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# A Breakdown of the Black Hole-Bulge Mass Relation in Local Active Galaxies

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### Abstract

We investigate the relation between black hole (BH) mass and bulge stellar mass for a sample of 117 local ( $z \sim 0$ ) galaxies hosting low-luminosity, broad-line active galactic nuclei (AGNs). Our sample comes from Reines & Volonteri, who found that, for a given total stellar mass, these AGNs have BH masses more than an order of magnitude smaller than those in early-type galaxies with quiescent BHs. Here, we aim to determine whether or not this AGN sample falls on the canonical BH-to-bulge mass relation by utilizing bulge—disk decompositions and determining bulge stellar masses using color-dependent mass-to-light ratios. We find that our AGN sample remains offset by more than an order of magnitude from the  $M_{\rm BH}$ - $M_{\rm bulge}$  relation defined by early-type galaxies with dynamically detected BHs. We caution that using canonical BH-to-bulge mass relations for galaxies other than ellipticals and bulge-dominated systems may lead to highly biased interpretations. This work bears directly on predictions for gravitational-wave detections and cosmological simulations that are tied to the local BH-to-bulge mass relations.

*Unified Astronomy Thesaurus concepts:* Active galaxies (17); Seyfert galaxies (1447); Active galactic nuclei (16); Galaxy bulges (578); Scaling relations (2031)

Materials only available in the online version of record: machine-readable table

### 1. Introduction

Supermassive black holes (SMBHs) are thought to live in the center of every massive galaxy (Magorrian et al. 1998) and have even been found in dwarf galaxies with masses comparable to the Magellanic Clouds (Reines et al. 2013). SMBHs with masses exceeding  $10^9 M_{\odot}$  have been observed as early as hundreds of millions of years after the Big Bang (Wang et al. 2021); however, it is currently unclear how exactly they formed and grew to such large sizes so quickly. Various seeding mechanisms have been proposed, e.g., stellar remnants from Population III stars (Bond et al. 1984) and direct-collapse scenarios (Loeb & Rasio 1994; Begelman et al. 2006), and each have their own signatures that should be detectable in the local Universe. Semi-analytic models and simulations can predict what the population of SMBHs should look like in the local Universe for each seeding mechanism (Volonteri et al. 2008; Volonteri 2010; Ricarte & Natarajan 2018; Bellovary et al. 2019), which we can then compare to observations.

Clues to black hole (BH) seeding may come from BH-host galaxy scaling relations in the low-mass regime (Volonteri & Natarajan 2009; Ricarte & Natarajan 2018). Properties including velocity dispersion (Gebhardt et al. 2000; Merritt & Ferrarese 2001), bulge luminosity (Marconi & Hunt 2003), and bulge mass (Häring & Rix 2004; Kormendy & Ho 2013; McConnell & Ma 2013) have all been found to scale with the mass of the central BH in relatively massive galaxies. Understanding and accurately quantifying these scaling relations across the full range of BH masses, particularly at low masses (Schutte et al. 2019; Baldassare et al. 2020), could reveal information about the origin and evolutionary history of SMBHs.

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Canonical scaling relations have traditionally been derived from dynamical BH mass measurements made from observations biased toward high-mass BHs living in nearby, early-type, inactive galaxies with classical stellar bulges (e.g., Kormendy & Ho 2013). However, these relations are often applied to vastly different types of systems where the relations may not hold, including dwarf or late-type galaxies (where classical bulges may be absent), high-redshift galaxies (where stellar bulges, if they exist, cannot be resolved), and active galactic nuclei (AGNs, which make up the the vast majority of detected SMBHs). Observations have indeed revealed that some types of galaxies do not follow the same canonical relations defined by early-type galaxies with dynamically detected BHs (Wandel 1999; Greene et al. 2008, 2010; Hu 2008; Gadotti & Kauffmann 2009; Kormendy et al. 2011; Sani et al. 2011; Graham & Scott 2014).

In particular, Reines & Volonteri (2015) investigated the relationship between BH mass and total stellar mass in a large sample of nearby broad-line AGNs to provide a benchmark for studies that cannot avail themselves of bulge masses and/or dynamical BH masses. Reines & Volonteri (2015) found a clear correlation between BH mass and total stellar mass for the active galaxies with a near-linear slope, similar to that of early-type galaxies with dynamically detected BHs. However, the normalization of the relation defined by the AGNs is more than an order of magnitude lower than that of the comparison sample of early-type galaxies. These findings suggest that early-type galaxies alone provide an incomplete description of the overall population of galaxies hosting central BHs.

Here, we expand upon the work done by Reines & Volonteri (2015), who noted that the AGN host galaxies on the lower BH-to-total stellar mass relation could potentially fall on the canonical BH-to-bulge mass relation if the bulge masses for the AGN hosts were, on average, only 5% of the total stellar masses. To test this, we use bulge–disk decompositions from Simard et al. (2011) for a subset of the Reines & Volonteri (2015) sample, derive bulge

masses, and compare our results to the BH–bulge mass relation in Kormendy & Ho (2013). In Section 2, we describe the galaxy sample, the bulge–disk decomposition method, and stellar mass calculations. Our results are presented in Section 3 and we conclude with a discussion in Section 4. As in Reines & Volonteri (2015), we adopt  $H_0 = 70 \, \mathrm{km \, s^{-1} \, Mpc^{-1}}$  and use Sloan Digital Sky Survey (SDSS) magnitudes.

## 2. Galaxy Sample

Our primary sample consists of 117 galaxies hosting broadline AGNs identified by Reines & Volonteri (2015) that also have bulge—disk decompositions in Simard et al. (2011). As described below, we restrict our broad-line AGN sample to those systems where the AGN luminosity is low compared to the bulge luminosity to help ensure the bulge—disk decompositions are not significantly impacted by the presence of a bright AGN point source.

## 2.1. Broad-line Active Galactic Nuclei

Reines & Volonteri (2015) started with a large sample of nearby galaxies ( $z \le 0.055$ ) from the NASA-Sloan Atlas (NSA), which provides a reanalysis of the SDSS Data Release 8 spectroscopic catalog (Aihara et al. 2011) using improved optical photometry (Blanton et al. 2011) and spectroscopy (Yan 2011; Yan & Blanton 2012). Reines & Volonteri (2015) performed spectral analysis on  $\sim$ 67,000 galaxies and identified 244 galaxies hosting broad-line AGNs based on the detection of broad  $H\alpha$ emission. The broad  $H\alpha$  FWHM was restricted to be  $\geqslant$ 500 km s<sup>-1</sup>. Additionally, the sources were required to have narrow-line ratios falling in both the AGN region of the [O III]/  $H\beta$  versus  $[NII]/H\alpha$  diagram and the Seyfert region of the  $[O III]/H\beta$  versus  $[S II]/H\alpha$  diagram. These requirements help ensure a clean sample of broad-line AGNs and eliminate sources of broad H $\alpha$  due to star-formation-related emission (e.g., Baldassare et al. 2016). Lastly, a handful of sources in which the AGN contributed more than 50% of the integrated light were removed since the stellar masses for these objects were unreliable. BH masses were estimated using the single-epoch virial mass estimator based on the FWHM and luminosity of broad  $H\alpha$ emission and given by Equation (5) in Reines et al. (2013). These BH mass estimates carry uncertainties of  $\sim 0.5$  dex.

We cross-match the 244 broad-line AGNs from Reines & Volonteri (2015) with BH mass estimates to the Simard et al. (2011) catalog of 1.2 million galaxies with bulge–disk decompositions, yielding 192 matches.

## 2.2. Bulge-Disk Decompositions

Simard et al. (2011) provide catalogs of three different galaxy-fitting models for over a million galaxies in the SDSS using Galaxy IMage 2D (GIM2D) to extract bulge and disk components (Simard et al. 2002). The first model fits the entire galaxy with a single Sérsic component with Sérsic index  $n_g$ . The second model fits the galaxy with two components: an exponential disk (with fixed n = 1) and a classical bulge (with fixed  $n_b = 4$ ). The last model fits the galaxy again with two components, one being an exponential disk (with fixed n = 1), but in this case the bulge Sérsic index is a free parameter within the range  $0.5 < n_b < 8$ .

Simard et al. (2011) reports the bulge, disk, and total galaxy absolute magnitudes (in the g and r bands) for each fit. They also provide probabilities for each model calculated using  $\chi^2$ 

statistics to indicate the most likely model for each galaxy. Probabilities are provided for each model in relation to more complicated models, i.e., how likely it is that a galaxy requires a two-component model compared to a single-component model or that it needs a free bulge Sérsic index compared to a classical bulge with fixed Sérsic index. We tested a range of cutoff values on the probability for choosing the best model. Increasing the cutoff increases the number of galaxies requiring the most complicated two-component, free  $n_b$  model, and decreasing it permits the single-component and  $n_b = 4$  models to begin being utilized. Simard et al. (2011) notes that a lower cutoff extracts a more reliable sample of bulges, while a higher cutoff more thoroughly describes overall galaxy properties.

We explore the full range of cutoffs. However, we report results using a  $1\sigma$  cutoff of 0.32 on the probability for choosing the appropriate galaxy model, as described in Simard et al. (2011). This resulted in 119 galaxies best described by the classical bulge + exponential disk model, 58 galaxies best described by a free  $n_b$  + exponential disk model, and 15 single-component galaxies. For the single-component galaxies, we set a limit of  $n_g > 2$  (Kormendy et al. 2011), above which we consider the galaxy to be a pure bulge and below to be a pure disk. One galaxy in our sample was classified as a pure disk (also categorized as an Scd-type galaxy in Huertas-Company et al. 2011), leaving us with 191 galaxies with bulges.

The work done by Simard et al. (2011) has generally proven to be robust and is widely used. In their paper, Simard et al. (2011) compares their galaxy Sérsic index and half-light radius values to the New York University Value-Added Galaxy Catalog (Blanton et al. 2005), which also provides Sérsic measurements for SDSS galaxies. They report that, accounting for differences in the two decomposition methods, their decompositions show good agreement with the NYU catalog. Additionally, in recent work on a sample of ~8 million galaxies from the Hyper Suprime-Cam Wide Survey, Ghosh et al. (2023) performs galaxy decompositions using the machine-learning framework Galaxy Morphology Posterior Estimation Network. They compare their results to the decompositions done by Simard et al. (2011) for the galaxies falling in both samples and find strong correlations between the two measurements for multiple parameters, namely bulge-tototal light ratio, half-light radius, and flux.

Furthermore, we find good agreement between the Sérsic index for galaxies requiring a single-component decomposition from Simard et al. (2011) to the Sérsic index found by a single-component fit as reported in the NSA. For the 57,415 galaxies from Simard et al. (2011) that both require a single-component decomposition and are also in the NSA, we find a median offset in the two Sérsic indices of  $0.12 \pm 1.21$ , and for the 14 pure bulges in our sample we find a median offset of  $-0.16 \pm 1.07$ . Therefore, the Sérsic indices derived through single-component fits in the NSA are generally consistent with those found by Simard et al. (2011).

As a final check, we use the GIM2D software to reproduce the galaxy models for a subset of our sample using the decomposition parameters chosen based on our  $1\sigma$  cutoff. We find good agreement between the SDSS image and the model for our galaxies and see minimal features in the residuals.

## 2.3. Restricting to Low-luminosity Active Galactic Nuclei

Since the decompositions of Simard et al. (2011) do not account for a potential AGN point source at the center of the

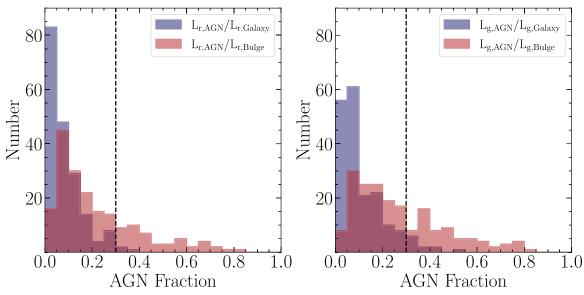


Figure 1. Ratio of AGN to total galaxy luminosity (blue) and bulge luminosity (red) in the r (left panel) and g (right panel) bands. We remove galaxies from our sample where the AGN fraction relative to the bulge in either the r or g band is greater than 30%, shown by the dashed line in both panels.

galaxy, we restrict our sample of broad-line AGNs to those that have a low luminosity relative to the bulge. An unaccounted for, bright, central point source could skew the Sérsic index toward higher values and the half-light radius toward lower values, (i.e., the galaxy would seem to be more compact than it actually is). This in turn would have the potential to make the decompositions and our subsequent mass measurements unreliable.

Here, we use "low-luminosity" to indicate that the AGN luminosity is subdominant relative to the bulge luminosity in both the g and r bands such that  $L_{\rm AGN}/L_{\rm bulge} \leqslant 0.3$ . Our threshold of 0.3 is informed by the analysis of Getachew-Woreta et al. (2022), which demonstrates various morphological parameters generally are not significantly impacted until an AGN fraction of at least  $\sim 30\%$ .

Estimated AGN flux densities come from the work of Reines & Volonteri (2015). They created a mock AGN spectrum consisting of a scaled power law and observed strong emission lines, and then convolved it with the SDSS filter throughput curves. Figure 1 shows the distribution of AGN fractions relative to the bulge and total galaxy light. We remove 71 galaxies from our sample where the AGN contribution is above 30% in either the g or r band (or both). We further address the validity of using the Simard et al. (2011) decompositions for the remaining sample of 120 galaxies in Section 2.5 below.

Figure 2 shows galaxies in our final sample with different bulge-disk decompositions performed by Simard et al. (2011). The distribution of total stellar masses, Sérsic indices, and colors for our sample of low-luminosity, broad-line AGNs is shown in comparison with the full NSA parent sample in Figure 3.

### 2.4. Bulge and Total Stellar Mass Calculations

We estimate bulge masses and total stellar masses following a similar procedure as Reines & Volonteri (2015) for consistency, using color-dependent mass-to-light ratios from Zibetti et al. (2009) for the 120 remaining galaxies in our sample. Simard et al. (2011) uses the SDSS spectroscopic redshift, while Reines & Volonteri (2015) adopt the zdist parameter provided by the NSA, which is based on the SDSS NSA redshift and the peculiar velocity model of Willick et al. (1997). We therefore make a correction to the absolute magnitudes in Simard et al. (2011) to ensure all quantities are calculated consistently using the zdist redshift.

Next, we subtract out the AGN contribution to the flux of the bulge and convert disk and bulge magnitudes to disk and bulge masses using the following color-dependent mass-to-light ratio from Zibetti et al. (2009) and a solar r-band magnitude of 4.67 from Bell et al. (2003):

$$\log(M/L_r) = 1.654(g - r) - 0.840. \tag{1}$$

We adopt total stellar masses as the sum of the bulge mass plus the disk mass. This produces more physically meaningful results than simply converting the total galaxy magnitudes provided by Simard et al. (2011) to masses. We found that using the latter method sometimes resulted in a total stellar mass that was less than the calculated bulge mass, which is clearly not physical. Disks and bulges often have very different colors, and applying a single color-dependent mass-to-light ratio to the integrated galaxy magnitude would not account for these variations.

One galaxy had an unreliably high disk mass ( $\log(M_{\rm Disk}/M_{\odot}) \sim 18$ ) so we remove it from our sample. Additionally, we propagate errors on the magnitudes reported by Simard et al. (2011) through our calculations and find one galaxy with an anomalously high bulge mass error ( $\sim 3$  dex), so we remove it from our subsequent analysis. The results from our mass calculations are given in Table 1. The resulting bulge fractions in both luminosity and mass are shown in Figure 4. Of this sample of 118 galaxies, 65 are best described by the classical bulge + exponential disk model, 38 are best described by a free  $n_b$  + exponential disk model, and 15 are single-component galaxies, with one of those being a pure disk galaxy. Therefore, in the end, we have a final sample of 117 galaxies with bulges that we can place on the BH mass-bulge mass relation.

The stellar masses we calculate are based on r-band mass-to-light ratios as a function of g-r color (since Simard et al. 2011 report magnitudes in the g and r bands), whereas Reines & Volonteri (2015) use i-band mass-to-light ratios as a function of

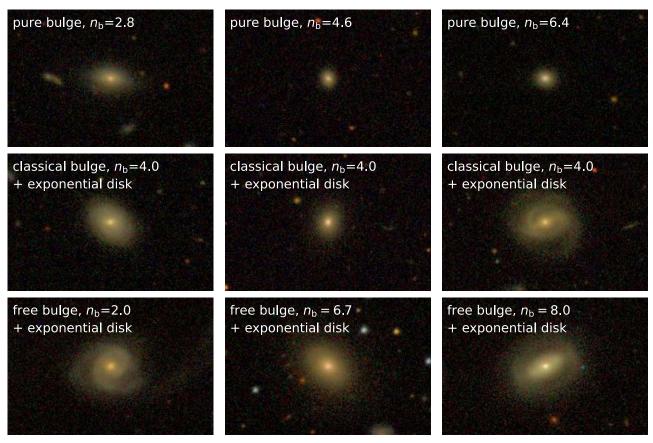


Figure 2. Images of galaxies in our sample from the NSA that were decomposed into a single Sérsic component (top row), a classical bulge + exponential disk (middle row), and a free  $n_b$  bulge + exponential disk (bottom row), determined using a  $1\sigma$  cutoff on the likelihood as reported by Simard et al. (2011).

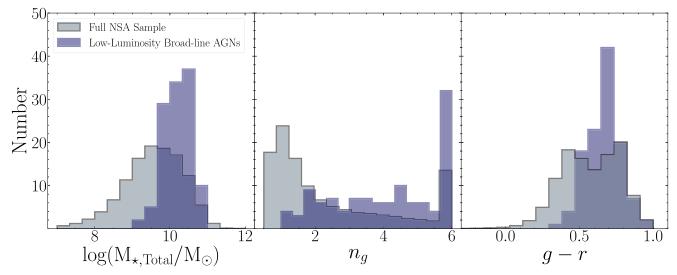


Figure 3. Total stellar mass (left), galaxy Sérsic index (middle), and g-r galaxy color (right) for the 117 active galaxies with low-luminosity, broad-line AGNs in our sample (blue) compared to the full NSA parent sample (gray, normalized to our sample size). All values are as reported in the NSA. Sérsic indices are from single-component fits. We note that the maximum Sérsic index reported by the NSA is 6, causing a false peak. Our sample is biased toward galaxies with higher mass and higher Sérsic index.

g-i color based on integrated photometry in the NSA. To determine the consistency of these methods, we first check how using different bands affects total stellar masses calculated using NSA photometry. Using the corresponding mass-to-light conversions reported in Zibetti et al. (2009), we calculate total stellar masses using g- and i-band data (as in Reines & Volonteri 2015) as well as g- and r-band data, both from the

NSA, finding that the former produces lower masses with a median offset of 0.11 dex. When we instead compare total stellar masses using g- and i-band data from the NSA to our total stellar masses calculated using g- and r-band data from Simard et al. (2011, as bulge mass plus disk mass), we find a median offset of 0.07 dex, albeit with more scatter. While the offset can be attributed to the different bands, the increased

Table 1
Sample of 117 Low-luminosity Broad-line AGNs

NSA ID	zdist	Model	n	$M_r$				g-r			$\log(M/M_{\odot})$		
				AGN	Bulge	Disk	Galaxy	Bulge	Disk	Galaxy	ВН	Bulge	Galaxy
25955	0.04	n4	4.00	-17.05	-20.84	-20.68	-21.51	0.66	0.65	0.65	6.21	10.45	10.72
11183	0.03	free	4.53	-16.05	-17.59	-19.77	-19.90	0.69	0.61	0.62	5.70	9.20	10.02
7741	0.04	free	6.41	-18.57	-20.12	-21.17	-21.51	0.50	0.50	0.50	6.50	9.90	10.46
82570	0.02	n4	4.00	-16.89	-20.69	-19.84	-21.10	0.67	0.69	0.68	6.51	10.42	10.59
15665	0.03	free	7.70	-18.93	-20.21	-21.20	-21.57	0.23	0.54	0.44	6.74	9.49	10.45
109525	0.05	n4	4.00	-18.04	-21.07	-20.50	-21.57	0.80	0.59	0.71	7.25	10.79	10.89

Notes. Sample of 117 active galaxies with low-luminosity, broad-line AGNs and bulges. Column (1): NSA identification number. Column (2): zdist parameter in the NSA, which is based on the SDSS NSA redshift and the peculiar velocity model of Willick et al. (1997). Column (3): optimal decomposition model from Simard et al. (2011) based on a  $1\sigma$  cutoff on the likelihood. Column (4): bulge Sérsic index if a two-component model is preferred or galaxy Sérsic index if a single-component model is preferred. Column (5): AGN absolute r-band magnitude from Reines & Volonteri (2015). Column (6): bulge absolute r-band magnitude from Simard et al. (2011) with the AGN contribution removed. Column (7): disk absolute r-band magnitude from Simard et al. (2011). Column (8): galaxy absolute r-band magnitude (from combining AGN-subtracted bulge magnitude and disk magnitude). Column (9): bulge (g-r) color. Column (10): disk (g-r) color. Column (11): galaxy (g-r) color. Column (12): log BH mass from Reines & Volonteri (2015). Column (13): log bulge stellar mass as calculated in this work. Column (14): log total galaxy stellar mass as calculated in this work. Masses are reported as  $\log(M/M_{\odot})$ . Bulge masses are calculated by subtracting the AGN contribution calculated by Reines & Volonteri (2015) from the bulge light reported in Simard et al. (2011) and using the mass-to-light ratio from Zibetti et al. (2009). Disk masses are calculated in the same manner, but without subtracting the AGN contribution. Total galaxy stellar mass is calculated by adding the bulge mass and the disk mass. For the 14 galaxies that are pure bulge, the disk magnitude and color are reported with a dash.

(This table is available in its entirety in machine-readable form in the online article.)

scatter is likely due to using different photometry. Figure 5 shows that, overall, our masses are consistent with previously calculated masses in Reines & Volonteri (2015).

We also compare our masses to those calculated by Mendel et al. (2014). They provide a catalog of bulge, disk, and total stellar mass estimates using spectral energy distribution modeling and the Simard et al. (2011) decompositions. However, we note that they use a slightly different method of distinguishing between galaxies requiring a single- or two-component fit than we do in this work. Seventy-eight of our galaxies have mass estimates in this catalog, and for ten of these we used a single-component decomposition model, while they used a two-component model or vice versa. Despite this, we find that our bulge masses are consistent with the ones they calculate, with a median offset of  $0.03 \pm 0.20$  dex (see Figure 5). Since their calculated bulge masses remain comparable to ours even when a different decomposition model is used, we conclude that our bulge mass estimates are robust.

## 2.5. GALFIT Modeling

To further ensure that the presence of low-luminosity AGNs does not impact our results, we refit our sample of galaxies using the GALFIT (Peng et al. 2010) software both with and without a central point source component in the fitting. The first represents the more thorough method of accounting for the dim central AGN, and the latter replicates the fitting performed by Simard et al. (2011). As described below, we find that the bulge masses do not change significantly by adding a central point source and that we can safely use the decompositions from Simard et al. (2011) despite the fact that they do not include a central point source.

We first create a point-spread function (PSF) for each galaxy image to both convolve with our models and act as the function describing the central AGN point source in the three-component model. We use the parameters provided by SDSS in the corresponding "psField" images and extract a PSF image using the SDSS read\_PSF software utility. We then fit this PSF image in GALFIT using as many Sérsic components as necessary to create an accurate PSF model, which is used in our subsequent modeling.

We follow Simard et al. (2011) in giving apparent and absolute magnitude as

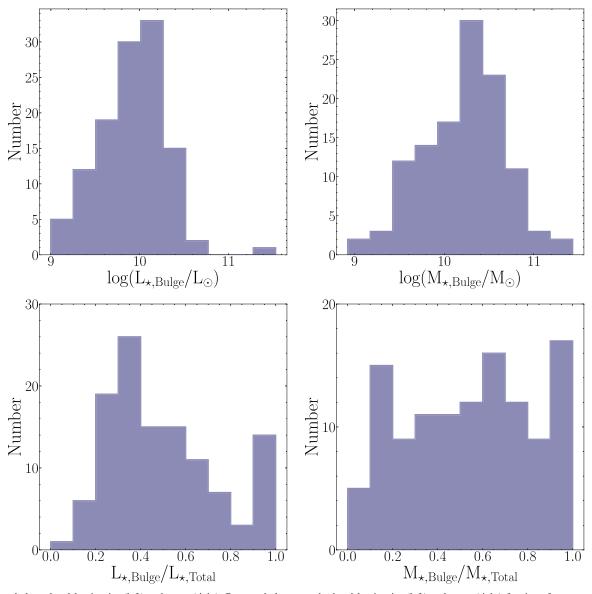
$$m = -2.5\log_{10}(F/t) - \chi \sec z - m_0, \tag{2}$$

$$M_g = m_g - e_g - DM(z) - k_g,$$
  
 $M_r = m_r - e_r - DM(z) - k_r,$  (3)

where  $\chi$  is the extinction coefficient,  $\sec z$  is the airmass,  $m_0$  is the magnitude zero-point,  $e_g$  and  $e_r$  are the line-of-sight Galactic extinctions,  $\mathrm{DM}(z)$  is the distance modulus at redshift z, and  $k_g$  and  $k_r$  are the k-corrections. We obtain values for  $\chi$ ,  $\sec z$ , and  $m_0$  from the SDSS "tsField" images and values for  $e_g$ ,  $e_r$ ,  $k_e$ , and  $k_r$  from the NSA.

We perform galaxy fits using estimated AGN magnitudes from Reines & Volonteri (2015) and the best-fit parameter values for the bulge and disk from Simard et al. (2011) as the initial parameter guesses for GALFIT. To more closely replicate the simultaneous g- and r-band fits done by Simard et al. (2011), we first fit the r-band images and use the resulting parameter values for both bulge and disk position angle ( $\phi_b$  and  $\phi_d$ ), half-light radius ( $r_b$  and  $r_d$ ), and the ellipticity ( $e_b$  and  $e_d$ ) as fixed parameters in the g-band fit. Based on the distribution of  $\chi^2$  values from our resulting fits and visual inspection of the images, we consider the GALFIT result successful if the reduced  $\chi^2$  value associated with the fit is <5. We obtain successful GALFIT models for 95/117 of our galaxies that have bulges. See the Appendix for an example.

We compare the resulting bulge, disk, and total stellar masses (calculated using the procedure described above in Section 2.4) between the two-component GIM2D fit from Simard et al. (2011) and our GALFIT models, both with and without a central point source included as a part of the fit (Figure 6). Not including a central point source generally makes the two measurements agree slightly better, as expected. However, the median offset in bulge and total mass increases by only  $\sim 0.05$  dex when we add a PSF component. The median offset between the GALFIT and GIM2d bulge, disk, and total stellar masses is small in all cases:  $\sim 0.04$  dex for the disk and  $\sim 0.1$  dex for the bulge and total stellar mass. This



**Figure 4.** Top: bulge *r*-band luminosity (left) and mass (right). Bottom: bulge-to-total *r*-band luminosity (left) and mass (right) fractions for our sample of low-luminosity, broad-line AGNs. Bulge masses and luminosities are calculated using magnitudes as reported in Simard et al. (2011) with color-depended mass-to-light ratios from Zibetti et al. (2009) and AGN luminosity estimates are from Reines & Volonteri (2015).

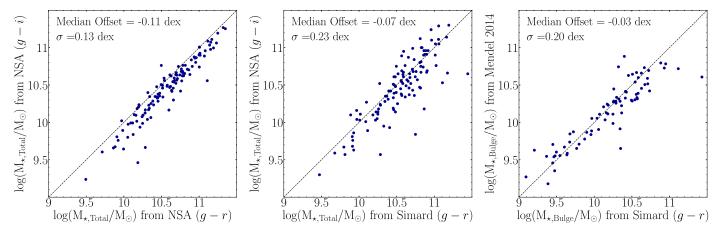
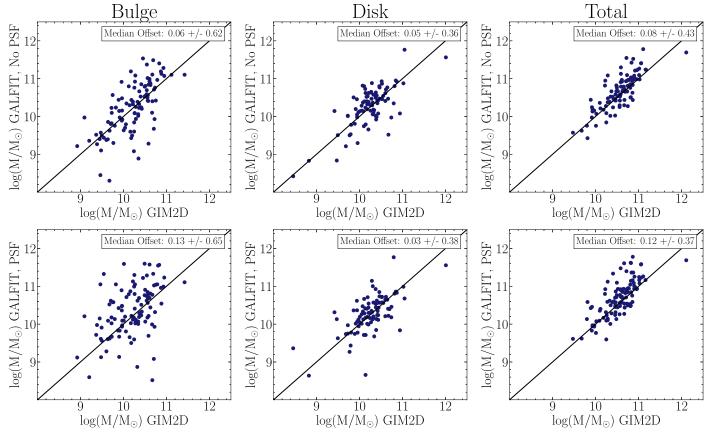


Figure 5. Left: total stellar masses calculated using different combinations of integrated magnitudes reported in the NSA. Using g- and i-band data (as in Reines & Volonteri 2015) results in a median offset of -0.11 dex with respect to using g-and r-band data. Middle: total stellar mass calculated with g- and i-band data from the NSA again but now vs. total stellar masses that we calculate using bulge and disk g- and r-band data from Simard et al. (2011) and summing the bulge and disk masses. This results in a median offset of -0.07 dex. Right: bulge mass calculated by Mendel et al. (2014) vs. our g- and r-band-calculated bulge masses. Despite using a different method for determining which decomposition model to use, the median offset is -0.03 dex.



**Figure 6.** Bulge (left column), disk (middle column), and total (right column) stellar masses calculated using magnitudes determined by two-component (bulge + disk) decompositions from Simard et al. (2011) using the GIM2D software with AGN magnitudes subtracted from the bulge component vs. masses derived using magnitudes measured using both two (bulge + disk; top row) and three (bulge + disk + central PSF; bottom row) component fits and the GALFIT software. The black line shows the one-to-one line. We confirm that the two-component fits performed by Simard et al. (2011) are adequate for our sample of low-luminosity AGNs (see Section 2.5).

demonstrates that, while there may be a small systematic mass offset between using the two different software, the presence of our low-luminosity AGNs does not significantly impact the bulge masses, on average, calculated using a two-component decomposition without a central point source. While the scatter in the bulge masses is  $\sim\!0.6$  dex, our results on the BH–bulge mass relation would not change significantly as described in more detail in Section 3.1.

## 2.6. Additional Objects

For comparison to our low-luminosity, broad-line AGN sample, we also include the objects that are compiled in Schutte et al. (2019) in their study of the BH-bulge mass relation including dwarf galaxies. Schutte et al. (2019) includes 88 galaxies with dynamical BH masses, composed of 79 galaxies from Kormendy & Ho (2013) and nine megamaser galaxies from Läsker et al. (2016). They also include 37 reverberation-mapped AGNs with BH masses taken from Bentz & Manne-Nicholas (2018). Lastly, they add 12 broad-line AGNs/composites in dwarf galaxies, two of which are included in our main low-luminosity, broad-line AGN sample. For all of these objects, we follow the methods described in Schutte et al. (2019) to calculate bulge stellar masses in a manner consistent with our low-luminosity, broad-line AGN sample. In other words, all bulge stellar masses are estimated in the most consistent way that is feasible, using color-dependent massto-light ratios from Zibetti et al. (2009). We refer the reader to Schutte et al. (2019) for details on the samples, bulge stellar mass calculations, and BH mass estimates.

### 3. Results

## 3.1. Black Hole-Bulge Mass Relation

We plot BH mass as a function of both total stellar mass and bulge mass in Figure 7. The BH mass—total stellar mass relation was originally explored in Reines & Volonteri (2015), where they found that the AGN host galaxies fell on average an order of magnitude below early-type galaxies with dynamically detected BHs. Here, we intend to determine if using bulge mass rather than total stellar mass brings the AGN host galaxies in line with the early-type galaxies that define the canonical BH mass—bulge mass relations.

For consistency with Reines & Volonteri (2015), we primarily compare our results to the Kormendy & Ho (2013) BH–bulge mass relation (Equation (10) in their paper). As noted in Reines & Volonteri (2015), there is a difference in the assumed initial mass functions between Kormendy & Ho (2013) and Zibetti et al. (2009) that results in systematically higher masses in the former by 0.33 dex, so we scale the Kormendy & Ho (2013) relation accordingly since we have adopted masses using the Zibetti et al. (2009) relations throughout this work.

For each of the galaxies in our low-luminosity, broad-line AGN sample, we calculate the horizontal shift from the canonical relation (i.e., the offset in bulge mass at a given BH mass). The median horizontal offset from the Kormendy & Ho (2013) line is  $-1.60\pm0.41$  dex for total stellar mass and  $-1.19\pm0.45$  dex for the bulge mass. Extracting the bulge component of the stellar mass shifts the median of the sample  $\sim\!0.41$  dex closer to the

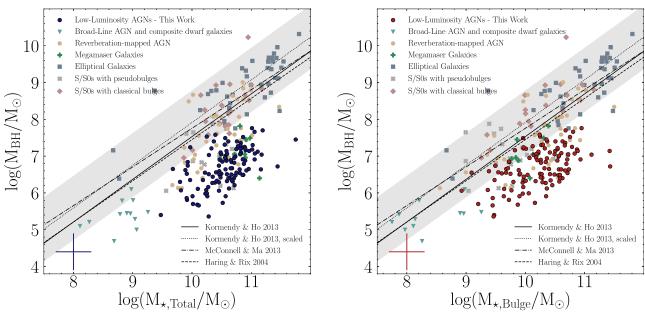


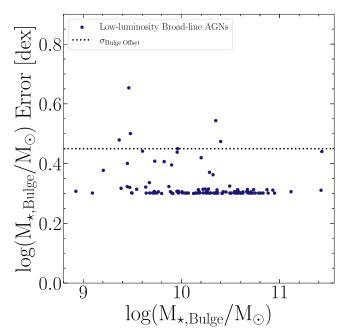
Figure 7. BH mass vs. total stellar mass (left) and stellar bulge mass (right). The Häring & Rix (2004), Kormendy & Ho (2013), and McConnell & Ma (2013) BH–bulge mass relations are shown, with the shaded region indicating the  $3\sigma$  intrinsic scatter of the Kormendy & Ho (2013) relation as reported in their paper. Error bars in the bottom left of each plot show the median uncertainties associated with our sample. For comparison, we show the sample of elliptical galaxies and S/S0 galaxies with classical bulges and pseudobulges, all with dynamical mass measurements. The ellipticals and classical bulges were originally used to derive the Kormendy & Ho (2013) relation. We also plot megamaser galaxies from Läsker et al. (2016), reverberation-mapped AGNs with BH masses from Bentz & Manne-Nicholas (2018), and broad-line AGN/composites in dwarf galaxies with bulge masses determined by Schutte et al. (2019); see Section 2.6.

Kormendy & Ho (2013) relation and has a similar scatter; however, the sample remains significantly offset from the canonical relation. Similarly, the active galaxies fall below the Kormendy & Ho (2013) relation, with a median vertical offset of  $-1.86\pm0.48$  dex for the total stellar mass and  $-1.38\pm0.52$  for the bulge mass. Moreover, at a given bulge mass, there is a large spread in BH masses.

The errors reported above are the  $1\sigma$  scatter in the distribution of offsets. These values are larger than the systematic errors associated with individual points, indicating the offsets are significant. For the vertical offset, the scatter in the distribution is larger than the systematic uncertainties in single-epoch virial BH masses ( $\sim 0.5$  dex). For the horizontal offset, we find individual bulge mass errors by propagating systematic uncertainties through our calculations (see Section 2.4), resulting in a median error of  $\sim$ 0.06 dex. In most cases, our stellar and bulge mass errors are therefore dominated by uncertainties in stellar evolution, expected to be  $\sim 0.3$  dex (Conroy et al. 2009). Adding the individual errors and the uncertainties in stellar evolution in quadrature, the scatter in the distribution of horizontal offsets for our sample is greater than the uncertainties for all but six galaxies, shown in Figure 8. This indicates that errors in bulge mass estimates cannot account for the discrepancy between our sample of low-luminosity, broadline AGNs and the canonical BH-bulge mass relation.

We note that changing the cutoff probability for model selection (see Section 2.2) in Simard et al. (2011) does not significantly change the bulge offsets from the canonical relation. When the cutoff was set to zero and all galaxies were described with pure Sérsic profiles, the median bulge mass (horizontal) offset was  $-1.27 \pm 0.47$  dex. Increasing the cutoff to 1, with all galaxies being described by the two-component, free  $n_b$  model, returns a median bulge offset of  $-1.16 \pm 0.48$  dex. In both cases, the AGN sample is still significantly offset from the canonical relation.

Furthermore, when using the results of our GALFIT modeling (Section 2.5), the AGN sample remains significantly offset from



**Figure 8.** Error in bulge mass vs. bulge mass. Errors incorporate uncertainties in the bulge–disk decompositions from Simard et al. (2011) and a 0.3 dex uncertainty from stellar evolution models (Conroy et al. 2009). The dotted line shows the scatter in the distribution of BH mass vs. bulge mass. Only six of our galaxies have individual errors exceeding the scatter in the distribution, indicating that the horizontal offset cannot be explained by uncertainties in bulge masses.

the Kormendy & Ho (2013) relation (see the Appendix). We find that the median horizontal bulge offset when using GALFIT is  $-1.40\pm0.70$  when including a central point source and  $-1.22\pm0.75$  dex when not including a central point source in the models. The median horizontal offset does not change substantially between GALFIT and GIM2D, or between including/not including a central point source.

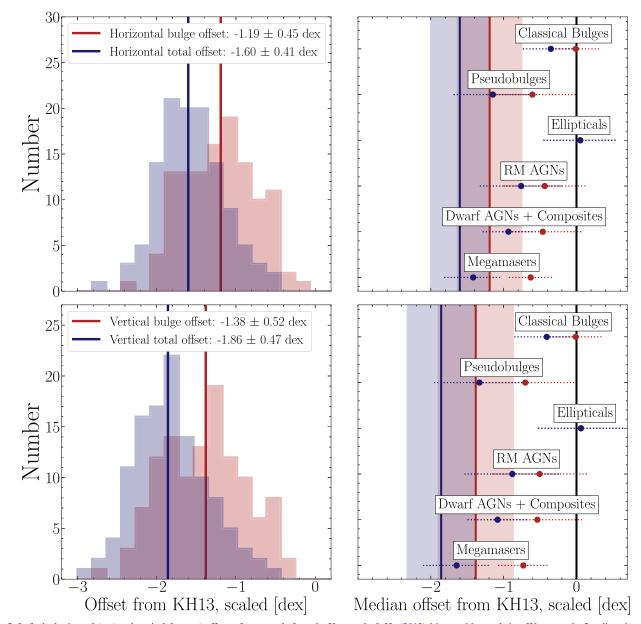


Figure 9. Left: the horizontal (top) and vertical (bottom) offsets of our sample from the Kormendy & Ho (2013)  $M_{\rm BH}$  vs.  $M_{\rm bulge}$  relation. We report the  $3\sigma$ -clipped median and standard deviation using the bulge and total stellar mass. Although using just the bulge mass brings our sample closer to the Kormendy & Ho (2013) line, it is still significantly offset. Right: median horizontal (top) and vertical (bottom) offset from the Kormendy & Ho (2013) relation for each of our comparison samples, with blue points indicating the total offset and red points indicating the bulge offset. The vertical lines show the median and the shaded regions show the dispersion for our active galaxy sample. The black vertical line shows zero offset. Dotted error bars represent the  $1\sigma$  scatter in the distribution for each sample. Ellipticals and classical bulges that were originally used to create the relation have a median almost directly on the line, while other types of galaxies tend to fall below, even when the bulge component is extracted.

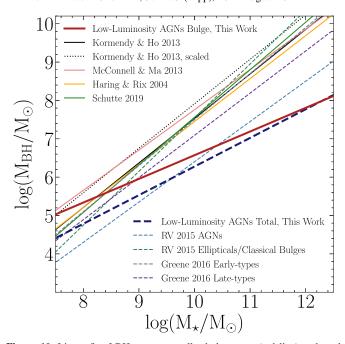
For comparison, we also find the median offsets between the groups of additional objects described in Section 2.6 and the Kormendy & Ho (2013) BH–bulge mass relation. Figures 9 shows the results for both total stellar mass and bulge mass; extracting the bulge component always brings the galaxies closer to the canonical relation. As expected, the elliptical galaxies along with classical bulges in spiral galaxies originally used to derive the canonical Kormendy & Ho (2013) relation have a median horizontal and vertical offset of approximately zero. However, even with the bulge extracted, all the other types of galaxies tend to fall below the relation to varying degrees. Reverberation-mapped AGNs and dwarf AGNs/composites fall  $\sim\!\!0.5$  dex below the relation on average. Megamaser galaxies and pseudobulges fall even further below the relation, at  $\sim\!\!0.7$  dex. Our sample of low-luminosity, broad-line AGNs falls the furthest (more than 1 dex) below the

canonical relation, with zero offset inconsistent within  $2\sigma$  from the median. We note that some of these objects have small sample sizes in Figure 9.

### 3.2. Linear Fit

We fit a linear relation between log BH mass and log stellar bulge mass, as well as log total stellar mass for our sample. For consistency, we follow the procedure outlined by Reines & Volonteri (2015) using the Bayesian linear regression method of Kelly (2007), which takes into account errors in both the BH and bulge or total stellar mass. We parameterize the relation with the following:

$$\log(M_{\rm BH}/M_{\odot}) = \alpha + \beta \log(M_{\star}/10^{11}M_{\odot}),\tag{4}$$



**Figure 10.** Linear fit of BH mass vs. stellar bulge mass (red line) and total stellar mass (blue dashed line) for our sample of low-luminosity, broad-line AGNs in comparison with the canonical Kormendy & Ho (2013) BH-bulge mass relation (the scaled relation is shown as a dotted line), the Reines & Volonteri (2015) relation (labeled as RV 2015 AGNs) for BH mass vs. total stellar mass for AGNs, and multiple other relations from the literature. We indicate BH-bulge mass relations with solid lines and BH-total mass relations with dashed lines (see Section 3.2.)

and find

$$\alpha_{\text{Bulge}} = 7.18 \pm 0.09, \ \beta_{\text{Bulge}} = 0.60 \pm 0.11,$$

$$\alpha_{\text{Total}} = 7.03 \pm 0.07, \ \beta_{\text{Total}} = 0.72 \pm 0.13, \tag{5}$$

where the slope and intercept are the median of 10,000 draws from the posterior probability distribution of the parameters and the reported errors are the  $1\sigma$  scatter.

We compare the BH-bulge mass relation best-fit parameters that we find for our low-luminosity, broad-line AGNs to values in the literature derived from various samples of galaxies (shown in Figure 10). We include the Kormendy & Ho (2013) relation as well as the Häring & Rix (2004) and McConnell & Ma (2013) relations, all of which are based on samples of early-type galaxies. We also include the relation derived by Schutte et al. (2019) based on a variety of galaxy types and included here as a comparison sample of additional objects (see Section 2.6). Schutte et al. (2019) include 12 dwarf galaxies (Reines et al. 2013), 37 reverberation-mapped AGNs from Bentz & Manne-Nicholas (2018), nine megamaser galaxies from Läsker et al. (2016), and the Kormendy & Ho (2013) sample.

We also show four relations in the literature between BH mass and total stellar mass in Figure 10. We first include the AGN relation from Reines & Volonteri (2015). Although our sample is a subset of their sample, we find a different BH mass to total stellar mass relation with a shallower slope. There are likely two reasons for this. First, we retain less than half of their original sample after cross-matching with Simard et al. (2011) and removing galaxies with high AGN-to-bulge luminosity ratios that could lead to unreliable bulge—disk decompositions (see Section 2.3). Second, our total stellar masses are calculated somewhat differently, as described in Section 2.4. For completeness, we also include in Figure 10 the BH mass to

total stellar mass relation in Reines & Volonteri (2015) for early-type galaxies with quiescent BHs.

We also include the two relations found by Greene et al. (2016). They combine a sample of seven megamaser galaxies with the Saglia et al. (2016) sample of 97 elliptical, classical bulge, and pseudobulge galaxies and then split this sample into early-type and late-type galaxies to calculate a regression line relating BH-to-total stellar mass for each separately. Our slope again is shallower and our intercept is lower than both of these comparison relations.

The variety of scaling relations derived here and from the literature shown in Figure 10 demonstrates the criticality of using the most relevant relation for a given galaxy sample. The various relations apply to different properties of galaxies (i.e.,  $M_{\rm BH}$  versus  $M_{\star, \rm Bulge} \neq M_{\rm BH}$  versus  $M_{\star, \rm Total}$ ) and/or are derived from samples biased toward certain types of galaxies. As a result, there are significantly different slopes and intercepts among the various relations. As with previous works, we emphasize that all galaxies do not follow a single all-encompassing scaling relation between BH mass and either bulge or total stellar mass. Moreover, it is important to continue to investigate and derive scaling relations for diverse types of galaxies in order to estimate BH masses for similar samples.

### 3.3. No Strong Correlations with Galaxy Properties

To explore possible causes for deviations from the canonical BH–bulge relation for our sample, we examine correlations between offset in BH mass from the Kormendy & Ho (2013) relation (i.e., how far off the observed BH mass is from the value predicted by the canonical relation at a given bulge mass) as a function of various potentially relevant galaxy properties. Figure 11 shows the offset in BH mass versus bulge g-r color,  $D_n4000$  strength, AGN r-band luminosity, AGN-to-bulge r-band luminosity ratio, bulge and galaxy Sérsic indices, redshift, and bulge-to-total r-band luminosity. We do not find a strong correlation between the offset from the Kormendy & Ho (2013) relation with any of these properties (see Figure 11).

We calculate the Pearson and Spearman rank correlation coefficients (r) to quantitatively assess the strength of the correlation between each of these galaxy properties with the offset from the Kormendy & Ho (2013) relation. The Spearman rank correlation coefficient describes how well the relationship can be described by a monotonic function, and the Pearson correlation describes how well it can be described specifically by a linear function. We find moderate correlations  $(0.4 \gtrsim r \gtrsim 0.6)$  for the offset from the Kormendy & Ho (2013) relation versus g-r color and AGN fraction, but the rest of the galaxy properties show weak correlations  $(r \lesssim 0.4)$ . The coefficients are shown in Figure 11. All of these values are statistically significant based on their p-values calculated using a t-test.

The moderate negative correlation between offset in BH mass with bulge color indicates that bluer bulges tend to lie closer to the Kormendy & Ho (2013) relation, while the reddest bulges fall the farthest below the relation. This result could be

<sup>&</sup>lt;sup>1</sup> The  $D_n4000$  parameter (Balogh et al. 1999) describes the average flux ratio of the continuum on the red versus blue side of the 4000 Å break. A higher value indicates a relatively weak UV/blue component in the spectrum due to a lack of young hot stars and increased absorption in the atmospheres of older, more metal-rich stars. A  $D_n4000$  value of 1.5 corresponds to an average stellar population of ∼1 Gyr and therefore is generally used as a criterion for separating star-forming and quiescent galaxies (Kauffmann et al. 2003a, 2003b; Hathi et al. 2009; Haines et al. 2017; Kim et al. 2018). We use the  $D_n4000$  strength as reported in the NSA, which is based on spectroscopy obtained within a 3″ fiber.

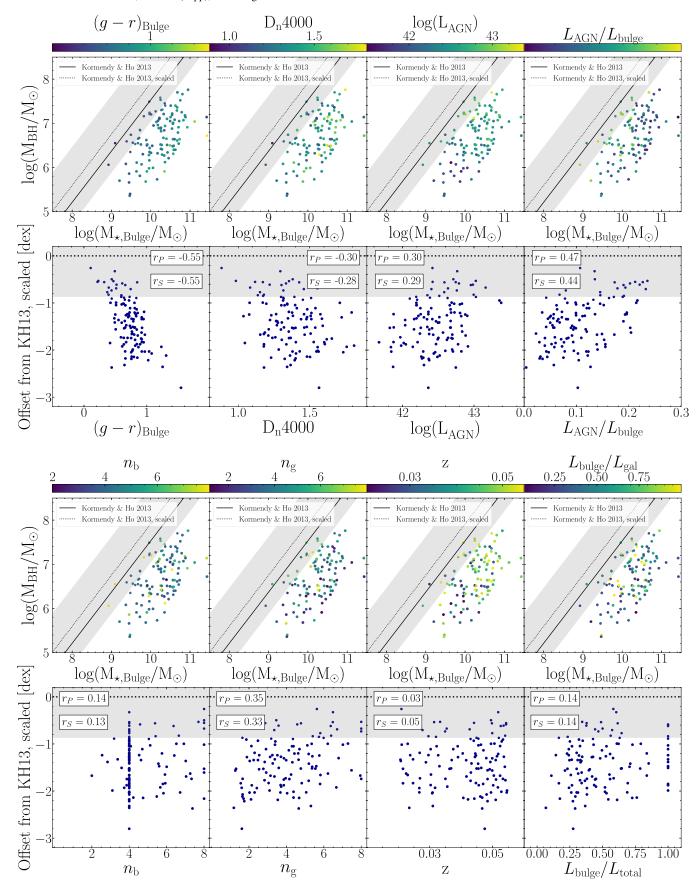


Figure 11. Bulge color,  $D_n4000$ , AGN r-band luminosity, AGN-to-bulge r-band luminosity ratio, bulge and galaxy Sérsic indices, redshift, and bulge-to-total r-band luminosity ratio vs. the offset in BH mass from the Kormendy & Ho (2013) relation. A negative offset corresponds to BHs with observed masses less than predicted. The dotted line shows zero offset. In all plots, the shaded region indicates the  $3\sigma$  intrinsic scatter of the Kormendy & Ho (2013) scaled relation as reported in their paper. We find no strong correlations with galaxy properties and their offset from the Kormendy & Ho (2013) relation.

interpreted to support a connection between BH growth and star formation, either via concurrent fueling and/or AGN feedback. However, we caution that this result could also be an artifact from the color dependency of the mass-to-light ratios. That is, for a given luminosity, bulges that are redder in color receive a higher stellar mass estimate.

### 4. Conclusion and Discussion

In this paper, we test whether or not the canonical BH-bulge mass relation holds for a sample of 117 nearby ( $z \le 0.055$ ), low-luminosity, broad-line AGNs identified by Reines & Volonteri (2015) with bulge-disk decompositions from Simard et al. (2011). Investigating active galaxies probes a subset of galaxies which is distinct from samples of early-type galaxies with dynamically detected BHs that have been used to derive canonical BH mass-bulge mass relations (e.g., Kormendy & Ho 2013).

Our work was primarily motivated by the findings of Reines & Volonteri (2015) regarding BH mass—total stellar mass relations in the local Universe. In particular, they found that their sample of broad-line AGNs fell on average more than an order of magnitude below early-type galaxies with quiescent BHs. Our main goal here was to determine whether or not this same sample of broad-line AGNs follows the canonical BH-to-bulge mass relation.

We find that our sample of active galaxies as a whole tends to fall below canonical scaling relations, hosting central BHs that are smaller than predicted by the BH mass–bulge mass relation by a factor of  $\sim \! 10$ . We do not find a strong systematic trend between any galaxy property with offset from the canonical relation.

Whether or not dwarf galaxies follow the canonical scaling relations may indicate signatures of BH seeding mechanisms. However, observations in this mass regime are scarce. While Schutte et al. (2019) and Baldassare et al. (2020) find that their samples of dwarf galaxies align with the canonical  $M_{\rm BH}$ – $M_{\rm bulge}$  and  $M_{\rm BH}$ – $\sigma$  relations, respectively, both of these works note the selection bias for finding brighter BHs, which are typically of

higher mass, meaning that they could simply be detecting the upper envelope of BH masses in dwarf galaxies and potentially the rest of the population lies below the canonical relations.

Our work adds to the growing body of evidence that the canonical BH-to-bulge scaling relations derived from highly biased samples of early-type galaxies with dynamical BH mass measurements should be used with caution as they are not applicable to all types of galaxies (Wandel 1999; Greene et al. 2008, 2010; Gadotti & Kauffmann 2009; Kormendy et al. 2011). In their work, Kormendy & Ho (2013) note that this relation only holds for classical bulges and ellipticals and should not be applied to objects such as pseudobulges, which tend to fall below the relation. Through this work, we emphasize the importance of using the most appropriate scaling relation according to the properties of a given sample, since we find that our sample of local, lowluminosity, broad-line AGNs are significantly offset from the canonical BH-bulge mass scaling relation defined by early-type galaxies. Consequently, this work has important implications for predicting BH merger rates for the Laser Interferometer Space Antenna and pulsar timing arrays that will detect the gravitationalwave emission from these events, as well as cosmological simulations that are tied to the local BH-to-bulge mass relations.

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## **Appendix**

We show the BH-bulge mass relation calculated using our GALFIT decompositions in Figure 12. Our sample of low-mass

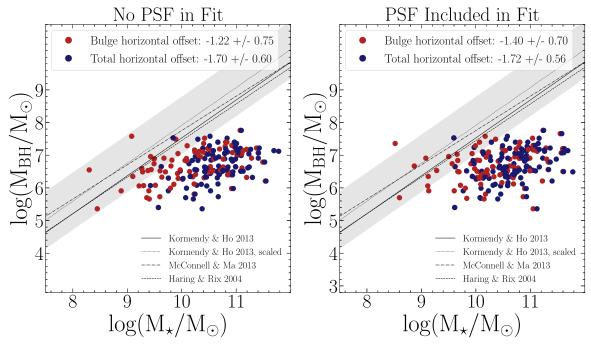
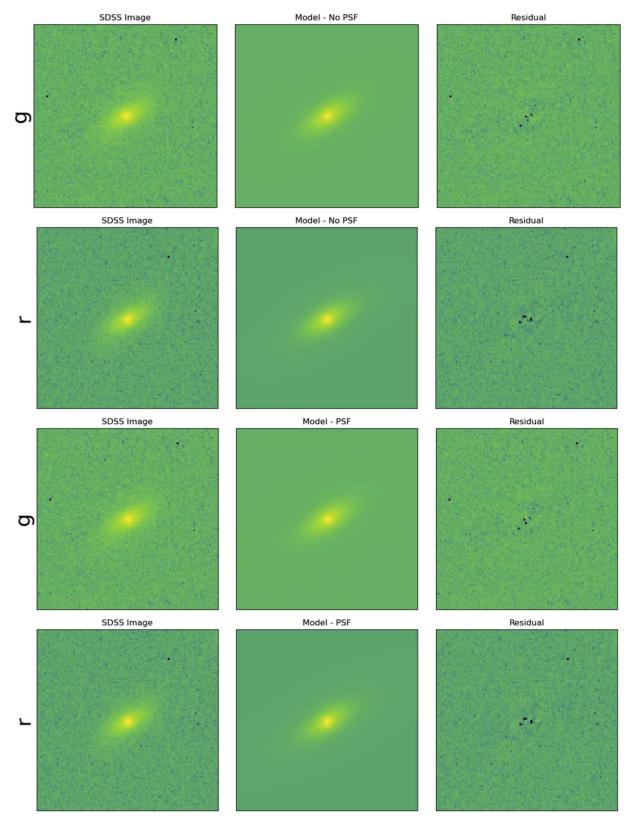


Figure 12. BH mass vs. total stellar mass (blue) and stellar bulge mass (red) using decompositions from GALFIT (see Section 2.5). The left panel shows results from decompositions done using a two-component bulge + disk model, while the right panel shows results from decompositions done using a three-component bulge + disk + central point source (PSF) model. Both using a two- and three-component model results in our sample being offset from the canonical relations. The Häring & Rix (2004), Kormendy & Ho (2013), and McConnell & Ma (2013) BH-bulge mass relations are shown, with the shaded region indicating the  $3\sigma$  intrinsic scatter of the Kormendy & Ho (2013) relation as reported in their paper.



**Figure 13.** An example of the GALFIT models made for one galaxy (ID 587725469598417019 from Simard et al. 2011). The columns show the SDSS images (left), the GALFIT models (middle), and the resulting residuals (right). The top two rows show fits using a two-component (bulge + disk) model in both g and r bands, and the bottom two rows show fits using a three-component (bulge + disk + PSF) model in both g and r bands.

AGNs remains offset from canonical relations even if we use the GALFIT models where we perform three-component decompositions using a bulge + disk + central point source, and in fact the offset is greater than when using the two-component decompositions done by Simard et al. (2011).

We show an example of the GALFIT decompositions that we performed for one galaxy in Figure 13. We perform these fits using both two- and three-component models in each band. The resulting galaxy component masses that we find are very similar to those calculated by Simard et al. (2011) using two-component decompositions.

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