

1 Point-cloud based machine learning for classifying rare  
2 events in the Active-Target Time Projection Chamber

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20 **Abstract**

In this work, we assess the use of machine learning to classify fission events in the Active Target Time Projection Chamber (AT-TPC) using data from an experiment performed at the National Superconducting Cyclotron Laboratory at Michigan State University. The experiment produces an extremely large quantity of data, less than 3% of which are fission events. Therefore, separating fission events from the background beam events is critical to an efficient analysis. A heuristic method was developed to classify events as **Fission** or **Non-Fission** based on hand-tuned parameters. However, this heuristic method places 5% of all events into an **Unlabeled** category, including 15% of all fission events. We present a PointNet model trained on the data labeled by the heuristic method. This model is then used to generate labels for the events in the **Unlabeled** category. Using the heuristic and machine learning methods together, we can successfully identify 99% of fission events.

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## 21 **1. Introduction**

22 In many physics applications, isolating rare events of interest is essential.  
23 Maximizing the number of these rare events that can be identified is critical  
24 for performing robust downstream analyses. In some applications, traditional  
25 approaches for identifying such reactions have relied on carefully handcrafted  
26 heuristic approaches, but recent advances in machine learning (ML) point to a  
27 promising alternative. In this work, we present the effectiveness of a deep learn-  
28 ing method in identifying rare fission events by bootstrapping from annotations  
29 generated by a heuristic algorithm.

30 The HiRA group at the National Superconducting Cyclotron Laboratory  
31 (NSCL) conducted an experiment to study the fission properties of isotopes  
32 around  $^{196}\text{Pb}$  using the Active Target Time Projection Chamber (AT-TPC).  
33 The AT-TPC is a detector capable of tracking charged particles moving through  
34 a gas volume which acts as the reaction target [1]. Measurements of the fission  
35 cross section as a function of energy and their charge distributions are of partic-  
36 ular interest. The cross sections are used to model the fission barrier, allowing  
37 comparisons to theory. In the experiment, less than 3% of the measured events  
38 are fission. A heuristic method for fission event identification was developed  
39 which recovers 85% of the fission events [2] with minimal computing time. It  
40 is important, both to accurately determine the cross section and increase the  
41 efficiency of the analysis, to precisely identify fission events at all energies and  
42 quantify any systematic limitations in the identification algorithm.

43 Various studies have explored practical applications of ML in nuclear physics  
44 [3, 4]. ML for anomaly detection—flagging events that are atypical or rare—has  
45 been used successfully in particle physics applications [5–7]. ML was applied  
46 to similar classification tasks in the AT-TPC with promising outcomes. Most  
47 prior ML work with the AT-TPC utilizes a two-dimensional projection of the  
48 data for tractable computation with the chosen architectures. For example,  
49 Kuchera et al. used Convolutional Neural Networks (CNNs) to classify proton  
50 scattering events in the AT-TPC [8]. Solli et al. used the latent space of deep

51 learning models to cluster AT-TPC events with unsupervised learning methods  
52 [9]. Wu et al. employed two CNNs, ResNet and VGG-16, to identify  $^{12}\text{C}$  events  
53 from background events using simulated data for a similar active target time  
54 projection chamber [10]. We build off this work to classify fission events in the  
55 AT-TPC using ML methods.

56 Specifically, we apply point cloud based ML methods to identify fission events  
57 in the AT-TPC that are missed by our traditional analysis. We use events that  
58 have been labeled as either **Fission** or **Non-Fission** by a heuristic method  
59 with high confidence to train a PointNet model [11]. We then use this model to  
60 predict labels for events the heuristic method could not classify in order to gain  
61 additional statistics. This also compensates for shortcomings in the heuristic  
62 method when classifying events with a small folding angle or those close to the  
63 pad plane.

64 We start with a description of the experimental setup, detectors, and tra-  
65 ditional analysis techniques used in the experiment in Section 2. Section 3  
66 discusses the machine learning methods used. This includes both the data sets  
67 used to train and evaluate the model along with a description of the model  
68 itself and its training. Section 4 details the results of applying the machine  
69 learning model to our data, its ability to recover additional fission events over  
70 the traditional analysis methods employed, and the implications for our ability  
71 to extract physics from the data.

## 72 **2. Background**

### 73 *2.1. The Active Target Time Projection Chamber (AT-TPC)*

74 The AT-TPC has a cylindrical active volume that is one meter long and  
75 about half a meter in diameter filled with a target gas. For this experiment, the  
76 target gas was He at one atmosphere. Within this volume, we can record the  
77 trajectories of charged particles and their energy loss through the gas over time  
78 [1]. Figure 1 shows a schematic representation of the detector.

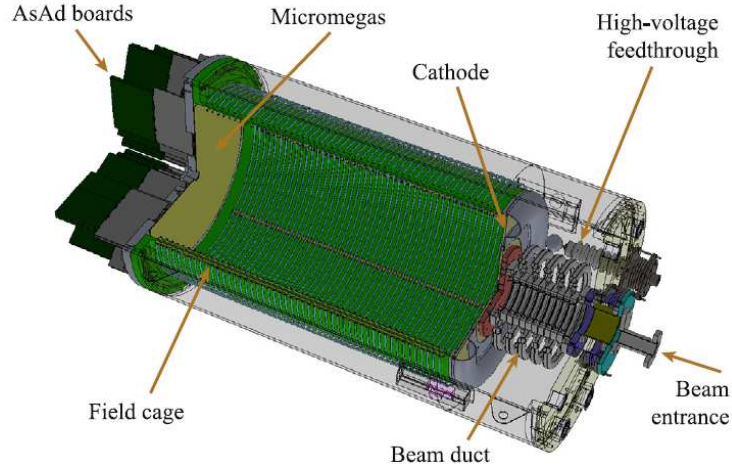


Figure 1: Schematic representation of the AT-TPC. Reprinted with permission from [1].

79 As a charged particle passes through the active volume and loses energy,  
 80 it will ionize the gas molecules, producing electron/ion pairs. The number of  
 81 electrons produced is proportional to the energy lost by the charged particle.  
 82 A constant electric field in the chamber causes the electrons to drift at a con-  
 83 stant velocity to a highly segmented pad plane (with more than 10,000 pads)  
 84 opposite the entrance to the TPC. As the electrons reach the pad plane, they  
 85 are amplified through a Micromegas [12] and Thick-GEM [13]. This amplified  
 86 charge is collected on the pads in the pad plane. The resulting voltage on each  
 87 pad is individually read out over time using the General Electronics for TPCs  
 88 (GET) system [14]. The digitized signal results from two stages of amplification,  
 89 a charge sensitive pre-amplifier and a shaping amplifier. By measuring the time  
 90 the electrons arrive relative to an external timing reference (see Sec. 2.2) and  
 91 their location on the pad plane, the initial 3D position where the electron was  
 92 ionized can be reconstructed. In addition, by measuring the magnitude of the  
 93 charge collected, the energy loss of the charged particles as they pass through  
 94 the gas can be inferred. In order to reconstruct the charge from the measured  
 95 signal, we use a standard deconvolution method for GET electronics [2, 15]

96 These two pieces of information, charge and 3D-position, are used to generate

97 the four-dimensional (three spatial, one charge) hit patterns that are used in the  
98 analysis of the experiment. These hits are grouped into tracks using a modified  
99 RANSAC [16] algorithm. The algorithm, part of the ATTPCROOT analysis  
100 package [2, 17], is tuned for TPC data and can incorporate information on  
101 the expected event geometry into its sampling [2, 18, 19]. The resulting tracks  
102 describe the path and energy loss of a charged particle through the active volume  
103 of the detector.

## 104 *2.2. Experimental Details*

105 A recent experiment was conducted at the NSCL using the AT-TPC to  
106 study the fission of neutron deficient isotopes around  $^{196}\text{Pb}$ , near the transition  
107 between symmetric and asymmetric fission [2]. In the experiment, a stable beam  
108 of  $^{208}\text{Pb}^{+63}$  was accelerated to 85 MeV/A using the NSCL coupled cyclotron  
109 facility [20, 21]. This stable beam was fragmented on a  $^9\text{Be}$  target and the  
110 isotopes of interest were isolated using the A1900 fragment separator. The  
111 beam, which contained about a dozen different isotopes, was identified on an  
112 event-by-event basis using the HEIST particle identification system [22] and  
113 then directed into the AT-TPC. The HEIST system uses the dE-B $\rho$ -ToF method  
114 for particle identification and is partially composed of two micro-channel plate  
115 detectors for time of flight (ToF) and a MUSIC detector for energy loss (dE).  
116 The downstream MCP detector serves as the beam arrival time in the TPC. This  
117 external timing reference is crucial for establishing the absolute Z-position of  
118 events in the AT-TPC. A detailed description of the detectors and experimental  
119 setup can be found in [2, 22].

120 As the beam travels through the AT-TPC, it can fuse with a He nucleus in  
121 the gas. The resulting compound nucleus will be in an excited state and quickly  
122 decay. The two primary decay mechanisms are fission (our reaction of interest)  
123 and neutron decay, a channel we have negligible sensitivity to. Since the nucleus  
124 of an atom is about 25,000 times smaller than its atomic size, the probability of  
125 a projectile reacting with a He nucleus in the gas is extremely small. Similarly,  
126 the probability of the excited compound nucleus decaying through fission is given,

127 roughly, by the ratio of the fission to the neutron emission decay widths. For  
128 the nuclei and energies involved in this experiment, this ratio is expected to be  
129 on the order of  $10^{-4}$  to  $10^{-6}$ .

130 To reduce the relative amount of non-fission events we store to disk, we only  
131 record data when there is a signal on both the Micromegas mesh at the pad  
132 plane and at the downstream MCP detector in HEIST. To ensure any signal  
133 in the pad plane is primarily due to fission fragments, the gas gain of the pads  
134 in the center of the AT-TPC was lowered by biasing the pads in the path of  
135 the beam. This ensures that there is a large signal on the mesh only when a  
136 charged particle leaves the central beam region of the detector. Even with these  
137 precautions, fission events still only comprise an estimated 2-3% of the over 6  
138 million events that were collected. This estimation is based on hand labeling  
139 a small subset of the data. The remaining 97-98% of the data is attributed  
140 to other kinds of events. The **Non-Fission** category is comprised primarily of  
141 beam events, i.e., events where the isotope beam passes through the AT-TPC  
142 without reacting. An accurate and efficient method is therefore necessary to sift  
143 through this large amount of data to isolate events of interest. Furthermore,  
144 since fission is a rare event, it is important that we capture as many fission  
145 events in our analysis as possible. In this paper, we will focus on maximizing  
146 fission recall, which is the percentage of true fission events that our algorithm  
147 identifies as fission.

148 When fission occurs, the AT-TPC records the tracks of both the beam before  
149 the vertex and the fission fragments. Fission events have a characteristic “Y”-  
150 shaped track, where the arms are the fission fragments and the base stem is the  
151 beam. Typical beam events look like a straight line through the central axis  
152 of the AT-TPC. Figure 2 presents examples of typical fission and beam events,  
153 using the standard coordinate convention for the AT-TPC: the beam direction  
154 is the negative z-direction, with the pad plane at zero and the window at 1000  
155 mm. The y-direction is the vertical axis, while the x-direction is the horizontal  
156 axis, with the center of the pad plane serving as the origin. Charge is measured  
157 in arbitrary units by the electronics, ranging from 0 to 4095 channels.

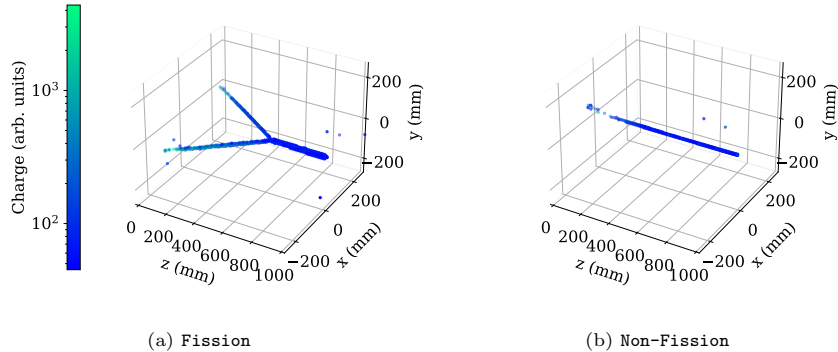


Figure 2: Examples of typical fission and beam events from this experiment. Beam events make up the vast majority of the `Non-Fission` category. These events were labeled by the heuristic method as `Fission` and `Non-Fission`, respectively, and come from the training set. To produce this figure, each pad in the pad plane was processed to allow more than one “hit,” or point, in the point cloud. This allows us to visualize the beam prior to fission to clearly show the “Y”-shape of the fission event.

### 158 2.3. Heuristic Analysis Method

159 The HiRA group has developed a heuristic method to separate out fission  
 160 events from all the events detected by the TPC [2]. The method quickly tags  
 161 events as either `Fission`, `Non-Fission`, or `Unlabeled` based on various char-  
 162 acteristics of the tracks. The specific parameters used are given in Table 1.  
 163 The heuristic method was developed using a set of 2,500 hand labeled events.  
 164 Independent hand-labeling of a sub-sample of `Unlabeled` events, discussed in  
 165 Sec. 3.1, showed an agreement between experts of more than 99%.

166 The heuristic method consists of various cuts on the parameters. Each cut  
 167 is a range of values for a given parameter, such as the angle between tracks,  
 168 that ensures a clean event identification. For the angle between tracks, if no  
 169 tracks have an angle above a certain threshold, that event is classified as beam.  
 170 The cuts are conservative, designed to exclude incorrect identifications, even if  
 171 it means forgoing an identification for some events. A series of these cuts are  
 172 made using different combinations of values for these parameters to classify an  
 173 event. When the first cut fails to make a classification, the next is used and so

Individual Cut Parameters	units
Number of RANSAC tracks found	#
Largest opening angle between any pair of tracks in the event	°
Smallest polar angle (w.r.t. beam axis) of each track in the event	°
$z_{min}$	mm
$z_{max}$	mm
Maximum $\sqrt{\sigma_x^2 + \sigma_y^2}$	mm

Table 1: A list of the parameters used by the heuristic algorithm. A sequence of cuts based on these parameters were applied to the data to classify the data into **Fission** and **Non-Fission**. Beam conditions are typified by small track angles and low spread of the point cloud hits. Fission conditions involve multiple tracks, larger angles, and high point cloud spread. The **Unlabeled** category contains events which did not satisfy any condition for beam or fission.

Category	Count	Percentage
<b>Fission</b>	135,959	2.2%
<b>Non-Fission</b>	5,827,822	92.8%
<b>Unlabeled</b>	316,581	5.0%
Total Events	6,280,362	

Table 2: Results from using the heuristic method to classify all events in all runs used in the data analysis.

Category	Count	Percentage
<b>Fission</b>	147	84.5%
<b>Unlabeled</b>	27	15.5%
Hand-Labeled Fission Events	174	

Table 3: A total of 174 fission events were identified by processing a set of 5,000 events with the heuristic method. Of these, 147 were classified as **Fission** and 138 were classified as **Unlabeled**. Hand-labeling the **Unlabeled** events identified 27 fission events. Extrapolating this finding to the rest of the dataset, we estimate that 15% of all fission events are sorted into the **Unlabeled** category.

174 on until all 50 cuts are exhausted. An event is classified by the first cut in the  
175 sequence that it fits, which means that subsequent cuts do not need to account  
176 for that type of event and some cuts could otherwise provide contradictory  
177 classifications. The method is only capable of definitively classifying about 95%  
178 of events (Table 2); events that fail all of the cuts are classified as **Unlabeled**.  
179 By hand-labeling a subset of these **Unlabeled** events, it is estimated that about  
180 15% of all fission events were sorted into the **Unlabeled** category (Table 3).

181 There are two primary factors that contribute to difficulty in classifying some  
182 events. The first is that some events contain multiple beam particles, leading  
183 to non-fission events where more than one track is identified by RANSAC. This  
184 is further complicated by the relatively wide beam profile and the effects of  
185 space charge warping the tracks, leading to pileup events having two or more  
186 tracks with a measurable angle between them. The second is the existence of a  
187 region down the centerline of the detector that is low gain. Fission fragments  
188 are undetectable in that region. For events with a small angle between the  
189 fission fragments or where the reaction occurs close to the pad plane, the fission  
190 fragment tracks may be too short for the RANSAC algorithm to detect. The  
191 consequence of this is that a disproportionate number of fission events with a  
192 small folding angle or those close to the pad plane are not successfully identified  
193 by the heuristic method.

194 The experiment lasted several days and the data was split up into roughly

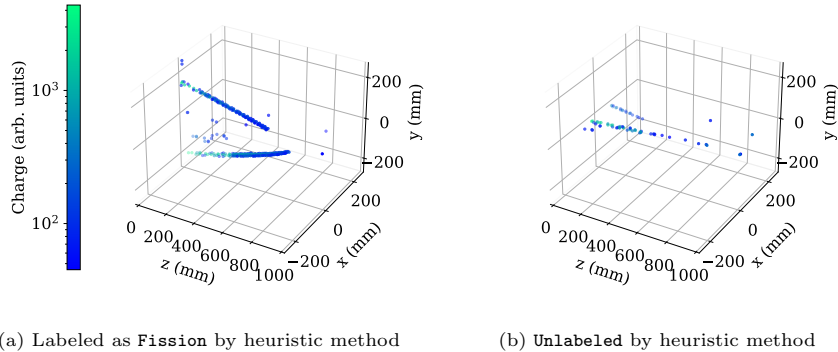


Figure 3: Fission events that were **Unlabeled** by the heuristic method often have visual differences from the ones labeled as **Fission**. These events were randomly selected from the training set and application set, respectively.

195 hour-long “runs”. Across all runs, the percentage of all fission events sorted  
 196 into the **Unlabeled** category remained consistent. The number of **Non-Fission**  
 197 events sorted into the **Unlabeled** category did vary over time, possibly due to  
 198 a varying beam rate. If the properties of the beam were changing we would  
 199 expect to see a difference in the number of recorded fission events, which was  
 200 not observed.

201 Events close to the pad plane are of particular interest to fission cross sec-  
 202 tion studies. They correspond to lower energy events and the cross section is  
 203 expected to be the most sensitive to changes in the fission barrier at such en-  
 204 ergies. Figure 3 shows the visual difference between typical fission events that  
 205 were labeled as **Fission** and **Unlabeled** events by the heuristic method. Fur-  
 206 ther improvement to the heuristic method would not only be time consuming  
 207 to develop, but also likely to rely on data further along the analysis pipeline.  
 208 This would negate one of the primary benefits of classifying events—reducing  
 209 the time needed to analyze the data.

Dataset	Fission	Non-Fission	Labeling Method
Training	1,691	3,946	Heuristic
Validation	440	1,027	Heuristic
Test	2,056	104,113	Heuristic
Application	28	905	Manual

Table 4: Count of **Fission** and **Non-Fission** events in the data sets. The training and validation sets were rebalanced to a 30-70 **Fission**-to-**Non-Fission** ratio. The events in the training, validation, and test sets were labeled as **Fission** or **Non-Fission** by the heuristic method. The events in the application set were those **Unlabeled** by the heuristic method that were subsequently tagged by hand as **Fission** or **Non-Fission**.

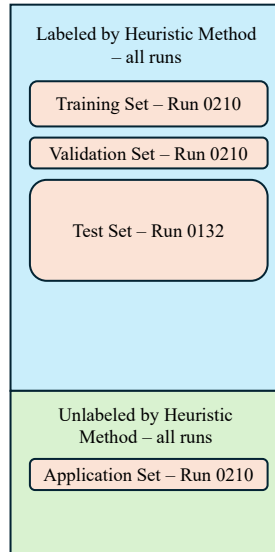


Figure 4: The source of all datasets used. The training, validation, and application sets were subsets of one run. The test set was composed of all **Fission** and **Non-Fission** events from another run. The specific run does not affect the properties of the events. This diagram is not to scale. Exact event distributions are presented in Tables 2, 3, and 4.

## 210 **3. Methods**

### 211 *3.1. Machine Learning Data Processing*

212 We prepared our data for training the ML model by first labeling a set of  
213 65,583 events from a single run as **Fission**, **Non-Fission**, or **Unlabeled** using  
214 the heuristic method. The properties of fission events remain unchanged across  
215 the course of the experiment. This was verified in multiple ways, including  
216 analysis of the beam profile in HEIST and the measurements of the electron drift  
217 velocity in the AT-TPC across runs. In addition, this was further confirmed by  
218 the uniformity in the percentage of events labeled as fission by the trained ML  
219 model across runs.

220 In this initial stage of data preparation, any events that were **Unlabeled**  
221 by the heuristic method were disregarded. This yielded a dataset with 2,131  
222 **Fission** events and 60,891 **Non-Fission** events. Training statistical models in  
223 regimes with such highly imbalanced class distributions can be challenging, and  
224 is a problem that has been extensively studied by the ML community [23, 24].  
225 Indeed, our initial attempts to fit models directly using this data yielded under-  
226 whelming results, predicting nearly all events as **Non-Fission**. We thus resorted  
227 to a simple and standard remedy—undersampling the majority class [23, 24]—  
228 to address this issue. Specifically, we rebalanced the dataset by retaining all  
229 **Fission** events and discarding a subset of the **Non-Fission** events chosen at  
230 random, so that the final dataset contained a 30-70 distribution of **Fission**  
231 and **Non-Fission** events, respectively. Following standard ML procedures, this  
232 dataset was then randomly partitioned using an 80-20 split to create a *training*  
233 *set* (for fitting model parameters) and a *validation set* (for determining ideal  
234 hyperparameter settings).

235 Simultaneously, an independent set of events were heuristically labeled as  
236 **Fission** and **Non-Fission** to form a *test set*. The events in this set did not  
237 participate in the model fitting process and were used to obtain an unbiased  
238 estimate of model performance, as well as to quantify the degree of agreement  
239 between the heuristic and ML approaches to event classification. No rebalancing

240 was performed on the events in this set.

241 Finally, we compiled a third dataset consisting solely of events that were  
242 **Unlabeled** by the heuristic method. The events in this *application set* were  
243 then hand-labeled **Fission** and **Non-Fission**, and used to evaluate the ML  
244 model’s performance on events on which the heuristic approach failed. These  
245 four datasets, their class distributions, and data sources are summarized in  
246 Table 4 and illustrated schematically in Figure 4.

247 To assess the accuracy of hand-labeling the data, the application set was  
248 independently labeled by three individuals: two experts familiar with the data  
249 and one non-expert who was trained in the labeling process. All three sets of  
250 labels agreed 95.5% of the time, with the two experts agreeing more than 99%  
251 of the time. For each event, the mode of the labels was taken as the ground  
252 truth. It is important to note that the events in this sample are those that  
253 are expected to be hardest to classify. The strong agreement among experts,  
254 even with this challenging sample, highlights the reliability of the hand-labeling  
255 process and represents a conservative estimate of consistency.

256 Each event was processed into a point cloud, with each point along a particle  
257 track represented by its three-dimensional position in the chamber and charge  
258 amplitude information, to produce a four-dimensional  $(x, y, z, Q)$  representation.  
259 The  $(x, y)$  coordinates are determined by the centroid of the pad where charge  
260 was deposited,  $Q$  is the maximum charge per unit time collected on a given  
261 pad, and  $z$  is the distance from the pad plane to the point where the maximum  
262 charge occurs along the beam axis. Each pad on the pad plane was processed to  
263 contain only one “hit”. Thus, the beam track prior to fission has fewer points,  
264 while the fission fragments have many points because they cross multiple pads.

265 Since the ML methods we utilize expect every point cloud to have the same  
266 size (i.e., the same number of points), we standardize each event to contain 64  
267 points. Events containing more points were downsampled via uniform random  
268 sampling; events containing fewer than 64 points were upsampled by duplicating  
269 randomly selected existing points. Further, the spatial coordinates of every  
270 point in the events were linearly rescaled so that the pad plane corresponds to

271  $z = 0$  and the beam entrance corresponds to  $(0, 0, 1)$ , with the positive  $x$  and  $y$   
272 directions chosen to maintain the geometry of the detector. Since the recorded  
273 charge values  $Q$  ranged over several orders of magnitude, we first performed  
274 a “safe” log transformation on these, given by  $Q' = \log_{10}(Q + 10^{-10})$ . The  
275 resulting  $Q'$  values were then linearly min-max scaled, using the minimum and  
276 maximum  $Q'$  values encountered in the training set<sup>1</sup>.

### 277 *3.2. Model Training and Evaluation*

278 We modify the PointNet architecture for our application, which is a ma-  
279 chine learning architecture that directly consumes point cloud data as input  
280 and can be used to classify or segment objects [11]. We trained a PointNet  
281 model on resampled and rescaled  $(x, y, z, Q)$  point clouds on a binary classifi-  
282 cation task: that of predicting whether a given point cloud depicted a **Fission**  
283 or **Non-Fission** event. Hyperparameters were found via a grid search, and the  
284 final, tuned values are listed in Table A.9. We found that 30 epochs of training  
285 was typically sufficient to train the model to convergence. We determined the  
286 optimal early stopping point—where the model’s loss on the validation set was  
287 minimized—by visual inspection of the learning curves generated by the train-  
288 ing procedure. We report this model’s performance on both the test set and the  
289 application set.

## 290 **4. Results**

### 291 *4.1. Test Results*

292 When directly comparing the ML model to the heuristic method, we were  
293 able to achieve 0.997 fission recall, a measure of the amount of fission events  
294 we are able to capture from the test set with the ML model. Table 5 compares  
295 how the heuristic method and the ML model labeled the same events. The left

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<sup>1</sup>The events presented in plots in this paper, such as those in Figure 2, are depicted prior to resampling and rescaling.

<b>Heuristic</b>	<b>Machine Learning</b>	
	<b>Fission</b>	<b>Non-Fission</b>
<b>Fission</b>	2,051	5
<b>Non-Fission</b>	464	103,649

Table 5: A confusion matrix tabulating the **Fission** and **Non-Fission** classifications made by the heuristic and ML methods on the examples comprising the test set. The numbers along the main diagonal show where the two methods agree and the off-diagonal values are where the methods disagree.

	<b>Test Results</b>	<b>Application Results</b>
<b>Precision</b>	0.816	0.963
<b>Recall</b>	0.997	0.929
<b>Accuracy</b>	0.996	0.997
<b>F1 Score</b>	0.897	0.945

Table 6: Evaluation of Test Results and Application Results. Precision is the proportion of events labeled as **Fission** by both methods over all events labeled as **Fission** by the machine learning method. Recall is the proportion of events labeled as **Fission** by both methods over all events labeled as **Fission** by the heuristic method (Test Results) or by hand-labeling (Application Results). Accuracy is the proportion of events where both methods agree on a label over all events. F1 score is the harmonic mean of precision and recall.

<b>Hand-Labeled</b>	<b>Machine Learning</b>	
	<b>Fission</b>	<b>Non-Fission</b>
<b>Fission</b>	26	2
<b>Non-Fission</b>	1	904

Table 7: A confusion matrix tabulating the **Fission** and **Non-Fission** classifications made by a human annotator and the ML method on the examples comprising the application set. These are events that were **Unlabeled** by the heuristic method that were then manually labeled as **Fission** or **Non-Fission**. The numbers along the main diagonal show where the two methods agree and the other values are where the methods disagree. The rarity of fission events meant that their count was relatively small in the application set.

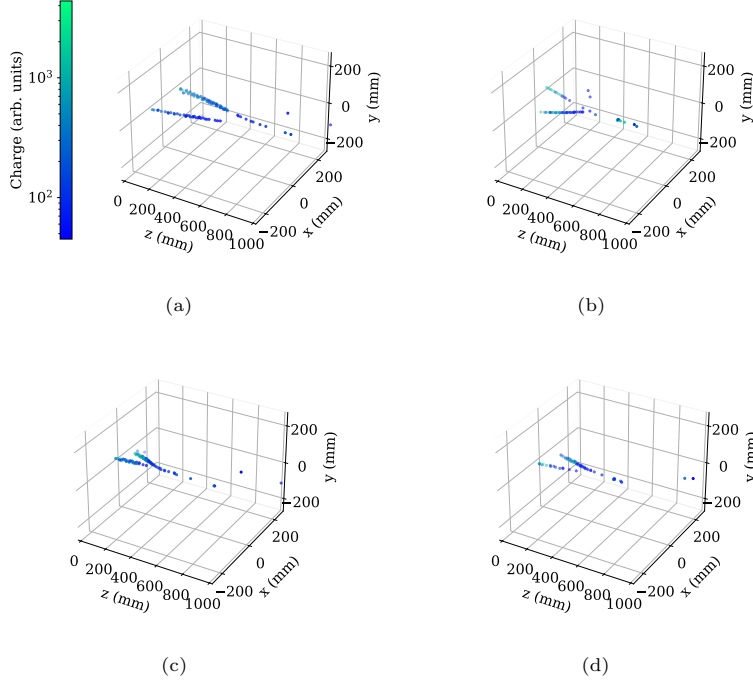


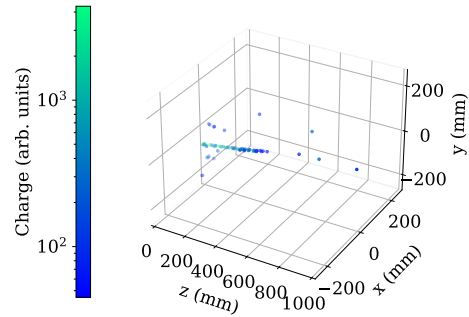
Figure 5: A sampling of fission events from the application set that were correctly labeled as **Fission** by the ML model. These were among the 26 events in the top-left cell of Table 7 that were originally **Unlabeled** by the heuristic method.

296 column of Table 6 shows various metrics measuring the performance of the ML  
 297 model compared to the heuristic method.

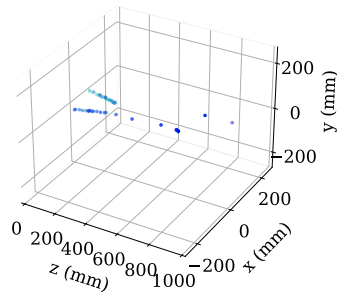
298 Upon closer inspection, all of the five events that the heuristic method la-  
 299 beled as **Fission** but that the machine learning model labeled as **Non-Fission**  
 300 were in fact fission events, albeit ones triggered by a different beam particle  
 301 entering the TPC within the same measurement time window. These cannot be  
 302 used for analysis purposes and therefore should actually be in the **Non-Fission**  
 303 category.

#### 304 4.2. Application Results

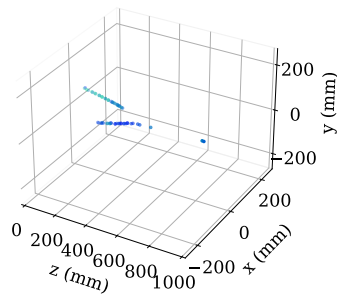
305 We then used the model to make predictions on the application set. The  
 306 model achieved a recall of 0.929 for fission events that the heuristic method was



(a) A Non-Fission event that was mis-classified as Fission.



(b) A Fission event that was classified as Non-Fission.



(c) A Fission event that was classified as Non-Fission.

Figure 6: Events from the application set that were incorrectly labeled by the ML model. These are the off-diagonal entries in Table 7; these events were originally Unlabeled by the heuristic method.

Event Type	Count	Percentage
<b>Fission</b>	23,720	7.5%
<b>Non-Fission</b>	292,861	92.5%
Unlabeled Category	316,581	

Table 8: ML classification of all events that the heuristic method could not label (**Unlabeled** in Table 2).

307 unable to label. Table 7 compares how the ML model labeled events against how  
 308 a human annotator labeled them. The human labels were determined through  
 309 a majority voting process, with each event independently labeled three times,  
 310 as described in Sec. 3.1. The right column of Table 6 shows metrics measuring  
 311 the performance of the ML model on **Unlabeled** data. The table also compares  
 312 the performance of the ML model on the application set to the performance on  
 313 the test set as a baseline. The model was tuned to optimize performance on the  
 314 **Unlabeled** data in the application set, particularly the fission recall. A sample  
 315 of events from the **Unlabeled** data that the ML model correctly labeled as  
 316 **Fission** can be seen in Figure 5. The most likely reason for these events being  
 317 classified as **Unlabeled** is the lack of sufficient hits to create a RANSAC track  
 318 for the beam. All of the events the ML model misclassified from the application  
 319 set are shown in Figure 6. The fission events have a characteristic “V”-shape,  
 320 with both tracks leading to a common vertex. In contrast, the upper non-fission  
 321 event in 6a only has one clear track, with any possible second track being at  
 322 such a small angle as to be kinematically impossible for fission.

### 323 4.3. Physics Analysis

324 We used the ML model to generate labels for the **Unlabeled** data across all  
 325 runs of the experiment, the results of which can be seen in Table 8. The gain in  
 326 statistics from these additional events is not uniformly distributed. The counts  
 327 as a function of position in the TPC are shown in Figure 7, along with a ratio of  
 328 the additional events to the total events. The ML model recovered a significantly  
 329 higher percentage of events closer to the pad plane than those further from

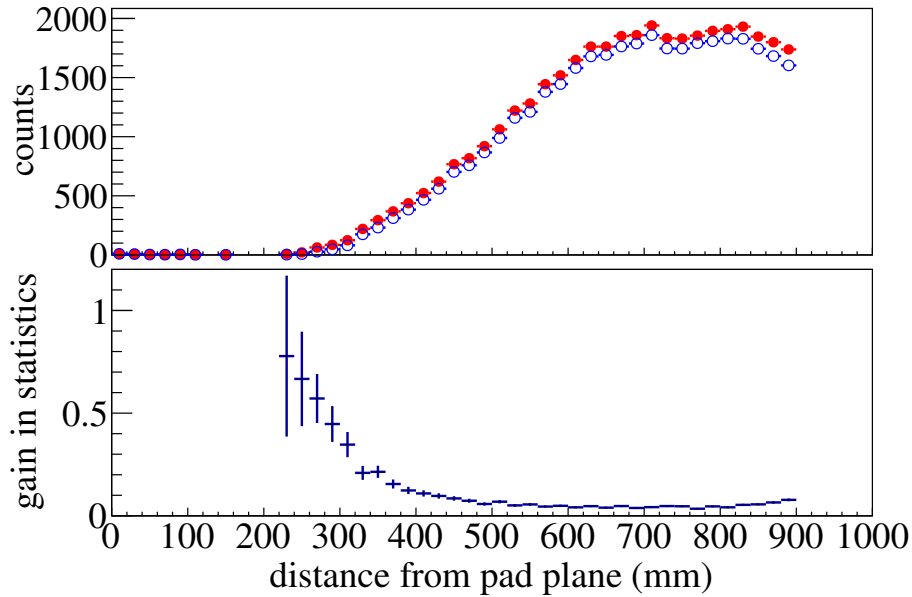


Figure 7: Top: The total number of fission events as a function of the position of the reaction vertex in the TPC. The blue empty circles represent the counts for events labeled `Fission` by the heuristic method; the red filled circles are the sum of the events labeled `Fission` by the heuristic method and the `Unlabeled` events labeled `Fission` by the ML model. Bottom: The ratio of the events labeled by the ML model to the total number of events from both methods.

330 the pad plane. A similar plot looking at the folding angle between the fission  
 331 fragments is shown in Figure 8. The ML model recovered a significantly higher  
 332 percentage of events with a smaller angle. There is additional gain at larger  
 333 angles as well, due to the gain in events closer to the pad plane which can only  
 334 be detected at larger folding angles.

335 The non-uniform distribution in the ratio of recovered fission events to to-  
 336 tal fission events as a function of the reaction vertex position and folding angle  
 337 suggests the heuristic method had difficulty definitively classifying fission events  
 338 close to the pad plane and fission events with a smaller angle between the fission  
 339 fragments. As mentioned in Section 2.3, this was a suspected limitation of the  
 340 heuristic method which could not be previously quantified. This result is im-  
 341 portant for a few reasons. The model was trained on events that were identified

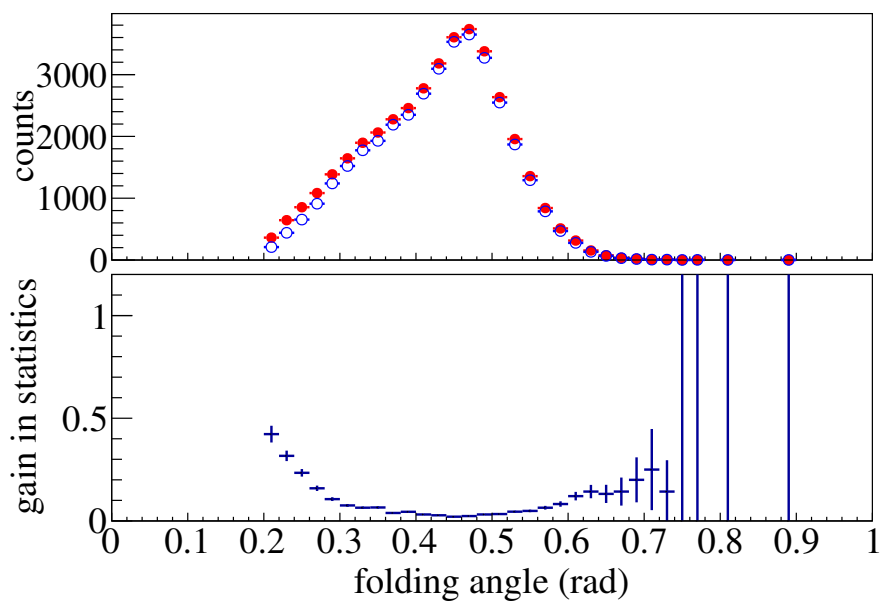


Figure 8: Top: The total number of fission events as a function of the angle between the fission fragments. The blue empty circles represent the counts for events labeled `Fission` by the heuristic method, the red filled circles are the sum of the events labeled `Fission` by the heuristic method and `Unlabeled` events labeled `Fission` by the ML model. Bottom: The ratio of the events labeled by the ML model to the total number of events from both methods.

342 by the heuristic model, now known to struggle with identifying certain types of  
343 events. This means that certain types of fission events were under-represented in  
344 the training set. Despite this under-representation, the trained model was still  
345 able to correctly identify events missed by the heuristic method, almost dou-  
346 bling the number of events in the regions the heuristic method was suspected  
347 to have problems making a classification. This has important implications for  
348 the physics analysis. As mentioned earlier, the extraction of certain physical  
349 properties, especially the fission barrier, can be very sensitive to the number of  
350 counts in the region nearest the pad plane.

## 351 **5. Conclusions**

352 In this paper, we presented an ML model that classified the 5% of events  
353 that the heuristic method was unable to classify. By combining both methods,  
354 we were able to identify 98.9% of all fission events. The ML model identified  
355 a systematic limitation in the heuristic method, showing that the latter had  
356 difficulty labeling events close to the pad plane of the AT-TPC, as well as events  
357 with a small angle between the fission fragments. Despite being trained on a  
358 data set which underrepresented certain fission events, the machine learning  
359 model was able to accurately identify these events in the unlabeled data.

360 As previously noted, the fission properties measured from the fission cross  
361 section can be sensitive to the shape at low energies, corresponding to events  
362 close to the pad plane with the AT-TPC. The characterization of the systematic  
363 limitations in our event sorting and then recovering these events was important  
364 for confidently obtaining an accurate cross section at all energies.

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498 **Appendix A. Architecture and hyperparameter details**

499 Table A.9 contains hyperparameters used in the model whose results are  
500 reported in this paper. The architectural details remained the same as specified  
501 in the PointNet paper [11] except where noted in Table A.9. Hyperparameters  
502 were found through a grid search and analysis of loss curves and validation  
results.

Hyperparameter	Value
input layer dim	$64 \times 4$
output layer dim	2
batch size	32
epochs	30
optimizer	adam
learning rate	$5 \times 10^{-4}$

Table A.9: Hyperparameters used in training the final model which correspond to all results presented in this paper.

503