

1 **Response and Adaptation of the Transcriptional Heat Shock Response to Pressure**

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13

14 **Abstract**

15 The molecular mechanisms underlying pressure adaptation remain largely
16 unexplored, despite their significance for understanding biological adaptation and
17 improving sterilization methods in the food and beverage industry. The heat shock
18 response leads to a global stabilization of the proteome. Prior research suggested that
19 the heat shock regulon may exhibit a transcriptional response to high-pressure stress. In
20 this study, we quantitatively confirm using plasmid-borne green fluorescent protein (GFP)
21 promoter fusions and fluorescence fluctuation microscopy that key heat shock genes—
22 *rpoH*, *rpoE*, *dnaK*, and *groEL*—are transcriptionally upregulated following pressure shock
23 in both piezosensitive *Escherichia coli* and a more piezotolerant laboratory-evolved strain,
24 AN62. Our quantitative imaging results provide the first single cell resolution
25 measurements for both the heat shock and pressure shock transcriptional responses,
26 revealing not only the magnitude of the responses, but also the biological variance
27 involved. Moreover, our results demonstrate distinct responses in the pressure-adapted
28 strain. Specifically, P_{groEL} is upregulated more than P_{dnak} in AN62, while the reverse is true
29 in the parental strain. Furthermore, unlike in the parental strain, the pressure-induced
30 upregulation of P_{rpoE} is highly stochastic in strain AN62, consistent with a strong feedback
31 mechanism and suggesting that RpoE could act as a pressure sensor. Despite its
32 capacity to grow at pressures up to 62 MPa, the AN62 genome shows minimal mutations,
33 with notable single nucleotide substitutions in genes of the transcriptionally important β
34 subunit of RNA polymerase and the Rho terminator. In particular, the mutation in RNAP
35 is one of a cluster of mutations known to confer rifampicin resistance to *E. coli* via
36 modification of RNAP pausing and termination efficiency. The observed differences in the
37 pressure and heat shock responses between the parental MG1655 strain and the
38 pressure-adapted strain AN62 could arise in part from functional differences in their RNAP
39 molecules.

40
41 **Introduction**

42 In recent years it has become clear that a majority of microbial life exists in a
43 diverse range of environments, most of which are inhospitable to humans (Merino et al.
44 2019). Among the characteristics possessed by organisms that thrive in the deep
45 biosphere is piezotolerant or piezophilic growth; the ability to grow or preferentially grow,
46 respectively, at high hydrostatic or lithostatic pressures. In addition to piezotolerant/philic
47 adaptation to grow under high pressure, mesophiles can acquire pressure resistance to
48 survive brief but large pressure shocks (Malone, Chung, and Yousef 2006; Van Boeijen
49 et al. 2010; Vanlint et al. 2011; 2012). This process poses a major problem for high
50 pressure processing (HPP) of foods, which is a multibillion-dollar industry projected to
51 grow significantly over time as pressure treatment, unlike temperature sterilization, allows
52 for the retention of food taste and texture (Huang et al. 2017). Beyond mere resistance to
53 pressure, *E. coli* has been observed to acquire the ability to grow under high pressure in
54 a laboratory setting (Marietou et al. 2015). In this study, adaptive laboratory evolution

55 (ALE) was used to develop *E. coli* strain AN62, which is capable of growth up to 62 MPa.
56 Only 17 mutations were found in the genome of AN62 (Table S1) (Allemann et al. 2024).

57 All cellular components respond to increasing pressure (Bartlett 2002; Oger and
58 Jebbar 2010; Gayán, Govers, and Aertsen 2017). Beyond effects on individual molecules,
59 pressure leads to increased activity of promoters recognized by the general stress
60 response sigma factor RpoS (σ^S), which has been implicated in pressure resistance
61 (Vanlint, Rutten, et al. 2013). Notably, sub-lethal pressure shock has been shown to elicit
62 the upregulation of numerous *E. coli* heat shock proteins (HSPs), including DnaK and
63 GroEL (Welch et al. 1993), as well as the transcriptional induction of HSP genes post sub-
64 lethal pressure shock (Aertsen et al. 2004). Upregulation of HSPs also leads to improved
65 bacterial survival during a lethal pressure shock (Aertsen et al. 2004). The gene for the
66 heat shock regulated extra-cytoplasmic stress response sigma factor *rpoE* (produces σ^E
67 or RpoE) is also transcriptionally induced and enhances viability following lethal pressure
68 exposure (Malone, Chung, and Yousef 2006). Similar examinations of pressure-induced
69 transcriptional heat shock responses, as well as observations of cross resistance
70 between heat and pressure shocks have reinforced the hypothesis that the heat shock
71 response is important for high pressure adaptation and survival and underscores the
72 importance of proteostasis for bacterial survival and growth under stress (Aertsen and
73 Michiels 2007; Vanlint, Pype, et al. 2013; Gayán et al. 2016).

74 The ultimate outcome of the heat shock response is to upregulate two key groups
75 of HSPs: the DnaK/DnaJ and GroEL/GroES chaperone systems (Saito and Uchida 1978;
76 Kusukawa and Yura 1988; Lipinska et al. 1988). When the proteome is destabilized, DnaK
77 works in tandem with its co-transcribed chaperone, DnaJ, and a nucleotide exchange
78 factor, GrpE, as an unfoldase to disaggregate and partially unfold misfolded or
79 aggregated proteins (Slepenkov and Witt 2002). In contrast, under homeostatic
80 conditions DnaK sequesters RpoH (σ^{32}), the main heat shock sigma factor, thereby
81 repressing its transcriptional activation activity (Johnson, Chandrasekhar, and
82 Georgopoulos 1989; Straus, Walter, and Gross 1990; Gamer, Bujard, and Bukau 1992;
83 Gamer et al. 1996). It has also been shown that numerous proteins require DnaK to fold
84 properly or maintain their proper folding (Calloni et al. 2012). GroEL functions as a large
85 multimeric complex with GroES and is required for the folding of several important
86 proteins defined as class IV substrates (Fujiwara et al. 2010) and to refold unfolded or
87 misfolded proteins (Kerner et al. 2005). It has been proposed that DnaK may act as a
88 filter for GroEL selectivity (Kerner et al. 2005; Calloni et al. 2012).

89 The heat shock response is heavily regulated, particularly at the transcriptional
90 level via the alteration of utilized sigma factors and promoters in HSP gene promoter
91 regions (Figure 1). Thermal induction of HSP genes, including *dnaK/dnaJ* and
92 *groEL/groES* (Cowing et al. 1985; Cowing and Gross 1989), is achieved by a large
93 increase in the quantity of the heat shock sigma factor RpoH (Grossman et al. 1987), a
94 normally very unstable protein (Tilly, Spence, and Georgopoulos 1989). The promoter
95 region of the *rpoH* gene is complex, allowing its expression to be driven by either the main
96 sigma factor RpoD (σ^{70}) or by RpoE (σ^E) (Erickson et al. 1987; Wang and Kaguni 1989),
97 a secondary heat shock sigma factor (Rouviere et al., 1995). The regulation of RpoE at

98 the transcriptional and post translational levels depends on changes in the amount of
99 unfolded proteins; particularly those associated with the cell membrane and periplasm
100 (Raina, Missiakas, and Georgopoulos 1995; Missiakas et al. 1997). Like that of *rpoH*, the
101 *rpoE* promoter region is controlled by multiple sigma factors, RpoD, RpoS and RpoE,
102 along with sigma factors unrelated to the heat shock response (Klein et al. 2016). It is
103 important to note that *rpoD* also experiences a heat shock response, whereby its
104 expression can be driven by RpoE or RpoH in addition to RpoD (Burton et al. 1983; Taylor
105 et al. 1984; Grossman et al. 1985). Note that other transcription factors, unrelated to the
106 heat shock response and not shown in Figure 1, also contribute to the regulation of
107 alternative sigma factor and HSP transcriptional regulation (Wang and Kagunis 1989;
108 Kallipolitis and Valentin-Hansen 1998; Landini et al. 2014; Klein et al. 2016; Ishihama
109 2017; Rome et al. 2018).

110 In the present study, we sought to confirm and quantitatively characterize, at single
111 cell resolution, the pressure-induced transcriptional heat shock response in *E. coli*. To this
112 end we generated plasmid borne green fluorescent protein (GFP) promoter fusion
113 constructs of four key heat shock genes: those encoding the chaperones, *dnaK* and
114 *groEL*, and the two alternative sigma factors, *rpoE* and *rpoH*. We then quantified the
115 transcriptional response of each promoter to heat and pressure shock in both the *E. coli*
116 K-12 strain MG1655 (Blattner et al. 1997) and its derived high pressure-adapted strain,
117 AN62 (Marietou et al. 2015; Allemann et al. 2024). Quantification of the absolute GFP
118 concentration in single cells prior to and after heat or pressure shock was carried out
119 using a particle counting imaging approach called two photon scanning number and
120 brightness microscopy (sN&B), which was specifically designed to perform quantitative
121 measurements in live cells with minimal photobleaching, low background fluorescence,
122 single cell resolution, and the ability to differentiate between an increase in the number of
123 cells vs an increase in the fluorescence intensity per cell (Digman et al. 2008; Ferguson
124 et al. 2011; 2012; Royer 2019). Our results confirmed that *E. coli* mounts a heat shock
125 response at the transcriptional level when exposed to pressure shock, and that for some
126 promoters the response to pressure shock differs in magnitude from the response to heat
127 shock. We also found that upregulation of P_{rpoH} was consistently larger after pressure
128 shock compared to heat shock in both MG1655 and AN62, which underscores the
129 importance of the pressure-induced heat shock response, even for organisms that can
130 grow under high pressure. Finally, we show that the transcriptional pressure shock
131 response is distinct for the chaperone genes *dnaK* and *groEL* in *E. coli* MG1655 and
132 AN62. Pressure-induced *dnaK* upregulation is stronger in MG1655, while that of *groEL* is
133 more pronounced in AN62. These observations suggest that producing more GroEL than
134 DnaK might provide a selective advantage for growth under pressure.

136 **Materials and Methods**

137 **Strain construction**

138 GFP transcriptional fusions were constructed for four major heat shock genes: the
139 chaperone-encoding *dnaK* and *groEL* genes, and the alternate σ factor-encoding *rpoE*
140 and *rpoH* genes. They were cloned into plasmid pMS201, which is maintained as a low

141 copy number plasmid (Zaslaver et al. 2006) (Table 1). For each reporter fusion, the full-
142 length promoter region, encompassing all known promoters for each gene, was utilized
143 (hereafter referred to as promoter fusion for simplicity). All plasmids were purchased from
144 Horizon Discovery and transformed into *E. coli* K12 strains MG1655 or AN62. Cells were
145 made chemically competent via the Transformation Storage Solution (TSS) method
146 (Chung and Miller 1993). pMS201 utilizes 50 µg/mL kanamycin for plasmid selection.

147 An exception was the transcriptional fusion of GFPmut2 being driven by the
148 arabinose inducible promoter *P_{BAD}*. It was generated via Gibson assembly using the
149 plasmid pBAD24 as a backbone and GFPmut2 as the insert and transformed into *E. coli*
150 strain MG1655. Unlike pMS201, pBAD24 utilizes 100 µg/mL ampicillin for selection.

151 The next day, transformants were re-streaked onto selective plates containing the
152 necessary antibiotic and verified by PCR. Clonal isolates verified by PCR were grown to
153 mid-upper log phase and 1 mL of culture was preserved in 25% (v/v) glycerol and stored
154 at -80°C. Plasmid sequence integrity was also verified via whole plasmid sequencing
155 (Primordium Labs) (Table S2) after being harvested from 1 mL of mid-upper log phase
156 cultures from clonal isolates using a Zymo Research ZR-Plasmid Miniprep™-Classic kit.
157 Unless otherwise stated, all culturing and recovery steps were done in Luria Broth (LB)
158 containing per liter 10 g Tryptone, 10 g sodium chloride, and 5 g yeast extract
159 supplemented with the correct antibiotic for plasmid selection.

160

161 **Cell culture**

162 *E. coli* AN62 and its mesophilic ancestor MG1655 were used for sN&B
163 experiments. Unless otherwise stated, all culturing was done in LB medium supplemented
164 with 50 µg/mL kanamycin. For heat shock experiments, cells were grown at 30°C at 180
165 rpm. For pressure shock experiments, cells were grown at 37°C at 180 rpm to decrease
166 the likelihood of high-pressure inactivation (Aertsen et al. 2004). After overnight growth,
167 MG1655 cells were diluted 1:100 into fresh medium and AN62 cells were diluted 1:10 into
168 medium. AN62 cells were diluted significantly less than MG1655 to skip their long lag
169 phase (Marietou et al. 2015). All cultures were allowed to grow to mid-log phase (OD₆₀₀
170 nm = 0.4-0.5). At mid log phase, two aliquots of 600 µL of cells were removed from the
171 culture. One aliquot was prepared for imaging without any shock, while the other aliquot
172 was subjected to either a heat or pressure shock. We verified balanced growth conditions,
173 as results were similar when cells were grown after a 1:10,000-fold dilution.

174

175 **Heat and pressure shocks**

176 For heat shock, cells were placed in a 42°C water bath for 15 minutes and then
177 prepared for imaging. For pressure shock, cells were transferred to a quartz cuvette and
178 sealed with a DuraSeal cap and an O-ring. The cuvette was then placed inside a high-
179 pressure cell and pressurized to 60 MPa (600 bar). The setup for the high-pressure cell
180 has been previously described (Jenkins et al. 2018). The pressurization was performed
181 in increments of 20 MPa (200 bar), with a brief equilibration period of 5 seconds at each
182 pressure. Cells were pressurized for 15 minutes and kept at 34°C (The limit of the
183 temperature regulation unit attached to the high-pressure cell). Depressurization was

184 performed in the same manner as pressurization. After pressure shock, cells were
185 transferred from the cuvette to a sterile Eppendorf tube and prepared for imaging.
186

187 **Cell preparation for imaging**

188 Both aliquots were prepared for imaging using an agarose pad setup that has been
189 previously described (Ferguson et al., 2011; Supplemental methods) with modifications.
190 Briefly, cells were centrifuged at 7000 rpm for 2 minutes and resuspended in 3-5 μ L of
191 minimal M9 medium supplemented with 0.4% glucose (Table S3). Cells were then plated
192 on a 66 μ L, 2% agarose pad made with the same supplemented M9 medium. Cells were
193 allowed to equilibrate on the surface of the pad for 5 minutes, then a poly lysine coated
194 No. 1 coverslip (VWR) was placed over top of the cells for 1 minute before sealing the
195 cells inside. The cells were then placed in an autofluor holder for imaging.

196 Because of the short time frame (under 10 minutes) between the end of the shock
197 and mounting on the microscope, it is extremely unlikely that there was any significant
198 amount of growth of the cells. This prevented any significant loss of GFP due to dilution
199 from cell division. It is also unlikely there was any significant protease degradation since
200 GFP has been observed to possess a long half-life in cells (Tombolini et al. 2006). This
201 timeline also allows for rapid measurement of the response that occurred during or
202 immediately after the shock and avoids any pleotropic effects due to differences in growth
203 rates between MG1655 and AN62.

204

205 **Two photon excitation fluorescence fluctuation microscopy**

206 Imaging was performed on an ISS Alba fast scanning mirror fluctuation microscope
207 (ISS, Champaign, IL) equipped with 2-photon laser excitation (Mai Tai Ti: Sapphire,
208 Newport-SpectraPhysics, Mountain View, CA). 930 nm excitation light (with an average
209 power of 15.2 mW) was focused through a 60 \times 1.2NA water immersion objective (Nikon
210 APO VC) onto a No. 1 coverslip. All images were 20 μ m x 20 μ m. A 735 nm low-pass
211 dichroic filter (Chroma Technology Corporation, Rockingham, VT, USA) was used to filter
212 infrared light from the emitted light. Emitted light was further filtered with a 530/43 nm
213 bandpass filter just before reaching the detector - an avalanche photodiode (Perkin
214 Elmer). At the start of each experiment, 28 nM fluorescein was used to assess the quality
215 of the laser alignment through Fluorescence Correlation Spectroscopy (FCS) and by
216 determining the effective volume of the 2-photon point spread function (PSF) at both 780
217 nm and at 930 nm (12 mW and 49 mW excitation power, respectively).

218 All imaging was performed at atmospheric pressure, precluding reversible
219 pressure dependent fluorescence intensity changes in GFP itself. Moreover, GFP is
220 extremely pressure stable and does not unfold until above 1050 MPa (10kbar) (Ehrmann,
221 Scheyhing, and Vogel 2001; Scheyhing et al. 2002). In the present work, pressure shocks
222 were performed at much lower pressure, 60 MPa. Moreover, we have shown previously
223 that there is no irreversible effect of pressure up to 100 MPa on the molecular brightness
224 (= quantum yield or counts per second per molecule) of GFP (Bourges et al. 2020) in live
225 bacterial cells.

226

227 **Scanning Number and Brightness (sN&B) imaging and analyses**

228 sN&B was developed to allow for quantitative analysis of the number of fluorescent
229 molecules in living cells (Digman et al. 2008; Ferguson et al. 2011; 2012). To perform
230 sN&B measurements, a series of very rapid raster scans are obtained (for these
231 experiments, 25 frames were acquired) for each field of view (FOV). A pixel dwell time of
232 40 μ s was used, which is faster than the diffusion time of GFP in cells (\sim 5 μ m²/s.)
233 (Ferguson et al. 2011) to allow for measurement of the fluorescence fluctuations. The
234 average fluorescence intensity, $\langle F_{GFP} \rangle$, of the diffusing GFP molecules and the variance
235 of their fluorescence, σ^2 , were used to calculate the shot noise corrected molecular
236 brightness of GFP (e_{GFP}) at each pixel in each bacterial cell according to equation [1].
237

$$238 e_{GFP} = \frac{\sigma^2}{\langle F_{GFP} \rangle} - 1 = B - 1 \quad (\text{Eq. 1}).$$

239
240 Then e_{GFP} was averaged across all bacterial cells to provide the average molecular
241 brightness of GFP ($\langle e_{GFP} \rangle$). Using the average molecular brightness of GFP, the absolute
242 number of GFP molecules (n_{GFP}) within the effective volume (V_{eff}) defined by the point
243 spread function (PSF) of the excitation laser was determined for each pixel in each
244 bacterial cell from the average fluorescence over all scans at that pixel according to
245 equation [2].
246

$$247 n_{GFP} = \frac{\langle F_{GFP} \rangle}{\langle e_{GFP} \rangle} \quad (\text{Eq. 2}).$$

248 Values of n_{GFP} were averaged over all quantified pixels within each cell, $\langle n_{GFP} \rangle$, and
249 correspond to the absolute concentration of GFP (number of GFP molecules in the V_{eff})
250 in each cell.

251 In some cases, GFP expression was so high that it saturated the detectors. In
252 these cases, the excitation intensity was lowered such that the detected fluorescence
253 intensity was sufficiently below the limit of the detector. To accurately compare data
254 acquired with different excitation intensities, the fluorescence intensities were first
255 normalized to the highest excitation intensity according to equation [3].

$$256 F_{norm} = F_i * \left(\frac{E_{norm}}{E_i} \right)^2 \quad (\text{Eq. 3}),$$

257 where F_{norm} is the normalized fluorescence intensity, F_i is the initial fluorescence intensity,
258 E_{norm} is the normalized excitation intensity, and E_i is the initial excitation intensity.
259 Background subtraction and sN&B analyses (see below) were only carried out after
260 fluorescence intensity normalization, as the background fluorescence was always
261 measured with E_{norm} .

262 sN&B analyses were carried out using the Patrack software (Espenel et al. 2008)
263 to manually segment cells for single cell resolution. Prior to calculation of GFP brightness
264 and number, background fluorescence, determined from imaging strain MG1655 or AN62
265 with no GFP producing plasmids, was subtracted from the fluorescence intensity at each
266 pixel. To avoid artefacts that arise from imaging along the boundaries of cells due to the
267 diffraction-limited PSF, only the central pixels were used to determine the average

268 fluorescence intensity in each cell. The distribution of the $\langle n_{GFP} \rangle$ value for each cell from
269 all FOV for a given condition was then plotted and compared between populations of cells
270 that received no shock or a heat or pressure shock. From the averages of the histogram
271 distributions, the percent change in promoter activity after either heat or pressure shock
272 was calculated and averaged for 3 separate experiments for each strain and condition.
273 Pairwise T tests were then performed for all promoter fusion strains under all conditions
274 (Table S4).

275

276 **Protein Structure Visualization**

277 Protein structure files were taken from the protein databank (PDB). Files were then
278 viewed in pymol (The PyMOL Molecular Graphics System, Version 3.0 Schrödinger, LLC),
279 and key residues were emphasized using visualization tools in the software.

280

281 **Results**

282 **Quantification of the transcriptional response to heat shock for heat shock genes**

283 To quantify the transcriptional response to heat shock we performed 2-photon
284 sN&B imaging on *E. coli* strains MG1655 and AN62 bearing GFP plasmid-borne promoter
285 fusions of the four major heat shock genes *dnaK*, *groEL*, *rpoE*, and *rpoH*. Because the
286 mRNA and protein produced is the same (GFP), beyond short 5'-UTR regions specific to
287 each promoter, for all promoters in both strains, these experiments monitor directly
288 changes in promoter activity, as opposed to differences in the amount of RNA transcript
289 or HS protein produced. The heat shock transcriptional response was characterized
290 before (at 30°C) and after a 15-minute 42°C heat shock similar to previous heat shock
291 studies (Gross et al. 1984; Taylor et al. 1984; Grossman, Erickson, and Gross 1984;
292 Erickson et al. 1987). Single cell resolution was achieved via manual cell segmentation
293 as described in the Methods section. Dividing the fluorescence intensity averaged over
294 all central pixels in each cell by the molecular brightness of GFP, e_{GFP} , calculated using
295 equation [1], yielded the average absolute number of GFP molecules in the V_{eff} in each
296 cell, $\langle n_{GFP} \rangle$ (equation [2]) which corresponds to the absolute concentration of GFP in
297 each cell. Both MG1655 (Figure 2A) and AN62 (Figure 2C) exhibited basal levels of
298 expression prior to heat shock due to RNA polymerase recruitment via σ^{70} (or σ^{32} in the
299 case of *dnaK*). In some cases, GFP expression was so high that the excitation intensity
300 was decreased to avoid oversaturation of the detector. To ensure comparability between
301 all promoter fusions, fluorescence intensity values were normalized to the highest
302 excitation intensity using equation [3] (see Methods section). Additionally, since these
303 strains bear the promoter GFP fusions on plasmids, the initial expression levels
304 (intensities) for repeat experiments varied, as well as between strains and promoters.
305 Thus, intensities could not be compared either between promoters or strains. Rather, it is
306 the magnitude of the fractional change in expression after shock that is significant and
307 should be compared.

308

309 After heat shock, an increase in promoter activity, as evidenced by an increase in
the value of $\langle n_{GFP} \rangle$ for each cell, was observed for all promoter fusion constructs in both

310 the MG1655 (Figure 2B) and AN62 (Figure 2D) strains. Histograms of $\langle n_{GFP} \rangle$ for all
311 promoter fusion constructs in both the MG1655 and AN62 strains showed a clear increase
312 in expression upon heat shock (Figure 3). Only the P_{dnak} and P_{rpoE} promoter fusions
313 exhibited any significant change in the width of the distributions, corresponding to an
314 increase in biological noise after heat shock (Figure 3A, C). Interestingly, the heat shock
315 transcriptional responses of the chaperone promoters, P_{dnak} (47%) and P_{groEL} (45%) were
316 stronger than those of the alternative sigma factor promoters, P_{rpoH} (28%) and P_{rpoE} (21%)
317 (p values in Table S4) (Figure 4). The magnitudes of the transcriptional heat shock
318 responses observed here are consistent with previous studies (Erickson et al. 1987;
319 Riehle et al. 2003; Ying et al. 2013; 2015; Kim et al. 2020). Since the responses are
320 transient, the actual timing of our measurements after heat shock (~8-10 min) could
321 impact the measured magnitude of the response in comparison to prior results. Note also
322 that post-transcriptional (protein level) HS responses have been shown to be much larger
323 than transcriptional HS responses (Lemaux et al. 1978; Herendeen, Vanbogelen, and
324 Neidhardt 1979; Erickson et al. 1987). In contrast to the parental strain, in AN62, the heat
325 shock response of P_{dnak} (27%) was only about half as large as that of P_{groEL} (50%) and
326 was also significantly smaller than the responses of both alternative sigma factor
327 promoters, P_{rpoH} (36%) and P_{rpoE} (37%) (Figure 4), (p values in Table S4). Comparing
328 AN62 to MG1655, P_{dnak} was upregulated much less after heat shock in the pressure-
329 adapted strain, and the promoters for the alternative sigma factors, P_{rpoH} and P_{rpoE} , were
330 upregulated significantly more (Table S4). Taken together, all promoter fusions in both the
331 MG1655 and AN62 strains exhibited robust, yet distinct, transcriptional heat shock
332 responses.

333

334 **Heat shock genes exhibit a transcriptional response to pressure shock**

335 It has been reported that *E. coli* mounts a heat shock response after a pressure
336 shock (Welch et al. 1993; Aertsen et al. 2004). To quantify this pressure-induced heat
337 shock response, each promoter fusion strain was subjected to a 15-minute 60 MPa
338 pressure shock after growth at 37°C. The magnitude of the pressure shock, 60 MPa, was
339 chosen because it is a sub-lethal pressure shock for MG1655 *E. coli* and is just below the
340 maximum pressure at which the piezotolerant AN62 strain will grow (Marietou et al. 2015).
341 Because AN62 is piezotolerant and not piezophilic, we hypothesized that a 60 MPa
342 pressure shock would still act as a stressor for this strain. Similar to the results above for
343 heat shock, all promoters exhibited basal levels of transcriptional activity (Figure 5A, C)
344 when grown at 0.1 MPa (atmospheric pressure), although as noted above, differences in
345 plasmid copy numbers between strains and within strains for different experiments
346 precludes direct comparison of the basal levels. In general, fluorescence intensity values
347 for basal expression were higher at 37°C compared to 30°C (Figures 3 and 6). Due to the
348 especially large amount of basal GFP expression from some promoters, the excitation
349 intensity was lowered to avoid saturation of the detectors and the fluorescence intensity
350 was normalized (equation [3]). Note that raw intensity values are shown in the images.
351 After pressure shock and return to atmospheric pressure, the absolute concentration of
352 GFP, $\langle n_{GFP} \rangle$, produced from all promoter fusions increased in both MG1655 and AN62,

353 as indicated by the warmer colored cells in the fluorescence intensity heat map images
354 (Figure 5B, D). Note that GFP structure and fluorescence is not affected by 60 MPa
355 pressure *in vitro* (Ehrmann, Scheyhing, and Vogel 2001; Scheyhing et al. 2002), and that
356 we have shown previously that GFP fluorescence, itself, is not perturbed by pressure
357 shock *in vivo* (Bourges et al. 2020). Moreover, we confirmed in this study that pressure-
358 induced upregulation was not a general phenomenon, as expression of GFP from the
359 non-heat shock, *P_{BAD}*, promoter in presence of arabinose showed no change after
360 pressure shock (Figure S1).

361 Analysis by sN&B yielded the distributions of $\langle n_{GFP} \rangle$ per cell before and after
362 pressure shock (Figure 6). In the MG1655 strain after pressure shock, *P_{dnaK}* activity
363 increased the most (63%), while the increase for *P_{groEL}* (34%), *P_{rpoE}* (43%), and *P_{rpoH}*
364 (48%) were smaller and similar to each other (p-values in Table S4) (Figure 4). In addition,
365 all the MG1655 promoter fusions exhibited a significant increase in both the mean and
366 the variance of promoter expression distributions after pressure shock (Figure 6A-D). In
367 strain AN62, as observed for the heat shock response, *P_{dnaK}* activity increased the least
368 (23%), while *P_{groEL}* activity increased the most (80%) (p values in Table S4) (Figure 4).
369 The increased activity of the alternative sigma factor promoter, *P_{rpoH}*, (58%) was
370 intermediate. Prior to pressure shock, the cell-to-cell variance in *P_{rpoE}* activity in strain
371 AN62 was significant (Figure 5C). Furthermore, *P_{rpoE}* and *P_{rpoH}* displayed highly stochastic
372 expression patterns after pressure shock, as evidenced by the large tail on the
373 distributions extending far beyond the mean (Figure 5C, D; Figure 6G, H, insets). For
374 these strains, after pressure shock, many cells exhibited little to no response, while ~30-
375 40% of cells responded very dramatically to pressure, increasing the number of molecules
376 of GFP by up to ~10-fold beyond the mean prior to shock (Figure 6G, H insets). In
377 particular, because the *P_{rpoE}* response was so heterogeneous, the percent change in
378 promoter activity is not particularly informative and for this reason is not provided (Figure
379 4C). Interestingly, in strain AN62, the pressure-induced heat shock response of *P_{groEL}* was
380 larger than its response to temperature, with larger increases in both the mean and the
381 variance of the $\langle n_{GFP} \rangle$ distributions (Figure 4, Figure 6E, F) (p values in Table S4).
382 Moreover, the responses to pressure shock of the two chaperone promoters were
383 inverted in strain AN62 compared to strain MG1655 (Figure 4A and B). In AN62, *P_{groEL}*
384 showed a larger pressure-induced heat shock response than *P_{dnaK}* while in MG1655 *P_{dnaK}*
385 experienced a larger increase in promoter activity after pressure shock than *P_{groEL}* (p
386 values in Table S4).

387

388 **The heat shock response to pressure is distinct from the response to heat**

389 We were interested to compare the heat-induced heat shock response in both
390 strains to their pressure-induced heat shock responses to probe for any differences in
391 mechanism. For *P_{dnaK}*, while we did not observe any statistically significant larger
392 pressure-induced heat shock response compared to the heat-induced response in either
393 strain, MG1655 clearly demonstrated a more robust response from *P_{dnaK}* to both heat and
394 pressure shocks than AN62 (Figure 4A) (p values in Table S4). *P_{groEL}* in the AN62 strain
395 showed a much stronger response to pressure shock than to heat shock, while in

396 MG1655, there was a slightly stronger response to heat shock than pressure shock
397 (Figure 4B) (p values in Table S4). Only in strain MG1655 did P_{rpoE} exhibit a general
398 upregulation response to pressure, although this promoter responded to heat shock in
399 both MG1655 and AN62 (Figure 4C). In contrast, in strain AN62 the response to pressure
400 of P_{rpoE} was highly stochastic (Figure 6G). Of all the promoter fusions studied, only the
401 promoter for the main heat shock sigma factor, P_{rpoH} , showed a larger response to
402 pressure shock than to heat shock in both strains (Figure 4) (p values in Table S4).

403

404 **Discussion**

405 **Both *E. coli* MG1655 and pressure-adapted AN62 exhibit a pressure-induced**

406 transcriptional heat shock response

407 It has been shown previously that in *E. coli* strain MG1655 there is an increase in
408 DnaK and GroEL protein levels during pressure shock (Welch et al. 1993). A rather long-
409 term transcriptional heat shock response to pressure shock in this strain has been
410 reported for *dnaK*, *lon* and *clpPX* (Aertsen et al. 2004). We have confirmed and quantified
411 a transcriptional pressure-induced heat shock response for several key heat shock
412 promoters, P_{dnaK} , P_{groEL} , P_{rpoH} , and P_{rpoE} in both MG1655, as well as for strain AN62,
413 adapted in the laboratory to grow at high pressure (Marietou et al. 2015). We note that
414 the single cell resolution and timescale of our observations (performed < 10 minutes after
415 the shock) is distinct from previous studies. It is important to note, as well, that in our
416 studies, the observed upregulation of promoter activity is not due to a change in mRNA
417 stability (as was the case for transcription from the P_{rpoH} during heat shock (Morita et al.
418 1999)), since our readout for the activity of all promoters in all conditions is the number of
419 GFP molecules produced (i.e., the same GFP mRNA, differing only in the 5'UTR for each
420 promoter).

421

422 **The transcriptional response to pressure shock is unique and adaptable**

423 The transcriptional pressure-induced heat shock response is distinct from the heat
424 shock response. For strain MG1655, pressure shock elicited an equivalent (P_{dnaK}) or
425 stronger transcriptional upregulation than heat shock for all promoters. In strain AN62, the
426 transcriptional pressure shock dependent heat shock response was complex. It was
427 found to be more robust for P_{groEL} and P_{rpoH} than heat shock in either strain, while the
428 response to either heat or pressure shock for P_{dnak} was the smallest. Interestingly, P_{rpoE}
429 and to a lesser extent, P_{rpoH} , responded stochastically to pressure shock in strain AN62.
430 It is well established that higher pressures disfavor protein aggregation (disaggregation
431 being the main function of DnaK), while favoring protein unfolding (refolding being the
432 main function of GroEL). It is conceivable that, whatever the underlying mechanism,
433 increased GroEL production in strain AN62 could confer some advantage for growth at
434 high pressure.

435 We wondered what might be the molecular basis for these distinct transcriptional
436 responses to pressure shock in AN62 relative to the parent strain? The most direct
437 mechanisms would implicate transcription, itself, with any differences between promoters
438 arising from differential transcription of their 5'UTR regions, since the coding region

439 corresponds in all cases to GFP (Table S2). Strain AN62 harbors only 12 mutations in
440 coding regions of its genome, in addition to five intergenic mutations, three of which are
441 near the gene for tRNA-Gly (Table S1) (Allemann et al. 2024). Of the mutations in coding
442 sequences, only three affect proteins directly implicated in transcription. The others
443 involve transporters and metabolic enzymes. Of those mutations in genes coding for
444 proteins implicated in transcription, one is a transcriptional activator for the cysteine
445 regulon, which is not involved in the HS response. Another is found in the *rho* terminator
446 gene. However, *rho* mutations are unlikely to be implicated in differential HS promoter
447 activity since no *rho* termination sites are present in the 5'UTR regions of the HS GFP
448 promoter fusions (Table S2) (Naville et al. 2011).

449 In contrast, the mutation in *rpoB* which leads to an amino acid substitution
450 (glutamine to histidine) at position 148 in the β -subunit of RNA polymerase (RNAP) could
451 conceivably contribute to the observed differential responses of the two strains to
452 pressure shock. The Q148 \rightarrow H mutation is very close to the transcription bubble and the
453 nascent mRNA, as shown in the structure of the *E. coli* RNAP initiation complex (Figure
454 7A, B) (Zuo and Steitz 2015). The large number of internal cavities in the RNAP structure
455 (Figure 7C), particularly between the open complex bubble and the mutation, could render
456 this region, and thus RNAP activity, pressure-sensitive, affecting differentially the WT and
457 AN62 enzymes.

458 While additional stress response mechanisms could certainly contribute to the
459 distinct pressure-induced heat shock responses in strain AN62, the hypothesis that the
460 Q148H mutation in *rpoB* might contribute to this phenomenon is supported by the fact
461 that this substitution is one of over 20 single site mutations located within the rifampicin
462 binding site of RNAP known to confer rifampicin resistance to *E. coli* (Jun Jin et al. 1988;
463 D. Jun and Gross 1988; Goldstein 2014; Molodtsov et al. 2017). The resistance conferring
464 (Rif r) mutations, in addition to altering the affinity for rifampicin, lead to significant changes
465 in transcriptional initiation, pausing, elongation and termination efficiency in absence of
466 drug, and have been used to elucidate RNAP functional mechanisms (D. Jun and Gross
467 1988; D. J. Jun and Gross 1989; Landick, Stewart, and Lee 1990; Molodtsov et al. 2017;
468 Meenakshi and Munavar 2018). Rif r mutations in the β subunit of RNAP have been shown
469 to have pleiotropic effects, as well. They lead to slow growth (D. J. Jun and Gross 1989;
470 Reynolds 2000), which is known to be strongly dependent upon transcriptional capacity
471 (Izard et al. 2015; Zhang et al. 2020). Indeed, the growth rate of AN62 is slower than that
472 of MG1655 (Marietou et al. 2015). Moreover, Rif r mutations in the RNAP β subunit have
473 been shown to result in both upregulation and down-regulation of hundreds of genes
474 (Meenakshi and Munavar 2018). Interestingly, Rif r mutations (including one, R143L, quite
475 close to the Q148H substitution in AN62) were selected in absence of rifampicin in a
476 laboratory evolution experiment that involved adaptation to growth at high temperature
477 (Rodríguez-Verdugo, Gaut, and Tenaillon 2013).

478 In contrast to similar sizes for AN62 and the parental MG1655 strains reported
479 previously (Marietou et al. 2015), we have observed consistently that the cells in strain
480 AN62 are significantly smaller (50%) when grown at atmospheric pressure. The
481 discrepancy may stem from the fact that cells were fixed before imaging in the previous

482 study. While the mechanism underlying the difference in size is outside the scope of the
483 current study, we offer one possible hypothesis. Cell division in *E. coli* is licensed by DNA
484 replication, but size is controlled by a division adder, *i.e.*, sufficient accumulation (relative
485 to growth rate) of initiators and precursors required for cell division and maintenance of
486 their production proportional to volume growth (Si et al. 2019). Thus, the smaller size in
487 strain AN62 could result from differential scaling between growth (which, as noted above,
488 is slower than the parental strain) and the rate of production of proteins required for
489 division (e.g., *FtsZ*). Interestingly, *ftsZ* and *ftsA* (which recruits *FtsZ* to the septum) are
490 among the genes shown to be upregulated by certain *Rif^r* mutations, while the gene for a
491 repressor of division, *sulA*, was found to be the most strongly downregulated (Meenakshi
492 and Munavar 2018). Future work will be aimed at testing the role of the *rpoB* mutation in
493 supporting growth of strain AN62 at high pressure.

494

495 **RpoE may act as a pressure sensor for the pressure-induced heat shock response**

496 As noted above, upregulation of P_{rpoE} in AN62 after pressure shock was limited
497 and strongly stochastic compared to MG1655, where it is upregulated robustly. While
498 more work is needed to understand this differential expression pattern for the two strains,
499 we hypothesize the difference may at least partially arise from differences in membrane
500 composition of the two strains. Under homeostatic conditions, RpoE is sequestered at the
501 membrane by the integral membrane protein RseA and is only released upon stress to
502 the membrane and/or extra cytoplasmic/membrane proteins (De Las Peñas, Connolly,
503 and Gross 1997; Missiakas et al. 1997; Klein et al. 2016). Membranes are very
504 susceptible to pressure changes (e.g., (Lakowicz and Thompson 1983; Winter and
505 Jeworrek 2009; Winnikoff et al. 2024)), with significant decreases in fluidity resulting from
506 increased pressure. We hypothesize that the pressure-induced decrease in membrane
507 fluidity, could lead to release of RpoE, which would then upregulate *rpoH* and its own
508 expression. Since the membranes of the AN62 strain contain a larger fraction of
509 unsaturated fatty acids than the MG1655 strain (20.02% 18:1 ω 7c vs 9.5%) (Marietou et
510 al. 2015), the membrane of AN62 may experience less membrane stress due to pressure
511 shock, resulting in the observed limited *rpoE* upregulation in the pressure adapted strain.
512 The very strong expression in the small fraction of AN62 cells that do respond to pressure
513 shock could arise from differences in RNAP function at high pressure in the pressure-
514 adapted strain.

515

516 **Concluding remarks**

517 The present results both confirm and quantify a pressure-induced transcriptional
518 heat shock response in *E. coli*. This response to pressure shock, is distinct from the heat
519 shock response and distinct between the parent and pressure-adapted strain for several
520 promoters. Our results suggest that a rifampicin resistance mutation in the β subunit of
521 RNAP in the pressure-adapted strain could contribute to the differential responses.
522 Another intriguing hypothesis that stems from our observations is that RpoE and its anti-
523 sigma factors may act as a membrane-linked pressure sensors to aid in activating the

524 pressure-induced heat shock response in the parent strain, while the different membrane
525 composition in AN62 could protect the pressure-adapted strain. Taken together, our
526 results point to the importance of transcription and membrane stability in pressure
527 adaptation and provide a foundation for future studies aimed at understanding organismal
528 adaptation to, and even preference for, high pressure.

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Strain/Plasmid	Relevant characteristics	Source
<i>E. coli</i> MG1655	Wild type <i>E. coli</i> , source of promoters for pMS201 plasmids	Coli genetics stock center (CGSC)
<i>E. coli</i> AN62	High pressure-adapted strain derived from MG1655	Marietou et al., 2015
pMS201- <i>P_{dnak}</i> ::GFP pMS201- <i>P_{groEL}</i> ::GFP pMS201- <i>P_{rpoE}</i> ::GFP pMS201- <i>P_{rpoH}</i> ::GFP	Full length <i>dnak</i> promoter region transcriptionally fused to the GFPmut2 gene Full length <i>groEL</i> promoter region transcriptionally fused to the GFPmut2 gene Full length <i>rpoE</i> promoter region transcriptionally fused to the GFPmut2 gene Full length <i>rpoH</i> promoter region transcriptionally fused to the GFPmut2 gene	Horizon discovery
<i>E. coli</i> MG1655 <i>P_{BAD}</i> -gfp-mrr	Full length arabinose inducible promoter region transcriptionally fused to free GFP and unlabeled Mrr	(Bourges et al. 2017)
pBAD24- <i>P_{BAD}</i> ::GFP	Full length arabinose inducible promoter region transcriptionally fused to the GFPmut2 gene	This study

538 Table 1: Relevant strains and plasmids used in this study.

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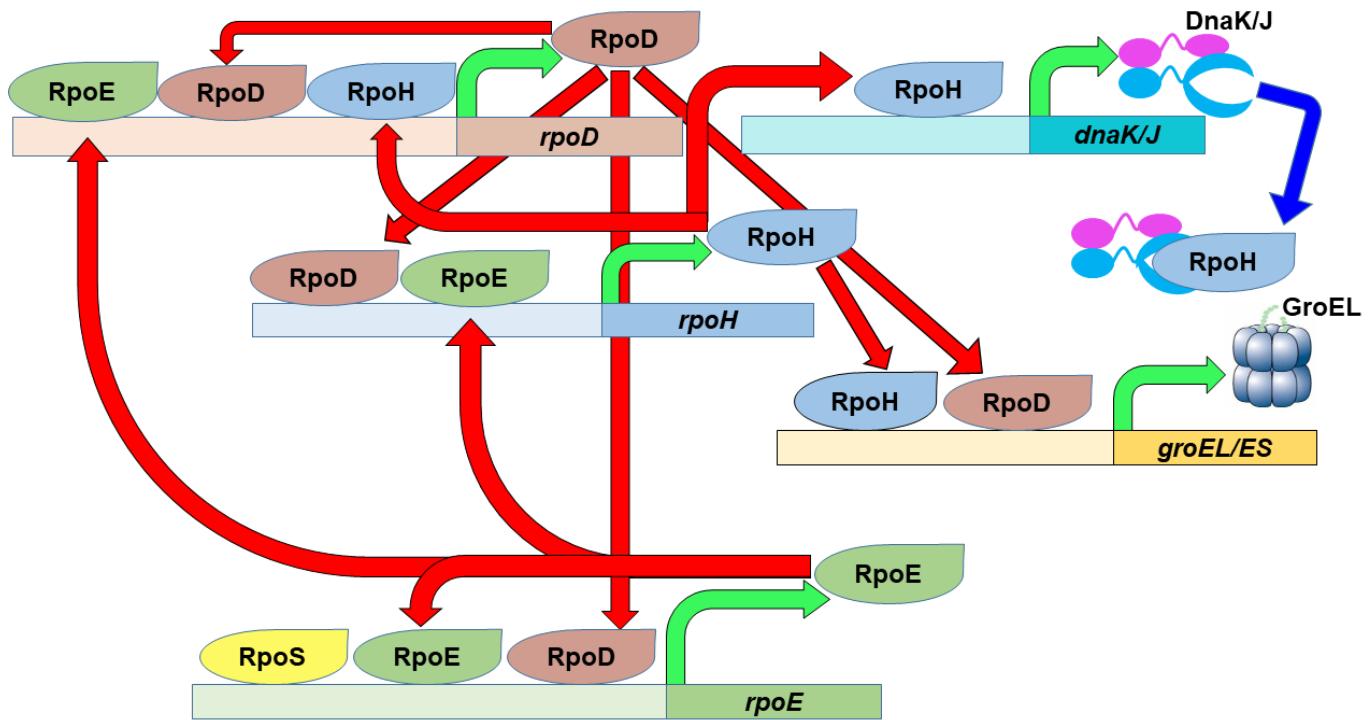
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Figure 1: The heat shock response in *E. coli*. The main housekeeping sigma factor, RpoD, as well as the primary (RpoH) and secondary (RpoE) heat shock sigma factors possess complex promoter regions that allow them to fine tune their expression based on the needs of the cell. An increase in the amount of RpoH will eventually lead to increased expression of specific chaperon systems (DnaK/J and GroEL/ES) in order to stabilize the proteome after temperature upshift. Green arrows depict transcription of the designated gene to produce the specified protein product. Red arrows depict the transcriptional activation activity of the specified sigma factors. Blue arrows depict transcriptional repression activity of the specified chaperones.

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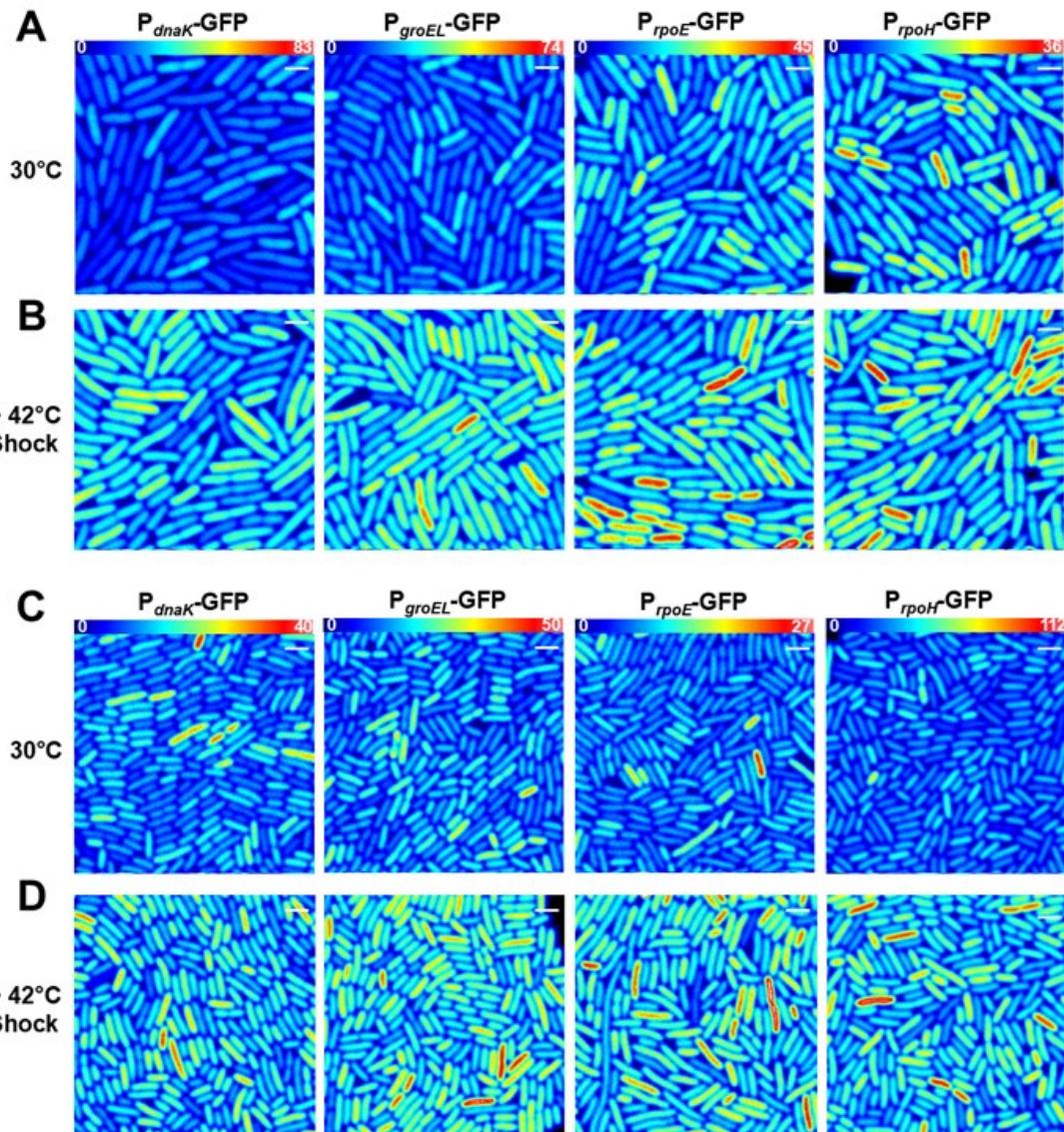
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588 **Figure2: Transcriptional heat shock response in single cells.** Results are presented
 589 in (A, B) *E. coli* MG1655 or (C, D) the *E. coli* AN62 strain. Representative fluorescence
 590 intensity images for each promoter fusion after growth at 30°C (A, C) without any shock
 591 and (B, D) after a 15-minute, 42°C heat shock. Full intensity scales are (A, B) MG1655
 592 P_{dnak} (0-83), MG1655 P_{groEL} (0-74), MG1655 P_{rpoE} (0-45), and MG1655 P_{rpoH} (0-36). (C,
 593 D) AN62 P_{dnak} (0-40), AN62 P_{groEL} (0-50), AN62 P_{rpoE} (0-27), and AN62 P_{rpoH} (0-112).
 594 Spatial scale bars (white) are 2 μ m.

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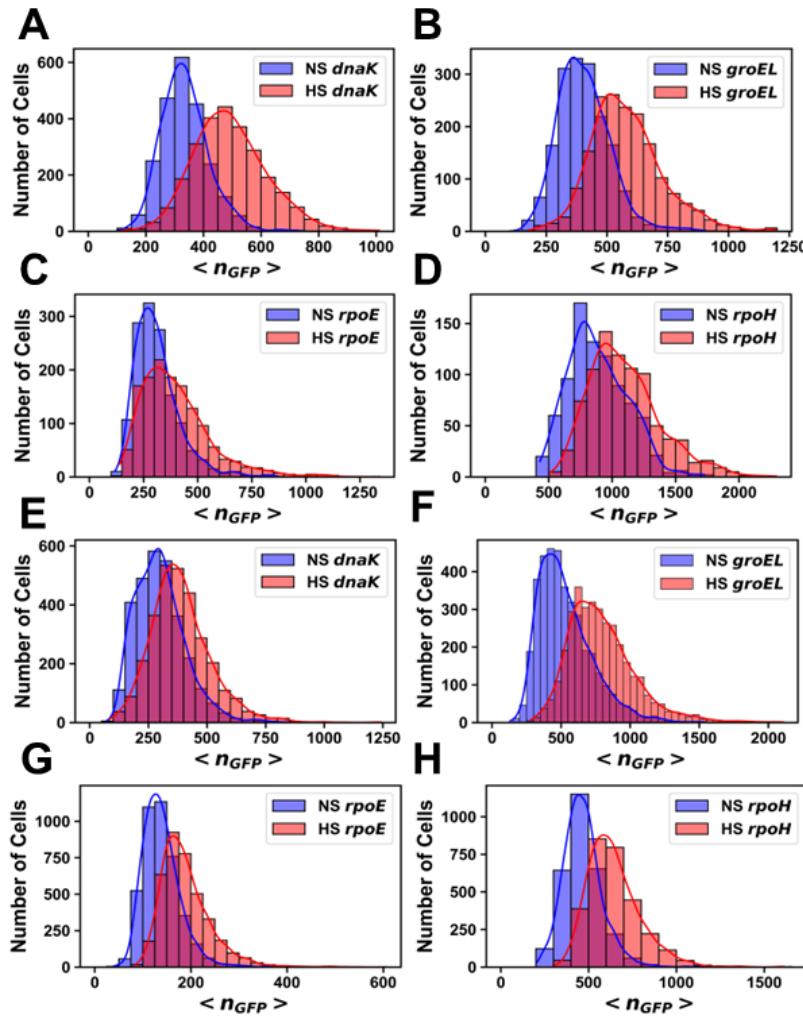


Figure 3: Histograms of the number of molecules of GFP per cell before and after heat shock. Promoter fusions for (A) MG1655 P_{dnak} , (B) MG1655 P_{groEL} , (C) MG1655 P_{rpoE} , (D) MG1655 P_{rpoH} , (E) AN62 P_{dnak} , (F) AN62 P_{groEL} , (G) AN62 P_{rpoE} and (H) AN62 P_{rpoH} . Cells that received a heat shock (HS) are colored red, and cells that did not receive a heat shock are colored blue (NS). Cells were grown at 30°C prior to heat shock at 42°C for 15 minutes. The absolute numbers of GFP molecules were determined by sN&B analysis. Note that data are plotted on different x and y axes for different experiments due to differences in basal levels (plasmid copy number and intrinsic promoter activity). Axes have been optimized to allow comparison of the shock vs no shock samples.

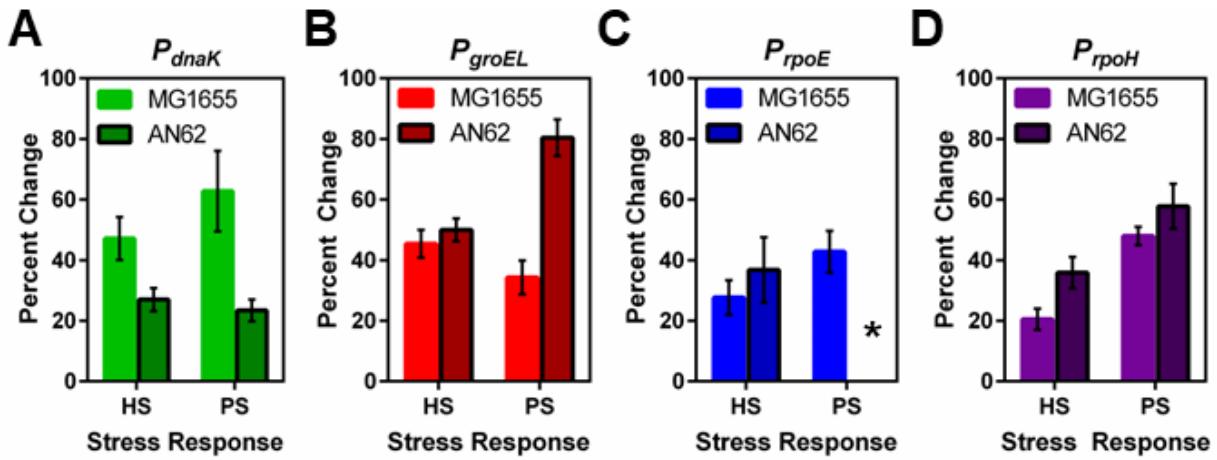
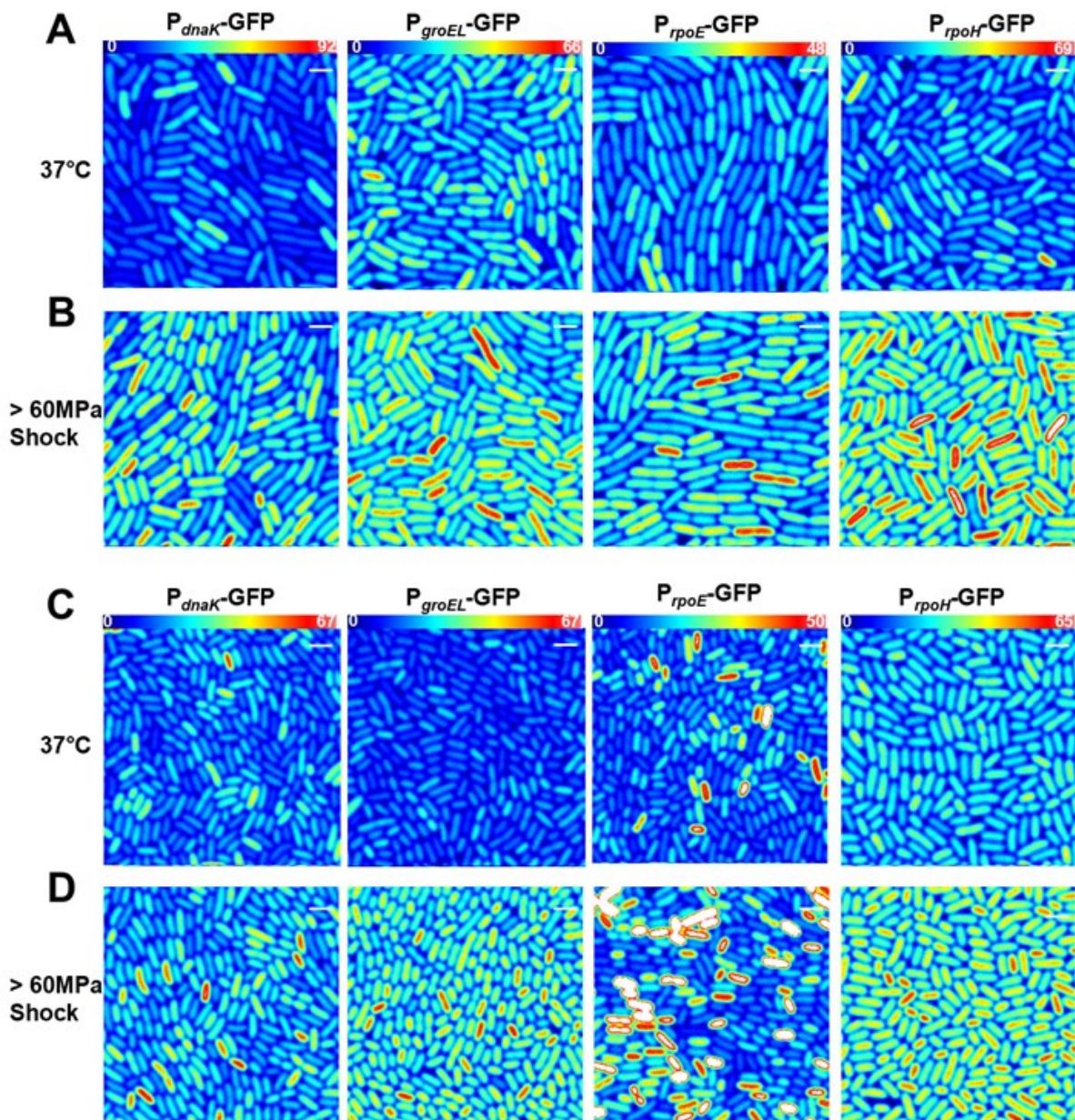
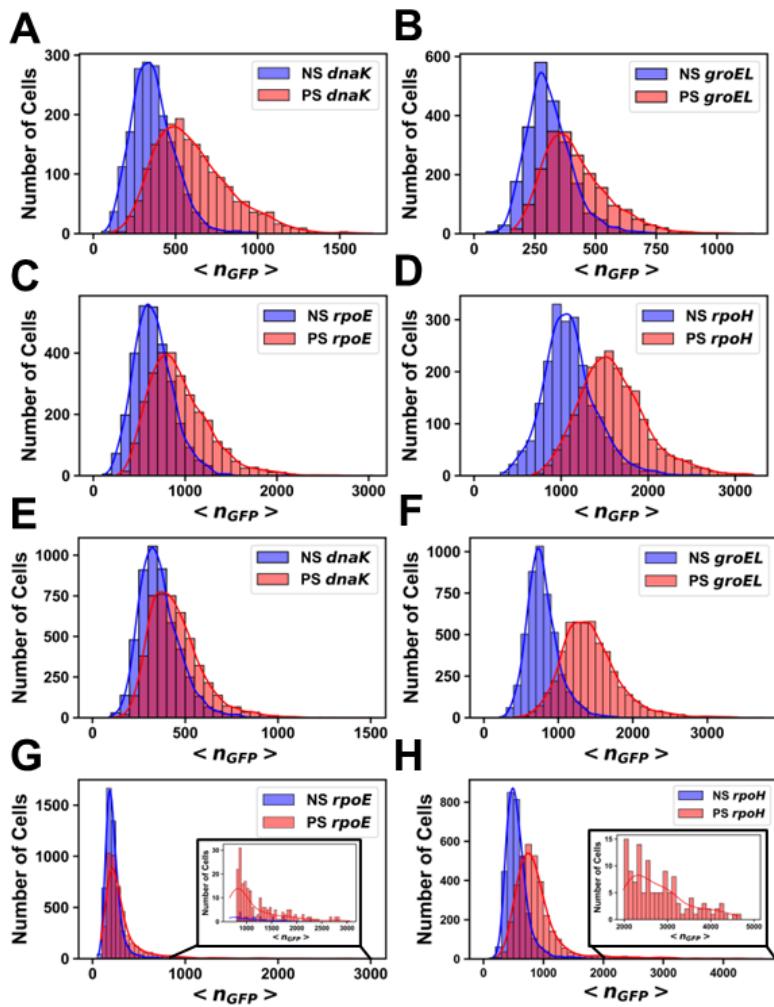


Figure 4: Comparison between the heat and pressure shock responses in *E. coli* MG1655 and AN62. The percent change in the number of molecules of GFP produced after heat and pressure shocks are compared for the promoter fusions for (A) P_{dnak} , (B) P_{groEL} , (C) P_{rpoE} , and (D) P_{rpoH} . Because of the stochastic response to pressure shock for the P_{rpoE} promoter in AN62, no percent change was calculated, indicated by the asterisk. Error bars are one standard deviation of the average of three biological replicates.



631 **Figure 5: Transcriptional pressure-induced heat shock response in single cells** in
 632 (A, B) *E. coli* MG1655 or (C, D) the *E. coli* AN62 strain. Representative fluorescence
 633 Intensity images for each promoter fusion after growth at 37°C (A, C) without any shock
 634 and (B, D) after a 15 minute, 60 MPa pressure shock. Full intensity scales are (A, B)
 635 MG1655 P_{dnak} (0-92), MG1655 P_{groEL} (0-66), MG1655 P_{rpoE} (0-48), and MG1655 P_{rpoH} (0-
 636 69). (C, D) AN62 P_{dnak} (0-67), AN62 P_{groEL} (0-67), AN62 P_{rpoE} (0-50), and AN62 P_{rpoH} (0-
 637 65). Spatial scale bars (white) are 2 μ m.

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644 **Figure 6: Histograms of the number of molecules of GFP per cell before and after**
645 **pressure shock.** Promoter fusions for (A) MG1655 P_{dnak} , (B) MG1655 P_{groEL} , (C)

646 MG1655 P_{rpoE} , (D) MG1655 P_{rpoH} , (E) AN62 P_{dnak} , (F) AN62 P_{groEL} , (G) AN62 P_{rpoE} and

647 (H) AN62 P_{rpoH} . Cells that received a pressure shock (PS) are colored red, and cells that

648 did not receive a pressure shock (NS) are colored blue (NS). Cells were grown at 37°C prior to

649 pressure shock at 60 MPa for 15 minutes. The absolute numbers of GFP molecules were

650 determined by sN&B analysis. Note that different x and y axes are used due to the

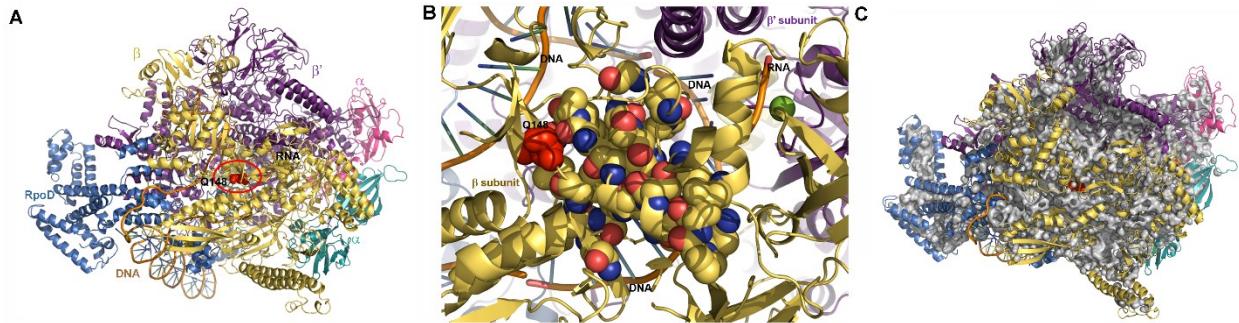
651 different total numbers of cells at any given n_{GFP} value for each experiment and also the

652 different ranges of protein concentrations measured. Axes have been optimized to allow

653 comparison of the shock vs no shock samples.

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664 **Figure 7: Visualization of RNA polymerase and DNA.** (A) The structure of *E. coli* RNA
665 polymerase transcription initiation complex (Zuo and Steitz, 2015) visualized using Pymol
666 (see methods section). Note that residue in the β subunit (yellow) of WT RNAP (BQ148)
667 that is mutated to H in AN62 is shown in red spheres and inside a red circle. The α , β and
668 β' subunits are labeled according to their color. The ω subunit is at the back and not visible
669 in this view. The transcribed and non-transcribed DNA, as well as the nascent RNA is also
670 labeled. (B) Zoomed in image of the cluster of mutations in the RpoB subunit of *E. coli*
671 RNAP that confer rifampicin. Note that the 21 mutations conferring resistance to rifampicin
672 (yellow CPK spheres), including Q148 in WT RNAP (red spheres and also labeled) are
673 found in the vicinity of the transcription bubble and the mRNA transcript. DNA and RNA
674 are shown in orange ribbon, while bases are shown as blue-green sticks. C) internal
675 cavities in RNAP. Cavities were calculated using Pymol with a detection radius of 4
676 solvent molecules and a detection cutoff of 3 solvent molecules. Cavities are shown in
677 grey and Q148 in red spheres. In A and C, the α subunit of RpoB is colored in magenta,
678 the α' subunit in aqua, the β subunit is colored yellow, the β' subunit in violet and the
679 RpoD subunit in blue.

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