

# A COUNTEREXAMPLE TO STRONG LOCAL MONOMIALIZATION IN A TOWER OF TWO INDEPENDENT DEFECT ARTIN-SCHREIER EXTENSIONS

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ABSTRACT. We give an example of an extension of two dimensional regular local rings in a tower of two independent defect Artin-Schreier extensions for which strong local monomialization does not hold.

RÉSUMÉ. Nous donnons un exemple d'extension d'anneaux locaux réguliers à deux dimensions dans une tour de deux extensions d'Artin-Schreier de défauts indépendants pour lesquelles la monomialisation locale forte ne tient pas.

## 1. INTRODUCTION

In characteristic zero, there is a very nice local form for morphisms, called local monomialization. This result is a little stronger than what comes immediately from the assumption that toroidalization is possible. If  $R \rightarrow S$  is an extension of local rings such that the maximal ideal of  $S$  contracts to the maximal ideal of  $R$  then we say that  $S$  dominates  $R$ . If  $S$  is dominated by the valuation ring  $\mathcal{O}_\omega$  of a valuation  $\omega$  we say that  $\omega$  dominates  $S$ .

**Theorem 1.1.** (*local monomialization*) ([2], [3]) *Suppose that  $k$  is a field of characteristic zero and  $R \rightarrow S$  is an extension of regular local rings such that  $R$  and  $S$  are essentially of finite type over  $k$  and  $\omega$  is a valuation of the quotient field of  $S$  which dominates  $S$  and  $S$  dominates  $R$ . Then there is a commutative diagram*

$$\begin{array}{ccc} R_1 & \rightarrow & S_1 \\ \uparrow & & \uparrow \\ R & \rightarrow & S \end{array}$$

such that  $\omega$  dominates  $S_1$ ,  $S_1$  dominates  $R_1$  and the vertical arrows are products of monoidal transforms; that is, these arrows are factored by the local rings of blowups of prime ideals whose quotients are regular local rings. In particular,  $R_1$  and  $S_1$  are regular local rings. Further,  $R_1 \rightarrow S_1$  has a locally monomial form; that is, there exist regular parameters  $u_1, \dots, u_m$  in  $R_1$  and  $x_1, \dots, x_n$  in  $S_1$ , an  $m \times n$  matrix  $A = (a_{ij})$  with integral coefficients such that  $\text{rank}(A) = m$  and units  $\delta_i \in S_1$  such that

$$u_i = \delta_i \prod_{j=1}^n x_j^{a_{ij}}$$

for  $1 \leq i \leq m$ .

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The difficulty in the proof is to obtain the condition that  $\text{rank}(A) = m$ . To do this, it is necessary to blow up above both  $R$  and  $S$ .

In the case when the extension of quotient fields  $K \rightarrow L$  of the extension  $R \rightarrow S$  is a finite extension and  $k$  has characteristic zero, it is possible to find a local monomialization such that the structure of the matrix of coefficients recovers classical invariants of the extension of valuations in  $K \rightarrow L$ , and this form holds stably along suitable sequences of birational morphisms which generate the respective valuation rings. This form is called strong local uniformization. It is established for rank 1 valuations in [2] and for general valuations in [8]. The case which has the simplest form and will be of interest to us in this paper is when the valuation has rational rank 1. In this case, if  $R_1 \rightarrow S_1$  is a strong local monomialization, then there exist regular parameters  $u_1, \dots, u_m$  in  $R_1$  and  $v_1, \dots, v_m$  in  $S_1$ , a positive integer  $a$  and a unit  $\delta \in S_1$  such that

$$(1) \quad u_1 = \delta v_1^a, u_2 = v_2, \dots, u_m = v_m.$$

The stable forms of mappings in positive characteristic and dimension  $\geq 2$  are much more complicated. For instance, local monomialization does not always hold. An example is given in [5] where  $R \rightarrow S$  are local rings of points on nonsingular algebraic surfaces over an algebraically closed field  $k$  of positive characteristic  $p$  and  $k(X) \rightarrow k(Y)$  is finite and separable.

The obstruction to local monomialization is the defect. The defect  $\delta(\omega/\nu)$ , which is a power of the residue characteristic  $p$  of  $\mathcal{O}_\omega$ , is defined and its basic properties developed in [21, Chapter VI, Section 11], [12], [8, Section 7.1]. The defect is discussed in Subsection 2.1. We have the following theorem, showing that the defect is the only obstruction to strong local monomialization for maps of surfaces.

**Theorem 1.2.** ([8, Theorem 7.35]) *Suppose that  $K \rightarrow L$  is a finite, separable extension of algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$ ,  $R \rightarrow S$  is an extension of local domains such that  $R$  and  $S$  are essentially of finite type over  $k$  and the quotient fields of  $R$  and  $S$  are  $K$  and  $L$  respectively such that  $S$  dominates  $R$ . Suppose that  $\omega$  is valuation of  $L$  which dominates  $S$ . Let  $\nu$  be the restriction of  $\omega$  to  $K$ . Suppose that the extension is defectless ( $\delta(\omega/\nu) = 1$ ). Then the conclusions of Theorem 1.1 hold. In particular,  $R \rightarrow S$  has a local monomialization (and a strong local monomialization) along  $\omega$ .*

Suppose that  $K \rightarrow L$  is a Galois extension of fields of characteristic  $p > 0$  and  $\omega$  is a valuation of  $L$ ,  $\nu$  is the restriction of  $\omega$  to  $K$ . Then there is a classical tower of fields ([10, page 171])

$$K \rightarrow K^s \rightarrow K^i \rightarrow K^v \rightarrow L.$$

where  $K^s$  is the splitting field,  $K^i$  is the inertia field,  $K^v$  is the ramification field and the extension  $K \rightarrow K^v$  has no defect. Thus the essential difficulty comes from the extension from  $K^v$  to  $L$  which could have defect. The extension  $K^v \rightarrow L$  is a tower of Artin-Schreier extensions, so the Artin-Schreier extension is of fundamental importance in this theory.

Kuhlmann has extensively studied defect in Artin-Schreier extensions in [13]. He separated these extensions into dependent and independent defect Artin-Schreier extensions. This definition is reproduced in Subsection 2.4. Kuhlmann also defined an invariant called the distance to distinguish the natures of Artin-Schreier extensions. This definition is given in Subsections 2.3 and 2.4.

We now specialize to the case of a finite separable extension  $K \rightarrow L$  of two dimensional algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$ , and

suppose that  $\omega$  is a valuation of  $L$  which is trivial on  $k$  and  $\nu$  is the restriction of  $\omega$  to  $K$ . If  $L/K$  has defect then  $\omega$  must have rational rank 1 and be nondiscrete. We will assume that  $\omega$  has rational rank 1 and is nondiscrete for the remainder of the introduction.

With these restrictions, the distance  $\delta$  of an Artin-Schreier extension is  $\leq 0^-$  when the extension has defect. If it is a defect extension with  $\delta = 0^-$  then it is an independent defect extension. If it is a defect extension and the distance is less than  $0^-$  then the extension is a dependent defect extension.

A quadratic transform along a valuation is the center of the valuation at the blow up of a maximal ideal of a regular local ring. There is the sequence of quadratic transforms along  $\nu$  and  $\omega$

$$(2) \quad R \rightarrow R_1 \rightarrow R_2 \rightarrow \dots \text{ and } S \rightarrow S_1 \rightarrow S_2 \rightarrow \dots$$

We have that  $\cup_{i=1}^{\infty} R_i = \mathcal{O}_\nu$ , the valuation ring of  $\nu$ , and  $\cup_{i=1}^{\infty} S_i = \mathcal{O}_\omega$ , the valuation ring of  $\omega$ . These sequences can be factored by standard quadratic transform sequences (defined in Section 3). It is shown in [8] that given positive integers  $r_0$  and  $s_0$ , there exists  $r \geq r_0$  and  $s \geq s_0$  such that  $R_r \rightarrow S_s$  has the following form:

$$(3) \quad u = \delta x^a, v = x^b(y^d\gamma + x\Omega)$$

where  $u, v$  are regular parameters in  $R_r$ ,  $x, y$  are regular parameters in  $S_s$ ,  $\gamma$  and  $\Omega$  are units in  $S_s$ ,  $\Omega \in S_s$ ,  $a$  and  $d$  are positive integers and  $b$  is a non negative integer. If we choose  $r_0$  sufficiently large, then we have that the complexity  $ad$  of the extension  $R_r \rightarrow S_s$  is a constant which depends on the extension of valuations, which we call the stable complexity of (2). When  $R_r \rightarrow S_s$  has this stable complexity, we call the forms (3) stable forms.

The strongly monomial form is the case when  $b = 0$  and  $d = 1$ ; that is, after making a change of variables in  $y$ ,

$$u = \delta x^a, v = y.$$

As we observed earlier (Theorem 1.2) if the extension  $K \rightarrow L$  has no defect, then the stable form is the strongly monomial form. If there is defect, then it is possible for the  $a$  and  $d$  in stable forms along a valuation to vary wildly, even though their product  $ad$  is fixed by the extension, as shown in [6, Theorem 5.4].

An example is constructed in [8], showing failure of strong local monomialization. It is a tower of two defect Artin-Schreier extensions, each of the type of [6, Theorem 5.4] referred to above. The first extension is of type 1 for even integers and of type 2 for odd integers. The second extension is of type 2 for even integers and of type 1 for odd integers. The composite gives a sequence of extensions of regular local rings  $R_i \rightarrow S_i$ , where  $R_i$  has regular parameters  $u_i, v_i$  and  $S_i$  has regular parameters  $x_i, y_i$  such that the stable form is

$$(4) \quad u_i = \gamma x_i^p, v_i = y_i^p\tau + x_i\Omega$$

for all  $i$ . Both of these Artin-Schreier extensions are dependent. This is calculated in [11] and in [6, Section 6]. In keeping with the philosophy that independent Artin-Schreier extensions are better behaved than dependent ones, this leads to the question of if strong monomialization holds in towers of independent Artin-Schreier extensions. However, this is not true as is shown in Theorem 4.1 of this paper. In this theorem, we construct an example in a tower of two independent defect extensions such that strong local monomialization does not hold.

Suppose that  $K \rightarrow L$  is a finite extension of fields of positive characteristic and  $\omega$  is a valuation of  $L$  with restriction  $\nu$  to  $K$ . It is known that there is no defect in the extension if and only if there is a finite generating sequence in  $L$  for the valuation  $\omega$  over  $K$  ([19], [16]). The calculation of generating sequences for extensions of Noetherian local rings

which are dominated by a valuation is extremely difficult. This has been accomplished for two dimensional regular local rings in [18] and [9] and for many hypersurface singularities above a regular local ring of arbitrary dimension in [7].

The nature of a generating sequence in an extension of  $S$  over  $R$  determines the nature of the mappings in the stable forms. It is shown in [4, Theorem 1] that if  $R \rightarrow S$  is an extension of two dimensional excellent regular local rings whose quotient fields give a finite extension  $K \rightarrow L$  and  $\omega$  is a valuation of  $L$  which dominates  $S$  then the extension is without defect if and only if there exist sequences of quadratic transform  $R \rightarrow R_1$  and  $S \rightarrow S_1$  along  $\nu$  such that  $\omega$  has a finite generating sequence in  $S_1$  over  $R_1$ . This shows us that we can expect good stable forms (as do hold by Theorem 1.2) if there is no defect, but not otherwise.

## 2. PRELIMINARIES

**2.1. Some notation.** Let  $K$  be a field with a valuation  $\nu$ . The valuation ring of  $\nu$  will be denoted by  $\mathcal{O}_\nu$ ,  $\nu K$  will denote the value group of  $\nu$  and  $K\nu$  will denote the residue field of  $\mathcal{O}_\nu$ .

The maximal ideal of a local ring  $A$  will be denoted by  $m_A$ . If  $A \rightarrow B$  is an extension (inclusion) of local rings such that  $m_B \cap A = m_A$  we will say that  $B$  dominates  $A$ . If a valuation ring  $\mathcal{O}_\nu$  dominates  $A$  we will say that the valuation  $\nu$  dominates  $A$ .

Suppose that  $K$  is an algebraic function field over a field  $k$ . An algebraic local ring  $A$  of  $K$  is a local domain which is a localization of a finite type  $k$ -algebra whose quotient field is  $K$ . A  $k$ -valuation of  $K$  is a valuation of  $K$  which is trivial on  $k$ .

Suppose that  $K \rightarrow L$  is a finite algebraic extension of fields,  $\nu$  is a valuation of  $K$  and  $\omega$  is an extension of  $\nu$  to  $L$ . Then the reduced ramification index of the extension is  $e(\omega/\nu) = [\omega L : \nu K]$  and the residue degree of the extension is  $f(\omega/\nu) = [L\omega : K\nu]$ .

The defect  $\delta(\omega/\nu)$ , which is a power of the residue characteristic  $p$  of  $\mathcal{O}_\omega$ , is defined and its basic properties developed in [21, Chapter VI, Section 11], [12] and [8, Section 7.1]. In the case that  $L$  is Galois over  $K$ , we have the formula

$$(5) \quad [L : K] = e(\omega/\nu)f(\omega/\nu)\delta(\omega/\nu)g$$

where  $g$  is the number of extensions of  $\nu$  to  $L$ . In fact, we have the equation (c.f. [13] or Section 7.1 [8])

$$|G^s(\omega/\nu)| = e(\omega/\nu)f(\omega/\nu)\delta(\omega/\nu),$$

where  $G^s(\omega/\nu)$  is the decomposition group of  $L/K$ .

If  $K \rightarrow L$  is a finite Galois extension, then we will denote the Galois group of  $L/K$  by  $\text{Gal}(L/K)$ .

**2.2. Initial and final segments and cuts.** We review some basic material about cuts in totally ordered sets from [13]. Let  $(S, <)$  be a totally ordered set. An initial segment of  $S$  is a subset  $\Lambda$  of  $S$  such that if  $\alpha \in \Lambda$  and  $\beta < \alpha$  then  $\beta \in \Lambda$ . A final segment of  $S$  is a subset  $\Lambda$  of  $S$  such that if  $\alpha \in \Lambda$  and  $\beta > \alpha$  then  $\beta \in \Lambda$ . A cut in  $S$  is a pair of sets  $(\Lambda^L, \Lambda^R)$  such that  $\Lambda^L$  is an initial segment of  $S$  and  $\Lambda^R$  is a final segment of  $S$  satisfying  $\Lambda^L \cup \Lambda^R = S$  and  $\Lambda^L \cap \Lambda^R = \emptyset$ . If  $\Lambda_1$  and  $\Lambda_2$  are two cuts in  $S$ , write  $\Lambda_1 < \Lambda_2$  if  $\Lambda_1^L \subsetneq \Lambda_2^L$ . Suppose that  $S \subset T$  is an order preserving inclusion of ordered sets and  $\Lambda = (\Lambda^L, \Lambda^R)$  is a cut in  $S$ . Then define the cut induced by  $\Lambda = (\Lambda^L, \Lambda^R)$  in  $T$  to be the cut  $\Lambda \uparrow T = (\Lambda^L \uparrow T, T \setminus (\Lambda^L \uparrow T))$  where  $\Lambda^L \uparrow T$  is the least initial segment of  $T$  in which  $\Lambda^L$  forms a cofinal subset.

We embed  $S$  in the set of all cuts of  $S$  by sending  $s \in S$  to

$$s^+ = (\{t \in S \mid t \leq s\}, \{t \in S \mid t > s\}).$$

we may identify  $s$  with the cut  $s^+$ . Define

$$s^- = (\{t \in S \mid t < s\}, \{t \in S \mid t \geq s\}).$$

Given a cut  $\Lambda = (\Lambda^L, \Lambda^R)$ , we define  $-\Lambda = (-\Lambda^R, -\Lambda^L)$  where  $-\Lambda^L = \{-s \mid s \in \Lambda^L\}$  and  $-\Lambda^R = \{-s \mid s \in \Lambda^R\}$ . We have that if  $\Lambda_1$  and  $\Lambda_2$  are cuts, then  $\Lambda_1 < \Lambda_2$  if and only if  $-\Lambda_2 < -\Lambda_1$ .

Observe that for  $s \in S$ ,  $-s = -(s^+) = (-s)^-$  and  $-(s^-) = (-s)^+ = -s$ .

**2.3. Distances.** Let  $K \rightarrow L$  be an extension of fields and  $\omega$  be a valuation of  $L$  with restriction  $\nu$  to  $K$ . Let  $\widetilde{\nu K}$  be the divisible hull of  $\nu K$ . Suppose that  $z \in L$ . Then the distance of  $z$  from  $K$  is defined in [13, Section 2.3] to be the cut  $\text{dist}(z, K)$  of  $\widetilde{\nu K}$  in which the initial segment of  $\text{dist}(z, K)$  is the least initial segment of  $\widetilde{\nu K}$  in which  $\omega(z - K)$  is cofinal. That is,

$$\text{dist}(z, K) = (\Lambda^L(z, K), \Lambda^R(z, K)) \uparrow \widetilde{\nu K}$$

where

$$\Lambda^L(z, K) = \{\omega(z - c) \mid c \in K \text{ and } \omega(z - c) \in \nu K\}.$$

The following notion of equivalence is defined in [13, Section 2.3]. If  $y, z \in L$ , then  $z \sim_K y$  if  $\omega(z - y) > \text{dist}(z, K)$ .

**2.4. Artin-Schreier extensions.** Let  $K \rightarrow L$  be an Artin-Schreier extension of fields of characteristic  $p > 0$  and  $\omega$  be a valuation of  $L$  with restriction  $\nu$  to  $K$ . The field  $L$  is Galois over  $K$  with Galois group  $G \cong \mathbb{Z}_p$ , where  $p$  is the characteristic of  $K$ .

Let  $\Theta \in L$  be an Artin-Schreier generator of  $K$ ; that is, there is an expression

$$\Theta^p - \Theta = a$$

for some  $a \in K$ . We have that

$$\text{Gal}(L/K) \cong \mathbb{Z}_p = \{\text{id}, \sigma_1, \dots, \sigma_{p-1}\},$$

where  $\sigma_i(\Theta) = \Theta + i$ .

Since  $L$  is Galois over  $K$ , we have that  $ge(\omega/\nu)f(\omega/\nu)\delta(\omega/\nu) = p$  where  $g$  is the number of extensions of  $\nu$  to  $L$ . So we either have that  $g = 1$  or  $g = p$ . If  $g = 1$ , then  $\omega$  is the unique extension of  $\nu$  to  $L$  and either  $e(\omega/\nu) = p$  and  $\delta(\omega/\nu) = 1$  or  $e(\omega/\nu) = 1$  and  $\delta(\omega/\nu) = p$ . In particular, the extension is defect if and only if it is an immediate extension ( $e = f = 1$ ) and  $\omega$  is the unique extension of  $\nu$  to  $L$ .

From now on in this subsection, suppose that  $L$  is a defect extension of  $K$ . By [13, Lemma 4.1], the distance  $\delta = \text{dist}(\Theta, K)$  does not depend on the choice of Artin-Schreier generator  $\Theta$ , so  $\delta$  can be called the distance of the Artin-Schreier extension. Since  $L/K$  is an immediate extension, the set  $\omega(\Theta - K)$  is an initial segment in  $\nu K$  which has no maximal element by [13, Theorem 2.19].

We have, since the extension is defect, that

$$(6) \quad \delta = \text{dist}(\Theta, K) \leq 0^-$$

by [13, Corollary 2.30].

A defect Artin-Schreier extension  $L$  is defined in [13, Section 4] to be a dependent defect Artin-Schreier extension if there exists an immediate purely inseparable extension  $K(\eta)$  of  $K$  of degree  $p$  such that  $\eta \sim_K \Theta$ . Otherwise,  $L/K$  is defined to be an independent defect

Artin-Schreier defect extension. We have by [13, Proposition 4.2] that for a defect Artin-Schreier extension,

(7)  $L/K$  is independent if and only if the distance  $\delta = \text{dist}(\Theta, K)$  satisfies  $\delta = p\delta$ .

**2.5. Extensions of rank 1 valuations in an Artin-Schreier extension.** In this subsection, we suppose that  $L$  is an Artin-Schreier extension of a field  $K$  of characteristic  $p$ ,  $\omega$  is a rank 1 valuation of  $L$  and  $\nu$  is the restriction of  $\omega$  to  $K$ . We suppose that  $L$  is a defect extension of  $K$ . To simplify notation, we suppose that we have an embedding of  $\nu L$  in  $\mathbb{R}$ . Since  $L$  has defect over  $K$  and  $L$  is separable over  $K$ ,  $\nu L$  is nondiscrete by the corollary on page 287 of [20], so that  $\nu L$  is dense in  $\mathbb{R}$ .

We define a cut in  $\mathbb{R}$  by extending the cut  $\text{dist}(\Theta, K)$  in  $\widetilde{\nu K}$  to a cut of  $\mathbb{R}$  by taking the initial segment of the extended cut to be the least initial segment of  $\mathbb{R}$  in which the cut  $\text{dist}(\Theta, K)$  is confinal. This cut is then  $\text{dist}(\Theta, K) \uparrow \mathbb{R}$ . This cut is either  $s$  or  $s^-$  for some  $s \in \mathbb{R}$ . If  $L$  is a defect extension of  $K$  then  $\text{dist}(\Theta, K) \uparrow \mathbb{R} = s^-$  where  $s$  is a non positive real number by [13, Theorem 2.19] and [13, Corollary 2.30]. We will set  $\text{dist}(\omega/\nu)$  to be this real number  $s$ , so that

$$\text{dist}(\Theta, K) \uparrow \mathbb{R} = s^- = (\text{dist}(\omega/\nu))^-.$$

The real number  $\text{dist}(\omega/\nu)$  is well defined since it is independent of choice of Artin-Schreier generator of  $L/K$  by Lemma 4.1 [13].

With the assumptions of this subsection, by (6) and (7), the distance  $\delta = \text{dist}(\Theta, K)$  of an Artin-Schreier extension is  $\leq 0^-$  when the extension has defect. If it is a defect extension with distance equal to  $0^-$  then it is an independent defect extension. If it is a defect extensions and the distance is less than  $0^-$  then the extension is a dependent defect extension. Thus if  $L/K$  is a defect extension, we have that  $\text{dist}(\omega/\nu) \leq 0$  and the defect extension  $L/K$  is independent if and only if  $\text{dist}(\omega/\nu) = 0$ .

### 3. CALCULATIONS IN TWO DIMENSIONAL ARTIN-SCHREIER EXTENSIONS

Suppose that  $M$  is a two dimensional algebraic function field over an algebraically closed field  $k$  of characteristic  $p > 0$  and  $\mu$  is a nondiscrete rational rank 1 valuation of  $M$ . Suppose that  $A$  is an algebraic regular local ring of  $M$  such that  $\mu$  dominates  $A$ . A quadratic transform of  $A$  is an extension  $A \rightarrow A_1$  where  $A_1$  is a local ring of the blowup of the maximal ideal of  $A$  such that  $A_1$  dominates  $A$  and  $A_1$  has dimension two. A quadratic transform  $A \rightarrow A_1$  is said to be along the valuation  $\mu$  if  $\mu$  dominates  $A_1$ .

Let

$$A = A_0 \rightarrow A_1 \rightarrow A_2 \rightarrow \dots$$

be the sequence of quadratic transforms along  $\mu$ . Then the valuation ring  $\mathcal{O}_\mu = \cup A_i$  (by [1, Lemma 12]).

Suppose that  $K \rightarrow L$  is a finite extension of two dimensional algebraic function fields,  $R$  is an algebraic regular local ring of  $K$  which is dominated by a regular algebraic local ring  $S$  of  $L$  such that  $\dim R = \dim S = 2$ . Let  $x, y$  be regular parameters in  $S$  and  $u, v$  be regular parameters in  $R$ . Then we can form the Jacobian ideal

$$J(S/R) = \left( \frac{\partial u}{\partial x} \frac{\partial v}{\partial y} - \frac{\partial u}{\partial y} \frac{\partial v}{\partial x} \right).$$

This ideal is independent of choice of regular parameters.

The following proposition is proven in [17].

**Proposition 3.1.** Suppose that  $K \rightarrow L$  is an Artin-Schreier extension of two dimensional algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$ ,  $\omega$  is a rational rank 1 nondiscrete valuation of  $L$  with restriction  $\nu = \omega|K$ . Further suppose that  $A$  is an algebraic local ring of  $K$  and  $B$  is an algebraic local ring of  $L$  which is dominated by  $\omega$  such that  $B$  dominates  $A$ . Then there exists a commutative diagram of homomorphisms

$$\begin{array}{ccc} R & \rightarrow & S \\ \uparrow & & \uparrow \\ A & \rightarrow & B \end{array}$$

such that  $R$  is a regular algebraic local ring of  $K$  with regular parameters  $u, v$ ,  $S$  is a regular algebraic local ring of  $L$  with regular parameters  $x, y$  such that  $S$  is dominated by  $\omega$ ,  $S$  dominates  $R$ ,  $R \rightarrow S$  is quasi finite,  $J(S/R) = (x^{\bar{c}})$  for some non negative integer  $\bar{c}$  and one of the following three cases holds:

- 0)  $u = x, v = y$  ( $R \rightarrow S$  is unramified).
- 1)  $u = x, v = y^p\gamma + x\Sigma$  where  $\gamma$  is a unit in  $S$  and  $\Sigma \in S$ .
- 2)  $u = \gamma x^p, v = y$  where  $\gamma$  is a unit in  $S$ .

Let  $K \rightarrow L$  be an Artin-Schreier extension of two dimensional algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$ . Let  $R \rightarrow S$  be an extension from a regular algebraic local ring of  $K$  to a regular algebraic local ring of  $L$  such that  $S$  dominates  $R$ .

Let  $u, v$  be regular parameters in  $R$  and  $x, y$  be regular parameters in  $S$ . We will say that  $R \rightarrow S$  is of type 0 with respect to these parameters if

$$\text{Type 0: } u = \gamma x, v = y\tau + x\Omega$$

where  $\gamma, \tau$  are units in  $S$  and  $\Omega \in S$ , so that  $R \rightarrow S$  is unramified. We will say that  $R \rightarrow S$  is of type 1 with respect to these parameters if

$$\text{Type 1: } u = \gamma x, v = y^p\tau + x\Omega$$

where  $\gamma, \tau$  are units in  $S$  and  $\Omega \in S$ . We will say that  $R \rightarrow S$  is of type 2 with respect to these parameters if

$$\text{Type 2: } u = \gamma x^p, v = y\tau + x\Omega$$

where  $\gamma, \tau$  are units in  $S$  and  $\Omega \in S$ .

These definitions are such that if one these types hold, and  $\bar{u}, \bar{v}$  are regular parameters in  $R$ ,  $\bar{x}, \bar{y}$  are regular parameters in  $S$  such that  $\bar{u}$  is a unit in  $R$  times  $u$  and  $\bar{x}$  is a unit in  $S$  times  $x$  then  $R \rightarrow S$  is of the same type for the new parameters  $\bar{u}, \bar{v}$  and  $\bar{x}, \bar{y}$ .

In the construction of our example (Theorem 4.1), we will make use of some results from [6].

**Theorem 3.2.** ([6, Theorem 4.1]) Suppose that  $R \rightarrow S$  is of type 1 with respect to regular parameters  $x, y$  in  $S$  and  $u, v$  in  $R$  and that  $J(S/R) = (x^{\bar{c}})$ . Let  $\bar{x} = u$ ,  $\bar{y} = y - g(\bar{x})$  where  $g(\bar{x}) \in k[\bar{x}]$  is a polynomial with zero constant term, so that  $\bar{x}, \bar{y}$  are regular parameters in  $S$ . Computing the Jacobian determinate  $J(S/R)$ , we see that

$$u = \bar{x}, v = \bar{y}^p\gamma + \bar{x}^{\bar{c}}\bar{y}\tau + f(\bar{x})$$

where  $\gamma, \tau$  are unit series in  $\hat{S}$  and  $f(\bar{x}) = \sum e_i \bar{x}^i \in k[[\bar{x}]]$ . Make the change of variables  $\bar{v} = v - \sum e_i u^i$  where the sum is over  $i$  such that  $i \leq \frac{pq}{m}$  so that  $u, \bar{v}$  are regular parameters in  $R$ .

Suppose that  $m, q$  are positive integers with  $m > 1$  and  $\gcd(m, q) = 1$ . Let  $\alpha$  be a nonzero element of  $k$ . Consider the sequence of quadratic transforms  $S \rightarrow S_1$  so that  $S_1$  has regular parameters  $x_1, y_1$  defined by

$$\bar{x} = x_1^m(y_1 + \alpha)^{a'}, \bar{y} = x_1^q(y_1 + \alpha)^{b'}$$

where  $a', b' \in \mathbb{N}$  are such that  $mb' - qa' = 1$ .

We have that  $R \rightarrow S$  is of type 1 with respect to the regular parameters  $\bar{x}, \bar{y}$  and  $u, v$ . Let  $\sigma = \gcd(m, pq)$  which is 1 or  $p$ .

There exists a unique sequence of quadratic transforms  $R \rightarrow R_1$  such that  $R_1$  has regular parameters  $u_1, v_1$  defined by

$$u = u_1^{\bar{m}}(v_1 + \beta)^{c'}, \bar{v} = u_1^{\bar{q}}(v_1 + \beta)^{d'}$$

with  $0 \neq \beta \in k$  giving a commutative diagram of homomorphisms

$$\begin{array}{ccc} R_1 & \rightarrow & S_1 \\ \uparrow & & \uparrow \\ R & \rightarrow & S \end{array}$$

such that  $R_1 \rightarrow S_1$  is quasi finite. We have that  $J(S_1/R_1) = (x_1^{c_1})$  for some positive integer  $c_1$  and  $R_1 \rightarrow S_1$  is quasi finite. Further:

- 0) If  $\frac{q}{m} \geq \frac{\bar{c}}{p-1}$  then  $R_1 \rightarrow S_1$  is of type 0.
- 1) If  $\frac{q}{m} < \frac{\bar{c}}{p-1}$  and  $\sigma = 1$  then  $R_1 \rightarrow S_1$  is of type 1 and

$$\left( \frac{c_1}{p-1} \right) = \left( \frac{\bar{c}}{p-1} \right) m - q.$$

- 2) If  $\frac{q}{m} < \frac{\bar{c}}{p-1}$  and  $\sigma = p$  then  $R_1 \rightarrow S_1$  is of type 2 and

$$\left( \frac{c_1}{p-1} \right) = \left( \frac{\bar{c}}{p-1} \right) m - q + 1.$$

In cases 1) and 2),  $m = \sigma \bar{m}$ ,  $pq = \sigma \bar{q}$  and  $\bar{m}c' - \bar{q}d' = 1$ .

**Theorem 3.3.** ([6, Theorem 4.3]) Suppose that  $R \rightarrow S$  is of type 2 with respect to regular parameters  $x, y$  in  $S$  and  $u, v$  in  $R$  and that  $J(S/R) = (x^{\bar{c}})$ . Let  $g(u) \in k[u]$  be a polynomial with no constant term. Make the change of variables, letting  $\bar{v} = v - g(u)$  and  $\bar{y} = \bar{v}$ , so that  $x, \bar{y}$  are regular parameters in  $S$  and  $u, \bar{v}$  are regular parameters in  $R$ .

Suppose that  $m, q$  are positive integers with  $\gcd(m, q) = 1$ . Let  $\alpha$  be a nonzero element of  $k$ . Consider the sequence of quadratic transforms  $S \rightarrow S_1$  so that  $S_1$  has regular parameters  $x_1, y_1$  defined by

$$x = x_1^m(y_1 + \alpha)^{a'}, \bar{y} = x_1^q(y_1 + \alpha)^{b'}$$

where  $a', b' \in \mathbb{N}$  are such that  $mb' - qa' = 1$ .

Let  $\sigma = \gcd(pm, q)$  which is 1 or  $p$ . There exists a unique sequence of quadratic transforms  $R \rightarrow R_1$  such that  $R_1$  has regular parameters  $u_1, v_1$  defined by

$$u = u_1^{\bar{m}}(v_1 + \beta)^{c'}, \bar{v} = u_1^{\bar{q}}(v_1 + \beta)^{d'}$$

where  $pm = \sigma \bar{m}$ ,  $q = \sigma \bar{q}$ ,  $\bar{m}d' - c'\bar{q} = 1$  and  $0 \neq \beta \in k$ , giving a commutative diagram of homomorphisms

$$\begin{array}{ccc} R_1 & \rightarrow & S_1 \\ \uparrow & & \uparrow \\ R & \rightarrow & S \end{array}$$

such that  $R_1 \rightarrow S_1$  is quasi finite. We have that  $J(S_1/R_1) = (x_1^{c_1})$  for some positive integer  $c_1$ . Further:

1) If  $\sigma = 1$  then  $R_1 \rightarrow S_1$  is of type 1 and

$$\left( \frac{c_1}{p-1} \right) = \left( \frac{\bar{c}}{p-1} \right) m - m.$$

2) If  $\sigma = p$  then  $R_1 \rightarrow S_1$  is of type 2 and

$$\left( \frac{c_1}{p-1} \right) = \left( \frac{\bar{c}}{p-1} \right) m - m + 1.$$

A proof of the following proposition is given in [6, Proposition 7.9]. More general results are proven in [15].

**Proposition 3.4.** (Kuhlmann and Piltant, [14]) Suppose that  $K$  and  $L$  are two dimensional algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$  and  $K \rightarrow L$  is an Artin-Schreier extension. Let  $\omega$  be a rational rank one nondiscrete valuation of  $L$  and let  $\nu$  be the restriction of  $\omega$  to  $K$ . Suppose that  $L$  is a defect extension of  $K$ .

Suppose that  $R$  is a regular algebraic local ring of  $K$  and  $S$  is a regular algebraic local ring of  $L$  such that  $\omega$  dominates  $S$ ,  $S$  dominates  $R$  and  $R \rightarrow S$  is of type 1 or 2. Inductively applying Theorems 3.2 and 3.3, we construct a diagram where the horizontal sequences are birational extensions of regular local rings

$$(8) \quad \begin{array}{ccccccc} S = S_0 & \rightarrow & S_1 & \rightarrow & S_2 & \rightarrow & \cdots \\ \uparrow & & \uparrow & & \uparrow & & \\ R = R_0 & \rightarrow & R_1 & \rightarrow & R_2 & \rightarrow & \cdots \end{array}$$

with  $\bigcup_{i=1}^{\infty} S_i = \mathcal{O}_{\omega}$ . Further assume that for each map  $R_i \rightarrow S_i$ , there are regular parameters  $u, v$  in  $R_i$  and  $x, y$  in  $S_i$  such that one of the following forms hold:

$$(9) \quad u = x, v = f$$

where  $\dim_k S_i/(x, f) = p$ , or

$$(10) \quad u = \delta x^p, v = y$$

where  $\delta$  is a unit in  $S_i$  and in both cases that  $x = 0$  is a local equation of the critical locus of  $\text{Spec}(S_i) \rightarrow \text{Spec}(R_i)$ . Let

$$J_i = J(S_i/R_i) = \left( \frac{\partial u}{\partial x} \frac{\partial v}{\partial y} - \frac{\partial u}{\partial y} \frac{\partial v}{\partial x} \right)$$

be the Jacobian ideal of the map  $R_i \rightarrow S_i$ .

Then the distance  $\text{dist}(\omega/\nu)$  is computed by the formula

$$-\text{dist}(\omega/\nu) = \frac{1}{p-1} \inf_i \{\omega(J(S_i/R_i))\}$$

where the infimum is over the  $R_i \rightarrow S_i$  in the sequence (8).

#### 4. AN EXAMPLE OF A TOWER OF INDEPENDENT DEFECT EXTENSIONS IN WHICH STRONG LOCAL MONOMIALIZATION DOESN'T HOLD

**Theorem 4.1.** *There exists a tower  $(K, \nu) \rightarrow (L, \omega) \rightarrow (M, \mu)$  of independent defect Artin-Schreier extensions of valued two dimensional algebraic function fields over an algebraically closed field  $k$  of characteristic  $p > 0$  such that there exist algebraic regular local rings  $A$  of  $K$  and  $C$  of  $M$  such that  $\mu$  dominates  $C$  and  $C$  dominates  $A$  but strong local monomialization along  $\mu$  does not hold above  $A \rightarrow C$ .*

**Remark 4.2.** *Let  $\delta \in \mathbb{R}_{\geq 0}$  be a fixed ratio. Suppose that  $R \rightarrow S$  is of type 1. By taking  $m$  and  $q$  sufficiently large in Theorem 3.2 such that  $R_1 \rightarrow S_1$  is of type 2, we can achieve that  $v_1 = \lambda y_1 + g(x_1)$  where  $\lambda$  is a unit in  $S_1$  and the order of  $g(x_1)$  is arbitrarily large. Suppose that  $R \rightarrow S$  is of type 2. By taking  $m$  and  $q$  sufficiently large in Theorem 3.3 such that  $R_1 \rightarrow S_1$  is of type 1 we can achieve that  $v_1 = y_1^p \gamma + x_1^{c_1} y_1 \tau + f(x_1)$  where  $\gamma$  and  $\tau$  are unit series in  $S_1$  and the order of  $f(x_1)$  is arbitrarily large. In both cases, we can choose  $m$  and  $q$  so that  $\frac{q}{m}$  is arbitrarily close to  $\delta$ .*

**Remark 4.3.** *In Theorem 3.3, we have an expression  $\bar{v} = \tau y + f(x)$  where  $\tau$  is a unit in  $S$ . Suppose that  $m$  and  $q$  are positive integers with  $\gcd(m, q) = 1$  and such that  $\text{ord } f(x) > \frac{q}{m}$ . Then the proof of Theorem 3.3 extends to show that the conclusions of Theorem 3.3 hold with  $\bar{y}$  replaced with  $y$ .*

We now give the proof of Theorem 4.1.

*Proof.* Let  $K$  be a two dimensional algebraic function field over an algebraically closed field, and let  $R_{-2}$  be a two dimensional algebraic regular local ring of  $K$ . Let  $u_{-2}, v_{-2}$  be regular parameters in  $R_{-2}$ .

Let  $e$  be a positive integer. Let  $c_{-2} = (p-1)e$ . Let  $\Theta$  be a root of the Artin-Schreier polynomial  $X^p - X - v_{-2}u_{-2}^{-pe}$ . Let  $L = K(\Theta)$ . Set  $x_{-2} = u_{-2}$ ,  $y_{-2} = u_{-2}^e \Theta$ . Let  $S_{-2} = R_{-2}[y_{-2}]_{(x_{-2}, y_{-2})}$ , which is an algebraic regular local ring of  $L$  which dominates  $R_{-2}$ . The regular parameters  $x_{-2}, y_{-2}$  in  $S_{-2}$  satisfy  $u_{-2} = x_{-2}, v_{-2} = y_{-2}^p - x_{-2}^{e(p-1)}y_{-2}$ , so that the extension  $R_{-2} \rightarrow S_{-2}$  is of type 1. We have that  $J(S_{-2}/R_{-2}) = (x_{-2}^{c_{-2}})$ , with  $\frac{c_{-2}}{p-1} > 0$ .

We first construct a commutative diagram

$$\begin{array}{ccc} S_{-2} & \rightarrow & S_{-1} \\ \uparrow & & \uparrow \\ R_{-2} & \rightarrow & R_{-1} \end{array}$$

using Theorem 3.2 so that  $R_{-1} \rightarrow S_{-1}$  is of type 2. Let  $\Sigma$  be a root of the Artin-Schreier polynomial  $X^p - X - y_{-1}x_{-1}^{-pe}$ . Let  $M = L(\Sigma)$ . Set  $z_{-1} = x_{-1}$ ,  $w_{-1} = x_{-1}^e \Sigma$ . Let  $T_{-1} = S_{-1}[w_{-1}]_{(z_{-1}, w_{-1})}$ , which is an algebraic regular local ring of  $M$  which dominates  $S_{-1}$ . The regular parameters  $z_{-1}, w_{-1}$  in  $T_{-1}$  satisfy  $x_{-1} = z_{-1}, y_{-1} = w_{-1}^p - z_{-1}^{e(p-1)}w_{-1}$ , so that the extension  $S_{-1} \rightarrow T_{-1}$  is of type 1. We have that  $J(T_{-1}/S_{-1}) = (z_{-1}^{c'_{-1}})$ , with  $\frac{c'_{-1}}{p-1} > 0$ .

From Theorems 3.2 and 3.3, we construct

$$\begin{array}{ccc} T_{-1} & \rightarrow & T_0 \\ \uparrow & & \uparrow \\ S_{-1} & \rightarrow & S_0 \\ \uparrow & & \uparrow \\ R_{-1} & \rightarrow & R_0 \end{array}$$

such that  $R_0 \rightarrow S_0$  is of type 1 and  $S_0 \rightarrow T_0$  is of type 2. Explicitely,  $R_{-1}, R_0, S_{-1}, S_0, T_{-1}, T_0$  have respective regular parameters  $(u_{-1}, v_{-1}), (u_0, v_0), (x_{-1}, y_{-1}), (x_0, y_0)$  and  $(z_{-1}, w_{-1}), (z_0, w_0)$  which are related by equations

$$\begin{aligned} u_{-1} &= u_0^{pm_0}(v_0 + \beta_0)^{d'_0}, v_{-1} = u_0^{q_0}(v_0 + \beta_0)^{e'_0} \\ x_{-1} &= x_0^{m_0}(y_0 + \alpha_0)^{a'_0}, y_{-1} = x_0^{q_0}(w_0 + \alpha_0)^{g'_0} \\ z_{-1} &= z_0^{pm_0}(v_0 + \gamma_0)^{f'_0}, w_{-1} = z_0^{q_0}(w_0 + \gamma_0)^{g'_0} \end{aligned}$$

where  $p \nmid q_0$  and  $\frac{q_0}{pm_0} < \frac{c'_{-1}}{p-1}$  where  $J(T_{-1}/S_{-1}) = (z_{-1}^{c'_{-1}})$ .

By Remarks 4.2 and 4.3, we can construct  $R_0 \rightarrow S_0 \rightarrow T_0$  so that we have expressions  $y_0 = \lambda_0 w_0 + g_0(z_0)$  where  $\lambda_0$  is a unit in  $T_0$  and  $\text{ord } g_0(z_0)$  is arbitrarily large and  $v_0 = \sigma_0 y_0^p + \tau_0 x_0^{c_0} y_0 + f_0(x_0)$  where  $\sigma_0, \tau_0$  are units in  $S_0$  and  $\text{ord } f_0(x_0)$  is arbitrarily large.

We will inductively construct a commutative diagram within  $K \rightarrow L \rightarrow M$  of two dimensional regular algebraic local rings

$$(11) \quad \begin{array}{ccccccc} T_0 & \rightarrow & T_1 & \rightarrow & T_2 & \rightarrow & \cdots \\ \uparrow & & \uparrow & & \uparrow & & \\ S_0 & \rightarrow & S_1 & \rightarrow & S_2 & \rightarrow & \cdots \\ \uparrow & & \uparrow & & \uparrow & & \\ R_0 & \rightarrow & R_1 & \rightarrow & R_2 & \rightarrow & \cdots \end{array}$$

such that  $R_i \rightarrow S_i$  is of type 1 if  $i$  is even and is of type 2 if  $i$  is odd,  $S_i \rightarrow T_i$  is of type 2 if  $i$  is even and is of type 1 if  $i$  is odd. Further, valuations  $\nu, \omega$  and  $\mu$  of the respective function fields  $K, L$  and  $M$  determined by these sequences are such that  $K \rightarrow L$  and  $L \rightarrow M$  are independent defect extensions. We will have that  $R_i$  has regular parameters  $(u_i, v_i)$ ,  $S_i$  has regular parameters  $(x_i, y_i)$  and  $T_i$  has regular parameters  $(z_i, w_i)$  such that

$$\begin{aligned} u_i &= u_{i+1}^{\bar{m}_{i+1}}(v_{i+1} + \beta_{i+1})^{d'_{i+1}}, v_i = u_{i+1}^{\bar{q}_{i+1}}(v_{i+1} + \beta_{i+1})^{e'_{i+1}}, \\ x_i &= x_{i+1}^{m_{i+1}}(y_{i+1} + \alpha_{i+1})^{a'_{i+1}}, y_i = x_{i+1}^{q_{i+1}}(y_{i+1} + \alpha_{i+1})^{b'_{i+1}}, \\ z_i &= z_{i+1}^{m'_{i+1}}(w_{i+1} + \gamma_{i+1})^{f'_{i+1}}, w_i = z_{i+1}^{q'_{i+1}}(w_{i+1} + \gamma_{i+1})^{g'_{i+1}} \end{aligned}$$

with  $\bar{m}_i, m_i$  and  $m'_i$  larger than 1 for all  $i$ .

Let  $J(S_i/R_i) = (x_i^{c_i})$  and  $J(T_i/S_i) = (z_i^{c'_i})$ .

If  $i$  is even, then  $m_{i+1} = p\bar{m}_{i+1}, m'_{i+1} = \bar{m}_{i+1}, q_{i+1} = \bar{q}_{i+1}, q'_{i+1} = q_{i+1}$  and

$$\frac{q_{i+1}}{m_{i+1}} < \frac{c_i}{p-1}.$$

If  $i$  is odd, then  $\bar{m}_{i+1} = pm_{i+1}, m'_{i+1} = \bar{m}_{i+1}, q_{i+1} = \bar{q}_{i+1}, q'_{i+1} = q_{i+1}$  and

$$\frac{q'_{i+1}}{m'_{i+1}} < \frac{c'_i}{p-1}.$$

In our construction, if  $r$  is even, we will have that

$$(12) \quad y_r = \lambda_r w_r + g_r(z_r)$$

where  $\lambda_r$  is a unit in  $T_r$  and  $\text{ord } g_r(z_r)$  is arbitrarily large and

$$(13) \quad v_r = \sigma_r y_r^p + \tau_r x_r^{c_r} y_r + f_r(x_r)$$

where  $\sigma_r, \tau_r$  are units in  $S_r$  and  $\text{ord } f_r(x_r)$  is arbitrarily large. If  $r$  is even, we will have

$$(14) \quad y_r = \sigma_r w_r^p + \tau_r z_r^{c'_r} w_r + f(z_r)$$

where  $\sigma_r, \tau_r$  are units in  $T_r$  and  $\text{ord } f(z_r)$  is arbitrarily large and

$$(15) \quad v_r = \lambda_r y_r + g_r(x_r)$$

where  $\lambda_r$  is a unit in  $S_r$  and  $\text{ord } g_r(x_r)$  is arbitrarily large.

Suppose that  $r$  is even, and we have constructed  $R_r \rightarrow S_r \rightarrow T_r$ . We will construct

$$\begin{array}{ccccccc} T_r & \rightarrow & T_{r+1} & \rightarrow & T_{r+2} & & \\ \uparrow & & \uparrow & & \uparrow & & \\ S_r & \rightarrow & S_{r+1} & \rightarrow & S_{r+2} & & \\ \uparrow & & \uparrow & & \uparrow & & \\ R_r & \rightarrow & R_{r+1} & \rightarrow & R_{r+2}. & & \end{array}$$

There exists an integer  $\lambda(r+1) > 1$  and  $q_{r+1} \in \mathbb{Z}_+$  such that  $\text{gcd}(q_{r+1}, p) = 1$  and

$$(16) \quad \frac{c_r}{p-1} > \frac{q_{r+1}}{p^{\lambda(r+1)}} > \frac{c_r}{p-1} - \frac{1}{2^{r+1}} m_1 \cdots m_r.$$

In fact, we can find  $\lambda(r+1)$  arbitrarily large satisfying the inequality. Set  $m_{r+1} = p^{\lambda(r+1)}$ . We have that  $\frac{q_{r+1}}{m_{r+1}} < \frac{c_r}{p-1}$  with  $\text{gcd}(m_{r+1}, pq_{r+1}) = p$ . This choice of  $m_{r+1}$  and  $q_{r+1}$  (along with a choice of  $0 \neq \alpha_{r+1} \in k$ ) determines  $S_r \rightarrow S_{r+1}$ . We have an expression  $v_r = \sigma_r y_r^p + \tau_r x_r^{c_r} y_r + f_r(x_r)$  where  $\text{ord } f_r(x_r)$  is arbitrarily large. In particular, we can assume that  $\text{ord } f_r(x_r) > \frac{pq_{r+1}}{m_{r+1}}$ . Then  $R_r \rightarrow R_{r+1}$  is defined as desired by Theorem 3.2. By Remark 4.2, since we can take  $\lambda(r+1)$  to be arbitrarily large, we can assume that  $v_{r+1} = \lambda_{r+1} y_{r+1} + g_{r+1}(x_{r+1})$  where  $\text{ord } g_{r+1}(x_{r+1})$  is arbitrarily large.

By Remark 4.3 and Theorem 3.3,  $T_r \rightarrow T_{r+1}$  is defined as desired, with  $m'_{r+1} = \frac{m_{r+1}}{p}$ ,  $q'_{r+1} = q_{r+1}$ . Since we can take  $\lambda(r+1)$  to be arbitrarily large, we can assume that  $y_{r+1} = \sigma_{r+1} w_{r+1}^p + \tau_{r+1} z_{r+1}^{c'_{r+1}} w_r + f_{r+1}(z_{r+1})$  where  $\text{ord } f_{r+1}(z_{r+1})$  is arbitrarily large.

We have defined a commutative diagram

$$(17) \quad \begin{array}{ccc} T_r & \rightarrow & T_{r+1} \\ \uparrow & & \uparrow \\ S_r & \rightarrow & S_{r+1} \\ \uparrow & & \uparrow \\ R_r & \rightarrow & R_{r+1} \end{array}$$

with the desired properties; in particular,  $R_{r+1} \rightarrow S_{r+1}$  is of type 2 with

$$\frac{c_{r+1}}{p-1} = \left( \frac{c_r}{p-1} \right) m_{r+1} - q_{r+1} + 1$$

and  $S_{r+1} \rightarrow T_{r+1}$  is of type 1, with

$$\frac{c'_{r+1}}{p-1} = \frac{c'_r}{p-1} m'_{r+1} - m'_{r+1}.$$

Now choose  $q'_{r+2}$ ,  $m'_{r+2} = p^{\lambda(r+2)}$  such that  $p \nmid q'_{r+2}$  and

$$(18) \quad \frac{c'_{r+1}}{p-1} > \frac{q'_{r+2}}{m'_{r+2}} > \frac{c'_{r+1}}{p-1} - \frac{1}{2^{r+2}} m'_1 \cdots m'_{r+1}.$$

We can take  $\lambda(r+2)$  arbitrarily large. Set  $m_{r+2} = \frac{m'_{r+2}}{p} = p^{\lambda(r+2)-1}$ ,  $q_{r+2} = q'_{r+2}$ . By (18),  $\frac{q'_{r+2}}{m'_{r+2}} < \frac{c'_{r+1}}{p-1}$ .

Now construct, as in the construction of (17), using Theorems 3.2 and 3.3 and Remark 4.3 and these values of  $m_{r+2}$  and  $q_{r+2}$ ,

$$\begin{array}{ccc} T_{r+1} & \rightarrow & T_{r+2} \\ \uparrow & & \uparrow \\ S_{r+1} & \rightarrow & S_{r+2} \\ \uparrow & & \uparrow \\ R_{r+1} & \rightarrow & R_{r+2}, \end{array}$$

so that  $R_{r+2} \rightarrow S_{r+2}$  is of type 1 and  $S_{r+2} \rightarrow T_{r+2}$  is of type 2. By Remark 4.2, we obtain expressions (12) and (13) for  $r+2$ .

By induction, we construct the diagram (11).

Let  $A = R_0$  and  $C = T_0$ . We will show that strong local monomialization doesn't hold above  $A \rightarrow C$  along  $\mu$ . Suppose that  $R' \rightarrow T'$  has a strongly monomial form above  $A \rightarrow C$ . Then  $R'$  has regular parameters  $u', v'$  and  $T'$  has regular parameters  $z', w'$  such that  $u' = \lambda(z')^m$  and  $v' = w'$  where  $m \in \mathbb{Z}_{>0}$  and  $\lambda$  is a unit in  $T'$ . We will show that this cannot occur. There exists a commutative diagram

$$\begin{array}{ccc} T_s & \rightarrow & T' & \rightarrow & T_{s+1} \\ \uparrow & & \uparrow & & \uparrow \\ R_s & \rightarrow & R' & \rightarrow & R_{s+1} \end{array}$$

for some  $s$ . The ring  $T'$  has regular parameters  $\bar{z}, \bar{w}$  such that

$$(19) \quad z_s = \bar{z}^a \bar{w}^b, w_s = \bar{z}^c \bar{w}^d$$

for some  $a, b, c, d \in \mathbb{N}$  with  $ad - bc = \pm 1$ , and  $R'$  has regular parameters  $\bar{u}, \bar{v}$  such that  $u_s = \bar{u}^{\bar{a}} \bar{v}^{\bar{b}}$ ,  $v_s = \bar{u}^{\bar{c}} \bar{v}^{\bar{d}}$ , where  $\bar{a}\bar{d} - \bar{b}\bar{c} = \pm 1$ . We have an expression

$$(20) \quad u_s = \alpha z_s^p, v_s = \beta w_s^p + \Omega$$

where  $\alpha, \beta$  are units in  $T_s$  and where

$$(21) \quad \Omega = \varepsilon z_s^{pc_s} w_s + M$$

or

$$(22) \quad \Omega = \varepsilon z_s^{c'_s} w_s + M$$

where  $\varepsilon \in T_s$  is a unit and  $M$  is a sum of monomials in  $z_s, w_s$  of high order in  $z_s$ . Further,  $\mu(w_s^p) < \mu(z_s^{pc_s} w_s)$  in (21) and  $\mu(w_s^p) < \mu(z_s^{c'_s} w_s)$  in (22).

In particular,  $R_s \rightarrow T_s$  is not a strongly monomial form.

Substituting (19) into  $u_s$  and  $v_s$  in (20), we have

$$(23) \quad u_s = \alpha \bar{z}^{ap} \bar{w}^{bp}, v_s = \beta \bar{z}^{cp} \bar{w}^{dp} + \Omega.$$

We necessarily have that  $u_s | v_s$  or  $v_s | u_s$  in  $T'$ .

First suppose that  $c \geq a$  and  $d \geq b$ . Then we have that

$$u_s = \alpha \bar{z}^{ap} \bar{w}^{bp}, \frac{v_s}{u_s} = \beta \bar{z}^{(c-a)p} \bar{w}^{(d-b)p} + \frac{\Omega}{\alpha \bar{z}^{ap} \bar{w}^{bp}}$$

giving an expression of the form (23). We will show that this is not a strongly monomial form. If it is, then we must have that  $a = 0$  or  $b = 0$  so that either

$$(24) \quad z_s = \bar{w}, w_s = \bar{z} \bar{w}^d$$

or

$$(25) \quad z_s = \bar{z}, w_s = \bar{z}^c \bar{w}$$

and we must have that  $\frac{\Omega}{u_s}$  is part of a regular system of parameters in  $T'$ . Substituting into (21) or (22), we see that this cannot occur except possibly in the case that (22) holds and  $\frac{z_s^{c'_s} w_s}{u_s}$  is part of a regular system of parameters in  $T'$ .

Suppose that (22) and (24) hold with

$$\frac{z_s^{c'_s} w_s}{u_s} = \frac{\bar{w}^{c'_s+d} \bar{z}}{\alpha \bar{w}^p}$$

being part of a regular system of parameters in  $T'$ . Now in this case,  $\mu(w_s) > \mu(z_s)$  and  $\mu(w_s^p) < \mu(z_s^{c'_s} w_s)$  so  $p \leq c'_s$ . Thus  $\frac{\bar{w}^{c'_s+d} \bar{z}}{\alpha \bar{w}^p}$  cannot be part of a regular system of parameters in  $T'$ . A similar argument shows that we do not obtain a strongly monomial form when (22) and (25) hold.

Suppose that  $c < a$  and  $d < b$ . Then we have expressions

$$v_s = \gamma \bar{z}^{cp} \bar{w}^{dp}, \frac{u_s}{v_s} = \alpha \gamma^{-1} \bar{z}^{(a-c)p} \bar{w}^{(b-d)p}$$

where  $\gamma \in T'$  is a unit, giving an expression of the form of (23), which is not strongly monomial. Thus we reduce to the case where  $(c-a)(d-b) < 0$ . We then have that  $u_s \not\sim v_s$  since  $u_s \not\sim \bar{z}^{cp} \bar{w}^{dp}$ . Suppose that  $v_s \mid u_s$ . Then  $v_s = \lambda \bar{z}^{cp} \bar{w}^{dp}$  where  $\lambda$  is a unit in  $T'$ . But this is impossible since  $(c-a)(d-b) < 0$ . Thus  $R' \rightarrow T'$  has a form (23) with  $a, b, c, d > 0$  and so cannot be a strongly monomial form. We have established that strong local monomialization along  $\mu$  does not hold above  $A \rightarrow C$ .

From Theorem 3.2, we have that

$$(26) \quad \left( \frac{c_{r+1}}{p-1} \right) \frac{1}{m_1 \cdots m_{r+1}} = \left( \frac{c_r}{p-1} \right) \frac{1}{m_1 \cdots m_r} - \frac{q_{r+1}}{m_{r+1}} \left( \frac{1}{m_1 \cdots m_r} \right) + \frac{1}{m_1 \cdots m_{r+1}}.$$

Then from Theorem 3.3, we have that

$$\frac{c_{r+2}}{p-1} = \left( \frac{c_{r+1}}{p-1} \right) m_{r+2} - m_{r+2},$$

and so

$$(27) \quad \left( \frac{c_{r+2}}{p-1} \right) \frac{1}{m_1 \cdots m_{r+2}} = \left( \frac{c_r}{p-1} \right) \frac{1}{m_1 \cdots m_r} - \frac{q_{r+1}}{m_1 \cdots m_{r+1}}.$$

By equation (16) we have

$$(28) \quad \frac{1}{2^{r+1}} > \left( \frac{c_r}{p-1} \right) \frac{1}{m_1 \cdots m_r} - \left( \frac{q_{r+1}}{m_{r+1}} \right) \frac{1}{m_1 \cdots m_r} > 0.$$

By Theorem 3.2,

$$\left( \frac{c'_{r+2}}{p-1} \right) \frac{1}{m'_1 \cdots m'_{r+2}} = \left( \frac{c'_{r+1}}{p-1} \right) \frac{1}{m'_1 \cdots m'_{r+1}} - \frac{q'_{r+2}}{m'_1 \cdots m'_{r+2}} + \frac{1}{m'_1 \cdots m'_{r+2}}$$

and by Theorem 3.3,

$$\frac{c'_{r+3}}{p-1} = \left( \frac{c'_{r+2}}{p-1} \right) m'_{r+3} - m'_{r+3}.$$

We thus have that

$$(29) \quad \left( \frac{c'_{r+3}}{p-1} \right) \frac{1}{m'_1 \cdots m'_{r+3}} = \left( \frac{c'_{r+1}}{p-1} \right) \frac{1}{m'_1 \cdots m'_{r+1}} - \frac{q'_{r+2}}{m'_1 \cdots m'_{r+2}}.$$

Equation (18) implies

$$(30) \quad \frac{1}{2^{r+2}} > \left( \frac{c'_{r+1}}{p-1} \right) \frac{1}{m'_1 \cdots m'_{r+1}} - \frac{q'_{r+2}}{m'_1 \cdots m'_{r+2}} > 0.$$

Now  $J(S_i/R_i) = (x_i^{c_i})$  and  $x_0 = x_i^{m_1 \cdots m_i}$  so  $\omega(J(S_i/R_i)) = \frac{c_i}{m_1 \cdots m_i} \omega(x_0)$  and thus by Proposition 3.4, (27) and (28), we have that

$$-\text{dist}(\omega/\nu) = \frac{1}{p-1} \inf_i \{\omega(J(S_i/R_i))\} = 0.$$

We have that  $J(T_i/S_i) = (z_i^{c'_i})$  and  $z_0 = z_i^{m'_1 \cdots m'_i}$  so  $\omega(J(T_i/S_i)) = \frac{c'_i}{m'_1 \cdots m'_i} \omega(z_0)$  and thus by Proposition 3.4, (29) and (30), we have that

$$-\text{dist}(\mu/\omega) = \frac{1}{p-1} \inf_i \{\omega(J(T_i/S_i))\} = 0.$$

□

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