

### Key Points:

- Quasi-linear theory is used to infer omnidirectional electron flux along magnetic field lines from low-altitude spacecraft measurements
- The obtained analytical model of average omnidirectional electron flux is consistent with equatorial measurements from the Van Allen Probes
- The model shows the impact of impulsive and time-integrated substorm activity on electron fluxes in plasma sheet and outer radiation belt

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## Omnidirectional Energetic Electron Fluxes From 150 to 20,000 km: An ELFIN-Based Model

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**Abstract** The strong variations of energetic electron fluxes in the Earth's inner magnetosphere are notoriously hard to forecast. Developing accurate empirical models of electron fluxes from low to high altitudes at all latitudes is therefore useful to improve our understanding of flux variations and to assess radiation hazards for spacecraft systems. In the present work, energy- and pitch-angle-resolved precipitating, trapped, and backscattered electron fluxes measured at low altitude by Electron Loss and Fields Investigation (ELFIN) CubeSats are used to infer omnidirectional fluxes at altitudes below and above the spacecraft, from 150 to 20,000 km, making use of adiabatic transport theory and quasi-linear diffusion theory. The inferred fluxes are fitted as a function of selected parameters using a stepwise multivariate optimization procedure, providing an analytical model of omnidirectional electron flux along each geomagnetic field line, based on measurements from only one spacecraft in low Earth orbit. The modeled electron fluxes are provided as a function of  $L$ -shell, altitude, energy, and two different indices of past substorm activity, computed over the preceding 4 hr or 3 days, potentially allowing to disentangle impulsive processes (such as rapid injections) from cumulative processes (such as inward radial diffusion and wave-driven energization). The model is validated through comparisons with equatorial measurements from the Van Allen Probes, demonstrating the broad applicability of the present method. The model indicates that both impulsive and time-integrated substorm activity partly control electron fluxes in the outer radiation belt and in the plasma sheet.

### 1. Introduction

The high variability of electron fluxes trapped along geomagnetic field lines in the Earth's inner magnetosphere has been a focus of intense research since the discovery of the radiation belts, both to improve our fundamental understanding of the space environment and as a practical necessity to mitigate space weather hazards for satellites (Li & Hudson, 2019; Zheng et al., 2019). Energetic electron fluxes in the outer radiation belt (at McIlwain shells  $L \geq 3 - 4$ ) vary both spatially and temporally, exhibiting steep increases after prolonged periods of high substorm activity corresponding to plasma sheet injections accompanied by wave-driven electron energization (Hua et al., 2022; Mourenas et al., 2019, 2023), as well as sudden dropouts mainly caused by solar wind dynamic pressure impulses and magnetopause shadowing (Boynton et al., 2017; Shprits et al., 2006). Electron precipitation through resonant interactions with whistler-mode waves or electromagnetic ion cyclotron (EMIC) waves can lead to fast losses (Mourenas et al., 2017; Ross et al., 2021), while electron energization by whistler-mode chorus waves, or through radial transport by ULF waves, can increase trapped fluxes by orders of magnitude over a typical time scale of a few days (Horne et al., 2005; Mourenas et al., 2023; Ozeke et al., 2014; Thorne et al., 2013). Flux variations with magnetic local time (MLT) can also be significant, depending on geomagnetic activity (Allison et al., 2017; Meredith et al., 2016).

The balance between electron flux injections and losses depends on a number of factors, such as the level of substorm activity, the solar wind speed and dynamic pressure and the local plasma density, which can all modify the strength of the different physical processes at work. Such physical processes also affect the equatorial pitch-angle distribution of electrons and the corresponding flux distribution along magnetic field lines (Kennel & Petschek, 1966; Li et al., 2013; Mourenas et al., 2014). Therefore, developing a model of the distribution of omnidirectional electron fluxes along fixed geomagnetic field lines as a function of  $L$ , MLT, and substorm activity can be useful for assessing the dominant physical processes at a given time and location.

Besides, internal charging represents a major hazard for satellites (Chen et al., 2021; Zheng et al., 2019). It is caused by high fluxes of energetic to relativistic ( $>200$  keV) electrons. The total dose of such radiation can be used to estimate the charge deposition inside spacecraft electronic components and the probability of electrostatic discharges in dielectrics. Increases in lower energy  $\sim 1 - 200$  keV electron fluxes can similarly lead to satellite surface charging, potentially resulting in electrostatic discharges that may damage solar array panels (Zheng et al., 2019). Therefore, it is crucial for spacecraft designers to estimate the total radiation dose expected during a satellite lifetime (Zheng et al., 2019). Spacecraft operators also need predictive (or probabilistic) models with a capability to forecast periods of particularly elevated electron fluxes, which may allow mitigating the impact of space weather hazards—for instance, by temporarily shutting down satellite operations.

While various past models of radiation belt omnidirectional electron fluxes (e.g., see Boynton et al., 2016; Simms et al., 2023, and references therein) have focused on geosynchronous orbit (GEO), the total ionizing dose risks for satellites in Low Earth Orbit (LEO), Highly Elliptical Orbit (HEO), and Medium Earth Orbit (MEO), are somewhat less well known. Electron fluxes have been found to vary coherently from LEO to higher altitudes on the same  $L$ -shell at  $L \leq 7$  (Kanekal et al., 2001; Shane et al., 2023). Building on this coherency, various machine learning models have recently been developed to nowcast or forecast the omnidirectional electron flux at LEO, HEO, MEO, and GEO, based on conjugate measurements of electron fluxes by LEO or Global Positioning System spacecraft and several solar wind or geomagnetic indices (Boyd et al., 2023; Pires de Lima et al., 2020; Smirnov et al., 2020). Other recent models provide deterministic or probabilistic forecasts of the omnidirectional electron flux at different orbits, based only on past solar wind or geomagnetic indices, the expected future level of such indices, or sequences of time-integrated values of past geomagnetic indices (Boynton et al., 2019; D. Ma et al., 2022; Mourenas, Agapitov, et al., 2022; Simms et al., 2023).

In the present work, the full data set of electron fluxes measured by Electron Loss and Fields Investigation (ELFIN) CubeSats (Angelopoulos et al., 2020) at low altitude ( $\sim 450$  km) in 2020–2022 is used to develop a model of omnidirectional 60–1500 keV electron fluxes, at altitudes varying from 150 to 20,000 km along  $L$ -shells ranging from  $L = 1.5$  to  $L = 10$ , as a function of past substorm activity. Making use of a novel method, building on adiabatic transport theory and quasi-linear diffusion theory, we provide a self-consistent model of omnidirectional electron flux, solely based on measurements in LEO. Problems related to conjunction and intercalibration uncertainties arising when combining data from different spacecraft on different orbits (as in most previous models) are naturally avoided, resulting in inferred electron fluxes intrinsically coherent at all altitudes along a given geomagnetic field line. Stepwise regression is used to obtain an analytical model of electron flux based on inferred fluxes. Simms et al. (2023) have shown that electron flux models obtained through stepwise regression procedures can reach roughly similar accuracy and predictive ability as neural network models, while being simpler and more portable. In the present model, average electron fluxes are provided for four different ranges of two different indices of past substorm activity, over the preceding 4 hr or over the previous 72 hr. This contrasts with most previous models, which are usually provided for one fixed set of indices and not in two separate versions for two different indices, as here.

Hereafter, Section 2 describes the methodology employed to infer omnidirectional electron fluxes at various altitudes along a given geomagnetic field line from ELFIN measurements of pitch-angle resolved electron fluxes at 450 km altitude. The stepwise optimization procedure and the resulting analytical model of omnidirectional electron flux are described in Section 3. In Section 4, the model is validated by comparisons with other spacecraft measurements near the magnetic equator, and several physical implications of the results are discussed.

## 2. Methods and Data

### 2.1. ELFIN Data Set

In the following, ELFIN CubeSats (referred to respectively as ELFIN-A and ELFIN-B) measurements of 60–1500 keV precipitating, trapped (or quasi-trapped), and backscattered electron fluxes (Angelopoulos et al., 2020) at 400–450 km altitude are used to develop a novel model of omnidirectional electron fluxes at all altitudes along each geomagnetic field line, down to 150 km altitude below ELFIN and up to  $\sim 20,000$  km altitude above it, making use of adiabatic transport theory and quasi-linear diffusion theory. The two CubeSats were launched in a nearly polar circular orbit of 90 min period. They have provided energy and pitch-angle resolved measurements of electron fluxes during the spacecraft spin period of 2.85 s, from 2019 to 2022. The energy resolution is  $\Delta E/E \sim 40\%$  and the resolution in local pitch-angle  $\alpha$  is  $\Delta\alpha \sim 22.5^\circ$  from  $\alpha = 0^\circ$  to  $\alpha = 360^\circ$ . This

data set has been used in various recent studies to investigate wave-particle interactions and their effects on electron fluxes and has been described extensively in previous papers (e.g., see Angelopoulos et al., 2023; Mourenas et al., 2021, 2023; Zhang, Artemyev, et al., 2022).

In the present study, the 2020–2022 data sets of ELFIN A and B are used, representing respectively 5,200 and 4,100 separate time periods (each period is one ELFIN orbit or so-called *science zone*; see Tsai et al., 2024) with available data, with a mean duration of 8 min. D. Ma et al. (2022) have shown that 50–900 keV electron fluxes at  $L = 2.6 – 6.0$  are better correlated with substorm activity (through  $AE$  or  $AL$  indices) than with  $SYM - H$ , solar wind dynamic pressure  $P_{dyn}$ , or solar wind speed  $V_{sw}$ , although additional correlations exist with these other parameters. Note that in the present study, geomagnetic or substorm activity can be taken into account only at  $L > 3.5$ , due to more sparse ELFIN data at lower  $L < 3.5$ . At  $L = 4 – 6.6$ , several studies have also shown that maximum or average 0.12–2 MeV electron fluxes are better correlated with maximum or time-integrated  $AE$  or  $AL$  than with time-integrated  $SYM - H$ ,  $Dst$ , or  $ap$  (related to  $Kp$ ), or minimum  $Dst$ , or instantaneous  $Kp$  (Hua et al., 2022; Mourenas et al., 2019; Smirnov et al., 2020). Substorm-time electron injections at all  $L$ -shells can also be taken into account using  $AE$  or  $AL$  indices (Gabrielse et al., 2019; Tang et al., 2016). Based on these previous results, and for the sake of simplicity, we decided to use in the present work a single parameter, substorm activity, quantified by  $AE$ . The main goal of present study is indeed to provide a simple, practical analytical flux model, inferred from measurements at LEO using a new method, and to demonstrate the validity of this novel method. Our new flux model could probably be improved by additionally taking  $SYM - H$  or  $P_{dyn}$  into account, but this would make it significantly more complex, and this is left for future work.

Two different parameters,  $AE^*$  and  $AE^{**}$ , are used to quantify substorm activity. The  $AE^*$  index (in nT) is defined as the mean value of the  $AE$  index during the previous 4 hr, allowing to roughly take into account the time it takes for  $\sim 10 – 100$  keV plasma sheet electrons to drift azimuthally from the midnight sector where they are injected to all other MLTs around the Earth (Meredith et al., 2004; Schulz & Lanzerotti, 1974), so that these electrons can locally generate whistler-mode waves at all MLTs and provide a seed electron population that can be accelerated to higher energies. Hereafter, the SuperMAG *SME* index is employed as a fair proxy for the  $AE$  index (Gjerloev, 2012). The  $AE^{**}$  index (in nT·hr), defined as the time-integrated  $AE$  (or *SME*) during the preceding 72 hr, is used to take into account the peculiar effects of high time-integrated substorm activity (i.e., of prolonged injections, ULF wave-driven radial diffusion and electron energization, and chorus wave-driven electron acceleration), which are known to produce the highest omnidirectional electron fluxes in the outer radiation belt (Hua et al., 2022; Mourenas, Agapitov, et al., 2022; Mourenas et al., 2019). These two different parameters,  $AE^*$  and  $AE^{**}$ , can therefore be used to separate the effects of rapid physical processes from those of prolonged cumulative processes in electron flux variations.

## 2.2. Omnidirectional Electron Flux at Altitudes Lower Than ELFIN

First, ELFIN measurements at an altitude  $h_0 \sim 450$  km of pitch-angle resolved (i.e., directional) differential electron fluxes  $J(h_0, \alpha_{h_0}, E)$ , in units of  $e/cm^2/s/sr/MeV$ , with  $\alpha_{h_0}$  the local pitch-angle, are used to infer omnidirectional fluxes  $J_{omni}(h, E)$  at  $h \leq h_0$ , down to  $h = 150$  km below the spacecraft. In this study, we use the approximation of a conserved first adiabatic invariant after averaging over electron gyro-rotation (i.e., the guiding center approximation), and we also use (further below) the usual theoretical formulation of the electron bounce period, under the assumption of a slowly varying background geomagnetic field compared with both the electron gyroperiod and its bounce period (Schulz & Lanzerotti, 1974). The validity of these approximations was checked numerically, showing that errors remain less than  $\sim 1\%$  for  $L \lesssim 6$  and  $E < 5$  MeV (Soni et al., 2020, 2021), which is largely sufficient for the present purposes. For equipotential magnetic field lines and slow variations of the near-Earth geomagnetic field compared to an electron gyroperiod, the conservation of the number and energy of electrons and of the magnetic flux and first adiabatic invariant between  $h_0$  and  $h$  along the same field line leads to the conservation of the gyrotrropic unidirectional flux,  $J(h, \alpha_h(\alpha_{h_0}), E) = J(h_0, \alpha_{h_0}, E)$  (Roederer, 1970; Schulz & Lanzerotti, 1974; Walt, 1994). The omnidirectional differential electron flux (in  $e/cm^2/s/MeV$ ) at  $h_0$  is given by

$$J_{omni}(h_0, E) = 2\pi \int_0^\pi J(h_0, \alpha_{h_0}, E) \sin \alpha_{h_0} d\alpha_{h_0}. \quad (1)$$

For the sake of simplicity, we hereafter use an eccentric (off-centered) and inclined dipolar external geomagnetic field model (e.g., see Koochak & Fraser-Smith, 2017), which is a reasonable approximation to the actual geomagnetic field for  $h \in [150; 20,000]$  km, together with the International Geomagnetic Reference Field (IGRF; see Thébault et al., 2015) magnetic latitude (MLAT) of the spacecraft provided in the ELFIN data set. The downward part of the omnidirectional flux,  $J_{\text{omni}}^{\text{down}}$ , at an altitude  $h < h_0$  on the same field line as ELFIN can then be written as

$$J_{\text{omni}}^{\text{down}}(h, E) = 2\pi \int_0^{\alpha_{\text{max},0}} J(h, \alpha_h(\alpha_{h_0}), E) \sin \alpha_h(\alpha_{h_0}) \frac{\partial \alpha_h}{\partial \alpha_{h_0}} d\alpha_{h_0}, \quad (2)$$

where  $\alpha_{\text{max},0}$ , the local pitch-angle at  $h_0$ , corresponds to a local pitch-angle  $\alpha_h = \pi/2$  at  $h$  (Ni et al., 2009). Conservation of the first adiabatic invariant yields  $\sin^2 \alpha_h / \sin^2 \alpha_{h_0} = B(h)/B(h_0)$ , with  $B(h)$  the geomagnetic field strength (Roederer, 1970; Schulz & Lanzerotti, 1974), giving  $\sin \alpha_{h_0} \leq \sin \alpha_h$  for  $h \leq h_0$ . Therefore,  $J_{\text{omni}}(h, E)$  at  $h \leq h_0$  is fully determined by  $J(h_0, \alpha_{h_0}, E)$  at  $h_0$  together with conservation of unidirectional flux, energy, and first adiabatic invariant. Since  $\partial \alpha_h / \partial \alpha_{h_0} = B(h) \sin \alpha_{h_0} \cos \alpha_{h_0} / (B(h_0) \sin \alpha_h \cos \alpha_h)$ , this finally gives:

$$J_{\text{omni}}^{\text{down}}(h, E) = 2\pi \frac{B(h)}{B(h_0)} \int_0^{\alpha_{\text{max},0}} J(h_0, \alpha_{h_0}, E) \frac{\sin \alpha_{h_0} \cos \alpha_{h_0}}{\sqrt{1 - \frac{B(h)}{B(h_0)} \sin^2 \alpha_{h_0}}} d\alpha_{h_0}, \quad (3)$$

where  $J(h_0, \alpha_{h_0}, E)$  is the directional differential flux inferred, by cubic spline interpolation, from the fluxes measured by ELFIN on different pitch-angle intervals ( $B(h)/B(h_0)$  is evaluated for an inclined eccentric dipolar geomagnetic field). The integral in Equation 3 is calculated using the QUAD package from the Scientific Python (SciPy) library, which allows to smoothly take into account a singularity at  $\alpha_h = \pi/2$ . The upward omnidirectional flux  $J_{\text{omni}}^{\text{up}}(h, E)$  is similarly obtained from the (upward) directional flux at  $\alpha_{h_0} \in [\pi/2, \pi]$  measured by ELFIN, and the total omnidirectional electron flux is  $J_{\text{omni}} = J_{\text{omni}}^{\text{down}} + J_{\text{omni}}^{\text{up}}$ .

### 2.3. Omnidirectional Electron Flux at Altitudes Higher Than ELFIN

In several previous works, equatorial electron fluxes at high altitudes have been inferred from low-altitude flux measurements based on the observed high correlations between conjugate low-altitude and high-altitude fluxes, or else by using statistical pitch-angle distributions from the Van Allen Probes (Allison et al., 2018; Boyd et al., 2023; Pires de Lima et al., 2020). In the present study, we adopt a different approach. At altitudes  $h \geq h_0$ , the part of the omnidirectional flux at  $\alpha_h \in [0, \alpha_{h\text{AL}}]$  and at  $\alpha_h \in [\pi - \alpha_{h\text{AL}}, \pi]$ , with  $\alpha_{h\text{AL}}$  the adiabatic limit at  $h$  corresponding to  $\alpha_{h_0} = \pi/2$  on the same field line, can still be directly inferred from ELFIN measurements using adiabatic transport theory, as in Section 2.2. However, this part of  $J_{\text{omni}}(h, E)$  becomes smaller and smaller at higher altitudes because  $\alpha_{h\text{AL}}$  decreases as  $h$  increases above  $h_0$ .

We assume that a quasi-equilibrium pitch-angle electron distribution has been reached after hours to days of wave-particle interactions. This requires a roughly steady level of MLT-averaged wave power over the several hours (for high  $AE^*$  or  $AE^{**}$ ) to 24 hr (for low  $AE^*$  or  $AE^{**}$ ) preceding ELFIN measurements on a given  $L$ -shell. Previous works have shown that this condition is usually satisfied during quiet and moderately disturbed periods (Mourenas et al., 2021; Shane et al., 2023). Statistical wave models further indicate that the wave power is well correlated with the  $AE$  level, implying that periods of high  $AE^*$  or  $AE^{**}$  (corresponding to 4-hr to 3-day periods of high  $AE$  preceding ELFIN measurements) should also correspond in general to a high and roughly steady level of MLT-averaged wave power over the several hours to days preceding ELFIN measurements (Agapitov et al., 2019; Meredith et al., 2007). In this case, we can use quasi-linear diffusion theory (Kennel & Petschek, 1966; Li et al., 2013) to infer high-altitude fluxes at local pitch-angles  $\alpha_h \in [\alpha_{h\text{AL}}, \pi - \alpha_{h\text{AL}}]$  from low-altitude fluxes measured by ELFIN, on the same geomagnetic field line. This is achieved with the help of simultaneous ELFIN measurements of the net precipitating to trapped flux ratio,  $J_{\text{prec}}/J_{\text{trap}}$ . As in previous works, the net precipitating flux,  $J_{\text{prec}}$ , directly precipitated by wave-particle interactions, is defined as the measured precipitating flux averaged inside the local bounce loss cone, minus the average upward flux  $J_{\text{up}}$  backscattered inside the same bounce loss cone, where  $J_{\text{up}}$  is used as a proxy for the flux backscattered by the atmosphere from

the opposite hemisphere on the same field line over times long compared to a bounce period (Mourenas et al., 2021, 2023). This estimate of the net precipitating to trapped flux ratio  $J_{prec}/J_{trap}$  relies on the assumption that a majority of backscattered electrons should remain within the same energy bin of width  $\Delta E/E \approx 40\%$  (in agreement with simulations, see Marshall & Bortnik, 2018; Selesnick et al., 2004) and also assumes a symmetric system about the magnetic equator. But since random errors should partly cancel out after averaging the inferred high altitude fluxes over many measurements at various locations, the estimated time-averaged high altitude flux should remain approximately correct in the presence of small deviations from symmetry.

At  $L \sim 1.5 - 10$  in the inner magnetosphere and near-Earth plasma sheet, in the presence of typical populations of incoherent whistler-mode waves or of mostly short and intense whistler-mode wave packets with random frequency and phase jumps (Gao et al., 2022; He et al., 2021; Mourenas, Zhang, et al., 2022; Zhang, Agapitov, et al., 2020; Zhang, Mourenas, et al., 2020), the quasi-linear diffusion theory is expected to remain approximately valid (Artemyev et al., 2022; Mourenas et al., 2021; Mourenas, Zhang, et al., 2022; Zhang, Agapitov, et al., 2020). Quasi-linear theory probably also holds in the presence of other types of waves with similar characteristics, such as EMIC waves (Angelopoulos et al., 2023; Remya et al., 2017).

Quasi-linear diffusion theory (Kennel & Petschek, 1966) provides an approximate relationship between the effective pitch-angle diffusion rate  $D_{aa}$  of electrons at the loss cone angle and the average net precipitating to trapped flux ratio  $J_{prec}/J_{trap}$  measured at ELFIN CubeSats (Mourenas et al., 2023, 2024):

$$z_0 \simeq \left( 10^4 + 260 \frac{J_{trap}}{J_{prec}} \right)^{1/2} - 100, \quad (4)$$

with a moderate error  $<25\%$  for  $J_{prec}/J_{trap} < 0.85$ ,  $z_0 = 2\alpha_{eq,LC}/(D_{aa}\tau_B)^{1/2}$ ,  $\tau_B(E,L)$  the electron bounce period, and where  $D_{aa}(E,L)$  is calculated at the equatorial loss cone angle  $\alpha_{eq,LC}$ , which corresponds to a local  $\alpha = \pi/2$  at  $h = 100$  km (Schulz & Lanzerotti, 1974). The average quasi-equilibrium directional electron flux at  $\alpha_h > \alpha_{hAL}$  is given by quasi-linear theory (Kennel & Petschek, 1966; Mourenas et al., 2024):

$$\frac{J(h, \alpha_h, E)}{J(h, \alpha_{hAL}, E)} \approx \frac{1 + z_0 \frac{I_1(z_0)}{I_0(z_0)} \ln\left(\frac{\sin \alpha_{eq,h}}{\sin \alpha_{eq,LC}}\right)}{1 + z_0 \frac{I_1(z_0)}{I_0(z_0)} \ln\left(\frac{\sin \alpha_{eq,hAL}}{\sin \alpha_{eq,LC}}\right)}, \quad (5)$$

where  $I_x$  is the modified Bessel function of the first kind, and  $\alpha_{eq,h}$  and  $\alpha_{eq,hAL}$  are the equatorial pitch-angles corresponding to  $\alpha_h$  and  $\alpha_{hAL}$ , respectively. Using Equations 4 and 5, the directional electron flux at  $\alpha_h \in [\alpha_{hAL}, \pi/2]$  can be inferred from ELFIN measurements of  $J_{prec}/J_{trap}$  and  $J(h_0, \alpha_{h_0} = \pi/2, E) = J(h, \alpha_{hAL}, E)$ . We also assume that  $J(h, \pi - \alpha_h, E) \approx J(h, \alpha_h, E)$  to first order for  $\alpha_h \in [\pi/2, \pi - \alpha_{hAL}]$ . This assumption is justified for a roughly symmetric system about the magnetic equator, especially for  $J_{omni}$  since integrating over all pitch-angles reduces the average relative error. The total omnidirectional flux  $J_{omni}(h, E)$  is finally obtained by summing two parts: a first, adiabatic part at  $\alpha_h < \alpha_{hAL}$  and  $\alpha_h > \pi - \alpha_{hAL}$  calculated as in Section 2.2, and a second part calculated over the remaining  $\alpha_h$  range by integrating as in Equation 1 the directional fluxes obtained from Equation 5.

Note that the above method is valid only when  $J_{prec}/J_{trap} < 0.85$ , that is, in a regime of weak diffusion. When  $J_{prec}/J_{trap} > 0.85$ , we enter a regime of strong diffusion, where  $z_0 \simeq 1$  (Kennel, 1969). Equation 5 indicates that for  $z_0 = 1$ ,  $J(h, \alpha_h, E)$  increases only very weakly as  $\alpha_h$  increases. In the strong diffusion regime, the actual  $D_{aa}$  can even exceed the theoretical level corresponding to  $z_0 = 1$ , leading to a constant  $J(h, \alpha_h, E)$  at  $\alpha_h > \alpha_{hAL}$ . When  $J_{prec}/J_{trap} > 0.85$ , it is therefore reasonable to use the simple approximation  $J(h, \alpha_h, E) \approx J(h, \alpha_{hAL}, E)$  for  $\alpha_h > \alpha_{hAL}$ , with a corresponding error on  $J(h, \alpha_h, E)$  usually much smaller than a factor of 2.

## 2.4. Expected Validity Domain

Some limitations of the present method for inferring fluxes at  $h > h_0$  are worth mentioning. Equation 5 has been derived by assuming, as in the original work by Kennel and Petschek (1966), that  $D_{aa}$  is varying with  $\alpha_{eq}$  roughly like  $\approx 1/\cos \alpha_{eq}$  at  $\alpha_{eq} < 80^\circ - 90^\circ$ . Analytical estimates, validated by numerical simulations, have shown that for

quasi-parallel whistler-mode waves, the actual variation of  $D_{aa}$  with  $\alpha_{eq}$  is usually closer to  $\approx 1/\cos^2\alpha_{eq}$  above  $\sim 100$  keV (Agapitov et al., 2018; Artemyev, Mourenas, et al., 2013; Li et al., 2015; Mourenas et al., 2012). However, depending on wave power and frequency distributions and plasma density, the variation of  $D_{aa}$  may sometimes become similar to  $\approx \cos\alpha_{eq}$ , especially at  $L < 3.5$  and low energy (Green et al., 2020; Li et al., 2015; Q. Ma et al., 2017, 2022). For these two alternative variations of  $D_{aa}$  with  $\alpha_{eq}$ , all terms of the form  $\ln(\sin\alpha_{eq})$  in Equation 5 have to be replaced, in the first case by  $\cos\alpha_{eq} + \ln(\tan(\alpha_{eq}/2))$ , and in the second case by  $\ln(\tan\alpha_{eq})$ . In a dipolar geomagnetic field at  $L > 1.5$ ,  $J_{omni}(h, E)$  values inferred using Equation 5 at  $\alpha_{eq} < 80^\circ - 85^\circ$  (assuming the same variation of  $D_{aa}$  with  $\alpha_{eq}$  as Kennel & Petschek, 1966) remain within a factor of  $\approx 1.5 - 2$  from  $J_{omni}(h, E)$  values inferred using the above-discussed two alternative variations of  $D_{aa}$  with  $\alpha_{eq}$ , indicating the reliability of Equation 5.

However, the eccentric dipole approximation to the actual geomagnetic field remains reasonable only up to  $h \approx 20,000$  km during disturbed periods (e.g., see Berube et al., 2006; Ganushkina et al., 2002; Roederer & Lejosne, 2018). This suggests that the accuracy of the  $J_{omni}(h, E)$  model should be ensured only for

$$h < h_{\max} \approx 20,000 \text{ km.} \quad (6)$$

This means that the present  $J_{omni}(h, E)$  model should remain approximately valid at the magnetic equator only up to  $L \approx 4.2$ , whereas at  $L > 4.5$  it should remain approximately valid only sufficiently far from the magnetic equator, corresponding to altitudes  $h < h_{\max} \approx 20,000$  km. Note also that the present model is valid only on closed magnetic field lines, where electrons remain stably trapped. Based on numerical calculations of the last closed magnetic field lines (Olifer et al., 2018; Roederer & Lejosne, 2018), the model should remain valid at all MLTs up to  $L \approx 9 - 10$  when  $Kp \leq 4$ , a condition roughly equivalent to  $AE \leq 700$  nT (Rostoker, 1991), while during strong geomagnetic storms and substorms with  $Kp > 4$  the model should still remain valid at all MLTs up to at least  $L \approx 6 - 7$  at times when  $Dst > -100$  nT. In 2020–2022,  $Dst$  always remained higher than  $-100$  nT, except for one storm with a minimum  $Dst$  of  $-105$  nT.

The maximum altitude  $h_{\max}$  corresponds to maximum equatorial pitch-angles  $\alpha_{eq,\max} \sim \sin^{-1}\left((1 + h_{\max}/R_E)^{3/2}/(L^{3/2}(4 - 3(1 + h_{\max}/R_E)/L)^{1/4})\right)$  for the applicability of the present method, giving  $\alpha_{eq,\max} \lesssim 70^\circ, 45^\circ$ , and  $25^\circ$  at  $L > 4, 5$  and  $6$ , respectively.

In addition, the variation of  $D_{aa}$  with  $\alpha_{eq}$  can sometimes be more complex than the above-discussed simple scaling laws. But taking into account all waves (and Coulomb collisions) at  $L = 1.5 - 6$  within the plasmasphere or in a plasmaspheric plume,  $D_{aa}$  should usually not decrease by much more than a factor of  $\sim \tan\alpha_{eq,LC}/\tan\alpha_{eq}$  as  $\alpha_{eq}$  increases from  $\alpha_{eq,LC}$  to  $\alpha_{eq,\max} = \alpha_{eq}(h_{\max})$  for  $0.1 - 1.5$  MeV electrons (Angelopoulos et al., 2023; Green et al., 2020; Li et al., 2015; Q. Ma et al., 2017, 2022; X. Shi et al., 2024; Wong et al., 2022). Then, the inferred  $J_{omni}(h, E)$  should remain within a factor of  $\approx 2$  from the actual  $J_{omni}(h, E)$  at  $h < h_{\max}$ .

Outside the plasmasphere, chorus wave-driven energy diffusion can compete with pitch-angle diffusion (Horne et al., 2005; Summers et al., 1998), but Van Allen Probes observations show that this should not significantly modify the increase of  $J(\alpha_{eq})$  with  $\alpha_{eq}$  up to at least  $\alpha_{eq} \approx 50^\circ$  for  $E < 1.5$  MeV (Li et al., 2014). At  $L \sim 6 - 10$ , magnetic field line curvature scattering (Young et al., 2002) still leads to an increase of  $D_{aa}$  up to  $\alpha_{eq,\max} = \alpha_{eq}(h_{\max})$  (Artemyev, Orlova, et al., 2013), and drift shell splitting should not strongly modify  $J(\alpha_{eq})$  below  $\alpha_{eq}(h_{\max})$  (Selesnick & Blake, 2002), which should keep the inferred  $J_{omni}(h, E)$  within a factor of  $\sim 1.5$  from the actual  $J_{omni}(h, E)$  at  $h < h_{\max}$ .

Finally, it is worth emphasizing that our model of omnidirectional electron flux is based on time-averaged inferred fluxes  $J_{omni}$ , averaged inside each parameter bin over at least 25 (and often much more) ELPIN measurements performed at different times. Random errors on individual inferred  $J_{omni}$  values will partly cancel each other out. Therefore, the average inferred  $J_{omni}(h < h_{\max}, E)$  is expected to remain less than a factor of  $\sim 1.5$  (at  $L > 3.5$ ) to  $\sim 2$  (at  $L < 3.5$ ) from the actual average  $J_{omni}(h, E)$ . The error is expected to be largest when the assumption that  $D_{aa}$  does not decrease by more than a factor of  $\sim \tan\alpha_{eq,LC}/\tan\alpha_{eq}$  as  $\alpha_{eq}$  increases from  $\alpha_{eq,LC}$  to  $\alpha_{eq}(h_{\max})$  is not verified, which should mainly occur at  $L < 3.5$  for low energy electrons.

### 3. Model of Omnidirectional Electron Fluxes

#### 3.1. Data Selection

The electron detector onboard ELFIN Cubesats provides differential electron fluxes measured in 16 logarithmically spaced energy channels (each with a full width of  $\Delta E/E \sim 40\%$ ) whose central values extend from 60 keV to 6.5 MeV (Angelopoulos et al., 2020). Over a spacecraft spin period of 2.85 s, an ELFIN CubeSat provides two complete electron flux measurements of the entire 180° local pitch-angle distribution, with a  $\sim 22.5^\circ$  resolution, resolving quasi-trapped, precipitating, and upward-moving electrons backscattered by the atmosphere (Angelopoulos et al., 2020).

Before computing  $J_{\text{omni}}$ , a strict data screening procedure is used in order to only keep the most reliable electron flux data:

- first, if  $J(\alpha_{h_0}) < 100 \text{ e/cm}^2/\text{s/sr/MeV}$  at a given pitch-angle, or if the associated number of counts per second is below 5 for a given channel, the measured flux is considered to be null for this channel, in order to only keep fluxes above instrument noise level (Mourenas et al., 2024). This conservative approach should only lead to a very slight underestimation of the final time-averaged omnidirectional flux, since such cases correspond to very low to null fluxes, much smaller than retained fluxes,
- at a given time, for a given energy channel, at least three pitch-angle bins must be associated with non-zero fluxes,
- if there are exactly three pitch-angle bins associated with non-zero fluxes, they must be adjacent pitch-angles (to exclude fluxes with abnormal fluctuations),
- the flux measured at the first pitch-angle just above the loss cone angle must be non-zero (to have a non-null quasi-trapped flux) and higher than the flux measured just below (opposite cases may correspond to occasional rapid fluctuations or to isolated bursts of very oblique waves leading to a fully nonlinear electron transport that cannot be described by quasi-linear theory, see Zhang, Artemyev, et al., 2022).

We then compute, for acceptable measurements, the time-averaged corresponding values of  $J_{\text{prec}}$  and  $J_{\text{trap}}$ , discarding cases for which one of these values turns out to be non-positive or not calculable. As a result, about 20% of the full 2020–2022 ELFIN data set have been retained, the overwhelming majority of data rejections being due to the presence of less than three pitch-angles with non-zero flux at a given time and at a given energy.

Finally, the omnidirectional fluxes  $J_{\text{omni}}(h, E, L)$  are inferred from ELFIN data at 18 pre-determined altitudes between  $h = 150 \text{ km}$  and  $h = 20,000 \text{ km}$  (at 150, 200, 250, 350, 450, 600, 800, 1,000, 1,200, 1,600, 2,000, 4,000, 6,000, 8,000, 11,000, 14,000, 17,000, 20,000 km), with a shorter step at lower altitudes where flux variations are stronger, and for  $E \in [0.06, 1.5] \text{ MeV}$  and  $L \in [1.5, 10]$  using the methods described in Section 2. It is worth noticing that the values of  $J_{\text{prec}}$  and  $J_{\text{trap}}$  used to compute the net precipitating to trapped flux ratio (necessary to establish the weak diffusion condition, and then considered in Equation 4 and, for  $J_{\text{trap}}$ , in the denominator of the left-hand side of Equation 5) are averaged on all positive available values over a 18-s sliding window, to provide more reliable fluxes, time-averaged over a period much longer than a bounce period (Mourenas et al., 2021), also mitigating possible time-aliasing effects (Angelopoulos et al., 2023; Zhang, Angelopoulos, et al., 2022).

We use ELFIN A data as the training subset, and ELFIN B data as a validation subset. For each subset, omnidirectional fluxes are averaged inside each parameter bin  $(AE^*, E, L, h)$  or  $(AE^{**}, E, L, h)$ . To do so,  $L$  is rounded to the nearest quarter of an integer, and  $AE^*$  and  $AE^{**}$  values are each associated to one of the four following levels of instantaneous or time-integrated substorm activity:

- quiet ( $AE_0^*$  and  $AE_0^{**}$  levels):  $AE^* < 100 \text{ nT}$  or  $AE^{**} < 10^4 \text{ nT}\cdot\text{h}$
- moderate ( $AE_1^*$  and  $AE_1^{**}$  levels):  $100 \text{ nT} < AE^* < 300 \text{ nT}$  or  $10^4 \text{ nT}\cdot\text{h} < AE^{**} < 2 \cdot 10^4 \text{ nT}\cdot\text{h}$
- active ( $AE_2^*$  and  $AE_2^{**}$  levels):  $300 \text{ nT} < AE^* < 500 \text{ nT}$  or  $2 \cdot 10^4 \text{ nT}\cdot\text{h} < AE^{**} < 3 \cdot 10^4 \text{ nT}\cdot\text{h}$
- very active ( $AE_3^*$  and  $AE_3^{**}$  levels):  $AE^* > 500 \text{ nT}$  or  $AE^{**} > 3 \cdot 10^4 \text{ nT}\cdot\text{h}$ .

We obtain that way, for each subset, a time-averaged profile of the flux as a function of altitude,  $J_{\text{omni}}(h)$ , for each  $(E, L, AE_i^*)$  and  $(E, L, AE_i^{**})$ , where  $h$  is varying from 150 km up to a maximum altitude that depends on  $L$ -shell and does not exceed 20,000 km.

We finally perform a last sorting, by:

- discarding values of  $J_{omni}(h)$  averaged over less than 25 instantaneous values (each averaged value of  $J_{omni}(h)$  considered thereafter will that way be temporally averaged over at least 36 s, since ELFIN gives two measurements of the full  $180^\circ$  pitch-angle domain per spin of 2.85 s),
- next, deleting whole  $J_{omni}(h)$  profiles in altitude with less than four values of  $J_{omni}(h)$  at  $h > h_0$  (which concretely imposes, given the set of altitudes considered, a maximum altitude  $h \geq 1,200$  km for an averaged  $J_{omni}(h)$  profile to be taken into account),
- deleting the few  $J_{omni}(h)$  profiles for which  $J_{omni}(h)$  decreases by more than 5% just above  $h_0$  (probably due to a drop in the number of  $J_{omni}(h)$  values taken into account in the calculation of the average, since  $J_{omni}(h)$  is sometimes available only at  $h \leq h_0$  due to the impossibility of applying the weak or strong diffusion approximations mentioned above),
- deleting  $J_{omni}(h)$  profiles associated to an energy higher than 1.5 MeV (such profiles are rare and the corresponding average  $J_{omni}$  values are calculated based on only few values),
- deleting the small number of average inferred  $J_{omni}(E, L, h)$  profiles with values lower than  $300 \text{ e/cm}^2/\text{s/MeV}$  at  $h = 450$  km, because they are much lower than all the others and mostly correspond to noise.

Note that ELFIN regular *science zones* (Tsai et al., 2024) mostly cover  $L \sim 3 - 12$ , whereas data from lower  $L$ -shells are much more sparse. Therefore, all the average inferred  $J_{omni}(E, L, h)$  profiles at  $L \in [1.5, 3.5]$ , much rarer than at  $L \in [3.5, 10]$ , are regrouped in one set independently of the *AE* level. This procedure, necessary to obtain reliable values for all  $(E, L)$  pairs, is justified by the weaker variation of time-averaged  $0.06 - 1.5$  MeV electron fluxes with geomagnetic activity at  $L \leq 3$  than at  $L > 3.5$  (e.g., see Mourenas et al., 2017; Reeves et al., 2016). As a result, however, the model includes no dependence on substorm activity at  $L \in [1.5, 3.5]$ .

We finally get, for each substorm activity indicator, a training data set, derived from ELFIN A measurements, and a validation data set, derived from ELFIN B measurements, consisting each of 1,200  $J_{omni}(h)$  profiles for both  $AE^*$  and  $AE^{**}$ .

### 3.2. Multivariate Optimization Analysis of Electron Flux Variations

In this section, multivariate optimization analysis (Gill et al., 2020) is used for specifying a model of omnidirectional electron flux  $J_{omni}(h, E, L)$  as a function of altitude ( $h$ ), energy ( $E$ ), and  $L$ , for the four aforementioned levels of preceding substorm activity (defined by  $AE^*$  or  $AE^{**}$  parameters), based on  $J_{omni}$  values inferred from ELFIN electron flux data collected in 2020–2022. We first examine  $J_{omni}(h, E, L)$  values averaged over MLT, to obtain a much larger number of data points in each parameter bin, and also because past studies have shown that the variations of electron flux with MLT usually remain moderate (a factor of  $\approx 2$  between dawn and dusk for  $AE^* < 1000$  nT, see Allison et al., 2017; Meredith et al., 2016). The electron equatorial pitch-angle distribution, formed by wave-particle interactions over many azimuthal drift periods (Schulz & Lanzerotti, 1974), also remains roughly similar at all MLTs at  $L \sim 1.5 - 6$  during not-too-disturbed periods (R. Shi et al., 2016). The MLT variations of  $J_{omni}$  will nevertheless be examined further below.

The three independent variables  $h$ ,  $E$ , and  $L$ , as well as the additional independent parameters  $AE^*$  or  $AE^{**}$ , are selected here, because it is well known that electron fluxes vary with altitude, electron energy,  $L$ -shell and substorm activity. The magnetic latitude (MLAT) could have been used as an alternative to  $h$  since  $h$  and MLAT are directly related in a dipolar field but, as our goal is to provide model fluxes at given altitudes, directly using  $h$  is more practical in this case.

The variation of the omnidirectional electron flux with altitude is taken into account by adopting the functional form

$$J_{omni}(h) = B \cdot (\ln(h + 200))^C, \quad (7)$$

where  $J_{omni}$  is hereafter in units of  $\text{e/cm}^2/\text{s/MeV}$ ,  $h$  in km, and the two variables  $B$  and  $C$  are supposed to depend on  $AE$ ,  $L$  and  $E$ . Four reasons led us to adopt the functional form given in Equation 7:

- initial visual inspection has shown that  $J_{omni}(h)$  increases slower at higher  $h$ ;
- Equation 5 implies that  $J(h > h_0, \alpha_h = \pi/2)$  depends on a logarithmic function of  $h$ ,  $\ln(\sin \alpha_{eq}(\alpha_h = \pi/2) / \sin \alpha_{eq,LC}) \approx \ln(1 + 3h/2R_E)$ ;
- a simple functional form is usually preferable to avoid overfitting;

- the various other functional forms which have been tested, like power-law functions, provided less accurate fits to the data.

The dependence on substorm activity (and its impact on flux variations with  $E$  and  $L$ ) is taken into account by determining different values of  $B$  and  $C$  for each of the four levels  $AE_i^*$  and for each of the four levels  $AE_i^{**}$  defined above.

After numerous trials, we decided to keep  $B$  constant over each separate domain of substorm activity. Next, it is important to choose an appropriate functional form for  $C(L,E)$  on the basis of theoretical and observational knowledge. First, Equation 7 implies that  $\ln(J_{omni}(h)/(J_{omni}(h_0)))$  is proportional to  $C(L,E)$ . Equation 5 shows that  $\ln(J(h,\alpha_h = \pi/2)/J(h_0,\alpha_{h_0} = \pi/2))$  increases monotonically with  $\ln(z_0)$ , but slower than  $\ln(z_0)$  at large  $z_0$ , with  $z_0 = 2\alpha_{eq,LC}(D_{aa}\tau_B)^{-1/2}$  and  $D_{aa}$  the pitch-angle diffusion rate at  $\alpha_{eq,LC}$ . Therefore,  $C(L,E)$  should increase monotonically with  $\sim |\ln(D_{aa}\gamma/(\gamma^2 - 1)^{1/2})|/2 - 2\ln(L)$ , although more slowly. The variation of  $D_{aa}$  as a function of  $L \in [1.5, 10]$  and  $E \in [0.06, 1.5]$  MeV has been provided based on statistics of whistler-mode waves (Agapitov et al., 2018; Green et al., 2020; Q. Ma et al., 2016, 2017, 2022). It shows two different variations of  $D_{aa}$  with  $E$ , increasing toward higher  $E$  at  $L < 2.5 - 3$  and decreasing toward higher  $E$  at  $L > 3.5$  (except within the plasma sheet above 0.5–1 MeV near 0 MLT, see Artemyev, Orlova, et al., 2013). Second, Equation 7 indicates that  $\ln(J_{omni})$  is proportional to  $C(L,E)$ , and spacecraft observations have shown the presence of two  $L$ -shell domains with distinct energy spectra  $J_{omni}(E)$ , at  $L \in [1.5, 3.5]$  where the flux rapidly decreases as  $E$  increases over 0.1 – 1.5 MeV, and the outer belt and near-Earth plasma sheet at  $L \approx 4 - 7$  where the flux can decrease sensibly less rapidly toward higher  $E$  (Reeves et al., 2016). The above theoretical and observational facts therefore suggest using two slightly different forms of  $C(L,E)$  in two separate  $L$ -shell domains:  $L \in [1.5, 3.5]$  (domain 0) and  $L \in [3.5, 10]$  (domain 1).

After various trials, the selected functional form of  $C(L,E)$  is:

$$C = C_0 + C(L) + C(E,L) \quad (8)$$

where:

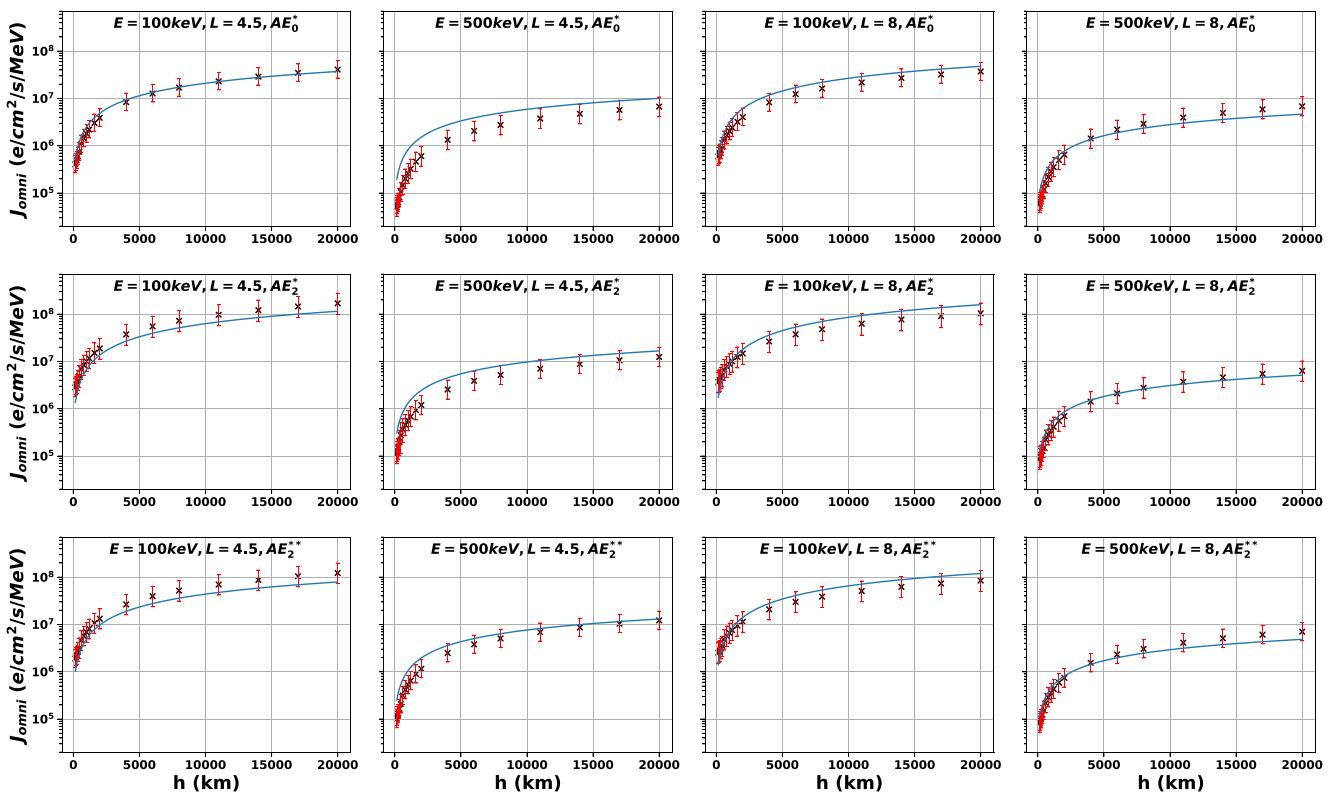
$$C(L) = C_1 \cdot L + C_2 \cdot L^2 + C_3 \cdot L^3 + \frac{C_4}{L} + \frac{C_5}{L^2}$$

and

$$C(E,L) = \begin{cases} C_6 \cdot \ln(E) + (3.5 - L) \cdot (C_8 \cdot \ln(E)^2 + C_9 \cdot \ln(E)^3) & \text{if } 1.5 < L < 3.5 \\ L \cdot \left( C_6 \sqrt{\ln(E)} + \frac{C_7}{\ln(E)} + C_8 \cdot \ln(E)^2 + C_9 \cdot \ln(E) \right) & \text{if } 3.5 < L < 10 \end{cases}$$

with  $E$  in keV and  $L$  the McIlwain magnetic shell parameter.

For each  $(AE_i^*, E, L)$  and  $(AE_i^{**}, E, L)$ , we use Powell's method (e.g., see Gill et al., 2020) on averaged  $J_{omni}(h)$  values to determine the pair  $(B, C)$  minimizing the loss function  $MEF \times EF_{90}$ , where  $MEF = \exp(M(|\ln(Q_j)|))$  is the Median Error Factor between model values and actual values of  $J_{omni}$  (where  $M$  denotes the median and  $Q_j$  values are ratios of model to actual values), and  $EF_{90}$  is the 90<sup>th</sup> percentile of the Error Factor,  $EF = \exp(|\ln(Q_j)|)$ . The MEF is equivalent to the Median Symmetric Accuracy introduced by Morley et al. (2018): it is particularly relevant for electron fluxes varying by orders of magnitude and robust to the presence of outliers and bad data (Morley et al., 2018; Zheng et al., 2019). Its meaning is simply that 50% of model fluxes are less than a factor of MEF from actual fluxes. The advantage of using the loss function  $MEF \times EF_{90}$  is to simultaneously minimize MEF and  $EF_{90}$ , thereby ensuring that both 50% and 90% of model fluxes remain as close as possible to actual fluxes. This should provide a full distribution of model fluxes close to the full distribution of actual fluxes.



**Figure 1.** Average omnidirectional electron flux  $J_{omni}$  as a function of altitude  $h$  for different energies,  $L$ -shells, and substorm activity levels, directly inferred from ELFIN A measurements (black crosses, with error bars in red) and given by the model (solid blue line).

Accordingly, for each  $(AE_i^*, E, L)$  or  $(AE_i^{**}, E, L)$  corresponding to an averaged profile  $J_{omni}(h)$  in altitude, we first use Powell's method to find an optimal value of  $B$  and  $C$ . Next, for each  $AE_i^*$  or  $AE_i^{**}$  at  $L > 3.5$  and for all data at  $L < 3.5$ , we determine the coefficient  $B$  which allows to best approach these initial  $B$  values, using the classical least squares loss function. We then repeat, for each  $(AE_i^*, E, L)$  and  $(AE_i^{**}, E, L)$ , the Powell's optimization over  $h$ , using now the value of  $B$  corresponding to the relevant domain of  $AE_i^*$  or  $AE_i^{**}$ . This gives us new optimal values of  $C$ , which we use to get coefficients  $C_m$  in Equation 8 for each  $AE_i^*$  and  $AE_i^{**}$  level at  $L > 3.5$  and for all  $AE$  at  $L < 3.5$ . The values of model coefficients  $B$  and  $C_m$  in each parameter domain are provided in Appendix A. Note that the model is trained over energies  $E \in [60, 1500]$  keV at  $L \in [1.5, 10]$  and should not be used outside of these limits. Finally, to obtain a smooth model of  $J_{omni}$  through the frontier at  $L = 3.5$  between the two  $L$  domains,  $J_{omni}(E, L)$  is interpolated between  $L = 3.25$  and  $L = 3.6$ .

Figure 1 shows various examples of average omnidirectional differential electron flux  $J_{omni}(h)$  profiles in altitude between  $h = 150$  km and  $h = 20,000$  km, either directly inferred from ELFIN A measurements (black crosses) or given by the model (blue solid line), at 100 and 500 keV and for different  $L$ -shells and substorm activity levels. The uncertainty of average inferred fluxes is the sum of the uncertainty inherent to the method (estimated as a factor of  $\sim 1.5$  at  $L > 3.5$  in Section 2) and of the normalized standard error of the mean flux (usually of the order of 10%–25%), evaluated based on the standard deviation and number of inferred fluxes. The corresponding error bars are provided (in red) in Figure 1. The difference between fluxes from the model and actual measured fluxes is usually less than a factor of  $\sim 1.5$ , although it can sometimes increase to a factor of  $\sim 3$ . One can also notice a rapid flux increase at low altitudes from 150 to 2,000 km, followed at  $h > 2000$  km by a slower increase well fitted by the model.

Several metrics are used to assess the accuracy and the forecasting ability of the model. We calculate, for the training and the validation sets, MEF,  $EF_{90}$  and the Pearson correlation coefficient  $r$  between model values of  $J_{omni}$  and values inferred from ELFIN measurements. We do this each time for the whole set and for each domain of  $(AE_i^*, L)$  and  $(AE_i^{**}, L)$  separately. We also determine MEF and  $EF_{90}$  at three altitudes,  $h = 150$  km, 2,000 km,

**Table 1**Accuracy Metrics for the  $J_{omni}(AE^*)$  and  $J_{omni}(AE^{**})$  Models

AE level	$L$ domain	$r$	MEF/ $EF_{90}$	MEF/ $EF_{90}$ (150 km)	MEF/ $EF_{90}$ (2,000 km)	MEF/ $EF_{90}$ (max. alt.)	MEF/ $EF_{90}$ (100 keV)	MEF/ $EF_{90}$ (500 keV)	MEF/ $EF_{90}$ (1.5 MeV)
All	0	0.95	1.8/3.7	2.8/5.6	1.5/2.3	1.5/3.6	2.2/4.3	1.9/3.5	2.2/6.0
$AE_0^*$	1	0.93	1.6/2.5	1.9/3.0	1.5/2.0	1.3/1.8	1.4/1.6	1.7/3.2	1.7/3.0
$AE_1^*$	1	0.92	1.3/2.2	1.5/2.6	1.2/1.7	1.4/2.5	1.2/1.5	1.4/2.5	1.8/3.1
$AE_2^*$	1	0.87	1.4/2.3	1.7/3.3	1.3/2.0	1.4/2.2	1.4/1.9	1.4/2.3	1.9/5.1
$AE_3^*$	1	0.76	1.4/2.8	1.8/3.3	1.3/2.7	1.5/2.7	1.4/2.2	1.6/3.1	1.8/4.6
$AE_0^{**}$	1	0.94	1.5/2.6	1.8/3.0	1.5/2.2	1.4/1.8	1.4/1.6	1.7/3.4	1.7/2.9
$AE_1^{**}$	1	0.85	1.3/2.6	1.6/3.1	1.3/2.2	1.4/2.9	1.3/1.7	1.6/3.1	1.8/4.2
$AE_2^{**}$	1	0.89	1.3/2.1	1.6/2.7	1.2/1.7	1.3/2.3	1.3/1.7	1.4/2.1	1.9/4.2
$AE_3^{**}$	1	0.85	1.4/2.5	1.6/3.3	1.3/2.1	1.4/2.4	1.3/1.9	1.3/2.9	1.7/3.6

and the maximum altitude  $h \leq 20,000$  km reached on the considered field line, for  $E = 100$  keV, 500 keV, and 1.5 MeV, for each  $AE_i^*$  or  $AE_i^{**}$  level.

Table 1 shows the performance of the  $J_{omni}(AE^*)$  and  $J_{omni}(AE^{**})$  models on the training data set (ELFIN A). The global accuracy metrics for both the  $AE^*$  and  $AE^{**}$  models are nearly identical, with a Pearson correlation coefficient  $r = 0.86$ , a median error factor  $MEF \sim 1.4$ , and a 90<sup>th</sup> percentile of the error factor  $EF_{90} \sim 2.5$  (equivalent to the 90% confidence interval). These metrics are usually roughly similar for the two models at all altitudes and electron energies, demonstrating the good accuracy of these models throughout the parameter domains, thanks to a large sample size within each domain.

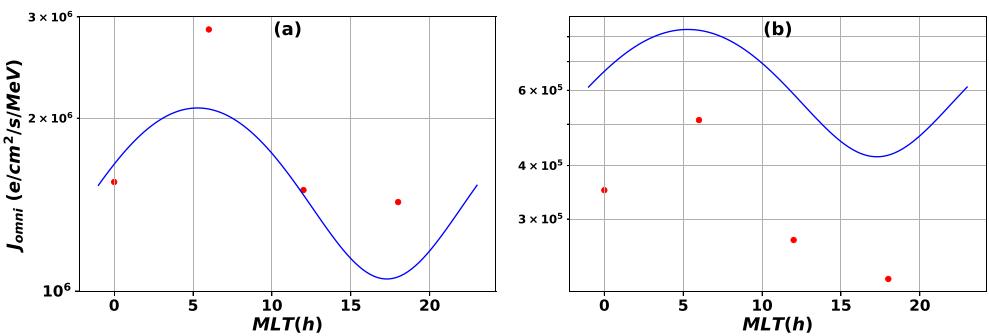
A comparison of the model with the validation data set (ELFIN B) shows a similarly good agreement, with Pearson correlation coefficients of  $r = 0.79$  and  $r = 0.83$ , global MEFs of 1.5 and 1.4, and global Error Factors  $EF_{90}$  of 3.1 and 3.0 for  $AE^*$  and  $AE^{**}$  models, respectively. Note that ELFIN A and B CubeSats often collect data from the same location (MLT sector) with a time lag of  $\sim 0.05 - 30$  minutes between the two spacecraft, which is sufficient to measure significantly different precipitating or trapped electron fluxes (Zhang et al., 2023) and justifies using ELFIN B measurements as the validation set.

As shown in many previous works, the measured electron fluxes in the Earth's outer radiation belt vary in time and space by factors of 10–10,000 and, therefore, electron flux models (and even large numerical radiation belt models) cannot be expected to remain closer than a factor of  $\approx 2 - 3$  from measured fluxes at all times and locations (Glauert et al., 2014; Pires de Lima et al., 2020; Sicard et al., 2018; Smirnov et al., 2020). Therefore, median errors of a factor of  $\sim 1.5$ , and maximum errors of a factor of  $\sim 2 - 3$  for 90% of the data, between model fluxes and actual fluxes, as obtained here, can be considered as acceptable. The accuracy of the present flux model, obtained using a novel method, is similar to the accuracy of previous flux models obtained using different methods (Glauert et al., 2014; Pires de Lima et al., 2020; Smirnov et al., 2020).

### 3.3. Omnidirectional Electron Flux Variations With MLT

Previous investigations of electron fluxes measured by Polar Operational Environmental Satellites (POES) in polar orbit at 850 km altitude found a non-negligible MLT asymmetry of trapped electron flux up to at least 300 keV at  $L \sim 3 - 9$  (Allison et al., 2017; Meredith et al., 2016), increasing with substorm activity ( $AE^*$ ), with  $\approx 2$  times higher 100–300 keV electron flux in the dawn sector than in the dusk sector at  $L = 3 - 7$  when  $AE^* < 1000$  nT and the reverse at  $L > 7$  during quiet periods (Allison et al., 2017). A dawn-dusk asymmetry of 200–350 keV electron flux by a factor of  $\approx 2$  has also been observed at  $L = 1.3$  (Selesnick et al., 2016).

Such MLT variations are partly due the MLT asymmetry of substorm electron injections from the plasmasheet and to non-dipolar components in the actual geomagnetic field. Electron injections usually occur at 0–6 MLT. During their subsequent azimuthal drift toward dusk, injected electrons are efficiently precipitated by whistler-mode waves (at  $<500$  keV) or EMIC waves (at  $>1$  MeV) into the atmosphere, or lost through magnetopause shadowing at  $L > 5$ , leading to a lower electron flux in the dusk sector. A distortion of trapped electron drift shells



**Figure 2.** (a) Model omnidirectional electron flux with included magnetic local time (MLT) modulation,  $J_{omni}(\text{MLT}) = J_{omni} \times M(\text{MLT}, K)$  at 100 keV,  $L = 5$ , and  $h = 450$  km (in blue), as a function of MLT following periods of quiet geomagnetic conditions ( $AE_1^*$ ), with corresponding fluxes  $J_{omni}(\text{MLT})$  directly inferred from ELFIN A measurements (in red). (b) Same as (a) for 300 keV electrons.

by the solar wind-driven dawn-to-dusk convection electric field (Matsui et al., 2013) and ionospheric electric fields (Califf et al., 2022; Lejosne & Mozer, 2016) can also result in a dawn-dusk asymmetry in electron fluxes, a process which may operate down to  $L = 1.3$  (Selesnick et al., 2016).

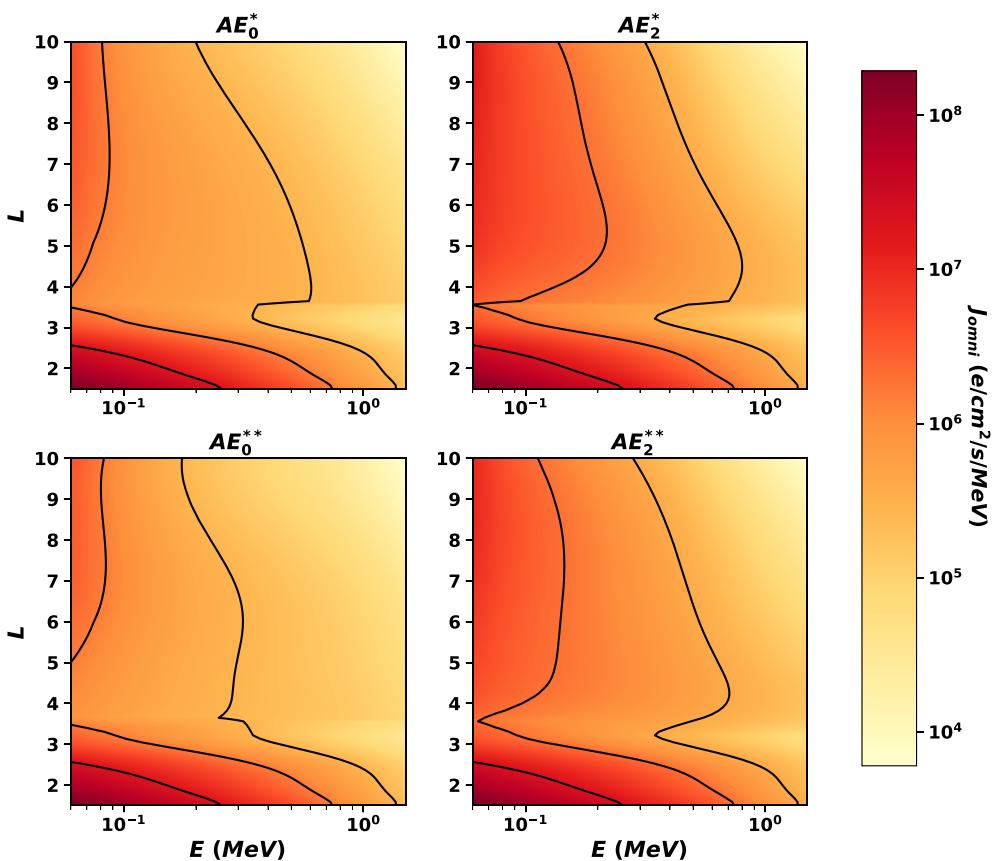
As in the above-discussed previous works, the time-averaged  $J_{omni}(h, \text{MLT})$  measured (at  $h = h_0$ ) or inferred from ELFIN data in each MLT sector exhibits a non-negligible MLT modulation at all  $E$  and  $L$ , usually by a factor of  $\approx 2$ . To approximately take this MLT modulation into account, we minimize  $J_{omni}(\text{MLT})/\langle J_{omni} \rangle - M(\text{MLT}, K)$ , where  $M(\text{MLT}, K) = 1 + 0.33 \sin(2\pi \text{MLT}/24 + K)$  and the average is performed over MLT, giving us a  $K$  value for each  $(L, AE_i^*)$  or  $(L, AE_i^{**})$  domain, provided in Appendix A. Multiplying the MLT-averaged  $J_{omni}(h)$  from the ELFIN-based model by the function  $M(\text{MLT}, K)$  allows to roughly incorporate MLT modulations. The resulting new version, with MLT modulation, of the ELFIN-based  $J_{omni}$  model is however in slightly less good agreement with MLT-averaged  $J_{omni}$  values inferred from ELFIN data than the baseline MLT-averaged model. Figure 2 shows 100 and 300 keV electron fluxes of the model with MLT modulation at  $L = 5$  and  $h = 450$  km (blue curve), compared with actual electron fluxes measured by ELFIN in different MLT sectors (red circles). Although there is a factor of  $\sim 1.4$  to  $\sim 2$  difference between the MLT-averaged flux level of the analytical model and the MLT-averaged flux level inferred from ELFIN in Figure 2, the relative increase by a factor of  $\approx 2$  of the inferred flux in the 6 MLT sector compared with the 0 MLT and 12–18 MLT sectors is relatively well reproduced by the analytical model with MLT modulation. Note that we consider only four MLT sectors, to have a sufficient amount of data points in each MLT sector.

## 4. Analysis of Model Results

### 4.1. Electron Flux Variations With Substorm Activity

Figures 3 and 4 show maps of the model omnidirectional electron flux  $J_{omni}(E, L)$  for  $L = 1.5–10$  at low and high altitudes,  $h = h_0 = 450$  km and  $h = \min(20,000 \text{ km}, (L-1) \times 6371 \text{ km})$ , respectively, following periods of quiet and disturbed geomagnetic conditions, defined by  $AE_0^*$  and  $AE_2^*$  levels (top row) or  $AE_0^{**}$  and  $AE_2^{**}$  levels (bottom row). The selected altitudes  $h \leq h_{\max}$  in Figure 4 correspond to the magnetic equator from  $L = 1.5$  to  $L = 4.15$ . Figures 3 and 4 demonstrate that the model describes well the structure of electron fluxes in the inner magnetosphere from  $L = 1.5$  up to  $L = 10$ , with a first flux peak in the inner radiation belt at  $L = 1.5–2$ , low fluxes in the slot region at  $L \approx 3–3.5$  due to hiss wave-driven electron loss (Lyons & Thorne, 1973; Mourenas et al., 2017), high 0.3–1.5 MeV electron fluxes in the outer radiation belt at  $L \approx 4–7$ , and the plasma sheet at  $L > 7$ . Note that the present model provides only time-averaged fluxes at  $L < 3.25$ , with exactly the same fluxes in left and right columns of Figures 3 and 4. This is justified by the much weaker variation of time-averaged electron fluxes with geomagnetic activity at  $L \lesssim 3$  than at  $L > 3.5$  (Mourenas et al., 2017; Reeves et al., 2016).

The average omnidirectional flux is rapidly decreasing from 60 keV to 1.5 MeV at all  $L$ -shells, but less steeply within the outer radiation belt (at  $L \approx 4–7$ ) than in the plasma sheet (at  $L > 7$ ). This is likely due to two physical processes: an adiabatic acceleration of electrons as they travel from  $L \approx 10$  to  $L \approx 4$  toward a stronger geomagnetic field (partly through inward radial diffusion by ULF waves, see Ozeke et al., 2014; Hudson

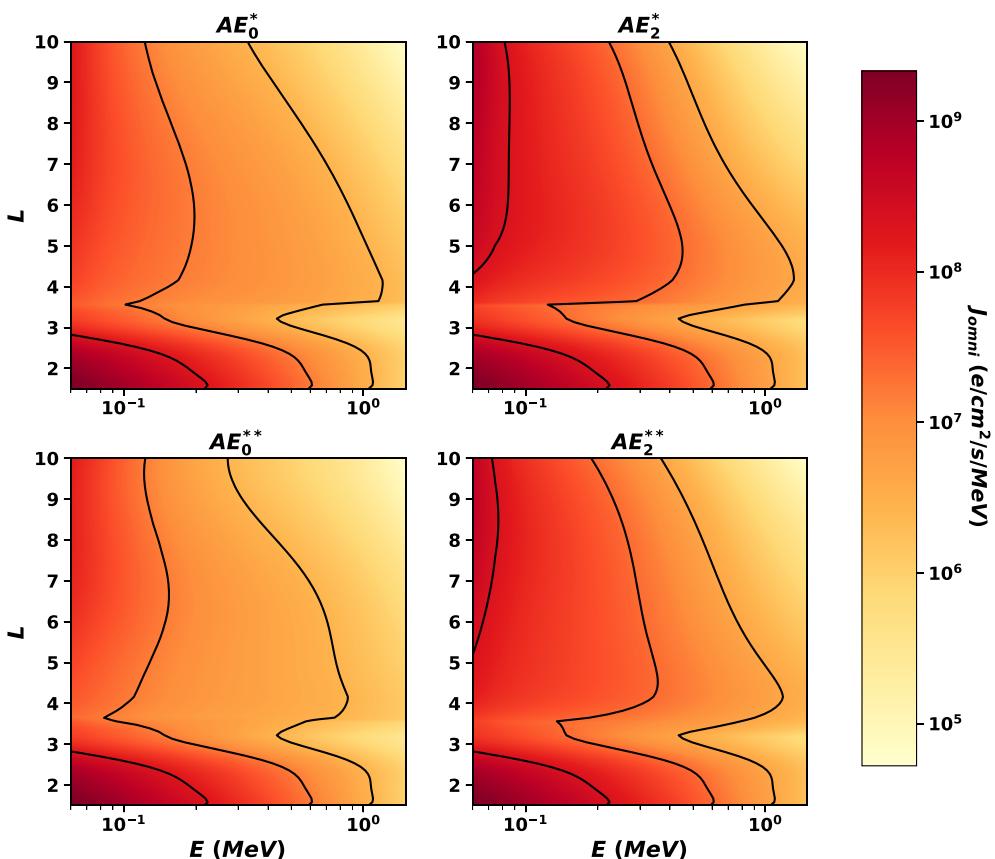


**Figure 3.** Model average omnidirectional electron flux  $J_{omni}(E, L, h)$  as a function of energy  $E$  and  $L$ -shell at the altitude  $h = h_0 = 450$  km of ELPIN A measurements, following periods of quiet (left) and disturbed (right) geomagnetic conditions, corresponding to  $AE_0^*$  and  $AE_2^*$  levels (top row) or  $AE_0^{**}$  and  $AE_2^{**}$  levels (bottom row). Black contours show 1/10, 1/100, and 1/500 of the maximum flux in the color scale on the right-hand-side.

et al., 2021), and an efficient local energization of  $\sim 100 - 500$  keV electrons by chorus waves in low plasma density regions of the outer radiation belt at  $L = 3.5 - 7$  (Agapitov et al., 2019; Horne et al., 2005; Summers et al., 1998; Thorne et al., 2013).

In the outer radiation belt, the radial ( $L$ ) position of the maximum average omnidirectional 100 – 500 keV electron flux comes closer to the Earth, down to  $L \approx 4 - 4.5$ , after periods of high substorm activity (e.g., compare black contours of flux for  $AE_0^{**}$  and  $AE_2^{**}$  levels in Figure 4). The radial position of this maximum of  $J_{omni}(L)$  after active periods is consistent with the position of the peak of chorus wave-driven electron energization inferred from statistical wave and plasma measurements, which similarly moves to lower  $L$  after disturbed periods (Agapitov et al., 2019). Equatorial measurements from the Van Allen Probes likewise show a maximum of omnidirectional 100 – 500 keV electron flux at  $L > 5 - 6$  during quiet periods, moving to  $L \approx 4 - 4.5$  during geomagnetic storms (Reeves et al., 2016).

Following periods of high impulsive or time-integrated substorm activity (corresponding to  $AE_2^*$  or  $AE_2^{**}$  levels, respectively), injections from the outer plasma sheet become more intense and both chorus and ULF wave power increase, leading to higher  $J_{omni}$  at  $L > 3.5$  than after quiet periods (corresponding to  $AE_0^*$  or  $AE_0^{**}$  levels), at all energies. In the outer radiation belt, this increase of  $J_{omni}$  is stronger at  $L \approx 4 - 5$  in Figure 4. The electron flux above 200 keV is initially low during quiet times at  $L = 4 - 10$ , but it is lower for  $AE_0^{**}$  than for  $AE_0^*$ , because electron flux measurements corresponding to  $AE_0^*$  (i.e., with a mean  $AE < 100$  nT during the past 4 hr) are sometimes associated to significant time-integrated substorm activity over the past 72 hr ( $AE_1^{**}$  or  $AE_2^{**}$  levels) that have already increased electron fluxes. Indeed, electron energization at  $L \approx 4 - 5$  is often a cumulative process, requiring many hours of elevated substorm activity (Hua et al., 2022; Mourenas, Agapitov, et al., 2022;



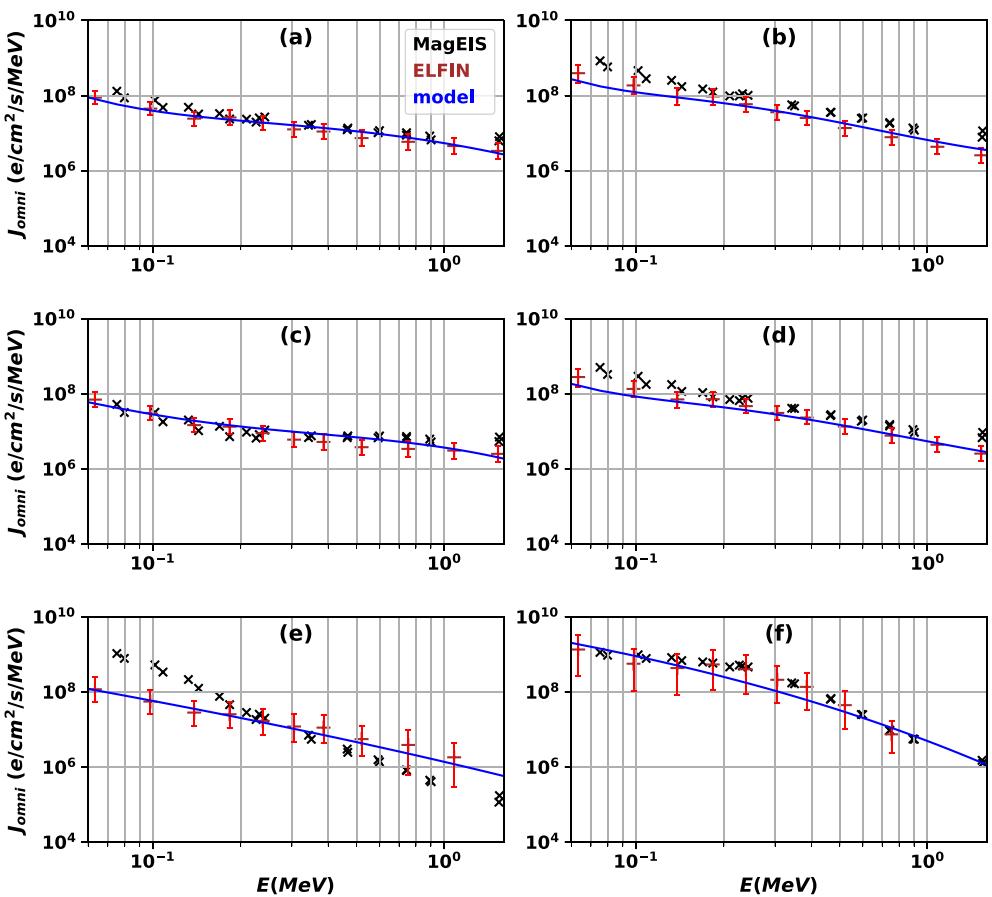
**Figure 4.** Model average omnidirectional electron flux  $J_{\text{omni}}(E, L, h)$  as a function of energy  $E$  and  $L$ -shell at  $h = \min(20,000 \text{ km}, (L - 1) \times 6371 \text{ km})$ , for quiet (left) and disturbed (right) geomagnetic conditions, corresponding to  $AE_0^*$  and  $AE_2^*$  levels (top row) or  $AE_0^{**}$  and  $AE_2^{**}$  levels (bottom row). Black contours show 1/10, 1/100, and 1/500 of the maximum flux in the color scale on the right-hand-side.

Mourenas et al., 2019; Thorne et al., 2013). Figure 4 shows that whatever the substorm activity during the preceding 4 hr ( $AE^*$ ), the level of  $J_{\text{omni}}$  will also partly depend on the level of time-integrated substorm activity over the preceding 3 days ( $AE^{**}$ ).

Therefore, Figure 4 demonstrates the important role of time-integrated substorm activity ( $AE^{**}$ ) in controlling the average omnidirectional electron flux over a very wide parameter range, from  $\sim 60 \text{ keV}$  to  $1.5 \text{ MeV}$  and from  $L = 3.5$  to  $L = 10$ . This suggests that the build-up of energetic electron fluxes often takes place over many consecutive hours in the near-Earth plasma sheet, even before reaching the outer radiation belt, probably through progressive convection and betatron acceleration within dipolarizing flux bundles at  $L \sim 9 - 15$  (e.g., see Gabrielse et al., 2017, and references therein), as well as through electron inward radial diffusion and chorus wave-driven energization closer to the Earth (Mourenas, Agapitov, et al., 2022; Ozeke et al., 2014; Simms et al., 2021; Thorne et al., 2013). Nevertheless, the significant increase of electron fluxes with  $AE^*$  in Figure 4 indicates a strong concomitant influence of impulsive events in shaping energetic and relativistic electron fluxes at  $L = 3.5 - 10$ .

#### 4.2. Comparisons With Van Allen Probes, THEMIS, and POES Data

The average  $J_{\text{omni}}$  from the present model (blue line) is compared in Figure 5 to the corresponding average flux inferred from ELFIN data (red pluses with error bars) and to the average omnidirectional electron flux measured by the Magnetic Electron Ion Spectrometer (MagEIS) aboard the Van Allen Probes (Blake et al., 2013; Claudepierre et al., 2021) in 2017–2018 less than  $10^\circ$  from the magnetic equator (black crosses). We use MagEIS data from 2017 to 2018, because this period, like the 2020–2022 period of ELFIN measurements, took place within

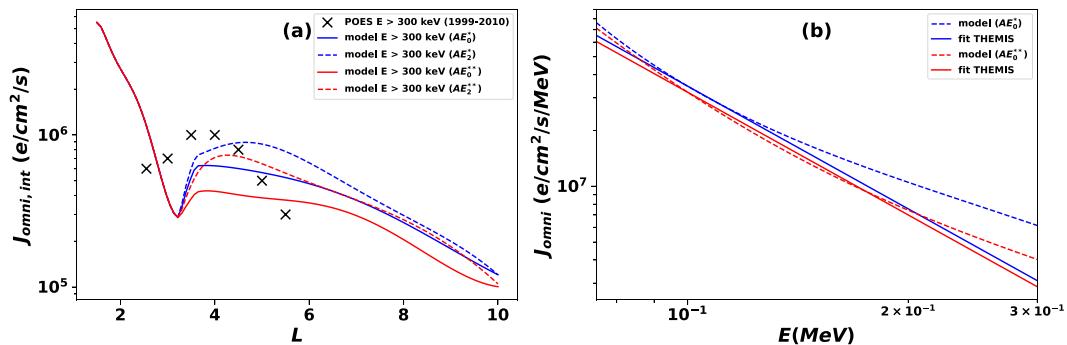


**Figure 5.** (a) Model average  $J_{omni}(E)$  at  $L \approx 4.5$  and  $h = 22,000$  km for the low substorm activity level  $AE_0^*$  (blue curve), corresponding average flux inferred from ELFIN data (red pluses with error bars), and corresponding average omnidirectional electron flux from Magnetic Electron Ion Spectrometer onboard the Van Allen Probes near the magnetic equator in 2017–2018 (black crosses). (b–d) Same as (a) for substorm activity levels  $AE_2^*$ ,  $AE_0^{**}$ , and  $AE_2^{**}$ , respectively. (e) Same as (a) at  $L \approx 3.0$  and  $h = 12,600$  km but averaged over all AE domains. (f) Same as (e) at  $L \approx 1.5$  and  $h = 3,150$  km.

3 years of the solar cycle minimum of December 2019, suggesting roughly similar space weather properties during these two periods. We checked that substorm activity was indeed very similar in 2017–2018 and 2020–2022, with very similar probability distribution functions of  $AE^*$  and  $AE^{**}$ , similar average  $\langle AE^* \rangle$  ( $\approx 440$  and 470 nT, respectively) and standard deviation  $\sigma(AE^*)$  ( $\approx 340$  and 380 nT, respectively), and similar average  $\langle AE^{**} \rangle$  ( $\approx 1.5 \times 10^4$  nT·h and  $1.7 \times 10^4$  nT·h, respectively) and standard deviation  $\sigma(AE^{**})$  ( $\approx 8 \times 10^3$  nT·h in both cases). Nevertheless, there were also twice more frequent periods of  $K_p \geq 5$  and  $K_p \geq 6$  in 2017–2018 than in 2020–2022, and three large geomagnetic storms (with  $\min(Dst) = -146$  nT to  $-176$  nT) in 2017–2018 versus one large storm (with  $\min(Dst) = -105$  nT) in 2020–2022, which could have led to higher time-averaged  $\sim 60$ – $200$  keV electron fluxes at  $L = 2.5$ – $3.5$  in 2017–2018 than in 2020–2022 (Califf et al., 2022; Mei et al., 2023; Mourenas et al., 2017; Turner et al., 2017; Zhao et al., 2023).

Electron fluxes are displayed at  $L \approx 4.5$  and  $h = 22,000$  km in Figures 5a–5d for substorm activity levels  $AE_0^*$ ,  $AE_2^*$ ,  $AE_0^{**}$ , and  $AE_2^{**}$ . Time-averaged fluxes (averaged over all AE levels) from the model at  $L \approx 3.0$  and  $h = 12,600$  km and at  $L \approx 1.5$  and  $h = 3,150$  km are also displayed in Figures 5e and 5f, respectively, with corresponding average fluxes inferred from ELFIN data and measured by the Van Allen Probes in 2017–2018. Thanks to numerous data, the typical standard normalized error on average fluxes from the Van Allen Probes is only 4.5% (it is always less than 8.5%).

The adopted altitudes  $h(L)$  for model and inferred fluxes in Figure 5 correspond to the magnetic equator, as required for comparisons with the bulk of the Van Allen Probes measurements. As average fluxes are inferred

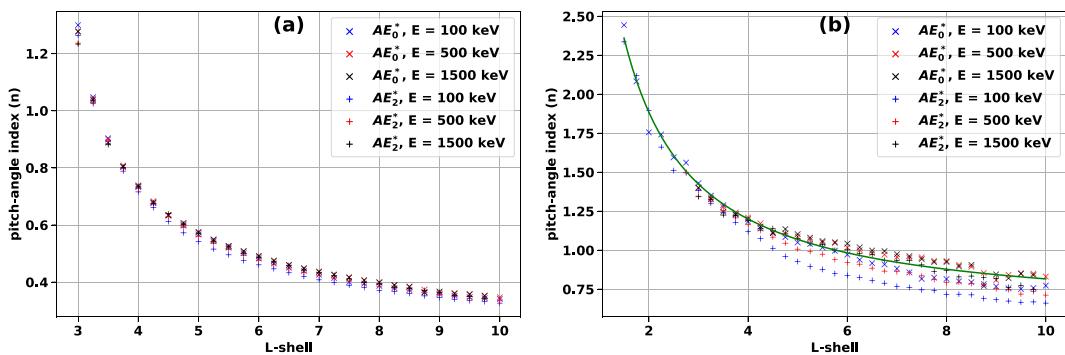


**Figure 6.** (a) Average integral omnidirectional electron flux from the present model at  $E > 300$  keV for  $h \approx 850$  km and substorm activity levels  $AE_0^*$  and  $AE_2^*$  (blue solid and dashed curves, respectively), as well as  $AE_0^{**}$  and  $AE_2^{**}$  (red solid and dashed curves, respectively) as a function  $L$ , with corresponding average fluxes from Polar Operational Environmental Satellites in 1999–2010 (black crosses). (b) Model  $J_{omni}(E)$  at  $L = 9$  and  $h = 20,000$  km for substorm activity levels  $AE_0^*$  and  $AE_0^{**}$  (dashed blue and red curves, respectively), and normalized power-law fits  $J_{omni}(E)/J_{omni}(100 \text{ keV}) \approx (100 \text{ keV}/E)^\alpha$  with  $\alpha = 2.2$  (solid blue and red lines) corresponding to typical omnidirectional 75–300 keV electron flux energy spectra measured by THEMIS spacecraft at  $L \approx 9$  around the magnetic equator in 2008–2020.

from ELFIN data at the same 18 pre-determined altitudes  $h_n$  for all  $L$ , a slight logarithmic extrapolation is performed to obtain values at  $h(L)$  displayed in Figure 5, using  $\ln(J_{omni}(h(L))/J_{omni}(h_n))/\ln(J_{omni}(h_{n-1})/J_{omni}(h_n)) = \ln(h(L)/h_n)/\ln(h_{n-1}/h_n)$ , where  $h_n$  and  $h_{n-1}$  are the two closest altitudes below  $h(L)$  where inferred fluxes are available. Note, however, that  $h(L)$  is slightly higher than  $h_{\max}(L)$  given by Equation 6 at  $L = 4.5$ , which may lead to larger discrepancies between model fluxes and actual fluxes than at  $h < h_{\max}$  (see Section 2). In addition, the present  $J_{omni}$  model has been fitted to fluxes inferred from ELFIN data only at  $h \leq h_n \leq 20,000$  km. But the slow increase with  $h$  of both modeled and inferred  $J_{omni}(h)$  at  $h > 4,000$  km (e.g., see Figure 1) suggests that the model should remain approximately valid up to  $h = 22,000$  km at  $L = 4.5$ .

Figure 5 shows that the average electron flux of the model usually remains close to the average flux measured in 2017–2018 by the Van Allen Probes near the magnetic equator, from  $L = 1.5$  to  $L = 4.5$  over the whole parameter range. Global metrics for the  $AE^*$  and  $AE^{**}$  models compared to Van Allen Probes fluxes are median error factors  $MEF = 2.1$  for both substorm activity indicators, with 90<sup>th</sup> percentiles of the error factor  $EF_{90} = 6.0$  and  $EF_{90} = 6.9$ , and Pearson correlation coefficient  $r = 0.60$  for both. Notably, the increase of electron fluxes from the Van Allen Probes with substorm activity at 100–900 keV is well reproduced by the model at  $L = 4.5$ . The average electron flux of the model decreases faster at  $L = 1.5$ –3 than at  $L = 4.5$  from 100 keV to 1.5 MeV, and it is much lower in the slot region at  $L = 3$  than in both the inner radiation belt at  $L = 1.5$  and the outer radiation belt at  $L = 4.5$  above 100 keV, in agreement with observations from the Van Allen Probes. The slot region is produced by hiss wave-driven electron precipitation into the atmosphere (Lyons & Thorne, 1973; Q. Ma et al., 2016; Mourenas et al., 2017).

The comparisons in Figure 5 therefore provide a validation of the present method for inferring omnidirectional electron fluxes at all altitudes along a geomagnetic field line based on low-altitude ELFIN data of trapped, precipitating, and backscattered electron fluxes. The largest discrepancy between model and Van Allen Probes fluxes occurs at 70–150 keV and  $L = 3$ , where average fluxes from the model are  $\approx 4$ –8 times lower than Van Allen Probes fluxes. This could partly reflect actual differences between electron fluxes in 2020–2022 and 2017–2018. There were indeed twice more frequent periods of  $K_p \geq 5$  and  $K_p \geq 6$  in 2017–2018 than in 2020–2022, which could have led to a higher time-averaged flux of energetic  $\sim 60$ –200 keV electrons at  $L \approx 3$  in 2017–2018 than in 2020–2022, due to deep electron penetrations down to  $L \approx 3$ , which are much more frequent at  $E < 250$  keV (Turner et al., 2017; Zhao et al., 2023) and mainly occur during periods of high  $K_p > 5$ –6 due to enhanced convection or Subauroral Polarization Streams (SAPS) electric fields (Califf et al., 2022; Mei et al., 2023; Zhao et al., 2023). But this discrepancy is probably also due to peculiarities of wave-driven electron pitch-angle diffusion rates at  $L \approx 3$ , which sometimes exhibit a bottleneck (a deep trough) at moderately high pitch-angles and low energy, leading to much larger fluxes than in the present model above  $\alpha_{eq} = 60^\circ$  (see Section 2).



**Figure 7.** (a) Pitch-angle index  $n$  estimated between  $\alpha_{eq} = 10^\circ$  and  $\alpha_{eq} = 90^\circ$  based on the average precipitating to trapped flux ratio  $J_{prec}/J_{trap}$  measured by ELFIN, as a function of  $L$  for 100 keV (blue), 500 keV (red), and 1.5 MeV (black) electrons, following quiet ( $AE_0^*$ ) and disturbed ( $AE_2^*$ ) periods (crosses and pluses, respectively). (b) Same as (a) but showing  $n$  estimated between  $\alpha_{eq} = 1.1 \times \alpha_{eq,LC}$  and  $\alpha_{eq} = 90^\circ$ , with a best fit  $n = 0.59 + 0.22 \times (10/L)^{1.09}$  to  $AE_0^*$  model values (solid green curve).

Figure 6a further shows the average energy-integrated omnidirectional electron flux at  $E > 300$  keV from the present ELFIN-based model at  $h \approx 850$  km, for substorm activity levels  $AE_0^*$  and  $AE_2^*$  (blue solid and dashed curves, respectively) as well as  $AE_0^{**}$  and  $AE_2^{**}$  (red solid and dashed curves, respectively), and the average  $>300$  keV electron fluxes measured by POES in polar orbit at  $\sim 850$  km altitude (Yando et al., 2011). Only average POES fluxes measured in 1999–2010 (black crosses) at adiabatically invariant shells  $L^* \leq 5.5$  (Sicard et al., 2018) are displayed, because deviations of  $L^*$  from  $L$  usually remain moderate in this domain, allowing us to assume that  $L \approx L^*$  to first order. Figure 6a shows that the time-averaged fluxes from the present model remain in reasonable agreement at  $L \approx 2.5 – 5.5$  with time-averaged fluxes from POES, despite the fact that such POES measurements were performed during another, more distant solar cycle, roughly  $\sim 10 – 20$  years before ELFIN measurements.

Finally, Figure 6b shows that the model energy spectra  $J_{omni}(E)$  at  $L = 9$  and  $h = 20,000$  km for low substorm activity levels  $AE_0^*$  (dashed blue curve) and  $AE_0^{**}$  (dashed red curve) are in good agreement with the typical (median) energy spectrum shape  $J_{omni}(E)/J_{omni}(100 \text{ keV}) \approx (100 \text{ keV}/E)^\alpha$  with  $\alpha = 2.2 \pm 0.5$  (solid blue and red lines) of omnidirectional 75–300 keV electron fluxes measured by Time History of Events and Macroscale Interactions during Substorms (THEMIS) spacecraft (Angelopoulos et al., 2008) at  $L \approx 9 \pm 2$  around the equator (corresponding to fluxes higher than model fluxes) in the near-Earth plasma sheet in 2008–2020 (Gao et al., 2023). At  $L \approx 9$ , an altitude  $h = 20,000$  km is far from the magnetic equator, but while the absolute level of  $J_{omni}$  should decrease as latitude increases along closed magnetic field lines, one expects a roughly similar variation of  $J_{omni}(E)$  with energy  $E \in [75, 300]$  keV at different altitudes  $h \gtrsim 20,000$  km when these electrons are sufficiently strongly scattered in pitch-angle to reach  $h = 450$  km in large numbers (Gao et al., 2022; Mourenas et al., 2024; Shane et al., 2023).

#### 4.3. Corresponding Sinusoidal Equatorial Pitch-Angle Distributions

The pitch-angle distribution of electrons in the Earth's radiation belts has been examined in many past studies, often using simple fits of the form  $J(\alpha_{eq}) = \sin^n \alpha_{eq}$ , with  $n$  the pitch-angle index (Gannon et al., 2007; R. Shi et al., 2016; Zhao et al., 2014). Therefore, it is interesting to compare the new ELFIN-based model, based on low-altitude measurements with good resolution at low equatorial pitch-angles, with these past results derived from spacecraft measurements at high altitude around the magnetic equator with a low resolution at low equatorial pitch-angles but a good resolution at high equatorial pitch-angles. Figure 7 shows the pitch-angle index  $n$  calculated using Equations 4 and 5 under the assumption that  $J(\alpha_{eq}) = \sin^n \alpha_{eq}$  based on the average precipitating to trapped flux ratio  $J_{prec}/J_{trap}$  measured at low altitude by ELFIN, for 0.1, 0.5, and 1.5 MeV at  $L = 1.5 – 10$ , for quiet ( $AE_0^*$ ) and active ( $AE_2^*$ ) geomagnetic conditions. Figure 7a shows a first estimate of  $n$  calculated between  $\alpha_{eq} = 10^\circ$  and  $\alpha_{eq} = 90^\circ$ . Figure 7b shows a second estimate of  $n$  calculated between  $\alpha_{eq} = 1.1 \times \alpha_{eq,LC}$  and  $\alpha_{eq} = 90^\circ$ . These two estimates of  $n$  are expected to be roughly similar to estimates obtained in previous works by fitting the measured equatorial pitch-angle electron distribution at  $\alpha_{eq} > 5^\circ – 15^\circ$ . The

second  $n$  estimate may also allow inferring  $J(\alpha_{eq} = 90^\circ)$  from  $J(\alpha_{h_0} \approx 90^\circ)$  measured by ELFIN. A best fit  $n = 0.59 + 0.22 \times (10/L)^{1.09}$  (solid green curve) to this second estimate for  $AE_0^*$  periods is shown in Figure 7b.

For 0.1, 0.5, and 1.5 MeV electrons, Figure 7 shows that the pitch-angle index  $n$  inferred from ELFIN low-altitude measurements decreases as  $L$  increases, from  $n \approx 1.75 - 2.5$  at  $L = 1.5 - 2.5$  to  $n \approx 0.35 - 1.25$  at  $L = 4 - 10$ , with a slightly lower  $n$  at lower energy or after disturbed periods ( $AE_2^*$ ). This decrease of  $n$  toward higher  $L$ , lower  $E$ , or after stronger substorm activity, is due to a stronger wave-driven pitch-angle diffusion that results in a flatter pitch-angle distribution and a higher  $J_{prec}/J_{trap}$  (Agapitov et al., 2018; Kennel & Petschek, 1966; Mourenas et al., 2024). These results are in good agreement with previous works based on near-equatorial electron fluxes measured by the Van Allen Probes, which obtained  $n \approx 2 - 4$  at  $L = 1.5 - 2.5$  and  $n \approx 0.5 - 1.2$  at  $L = 3.5 - 6$  for 0.1 – 1 MeV electrons and a slightly lower  $n$  at lower energy (Allison et al., 2018; R. Shi et al., 2016; Zhao et al., 2014), as well as a slightly lower  $n$  after disturbed periods at  $L = 4 - 5$  (Olifer et al., 2022). However, these previous works also found high indices  $n \geq 5$  for 100 – 350 keV at  $L \approx 3$ , contrary to the present results. The good agreement between average fluxes  $J_{omni}$  from the ELFIN-based model and average fluxes from Van Allen Probes 2017–2018 measurements near the magnetic equator at  $L = 3$  for 200 – 350 keV in Figure 5e suggests that this discrepancy is probably due to a dominant contribution, in time-averaged fluxes, from high fluxes characterized by  $n \approx 1.5$  at  $L = 3$ , while higher indices  $n > 5$  correspond to more frequent but much lower fluxes (R. Shi et al., 2016).

## 5. Conclusions

In the present work, energy- and pitch-angle-resolved precipitating, trapped, and backscattered electron fluxes measured at 450 km altitude by ELFIN CubeSats in 2020–2022 have been used to infer omnidirectional fluxes of 60 keV to 1.5 MeV electrons at  $L = 1.5 - 10$ , from 150 km up to 20,000 km altitude, using adiabatic transport theory and quasi-linear diffusion theory. The inferred fluxes have been fitted by analytical formulas, using stepwise multivariate optimization. The resulting self-consistent model of omnidirectional electron flux  $J_{omni}$ , inferred from measurements from only one spacecraft at a time in LEO, is free from potential conjunction or intercalibration problems arising when combining measurements from different spacecraft. The present modeled electron fluxes are intrinsically coherent at all altitudes along each geomagnetic field line. Such modeled electron fluxes are provided as a function of electron energy  $E$ ,  $L$ -shell, altitude, and of two different indices,  $AE^*$  and  $AE^{**}$ , of substorm activity over the preceding 4 hr and over the previous 72 hr, respectively.

The present analytical flux model is valid on closed magnetic field lines, where electrons remain stably trapped. In practice, this implies that the model should be valid at all MLTs up to  $L \approx 9 - 10$  when  $Kp \leq 4$  or  $AE \leq 700$  nT, and up to at least  $L \approx 6 - 7$  during strong storms and substorms with  $Kp > 4$  and  $AE > 700$  nT at times when  $Dst > -100$  nT (Olifer et al., 2018; Roederer & Lejosne, 2018). In addition, the present model should be valid near the magnetic equator only up to  $L \approx 4.5$ , whereas at  $L > 4.5$  it should remain approximately valid only sufficiently far from the magnetic equator, corresponding to altitudes  $h \lesssim 20,000$  km.

The obtained model of omnidirectional electron flux has been validated by comparisons with Van Allen Probes measurements near the magnetic equator at high altitudes and  $L \approx 1.5 - 4.5$  in 2017–2018, THEMIS measurements at  $L \approx 9$  in 2008–2020, and POES measurements at 850 km altitude and  $L \approx 2.5 - 5.5$  in 1999–2010. A version of the model includes MLT modulations similar to previous observations. The equatorial pitch-angle electron distributions corresponding to the present ELFIN-based model are also in rough agreement with previous works. Such comparisons show that the present method for inferring omnidirectional electron fluxes at all altitudes along a given magnetic field line based only on pitch-angle and energy resolved electron flux measurements from one low-altitude spacecraft is essentially valid from  $L \sim 1.5$  to 10 above 60 keV, at least up to an altitude of  $\approx 20,000$  km. This study therefore suggests that a fleet of low-cost CubeSats similar to ELFIN could be used to monitor the radiation belts from LEO via the present method (Millan et al., 2024).

The obtained model of omnidirectional electron flux allowed us to show that both impulsive substorm activity ( $AE^*$ ) and time-integrated substorm activity ( $AE^{**}$ ) are partly controlling the average level of 60 – 1500 keV electron fluxes over a very wide  $L$ -shell domain, extending from  $L = 3.5$  to  $L = 10$ . This new analytical model can be used for various tasks: (a) to obtain event-specific boundary conditions for radiation belt numerical models (e.g., see Tu et al., 2014), (b) to assess the main physical processes at work through an examination of the latitudinal distribution of electron fluxes along field lines, (c) to disentangle the effects of rapid processes (such as

direct electron injections) from the effects of slower cumulative processes (such as electron inward radial diffusion and wave-driven diffusive energization) by taking advantage of the functional dependence of modeled fluxes on two different substorm activity indices (corresponding to brief and prolonged processes, respectively), and (d) to estimate or forecast the radiation dose along a given orbit and the related internal or surface charging hazards for satellites. Since the modeled  $J_{omni}$  is provided in two separate versions,  $J_{omni}(AE^*)$  and  $J_{omni}(AE^{**})$ , better estimates or forecasts of the omnidirectional electron flux may also be obtained by using an average of  $J_{omni}(AE^*)$  and  $J_{omni}(AE^{**})$ , based on 3-day sequences of measured or predicted AE values. The proposed flux model could probably be improved by additionally taking  $Dst$  or  $Pdyn$  into account, but this would make it more complex, and this is left for future work.

## Appendix A: Model Coefficients Without/With MLT Modulation

The coefficients  $B$  and  $C_m$  in Equations 7 and 8 for the MLT-averaged model are provided in each parameter domain in Table A1 for both  $AE^*$  and  $AE^{**}$  models. The additional coefficients  $K$ , allowing to incorporate a MLT modulation in the MLT-averaged model (see Section 3.3), are provided in Table A2 in each parameter domain.

**Table A1**
*B and C Coefficients Associated to  $AE^*$  or  $AE^{**}$* 

AE level	$L$ domain	$B$	$C_0$	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$	$C_9$
All	0	0.705	-590.88	282.36	-65.015	5.830	634.4	-261.5	-0.603	N/A	0.0251	-0.00523
$AE_0^*$	1	0.372	-17.68	-11.05	-0.382	0.0119	70.1	-77.6	6.413	18.9	-0.0502	-0.254
$AE_1^*$	1	0.391	3.79	-88.83	-0.145	0.00519	4.34	-3.72	64.160	61.9	0.238	-14.34
$AE_2^*$	1	0.462	84.94	-209.59	1.009	-0.0314	-218.7	231.5	147.833	122.0	0.670	-34.96
$AE_3^*$	1	0.436	-6.86	-153.65	0.0110	-0.00303	75.6	-130.1	111.192	103.5	0.424	-25.04
$AE_0^{**}$	1	0.304	-87.24	111.16	-1.390	0.0443	262.4	-283.5	-75.879	-49.3	-0.421	19.10
$AE_1^{**}$	1	0.413	33.36	-196.69	0.417	-0.0143	-59.1	40.6	141.199	123.6	0.599	-32.70
$AE_2^{**}$	1	0.451	-35.33	-136.60	-0.326	0.00614	158.2	-221.3	105.545	90.3	0.458	-24.66
$AE_3^{**}$	1	0.528	113.16	-149.56	0.935	-0.0225	-348.4	428.2	96.831	90.7	0.368	-21.78

**Table A2**
*Coefficients  $K$  for the Magnetic Local Time Correction to Models Associated to  $AE^*$  and  $AE^{**}$* 

AE level	$L$ domain	$K_{AE^*}$	$K_{AE^{**}}$
All	0	2.68	2.68
$AE_0^*$ or $AE_0^{**}$	1	1.83	1.64
$AE_1^*$ or $AE_1^{**}$	1	0.19	5.80
$AE_2^*$ or $AE_2^{**}$	1	0.16	6.11
$AE_3^*$ or $AE_3^{**}$	1	5.52	0.57

## Data Availability Statement

Electron fluxes measured by ELFIN are available in CDF format (ELFIN, 2024). Van Allen Probes MagEIS electron flux data (REL03 L2) is available from the New Mexico Consortium (VAN ALLEN PROBES, 2024). The SME index (Gjerloev, 2012) is available at the SuperMAG data archive (SUPERMAG, 2024). OMNI data of  $Dst$  and  $Kp$  are available from the Kyoto World Data Center for Geomagnetism (WDC FOR GEOMAGNETISM, 2024). Data access and processing was done using SPEDAS V3.1, see Angelopoulos et al. (2019).

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