

# A SURVEY OF GRAVITATIONAL WAVES

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## ABSTRACT

We review the state of the field of gravitational wave astrophysics, framing the challenges, current observations, and future prospects within the context of the predictions of Einstein's theory of general relativity.

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## 1. INTRODUCTION

This article is meant to serve as an overview of the current state of the field of gravitational wave astrophysics. It is not meant to be comprehensive, or a reference for experts, but rather an introduction to this nascent field of observational science, targeted toward mathematicians and scientists. The three primary goals are (a) to give a sufficient introduction to the physics of general relativity to appreciate the challenges of gravitational wave detection, as well as the remarkable nature of sources of gravitational waves in the dynamical, strong field regime of the theory, (b) to review what has been learnt about the Universe from the gravitational wave signals detected to date by the LIGO (Laser Interferometer Gravitational-Wave Observatory)/Virgo detectors, and (c) to briefly speculate about future discoveries that will unfold over the coming decades as a variety of observational campaigns are undertaken. To set the stage then, in Section 2 we review the underlying theoretical framework, Einstein's theory of general relativity, focusing on the nature of gravitational waves and how they are produced. In Section 3 we briefly survey the current detectors and observational campaigns, either in operation today or planned for the coming decade or two: ground-based detectors (as LIGO/Virgo), the space-based mission LISA (Laser Interferometer Space Antenna), pulsar timing arrays, and the search for B-mode polarization of the cosmic microwave background (CMB).

LIGO measured the first gravitational wave signal, GW150914, in 2015, which is interpreted as originating from the merger of two black holes [1]. Since then, LIGO/Virgo has observed almost 100 additional signals, most also from binary black hole mergers, though a small handful likely coming from black hole/neutron star or binary neutron star mergers. However, the loudest event to date, GW170817, was a binary neutron star merger, as confirmed by a spectacular suite of electromagnetic observations of its aftermath. In Section 4 we review these observations, and what they have so far taught us about the Universe. Highlights are the first quantitative evidence that black holes as described by Einstein's theory do in fact exist, that the speed of gravitational waves is equal to that of the speed of light to within  $\sim 1$  part in  $10^{15}$ , and that neutron star mergers are responsible for at least a class of the mysterious so-called short gamma ray bursts (observed at a rate of about one every 3 days by special purpose satellites designed for this).

We conclude in Section 5 with speculations on the coming two decades of gravitational wave astronomy.

## 2. EINSTEIN GRAVITY

The working hypothesis upon which the science of gravitational wave astrophysics is built is that “gravity” is described by Einstein's classical theory of general relativity. This begins by positing that space and time taken together, or spacetime for short, has the structure of a 4-dimensional Lorentzian geometry. A convenient way to describe this geometry is via the metric tensor  $g_{ab}$ , defined in a coordinate basis through the line element

$$ds^2 = g_{ab}dx^a dx^b, \quad (1)$$

which gives the local, infinitesimal proper distance-squared  $ds^2$  as a quadratic form of an arbitrary infinitesimal coordinate displacement  $dx^a$  (we use the Einstein summation convention where repeated indices in a tensor expression imply summation). The phrase *proper distance* means the coordinate invariant, physically measurable length or time interval, in contrast to a coordinate distance in some (arbitrary) coordinate system. The Lorentzian (indefinite) character of the metric is crucial, as it allows one to define causality through geometry: two different events are causally related if and only if there exists at least one curve connecting them where the proper distance along the curve is everywhere timelike,  $ds^2 < 0$ , and/or null,  $ds^2 = 0$  (the sign convention for timelike  $ds^2 < 0$  versus spacelike  $ds^2 > 0$  is arbitrary).

The second key postulate of general relativity is that the geometry of spacetime relevant to the Universe is not a fixed structure given *a priori*, but instead is a dynamical entity governed by the Einstein field equations:

$$G_{ab} \equiv R_{ab} - \frac{1}{2}Rg_{ab} = \frac{8\pi G}{c^4}T_{ab}, \quad (2)$$

where the Einstein tensor  $G_{ab}$  is defined as above in terms of the Ricci tensor  $R_{ab}$  and Ricci scalar  $R$ ,  $T_{ab}$  is the stress–energy–momentum tensor of the matter content of the Universe,  $G$  is Newton’s constant, and  $c$  is the speed of light. General relativity ignores torsion, which is thought would only be needed to describe matter with intrinsic spin, and is expected to be irrelevant for macroscopic distributions of matter in the classical limit. Thus all tensors appearing in (2) are symmetric. In terms of practically solving this equation, one views the Einstein tensor as a second order, quasilinear partial differential operator acting on the metric tensor  $g_{ab}$ . In 4 spacetime dimensions, this gives a set of 10 coupled equations for the independent components of  $g_{ab}$ , and must be solved simultaneously with the additional equations governing the matter fields in  $T_{ab}$ . It is obvious from (2) then that matter ( $T_{ab}$ ) will influence the dynamics and curvature of spacetime. Less obvious is even in the absence of matter ( $T_{ab} = 0$ ) nontrivial, dynamical solutions exist: most interesting among these are those describing black holes and gravitational waves.

It is often stated that a third key postulate of general relativity is the *geodesic hypothesis*: a test body not subject to any force follows a geodesic of the spacetime (a test body is one with insufficient energy to cause any noticeable perturbation on the surrounding geometry). However, perhaps more fundamentally, geodesic motion in the test body limit can be viewed as coming from energy/momentum conservation, which is already built into the Einstein equations and does not need to be imposed as a separate hypotheses. This follows from the contracted Bianchi identities, showing that the Einstein tensor necessarily has vanishing divergence  $\nabla_a G^{ab} = 0$ . Thus, any matter that can self-consistently be coupled to spacetime through the Einstein equations (2) must have a divergenceless stress tensor  $\nabla_a T^{ab} = 0$ , the latter equation being the covariant statement of the conservation of energy/momentum of the matter. Likewise, pure spacetime energy, whether in the form of gravitational waves, or confined to black holes, will exhibit similar dynamics in an equivalent test body limit. For example, in vacuum an infinitesimal mass black hole will orbit a large (finite mass) black

hole following a geodesic of the latter's spacetime by virtue of the vacuum Einstein equations alone, and not any additional hypothesis one needs to supply.

If the nature of spacetime is as described by general relativity, the most immediate consequences of this are well described by Newtonian's theory of gravity in the weak field limit (for example, our environment here on Earth and in the solar system). This is why Einstein's theory is also called a theory of gravity despite there being no gravitational force in general relativity.

## 2.1. Gravitational waves

It is not possible to precisely define what a gravitational wave is in all scenarios. For our purposes, it suffices to think of gravitational waves as small, local disturbances in spacetime that propagate at the speed of light. In an asymptotically flat space time (the metric at large distances from any source of curvature approaches that of special relativity—Minkowski spacetime) the properties of gravitational waves can be defined more precisely. Our Universe is *not* asymptotically flat, though with appropriate accommodation for the overall cosmic expansion with time, to good approximation we can consider ourselves to be in an asymptotically flat region relative to any source we expect to observe.

Regarding sources of gravitational waves, there are two broad classes. First is what one traditionally thinks of as a source: at some place a localized event occurs that produces gravitational waves over a period of time, and these waves then stream outward away from the source. Second are “primordial” gravitational waves, namely an overall background of gravitational waves filling all of space, having been produced in an earlier epoch of the evolution of the Universe. In some cases the distinction between these classes is blurred; for example, a sufficiently high density of localized sources emitting over a long period of time will eventually also fill the observable Universe with a background of gravitational waves. In these settings then, we next review some of the basic properties of gravitational waves, and how they are produced.

### 2.1.1. Basic properties of gravitational waves in the weak field limit

Consider a metric perturbation  $h_{ab}$  about a background Minkowski spacetime  $\eta_{ab}$ , i.e.,  $g_{ab} = \eta_{ab} + h_{ab}$  with  $\eta_{ab}dx^a dx^b = -c^2 dt^2 + dx^2 + dy^2 + dz^2$  in Cartesian coordinates. Then the linearized Einstein equations show that general relativity allows two linearly independent gravitational wave solutions for  $h_{ab}$ , or so-called *polarizations*,<sup>1</sup> propagating in any given direction. Even restricting the background metric to be in Cartesian form, there is still much coordinate (or “gauge”) freedom to choose the representation of the solution. A gauge commonly used is the so-called *transverse traceless* gauge, and in these coordinates a wave propagating in the  $+z$  direction (for example) takes the form (e.g., [18, 29])

$$h_{ab}dx^a dx^b = h_+(t - z/c)[dx^2 - dy^2] + h_\times(t - z/c)[2dxdy]. \quad (3)$$

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<sup>1</sup> In principle, a general metric theory of gravity can allow up to 6 linearly independent polarizations; see, e.g., [53].

Here  $h_+$  and  $h_\times$  are arbitrary (but small amplitude) functions of their arguments, and describe the so-called *plus* and *cross* polarized waves, respectively. From (3) one can see that gravitational waves in general relativity are transverse, namely they only perturb the background metric along a plane (the  $(x, y)$  plane in this example) orthogonal to the direction of propagation ( $z$  here). Equation (3) also shows that as a plus polarized wave passes a given point, when  $h_+ > 0$ , it will stretch proper distances in  $x$  by  $\sqrt{1 + h_+}$  while simultaneously squeezing distances in  $y$  by  $\sqrt{1 - h_+}$ , and the opposite when  $h_+ < 0$ . The effect of the cross-polarized wave on the transverse geometry is qualitatively the same, except the directions of stretching/squeezing are rotated by  $45^\circ$  about the  $z$  axis relative to that of the plus polarized wave.

The energy flux density carried by these waves is

$$\frac{dE}{dAdt} = \frac{c^3}{16\pi G} \left\langle \left( \frac{dh_+}{dt} \right)^2 + \left( \frac{dh_\times}{dt} \right)^2 \right\rangle, \quad (4)$$

where  $dA$  is the transverse area element, and the angle brackets denote a time average over a characteristic period of the wave (the reason for the averaging is that gravitational wave energy cannot be localized—see, e.g., [41]). A truly infinite plane wave such as (3) will have infinite total energy, which is not consistent with an asymptotically flat space time when backreaction is taken into account. However, sufficiently far from a local source (as discussed below) the outgoing spherical wavefronts are locally well approximated by these plane wave solutions. Similarly, an on-average homogeneous, primordial stochastic background that fills all of spacetime cannot be asymptotically flat when backreaction is considered,<sup>2</sup> but still the above (generalizing to superpositions of plane waves traveling in all directions) can give a good description of the geometry in any local patch of the spacetime.

Notice the way  $G$  and  $c$  appear in (4), and hence the dimension-full constant relating energy flux on the left-hand side to the time derivative of metric strain on the right-hand side: in SI units  $c^3/G \sim 10^{36} \text{J} \cdot \text{s}/\text{m}^2$ . This implies, at least from the perspective of our everyday intuition of energy and length scales, that it requires an enormous amount of energy to perturb spacetime by a comparatively miniscule amount. This is the reason why it is completely impractical to study gravitational waves by building transmitters/receivers on Earth in analogy with electromagnetic waves. Instead, we must look to cataclysmic gravitational wave “explosions” in the cosmos, such as those produced by black hole mergers, and even then, despite the astonishing sensitivity of the LIGO/Virgo detectors, we are now just barely able to observe them.

Regarding localized sources of gravitational waves, good insight can again be obtained from linearized theory, resulting in the so-called quadrupole formula. Here, one assumes a weak field, slowly varying distribution of energy density  $\rho(t, x, y, z)$ . This will emit gravitational waves propagating outward that at a large distance  $r$  from the source takes

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<sup>2</sup> Instead, then one obtains the Friedmann–Robertson–Lemaître–Walker (FRLW) asymptotics that observations indicate describe our Universe on very large scales.

the following form in terms of the spatial components of the metric perturbation  $h_{ij}$ :

$$h_{ij}(t, r) = \frac{1}{r} \frac{2G}{c^4} \frac{d^2 \mathcal{J}_{kl}(t - r/c)}{dt^2} \left[ P_i^k P_j^l - \frac{1}{2} P^{kl} P_{ij} \right], \quad (5)$$

with all indices here only running over the spatial coordinates  $x_i \in (x, y, z)$  (in transverse traceless gauge there are no time–time or space–time propagating components of  $h_{ab}$ ),  $\mathcal{J}_{ij}$  is the reduced quadrupole moment tensor of the source, and the projection tensor  $P_{ij} \equiv \delta_{ij} - n_i n_j$ , where  $n^i$  is a unit spatial vector pointing from the origin  $r = 0$  to the observer location  $r = \sqrt{x^2 + y^2 + z^2}$ ;  $\mathcal{J}_{ij}$  is defined in terms of the quadrupole moment tensor  $I_{ij}$  as

$$\mathcal{J}_{ij} \equiv I_{ij} - \frac{1}{3} I^k_k \delta_{ij}, \quad I_{ij}(t) \equiv \int x_i x_j \rho(t, x, y, z) dV, \quad (6)$$

where the integration is over all of space at some instant of time  $t$ , but note that in deriving (5) the source is assumed to be localized in space around  $r = 0$ , and the observer location  $r \gg 0$  is assumed to be in vacuum.

Several properties of gravitational wave emission are evident from (5). First, unsurprisingly, the outgoing wave propagates at the speed of light, and its amplitude decays with distance like  $1/r$  from the source. Second, similar to that implied by the energy expression in (4), the factor of  $G/c^4 \sim 10^{-44} \text{ s}^2/\text{kg/m}$  illustrates what extreme dynamics, in the form of rapid accelerations of large energy densities, need to be present in the source to produce nonnegligible metric perturbations. Third, it is only the acceleration of *asymmetric* concentrations of energy that produce gravitational waves in general relativity; for example, a spherically symmetric pulsating star cannot produce any gravitational waves.

### 2.1.2. Weak field emission from a compact object binary

Though it is not obvious from the discussion above, it turns out that the quadrupole formula (5) gives a good approximation to the gravitational wave emission even for certain strong field sources, and even if the energy density is purely gravitational, such as with black hole binaries. Another property of binary systems in general relativity that we will simply mention without giving further details is that backreaction from the loss of energy to gravitational wave emission not only causes the semimajor axis of the binary to decrease (as anticipated by Newtonian energy balance), but it also reduces the eccentricity of the binary with time. LIGO/Virgo is only sensitive to the very last stages of binary inspiral, and the majority of observable systems are thus expected to have close to zero eccentricity. In all then, to get a good understanding of the structure of gravitational waves emitted by such a so-called quasicircular inspiral, we can evaluate the quadrupole formula (5) for two point masses  $m_1$  and  $m_2$  orbiting each other on a circle separated by a distance  $D$ , with orbital frequency  $\omega$ , which for large separations is well approximated by the Keplerian result  $\omega = \sqrt{GM/D^3}$ , with  $M = m_1 + m_2$ . For a binary orbiting in the  $z = 0$  plane about  $r = 0$ , using spherical polar coordinates to label the observer location  $(x, y, z) = (r \cos \phi \sin \theta, r \sin \phi \sin \theta, r \cos \theta)$ , and expressing the answer in terms of the two polarization amplitudes in the plane orthogonal

to the propagation vector  $n^i$ , gives

$$h_+(t, r, \theta, \phi) = \frac{1}{r} \frac{4G}{c^4} \mu D^2 \omega^2 \cos(2\omega(t - r/c) - 2\phi) \left[ \frac{1 + \cos^2 \theta}{2} \right], \quad (7)$$

$$h_\times(t, r, \theta, \phi) = \frac{1}{r} \frac{4G}{c^4} \mu D^2 \omega^2 \sin(2\omega(t - r/c) - 2\phi) \cos \theta, \quad (8)$$

where  $\mu = m_1 m_2 / (m_1 + m_2)$  is the reduced mass of the binary, and an arbitrary initial phase was set to zero. The corresponding orbit-averaged energy fluxes, from (4), are

$$\frac{dE_+}{dAdt} = \frac{2}{\pi r^2} \frac{G}{c^5} \mu^2 D^4 \omega^6 \left[ \frac{1 + \cos^2 \theta}{2} \right]^2, \quad (9)$$

$$\frac{dE_\times}{dAdt} = \frac{2}{\pi r^2} \frac{G}{c^5} \mu^2 D^4 \omega^6 \cos^2 \theta. \quad (10)$$

Integrating these over the sphere gives the net radiated power in the two modes

$$\frac{dE_+}{dt} = \frac{56}{15} \frac{G}{c^5} \mu^2 D^4 \omega^6, \quad (11)$$

$$\frac{dE_\times}{dt} = \frac{8}{3} \frac{G}{c^5} \mu^2 D^4 \omega^6. \quad (12)$$

Several interesting properties are apparent from expressions (7)–(12): the observed gravitational wave frequency is twice the orbital frequency, the orbit averaged amplitudes (hence energy fluxes) are not isotropic in latitude, nor is emission equally balanced between the plus and cross polarizations. Also, as expected, the emission vanishes in the test body limit  $\mu \rightarrow 0$ . The total energy flux  $dE/dt = 32G\mu^2 D^4 \omega^6 / 5c^5$ , or using the Kepler relation for  $\omega(D)$ , is

$$\frac{dE}{dt} = \frac{32G^4 M^3 \mu^2}{5c^5 D^5} = \frac{32G^{7/3} M^{4/3} \omega^{10/3} \mu^2}{5c^5}. \quad (13)$$

This illustrates how sensitive the luminosity is to orbital separation  $D$  or frequency  $\omega$ .

Note again that equations (7)–(13) do *not* include back reaction; we have simply evaluated the quadrupole formula for two point masses moving in a circular orbit. To obtain the so-called Newtonian quasicircular approximation to estimate the radiation reaction on the orbit, one elevates the frequency (or equivalently separation) to a function of time  $\omega(t)$ , assumes the Newtonian expression for the energy of the orbit, and uses the latter together with the total luminosity of the binary to derive an equation for the evolution of  $\omega(t)$  consistent with total energy conservation. The result is

$$\omega^{-11/3} \frac{d\omega}{dt} = \frac{96}{5} \nu \left( \frac{GM}{c^3} \right)^{5/3}, \quad (14)$$

where  $\nu = \mu/M$  is the symmetric mass ratio of the binary. It is essentially a measurement of (14) from the famous Hulse–Taylor binary pulsar that gave the first (indirect) evidence for the existence of gravitational waves, and that the weak-field description of the emission process is consistent with general relativity.

### 2.1.3. Strong field gravitational wave emission

In contrast to the other fundamental laws of physics, the strongly interacting, or strong field, regime of *classical* general relativity is not associated with any particular scale within the theory. Or said another way, general relativity is a geometric theory, but there is no fundamental constant of dimension length in the field equations that would describe a radius of curvature to demark a scale where a qualitative change in the character of solutions might occur. Despite that, general relativity *does* have a strong field regime, essentially because the field equations are nonlinear. In contrast, Newtonian gravity, a scale-free linear theory, does not have a strong field regime: the Newtonian gravitational force can certainly be “strong,” but it is not qualitatively different from a “weak” Newtonian gravitational force—they only differ in magnitude.

In general relativity there is no universal criteria for when nonlinear effects become significant enough to qualitatively change solutions, though for spherical-like compact objects in asymptotically flat spacetime there is a good heuristic understanding: if an amount of energy  $Mc^2$  is confined to a region within a radius (roughly) smaller than its so-called Schwarzschild radius  $R_s = 2GM/c^2$ , the geometry of spacetime qualitatively changes character compared to a less compact distribution of energy. In particular, spacetime necessarily becomes dynamical, undergoing what is called *gravitational collapse*, and some kind of spacetime singularity forms in the interior. A version of Penrose’s cosmic censorship conjecture argues that generically one expects an event horizon to form about the collapsing region of spacetime [43], i.e., from an exterior observer’s perspective a black hole forms. If the collapsing region is much more elongated (more cylindrical rather than spherical), Thorne’s hoop conjecture argues a naked singularity would form instead [52], though there are comparatively few studies of such asymmetric collapse, nor indications that such scenarios arise in astrophysical settings.

Regarding sources of gravitational waves, again it is not easy to define when we are in the strong versus weak field emission regime, though for binary inspiral we can heuristically characterize the differences. In the weak field the linearized results described in the previous section are quite accurate. Somewhat surprisingly, as mentioned, the weak field description can still be good even if the individual members of the binary by themselves require strong field gravity to describe their local geometries (case in point the Hulse–Taylor binary pulsar, as a neutron star’s radius is only a factor of 3 or so larger than its Schwarzschild radius). A strong field description for a compact binary interaction is necessary either when the two objects come close enough that the local geometry of one object is significantly perturbed by the other (i.e., the point mass approximation breaks down), or the metric perturbation  $h_{ab}$  of the observed radiation, when “scaled back” by  $r$  to the location of the source, becomes of order unity.

Interestingly, the radiative perturbation reaching of order unity coincides with the gravitational wave luminosity approaching the Planck luminosity,  $L_p = c^5/G$ . Planck units are a set of units based on the dimensionful constants one can obtain from the simplest products of powers of the fundamental constants of nature, in particular  $G$ ,  $c$ , Planck’s constant  $h$ ,



and the Boltzmann constant  $k$ . It is theorized that “quantum gravity” effects become important when any relevant physical scale in a process becomes of order unity when measured in Planck units. The Planck luminosity does not involve Planck’s constant, the hallmark of quantum processes, yet still, exceeding  $L_p$  in a local interaction does seem to anticipate evolution to a regime where quantum gravity would be necessary. The reason is based on dimensional analysis, together with the above heuristic for when one expects gravity to be so strong that a black hole would be present, as follows.<sup>3</sup> Consider a causal process confined to a volume of characteristic size  $2R$ , emitting gravitational waves with total energy  $E$ . For the gravitational waves by themselves to not have enough energy to form a black hole requires  $R$  to be larger than the effective Schwarzschild radius  $2GE/c^4$  of the gravitational wave energy, or  $E < c^4 R/2G$ . If not confined to a black hole, these gravitational waves will leak out on a light crossing time of the system  $T = 2R/c$ , implying a luminosity limit of  $L = E/T < L_p/4$ . Or conversely, a process emitting at super-Planck luminosity is necessarily confined to a black hole, hence censored from exterior observation, and whose interior would require some form of quantum gravity for a complete description.

#### 2.1.4. Strong field emission from a compact object binary

For a quasicircular binary black hole merger, the weak field description breaks down primarily because of finite size effects (the two horizons fuse together), and less so because of high gravitational wave luminosity, which “only” reaches up to around  $10^{-3}L_p$  for equal mass mergers ( $\mu = M/4$ ) as computed via full numerical solutions [45].<sup>4</sup> To put this number in context, the sun’s luminosity in light is  $\sim 10^{-26}L_p$ ; thus, for the brief moment about the time of merger, a binary black hole radiates as much power in gravitational waves as  $10^{23}$  suns do in light—that is comparable to the current estimated luminosity of *all* stars in the visible Universe combined. That gravitational wave energy liberated from black hole mergers does not dominate the energy content of the Universe is in part because they are so rare, and in part because this incredible luminosity only lasts for a short time. For example, with GW150914, the merger of two black holes each roughly 30 times the mass of the sun  $M_\odot$ , the luminosity integrated over the entire inspiral and merger came to about  $3M_\odot c^2$ ; the majority of this was emitted within a few tens of milliseconds [1].

The quadrupole formula based calculation (13) does a decent job of anticipating these properties, both the rapid increase in luminosity approaching merger, and the ballpark

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**3** To our knowledge, arguments like this were first proposed by Dyson in thought experiments on whether a single “graviton,” the hypothetical quantum particle of geometry, could be detected [25].

**4** A binary neutron star merger has a peak luminosity a couple of orders of magnitude lower than that of a binary black hole merger. Finite size effects are more pronounced for neutron stars at late stages of the inspiral due to their higher tidal deformability, and, of course, when they finally collide the point mass approximation used in (7)–(13) completely breaks down. If the neutron star does not promptly collapse to a black hole, the gravitational wave emission of the remnant can still qualitatively be understood using weak field/quadrupole-formula type analysis, though the complicated dynamics of the matter in the remnant would not be easy to compute without a numerical solution.

maximum, if for the latter we take some liberty in interpreting when the inspiral should terminate. Rewriting the distance  $D$  between the two point masses in (13) as a fraction  $D_s$  of the Schwarzschild radius  $2GM/c^2$  of the combined mass  $M$  of the system, i.e.,  $D = D_s \cdot 2GM/c^2$ , for the equal mass  $\mu = M/4$  case gives

$$\frac{dE}{dt} = \frac{L_p}{80D_s^5}. \quad (15)$$

Clearly, the maximum inspiral luminosity depends quite sensitively on  $D_s$ . For an upper limit, one would not expect this to be remotely accurate if  $D_s < 1$ , as then the two horizons of the individual black holes would already be overlapping. With  $D_s \sim 1$ ,  $dE/dt \sim 10^{-2} L_p$ . For a lower limit estimate, one can appeal to a result from circular geodesics, where the inner most stable orbit is at  $R = 3R_s$ , and then a small loss of angular momentum will cause the geodesic to plunge into the black hole. Setting  $D_s \sim 3$  for the maximum in (15) thus amounts to assuming that for comparable mass mergers a similar instability sets in that accelerates the merger beyond what radiation reaction does by itself; this gives  $dE/dt \sim 10^{-4} L_p$ .

Of course, for these back-of-the-envelope estimates to have any relevance to the maximum merger luminosity requires that the actual collision of two black holes is not much more violent than the last stages of inspiral. In fact, before numerical solutions become available, it was unknown whether black hole collisions would generically even adhere to cosmic censorship, let alone how bright they ultimately were. If a merger does satisfy cosmic censorship, the no-bifurcation theorem of Hawking would apply, telling us two black holes must fuse into a larger one [35]; then also, by Hawking's area theorem [34], one can place limits on the maximum amount of energy that could be liberated in this most nonlinear phase of the merger. If a naked singularity is produced, classical general relativity will cease to predict the spacetime to the causal future of this event, and we would have no idea what the remnant of such a black hole collision is. Fortunately for our ability to predict waveforms to interpret LIGO events, but unfortunately for our ability to use black hole mergers to give an observational glimpse into the mystery of quantum gravity, there is no example yet from a merger simulation that shows any violation of cosmic censorship, or anomalously large curvatures forming exterior to the existing horizons.<sup>5</sup>

Though likely not relevant to the kind of black hole mergers that occur in the Universe, there is a regime of the two body problem where it is large gravitational energy that pushes the interaction to the nonlinear regime, and not any finite size effects: the ultrarelativistic scattering problem. Here, one imagines shooting two black holes toward each other at very high velocities, so that in the center of mass frame of the interaction the kinetic energy of either black hole is much greater than its rest mass energy:  $(\gamma_i - 1)m_i c^2 \gg m_i c^2$ , with

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<sup>5</sup> In spacetime dimensions above four, there are examples of (apparent) naked singularity formation from fragmentation of unstable horizons [40], and hints that certain collisions may also lead to naked singularities [42]. Though the kind of microscopic extra dimensions that could exist while still evading experimental detection will not cause instabilities in astrophysically sized black holes, and then the effective four dimensional simulations used to study the latter should be quite accurate.

$\gamma_i = 1/\sqrt{1 - v_i^2/c^2}$ . Though few detailed results are available for the case with generic impact parameter  $b$ , it is expected that when  $b$  is of order a few times or less than that of the Schwarzschild radius  $R_s = 2GE/c^4 = 2G(\gamma_1 m_1 + \gamma_2 m_2)/c^2$  of the system (and note that this scale is much larger than the Schwarzschild radii of either black hole when  $\gamma_i \gg 1$ ), a sizable fraction of the kinetic energy can be converted to gravitational wave energy on a time scale  $R_s/c$ . Moreover, for  $b \lesssim R_s$ , an encompassing black hole forms, trapping most of the kinetic/gravitational wave energy. Again, exactly how much is not known for generic  $b$ , though for  $b = 0$  numerical simulations show  $\sim 14\%$  of  $E$  is liberated as gravitational wave energy, with the remainder trapped [51]. It has been conjectured that the highest luminosity will be reached at the critical impact parameter  $b_{crit}$  marking the threshold of formation of a central black hole (for larger impact parameters the two black holes will fly apart again) [47]. Then, essentially all of the kinetic energy ( $\approx E$ ) is expected to be converted to gravitational wave energy, though due to how strongly this seems to be focused inward when produced, only about half of this energy may likely escape as gravitational waves [33, 50]. The other half will then be trapped in the central black hole for  $b < b_{crit}$ , or the two individual black holes for  $b \gtrsim b_{crit}$  (whose local Schwarzschild radii would consequently grow by an enormous amount).

A fascinating conjectured aspect of the ultrarelativistic scattering problem is that it actually does not matter what the source of the kinetic energy is, be it black holes, or some compact distribution of matter, such as a neutron star, or even a fundamental particle. It is this conjecture behind the arguments that the Large Hadron Collider(LHC) [24, 32], or cosmic ray collisions with the earth's atmosphere [27], could produce black holes in certain extra dimension scenarios which give a much lower Planck luminosity than our (then erroneous) 4-dimensional analysis predicts. To date, numerical evidence in favor of this “matter does not matter” conjecture has only been obtained for a few select matter models in the head-on collision limit [23, 26, 46].

### 2.1.5. The ringdown

Due to the uniqueness, or “no hair” theorems of general relativity [21, 35, 37, 48], the two-parameter (mass and angular momentum) Kerr family of metrics are the only vacuum, stationary, asymptotically flat black hole solutions without any exterior (naked) singularities allowed by general relativity in four spacetime dimensions. Taken by itself, this would suggest that either black holes are sets of measure zero and not relevant to realistic gravitational collapse (the Kerr solutions being axisymmetric and stationary), or Kerr black holes are in a sense dynamical attractors where once an asymmetric, dynamical horizon forms, evolution causes the exterior spacetime to “loose its hair” and settle down to a Kerr solution. The latter is a special case of Penrose’s *final state conjecture* [44]: the generic endstate of evolution governed by general relativity, beginning with naked-singularity free vacuum initial data on a Cauchy slice of an asymptotically flat spacetime, is a set black holes flying apart, the local geometry of each approaching that of a given member of the Kerr family, together with gravitational waves streaming outward to null infinity. Indeed, this is what seems to generically

happen in gravitational collapse studies and merger simulations to date. In particular, for both quasicircular inspirals and ultrarelativistic scattering with  $b < b_{crit}$ , once a single common horizon forms, the spacetime rapidly settles down to a Kerr black hole. This is accompanied by the emission of gravitational waves, whose characteristics are largely determined by the quasinormal mode oscillation spectrum of the remnant black hole. In analogy with a bell emitting decaying sound waves after it is hit, this is called the *ringdown* of the black hole. The least damped mode of a Kerr black hole is the  $\ell = m = 2$  spherical harmonic mode. The damping rate decreases with the spin of the black hole, approaching zero for the maximally spinning (extremal) black holes allowed in general relativity. However, the spins of remnants produced in comparable mass mergers, as observed by LIGO/Virgo, are sufficiently far from extremal that their ringdown phases are very short, damping exponentially with a characteristic e-fold time on order-of-magnitude the light-crossing time  $R_s/c$  of the remnant.

### 3. GRAVITATIONAL WAVE OBSERVATIONAL LANDSCAPE

In this section we outline what the current and planned near future observational campaigns to witness the Universe in gravitational waves are. Gravitational wave “observatories” fall into two categories: those that people have built specifically for this purpose, and those that the Universe has fortuitously provided us. The former include earlier resonant bar detectors pioneered by Joseph Weber, the LIGO/Virgo and Kagra ground-based detectors, and various planned future ground- and space-based detectors. The latter include a network of millisecond pulsars in our galaxy, and the cosmic microwave background (CMB). We will not cover the history of any of these endeavors, instead we will comment on properties/challenges common to any of them that can be appreciated with knowledge of the properties of gravitational waves outlined in the previous section.

Given that general relativity is a theory about the geometric nature of space and time, and that gravitational waves are propagating distortions in the geometry, it should not be surprising that essentially all gravitational wave detectors are composed of elements that are sensitive to changing distances or times. Moreover, the most sensitive measurements are those adapted to the plus and cross-polarized transverse disturbances allowed in general relativity. This informs the “L” shape of the current ground-based detectors, that measure relative changes in distances along the two arms of the detector through laser interferometry. Pulsar timing relies on the remarkably stable rotational periods of certain pulsars, where models can be built to predict the arrival time of radio pulses from them to within tens of nanoseconds over a year of observation. Long wavelength gravitational waves between the earth and the pulsar will change the arrival times, and the most subtle signals can be extracted from correlations between changes in arrival times between pairs of pulsars. Regarding the CMB, this is an image of the “surface of last scattering,” where photons were last able to Thompson-scatter off free electrons (afterward the temperature of the Universe dropped below a threshold allowing the electrons to recombined with free protons to form neutral hydrogen). The photons can pick up a net polarization after Thompson scattering if the

background radiation field is anisotropic. The ability to use polarization measurements of the CMB to detect gravitational waves present then is due to the fact that of the known sources of anisotropy in the early Universe, only gravitational waves are able to produce anisotropy that creates a so-called “B-mode” polarization pattern over the CMB (as opposed to an “E-mode” pattern, that both matter anisotropies and gravitational waves can create).

Most sources produce gravitational waves at some characteristic length or frequency scale. Gravitational wave detectors tend to be most sensitive to a frequency/length associated with some scale of the detector. Therefore, since the different detectors operate at very different scales, they are sensitive to a correspondingly broad spectrum of potential sources. The ground-based detectors are km-scale instruments, and are most sensitive to physical processes associated with km-scale sources: stellar mass black holes, neutron stars, and the inner core of a star undergoing a supernova explosion. The space-based LISA instrument is planned to be a triangular configuration of satellites with 2.5 million km length arms; this is the scale of the smaller of the so-called supermassive black holes thought to exist in the centers of most galaxies, as well as the orbits of many close binaries containing white dwarfs, neutron stars, and black holes. Pulsar timing is most sensitive to gravitational waves with periods close to the years-to-decades long observation time of the pulsars. This translates to physical scales on the order of a few light years, and one of the most promising sources on this scale is an effective stochastic background from the population of supermassive black hole binaries in their last stages of inspiral. Gravitational waves from the early Universe would likely leave a most pronounced effect on the CMB on scales of order the Hubble radius at the surface of last scattering, which is roughly  $1/1000$  that of the present day Hubble radius  $R_{H_0} \sim 10^{26}$  m.

A common problem for all detectors is how weak the gravitational waves are expected to be when they reach the detectors. This is true even for the strongest known source—a binary black hole merger—when factoring in how far away the event is expected to occur from the earth. For stellar mass black hole binaries, the observed merger rate is  $\sim 10$  per cubic gigaparsec (Gpc) per year [12]. In fact, the first event ever detected, GW150914, is still one of the closest black hole mergers seen to date, at an estimated distance of  $0.4 \text{ Gpc} \sim 10^{25}$  m. Since gravitational waves decay like  $1/\text{distance}$  from the source, what were metric perturbations of magnitude  $h \sim 1/10$  on the  $\sim 10^5$  m scale of GW150914’s last orbit, caused a metric perturbation  $h \sim 10^{-21}$  as it passed the earth, resulting in a maximum change in distance along LIGO’s 4 km long arms of  $\sim 10^{-17}$  m, or about  $1/100\text{th}$  the diameter of a proton!<sup>6</sup> It is not surprising then that one of the most significant challenges facing all

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6      Though the  $1/\text{distance}$  decay seems like a curse, and it is for being able to detect rare events like black hole mergers relatively frequently on a human timescale, once the tremendous experimental effort needed to cross that threshold has been met, the  $1/\text{distance}$  decay also means it does not take that much more effort to open up a significantly larger volume of spacetime to observation. For example, the next (third) generation of ground-based detectors are planned to be about 10 times more sensitive than Advanced LIGO’s design sensitivity. Being able to see 10 times further is enough that GW150914-like black hole mergers could be seen throughout the visible Universe!

detectors is a thorough understanding and mitigation of sources of noise that could otherwise swamp or masquerade as gravitational waves. This is one of the primary reasons why LIGO consists of *two* detectors with nearly the same orientation relative to the sky, but separated by a few thousand kilometers: a true gravitational wave must produce signals with similar characteristics in both detectors, separated in time by at most the few ms of light travel time between them; conversely, the probability that noise could mimic such a correlated signal is much less than noise being able to mimic a gravitational wave in a single detector alone.

A second issue with most gravitational wave detectors is how to interpret an observed signal once it is confirmed to be of likely astrophysical origin. Except for the CMB, the difficulty here is that the signal is a one-dimensional time series, and so these detectors are more akin to seismometers than telescopes (with the CMB a two-dimensional polarization map over the sky can be obtained). Without theoretical *templates* of waveforms from expected sources to compare against, there is very little other than broad temporal/spectral characteristics that could be inferred from a novel, or unmodeled, source. Thus a crucial part of the gravitational wave astronomy endeavor is to have banks of template waveforms from expected sources. For compact object mergers, the issue of source interpretation is also closely tied to detection: current instruments are still not sensitive enough for the vast majority of mergers to be clearly evident above the detector noise, and matched filtering is essential to extract such weak signals from the noise.<sup>7</sup> This is why solving the two-body problem in general relativity became such a focused effort within the theoretical general relativity community beginning in the early 1990s. Due to the complexities of the Einstein field equations, no analytical solution seems possible, and currently a full solution (for a given set of orbital parameters) needs to be computed numerically, which introduces some numerical truncation error. Moreover, since numerical solutions are currently too computationally expensive to use to produce template banks that densely sample parameter space, template banks of practical use are constructed using various approximation methods; these include the effective one body (EOB) approach [19], modern versions of which use select numerical results to calibrate the stitching together of perturbative post-Newtonian inspiral calculations with linear quasinormal mode ringdown calculations, and reduced basis models constructed from a set of numerical waveforms [28] (see [16] for a review of these and other approaches). In the future, as more sensitive detectors come online, templates will not be needed as much for detection, though will still be crucial for source identification and parameter estimation, which would be hampered if systematic modeling errors are present in the template libraries. Thus, even though the first numerical solution to a general relativistic two body problem describing inspiral, merger, and ringdown was obtained almost two decades ago [45], it is still an active area of research to calculate ever more accurate binary merger waveforms.

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**7** Matched filtering refers to convolving the detector signal with a template waveform. If a nearly periodic signal with many cycles is present, such as the inspiral phase of a merger, and an accurate template is phase aligned with the signal, then with time the convolution will increase the signal-to-noise ratio, as the signal will add coherently while typical noise will not.

#### 4. SURVEY OF WHAT HAS BEEN OBSERVED TO DATE

In this section we give an overview of the three most important (in our opinion) scientific advances to date coming from gravitational wave observation of the Universe: testing dynamical strong field gravity, multimessenger observation of neutron star mergers, and obtaining the first glimpses of the demographics of black holes in our Universe. Amongst the observatories mentioned in the previous section, only LIGO/Virgo have made actual detections, and we will only comment on these.<sup>8</sup>

**First quantifiable evidence for the existence of black holes as governed by the theory of general relativity.** Though the evidence for the existence of black holes has steadily grown since the first candidates were identified beginning in the 1960s—the first stellar mass black hole candidate Cygnus X-1, the suggested connection between quasars and supermassive black holes, our own Milky Way supermassive black hole Sagittarius A\*—before GW150914 the evidence was all circumstantial. In other words, the only scientifically sound statement one could have made is that the Universe definitely harbors a few ultracompact objects and has some unusual sources of electromagnetic emission, and none of these observations can readily be explained using conventional physics if Kerr black holes are not involved.

The gravitational wave data from black hole mergers is fundamentally different in this regard, as it is coming from the strong field dynamics of spacetime itself, and there is already enough signal in some of the loudest events, such as GW150914, that quantifiable self-consistency tests can be performed. Most notable in this regard is the consistency between the inspiral and ringdown portions of the waveforms. From the inspiral signal alone, an estimate of the progenitor black holes in the binary can be made, and from this, together with predicted dynamics of the merger using numerical solutions of the field equations, the mass and spin of the remnant can be computed. From the observed decay and frequency of the ringdown signal alone, and using black hole perturbation theory calculations, the mass and spin of the remnant black hole can also be determined. These two independent measures of properties of the final black hole must agree if the signal comes from two Kerr black holes colliding and forming a remnant Kerr black hole, as described by general relativity. So far all the LIGO/Virgo data is consistent in this regard [2, 13, 14], albeit the error bars are quite large, as the signal-to-noise ratios (SNRs) of current events are still quite small for making precise tests of this kind. As an illustrative example to put this data and its veracity in context compared to that obtained using the Event Horizon Telescope images of M87, or the Nobel prize winning data of stellar orbits around Sagittarius A\* used to measure its gravitational mass and confirm its ultracompact nature: we still cannot rule out that M87 or Sagittarius

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<sup>8</sup> Of course, that is not to say that the absence of a signal does not provide useful information, e.g., the negative results from the CMB and pulsar timing place constraints on the magnitude of stochastic backgrounds, and the absence of long-lived periodic signals in LIGO/Virgo data from known pulsars place limits on the size of quadrupolar deformations (“mountains”) of those neutron stars.

A\* are ultracompact boson stars<sup>9</sup>; nor can we exclude that the *progenitors* in GW150914 were ultracompact boson stars. However, for the latter, if they were boson stars, the ring-down part of the signal shows they promptly collapsed and *formed* a Kerr black hole, with mass and angular momentum consistent with that of the binary just prior to merger. In other words, even in this hypothetical scenario GW150194 still gives evidence for the existence of Kerr black holes—exotic compact objects more “bizarre” than boson stars would need to be invoked to avoid that conclusion [54].

Because of the uniqueness properties of black holes in general relativity, and if general relativity does accurately describe strong field gravity on astrophysical scales, then unfortunately we cannot learn anything more about the *physics* of black holes from more precise merger observations (the *astrophysics* of black holes is a different issue, discussed below). In other words, all black holes in the Universe are then Kerr black holes to within environmental perturbations, and perhaps future ultraprecise measurements of mergers could show imprints of a circumbinary environment, but there are no novel classes, shapes, or topologies of black holes to discover. Then, the utility of black hole merger observations for fundamental physics is essentially entirely to provide detailed tests of nonlinear general relativity as outlined in the previous paragraph. Of course, as the scientific method requires such tests for the health of its theories this is a useful endeavor, and we do not need a motivation other than that. However, there is at least one observationally driven motivation for why one might be skeptical about the precise nature of strong field gravity as described by general relativity, namely dark energy.

On large scales, the Universe is observed to be in an epoch of accelerated expansion; *interpreting* this as being due to dark energy comes from assuming that Einstein gravity accurately describes the geometry of the Universe on such scales. Specifically, on large scales it is assumed that, with an appropriate time slicing, the spatial metric of the Universe is nearly homogeneous and isotropic, and its time evolution is driven by a stress energy tensor characterizing the average energy densities and pressures of all the matter/energy in the Universe. It is sometimes stated that today (i.e., away from any “big bang” singularities) gravity on average in the Universe is weak, and certainly on small scales like our solar system, galaxy, or even that of galaxy clusters it is weak (except near the rare black hole or neutron star). However, as described in Section 2.1.3, the strong field regime of general relativity is not associated with any physical length scale per se, but rather manifests when some physical scale in the problem becomes commensurate with the radius of curvature of spacetime. And by that measure, our Universe is *always* in the strong field regime on scales of the Hubble radius  $R_H$ , i.e.,  $R_H$  is of the same order of magnitude as the Schwarzschild radius  $R_s \sim \sqrt{3c^2/8\pi G\rho}$  of a spherical distribution of matter with the same average energy density  $\rho$  as the matter in the Universe. For example, today  $\rho_0$  is roughly that of six hydrogen atoms

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9 Boson stars are hypothetical star-like objects formed from exotic (i.e., not part of the standard model of particle physics) self-interacting bosonic matter, in contrast to neutron stars which are largely composed of fermionic matter. A boson star’s gravitational dynamics is still governed by general relativity, so it is not an “alternative” to a black hole, but could be a novel class of compact object.



per cubic meter, giving  $R_{s0} \sim 10^{26} \text{ m} \sim R_{H_0}$ . One might complain that the Schwarzschild radius argument does not apply to our Universe because the latter is not asymptotically flat. Perhaps, though the point here is not to argue whether or not we are inside a Schwarzschild black hole, but instead that on scales of the Hubble radius the Universe must be in the nonlinear regime of general relativity for an entirely different class of solution (the FRLW metrics) to be possible. Bringing the discussion back to testing gravity on stellar mass black holes scales, if dark energy is telling us general relativity gets things wrong on the scale of the Hubble radius, we should be cautious about immediately accepting its predictions for black holes, as the scale-free nature of general relativity implies cosmological horizons and event horizons reside in a related regime of the theory.<sup>10</sup> The current LIGO/Virgo observations are therefore an important step toward quantitative verification of the physics of horizons.

**The wealth of knowledge gained from GW170817, the first binary neutron star merger detected [4].** That so much information was garnered from this event is because a host of electromagnetic counterpart emission was also seen—the first, and to date only gravitational wave–electromagnetic “multimessenger” event [5]. Here we briefly comment on the highlights. The first is that a short Gamma Ray Burst (sGRB) was detected  $\sim 1.7 \text{ s}$  after the observed gravitational wave inspiral, the latter which ended a few ms before the presumed collision of the two neutron stars (this and any postcollision gravitational waves were not seen by LIGO/Virgo, which is as expected as they occur at frequencies several times higher than what LIGO/Virgo is sensitive to). The origin of sGRBs has long been a mystery, though one of the leading hypothesis for their formation is that they are produced in polar jets powered by accretion onto the remnant of a binary neutron star merger (whether it be a hypermassive neutron star or a black hole that formed, though the latter seems to be a more favorable environment for jet formation). The coincidence of the gravitational wave emission and sGRB, both in terms of time and region of the sky where both fluxes appeared to come from, gives the first solid evidence that at least a class of sGRBs are produced following a binary neutron star merger. Assuming this connection, together with the estimated distance to the event of  $\sim 40 \text{ Mpc}$ , then also gives a direct measurement of the speed of gravitational waves relative to the speed of light, and a remarkably tight constraint for a first measurement: the two speeds are the same to within approximately 1 part in  $10^{15}$  [3].

Almost immediately after GW170817 was detected, a worldwide effort was undertaken by astronomers to search for other electromagnetic counterparts, and within 11 hours a bright, but fading, optical transient was identified in the galaxy NGC 4993. Follow-up observation over the subsequent weeks saw the event in radio, X-ray, infrared, and the ultraviolet. The observed properties of the emission are consistent with the neutron star merger having produce a so-called kilonova (or macronova) [39]. During merger, a small fraction ( $\sim 0.1\text{--}1\%$ ) of the neutron star’s material is tidally ejected from the system at mildly relativis-

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**10** The majority of proposals to explain dark energy using modified gravity specifically introduce a new physical length scale into the problem, and if that scale is tuned to the Hubble radius it would avoid the conclusion that altering gravity on present day cosmological horizon scales could have consequences for stellar mass or supermassive black holes.

tic speeds ( $\sim c/3$ ), and over the subsequent few seconds following merger a similar amount of material can be blown away from a hot accretion disk formed around the remnant, at similar but slightly lower velocities. This initially high density material is very neutron rich, and as it expands heavy elements (with atomic number in the range  $Z \in 28..90$ ) are formed through the r-process. Many of these elements are radioactive with relatively short lifetimes, and it is their decay over the subsequent days that produces the light of the kilonova. This also confirms that neutron star mergers are one of the sites where a significant fraction of the Universe’s heavy elements are produced—it is quite likely that the gold and platinum we humans so love to adorn ourselves with are the ashes of ancient galactic neutron star mergers.

The late stages of the gravitational wave emission in GW170817 also showed mild deviation from the predictions of a black hole inspiral, indicative of tidal deformations occurring in both neutron stars. The strength of the tidal deformation is governed by the equation of state of matter at nuclear density, which is not theoretically well understood today, or accessible to experiments on the earth to investigate. Thus neutron star mergers offer an avenue to explore this extreme state of matter, and though this first event did not provide strong constraints on competing models, this is one of the subjects future observations are expected to bring increasing clarity to.

Another subject that GW170817 allowed gravitational wave astronomy to take a first step in, but will also require more future observations to make a useful contribution toward, is measuring the local expansion rate of the Universe. This is typically done by measuring both the distance  $d$  and redshift  $z$  to a set of sources in galaxies, and the expansion history can be inferred from the relationship  $z(d)$  (for small redshifts, so nearby galaxies,  $z \approx H_0 d/c$ , where  $H_0$  is the Hubble constant). Measuring the distance to a source is quite challenging. One method relies on a so-called standard candle, where the intrinsic luminosity  $L$  of a source is assumed known, and hence the observed flux is simply  $L/4\pi d^2$ . Type Ia supernovae are the most well-known standard candles, though inferring their intrinsic luminosity relies on several calibration steps, including the cosmic distance ladder. With a binary neutron star merger where a counterpart is seen (and hence the host galaxy identified for a redshift measurement), a luminosity distance–redshift measurement can be obtained that bypasses all of these calibration steps, since the intrinsic luminosity of the merger is known from the general relativity waveform calculation. This makes a binary neutron star merger a standard “siren” (siren is used here instead of candle as the last stages of inspiral emit waves in the audio frequency range).<sup>11</sup> GW170817 has already by itself allowed a measurement of  $H_0$  to within about 10%; though this is not an improvement over other existing measurements, the more multimessenger binary neutron star events that are observed, the tighter the standard siren based value will become. Eventually, this might prove to be instrumental to help resolve the present “Hubble tension”: measurements of  $H_0$  inferred by the Planck satel-

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**11** Binary black holes are also standard sirens, and better ones in fact, as some uncertainty will be present in the neutron star measurements until the nuclear equation of state is known. However, binary black holes are not typically expected to be in an environment where a strong electromagnetic counterpart will be produced, and none have been observed to date.

lite’s observation of the CMB show a small, but statistically significant, mismatch with  $H_0$  obtained using supernovae data (see, e.g., [30]).

**Tentative hints pointing to an “unusual” stellar mass black hole population.** Of the almost 100 signals LIGO/Virgo have so far detected that are of likely astrophysical origin, the vast majority are consistent with binary black hole merger templates [7,10,11].<sup>12</sup> As discussed above, if general relativity is correct, then we know these are all merging Kerr black holes, forming remnant Kerr black holes. The utility then in having this large number of events, and anticipating even more in the years to come, is to learn what the distribution of masses and spins of this subpopulation of black holes in the Universe is as a function of time (redshift). This will provide information on the fates of the most massive stars that are expected to form black holes at the ends of their lives, as well as binary formation channels. Regarding the latter, the two thought to be predominant are from stellar binaries where both stars are massive enough to form black holes, and dynamical assembly in dense cluster environments (following chance encounters between either two isolated black holes, a binary containing a black hole and a single black hole, or a binary–binary interaction where each contains a black hole). Though even 100 events is not yet enough to give definitive answers to some of these population questions, there are already some interesting trends, and a few outliers that are somewhat puzzling or surprising (at least without hindsight to select amongst the many reasonable arguments present in the prior body of literature speculating about the unknown).

The first surprise came with GW150914, in that both progenitor black holes had masses ( $\sim 29M_\odot$  and  $36M_\odot$ ) at least twice that of any known stellar mass black hole candidate in the Milky Way (see, e.g., [22]). Subsequent detections showed that GW150914 is not an outlier in this regard, and most (though not all) LIGO/Virgo black hole progenitors are more massive than known galactic black holes. This could partly be a selection effect, as LIGO/Virgo is more sensitive to higher mass mergers, and also that the X-ray binary systems that have been used to identify galactic black holes might be a distinct population of binaries from those that lead to black holes that merge within a Hubble time.

A second puzzle is that the vast majority of progenitor black holes seem to have very low spin (the remnants acquire higher spin, around 60–80% that of the maximum allowed for Kerr black holes). Or to be more technically precise, given the detector’s current sensitivities, with most inspirals a confident measurement can only be made of the net spin angular momentum aligned with the orbital angular momentum—for most mergers detected to date this result is consistent with zero (to within error bars). There are three primary configurations that can achieve this: (1) the individual black holes actually do have close to zero spins, (2) the individual black holes have roughly equal but opposite spin angular momenta,

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**12** The remainder also match binary black hole templates, but when one or both companions have masses less than  $\sim 2.5M_\odot$ , the event is classified as a black hole–neutron star or binary neutron star merger, respectively. To be able to distinguish between black holes and neutron stars from the gravitational waves alone would require observation of the higher frequency late stages of inspiral/merger, or a high enough SNR event that the effect of tidal deformation is already evident in the earlier lower frequency inspiral that can be observed with present detectors.

one aligned, the other antialigned with the orbital angular momentum, (3) the black holes have arbitrary spins (less than extremal) but the spin vectors are mostly *within* the orbital plane. Both options (2) and (3) are difficult to explain with a binary formed from a stellar binary, where one would typically expect the spin vectors to be almost aligned with the orbital angular momentum vector. Options (2) and (3) are consistent with the occasional dynamically assembled binary, as there is no preferential orientation for an essentially random close encounter, but one would not expect this for the majority of events as currently observed. Thus (1) seems the most plausible explanation at the moment. Given how challenging it is to simulate stellar collapse at present, hence have robust predictions for what the initial spin distributions of black holes should be, the observations will serve as useful guide posts for ongoing theoretical studies of collapse.

There are more speculative suggestions for why the progenitors have low spin. One is that many of these low-spinning black holes are primordial in nature, meaning the black holes might have formed at a very early epoch in the Universe (well before structure formation) from rare superhigh density fluctuations in the background radiation field. The concrete mechanisms people have proposed for this typically produce very low spin black holes (see, e.g., [20] for a review). Another possibility is that there are as of yet undiscovered “ultra-light” particles, with Compton wavelengths on the order of the tens of kilometer scale of the Schwarzschild radii of stellar mass black holes. Such particles can form bound states around the black holes, and if the black hole is spinning, these bound states can grow by a so-called superradiant interaction with the surrounding spacetime [17]. In reaction, the black hole spins down, possibly quite rapidly on astrophysical timescales (much less than the relevant gigayear timescale, which is order of magnitude the maximum time between a black hole’s birth and when it should suffer a collision with another to be visible to LIGO/Virgo). Of course, even if such particles exists, they might not be the reason for the low spin black hole population—that could still just be due to properties of stellar collapse and black hole formation.

The third surprise relates to several outlier events, the two most prominent being GW190521 and GW190814, that seem to have progenitor compact objects in the so-called “mass gaps.” GW190814 is the merger of a  $\sim 23 \pm 1 M_{\odot}$  (presumed) black hole with a  $\sim 2.6 \pm 0.1 M_{\odot}$  compact object [9]. GW190521 is the merger of a  $\sim 85 \pm 20 M_{\odot}$  black hole with a  $\sim 66 \pm 18 M_{\odot}$  black hole [8]. Regarding GW190814, arguments from stellar collapse studies, as well as a dearth of candidates from our known galactic compact object population, suggest objects with masses in the range  $\sim 2.5 M_{\odot} - 5 M_{\odot}$  do not typically form in stellar collapse. Moreover, it is currently unknown if the maximum allowed mass for a neutron star can reach  $2.5 M_{\odot}$ ; if it turns out to be less than  $2.5 M_{\odot}$ , the lower mass companion of GW190814 would be challenging to explain (or be an exceedingly rare object, for example, a low mass black hole formed via a prior binary neutron star merger). Regarding GW190521, stellar structure theory suggests stars with cores in the mass range  $\sim 65 M_{\odot} - 135 M_{\odot}$  are subject to the so-called pulsational pair-instability supernova processes, which blows the cores apart leaving behind no remnant. However, similar to the issue of the spin of a black hole at birth, there is a fair amount of uncertainty to the exact range of this mass gap, and given

the error bars in the mass measurements, there is only mild tension between GW190814 and conventional theories.

## 5. THE FUTURE OF GRAVITATIONAL WAVE ASTRONOMY

Einstein's theory of general relativity is over 100 years old, and the quest to observe the Universe in gravitational waves is over 50 years old, beginning with Joseph Weber's pioneering attempts in the 1960s. Despite these long histories, the field of gravitational wave astronomy is in its infancy, with the first detection only 6 years ago. Though many signals observed to date are solidly above the threshold for confident assertion that they are gravitational waves coming from astrophysical sources, they are still not loud enough for high precision tests of strong field gravity, or for high accuracy estimation of all source parameters. Moreover, most of these detections have relied on theoretical templates of expected sources, which improves the effective sensitivity of the detectors. Thus any truly novel source will likely only be discovered once the detector sensitivities are well above the threshold the new source could otherwise have been seen using templates. The one exception here is a source that emits a short burst well approximated by a sine-Gaussian, as LIGO/Virgo do employ searches using such templates (this can be thought of as an "unmodeled" search in the sense that there is no particular astrophysical source from which the template is derived).

To realize a future where a detailed picture of the Universe in gravitational waves is attained will thus require more sensitive detectors that cover a broader range of frequencies than at present. These are being planned, and within the next decade or two we can expect an order of magnitude improvement over essentially the entire slate of observational campaigns. LIGO is within a factor of two of the original "Advanced LIGO" design sensitivity, which should be reached during the next observing campaign (beginning late 2022–early 2023), when the KAGRA detector in Japan will also join the LIGO/Virgo network [6]. Following that, the plan is for an "A+" upgrade that will improve sensitivity by another factor of two, and LIGO India will join the network (anticipated to start in 2025). To improve sensitivities significantly beyond this will require new facilities, and several third generation designs are being planned for the 2030s, including Cosmic Explorer and the Einstein Telescope [38]. These could further increase sensitivity by a factor of 10, as well as offer improved frequency bandwidth over both lower (earlier in the inspiral for binary compact objects) and higher frequencies (merger regime for binary neutron stars). New technologies are also being considered, most promising among these are atom interferometers [31], though it is less clear what the timeline for their deployment is. The space-based LISA mission is expected to launch in the late 2030s. Both LISA and third generation ground-based detectors could see black hole mergers with SNR close to a thousand (the current SNR record holder is GW170817, at  $\sim 32$ ). CMB measurements of B-mode polarization over the next decade (e.g., with the Simons Observatory [15] currently under construction, and the LiteBIRD satellite planned to be launched by the end of the decade [49]), should lower the threshold above which cosmic gravitational waves would be observed by about an order of magnitude. The sensitivity of the pulsar timing network increases roughly with the square-root of the obser-

vation time, and could be accelerated with the discovery of more highly stable pulsars clocks to add to the network (see, e.g., [36]).

We conclude with a brief discussion of what we can hope/expect to learn from these observatories if everything goes according to plan. At the very least we can expect an ever clearer picture of the demographics of compact objects in our Universe unfolding, improved tests of the dynamical strong field regime of general relativity, tighter constraints on the Hubble constant  $H_0$  from gravitational wave standard sirens, first detection of a stochastic background of gravitational waves from unresolved supermassive black hole binaries, and either a first measurement of a primordial gravitational wave background from an inflationary epoch in the early Universe, or a bound on the latter that would severely challenge the inflationary paradigm. If we are fortunate, a binary neutron star merger as close or closer than GW170817 will occur during the era of the third generation of ground-based detectors, which would provide unprecedented insight into the nature of matter at the extreme nuclear densities present in the interior of neutron stars. If we are very fortunate, a star will go supernova (while the detectors are on!) in our neighborhood of the Milky Way, which should be close enough for us to be able to hear it in gravitational waves.

A wish opening up our view of the Universe to the medium of gravitational waves has always been that new, unexpected, and surprising sources will be discovered. Though, of course, we cannot make a list of the truly unexpected, there are sources that people have speculated about that would be surprising, and some quite revolutionary, if discovered. These include cosmic strings, ultralight particles driving black hole superradiance, new kinds of compact objects such as boson stars, and various “exotic” horizonless compact object alternatives to black holes. The latter include fuzzballs, gravastars, and AdS (anti-de Sitter) black bubbles, all inspired by ideas on how “quantum gravity” could resolve the singularities of general relativity and apparent information loss paradox associated with black holes that evaporate via the Hawking process. But perhaps the biggest surprise of all would be if, once all is said and done, there are no surprises beyond a few black holes having been born with their two strands of Kerr hair standing mildly out of place.

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