



# Eridanus III and DELVE 1: Carbon-rich Primordial Star Clusters or the Smallest Dwarf Galaxies?\*

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## Abstract

We present spectroscopy of the ultra-faint Milky Way satellites Eridanus III (Eri III) and DELVE 1. We identify eight member stars in each satellite and place nonconstraining upper limits on their velocity and metallicity dispersions. The brightest star in each object is very metal poor, at  $[\text{Fe}/\text{H}] = -3.1$  for Eri III and  $[\text{Fe}/\text{H}] = -2.8$  for DELVE 1. Both of these stars exhibit large overabundances of carbon and very low abundances of the neutron-capture elements Ba and Sr, and we classify them as CEMP-no stars. Because their metallicities are well below those of the Milky Way globular cluster population, and because no CEMP-no stars have been identified in globular clusters, these chemical abundances could suggest that Eri III and DELVE 1 are dwarf galaxies. On the other hand, the two systems have half-light radii of 8 pc and 6 pc, respectively, which are more compact than any known ultra-faint dwarfs. We conclude that Eri III and DELVE 1 are either the smallest dwarf galaxies yet discovered, or they are representatives of a new class of star clusters that underwent chemical evolution distinct from that of ordinary globular clusters. In the latter scenario, such objects are likely the most primordial star clusters surviving today. These possibilities can be distinguished by future measurements of carbon and/or iron abundances for larger samples of stars or improved stellar kinematics for the two systems.

*Unified Astronomy Thesaurus concepts:* CEMP stars (2105); Dwarf galaxies (416); Galaxy chemical evolution (580); Globular star clusters (656); Nucleosynthesis (1131); R-process (1324); Stellar abundances (1577)

*Materials only available in the online version of record: machine-readable tables*

## 1. Introduction

For many decades, dwarf galaxies and globular clusters appeared to be clearly distinct classes of stellar systems, with no ambiguity about their nature and differences. Globular clusters were once regarded as the quintessential simple stellar populations, with uniform chemical abundances for all of their stars, sizes on the order of  $\sim 3$  pc, and no dynamical evidence for dark matter from their stellar kinematics (e.g., G. Illingworth 1976; R. C. Peterson & D. W. Latham 1986). Subsequently, multiple chemically distinct populations of stars have been identified in many clusters, primarily affecting light elements (e.g., J. G. Cohen 1978; R. P. Kraft 1979; R. C. Peterson 1980;

R. P. Kraft et al. 1992; R. G. Gratton et al. 2012), although age differences within these clusters must be quite small. Dwarf galaxies, contrastingly, have long been known to contain stars with a range of metallicities (and often ages as well), with radii  $\gtrsim 100$  pc, and stellar velocities that are best explained by the presence of a dark matter halo (e.g., M. Aaronson 1983; E. K. Grebel 1997).

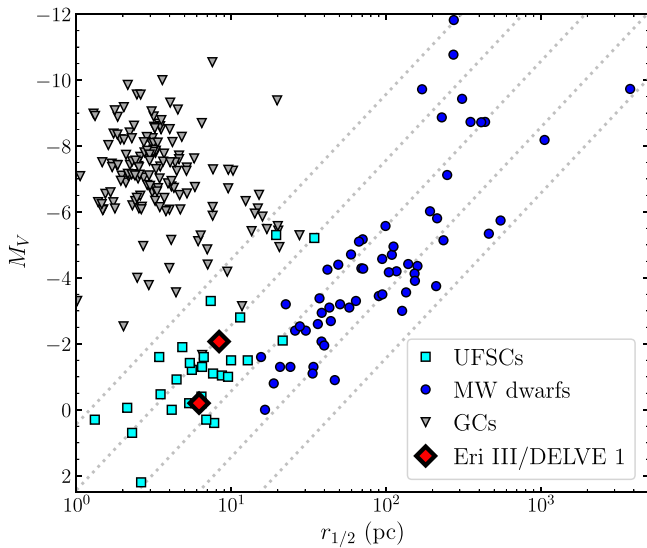
In more recent years, this dichotomy has broken down with the discovery of ultra-faint dwarf galaxies and star clusters spanning the gap that previously existed between the size distributions of the classical dwarf spheroidals and globular clusters (e.g., B. Willman et al. 2005a, 2005b; D. B. Zucker et al. 2006; S. Koposov et al. 2007; V. Belokurov et al. 2007, 2009). Classification of these objects has generally relied on the criterion proposed by B. Willman & J. Strader (2012): to be considered a galaxy, an object should have dynamical evidence of dark matter and/or exhibit chemistry that requires a deep enough gravitational potential to retain supernova ejecta.

Considering the population of Milky Way satellites known now, the distributions of half-light radii for dwarf galaxies and

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**Figure 1.** Distribution of absolute magnitude as a function of half-light radius for stellar systems in the Milky Way halo. Dwarf galaxies are displayed as blue circles, globular clusters listed in the W. E. Harris (2010) compilation are plotted as gray triangles, and recently discovered ultra-faint star clusters are shown as cyan squares. The subjects of this paper, Eridanus III (Eri III) and DELVE 1, are represented by larger red diamonds, nominally located within the distribution of ultra-faint clusters. The dotted gray lines are lines of constant surface brightness, plotted at (from left to right) 24, 26, 28, 30, and 32 mag arcsec<sup>-2</sup>.

globular clusters largely remain distinct at absolute magnitudes brighter than  $M_V \sim -2$  ( $L \sim 540 L_\odot$ ), with the dwarfs having  $r_{\text{half}} \gtrsim 20$  pc and clusters having  $r_{\text{half}} \lesssim 10$  pc (e.g., V. Belokurov et al. 2007; A. W. McConnachie 2012; J. D. Simon 2019). However, as shown in Figure 1, there are some clusters with radii approaching or even exceeding 20 pc, generally at  $M_V \lesssim -5$ , such as AM 1, Eridanus, Pyxis, Palomar 3, Palomar 4, Palomar 14, Palomar 15, Crater, and Sagittarius II (R. R. Muñoz et al. 2018; B. Mutlu-Pakdil et al. 2018; N. Longeard et al. 2020). On the other hand, several objects with similar sizes and fainter magnitudes, including Tucana III, Grus II, and Draco II, are suspected to be dwarfs (T. T. Hansen et al. 2017, 2020; J. D. Simon et al. 2017, 2020; N. Longeard et al. 2018; S. W. Fu et al. 2023), but conclusive evidence has been difficult to obtain. At  $M_V \gtrsim -2$ , the dwarf galaxy sequence in the size–luminosity plane intersects the cluster locus, and classifications are currently highly uncertain (Figure 1; also see S. E. T. Smith et al. 2024). Furthermore, recent theoretical models suggest that the existence of dwarf galaxies with parameters in this range should be expected (e.g., V. Manwadkar & A. V. Kravtsov 2022; R. Errani et al. 2024a).

The subjects of this paper, Eri III ( $M_V = -2.1 \pm 0.5$ ,  $r_{\text{half}} = 8.6^{+0.9}_{-0.8}$  pc; B. C. Conn et al. 2018) and DELVE 1 ( $M_V = -0.2^{+0.8}_{-0.6}$ ,  $r_{\text{half}} = 6.2^{+1.5}_{-1.1}$  pc; S. Mau et al. 2020),<sup>17</sup> fall in this ambiguous region of parameter space. Their sizes are small enough that it would be reasonable (or at least, consistent with the literature) to presume on that basis alone that they are faint clusters. However, as we will demonstrate in the rest of this paper, the chemical properties of both systems are distinct from those of the known cluster population, resulting in some

doubt as to their true nature. In the following section, we present our spectroscopy of Eri III and DELVE 1. In Section 3, we describe our velocity and metallicity measurements for the IMACS data and evaluate which stars are members of Eri III and DELVE 1. We derive chemical abundances from high-resolution spectra in Section 4. In Section 5, we compare the properties of Eri III and DELVE 1 to globular clusters and dwarf galaxies and discuss possible classifications of the two objects. We summarize the paper and conclude in Section 6.

## 2. Observations and Data Reduction

### 2.1. Targets

Eri III was identified in the first year of data from the Dark Energy Survey (DES) by K. Bechtol et al. (2015) and S. E. Koposov et al. (2015). These initial studies noted its much smaller size compared to the other Milky Way satellites discovered in DES data, but did not draw any conclusions about its classification. The reanalysis of deeper DES photometry by R. R. Muñoz et al. (2018), as well as new Gemini imaging by B. C. Conn et al. (2018), resulted in a somewhat smaller half-light radius, although the measurements from all four papers are consistent within the uncertainties. DELVE 1 was discovered in early data from the DECam Local Volume Exploration Survey (DELVE) by S. Mau et al. (2020), who suggested that it was a faint cluster and named it accordingly. Neither satellite has thus far been the subject of any spectroscopic investigations, although S. W. Fu et al. (2023) derived photometric metallicities using narrowband photometry for 13 stars in Eri III and found evidence for a metallicity spread. As part of a larger effort to characterize the faint star clusters that have been discovered in recent surveys (W. Cerny et al. 2024, in preparation), we obtained medium-resolution spectroscopy of Eri III and DELVE 1 with the IMACS spectrograph (A. Dressler et al. 2006, 2011) on the Magellan/Baade telescope.

### 2.2. IMACS 1200-Line Spectroscopy

We observed both satellites with the  $f/4$  camera of IMACS, using the 1200  $\ell$  mm<sup>-1</sup> grating blazed at 9000 Å to provide  $R \approx 11,000$  spectra around the near-infrared Ca triplet (CaT) lines. We observed Eri III on 2020 February 2 (2.33 hr) and 2020 December 17 (1.0 hr), with a slit mask targeting the brightest red giant member candidate as well as two blue horizontal branch (BHB) stars, all of which were selected based on DES photometry and proper motions from the Early Data Release 3 (EDR3) of Gaia (L. Lindegren et al. 2021; Gaia Collaboration 2023).

Similarly, we observed a DELVE 1 slit mask on 2021 June 18 (2.58 hr), 2021 June 27 (2.67 hr), 2022 June 20 (1.81 hr), and 2023 March 25–26 (4.5 hr). The DELVE 1 target stars included two red giants and several subgiants and stars near the main-sequence turnoff. These stars were selected using astrometry and photometry from Gaia EDR3 and DECaLS Data Release 9 (DR9; A. Dey et al. 2019); we did not use DELVE photometry because it is missing several likely member stars apparent in the Gaia catalog as a result of a 13th magnitude star located very close to DELVE 1.

The Eri III and DELVE 1 observations are listed in Table 1. Our observing and calibration procedures followed those described in previous papers (e.g., T. S. Li et al. 2017, 2018; J. D. Simon et al. 2017, 2020). As in related past work with

<sup>17</sup> The original S. Mau et al. (2020) physical half-light radius for DELVE 1 was converted from the measured angular half-light radius for an assumed distance of 19 kpc. As discussed in Section 3.3.2, we derived a revised distance of 22 kpc, so here we have updated the physical half-light radius to account for that difference.

**Table 1**  
Summary of IMACS Spectroscopy

System	Date	MJD	R.A. (h:m:s)	Decl. (d:m:s)	$t_{\text{exp}}$ (hr)	Seeing	Grating
Eri III	2020 Feb 2	58882.1	02:22:53.00	−52:19:04.92	2.33	0".7	1200/32.7
Eri III	2020 Dec 17	59201.2	02:22:53.00	−52:19:04.92	1.00	0".5	1200/32.7
Eri III	2021 Sept 12–13	59471.0	02:23:01.00	−52:14:00.00	6.93	0".5"–1".0	600/13.0
DELVE 1	2021 Jun 18	59384.2	16:30:50.40	−00:55:19.19	2.58	0".8	1200/32.7
DELVE 1	2021 Jun 27	59393.2	16:30:50.40	−00:55:19.19	2.67	0".7–1".3	1200/32.7
DELVE 1	2022 Jun 20	59751.1	16:30:50.40	−00:55:19.19	1.81	0".9–2".0	1200/32.7
DELVE 1	2023 Mar 25–26	60030.0	16:30:50.40	−00:55:19.19	4.50	0".5–0".8	1200/32.7

IMACS, we reduced the data using a combination of the COSMOS package (A. Oemler et al. 2017) and our modified version of the DEEP2 data reduction pipeline (M. C. Cooper et al. 2012; J. A. Newman et al. 2013).

### 2.3. IMACS 600-Line Spectroscopy

Following the observations described above, we observed Eri III again with IMACS with a lower-resolution grating to obtain spectra of a larger sample of candidate member stars at fainter magnitudes ( $g > 22$ ). For these observations, we employed the 600  $\ell \text{ mm}^{-1}$  grating blazed at 7500 Å to obtain  $R \approx 5000$  spectra covering the wavelength range 6000–9000 Å. We observed an Eri III slit mask for 6.9 hr on the nights of 2021 September 12–13. The data were obtained and reduced with the same procedures described in Section 2.2, using an updated line list appropriate for the broader wavelength range and lower spectral resolution.

### 2.4. MIKE Spectroscopy

After confirming that the brightest candidate star in each of Eri III and DELVE 1 is indeed a member of the respective system with the IMACS spectra (see Section 3), we observed both stars at high spectral resolution with the MIKE spectrograph (R. Bernstein et al. 2003) on the Magellan/Clay telescope to determine their chemical abundance patterns. For the Eri III observations, we used the 1" wide slit, providing a spectral resolution of  $R \approx 28,000$  in the blue spectrograph and  $R \approx 22,000$  in the red spectrograph. We observed the Eri III star for 7.8 hr on 2020 October 19–20 and 2.4 hr on 2020 November 16.

We observed the substantially brighter DELVE 1 star with the 0".7 slit to increase the spectral resolution to  $R \approx 40,000$  in the blue and  $R \approx 32,000$  in the red. We obtained 1.5 hr of integration time on 2022 March 7.

The MIKE observations of both stars were reduced with a recent version of the pipeline originally introduced by D. D. Kelson (2003). A summary of the data, including signal-to-noise ratio (SNR) measurements, is given in Table 2.

## 3. Kinematics, Metallicities, and Membership from Medium-resolution Spectroscopy

### 3.1. Velocity Measurements

Following the procedures established in previous studies (e.g., T. S. Li et al. 2017; J. D. Simon et al. 2017), we measured stellar velocities from the IMACS 1200-line data via  $\chi^2$  fits to the template stars HD 122563 (for red giants) and HD 161817 (for BHB stars) over the wavelength range containing the CaT lines (as

well as the Paschen lines for the hotter BHB stars). We corrected for mis-centering of the stars in their slits with a  $\chi^2$  fit to the telluric A-band absorption using the B9 star HR 4781 as a template.

We adopted similar procedures to make kinematic measurements with the IMACS 600-line data. As for the 1200-line templates described by J. D. Simon et al. (2017), we observed a set of template stars by orienting a long slit in the north–south direction and driving the star across the slit during an exposure to ensure that the starlight uniformly filled the slit. The minimum exposure time for the procedure was 4 minutes so that sufficient sky lines would be available to check the wavelength solution. We again used HD 122563 as the red giant template, with HD 86986 as the BHB template, and the B5 star HR 1244 as the A-band template.

We do not currently have enough repeat measurements of stars with the 600-line grating to robustly determine the systematic velocity uncertainty of this spectrograph configuration. However, based on the decrease in spectral resolution and the increase in the measured scatter of arc lamp lines around the best-fit wavelength solution (typically  $\sim 1 \text{ km s}^{-1}$ ) relative to the 1200-line grating, we assume a systematic error floor of  $3 \text{ km s}^{-1}$  for the 600-line data.

### 3.2. Ca Triplet Metallicity Measurements

We used the IMACS spectra with both gratings to compute metallicities from the equivalent widths (EWs) of the CaT lines for red giant stars, following the same procedures as in previous papers (e.g., T. S. Li et al. 2017; J. D. Simon et al. 2017). We relied on the R. Carrera et al. (2013) CaT calibration to convert apparent magnitudes to absolute magnitudes, assuming distances of 91 kpc for Eri III (B. C. Conn et al. 2018) and 22 kpc for DELVE 1 (see Section 3.3.2). We measured EWs with a combined Gaussian + Lorentzian fit to each of the CaT lines.

DELVE 1 contains two red giant branch (RGB) stars, for which we determine metallicities of  $[\text{Fe}/\text{H}] = -2.84 \pm 0.12$  (brighter star) and  $[\text{Fe}/\text{H}] = -2.40 \pm 0.26$  (fainter star). Eri III contains six RGB members, but for the five stars near the bottom of the giant branch, our spectra have an SNR too low for reliable EW measurements. We therefore stack these spectra to obtain a single metallicity measurement. The brightest Eri III star has a metallicity of  $[\text{Fe}/\text{H}] = -3.20 \pm 0.17$ . The next brightest star on the RGB is near the SNR limit for reliable CaT EW measurements, but we estimate  $[\text{Fe}/\text{H}] = -3.02 \pm 0.44$ , compatible with the metallicity of the brightest member. Although the remaining RGB members do not have sufficient SNR for individual EW measurements, after coadding the four spectra together, we find  $[\text{Fe}/\text{H}] = -2.63 \pm 0.42$ . The slightly higher metallicity of the coadded spectrum could suggest a metallicity spread in Eri III (as determined photometrically by

**Table 2**  
MIKE Targets and Observations

Name	Gaia source_id	R.A. h:m:s	Decl. d:m:s	$g_0$ (mag)	$r_0$ (mag)	Slit	$t_{\text{exp}}$ (hr)	SNR (4500 Å)	SNR (6500 Å)	$v_{\text{hel}}$ (km s <sup>-1</sup> )	MJD
DELVE 1-S1	4359353258111283328	16:30:54.25	−00:58:01.4	16.35	15.74	0″.7	1.5	34	59	−400.7 ± 0.5	59646.30
Eri III-S1	4745740262792352128	02:22:48.66	−52:17:05.1	19.33	18.63	1″.0	10.2 <sup>a</sup>	14 <sup>a</sup>	39 <sup>a</sup>	52.3 ± 1.4 53.5 ± 1.5	59142.59 <sup>b</sup> 59170.17

**Notes.**  
<sup>a</sup> Combined value for coadded Eri III-S1 spectrum from multiple nights.  
<sup>b</sup> This velocity measurement was made from a spectrum obtained over two consecutive nights; the MJD value reported here corresponds to the average over all exposures, which occurs halfway in between the two nights.



S. W. Fu et al. 2023), but is also consistent at  $\lesssim 1.5\sigma$  with the metallicities of the brighter stars.

The IMACS velocity and metallicity measurements are listed in Table 3. Based on visual inspection of the fits, we consider the velocity measurements reliable down to  $\text{SNR} = 4$  for Eri III and  $\text{SNR} = 3.8$  for DELVE 1.

### 3.3. Membership Determinations and Systemic Properties

#### 3.3.1. Eridanus III

Based on an initial photometric and astrometric selection, three stars (one on the RGB and two on the BHB) appeared likely to be members of Eri III. From our 1200-line IMACS spectroscopy, we determined velocities for these three stars that are within  $10 \text{ km s}^{-1}$  of one another at a heliocentric velocity of  $\sim 50\text{--}60 \text{ km s}^{-1}$ , confirming their association with Eri III.<sup>18</sup> We note that the brightest RGB star is somewhat redder than the appropriate isochrone. Presuming that this star is an Eri III member (which is strongly supported by the chemical abundance data described below), its red color would be most naturally explained by a high carbon abundance, which suppresses flux in the  $g$  filter, resulting in a larger  $g - r$  color (see, e.g., C. R. Hayes et al. 2023).

We then selected all stars with measured velocities of  $35 \text{ km s}^{-1} < v_{\text{hel}} < 70 \text{ km s}^{-1}$  from our two Eri III slit masks as additional Eri III candidates. This selection yielded eight additional stars, two of which are far away from an old metal-poor isochrone at the distance of Eri III and are therefore clear photometric nonmembers. A third star, Gaia DR3 4745738789619969920, has nearly identical colors and magnitudes as the brightest RGB member, but has much stronger metal lines in its spectrum, has a strongly discrepant proper motion from the initially identified members, and has a tentative parallax detection ( $\omega/\sigma_\omega = 2.8$ ) in the Gaia DR3 catalog, suggesting that it is a foreground Milky Way star. As illustrated in Figure 2, the remaining five stars have magnitudes near  $g = 22$ , are located along the Eri III RGB, and appear to be metal poor (see Section 3.2), so we consider them likely members. The only star for which we have multiple precise ( $\sigma_v \lesssim 3 \text{ km s}^{-1}$ ) velocity measurements is the brightest RGB star, and we do not detect any radial velocity variability over a time span of  $\sim 19$  months. This star is therefore unlikely to be in a short-period binary system with a significant velocity amplitude, but we do not have the data to assess the impact of binarity on the remainder of the sample.

We used this eight star sample to constrain the internal kinematics of Eri III. With the maximum likelihood approach described by T. S. Li et al. (2017), we found that the systemic velocity is  $v_{\text{hel}} = 53.7_{-1.5}^{+1.7} \text{ km s}^{-1}$ . Because the velocity uncertainties for all stars except the brightest RGB member are large ( $\gtrsim 5 \text{ km s}^{-1}$ ), we are only able to place a weak upper limit on the velocity dispersion of Eri III. Furthermore, given the small kinematic sample and large uncertainties, we found that the upper limit is sensitive to the choice of the prior as well as the boundaries of the prior. We therefore carefully selected the prior range and determined the upper limit on the velocity dispersion for both a uniform prior and log-uniform prior. Assuming a conservative range of dynamical mass-to-light ratios ( $M/L$ ) from 1 to  $5000 M_\odot/L_\odot$  (with the lower bound set by stellar population considerations and the upper bound set by the

maximum value measured for dwarf galaxies; J. Wolf et al. 2010), the prior extends from  $0.19$  to  $13.6 \text{ km s}^{-1}$ . With the uniform prior, we find a 90% (95.5%) upper limit of  $\sigma < 9.1$  ( $10.8$ )  $\text{km s}^{-1}$ , corresponding to  $M/L_V < 2200$  ( $3200$ )  $M_\odot/L_\odot$  within the half-light radius, whereas with the log-uniform prior, the 90% (95.5%) upper limit is  $\sigma < 5.4$  ( $7.3$ )  $\text{km s}^{-1}$ , corresponding to  $M/L < 790$  ( $1440$ )  $M_\odot/L_\odot$  within  $r_{\text{half}}$ .<sup>19</sup> In either case, substantial dark matter content in Eri III cannot be excluded.

#### 3.3.2. DELVE 1

The photometric member candidates for DELVE 1 cluster around a heliocentric velocity of  $-400 \text{ km s}^{-1}$ , which is separated by more than  $100 \text{ km s}^{-1}$  from any foreground stars on the DELVE 1 slit mask. The membership of DELVE 1 stars is therefore unambiguous. Our IMACS data set includes eight DELVE 1 members, with two on the RGB and six on the subgiant branch or at the main-sequence turnoff (see Figure 3). Making use of all spectra with  $\text{SNR} > 3.8$ , we have four observations of the brightest star, three observations of the next brightest four stars, and one observation each of the three faintest stars. Six of the members are located within the half-light radius of DELVE 1, one is at  $\sim 2 r_{\text{half}}$ , and one is at large radius ( $\sim 5 r_{\text{half}}$ ). We find no evidence of velocity variability over 21 months for any of the stars with multiple observations, although only the two RGB members have small enough uncertainties for this test to be very meaningful. We conclude that neither star is likely to be in a binary system with a period shorter than  $\sim 5$  yr.

In the DELVE 1 discovery paper, the distance modulus was found to be  $m - M = 16.39 \text{ mag}$  ( $d = 19 \text{ kpc}$ ), with a relatively metal-rich isochrone at  $[\text{Fe}/\text{H}] = -1.5$  providing the best fit (S. Mau et al. 2020). Because our IMACS spectroscopy shows that DELVE 1 is actually significantly more metal poor, we refit the distance using a lower-metallicity isochrone ( $[\text{Fe}/\text{H}] = -2.5$ ). For the new fit, we used a member sample combining the spectroscopically confirmed member stars with fainter photometric member candidates on the main sequence, as shown in the middle panel of Figure 3. The photometric candidates were selected to be within the half-light radius of DELVE 1, within  $0.3 \text{ mag}$  in  $g - r$  color of the metal-poor isochrone, and with  $0.15 < g - r < 0.5$ . We found that a distance modulus  $m - M = 16.68 \text{ mag}$  ( $d = 22 \text{ kpc}$ ) maximizes the likelihood with the metal-poor isochrone, suggesting that DELVE 1 is farther away than was initially reported.

After averaging together the repeat measurements of the brighter stars, we used the same maximum likelihood approach mentioned above (T. S. Li et al. 2017) to characterize the stellar kinematics of DELVE 1. We determined a systemic velocity of  $v_{\text{hel}} = -402.7 \pm 0.6 \text{ km s}^{-1}$ . As with Eri III, the DELVE 1 velocity measurements do not resolve the velocity dispersion of the system. Again based on the range of dynamical mass-to-light ( $M/L$ ) ratios from 1 to  $5000 M_\odot/L_\odot$ , we set a prior on the dispersion of  $0.09\text{--}6.7 \text{ km s}^{-1}$ . With a uniform prior, we find a 90% (95.5%) upper limit of  $\sigma < 2.5$  ( $3.2$ )  $\text{km s}^{-1}$ , corresponding to  $M/L_V < 700$  ( $1220$ )  $M_\odot/L_\odot$  inside the half-light radius. With a log-uniform prior, we find a 90% (95.5%) upper limit of  $\sigma < 1.2$  ( $1.8$ )  $\text{km s}^{-1}$  ( $M/L < 160$  ( $360$ )  $M_\odot/L_\odot$  within  $r_{\text{half}}$ ). Similar to Eri III, these constraints do not allow us to rule out the possibility of dark matter in DELVE 1. In both systems,

<sup>18</sup> The two BHB stars have very low SNR of  $\sim 3 \text{ pixel}^{-1}$ , but because the Paschen lines provide signal over nearly all pixels between 8400 and 8900 Å, it is possible to measure velocities from quite low SNR spectra.

<sup>19</sup> Extending the lower bound of the log-uniform prior down to  $0.01 \text{ km s}^{-1}$  results in a 90% (95.5%) upper limit of  $\sigma < 3.3$  ( $4.8$ )  $\text{km s}^{-1}$ , emphasizing the prior dependence of the result.

**Table 3**  
IMACS Velocity and Metallicity Measurements for Eridanus III and DELVE 1

ID <sup>a</sup>	R.A. (deg)	Decl. (deg)	$g^b$ (mag)	$r^b$ (mag)	$z^b$ (mag)	MJD	Grating	SNR	$v^c$ (km s <sup>-1</sup> )	EW (Å)	[Fe/H]	Mem <sup>d</sup>
DES J022243.71–521715.0	35.68215	–52.28751	21.74	21.28	21.02	59471.0	G600	9.2	47.3 ± 5.8	1.22 ± 0.47	–3.02 ± 0.44	1
DES J022243.82–521655.9	35.68260	–52.28219	22.38	21.93	21.74	59471.0	G600	5.4	60.4 ± 8.7	...	...	1
4745740335808220800	35.68838	–52.27641	20.32	20.49	20.66	58882.1	G1200	3.5	61.8 ± 7.0	...	...	1
	35.68838	–52.27641	20.32	20.49	20.66	59471.0	G600	14.5	61.5 ± 4.8	...	...	1
DES J022245.46–521706.8	35.68940	–52.28522	22.45	21.96	21.67	59471.0	G600	5.2	40.6 ± 10.7	...	...	1
4745740262792353536	35.69377	–52.28267	20.43	20.64	20.89	58882.1	G1200	2.9	63.2 ± 6.9	...	...	1
DES J022248.57–521651.5	35.70236	–52.28099	22.25	21.81	21.57	59471.0	G600	5.9	57.4 ± 9.3	...	...	1
4745740262792352128	35.70283	–52.28476	19.41	18.68	18.31	58882.1	G1200	23.7	53.1 ± 1.1	1.46 ± 0.51	–3.31 ± 0.37	1
	35.70283	–52.28476	19.41	18.68	18.31	59201.2	G1200	16.4	52.9 ± 1.2	1.56 ± 0.50	–3.24 ± 0.34	1
	35.70283	–52.28476	19.41	18.68	18.31	59471.0	G600	67.7	48.9 ± 3.1	1.42 ± 0.21	–3.34 ± 0.17	1
DES J022248.90–521625.9	35.70375	–52.27386	22.30	21.82	21.60	59471.0	G600	6.0	47.4 ± 7.0	...	...	1
4359353356893691776	247.71368	–0.96735	19.60	19.02	18.70	59384.2	G1200	14.0	–403.8 ± 1.5	2.18 ± 0.34	–2.25 ± 0.20	1
	247.71368	–0.96735	19.60	19.02	18.70	59393.2	G1200	11.6	–403.1 ± 1.7	1.44 ± 0.59	–2.73 ± 0.47	1
	247.71368	–0.96735	19.60	19.02	18.70	60030.0	G1200	19.9	–402.0 ± 1.2	1.93 ± 0.42	–2.40 ± 0.26	1
4359353155032064256	247.71490	–0.97875	21.02	20.69	20.61	60030.0	G1200	3.8	–399.7 ± 3.7	...	...	1
4359356213048800128	247.71795	–0.88796	20.84	20.51	20.33	60030.0	G1200	4.9	–398.9 ± 3.1	...	...	1
4359353150735257472	247.71988	–0.97731	20.36	19.90	19.68	59384.2	G1200	6.0	–403.4 ± 3.4	...	...	1
	247.71988	–0.97731	20.36	19.90	19.68	59393.2	G1200	4.8	–404.7 ± 3.0	...	...	1
	247.71988	–0.97731	20.36	19.90	19.68	60030.0	G1200	8.7	–400.8 ± 2.2	...	...	1
4359353155032064640	247.72368	–0.98238	20.55	20.16	20.03	59384.2	G1200	4.6	–403.5 ± 4.3	...	...	1
	247.72368	–0.98238	20.55	20.16	20.03	60030.0	G1200	6.3	–397.7 ± 3.9	...	...	1
4359353253820330624	247.72520	–0.96842	20.72	20.30	20.12	59384.2	G1200	4.3	–404.2 ± 4.2	...	...	1
	247.72520	–0.96842	20.72	20.30	20.12	60030.0	G1200	6.1	–405.6 ± 2.8	...	...	1
4359353258111283328	247.72604	–0.96706	16.70	15.97	15.63	59384.2	G1200	96.3	–403.2 ± 1.0	2.53 ± 0.20	–2.69 ± 0.11	1
	247.72604	–0.96706	16.70	15.97	15.63	59393.2	G1200	84.8	–403.0 ± 1.0	2.28 ± 0.21	–2.80 ± 0.12	1
	247.72604	–0.96706	16.70	15.97	15.63	59751.1	G1200	30.1	–401.9 ± 1.1	1.90 ± 0.32	–3.00 ± 0.19	1
	247.72604	–0.96706	16.70	15.97	15.63	60030.0	G1200	126.7	–403.1 ± 1.0	2.20 ± 0.21	–2.84 ± 0.12	1
4359354976098213248	247.74093	–0.94068	21.13	20.79	20.61	60030.0	G1200	3.9	–406.7 ± 4.1	...	...	1

**Notes.** Only member stars are listed here.

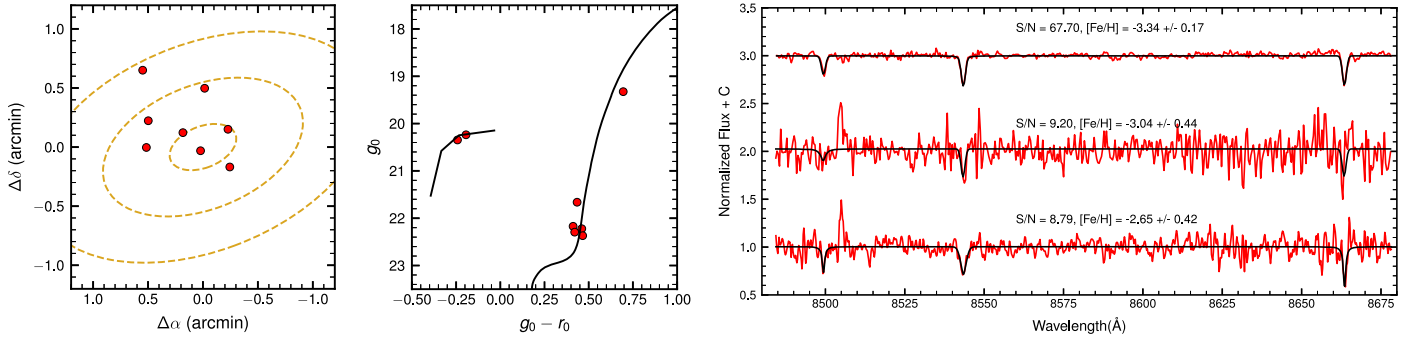
<sup>a</sup> Source ID for stars in the Gaia DR3 catalog, and DES identifier for those that are not.

<sup>b</sup> Quoted magnitudes represent the weighted-average point-spread function magnitude derived from the DES images using Source Extractor (A. Drlica-Wagner et al. 2015).

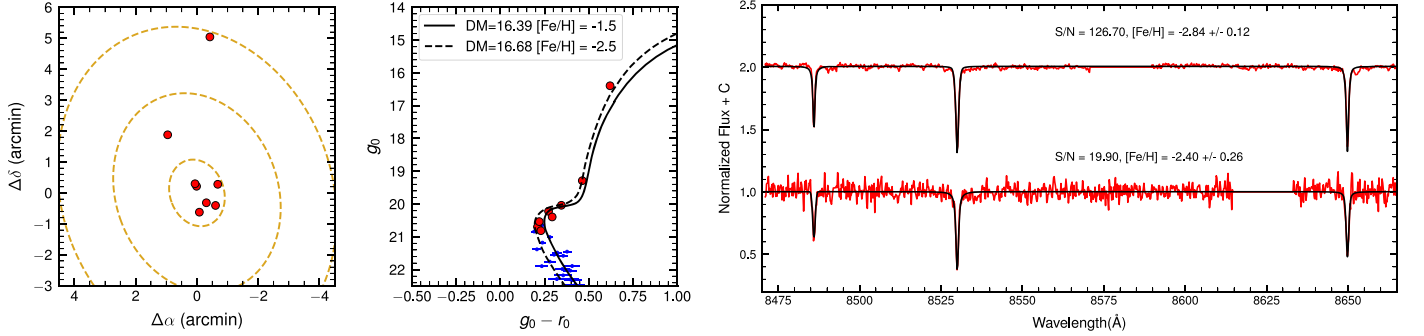
<sup>c</sup> Heliocentric velocities are listed for stars and approximate redshifts are given for background galaxies.

<sup>d</sup> A value of 1 indicates that the star is a member of the relevant satellite, whereas 0 indicates a nonmember.

(This table is available in its entirety in machine-readable form in the [online article](#).)



**Figure 2.** Left: spatial distribution of Eri III member stars. The dashed ellipses indicate 1, 3, and 5 times the half-light radius of the system, using structural parameters from B. C. Conn et al. (2018). Middle: color–magnitude diagram of Eri III, using DES Data Release 2 photometry. Overplotted is an old (12.5 Gyr) metal-poor ( $[\text{Fe}/\text{H}] = -2.5$ ,  $[\alpha/\text{Fe}] = 0.4$ ) Dartmouth isochrone (A. Dotter et al. 2008) at a heliocentric distance of 91 kpc (B. C. Conn et al. 2018). As the Dartmouth isochrones do not include a horizontal branch, an empirical M92 ridge line is added for the BHB stars. Right: IMACS 600-line CaT spectra of Eri III stars. The black curves show the best-fit model with a Gaussian + Lorentzian fit to each of the CaT lines (see text for more details). The top spectrum corresponds to the brightest RGB member at  $g_0 = 19.33$ , the middle spectrum corresponds to the second brightest RGB star at  $g_0 = 21.66$ , and the bottom spectrum is from a weighted average of the four faint RGB stars clumped near  $g_0 \sim 22.3$ . The SNR per pixel as well as the derived metallicity from the CaT EWs are shown for each spectrum.



**Figure 3.** Left: spatial distribution of DELVE 1 member stars. The dashed ellipses indicate 1, 3, and 5 times the half-light radius of the system, using structural parameters reported in the DELVE 1 discovery paper (S. Mau et al. 2020). Middle: color–magnitude diagram of DELVE 1, using DECaLS DR9 photometry. The spectroscopic members are displayed as red circles, and fainter candidate members within the half-light radius are plotted as blue dots with error bars. A Dartmouth isochrone (A. Dotter et al. 2008) based on the parameters for DELVE 1 estimated in the discovery paper ( $m - M = 16.39$  mag,  $[\text{Fe}/\text{H}] = -1.5$ , and age = 12.5 Gyr) is shown as the solid curve. However, using the spectroscopically confirmed member stars and a more appropriate lower-metallicity ( $[\text{Fe}/\text{H}] = -2.5$ ) isochrone, we find that a larger distance modulus of  $m - M = 16.68$  mag ( $d = 22$  kpc, dashed curve) fits the data better, suggesting that DELVE 1 is farther away than was initially reported. Right: IMACS spectra of the two brightest DELVE 1 members from the 2023 March observing run (top:  $g_0 = 16.35$ , bottom:  $g_0 = 19.25$ ). The black curves show the best-fit model with a Gaussian + Lorentzian fit to each of the CaT lines.

the contribution of binary stars to the internal kinematics can only be constrained with additional velocity data.

With only two stars with metallicities that are  $1.5\sigma$  apart, we cannot place meaningful constraints on the metallicity distribution of DELVE 1.

#### 4. Chemical Abundance Analysis

Figure 4 shows portions of the MIKE spectra for the brightest DELVE 1 member star (Gaia DR3 4359353258111283328, hereafter DELVE 1-S1) and the brightest Eri III member star (Gaia DR3 4745740262792352128, hereafter Eri III-S1). It is immediately visually evident that these metal-poor stars are highly enhanced in carbon and nitrogen, with overall low neutron-capture element abundances. In this section, we describe the detailed chemical abundance analysis.

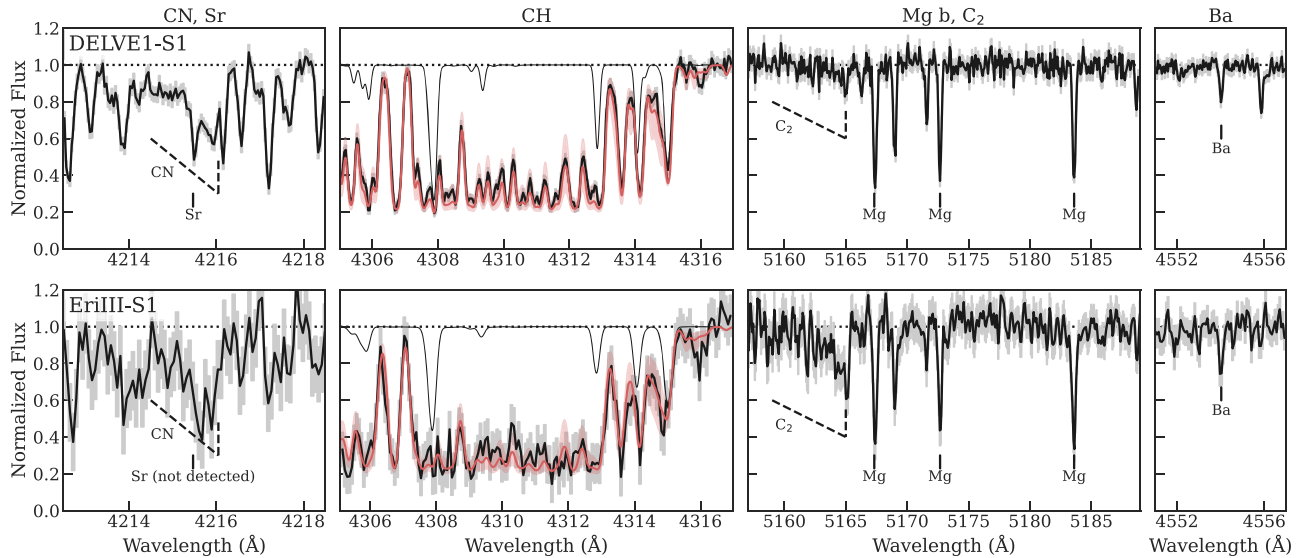
##### 4.1. Stellar Parameter Determination and Abundance Measurements

Briefly, stellar parameters were determined from the MIKE spectra following A. Frebel et al. (2013): first determining  $T_{\text{eff}}$ ,  $\log g$ , and  $\nu_t$  by using Fe I and Fe II lines for excitation, ionization, and reduced EW balance; then applying a

temperature correction to bring  $T_{\text{eff}}$  to a photometric scale, and redetermining  $\log g$  and  $\nu_t$ . This procedure produces stellar parameters consistent with those derived including non-local thermodynamic equilibrium (non-LTE) effects (e.g., R. Ezzeddine et al. 2020). We verified using the L. Casagrande et al. (2010) color–temperature relations that the temperature is consistent within 100 K of the photometrically derived values. The mean photometric temperature for different color–temperature relations is 85 K hotter for the DELVE 1 star and 105 K cooler for the Eri III star, and the intrinsic scatter based on the different photometric relations is 120 K. Propagating this uncertainty to the other stellar parameters, we adopt overall systematic stellar parameter uncertainties of 120 K, 0.3 dex, 0.2  $\text{km s}^{-1}$ , and 0.2 dex for the effective temperature, surface gravity, microturbulence, and metallicity, respectively. The systematic stellar parameter uncertainties are added in quadrature to the statistical stellar parameter uncertainties (e.g., A. P. Ji et al. 2020b). The parameters for both stars are listed in Table 4.

The analysis of the high-resolution spectra was performed with `smhr`<sup>20</sup> (A. R. Casey 2014), which wraps the ATLAS model atmospheres (F. Castelli & R. L. Kurucz 2003) and the MOOG

<sup>20</sup> <https://github.com/andycasey/smhr>



**Figure 4.** Cutouts of the MIKE spectra for DELVE 1-S1 and Eri III-S1 around (from left to right) CN and Sr, CH, C<sub>2</sub> and Mg b, and Ba features. The  $1\sigma$  spectrum uncertainties are shown as gray bars. The Sr line at 4215 Å is not detected for Eri III-S1, consistent with a low abundance inferred from the 4077 Å line. On the CH panels, the thin black curve indicates a synthesis with no carbon, whereas the red curve and shaded red region indicate the best-fit synthesis and syntheses with the carbon abundance varied by  $\pm 0.3$  dex, respectively. Both stars are highly enhanced in C and N but have low abundances of Sr and Ba.

1D radiative transfer code with scattering and assuming local thermodynamic equilibrium (LTE; C. A. Sneden 1973; J. S. Sobeck et al. 2011; J. S. Sobeck & C. Sneden 2023).<sup>21</sup> *smhr* provides an interface for radial velocity measurement, continuum normalization, EW measurement, synthesis fitting, and error propagation. The overall analysis follows A. P. Ji et al. (2020b), except that we use an updated line list from the *R-Process Alliance* (e.g., I. U. Roederer et al. 2018), using the atomic data from *linemake* (V. M. Placco et al. 2021).<sup>22</sup> Abundances of most species (O I, Na I, Mg I, K I, Ca I, Ti I/Ti II, Cr I/Cr II, Fe I/Ti II, and Ni I) were determined with EWs. Lines at  $\lambda < 4000$  Å were all rejected for EW measurements, as the low SNR in the blue inhibited accurate continuum placement. We synthesized the C–H band near 4300 Å; the C–N bands near 3880 Å and 4205 Å; lines for Al I, Si I, and Sr II due to blends; and lines for Sc II, Co I, and Ba II due to hyperfine structure and/or isotopic splitting (using *r*-process ratios from C. Sneden et al. 2008). Formal  $5\sigma$  upper limits for Eu II were determined with spectral synthesis. Abundance uncertainties for individual line measurements were determined by propagating the spectrum noise and stellar parameter uncertainties, with a minimum 0.1 dex systematic uncertainty on every line. The final abundance is given by the inverse-variance weighted average of the line-by-line abundances. The abundance results are listed in Table 5, scaled to solar abundances from M. Asplund et al. (2009). The individual line abundances are given in Table 6 (with atomic and molecular data sourced from A. McWilliam 1998; J. E. Lawler et al. 2001, 2013, 2015, 2017, 2019; J. S. Sobeck et al. 2007; J. Meléndez & B. Barbuy 2009; E. A. Den Hartog et al. 2011, 2014, 2019, 2021, 2023; I. U. Roederer & J. E. Lawler 2012; M. P. Wood et al. 2013, 2014a, 2014b, 2018; T. Masseron et al. 2014; M. P. Ruffoni et al. 2014; C. Sneden et al. 2014; M. T. Belmonte et al. 2017; A. Pehlivan Rhodin et al. 2017; A. Kramida et al. 2019). We note that all abundances are computed in LTE, which is

**Table 4**

Stellar Parameters for MIKE Targets

Name	$T_{\text{eff}}$ (K)	$\log g$	$\nu_t$ km s <sup>-1</sup>	[Fe/H]
DELVE 1-S1	4950 ± 135	1.35 ± 0.31	2.06 ± 0.23	−2.83 ± 0.22
Eri III-S1	4880 ± 222	2.00 ± 0.31	2.08 ± 0.28	−3.10 ± 0.37

sufficient for the qualitative comparative analysis in this paper to other metal-poor red giant stars. However, non-LTE effects can be larger than 0.2 dex for some elements (e.g., we expect the [Na/Fe] abundances to decrease by  $\sim 0.5$  dex due to the use of Na D resonance lines; K. Lind et al. 2011) and should be applied before any detailed comparison.

#### 4.2. Derived Abundances

The abundance patterns of the two stars are presented in Figure 5, where they are also compared with stars in the Milky Way halo and ultra-faint dwarfs. The most notable features of both stars are their low metallicities and high carbon abundances. We determine metallicities of [Fe/H] = −3.08 and [Fe/H] = −2.81 for Eri III-S1 and DELVE 1-S1, respectively, consistent with the CaT metallicities. Both stars have large overabundances of carbon, nitrogen, and oxygen ([C/Fe] > 1.5, [N/Fe]  $\gtrsim$  2, and [O/Fe] > 1.5). We note that O was measured from the weak 6300 Å forbidden line and 7770 Å triplet (when not blended with telluric lines). Though the latter have significant non-LTE corrections, the fact that we detect these lines at all in metal-poor stars demonstrates that oxygen must be highly enhanced.

Eri III also exhibits quite high abundances ([X/Fe]  $\sim$  1) of the light and  $\alpha$  elements Na, Mg, and K, whereas the DELVE 1 abundances of these elements are in the expected range for metal-poor stars ([X/Fe]  $\sim$  0.5). The other  $\alpha$  elements are normal (moderately enhanced relative to solar) for both stars. We report Al abundances, though the uncertainties are sufficiently large that they should be largely disregarded.

The iron peak elements are mostly normal in these stars. An apparently high abundance of Cr in Eri III can be attributed

<sup>21</sup> As implemented in <https://github.com/alexji/moog17scat>.

<sup>22</sup> <https://github.com/vmplacco/linemake>



**Table 5**  
Stellar Abundances

El.	<i>N</i>	ul	$\log \epsilon$	[X/H]	$\sigma_{[X/H]}$	[X/Fe]	$\sigma_{[X/Fe]}$
DELVE 1-S1							
C (C–H)	2		+7.25	−1.18	0.39	+1.63	0.30
N (C–N)	2		+7.08	−0.75	0.45	+2.07	0.36
O I	4		+7.53	−1.16	0.17	+1.66	0.26
Na I	2		+3.98	−2.26	0.28	+0.55	0.21
Mg I	4		+5.15	−2.45	0.14	+0.36	0.10
Al I	1		+3.73	−2.72	0.55	+0.10	0.48
Si I	2		+5.17	−2.34	0.34	+0.47	0.26
K I	1		+2.80	−2.23	0.20	+0.58	0.17
Ca I	8		+3.90	−2.44	0.12	+0.38	0.07
Sc II	4		+0.34	−2.81	0.18	+0.00	0.25
Ti I	3		+2.22	−2.73	0.19	+0.08	0.12
Ti II	14		+2.14	−2.81	0.13	−0.00	0.15
Cr I	4		+2.74	−2.90	0.18	−0.09	0.10
Cr II	1		+2.76	−2.88	0.20	−0.06	0.25
Fe I	70		+4.69	−2.81	0.14	+0.00	0.02
Fe II	8		+4.71	−2.79	0.12	+0.02	0.18
Co I	3		+2.13	−2.86	0.15	−0.05	0.14
Ni I	1		+2.90	−3.32	0.24	−0.51	0.19
Sr II	2		−1.57	−4.44	0.25	−1.62	0.26
Ba II	4		−2.00	−4.18	0.15	−1.37	0.15
Eu II	1	<	−1.78	−2.30	...	+0.49	...
Eri III-S1							
C (C–H)	2		+7.13	−1.30	0.42	+1.78	0.27
N(C–N)	1		+7.31	−0.52	0.67	+2.57	0.53
O I	2		+7.86	−0.83	0.21	+2.25	0.30
Na I	2		+3.99	−2.25	0.43	+0.83	0.30
Mg I	5		+5.54	−2.06	0.21	+1.02	0.14
Al I	1		+3.56	−2.89	1.31	+0.19	1.24
Si I	1		+4.87	−2.64	0.63	+0.44	0.59
K I	2		+2.94	−2.09	0.27	+1.00	0.20
Ca I	4		+3.40	−2.94	0.20	+0.15	0.14
Sc II	3		+0.31	−2.84	0.29	+0.25	0.40
Ti II	4		+2.02	−2.93	0.20	+0.15	0.21
Cr I	1		+2.84	−2.80	0.41	+0.29	0.33
Fe I	38		+4.42	−3.08	0.25	+0.00	0.05
Fe II	2		+4.39	−3.11	0.18	−0.02	0.28
Ni I	1		+2.91	−3.31	0.39	−0.23	0.30
Sr II	1		−2.11	−4.98	0.64	−1.89	0.60
Ba II	3		−1.70	−3.88	0.23	−0.79	0.20
Eu II	1	<	−0.61	−1.13	...	+1.97	...

mostly to spectrum noise, as it was derived from just one line. Mn is normally measurable in similar stars, but only the 4030 Å triplet was detectable, which we rejected due to large non-LTE effects (M. Bergemann et al. 2019). However, it is notable that the Ni abundances are low in both stars, especially in the DELVE 1 star with  $[\text{Ni}/\text{Fe}] = -0.5$ .

Both stars contain very low levels of neutron-capture elements, with  $[\text{Sr}/\text{Fe}] \sim -1.6$  and  $[\text{Ba}/\text{Fe}] = -1.4$  for DELVE 1-S1 and  $[\text{Sr}/\text{Fe}] \sim -1.9$  and  $[\text{Ba}/\text{Fe}] = -0.8$  for Eri III-S1. We note that a precise Sr abundance measurement in Eri III-S1 is hindered by the very low SNR at the blue end of the spectrum, but the abundance is clearly very low.

#### 4.3. Stellar Classification

Based on their high carbon abundances ( $[\text{C}/\text{Fe}] > 1$ ) and low neutron-capture content ( $[\text{Ba}/\text{Fe}] < 0$ ), both stars observed at high spectral resolution can be classified as CEMP-no stars

(e.g., T. C. Beers & N. Christlieb 2005; D. Carollo et al. 2014). The two stars are also close to the defining criteria for the rare class of nitrogen-enhanced metal-poor (NEMP) stars ( $[\text{N}/\text{Fe}] > 0.5$  and  $[\text{C}/\text{N}] < -0.5$ ; J. A. Johnson et al. 2007). The high nitrogen abundances are presumed to result from hot bottom burning in high mass asymptotic giant branch (AGB) stars, which may transfer enriched material to a binary companion to produce a low mass, metal-poor, and nitrogen-rich NEMP star (e.g., O. R. Pols et al. 2012). Some NEMP stars share the high Na and Mg abundances of Eri III-S1 and DELVE 1-S1, although they also exhibit much higher Ba abundances, as expected from *s*-process nucleosynthesis in AGB stars (O. R. Pols et al. 2012). It is therefore not clear whether these stars should be considered NEMP stars.

## 5. Interpretation

### 5.1. Comparison with Globular Clusters

Carbon-enriched stars are quite rare in globular clusters, and are generally either found on the AGB or thought to have been polluted with the carbon-rich nucleosynthetic products from a companion star that passed through the AGB phase (e.g., G. A. Harding 1962; H. E. Bond 1975; P. Côté et al. 1997; M. Sharina et al. 2012; E. N. Kirby et al. 2015), resulting in a CEMP-s classification. As far as we are aware, there are no confirmed CEMP-no stars associated with globular clusters.

The Eri III and DELVE 1 stars also exhibit high nitrogen abundances. In massive globular clusters, carbon and nitrogen abundances are typically anticorrelated, as illustrated for the examples of NGC 7078 and the Phoenix Stream (which originated from a globular cluster; A. P. Ji et al. 2020a) in Figure 6. Only a single NEMP star has been identified in a globular cluster (ESO280-SC06; J. D. Simpson & S. L. Martell 2019), but the abundances of elements other than carbon and nitrogen have not been measured in that star, so we cannot assess how much it resembles Eri III-S1 and DELVE 1-S1.

The low metallicities of the Eri III and DELVE 1 stars stand out from the globular cluster population as well. The Milky Way lacks any globular clusters at  $[\text{Fe}/\text{H}] \lesssim -2.5$  (e.g., W. E. Harris 2010; J. S. Sobeck et al. 2011; L. Lovisi et al. 2013; E. N. Kirby et al. 2023), although two streams from disrupted clusters that are more metal poor have recently been discovered (Z. Wan et al. 2020; A. R. Casey et al. 2021; N. F. Martin et al. 2022; Z. Yuan et al. 2022), and S. S. Larsen et al. (2020) identified a cluster at  $[\text{Fe}/\text{H}] = -2.9$  in M31. Thus, even though it is evidently possible for clusters to form at the metallicity of Eri III ( $[\text{Fe}/\text{H}] = -3.08$ ) and DELVE 1 ( $[\text{Fe}/\text{H}] = -2.81$ ), the Milky Way does not appear to contain any such objects that have survived to the present day.

### 5.2. Comparison with Dwarf Galaxies

Conversely, neither the metallicities nor the carbon abundances of the Eri III and DELVE 1 stars would be unusual among ultra-faint dwarfs. CEMP-no stars have also been identified in Ursa Major II, Segue 1, Tucana II, Boötes I, Pisces II, Carina III, and Tucana V (A. Frebel et al. 2010; J. E. Norris et al. 2010a; G. Gilmore et al. 2013; A. Chiti et al. 2018a; M. Spite et al. 2018; A. P. Ji et al. 2020b; T. T. Hansen et al. 2024), as well as in several of the classical dwarf spheroidals (Á. Skúladóttir et al. 2020; T. T. Hansen et al. 2023; I. U. Roederer et al. 2023; Á. Skúladóttir et al. 2024). Many of the ultra-faint dwarf CEMP-no stars also share the high  $[\text{Na}/\text{Fe}]$  and  $[\text{Mg}/\text{Fe}]$  abundances observed in Eri III,

**Table 6**  
Line Measurements

Star	$\lambda$	ID	$\chi$	$\log gf$	EW	$\sigma(\text{EW})$	FWHM	ul	$\log \epsilon_i$	$\sigma_i$	$e_i$	$s_X$	$\delta_{i,T_{\text{eff}}}$	$\delta_{i,\log g}$	$\delta_{i,\nu_i}$	$\delta_{i,[M/H]}$
DELVE 1-S1	6300.30	8.0	0.00	-9.69	15.8	3.2	0.23		7.30	0.20	0.09	0.10	+0.10	+0.10	-0.00	+0.01
DELVE 1-S1	5528.40	12.0	4.35	-0.55	52.9	3.6	0.23		5.15	0.14	0.06	0.10	+0.08	-0.02	-0.02	+0.00
DELVE 1-S1	4077.71	38.1	0.00	0.15	syn	syn	0.15		-1.64	0.28	0.10	0.10	-0.09	+0.20	-0.10	-0.01
DELVE 1-S1	4313.00	106.0	...	...	syn	syn	0.14		7.29	0.34	0.01	0.10	+0.31	-0.10	+0.00	+0.03
DELVE 1-S1	4129.70	63.1	0.00	0.22	syn	syn	...	<	-1.78	...	...	...	...	...	...	...
Eri III-S1	6300.30	8.0	0.00	-9.69	29.2	5.1	0.23		7.76	0.22	0.09	0.10	+0.16	+0.08	-0.01	+0.02
Eri III-S1	5528.40	12.0	4.35	-0.55	77.5	6.5	0.24		5.41	0.20	0.09	0.10	+0.14	-0.03	-0.04	+0.00
Eri III-S1	4077.71	38.1	0.00	0.15	syn	syn	0.14		-2.11	0.60	0.54	0.10	+0.23	+0.04	-0.04	+0.04
Eri III-S1	4313.00	106.0	...	...	syn	syn	0.22		7.17	0.37	0.06	0.10	+0.35	-0.00	-0.00	+0.02
Eri III-S1	4129.70	63.1	0.00	0.22	syn	syn	...	<	-0.61	...	...	...	...	...	...	...

**Note.** The columns are wavelength ( $\lambda$ ), species (ID), excitation potential ( $\chi$ ), cross section ( $\log gf$ ), EW and uncertainty ( $\sigma(\text{EW})$ ), FWHM, upper limit flag (ul), line abundance and total uncertainty ( $\log \epsilon_i$  and  $\sigma_i$ , respectively), statistical uncertainty ( $e_i$ ), per-line systematic uncertainty  $s_X$ , and errors due to stellar parameter uncertainties ( $\delta_{i,T_{\text{eff}}}$ ,  $\delta_{i,\log g}$ ,  $\delta_{i,\nu_i}$ , and  $\delta_{i,[M/H]}$ ). The total uncertainty  $\sigma_i$  is the quadrature sum of  $e_i$ ,  $s_X$ ,  $\delta_{i,T_{\text{eff}}}$ ,  $\delta_{i,\log g}$ ,  $\delta_{i,\nu_i}$ , and  $\delta_{i,[M/H]}$ .

(This table is available in its entirety in machine-readable form in the [online article](#).)

again indicating consistency with the dwarf galaxy population. In Figure 7, we compare the  $[X/\text{Fe}]$  measurements for the Eri III and DELVE 1 stars with those of dwarf galaxy CEMP (mostly CEMP-no) stars, finding generally good agreement.

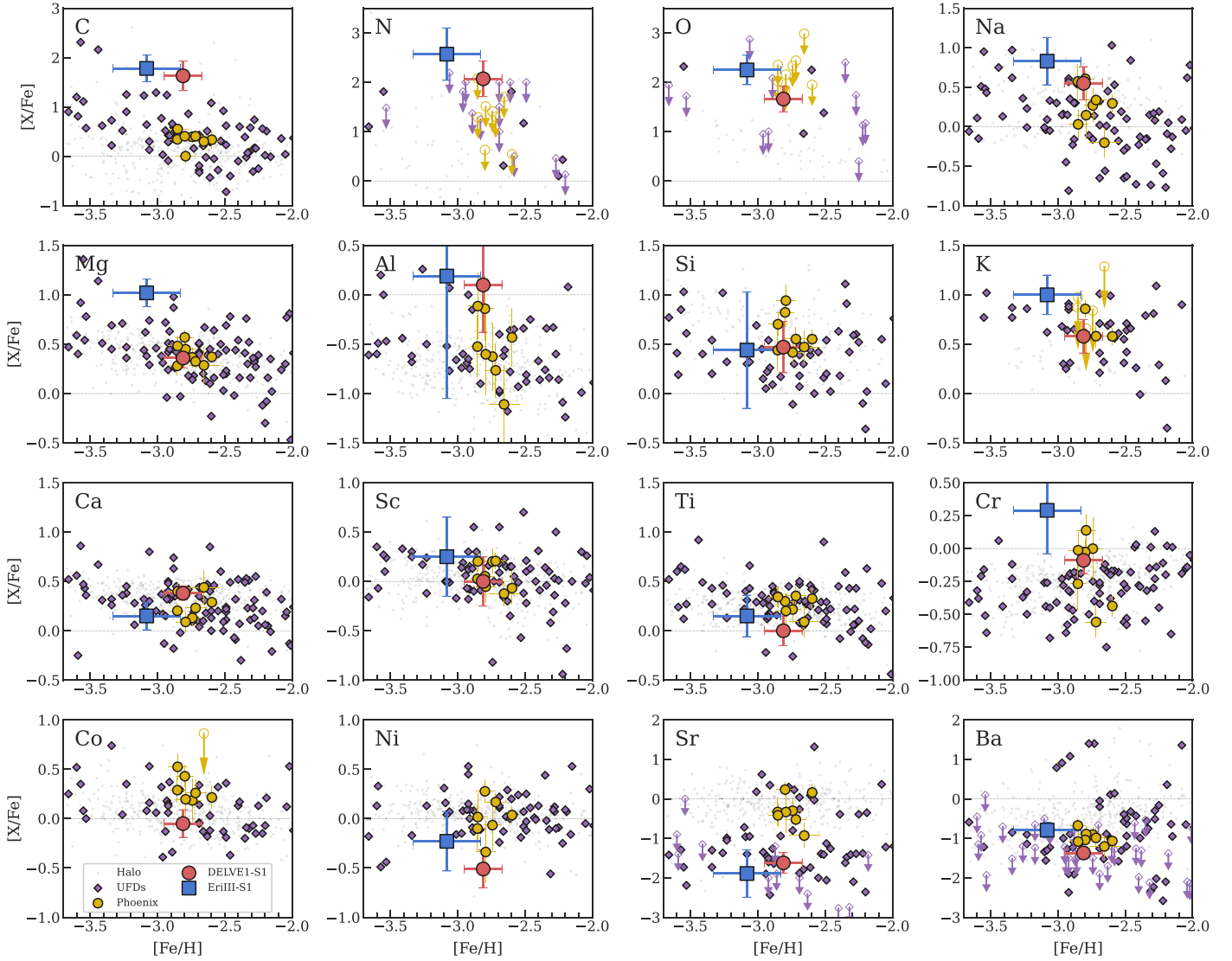
The chemical abundance patterns of their brightest stars are therefore suggestive of a dwarf galaxy classification for Eri III and DELVE 1. These systems have half-light radii that are at least a factor of 3 smaller than the most compact previously confirmed Milky Way dwarf (R. R. Muñoz et al. 2018), which would significantly expand the parameter space occupied by faint galaxies. One possible mechanism that could account for the small sizes of these systems is tidal stripping. R. Errani et al. (2024a) showed that if the dark matter halo and the initial stellar distribution of a dwarf galaxy are both cuspy, the system will not be completely destroyed by tidal forces, and after sufficient stripping it can be reduced to a half-light radius and luminosity comparable to Eri III and DELVE 1. However, reaching this microgalaxy status requires stripping by a factor of  $\sim 10^4$ . For a dwarf galaxy that initially formed on the luminosity–metallicity relation from E. N. Kirby et al. (2013), reducing its luminosity by such a large amount should leave the surviving remnant  $>1$  dex more metal rich than the relation. Since Eri III and DELVE 1 have metallicities roughly consistent with the luminosity–metallicity relation for their current luminosities, if they have lost 99.99% of their stars via tidal stripping then their progenitors must have started off  $>1$  dex more metal poor than the relation. It is thus difficult to reconcile the observations of these objects with the tidal stripping hypothesis if they are dwarf galaxies. Regardless of the physical explanation for their sizes, if satellites as small as  $\sim 5$  pc are indeed galaxies (e.g., M. Ricotti et al. 2016), the number of Milky Way dwarfs may be substantially larger than currently recognized (e.g., S. Y. Kim et al. 2018; E. O. Nadler et al. 2020; V. Manwadkar & A. V. Kravtsov 2022).

### 5.3. The Nature of Eri III and DELVE 1

Despite the closer chemical resemblance with dwarf galaxies, none of the available data on either object actually require the operation of physics beyond that of baryons and Newton’s laws of gravity. Under the B. Willman & J. Strader (2012) definition of a galaxy, then, Eri III and DELVE 1 do not clearly qualify according to our present knowledge. If one or both objects are in fact dark-matter-free star clusters, their chemical properties are

completely different from those of classical globular clusters, implying a distinct formation mechanism. One hypothesis for the origin of CEMP-no stars at extremely low metallicities is that they are the product of faint supernova explosions in which most of the heavy elements fall back onto the compact object and only the light elements are ejected in large quantities (e.g., H. Umeda & K. Nomoto 2003; M. N. Ishigaki et al. 2014b; C. J. Hansen et al. 2016; A. Frebel et al. 2019). We suggest that Eri III and DELVE 1 could be examples of small clusters that formed immediately after such a Population III supernova and were enriched primarily or exclusively by that event. Because of their low stellar masses, they are unlikely to have hosted a second generation of star formation that could be enriched by the AGB ejecta of the intermediate mass stars from the first generation, as is thought to have occurred in larger clusters (e.g., E. Carretta et al. 2010; N. Bastian & C. Lardo 2018). In this scenario, objects like Eri III and DELVE 1 would be the most primitive clusters ever formed, and perhaps the only stellar systems in which the nucleosynthetic products of the first supernovae are so cleanly preserved.

If Eri III and DELVE 1 are clusters, it is possible that they formed within low-luminosity dwarf galaxies. Since neither object is surrounded by a visible galaxy today, the hosts must have been tidally disrupted, leaving behind just their clusters and perhaps a faint (not yet observed) stream. The prototypes for clusters within ultra-faint dwarfs are the central star cluster in Eri II (S. E. Koposov et al. 2015; D. Crnojević et al. 2016; J. A. Alzate et al. 2021; C. E. Martínez-Vázquez et al. 2021; J. D. Simon et al. 2021; D. R. Weisz et al. 2023) and the cluster in UMa II (D. B. Zucker et al. 2006; G. M. Eadie et al. 2022). The UMa II cluster is extremely poorly studied, with neither any published spectroscopy nor an analysis of its stellar population, so nothing can currently be said about its chemical properties. Its physical size is similar to Eri III and DELVE 1 (G. M. Eadie et al. 2022), whereas the Eri II cluster is a factor of  $\sim 2$  larger (J. D. Simon et al. 2021). The cluster in Eri II has been the subject of several photometric studies, which concluded that it is old and metal poor ( $[\text{Fe}/\text{H}] \sim -2.5$ ), with properties very similar to those of Eri II itself (e.g., J. D. Simon et al. 2021; D. R. Weisz et al. 2023). With its brightest stars at  $V \approx 22$ , spectroscopy of this object is challenging. Nevertheless, S. L. Zoutendijk et al. (2020) obtained low-resolution MUSE spectra covering seven candidate cluster members, finding that most of them have metallicities of  $[\text{Fe}/\text{H}] \approx -1.5$ , with one star



**Figure 5.**  $[X/Fe]$  vs.  $[Fe/H]$  for the brightest stars in DELVE 1 (red circle) and Eri III (blue square) compared to Milky Way halo red giant stars (gray;  $\log g < 3.5$ ; P. S. Barklem et al. 2005; I. U. Roederer et al. 2014; H. R. Jacobson et al. 2015, as compiled in A. Abohalima & A. Frebel 2018); ultra-faint dwarf galaxies (purple diamonds; A. Koch et al. 2008, 2013; S. Feltzing et al. 2009; A. Frebel et al. 2010, 2014, 2016; J. E. Norris et al. 2010b, 2010c; J. D. Simon et al. 2010; G. Gilmore et al. 2013; M. N. Ishigaki et al. 2014a; I. U. Roederer & E. N. Kirby 2014; P. François et al. 2016; A. P. Ji et al. 2016a, 2016b, 2019, 2020b; I. U. Roederer & M. Mateo et al. 2016; T. T. Hansen et al. 2017, 2020, 2024; E. N. Kirby et al. 2017; A. Chiti et al. 2018a, 2023; D. Q. Nagasawa et al. 2018, M. Spite et al. 2018; J. L. Marshall et al. 2019; F. Waller et al. 2023, K. B. Webber et al. 2023, open symbols with arrows indicate upper limits); and the Phoenix globular cluster stream (A. P. Ji et al. 2020a; A. R. Casey et al. 2021, yellow circles). The dotted horizontal line in each panel indicates  $[X/Fe] = 0$ . For the Eri III star, Co is not measured and Al and Si have sufficiently large error bars as to be considered unreliable.

possibly much more metal poor. The Eri II cluster thus may have a substantially higher metallicity than Eri III and DELVE 1. If the metallicity of a cluster is correlated with the metallicity of its host galaxy, this measurement would suggest that clusters with the metallicities of Eri III and DELVE 1 originated in lower-mass dwarfs than Eri II. In the cluster hypothesis, the CEMP-no chemical signatures of Eri III and DELVE 1 are likely related to their unique formation environment. Evidence in favor of this idea could be obtained via additional spectroscopy of the UMa II and Eri II clusters to search for a similar chemical signature, or by detection of stellar streams along the orbits of Eri III and DELVE 1.

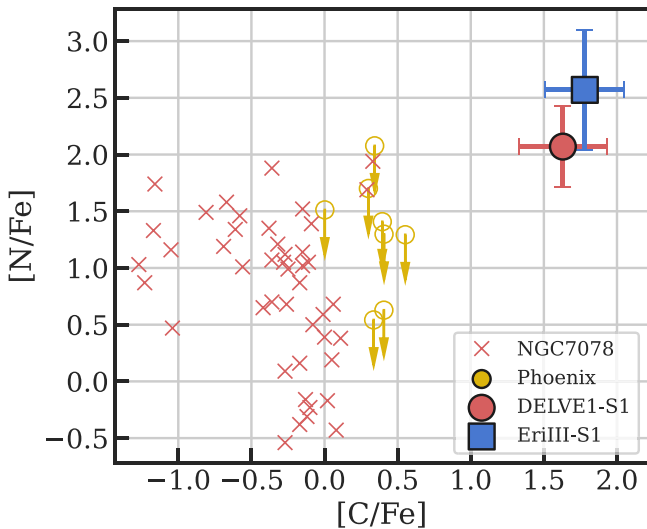
#### 5.4. Observationally Distinguishing the Smallest Dwarfs from Clusters

The classification of Eri III and DELVE 1 as either the smallest dwarf galaxies or the first primordial star clusters

discovered has important implications for the satellite population of the Milky Way and early star and cluster formation. These two possibilities leave us facing a key question: how can we determine whether these objects are galaxies or clusters?

If Eri III and DELVE 1 are purely stellar systems, then according to the J. Wolf et al. (2010) formula their velocity dispersions would be  $\sim 0.3 \text{ km s}^{-1}$  and  $\sim 0.1 \text{ km s}^{-1}$ , respectively (for a stellar mass-to-light ratio of  $2 M_{\odot}/L_{\odot}$ ). These values are likely to remain unmeasurably small for the foreseeable future. Alternatively, masses within the half-light radius of  $\gtrsim 10^5 M_{\odot}$ , as is typical for most ultra-faint dwarfs, would lead to expected velocity dispersions of  $\gtrsim 4 \text{ km s}^{-1}$ , which could be measurable via deep spectroscopy with Keck/DEIMOS (e.g., J. D. Simon et al. 2011) or the Very Large Telescope/FLAMES (e.g., S. A. Jenkins et al. 2021). Our upper limit on the velocity dispersion of Eri III is consistent





**Figure 6.** C and N abundances of DELVE 1 and Eri III compared to NGC 7078 (J. C. Roediger et al. 2014) and the Phoenix Stream (A. P. Ji et al. 2020a; A. R. Casey et al. 2021). Both C and N are high in our target stars, and thus clearly inconsistent with the C–N anticorrelation typically seen in multiple populations within globular clusters (even though Phoenix only has upper limits for [N/Fe], these limits are sufficient to establish that its N abundances are substantially lower than the Eri III and DELVE 1 stars). The same is true for the Na–O anticorrelation, except that there are only upper limits for O (not shown).

with a mass this large. The constraint we have already obtained on the velocity dispersion of DELVE 1 rules out such a high mass. However, since Eri III and DELVE 1 have smaller half-light radii than confirmed UFDs, their half-light masses would be smaller even if they inhabit similar dark matter halos. Masses on the order of  $M_{\text{half}} \sim 10^4 M_{\odot}$ , corresponding to  $\sigma \sim 1.5 \text{ km s}^{-1}$  and  $M/L \sim 100 M_{\odot}/L_{\odot}$ , cannot currently be excluded.

The recently discovered satellite UMa III/UNIONS 1 (S. E. T. Smith et al. 2024) offers an instructive example. UMa III/UNIONS 1 is several magnitudes fainter than Eri III or DELVE 1, with a half-light radius of just  $3 \pm 1 \text{ pc}$ . Nevertheless, the system has a tentatively measured velocity dispersion of  $\sim 2\text{--}4 \text{ km s}^{-1}$ , depending on which stars are included in the calculation (S. E. T. Smith et al. 2024), which would imply a large dark matter content (R. Errani et al. 2024b). Binary stars may be influencing this measurement, so additional observations are needed to confirm whether the dispersion actually reflects the dynamical mass of UMa III/UNIONS 1. If this even fainter and more compact system can be confirmed as a dwarf, that would provide a strong motivation for further pursuing the kinematics of Eri III and DELVE 1.

Perhaps a more promising approach for conclusively classifying Eri III and DELVE 1 relies on chemical abundance measurements for additional stars. If they are actually clusters, then we expect that they should be chemically homogeneous, and given the abundances of Eri III-S1 and DELVE 1-S1, all of the stars should be carbon enhanced.  $[C/Fe] \sim 1.5$  should be an easy signature to identify observationally even for faint stars. Perhaps supporting this idea for DELVE 1, we note that the photometric member sample shown in the middle panel of Figure 3 tends to lie slightly redward of the best-fit isochrone, as might be expected if the stars are carbon rich. However, given the small number of stars, the uncertainties in the stellar models, and the lack of spectroscopic confirmation, this idea is

only speculative at the moment. On the other hand, dwarf galaxy stars should exhibit a range in metallicity, as well as likely lower carbon abundances for most of the stars. The former could be measured either via low-resolution Ca K spectroscopy (A. Chiti et al. 2018b) or narrowband photometry (e.g., S. W. Fu et al. 2022, 2023), whereas the latter would require medium-resolution spectroscopy.

Finally, stellar mass segregation as a result of dynamical relaxation may offer an additional means of distinguishing faint dwarf galaxies and clusters (e.g., D. Kim et al. 2015). H. Baumgardt et al. (2022) used Hubble Space Telescope imaging of Eri III to compare the radial profiles of  $\sim 0.53 M_{\odot}$  and  $\sim 0.78 M_{\odot}$  stars, finding that the ratio of the extent of the more massive stars to the less massive ones is  $0.84 \pm 0.09$ . From this measurement, they estimate a probability of mass segregation of 91.7%, which is suggestive of a cluster but not definitive. Deeper observations could improve this result. No mass segregation analysis has been performed on DELVE 1 to date.

## 6. Summary

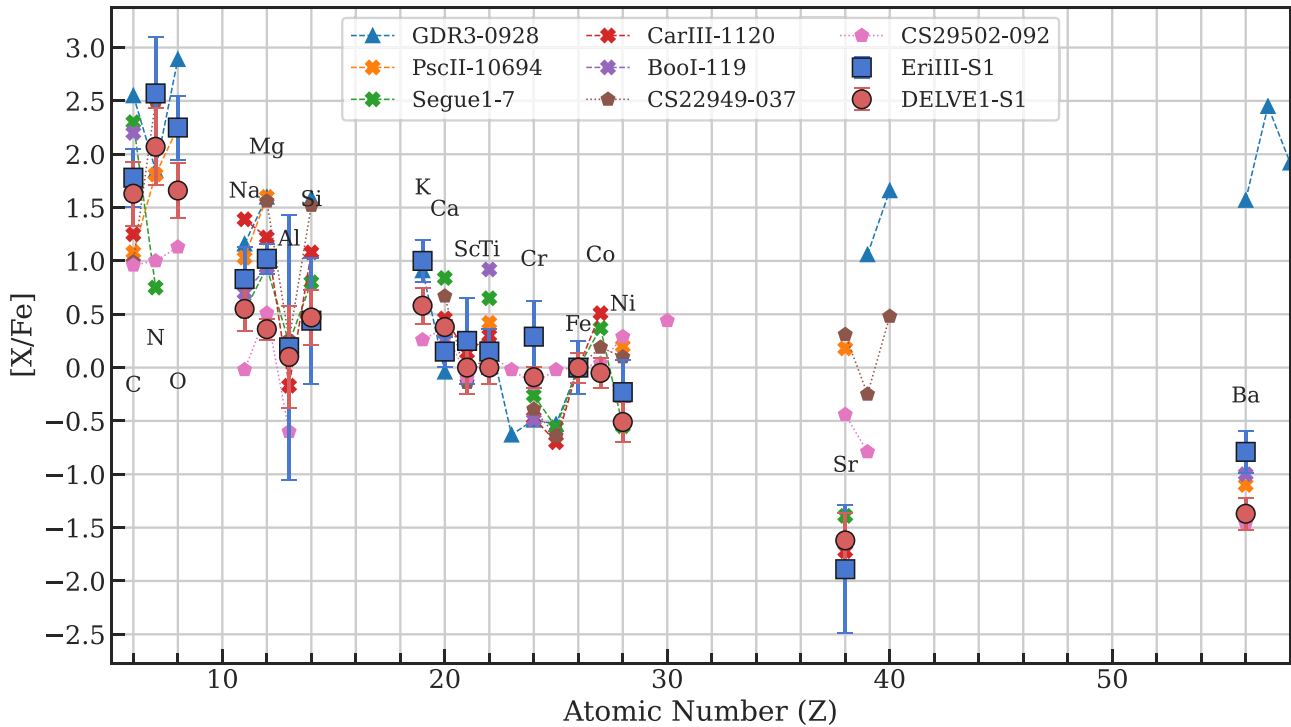
One of the present challenges in the observational study of low-luminosity Milky Way satellites is identifying which of the objects discovered over approximately the past decade are dwarf galaxies and which are not. We have presented the first spectroscopy of two ultra-faint stellar systems, Eri III and DELVE 1. We measured the velocities and metallicities of the brightest several stars in each satellite, showing that both Eri III and DELVE 1 are metal poor and placing upper limits on their stellar velocity dispersions.

We also obtained high-resolution spectroscopy of the single brightest star in each system and performed a chemical abundance analysis. In both cases, the star has a metallicity near the extremely metal-poor boundary ( $[Fe/H] = -2.81$  for DELVE 1-S1 and  $[Fe/H] = -3.08$  for Eri III-S1) and is strongly carbon enhanced. The two stars exhibit low abundances of neutron-capture elements, meriting a classification as CEMP-no stars.

The observed chemical abundance patterns are puzzling in light of the very small sizes of Eri III and DELVE 1, and their resulting presumptive classification as clusters. We explore two possible hypotheses for the nature of these systems: (1) they are dwarf galaxies, in which case the chemical abundances are not unusual, but they are at least a factor of 3 more compact than any previously known faint dwarfs, or (2) they are carbon-enhanced extremely metal-poor star clusters, chemically distinct from all other known clusters. Supporting the dwarf galaxy picture, S. W. Fu et al. (2023) photometrically measured a metallicity spread within Eri III at almost  $3\sigma$  confidence. On the other hand, H. Baumgardt et al. (2022) detected mass segregation among the Eri III stars at close to  $2\sigma$  significance, suggesting the absence of dark matter. Further spectroscopy of Eri III and DELVE 1 is needed in order to resolve this issue.

Confirming either scenario for the classification of Eri III and DELVE 1 would be an exciting result. If these objects are dwarf galaxies, then the same may be true for many of the other recently discovered compact ultra-faint satellites, significantly increasing the retinue of dwarfs surrounding the Milky Way. If instead they are clusters, then they would represent a qualitatively new regime of cluster chemical evolution, potentially providing new insight into early nucleosynthesis. We encourage spectroscopy of these two systems, as well as other unclassified compact ultra-faint stellar systems, in order





**Figure 7.**  $[X/Fe]$  vs. atomic number for the DELVE 1 and Eri III stars. They are compared to abundances of other CEMP stars with  $[C/Fe] > 1$  in ultra-faint dwarfs: GDR3-0928 (Ret II; C. R. Hayes et al. 2023), Psc II-10694 (M. Spite et al. 2018), Segue 1-7 (J. E. Norris et al. 2010a), Car III-1120 (A. P. Ji et al. 2020b), Boo I-119 (D. K. Lai et al. 2011; G. Gilmore et al. 2013), and two CEMP halo stars (CS22949-037 and CS29502-092; I. U. Roederer et al. 2014).

to determine which possibility is correct. More detailed studies of the two known examples of clusters that formed within ultra-faint dwarf galaxies, in Eri II and UMa II, could also shed light on how such clusters might differ from those originating in more massive galaxies.

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<sup>23</sup> [https://github.com/apace7/local\\_volume\\_database](https://github.com/apace7/local_volume_database)

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