

Prediction of energy harvesting efficiency through a wake-foil interaction model for oscillating foil arrays

Bernardo Luiz R. Ribeiro^{1†}, and Jennifer A. Franck¹

¹University of Wisconsin—Madison, Madison, WI, 53706

(Received xx; revised xx; accepted xx)

This research investigates the wake-foil interactions between two oscillating foils in a tandem configuration under energy harvesting kinematics. Oscillating foils have been shown to extract hydrokinetic energy from freestream flows through a combination of periodic heave and pitch motions, at relatively higher amplitudes and lower reduced frequency than thrust generating foils. When placed in tandem, the wake-foil interactions can govern the energy harvesting efficiency of the system due to a reduced relative flow velocity in combination with a structured and coherent wake of vortices shed from high amplitude flapping of upstream foils. This paper utilizes simulations of two tandem foils to parameterize and model the energy harvesting performance as a function of array configuration and foil kinematics. Once the wake of the leading foil has been fully parameterized, the placement, phase angle, and kinematic stroke of the second foil is utilized to estimate the time-dependent power curve. The algorithm predicts the power of the second foil through the mean and unsteady wake characteristics, including the direct impingement of a vortex with the trailing foil.

1. Introduction

This paper investigates wake-foil interactions and impact on power production within arrays of oscillating foils. An oscillating foil can generate power through a periodic pitch and heave motion. In contrast to a thrust producing foil, oscillating foils for energy harvesting are drag-producing, and operate at lower reduced frequencies and higher pitch and heave amplitudes (Kinsey & Dumas 2008). As a result of these high amplitudes, the kinematic motion produces a sequence of vortices that form a structured wake, in which the vortex pattern and wake topology are a complex function of the foil kinematics (Ribeiro & Franck 2022*b*). In array configurations, these unsteady vortices convect downstream and can significantly impact performance of trailing foils. The magnitude of the impact depends on foil kinematics, spatial configuration, and inter-foil phase angle, and has been shown to both increase and decrease power generation (Ashraf *et al.* 2011; Xu & Xu 2017). The goal of this research is to predict the time-dependent power coefficient of a trailing foil in a two-foil array configuration utilizing the wake profile of a single foil.

Power generation in an oscillating foil can be produced through heave and through pitch motions. The heave power is composed of the lift force times the heave velocity, whereas the pitch power is defined by the torque about the pitching axis times the pitch velocity. Both heave and pitch power are a function of the oscillation frequency, heave and pitch amplitude

† Email address for correspondence: beluiz@unicamp.br

(Young *et al.* 2014; Xiao & Zhu 2014; Wu *et al.* 2020; Laws & Epps 2016). For motions with high energy harvesting efficiency, heave power dominates since the average pitch power is close to zero (Kinsey & Dumas 2008; Zhu 2011; Ribeiro & Franck 2022a). During the heave stroke, power is augmented by the formation and shedding of a coherent leading edge vortex (LEV) as the associated low pressure region causes an increase in the lift force (Ribeiro & Franck 2019; Baik *et al.* 2012). Furthermore, the LEV strength is directly associated with the foil's relative angle of attack, and in a power generation regime, a stronger LEV is desired. Depending on the foil parameters, a trailing edge vortex (TEV) can also form, and/or more than one LEV, forming a two-dimensional structured wake intricately linked to the underlying foil kinematics (Ribeiro & Franck 2022b).

Due to the multiple degrees of freedom in the oscillating foil motion, there is a wide range of kinematics that yield high efficiency power conversions. Thus, introducing an array of two foils, each with their own oscillation kinematics, whose relative spacing and timing must be determined, is an enormous parameter space only partially explored. Many researchers have considered a tandem array configuration with the same kinematic motion for both foils, varying only the inter-foil phase, ψ , and inter-foil spacing, S_x . Numerical (Ashraf *et al.* 2011; Broering & Lian 2012; Broering *et al.* 2012; Ma *et al.* 2019; Xu *et al.* 2016; Xu & Xu 2017) and experimental (Platzer *et al.* 2009; Karakas & Fenercioglu 2017; Kinsey *et al.* 2011; Oshkai *et al.* 2022) work show these two parameters greatly affect array performance due to the timing of wake-foil interactions. To establish a relationship between the trailing foil motion and the oncoming wake, Kinsey & Dumas (2012) defined a global phase parameter, Φ , combining inter-foil phase with the wake trajectory assuming mean convection at the freestream velocity,

$$\Phi = 2\pi \frac{S_x f}{U_\infty} + \psi, \quad (1.1)$$

where U_∞ is the freestream velocity, and f , is the oscillation frequency. More recently, by quantifying the mean wake velocity from various leading foil kinematics, Ribeiro *et al.* (2021) replaced U_∞ with a measured mean wake velocity, generating the *wake phase* parameter. With this update, they noted that foil performance has a strong relationship with wake phase over a wide range of operating kinematics. A wake phase of 0° corresponds to the trailing foil oscillating in-sync with the wake, directly impinging with vortex structures, whereas a wake phase of 180° corresponds to high trailing foil efficiency since the motion is out of phase with the wake, avoiding destructive vortex-foil interactions.

The interaction between vortex gusts and foils has been previously analyzed in literature in the context of how vortex-body interactions may affect the onset of vortex formation and body loading (Rockwell 1998). More recently, by analyzing vortex gusts at different positions with respect to a stationary foil, Peng & Gregory (2015) classified the vortex-foil interactions into three categories: close interaction, very close interaction, and collision. Within this classification, they identified changes in the vortex dynamics as it interacts with the leading edge and boundary layer, both of which are functions of vortex-foil proximity, Reynolds number and vortex rotation. Also investigating the effect of vortex rotation, Barnes & Visbal (2018a,b) found that the downwash from a clockwise vortex causes separation and transition to turbulence to be partially suppressed on the upper foil surface, which delays the LEV formation. In contrast, an early interaction between a counter-clockwise vortex and the foil is manifested by the rapid flow separation at the leading edge due to the increased angle of attack caused by vortex-induced upwash.

To predict the effects of vortex-foil interactions, Biler *et al.* (2021) experimentally investigated gusts on a stationary foil and noted similar trends between the gust-induced

angle of attack profile over time and the transient lift force. Similarly, Turhan *et al.* (2022) analyzed a vortex wake interacting with a stationary foil, and found a directly proportional relationship between the effective angle of attack and lift force.

While most work considers a stationary foil interacting with a vortex gust performance, Xu *et al.* (2017) considers vortex-foil interactions of an oscillating propulsive foil, finding that each interaction translates to an instantaneous change in the lift and effective angle of attack profiles. Using a gust-induced angle of attack, Muscutt *et al.* (2017) predicted forces on an oscillating virtual foil through the steady-state aerodynamic theory. Although their methodology captures the effects of destructive vortex-foil interactions, whenever there is vortex-foil avoidance, the lift prediction is not as accurate.

This paper focuses on the wake-foil interactions within a two-foil array undergoing high amplitude and high heave oscillations for the purpose of energy harvesting. In this configuration the oscillation kinematics are such that a large coherent wake pattern is introduced, which highly influences the energy conversion efficiency of downstream oscillating foils depending on their distance and phase angle with respect to the lead foil. Unlike prior work on stationary or propulsive foils, the wake interactions are impacting a downstream foil that is also undergoing a high amplitude heave and pitch motion. Thus, the baseline state of the foil (without wake interactions) relies on massive leading edge separation and LEV formation to generate maximum power, a process which can be attenuated, accelerated, or diminished due to wake interactions. To shed light on this process, this paper presents a methodology to extract velocity profiles from the wake of a single foil and utilize it to predict the energy efficiency of downstream foils in various configurations (spacing, phase angle or kinematic stroke). Thus, a physics-based approach is developed from mean wake and unsteady vortex-foil interactions to estimate the time-dependent power coefficient in a two-foil turbine array. The power coefficient predictions are then compared against two-foil simulations from Ribeiro *et al.* (2021), and the model limitations are discussed.

In Section 2, the numerical methods utilized in this research are introduced, Section 3 develops the correlation between power generation and the wake kinematics, Section 4 evaluates the model at different conditions and discusses its limitations, and the paper is summarized in Section 5.

2. Numerical Methods

This section introduces the computational data utilized in the analysis, describes the extraction of wake velocity data, and defines the kinematic motion and power generation in tandem two-foil arrays.

2.1. Definition of the foil kinematic motion

To generate the oscillatory motion of the foil, an active kinematic stroke is applied as

$$h(t) = -h_o \cos(2\pi ft) \quad (2.1)$$

and

$$\theta(t) = -\theta_o \sin(2\pi ft), \quad (2.2)$$

where $h(t)$ and $\theta(t)$ are heave and pitch respectively, with the pitching motion about the midpoint of the chord. The reduced frequency of oscillation, f , heave amplitude, h_o , and pitch amplitude, θ_o , are the parameters that control the foil motion and are non-dimensionalized by the chord length, c , and freestream velocity, U_∞ .

The prescribed sinusoidal heave and pitch of the single foil generates a time-varying effective angle of attack, $\alpha(t)$, with respect to the freestream flow. A representative effective angle of attack is evaluated when the foil is at maximum heave velocity, which occurs at one quarter of the cycle period, T , or

$$\alpha_{T/4} = \alpha(t = 0.25T) = \theta_o - \tan^{-1} \left(\frac{2\pi f h_o}{U_\infty} \right), \quad (2.3)$$

with $\alpha(t)$ assumed to be in radians and term $2\pi f h_o$ is obtained through the time derivative of the heave motion $\dot{h}(t)$ at $t = 0.25T$ (Kim *et al.* 2017).

2.2. Computational data utilized in analysis

With the foil kinematic motion defined, the computational data utilized in this paper numerically solves it at Reynolds number $Re = 1000$, simulated with second-order accurate finite volume, pressure-implicit split-operator (PISO) algorithm in *OpenFOAM* (Weller *et al.* 1998). The foil shape is a 10% thick ellipse, which is convenient for tidal energy due to its fore-aft symmetry. A two-dimensional unstructured dynamic mesh is utilized and the refinement analysis along with the validation of the dynamic mesh against a stationary mesh are presented in Ribeiro *et al.* (2021).

Two data sets are considered in this manuscript. The first set of simulations are various kinematics of a single oscillating foil with a fully resolved wake (Ribeiro & Franck 2022b). These simulations are used to extract velocity profiles from the wake under various oscillation kinematics, varying the parameters in Equations 2.1 and 2.2.

The second set of simulations have two foils operating in a tandem array configuration at a fixed distance of 6 chords separation. For each array configuration the inter-foil phase angle, ψ , is varied. The kinematic motion for the leading foil (foil 1) is given as

$$h_1(t) = -h_{o,1} \cos(2\pi f t) \quad \theta_1(t) = -\theta_{o,1} \sin(2\pi f t), \quad (2.4)$$

and for the trailing foil (foil 2)

$$h_2(t) = -h_{o,2} \cos(2\pi f t + \psi) \quad \theta_2(t) = -\theta_{o,2} \sin(2\pi f t + \psi), \quad (2.5)$$

where the frequency, f , remains constant to maintain the same relative phase separation in each stroke. In addition, to reduce the parameter space, the same amplitudes are applied to both foils ($h_{o,1} = h_{o,2} = h_o$; $\theta_{o,1} = \theta_{o,2} = \theta_o$), although this could be varied in future simulations. The inter-foil phase angle ranges from -180° to $+180^\circ$ with an increment of 30° . The range of foil kinematic parameters investigated in this paper includes $f = 0.10 - 0.15$, $h_o = 0.75 - 1.50$, and $\theta_o = 55^\circ - 75^\circ$ for a total of 16 sets of kinematics. The parameter range selected is ideal for oscillating foils in energy harvesting mode (Xiao & Zhu 2014). For more details on the foil kinematics, see Appendix A.

2.3. Quantifying wake velocity

To visualize the parameter space, the schematic in figure 1 displays the foil parameters, the inter-foil spacing, S_x , and the swept area, Y_p of a tandem two-foil array where a trailing foil is placed in the leading foil wake. The parameter Y_p is defined as the distance from tip to tip of the foil for a full cycle motion multiplied by the foil span. The wake velocity profile at a streamwise distance x_w downstream from the leading foil is given as

$$\mathbf{u}_w(y, t : x_w) = u(y, t) \hat{\mathbf{i}} + v(y, t) \hat{\mathbf{j}}, \quad (2.6)$$

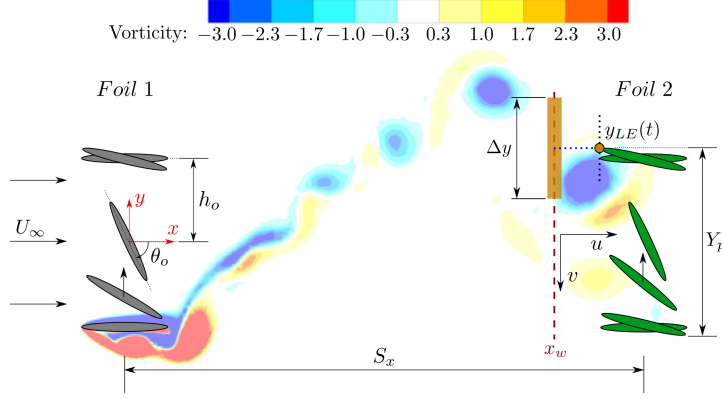


Figure 1: Leading foil (foil 1) parameters and placement of a trailing foil (foil 2) to form a two tandem foil-array. Vorticity flow field at time t is illustrated along with vortex window Δy at wake probe location x_w .

where u and v are the streamwise and cross-flow velocity components, respectively. In this paper the wake velocity extraction is performed at $x_w = 5c$, one chord length upstream from the second foil at $x = 6c$. The choice of x_w is to provide an accurate representation of the oncoming energy flux in the immediate vicinity of the trailing foil. A recommendation for other configurations (including staggered configurations and in-line of other separation distances) would be to sample the wake at approximately 1 chord upstream of the second foil.

The wake profile at x_w is dramatically influenced by the periodic structure of the lead foil's wake. The presence of a strong vortex will increase the velocity magnitude, add rotation to the flow, and strongly impact the relative velocity seen by the trailing foil. To capture how these transient and periodic structures affect the trailing foil, a vortex windowing scheme is implemented. Figure 1 demonstrates this concept of a window, length Δy , that corresponds to the size of the wake disturbance relative to the trailing foil. As the trailing foil oscillates the vortex window is centered at its leading edge, y_{LE} , and translates along $x = x_w$. To determine the optimal window size, the vorticity flow field is visually inspected and the Δy distance is selected to encompass the maximum vortex diameter. For the configurations investigated in this manuscript a size of $\Delta y = 1.2c$ is sufficient to capture the induced velocity of the primary wake vortex.

With the vortex window defined, the mean wake velocity u_w , measured at x_w , is the spatial and time-averaged magnitude of $\mathbf{u}_w$,

$$u_w = \frac{1}{T(Y_p + \Delta y)} \int_0^T \int_{-(Y_p + \Delta y)/2}^{+(Y_p + \Delta y)/2} \sqrt{u^2(y, t) + v^2(y, t)} dy dt, \quad (2.7)$$

where the limits of integration expand beyond Y_p to encompass the energy of vortices that surpass the trailing foil swept area.

2.4. Definition of normalized power generation

The unsteady power (P) generation in oscillating foil-arrays is defined as the sum of heave and pitch power. Although both components contribute to energy extraction, heave power dominates as the time-averaged pitch power is close to zero (Kinsey & Dumas 2008; Zhu 2011; Ribeiro & Franck 2022a). Thus, the power coefficient for the leading foil, $C_{p,1}$, is approximated as

$$C_{p,1}(t) = \frac{P_{\text{extraction}}}{P_{\text{available}}} = \frac{\dot{h}_1 L_1(t)}{\frac{1}{2} \rho U_\infty^3 c}, \quad (2.8)$$

where L is the lift force on the foil and $\frac{1}{2} \rho U_\infty^3 c$ is the total power available from the freestream velocity per planform area of the foil.

For the trailing foil two modifications are made. The first is that the power extracted is a function of the phase angle ψ between the operating kinematics, as this determines the nature of the wake-foil interactions. To account for the horizontal spacing, frequency, and phase angle, the wake phase parameter (Ribeiro *et al.* 2021) is utilized, defined as

$$\Phi = 2\pi \frac{S_x f}{u_w} + \psi, \quad (2.9)$$

and the lift force and power extraction are both functions of Φ . This parameter defines a non-dimensional wake wavelength and adjusts the phase angle appropriately. Secondly, the average power available to the trailing foil is defined by the mean wake velocity in Equation 2.7. Thus, the power coefficient for the trailing foil, $C_{p,2}$, is given by

$$C_{p,2}(\Phi, t) = \frac{\dot{h}_2 L_2(\Phi, t)}{\frac{1}{2} \rho u_w^3 c}. \quad (2.10)$$

The advantages of this definition are displayed in the example kinematics of figure 2. At a wake phase of $\Phi = 180^\circ$, the trailing foil is out of phase with the wake wavelength, minimizing interactions. As a result, the power coefficients presented in figure 2a align well over the upstroke within $t/T = 0 - 0.5$ (and is symmetric on the downstroke for these kinematics). Figure 2c confirms that there is no direct vortex-foil impingement at this wake phase. Thus, the normalization of the power curves has taken into consideration the decrease in mean flow due to the average wake deficit generated from the leading foil.

In contrast, figures 2b and 2d display the vorticity flow field and the power curve for the same foil kinematics but with a wake phase of $\Phi = 0^\circ$. In this configuration the foil intercepts a strong clockwise vortex on its upstroke, causing a large decrease in the instantaneous power coefficient at $t/T = 0.33$. In contrast, if the vortex direction was counter-clockwise, it may encourage the vortex formation over the downstream foil, and thus generate a constructive vortex-foil interaction. The latter is typically found in staggered foil-arrays (Kinsey & Dumas 2012) while the former is observed in tandem arrangements such as those investigated in this manuscript. Thus, the differences between these two curves, shaded yellow (negative) and green (positive) represent portions of the cycle where unsteady wake-foil interactions are influencing the power extraction.

3. Prediction Model

The unsteady vortex-foil interactions presented in Section 2 correspond to the power difference between foils normalized by their respective oncoming flow velocity. In this section, these vortex disturbances are associated with the change in the effective angle of attack of a trailing foil. This relationship will be used to predict the power generation from the trailing foil at different wake phases.

3.1. Effective angle of attack in the presence of vortex disturbances

Using the wake velocity profiles described in Section 2, an effective angle of attack is computed within the moving vortex window upstream of the trailing foil. First, an

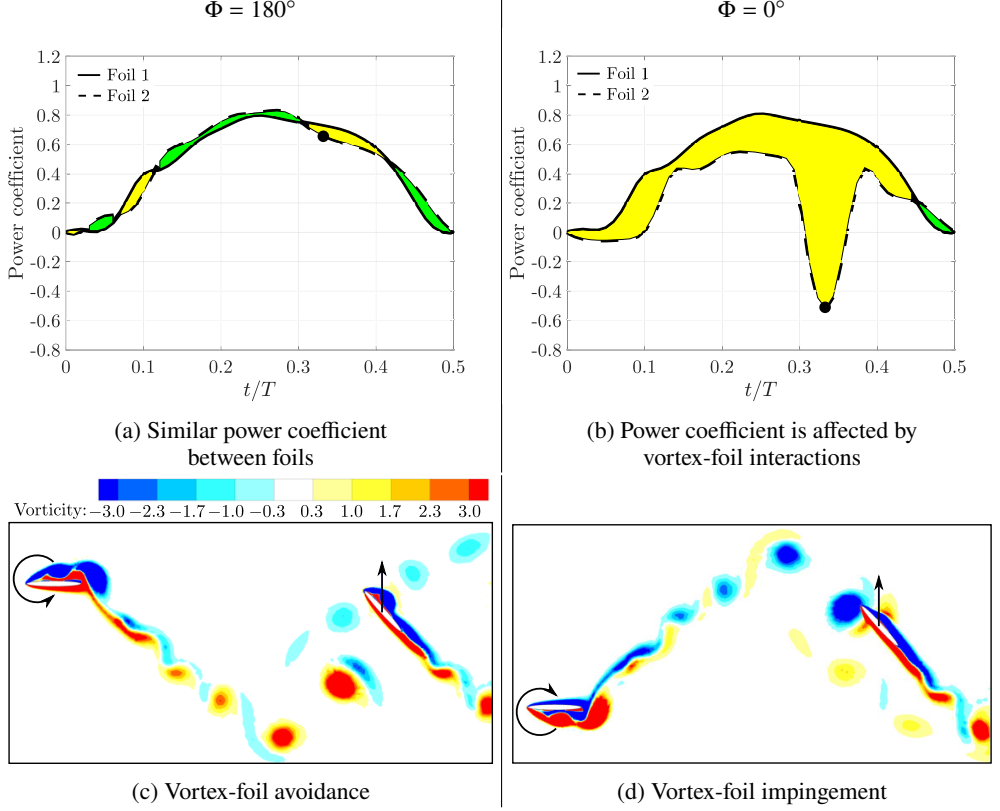


Figure 2: Analysis of vortex-foil interactions at wake phases $\Phi = 180^\circ$ and $\Phi = 0^\circ$ and foil parameters: $f = 0.10$; $h_o = 1.00$; $\theta_o = 55^\circ$. These phases illustrate the power contributions of the vortex gusts on the trailing foil when, for this case, there is a vortex-foil impingement ($\Phi = 0^\circ$) or vortex avoidance ($\Phi = 180^\circ$). The instantaneous vorticity flow fields are plotted at $t/T = 0.33$ (black markers).

instantaneous velocity vector, $\mathbf{u}^v$, is computed by spatially averaging the velocity profile over Δy ,

$$\mathbf{u}^v(\Phi, t) = \frac{1}{\Delta y} \int_{y_{LE}(t+t^v)-\Delta y/2}^{y_{LE}(t+t^v)+\Delta y/2} \mathbf{u}_w(y, \Phi, t) dy. \quad (3.1)$$

Time is shifted by t^v ,

$$t^v = \frac{S_x - x_w}{u_w}, \quad (3.2)$$

to account for the convection time between the measured wake at $x = x_w$ and the trailing foil at $x = S_x$. The value of $y_{LE}(t + t^v)$ corresponds to the trailing foil's leading edge position at $t + t^v$ which is when the gust-foil interactions occur. Next, using the spatially averaged velocity within the vortex window, the effective angle of attack of the trailing foil, α^v , is calculated as

$$\alpha^v(\Phi, t) = \theta(t) - \tan^{-1} \left(\frac{-\dot{h}(t) - v^v(\Phi, t)}{u^v(\Phi, t)} \right), \quad (3.3)$$

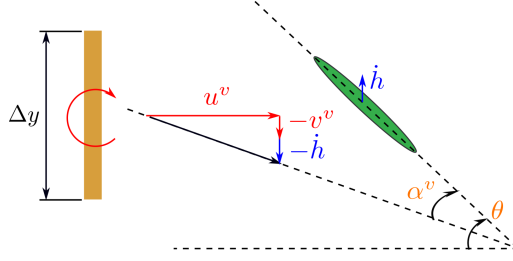


Figure 3: Effective angle of attack of the trailing foil in the presence of wake disturbances.

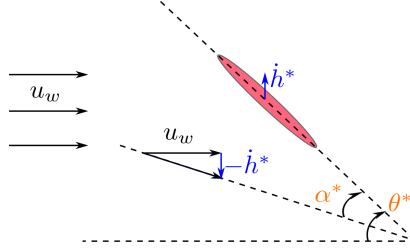


Figure 4: Effective angle of attack of the reference foil (no wake disturbances).

as illustrated by the velocity triangle and foil heave velocity in figure 3. Although the effective angle of attack is computed at $x = x_w$ it is assumed constant as the vortex convects from $x = x_w$ to $x = S_x$.

3.2. Introduction of a reference foil with an equivalent mean flow

To quantify the effects of the vortex gust on the trailing foil, an equivalent foil operating in a uniform flow with velocity u_w is introduced as a reference foil illustrated in figure 4. Thus, the kinematic parameters are now normalized by u_w , and are given as

$$f^* = \frac{f}{u_w}, \quad h^*(t) = -h_o \cos(2\pi f^* t), \quad \text{and} \quad \theta^*(t) = -\theta_o \sin(2\pi f^* t) \quad (3.4)$$

where the $*$ superscript denotes the reference foil. The effective angle of attack of the reference foil is defined by α^* ,

$$\alpha^*(t) = \theta^*(t) - \tan^{-1} \left(\frac{-\dot{h}^*(t)}{u_w} \right). \quad (3.5)$$

The difference in effective angle of attack between the reference foil and the trailing foil is thus

$$\Delta\alpha(\Phi, t) = \alpha^v(\Phi, t) - \alpha^*(t), \quad (3.6)$$

which is an indication of the strength and direction of the unsteady flow in the near vicinity of the trailing foil.

The power coefficient for the reference foil is then calculated from L^* and $\dot{h}^*$ as

$$C_p^*(t) = \frac{\dot{h}^* L^*(t)}{\frac{1}{2} \rho u_w^3 c}. \quad (3.7)$$

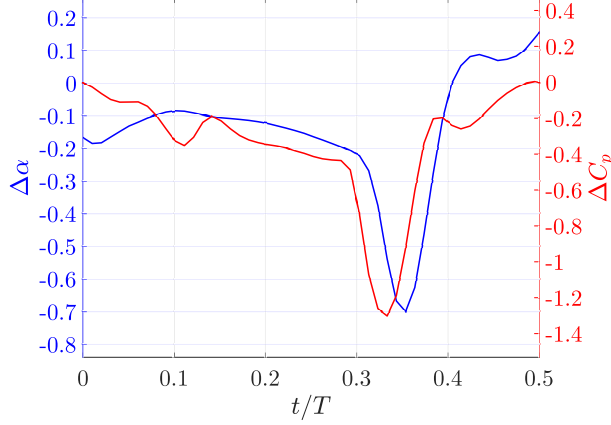


Figure 5: Comparison between the difference in effective angle of attack and power coefficient at $\Phi = 0^\circ$ for foil parameters of $f = 0.10$, $h_o = 1.00$, and $\theta_o = 55^\circ$.

The power difference, ΔC_p , between the gust-foil interaction and the equivalent reference foil is

$$\Delta C_p(\Phi, t) = C_{p,2}(\Phi, t) - C_p^*(t) = \frac{\dot{h}_2 L_2(\Phi, t)}{\frac{1}{2} \rho u_w^3 c} - \frac{\dot{h}^* L^*(t)}{\frac{1}{2} \rho u_w^3 c}. \quad (3.8)$$

To illustrate the relationship between these quantities, figure 5 shows $\Delta\alpha$ and ΔC_p profiles within the upstroke foil motion ($t/T = 0-0.5$) for the wake phase $\Phi = 0^\circ$ with foil parameters $f = 0.10$, $h_o = 1.00$, and $\theta_o = 55^\circ$. There is a significant $\Delta\alpha$ drop at approximately $t/T = 0.33$, which translates to a vortex-foil interaction that is detrimental to the formation of vortices over the foil. This destructive interaction is also observed by a ΔC_p drop at approximately the same time.

3.3. Power prediction based on the effective angle of attack

Next, a relationship between $\Delta\alpha$ and ΔC_p is derived in order to complete the model for the energy harvesting efficiency of the trailing foil. Following from Equation 3.8, which is the measured difference in power between the trailing foil and an equivalent reference foil, a modeled power difference is constructed utilizing input data from the upstream foil wake kinematics and the kinematics of the trailing foil. Since the effective angle of attack is proportional to the lift force on foil (Biler *et al.* 2021), the difference in power, $\widetilde{\Delta C_p}$, is modeled as

$$\widetilde{\Delta C_p}(\Phi, t) = \beta(\Phi) \left(\frac{\dot{h}_2 \alpha^v(\Phi, t) - \dot{h}^* \alpha^*(t)}{\frac{1}{2} \rho u_w^3 c} \right), \quad (3.9)$$

where the parameter β represents a coefficient of proportionality between the power coefficient and effective angle of attack. Thus, a model of the time-dependent power coefficient of the trailing foil, C_p^v , as a function of wake phase ϕ , can be constructed as

$$C_p^v(\Phi, t) = C_p^*(t) + \widetilde{\Delta C_p}(\Phi, t). \quad (3.10)$$

The optimal value of β (for each phase difference Φ) can be computed using data from

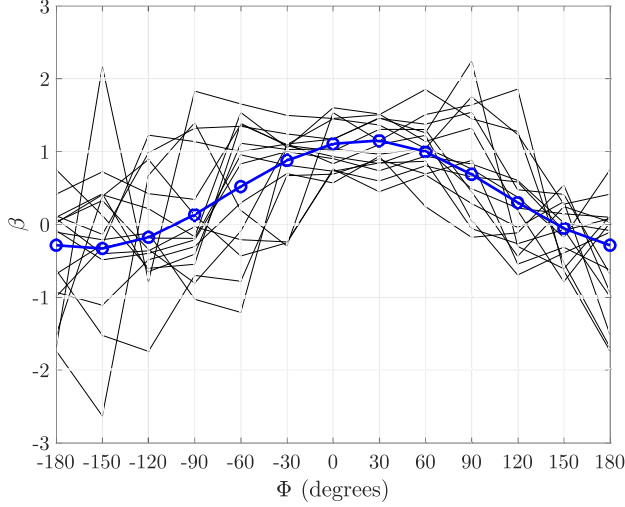


Figure 6: Optimal coefficient of proportionality, β , determined from two-foil data (black lines) and general β profile implemented in the model (blue line).

two-foil simulations by minimizing the root-mean-square difference between the model and the instantaneous power profiles, demonstrated by the black lines in figure 6. For this subset of two-foil kinematics, β peaks in the vicinity of $\Phi = 0^\circ$, coinciding with strong wake-foil interactions. In contrast, at phases closer to 180° , β is generally smaller when the vortex-foil interactions are weaker. To maintain periodicity a sinusoidal equation is fit to the data with a non-linear least squares regression algorithm, generating a β profile (blue curve in figure 6) given by

$$\beta(\Phi) = 0.75 \cos\left(\frac{\Phi\pi}{180} - 0.12\pi\right) + 0.41, \quad (3.11)$$

with Φ given in degrees. A physical interpretation of the parameter β is that it accounts for changes in the non-circulatory lift forces as the surrounding flow around the airfoil is modified by the presence of the vortex.

4. Model Evaluation

In this section, the model performance is evaluated at different foil kinematics with respect to time and wake phase, and the limitations of the model are discussed.

4.1. Time-dependent power prediction

In figure 7 the instantaneous power coefficient developed by the model is compared against the computed power from a two-foil simulation, and compared against a reference foil of equivalent mean freestream velocity. Whereas the simulation is the exact value, the reference foil represents the baseline power curve for a steady inlet flow. Three representative oscillation kinematics (cases A, B, and C) are shown that span a range of $\alpha_{T/4}$ from 17.8° to 28.1° . For each case three phase angles are shown at $\Phi = -30^\circ$, 0° , and 30° , representing the regime where vortex-foil interactions have the highest probability of occurring.

Across the data displayed, the occurrence of vortex-foil interactions in the trailing foil are well captured by the model, as indicated by the peaks and troughs in the power coefficient.

Each wake-foil interaction may be classified as constructive or destructive depending on the vortex sign and positioning with respect to the foil (Ribeiro *et al.* 2021). The reference foil (dark red line) is the baseline power for equivalent stroke kinematics without any unsteady vortex interaction. Thus, power achieved above the reference foil is described as a constructive vortex-foil interaction, whereas a power coefficient lower than the reference foil represents a destructive interaction. For the tandem configuration explored in this paper, the majority of the vortex-foil interactions are destructive, resulting in the computed and modeled power coefficient less than the reference foil. Most all of these vortex-foil interactions are captured by the model. However there are instances where the timing and/or magnitude of the power is either over or under-predicted. In the data shown, there are also two moments of constructive interaction in cases B and C at $\Phi = -30^\circ$, identified by the instantaneous power coefficient surpassing that of the reference foil. The model captures this increase although the amplitude is under-predicted.

In general, when analyzing the time-dependent power in each wake phase, the $\Phi = 0^\circ$ power prediction profiles are the closest to the simulation, representing where the maximum vortex-foil interaction is expected by the model. At wake phases just before ($\Phi = -30^\circ$) or just after ($\Phi = 30^\circ$) the predicted power may have a time shift compared to the simulation as illustrated in case A at $\Phi = -30^\circ$. This is due to the trailing foil interacting with the wake at an earlier time than the estimated vortex convection time.

These discrepancies between model and simulation tend to increase with higher values of $\alpha_{T/4}$, which are known to produce more chaotic wake structures (Ribeiro *et al.* 2021). The amplitude deviation between model and simulation can be partially explained by the model considering a uniform β profile for all kinematics. With stronger wake vortices, the effects of vortex-foil interactions are more apparent and thus, the coefficient of proportionality between power and angle of attack may be higher than the β given in the universal profile.

4.2. Time-averaged power coefficient as a function of wake phase

The time-averaged power coefficient is computed for the three representative cases and displayed in figure 8 as a function of wake phase. The dark red lines are the time-averaged power coefficient from the reference foil which is independent of wake phase since it assumes steady flow. The $\alpha_{T/4}$ value increases from cases A to C and thus so does the strength of vortex-foil interactions.

Case A shows a sinusoidal power trend with respect to the wake phase, which occurs from the continuous and smooth interaction typically found in cases with similar values of relative angle of attack (Ribeiro & Franck 2022b). Overall, the model is able to capture the mean power trend at this low angle of attack with a slight under-prediction in magnitude. Similarly, a sinusoidal power variation is shown by the simulation data for case B. However, the model starts showing a more localized power variation around $\Phi = 0^\circ$ and a roughly constant power prediction at phase angles farther away from the main vortex interaction at $\Phi = 0^\circ$. Case C corresponds to a higher power of the reference foil since a stronger LEV and higher lift are found at foil's mid-stroke position (Ribeiro *et al.* 2020). Furthermore, a stronger and localized mean power variation is observed due to the more coherent wake vortices and stronger vortex-foil interactions compared to the other cases (Ribeiro *et al.* 2021). For instance, as discussed by Ribeiro *et al.* (2021), the circulation of the primary vortex in case C is approximately $\Gamma/(U_\infty c) \approx 1.1$, higher than case B ($\Gamma/(U_\infty c) \approx 0.9$), and case A ($\Gamma/(U_\infty c) \approx 0.5$). For cases with leading foil kinematics at high relative angles of attack ($\alpha_{T/4} > 28^\circ$), a TEV starts to form which also contributes to stronger wake-foil interactions. The strength of such vortices were previously correlated with $\alpha_{T/4}$ in literature (Ribeiro *et al.* 2021; Lee *et al.* 2022). The more localized power variation explains the non-sinusoidal trend as the vortex-foil interactions are stronger and more localized compared

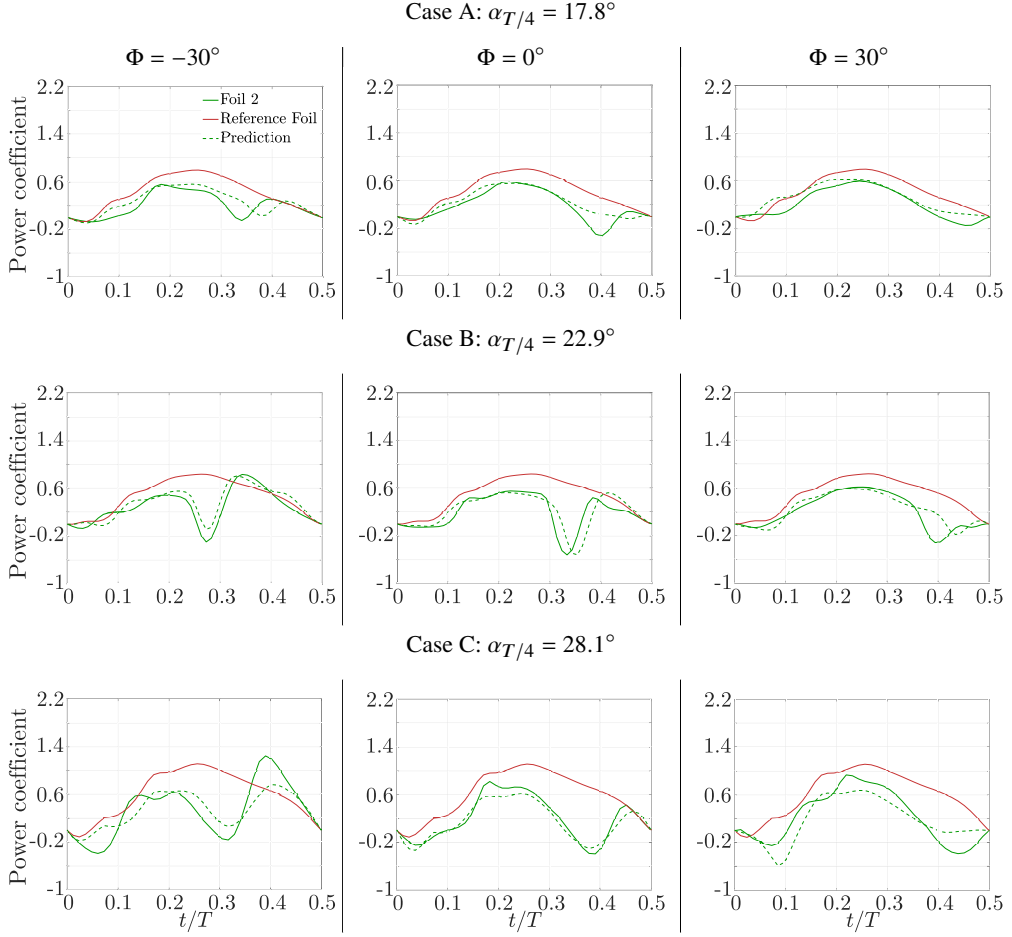


Figure 7: Comparison between power prediction (C_p^v), trailing foil ($C_{p,2}$) and reference foil (C_p^*) at three wake phases and configurations. Kinematics: Case A: $f = 0.12$; $h_o = 1.00$; $\theta_o = 55^\circ$; Case B: $f = 0.10$; $h_o = 1.00$; $\theta_o = 55^\circ$; Case C: $f = 0.12$; $h_o = 1.00$; $\theta_o = 65^\circ$.

360 with lower $\alpha_{T/4}$. The prediction model, however, is still able to capture this power trend shift
 361 as a function of wake phase and foil kinematics.

4.3. Model capabilities and limitations

363 The proposed wake-foil interaction model is able to predict the effects on instantaneous power
 364 coefficient as a result of constructive and destructive vortex-foil interactions. A single-foil
 365 simulation is utilized for input data, extracting the power coefficient of the baseline flow
 366 and the time-dependent wake data. Using this information, several proposed configurations
 367 and kinematics of the second foil can be easily modeled. These can be expanded beyond the
 368 tandem configurations currently proposed, to include staggered configurations and various
 369 combinations of kinematic strokes.

370 Although the model predicts events when there is a direct impingement or weak interac-
 371 tions, there is a magnitude mismatch at wake phases close to $|\Phi| \sim 90^\circ$, as seen in figure 8b.
 372 A reason for the larger error at these phases is the failure of the vortex window to capture
 373 the entire wake disturbance that affects the power distribution. A potential solution may be

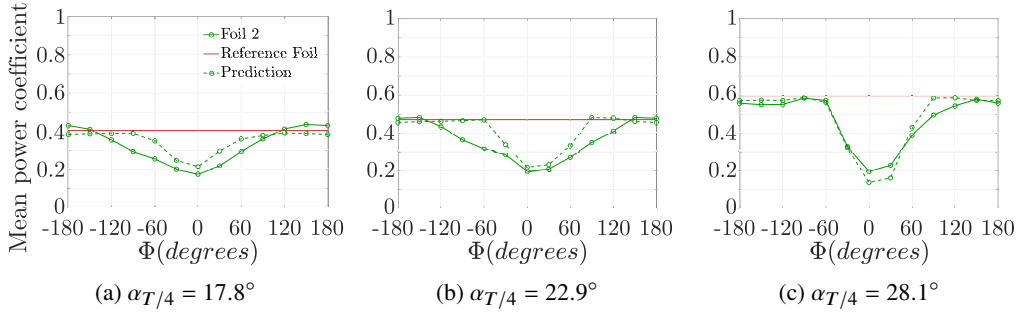


Figure 8: Time-averaged trailing foil power comparison between simulation and prediction model with respect to wake phase for three sets of foil kinematics. The dark red line corresponds to the mean power from the reference foil.

to increase the vortex window size to include wake disturbances that have secondary effects on power generation. The vortex window size may become a limitation especially when considering cases with high $\alpha_{T/4}$ ($\alpha_{T/4} > 30^\circ$) since the vortex wakes in these cases contain not only LEVs but also TEVs and stronger secondary vortices that can affect power generation (Ribeiro *et al.* 2021). For more details, Appendix B presents an error quantification between model and simulations based on the mean power coefficient.

Another potential improvement to the model is to account for the vortex trajectory and variation in vortex convection speed within the wake. It is assumed the primary vortex moves at a constant speed, however our measurements have shown it does vary within the wake region. For this reason, the model relies on sampling the velocity field in close proximity (approximately 1 chord length upstream) to the second foil for the best estimate of the impact of the vortex on the local flow field. If the model incorporated a vortex trajectory and better convection speed, the sampled position could be moved upstream and provide more flexibility to the model.

A final consideration is that the proposed model only considers two-dimensional flows, which is often a good assumption for the high aspect ratio wings deployed for energy harvesting. Prior experimental work has shown that adding end plates maintains an approximately two-dimensional wake and improves the efficiency of the oscillating foils by mitigating tip losses (Kim *et al.* 2017). Furthermore, these results have good agreement with simulations at matching Reynolds number (Ribeiro *et al.* 2020). However, an extension to this model could incorporate a spanwise profile that accounts for the tip vortex and associated loss of lift and power.

5. Conclusion

This paper develops a power prediction model for oscillating-foil turbine arrays where the foil-arrangement is governed by the spacing between the two foils and the wake phase. The goal is to develop an estimation of the time-dependent power curve of a trailing foil using only the wake velocity data from single foil simulations and the proposed position, phase angle and stroke kinematics of the trailing foil. Such a prediction eliminates the need for costly simulations exploring the wide configuration and kinematic parameter space for an array of two oscillating foils.

First, the model introduces a reference foil, which normalizes the expected power coefficient of the trailing foil with respect to the reduced wake velocity. As the first foil extracts a high percentage of energy from the freestream flow, the mean wake velocity available to the trailing foil is decreased. Normalizing by this new reference velocity provides a baseline

power coefficient for the trailing foil. This rescaling, however, does not capture the unsteady interactions of the trailing foil with incoming vortices in the wake.

The vortex-foil interactions are modeled as deviations from the reference foil's power curve. It is assumed that these time-dependent deviations in power production are proportional to the difference in relative angle of attack in the vicinity of the trailing foil. Using the kinematics of the trailing foil, a moving window is constructed to quantify the local velocity magnitude and relative angle of attack with respect to the heaving and pitching trailing foil. The instantaneous velocity vectors are extracted from the unsteady wake data of a single-foil simulation. To complete the model, a coefficient of proportionality is computed from available two-foil simulations, and found to be a function of wake phase.

The results show that the prediction model captures both power trends and magnitudes across the range of wake phases and foil kinematics explored. Depending on the wake phase, the model prediction can be remarkably close to the simulation, especially at wake phases close to a direct vortex-foil impingement ($\Phi \sim 0^\circ$) and regions of minimal vortex interaction ($\Phi \sim 180^\circ$). At wake phases in-between though, typically around $|\Phi| \sim 90^\circ$, the differences between model and simulation are more apparent. This is likely due to the short-coming of the vortex window in capturing secondary wake disturbances and thus correctly matching with the trailing foil power variation. Additionally, in cases with $\alpha_{T/4} > 30^\circ$, the wake vortices are stronger and multiple vortices are interacting with the foil which makes the prediction more challenging.

The advantage of this model is the ability to predict the time-dependent power over a range of potential two-foil configurations based solely on single foil simulations. Although a limited set of kinematics and configurations are explored in this paper, the model can be applied to two-foil systems operating with different kinematic parameters, and staggered configurations, both of which can improve the overall efficiency of the system.

Acknowledgements. This material is based upon work supported by the National Science Foundation under award CBET-1921594 and the Grainger Wisconsin Distinguished Graduate Fellowship. This research was conducted using computational resources and services at the Center for Computation and Visualization at Brown University.

Funding. National Science Foundation under award CBET-1921594 and the Grainger Wisconsin Distinguished Graduate Fellowship.

Declaration of interests. The authors report no conflict of interest.

Data availability statement. The data will be made available upon request.

Author ORCIDs. Bernardo Luiz R. Ribeiro, <https://orcid.org/0000-0003-2567-520X>; Jennifer A. Franck, <https://orcid.org/0000-0001-8456-5153>

Author contributions. **Bernardo Luiz R. Ribeiro:** Conceptualization, Formal Analysis, Investigation, Methodology, Validation, Visualization, Writing – original draft, Writing – review & editing. **Jennifer A. Franck:** Conceptualization, Formal Analysis, Investigation, Methodology, Writing – review & editing.

Appendix A. Foil kinematics

Table 1 summarizes the kinematics investigated in this paper, where $f = fc/U_\infty$ and $h_o = h_o/c$ are the non-dimensional forms of the frequency and heave amplitude.

Appendix B. Model prediction error

The mean power coefficient profiles presented in figure 8 display the differences between model and simulations and the largest difference is found to be around $|\Phi| \sim 90^\circ$, especially in the case with $\alpha_{T/4} = 22.9^\circ$. Furthermore, to expand this analysis to all cases and quantify

Kinematics				Kinematics			
f	h_o	θ_o	$\alpha_{T/4}$	f	h_o	θ_o	$\alpha_{T/4}$
0.12	1.50	55°	6.3°	0.12	1.00	65°	28.1°
0.15	1.00	55°	11.4°	0.15	0.75	65°	29.8°
0.15	1.25	65°	15.5°	0.15	1.00	75°	31.5°
0.12	1.00	55°	17.8°	0.10	1.00	65°	32.7°
0.12	1.25	65°	21.8°	0.12	0.75	65°	35.5°
0.15	1.00	65°	21.8°	0.12	1.00	75°	37.8°
0.10	1.00	55°	22.9°	0.10	0.75	65°	39.5°
0.10	1.25	65°	26.9°	0.10	1.00	75°	43.0°

Table 1: Summary of all simulated kinematics with their computed $\alpha_{T/4}$ values.

the error between predicted power from trailing foil and simulations, the L^2 -norm of the difference in terms of mean power coefficient, $C_{p,RMSE}$, is used as a metric,

$$C_{p,RMSE} = \sqrt{\left(\overline{C_{p,2}(\Phi)} - \overline{C_p^v(\Phi)}\right)^2}. \quad (\text{B } 1)$$

Different metrics could be used for the error quantification such as analyzing the instantaneous differences between model and simulations in each wake phase. However, with the goal of quantifying the model performance in the three typical vortex interaction events, namely direct impingement ($\Phi = 0^\circ$), mid-strength interactions ($|\Phi| \sim 90^\circ$), and weak interactions ($\Phi = 180^\circ$), the mean power is utilized.

The error is quantified in all cases and applied to three representative wake phases, $\Phi = 180^\circ$, $\Phi = -90^\circ$, and $\Phi = 0^\circ$ (figure 9). Overall, error is smaller across cases when there is either direct vortex-foil impingement ($\Phi = 0^\circ$) or weak interactions ($\Phi = 180^\circ$). An exception is for cases where $\alpha_{T/4} > 30^\circ$, which is when much stronger and coherent primary and secondary vortices are found in the wake. Furthermore, the vortex window size used in this paper is not sufficient to fully capture the wake disturbances during a direct vortex-foil impingement at these cases with high $\alpha_{T/4}$.

When analyzing the error in terms of each foil parameter, the smaller is the pitch amplitude, the smaller is the error across the wake phases in general. In terms of heave amplitude, a significantly larger error is found at $\Phi = -90^\circ$ when $h_o > 1.00$. The issues in the prediction model when pitch or heave amplitude are large can be explained by the influence of vortices not near the vicinity of the trailing foil that may still contribute to its power generation and are not seen by the vortex window.

REFERENCES

- ASHRAF, M. A., YOUNG, J., LAI, J. C. S. & PLATZER, M. F. 2011 Numerical analysis of an oscillating-wing wind and hydropower generator. *AIAA Journal* **49**.
- BAIK, Y. S., BERNAL, L. P., GRANLUND, K. & OL, M. V. 2012 Unsteady force generation and vortex dynamics of pitching and plunging aerofoils. *Journal of Fluids Mechanics*.
- BARNES, C. J. & VISBAL, M. R. 2018a Clockwise Vortical-Gust/Airfoil Interactions at a Transitional Reynolds Number. *AIAA Journal* **56** (10), 3863–3874.
- BARNES, C. J. & VISBAL, M. R. 2018b Counterclockwise Vortical-Gust/Airfoil Interactions at a Transitional Reynolds Number. *AIAA Journal* **56** (7), 2540–2552.

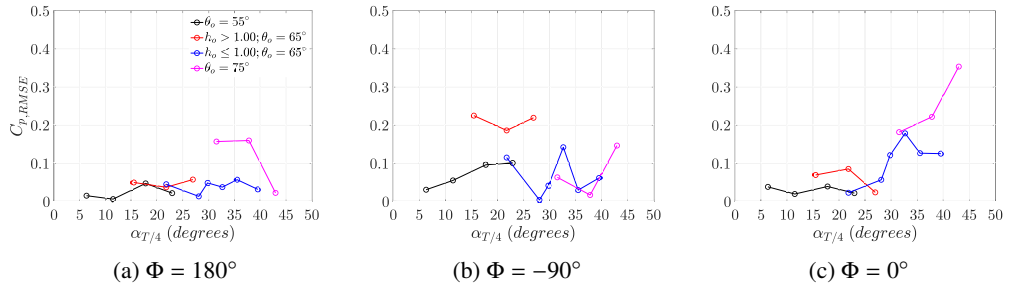


Figure 9: Model prediction error applied to all investigated cases at three wake phases, $\Phi = 180^\circ$, $\Phi = -90^\circ$, and $\Phi = 0^\circ$.

- 482 BILER, H., SEDKY, G., JONES, A. R., SARITAS, MURAT & CETINER, OKSAN 2021 Experimental Investigation of
483 Transverse and Vortex Gust Encounters at Low Reynolds Numbers. *AIAA Journal* **59** (3), 786–799.
- 484 BROERING, T. M. & LIAN, Y.-S. 2012 The effect of phase angle and wing spacing on tandem flapping wings.
485 *Acta Mechanica Sinica* **28**.
- 486 BROERING, T. M., LIAN, Y.-S. & HENSHAW, W. 2012 Numerical Investigation of Energy Extraction in a
487 Tandem Flapping Wing Configuration. *AIAA Journal* **50**.
- 488 KARAKAS, F. & FENERCIOGLU, I. 2017 Effect of phase angle on tandem flapping-wing power generation.
489 *International Journal of Energy Production and Management* **2**.
- 490 KIM, D., STROM, B., MANDRE, S. & BREUER, K. S. 2017 Energy harvesting performance and flow structure
491 of an oscillating hydrofoil with finite span. *Journal of Fluids and Structures* **70**, 314–326.
- 492 KINSEY, T. & DUMAS, G. 2008 Parametric study of an oscillating airfoil in a power-extraction regime. *AIAA*
493 *Journal* **46** (6), 1318–1330.
- 494 KINSEY, T. & DUMAS, G. 2012 Optimal tandem configuration for oscillating-foils hydrokinetic turbine.
495 *Journal of Fluids Engineering* **134**.
- 496 KINSEY, T., DUMAS, G., LALANDE, G., RUEL, J., MÉHUT, A., VIAROUGE, P., LEMAY, J. & JEAN, Y. 2011
497 Prototype testing of a hydrokinetic turbine based on oscillating hydrofoils. *Renewable Energy* **36**.
- 498 LAWS, N. D. & EPPS, B. P. 2016 Hydrokinetic energy conversion: Technology, research, and outlook.
499 *Renewable and Sustainable Energy Reviews* **57**.
- 500 LEE, H., SIMONE, N., SU, Y., ZHU, Y., RIBEIRO, B. L. R., FRANCK, J. A. & BREUER, K. 2022 Leading edge
501 vortex formation and wake trajectory: Synthesizing measurements, analysis, and machine learning.
502 *Phys. Rev. Fluids* **7**, 074704.
- 503 MA, P., WANG, Y., XIE, Y., HAN, J., SUN, G. & ZHANG, J. 2019 Effect of wake interaction on the response
504 of two tandem oscillating hydrofoils. *Energy Science & Engineering* **7**.
- 505 MUSCUTT, L. E., WEYMOUTH, G. D. & GANAPATHISUBRAMANI, B. 2017 Performance augmentation
506 mechanism of in-line tandem flapping foils. *Journal of Fluid Mechanics* **827**, 484–505.
- 507 OSHKAI, P., IVERSON, D., LEE, W. & DUMAS, G. 2022 Reliability study of a fully-passive oscillating foil
508 turbine operating in a periodically-perturbed inflow. *Journal of Fluids and Structures* **113**.
- 509 PENG, D. & GREGORY, J. W. 2015 Vortex dynamics during blade-vortex interactions. *Physics of Fluids* **27** (5),
510 053104.
- 511 PLATZER, M., ASHRAF, M., YOUNG, J. & LAI, J. 2009 Development of a New Oscillating-Wing Wind and
512 Hydropower Generator. In *47th AIAA Aerospace Sciences Meeting including The New Horizons*
513 *Forum and Aerospace Exposition*, pp. –. American Institute of Aeronautics and Astronautics.
- 514 RIBEIRO, B. L. R. & FRANCK, J. A. 2019 Vortex Dynamics and Reynolds Number Effects of an Oscillating
515 Hydrofoil in Energy Harvesting Mode. *Journal of Fluids and Structures* .
- 516 RIBEIRO, B. L. R. & FRANCK, J. A. 2022a Contributions to Power Extraction in a Dual Oscillating Foil
517 System. *American Institute of Aeronautics and Astronautics Aviation Forum* .
- 518 RIBEIRO, B. L. R. & FRANCK, J. A. 2022b Machine Learning to Classify Vortex Wakes of Energy Harvesting
519 Oscillating Foils. *AIAA Journal* pp. 1–11.
- 520 RIBEIRO, B. L. R., FRANK, S. L. & FRANCK, J. A. 2020 Vortex dynamics and Reynolds number effects of an
521 oscillating hydrofoil in energy harvesting mode. *Journal of Fluids and Structures* **94**, 102888.
- 522 RIBEIRO, B. L. R., SU, Y., GUILLAUMIN, Q., BREUER, K. S. & FRANCK, J. A. 2021 Wake-foil interactions and
523 energy harvesting efficiency in tandem oscillating foils. *Phys. Rev. Fluids* **6**.

- 524 ROCKWELL, D. 1998 Vortex-Body Interactions. *Annual Review of Fluid Mechanics* **30** (1), 199–229.
- 525 TURHAN, B., WANG, Z. & GURSUL, I. 2022 Interaction of vortex streets with a downstream wing. *Physical*
526 *Review Fluids* **7** (9), 094701.
- 527 WELLER, H. G., TABOR, G., JASAK, H. & FUREBY, C. 1998 A tensorial approach to computational continuum
528 mechanics using object-oriented techniques. *Computers in Physics* **12**.
- 529 WU, X., ZHANG, X., TIAN, X., LI, X. & LU, W. 2020 A review on fluid dynamics of flapping foils. *Ocean*
530 *Engineering* **195**.
- 531 XIAO, Q. & ZHU, Q. 2014 A review on flow energy harvesters based on flapping foils. *Journal of Fluids and*
532 *Structures* **46**.
- 533 XU, G.D. & XU, W.H. 2017 Energy extraction of two flapping foils with tandem configurations and vortex
534 interactions. *Engineering Analysis with Boundary Elements* **82**.
- 535 XU, G. D., DUAN, W. Y. & XU, W. H. 2017 The propulsion of two flapping foils with tandem configuration
536 and vortex interactions. *Physics of Fluids* **29** (9), 097102.
- 537 XU, J., SUN, H. & TAN, S. 2016 Wake vortex interaction effects on energy extraction performance of tandem
538 oscillating hydrofoils. *Journal of Mechanical Science and Technology* **30**.
- 539 YOUNG, J., LAI, J. C. S. & PLATZER, M. F. 2014 A review of progress and challenges in flapping foil power
540 generation. *Progress in Aerospace Sciences* **67**.
- 541 ZHU, Q. 2011 Optimal frequency for flow energy harvesting of a flapping foil. *Journal of Fluid Mechanics*
542 **675**, 495–517.