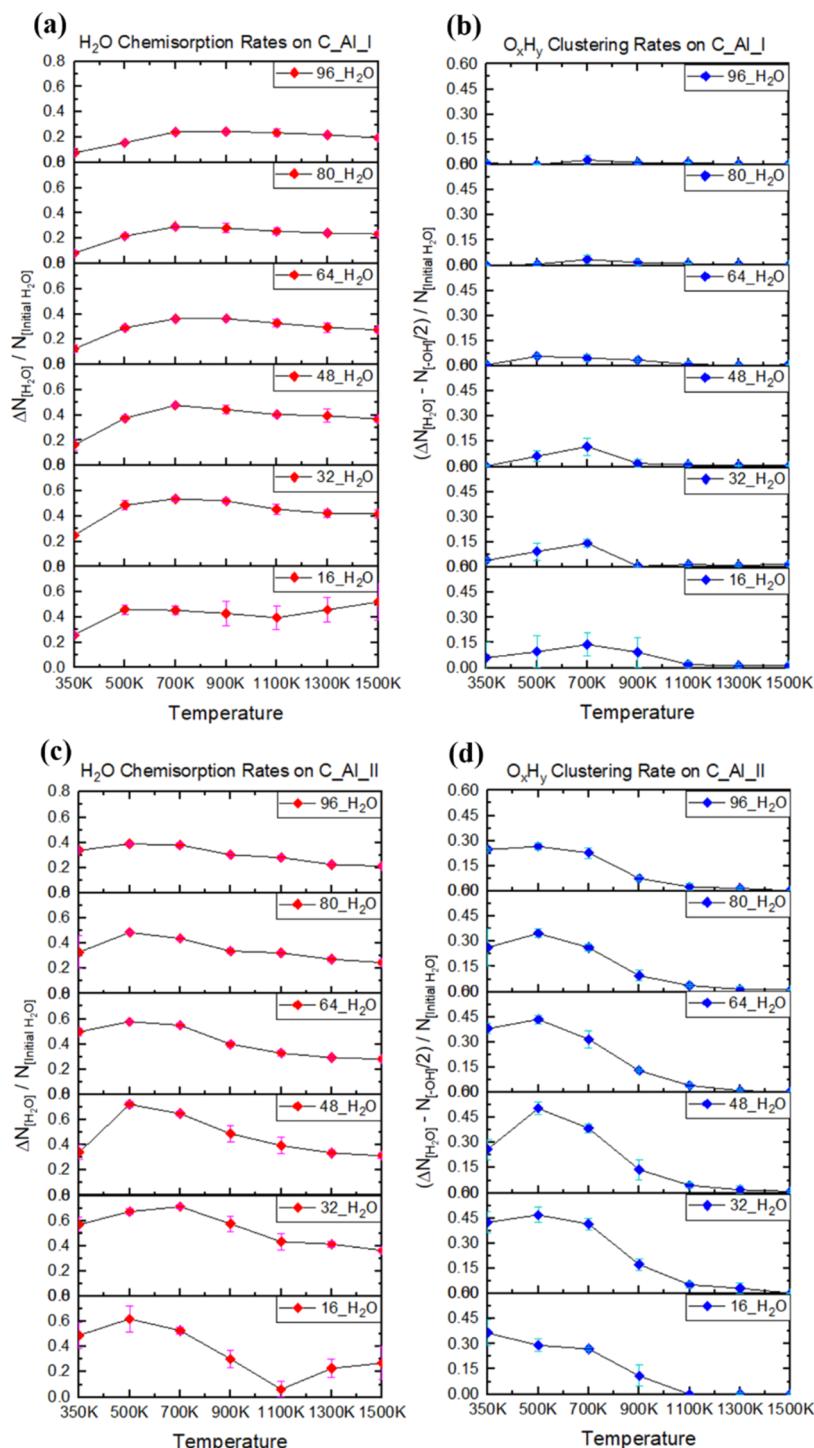
The in-plane hydrogen bonding between adsorbed  $\text{H}_2\text{O}$  molecules allows proton transferring along a linear route (Figure 6, 16  $\text{H}_2\text{O}$ , 350 K,  $\text{C}_{\text{Al}}\text{I}$ ) or a near-closed loop (Figure 6, 32  $\text{H}_2\text{O}$ , 700 K,  $\text{C}_{\text{Al}}\text{II}$ ). The hydrogen bonding between the surface and the  $\text{H}_2\text{O}$  molecules that are not directly adsorbed prevents the  $\text{H}_2\text{O}$  from moving further, which in turn traps more  $\text{H}_2\text{O}$  molecules via interwater hydrogen bonding and eventually results in the formation of an  $\text{H}_2\text{O}$  layer above the surface (Figure 6, 32  $\text{H}_2\text{O}$ , 350 K,  $\text{C}_{\text{Al}}\text{I}$ ). When the  $\text{H}_2\text{O}$  concentration is high enough,  $\text{H}_2\text{O}$  molecules tend to form multiple layers above the surface (Figure 6, 96  $\text{H}_2\text{O}$ , 350 K,  $\text{C}_{\text{Al}}\text{I}$ ). These observations could be considered as great extensions to the proton transferring events discussed by Hass et al. using first-principle molecular dynamics on smaller systems.<sup>62</sup>

However, no  $\text{H}_2$  generations have been observed, which does not entirely agree with the scenario predicted by Lu et al. using DFT and MD simulations for  $\text{H}_2\text{O}/\gamma\text{-Al}_2\text{O}_3$  (110).<sup>63</sup>

Figure 7a,c presents the  $\text{H}_2\text{O}$  chemisorption rates, defined as the ratio of the number of dissociated  $\text{H}_2\text{O}$  to the initial number of  $\text{H}_2\text{O}$ , to quantify the overall reactivity of  $\alpha\text{-Al}_2\text{O}_3$  (0001) during  $\text{H}_2\text{O}$  exposures at different gas-phase concentrations and temperatures. Figure 7b,d presents the  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering rates, defined as the ratio of the number of protons not forming surface hydroxyls to the initial number of  $\text{H}_2\text{O}$ , which aids in shedding light on the reaction mechanisms. For all of the  $\text{H}_2\text{O}$  concentrations considered in this work, the  $\text{H}_2\text{O}$  chemisorption rates peak at either 500 or 700 K before declining and reaching near-plateaus except for the systems with 16  $\text{H}_2\text{O}$  molecules, where the  $\text{H}_2\text{O}$  chemisorption rate reincreases at  $T \geq 1100$  K.  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering rates exhibit the same trends as the corresponding  $\text{H}_2\text{O}$  chemisorption rates at  $T \leq 1100$  K. At  $T > 1100$  K, the  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering rates simply drop to very small values. Overall,  $\text{C}_{\text{Al}}\text{II}$  is more reactive to  $\text{H}_2\text{O}$  than  $\text{C}_{\text{Al}}\text{I}$ . But half and more of the chemisorbed  $\text{H}_2\text{O}$  on  $\text{C}_{\text{Al}}\text{II}$  contributes to  $\text{O}_{\text{x}}\text{H}_{\text{y}}$  clustering instead of going through complete hydrolysis, while  $\text{C}_{\text{Al}}\text{I}$  exhibits the opposite phenomena (Figure 7b,d), since  $\text{C}_{\text{Al}}\text{II}$  is 100% Al-terminated and has fewer surface O to adopt transferred protons.

Figure 8a depicts the maximum  $\text{H}_2\text{O}$  chemisorption rates on  $\text{C}_{\text{Al}}\text{I}$  and  $\text{C}_{\text{Al}}\text{II}$  (at  $T < 1100$  K) for different  $\text{H}_2\text{O}$  concentrations. The maximum  $\text{H}_2\text{O}$  chemisorption rates peak with 32 and 48  $\text{H}_2\text{O}$  for  $\text{C}_{\text{Al}}\text{I}$  and  $\text{C}_{\text{Al}}\text{II}$ , respectively, with higher values for  $\text{C}_{\text{Al}}\text{II}$  than  $\text{C}_{\text{Al}}\text{I}$ . Figure 8b shows the corresponding coverages of chemisorbed  $\text{H}_2\text{O}$ , defined as the maximum number of dissociated  $\text{H}_2\text{O}$  divided by the number of surface Al species. The maximum coverages of chemisorbed  $\text{H}_2\text{O}$  increase with the  $\text{H}_2\text{O}$  concentrations and reach near-plateaus when the initial  $\text{H}_2\text{O}$  numbers  $\geq 48$  for both  $\text{C}_{\text{Al}}\text{I}$  and  $\text{C}_{\text{Al}}\text{II}$ , but with higher values for  $\text{C}_{\text{Al}}\text{I}$  than  $\text{C}_{\text{Al}}\text{II}$ . Neither  $\text{C}_{\text{Al}}\text{I}$  nor  $\text{C}_{\text{Al}}\text{II}$  has been fully hydroxylated, with saturation converges as  $\sim 0.73$  and  $\sim 0.60$ , respectively.

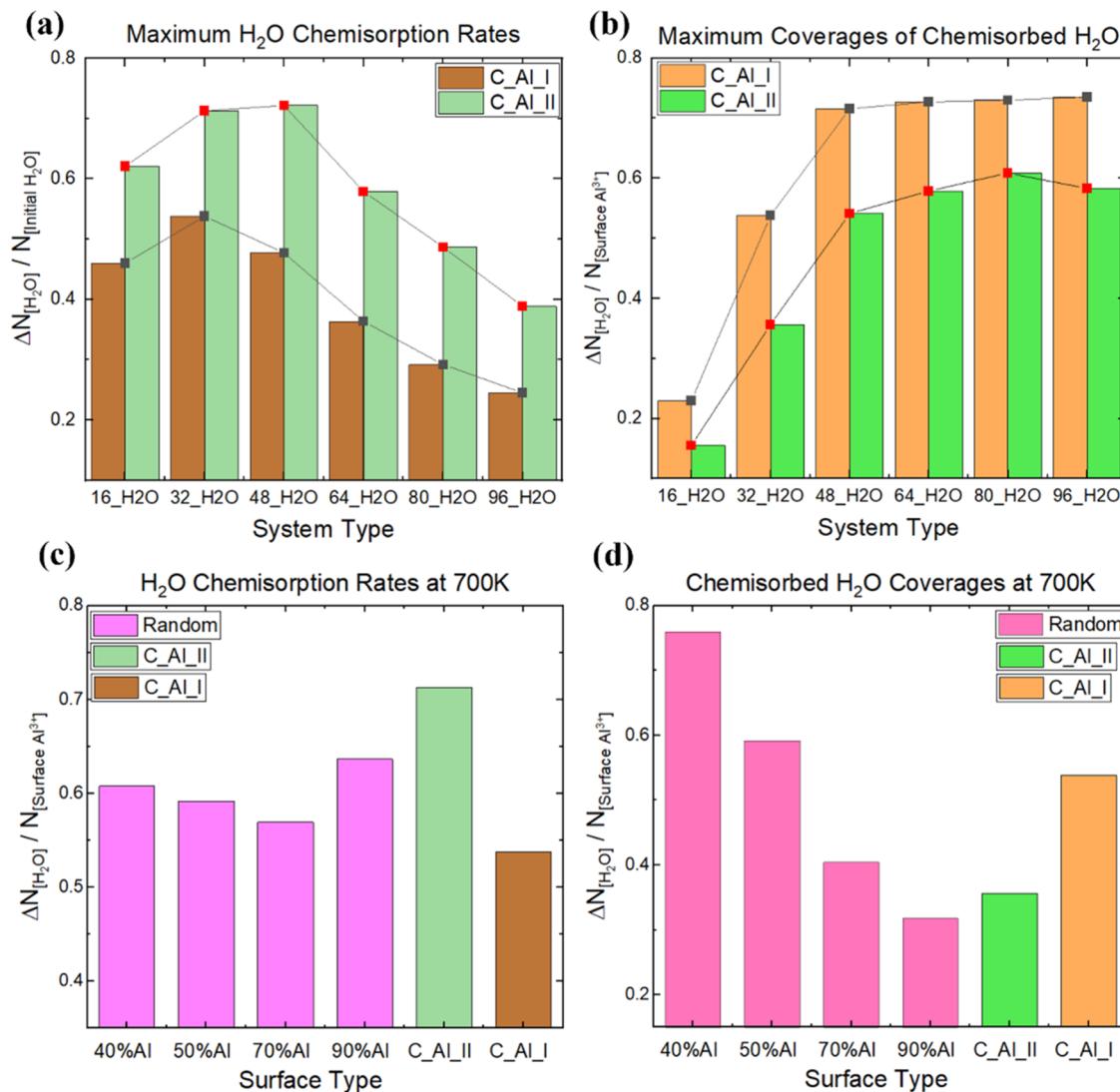
In the system at a low  $\text{H}_2\text{O}$  concentration,  $\text{H}_2\text{O}$  molecules undergo 1–2 or 1–4 pathways to hydroxylate the surface (Figure 9e). When the  $\text{H}_2\text{O}$  concentration increases moderately, gas-phase  $\text{H}_2\text{O}$  molecules act like catalysts to facilitate surface hydroxylation, which is called autocatalysis (Figure 9f). Such catalyzed dissociation is also mentioned or suggested by other computational studies.<sup>62,64,65</sup> When the



**Figure 7.** Panels (a) and (c) are  $\text{H}_2\text{O}$  chemisorption rates for  $\text{C}_\text{Al}_\text{I}$  and  $\text{C}_\text{Al}_\text{II}$ , respectively. The  $\text{H}_2\text{O}$  chemisorption rate is defined as the ratio of the number of dissociated  $\text{H}_2\text{O}$  to the initial number of  $\text{H}_2\text{O}$ . Panels (b) and (d) are  $\text{O}_x\text{H}_y$  clustering rates for  $\text{C}_\text{Al}_\text{I}$  and  $\text{C}_\text{Al}_\text{II}$ , respectively. The  $\text{O}_x\text{H}_y$  clustering rate is defined as the ratio of the number of protons not forming surface hydroxyls to the initial number of  $\text{H}_2\text{O}$ .

$\text{H}_2\text{O}$  concentration keeps increasing, extra  $\text{H}_2\text{O}$  molecules aggregate above the surface and form a protecting layer to prevent further hydroxylation, which is called surface poisoning (Figure 9g). Increasing the temperature to the most suitable value mitigates surface poisoning at high  $\text{H}_2\text{O}$  concentrations, but surface hydroxyls still become dense enough to prevent further hydrolysis starting from 48\_  $\text{H}_2\text{O}$  systems. Figure 9d intuitively shows that the 96\_  $\text{H}_2\text{O}$  system does not have an obvious increase of the surface hydroxyl quantity compared to

the 48\_  $\text{H}_2\text{O}$  system. Extra  $\text{H}_2\text{O}$  molecules are trapped near the layer consisting of surface hydroxyls and shared protons and are unable to reach the surface. Besides, large  $\text{O}_x\text{H}_y$  clusters distort the surface structure, reducing the likelihood of subsequent hydroxylation occurring in their vicinity (Figure 6). The above mechanisms explain why the maximum coverages of chemisorbed  $\text{H}_2\text{O}$  tend to reach near-plateaus (or saturation coverages) and have values smaller than one in both cases (Figure 8b). These also explain the nonlinear

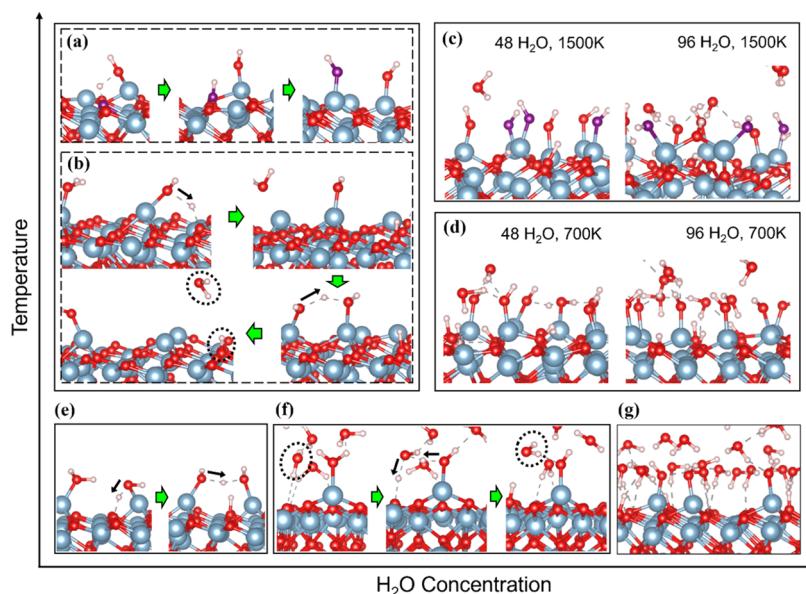


**Figure 8.** Panels (a) and (b) are the maximum H<sub>2</sub>O chemisorption rates and the maximum coverages of chemisorbed H<sub>2</sub>O for different H<sub>2</sub>O concentrations in H<sub>2</sub>O/α-Al<sub>2</sub>O<sub>3</sub> (0001) systems, respectively. Panels (c) and (d) compare the random and ordered α-Al<sub>2</sub>O<sub>3</sub> (0001) surfaces regarding the H<sub>2</sub>O chemisorption rates and the chemisorbed H<sub>2</sub>O coverages at 700 K, respectively.

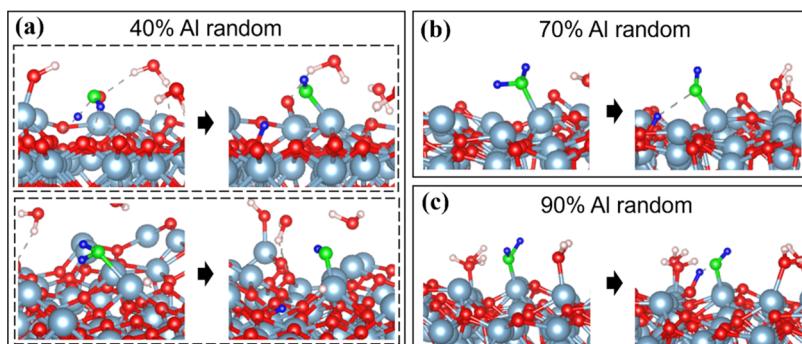
relationships between the maximum H<sub>2</sub>O chemisorption rates and the H<sub>2</sub>O concentrations in Figure 8a, where a moderate H<sub>2</sub>O concentration increase improves the maximum H<sub>2</sub>O chemisorption rate, while an excessive increase in the former reduces the latter. Since the surface hydroxyl density plays a nonnegligible role in determining the saturation quantity of surface hydroxyls, C\_Al\_II (100% Al termination) can barely produce more hydroxyls than C\_Al\_I (50% Al termination) by the number equal or more than that of the exceeded surface Al. So, C\_Al\_II has a lower saturation coverage of chemisorbed H<sub>2</sub>O than C\_Al\_I.

Low energy barriers for the hydrolysis on Al-terminated α-Al<sub>2</sub>O<sub>3</sub> (0001) (Figure 3) allow H<sub>2</sub>O molecules to dissociate at temperatures as low as 350 K. When the temperature increases over 500 or 700 K, a system experiences H<sub>2</sub>O desorption, where the proton bonded to the surface O transfers back to the neighboring hydroxyl bonded to the surface Al and reforms a H<sub>2</sub>O molecule. Protons contributed to O<sub>x</sub>H<sub>y</sub> clustering are more easy to reform gas-phase H<sub>2</sub>O. Since the H<sub>2</sub>O chemisorption on C\_Al\_II relies more on O<sub>x</sub>H<sub>y</sub> clustering than complete hydrolysis, Figure 7 shows steeper drops in H<sub>2</sub>O

chemisorption rates for C\_Al\_II than C\_Al\_I upon reaching the temperatures where H<sub>2</sub>O desorption starts to occur. However, increasing temperatures cannot eliminate surface hydroxyls. Due to combined mechanisms of proton transfer and H<sub>2</sub>O desorption (Figure 9b), the remaining surface hydroxyls become distantly positioned at elevated temperatures, hindering the proton transfer necessary for releasing H<sub>2</sub>O between neighboring hydroxyls. Besides, when the temperature is high enough, lattice distortions in Figure 9a or c occur, where the surface O with a transferred proton attached is upshifted, driving the transferred proton farther away from the neighboring hydroxyl and making H<sub>2</sub>O reformation less likely to happen. This explains why systems with 16 H<sub>2</sub>O molecules exhibit increasing trends in H<sub>2</sub>O chemisorption rates upon reaching 1100 K or higher. H<sub>2</sub>O chemisorption still happens at  $T \geq 1100$  K, while H<sub>2</sub>O desorption is prohibited due to lattice distortions. When the surface hydroxyl coverage is smaller than the saturation value, the surface is still able to chemisorb H<sub>2</sub>O molecules, and the chemisorbed H<sub>2</sub>O molecules are kept on the surface at high temperatures.



**Figure 9.** (a) Lattice distortion of the  $\alpha\text{-Al}_2\text{O}_3$  (0001) surface happens at high temperatures and low  $\text{H}_2\text{O}$  concentrations. The purple-colored O is from the surface but is driven upward due to the lattice distortion. (b) Proton transfers combined with the  $\text{H}_2\text{O}$  reformation at high temperatures and low  $\text{H}_2\text{O}$  concentrations. (c) Comparison of surface hydroxyls between systems with 48 and 96 initial  $\text{H}_2\text{O}$  molecules at 1500 and (d) 700 K. (e)  $\text{H}_2\text{O}$  chemisorption mechanisms at low temperatures and low  $\text{H}_2\text{O}$  concentrations. (f) Autocatalysis of  $\text{H}_2\text{O}$  dissociation at moderate  $\text{H}_2\text{O}$  concentrations. (g) Surface poisoning at high  $\text{H}_2\text{O}$  concentrations.

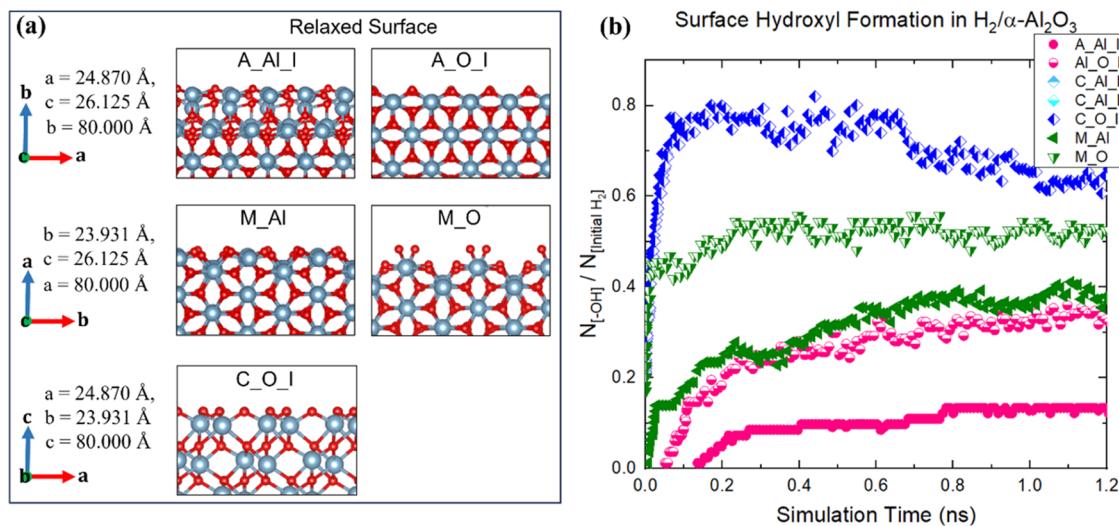


**Figure 10.** Panel (a) indicates two  $\text{H}_2\text{O}$  dissociation events at the 40% Al-terminated random surface. Panel (b) indicates O–H bond dissociation of a physisorbed  $\text{H}_2\text{O}$  on the 70% Al-terminated random surface. Panel (c) indicates  $\text{H}_2\text{O}$  dissociation through 1–4 pathway at the 90% Al-terminated random surface. Dissociated  $\text{H}_2\text{O}$  molecules are colored green (for O) and blue (for H).

**5.1.2. Random  $\alpha\text{-Al}_2\text{O}_3$  (0001) Surfaces.** The  $\text{H}_2\text{O}$  chemisorption rates and the chemisorbed  $\text{H}_2\text{O}$  coverages of random surfaces at 700 K are shown in Figure 8c,d, respectively. Random surfaces exhibit decreasing trends in the  $\text{H}_2\text{O}$  chemisorption rates and the chemisorbed  $\text{H}_2\text{O}$  coverages from 40% to 70% Al-terminations. The randomly distributed surface Al species induce surface distortions, leading to the  $\text{H}_2\text{O}$  dissociation deviating from 1–2 and 1–4 pathways (Figure 10a,b). Figure 10a indicates two  $\text{H}_2\text{O}$  dissociation events occurring at the 40% Al-terminated random surface, where the O–Al bond formation can happen either before or simultaneously with proton transferring to the surface O. On the other hand, the 70% Al-terminated random surface is smoother (Figure S3), where the  $\text{H}_2\text{O}$  dissociation always happens after the oxygen in  $\text{H}_2\text{O}$  bonds to the surface Al (Figure 10b). The 90% Al-terminated random surface has a higher  $\text{H}_2\text{O}$  chemisorption rate than the 70% Al-terminated one because the former recovers the regular (1–2 or 1–4)  $\text{H}_2\text{O}$  dissociation pathways (Figure 10c). However, the 90% Al-terminated random surface exhibits a lower chemisorbed

$\text{H}_2\text{O}$  coverage than all of the other surfaces because the  $\text{H}_2\text{O}$  concentration is not high enough to trigger a high chemisorbed  $\text{H}_2\text{O}$  coverage considering the dense Al coverage of the surface, which is the same reason for C\_Al\_II having a relatively low chemisorbed  $\text{H}_2\text{O}$  coverage. The comparison between the 50% Al-terminated random surface and C\_Al\_I may suggest that the random surface is more reactive than the ordered surface with the same Al coverage.

**5.2.  $\text{H}_2/\alpha\text{-Al}_2\text{O}_3$  Interactions.** Figure 11b shows the time evolutions of the surface hydroxyl formation rates, defined as the ratio of the number of surface hydroxyls to the initial number of  $\text{H}_2$  for seven different  $\alpha\text{-Al}_2\text{O}_3$  surfaces. C\_Al\_II, C\_Al\_I, A\_Al\_I, A\_O\_I, M\_Al, M\_O, and C\_O\_I exhibit an increasing trend in their reactivity to the gas-phase  $\text{H}_2$ , based on the slopes of the corresponding curves at the early stage (<0.2 ns) in Figure 11b. There are no curves for C\_Al\_II and C\_Al\_I in Figure 11b because both surfaces remain non-reactive to  $\text{H}_2$  throughout the simulations. The reactivity to  $\text{H}_2$  is affected by the crystallographic plane and the surface O coverage (Table 5). The surface becomes more reactive to  $\text{H}_2$



**Figure 11.** (a) Models of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates used to study H<sub>2</sub>/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Surface names correspond to those in Table 2 and Figure 2. M\_O is 50% O-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (1010). (b) Time evolution of hydroxyl formation rates for  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates under H<sub>2</sub> preannealing.

when it has a higher surface O coverage, since the two H atoms in an H<sub>2</sub> molecule can bond with two surface O atoms simultaneously with a weak interaction still maintained between the two H atoms, thus preventing complete H<sub>2</sub> dissociation and reducing the energy barrier for surface hydroxylation. C\_O\_I is fully O-terminated and has the highest surface O coverage, exhibiting the highest reactivity to H<sub>2</sub>. On the other hand, C\_Al\_I remains nonreactive to H<sub>2</sub>, even though it has a similar surface O coverage to A\_O\_I or M\_Al. Meanwhile, M\_O is more reactive to H<sub>2</sub> than A\_O\_I. These can be explained by the thermodynamic stability trend of the crystallographic planes, C > A > M, where the reduced surface stability increases the surface energy, consequently enhancing the surface reactivity to H<sub>2</sub>.

C\_O\_I has an obvious drop in its hydroxyl formation rate at the later stage (>0.7 ns) because a significant number of H<sub>2</sub>O molecules are formed at the consumption of surface hydroxyls (Figure S5). M\_O initiates H<sub>2</sub>O formation with the highest rate at the beginning (<0.2 ns) and lowers the H<sub>2</sub>O formation rate after the early stage (>0.2 ns) (Figure S5). Then, the hydroxyl formation rate of M\_O exhibits a near-plateau. This suggests a balanced mode between H<sub>2</sub>O formation and H<sub>2</sub> dissociation on M\_O after ~0.2 ns. In the overall increasing trend of the M\_Al hydroxyl formation rate, there exist fluctuations; the hydroxyl formation rate exhibits downward curves in 0.23–0.47 and 0.82–1.1 ns (Figure 11b). These downward curves correspond to the upward curves in the H<sub>2</sub>O formation rate of M\_Al in Figure S5. These fluctuations are caused by the combined effect of H<sub>2</sub>O formation and hydrolysis at surfaces that are partially hydroxylated and partially Al-terminated. In this scenario, the H<sub>2</sub>O molecules formed from surface hydroxyls can bind to and rehydroxylate the surface.

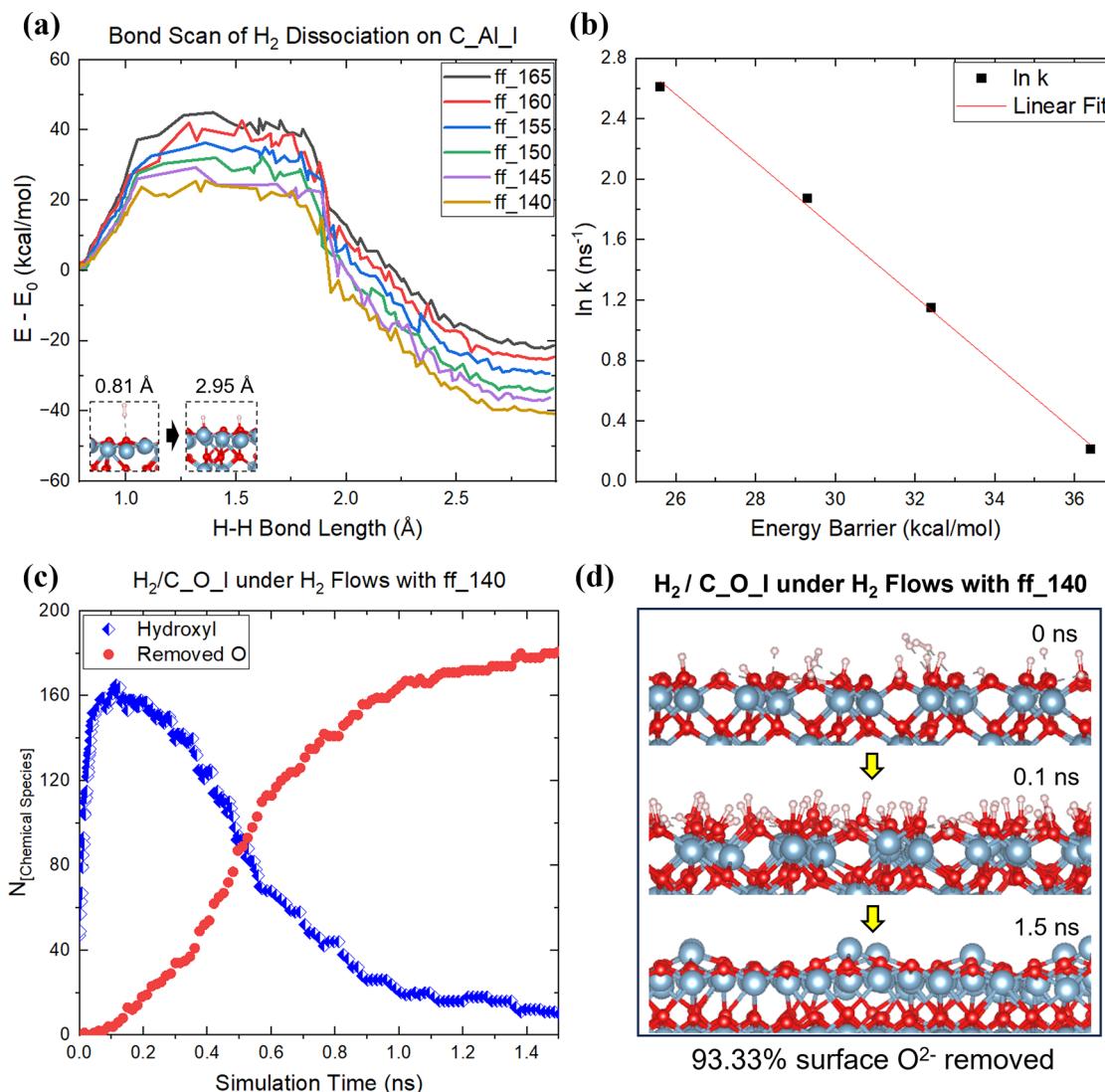
To explore the reaction kinetics of C\_Al\_I in the H<sub>2</sub> gas-phase exposure, we artificially reduced the energy barrier of H<sub>2</sub> dissociation. The force field parameter for the H–H  $\sigma$  bond energy was gradually lowered from the original value of 165 to 140 kcal/mol by 5 kcal/mol while the rest of the parameters in the force field were kept the same as before. Correspondingly, the energy barriers of H<sub>2</sub> dissociations using ff\_165, ff\_160, ff\_155, ff\_150, ff\_145, and ff\_140 are 45, 43, 36.4, 32.4, 29.3,

and 25.6 kcal/mol, respectively (Figure 12a). We used the calculated energy barriers to predict the initiation time of H<sub>2</sub> dissociation on C\_Al\_I for different values of the H–H  $\sigma$  bond energy parameter. The change of the H<sub>2</sub> dissociation reaction rate constant (denoted as  $k$ ), defined as the inverse of the waiting time to observe the first H<sub>2</sub> dissociation event, with respect to the H<sub>2</sub> dissociation energy barrier at 1275 K, is presented in Figure 12b in the natural logarithm form (ln  $k$ ). Only the datapoints for ff\_140, ff\_145, ff\_150, and ff\_155 are shown because no H<sub>2</sub> dissociation events occurred using ff\_160 and ff\_165 within the simulation time, which was 2 ns. Based on the linear relationship between ln  $k$  and the energy barrier, the predicted waiting time of observing H<sub>2</sub> dissociation is ~5 ns for the original parameter of 165 kcal/mol under the current system conditions.

We used ff\_140 to accelerate the surface O removal process during the H<sub>2</sub> preannealing of C\_O\_I. To mimic experimental conditions, we applied constant H<sub>2</sub> flows and removed H<sub>2</sub>O simultaneously in the H<sub>2</sub>/C\_O\_I system. Figure 12c,d shows the numerical analysis of chemical species in H<sub>2</sub>/C\_O\_I and the visualizations of C\_O\_I surface at different stages of the simulation, respectively. After ~1.5 ns, the surface O removal process tends to cease (Figure 12c), and the surface structure is comparable to that of C\_Al\_II after H<sub>2</sub> preannealing (Figure S4f). At 1.5 ns, there are still ~6.67% of hydroxyls left. When surface hydroxyls become sparsely distributed as the simulation goes on, an H<sub>2</sub> molecule transfers one proton to a subsurface O and transfers the other to an existing hydroxyl to form H<sub>2</sub>O, which in turn creates a new hydroxyl. Once the proton is bonded to subsurface O, it can hardly be removed by H<sub>2</sub> again. But it can transfer to a neighboring hydroxyl bonded to a surface Al and form H<sub>2</sub>O, which is not a highly possible event when the existing hydroxyls are getting sparse (Figure S6).

## 6. CONCLUSIONS

This study introduces a newly parametrized ReaxFF reactive force field designed to investigate how the surface termination and the crystallographic plane influence the dissociation behaviors of H<sub>2</sub>O and H<sub>2</sub> on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates. The force field reproduces the surface energy trend for stoichiometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as C < R < A < M and achieves a lower H<sub>2</sub>O



**Figure 12.** (a) Energy profiles of  $\text{H}_2$  dissociations on  $\text{C}_\text{Al}_\text{I}$  with the H–H  $\sigma$  bond energy parameter set as 165 kcal/mol, 160 kcal/mol, 155 kcal/mol, 150 kcal/mol, 145 kcal/mol, and 140 kcal/mol, labeled as ff\_165, ff\_160, ff\_155, ff\_150, ff\_145, and ff\_140, respectively. 165 kcal/mol is the original value. (b) Relationship between the natural logarithm of the  $\text{H}_2$  dissociation reaction rate constant and the energy barrier of  $\text{H}_2$  dissociation. (c) Numerical evolutions of the surface hydroxyls and the removed surface O under constant  $\text{H}_2$  gas flows using ff\_140. (d) Surface structures of  $\text{C}_\text{O}_\text{I}$  under constant  $\text{H}_2$  gas flows with ff\_140 at different stages.

dissociation barrier for the 1–4 than the 1–2 pathway, which is consistent with quantum chemical (QC) predictions.<sup>35,36,40,41</sup> Based on the ReaxFF simulations, we indeed observe that the C-plane is the most stable, while the M-plane is the least stable under  $\text{H}_2$  preannealing. With similar surface O coverages, the surface reactivity to  $\text{H}_2$  exhibits the trend as C < A < M. Also, the  $\text{H}_2$  preannealed C-plane surfaces maintain the highest crystallinity compared with A- and M-planes.

The simulations of  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) reveal that the Al-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001) hydroxylated by the  $\text{H}_2\text{O}$  gas phase begins to desorb  $\text{H}_2\text{O}$  molecules once heated up to 500 K or higher. But protons bonded to the surface oxygens transfer between hydroxyls more randomly at elevated temperatures, making the remaining hydroxyls distantly positioned and hindering subsequent  $\text{H}_2\text{O}$  reformations. Consequently, simply increasing the temperature does not fully eliminate surface hydroxyls. Fully hydroxylating the surface is unachievable by simple  $\text{H}_2\text{O}$  gas-phase exposure because either the extra  $\text{H}_2\text{O}$  molecules or the surface hydroxyls, together with the shared

protons, will form a protecting layer to prevent further hydroxylation eventually. Our newly developed force field describes the  $\text{H}_2\text{O}/\alpha\text{-Al}_2\text{O}_3$  (0001) interaction behavior consistent with published QC studies and corroborates the noninterconvertibility between the Al-terminated and the Gibbsite-like surfaces at the atomic level.<sup>35,36,40–42</sup> Furthermore, our simulations reveal more patterns of  $\text{H}_2\text{O}$  dissociations, including the mechanism of  $\text{O}_x\text{H}_y$  clustering through hydrogen bonding and how it influences the surface hydroxylation and the gas molecule desorption behaviors. We also determined the maximum  $\text{H}_2\text{O}$  chemisorption rates and the maximum degrees of surface hydroxylation based on statistical analyses across different temperatures and  $\text{H}_2\text{O}$  concentrations and demonstrated the importance of water autocatalytic reactions for alumina/water reactivity.

We also developed and tested the accelerated molecular dynamics (MD) simulation of  $\text{H}_2$  reaction with 100% O-terminated  $\alpha\text{-Al}_2\text{O}_3$  (0001). We showed that our accelerated MD simulation, which systematically weakens the H–H bond,

renders a surface termination comparable to that of a 100% Al-terminated surface in 1.5 ns, indicating that the accelerated MD simulation can serve as a promising method to reveal the compositional and morphological changes of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> surfaces under H<sub>2</sub> preannealing. Our work demonstrates the applicability of the new ReaxFF Al/O/H reactive force field for modeling the surface engineering process of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates with various terminations along different crystallographic planes in H<sub>2</sub>O/H<sub>2</sub> gas-phase environments. In future work, this force field can be expanded to encompass more intricate interactions between the gas-phase precursors and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates during the epitaxy of transition-metal dichalcogenides (TMDs), which govern the growth mechanisms of TMDs on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates.

## ■ ASSOCIATED CONTENT

### § Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.4c04669>.

Illustrations of crystallographic planes A, M, C, and R of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and different surface terminations of A, M, C, and R-planes, of which the surface energies were used as force field training data. Hydroxylation energies and energy barriers of H<sub>2</sub>O dissociations through 1–2 and 1–4 pathways on 50% Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) calculated by DFT and ReaxFF. Plots of dehydration energies of fully hydroxylated step-terrace  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) in both H<sub>2</sub>O-rich and O-rich environments calculated by DFT and ReaxFF. Surface structures of random Al-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) after H<sub>2</sub>O exposure. Surface structures of Al- or O-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates along A, M, and C planes after H<sub>2</sub> preannealing. H<sub>2</sub>O formation rates for Al- or O-terminated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrates along A, M, and C planes during H<sub>2</sub> preannealing. The figure showing sparsely distributed hydroxyls left on an initially O-rich C-plane after H<sub>2</sub> exposure in our accelerated simulation. Force field parameters of the newly developed Al/O/H force field ([PDF](#))

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### Notes

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## ■ ADDITIONAL NOTE

$^{a*}\text{Al}_\text{O}_\text{I}$  has a number density of surface O species as 0.083 Å<sup>-2</sup>. The subsurface O is partially exposed and engaged in dissociating H<sub>2</sub> throughout the simulation. Statistics show that around 16.01% of the hydroxyls on  $\text{Al}_\text{O}_\text{I}$  are formed using the subsurface O. So, the exposed surface O number density of  $\text{Al}_\text{O}_\text{I}$  is adopted as 0.099 Å<sup>-2</sup>.

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