

Probing Strangeness Hadronization with Event-by-Event Production of Multistrange Hadrons

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This Letter presents the first measurement of event-by-event fluctuations of the net number (difference between the particle and antiparticle multiplicities) of multistrange hadrons Ξ^- and Ξ^+ and its correlation with the net-kaon number using the data collected by the ALICE Collaboration in pp, p-Pb, and Pb-Pb collisions at a center-of-mass energy per nucleon pair $\sqrt{s_{NN}} = 5.02$ TeV. The statistical hadronization model with a correlation over three units of rapidity between hadrons having the same and opposite strangeness content successfully describes the results. On the other hand, string-fragmentation models that mainly correlate strange hadrons with opposite strange quark content over a small rapidity range fail to describe the data.

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In high-energy hadronic and heavy-ion collisions, strange quarks are dominantly produced from gluon fusion [1]. In Pb–Pb collisions at the Large Hadron Collider (LHC), a thermalized medium of deconfined partons, the strongly-interacting quark–gluon plasma (sQGP) is expected to form, where the efficient production of strange–antistrange quark pairs enables the thermal and chemical equilibration of strangeness in the medium [1,2]. Various experimental results from high-multiplicity p. p. collisions at the LHC demonstrate striking similarities to results from Pb–Pb collisions. Notably, the ratios between strange and nonstrange hadron yields show a smooth increase with increasing particle multiplicity across collision systems [3–5], and the patterns of multiparticle correlations in p. p. collisions closely resemble those seen in Pb–Pb collisions [6–9]. Theoretically, explaining such experimental observations, and the hadron production in general, requires phenomenological modeling of the hadronization process, as its inherently nonperturbative nature prevents us from performing reliable quantum chromodynamics (QCD) *ab initio* calculations. Two different approaches, namely statistical hadronization [10,11] and Lund string fragmentation [12], are commonly employed to address this problem. The statistical hadronization model (SHM) is based on a thermodynamic approach and the hadron abundances are determined at the freeze-out of inelastic interactions from the derivatives of the partition

function of the system, which is assumed to be an ideal gas of hadrons and resonances (HRG) at local equilibrium. Event-by-event conservation laws are implemented using the canonical ensemble (CE) formulation of statistical mechanics [13,14]. Using the CE, the SHM model can describe the yield of light-flavored particles across all colliding systems with a precision better than 20% [15,16]. The SHM implementations of Refs. [15,16] differ in the parametrizations of the system volume, V , and chemical freeze-out temperature, T_{chem} , as well as in the accounting for a possible incomplete thermalization of the total strangeness at low multiplicity via a strangeness saturation factor, γ_s [17]. On the other hand, in the string-fragmentation picture, as implemented in PYTHIA [18], final-state partons are connected by color flux tubes, known as strings, which break up into smaller segments for large string lengths. Additional quark–antiquark pairs are produced during this process: once no more energy is available for further splitting, hadrons are formed. The starting string configuration for hadronization is determined by the arrangement of opposite colors and anticolors according to color reconnection mechanisms [18–20]. Adding further interactions between the strings leading to the formation of baryon junctions [20] and ropes [21], PYTHIA can describe the multiplicity dependence of the ratio between the yields of strange and nonstrange particles [22]. Consequently, new observables are needed to discriminate between these two approaches.

String fragmentation and canonical statistical hadronization provide different treatments of the conservation laws. In the former, quantum numbers are conserved at a local level because of the formation of quark–antiquark pairs in the string breaking process, while in the latter, conservation laws hold over a finite correlation volume, V_c [15].

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Consequently, canonical charge conservation implies correlations between any two hadrons carrying either same- or opposite-sign quantum numbers, showing a decreasing correlation strength for increasing correlation volume. Instead, in the string fragmentation model, a strong correlation exists mostly between oppositely-charged hadrons because of the quantum number conservation at each string breaking. In principle, such an effect has no significant multiplicity dependence, except from that coming from specific implementations of the color reconnection mechanism.

The difference in the quantum number conservation between these two models can be probed by analyzing the event-by-event correlation between different hadron species. This approach has previously been applied by the ALICE Collaboration to study baryon number conservation via net-proton fluctuations [23]. There, it was concluded that baryon number is conserved through long rapidity-range correlations. In this Letter, the first study in this regard in the strangeness sector is presented analyzing the event-by-event correlation between charged kaons and Ξ^- and Ξ^+ baryons. In the following, Ξ is used instead of Ξ^- and Ξ^+ for brevity, unless otherwise specified. These species are chosen because they are minimally affected by correlations other than those induced by the quantum number conservation, e.g. by the decay of heavier states into charged kaons and Ξ baryons. In addition, their production is only marginally affected by weak feed down, which comes from Ω -baryon decays. The observables considered in this Letter are the normalized second-order cumulant of net- Ξ number and the correlation between net- Ξ and net-kaon numbers. The net-particle numbers are defined in terms of the event-by-event multiplicities, n , of particles and antiparticles as $n_{\Delta K} = n_{K^+} - n_{K^-}$ and $n_{\Delta \Xi} = n_{\Xi^+} - n_{\Xi^-}$ for charged kaons and charged Ξ baryons, respectively. The normalized second-order cumulant of net- Ξ number and the correlation between net- Ξ and net-kaon numbers are defined as

$$\frac{\kappa_2(\Delta \Xi)}{\kappa_1(\Xi^+ + \Xi^-)} = \frac{\kappa_2(\Xi^+) + \kappa_2(\Xi^-) - 2\kappa_{11}(\Xi^+, \Xi^-)}{\kappa_1(\Xi^+ + \Xi^-)}, \quad (1)$$

$$\rho_{\Delta \Xi \Delta K} = \frac{\kappa_{11}(\Xi^+, K^+) + \kappa_{11}(\Xi^-, K^-) - \kappa_{11}(\Xi^+, K^-) - \kappa_{11}(\Xi^-, K^+)}{\sqrt{\kappa_2(\Delta \Xi) \kappa_2(\Delta K)}}, \quad (2)$$

respectively, where

$$\kappa_1(A) = \langle n_A \rangle, \quad (3)$$

$$\kappa_2(A) = \langle n_A^2 \rangle - \langle n_A \rangle^2, \quad (4)$$

$$\kappa_{11}(A, B) = \langle n_A n_B \rangle - \langle n_A \rangle \langle n_B \rangle, \quad (5)$$

and $n_{A,B}$ and $\langle n_{A,B} \rangle$ indicate the event-by-event and event-averaged number of particles of species A or B, respectively. The m th order cumulants of net particles are denoted as κ_m in Eqs. (1) and (2). The normalized second-order cumulant is only affected by opposite strangeness sign correlation, whereas both the correlations of same- and opposite-strangeness pairs have an impact on $\rho_{\Delta \Xi \Delta K}$. Both observables are sensitive to the locality of strangeness conservation, which affects the magnitude of the correlations. Therefore, these observables are powerful tools to distinguish among different hadronization scenarios. In addition, the studied quantities are independent of volume fluctuations under the hypothesis of particle-antiparticle balance at the energies available at the LHC from small to large systems [24,25].

The results reported in this Letter are extracted from data collected by the ALICE Collaboration in p. p., p-Pb, and Pb-Pb collisions at a center-of-mass energy per nucleon-nucleon pair $\sqrt{s_{NN}} = 5.02$ TeV. The ALICE apparatus and its performance are described in detail in Refs. [26,27]. Events are selected with a minimum bias (MB) trigger based on a coincidence of signals in the two V0 scintillator arrays [28], which are placed at both sides of the nominal interaction point, covering the pseudorapidity, η , intervals $-3.7 < \eta < -1.7$ and $2.8 < \eta < 5.1$. In the Pb-Pb sample, an additional trigger based on the amplitude of the V0 signal is applied to enhance the selection of central (head-on) and semicentral collisions. Further selections are applied to reject pileup events [29]. Finally, the position of the reconstructed primary vertex (PV) along the beam direction (z axis) is required to be within 10 cm around the nominal interaction point. After event selections, the datasets consist of about 900×10^6 p.p. collisions, 600×10^6 p-Pb collisions, and 400×10^6 Pb-Pb collisions. The selected samples are subdivided into multiplicity classes defined according to the signal amplitudes measured in the V0 detectors [30].

Charged particles are tracked in the central-barrel detectors of ALICE, which cover the full azimuth in the midrapidity region. The pseudorapidity acceptance of the present measurement is $|\eta| < 0.8$. The charged kaon and charged Ξ candidates are selected in the transverse momentum (p_T) ranges $0.2 < p_T < 1.0$ GeV/ c and $1.0 < p_T < 3.0$ GeV/ c , respectively, to cover the bulk of the production. The purity of the selected samples of candidates in these momentum intervals is $\gtrsim 95\%$. Charged kaons are directly tracked in the detectors, while Ξ baryons are reconstructed via their weak decay to a charged pion and a Λ baryon: the latter is identified via its weak decay into a proton and a charged pion, $\Xi^- \rightarrow \pi^- + \Lambda(\rightarrow p + \pi^-)$. The charge-conjugate decay is employed for Ξ^+ .

The reconstruction of tracks is based on the space points measured in the inner tracking system (ITS) [31] and the time projection chamber (TPC) [32]. Track selections are

applied to ensure a good quality of the track reconstruction [33,34]. To avoid intersections in the samples of tracks used for the reconstruction of kaons and Ξ , which might produce spurious correlations, complementary selections are applied to the distance of closest approach (DCA) of tracks to the PV. Specifically, $|DCA| < 0.1$ cm for kaons, while $|DCA| > 0.1$ cm for Ξ -decay products. Tracked particles are identified by measuring their specific energy loss, dE/dx , in the TPC gas volume. For all candidates, the difference between the measured TPC dE/dx signal and the one expected for the considered particle species is required to be less than 3σ , where σ is the resolution on the dE/dx in the data assuming a Gaussian shape for the TPC particle identification (PID) signal. For kaons, additional particle selection criteria are applied: for candidates with $p_T < 0.4$ GeV/c in Pb-Pb collisions, the dE/dx measured with the ITS is used to improve the rejection of electrons and positrons; for $p_T > 0.4$ GeV/c, the particle velocity measured with the time-of-flight (TOF) detector is employed to reject charged pions and muons: the discrepancy between the measured velocity and the one expected for kaons is requested to be smaller than 3σ . The algorithm used to reconstruct the Ξ -decay vertices from tracks is similar to that used in previous studies [35–37]. A large fraction of combinatorial background contaminates the sample of selected Ξ candidates. To address this, a machine learning (ML) method is used to enhance the signal-over-background ratio of the sample, as detailed in Ref. [38]. The training of the ML algorithm is based on the cascade and two-body decay topological variables of the Ξ and Λ candidates, respectively. A few examples of the invariant-mass distribution of the selected Ξ and of the TPC and TOF PID variables of the selected kaons are reported in Ref. [38]. In the 10% most central Pb-Pb collisions, the average number of selected $\Xi^+ + \Xi^-$ and $K^+ + K^-$ is about 0.07 and 16 per collision, respectively, before applying efficiency corrections.

The observables defined in Eqs. (1) and (2) are corrected for the candidate-selection efficiency assuming a detector response with binomial fluctuations. The analytic expression for the efficiency correction of first- and second-order cumulants were obtained in previous works [39]. The efficiencies are calculated using MC simulations in which particles produced by an event generator (PYTHIA8 with Monash tune [18,19] for pp, EPOS LHC [40] for p-Pb, and HIJING [41] for Pb-Pb) are transported through an accurate model of the ALICE apparatus via GEANT4 [42]. The efficiencies are p_T and multiplicity dependent, ranging from 1% to 8% for Ξ baryons and from 5% to 30% for kaons. The resulting correction factors on $\rho_{\Delta\Xi\Delta K}$ and $\kappa_2(\Delta\Xi)/\kappa_1(\Xi^+ + \Xi^-)$ are 10 to 15 and 0.95, respectively. Using a closure test based on MC simulations, it was checked that the efficiency-correction procedure does not introduce any significant bias in the corrected cumulants.

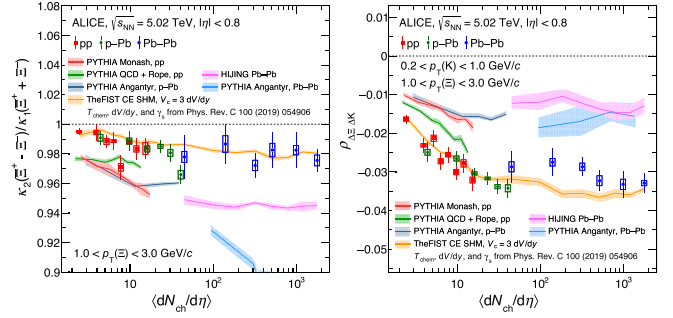


FIG. 1. Normalized second order cumulant of net Ξ (left panel) and correlation between net Ξ and net kaon (right panel), as a function of the average multiplicity at midrapidity, in p. p. (red squares), p-Pb (green diamonds), and Pb-Pb collisions (blue circles) at $\sqrt{s_{NN}} = 5.02$ TeV. Statistical and systematic uncertainties are shown via error bars and boxes, respectively. The experimental measurements are compared to several model predictions, shown as bands. The width of the bands represents the statistical uncertainty of the predictions. The average multiplicity values are obtained from Refs. [33,44,45]: their uncertainties are not shown in the plot.

The statistical uncertainties are estimated using the subsample method [43]. The systematic uncertainties are obtained by extracting cumulants and correlations using different variations of the candidate selection criteria. This procedure is repeated using several combinations of the different variations [38]. The systematic uncertainty associated to each of the sources are reported in Appendix A.1. The total systematic uncertainty in the cumulants is computed, for each multiplicity class, as the standard deviation of the results obtained with the different combinations. The average of the multitrial results is employed as the central value of the observable. The systematic uncertainties are fully correlated across different multiplicity intervals.

The normalized second-order cumulant of net Ξ and the correlation between net-kaon and net- Ξ numbers are shown in the left and right panels of Fig. 1, respectively. The observables are shown as a function of the average charged-particle multiplicity at midrapidity, $\langle dN_{ch}/d\eta \rangle$, enabling the comparison of the results from different colliding systems. The results show a continuous evolution as a function of the multiplicity from pp to Pb-Pb collisions for the correlation term and the normalized second-order cumulant. The measurements are compared to the SHM and Lund string-fragmentation model, to probe the correlation volume between strange hadrons and the presence of same-sign correlations originating from the different treatments of net-strangeness conservation. The width of the bands in Fig. 1 depicts the statistical uncertainty of the MC simulations corresponding to the different models. A long-range rapidity correlation implies a smaller deviation from the Poisson baseline, corresponding to the grand canonical ensemble (GCE) limit, than a short-range correlation,

which is generally present in string models. For the normalized second-order cumulant of net Ξ , the Poisson baseline equals unity, while it is zero for $\rho_{\Delta\Xi\Delta K}$. The predictions of the SHM within the CE framework, shown in Fig. 1, are obtained with the Thermal-FIST package [46]. The model parameters, such as the chemical freeze-out temperature, T_{chem} , and the volume per unit of rapidity, dV/dy , are tuned using the hadron yields measured by ALICE and setting the correlation volume to $V_c = 3dV/dy$. The model includes a strangeness saturation parameter, γ_s , which accounts for incomplete total strangeness equilibration at $\langle dN_{\text{ch}}/d\eta \rangle \lesssim 100$ [15]. This parameter is needed in Thermal-FIST to describe the average yields of (multi)strange hadrons but it does not have any significant effect on the observables shown in Fig. 1. This parametrization allows us to describe both the normalized second-order cumulant of net Ξ and $\rho_{\Delta\Xi\Delta K}$ within uncertainties, with a slight tension in semicentral Pb-Pb collisions for $\rho_{\Delta\Xi\Delta K}$. Predictions from the Lund string-fragmentation models for the high-multiplicity regime corresponding to heavy-ion collisions are obtained with HIJING [41] and PYTHIA Angantyr [47]. The Angantyr model is also used for p-Pb collisions, while for p. p. collisions, the PYTHIA model with different color reconnection (CR) schemes is used [18]. The predictions shown in Fig. 1 are obtained either with the multiparton-interaction (MPI) based CR (Monash tune [19]) or with a QCD-based CR approach [20]. For the latter calculation, the effect of rope hadronization, in which spatially overlapping strings are allowed to combine into ropes with a larger effective string tension, is also included. Rope hadronization is responsible for an enhancement in the production of strangeness [20], while the QCD CR describes an increase in baryon production due to the formation of baryon junctions [21].

The normalized second-order cumulants of net Ξ are consistently below unity over the entire multiplicity range, which can be understood as an effect of quantum number (baryon, strangeness) conservation [48]. The results are consistent with the SHM with a correlation range of three units of rapidity throughout the analyzed collision systems. In the context of the SHM, this suggests the presence of a long-range rapidity correlation between two hadrons with opposite strangeness contents due to the conservation of strangeness. On the other hand the different kinds of string models overestimate the strength of the correlation, which is quantified by the deviation of the predictions from unity.

Furthermore, the measured correlation between net kaon and net Ξ , $\rho_{\Delta\Xi\Delta K}$, is sensitive to the range of the correlation due to strangeness conservation and to the possible correlation between hadrons with same-sign strange quantum numbers. A significant anticorrelation between net kaon and net- Ξ is observed across all collision systems. Even though the string fragmentation model has small-range rapidity correlation, the SHM predicts a more significant deviation from the Poisson baseline with respect

to the string fragmentation model, as the latter does not include any significant correlations among hadrons with same-sign strangeness. The SHM prediction describes the measured $\rho_{\Delta\Xi\Delta K}$, indicating a sizeable contribution of same strangeness sign correlation.

From the simultaneous fit of the $\rho_{\Delta\Xi\Delta K}$ and normalized second-order cumulant for net Ξ in Pb-Pb collisions using the Thermal-FIST package, the correlation volume is determined to be $V_c = 3.19 \pm 0.14 dV/dy$, with a fit probability of $P = 0.94$ (see Appendix A.2 for further details). This volume, valid only for Pb-Pb collisions, is compatible within 1.4σ with a value $V_c = 3 dV/dy$, which was obtained in previous analyses of hadron yield ratios within the canonical statistical model across different colliding systems [15]. In the context of the SHM, this result shows that a large correlation volume regulates the conservation of strangeness, implying that correlations are formed at earlier times than predicted by string fragmentation. A large correlation volume for baryon number conservation was also observed from the study of net-proton fluctuations. See a comparison between the experimental data from the ALICE Collaboration and the predictions obtained with Thermal-FIST in Appendix A.3. On the other hand, a correlation volume of $1.6 dV/dy$ was obtained from the analysis of event-by-event fluctuations of anti-deuterons [49], possibly because the formation of bound objects such as light nuclei differs from the production of other light-flavor hadrons. Specifically, these results might indicate later formation times of light nuclei compared to other hadron species [50].

In summary, the simultaneous measurement of both the presented observables has a high discriminative power against the different model predictions. The correlation and normalized second-order cumulant measurements are well described by the CE SHM formalism. The model reproduces both the absolute value of the observables and their multiplicity trends. These results are consistent with previous work [15], in which the yield ratios of charged particles, such as Ξ/π , in pp, p-Pb, and Pb-Pb collisions were also studied within the framework of the canonical statistical model implemented in Thermal-FIST. All of the tested predictions based on nonthermally equilibrated systems and string fragmentation, qualitatively describe a negative correlation of net- Ξ and net-kaon numbers, along with a normalized second-order cumulant smaller than one. However, they fail to quantitatively describe the experimental results, both in the low- and high-multiplicity regions. The PYTHIA8 tune closest to the experimental results is the QCD-based CR approach with rope hadronization: this model can describe the hadron yield ratios at low multiplicity, as shown in previous studies [22]. Nevertheless, a significant combined deviation of 7.5σ between the data in p.p. collisions and the string fragmentation predictions is observed. Such a discrepancy could be

resolved only by simultaneously including long-range and same-sign correlations in the string fragmentation framework. Such long-range correlations are a feature of the thermally-equilibrated system modeled by Thermal-FIST that successfully describe the measurements. It is possible that for cumulants higher than the second order, deviations from the thermal baseline might occur, as these are associated with different relaxation times to reach thermalization [51] or due to the presence of a phase transition [52]. Such a scenario could be probed in the future, with the ongoing LHC Run 3 data, extending such studies to higher order cumulants. Furthermore, the measurements provided here can also be used to probe the correlation volume in more elaborated statistical hadronization models such as in Ref. [53].

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End Matter

Appendix—

Systemic uncertainties: The systematic uncertainties on both $\rho_{\Delta\Xi\Delta K}$ and $\kappa_2(\Delta\Xi)/\kappa_1(\Xi^+ + \Xi^-)$ are summarized in Table I. The contributions associated to the different sources are separately reported. The sources considered for charged kaon candidates are the selection on the number of TPC space points per track, the TPC and TOF PID criteria, the selection on the distance of closest approach (DCA) to the primary vertex (PV) of the reconstructed tracks, and the track χ^2 selection for charged kaons. For the Ξ candidates,

TABLE I. Relative systematic uncertainties on the event-by-event observables due to the different sources considered in this Letter. Only the contributions relevant to the employed particle species are reported.

Source	$\rho_{\Delta\Xi\Delta K}$	$\kappa_2(\Delta\Xi)/\kappa_1(\Xi^+ + \Xi^-)$
TPC space points	0.6%	...
PID selections	0.6%	...
DCA to PV	0.6%	...
Track χ^2	0.3%	...
Ξ mass selection	1%	0.3%
BDT	1%	0.2%

the systematic sources are the applied BDT threshold and the invariant-mass selection.

V_c determination: The best estimate of the correlation volume, V_c , for quantum number conservation in CE SHM is extracted from the data by comparing the experimental results to SHM model predictions based on different values of V_c . This study is performed in the multiplicity region corresponding to Pb–Pb collisions, where the approximation $\gamma_s \approx 1$ holds, by varying the correlation volume in the range $1.0 \leq V_c \leq 4.0$ dV/dy with a step of $\Delta V_c = 0.5$ dV/dy [38]. The step is decreased close to the minimum of the χ^2 profile to obtain a better sampling in that region. The chemical freeze-out temperature is set to $T_{\text{chem}} = 155$ MeV. The quantum numbers that are conserved over V_c are the baryon number, B , and strangeness, S . The latter has a larger effect on the magnitude of the anticorrelation between the net-kaon and net- Ξ numbers. The agreement between the experimental measurements and the model predictions is quantified by a combined χ^2 that simultaneously accounts for the discrepancy between model predictions and data for both the analyzed observables. The six multiplicity intervals corresponding to the results in Pb–Pb collisions are used in this comparison, consisting of 12 data points in total for $\rho_{\Delta\Xi\Delta K}$ and the

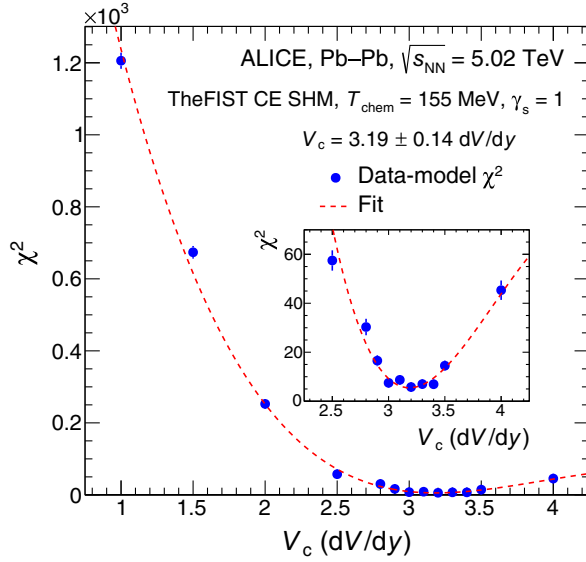


FIG. 2. Combined χ^2 profile for the extraction of the correlation volume for baryon and strangeness conservation obtained by comparing the normalized second order net- Ξ cumulants and the $\rho_{\Delta\Xi\Delta K}$ in Pb-Pb collisions to the Thermal-FIST predictions. The region close to the minimum χ^2 is shown in the inset. The dashed line represents a fit to the χ^2 profile with a fourth-degree polynomial function.

κ_2/κ_1 ratio. The χ^2 calculation takes into account only the statistical uncertainties. The obtained χ^2 profile as a function of V_c is shown in Fig. 2. The observed χ^2 is dominated by $\rho_{\Delta\Xi\Delta K}$ because it has smaller uncertainties with respect to the κ_2/κ_1 ratio of the net- Ξ number. The systematic uncertainties on $\rho_{\Delta\Xi\Delta K}$ and $\kappa_2/\kappa_1(\Delta\Xi)$ are also included in the V_c evaluation assuming full correlation of the systematic uncertainties with multiplicity. The assigned uncertainty is obtained as half of the difference between the V_c values obtained by repeating the fit, shifting upward and downward the event-by-event observables by their systematic uncertainties. The final uncertainty on V_c is obtained by adding the statistical

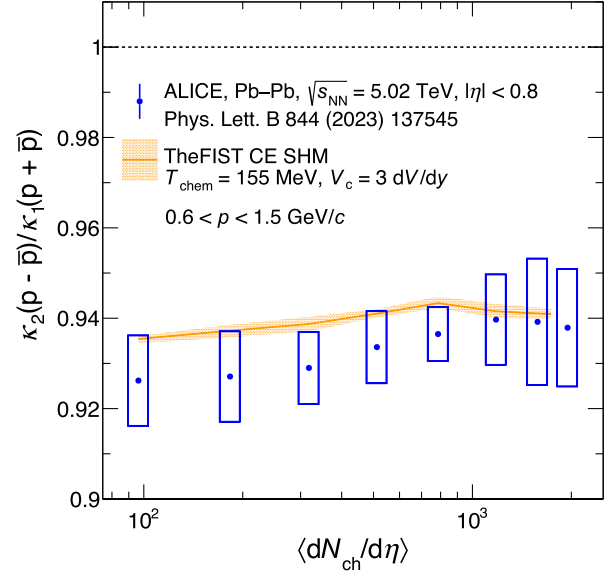


FIG. 3. Comparison between the net-proton normalized second-order cumulant as a function of the average multiplicity at midrapidity measured by the ALICE Collaboration in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV (blue circles) [54] and the predictions of the Thermal-FIST model, with $T_{\text{chem}} = 155$ MeV and $V_c = 3\text{dV/dy}$ (orange band).

and systematic contributions in quadrature. The correlation volume obtained from the minimization of the observed χ^2 profile is $V_c = 3.19 \pm 0.14$ dV/dy, with a fit probability of $P = 0.94$.

Comparison to net-proton fluctuations: In Fig. 3, the comparison between the published κ_2/κ_1 normalized second-order cumulant of net protons and the model calculations obtained with the Thermal-FIST model is shown. In the model parametrization, the chemical freeze-out temperature and the strangeness saturation factor are set to $T_{\text{chem}} = 155$ MeV and $\gamma_s = 1$, respectively. The parametrization of the system volume per unit of rapidity, dV/dy, is obtained from Ref. [15].

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