

# 1 Binary dataset for machine learning applications to 2 tropical cyclone formation prediction

3 Chanh Kieu<sup>1,\*†</sup> and Quan Nguyen<sup>1,†</sup>

4 <sup>1</sup>Department of Earth and Atmospheric Sciences, Indiana University, Bloomington, IN 47405.

5 \*Corresponding author: Chanh Kieu (ckieu@indiana.edu)

6 <sup>†</sup>These authors contributed equally to this work

## 7 ABSTRACT

8 Applications of machine learning (ML) in atmospheric science have been rapidly growing. To facilitate the development of  
9 ML models for tropical cyclone (TC) research, this binary dataset contains a specific customization of the National Center for  
Environmental Prediction (NCEP)/final analysis (FNL) data, in which key environmental conditions relevant to TC formation are  
extracted for a range of lead times (0-72 hours) during 1999-2023. The dataset is designed as multi-channel images centered  
on TC formation locations, with a positive and negative directory structure that can be readily read from any ML applications  
or common data interface. With its standard structure, this dataset provides users with a unique opportunity to conduct ML  
application research on TC formation as well as related predictability at different forecast lead times.

## 9 Background & Summary

10 Predicting the formation of a tropical cyclone (TC) is an important task at operational weather centers. Early prediction of  
11 tropical cyclogenesis (TCG) can help forecasters issue proper warnings and prepare for various risk management. For practical  
12 purposes, TCG prediction is currently treated as a classification (categorical) problem, with an expected outcome as a yes  
13 or no for a TCG event at a certain lead time. In the current operational setting, this yes/no TCG forecast is often associated  
14 with a probability at 1, 2, or 3-day lead time as routinely provided by, e.g., the National Hurricane Center (NHC) during TC  
15 main seasons. From the machine learning (ML) perspective, TCG prediction therefore belongs to a class of logistic regression  
16 problems that have been well-developed in ML applications.

17 Despite this well-defined formulation of the TCG problem, predicting TCG has been always challenging to date. This  
18 difficulty in TCG prediction is due to complex multi-scale interactions among different dynamical and thermodynamic processes  
19 in the atmosphere during the early formation period. In general, these processes are highly nonlinear and vary across ocean  
20 basins that no single dominant mechanism could operate in all oceans. In fact, verification of real-time TCG forecasts from  
21 different physical-based models<sup>1-3</sup> showed that current global models could achieve a probability of detection in the range of  
0.3-0.5 at 2-3 day forecast lead time, but the false alarm rate is also unexpectedly high in the range of 0.5-0.7. There has been  
22 some steady progress in the success ratio for TCG forecast, but this progress has been slow across ocean basins, models, and  
23 forecast lead times<sup>3,4</sup>.

25 The rapid development of advanced ML algorithms opens up a new opportunity for forecasters and researchers to explore  
26 new methods in TCG prediction<sup>5</sup>. Unlike the traditional approach based on vortex-tracking algorithms from the model output,  
27 ML algorithms can allow one to search and learn different features from the environment that have the most impacts on the  
28 TCG processes in the same way as an experienced forecaster could learn from a weather map. By training ML models on a  
29 large number of input data, ML models can recognize or look for the most important environmental features related to TCG at  
30 different forecast lead times or ocean basins.

31 A key factor determining the successful development of an ML model for TCG prediction is rooted in the quality of training  
32 data. Generally, a good dataset is the very first priority that one needs for an ML model to achieve an expected accuracy. In ML  
33 development, a dataset is considered to be of high quality if it meets several basic requirements including i) comprehensiveness,  
34 ii) relevancy, ii) consistency, and iv) uniformity<sup>6-8</sup>. Among these requirements, comprehensiveness is the most difficult for the  
35 TCG prediction problem. While the current climate data is enormous, the data that can be actually used for TCG models is  
36 limited due to the rarity of TCs and a short period of high-quality climate datasets during the post-satellite era<sup>9</sup>. Assuming  
37 there are  $\mathcal{O}(10^2)$  TCs annually at the global scale, a 20-year period can provide at most  $2 \times \mathcal{O}(10^3)$  TCG events. This number  
38 will be cut down further if one focuses on a specific subdomain, and so the dataset for TCG is considered to be small by all  
39 means of current ML training standards.

40 In addition to the quality of the climate dataset, it is of equal importance to have a good set of environmental variables

41 that can encode signals of TCG. The process of selecting the best input variables, often known as feature engineering in ML,  
42 plays a key role in the development of ML models that do not have sufficiently large training data. This feature engineering is  
43 especially useful for TCG prediction due to the lack of high-quality climate data and the limited number of TCG events every  
44 year as mentioned above. Previous observational and modeling studies have captured a number of key environmental conditions  
45 for TCG such as warm sea surface temperature, low vertical shear, moist lower troposphere<sup>10–16</sup>. While these variables have  
46 been shown to be important from numerous modeling and observational studies, they turn out to be insufficient to guarantee  
47 TCG in real-time forecast. In particular, their relative importance changes from one ocean to the other, making it hard to  
48 generalize for operational TCG prediction at present.

49 Because a good set of training data and input features are the backbone for the success of ML model development, it is  
50 essential to generate a dataset for TCG research that helps ML models better comprehend data and memorize past information  
51 for TCG prediction. In this study, we present a binary dataset customized specifically for TCG prediction, which can be  
52 readily used in any current ML algorithm or data loader interface. Recall that the common binary classification is a supervised  
53 problem, which scans through all training data to search for dominant features that can be used to predict possible classes. For  
54 TCG prediction, our classification problem is therefore designed as a yes/no TCG event at a given forecast lead time, along  
55 with an associated probability for the yes/no prediction. From this perspective, it is required to create a binary dataset that  
56 could contain yes/no TCG events for a range of lead times such that ML models can be trained on. Such a dataset will not  
57 only help understand how effectively an ML model can detect TCG events from a given input, but it is also of great use for  
58 other research such as understanding TCG predictability relative to physical-based models, or quantifying the relative roles of  
59 different environmental factors in TCG processes.

## 60 Methods

### 61 Input data

62 To generate a binary dataset for TCG prediction, the National Center for Environmental Prediction (NCEP) final analysis  
63 (FNL,<sup>17</sup>) data at a resolution of  $1 \times 1$  degree during the 1999–2023 period was used. For this dataset, our main areas of focus are  
64 the three major ocean basins where TC activities are most prominent including the northwestern Pacific, northeastern Pacific,  
65 and North Atlantic Ocean during the main TC season from May to November. Within each region, nine key meteorological  
66 variables are extracted from the gridded FNL data, which are most relevant to the TCG processes. These variables include all  
67 three wind components, absolute vorticity, relative humidity, temperature, geopotential height, surface temperature, convective  
68 available potential energy (CAPE), and tropopause properties (see Table 1). While these variables are chosen based on their  
69 potential impacts on TCG as shown in the previous studies<sup>18–23</sup>, how effective they are or their relative importance among those  
70 variables in detecting TCG by ML models at different forecast lead times is still elusive. Likewise, these variables are provided  
71 at all 19 NCEP/FNL pressure levels, yet it is not currently known which level would provide the maximum information about  
72 TCG. Thus, all pressure levels for these variables are included in our binary dataset, which can serve as input channels for any  
73 ML model development.

74 While using a single NCEP/FNL reanalysis data is certainly a limiting factor for our binary dataset, we note that the  
75 NCEP/FNL reanalysis is useful in two important aspects. First, it can serve as input data for a pre-trained model that can be  
76 further refined later on for different datasets or downstream applications. This process, known as transfer learning, can help  
77 speed up later training with any other datasets such as the Modern-Era Retrospective Analysis for Research and Applications,  
78 Version 2 (MERRA-2) or European Reanalysis (ERA-5).

79 Second, the 2008–2023 period contains GRIB2 data format produced by the same NCEP Global Data Assimilation System  
80 (GDAS), which synthesizes observational data from various sources and analyses. As such, this dataset provides a consistent  
81 multivariate, spatially coherent state of the global atmosphere at a homogeneous resolution, ensuring the consistency and the  
82 uniformity of the training dataset for ML models. Moreover, this dataset contains some diagnostic variables such as Convective  
83 Available Potential Energy (CAPE), surface fluxes, or some tropopause properties such as tropopause height or temperature that  
84 are directly relevant to TCG. These advantages of the NCEP/FNL dataset allow ML models to detect proper TCG features  
85 during both training and validation steps.

86 Although the NCEP/FNL data includes new types of observation as well as data assimilation upgrades every year, we  
87 note that these annual changes in FNL data should have a minimum impact on the overall usability of our dataset for ML  
88 applications. This is because the main aim of our binary dataset in this study is to facilitate ML model development as well as  
89 future validation/comparison among different ML models, at least during the proof-of-concept model development. Of course,  
90 better reanalysis data would provide better training data and help ML to converge faster. Thus, our dataset should be considered  
91 only as preliminary learning that needs to be further fine-tuned via the so-called “transfer learning” as mentioned above. From  
92 this perspective, the need for a binary TCG dataset is more pressing for current ML applications in TC research than the annual  
93 change in the NCEP/FNL reanalysis data.

94 It should be noted that while a higher resolution NCEP/FNL data ( $0.25^\circ \times 0.25^\circ$ ) is available, this data is limited to the  
95 2015-2023 period, while the 1 degree data has a longer period from 1999 to 2023. Because predicting TCG using the ML  
96 approach requires searching for a range of environmental features instead of using physical processes, it is important to have  
97 as long as possible a training dataset that could help identify those environmental features. Thus, we chose the NCEP/FNL  
98 at 1-degree solution for our binary dataset to increase the sampling and the ability of feature detection for ML applications,  
99 instead of a shorter dataset at a higher resolution.

100 For labeling TCG events, the International Best Track Archive for Climate Stewardship (IBTrACS, [24,25](#)) was used for  
101 all TCG timing and locations. This is a well-calibrated data record of TC activities in global ocean basins and includes  
102 all information related to TCs during their lifecycle such as intensity, ocean basin, longitudes, latitudes, name, the stage of  
103 development, as well as their corresponding date and time at an interval of every 6 hours. Although there exist several other  
104 regional TC databases, we used the IBTrACS for our binary dataset so that TCG information in our dataset can be compared  
105 and/or validated among previous studies that used this IBTrACS database. Because IBTrACS incorporates TC data from many  
106 different agencies, we specifically used the US National Hurricane Center data for the Eastern Pacific and North Atlantic  
107 basins, and the Joint Typhoon Warning Center for the Northwestern Pacific basin. Given that TCG takes place over a large area,  
108 the uncertainty in the initial location of a TCG event among different best-track databases (of order  $\mathcal{O}(10)$  km) should have  
109 minimal impact on quantifying environmental conditions for TCG, so long that the data domain size is large enough to include  
110 the entire TCG area.

## 111 **Algorithms**

112 For our TCG dataset, we define a TCG event as the first time a storm was recorded as a Tropical Depression, with all subtropical  
113 cyclones excluded<sup>5</sup>. With this definition, the IBTrACS data is searched through and the first recorded location of each storm is  
114 used to create a set of positive-labeled TCG data. To further facilitate our data generation, all information relevant to a TCG  
115 event such as its longitudes, latitudes, date, and time are extracted and archived in a separate CSV database, which can be  
116 shared with different data interfaces for future upgrades or applications.

117 Given the global domain of the NCEP/FNL data and the CSV database containing all TCG information, we generated a  
118 positive-labeled dataset for TCG events by zooming into a fixed domain centering on each TCG event. Specifically, to create  
119 the TCG binary dataset, we pre-selected a domain of size  $18 \times 18$  degrees around the TCG location and then extracted all  
120 variables in the NCEP/FNL data relevant to TCG in this domain. By design, all domains centered on the TCG events recorded  
121 in IBTrACS will be labeled as a positive part of our TCG binary dataset for three main ocean basins including North Atlantic  
122 (NA), Eastern Pacific (EP), and Northwestern Pacific (WP).

123 Regarding the negative-labeled data (i.e., the data with no TCG event), there are several different ways to produce it,  
124 depending on the objectives and the nature of the problem. For our TCG prediction dataset, we adopt a method that creates  
125 negative data for each corresponding positive TCG data point by choosing a region of the same size as the positive-labeled data  
126 but at a distance of 10 degrees apart (measured between two domain centers). Our choice of this negative domain is based on  
127 the physical nature of the TCG problem, which often focuses on why a TCG event occurs at one particular location but not  
128 other locations nearby at the same time. By designing the negative-labeled data this way, we remove the inhomogeneity in the  
129 temporal dimension (such as seasonal or weekly differences of the large-scale environment) between the negative and positive  
130 data pair, which helps focus on the environmental factors that govern TCG at the same time but in different locations.

131 Another way to produce negative-labeled data is to randomly choose a domain location during the dates/times that have  
132 no TCG. This negative-labeled data will lead, however, to two issues: 1) the number of negative-labeled data points will be  
133 much higher than that of the positive-labeled data points (because most of the days have no TCG), and 2) the negative and  
134 positive-labeled data now contain different temporal and spatial environments (for days with no TCG events, there is no good  
135 way to choose a negative-labeled domain, unless one fixes a specific geographical location). These issues make it harder to  
136 interpret or extract TCG processes. As such, this latter approach is not adopted for our TCG binary dataset herein, albeit it is  
137 useful for predicting TCG in practice.

138 Because the positive-labeled data based on TCG locations in the IBTrACS dataset could contain grid points that are too  
139 close to land, which prevent one from choosing an arbitrarily nearby negative-labeled data, we designed our algorithm to select  
140 negative-labeled data based on several criteria as follows:

- 141 1. The center of the negative-labeled data corresponding to a given TCG location is randomly chosen among the four  
142 quadrants around the center of the positive-labeled data;
- 143 2. The distance between the centers of the positive and negative-labeled data pair must be at least 10 degrees apart
- 144 3. No grid point on the negative-labeled domains overlaps land surface;
- 145 4. The northern edge of the negative-labeled domain size must not cross  $30^\circ\text{N}$ ; and

146 5. The negative-labeled domains will not overlap each other in case there are multiple TCG events on the same date/time.

147 These conditions are based on the fact that the TC main formation area in all ocean basins should be over open ocean and  
148 generally between 5-30° degrees. Note also that for the date and time with multiple TCG events, the algorithm will generate  
149 each positive-labeled domain for each TCG event independently such that they do not overlap each other. Doing this way  
150 will ensure that each positive TCG data will always have its own negative data pair, thus maintaining the data balance for ML  
151 training as expected.

152 During the development of ML models for TCG prediction, we noticed one additional issue that is unique to TCG  
153 prediction. That is, occasionally some false positive TCG predictions (i.e., negative cases with no observed TCG events but  
154 were incorrectly predicted as positive by ML models) contain pre-existing TCs nearby. These nearby TCs could introduce  
155 some TC characteristics into the surrounding environments and confuse ML models in predicting TCG probability. As such,  
156 ML models may not tell if the environments favorable for TC development are from a pre-existing TC nearby or if these  
157 environmental conditions are applied to a new TC formation. To address this issue, we employed a modified vortex removal  
158 scheme for any case where a nearby TC is too close to a new TCG event that the TC-related information from the nearby TC  
159 could enter the domain of the new TCG event<sup>26</sup>. This vortex removal algorithm follows the same Kurihara 1993's approach<sup>27</sup>,  
160 and has proven to be effective for eliminating TC vortices as shown in previous studies.

161 In practice, the co-existence of more than one TC at the same time and sufficiently close to a new TCG event, which requires  
162 our special treatment of vortex removal as described above, is very rare (< 1% of all TC cases that we have in our dataset).  
163 In particular, we can always minimize the vortex removal procedures to avoid changing large-scale environments by simply  
164 choosing a different negative-labeled domain in different parts of each basin as outlined in Step 1 above. As such, the actual  
165 number of cases that we had to apply the vortex removal algorithm for our negative-labeled data is limited to only a few TCs  
166 (out of 1425 total cases), which include TC Barijat (2018), Wukong (2000), Mujigae (2009), Kompatsu (2016), and Mirinae  
167 (2017).

168 With the goal of providing a dataset for not only detecting TCG events but also for predicting TCG at different lead times,  
169 the whole process of creating positive/negative pairs is repeated at an interval of 6 hours up to 72 hours *prior to* the TCG  
170 moment for the entire TCG records. Specifically, the procedures of producing a  $\tau$ -lag time TCG binary data are identical to what  
171 described above, except that one has to look for the NCEP/FNL dataset that corresponds to a lagged time at  $\tau$  hours relative to  
172 the actual genesis record (see Fig. 1), where  $\tau = -72, \dots, -12, -6, 0$  is the time (hours) relative to the TCG moment. Note  
173 that while the domain center for the positive-labeled data is always fixed at the same as the location of the TCG event for all  
174 lagged-time  $\tau$ , the domain center for negative-labeled data is not. This is because, by design, the center of the negative-labeled  
175 domain is chosen randomly at 4 different quadrants around the domain center of positive-labeled data (conditioned on the 5  
176 criteria listed above). Thus, positive-labeled data is continuously back in time, while negative-labeled data does not.

177 This type of time-lagged data is particularly useful for studying TCG predictability in which one starts with a single time  
178 slice of input data and makes prediction  $\tau$  hours in advance, without using any physical equation or past memory. Such a  
179 process is very similar to a numerical weather prediction model that produces a forecast based only on a single initial condition.  
180 As presented in our recent study<sup>5</sup>, the forecast skill of any ML model using this time-lagged data can help answer the question  
181 of how much predictability one can achieve for TCG prediction if one uses a pure ML model without any governing equations.  
182 Likewise, the time-lagged data can be also used for the development of recurrent neural network (RNN) architectures to  
183 improve further TCG prediction. By choosing different time slices as input for an RNN model, one can take into account some  
184 additional memory of the temporal evolution of the atmospheric state that can be useful for TCG prediction. Our time-lagged  
185 dataset serves this exact purpose for TCG prediction.

186 Note that for  $\tau = 0$ , the TCG prediction problem will be reduced to TCG detection, which will be useful in practice from  
187 a different perspective. For example, one can train an ML model to detect a TCG event from model output when a global  
188 analysis or forecast data at any lead time is available. Similarly, one can also use the models to detect TCG from climate output,  
189 which can help project future TC activities beyond the traditional vortex-tracking methods. From this perspective, our binary  
190 TCG dataset can help address research questions related to either detecting TCG from a global analysis or predicting TCG at  
191 different forecast lead times.

192 Although the time-lagged dataset is designed for examining the TCG prediction skill at different forecast lead times, we  
193 should mention here that this dataset is also useful for examining the uncertainties in the timing of TCG recorded in IBTrACS.  
194 Generally, TCG timing can be always determined to the nearest six hours in IBTrACS. However, any such TCG timing contains  
195 some uncertainty to within 6-12 hours around the TCG moment due to different TC records by different operational agencies.  
196 Given such uncertainties in TCG timing, our time-lagged dataset back to 72 hours prior to the first recorded genesis time will  
197 therefore provide some additional information for sensitive analyses and examining the TCG prediction skill for any ML model  
198 or diagnostics.

## 199 Data Records

200 To facilitate ML model development and training, we organize our TCG binary dataset according to three different ocean  
201 basins including NA, EP, and WP as the top-level directory structure as shown in Fig. 2. For each basin, the data is then stored  
202 in each TCG lagged time ranging from 0 to 72 hours, with each lag-time directory containing two sub-directories: one for  
203 positive-labeled data in a directory named "pos", and the other corresponding negative-labeled data stored in a directory named  
204 "neg". This data structure is common in many ML binary datasets, which are organized in such a way that facilitates common  
205 data interface loaders or ML frameworks such as Keras or Scikit-learn.

206 For each positive/negative-labeled data file, all variables listed in Table 1 are extracted on all vertical pressure levels as  
207 in the original NCEP/FNL dataset, but they are reformatted in the NETCDF format centering on TCG locations. To ease the  
208 validation of the TCG binary dataset, each filename in pos/neg directory contains a string as `yyyymmddhh_lat_lon_ID`,  
209 where `lat`, `lon` denote the latitude and longitude of a TCG event, `yyyymmddhh` denotes the time of the TCG event, and  
210 `ID` is the 13-digit identification number corresponding to the TCG event in the IBTrACS record (i.e., the first column in the  
211 IBTrACS CSV-format data file). By naming all pos/neg data files this way, users can quickly track any TCG event by simply  
212 looking at the filename in each directory. Note that because the data is stored in the NETCDF format, users need to read the  
213 data using a standard package such as `netCDF4` or `xarray`.

214 In the current version, our TCG binary dataset contains a total of 390, 448, and 587 TCG events during the 1999-2022 period  
215 in the NA, EP, and WP basins, respectively. This is a quite small set for training any ML models for each basin individually.  
216 However, one can always combine all basins into a single dataset to increase the sample size for ML model development. The  
217 scripts provided in our GitHub along with this dataset can be used to extend for any period or other reanalysis datasets of  
218 interest to users. In our future release of an upgraded TCG binary dataset, we plan to include a more complete dataset at higher  
219 resolutions. Full access to our TCG binary dataset is currently available on our Figshare data repository<sup>28</sup>.

## 220 Technical Validation

221 Because we do not modify or introduce any new variable/coordinate transformation to the NCEP/FNL original data, all data  
222 quality and characteristics are fully preserved. The only technical validation that we have to carry out is to ensure that the  
223 positive/negative-labeled data are well paired with each other as designed. For this purpose, we plot several random samples of  
224 data pairs for the TCG events associated with Typhoon Kupat (2011) and Typhoon Lupit (2021) at different TCG lag times.  
225 Figure 3 shows an example of a positive and a negative-labeled data for these two typhoons valid at lag time  $\tau = 0$  (i.e., right at  
226 the TCG moment). As seen in Fig. 3, the positive-labeled data does capture a weak signal of these TCG events at the genesis  
227 moment for both samples (shown as the low geopotential perturbation at  $z = 950\text{hPa}$  and the corresponding cyclonic flow field).  
228 These genesis signals are most apparent for the near-surface field and quickly fade away at higher levels. This is expected  
229 because the tropical depression stage often displays only marginally weak TC signals from satellite and/or reanalysis datasets,  
230 with strong uncertainties in both location and strength.

231 Compared to the negative data valid at the same times but located in different locations (Figs. 3b,d), one notices that the  
232 negative-labeled data do not display a clear signal of low pressure or geopotential perturbation as expected. Likewise, the flow  
233 field is also less organized, despite being extracted at the same time and close by. By construction, this negative-label data can  
234 therefore provide a reference for large-scale environments that do not support TCG, thus allowing ML models to learn different  
235 environmental features between positive and negative samples as designed.

236 Looking further back at 1, 2, and 3 days prior to the genesis moment at the same TCG location, one could also confirm that  
237 TCG signals become gradually less clear, even for the positive-labeled data. In fact, for a 2-day lag time, there is virtually no  
238 TCG signal for Typhoon Kupat (Fig. 4) while the TCG signal is very weak for Typhoon Lupit (Fig. 5). This deterioration  
239 of TCG signals with different lead times justifies the difficulty of predicting TCG at long lead times purely from memory as  
240 presented in our recent study<sup>5</sup>. Note that this behavior of less TC signal with a longer lag time is very typical for all TCG cases,  
241 which we could visually confirm at least for all cases that are randomly examined beyond the examples shown in Figs. 3-5. As  
242 such, the TCG binary dataset is well-designed for TCG research. Unlike the positive-labeled data, it should be noted again that  
243 negative-labeled data are not centered on a TCG location but randomly sampled at a fixed distance from its corresponding  
244 positive-labeled data. As such, the negative-labeled data do not always vary smoothly from one lag time to the next.

## 245 Usage Notes

246 All of the positive/negative-labeled outputs provided in this TCG binary dataset are produced by the workflow and libraries  
247 included in our GitHub repository here <https://github.com/kieucq/tcg-binary-dataset>. This is a public  
248 repository with an open-source license, and so users can freely download, reproduce, or expand our TCG binary dataset. For  
249 the sake of completeness, we provide below some specific instructions to reproduce our dataset with the workflow provided in  
250 this repository. The key steps include:

251 Step 1: Clone our GitHub repository from <https://github.com/kieucq/tcg-binary-dataset> and save it to your  
252 working directory (hereinafter assuming that it is saved under `dir_work`).

253 Step 2: Download and create a directory that saves all NCEP/FNL reanalyses, which is assumed to be stored in an environment  
254 path variable `dir_ncep`. Note that under this location `dir_ncep`, each year must be saved separately under the same  
255 format for the directory name as `yyyy`, even if you have only one year;

256 Step 3: Download and save the best track dataset that is assumed to be stored by an environment path variable `dir_tc`. Note  
257 that the current support for our binary dataset is built on the IBTrACS dataset in the CSV format. Users who want to use  
258 a different tropical cyclone database can reformat their database such that TC center latitude, longitude, and date/time  
259 columns can be properly read in our script, using package `pandas`.

260 Step 4: Set up the domain size (`domain_size`), forecast lead time (`lead_time`), ocean basin (`basin`), distance between  
261 the center of the positive-labeled and negative-labeled domain center (`dist`), and output location where you want to  
262 save the output (`dir_out`). Note that the current basin support includes only three basins NA, EP, and WP.

263 Step 5: Run the script `create_ncep_binary_stormcenter_grib1.py` to process GRIB1 data using the following  
264 syntax:

```
265     python ./create_ncep_binary_stormcenter_grib1.py --best-track $dir_tc --ncep-fnl  
266     $dir_ncep --basin $basin --leadtime $lead_time --domain-size $domain_size --distance  
267     $dist --output $dir_out
```

268 For GRIB2 data, simply use the script `create_ncep_binary_stormcenter_grib2.py`.

269 Step 6: Repeat Steps 4-5 for different forecast lead times, and ocean basins if needed. One can also repeat Steps 4-5 for different  
270 domain sizes to examine the sensitivity of TCG prediction to different input data domains, if desired. By default, the  
271 domain size is set to  $18 \times 18$  degree.

272 Step 7: Verify the output files under the output directory `dir_out`. It should contain two sub-directories `pos` and `neg` under  
273 which there is an equal number of data files corresponding to TCG events during the period listed inside the NCEP/FNL  
274 data directory `dir_ncep`.

275 It should be mentioned that our current support for this binary dataset workflow is for the GRIB1/GRIB2 data format only.  
276 The scripts are however self-contained and they can be easily extended to other reanalyses datasets in the NETCDF format by  
277 using the same functions and packages, as the GRIB data package `xarray` is very flexible and can handle both GRIB1/GRIB2  
278 and NETCDF data format readily. Our code is designed and packaged, using the Python dependency management and  
279 packaging tool `Poetry`, which makes it easy to port to another platform for comparison and reproduction.

## 280 **Code availability**

281 Both the code and dataset presented herein are fully accessible on our GitHub repository at <https://github.com/kieucq/tcg->  
282 binary-dataset. All Python codes follow the standard GNU Open Source Licence.

## 283 **References**

- 284 1. Yamaguchi, M. & Koide, N. Tropical cyclone genesis guidance using the early stage dvorak analysis and global ensembles.  
285 *Weather. Forecast.* **32**, 2133 – 2141, <https://doi.org/10.1175/WAF-D-17-0056.1> (2017).
- 286 2. Wang, Z. *et al.* Predictive skill and predictability of north atlantic tropical cyclogenesis in different synoptic flow regimes.  
287 *J. Atmospheric Sci.* **75**, 361 – 378, <https://doi.org/10.1175/JAS-D-17-0094.1> (2018).
- 288 3. Halperin, D. J., Penny, A. B. & Hart, R. E. A comparison of tropical cyclone genesis forecast verification from three  
289 global forecast system (gfs) operational configurations. *Weather. Forecast.* **35**, 1801 – 1815, <https://doi.org/10.1175/WAF-D-20-0043.1> (2020).
- 290 4. Halperin, D. J., Fuelberg, H. E., Hart, R. E. & Cossuth, J. H. Verification of tropical cyclone genesis forecasts from global  
291 numerical models: Comparisons between the north atlantic and eastern north pacific basins. *Weather. Forecast.* **31**, 947 –  
292 955, <https://doi.org/10.1175/WAF-D-15-0157.1> (2016).
- 293 5. Nguyen, Q. & Kieu, C. Predicting tropical cyclone formation with deep learning. *Weather. Forecast.* **39**, 241 – 258,  
294 [10.1175/WAF-D-23-0103.1](https://doi.org/10.1175/WAF-D-23-0103.1) (2024).

296 6. Murphy, K. P. *Machine learning: a probabilistic perspective* (MIT press, 2012).

297 7. Fenner, M. *Machine learning with Python for everyone* (Addison-Wesley Professional, 2019).

298 8. Fenza, G., Gallo, M., Loia, V., Orciuoli, F. & Herrera-Viedma, E. Data set quality in machine learning: Consistency  
299 measure based on group decision making. *Appl. Soft Comput.* **106**, 107366, <https://doi.org/10.1016/j.asoc.2021.107366>  
300 (2021).

301 9. Landsea, C. W. *et al.* A reanalysis of the 1911–20 atlantic hurricane database. *J. Clim.* **21**, 2138 – 2168, [10.1175/2007JCLI1119.1](https://doi.org/10.1175/2007JCLI1119.1) (2008).

302 10. Gray, W. M. The formation of tropical cyclones. *Meteorol. atmospheric physics* **67**, 37–69 (1998).

303 11. Emanuel, K. A. & Nolan, D. S. Tropical cyclone activity and the global climate system. *26th Conf. on Hurricanes Trop. Meteorol.* **10A.2** (2004).

304 12. Kieu, C. Q. & Zhang, D.-L. Genesis of tropical storm eugene (2005) from merging vortices associated with itcz breakdowns.  
305 part i: Observational and modeling analyses. *J. Atmospheric Sci.* **65**, 3419 – 3439, [10.1175/2008JAS2605.1](https://doi.org/10.1175/2008JAS2605.1) (2008).

306 13. Nolan, D., Rappin, E. D. & Emanuel, K. A. Tropical cyclogenesis sensitivity to environmental parameters in radi-  
307 ative–convective equilibrium. *Quart. J. Roy. Meteor. Soc.* **133**, 2085–2107 (2007).

308 14. Camargo, S. J., Tippett, M. K., Sobel, A. H., Vecchi, G. A. & Zhao, M. Testing the performance of tropical cyclone genesis  
309 indices in future climates using the hiram model. *J. Clim.* **27**, 9171–9196 (2014).

310 15. Tang, B. H. *et al.* Recent advances in research on tropical cyclogenesis. *Trop. Cyclone Res. Rev.* **9**, 87–105 (2020).

311 16. Kieu, C., Zhao, M., Tan, Z., Zhang, B. & Knutson, T. On the role of sea surface temperature in the clustering of global  
312 tropical cyclone formation. *J. Clim.* **1** – 39, [10.1175/JCLI-D-22-0623.1](https://doi.org/10.1175/JCLI-D-22-0623.1) (2023).

313 17. National Centers for Environmental Prediction, National Weather Service, NOAA, U.S. Department of Commerce. Ncep  
314 fnl operational model global tropospheric analyses, continuing from july 1999, <https://doi.org/10.5065/D6M043C6> (2000).

315 18. Hill, K. A. & Lackmann, G. M. The impact of future climate change on tc intensity and structure: A downscaling approach.  
316 *J. Clim.* **24**, 4644–4661, [10.1175/2011JCLI3761.1](https://doi.org/10.1175/2011JCLI3761.1) (2011).

317 19. Ferrara, M. *et al.* Large-scale control of the lower stratosphere on variability of tropical cyclone intensity. *Geophys. Res. Lett.* **44**, 4313–4323, <https://doi.org/10.1002/2017GL073327> (2017).

318 20. Downs, A. & Kieu, C. A look at the relationship between the large-scale tropospheric static stability and the tropical  
319 cyclone maximum intensity. *J. Clim.* **33**, 959 – 975, [10.1175/JCLI-D-19-0307.1](https://doi.org/10.1175/JCLI-D-19-0307.1) (2020).

320 21. Kieu, C. Q. & Wang, Q. Stability of tropical cyclone equilibrium. *J. Atmos. Sci.* **74**, 3591–3608 (2017).

321 22. Kieu, C. & Zhang, D.-L. The control of environmental stratification on the hurricane maximum potential intensity. *Geophys. Res. Lett.* **45**, 6272–6280, <https://doi.org/10.1029/2018GL078070> (2018).

322 23. Vu, T.-A., Kieu, C., Chavas, D. & Wang, Q. A numerical study of the global formation of tropical cyclones. *J. Adv. Model. Earth Syst.* **13**, e2020MS002207, <https://doi.org/10.1029/2020MS002207> (2021).

323 24. Knapp, K. R., Kruk, M. C., Levinson, D. H., Diamond, H. J. & Neumann, C. J. The international best track archive for  
324 climate stewardship (ibtracs). *Bull. Amer. Meteor. Soc.* **91**, 363–376 (2010).

325 25. Knapp, K. R. and H. J. Diamond and J. P. Kossin and M. C. Kruk and C. J. Schreck. International best track archive for  
326 climate stewardship (ibtracs) project, version 4, [doi:10.25921/82ty-9e16](https://doi.org/10.25921/82ty-9e16) (2018).

327 26. Nguyen, Q. Deep learning for tropical cyclone formation detection (ProQuest Dissertations Publishing, Indiana University,  
328 2023). 120p.

329 27. Kurihara, Y., Bender, M. A. & Ross, R. J. An initialization scheme of hurricane models by vortex specification. *Mon. weather review* **121**, 2030–2045 (1993).

330 28. Kieu, C. & Nguyen, Q. Tropical cyclone formation binary dataset. *Figshare. Dataset* <https://doi.org/10.6084/m9.figshare.24723453.v2> (2023).

338 **Acknowledgements**

339 This research is partially supported by the NSF grant # AGS-2309929. We thank Dr. Chris Landsea and an anonymous reviewer  
340 for their constructive comments and suggestions, which have helped improve the presentation of this dataset significantly.

341 **Author contributions statement**

342 CK conceived the ideas and wrote the paper. QN implemented the workflow and carried out model validation. Both authors  
343 analyzed the results and reviewed the manuscript.

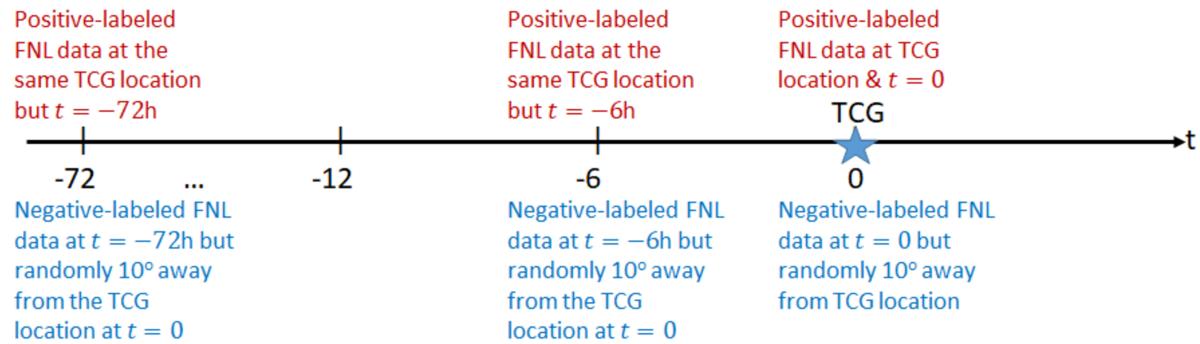
344 **Competing interests**

345 The authors herein declare no competing interests for this study.

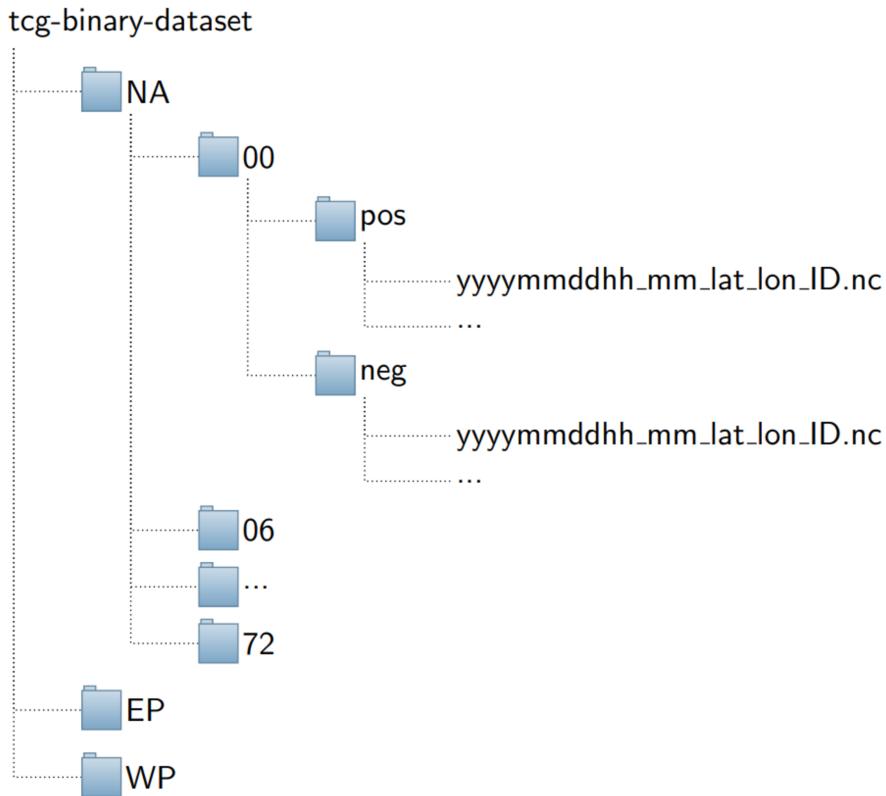
346 **Figures & Tables**

Variable	Vertical levels	Remarks
Absolute Vorticity ( $s^{-1}$ )	19	native NCEP pressure levels
Relative Humidity (%)	19	native NCEP pressure levels
Temperature (K)	19	native NCEP pressure levels
Geopotential Height (m)	19	native NCEP pressure levels
Vertical Wind ( $Pa\ s^{-1}$ )	19	native NCEP pressure levels
Zonal wind ( $m\ s^{-1}$ )	19	native NCEP pressure levels
Meridional wind ( $m\ s^{-1}$ )	19	native NCEP pressure levels
CAPE surface ( $J\ kg^{-1}$ )	1	surface level
Surface Temperature (K)	1	surface level
Tropopause Temperature (K)	1	tropopause level
Tropopause height (m)	1	tropopause level

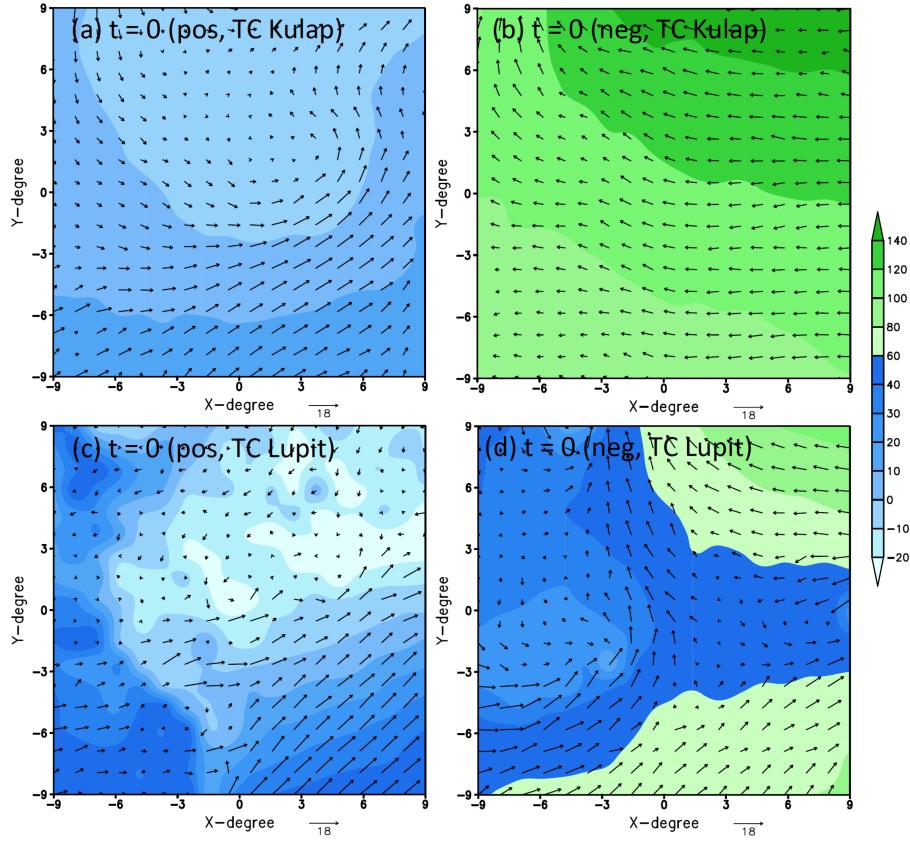
**Table 1.** A list of variables extracted from the NCEP/FNL data for the TCG binary dataset



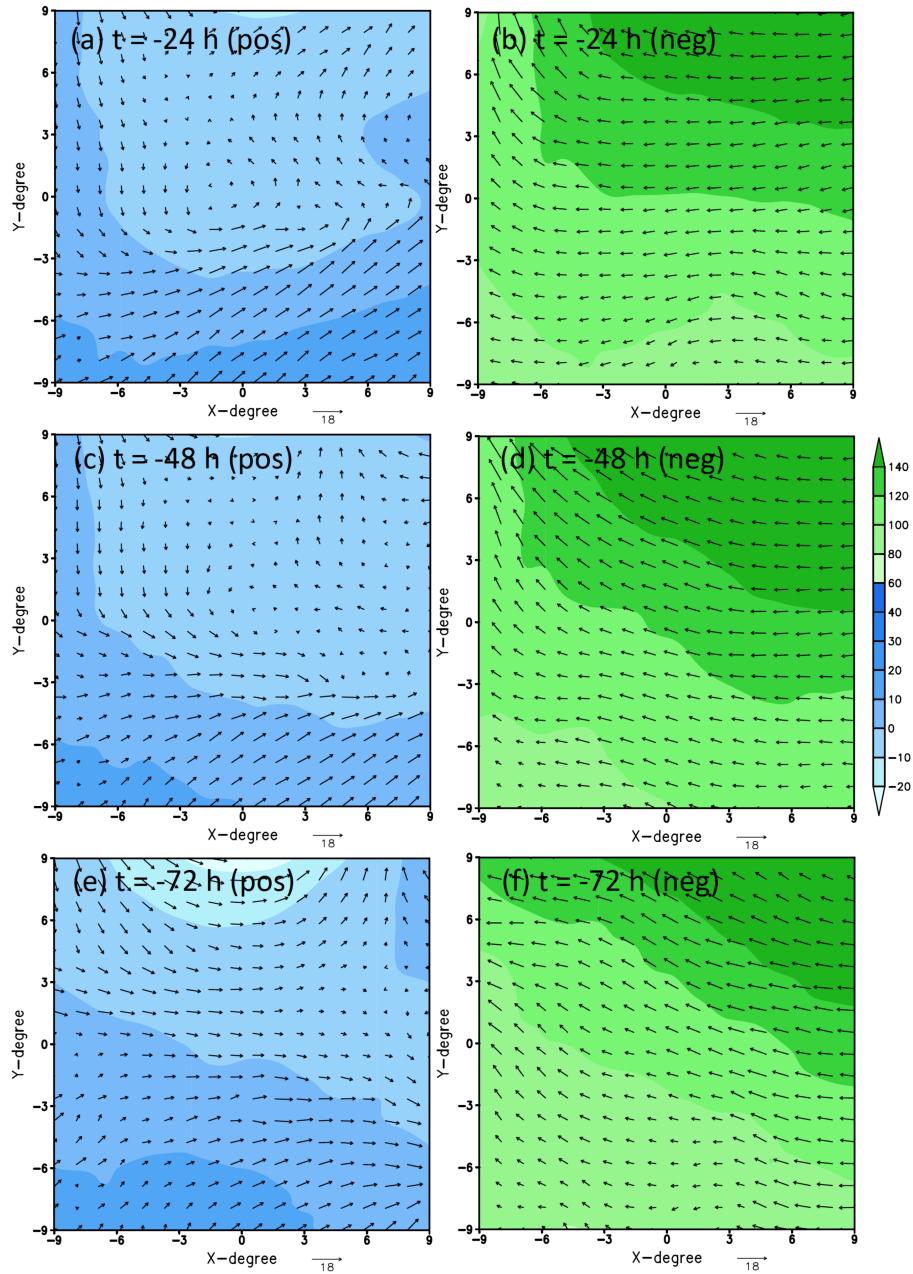
**Figure 1.** Illustration of the binary dataset generation for a TCG event at different lead times  $\tau = -72, \dots, -12, -6, 0$  h relative to the TCG moment (star).



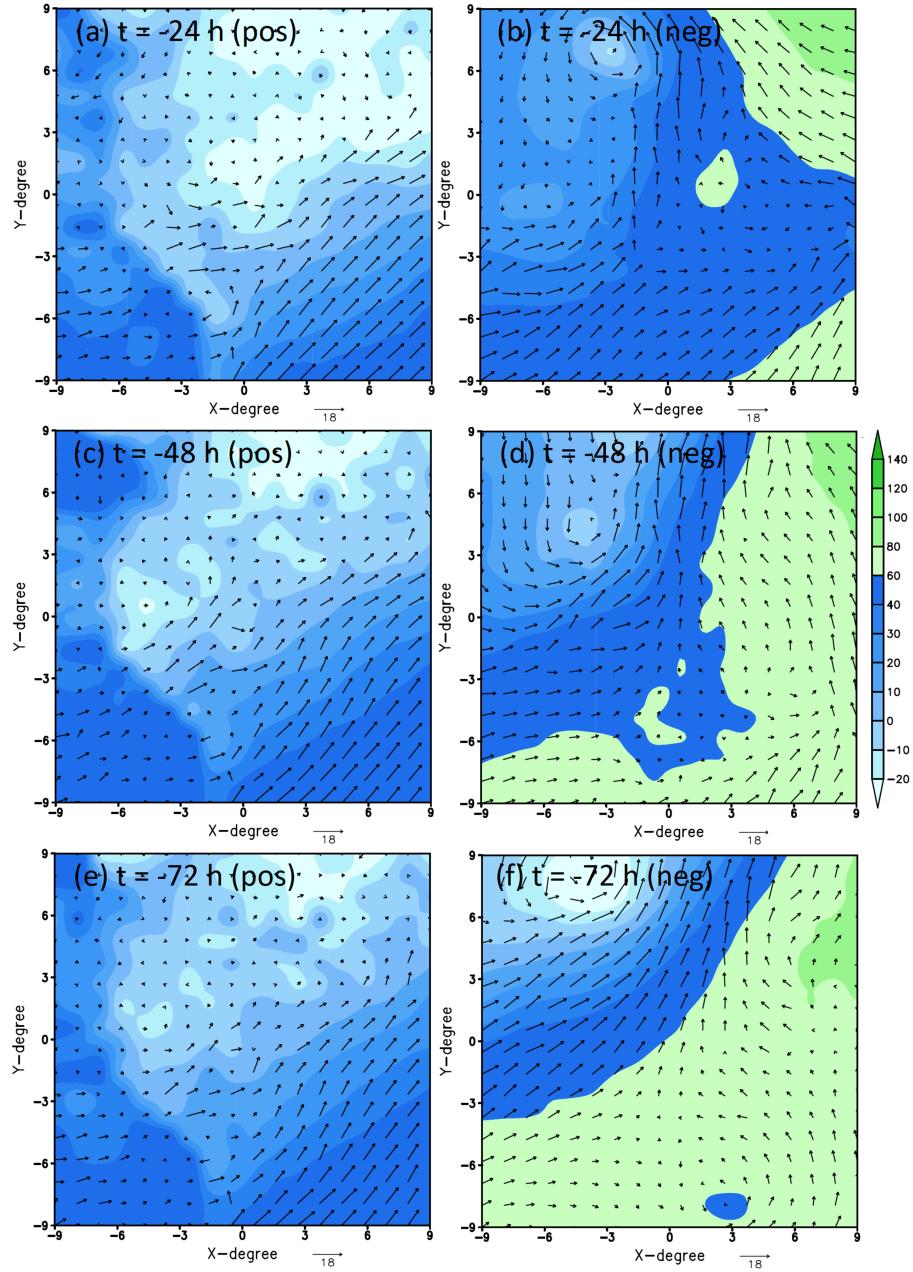
**Figure 2.** Directory structure of the binary TC formation dataset. Note that the parameters (lat,lon) in each file name indicate the latitude and longitude of the domain center where the data is centered. For the positive-labeled data, this (lat,lon) is the location of TC genesis valid at  $t = 0$ , which is the first time a genesis event is recorded in the best track data. For the negative-labeled data, this is the location where the data is extracted and valid at the same time as its corresponding positive-labeled data. For all other directories 12, 24,...,72, these are the time prior to the genesis moment.



**Figure 3.** The horizontal cross sections at  $z = 950$  hPa of the geopotential height perturbation (shaded, unit gpm) and the corresponding horizontal wind (vectors, unit  $\text{ms}^{-1}$ ) for the genesis of Typhoon Kupat valid at 1800 UTC, September 5, 2011 for a) a positive sample, and b) a negative sample valid at the same time; (c)-(d) similar to (a)-(b) but for Typhoon Lupit valid at 0600 UTC, August 02 2021.



**Figure 4.** Similar to Figure 2a-b but for 24, 48, and 72h prior to the genesis of Typhoon Kupat.



**Figure 5.** Similar to Figure 2c-d but for 24, 48, and 72h prior to the genesis of Typhoon Lupit.